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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning

, 2011, and ending

, 20

Name of foundation THE DICKSON FOUNDATION, INC.		A Employer identification number 56-6022339
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) (704) 372-5404
301 SOUTH TRYON STREET SUITE 1800		
City or town, state, and ZIP code CHARLOTTE, NC 28202		C If exemption application is pending, check here ☐
G Check all that apply	Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change ☐	D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	J Accounting method ☐ Cash ☐ Accrual ☒ Other (specify) MODIFIED CASH (Part I, column (d) must be on cash basis)	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 64,619,451.		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)					
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		1,139,159.	1,139,159.		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		282,838.			
b Gross sales price for all assets on line 6a	19,742,959.				
7 Capital gain net income (from Part IV, line 2)			279,083.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)		48,700.	23,880.		ATCH 1
12 Total Add lines 1 through 11		1,470,697.	1,442,122.		
13 Compensation of officers, directors, trustees, etc.		0			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule)		27,205.	6,801.		20,404.
c Other professional fees (attach schedule)					
17 Interest		21,025.	21,025.		
18 Taxes (attach schedule) (see instructions)		45,975.	5,975.		
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) ATCH 3		285,101.	143,150.		141,911.
24 Total operating and administrative expenses. Add lines 13 through 23		379,306.	176,951.		162,315.
25 Contributions, gifts, grants paid		3,116,061.			3,116,061.
26 Total expenses and disbursements. Add lines 24 and 25		3,495,367.	176,951.	0	3,278,376.
27 Subtract line 26 from line 12		-2,024,670.			
a Excess of revenue over expenses and disbursements			1,265,171.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see instructions.

JSA ** ATCH 2

Form 990-PF (2011)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		6,460,068.	5,284,400.	5,284,636.
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule)		877,194.		
	b	Investments - corporate stock (attach schedule)		7,573,711.	10,445,513.	48,443,967.
	c	Investments - corporate bonds (attach schedule)		4,446,326.	5,593,538.	5,661,827.
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 4		6,797,634.	5,601,174.	5,229,021.	
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		26,154,933.	26,924,625.	64,619,451.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒					
	27	Capital stock, trust principal, or current funds		26,154,933.	26,924,625.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		26,154,933.	26,924,625.	
	31	Total liabilities and net assets/fund balances (see instructions)		26,154,933.	26,924,625.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	26,154,933.
2	Enter amount from Part I, line 27a	2	-2,024,670.
3	Other increases not included in line 2 (itemize) ▶ ATTACHMENT 5	3	2,794,362.
4	Add lines 1, 2, and 3	4	26,924,625.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	26,924,625.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	279,083.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	4,348,801.	55,718,652.	0.078049
2009	3,074,226.	48,819,362.	0.062971
2008	2,843,676.	63,000,701.	0.045137
2007	4,481,675.	71,875,198.	0.062354
2006	3,289,119.	63,641,364.	0.051682
2 Total of line 1, column (d)			2 0.300193
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.060039
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 60,342,712.
5 Multiply line 4 by line 3			5 3,622,916.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 12,652.
7 Add lines 5 and 6			7 3,635,568.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 3,278,376.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	25,303.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	25,303.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	25,303.
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	35,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	35,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	9,697.	
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ 9,697. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NC, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A				
14	The books are in care of THE DICKSON FOUNDATION Telephone no 704-372-5404			
Located at 301 SOUTH TRYON STREET, SUITE 1800 ZIP + 4 28202				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ 1b		X
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? ☐ 1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No		
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) ☐ 2b		X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) ☐ 3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐ 4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? ☐ 4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 6		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000 ☐ 2

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATTACHMENT 7		189,215.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	58,560,248.
b	Average of monthly cash balances	1b	2,701,389.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	61,261,637.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) <u>ATTACHMENT 8</u>	1e	3,731,934.
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	61,261,637.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	918,925.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	60,342,712.
6	Minimum investment return. Enter 5% of line 5	6	3,017,136.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	3,017,136.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	25,303.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	25,303.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,991,833.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	2,991,833.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,991,833.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,278,376.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,278,376.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,278,376.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				2,991,833.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only				
b Total for prior years 20 09, 20 08, 20 07				
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010 755,491.				
f Total of lines 3a through e	755,491.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 3,278,376.				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)	755,491.			
d Applied to 2011 distributable amount				2,522,885.
e Remaining amount distributed out of corpus	468,948.			
5 Excess distributions carryover applied to 2011. (If an amount appears in column (d), the same amount must be shown in column (a))				468,948.
6 Enter the net total of each column as indicated below:	1,042,034.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	1,042,034.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011 1,042,034.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 9

b The form in which applications should be submitted and information and materials they should include

LETTER

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO GRANTS TO INDIVIDUALS - PRIMARILY LOCAL GIVING

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year ATTACHMENT 10				
Total				3,116,061.
b Approved for future payment ATTACHMENT 11				
Total				2,675,500.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	1,139,159.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property .					
7	Other investment income	523000	-5,713.	14	23,880.	
8	Gain or (loss) from sales of assets other than inventory	523000	3,756.	18	279,083.	
9	Net income or (loss) from special events . . .					
10	Gross profit or (loss) from sales of inventory . .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e)		-1,957.		1,442,122.	
13	Total. Add line 12, columns (b), (d), and (e)					1,440,165.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of		
(1) Cash	1a(1)	X
(2) Other assets	1a(2)	X
b Other transactions		
(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
(3) Rental of facilities, equipment, or other assets	1b(3)	X
(4) Reimbursement arrangements	1b(4)	X
(5) Loans or loan guarantees	1b(5)	X
(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

► Susan W. Patterson

Nov. 13, 2012

Secretary & Treasurer

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

MICHELE N. MELCHIOR

Preparer's signature

Digitally signed by
Melchior, Michele

Date

11/9/2012

Check ☐ if self-employed

PTIN

P00488037

Firm's name ► GRANT THORNTON LLP

Firm's address ▶ 201 S. COLLEGE ST., STE. 2500
CHARLOTTE, NC

28244

Firm's EIN ► 36-6055558

Phone no 704-632-3500

The Dickson Foundation, Inc.
Election Statement under Regs. §53.4942(a)-3(d)(2)
December 31, 2011

The Dickson Foundation, Inc., Employer Identification No. 56-6022339 , hereby elects under Regs. §53.4942(a)-3(d)(2) to designate \$755,491 of distributions made during 2011, as distributions out of corpus.

Date: Nov. 13, 2012


Susan Patterson, Secretary and Treasurer

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,051,586.		US TRUST ACCT #0895 1,204,760.				P	VAR -153,174.	VAR
153,174.		US TRUST ACCT #0895-WASH SALE LOSS LIMIT				P	VAR 153,174.	VAR
7,994,090.		US TRUST ACCT #0895 8,409,933.				P	VAR -415,843.	VAR
33,897.		US TRUST ACCT #0895 38,498.				P	VAR -4,601.	VAR
4,601.		US TRUST ACCT #0895-WASH SALE LOSS LIMIT				P	VAR 4,601.	VAR
8,845,253.		US TRUST ACCT #0895 8,245,673.				P	VAR 599,580.	VAR
257,688.		UNITED STS COMMODITY 262,297.				P	VAR -4,609.	VAR
14,157.		CAPITAL GAIN DISTRIBUTION				P	VAR 14,157.	VAR
4,233.		EXCELSIOR MULTI STRAT HEDGE FUND TI				P	VAR 4,233.	VAR
19,808.		EXCELSIOR MULTI STRAT HEDGE FUND TI				P	VAR 19,808.	VAR
8,432.		CERBERUS INSTITUTIONAL K-1				P	VAR 8,432.	VAR
		CERBERUS INSTITUTIONAL K-1 1,153.				P	VAR -1,153.	VAR

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		BA GLOBAL K-1 6,974.				P	VAR -6,974.	VAR
		BA GLOBAL K-1 12,349.				P	VAR -12,349.	VAR
28,307.		BA INTERNATIONAL K-1				P	VAR 28,307.	VAR
24,259.		BA INTERNATIONAL K-1				P	VAR 24,259.	VAR
1,172,470.		EXCELSIOR MULT STRAT HEDGE FUND TI 1,147,207.				P	VAR 25,263.	VAR
131,004.		FLAGSHIP-PARK CEDAR 135,032.				P	VAR -4,028.	VAR
TOTAL GAIN(LOSS)							<u>279,083.</u>	

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
OTHER INCOME PER K-1S	16,198.	
OTHER INCOME (NET OF UBI) PER K-1S		21,911.
NON-TAXABLE DISTRIBUTIONS	5,453.	
NON-DIVIDEND DISTRIBUTIONS	25,080.	
MISCELLANEOUS INCOME	1,969.	1,969.
TOTALS	<u>48,700.</u>	<u>23,880.</u>

ATTACHMENT 1

ATTACHMENT 2

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES PAID	5,975.	5,975.
FEDERAL EXCISE TAX	40,000.	
TOTALS	<u>45,975.</u>	<u>5,975.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
MANAGEMENT FEES	189,215.	47,304.	141,911.
OTHER INVESTMENT EXPENSES	3,115.	3,115.	
OTHER INVESTMENT EXP FROM K-1S	92,731.	92,731.	
MISC EXP	40.		
TOTALS	<u>285,101.</u>	<u>143,150.</u>	<u>141,911.</u>

ATTACHMENT 4

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PRIVATE EQUITY FUNDS	1,247,893.	1,326,178.
REITS	2,508,288.	1,767,923.
HEDGE FUNDS	1,844,993.	2,134,920.
 TOTALS	 <u>5,601,174.</u>	 <u>5,229,021.</u>

ATTACHMENT 4

ATTACHMENT 5

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
INCREASE FOR FMV OF DONATED STOCK	2,894,727.
OTHER YEAR-END TIMING DIFFERENCES	-100,365.
TOTAL	<u>2,794,362.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 6

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>			
ALAN T. DICKSON	DIRECTOR, PRESIDENT 1.00	0	0	0
R. STUART DICKSON	DIRECTOR, CHAIRMAN 1.00	0	0	0
RUSH S. DICKSON, III	DIRECTOR, VICE PRESIDENT 1.00	0	0	0
THOMAS W. DICKSON	DIRECTOR, VICE PRESIDENT 1.00	0	0	0
SUSAN PATTERSON	OFFICER, SEC & TREASURER 5.00	0	0	0
JANE W. MARLEY	DIRECTOR 1.00	0	0	0
ALISON M. SCOTT	DIRECTOR 1.00	0	0	0

ATTACHMENT 6

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 6 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>			
CHRISTIAN O. AVERY	DIRECTOR 1.00	0	0	0

GRAND TOTALS

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 7

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
US TRUST COMPANY 525 NORTH TRYON STREET, STE 1900 CHARLOTTE, NC 28202	INVESTMENT MGT	134,215.
RUDDICK CORPORATION 310 SOUTH TRYON STREET, STE 1800 CHARLOTTE, NC 28202	ADMIN SERVICES	55,000.
	TOTAL COMPENSATION	<u>189,215.</u>

FORM 990PF, PART X - REDUCTION CLAIMED FOR BLOCKAGE

THE DICKSON FOUNDATION, INC
MARKET VALUE ANALYSIS OF RUDDICK SHARES
EIN: 56-60223399
December 31, 2011
PART X, LINE 1e

RUDDICK SHARES AT 12/31/2011	\$	875,219
PRICE PER SHARE PER D.H. VALUATION	\$	<u>37.50</u>
WELLS FARGO BANK VALUE	\$	32,820,713
FAIR MARKET VALUE	\$	<u>37,319,338</u>
DISCOUNT BEFORE 10% LIMITATION	A	<u>\$ 4,498,626</u>
DISCOUNT LIMITED TO 10% OF FAIR MARKET VALUE PER IRS CODE SEC. 4942(e)	B	<u><u>\$ 3,731,934</u></u>
BLOCKAGE DISCOUNT (LIMITED TO LESSER OF A OR B)		<u><u>\$ 3,731,934</u></u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

THE DICKSON FOUNDATION, INC.
301 SOUTH TRYON ST., SUITE 1800
CHARLOTTE, NC 28202
704-372-5404

The Dickson Foundation
Contributions Paid During the Year
Part XV, Line 3a
December 31,

RECIPIENT ORGANIZATION	Date Paid	Amount Paid in 2011	RELATIONSHIP	FOUNDATION STATUS
AMERICAN HEART ASSOC	2/23	\$ 2,000 00	None	Exempt
AMER LEGISLATIVE EXCHANGE COUNCIL	12/16	\$ 2,500 00	None	Exempt
AMERICAN RED CROSS	6/6	\$ 25,000 00	None	Exempt
APPALACHIAN REGIONAL HEALTHCARE FDN	8/4	\$ 50,000 00	None	Exempt
APPALACHIAN STATE UNIVERSITY	8/4	\$ 25,000 00	None	Exempt
APPALACHIAN STATE UNIVERSITY	8/4	\$ 20,000 00	None	Exempt
ARTS COUNCIL OF WINSTON-SALEM AND FORSYTH COUNTY	5/5	\$ 5,000.00	None	Exempt
ARTS & SCIENCE ENDOWMENT	6/28	\$ 100,000 00	None	Exempt
ARTS & SCIENCE COUNCIL	6/28	\$ 47,500 00	None	Exempt
BLOWING ROCK ART & HISTORY MUSEUM	5/11	\$ 10,000 00	None	Exempt
THE BLOWING ROCK COMM ARTS CTR FDN	5/5	\$ 50,000.00	None	Exempt
BOY SCOUTS-MECKLENBURG CO	5/5	\$ 15,000 00	None	Exempt
BROOKSTONE SCHOOL	5/5	\$ 5,000 00	None	Exempt
CALDWELL COMMUNITY COLLEGE	12/16	\$ 2,000 00	None	Exempt
CAMPBELL UNIVERSITY-Pharmacy School	8/4	\$ 20,000 00	None	Exempt
CAPE FEAR ACADEMY	8/4	\$ 20,000 00	None	Exempt
CAROLINA RAPTOR CENTER	5/5	\$ 5,000 00	None	Exempt
CAROLINAS FREEDOM FOUNDATION	12/15	\$ 2,500 00	None	Exempt
CAROLINAS HEALTHCARE FOUNDATION	6/28	\$ 100,000 00	None	Exempt
CAROLINAS HEALTHCARE FOUNDATION	6/28	\$ 10,000 00	None	Exempt
CAROLINAS HEALTHCARE FOUNDATION	6/3	\$ 1,000 00	None	Exempt
CAROLINAS HEALTHCARE SYSTEM	6/28	\$ 60,000 00	None	Exempt
CHARLOTTE COUNTRY DAY	5/5	\$ 50,000 00	None	Exempt
COKER COLLEGE	5/5	\$ 15,000.00	None	Exempt
CROSSNORE SCHOOL	5/6	\$ 50,000 00	None	Exempt
CROSSNORE SCHOOL	5/6	\$ 5,000 00	None	Exempt
DORE ACADEMY	5/5	\$ 20,000 00	None	Exempt
DUKE COMPREHENSIVE CANCER CENTER	8/4	\$ 20,000 00	None	Exempt
DUKE UNIVERSITY-Fuqua School of Business	8/4	\$ 25,000 00	None	Exempt
THE ECHO FOUNDATION	12/20	\$ 10,000 00	None	Exempt

The Dickson Foundation
Contributions Paid During the Year
Part XV, Line 3a
December 31,

RECIPIENT ORGANIZATION	Date Paid	Amount Paid in 2011	RELATIONSHIP	FOUNDATION STATUS
THE FLETCHER SCHOOL	5/5	\$ 10,000 00	None	Exempt
FOUNDATION FOR THE CAROLINAS	12/20	\$ 25,000 00	None	Exempt
FFTC - CAROLINA THREAD TRAIL PROJECT	12/20	\$ 50,000 00	None	Exempt
FFTC - CTR FOR PHILANTHROPY/CONF RM	12/20	\$ 5,000 00	None	Exempt
FRIENDSHIP TRAYS	5/5	\$ 5,000 00	None	Exempt
THE GARDEN CONSERVANCY	5/5	\$ 5,000.00	None	Exempt
GARDNER WEBB UNIVERSITY	5/5	\$ 10,000 00	None	Exempt
GASTON COUNTY SENIOR CENTER	5/5	\$ 5,000 00	None	Exempt
GASTON DAY SCHOOL	5/5	\$ 10,000 00	None	Exempt
GOV LUTHER HODGES BIOGRAPHY PROJECT	5/18	\$ 3,000 00	None	Exempt
GREATER BANNER ELK HERITAGE FDN	5/5	\$ 5,000 00	None	Exempt
GREATER ENRICHMENT PROGRAM	12/15	\$ 5,000 00	None	Exempt
HABITAT FOR HUMANITY OF CHARLOTTE	5/5	\$ 10,000 00	None	Exempt
HIGH COUNTRY SOCCER ASSOC (Boone)	5/5	\$ 20,000 00	None	Exempt
HOLLINS UNIVERSITY-Endowment Campaign	6/28	\$ 60,000 00	None	Exempt
HOSPICE AT CHARLOTTE-Homecoming House	6/28	\$ 100,000 00	None	Exempt
HOSPICE - TIDELANDS COMMUNITY HOSPICE	5/5	\$ 5,000 00	None	Exempt
HOSPICE OF UNION COUNTY	5/5	\$ 5,000 00	None	Exempt
HOSPITALITY HOUSE (Boone)	5/5	\$ 15,000 00	None	Exempt
HOSPITALITY HOUSE OF CHARLOTTE	5/5	\$ 25,000 00	None	Exempt
THE INSTITUTE FOR THE PUBLIC TRUST	4/1	\$ 12,500 00	None	Exempt
THE JESSE HELMS CENTER	3/31	\$ 5,000 00	None	Exempt
THE KING'S KITCHEN	12/7	\$ 5,000 00	None	Exempt
LEVINE MUSEUM OF THE NEW SOUTH	5/5	\$ 20,000 00	None	Exempt
LYNNWOOD FDN-Duke Mansion Endowment	6/28	\$ 50,000 00	None	Exempt
MCCALLIE SCHOOL-Dormitory	6/28	\$ 200,000 00	None	Exempt
MCCALLIE SCHOOL-Dormitory	6/28	\$ 50,000 00	None	Exempt
MEDICAL UNIV OF SOUTH CAROLINA	8/4	\$ 25,000 00	None	Exempt
MEMORIAL SLOAN KETTERING	8/4	\$ 20,000 00	None	Exempt
MINT MUSEUM	3/31	\$ 10,000 00	None	Exempt
MINT MUSEUM	3/31	\$ 5,000 00	None	Exempt

The Dickson Foundation
Contributions Paid During the Year
Part XV, Line 3a
December 31,

RECIPIENT ORGANIZATION	Date Paid	Amount Paid in 2011	RELATIONSHIP	FOUNDATION STATUS
NC COASTAL FEDERATION	5/5	\$ 10,000 00	None	Exempt
NC DANCE THEATRE	5/5	\$ 20,000 00	None	Exempt
NC MUSEUM OF ART	8/11	\$ 50,000 00	None	Exempt
NC NATURE CONSERVANCY	5/5	\$ 40,000 00	None	Exempt
NC OUTWARD BOUND	5/5	\$ 8,000 00	None	Exempt
NC STATE UNIVERSITY	8/5	\$ 50,000 00	None	Exempt
NC STATE UNIVERSITY-Chancellor's Residence	8/5	\$ 50,000 00	None	Exempt
NC TEXTILE FOUNDATION	8/5	\$ 20,000 00	None	Exempt
OPERA CAROLINA	3/31	\$ 68,000 00	None	Exempt
PACK SQUARE CONSERVANCY (Asheville)	5/5	\$ 5,000 00	None	Exempt
PALISADES EPISCOPAL SCHOOL	5/5	\$ 25,000 00	None	Exempt
QUEENS UNIVERSITY	6/28	\$ 50,000 00	None	Exempt
ST MARY'S SCHOOL (Raleigh)	6/28	\$ 100,000.00	None	Exempt
SALEM ACADEMY & COLLEGE	8/5	\$ 20,000 00	None	Exempt
SALT BLOCK (Hickory)	5/5	\$ 5,000 00	None	Exempt
SAMARITAN HOUSE	4/1	\$ 10,000 00	None	Exempt
SOUTHEASTERN COUNCIL OF FDNS	12/14	\$ 6,500 00	None	Exempt
TEACH FOR AMERICA	5/12	\$ 10,000 00	None	Exempt
THOMPSON CHILD AND FAMILY FOCUS	12/21	\$ 50,000 00	None	Exempt
TRINITY EPISCOPAL SCHOOL (Charlotte)	8/5	\$ 40,000 00	None	Exempt
UNION THEOLOGICAL SEMINARY	12/15	\$ 117,861 00	None	Exempt
UNITED CEREBRAL PALSY/EASTER SEALS	5/5	\$ 5,000 00	None	Exempt
UNCF/JCSU (bal of '10 sustaining gift)	3/23	\$ 1,000 00	None	Exempt
UNITED WAY OF THE CAROLINAS	5/5	\$ 40,000 00	None	Exempt
UNC PRESS	5/5	\$ 20,000 00	None	Exempt
UNC-CH STUDY ABROAD PROGRAM	12/15	\$ 50,000 00	None	Exempt
UNC-CHARLOTTE	8/8	\$ 75,000 00	None	Exempt
UNC - GREENSBORO	8/8	\$ 83,400 00	None	Exempt
UNC-WILMINGTON	8/8	\$ 20,000 00	None	Exempt
UNIVERSITY OF THE SOUTH	5/5	\$ 5,000 00	None	Exempt
URBAN MINISTRY CENTER	5/5	\$ 5,000 00	None	Exempt

The Dickson Foundation
Contributions Paid During the Year
Part XV, Line 3a
December 31,

RECIPIENT ORGANIZATION	Date Paid	Amount Paid in 2011	RELATIONSHIP	FOUNDATION STATUS
WING HAVEN	4/1	\$ 50,000 00	None	Exempt
YMCA AVERY COUNTY	6/28	\$ 100,000 00	None	Exempt
YMCA AVERY COUNTY	6/28	\$ 25,000 00	None	Exempt
YMCA OF GREATER CHARLOTTE	6/28	\$ 75,000 00	None	Exempt
YMCA EAST LINCOLN COUNTY - SALLY'S Y	5/5	\$ 5,000 00	None	Exempt
YMCA OF NORTHWEST NC (Winston-Salem)	5/5	\$ 6,250 00	None	Exempt
YWCA CENTRAL CAROLINAS (Charlotte)	5/5	\$ 10,000 00	None	Exempt
TOTAL PLEDGES		\$ 2,884,011 00		

SUSTAINING GIFTS

RELIGIOUS

ALL SAINTS MISSION	6/3	\$ 1,000 00	None	Exempt
FIRST PRESBYTERIAN CHURCH, Charlotte for TV Fund	12/16	\$ 1,000 00	None	Exempt
MYERS PARK BAPTIST CHURCH	12/16	\$ 1,000 00	None	Exempt
JACOB'S LADDER JOB CENTER (Seigle Ave Presby Church)	12/16	\$ 2,000 00	None	Exempt

EDUCATIONAL

A&T UNIVERSITY FOUNDATION	12/16	\$ 1,000 00	None	Exempt
BELMONT ABBEY COLLEGE-BRADLEY INSTITUTE	12/16	\$ 1,000 00	None	Exempt
CAMPBELL UNIVERSITY	12/16	\$ 1,000 00	None	Exempt
CATAWBA COLLEGE	12/16	\$ 1,000 00	None	Exempt
CENTRAL PIEDMONT COMMUNITY COLLEGE	12/16	\$ 2,000 00	None	Exempt
CPCC FOUNDATION, INC	6/3	\$ 1,000 00	None	Exempt
CHARLOTTE COUNTRY DAY SCHOOL	5/5	\$ 2,000 00	None	Exempt
CHARLOTTE LATIN	12/16	\$ 1,000 00	None	Exempt
THE CITADEL FOUNDATION	12/16	\$ 1,000 00	None	Exempt
COLORADO SPRINGS SCHOOL	12/16	\$ 1,000 00	None	Exempt
COUNCIL FOR ECONOMIC EDUCATION	12/16	\$ 2,000 00	None	Exempt
CROSSNORE SCHOOL, INC	12/16	\$ 2,000 00	None	Exempt
CULVER MILITARY ACADEMY	12/16	\$ 1,000 00	None	Exempt
DARDEN SCHOOL SPONSORS (GRAD SCHOOL)	12/16	\$ 1,000 00	None	Exempt
DAVIDSON COLLEGE	12/16	\$ 2,000 00	None	Exempt
DUKE UNIVERSITY-THE DIVINITY SCHOOL	12/16	\$ 1,000 00	None	Exempt
DUKE UNIVERSITY-THE FUQUA SCHOOL	12/16	\$ 2,000 00	None	Exempt
ELON UNIVERSITY	12/16	\$ 1,000 00	None	Exempt
EPISCOPAL HIGH SCHOOL	12/16	\$ 1,000 00	None	Exempt
THE EPISCOPAL MEDIA CENTER	12/16	\$ 1,000 00	None	Exempt
THE FLETCHER SCHOOL	12/16	\$ 2,000 00	None	Exempt
FURMAN UNIVERSITY	12/16	\$ 1,000 00	None	Exempt
GARDNER-WEBB COLLEGE	12/16	\$ 1,000 00	None	Exempt
HARVARD BUSINESS SCHOOL FUND	12/16	\$ 1,000 00	None	Exempt
HOLLINS COLLEGE	12/16	\$ 1,000 00	None	Exempt
INDEPENDENT COLLEGE FUND OF NC	12/15	\$ 5,000 00	None	Exempt
JOHNSON C SMITH UNIVERSITY	12/16	\$ 1,000 00	None	Exempt
LEES MCRAE COLLEGE	12/16	\$ 1,000 00	None	Exempt
LENOIR RHYNE UNIVERSITY	12/16	\$ 1,000 00	None	Exempt
LENOIR RHYNE UNIV-PIEDMONT EDUCATIONAL FDN	12/16	\$ 300 00	None	Exempt
MARS HILL COLLEGE	12/16	\$ 1,000 00	None	Exempt
THE MCCALLIE SCHOOL	12/9	\$ 3,000.00	None	Exempt
MEREDITH COLLEGE	12/16	\$ 1,000.00	None	Exempt

The Dickson Foundation
Contributions Paid During the Year
Part XV, Line 3a
December 31,

RECIPIENT ORGANIZATION	Date Paid	Amount Paid in 2011	RELATIONSHIP	FOUNDATION STATUS
NC ACADEMY OF SCIENCE	12/16	\$ 100 00	None	Exempt
NC CENTRAL UNIVERSITY FOUNDATION	12/16	\$ 1,000 00	None	Exempt
NC COUNCIL ON ECONOMIC EDUCATION	12/16	\$ 2,000 00	None	Exempt
NC COUNCIL OF TEACHERS OF MATH	12/16	\$ 500.00	None	Exempt
NC OUTWARD BOUND SCHOOL	12/16	\$ 2,000.00	None	Exempt
NC SCHOOL OF THE ARTS	12/16	\$ 1,000 00	None	Exempt
NC STATE UNIV STUDENT AID ASSOC	6/6	\$ 2,500 00	None	Exempt
PFEIFFER UNIVERSITY	12/16	\$ 1,000 00	None	Exempt
PROVIDENCE DAY SCHOOL	12/16	\$ 1,000 00	None	Exempt
QUEENS UNIVERSITY	12/16	\$ 1,000 00	None	Exempt
ST ANDREWS PRESBYTERIAN COLLEGE	12/16	\$ 1,000 00	None	Exempt
SALEM ACADEMY & COLLEGE	12/16	\$ 1,000 00	None	Exempt
SC COUNCIL ON ECONOMIC EDUCATION	12/16	\$ 500 00	None	Exempt
SC INDEPENDENT COLLEGES & UNIVERSITIES	12/16	\$ 2,000 00	None	Exempt
SOUTH KENT SCHOOL	12/16	\$ 1,000 00	None	Exempt
SOUTHEASTERN BAPTIST THEOLOGICAL SEMINARY	12/16	\$ 2,000 00	None	Exempt
STEPHENS COLLEGE	12/16	\$ 1,000 00	None	Exempt
UNITED NEGRO COLLEGE FUND / JCSU	12/16	\$ 2,000 00	None	Exempt
UNC-CH DENTAL SCHOOL-Jacob B. Freedland Chair in Endo	12/16	\$ 1,000 00	None	Exempt
UNC-CH EDUCATIONAL FDN	6/6	\$ 2,500 00	None	Exempt
UNC-CH KENAN-FLAGLER BUSINESS SCHOOL	12/16	\$ 1,000 00	None	Exempt
UNC-CH KENAN-FLAGLER BUSINESS SCHOOL FDN	12/16	\$ 1,500 00	None	Exempt
UNC-CH LINEBERGER CANCER RESEARCH CTR	12/16	\$ 1,000 00	None	Exempt
UNC-CH MEDICAL FDN OF NC (CAROLINA MED FAMILIES FUND)	12/16	\$ 1,000 00	None	Exempt
UNCC ATHLETIC FOUNDATION	6/3	\$ 1,000 00	None	Exempt
UNCC BELK COLLEGE OF BUSINESS ADMIN	12/16	\$ 1,000 00	None	Exempt
UNCC FDN (CHANCELLOR'S FUND)	12/16	\$ 1,000 00	None	Exempt
UNC-GREENSBORO	12/16	\$ 1,000 00	None	Exempt
USBIC EDUCATIONAL FOUNDATION	12/16	\$ 1,000 00	None	Exempt
VANDERBILT UNIV OWEN GRAD SCHOOL	12/16	\$ 1,000 00	None	Exempt
VA COLLEGE FUND	12/16	\$ 1,000 00	None	Exempt
VA FOUND FOR INDEPENDENT COLLEGES	12/16	\$ 2,500 00	None	Exempt
WAKE FOREST UNIV/BABCOCK GRAD SCH OF MGMT	12/16	\$ 1,000 00	None	Exempt
WAKE FOREST UNIVERSITY/DIVINITY SCHOOL	12/16	\$ 1,000 00	None	Exempt
WASHINGTON & LEE UNIVERSITY	12/16	\$ 1,200 00	None	Exempt
THE WEBB SCHOOL	12/16	\$ 1,000 00	None	Exempt
WINGATE COLLEGE	12/16	\$ 1,000 00	None	Exempt
WINTHROP UNIVERSITY	12/16	\$ 1,000 00	None	Exempt
CHARITABLE				
ALEXANDER YOUTH NETWORK	12/16	\$ 1,500 00	None	Exempt
AMERICAN CANCER SOCIETY	12/16	\$ 1,000 00	None	Exempt
AMERICAN CANCER SOCIETY (RED SWORD BALL)	12/16	\$ 1,000 00	None	Exempt
AMERICAN ENTERPRISE INSTITUTE	12/16	\$ 1,500 00	None	Exempt
AMERICAN FRIENDS SERVICE COMMITTEE	12/16	\$ 300 00	None	Exempt
AMERICAN HEART ASSOC. OF CHAR /MECK	12/16	\$ 500 00	None	Exempt
AMERICAN MISSIONARY FELLOWSHIP	12/16	\$ 1,000 00	None	Exempt
AMERICAN RED CROSS	12/16	\$ 2,500 00	None	Exempt
AMERICAN SKIN ASSOC	12/16	\$ 1,000.00	None	Exempt
ANTIOCH VOLUNTEER FIRE DEPT	12/16	\$ 100 00	None	Exempt
AVERY COMMUNITY FOUNDATION	12/16	\$ 1,000 00	None	Exempt
BAPTIST CHILDREN'S HOME OF NC	12/16	\$ 1,000.00	None	Exempt
BOYS AND GIRLS CLUBS OF GREATER CHARLOTTE	12/16	\$ 1,000 00	None	Exempt
BOYS & GIRLS HOME OF NC	12/16	\$ 500 00	None	Exempt
CANNON SLOOP HEALTHCARE FOUNDATION	12/16	\$ 1,000 00	None	Exempt
CAROLINAS HEALTHCARE FDN-THE AMETHYST	12/16	\$ 1,000 00	None	Exempt
CHARLOTTE CHILDREN'S CHOIR	12/16	\$ 500 00	None	Exempt
CHARLOTTE RESCUE MISSION	12/16	\$ 1,500 00	None	Exempt
CHARLOTTE SYMPHONY ORCHESTRA	12/16	\$ 1,200 00	None	Exempt
CHILD CARE RESOURCES	12/16	\$ 1,000 00	None	Exempt
CHILDREN'S HOME SOCIETY OF NC-GREENSBORO	12/16	\$ 1,200 00	None	Exempt

The Dickson Foundation
Contributions Paid During the Year
Part XV, Line 3a
December 31,

RECIPIENT ORGANIZATION	Date Paid	Amount Paid in 2011	RELATIONSHIP	FOUNDATION STATUS
CHILDREN'S MIRACLE NETWORK TELETHON	12/16	\$ 1,000 00	None	Exempt
CHILDREN'S THEATRE OF CHARLOTTE	5/6	\$ 5,000 00	None	Exempt
CHRISTIAN RECORD SERVICES FOR THE BLIND	12/16	\$ 2,000 00	None	Exempt
CIVIL AIR PATROL-111TH SEARCH & RESCUE	12/16	\$ 250 00	None	Exempt
CLASSICAL AMERICAN HOMES PRESERVATION TRUST	12/16	\$ 1,000 00	None	Exempt
COLONIAL WILLIAMSBURG FDN	12/16	\$ 500 00	None	Exempt
COMMITTEE FOR ECONOMIC DEVELOPMENT	12/16	\$ 500 00	None	Exempt
THE CONSERVATION FUND	12/16	\$ 1,000.00	None	Exempt
CRISIS ASSISTANCE MINISTRY	12/16	\$ 2,000 00	None	Exempt
DAY 1 (formerly Protestant Radio & TV Ctr)	12/16	\$ 200 00	None	Exempt
THE DILWORTH CENTER FOR CHEMICAL DEPENDENCY	12/16	\$ 1,000 00	None	Exempt
DISCOVERY PLACE (formerly Science Museums of Charlotte)	12/16	\$ 1,000 00	None	Exempt
DUCKS UNLIMITED	12/16	\$ 300 00	None	Exempt
DUKE COMPREHENSIVE CANCER CENTER	12/16	\$ 1,000 00	None	Exempt
ELON HOMES FOR CHILDREN	12/16	\$ 500 00	None	Exempt
FRIENDS OF OLD SALEM	12/16	\$ 500 00	None	Exempt
FRIENDS OF THE BLUE RIDGE PARKWAY	12/16	\$ 1,000 00	None	Exempt
GOODFELLOWS CLUB	12/16	\$ 500 00	None	Exempt
HIGH COUNTRY UNITED WAY-BOONE	12/16	\$ 1,000 00	None	Exempt
HISTORIC PRESERVATION FOUNDATION OF NC	12/13	\$ 3,000 00	None	Exempt
HISTORIC RICEFIELDS ASSOCIATION	12/16	\$ 1,000 00	None	Exempt
HISTORIC ROSEDALE	12/16	\$ 1,000 00	None	Exempt
HORNETS' NEST GIRL SCOUT COUNCIL	12/16	\$ 1,000 00	None	Exempt
HOSPICE AT CHARLOTTE	12/16	\$ 1,000 00	None	Exempt
HOSPITALITY HOUSE OF CHARLOTTE	12/9	\$ 3,000.00	None	Exempt
JUNIOR ACHIEVEMENT OF CHARLOTTE	12/13	\$ 3,000 00	None	Exempt
JUVENILE DIABETES FOUNDATION INT'L	12/16	\$ 1,000 00	None	Exempt
KINDERMOURN	12/16	\$ 1,000 00	None	Exempt
LEARNING ALLY (formerly Recording for the Blind)	12/16	\$ 1,000 00	None	Exempt
LEVINE MUSEUM OF THE NEW SOUTH	12/16	\$ 1,000 00	None	Exempt
LINVILLE FOUNDATION	12/21	\$ 5,000 00	None	Exempt
MAKE-A-WISH FOUNDATION	12/16	\$ 1,000 00	None	Exempt
MARCH OF DIMES-GREATER PIEDMONT CHAPTER	12/16	\$ 200 00	None	Exempt
MCGILL ROSE GARDEN	12/16	\$ 500 00	None	Exempt
MEMORIAL SLOAN-KETTERING CANCER CENTER	12/16	\$ 2,000 00	None	Exempt
MERCY HOSPITAL FUND	12/16	\$ 1,000 00	None	Exempt
MINT MUSEUM	3/31	\$ 2,000 00	None	Exempt
MOORE REGIONAL HOSPITAL FOUNDATION	12/16	\$ 500 00	None	Exempt
MUSCULAR DYSTROPHY ASSOC OF AMERICA	12/16	\$ 500 00	None	Exempt
NATIONAL KIDNEY FDN OF NC/MECK CHAPTER	12/16	\$ 1,000 00	None	Exempt
NATIONAL CIVIC LEAGUE	12/16	\$ 100 00	None	Exempt
NATIONAL 4-H COUNCIL	12/16	\$ 1,000 00	None	Exempt
NAT'L MULTIPLE SCLEROSIS SOCIETY MID-ATLANTIC CHAPTER	12/16	\$ 500 00	None	Exempt
NAT'L RIGHT TO WORK LEGAL DEFENSE FDN	12/16	\$ 1,000 00	None	Exempt
NAT'L TRUST FOR HISTORIC PRESERVATION	12/16	\$ 1,000 00	None	Exempt
THE NATURE CONSERVANCY-NC CHAPTER	12/16	\$ 1,000 00	None	Exempt
NC CENTER FOR PUBLIC POLICY RESEARCH	12/16	\$ 500 00	None	Exempt
NC CHAPTER ARTHRITIS FOUNDATION	12/16	\$ 100 00	None	Exempt
NC DANCE THEATRE	12/16	\$ 2,000 00	None	Exempt
NC LITERARY & HISTORICAL ASSOC	12/16	\$ 100 00	None	Exempt
NC MUSEUM OF ART	12/16	\$ 1,000 00	None	Exempt
NC MUSEUM OF HISTORY ASSOCIATES	12/16	\$ 500 00	None	Exempt
NC SYMPHONY	12/16	\$ 1,000 00	None	Exempt
NC WILDLIFE HABITAT FOUNDATION	12/16	\$ 1,000 00	None	Exempt
NRA FOUNDATION ENDOWMENT	12/16	\$ 1,000 00	None	Exempt
OPERA CAROLINA	12/16	\$ 1,000 00	None	Exempt
PRESBYTERIAN HOSPITAL FOUNDATION	12/16	\$ 1,000 00	None	Exempt
PREVENT BLINDNESS NORTH CAROLINA	12/16	\$ 500 00	None	Exempt
REYNOLDA HOUSE MUSEUM OF AMERICAN ART	12/16	\$ 1,000 00	None	Exempt
SALVATION ARMY CHRISTMAS FUND	12/16	\$ 200 00	None	Exempt
THE SHEPHERD'S CENTER OF CHARLOTTE	12/16	\$ 1,500 00	None	Exempt
SHRINERS HOSPITAL FOR CHILDREN	12/16	\$ 500 00	None	Exempt

The Dickson Foundation
Contributions Paid During the Year
Part XV, Line 3a
December 31,

RECIPIENT ORGANIZATION	Date Paid	Amount Paid in 2011	RELATIONSHIP	FOUNDATION STATUS
SOUTHEASTERN LEGAL FOUNDATION	12/16	\$ 1,500 00	None	Exempt
SOUTHMINSTER ENDOWMENT	10/3	\$ 5,000 00	None	Exempt
TAX FOUNDATION, INC	12/16	\$ 500.00	None	Exempt
TROUT UNLIMITED-ROCKY RIVER CHAPTER	12/16	\$ 1,000.00	None	Exempt
UNION COUNTY CRISIS ASSISTANCE MINISTRY	12/16	\$ 500.00	None	Exempt
UNC PUBLIC TV-READING RAINBOW BOOK CLUB	12/13	\$ 3,000 00	None	Exempt
WING HAVEN FOUNDATION	12/16	\$ 1,000 00	None	Exempt
YMCA-CATAWBA COUNTY, HICKORY	12/16	\$ 2,000 00	None	Exempt
YMCA-GARNER ROAD, RALEIGH	12/16	\$ 200 00	None	Exempt
TOTAL SUSTAINING GIFTS		<u>\$ 201,050 00</u>		
OTHER/MEMORIALS				
COMMUNITY FDN OF BURKE CO-Wm Joe Patton	6/3	\$ 500 00	None	Exempt
HEINEMAN MED RESEARCH-Pete Sloan	12/14	\$ 1,000.00	None	Exempt
LINVILLE FOUNDATION-Walter McRae, Jr	6/3	\$ 500.00	None	Exempt
LINVILLE FOUNDATION-Dan Japhet	6/3	\$ 500.00	None	Exempt
LINVILLE FOUNDATION-Wm Houston Blount	6/3	\$ 1,000 00	None	Exempt
LINVILLE FOUNDATION-Wm Wade Wood	6/3	\$ 1,000 00	None	Exempt
LINVILLE FOUNDATION-Nancy Cramer	12/14	\$ 1,000 00	None	Exempt
SOUTHMINSTER FDN-Wm Van Allen	6/3	\$ 500 00	None	Exempt
UNITED WAY OF CENTRAL ALABAMA-Hall Thompson	6/3	\$ 500 00	None	Exempt
TOTAL MEMORIALS		<u>\$ 6,500 00</u>		
SCHOLARSHIPS				
DUKE UNIVERSITY - DIVINITY	12/21	\$ 4,500 00	None	Exempt
NC STATE UNIVERSITY	12/15	\$ 20,000 00	None	Exempt
TOTAL SCHOLARSHIPS		<u>\$ 24,500.00</u>		
TOTALS		<u>\$ 3,116,061 00</u>		

THE DICKSON FOUNDATION
Future Pledges Approved in 2011

COMMITMENT	PLEDGED AMOUNT
ALLEGRO FOUNDATION	20,000
APPALACHIAN REGIONAL HEALTHCARE FDN	5,000
ARTS & SCIENCE COUNCIL	50,000
AVERY COUNTY HUMANE SOCIETY	50,000
BOY SCOUTS-OLD HICKORY COUNCIL	25,000
CATAWBA LANDS CONSERVANCY	3,000
CATHERINE'S HOUSE	5,000
CHARLOTTE BRIDGE HOME	50,000
THE CHILDREN'S HOME	25,000
CHILDREN'S SCHOLARSHIP FUND	20,000
CROSSNORE SCHOOL	50,000
CULVER ACADEMIES	500,000
DARDEN SCHOOL OF BUSINESS	250,000
DUKE COMPREHENSIVE CANCER CENTER	200,000
EPILEPSY FOUNDATION	2,500
FOUNDATION FOR THE CAROLINAS	100,000
GOVERNOR'S SCHOOL FOUNDATION	10,000
GRAMEEN AMERICA	25,000
HEINEMAN MEDICAL RESEARCH	240,000
HOLLINS UNIVERSITY	25,000
HOOD THEOLOGICAL SEMINARY	100,000
THE INSTITUTE FOR THE PUBLIC TRUST	25,000
LIFESPAN	25,000
LINCOLN CULTURAL CENTER	5,000
MOUNT OLIVE COLLEGE	10,000
NC COASTAL LAND TRUST	10,000
QUEENS UNIVERSITY	500,000
THE SCHIELE MUSEUM	50,000
TEACHING FELLOWS INSTITUTE	30,000
TRINITY EPISCOPAL SCHOOL	25,000
UNITED FAMILY SERVICES	100,000
UNITED WAY OF THE CAROLINAS	40,000
UNIVERSITY HIGH SCHOOL	100,000
TOTAL FUTURE PLEDGES	2,675,500



Bank of America Private Wealth Management

IM DICKSON FOUNDATION INC

2011 Tax Information Letter

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Proceeds From Broker Transactions

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
APPLE COMPUTER INC	037833100	8	12/01/10	01/05/11	\$2,663.46	\$2,537.23	\$126.23
GLAXO WELLCOME PLC SPONSORED ADR	37733W105	24	09/27/10	01/13/11	\$933.39	\$966.27	\$-32.88
XCEL ENERGY INC	98389B100	354	04/29/10	01/13/11	\$8,299.14	\$7,623.57	\$675.57
XCEL ENERGY INC	98389B100	44	05/18/10	01/13/11	\$1,030.91	\$953.23	\$77.68
XCEL ENERGY INC	98389B100	417	04/29/10	01/13/11	\$9,770.23	\$8,980.30	\$789.93
GLAXO WELLCOME PLC SPONSORED ADR	37733W105	6	09/24/10	01/13/11	\$233.35	\$241.94	\$-8.59
GLAXO WELLCOME PLC SPONSORED ADR	37733W105	273	09/27/10	01/13/11	\$10,617.34	\$11,030.97	\$-413.63
GLAXO WELLCOME PLC SPONSORED ADR	37733W105	129	09/24/10	01/13/11	\$5,016.98	\$5,218.24	\$-201.26
XCEL ENERGY INC	98389B100	211	05/18/10	01/14/11	\$4,951.33	\$4,543.65	\$407.68
XCEL ENERGY INC	98389B100	67	04/29/10	01/14/11	\$1,572.22	\$1,442.88	\$129.34
XCEL ENERGY INC	98389B100	541	05/17/10	01/14/11	\$12,695.11	\$11,592.93	\$1,102.18
GOOGLE INC CL A	38259P508	4	12/01/10	01/19/11	\$2,537.63	\$2,276.76	\$260.87
FRANKLIN RESOURCES INC	354613101	15	10/13/10	01/19/11	\$1,778.30	\$1,765.42	\$12.88
EMC CORPORATION	268648102	66	03/16/10	01/19/11	\$1,600.59	\$1,242.70	\$357.89
PRICELINE COM INC	741503403	3	12/03/10	01/19/11	\$1,296.32	\$1,232.99	\$63.33
BAIDU COM INC SPONSORED ADR REPSTG	056752108	16	12/03/10	01/19/11	\$1,710.58	\$1,746.16	\$-35.58
F5 NETWORKS INC	315616102	97	12/17/10	01/20/11	\$10,634.06	\$13,282.52	\$-2,648.46
F5 NETWORKS INC	315616102	1	12/17/10	01/20/11	\$108.17	\$136.93	\$-28.76

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
DE LA RUE PLC	B3DGH82#3	200	02/26/10	01/26/11	\$2,246.16	\$2,877.52	\$-631.36
ILLUMINA INC	452327109	11	02/11/10	02/03/11	\$769.88	\$404.11	\$365.77
EOG RES INC	26875P101	11	06/21/10	02/03/11	\$1,167.74	\$1,248.49	\$-80.75
EOG RES INC	26875P101	9	06/21/10	02/03/11	\$954.42	\$1,016.42	\$-61.00
SALESFORCE COM INC	79466L302	17	10/07/10	02/03/11	\$2,274.75	\$1,761.45	\$513.30
APPLE COMPUTER INC	037833100	6	12/01/10	02/03/11	\$2,047.27	\$1,902.92	\$144.35
BAIDU COM INC SPONSORED ADR REPSTG	056752108	33	12/03/10	02/03/11	\$3,872.36	\$3,601.46	\$270.90
BAIDU COM INC SPONSORED ADR REPSTG	056752108	13	12/01/10	02/03/11	\$1,525.48	\$1,391.12	\$134.36
EOG RES INC	26875P101	5	06/21/10	02/03/11	\$530.79	\$556.90	\$-26.11
LULULEMON ATHLETICA INC	550021109	13	04/30/10	02/03/11	\$935.07	\$502.95	\$432.12
LULULEMON ATHLETICA INC	550021109	26	04/30/10	02/03/11	\$1,885.77	\$1,005.89	\$879.88
PRICELINE COM INC	741503403	5	12/03/10	02/03/11	\$2,183.14	\$2,054.97	\$128.17
PRICELINE COM INC	741503403	1	12/20/10	02/03/11	\$436.63	\$402.57	\$34.06
PRICELINE COM INC	741503403	1	12/17/10	02/03/11	\$436.62	\$402.09	\$34.53
CME GROUP INC	12572Q105	3	05/30/10	02/08/11	\$901.67	\$820.58	\$81.09
CME GROUP INC	12572Q105	18	02/11/10	02/08/11	\$5,410.01	\$5,131.84	\$278.17
CME GROUP INC	12572Q105	3	06/25/10	02/08/11	\$901.67	\$891.62	\$10.05
CME GROUP INC	12572Q105	2	07/15/10	02/08/11	\$601.11	\$647.10	\$-45.99
CME GROUP INC	12572Q105	3	10/07/10	02/08/11	\$901.67	\$797.10	\$104.57
CME GROUP INC	12572Q105	20	09/22/10	02/08/11	\$6,011.12	\$5,372.11	\$639.01
BAIDU COM INC SPONSORED ADR REPSTG	056752108	22	12/01/10	02/08/11	\$2,613.20	\$2,354.19	\$259.01
AKAMAI TECHNOLOGIES INC	00971T101	24	08/05/10	02/09/11	\$1,150.81	\$928.51	\$222.30
AKAMAI TECHNOLOGIES INC	00971T101	52	10/07/10	02/09/11	\$2,493.42	\$2,296.05	\$197.37
AKAMAI TECHNOLOGIES INC	00971T101	75	01/19/11	02/09/11	\$3,596.29	\$3,883.89	\$-287.60
AKAMAI TECHNOLOGIES INC	00971T101	7	01/05/11	02/09/11	\$335.65	\$339.57	\$-3.92
FMC TECHNOLOGIES INC	30249U101	6	05/24/10	02/09/11	\$338.26	\$347.05	\$191.21

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
FMC TECHNOLOGIES INC	30249U101	54	05/24/10	02/10/11	\$4,838.40	\$3,123.43	\$1,714.97
CME GROUP INC	12572Q105	3	08/31/10	02/15/11	\$891.43	\$740.16	\$151.27
FORD MTR CO CAP TR II CONV PFD	345395206	367	07/29/10	02/16/11	\$18,698.29	\$17,253.20	\$1,445.09
CME GROUP INC	12572Q105	3	08/31/10	02/16/11	\$887.72	\$740.16	\$147.56
CME GROUP INC	12572Q105	17	08/31/10	02/16/11	\$5,009.09	\$4,194.22	\$814.87
EOG RES INC	26875P101	39	06/22/10	02/18/11	\$4,238.85	\$4,264.08	\$-25.23
EOG RES INC	26875P101	11	06/18/10	02/18/11	\$1,195.57	\$1,212.33	\$-16.76
EOG RES INC	26875P101	7	06/18/10	02/18/11	\$760.82	\$771.60	\$-10.78
BAIDU COM INC SPONSORED ADR REPSTG	056752108	18	12/01/10	02/18/11	\$2,296.93	\$1,926.16	\$370.77
BAIDU COM INC SPONSORED ADR REPSTG	056752108	2	12/14/10	02/18/11	\$255.21	\$213.47	\$41.74
NETFLIX COM INC	64110L106	3	12/28/10	02/18/11	\$707.09	\$550.21	\$156.88
NETFLIX COM INC	64110L106	16	01/19/11	02/18/11	\$3,771.13	\$3,059.23	\$711.90
EOG RES INC	26875P101	104	06/21/10	02/18/11	\$11,303.59	\$11,583.49	\$-279.90
EOG RES INC	26875P101	80	06/22/10	02/28/11	\$8,965.52	\$8,746.84	\$218.68
PRICELINE COM INC	741503403	4	12/17/10	02/28/11	\$1,822.85	\$1,608.36	\$214.49
PRICELINE COM INC	741503403	3	12/20/10	02/28/11	\$1,367.14	\$1,205.92	\$161.22
EOG RES INC	26875P101	66	06/25/10	02/28/11	\$7,396.55	\$7,102.17	\$294.38
EOG RES INC	26875P101	5	07/13/10	02/28/11	\$560.34	\$537.63	\$22.71
PRICELINE COM INC	741503403	16	12/17/10	02/28/11	\$7,291.38	\$6,432.05	\$859.33
EOG RES INC	26875P101	3	06/28/10	02/28/11	\$336.21	\$317.36	\$18.85
MOLSON COORS BREWING CO CL B	60871R209	40	12/08/10	03/04/11	\$1,768.37	\$1,978.81	\$-210.44
MOLSON COORS BREWING CO CL B	60871R209	50	12/08/10	03/04/11	\$2,216.62	\$2,473.51	\$-256.89
SCHLUMBERGER LTD	806857108	272	02/11/11	03/04/11	\$24,735.29	\$24,549.36	\$185.93
PHILIP MORRIS INTL INC	718172109	391	02/11/11	03/04/11	\$24,726.83	\$23,047.50	\$1,679.33
MICROSOFT CORP	594918104	110	01/07/11	03/04/11	\$2,860.14	\$3,153.15	\$-293.01
MOLSON COORS BREWING CO CL B	60871R209	28	12/06/10	03/04/11	\$1,242.39	\$1,372.99	\$-130.60

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
MOLSON COORS BREWING CO CL B	60871R209	190	12/09/10	03/04/11	\$8,430.49	\$9,327.33	\$-896.84
MOLSON COORS BREWING CO CL B	60871R209	6	12/06/10	03/04/11	\$266.23	\$294.59	\$-28.36
MOLSON COORS BREWING CO CL B	60871R209	17	12/06/10	03/04/11	\$754.31	\$834.78	\$-80.47
MOLSON COORS BREWING CO CL B	60871R209	43	12/06/10	03/04/11	\$1,906.29	\$2,111.52	\$-205.23
MOLSON COORS BREWING CO CL B	60871R209	102	12/07/10	03/04/11	\$4,521.90	\$5,041.37	\$-519.47
ALLERGAN INC	018490102	47	02/03/11	03/10/11	\$3,343.74	\$3,359.70	\$-15.96
APPLE COMPUTER INC	037833100	4	12/01/10	03/10/11	\$1,386.33	\$1,268.62	\$117.71
ALEXION PHARMACEUTICALS INC	015351109	27	12/17/10	03/10/11	\$2,633.30	\$2,247.08	\$386.22
FMC TECHNOLOGIES INC	30249U101	13	02/18/11	03/10/11	\$1,149.96	\$1,213.14	\$-63.18
BAIDU COM INC SPONSORED ADR REPSTG	056752108	16	12/14/10	03/10/11	\$1,921.52	\$1,707.76	\$213.76
APPLE COMPUTER INC	037833100	3	08/05/10	03/10/11	\$1,039.75	\$783.88	\$255.87
ALEXION PHARMACEUTICALS INC	015351109	3	12/14/10	03/11/11	\$294.81	\$234.02	\$60.79
ALEXION PHARMACEUTICALS INC	015351109	15	12/15/10	03/11/11	\$1,474.03	\$1,188.94	\$285.09
ALEXION PHARMACEUTICALS INC	015351109	3	12/16/10	03/11/11	\$294.80	\$237.08	\$57.72
PRECISION CASTPARTS CORP	740189105	2	12/13/10	03/11/11	\$282.12	\$285.76	\$-3.64
PRECISION CASTPARTS CORP	740189105	18	12/13/10	03/11/11	\$2,539.90	\$2,571.88	\$-31.98
ST JUDE MEDICAL INC	790849103	68	11/02/10	03/11/11	\$3,282.30	\$2,580.36	\$701.94
ALEXION PHARMACEUTICALS INC	015351109	2	12/17/10	03/11/11	\$196.54	\$166.45	\$30.09
ALEXION PHARMACEUTICALS INC	015351109	4	12/16/10	03/11/11	\$393.08	\$323.55	\$69.53
ILLUMINA INC	452327109	91	02/28/11	03/11/11	\$5,861.21	\$6,321.26	\$-460.05
ILLUMINA INC	452327109	3	02/28/11	03/11/11	\$189.84	\$208.39	\$-18.55
ALLERGAN INC	018490102	34	02/03/11	03/11/11	\$2,397.71	\$2,430.42	\$-32.71
ALEXION PHARMACEUTICALS INC	015351109	16	12/14/10	03/14/11	\$1,564.08	\$1,248.13	\$315.95
ALEXION PHARMACEUTICALS INC	015351109	9	11/19/10	03/14/11	\$879.79	\$674.08	\$205.71
ALEXION PHARMACEUTICALS INC	015351109	13	10/20/10	03/16/11	\$1,224.70	\$888.60	\$336.10
ALEXION PHARMACEUTICALS INC	015351109	2	10/20/10	03/17/11	\$188.09	\$136.71	\$51.38

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
ALEXION PHARMACEUTICALS INC	015351109	16	10/20/10	03/18/11	\$1,504.22	\$1,093.66	\$410.56
QIAGEN N V	N72482107	23	11/02/10	03/18/11	\$445.92	\$439.17	\$6.75
ILLUMINA INC	452327109	26	02/28/11	03/18/11	\$1,614.14	\$1,806.08	\$-191.94
ALEXION PHARMACEUTICALS INC	015351109	59	11/19/10	03/18/11	\$5,546.80	\$4,419.00	\$1,127.80
EL PASO CORP	28336L109	0.84	01/27/11	03/21/11	\$14.11	\$13.45	\$0.66
BAIDU COM INC SPONSORED ADR REPSTG	056752108	27	12/14/10	03/23/11	\$3,500.90	\$2,881.86	\$619.04
PRAXAIR INC	74005P104	59	02/18/11	03/30/11	\$5,972.04	\$5,821.28	\$150.76
PRAXAIR INC	74005P104	1	02/16/11	03/30/11	\$101.22	\$97.32	\$3.90
BAIDU COM INC SPONSORED ADR REPSTG	056752108	12	12/14/10	03/30/11	\$1,642.95	\$1,280.82	\$362.13
BAIDU COM INC SPONSORED ADR REPSTG	056752108	20	12/17/10	03/30/11	\$2,738.25	\$1,984.74	\$753.51
PRAXAIR INC	74005P104	2	11/02/10	03/31/11	\$203.81	\$183.86	\$19.95
PRAXAIR INC	74005P104	14	02/16/11	03/31/11	\$1,426.69	\$1,362.41	\$64.28
PRAXAIR INC	74005P104	6	02/15/11	03/31/11	\$611.44	\$582.04	\$29.40
PRAXAIR INC	74005P104	20	02/15/11	03/31/11	\$2,038.13	\$1,935.31	\$102.82
PRAXAIR INC	74005P104	16	02/16/11	03/31/11	\$1,630.50	\$1,553.43	\$77.07
PRAXAIR INC	74005P104	24	04/15/10	04/01/11	\$2,448.00	\$2,096.90	\$351.10
PRAXAIR INC	74005P104	10	11/02/10	04/01/11	\$1,020.00	\$919.27	\$100.73
QIAGEN N V	N72482107	41	11/02/10	04/05/11	\$825.68	\$782.88	\$42.80
QIAGEN N V	N72482107	43	01/19/11	04/05/11	\$865.96	\$812.62	\$53.34
QIAGEN N V	N72482107	57	11/03/10	04/05/11	\$1,147.89	\$1,079.31	\$68.58
BAIDU COM INC SPONSORED ADR REPSTG	056752108	21	12/17/10	04/05/11	\$2,972.29	\$2,083.97	\$888.32
EOG RES INC	26875P101	44	06/28/10	04/05/11	\$5,173.10	\$4,654.58	\$518.52
QIAGEN N V	N72482107	7	01/20/11	04/05/11	\$140.97	\$131.99	\$8.98
COGNIZANT TECHNOLOGY SOLUTIONS	192446102	43	02/15/11	04/05/11	\$3,532.01	\$3,295.08	\$236.93
PRAXAIR INC	74005P104	41	04/15/10	04/05/11	\$4,240.57	\$3,582.21	\$658.36
PRECISION CASTPARTS CORP	740189105	40	12/13/10	04/05/11	\$5,905.49	\$5,715.28	\$190.21

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
PRECISION CASTPARTS CORP	740189105	24	12/21/10	04/05/11	\$3,543.29	\$3,402.36	\$140.93
QIAGEN N V	N72482107	27	01/20/11	04/06/11	\$545.37	\$509.10	\$36.27
QIAGEN N V	N72482107	25	02/03/11	04/06/11	\$504.98	\$462.61	\$42.37
NETFLIX COM INC	64110L106	13	03/11/11	04/06/11	\$3,122.59	\$2,641.44	\$481.15
NETFLIX COM INC	64110L106	6	03/11/11	04/07/11	\$1,399.02	\$1,219.12	\$179.90
FMC TECHNOLOGIES INC	30249U101	30	02/18/11	04/08/11	\$1,442.31	\$1,399.78	\$42.53
COGNIZANT TECHNOLOGY SOLUTIONS	192446102	12	02/08/11	04/14/11	\$956.71	\$918.76	\$37.95
COGNIZANT TECHNOLOGY SOLUTIONS	192446102	33	01/16/11	04/14/11	\$2,630.96	\$2,528.67	\$102.29
GOOGLE INC CL A	38259P508	6	02/15/11	04/14/11	\$3,437.66	\$3,755.13	\$-317.47
BAIDU COM INC SPONSORED ADR REPSTG	056752108	12	12/17/10	04/14/11	\$1,740.54	\$1,190.84	\$549.70
NAVISTAR INTL CORP NEW	63934EAL2	8000	09/24/10	04/15/11	\$11,655.00	\$9,051.62	\$2,603.38
QUALCOMM INC	747525103	53	03/11/11	04/20/11	\$2,910.39	\$2,853.90	\$56.49
C H ROBINSON WORLDWIDE INC NEW	12541W209	34	12/29/10	04/20/11	\$2,625.42	\$2,750.50	\$-125.08
EMC CORPORATION	268648102	95	03/11/11	04/20/11	\$2,649.88	\$2,532.74	\$117.14
QUALCOMM INC	747525103	54	03/11/11	04/20/11	\$2,962.54	\$2,907.75	\$54.79
C H ROBINSON WORLDWIDE INC NEW	12541W209	22	01/04/11	04/20/11	\$1,698.80	\$1,768.23	\$-69.43
EMC CORPORATION	268648102	94	03/11/11	04/20/11	\$2,585.92	\$2,506.08	\$79.84
CHIPOTLE MEXICAN GRILL INC CL A	169656105	18	02/11/11	04/20/11	\$5,102.36	\$4,834.90	\$267.46
PRICELINE COM INC	741503403	8	03/10/11	04/20/11	\$4,274.05	\$3,728.77	\$545.28
SALESFORCE COM INC	79466L302	8	03/30/11	04/25/11	\$1,107.58	\$1,066.76	\$40.82
NOVO-NORDISK A S ADR	670100205	12	12/07/10	04/25/11	\$1,570.33	\$1,254.89	\$315.44
NOVO-NORDISK A S ADR	670100205	7	12/07/10	04/25/11	\$913.77	\$732.02	\$181.75
NOVO-NORDISK A S ADR	670100205	26	02/18/11	04/25/11	\$3,394.02	\$3,259.88	\$134.14
NETFLIX COM INC	64110L106	13	03/10/11	04/25/11	\$3,279.52	\$2,604.12	\$675.40
NETFLIX COM INC	64110L106	1	03/11/11	04/25/11	\$252.27	\$203.19	\$49.08
LULULEMON ATHLETICA INC	550021109	2	03/18/11	04/25/11	\$202.93	\$151.18	\$51.75

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
LULULEMON ATHLETICA INC	550021109	38	03/21/11	04/25/11	\$3,855 61	\$2,896 36	\$959 25
LAUDER ESTEE COS INC CL A	518439104	36	02/18/11	04/25/11	\$3,375 94	\$3,420 78	\$-44 84
FRANKLIN RESOURCES INC	354613101	26	02/18/11	04/25/11	\$3,238 04	\$3,377 78	\$-139 74
FRANKLIN RESOURCES INC	354613101	19	02/16/11	04/25/11	\$2,366 26	\$2,469 75	\$-103 49
CELGENE CORP COM	151020104	64	11/10/10	04/25/11	\$3,596 70	\$3,882 85	\$-286 15
ALLERGAN INC	018490102	12	12/15/10	04/25/11	\$938 50	\$846 50	\$92 00
ALLERGAN INC	018490102	34	12/17/10	04/25/11	\$2,659 09	\$2,412 79	\$246 30
ALLERGAN INC	018490102	28	02/03/11	04/25/11	\$2,189 84	\$2,001 52	\$188 32
ALEXION PHARMACEUTICALS INC	015351109	13	10/21/10	04/25/11	\$1,244 39	\$887 03	\$357 36
ALEXION PHARMACEUTICALS INC	015351109	40	10/20/10	04/25/11	\$3,828 88	\$2,734 16	\$1,094 72
COGNIZANT TECHNOLOGY SOLUTIONS	192446102	50	02/08/11	04/26/11	\$4,086 41	\$3,828 16	\$258 25
NETFLIX COM INC	64110L106	16	03/10/11	04/26/11	\$3,775 71	\$3,205 08	\$570 63
SCHLUMBERGER LTD	806857108	183	01/19/11	05/02/11	\$16,175 85	\$15,934 73	\$241 12
SCHLUMBERGER LTD	806857108	112	02/11/11	05/02/11	\$9,899 97	\$10,108 56	\$-208 59
TARGET CORP	87612E106	89	08/06/10	05/04/11	\$4,343 47	\$4,656 84	\$-313 37
EL PASO CORP	28336L109	231 69	01/26/11	05/04/11	\$4,185 27	\$3,613 74	\$571 53
EL PASO CORP	28336L109	249 31	01/27/11	05/04/11	\$4,503 59	\$4,006 80	\$496 79
EL PASO CORP	28336L109	136	01/27/11	05/04/11	\$2,452 39	\$2,185 73	\$266 66
AMAZON COM INC	023135106	37	03/30/11	05/09/11	\$7 449 24	\$6 634 25	\$814 99
AMAZON COM INC	023135106	14	03/10/11	05/09/11	\$2,818 63	\$2,336 77	\$481 86
ALLERGAN INC	018490102	4	11/10/10	05/19/11	\$333 98	\$277 72	\$56 26
ALLERGAN INC	018490102	18	12/14/10	05/19/11	\$1,502 89	\$1,267 19	\$235 70
ALLERGAN INC	018490102	43	12/15/10	05/19/11	\$3,590 24	\$3,033 31	\$556 93
QIAGEN N V	N72482107	9	02/03/11	05/19/11	\$182 56	\$166 54	\$16 02
QIAGEN N V	N72482107	30	02/03/11	05/19/11	\$608 16	\$555 13	\$53 03
SALESFORCE COM INC	79466L302	16	03/11/11	05/20/11	\$2,352 94	\$2,048 71	\$304 23



Bank of America Private Wealth Management

IM DICKSON FOUNDATION INC

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Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
ALLERGAN INC	018490102	43	09/22/10	05/20/11	\$3,591.81	\$2,811.79	\$780.02
ALLERGAN INC	018490102	13	12/03/10	05/20/11	\$1,085.90	\$893.32	\$192.58
ALLERGAN INC	018490102	28	09/30/10	05/20/11	\$2,338.86	\$1,864.73	\$474.13
QIAGEN N V	N72482107	130	02/04/11	05/20/11	\$2,596.72	\$2,385.92	\$210.80
QIAGEN N V	N72482107	113	07/28/10	05/20/11	\$2,257.14	\$2,078.73	\$178.41
QIAGEN N V	N72482107	204	02/03/11	05/20/11	\$4,074.84	\$3,774.92	\$299.92
QIAGEN N V	N72482107	2	02/03/11	05/20/11	\$39.90	\$37.01	\$2.89
ALLERGAN INC	018490102	17	11/10/10	05/20/11	\$1,418.29	\$1,180.30	\$237.99
ALLERGAN INC	018490102	57	12/03/10	05/20/11	\$4,755.43	\$3,916.86	\$838.57
SALESFORCE COM INC	794661302	24	03/10/11	05/20/11	\$3,536.33	\$3,056.09	\$480.24
SALESFORCE COM INC	794661302	32	03/30/11	05/20/11	\$4,705.88	\$4,267.05	\$438.83
SALESFORCE COM INC	794661302	3	03/30/11	05/20/11	\$441.18	\$399.00	\$42.18
ALLERGAN INC	018490102	9	09/22/10	05/20/11	\$752.15	\$588.52	\$163.63
SALESFORCE COM INC	794661302	28	03/10/11	05/20/11	\$4,117.65	\$3,565.45	\$552.20
CHIPOTLE MEXICAN GRILL INC CL A	169656105	39	02/11/11	05/31/11	\$11,256.26	\$10,475.61	\$780.65
PRECISION CASTPARTS CORP	740189105	14	11/02/10	05/31/11	\$2,193.15	\$1,969.27	\$223.88
PRECISION CASTPARTS CORP	740189105	29	12/20/10	05/31/11	\$4,542.94	\$4,089.03	\$453.91
PRECISION CASTPARTS CORP	740189105	5	12/21/10	05/31/11	\$783.27	\$708.82	\$74.45
PRECISION CASTPARTS CORP	740189105	1	12/21/10	05/31/11	\$156.68	\$141.77	\$14.91
GOOGLE INC CL A	38259P508	4	02/15/11	05/31/11	\$2,099.16	\$2,503.42	\$-404.26
MEDCO HEALTH SOLUTIONS INC	58405U102	36	12/20/10	05/31/11	\$2,149.13	\$2,232.78	\$-83.65
MEDCO HEALTH SOLUTIONS INC	58405U102	5	12/17/10	05/31/11	\$298.49	\$312.99	\$-14.50
MEDCO HEALTH SOLUTIONS INC	58405U102	16	12/01/10	05/31/11	\$955.16	\$1,011.82	\$-56.66
GOOGLE INC CL A	38259P508	5	03/10/11	05/31/11	\$2,623.96	\$2,911.60	\$-287.64
NETFLIX COM INC	64110L106	20	03/10/11	05/31/11	\$5,320.25	\$4,006.34	\$1,313.91
NETFLIX COM INC	64110L106	1	03/10/11	06/02/11	\$266.17	\$200.32	\$65.85

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
NETFLX COM INC	64110L106	17	12/28/10	06/02/11	\$4,524.84	\$3,117.88	\$1,406.96
GOOGLE INC CL A	38259P508	9	03/10/11	06/02/11	\$4,731.25	\$5,240.89	\$-509.64
CELGENE CORP COM	151020104	5	11/19/10	06/03/11	\$295.87	\$302.78	\$-6.91
BAIDU COM INC SPONSORED ADR REPSTG	056752108	22	12/17/10	06/03/11	\$3,024.66	\$2,183.21	\$841.45
CELGENE CORP COM	151020104	29	11/10/10	06/03/11	\$1,716.02	\$1,759.42	\$-43.40
QUALCOMM INC	747525103	13	03/23/11	06/03/11	\$752.98	\$678.34	\$74.64
QUALCOMM INC	747525103	39	03/11/11	06/03/11	\$2,258.96	\$2,100.04	\$158.92
FMC TECHNOLOGIES INC	30249U101	64	02/18/11	06/06/11	\$2,711.30	\$2,986.19	\$-274.89
CHIPOTLE MEXICAN GRILL INC CL A	169656105	35	02/11/11	06/06/11	\$9,902.47	\$9,401.19	\$501.28
FEDERAL FARM CR BKS	31331GYP8	150000	01/25/11	06/06/11	\$152,846.40	\$153,514.50	\$-668.10
UNITED STATES TREAS NT	912828LM0	100000	02/24/11	06/06/11	\$101,394.53	\$101,324.22	\$70.31
NETFLX COM INC	64110L106	33	12/28/10	06/06/11	\$8,703.84	\$6,052.35	\$2,651.49
JUNIPER NETWORKS INC	48203R104	102	03/16/11	06/13/11	\$3,075.43	\$4,292.59	\$-1,217.16
JUNIPER NETWORKS INC	48203R104	42	03/16/11	06/13/11	\$1,267.93	\$1,767.54	\$-499.61
JUNIPER NETWORKS INC	48203R104	97	03/07/11	06/13/11	\$2,922.92	\$4,355.71	\$-1,432.79
JUNIPER NETWORKS INC	48203R104	5	03/07/11	06/13/11	\$150.67	\$224.56	\$-73.89
JUNIPER NETWORKS INC	48203R104	51	03/08/11	06/13/11	\$1,536.79	\$2,287.07	\$-750.28
JUNIPER NETWORKS INC	48203R104	38	03/16/11	06/13/11	\$1,145.06	\$1,599.20	\$-454.14
JUNIPER NETWORKS INC	48203R104	23	03/07/11	06/13/11	\$693.07	\$1,033.37	\$-340.30
JUNIPER NETWORKS INC	48203R104	196	03/16/11	06/13/11	\$5,916.99	\$8,237.76	\$-2,320.77
JUNIPER NETWORKS INC	48203R104	7	03/30/11	06/14/11	\$210.43	\$293.01	\$-82.58
JUNIPER NETWORKS INC	48203R104	15	03/30/11	06/14/11	\$453.76	\$627.89	\$-174.13
JUNIPER NETWORKS INC	48203R104	30	04/01/11	06/14/11	\$907.51	\$1,252.42	\$-344.91
JUNIPER NETWORKS INC	48203R104	19	03/30/11	06/14/11	\$574.76	\$790.61	\$-215.85
JUNIPER NETWORKS INC	48203R104	245	03/18/11	06/14/11	\$7,411.35	\$9,860.25	\$-2,448.90
JUNIPER NETWORKS INC	48203R104	123	04/05/11	06/14/11	\$3,720.80	\$4,903.12	\$-1,182.32

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
JUNIPER NETWORKS INC	48203R104	13	04/05/11	06/14/11	\$393.26	\$518.02	\$-124.76
JUNIPER NETWORKS INC	48203R104	219	04/14/11	06/14/11	\$6,624.84	\$8,432.55	\$-1,807.71
JUNIPER NETWORKS INC	48203R104	24	04/14/11	06/14/11	\$726.01	\$921.60	\$-195.59
JUNIPER NETWORKS INC	48203R104	12	04/14/11	06/14/11	\$363.00	\$459.80	\$-96.80
JUNIPER NETWORKS INC	48203R104	63	03/31/11	06/14/11	\$1,893.90	\$2,640.92	\$-747.02
JUNIPER NETWORKS INC	48203R104	20	03/16/11	06/14/11	\$601.24	\$840.59	\$-239.35
JUNIPER NETWORKS INC	48203R104	7	02/28/11	06/14/11	\$210.43	\$323.65	\$-113.22
JUNIPER NETWORKS INC	48203R104	54	02/27/11	06/14/11	\$1,623.34	\$2,565.69	\$-942.35
JUNIPER NETWORKS INC	48203R104	36	02/20/11	06/14/11	\$1,082.23	\$1,763.37	\$-681.14
JUNIPER NETWORKS INC	48203R104	35	02/20/11	06/14/11	\$1,052.17	\$1,719.86	\$-667.69
JUNIPER NETWORKS INC	48203R104	9	02/20/11	06/14/11	\$270.56	\$444.07	\$-173.51
JUNIPER NETWORKS INC	48203R104	60	02/23/11	06/14/11	\$1,803.72	\$2,991.29	\$-1,187.57
JUNIPER NETWORKS INC	48203R104	104	02/23/11	06/14/11	\$3,126.44	\$5,189.21	\$-2,062.77
PRECISION CASTPARTS CORP	740189105	16	11/02/10	06/16/11	\$2,421.11	\$2,250.60	\$170.51
PRECISION CASTPARTS CORP	740189105	19	11/03/10	06/16/11	\$2,875.07	\$2,669.71	\$205.36
PRECISION CASTPARTS CORP	740189105	7	11/02/10	06/16/11	\$1,059.23	\$982.79	\$76.44
AFLAC CORP	001055102	270	01/19/11	06/28/11	\$12,151.58	\$15,479.18	\$-3,327.60
AFLAC CORP	001055102	14	06/21/11	06/28/11	\$630.08	\$641.55	\$-11.47
AFLAC CORP	001055102	241	02/11/11	06/28/11	\$10,846.41	\$13,545.41	\$-2,699.00
AFLAC CORP	001055102	835	01/07/11	06/28/11	\$37,579.87	\$47,105.95	\$-9,526.08
GENERAL MTRS CO	37045V209	66	01/14/11	06/29/11	\$3,218.08	\$3,694.19	\$-476.11
GENERAL MTRS CO	37045V209	191	12/06/10	06/29/11	\$9,312.92	\$9,840.26	\$-527.34
GENERAL MTRS CO	37045V209	176	12/01/10	06/29/11	\$8,581.54	\$9,067.45	\$-485.91
GENERAL MTRS CO	37045V209	183	11/23/10	06/29/11	\$8,922.85	\$9,155.49	\$-232.64
KELLOGG CO	487836108	210	03/04/11	06/29/11	\$11,596.50	\$11,400.35	\$196.15
KELLOGG CO	487836108	162	03/07/11	06/29/11	\$8,945.87	\$8,762.92	\$182.95

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
KINDER MORGAN INC DEL	49456B101	125	05/05/11	06/29/11	\$3,587.49	\$3,513.84	\$73.65
KINDER MORGAN INC DEL	49456B101	61	05/04/11	06/29/11	\$1,750.70	\$1,704.96	\$45.74
KINDER MORGAN INC DEL	49456B101	38	05/05/11	06/29/11	\$1,090.60	\$1,059.09	\$31.51
KINDER MORGAN INC DEL	49456B101	528	05/04/11	06/29/11	\$15,153.57	\$14,668.58	\$484.99
MERCK & CO INC	58933Y105	495	05/04/11	06/29/11	\$17,286.40	\$18,168.13	\$-881.73
NEXTERA ENERGY INC	65339F101	29	08/02/10	06/29/11	\$1,656.81	\$1,565.02	\$91.79
NEXTERA ENERGY INC	65339F101	46	08/02/10	06/29/11	\$2,628.04	\$2,475.45	\$152.59
NEXTERA ENERGY INC	65339F101	30	08/03/10	06/29/11	\$1,713.94	\$1,613.04	\$100.90
NEXTERA ENERGY INC	65339F101	131	07/29/10	06/29/11	\$7,484.21	\$6,882.96	\$601.25
NEXTERA ENERGY INC	65339F101	89	07/30/10	06/29/11	\$5,084.70	\$4,648.75	\$435.95
NORDSTROM INC	655664100	14	09/27/10	06/29/11	\$656.20	\$516.65	\$139.55
NORDSTROM INC	655664100	32	09/27/10	06/29/11	\$1,499.89	\$1,178.67	\$321.22
NORDSTROM INC	655664100	29	09/24/10	06/29/11	\$1,359.28	\$1,060.60	\$298.68
NORDSTROM INC	655664100	57	09/24/10	06/29/11	\$2,671.68	\$2,081.16	\$590.52
NUCOR CORP	670346105	125	05/04/11	06/29/11	\$5,080.21	\$5,656.10	\$-575.89
NUCOR CORP	670346105	104	05/04/11	06/29/11	\$4,226.74	\$4,703.28	\$-476.54
PACCAR INC	693718108	89	04/15/11	06/29/11	\$4,424.32	\$4,544.91	\$-120.59
PACCAR INC	693718108	112	04/15/11	06/29/11	\$5,567.69	\$5,661.00	\$-93.31
PEOPLES UTD FINL INC	712704105	685	07/29/10	06/29/11	\$9,022.99	\$9,531.98	\$-508.99
PEOPLES UTD FINL INC	712704105	447	07/29/10	06/29/11	\$5,887.99	\$6,201.86	\$-313.87
PEOPLES UTD FINL INC	712704105	107	07/29/10	06/29/11	\$1,409.43	\$1,482.91	\$-73.48
3M CO	88579Y101	140	12/06/10	06/29/11	\$13,088.69	\$12,195.74	\$892.95
3M CO	88579Y101	22	12/06/10	06/29/11	\$2,056.79	\$1,908.39	\$148.40
UNITED PARCEL SVC INC CL B	911312106	61	02/16/11	06/29/11	\$4,384.75	\$4,596.61	\$-211.86
UNITED PARCEL SVC INC CL B	911312106	75	01/14/11	06/29/11	\$5,391.08	\$5,443.91	\$-52.83
UNITED PARCEL SVC INC CL B	911312106	43	01/13/11	06/29/11	\$3,090.89	\$3,106.13	\$-15.24

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
UNITED PARCEL SVC INC CL B	911312106	72	01/13/11	06/29/11	\$5,175.44	\$5,200.36	\$-24.92
UNITED PARCEL SVC INC CL B	911312106	21	01/13/11	06/29/11	\$1,509.50	\$1,515.42	\$-5.92
UNITED PARCEL SVC INC CL B	911312106	152	12/06/10	06/29/11	\$10,925.93	\$10,908.45	\$17.48
UNITED PARCEL SVC INC CL B	911312106	45	12/06/10	06/29/11	\$3,234.65	\$3,215.73	\$18.92
ACE LTD	H0023R105	128	01/13/11	06/29/11	\$8,432.80	\$7,782.63	\$650.17
ACE LTD	H0023R105	38	01/13/11	06/29/11	\$2,503.49	\$2,309.42	\$194.07
ACE LTD	H0023R105	158	01/14/11	06/29/11	\$10,409.23	\$9,600.38	\$808.85
AIR PRODUCTS & CHEMICALS INC	009158106	75	02/16/11	06/29/11	\$7,097.30	\$7,056.75	\$40.55
APACHE CORP	037411808	250	07/23/10	06/29/11	\$16,360.31	\$13,255.95	\$3,104.36
APACHE CORP	037411808	155	07/23/10	06/29/11	\$10,143.39	\$8,150.75	\$1,992.64
AUTOMATIC DATA PROCESSING INC	053015103	151	12/06/10	06/29/11	\$7,918.66	\$7,054.72	\$863.94
AUTOMATIC DATA PROCESSING INC	053015103	31	12/06/10	06/29/11	\$1,625.69	\$1,446.92	\$178.77
AUTOMATIC DATA PROCESSING INC	053015103	35	12/06/10	06/29/11	\$1,835.45	\$1,628.73	\$206.72
BB & T CORP	054937107	163	02/16/11	06/29/11	\$4,277.44	\$4,692.22	\$-414.78
BB & T CORP	054937107	50	01/14/11	06/29/11	\$1,312.10	\$1,391.21	\$-79.11
BB & T CORP	054937107	135	01/14/11	06/29/11	\$3,542.67	\$3,707.91	\$-165.24
BB & T CORP	054937107	75	01/13/11	06/29/11	\$1,968.15	\$2,025.56	\$-57.41
BB & T CORP	054937107	103	01/13/11	06/29/11	\$2,702.92	\$2,776.48	\$-73.56
CARNIVAL CORP PAIRED CTF 1 COM	143658300	144	05/04/11	06/29/11	\$5,446.34	\$5,604.55	\$-158.21
COLGATE PALMOLIVE	194162103	260	05/04/11	06/29/11	\$22,546.71	\$22,267.26	\$279.45
COLGATE PALMOLIVE	194162103	106	05/04/11	06/29/11	\$9,192.12	\$9,069.50	\$122.62
ENSCO INTL LTD	29358Q109	25	02/16/11	06/29/11	\$1,336.77	\$1,339.38	\$-2.61
ENSCO INTL LTD	29358Q109	59	02/16/11	06/29/11	\$3,154.78	\$3,157.24	\$-2.46
ENSCO INTL LTD	29358Q109	36	01/14/11	06/29/11	\$1,924.95	\$1,900.54	\$24.41
ENSCO INTL LTD	29358Q109	18	01/13/11	06/29/11	\$962.48	\$948.44	\$14.04
ENSCO INTL LTD	29358Q109	112	01/13/11	06/29/11	\$5,988.74	\$5,882.46	\$106.28

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
ENSCO INTL LTD	29358Q109	132	08/09/10	06/29/11	\$7,058.16	\$5,992.06	\$1,066.10
ENSCO INTL LTD	29358Q109	258	08/06/10	06/29/11	\$13,795.48	\$11,594.55	\$2,200.93
GENERAL MTRS CO	37045V209	73	01/13/11	06/29/11	\$3,559.39	\$4,110.58	\$-551.19
GENERAL MTRS CO	37045V209	7	01/13/11	06/29/11	\$341.31	\$392.17	\$-50.86
ALLEGHENY TECHNOLOGIES INC	01741RAD4	3006	01/14/11	06/30/11	\$4,944.87	\$4,695.43	\$249.44
ALLEGHENY TECHNOLOGIES INC	01741RAD4	2994	01/18/11	06/30/11	\$4,925.13	\$4,865.52	\$59.61
AIR PRODUCTS & CHEMICALS INC	009158106	281	01/19/11	07/06/11	\$27,148.46	\$25,187.41	\$1,961.05
AIR PRODUCTS & CHEMICALS INC	009158106	15	06/21/11	07/06/11	\$1,449.21	\$1,378.73	\$70.48
AUTOMATIC DATA PROCESSING INC	053015103	26	06/21/11	07/06/11	\$1,405.33	\$1,365.91	\$39.42
AMERICAN WTR WKS CO INC NEW	030420103	143	01/19/11	07/06/11	\$4,267.44	\$3,642.93	\$624.51
PHILIP MORRIS INTL INC	718172109	125	02/11/11	07/06/11	\$8,548.82	\$7,368.12	\$1,180.70
AMERICAN WTR WKS CO INC NEW	030420103	41	06/21/11	07/06/11	\$1,223.53	\$1,201.10	\$22.43
PHILIP MORRIS INTL INC	718172109	288	01/07/11	07/06/11	\$19,696.49	\$16,509.60	\$3,186.89
AUTOMATIC DATA PROCESSING INC	053015103	38	01/19/11	07/06/11	\$2,053.94	\$1,861.81	\$192.13
AUTOMATIC DATA PROCESSING INC	053015103	466	02/11/11	07/06/11	\$25,187.74	\$23,018.07	\$2,169.67
AMERICAN WTR WKS CO INC NEW	030420103	748	02/11/11	07/06/11	\$22,321.98	\$19,773.38	\$2,548.60
LULULEMON ATHLETICA INC	550021109	43	06/03/11	07/08/11	\$5,145.09	\$3,805.14	\$1,339.95
LULULEMON ATHLETICA INC	550021109	2	05/09/11	07/08/11	\$239.31	\$197.29	\$42.02
LULULEMON ATHLETICA INC	550021109	11	05/09/11	07/08/11	\$1,316.19	\$1,088.49	\$227.70
LULULEMON ATHLETICA INC	550021109	56	05/09/11	07/08/11	\$6,700.58	\$5,521.13	\$1,179.45
CHIPOTLE MEXICAN GRILL INC CL A	169656105	39	02/11/11	07/08/11	\$12,663.50	\$10,475.61	\$2,187.89
CHIPOTLE MEXICAN GRILL INC CL A	169656105	6	02/11/11	07/11/11	\$1,931.19	\$1,611.63	\$319.56
NETFLIX COM INC	64110L106	21	12/28/10	07/13/11	\$6,283.37	\$3,851.49	\$2,431.88
AMAZON COM INC	023135106	26	03/10/11	07/13/11	\$5,582.74	\$4,339.71	\$1,243.03
LULULEMON ATHLETICA INC	550021109	184	06/03/11	07/19/11	\$11,489.42	\$8,141.24	\$3,348.18
BAIDU COM INC SPONSORED ADR REPSTG	056752108	28	06/14/11	07/19/11	\$4,234.52	\$3,468.24	\$766.28

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
MEDCO HEALTH SOLUTIONS INC	58405U102	11	08/31/10	07/21/11	\$698.69	\$481.91	\$216.78
MEDCO HEALTH SOLUTIONS INC	58405U102	136	08/05/10	07/21/11	\$8,638.39	\$6,450.21	\$2,188.18
MEDCO HEALTH SOLUTIONS INC	58405U102	250	04/20/11	07/21/11	\$15,879.39	\$14,650.25	\$1,229.14
MEDCO HEALTH SOLUTIONS INC	58405U102	2	12/08/10	07/21/11	\$127.04	\$120.89	\$6.15
MEDCO HEALTH SOLUTIONS INC	58405U102	8	12/05/10	07/21/11	\$508.14	\$485.70	\$22.44
MEDCO HEALTH SOLUTIONS INC	58405U102	9	12/05/10	07/21/11	\$571.66	\$546.44	\$25.22
MEDCO HEALTH SOLUTIONS INC	58405U102	22	12/20/10	07/21/11	\$1,397.39	\$1,364.48	\$32.91
MEDCO HEALTH SOLUTIONS INC	58405U102	24	04/20/11	07/21/11	\$1,524.42	\$1,411.48	\$112.94
BAIDU COM INC SPONSORED ADR REPSTG	056752108	7	08/31/10	07/26/11	\$1,151.86	\$547.96	\$603.90
BAIDU COM INC SPONSORED ADR REPSTG	056752108	12	12/17/10	07/26/11	\$1,974.61	\$1,190.84	\$783.77
BAIDU COM INC SPONSORED ADR REPSTG	056752108	28	06/14/11	07/26/11	\$4,607.42	\$3,468.24	\$1,139.18
STANDARDS & POORS DEP RCPTS TR SER 1	78462F103	4000	06/21/11	07/26/11	\$532,669.77	\$518,618.00	\$14,051.77
STANDARDS & POORS DEP RCPTS TR SER 1	78462F103	6000	06/20/11	07/26/11	\$799,004.65	\$765,450.00	\$33,554.65
FRANKLIN RESOURCES INC	354613101	19	02/18/11	08/01/11	\$2,399.98	\$2,468.37	\$-68.39
FRANKLIN RESOURCES INC	354613101	2	02/18/11	08/01/11	\$251.08	\$259.83	\$-8.75
FRANKLIN RESOURCES INC	354613101	5	02/18/11	08/01/11	\$626.84	\$649.57	\$-22.73
FRANKLIN RESOURCES INC	354613101	7	02/15/11	08/01/11	\$884.20	\$908.59	\$-24.39
PRICELINE COM INC	741503403	9	06/03/11	08/01/11	\$4,802.45	\$4,620.36	\$182.09
PRICELINE COM INC	741503403	9	06/03/11	08/01/11	\$4,823.93	\$4,620.36	\$203.57
BAIDU COM INC SPONSORED ADR REPSTG	056752108	8	08/31/10	08/01/11	\$1,256.46	\$626.23	\$630.23
SALESFORCE COM INC	79466L302	2	06/14/11	08/01/11	\$292.18	\$283.03	\$9.15
SALESFORCE COM INC	79466L302	4	03/10/11	08/01/11	\$584.37	\$509.35	\$75.02
SALESFORCE COM INC	79466L302	13	03/14/11	08/01/11	\$1,899.20	\$1,633.01	\$266.19
ALLERGAN INC	018490102	19	09/22/10	08/01/11	\$1,511.16	\$1,242.42	\$268.74
ALLERGAN INC	018490102	19	09/22/10	08/01/11	\$1,513.25	\$1,242.42	\$270.83
AMAZON COM INC	023135106	1	03/10/11	08/01/11	\$222.72	\$166.91	\$55.81

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
SALESFORCE COM INC	794661302	19	06/14/11	08/01/11	\$2,736 95	\$2,688 79	\$48 16
FRANKLIN RESOURCES INC	354613101	1	02/15/11	08/02/11	\$124 65	\$129 79	\$-5 14
FRANKLIN RESOURCES INC	354613101	16	02/15/11	08/02/11	\$1,994 48	\$2,076 79	\$-82 31
BAXTER INTERNATIONAL INC	071813109	654	07/07/11	08/03/11	\$36,140 13	\$39,851 49	\$-3,711 36
REPUBLIC SERVICES INC CL A	760759100	1305	07/07/11	08/03/11	\$36,222 06	\$41,140 13	\$-4,918 07
INTERNATIONAL BUSINESS MACHINES	459200101	206	07/07/11	08/03/11	\$36,441 15	\$36,423 89	\$17 26
FRANKLIN RESOURCES INC	354613101	1	02/15/11	08/04/11	\$122 71	\$129 79	\$-7 08
ALLERGAN INC	018490102	1	09/23/10	08/04/11	\$78 38	\$65 39	\$12 99
SALESFORCE COM INC	794661302	1	03/14/11	08/04/11	\$142 43	\$125 62	\$16 81
LOEWS CORP	540424108	95	11/08/10	08/05/11	\$3,574 96	\$3,794 30	\$-219 34
F5 NETWORKS INC	315616102	8	12/17/10	08/09/11	\$585 95	\$1,095 47	\$-509 52
C H ROBINSON WORLDWIDE INC NEW	12541W209	22	02/15/11	08/09/11	\$1,434 99	\$1,624 54	\$-189 55
F5 NETWORKS INC	315616102	6	11/10/10	08/09/11	\$439 46	\$741 50	\$-302 04
F5 NETWORKS INC	315616102	10	03/11/11	08/09/11	\$758 77	\$1,107 04	\$-348 27
F5 NETWORKS INC	315616102	8	03/10/11	08/09/11	\$607 01	\$885 03	\$-278 02
ACME PACKET INC	004764106	41	06/03/11	08/09/11	\$1,961 28	\$3,066 99	\$-1,105 71
ALEXION PHARMACEUTICALS INC	015351109	1	06/03/11	08/09/11	\$48 68	\$47 39	\$1 29
ALEXION PHARMACEUTICALS INC	015351109	119	06/06/11	08/09/11	\$5,793 04	\$5,634 54	\$158 50
ALEXION PHARMACEUTICALS INC	015351109	54	10/21/10	08/09/11	\$2,628 77	\$1,842 30	\$786 47
BIOGEN IDEC INC	09062X103	44	07/25/11	08/09/11	\$3,868 10	\$4,656 31	\$-788 21
BIOGEN IDEC INC	09062X103	13	07/22/11	08/09/11	\$1,142 85	\$1,375 29	\$-232 44
C H ROBINSON WORLDWIDE INC NEW	12541W209	52	01/04/11	08/09/11	\$3,390 57	\$4,179 45	\$-788 88
C H ROBINSON WORLDWIDE INC NEW	12541W209	14	07/25/11	08/09/11	\$909 56	\$1,136 95	\$-227 39
C H ROBINSON WORLDWIDE INC NEW	12541W209	6	07/25/11	08/09/11	\$391 22	\$487 26	\$-96 04
C H ROBINSON WORLDWIDE INC NEW	12541W209	34	12/21/10	08/09/11	\$2,216 91	\$2,728 79	\$-511 88
C H ROBINSON WORLDWIDE INC NEW	12541W209	100	12/31/10	08/09/11	\$6,520 34	\$8,023 68	\$-1,503 34

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
C H ROBINSON WORLDWIDE INC NEW	12541W209	9	12/30/10	08/09/11	\$586.83	\$722.07	\$-135.24
C H ROBINSON WORLDWIDE INC NEW	12541W209	25	07/26/11	08/09/11	\$1,630.08	\$2,004.94	\$-374.86
C H ROBINSON WORLDWIDE INC NEW	12541W209	9	07/22/11	08/09/11	\$586.83	\$720.87	\$-134.04
C H ROBINSON WORLDWIDE INC NEW	12541W209	5	12/30/10	08/09/11	\$326.02	\$400.12	\$-74.10
C H ROBINSON WORLDWIDE INC NEW	12541W209	5	12/31/10	08/09/11	\$326.02	\$399.87	\$-73.85
C H ROBINSON WORLDWIDE INC NEW	12541W209	1	12/29/10	08/09/11	\$65.20	\$79.78	\$-14.58
C H ROBINSON WORLDWIDE INC NEW	12541W209	9	12/28/10	08/09/11	\$586.83	\$716.22	\$-129.39
C H ROBINSON WORLDWIDE INC NEW	12541W209	96	12/17/10	08/09/11	\$6,259.52	\$7,584.59	\$-1,325.07
C H ROBINSON WORLDWIDE INC NEW	12541W209	57	12/17/10	08/09/11	\$3,716.59	\$4,499.51	\$-782.92
C H ROBINSON WORLDWIDE INC NEW	12541W209	10	12/20/10	08/09/11	\$652.03	\$788.63	\$-136.60
C H ROBINSON WORLDWIDE INC NEW	12541W209	19	12/20/10	08/09/11	\$1,238.86	\$1,491.57	\$-252.71
C H ROBINSON WORLDWIDE INC NEW	12541W209	47	06/07/11	08/09/11	\$3,064.56	\$3,631.40	\$-566.84
C H ROBINSON WORLDWIDE INC NEW	12541W209	15	07/27/11	08/09/11	\$978.05	\$1,140.66	\$-162.61
C H ROBINSON WORLDWIDE INC NEW	12541W209	28	02/16/11	08/09/11	\$1,825.70	\$2,105.01	\$-279.31
C H ROBINSON WORLDWIDE INC NEW	12541W209	5	02/16/11	08/09/11	\$326.02	\$373.98	\$-47.96
C H ROBINSON WORLDWIDE INC NEW	12541W209	55	02/03/11	08/09/11	\$3,586.18	\$4,101.74	\$-515.56
C H ROBINSON WORLDWIDE INC NEW	12541W209	43	02/03/11	08/09/11	\$2,804.75	\$3,206.81	\$-402.06
C H ROBINSON WORLDWIDE INC NEW	12541W209	37	02/15/11	08/09/11	\$2,413.39	\$2,736.53	\$-323.14
F5 NETWORKS INC	315616102	15	03/11/11	08/09/11	\$1,098.66	\$1,660.55	\$-561.89
F5 NETWORKS INC	315616102	13	10/23/10	08/10/11	\$951.49	\$1,976.12	\$-1,024.63
ALEXION PHARMACEUTICALS INC	015351109	3	10/21/10	08/10/11	\$148.33	\$102.35	\$45.98
LOEWS CORP	540424108	9	11/08/10	08/10/11	\$317.76	\$359.46	\$-41.70
LOEWS CORP	540424108	97	10/12/10	08/10/11	\$3,424.76	\$3,786.86	\$-362.10
LOEWS CORP	540424108	85	10/01/10	08/10/11	\$3,001.08	\$3,232.55	\$-231.47
LOEWS CORP	540424108	72	08/12/10	08/10/11	\$2,542.09	\$2,668.34	\$-126.25
ACME PACKET INC	004764106	96	05/20/11	08/10/11	\$4,573.66	\$8,591.32	\$-4,017.66

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
ACME PACKET INC	004764106	36	05/21/11	08/10/11	\$1,715.12	\$3,153.97	\$-1,438.85
ACME PACKET INC	004764106	14	05/21/11	08/10/11	\$666.99	\$1,222.45	\$-555.46
ACME PACKET INC	004764106	43	05/21/11	08/10/11	\$2,072.33	\$3,754.68	\$-1,682.35
ACME PACKET INC	004764106	1	06/03/11	08/10/11	\$48.19	\$74.80	\$-26.61
ACME PACKET INC	004764106	7	06/03/11	08/10/11	\$337.36	\$517.04	\$-179.68
ACME PACKET INC	004764106	1	04/08/11	08/10/11	\$48.19	\$72.02	\$-23.83
ACME PACKET INC	004764106	28	04/13/11	08/10/11	\$1,349.42	\$2,016.55	\$-667.13
ACME PACKET INC	004764106	18	04/15/11	08/10/11	\$867.49	\$1,296.34	\$-428.85
ACME PACKET INC	004764106	44	04/08/11	08/10/11	\$2,120.52	\$3,168.82	\$-1,048.30
ACME PACKET INC	004764106	14	04/14/11	08/10/11	\$674.71	\$1,007.42	\$-332.71
ALEXION PHARMACEUTICALS INC	015351109	12	10/21/10	08/10/11	\$575.93	\$409.40	\$166.53
F5 NETWORKS INC	315616102	3	10/23/10	08/10/11	\$224.57	\$456.03	\$-231.46
F5 NETWORKS INC	315616102	18	10/23/10	08/11/11	\$1,376.43	\$2,736.16	\$-1,359.73
F5 NETWORKS INC	315616102	11	10/23/10	08/11/11	\$845.78	\$1,672.10	\$-826.32
F5 NETWORKS INC	315616102	26	10/27/10	08/11/11	\$1,999.12	\$3,906.30	\$-1,907.18
F5 NETWORKS INC	315616102	1	10/27/10	08/11/11	\$76.89	\$149.99	\$-73.10
ALEXION PHARMACEUTICALS INC	015351109	41	10/22/10	08/11/11	\$2,085.73	\$1,374.08	\$711.65
F5 NETWORKS INC	315616102	41	03/10/11	08/11/11	\$3,152.46	\$4,490.48	\$-1,338.02
ALEXION PHARMACEUTICALS INC	015351109	47	10/21/10	08/11/11	\$2,350.17	\$1,603.49	\$746.68
ALEXION PHARMACEUTICALS INC	015351109	14	10/22/10	08/11/11	\$700.05	\$469.20	\$230.85
F5 NETWORKS INC	315616102	17	03/10/11	08/11/11	\$1,307.12	\$1,880.69	\$-573.57
DENDREON CORP	24823Q107	17	06/14/11	08/12/11	\$177.88	\$678.60	\$-500.72
DENDREON CORP	24823Q107	5	05/25/11	08/12/11	\$52.32	\$199.39	\$-147.07
DENDREON CORP	24823Q107	148	06/14/11	08/12/11	\$1,548.58	\$5,894.48	\$-4,345.90
DENDREON CORP	24823Q107	1	05/20/11	08/12/11	\$10.46	\$39.01	\$-28.55
DENDREON CORP	24823Q107	85	05/20/11	08/12/11	\$889.39	\$3,315.60	\$-2,426.21

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
DENDREON CORP	24823Q107	3	05/20/11	08/12/11	\$31.39	\$117.02	\$-85.63
DENDREON CORP	24823Q107	1	05/20/11	08/12/11	\$10.46	\$38.90	\$-28.44
DENDREON CORP	24823Q107	1	05/20/11	08/12/11	\$10.46	\$38.90	\$-28.44
DENDREON CORP	24823Q107	43	05/20/11	08/12/11	\$449.93	\$1,672.52	\$-1,222.59
DENDREON CORP	24823Q107	7	05/23/11	08/12/11	\$73.24	\$272.09	\$-198.85
DENDREON CORP	24823Q107	60	05/19/11	08/12/11	\$627.81	\$2,302.20	\$-1,674.39
DENDREON CORP	24823Q107	8	05/20/11	08/12/11	\$83.71	\$306.80	\$-223.09
DENDREON CORP	24823Q107	33	05/19/11	08/12/11	\$345.30	\$1,261.48	\$-916.18
DENDREON CORP	24823Q107	1	05/27/11	08/12/11	\$10.46	\$42.43	\$-31.97
DENDREON CORP	24823Q107	25	05/27/11	08/12/11	\$261.58	\$1,056.82	\$-795.24
DENDREON CORP	24823Q107	26	05/31/11	08/12/11	\$272.05	\$1,094.38	\$-822.33
DENDREON CORP	24823Q107	1	06/01/11	08/12/11	\$10.46	\$41.79	\$-31.33
DENDREON CORP	24823Q107	45	05/26/11	08/12/11	\$470.85	\$1,869.63	\$-1,398.78
DENDREON CORP	24823Q107	441	06/02/11	08/12/11	\$4,614.36	\$18,255.06	\$-13,640.70
DENDREON CORP	24823Q107	104	06/01/11	08/12/11	\$1,088.19	\$4,299.07	\$-3,210.88
DENDREON CORP	24823Q107	29	05/25/11	08/12/11	\$303.44	\$1,183.64	\$-880.20
DENDREON CORP	24823Q107	24	05/26/11	08/12/11	\$251.12	\$978.18	\$-727.06
DENDREON CORP	24823Q107	2	05/25/11	08/12/11	\$20.93	\$81.21	\$-60.28
DENDREON CORP	24823Q107	10	05/25/11	08/12/11	\$104.63	\$404.53	\$-299.90
DENDREON CORP	24823Q107	17	06/07/11	08/12/11	\$177.88	\$687.12	\$-509.24
DENDREON CORP	24823Q107	32	06/06/11	08/12/11	\$334.83	\$1,277.37	\$-942.54
PRAXAIR INC	74005P104	9	07/29/11	08/19/11	\$813.49	\$920.15	\$-106.66
PRAXAIR INC	74005P104	52	07/28/11	08/19/11	\$4,700.15	\$5,319.63	\$-619.48
PRAXAIR INC	74005P104	20	07/28/11	08/19/11	\$1,804.76	\$2,046.01	\$-241.25
PRAXAIR INC	74005P104	2	07/29/11	08/19/11	\$180.48	\$205.24	\$-24.76
PRAXAIR INC	74005P104	26	07/29/11	08/19/11	\$2,346.20	\$2,698.18	\$-351.98

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
UNITED STATES TREAS NT	912828MX5	50000	12/02/10	08/22/11	\$51,277.18	\$51,271.65	\$5.53
UNITED STATES TREAS NT	912828MX5	150000	12/02/10	08/25/11	\$153,820.31	\$153,814.96	\$5.35
FEDERAL NATL MTG ASSN	3135G0AK9	250000	01/31/11	08/25/11	\$251,650.00	\$250,123.75	\$1,526.25
FRANKLIN RESOURCES INC	354613101	19	02/17/11	08/26/11	\$2,196.87	\$2,460.28	\$-263.41
FRANKLIN RESOURCES INC	354613101	16	02/16/11	08/26/11	\$1,849.99	\$2,073.64	\$-223.65
FRANKLIN RESOURCES INC	354613101	4	06/14/11	08/26/11	\$462.99	\$503.91	\$-40.92
FRANKLIN RESOURCES INC	354613101	19	02/15/11	08/26/11	\$2,196.87	\$2,465.97	\$-269.10
FRANKLIN RESOURCES INC	354613101	1	02/17/11	08/26/11	\$115.75	\$129.49	\$-13.74
FRANKLIN RESOURCES INC	354613101	27	06/14/11	08/26/11	\$3,125.17	\$3,401.13	\$-275.96
FRANKLIN RESOURCES INC	354613101	2	02/16/11	08/26/11	\$231.25	\$259.51	\$-28.26
STANDARDS & POORS DEP RCPTS TR SER I	78462F103	5000	07/26/11	09/13/11	\$586,013.74	\$695,618.68	\$-109,604.94
NETFLIX COM INC	64110L106	5	08/23/11	09/19/11	\$731.21	\$1,048.37	\$-317.16
NETFLIX COM INC	64110L106	26	08/22/11	09/19/11	\$3,802.28	\$5,386.15	\$-1,583.87
NETFLIX COM INC	64110L106	9	12/28/10	09/19/11	\$1,316.17	\$1,650.64	\$-334.47
NETFLIX COM INC	64110L106	20	01/05/11	09/19/11	\$2,924.83	\$3,637.81	\$-712.98
NETFLIX COM INC	64110L106	18	10/27/10	09/19/11	\$2,632.34	\$3,224.52	\$-592.18
NETFLIX COM INC	64110L106	7	08/23/11	09/19/11	\$1,020.69	\$1,467.72	\$-447.03
NETFLIX COM INC	64110L106	3	08/22/11	09/19/11	\$437.44	\$637.20	\$-199.76
NETFLIX COM INC	64110L106	43	10/27/10	09/20/11	\$5,655.85	\$7,703.01	\$-2,047.16
NETFLIX COM INC	64110L106	11	11/11/10	09/20/11	\$1,446.84	\$1,925.05	\$-478.21
NETFLIX COM INC	64110L106	11	11/10/10	09/20/11	\$1,446.85	\$1,909.26	\$-462.41
NETFLIX COM INC	64110L106	32	11/12/10	09/20/11	\$4,209.00	\$5,551.04	\$-1,342.04
NETFLIX COM INC	64110L106	22	11/02/10	09/20/11	\$2,893.69	\$3,791.00	\$-897.31
NETFLIX COM INC	64110L106	28	11/02/10	09/20/11	\$3,682.88	\$4,784.72	\$-1,101.84
NETFLIX COM INC	64110L106	5	11/02/10	09/20/11	\$667.76	\$854.41	\$-186.65
EXPEDITORS INTERNATIONAL WASH INC	302130109	40	02/15/11	09/27/11	\$1,690.75	\$2,152.10	\$-461.35

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
EXPEDITORS INTERNATIONAL WASH INC	302130109	11	04/01/11	09/27/11	\$464.95	\$559.89	\$-94.94
EXPEDITORS INTERNATIONAL WASH INC	302130109	33	04/05/11	09/27/11	\$1,394.87	\$1,672.94	\$-278.07
LAUDER ESTEE COS INC CL A	518439104	24	06/14/11	09/27/11	\$2,367.30	\$2,386.32	\$-19.02
LAUDER ESTEE COS INC CL A	518439104	43	06/15/11	09/27/11	\$4,241.41	\$4,194.27	\$47.14
LAUDER ESTEE COS INC CL A	518439104	21	02/18/11	09/27/11	\$2,071.39	\$1,995.46	\$75.93
PRICELINE COM INC	741503403	2	06/06/11	09/27/11	\$1,023.08	\$1,003.44	\$19.64
PRICELINE COM INC	741503403	8	08/24/11	09/27/11	\$4,092.30	\$3,846.47	\$245.83
QUALCOMM INC	747525103	42	06/14/11	09/27/11	\$2,198.70	\$2,342.05	\$-143.35
QUALCOMM INC	747525103	110	03/23/11	09/27/11	\$5,758.49	\$5,739.80	\$18.69
QUALCOMM INC	747525103	66	12/13/10	09/27/11	\$3,455.09	\$3,253.28	\$201.81
ST JUDE MEDICAL INC	790849103	177	07/21/11	09/27/11	\$6,917.01	\$8,734.63	\$-1,817.62
SALESFORCE COM INC	794661302	12	08/23/11	09/27/11	\$1,504.75	\$1,356.28	\$148.47
SALESFORCE COM INC	794661302	27	08/22/11	09/27/11	\$3,385.69	\$3,048.00	\$337.69
SALESFORCE COM INC	794661302	11	10/07/10	09/27/11	\$1,379.35	\$1,139.76	\$239.59
STANDARDS & POORS DEP RCPTS TR SER I	78462F103	2500	07/27/11	09/27/11	\$296,428.56	\$346,375.81	\$-49,947.25
STANDARDS & POORS DEP RCPTS TR SER I	78462F103	500	08/01/11	09/27/11	\$59,285.71	\$64,238.25	\$-4,952.54
STANDARDS & POORS DEP RCPTS TR SER I	78462F103	1000	06/23/11	09/27/11	\$118,571.42	\$127,374.40	\$-8,802.98
FEDERAL HOME LN BKS	3133XX7F8	250000	01/26/11	09/27/11	\$254,626.25	\$254,388.75	\$237.50
FEDERAL HOME LN MTG CORP	3137EACL1	300000	12/02/10	09/27/11	\$302,847.00	\$299,319.60	\$3,527.40
UNITED STATES TREAS NT	912828MX5	100000	12/02/10	09/27/11	\$102,312.50	\$102,543.30	\$-230.80
EXPEDITORS INTERNATIONAL WASH INC	302130109	2	02/17/11	09/27/11	\$84.54	\$108.04	\$-23.50
EXPEDITORS INTERNATIONAL WASH INC	302130109	20	02/17/11	09/27/11	\$845.37	\$1,089.49	\$-244.12
EXPEDITORS INTERNATIONAL WASH INC	302130109	32	02/16/11	09/27/11	\$1,352.60	\$1,745.43	\$-392.83
CHIPOTLE MEXICAN GRILL INC CL A	169656105	21	02/11/11	09/27/11	\$6,813.98	\$5,640.71	\$1,173.27
CELGENE CORP COM	151020104	8	08/23/11	09/27/11	\$514.20	\$447.78	\$66.42
CELGENE CORP COM	151020104	47	12/10/10	09/27/11	\$3,020.91	\$2,686.97	\$333.94

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
CELGENE CORP COM	151020104	9	12/11/10	09/27/11	\$578.47	\$517.49	\$60.98
CELGENE CORP COM	151020104	1	12/08/10	09/27/11	\$64.27	\$59.41	\$4.86
CELGENE CORP COM	151020104	1	11/19/10	09/27/11	\$64.27	\$60.55	\$3.72
BIOGEN IDEC INC	09062X103	2	04/26/11	09/27/11	\$196.31	\$204.77	\$-8.46
BIOGEN IDEC INC	09062X103	22	05/09/11	09/27/11	\$2,159.46	\$2,311.65	\$-152.19
BIOGEN IDEC INC	09062X103	11	08/05/11	09/27/11	\$1,079.73	\$1,177.58	\$-97.85
BIOGEN IDEC INC	09062X103	11	08/04/11	09/27/11	\$1,079.73	\$1,190.59	\$-110.86
UNITED STATES TREAS NT	912828NC0	105000	12/15/10	09/27/11	\$106,890.82	\$106,353.87	\$536.95
BIOGEN IDEC INC	09062X103	1	08/04/11	09/27/11	\$98.16	\$109.47	\$-11.31
BIOGEN IDEC INC	09062X103	16	08/04/11	09/27/11	\$1,570.51	\$1,747.66	\$-177.15
SWIRE PAC LTD SPONSORED ADR 1 A SH	870794302	45	01/19/11	10/03/11	\$464.01	\$741.25	\$-277.24
SWIRE PAC LTD SPONSORED ADR 1 A SH	870794302	247	01/07/11	10/03/11	\$2,546.92	\$4,171.04	\$-1,624.12
ALEXION PHARMACEUTICALS INC	015351109	71	10/22/10	10/05/11	\$4,410.11	\$2,379.50	\$2,030.61
CHIPOTLE MEXICAN GRILL INC CL A	169656105	8	02/11/11	10/05/11	\$2,365.90	\$2,148.84	\$217.06
UNITED STATES TREAS NT	912828LC2	125000	08/25/11	10/06/11	\$132,670.90	\$133,222.66	\$-551.76
UNITED STATES TREAS NT	912828KV1	150000	06/06/11	10/06/11	\$157,259.77	\$156,650.39	\$609.38
OCCIDENTAL PETROLEUM CORP	674599105	454	07/07/11	10/14/11	\$38,258.03	\$48,739.17	\$-10,481.14
RAYTHEON CO NEW	755111507	289	02/11/11	10/14/11	\$12,325.44	\$14,740.45	\$-2,415.01
RAYTHEON CO NEW	755111507	315	01/19/11	10/14/11	\$13,434.30	\$15,978.38	\$-2,544.08
YUM BRANDS INC	988498101	680	07/07/11	10/14/11	\$35,213.87	\$37,587.00	\$-2,373.13
RAYTHEON CO NEW	755111507	15	09/12/11	10/14/11	\$639.73	\$606.61	\$33.12
RAYTHEON CO NEW	755111507	980	01/07/11	10/14/11	\$41,795.61	\$47,926.88	\$-6,131.27
RAYTHEON CO NEW	755111507	16	06/21/11	10/14/11	\$682.38	\$796.40	\$-114.02
RAYTHEON CO NEW	755111507	611	07/07/11	10/14/11	\$26,058.28	\$30,565.28	\$-4,507.00
HARBOR INTERNATIONAL FUND	411511306	4670.47	03/29/11	10/18/11	\$253,793.07	\$291,250.21	\$-37,457.14
STANDARDS & POORS DEP RCPTS TR SER I	78462F103	575	06/23/11	10/18/11	\$68,601.41	\$73,240.28	\$-4,638.87

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
VANGUARD INTL EQUITY INDEX FD INC	922042858	11000	04/18/11	10/18/11	\$423,204.76	\$530,412.30	\$-107,207.54
UNITED STATES TREAS NT	912828LC2	260000	08/25/11	10/20/11	\$275,742.19	\$277,103.12	\$-1,360.93
UNITED STATES TREAS NT	912828RG7	200000	09/27/11	10/20/11	\$198,890.63	\$199,070.31	\$-179.68
EMC CORPORATION	268648102	11	06/14/11	10/21/11	\$264.60	\$299.01	\$-34.41
QUALCOMM INC	747525103	105	12/03/10	10/21/11	\$5,471.46	\$5,119.94	\$351.52
QUALCOMM INC	747525103	26	12/13/10	10/21/11	\$1,354.84	\$1,281.59	\$73.25
COGNIZANT TECHNOLOGY SOLUTIONS	192446102	70	02/08/11	10/21/11	\$4,899.07	\$5,359.43	\$-460.36
FRANCO NEV CORP	351858105	114	03/03/11	10/25/11	\$4,599.21	\$4,033.33	\$565.88
FRANCO NEV CORP	351858105	12	10/29/10	10/25/11	\$484.13	\$414.85	\$69.28
PRECISION CASTPARTS CORP	740189105	5	12/14/10	10/27/11	\$841.19	\$700.36	\$140.83
AUTONATION INC	05329W102	71	03/30/11	10/27/11	\$2,857.47	\$2,503.68	\$353.79
PRECISION CASTPARTS CORP	740189105	5	11/02/10	10/27/11	\$841.19	\$702.00	\$139.19
BIOGEN IDEC INC	09062Y103	57	04/26/11	10/27/11	\$6,645.10	\$5,835.86	\$809.24
ST JUDE MEDICAL INC	790849103	30	07/22/11	10/27/11	\$1,229.77	\$1,469.48	\$-239.71
PRECISION CASTPARTS CORP	740189105	22	12/14/10	10/27/11	\$3,741.94	\$3,081.56	\$660.38
ST JUDE MEDICAL INC	790849103	68	07/21/11	10/28/11	\$2,730.65	\$3,346.36	\$-615.71
ST JUDE MEDICAL INC	790849103	210	07/22/11	10/28/11	\$8,432.90	\$10,286.34	\$-1,853.44
ST JUDE MEDICAL INC	790849103	76	06/14/11	10/28/11	\$3,051.90	\$3,741.46	\$-689.56
ST JUDE MEDICAL INC	790849103	38	07/21/11	10/28/11	\$1,525.95	\$1,875.23	\$-349.28
AUTONATION INC	05329W102	86	03/30/11	11/03/11	\$3,204.97	\$3,032.63	\$172.34
CMS ENERGY CORP	125896100	58	06/21/11	11/15/11	\$1,213.04	\$1,148.69	\$64.35
CMS ENERGY CORP	125896100	1043	02/11/11	11/15/11	\$21,813.71	\$20,072.54	\$1,741.17
CMS ENERGY CORP	125896100	1150	01/19/11	11/15/11	\$24,051.56	\$22,062.75	\$1,988.81
CMS ENERGY CORP	125896100	1174	01/07/11	11/15/11	\$24,553.50	\$22,087.29	\$2,466.21
CMS ENERGY CORP	125896100	2222	07/07/11	11/15/11	\$46,471.79	\$44,962.17	\$1,509.62
CMS ENERGY CORP	125896100	2391	01/07/11	11/16/11	\$49,493.94	\$44,983.56	\$4,510.38

Short term transactions

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
CMS ENERGY CORP	125896100	55	09/12/11	11/16/11	\$1,138 51	\$1,033 38	\$105 13
GENERAL ELECTRIC CO	369604103	2503	07/07/11	11/17/11	\$39,134 40	\$48,220 30	\$-9,085 90
GENERAL ELECTRIC CO	369604103	1295	01/19/11	11/17/11	\$20,247 33	\$24,145 28	\$-3,897 95
GENERAL ELECTRIC CO	369604103	66	06/21/11	11/17/11	\$1,031 91	\$1,229 25	\$-197 34
GENERAL ELECTRIC CO	369604103	4015	01/07/11	11/17/11	\$62,774 52	\$74,618 76	\$-11,844 24
GENERAL ELECTRIC CO	369604103	60	09/12/11	11/17/11	\$938 10	\$904 71	\$33 39
GENERAL ELECTRIC CO	369604103	1174	02/11/11	11/17/11	\$18,355 49	\$24,730 31	\$-6,374 82
HARBOR INTERNATIONAL FUND	411511306	2722 32	03/29/11	12/05/11	\$150,000 00	\$169,764 07	\$-19,764 07
GOOGLE INC CL A	38259P508	3	10/27/11	12/05/11	\$1,879 36	\$1,793 72	\$85 64
GOOGLE INC CL A	38259P508	3	10/27/11	12/05/11	\$1,879 36	\$1,790 66	\$88 70
GOOGLE INC CL A	38259P508	1	03/10/11	12/05/11	\$626 45	\$582 32	\$44 13
NOVO-NORDISK A S ADR	670100205	12	06/06/11	12/05/11	\$1,331 31	\$1,529 77	\$-198 46
BIOGEN IDEC INC	09062Y103	114	04/26/11	12/05/11	\$12,768 77	\$11,671 71	\$1,097 06
NOVO-NORDISK A S ADR	670100205	27	06/06/11	12/06/11	\$3,064 21	\$3,441 98	\$-377 77
NOVO-NORDISK A S ADR	670100205	8	06/03/11	12/06/11	\$907 92	\$1,012 88	\$-104 96
NOVO-NORDISK A S ADR	670100205	21	06/03/11	12/07/11	\$2,421 08	\$2,658 80	\$-237 72
NOVO-NORDISK A S ADR	670100205	4	06/14/11	12/07/11	\$461 16	\$500 21	\$-39 05
NOVO-NORDISK A S ADR	670100205	6	06/14/11	12/08/11	\$685 91	\$750 31	\$-64 40
SWIRE PAC LTD SPONSORED ADR 1 A SH	870794302	18	12/15/10	12/09/11	\$209 18	\$295 25	\$-86 07
SWIRE PAC LTD SPONSORED ADR 1 A SH	870794302	228	01/19/11	12/09/11	\$2,649 62	\$3,755 69	\$-1,106 07
HARBOR INTERNATIONAL FUND	411511306	2162 55	03/29/11	12/12/11	\$115,350 18	\$134,856 37	\$-19,506 19
HARBOR INTERNATIONAL FUND	411511306	868 01	03/29/11	12/15/11	\$45,162 77	\$54,129 35	\$-8,966 58
LIBERTY MEDIA CORP NEW	530322106	0 82	08/01/11	12/15/11	\$60 50	\$71 21	\$-10 71
PIMCO COMMODITY REALRETURN STRA	722005667	5197 51	02/25/11	12/16/11	\$37,370 06	\$50,000 00	\$-12 629 94
Total short term gain/loss from sales					\$7 994,089 74	\$8 409 932 90	\$-415 843 16

Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss	Wash sale loss disallowed
MEDCO HEALTH SOLUTIONS INC	58405U102	35	12/17/10	01/05/11	\$2,148.30	\$2,190.90	\$-42.60	\$42.60
KRAFT FOODS INC CL A	50075N104	338	01/07/11	01/31/11	\$10,236.13	\$10,604.75	\$-368.62	\$368.62
JOHNSON AND JOHNSON	478160104	288	01/07/11	01/31/11	\$17,249.06	\$18,208.80	\$-959.74	\$959.74
NESTLE S A ADR REG	641069406	543	01/07/11	01/31/11	\$29,386.32	\$30,274.96	\$-888.64	\$888.64
MICROSOFT CORP	594918104	846	01/07/11	03/04/11	\$21,997.10	\$24,250.59	\$-2,253.49	\$2,253.49
COGNIZANT TECHNOLOGY SOLUTIONS	192446102	33	02/15/11	03/10/11	\$2,526.70	\$2,528.78	\$-2.08	\$2.08
MEDCO HEALTH SOLUTIONS INC	58405U102	9	11/28/10	05/31/11	\$537.26	\$571.51	\$-34.25	\$34.25
MEDCO HEALTH SOLUTIONS INC	58405U102	8	11/28/10	05/31/11	\$477.58	\$508.00	\$-30.42	\$30.42
MEDCO HEALTH SOLUTIONS INC	58405U102	2	12/01/10	05/31/11	\$119.40	\$126.48	\$-7.08	\$7.08
JUNIPER NETWORKS INC	48203R104	5	03/15/11	06/10/11	\$155.31	\$210.77	\$-55.46	\$55.46
JUNIPER NETWORKS INC	48203R104	51	03/16/11	06/10/11	\$1,584.15	\$2,146.29	\$-562.14	\$562.14
JUNIPER NETWORKS INC	48203R104	97	03/15/11	06/10/11	\$3,013.00	\$4,087.97	\$-1,074.97	\$1,074.97
JUNIPER NETWORKS INC	48203R104	30	03/15/11	06/10/11	\$931.39	\$1,264.60	\$-333.21	\$333.21

Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss	Wash sale loss disallowed
JUNIPER NETWORKS INC	48203R104	35	03/11/11	06/10/11	\$1,086.61	\$1,493.60	\$-406.99	\$406.99
JUNIPER NETWORKS INC	48203R104	36	03/11/11	06/10/11	\$1,117.66	\$1,530.65	\$-412.99	\$412.99
JUNIPER NETWORKS INC	48203R104	158	03/14/11	06/10/11	\$4,872.99	\$6,829.88	\$-1,956.89	\$1,956.89
JUNIPER NETWORKS INC	48203R104	60	03/14/11	06/10/11	\$1,850.50	\$2,591.14	\$-740.64	\$740.64
JUNIPER NETWORKS INC	48203R104	9	03/11/11	06/10/11	\$277.58	\$384.07	\$-106.49	\$106.49
JUNIPER NETWORKS INC	48203R104	7	03/07/11	06/13/11	\$210.93	\$314.51	\$-103.58	\$103.58
JUNIPER NETWORKS INC	48203R104	9	03/03/11	06/13/11	\$271.20	\$410.88	\$-139.68	\$139.68
JUNIPER NETWORKS INC	48203R104	36	03/03/11	06/13/11	\$1,084.80	\$1,630.58	\$-545.78	\$545.78
JUNIPER NETWORKS INC	48203R104	35	03/03/11	06/13/11	\$1,054.66	\$1,590.75	\$-536.09	\$536.09
JUNIPER NETWORKS INC	48203R104	60	03/06/11	06/13/11	\$1,807.99	\$2,769.96	\$-961.97	\$961.97
JUNIPER NETWORKS INC	48203R104	158	03/06/11	06/13/11	\$4,761.05	\$7,300.77	\$-2,539.72	\$2,539.72
TARGET CORP	87612E106	19	08/06/10	06/29/11	\$889.23	\$994.16	\$-104.93	\$104.93
TARGET CORP	87612E106	302	08/06/10	06/29/11	\$14,134.08	\$15,801.88	\$-1,667.80	\$1,667.80

Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss	Wash sale loss disallowed
TE CONNECTIVITY LTD	H84989104	49	01/13/11	06/29/11	\$1,771.95	\$1,784.97	\$-13.02	\$13.02
TE CONNECTIVITY LTD	H84989104	84	01/13/11	06/29/11	\$3,037.63	\$3,057.91	\$-20.28	\$20.28
TE CONNECTIVITY LTD	H84989104	74	01/14/11	06/29/11	\$2,676.01	\$2,705.85	\$-29.84	\$29.84
TE CONNECTIVITY LTD	H84989104	37	01/13/11	06/29/11	\$1,338.01	\$1,346.93	\$-8.92	\$8.92
PHILIP MORRIS INTL INC	718172109	16	06/21/11	07/06/11	\$1,094.25	\$1,100.24	\$-5.99	\$5.99
CELGENE CORP COM	151020104	9	11/19/10	08/01/11	\$531.27	\$545.00	\$-13.73	\$13.73
CELGENE CORP COM	151020104	47	11/19/10	08/01/11	\$2,774.44	\$2,846.14	\$-71.70	\$71.70
CELGENE CORP COM	151020104	1	11/19/10	08/04/11	\$57.12	\$60.56	\$-3.44	\$3.44
STANDARDS & POORS DEP RCPTS TR SER I	78462F103	7500	08/01/11	08/08/11	\$869,501.05	\$963,573.75	\$-94,072.70	\$94,072.70
ACME PACKET INC	004764106	132	06/03/11	08/09/11	\$6,275.82	\$9,874.19	\$-3,598.37	\$3,598.37
ACME PACKET INC	004764106	57	06/03/11	08/09/11	\$2,726.67	\$4,263.86	\$-1,537.19	\$1,537.19
F5 NETWORKS INC	315616102	72	11/10/10	08/09/11	\$5,273.55	\$8,897.94	\$-3,624.39	\$3,624.39
BIOGEN IDEC INC	09062X103	11	07/22/11	08/09/11	\$967.03	\$1,163.71	\$-196.68	\$196.68

Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss	Wash sale loss disallowed
BIOGEN IDEC INC	09062X103	1	07/22/11	08/09/11	\$87.91	\$105.79	\$-17.88	\$17.88
BIOGEN IDEC INC	09062X103	16	07/22/11	08/09/11	\$1,406.58	\$1,688.72	\$-282.14	\$282.14
BIOGEN IDEC INC	09062X103	11	07/22/11	08/09/11	\$967.03	\$1,160.99	\$-193.96	\$193.96
BIOGEN IDEC INC	09062X103	22	04/26/11	08/09/11	\$1,934.05	\$2,252.44	\$-318.39	\$318.39
SALESFORCE.COM INC	79466L302	6	03/14/11	09/27/11	\$752.38	\$753.69	\$-1.31	\$1.31
SALESFORCE.COM INC	79466L302	24	03/14/11	09/27/11	\$3,009.50	\$3,014.78	\$-5.28	\$5.28
PRICELINE.COM INC	741503403	3	06/03/11	09/27/11	\$1,534.61	\$1,540.12	\$-5.51	\$5.51
ACME PACKET INC	004764106	26	04/18/11	10/21/11	\$812.19	\$1,864.13	\$-1,051.94	\$1,051.94
ACME PACKET INC	004764106	39	04/11/11	10/21/11	\$1,218.28	\$2,793.43	\$-1,575.15	\$1,575.15
ACME PACKET INC	004764106	42	06/06/11	10/21/11	\$1,273.14	\$3,015.57	\$-1,742.43	\$1,742.43
ACME PACKET INC	004764106	36	04/14/11	10/21/11	\$1,091.27	\$2,590.52	\$-1,499.25	\$1,499.25
EMC CORPORATION	268648102	59	06/14/11	10/21/11	\$1,419.22	\$1,603.75	\$-184.53	\$184.53
EMC CORPORATION	268648102	10	06/14/11	10/21/11	\$240.55	\$271.82	\$-31.27	\$31.27

Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss	Wash sale loss disallowed
EMC CORPORATION	268648102	55	03/11/11	10/21/11	\$1,323.00	\$1,466.32	\$-143.32	\$143.32
ACME PACKET INC	004764106	37	04/18/11	10/21/11	\$1,121.58	\$2,652.80	\$-1,531.22	\$1,531.22
ACME PACKET INC	004764106	6	03/18/11	10/24/11	\$193.36	\$528.56	\$-335.20	\$335.20
ACME PACKET INC	004764106	37	03/25/11	10/24/11	\$1,172.94	\$3,264.79	\$-2,091.85	\$2,091.85
ACME PACKET INC	004764106	15	03/18/11	10/24/11	\$476.91	\$1,321.40	\$-844.49	\$844.49
ACME PACKET INC	004764106	7	03/25/11	10/24/11	\$222.56	\$617.15	\$-394.59	\$394.59
ACME PACKET INC	004764106	16	03/25/11	10/24/11	\$507.22	\$1,410.62	\$-903.40	\$903.40
ACME PACKET INC	004764106	42	05/13/11	10/24/11	\$1,331.44	\$3,746.02	\$-2,414.58	\$2,414.58
ACME PACKET INC	004764106	36	03/21/11	10/24/11	\$1,141.24	\$3,185.96	\$-2,044.72	\$2,044.72
ACME PACKET INC	004764106	26	04/17/11	10/25/11	\$822.48	\$2,665.47	\$-1,842.99	\$1,842.99
ACME PACKET INC	004764106	37	02/27/11	10/25/11	\$1,157.16	\$3,757.87	\$-2,600.71	\$2,600.71
ACME PACKET INC	004764106	14	02/20/11	10/25/11	\$437.85	\$1,418.57	\$-980.72	\$980.72
ACME PACKET INC	004764106	36	02/23/11	10/25/11	\$1,125.89	\$3,665.72	\$-2,539.83	\$2,539.83

Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss	Wash sale loss disallowed
ACME PACKET INC	004764106	16	02/27/11	10/25/11	\$500.39	\$1,623.84	\$-1,123.45	\$1,123.45
ACME PACKET INC	004764106	3	02/27/11	10/25/11	\$93.82	\$304.20	\$-210.38	\$210.38
ACME PACKET INC	004764106	16	04/17/11	10/25/11	\$500.39	\$1,640.29	\$-1,139.90	\$1,139.90
ACME PACKET INC	004764106	16	02/07/11	10/26/11	\$476.26	\$1,746.16	\$-1,269.90	\$1,269.90
ACME PACKET INC	004764106	16	03/28/11	10/26/11	\$476.25	\$1,762.63	\$-1,286.38	\$1,286.38
ACME PACKET INC	004764106	3	02/07/11	10/26/11	\$89.30	\$327.13	\$-237.83	\$237.83
ACME PACKET INC	004764106	23	03/28/11	10/26/11	\$684.61	\$2,505.43	\$-1,820.82	\$1,820.82
ACME PACKET INC	004764106	6	02/07/11	10/26/11	\$178.59	\$655.25	\$-476.66	\$476.66
Total short term gain/loss from sales					\$1,051,585.73	\$1,204,760.21	\$-153,174.48	
Total short term loss disallowed from wash sales								\$153,174.48

Long term transactions

This category includes sales of assets held more than 12 months

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
LENDER PROCESSING SVCS INC	52602E102	106	12/15/09	01/04/11	\$3,227.73	\$4,467.68	\$-1,239.95
LENDER PROCESSING SVCS INC	52602E102	51	12/14/09	01/04/11	\$1,552.97	\$2,133.99	\$-581.02
DE LA RUE PLC	B3DGH82#3	323	12/01/09	01/06/11	\$4,163.94	\$5,256.88	\$-1,092.94

Long term transactions

This category includes sales of assets held more than 12 months

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
DE LA RUE PLC	B3DGH82#3	64	11/30/09	01/06/11	\$825.05	\$1,014.27	\$-189.22
AMERICAN ELECTRIC POWER INC	025537101	144	11/11/09	01/13/11	\$5,109.52	\$4,577.76	\$531.76
AMERICAN ELECTRIC POWER INC	025537101	51	11/11/09	01/13/11	\$1,811.48	\$1,621.29	\$190.19
AMERICAN ELECTRIC POWER INC	025537101	36	11/10/09	01/13/11	\$1,278.69	\$1,143.31	\$135.38
DE LA RUE PLC	B3DGH82#3	303	11/30/09	01/18/11	\$3,729.62	\$4,801.91	\$-1,072.29
ISHARES TR MSCI EMERGING MKTS	464287234	1200	12/10/09	01/18/11	\$57,331.18	\$49,440.00	\$7,891.18
CHINA UNICOM LTD SPONSORED ADR	16945R104	135	07/17/08	01/18/11	\$1,990.54	\$2,720.20	\$-729.66
FEDERAL FARM CR BKS	31331JBS1	300000	01/12/10	01/21/11	\$300,000.00	\$301,500.00	\$-1,500.00
WYETH NOTES	983024AA8	5000	04/15/09	01/26/11	\$5,448.55	\$5,351.50	\$97.05
WYETH NOTES	983024AA8	50000	11/05/09	01/26/11	\$54,485.50	\$54,710.00	\$-224.50
WACHOVIA CORP GLOBAL MEDIUM TERM	92976WBJ4	35000	07/10/09	01/26/11	\$37,984.45	\$36,680.70	\$1,303.75
CHINA UNICOM LTD SPONSORED ADR	16945R104	285	07/17/08	01/26/11	\$4,545.27	\$5,742.64	\$-1,197.37
GENERAL ELEC CAP CORP MEDIUM TERM	36962G3T9	50000	11/20/09	01/26/11	\$53,353.50	\$52,966.50	\$387.00
CITIGROUP INC SR NTS	172967EJ6	65000	09/24/09	01/26/11	\$68,745.95	\$67,765.75	\$980.20
DE LA RUE PLC	B3DGH82#3	146	11/30/09	01/26/11	\$1,639.70	\$2,313.79	\$-674.09
JOHNSON AND JOHNSON	478160104	126	03/27/09	01/26/11	\$7,645.02	\$6,685.24	\$959.78
CONOCOPHILLIPS	20825CAS3	40000	04/16/09	01/26/11	\$43,665.20	\$42,393.60	\$1,271.60
JOHNSON AND JOHNSON	478160104	189	03/27/09	01/27/11	\$11,481.44	\$10,027.87	\$1,453.57
FEDERAL NATL MTG ASSN	31398AD90	300000	01/12/10	01/28/11	\$300,000.00	\$300,600.00	\$-600.00
LENDER PROCESSING SVCS INC	52602E102	37	12/14/09	02/01/11	\$1,181.08	\$1,548.19	\$-367.11
ANGLO AMERN PLC ADR NEW	03485P201	174	04/14/09	02/01/11	\$4,431.69	\$1,856.74	\$2,574.95
LENDER PROCESSING SVCS INC	52602E102	92	12/11/09	02/01/11	\$2,936.75	\$3,791.59	\$-854.84
ILLUMINA INC	452327109	23	05/19/09	02/03/11	\$1,609.74	\$843.01	\$766.73
CME GROUP INC	12572Q105	4	03/27/09	02/08/11	\$1,202.22	\$1,007.99	\$194.23
LENDER PROCESSING SVCS INC	52602E102	17	12/11/09	02/08/11	\$543.91	\$700.62	\$-156.71
CME GROUP INC	12572Q105	11	07/28/09	02/08/11	\$3,306.11	\$2,912.78	\$393.33



Bank of America Private Wealth Management

IM DICKSON FOUNDATION INC

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Long term transactions

This category includes sales of assets held more than 12 months

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
CME GROUP INC	12572Q105	6	07/17/09	02/08/11	\$1,803.34	\$1,646.01	\$157.33
LENDER PROCESSING SVCS INC	52602E102	87	12/10/09	02/08/11	\$2,783.54	\$3,480.45	\$-696.91
AKAMAI TECHNOLOGIES INC	00971T101	75	07/30/09	02/09/11	\$3,596.29	\$1,246.24	\$2,350.05
CHINA LIFE INS CO LTD SPONSORED	16939P106	64	07/17/08	02/10/11	\$3,631.37	\$3,532.85	\$98.52
AKAMAI TECHNOLOGIES INC	00971T101	781	07/30/09	02/10/11	\$31,687.37	\$12,977.49	\$18,709.88
AKAMAI TECHNOLOGIES INC	00971T101	39	07/30/09	02/10/11	\$1,599.33	\$648.04	\$951.29
CME GROUP INC	12572Q105	43	03/27/09	02/15/11	\$12,607.81	\$10,835.89	\$1,771.92
CME GROUP INC	12572Q105	42	03/27/09	02/15/11	\$12,479.95	\$10,583.90	\$1,896.05
CB RICHARD ELLIS GROUP INC CL A	12497T101	187	02/09/10	02/15/11	\$4,722.04	\$2,294.34	\$2,427.70
CME GROUP INC	12572Q105	49	05/04/09	02/16/11	\$14,437.96	\$11,197.85	\$3,240.11
WILLIAMS COMPANIES	969457100	138	03/27/09	02/17/11	\$4,081.96	\$1,637.72	\$2,444.24
WILLIAMS COMPANIES	969457100	210	03/27/09	02/17/11	\$6,268.65	\$2,492.17	\$3,776.48
AMAZON COM INC	023135106	21	05/04/09	02/18/11	\$3,949.25	\$1,688.56	\$2,260.69
CISCO SYS INC	17275RAB8	110000	06/12/09	02/22/11	\$110,000.00	\$116,039.00	\$-6,039.00
ARTIO GLOBAL HIGH INCOME FUND	04315J860	9560.23	10/03/06	02/25/11	\$100,000.00	\$99,019.94	\$980.06
PRICELINE COM INC	741503403	7	07/27/09	02/28/11	\$3,189.98	\$864.28	\$2,325.70
ANGLO AMERN PLC ADR NEW	03485P201	169	04/14/09	03/03/11	\$4,601.27	\$1,803.39	\$2,797.88
CNOOC LTD SPONSORED ADR	126132109	28	04/10/07	03/04/11	\$6,347.57	\$2,488.36	\$3,859.21
CHINA LIFE INS CO LTD SPONSORED	16939P106	86	07/17/08	03/08/11	\$5,042.65	\$4,747.27	\$295.38
APPLE COMPUTER INC	037833100	32	05/19/09	03/10/11	\$11,090.66	\$4,098.15	\$6,992.51
APPLE COMPUTER INC	037833100	115	03/27/09	03/10/11	\$39,857.08	\$12,334.10	\$27,522.98
APPLE COMPUTER INC	037833100	39	03/27/09	03/11/11	\$13,716.17	\$4,182.87	\$9,533.30
APPLE COMPUTER INC	037833100	17	03/27/09	03/11/11	\$5,967.30	\$1,823.30	\$4,144.00
APPLE COMPUTER INC	037833100	15	03/27/09	03/11/11	\$5,265.86	\$1,608.79	\$3,657.07
QIAGEN N V	N72482107	29	02/26/10	03/11/11	\$588.23	\$629.27	\$-41.04
QIAGEN N V	N72482107	14	02/26/10	03/11/11	\$284.05	\$303.78	\$-19.73

Long term transactions

This category includes sales of assets held more than 12 months

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
ST JUDE MEDICAL INC	790849103	6	01/05/10	03/11/11	\$289.61	\$225.40	\$64.21
APPLE COMPUTER INC	037833100	24	03/27/09	03/14/11	\$8,501.28	\$2,574.07	\$5,927.21
QIAGEN N V	N72482107	5	02/26/10	03/14/11	\$100.42	\$108.49	\$-8.07
ILLUMINA INC	452327109	19	05/19/09	03/18/11	\$1,179.57	\$696.40	\$483.17
QIAGEN N V	N72482107	75	09/25/09	03/18/11	\$1,454.08	\$1,537.00	\$-82.92
QIAGEN N V	N72482107	83	10/01/09	03/18/11	\$1,609.18	\$1,741.80	\$-132.62
QIAGEN N V	N72482107	12	02/26/10	03/18/11	\$232.65	\$260.39	\$-27.74
ILLUMINA INC	452327109	257	12/04/09	03/21/11	\$16,173.78	\$7,253.85	\$8,919.93
ILLUMINA INC	452327109	97	07/17/09	03/21/11	\$6,104.50	\$3,121.27	\$2,983.23
ILLUMINA INC	452327109	97	05/19/09	03/21/11	\$6,093.56	\$3,555.33	\$2,538.23
ILLUMINA INC	452327109	23	12/04/09	03/21/11	\$1,447.46	\$644.85	\$802.61
ILLUMINA INC	452327109	68	07/17/09	03/21/11	\$4,271.77	\$2,188.10	\$2,083.67
DU PONT E I DE NEMOURS & CO	263534BU2	30000	02/23/10	03/24/11	\$32,488.50	\$32,604.90	\$-116.40
GEORGIA PWR CO	373334JM4	40000	08/14/09	03/24/11	\$44,578.00	\$44,458.00	\$120.00
DU PONT E I DE NEMOURS & CO	263534BU2	20000	04/15/09	03/24/11	\$21,659.00	\$21,411.40	\$247.60
CARNIVAL CORP PAIRED CTF 1 COM	143658300	126	09/15/08	03/25/11	\$4,901.21	\$5,243.34	\$-342.13
HONG KONG EXCHANGES & CLEARING	6267359#3	300	02/27/09	03/28/11	\$6,336.90	\$2,434.08	\$3,902.82
CHINA LIFE INS CO LTD SPONSORED	16939P106	120	07/17/08	03/29/11	\$6,469.17	\$6,624.10	\$-154.93
ARTIO INTL EQUITY FUND	043151506	5312.94	09/20/05	03/29/11	\$161,035.15	\$192,806.52	\$-31,771.37
ARTIO INTL EQUITY FUND	043151506	12718.6	12/08/04	03/29/11	\$385,500.80	\$400,000.00	\$-14,499.20
ARTIO INTL EQUITY FUND	043151506	3330	12/10/09	03/29/11	\$100,932.39	\$100,000.00	\$932.39
CARNIVAL CORP PAIRED CTF 1 COM	143658300	113	09/15/08	03/30/11	\$4,332.20	\$4,702.36	\$-370.16
ST JUDE MEDICAL INC	790849103	76	01/05/10	04/05/11	\$3,992.11	\$2,855.02	\$1,137.09
HONG KONG EXCHANGES & CLEARING	6267359#3	200	02/27/09	04/08/11	\$4,650.29	\$1,622.72	\$3,027.57
ISHARES TR MSCI EMERGING MKTS	464287234	1800	09/14/09	04/18/11	\$85,857.81	\$67,986.00	\$17,871.81
ISHARES TR MSCI EMERGING MKTS	464287234	500	06/02/09	04/18/11	\$23,849.39	\$17,110.00	\$6,739.39



Bank of America Private Wealth Management

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Long term transactions

This category includes sales of assets held more than 12 months

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
ISHARES TR MSCI EMERGING MKTS	464287234	500	05/26/09	04/18/11	\$23,849.39	\$16,070.00	\$7,779.39
ISHARES TR MSCI EMERGING MKTS	464287234	500	05/11/09	04/18/11	\$23,849.39	\$15,385.00	\$8,464.39
ISHARES TR MSCI EMERGING MKTS	464287234	2000	04/09/09	04/18/11	\$95,397.57	\$55,940.00	\$39,457.57
ISHARES TR MSCI EMERGING MKTS	464287234	500	04/28/09	04/18/11	\$23,849.39	\$13,485.00	\$10,364.39
ISHARES TR MSCI EMERGING MKTS	464287234	3000	01/28/10	04/18/11	\$143,096.35	\$118,440.00	\$24,656.35
ISHARES TR MSCI EMERGING MKTS	464287234	1800	12/10/09	04/18/11	\$85,857.81	\$74,160.00	\$11,697.81
ISHARES TR MSCI EMERGING MKTS	464287234	200	06/08/09	04/18/11	\$9,539.76	\$6,574.00	\$2,965.76
CENOVUS ENERGY INC	15135U109	85	01/09/08	04/26/11	\$3,240.21	\$2,810.73	\$429.48
MORGAN STANLEY	617446448	3	03/27/09	05/04/11	\$75.83	\$76.09	\$-0.26
FOOT LOCKER INC	344849104	19	08/13/09	05/04/11	\$402.09	\$211.25	\$190.84
MORGAN STANLEY	617446448	125	03/27/09	05/04/11	\$3,169.96	\$3,170.42	\$-0.46
MORGAN STANLEY	617446448	123	04/09/09	05/04/11	\$3,119.25	\$3,048.47	\$70.78
PARKER-HANNIFIN CP	701094104	19	04/29/09	05/04/11	\$1,717.11	\$858.17	\$858.94
PARKER-HANNIFIN CP	701094104	44	04/29/09	05/04/11	\$3,976.46	\$1,979.15	\$1,997.31
UNITED STS STL CORP	912909AE8	8000	07/16/09	05/04/11	\$12,903.40	\$10,683.36	\$2,220.04
V F CORP	918204108	23	03/27/09	05/04/11	\$2,252.36	\$1,350.94	\$901.42
V F CORP	918204108	53	03/27/09	05/04/11	\$5,207.24	\$3,113.03	\$2,094.21
MORGAN STANLEY	617446448	276	03/27/09	05/04/11	\$6,976.18	\$7,000.30	\$-24.12
FOOT LOCKER INC	344849104	132	08/14/09	05/04/11	\$2,793.45	\$1,493.46	\$1,299.99
FOOT LOCKER INC	344849104	96	08/14/09	05/04/11	\$2,031.24	\$1,086.15	\$945.09
FOOT LOCKER INC	344849104	23	08/12/09	05/04/11	\$486.65	\$262.64	\$224.01
FOOT LOCKER INC	344849104	191	08/13/09	05/04/11	\$4,041.31	\$2,184.91	\$1,856.40
FOOT LOCKER INC	344849104	81	08/12/09	05/04/11	\$1,713.85	\$928.97	\$784.88
FOOT LOCKER INC	344849104	130	08/12/09	05/04/11	\$2,750.63	\$1,495.83	\$1,254.80
FOOT LOCKER INC	344849104	142	10/08/09	05/04/11	\$3,004.53	\$1,717.63	\$1,286.90
NASDAQ STL MKT INC ACCREDITED	631103108	515	04/10/07	05/06/11	\$13,690.54	\$15,202.03	\$-1,511.49

Long term transactions

This category includes sales of assets held more than 12 months

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
NASDAQ STK MKT INC ACCREDITED	631103108	62	12/28/06	05/06/11	\$1,648.18	\$1,931.11	\$-282.93
BEIJING CAPITAL INTL AIRPORT CO	620842280	9900	03/19/10	05/16/11	\$4,779.15	\$6,286.50	\$-1,507.35
QIAGEN N V	N72482107	4	05/04/09	05/20/11	\$80.89	\$67.87	\$13.02
QIAGEN N V	N72482107	161	05/04/09	05/20/11	\$3,215.93	\$2,731.80	\$484.13
HONG KONG EXCHANGES & CLEARING	6267359#3	200	02/27/09	05/23/11	\$4,359.45	\$1,622.72	\$2,736.73
QIAGEN N V	N72482107	42	05/04/09	05/31/11	\$827.01	\$712.65	\$114.36
QIAGEN N V	N72482107	385	05/19/09	05/31/11	\$7,580.89	\$6,368.79	\$1,212.10
QIAGEN N V	N72482107	106	04/08/09	05/31/11	\$2,087.20	\$1,731.83	\$355.37
QIAGEN N V	N72482107	60	04/03/09	05/31/11	\$1,181.44	\$971.02	\$210.42
QIAGEN N V	N72482107	139	04/28/09	06/01/11	\$2,707.93	\$2,244.17	\$463.76
QIAGEN N V	N72482107	39	04/03/09	06/01/11	\$759.78	\$631.17	\$128.61
PRAXAIR INC	74005P104	16	04/15/10	06/02/11	\$1,656.04	\$1,397.93	\$258.11
QIAGEN N V	N72482107	2	04/20/09	06/02/11	\$38.78	\$31.57	\$7.21
QIAGEN N V	N72482107	287	04/06/09	06/02/11	\$5,565.05	\$4,567.75	\$997.30
QIAGEN N V	N72482107	140	04/07/09	06/02/11	\$2,714.66	\$2,235.04	\$479.62
QIAGEN N V	N72482107	70	04/28/09	06/02/11	\$1,357.33	\$1,130.16	\$227.17
ST JUDE MEDICAL INC	790849103	22	05/19/09	06/03/11	\$1,061.48	\$814.55	\$246.93
ST JUDE MEDICAL INC	790849103	33	01/05/10	06/03/11	\$1,592.21	\$1,239.68	\$352.53
FMC TECHNOLOGIES INC	30249U101	64	05/24/10	06/06/11	\$2,711.29	\$1,850.92	\$860.37
BERKSHIRE HATHAWAY INC DEL	084670702	32	07/17/08	06/14/11	\$2,403.59	\$2,435.43	\$-31.84
BERKSHIRE HATHAWAY INC DEL	084670702	34	12/28/06	06/14/11	\$2,553.82	\$2,495.60	\$58.22
HONG KONG EXCHANGES & CLEARING	6267359#3	200	02/27/09	06/16/11	\$4,123.19	\$1,622.72	\$2,500.47
NASDAQ STK MKT INC ACCREDITED	631103108	165	04/10/07	06/16/11	\$3,886.54	\$4,870.55	\$-984.01
ARTIO GLOBAL HIGH INCOME FUND	04315J860	10679.61	10/03/06	06/20/11	\$110,000.00	\$110,613.93	\$-613.93
ILLINOIS TOOL WORKS INC	452308109	66	04/28/10	06/29/11	\$3,640.65	\$3,372.35	\$268.30
ILLINOIS TOOL WORKS INC	452308109	62	01/12/10	06/29/11	\$3,420.01	\$3,017.04	\$402.97

Long term transactions

This category includes sales of assets held more than 12 months

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
ILLINOIS TOOL WORKS INC	452308109	208	01/12/10	06/29/11	\$11,473.58	\$10,111.07	\$1,362.51
ILLINOIS TOOL WORKS INC	452308109	293	03/26/10	06/29/11	\$16,162.30	\$13,855.79	\$2,306.51
INTEL CORP	458140100	911	03/27/09	06/29/11	\$19,481.18	\$14,254.87	\$5,226.31
INTERNATIONAL BUSINESS MACHINES	459200101	9	07/26/07	06/29/11	\$1,536.20	\$976.11	\$560.09
INTERNATIONAL BUSINESS MACHINES	459200101	4	06/23/07	06/29/11	\$682.76	\$404.50	\$278.26
INTERNATIONAL BUSINESS MACHINES	459200101	4	06/10/07	06/29/11	\$682.76	\$396.16	\$286.60
INTERNATIONAL BUSINESS MACHINES	459200101	1	06/21/07	06/29/11	\$170.69	\$96.98	\$73.71
INTERNATIONAL BUSINESS MACHINES	459200101	354	03/27/09	06/29/11	\$60,423.98	\$33,129.54	\$27,294.44
JP MORGAN CHASE & CO	46625H100	1	06/22/08	06/29/11	\$40.41	\$49.80	\$-9.39
JP MORGAN CHASE & CO	46625H100	1177	03/27/09	06/29/11	\$47,564.59	\$34,259.53	\$13,305.06
JOHNSON AND JOHNSON	478160104	488	03/27/09	06/29/11	\$32,413.55	\$25,892.05	\$6,521.50
KRAFT FOODS INC CL A	50075N104	78	03/13/08	06/29/11	\$2,709.90	\$2,611.50	\$98.40
KRAFT FOODS INC CL A	50075N104	6	03/23/08	06/29/11	\$208.45	\$197.68	\$10.77
KRAFT FOODS INC CL A	50075N104	2	03/01/08	06/29/11	\$69.48	\$64.16	\$5.32
KRAFT FOODS INC CL A	50075N104	226	05/06/09	06/29/11	\$7,851.77	\$5,672.99	\$2,178.78
KRAFT FOODS INC CL A	50075N104	244	03/27/09	06/29/11	\$8,477.13	\$5,616.27	\$2,860.86
MCDONALDS CORP	580135101	281	03/27/09	06/29/11	\$23,736.31	\$15,653.80	\$8,082.51
MERCK & CO INC	58933Y105	648	03/27/09	06/29/11	\$22,629.48	\$23,328.00	\$-698.52
METLIFE INC	59156R108	593	03/27/09	06/29/11	\$25,704.57	\$14,829.45	\$10,875.12
NORDSTROM INC	655664100	62	04/29/09	06/29/11	\$2,906.04	\$1,363.01	\$1,543.03
NORDSTROM INC	655664100	4	04/13/09	06/29/11	\$187.49	\$87.56	\$99.93
NORDSTROM INC	655664100	3	04/09/09	06/29/11	\$140.61	\$64.48	\$76.13
NORDSTROM INC	655664100	8	04/14/09	06/29/11	\$374.97	\$170.29	\$204.68
NORDSTROM INC	655664100	17	04/14/09	06/29/11	\$796.82	\$359.20	\$437.62
NORDSTROM INC	655664100	34	04/09/09	06/29/11	\$1,593.63	\$712.33	\$881.30
NORDSTROM INC	655664100	2	04/09/09	06/29/11	\$93.74	\$41.07	\$52.67

Long term transactions

This category includes sales of assets held more than 12 months

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
NORDSTROM INC	655664100	9	04/09/09	06/29/11	\$421.84	\$184.77	\$237.07
NORDSTROM INC	655664100	85	04/08/09	06/29/11	\$3,984.09	\$1,567.37	\$2,416.72
OCCIDENTAL PETROLEUM CORP	674599105	670	03/27/09	06/29/11	\$68,911.85	\$39,348.03	\$29,563.82
PG & E CORP	69331C108	175	07/14/09	06/29/11	\$7,257.55	\$6,634.55	\$623.00
PG & E CORP	69331C108	396	07/13/09	06/29/11	\$16,422.79	\$14,989.95	\$1,432.84
PNC BANK CORP	693475105	341	03/27/09	06/29/11	\$20,027.39	\$10,907.26	\$9,120.13
P P G INDS INC	693506107	97	10/08/09	06/29/11	\$8,655.39	\$5,746.67	\$2,908.72
P P G INDS INC	693506107	106	10/08/09	06/29/11	\$9,458.46	\$6,261.41	\$3,197.05
PACKAGING CORP AMER	695156109	179	06/19/09	06/29/11	\$4,938.96	\$2,632.09	\$2,306.87
PACKAGING CORP AMER	695156109	225	06/22/09	06/29/11	\$6,208.19	\$3,199.23	\$3,008.96
PACKAGING CORP AMER	695156109	18	06/22/09	06/29/11	\$496.65	\$253.97	\$242.68
PACKAGING CORP AMER	695156109	132	06/23/09	06/29/11	\$3,642.14	\$1,849.87	\$1,792.27
PARKER-HANNIFIN CP	701094104	84	04/29/09	06/29/11	\$7,365.18	\$3,778.38	\$3,586.80
PARKER-HANNIFIN CP	701094104	61	07/09/09	06/29/11	\$5,348.53	\$2,453.63	\$2,894.90
PARKER-HANNIFIN CP	701094104	28	07/09/09	06/29/11	\$2,455.06	\$1,123.51	\$1,331.55
PFIZER INC	717081103	336	07/13/09	06/29/11	\$6,899.16	\$4,895.08	\$2,004.08
PFIZER INC	717081103	1133	07/09/09	06/29/11	\$23,264.11	\$16,287.21	\$6,976.90
PFIZER INC	717081103	97	07/09/09	06/29/11	\$1,991.72	\$1,393.19	\$598.53
PFIZER INC	717081103	84	07/10/09	06/29/11	\$1,724.79	\$1,191.12	\$533.67
PHILIP MORRIS INTL INC	718172109	654	03/27/09	06/29/11	\$43,367.53	\$24,712.11	\$18,655.42
PRICE T ROWE GROUP INC	74144T108	253	03/27/09	06/29/11	\$14,990.74	\$7,591.90	\$7,398.84
SEMPRA ENERGY	816851109	397	12/22/09	06/29/11	\$20,688.30	\$22,236.33	\$-1,548.03
US BANCORP DEL NEW	902973304	1915	03/27/09	06/29/11	\$48,242.71	\$30,959.81	\$17,282.90
UNITED TECHNOLOGIES CORP	913017109	613	03/27/09	06/29/11	\$53,159.86	\$27,753.27	\$25,406.59
V F CORP	918204108	106	03/27/09	06/29/11	\$11,439.56	\$6,226.06	\$5,213.50
VERIZON COMMUNICATIONS	92343V104	1168	03/27/09	06/29/11	\$42,902.73	\$33,300.93	\$9,601.80

Long term transactions

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Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
WELLS FARGO & CO (NEW)	949746101	817	03/27/09	06/29/11	\$22,861.34	\$13,355.91	\$9,505.43
WILLIAMS COMPANIES	969457100	816	03/27/09	06/29/11	\$24,457.58	\$9,683.88	\$14,773.70
XL GROUP PLC	G98290102	193	12/03/09	06/29/11	\$4,211.91	\$3,534.24	\$677.67
XL GROUP PLC	G98290102	186	12/03/09	06/29/11	\$4,059.15	\$3,380.70	\$678.45
XL GROUP PLC	G98290102	229	08/03/09	06/29/11	\$4,997.55	\$3,295.95	\$1,701.60
XL GROUP PLC	G98290102	87	07/31/09	06/29/11	\$1,898.63	\$1,232.32	\$666.31
XL GROUP PLC	G98290102	229	07/31/09	06/29/11	\$4,997.56	\$3,207.44	\$1,790.12
TE CONNECTIVITY LTD	H84989104	108	04/29/10	06/29/11	\$3,905.53	\$3,478.17	\$427.36
TE CONNECTIVITY LTD	H84989104	5	04/29/10	06/29/11	\$180.81	\$161.02	\$19.79
TE CONNECTIVITY LTD	H84989104	210	04/29/10	06/29/11	\$7,594.08	\$6,743.04	\$851.04
TE CONNECTIVITY LTD	H84989104	60	04/29/10	06/29/11	\$2,169.74	\$1,920.07	\$249.67
TE CONNECTIVITY LTD	H84989104	183	04/30/10	06/29/11	\$6,617.70	\$5,851.08	\$766.62
GENUINE PARTS CO	372460105	69	07/29/09	06/29/11	\$3,734.38	\$2,446.57	\$1,287.81
GENUINE PARTS CO	372460105	57	07/28/09	06/29/11	\$3,084.92	\$2,008.67	\$1,076.25
GENUINE PARTS CO	372460105	168	07/16/09	06/29/11	\$9,092.41	\$5,711.01	\$3,381.40
GENUINE PARTS CO	372460105	29	07/16/09	06/29/11	\$1,569.52	\$985.05	\$584.47
GENUINE PARTS CO	372460105	12	07/16/09	06/29/11	\$649.46	\$405.96	\$243.50
GENUINE PARTS CO	372460105	312	03/27/09	06/29/11	\$16,885.89	\$9,668.10	\$7,217.79
GOODRICH (B F) CO	382388106	490	03/27/09	06/29/11	\$46,124.03	\$19,863.37	\$26,260.66
HEINZ H J CO	423074103	414	03/27/09	06/29/11	\$22,037.82	\$14,054.27	\$7,983.55
HOME DEPOT INC	437076102	779	03/27/09	06/29/11	\$28,147.69	\$18,709.63	\$9,438.06
HOME DEPOT INC	437076102	388	05/20/09	06/29/11	\$14,019.64	\$9,271.65	\$4,747.99
ILLINOIS TOOL WORKS INC	452308109	262	04/27/10	06/29/11	\$14,452.30	\$13,431.43	\$1,020.87
AT&T INC	00206R102	1700	03/27/09	06/29/11	\$53,217.99	\$44,262.73	\$8,955.26
AT&T INC	00206R102	127	07/28/09	06/29/11	\$3,975.70	\$3,247.89	\$727.81
ABBOTT LABS	002824100	567	03/27/09	06/29/11	\$29,564.23	\$26,647.58	\$2,916.65

Long term transactions

This category includes sales of assets held more than 12 months

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
AIR PRODUCTS & CHEMICALS INC	009158106	353	03/27/09	06/29/11	\$33,404.63	\$20,939.08	\$12,465.55
ALTRIA GROUP INC	022095103	840	03/27/09	06/29/11	\$21,943.98	\$14,000.70	\$7,943.28
AMERICAN ELECTRIC POWER INC	025537101	110	11/10/09	06/29/11	\$4,122.99	\$3,493.43	\$629.56
AMERICAN ELECTRIC POWER INC	025537101	151	11/09/09	06/29/11	\$5,659.75	\$4,758.10	\$901.65
AMERICAN ELECTRIC POWER INC	025537101	94	11/09/09	06/29/11	\$3,523.29	\$2,940.34	\$582.95
AMERICAN ELECTRIC POWER INC	025537101	352	11/06/09	06/29/11	\$13,193.58	\$10,901.02	\$2,292.56
AMERICAN ELECTRIC POWER INC	025537101	119	11/06/09	06/29/11	\$4,460.33	\$3,680.92	\$779.41
AMERICAN EXPRESS CO	025816109	440	02/08/10	06/29/11	\$22,032.17	\$16,274.76	\$5,757.41
ANALOG DEVICES INC	032654105	147	07/16/09	06/29/11	\$5,667.11	\$3,700.93	\$1,966.18
ANALOG DEVICES INC	032654105	140	07/15/09	06/29/11	\$5,397.25	\$3,490.68	\$1,906.57
ANALOG DEVICES INC	032654105	144	07/10/09	06/29/11	\$5,551.45	\$3,443.14	\$2,108.31
AUTOMATIC DATA PROCESSING INC	053015103	194	12/03/09	06/29/11	\$10,173.65	\$8,439.49	\$1,734.16
AUTOMATIC DATA PROCESSING INC	053015103	394	06/19/09	06/29/11	\$20,661.94	\$14,050.36	\$6,611.58
BOSTON PPTYS INC	101121101	4	09/29/07	06/29/11	\$422.23	\$383.29	\$38.94
BOSTON PPTYS INC	101121101	296	03/27/09	06/29/11	\$31,244.92	\$10,234.10	\$21,010.82
CARNIVAL CORP PAIRED CTF 1 COM	143658300	64	09/22/08	06/29/11	\$2,420.59	\$2,706.79	\$-286.20
CARNIVAL CORP PAIRED CTF 1 COM	143658300	63	09/22/08	06/29/11	\$2,382.77	\$2,654.41	\$-271.64
CHEVRONTXACO CORP	166764100	30	01/11/10	06/29/11	\$3,048.32	\$2,424.62	\$623.70
CHEVRONTXACO CORP	166764100	547	03/27/09	06/29/11	\$55,581.13	\$38,055.17	\$17,525.96
CITIGROUP INC	172967416	164	01/05/10	06/29/11	\$19,553.75	\$16,469.57	\$3,084.18
DIAGEO PLC SPONSORED ADR NEW	25243Q205	583	03/27/09	06/29/11	\$47,313.39	\$25,680.16	\$21,633.23
DOVER CORP	260003108	152	07/16/09	06/29/11	\$10,078.12	\$5,137.02	\$4,941.10
DOVER CORP	260003108	112	07/17/09	06/29/11	\$7,425.98	\$3,774.55	\$3,651.43
DOVER CORP	260003108	59	07/16/09	06/29/11	\$3,911.90	\$1,972.26	\$1,939.64
EXXON MOBIL CORP	30231G102	186	02/23/10	06/29/11	\$14,939.70	\$12,164.64	\$2,775.06
EXXON MOBIL CORP	30231G102	395	02/23/10	06/29/11	\$31,726.77	\$25,713.39	\$6,013.38

Long term transactions

This category includes sales of assets held more than 12 months

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
FRLEPORT-MCMORAN COPPER & GOLD CL B	35671D857	212	03/27/09	06/29/11	\$11,126.07	\$3,487.01	\$5,639.06
GENERAL MILLS INC	370334104	745	03/27/09	06/29/11	\$28,050.57	\$18,624.07	\$9,426.50
EMC CORP SR NT CONV	268648AK8	17000	03/26/10	06/30/11	\$29,048.75	\$21,197.67	\$7,851.08
NASDAQ STK MKT INC ACCREDITED	631103108	261	04/10/07	07/12/11	\$6,274.70	\$7,704.33	\$-1,429.63
FMC TECHNOLOGIES INC	30249U101	26	05/24/10	07/13/11	\$1,149.88	\$751.94	\$397.94
FMC TECHNOLOGIES INC	30249U101	18	05/24/10	07/13/11	\$796.34	\$520.57	\$275.77
PRAIRIE INC	74005P104	38	04/15/10	07/13/11	\$4,079.40	\$3,320.10	\$759.30
FMC TECHNOLOGIES INC	30249U101	14	05/24/10	07/14/11	\$604.32	\$404.89	\$199.43
FMC TECHNOLOGIES INC	30249U101	52	05/24/10	07/14/11	\$2,233.01	\$1,503.87	\$729.14
HONG KONG EXCHANGES & CLEARING	6267359#3	700	02/27/09	07/21/11	\$14,316.42	\$5,679.52	\$8,636.90
MEDCO HEALTH SOLUTIONS INC	58405U102	272	05/04/09	07/21/11	\$17,276.78	\$12,128.72	\$5,148.06
MEDCO HEALTH SOLUTIONS INC	58405U102	455	03/27/09	07/21/11	\$28,900.50	\$18,217.06	\$10,683.44
MEDCO HEALTH SOLUTIONS INC	58405U102	17	02/25/10	07/21/11	\$1,079.80	\$906.88	\$172.92
MEDCO HEALTH SOLUTIONS INC	58405U102	41	05/19/09	07/21/11	\$2,604.22	\$1,906.31	\$697.91
TORTOISE ENERGY INFRASTRUCTURE	89147L100	5000	07/01/05	07/26/11	\$189,022.86	\$113,901.90	\$75,120.96
CENOVUS ENERGY INC	15135U109	102	01/09/08	07/26/11	\$4,008.39	\$3,372.87	\$635.52
ARTIO GLOBAL HIGH INCOME FUND	04315J860	10074.94	10/03/06	07/26/11	\$105,182.33	\$104,351.01	\$831.32
BERKSHIRE HATHAWAY INC DEL	084670702	61	12/28/06	07/28/11	\$4,580.22	\$4,477.40	\$102.82
AMAZON COM INC	023135106	8	05/19/09	08/01/11	\$1,781.75	\$613.82	\$1,167.93
AMAZON COM INC	023135106	67	05/04/09	08/01/11	\$14,922.15	\$5,387.29	\$9,534.86
BAIDU COM INC SPONSORED ADR REPSTG	056752108	1	07/16/10	08/01/11	\$157.06	\$74.02	\$83.04
BAIDU COM INC SPONSORED ADR REPSTG	056752108	85	07/16/10	08/01/11	\$13,349.87	\$6,268.78	\$7,081.09
CENOVUS ENERGY INC	15135U109	113	01/09/08	08/02/11	\$4,282.28	\$3,736.61	\$545.67
AMAZON COM INC	023135106	1	05/19/09	08/04/11	\$206.71	\$76.73	\$129.98
ICICI BK LTD ADR	45104G104	40	06/27/07	08/04/11	\$1,754.52	\$1,910.25	\$-155.73
ICICI BK LTD ADR	45104G104	52	06/18/09	08/04/11	\$2,280.87	\$1,501.14	\$779.73

Long term transactions

This category includes sales of assets held more than 12 months

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
TORTOISE ENERGY INFRASTRUCTURE	89147L100	3100	07/01/05	08/08/11	\$99,213.28	\$70,619.17	\$28,594.11
TORTOISE ENERGY INFRASTRUCTURE	89147L100	4900	07/01/05	08/08/11	\$156,446.15	\$111,623.86	\$44,822.29
LOEWS CORP	540424108	97	08/03/10	08/10/11	\$3,424.76	\$3,655.10	\$-230.34
CENOVUS ENERGY INC	15135U109	198	01/09/08	08/11/11	\$6,772.73	\$6,547.34	\$225.39
CVS CORP SR NT	126650BD1	40000	04/13/09	08/15/11	\$40,000.00	\$42,248.00	\$-2,248.00
PRAXAIR INC	74005P104	42	07/29/10	08/19/11	\$3,796.27	\$3,606.86	\$189.41
PRAXAIR INC	74005P104	37	04/15/10	08/19/11	\$3,344.33	\$3,232.72	\$111.61
PRAXAIR INC	74005P104	166	04/14/10	08/22/11	\$14,902.86	\$14,175.67	\$727.19
PRAXAIR INC	74005P104	2	07/29/10	08/22/11	\$182.50	\$171.76	\$10.74
PRAXAIR INC	74005P104	87	04/14/10	08/22/11	\$7,739.79	\$7,429.42	\$310.37
PRAXAIR INC	74005P104	27	04/14/10	08/23/11	\$2,472.66	\$2,305.68	\$166.98
PRAXAIR INC	74005P104	58	05/24/10	08/23/11	\$5,311.63	\$4,437.96	\$873.67
PRAXAIR INC	74005P104	54	05/12/10	08/23/11	\$4,945.31	\$4,369.45	\$575.86
IMPERIAL OIL LTD NEW	453038408	131	04/10/07	08/25/11	\$5,298.72	\$4,960.45	\$338.27
LOEWS CORP	540424108	4	07/15/10	09/13/11	\$145.76	\$144.57	\$1.19
LOEWS CORP	540424108	93	08/23/10	09/13/11	\$3,388.86	\$3,374.21	\$14.65
LOEWS CORP	540424108	18	08/12/10	09/13/11	\$655.91	\$667.08	\$-11.17
PIMCO COMMODITY REALRETURN STRA	722005667	23912.99	06/02/04	09/16/11	\$201,347.39	\$365,629.65	\$-164,282.26
PIMCO COMMODITY REALRETURN STRA	722005667	11716.46	12/20/05	09/16/11	\$98,652.61	\$200,000.00	\$-101,347.39
NYSE EURONEXT	629491101	106	04/10/07	09/21/11	\$2,767.12	\$10,137.67	\$-7,370.55
NYSE EURONEXT	629491101	360	12/28/06	09/21/11	\$9,397.76	\$34,690.11	\$-25,292.35
LOEWS CORP	540424108	92	07/15/10	09/22/11	\$3,158.58	\$3,325.15	\$-166.57
ALLERGAN INC	018490102	52	03/27/09	09/27/11	\$4,418.72	\$2,515.63	\$1,903.09
ALLERGAN INC	018490102	33	09/22/10	09/27/11	\$2,804.19	\$2,157.89	\$646.30
AMAZON COM INC	023135106	19	05/19/09	09/27/11	\$4,281.09	\$1,457.83	\$2,823.26
CELGENE CORP COM	151020104	52	06/07/10	09/27/11	\$3,342.27	\$2,805.34	\$536.93



Long term transactions

This category includes sales of assets held more than 12 months

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
LOEWS CORP	540424108	2	07/15/10	09/28/11	\$70.63	\$72.29	\$-1.66
LOEWS CORP	540424108	108	09/02/10	09/28/11	\$3,814.28	\$3,880.44	\$-66.16
UNITED STATES TREAS NTS	912828BD1	276817.5	10/08/03	09/30/11	\$289,490.55	\$271,425.86	\$18,064.69
PNC FDG CORP	69351CAC7	250000	12/29/08	10/04/11	\$253,712.50	\$253,542.50	\$170.00
IMPERIAL OIL LTD NEW	453038408	140	04/10/07	10/04/11	\$4,619.55	\$5,301.24	\$-681.69
ICICI BK LTD ADR	45104G104	99	06/18/09	10/05/11	\$3,119.04	\$2,857.93	\$261.11
AMAZON COM INC	023135106	35	05/19/09	10/06/11	\$7,710.59	\$2,685.48	\$5,025.11
PNC FDG CORP	69351CAC7	250000	12/29/08	10/06/11	\$253,620.00	\$253,542.50	\$77.50
SUNTRUST BANK	86801BABI	250000	12/29/08	10/12/11	\$250,585.25	\$259,255.00	\$-8,669.75
ICICI BK LTD ADR	45104G104	74	07/17/08	10/12/11	\$2,670.40	\$2,006.37	\$664.03
ICICI BK LTD ADR	45104G104	32	06/18/09	10/12/11	\$1,154.77	\$923.78	\$230.99
ISHARES INC MSCI BRAZIL FREE INDEX	464286400	520	06/18/10	10/18/11	\$29,069.47	\$34,793.20	\$-5,723.73
ICICI BK LTD ADR	45104G104	147	07/17/08	10/18/11	\$5,321.72	\$3,985.63	\$1,336.09
THORNBURG INVT TR INTL VALUE FD CL	885215566	12582.63	10/02/08	10/18/11	\$317,837.28	\$288,519.76	\$29,317.52
THORNBURG INVT TR INTL VALUE FD CL	885215566	3995.21	12/10/09	10/18/11	\$100,918.90	\$100,000.00	\$918.90
ROYCE FD OPPORTUNITY FD INSTL CL	780905691	511.97	11/20/03	10/18/11	\$5,181.18	\$6,087.37	\$-906.19
ROYCE FD OPPORTUNITY FD INSTL CL	780905691	26408.45	02/08/04	10/18/11	\$267,253.52	\$327,744.87	\$-60,491.35
ROYCE FD OPPORTUNITY FD INSTL CL	780905691	2380.68	02/25/04	10/18/11	\$24,092.52	\$30,353.72	\$-6,261.20
ISHARES INC MSCI BRAZIL FREE INDEX	464286400	200	04/29/10	10/18/11	\$11,180.56	\$14,398.00	\$-3,217.44
ISHARES INC MSCI BRAZIL FREE INDEX	464286400	500	03/24/10	10/18/11	\$27,951.41	\$36,020.00	\$-8,068.59
EMC CORPORATION	268648102	75	03/16/10	10/21/11	\$1,804.10	\$1,412.16	\$391.94
QUALCOMM INC	747525103	15	08/09/09	10/21/11	\$781.64	\$615.36	\$166.28
QUALCOMM INC	747525103	37	05/19/09	10/21/11	\$1,928.04	\$1,551.69	\$376.35
CARNIVAL CORP PAIRED CTF 1 COM	143658300	109	09/15/08	10/26/11	\$3,886.35	\$4,535.91	\$-649.56
ST JUDE MEDICAL INC	790849103	157	05/19/09	10/27/11	\$6,435.59	\$5,812.92	\$622.67
ST JUDE MEDICAL INC	790849103	177	04/28/09	10/27/11	\$7,264.21	\$6,149.37	\$1,114.84

Long term transactions

This category includes sales of assets held more than 12 months

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
ST JUDE MEDICAL INC	790849103	87	07/29/10	10/27/11	\$3,566 22	\$3,158 10	\$408 12
ST JUDE MEDICAL INC	790849103	42	07/29/10	10/27/11	\$1,723 71	\$1,524 60	\$199 11
ST JUDE MEDICAL INC	790849103	25	05/19/09	10/27/11	\$1,024 81	\$925 63	\$99 18
ST JUDE MEDICAL INC	790849103	10	04/28/09	10/28/11	\$406 36	\$347 42	\$58 94
ST JUDE MEDICAL INC	790849103	6	05/04/09	10/31/11	\$234 78	\$201 29	\$33 49
ST JUDE MEDICAL INC	790849103	110	04/28/09	10/31/11	\$4,284 62	\$3,821 64	\$462 98
ST JUDE MEDICAL INC	790849103	168	04/28/09	11/01/11	\$6 345 44	\$5,836 69	\$508 75
ST JUDE MEDICAL INC	790849103	264	05/04/09	11/01/11	\$9,971 40	\$8,856 54	\$1,114 86
ST JUDE MEDICAL INC	790849103	11	05/04/09	11/01/11	\$417 73	\$369 02	\$48 71
CARNIVAL CORP PAIRED CTF 1 COM	143658300	150	09/15/08	11/02/11	\$5,058 77	\$6,242 07	\$-1,183 30
LOEWS CORP	540424108	98	08/27/10	11/08/11	\$3 878 28	\$3,519 19	\$359 09
LOEWS CORP	540424108	4	09/02/10	11/08/11	\$158 30	\$143 72	\$14 58
FOREST CITY ENTERPRISES INC	345550107	119	04/07/10	11/11/11	\$1,636 37	\$1,833 02	\$-196 65
FOREST CITY ENTERPRISES INC	345550107	284	04/14/10	11/11/11	\$3,905 29	\$4,552 26	\$-646 97
SUNTRUST BANK	86801BAB1	250000	12/29/08	11/16/11	\$250,000 00	\$259,255 00	\$-9,255 00
FRANCO NEV CORP	351858105	84	10/29/10	11/25/11	\$3,408 39	\$2,903 92	\$504 47
FOREST CITY ENTERPRISES INC	345550107	131	04/07/10	11/30/11	\$1,553 18	\$2,017 86	\$-464 68
FOREST CITY ENTERPRISES INC	345550107	67	11/16/10	11/30/11	\$794 38	\$1,001 25	\$-206 87
GRUPO TELEvisa SA DE CV ADR	40049J206	262	10/23/09	11/30/11	\$5,295 07	\$5,324 81	\$-29 74
ALLERGAN INC	018490102	57	03/27/09	12/05/11	\$4,763 34	\$2,757 52	\$2,005 82
THORNBURG INVT TR INTL VALUE FD CL	885215566	5917 16	10/02/08	12/05/11	\$150 000 00	\$135,680 48	\$14,319 52
ALEXION PHARMACEUTICALS INC	015351109	66	10/22/10	12/05/11	\$4,291 58	\$2,211 94	\$2,079 64
CELGENE CORP COM	151020104	244	06/07/10	12/05/11	\$14 915 17	\$13,163 53	\$1,751 64
GOOGLE INC CL A	38259P508	1	04/05/10	12/05/11	\$626 45	\$560 91	\$65 54
GOOGLE INC CL A	38259P508	6	12/01/10	12/05/11	\$3 758 71	\$3,415 15	\$343 56
CBRE GROUP INC	12504L109	361	02/09/10	12/08/11	\$5,652 83	\$4,429 18	\$1,223 65

Long term transactions

This category includes sales of assets held more than 12 months

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
NOVO-NORDISK A S ADR	670100205	1	12/07/10	12/08/11	\$114.32	\$104.07	\$10.25
NOVO-NORDISK A S ADR	670100205	3	12/07/10	12/08/11	\$342.95	\$313.72	\$29.23
THORNBURG INVT TR INTL VALUE FD CL	885215566	4304.38	10/02/08	12/12/11	\$105,844.60	\$98,699.34	\$7,145.26
ROYCE FD OPPORTUNITY FD INSTL CL	780905691	8050.06	03/27/09	12/15/11	\$80,098.14	\$40,572.32	\$39,525.82
ROYCE FD OPPORTUNITY FD INSTL CL	780905691	8739.5	11/20/03	12/15/11	\$86,958.00	\$103,912.63	\$-16,954.63
LAZARD FDS INC EMERGING MKTS	52106N889	728.28	06/18/10	12/15/11	\$12,781.24	\$13,363.86	\$-582.62
LAZARD FDS INC EMERGING MKTS	52106N889	4023.61	03/24/10	12/15/11	\$70,614.27	\$75,000.00	\$-4,385.73
LAZARD FDS INC EMERGING MKTS	52106N889	2570.69	04/29/10	12/15/11	\$45,115.68	\$50,000.00	\$-4,884.32
ISHARES INC MSCI SINGAPORE INDEX	464286673	5710	11/24/10	12/15/11	\$62,218.96	\$77,570.35	\$-15,351.39
ISHARES INC MSCI CDA INDEX FD	464286509	2390	03/24/10	12/15/11	\$60,752.63	\$66,011.80	\$-5,259.17
ISHARES INC MSCI CDA INDEX FD	464286509	400	04/29/10	12/15/11	\$10,167.80	\$11,372.00	\$-1,204.20
ISHARES INC MSCI AUSTRALIA INDEX	464286103	3050	03/24/10	12/15/11	\$66,686.96	\$72,742.50	\$-6,055.54
THORNBURG INVT TR INTL VALUE FD CL	885215566	1966.87	10/02/08	12/15/11	\$47,263.98	\$45,100.42	\$2,163.56
IMPERIAL OIL LTD NEW	453038408	97	12/28/06	12/15/11	\$3,962.82	\$3,526.63	\$436.19
IMPERIAL OIL LTD NEW	453038408	4	04/10/07	12/15/11	\$163.42	\$151.46	\$11.96
PIMCO COMMODITY REALRETURN STRA	722005667	4019.29	04/28/09	12/16/11	\$28,898.72	\$25,000.00	\$3,898.72
PIMCO COMMODITY REALRETURN STRA	722005667	7949.13	03/27/09	12/16/11	\$57,154.21	\$50,000.00	\$7,154.21
PIMCO COMMODITY REALRETURN STRA	722005667	6527.42	08/10/09	12/16/11	\$46,932.12	\$50,000.00	\$-3,067.88
PIMCO COMMODITY REALRETURN STRA	722005667	8670.52	11/24/10	12/16/11	\$62,341.04	\$75,000.00	\$-12,658.96
PIMCO COMMODITY REALRETURN STRA	722005667	2247.9	06/02/04	12/16/11	\$16,162.38	\$34,370.35	\$-18,207.97
FRANCO NEV CORP	351858105	3	10/29/10	12/19/11	\$111.91	\$103.71	\$8.20
FRANCO NEV CORP	351858105	75	10/14/10	12/19/11	\$2,797.75	\$2,534.82	\$262.93
CNOOC LTD SPONSORED ADR	126132109	27	04/10/07	12/20/11	\$4,804.09	\$2,399.49	\$2,404.60
Total long term gain/loss from sales					\$8,845,252.86	\$8,245,673.01	\$599,579.85

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss	Wash sale loss disallowed
JOHNSON AND JOHNSON	478160104	5	05/13/07	01/26/11	\$303.37	\$315.78	\$-12.41	\$12.41
JOHNSON AND JOHNSON	478160104	41	12/23/06	01/26/11	\$2,487.66	\$2,764.72	\$-277.06	\$277.06
JOHNSON AND JOHNSON	478160104	2	05/11/07	01/26/11	\$121.35	\$125.21	\$-3.86	\$3.86
JOHNSON AND JOHNSON	478160104	5	04/24/07	01/31/11	\$299.46	\$328.54	\$-29.08	\$29.08
JOHNSON AND JOHNSON	478160104	41	12/04/06	01/31/11	\$2,455.59	\$2,869.28	\$-413.69	\$413.69
JOHNSON AND JOHNSON	478160104	2	04/22/07	01/31/11	\$119.79	\$130.31	\$-10.52	\$10.52
CARNIVAL CORP PAIRED CTF 1 COM	143658300	63	09/15/08	04/27/11	\$2,409.15	\$2,621.67	\$-212.52	\$212.52
CARNIVAL CORP PAIRED CTF 1 COM	143658300	64	09/15/08	04/27/11	\$2,447.40	\$2,663.28	\$-215.88	\$215.88
MICROSOFT CORP	594918104	567	03/26/10	06/29/11	\$14,552.22	\$16,895.47	\$-2,343.25	\$2,343.25
MICROSOFT CORP	594918104	28	03/26/10	06/29/11	\$718.63	\$834.34	\$-115.71	\$115.71
MICROSOFT CORP	594918104	311	05/17/10	06/29/11	\$7,981.91	\$8,948.93	\$-967.02	\$967.02
Total long term gain/loss from sales					\$33,896.53	\$38,497.53	\$-4,601.00	
Total long term loss disallowed from wash sales								\$4,601.00