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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
ALLAN C & MARGARET L MIMS CHARITABLE TR

A Employer identification number
56-6207750

Number and street (or P O box number if mail is not delivered to street address)
PO BOX 75000

Room/suite

B Telephone number (see page 10 of the instructions)
(252) 467-4743

City or town, state, and ZIP code
DETROIT, MI 482753302

C If exemption application is pending, check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)**\$** 1,089,255

J Accounting method ☐ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	6	6		
	4 Dividends and interest from securities.	28,823	28,823		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	28,495			
	b Gross sales price for all assets on line 6a _____ 555,163				
	7 Capital gain net income (from Part IV , line 2)		28,495		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	10			
	12 Total. Add lines 1 through 11	57,334	57,324		
	13 Compensation of officers, directors, trustees, etc	19,633	19,633		
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)	275			275
	b Accounting fees (attach schedule)	555			555
	c Other professional fees (attach schedule)	15,851	15,851		0
	17 Interest				0
	18 Taxes (attach schedule) (see page 14 of the instructions)	1,389			0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	673	673		
	24 Total operating and administrative expenses. Add lines 13 through 23	38,376	36,157	0	830
	25 Contributions, gifts, grants paid	54,500			54,500
	26 Total expenses and disbursements. Add lines 24 and 25	92,876	36,157	0	55,330
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-35,542			
	b Net investment income (if negative, enter -0-)		21,167		
	c Adjusted net income (if negative, enter -0-)			0	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.



Cat No 11289X

Form **990-PF** (2011)

Part II


Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		
	2	Savings and temporary cash investments	52,972	33,290
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		
	5	Grants receivable		
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)		
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0		
	8	Inventories for sale or use		
	9	Prepaid expenses and deferred charges		
	10a	Investments—U S and state government obligations (attach schedule)		
	b	Investments—corporate stock (attach schedule)	750,280 	720,816
	c	Investments—corporate bonds (attach schedule).	213,133 	227,400
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____		
	12	Investments—mortgage loans		
	13	Investments—other (attach schedule)		0
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____		
Liabilities	15	Other assets (describe ▶ _____)		
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,016,385	981,506
	17	Accounts payable and accrued expenses		
	18	Grants payable		
	19	Deferred revenue		
	20	Loans from officers, directors, trustees, and other disqualified persons		
	21	Mortgages and other notes payable (attach schedule)		
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)		
	23	Total liabilities (add lines 17 through 22)		0
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.		
	24	Unrestricted		
	25	Temporarily restricted		
	26	Permanently restricted		
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.		
	27	Capital stock, trust principal, or current funds	1,016,385	981,506
	28	Paid-in or capital surplus, or land, bldg , and equipment fund		
	29	Retained earnings, accumulated income, endowment, or other funds		
	30	Total net assets or fund balances (see page 17 of the instructions)	1,016,385	981,506
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,016,385	981,506

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,016,385
2	Enter amount from Part I, line 27a	2	-35,542
3	Other increases not included in line 2 (itemize) ▶ _____ 	3	663
4	Add lines 1, 2, and 3	4	981,506
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	981,506

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	28,495
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	38,550	1,084,318	0 035552
2009	60,338	1,001,073	0 060273
2008	87,041	1,299,620	0 066974
2007	65,330	1,514,770	0 043129
2006	66,570	1,439,570	0 046243

2	Total of line 1, column (d).	2	0 252171
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050434
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	1,134,375
5	Multiply line 4 by line 3.	5	57,211
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	212
7	Add lines 5 and 6.	7	57,423
8	Enter qualifying distributions from Part XII, line 4.	8	55,330

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	423
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	423
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	423
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	248	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c	172	
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	420
8		Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	0
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	3
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/>	Refunded <input type="checkbox"/>	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		No
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> NC _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of COMERICA BANK Telephone no (877) 405-1091 Located at 101 NORTH MAIN STREET ANN ARBOR MI ZIP +4 48104			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input checked="" type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years 20____, 20____, 20____, 20____ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.		5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?				
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?				
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. <div></div>		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3. <div></div>	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,104,753
b	Average of monthly cash balances.	1b	46,897
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,151,650
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,151,650
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	17,275
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,134,375
6	Minimum investment return. Enter 5% of line 5.	6	56,719

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	56,719
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	423
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	423
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	56,296
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	56,296
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	56,296

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	55,330
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	55,330
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	55,330
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				56,296
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only.			0	
b Total for prior years 2009 , 20____ , 20____		0		
3 Excess distributions carryover, if any, to 2011				
a From 2006.				0
b From 2007.				0
c From 2008.				3,731
d From 2009.				10,379
e From 2010.				0
f Total of lines 3a through e.	14,110			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 55,330				
a Applied to 2010, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2011 distributable amount.				55,330
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	966			966
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	13,144			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).	0			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).	0			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	13,144			
10 Analysis of line 9				
a Excess from 2007.				0
b Excess from 2008.				2,765
c Excess from 2009.				10,379
d Excess from 2010.				0
e Excess from 2011.				0

Part XIVPrivate Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

SHARON STEPHENS
130 S FRANKLIN RD
ROCKY MOUNT, NC 27802
(252) 467-4743

b

The form in which applications should be submitted and information and materials they should include

LETTER FORM

c

Any submission deadlines

NONE



d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ORGANIZATIONS LOCATED IN THE EASTERN PORTION OF NORTH CAROLINA PREFERABLY IN THE CITY OF ROCKY MOUNT

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> ALDERT ROOT ELEMENTARY SCHOOL 3202 NORTHAMPTON STREET RALIEGH, NC 27609	NONE	EXEMPT	SUPPORT	5,000
NASH COMMUNITY COLLEGE 522 N OLD CARRIAGE RD ROCKY MOUNT, NC 27804	NONE	EXEMPT	NURSING SCHOLARSHIP	10,000
NORTH CAROLINA WESLEYAN COLLEGE 3400 N WESLEYAN BLVD ROCKY MOUNT, NC 27804	NONE	EXEMPT	SUPPORT	37,500
ALDH 3027 SUNSET AVENUE ROCKY MOUNT, NC 27804	NONE	EXEMPT	SUPPORT	2,000
Total			 3a	54,500
b <i>Approved for future payment</i>				
Total			 3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments			14	6	
4	Dividends and interest from securities. . . .			14	28,823	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory			18	28,495	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a OTHER REVENUE _____			14	10	
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e). .				57,334	
13	Total. Add line 12, columns (b), (d), and (e).			13		57,334

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐

Yes

☒

No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
--------------------------	--------------------------	---------------------------------

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2012-10-01

Date

Title

Paid Preparer's Use Only

Preparer's Signature

RICHARD F FLORADAY JR

Date

2012-10-01

Check if self-employed ☐

PTIN

Firm's name

THOMSON REUTERS TAX & ACCOUNTING

Firm's EIN

Firm's address

6135 TRUST DRIVE SUITE 118

HOLLAND, OH 43528

Phone no (419) 861-2300

May the IRS discuss this return with the preparer shown above? See instructions

☒

Yes

☐

No

Additional Data

Software ID:
Software Version:
EIN: 56-6207750
Name: ALLAN C & MARGARET L MIMS CHARITABLE TR

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
17 ENI S P A SPON ADR		2010-11-01	2011-01-05
24 GLAXO WELLCOME PLC ADR		2010-11-01	2011-01-05
10 HSBC HOLDINGS PLC-SPONS ADR		2009-10-29	2011-01-05
45 HOYA CORP SPONSORED ADR		2005-12-02	2011-01-05
20 ING GROEP NV ADR		2010-10-25	2011-01-05
2 MITSUBISHI ESTATE LTD ADR		2009-09-10	2011-01-05
8 NIDEC CORP ADR SPONSORED ADR		2010-11-01	2011-01-05
11 SANOFI-SYNTHELABO ADR		2010-11-05	2011-01-05
155 SUMITOMO MITSUI FIN-UNSP ADR SPONSORED A		2006-04-10	2011-01-05
24 UNILEVER PLC-SPONSORED ADR		2009-10-29	2011-01-05
42 UBS AG-NEW		2010-09-23	2011-01-05
95 HEICO CORP CL A		2010-11-01	2011-01-07
12 POTASH CORP SASK INC		2010-11-05	2011-01-10
70 ECOLAB INC		2010-11-12	2011-01-13
125 ECOLAB INC		2009-07-17	2011-01-13
58 FANUC LTD ADR		2009-06-03	2011-01-13
59 NESTLE SA SPONSORED ADR		2009-10-29	2011-01-18
23 NESTLE SA SPONSORED ADR		2010-11-01	2011-01-18
12 NESTLE SA SPONSORED ADR		2011-01-05	2011-01-18
20 STERICYCLE INC		2010-04-14	2011-01-25
139 NIDEC CORP ADR SPONSORED ADR		2010-11-01	2011-01-27
47 BAE SYSTEMS PLC		2010-12-08	2011-02-01
172 BAE SYSTEMS PLC		2009-10-29	2011-02-01
85 PEPSICO INC		2009-08-18	2011-02-04
45 PEPSICO INC		2010-11-12	2011-02-04
56 MOODY'S CORPORATION		2008-10-21	2011-02-09
65 CANON INC ADR REPRESENTING 5 SHARES		2009-10-29	2011-02-14
10 CANON INC ADR REPRESENTING 5 SHARES		2011-01-05	2011-02-14
52 SINGAPORE TELECOMMUNICATIONS ADR		2010-11-01	2011-02-22
11 SINGAPORE TELECOMMUNICATIONS ADR		2011-01-05	2011-02-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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131 SINGAPORE TELECOMMUNICATIONS ADR		2009-03-24	2011-02-22
53 ENI S P A SPON ADR		2009-10-29	2011-02-23
29 ENI S P A SPON ADR		2010-11-01	2011-02-23
4 IMPERIAL TOBACCO GROUP ADR		2011-01-05	2011-02-23
47 IMPERIAL TOBACCO GROUP ADR		2009-02-27	2011-02-23
22 IMPERIAL TOBACCO GROUP ADR		2010-11-01	2011-02-23
5000 UNITED STATES TREAS NTS 4 5% 02/28/2011		2009-09-29	2011-02-28
49 ROPER INDS INC NEW		2010-11-01	2011-03-11
23 HSBC HOLDINGS PLC-SPONS ADR		2010-11-01	2011-03-15
72 HSBC HOLDINGS PLC-SPONS ADR		2009-10-29	2011-03-15
87 HSBC HOLDINGS PLC-SPONS ADR		2010-11-01	2011-03-16
24 CARBO CERAMICS INC		2010-11-01	2011-03-18
32 LINCOLN ELECTRIC HLDGS		2010-11-01	2011-03-22
78 BLACKBAUD INC		2010-11-01	2011-03-29
48 ADVISORY BOARD CO/THE		2010-11-01	2011-04-01
89 HOYA CORP SPONSORED ADR		2010-11-01	2011-04-04
124 HOYA CORP SPONSORED ADR		2009-10-29	2011-04-04
73 TULLOW OIL PLC ADR		2009-12-09	2011-04-11
6 INTUITIVE SURGICAL INC		2010-12-06	2011-04-12
20 JACOBS ENGR GROUP INC		2009-11-25	2011-04-12
65 PERRIGO CO		2010-11-04	2011-04-12
75 TECHNIP COFLEXIP ADR		2010-12-17	2011-04-12
123 SUN HUNG KAI PPTYS LTD ADR		2009-10-29	2011-04-18
130 SUN HUNG KAI PPTYS LTD ADR		2010-11-22	2011-04-18
33 TECHNIP COFLEXIP ADR		2010-12-17	2011-04-19
69 TULLOW OIL PLC ADR		2010-03-25	2011-04-19
205 LINEAR TECHNOLOGY CORP		2010-04-15	2011-04-21
38 TYCO INTERNATIONAL LTD		2008-10-21	2011-04-26
22 NOVO NORDISK A S ADR		2009-10-29	2011-04-28
210 FORWARD AIR CORP		2010-11-01	2011-05-10

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
16 BG GROUP PLC SPON ADR		2008-07-18	2011-05-11
5000 ABBOTT LABS 5 6% 05/15/2011		2006-09-18	2011-05-15
190 SUMITOMO MITSUI FIN-UNSP ADR SPONSORED A		2010-11-01	2011-05-17
160 SUMITOMO MITSUI FIN-UNSP ADR SPONSORED A		2011-03-16	2011-05-17
70 EXPRESS SCRIPTS INC CL A		2009-07-17	2011-06-03
18 CARBO CERAMICS INC		2010-11-01	2011-06-07
5 ATLANTIA SPA ADR		2011-02-08	2011-06-20
5 ANHEUSER BUSCH INBEV SA/NV ADR		2010-11-01	2011-06-22
19 ASSA ABLOY AB ADR		2011-01-05	2011-06-22
15 ATLANTIA SPA ADR		2011-02-08	2011-06-22
7 BNP PARIBAS ADR		2009-10-29	2011-06-22
6 BAYERISCHE MOTOREN WERKE A G ADR		2011-04-01	2011-06-22
3 BHP LIMITED SPONS ADR		2011-02-25	2011-06-22
3 BRITISH AMERN TOB PLC SPONSORED ADR		2009-10-29	2011-06-22
25 COPART INC		2010-12-16	2011-06-22
2 DAITO TR CONSTR CO LTD ADR		2011-03-16	2011-06-22
16 DANONE SPONSORED ADR		2010-10-25	2011-06-22
10 FANUC LTD ADR		2010-06-03	2011-06-22
8 GLAXO WELLCOME PLC ADR		2009-10-29	2011-06-22
6 HONDA MOTOR NEW ADR		2011-03-16	2011-06-22
5 LVMH MOET HENNESSY LOUIS VUITTON ADR		2009-10-29	2011-06-22
1 MITSUBISHI ESTATE LTD ADR		2010-11-01	2011-06-22
7 NOVARTIS A G ADR		2010-02-01	2011-06-22
20 POOL CORP COM		2010-11-01	2011-06-22
3 POTASH CORP SASK INC		2010-12-16	2011-06-22
7 PRUDENTIAL PLC ADR		2007-12-12	2011-06-22
5 ROYAL DUTCH SHELL PLC-ADR A		2010-04-30	2011-06-22
13 SAMPO OYJ ADR		2010-11-01	2011-06-22
10 SANOFI-SYNTHELABO ADR		2005-12-02	2011-06-22
3 SAP AG SPONSORED ADR SAP AKTIENGESELLSCHAFT		2009-09-09	2011-06-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
13 TECHNE CORP		2011-01-07	2011-06-22
15 TELSTRA CORP LTD SPON ADR FINAL		2011-02-24	2011-06-22
48 TEMPUR-PEDIC INTL INC		2010-11-01	2011-06-22
6 TOTAL S A SPONSORED ADR		2009-10-29	2011-06-22
8 UNILEVER PLC-SPONSORED ADR		2009-10-29	2011-06-22
11 WM MORRISON SUPERMARKETS PLC ADR		2010-09-20	2011-06-22
56 XSTRATA PLC ADR		2011-01-05	2011-06-22
2 YAHOO JAPAN CORP ADR		2011-01-28	2011-06-22
14 UBS AG-NEW		2009-10-21	2011-06-22
15 AGILENT TECHNOLOGIES INC		2007-03-09	2011-06-24
4 CANON INC ADR REPRESENTING 5 SHARES		2004-08-11	2011-06-24
11 COCA COLA CO		2010-02-03	2011-06-24
27 COSTCO WHOLESALE CORP		2008-10-21	2011-06-24
15 DEVON ENERGY CORPORATION		2008-10-21	2011-06-24
15 ING GROEP NV ADR		2011-05-27	2011-06-24
30 IRON MOUNTAIN INC		2008-10-21	2011-06-24
4 JS GROUP CORP ADR		2011-03-14	2011-06-24
20 MICROSOFT CORP		2005-06-24	2011-06-24
15 OCCIDENTAL PETROLEUM CORP		2008-10-21	2011-06-24
20 PROGRESSIVE CORP		2010-09-29	2011-06-24
35 REXAM PLC SPONSORED ADR		2011-03-01	2011-06-24
35 SEALED AIR CORP NEW		2008-10-21	2011-06-24
20 TEXAS INSTRS INC		2008-10-21	2011-06-24
25 WELLS FARGO & CO NEW		2004-08-04	2011-06-24
753 LLOYDS TSB GROUP PLC SPON ADR		2010-11-08	2011-07-05
669 LLOYDS TSB GROUP PLC SPON ADR		2009-10-29	2011-07-05
20 AMERICAN EXPRESS CO		2010-05-17	2011-07-13
35 E M C CORP		2009-07-17	2011-07-13
120 ING GROEP NV ADR		2011-05-27	2011-07-13
105 ING GROEP NV ADR		2010-10-25	2011-07-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 INTUITIVE SURGICAL INC		2010-12-06	2011-07-13
20 VARIAN MEDICAL SYSTEMS INC		2009-07-17	2011-07-13
56 PROCTER & GAMBLE CO		2008-10-21	2011-07-20
150 PRUDENTIAL PLC ADR		2009-03-25	2011-07-20
9 HONDA MOTOR NEWADR		2010-06-14	2011-08-04
26 HONDA MOTOR NEWADR		2011-03-16	2011-08-04
15 SIEMENS AG ADR		2011-02-28	2011-08-04
27 WM MORRISON SUPERMARKETS PLC ADR		2010-09-20	2011-08-05
17 WM MORRISON SUPERMARKETS PLC ADR		2009-11-03	2011-08-05
45 JACOBS ENGR GROUP INC		2010-09-15	2011-08-09
100 JACOBS ENGR GROUP INC		2009-11-25	2011-08-09
5000 UNITED STATES TREASURY DTD 11/15/2010 2		2010-12-15	2011-08-09
45 VISA INC CL A		2010-05-19	2011-08-09
10000 U S TREAS NT 5 000% DUE 08/15/2011		2005-09-15	2011-08-15
63 WM MORRISON SUPERMARKETS PLC ADR		2010-11-01	2011-08-15
8 GOOGLE INC CL A		2010-04-28	2011-08-17
2 GOOGLE INC CL A		2010-11-12	2011-08-17
12 HONDA MOTOR NEWADR		2010-11-26	2011-08-17
28 HONDA MOTOR NEWADR		2010-11-26	2011-08-17
375 US BANCORP		2010-11-12	2011-08-23
200 DANONE SPONSORED ADR		2010-08-03	2011-08-26
20 GOLDMAN SACHS GROUP INC		2010-11-12	2011-09-06
61 GOLDMAN SACHS GROUP INC		2010-01-25	2011-09-06
10 GOLDMAN SACHS GROUP INC		2011-04-21	2011-09-06
32 ROYAL DUTCH SHELL PLC-ADR A		2010-11-05	2011-09-07
10000 FED HOME LN MTG 5 500% DUE 09/15/2011		2006-09-29	2011-09-15
20 AMAZON COM INC		2010-07-26	2011-09-19
5 APPLE COMPUTER INC		2009-07-17	2011-09-20
324 AIA GROUP LTD ADR		2011-03-09	2011-09-21
124 UBS AG-NEW		2010-11-01	2011-09-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
160 PFIZER INC		2010-02-16	2011-09-29
62 UBS AG-NEW		2010-11-01	2011-10-03
202 UBS AG-NEW		2009-10-29	2011-10-03
5000 MORGAN STANLEY 5 3% 03/01/2013		2008-04-24	2011-10-12
72 ADVISORY BOARD CO/THE		2010-11-01	2011-10-17
33 DAITO TR CONSTR CO LTD ADR		2010-10-06	2011-10-17
17 JS GROUP CORP ADR		2011-03-14	2011-10-17
70 SAMPO OYJ ADR		2010-11-01	2011-10-21
16 AMERICAN EXPRESS CO		2010-09-29	2011-11-01
9 AMERIPRISE FINL INC		2008-10-21	2011-11-01
7 ANHEUSER BUSCH INBEV SA/NV ADR		2010-11-01	2011-11-01
22 ASSA ABLOY AB ADR		2011-01-05	2011-11-01
19 ATLANTIA SPA ADR		2011-02-08	2011-11-01
3 BG GROUP PLC SPON ADR		2008-09-24	2011-11-01
10 BAYERISCHE MOTOREN WERKE A G ADR		2011-06-24	2011-11-01
4 BHP LIMITED SPONS ADR		2011-02-25	2011-11-01
4 BRITISH AMERN TOB PLC SPONSORED ADR		2009-10-29	2011-11-01
6 CVS CORPORATION (DEL)		2011-06-24	2011-11-01
22 CANADIAN NATURAL RESOURCES LTD ADR		2009-10-21	2011-11-01
4 CANON INC ADR REPRESENTING 5 SHARES		2010-11-01	2011-11-01
15 COSTCO WHOLESALE CORP		2008-10-21	2011-11-01
9 DAITO TR CONSTR CO LTD ADR		2010-10-06	2011-11-01
10 DANONE SPONSORED ADR		2010-10-25	2011-11-01
6 EXPRESS SCRIPTS INC CL A		2010-07-16	2011-11-01
11 FANUC LTD ADR		2010-09-21	2011-11-01
9 GLAXO WELLCOME PLC ADR		2009-10-29	2011-11-01
6 HONDA MOTOR NEW ADR		2010-11-01	2011-11-01
13 INFORMA PLC ADR		2011-02-02	2011-11-01
40 IRON MOUNTAIN INC		2008-10-21	2011-11-01
13 JOHNSON & JOHNSON		2010-04-27	2011-11-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 LVMH MOET HENNESSY LOUIS VUITTON ADR		2011-07-01	2011-11-01
6 LOEWS CORP		2007-10-23	2011-11-01
12 MERCK & CO INC NEW		2010-12-01	2011-11-01
1 MITSUBISHI ESTATE LTD ADR		2010-11-01	2011-11-01
6 NOVARTIS A G ADR		2010-11-01	2011-11-01
8 OCCIDENTAL PETROLEUM CORP		2008-10-21	2011-11-01
18 PFIZER INC		2010-09-29	2011-11-01
4 PHILIP MORRIS INTL INC		2004-08-04	2011-11-01
18 PROGRESSIVE CORP		2010-09-29	2011-11-01
10 PRUDENTIAL PLC ADR		2010-11-01	2011-11-01
6 REXAM PLC SPONSORED ADR		2011-03-01	2011-11-01
6 ROGERS COMMUNICATIONS INC CLASS B		2010-03-01	2011-11-01
3 ROYAL DUTCH SHELL PLC-ADR A		2010-04-30	2011-11-01
5 RYANAIR HLDGS PLC SPON ADR		2011-06-29	2011-11-01
12 SANOFI-SYNTHELABO ADR		2010-11-05	2011-11-01
5 SAP AG SPONSORED ADR SAP AKTIENGESELLSCHAFT		2009-09-09	2011-11-01
7 SEALED AIR CORP NEW		2008-10-21	2011-11-01
2 SIEMENS AG ADR		2011-02-28	2011-11-01
52 SUMITOMO MITSUI FIN-UNSP ADR SPONSORED A		2010-11-01	2011-11-01
11 TECHNIP COFLEXIP ADR		2010-10-28	2011-11-01
19 TELSTRA CORP LTD SPON ADR FINAL		2011-08-12	2011-11-01
4 TOTAL S A SPONSORED ADR		2010-11-01	2011-11-01
19 TULLOW OIL PLC ADR		2010-03-25	2011-11-01
9 UNILEVER PLC-SPONSORED ADR		2005-12-02	2011-11-01
9 VODAFONE GROUP PLC ADR		2011-01-05	2011-11-01
6 WM MORRISON SUPERMARKETS PLC ADR		2009-11-03	2011-11-01
79 XSTRATA PLC ADR		2011-01-05	2011-11-01
21 XSTRATA PLC ADR		2010-11-01	2011-11-01
22 YAHOO JAPAN CORP ADR		2011-01-28	2011-11-01
17 AMERICAN EXPRESS CO		2010-07-26	2011-11-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 AMERICAN EXPRESS CO		2008-10-21	2011-11-02
5000 AMERICAN EXPRESS CO NT 4 875% 07/15/2013		2005-11-08	2011-11-02
4 ANHEUSER BUSCH INBEV SA/NV ADR		2010-11-22	2011-11-02
2 APPLE COMPUTER INC		2009-07-17	2011-11-02
9 BNP PARIBAS ADR		2010-11-08	2011-11-02
5000 BP CAPITAL MARKETS PLC GTD NT 3 875% 03/		2009-11-05	2011-11-02
10 BANK NEW YORK MELLON CORP		2011-04-08	2011-11-02
6000 BANK OF NEW YORK CO 4 3% 05/15/2014		2010-08-06	2011-11-02
15 BERKSHIRE HATHAWAY CL B		2011-02-04	2011-11-02
2 BHP LIMITED SPONS ADR		2011-02-25	2011-11-02
5000 BOTTLING GROUP LLC 4 625% 11/15/2012		2007-02-09	2011-11-02
2 BRITISH AMERN TOB PLC SPONSORED ADR		2010-11-01	2011-11-02
10 CVS CORPORATION (DEL)		2011-06-24	2011-11-02
10 CANADIAN NATURAL RESOURCES LTD ADR		2009-10-21	2011-11-02
5 COCA COLA CO		2010-09-29	2011-11-02
5000 COCA COLA CO 4 875% 03/15/2019		2009-03-04	2011-11-02
5 COSTCO WHOLESALE CORP		2008-10-21	2011-11-02
10 DEVON ENERGY CORPORATION		2008-10-21	2011-11-02
5000 DISNEY WALT CO 4 7% 12/01/2012		2007-12-17	2011-11-02
15 EOG RESOURCES INC		2010-09-29	2011-11-02
20 EXPRESS SCRIPTS INC CL A		2010-11-12	2011-11-02
4 EXPRESS SCRIPTS INC CL A		2010-07-16	2011-11-02
10000 FEDERAL HOME LN MTG CORP 4 5% 01/15/2015		2010-08-25	2011-11-02
5000 FEDERAL NATL MTG ASSN 5% 02/16/2012		2010-02-16	2011-11-02
10000 FEDERAL NATL MTG ASSN 4 625% 10/15/2013		2008-07-25	2011-11-02
10000 FEDERAL NATL MTG ASSN 4 75% 11/19/2012		2007-11-16	2011-11-02
10000 GENERAL ELEC CAP CORP 5 875% DUE 02/15/2012		2007-07-05	2011-11-02
25 GILEAD SCIENCES INC		2009-07-17	2011-11-02
4 GLAXO WELLCOME PLC ADR		2009-10-29	2011-11-02
5000 GOLDMAN SACHS 6 6% 01/15/2012		2007-09-27	2011-11-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 GRUPO TELEVISIA GDR SA DE CV SPD ADR 1 GRD REPSTG 2 ORD PARTN CTF		2010-09-29	2011-11-02
26 ING GROEP NV ADR		2010-11-10	2011-11-02
5000 IBM CORP 4 75% 11/29/2012		2007-07-31	2011-11-02
2 INTUITIVE SURGICAL INC		2010-12-06	2011-11-02
5000 JPMORGAN CHASE & CO 4 75% 03/01/2015		2009-11-06	2011-11-02
4 JS GROUP CORP ADR		2011-03-09	2011-11-02
4 LOCKHEED MARTIN CORP		2010-11-11	2011-11-02
10 LOEWS CORP		2007-11-09	2011-11-02
5000 MCDONALDS CORP 5 35% 03/01/2018		2009-01-15	2011-11-02
6 MERCK KGAA ADR		2011-04-26	2011-11-02
5 MERCK & CO INC NEW		2010-12-01	2011-11-02
4 MICROSOFT CORP		2005-06-24	2011-11-02
15 NATIONAL-OILWELL INC		2011-09-22	2011-11-02
3 NOVARTIS A G ADR		2011-08-08	2011-11-02
10 OCCIDENTAL PETROLEUM CORP		2008-10-21	2011-11-02
9000 ORACLE CORPORATION 5 75% 04/15/2018		2008-05-15	2011-11-02
5000 ORACLE CORPORATION 4 95% 04/15/2013		2010-11-01	2011-11-02
5000 PFIZER INC 5 35% 03/15/2015		2010-01-27	2011-11-02
3 POTASH CORP SASK INC		2011-08-16	2011-11-02
7 PROGRESSIVE CORP		2008-10-21	2011-11-02
2 ROYAL DUTCH SHELL PLC-ADR A		2010-11-01	2011-11-02
13 SAMPO OYJ ADR		2011-01-05	2011-11-02
6 SANOFI-SYNTHELABO ADR		2010-11-05	2011-11-02
3 SAP AG SPONSORED ADR SAP AKTIENGESellschaft		2010-11-01	2011-11-02
7 SEALED AIR CORP NEW		2008-10-21	2011-11-02
15 SWEDBANK A B ADR		2011-04-08	2011-11-02
5000 SYSCO 5 25% 02/12/2018		2008-02-08	2011-11-02
9 TELSTRA CORP LTD SPON ADR FINAL		2011-08-12	2011-11-02
5 UNILEVER PLC-SPONSORED ADR		2010-11-01	2011-11-02
5000 U S BANCORP MEDIUM TERM 4 2% 05/15/2014		2011-08-23	2011-11-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10000 U S TREAS NTS 4 375% DUE 08/15/2012		2011-08-16	2011-11-02
5000 UNITED STATES TREASURY 4 25% 08/15/2014		2009-12-15	2011-11-02
5000 UNITED STATES TREAS NTS 4 25% 11/15/2014		2008-05-30	2011-11-02
7000 UNITED STATES TREAS NTS 4 25% 11/15/2017		2010-09-16	2011-11-02
5000 UNITED STATES TREASURY 3 125% 09/30/201		2011-03-04	2011-11-02
5000 UNITED STATES TREAS NTS 1 75% 11/15/2011		2009-07-28	2011-11-02
9000 UNITED STATES TREASURY 3 125% 05/15/201		2011-10-14	2011-11-02
5000 UNITED STATES TREAS NTS 2 625% 04/30/201		2010-11-24	2011-11-02
5000 UNITED STATES TREAS NTS 2 625% 04/30/201		2009-05-01	2011-11-02
5000 UNITED STATES TREASURY 3 625% 08/15/201		2009-12-23	2011-11-02
5000 UNITED STATES TREAS NTS 1% 04/30/2012		2011-09-20	2011-11-02
5000 UNITED STATES TREAS NTS 1 375% 05/15/201		2011-05-16	2011-11-02
2000 UNITED STATES TREASURY DTD 11/15/2010 2		2010-12-15	2011-11-02
7 VALEO ADR		2011-06-29	2011-11-02
8 VISA INC CL A		2010-07-29	2011-11-02
7000 WALGREEN CO 5 25% 01/15/2019		2010-12-03	2011-11-02
7 WELLS FARGO & CO NEW		2004-08-04	2011-11-02
152 ATLANTIA SPA ADR		2011-02-14	2011-11-11
3 NOVO NORDISK A S ADR		2011-01-05	2011-12-22
21 NOVO NORDISK A S ADR		2010-11-01	2011-12-22
2 BHP LIMITED SPONS ADR		2011-02-25	2011-12-30
2 BRITISH AMERN TOB PLC SPONSORED ADR		2010-11-01	2011-12-30
3 BRITISH SKY BROADCASTING GROUP ADR		2011-10-24	2011-12-30
4 CANON INC ADR REPRESENTING 5 SHARES		2010-11-01	2011-12-30
9 DAITO TR CONSTR CO LTD ADR		2010-10-06	2011-12-30
3 GLAXO WELLCOME PLC ADR		2009-10-29	2011-12-30
5 HONDA MOTOR NEW ADR		2010-09-21	2011-12-30
13 INFORMA PLC ADR		2011-02-02	2011-12-30
23 JULIUS BAER GROUP LTD ADR		2011-07-01	2011-12-30
5 LVMH MOET HENNESSY LOUIS VUITTON ADR		2011-07-01	2011-12-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 NOVARTIS A G ADR		2010-11-01	2011-12-30
9 PRUDENTIAL PLC ADR		2010-09-29	2011-12-30
6 REXAM PLC SPONSORED ADR		2011-03-01	2011-12-30
6 RYANAIR HLDGS PLC SPON ADR		2011-06-29	2011-12-30
4 SANOFI-SYNTHELABO ADR		2005-12-02	2011-12-30
3 SAP AG SPONSORED ADR SAP AKTIENGESELLSCHAFT		2011-11-28	2011-12-30
29 SUMITOMO MITSUI FIN-UNSP ADR SPONSORED A		2006-04-10	2011-12-30
8 TELSTRA CORP LTD SPON ADR FINAL		2010-11-29	2011-12-30
4 UNILEVER PLC-SPONSORED ADR		2010-11-01	2011-12-30
6 WM MORRISON SUPERMARKETS PLC ADR		2009-11-03	2011-12-30
46 WOLSELEY PLC JERSEY ADR		2011-10-20	2011-12-30
72 XSTRATA PLC ADR		2011-10-27	2011-12-30
18 YAHOO JAPAN CORP ADR		2011-01-28	2011-12-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
756		760	-4
937		941	-4
533		567	-34
1,084		1,474	-390
197		228	-31
366		365	1
205		193	12
362		395	-33
1,078		3,643	-2,565
733		734	-1
696		737	-41
3,662		3,523	139
1,980		1,737	243
3,427		3,427	
6,120		4,762	1,358
1,511		767	744
3,202		1,811	1,391
1,248		1,249	-1
651		676	-25
1,586		1,105	481
3,279		3,226	53
1,012		1,014	-2
3,705		5,310	-1,605
5,423		4,789	634
2,871		2,904	-33
1,678		1,351	327
3,103		2,800	303
477		515	-38
1,175		1,272	-97
249		261	-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,959		2,335	624
2,498		2,303	195
1,367		1,296	71
258		249	9
3,031		2,757	274
1,419		1,406	13
5,000		5,271	-271
4,112		3,433	679
1,204		1,205	-1
3,770		3,226	544
4,389		4,536	-147
2,979		2,014	965
2,338		1,910	428
2,082		1,969	113
2,446		2,228	218
1,930		2,049	-119
2,689		2,615	74
877		769	108
2,192		1,623	569
970		731	239
5,521		4,049	1,472
2,050		1,697	353
1,940		1,662	278
2,051		2,219	-168
864		718	146
773		670	103
7,005		6,424	581
1,863		981	882
2,770		1,203	1,567
6,867		5,600	1,267

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,837		1,750	87
5,000		5,057	-57
1,095		1,106	-11
922		1,038	-116
3,978		2,300	1,678
2,634		1,511	1,123
5		5	
285		314	-29
244		274	-30
156		163	-7
270		283	-13
188		172	16
271		279	-8
260		194	66
1,142		932	210
165		144	21
240		207	33
260		175	85
333		332	1
221		231	-10
170		106	64
168		176	-8
425		378	47
573		404	169
161		139	22
161		206	-45
351		314	37
206		182	24
381		414	-33
184		148	36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,031		862	169
241		211	30
3,129		1,655	1,474
334		375	-41
256		245	11
259		265	-6
232		256	-24
222		254	-32
255		265	-10
731		475	256
187		123	64
717		603	114
2,152		1,545	607
1,161		1,125	36
166		179	-13
982		679	303
197		201	-4
486		505	-19
1,491		730	761
413		419	-6
1,039		1,057	-18
788		692	96
635		330	305
678		711	-33
2,344		3,348	-1,004
2,083		4,052	-1,969
1,051		820	231
951		485	466
1,293		1,433	-140
1,132		1,196	-64

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,810		1,352	458
1,422		655	767
3,600		3,545	55
3,257		2,385	872
337		265	72
974		1,002	-28
1,674		2,021	-347
635		650	-15
400		400	
1,501		1,636	-135
3,337		3,656	-319
5,119		4,670	449
3,556		3,295	261
10,000		10,517	-517
1,447		1,469	-22
4,275		3,934	341
1,069		1,211	-142
399		443	-44
930		1,014	-84
7,688		8,551	-863
2,628		2,381	247
2,088		3,315	-1,227
6,367		7,922	-1,555
1,044		1,540	-496
2,083		2,149	-66
10,000		10,275	-275
4,726		2,331	2,395
2,089		758	1,331
3,888		3,964	-76
1,430		2,062	-632

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,880		2,938	-58
681		1,030	-349
2,219		3,719	-1,500
5,006		4,936	70
4,620		3,342	1,278
766		518	248
729		846	-117
935		979	-44
791		536	255
395		235	160
383		439	-56
259		317	-58
137		207	-70
317		326	-9
255		312	-57
302		372	-70
364		258	106
212		219	-7
731		812	-81
180		182	-2
1,250		858	392
199		141	58
134		129	5
263		284	-21
287		227	60
396		373	23
181		206	-25
148		184	-36
1,197		905	292
824		838	-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
188		216	-28
230		298	-68
413		418	-5
167		176	-9
332		345	-13
711		390	321
351		298	53
274		100	174
335		307	28
194		200	-6
160		181	-21
215		204	11
207		188	19
140		146	-6
412		431	-19
294		247	47
121		138	-17
202		269	-67
282		303	-21
242		227	15
301		299	2
201		217	-16
203		181	22
297		174	123
247		248	-1
143		141	2
241		362	-121
64		83	-19
225		279	-54
854		769	85

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
505		257	248
5,271		4,904	367
217		244	-27
795		303	492
182		341	-159
5,358		5,195	163
209		302	-93
6,491		6,543	-52
1,158		1,241	-83
154		186	-32
5,198		4,841	357
182		153	29
360		365	-5
343		369	-26
340		292	48
5,792		4,954	838
422		286	136
653		750	-97
5,219		4,989	230
1,429		1,377	52
879		1,043	-164
177		189	-12
11,215		10,440	775
5,070		5,397	-327
10,825		10,217	608
10,473		10,172	301
10,133		10,108	25
1,025		1,197	-172
173		166	7
5,046		5,246	-200

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
85		75	10
201		292	-91
5,223		4,829	394
858		541	317
5,352		5,269	83
174		194	-20
300		280	20
391		463	-72
5,971		5,335	636
191		202	-11
171		174	-3
104		101	3
1,047		811	236
166		168	-2
926		487	439
10,887		9,104	1,783
5,324		5,517	-193
5,699		5,572	127
140		165	-25
131		98	33
138		131	7
170		174	-4
206		216	-10
181		154	27
124		138	-14
202		277	-75
5,876		5,077	799
143		142	1
165		144	21
5,385		5,393	-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,331		10,421	-90
5,542		5,467	75
5,582		5,196	386
8,262		7,966	296
5,277		5,272	5
5,003		5,057	-54
10,012		9,832	180
5,417		5,245	172
5,417		4,970	447
5,746		4,957	789
5,023		5,029	-6
5,090		5,081	9
2,128		1,868	260
168		236	-68
733		572	161
8,267		7,975	292
177		199	-22
1,093		1,651	-558
334		347	-13
2,337		1,787	550
142		186	-44
190		153	37
136		141	-5
177		182	-5
191		141	50
137		124	13
153		176	-23
144		184	-40
179		191	-12
142		180	-38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
172		172	
178		181	-3
163		181	-18
167		175	-8
147		166	-19
159		170	-11
160		682	-522
136		110	26
134		116	18
151		141	10
150		130	20
213		254	-41
191		229	-38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			-4
			-34
			-390
			-31
			1
			12
			-33
			-2,565
			-1
			-41
			139
			243
			1,358
			744
			1,391
			-1
			-25
			481
			53
			-2
			-1,605
			634
			-33
			327
			303
			-38
			-97
			-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			624
			195
			71
			9
			274
			13
			-271
			679
			-1
			544
			-147
			965
			428
			113
			218
			-119
			74
			108
			569
			239
			1,472
			353
			278
			-168
			146
			103
			581
			882
			1,567
			1,267

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			87
			-57
			-11
			-116
			1,678
			1,123
			-29
			-30
			-7
			-13
			16
			-8
			66
			210
			21
			33
			85
			1
			-10
			64
			-8
			47
			169
			22
			-45
			37
			24
			-33
			36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			169
			30
			1,474
			-41
			11
			-6
			-24
			-32
			-10
			256
			64
			114
			607
			36
			-13
			303
			-4
			-19
			761
			-6
			-18
			96
			305
			-33
			-1,004
			-1,969
			231
			466
			-140
			-64

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			458
			767
			55
			872
			72
			-28
			-347
			-15
			-135
			-319
			449
			261
			-517
			-22
			341
			-142
			-44
			-84
			-863
			247
			-1,227
			-1,555
			-496
			-66
			-275
			2,395
			1,331
			-76
			-632

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-58
			-349
			-1,500
			70
			1,278
			248
			-117
			-44
			255
			160
			-56
			-58
			-70
			-9
			-57
			-70
			106
			-7
			-81
			-2
			392
			58
			5
			-21
			60
			23
			-25
			-36
			292
			-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-28
			-68
			-5
			-9
			-13
			321
			53
			174
			28
			-6
			-21
			11
			19
			-6
			-19
			47
			-17
			-67
			-21
			15
			2
			-16
			22
			123
			-1
			2
			-121
			-19
			-54
			85

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			248
			367
			-27
			492
			-159
			163
			-93
			-52
			-83
			-32
			357
			29
			-5
			-26
			48
			838
			136
			-97
			230
			52
			-164
			-12
			775
			-327
			608
			301
			25
			-172
			7
			-200

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10
			-91
			394
			317
			83
			-20
			20
			-72
			636
			-11
			-3
			3
			236
			-2
			439
			1,783
			-193
			127
			-25
			33
			7
			-4
			-10
			27
			-14
			-75
			799
			1
			21
			-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-90
			75
			386
			296
			5
			-54
			180
			172
			447
			789
			-6
			9
			260
			-68
			161
			292
			-22
			-558
			-13
			550
			-44
			37
			-5
			-5
			50
			13
			-23
			-40
			-12
			-38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			-18
			-8
			-19
			-11
			-522
			26
			18
			10
			20
			-41
			-38

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
G ALLEN IVES	DIRECTOR 1	3,000		
411 SHADY CIRCLE DR ROCKY MOUNT, NC 27803				
LOU UZZLE BLACKMAN	DIRECTOR 1	3,000		
19 A GLENMORE DR DUNHAM, NC 27707				
WILLIAM R JOHNSTON JR	DIRECTOR 1	3,000		
3332 WHITEOAK RD RELEIGH, NC 27609				
THOMAS A BETTS JR	DIRECTOR 1	3,000		
PO 1220 ROCKY MOUNT ROCKY MOUNT, NC 27802				
COMERICA BANK	CO - TRUSTEE 1	7,633		
PO BOX 75000 M/C 3302 DETROIT, MI 482753302				

**TY 2011 Investments Corporate
Bonds Schedule**

Name: ALLAN C & MARGARET L MIMS CHARITABLE TR
EIN: 56-6207750

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	227,400	226,782

**TY 2011 Investments Corporate
Stock Schedule****Name:** ALLAN C & MARGARET L MIMS CHARITABLE TR**EIN:** 56-6207750

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCK	720,816	829,183

TY 2011 Other Increases Schedule

Name: ALLAN C & MARGARET L MIMS CHARITABLE TR

EIN: 56-6207750

Description	Amount
DIFFERENCE BETWEEN TAXABLE INCOME AND ACCOUNTING INCOME	663