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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, and ending

Name of foundation

A Employer identification number

JAMES S. MCDONNELL FOUNDATION

54-2074788

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

2034 S BRENTWOOD BLVD

1860

B Telephone number

(314) 862-1040

City or town, state, and ZIP code

ST. LOUIS, MO 63117-1229

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year

J Accounting method

☒ Cash☐ Accrual

(from Part II, col. (c), line 16)

☐ Other (specify)

\$ 463,662,150. (Part I, column (d) must be on cash basis.)

Part I

Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

c Other professional fees

17 Interest

18 Taxes

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements

Add lines 24 and 25

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	14,733,211.	2,902,509.	2,902,509.
	3 Accounts receivable ▶ 58,789.			
	Less allowance for doubtful accounts ▶	108,465.	58,789.	58,789.
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations STMT 9	3,438,616.	3,551,752.	5,365,662.
	b Investments - corporate stock STMT 10	4,689,614.	4,130,198.	4,733,188.
	c Investments - corporate bonds STMT 11	41,531,058.	42,990,581.	44,865,156.
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ STATEMENT 12)	363,594,594.	401,049,800.	405,736,846.	
16 Total assets (to be completed by all filers)	428,095,558.	454,683,629.	463,662,150.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted and complete lines 24 through 26 and lines 30 and 31			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	428,095,558.	454,683,629.	
	30 Total net assets or fund balances	428,095,558.	454,683,629.	
	31 Total liabilities and net assets/fund balances	428,095,558.	454,683,629.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	428,095,558.
2 Enter amount from Part I, line 27a	2	1,728,559.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	24,859,512.
4 Add lines 1, 2, and 3	4	454,683,629.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	454,683,629.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b SEE ATTACHED STATEMENTS					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e 75,666,757.		52,391,521.	23,275,236.		
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e			23,275,236.		
2 Capital gain net income or (net capital loss)			23,275,236.		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			N/A		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	26,878,863.	464,440,606.	.057874
2009	26,426,283.	427,359,416.	.061836
2008	24,786,400.	529,073,874.	.046849
2007	20,906,327.	615,957,230.	.033941
2006	18,951,668.	541,834,982.	.034977
2 Total of line 1, column (d)			.235477
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			.047095
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			479,171,477.
5 Multiply line 4 by line 3			22,566,581.
6 Enter 1% of net investment income (1% of Part I, line 27b)			310,168.
7 Add lines 5 and 6			22,876,749.
8 Enter qualifying distributions from Part XII, line 4			29,318,021.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	310,168.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	310,168.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	310,168.
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	337,502.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	50,000.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	387,502.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	77,334.
11	Enter the amount of line 10 to be Credited to 2012 estimated tax ☒ Refunded ☐	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.JSMF.ORG	13	X	
14	The books are in care of J&J MANAGEMENT SERVICES, INC. Telephone no 314-862-1040 Located at 1034 S. BRENTWOOD, #1860, ST. LOUIS, MO ZIP+4 63117-1229			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country 16 Yes No X			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here 1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? 1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years 2a		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A 2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 2c		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) 3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? 4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

SEE ATTACHED

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

SEE ATTACHED

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		510,000.	66,994.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

☐ 0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	477,201,907.
b	Average of monthly cash balances	1b	9,236,198.
c	Fair market value of all other assets	1c	30,400.
d	Total (add lines 1a, b, and c)	1d	486,468,505.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	486,468,505.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	7,297,028.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	479,171,477.
6	Minimum investment return. Enter 5% of line 5	6	23,958,574.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	23,958,574.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	310,168.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	3,879.
c	Add lines 2a and 2b	2c	314,047.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	23,644,527.
4	Recoveries of amounts treated as qualifying distributions	4	64,444.
5	Add lines 3 and 4	5	23,708,971.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	23,708,971.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	29,318,021.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	29,318,021.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	310,168.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	29,007,853.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				23,708,971.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			16,829,524.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 29,318,021.				
a Applied to 2010, but not more than line 2a			16,829,524.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				12,488,497.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				11,220,474.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT				28,010,046.
FROM SCHEDULE'S K-1				49.
Total			3a	28,010,095.
b Approved for future payment				
SEE ATTACHED STATEMENT				81,919,660.
Total			3b	81,919,660.

JAMES S. McDONNELL FOUNDATION

FEIN: 54-2074788

FORM 990-PF (2011)

PART XV, LINE 3.a

ATTACHED STATEMENT

Grants of cash in 2011 totaled \$8,888,843. Non-cash grants in 2011 totaled \$19,121,252. All non-cash grants were in the form of Boeing Company Common Stock held for more than twelve months. The value of each share of stock was obtained by computing the average of the NYSE high and low on the date of each grant.

All grantees are public charities described in Internal Revenue Code sections 501(c)(3) and 509(a)(1) or (2), except for The JSM Charitable Trust, which is a private nonoperating foundation described in sections 501(c)(3), 509(a) and 170(b)(1)(F)(ii).

James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment					
Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
5/19/2011	University of Washington Office of Research Grant and Contract Services 4333 Brooklyn Ave NE, Box 359472 Seattle, Washington 98195-9472	220020264	Predicting and controlling glioma recurrence: The role of heterogeneity and microenvironment.	\$532,338.30	21st Century Science Initiative-Brain Cancer/BC Collaborative
6/15/2011	University of Castilla-La Mancha (Universidad de Castilla-La Mancha) E.T.S. Ingenieros Industriales Avda. Camilo Jose Cela, 3 13071 Ciudad Real Spain	220020261	Optimization of glioma treatment by combination of radiotherapy, chemotherapy, antiangiogenic and metabolically- targeted therapies.	\$50,000.00	21st Century Science Initiative-Brain Cancer/BC Planning Grant/21st Century Science Initiative-Brain Cancer/BC Collaborative
8/16/2011	University of California-San Francisco Office of Sponsored Research Contracts and Grants 3333 California Street, Suite 315 San Francisco, California 94118	220020178	Identifying and overcoming glioblastoma resistance to treatments targeting vascular endothelial growth factor	\$74,696.20	21st Century Science Initiative-Brain Cancer/BC Research
11/22/2011	Northwestern University Rubloff Building 750 North Lake Shore Drive, 7th Floor Chicago, Illinois 60611-3008	220020180	Designing new therapy by blocking brain tumor invasion and metastasis	\$90,000.00	21st Century Science Initiative-Brain Cancer/BC Research
8/16/2011	University of California-San Diego MC 0934 9500 Gilman Drive La Jolla, California 92093	220020202	Mechanisms of sensitivity to PDGFR inhibitors in human gliomas	\$85,082.76	21st Century Science Initiative-Brain Cancer/BC Research

James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

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Payment		Request ID	Request Project Title	AMV/Cash	Pgm Area
Date	Payee Organization				
6/15/2011	University of North Carolina at Chapel Hill Office of Sponsored Research 104 Airport Drive, Suite 2200, CB #1350 Chapel Hill, North Carolina 27599-1350	220020203	Mechanism and mouse model for IDH1-mediated suppression of human secondary gliomas	\$150,049.02	21st Century Science Initiative-Brain Cancer/BC Research
9/22/2011	Cleveland Clinic Office of Spons. Research & Project Finance 9500 Euclid Avenue, JN5 Cleveland, Ohio 44195	220020201	Microenvironmental reprogramming of glioma cells	\$89,655.36	21st Century Science Initiative-Brain Cancer/BC Research
11/22/2011	University of Pittsburgh Office of Research 350 Thackeray Hall Pittsburgh, Pennsylvania 15260	220020244	Mechanisms and inhibition of anti-angiogenic therapy-induced glioma invasion	\$90,000.00	21st Century Science Initiative-Brain Cancer/BC Research
10/18/2011	Massachusetts General Hospital Research Management 50 Staniford Street, Suite 1001 Boston, MA 02114-2554	220020245	Evaluating novel stem cell based therapies for brain tumors	\$114,268.70	21st Century Science Initiative-Brain Cancer/BC Research
10/21/2011	Vanderbilt University Division of Sponsored Research 937 Baker Building, 110 21st Avenue So. Nashville, Tennessee 37203	220020248	Hedgehog and Hippo signaling as drivers of medulloblastoma and cell division-associated metabolic choices	\$141,483.00	21st Century Science Initiative-Brain Cancer/BC Research
8/16/2011	Baylor College of Medicine One Baylor Plaza Houston, Texas 77030-3498	220020250	Identifying preclinical imaging markers of radiation side effects An animal brain tumor model to correlate radiation-induced imaging changes,	\$150,511.90	21st Century Science Initiative-Brain Cancer/BC Research

neurogenesis and cognitive impairment

2

James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment

Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
6/15/2011	University of California-San Francisco Office of Sponsored Research, Contracts and Grants 3333 California Street, Suite 315 San Francisco, California 94118		BRAF-driven pediatric malignant astrocytoma: Etiology & treatment	\$151,763.44	21st Century Science Initiative-Brain Cancer/BC Research
9/22/2011	Medical College of Virginia Foundation P.O. Box 980234 Richmond, Virginia 23298-0234	220020251	Analyzing the role of Astrocyte Elevated Gene-1 (AEG-1) in malignant glioma	\$89,655.36	21st Century Science Initiative-Brain Cancer/BC Research
10/21/2011	Memorial Sloan-Kettering Cancer Center 1275 York Avenue New York, New York 10021	220020278	Genotype focused EGFR targeting in glioblastoma	\$150,000.00	21st Century Science Initiative-Brain Cancer/BC Research
11/22/2011	Northwestern University Rubloff Building 750 North Lake Shore Drive, 7th Floor Chicago, Illinois 60611-3008	220020295	The Bcl2L12-microRNA-182 axis in glioblastoma	\$74,311.00	21st Century Science Initiative-Brain Cancer/BC Research
10/21/2011	University of Geneva (Université de Genève) 8242 CMU, 1 rue Michel Servet, CH-1211, Geneva 4 Switzerland	220020296	Targeting glioblastoma stemness	\$114,420.00	21st Century Science Initiative-Brain Cancer/BC Research
11/22/2011	Duke University Office of Research Administration 2200 West Main Street Suite 820, Erwin Square Plaza Durham, North Carolina 27705	220020297	Role of IDH1/2 mutations in cell metabolism, differentiation and gliomagenesis	\$150,000.00	21st Century Science Initiative-Brain Cancer/BC Research

James S. McDonnell Foundation - 2011 Grants Paid by Program Area
As of 31 December 2011

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3

Payment Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
8/23/2011	Vanderbilt University Division of Sponsored Research 937 Baker Building, 110 21st Avenue South Nashville, Tennessee 37203	220020246 01	Novel lipid targets in the treatment of human glioblastomas II	\$150,000.00	21st Century Science Initiative-Brain Cancer/BC Research
8/16/2011	Purdue University Sponsored Program Administration 610 Purdue Mall West Lafayette, Indiana 47907-1393	220020299	Intrasurgical molecular diagnosis of human brain gliomas by mass spectrometry imaging	\$125,882.68	21st Century Science Initiative-Brain Cancer/BC Rsrch Pilot
9/23/2011	German Cancer Research Center Im Neuenheimer Feld 280 D-69120 Heidelberg Germany	220020298	Use of cerebrospinal fluid genetics for the diagnosis, subgrouping, and minimal residual disease monitoring in medulloblastoma	\$159,390.00	21st Century Science Initiative-Brain Cancer/BC Rsrch Pilot
9/22/2011	The Salk Institute 10010 North Torrey Pines Road La Jolla, CA 92037-1099	220020132	Neurogenesis in rodents: genetic and molecular approaches to study the physiology of neurogenesis and its behavioral effects on hippocampal memory tasks	\$1,057,359.36	21st Century Science Initiative-Bridging Brain, Mind & Behavior/BMB Collaborative
4/27/2011	Weill Medical College of Cornell University Research & Sponsored Programs 1300 York Avenue, Box 89 New York, New York 10065	220020156	Recovery of consciousness after severe brain injury	\$1,064,082.24	21st Century Science Initiative-Bridging Brain, Mind & Behavior/BMB Collaborative
10/18/2011	Washington University Office of the Chancellor	220020166	Applying Cognitive Psychology to Enhance	\$1,381,960.64	21st Century Science Initiative-Bridging Brain,

One Brookings Drive, Campus Box 1192
St. Louis, Missouri 63130

Educational Practice: II

Mind & Behavior/BMB
Collaborative

4

James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment					
Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
1/18/2011	Brain Trauma Foundation 7 World Trade Center 250 Greenwich Street, 34th Floor New York, New York 10007	220020185	Attention Dynamic Consortium in Traumatic Brain Injury (ADC-TBI) Collaborative	\$802,179.00	21st Century Science Initiative-Bridging Brain, Mind & Behavior/BMB Collaborative
8/16/2011	University of Rochester Office of Research & Project Administration 517 Hylan Hall Rochester, New York 14627	220020107	Neural basis of bayesian inference and decision making: theory and experiments	\$76,188.88	21st Century Science Initiative-Bridging Brain, Mind & Behavior/BMB Research
4/27/2011	University of Texas at Austin Office of Sponsored Projects Sponsored Projects Award Administration 101 East 27th Street (PO Box 7726) Austin, Texas 78713-7726	220020080	Habit, automaticity and cognitive contrc	\$37,861.46	21st Century Science Initiative-Bridging Brain, Mind & Behavior/BMB Research
8/16/2011	New York University Grants Administration 550 First Avenue New York, New York 10016-6481	220020145	Uncovering the neural basis of developmental disability	\$100,382.73	21st Century Science Initiative-Bridging Brain, Mind & Behavior/BMB Scholar
8/16/2011	Rutgers, The State University of New Jersey, Office of Research and Sponsored Programs ASB III. 3 Rutgers Plaza New Brunswick, New Jersey 08901	220020148	Neuronal mechanisms of episodic memory	\$100,382.73	21st Century Science Initiative-Bridging Brain, Mind & Behavior/BMB Scholar
8/16/2011	Johns Hopkins University	220020144	Developmental origins of hierarchical	\$100,382.73	21st Century Science

600 North Wolfe Street
Houck Building, First Floor
Baltimore, Maryland 21205

mental representations

Initiative-Bridging Brain,
Mind & Behavior/BMB
Scholar

5

James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
10/18/2011	Massachusetts Institute of Technology Office of Sponsored Programs Room E19-750 77 Massachusetts Avenue Cambridge, Massachusetts 02139-4307	220020146	Learning to see in late childhood	\$101,586.13	21st Century Science Initiative-Bridging Brain, Mind & Behavior/BMB Scholar
12/21/2011	Massachusetts Institute of Technology Office of Sponsored Programs Room E19-750 77 Massachusetts Avenue Cambridge, Massachusetts 02139-4307	220020146	Learning to see in late childhood	\$100,000.00	21st Century Science Initiative-Bridging Brain, Mind & Behavior/BMB Scholar
10/18/2011	University of Wisconsin, Madison Research and Sponsored Programs 21 North Park Street, Suite 6401 Madison, Wisconsin 53715-1218	220020147	Prediction as a mechanism of infant language acquisition	\$101,586.13	21st Century Science Initiative-Bridging Brain, Mind & Behavior/BMB Scholar
9/22/2011	Johns Hopkins University 600 North Wolfe Street Houck Building, First Floor Baltimore, Maryland 21205	220020220	Tracking and altering the time course of spontaneous biological recovery after stroke	\$670,687.68	21st Century Science Initiative-Cognitive Rehabilitation/CR Collaborative
8/16/2011	Missouri Botanical Garden P. O. Box 299 St. Louis, Missouri 63166-0299	220020268	Checklist of the plants of India	\$60,453.54	21st Century Science Initiative-Special Initiative/Plant Science

6/15/2011	Carnegie Mellon University Office of Sponsored Research 5000 Forbes Avenue, HBH 3000 Pittsburgh, Pennsylvania 15213	220020263	Experimental planning and the unification of causal knowledge	\$345,045.66	21st Century Science Initiative-Studying Complex Systems/SCS Collaborative
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James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
8/16/2011	Yale University School of Medicine Grant & Contract Financial Administration 47 College Street, Suite 203, P.O. Box 208047 New Haven, Connecticut 06520-8047	220020114	Developing and applying game theoretic human papillomavirus vaccination models with psychological data	\$32,030.43	21st Century Science Initiative-Studying Complex Systems/SCS Research
8/16/2011	University of Michigan-Ann Arbor Financial Operations - Sponsored Programs 3089 Wolverine Tower - 3003 S. State St Ann Arbor, Michigan 48109-1287	220020139	Emergence of complex material structur from particle assembly	\$70,777.91	21st Century Science Initiative-Studying Complex Systems/SCS Research
11/22/2011	University of Pennsylvania Office of Research Administration 133 South 36th Street, Mezzanine Mellon Bank Building Philadelphia, Pennsylvania 19104-3246	220020140	Robustness and adaptability in evolving viral populations	\$118,801.00	21st Century Science Initiative-Studying Complex Systems/SCS Research
8/16/2011	University of Texas Southwestern Medical Center at Dallas 5323 Harry Hines Boulevard FL 10.1030 Dallas, Texas 75390-9105	220020141	Nonlinear systems dynamics in differentiation circuits	\$89,187.63	21st Century Science Initiative-Studying Complex Systems/SCS Research
9/22/2011	Georgia Tech Research Corporation (Georgia Institute of Technology) Office of Sponsored Programs	220020168	Mechanisms and evolution of complex life history traits in bacterial viruses	\$104,295.36	21st Century Science Initiative-Studying Complex Systems/SCS

505 Tenth Street N.W.
Contract Administration
Atlanta, Georgia 30318

Research

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James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment					AMV/Cash	Pgm Area
Date	Payee Organization	Request ID	Request Project Title			
9/22/2011	Ohio State University Research Foundation 1960 Kenny Road Columbus, Ohio 43210	220020170	Multiscale dynamics and emergent patterns in urban spatial systems		\$54,519.36	21st Century Science Initiative-Studying Complex Systems/SCS Research
11/22/2011	University of Michigan-Ann Arbor Financial Operations - Sponsored Programs 3089 Wolverine Tower - 3003 S. State St Ann Arbor, Michigan 48109-1287	220020172	Interactions in pattern forming systems: Bridging the gap between laboratory and large scale open systems		\$80,949.00	21st Century Science Initiative-Studying Complex Systems/SCS Research
2/17/2011	University of Oxford University Offices, Wellington Square Oxford, OX1 2JD United Kingdom	220020177	Coevolution, interconnections and communities of social and political networks in the United States Congress		\$97,142.63	21st Century Science Initiative-Studying Complex Systems/SCS Research
9/22/2011	The University of Georgia Research Foundation, Inc. Sponsored Programs, 618A Boyd GSRC Athens, Georgia 30602-7411	220020175	Evolutionary epidemiology of multi-transmission pathogens in multi-host networks		\$106,462.08	21st Century Science Initiative-Studying Complex Systems/SCS Research
8/16/2011	Princeton University Office of Research and Project Administration 4th Floor, New South Building, P.O. Box 36 Princeton, New Jersey 08544-0036	220020192	Allometry of parasites and food-webs		\$88,690.07	21st Century Science Initiative-Studying Complex Systems/SCS Research

9/22/2011	The University of Georgia Research Foundation, Inc. Sponsored Programs, 618A Boyd GSRC Athens, Georgia 30602-7411	220020193	Transient pathogen evolution in heterogeneous host populations	\$101,367.36	21st Century Science Initiative-Studying Complex Systems/SCS Research
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James S. McDonnell Foundation - 2011 Grants Paid by Program Area **As of 31 December 2011**

Form 990-PF, Part XV, Line 3.a

Payment					
Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
6/15/2011	University of California-Merced Sponsored Projects Office 5200 North Lake Road, KL, Room 222N Merced, California 95343	220020194	Biological transport in complex and dynamic environments	\$57,693.96	21st Century Science Initiative-Studying Complex Systems/SCS Research
8/16/2011	Santa Fe Institute 1399 Hyde Park Rd Santa Fe, New Mexico 87501-8943	220020195	Towards a predictive theory of social organization and dynamics in cities	\$158,161.89	21st Century Science Initiative-Studying Complex Systems/SCS
6/15/2011	Tel Aviv University Office of Research Authority University Campus Ramat Aviv 69978 Tel Aviv Israel	220020196	Community modeling of bacterial metabolic interactions	\$153,328.78	21st Century Science Initiative-Studying Complex Systems/SCS Research
6/15/2011	Massachusetts Institute of Technology Office of Sponsored Programs Room E19-750, 77 Massachusetts Avenue Cambridge, Massachusetts 02139-4307	220020197	Experimental investigations into the effects of network structure on social contagions	\$64,775.26	21st Century Science Initiative-Studying Complex Systems/SCS Research
8/16/2011	McMaster University Office of Research Services Gilmour Hall 3rd Fl., Ste 305	220020198	Exploring how infectious diseases, beliefs, and behaviors interact on a social network	\$77,743.75	21st Century Science Initiative-Studying Complex Systems/SCS

1280 Main Street West
Hamilton, ON, Canada L8S 4L8

Research

8/16/2011 The Hebrew University of Jerusalem
Authority for Research and Development
2 Keren Hayesod St., Terra Sancta Bldg.
Jerusalem 91904 Israel

220020221

The physics of rupture: from the
laboratory scale to the scale of
our planet

\$90,000 00

21st Century Science
Initiative-Studying
Complex Systems/SCS
Research

9

James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment

Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
6/15/2011	University of Arizona Sponsored Projects Services P.O. Box 3308 Tucson, Arizona 85722-3308	220020222	Theoretical and experimental investigations of architecture-dependent signaling in multicellular networks	\$147,440 12	21st Century Science Initiative-Studying Complex Systems/SCS Research
8/16/2011	University of Michigan-Ann Arbor Financial Operations - Sponsored Programs 3089 Wolverine Tower - 3003 S State St. Ann Arbor, Michigan 48109-1287	220020223	Identification of bistable network topologies associated with toxic aggregation thresholds found in conformational diseases	\$128,557.07	21st Century Science Initiative-Studying Complex Systems/SCS Research
10/18/2011	Harvard University Office for Sponsored Research Awards Management - Holyoke Center 1350 Massachusetts Ave., Suite 727 Cambridge, Massachusetts 02138-3800	220020225	The evolutionary dynamics and population genetics of selection in asexual populations	\$95,056.49	21st Century Science Initiative-Studying Complex Systems/SCS Research
11/22/2011	Beth Israel Deaconess Medical Center Research and Academic Affairs Stoneman 8M, 330 Brookline Avenue Boston, Massachusetts 02215	220020226	Exploring the multiscale world of of biological dynamics: From concepts to computational tools	\$78,415.00	21st Century Science Initiative-Studying Complex Systems/SCS Research
8/16/2011	The University of British Columbia	220020227	Evolution to the edge of	\$95,904.69	21st Century Science

Office of Research Services
 #102 - 6190 Agronomy Road Vancouver,
 British Columbia V6T 1Z3 Canada

chaos - multilevel selection
 and life history evolution in
 metapopulations

Initiative-Studying
 Complex Systems/SCS
 Research

11/22/2011 Universitat Rovira i Virgili
 European Projects Office
 Av Paisos Catalans 5-7
 43007-Tarragona, Spain

220020228

Discovery, decomposition and dynamic
 of complex networks

\$64,110.00

21st Century Science
 Initiative-Studying
 Complex Systems/SCS
 Research

10

James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment					
Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
10/18/2011	The University at Albany University Administration Bldg, Rm 226 1400 Washington Avenue Albany, New York 12222	220020229	Understanding the implications of a self-organized traffic grid	\$60,022.46	21st Century Science Initiative-Studying Complex Systems/SCS Research
8/16/2011	Northwestern University Evanston Campus Office of Sponsored Research 633 Clark Street, Suite 2-502 Evanston, Illinois 60208-1110	220020230	Modeling social dynamics in competitive systems	\$87,881.54	21st Century Science Initiative-Studying Complex Systems/SCS Research
6/15/2011	University of Chicago Assistant Vice President, 970 E. 58th Street Chicago, Illinois 60637	220020231	Bacteria test theories of biodiversity	\$130,668.62	21st Century Science Initiative-Studying Complex Systems/SCS Research
6/15/2011	Yale University School of Medicine Grant & Contract Financial Administration 47 College Street, Suite 203 - P O Box 208047 New Haven, Connecticut 06520-8047	220020224	Shaping individual diversity for collective success	\$107,859.38	21st Century Science Initiative-Studying Complex Systems/SCS Research

5/19/2011	Cornell University Office of Sponsored Programs Grant and Contract Office Ithaca, New York 14850-2820	220020137.01	Contemporary rapid evolution: Dynamics and persistence in complex ecological communities II	\$39,781.35	21st Century Science Initiative-Studying Complex Systems/SCS Research
4/28/2011	Universitat Pompeu Fabra Placa de la Merce, 10-12 08002 Barcelona, Spain	220020117	Origins of innovation in tinkered networks	\$ 82,633.00	21st Century Science Initiative-Studying Complex Systems/SCS Research

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James S. McDonnell Foundation - 2011 Grants Paid by Program Area

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Payment

Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
8/16/2011	University of North Carolina at Chapel Hill Office of Sponsored Research 104 Airport Drive, Suite 2200, CB #1350 Chapel Hill, North Carolina 27599-1350	220020269	Modeling population-environment interactions in a world heritage site Comparison of statistical and agent based modeling approaches to study complex systems	\$136,518.03	21st Century Science Initiative-Studying Complex Systems/SCS Research
8/16/2011	H. Lee Moffitt Cancer Center & Research Institute 12902 Magnolia Drive SRB 4 Tampa, Florida 33612-9497	220020270	Cancer therapy. Perturbing a complex adaptive system	\$148,521.66	21st Century Science Initiative-Studying Complex Systems/SCS Research
8/16/2011	Georgia Tech Research Corporation (Georgia Institute of Technology) Office of Sponsored Prgms 505 Tenth Street N.W Contract Administration Atlanta, Georgia 30318	220020271	Design of an intelligent material	\$108,716.86	21st Century Science Initiative-Studying Complex Systems/SCS Research
11/22/2011	The University of Queensland Research and Innovation Division Cumbræ-Stewart (Building 72)	220020272	Constructing bacterial genetic exchange communities	\$133,123.00	21st Century Science Initiative-Studying Complex Systems/SCS

Brisbane, 4072 Queensland Australia

Research

11/22/2011 Indiana University Foundation
P.O. Box 66057
Indianapolis, Indiana 46266-6057

220020274 Contagion of ideas in online
social networks

\$106,636.00

21st Century Science
Initiative-Studying
Complex Systems/SCS
Research

11/22/2011 New York University
Grants Administration
550 First Avenue
New York, New York 10016-6481

220020275 Mapping the microbial survival
toolbox · Using dynamic age
distributions to infer the behavior
of individuals within populations

\$191,000.00

21st Century Science
Initiative-Studying
Complex Systems/SCS
Research

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James S. McDonnell Foundation - 2011 Grants Paid by Program Area

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Payment Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
11/22/2011	University of Missouri-St. Louis Office of Research Administration 341 Woods Hall, One University Boulevard St. Louis, Missouri 63121-4400	220020276	Complex dynamics, phase transitions, and scaling in an evolutionary model	\$69,172.00	21st Century Science Initiative-Studying Complex Systems/SCS Research
11/22/2011	University of Connecticut Office for Sponsored Programs 438 Whitney Road Extension, Unit 1133 Storrs, Connecticut 06269-1133	220020277	Does evolution affect the assembly dynamics of biological communities?	\$140,447.00	21st Century Science Initiative-Studying Complex Systems/SCS Research
8/16/2011	University of North Carolina at Chapel Hill Office of Sponsored Research 104 Airport Drive, Suite 2200, CB #1350 Chapel Hill, North Carolina 27599-1350	220020273	Synchronization of polar climate variability over the last ice age: In search of simple rules at the heart of climate's complexity	\$131,791.21	21st Century Science Initiative-Studying Complex Systems/SCS Research
11/22/2011	National Center for Scientific Research (CNRS) (Centre National de la Recherche Scientifique)	220020294	Coevolution across scales	\$60,200.00	21st Century Science Initiative-Studying Complex Systems/SCS

Institut des Sciences de l'Evolution
Universite Montpellier II
UMR 5554, CC065, Place E Bataillon
34095 Montpellier, Cedex 5, France

Research

4/27/2011	Albert Einstein Healthcare Network Office of Research and Technology Development, 5501 Old York Road Suite 100 Korman Philadelphia, Pennsylvania 19141	220020190	Prospective control of action: Computational principles, neural substrates, and clinical implications	\$593,061.30	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative
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James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment					
Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
5/19/2011	California Institute of Technology 1200 East California Blvd. Mail Code 201-15 Pasadena, California 91125	220020189	Neurobiology of the Von Economo Neurons and related circuits	\$704,620.35	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative
11/22/2011	Rotman Research Institute - Baycrest Centre for Geriatric Care Grants Coordinator-Financial Services 3560 Bathurst Street, North York Ontario, M6A 2E1 Canada	220020255	Brain Network Recovery Group (Brain NRG) Phase II	\$2,093,133.00	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative
1/18/2011	Washington University Office of the Chancellor One Brookings Drive, Campus Box 1192 St Louis, Missouri 63130	220020256	Communities and criticality in brain networks across development and in ADHD	\$383,061.00	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative

8/16/2011	Washington University School of Medicine Grants & Contracts, Campus Box 8018 660 South Euclid Avenue St. Louis, Missouri 63110	220020262	Cognitive state sensing for aphasia rehabilitation	\$374,227.32	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative
5/16/2011	The New School Office of Grants & Sponsored Programs Development Dept, 79 Fifth Avenue, 17th Floor New York, New York 10003	220020266	"Flashbulb Memories" Consortium II: Ten-year follow-up of a study on memory for the terrorist attack of September 11, 2001	\$116,775.00	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative

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James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment					
Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
12/21/2011	The New School Office of Grants & Sponsored Programs Development Dept, 79 Fifth Avenue, 17th Floor New York, New York 10003	220020266	"Flashbulb Memories" Consortium II: Ten-year follow-up of a study on memory for the terrorist attack of September 11, 2001	\$90,991.00	21st Century Science Initiative-Understanding Human Cognition/UHC Collaborative
8/16/2011	Carnegie Mellon University Office of Sponsored Research 5000 Forbes Avenue, HBH 3000 Pittsburgh, Pennsylvania 15213	220020161	Integrating causal cognition, concepts and decision-making	\$142,613.14	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
9/22/2011	Carnegie Mellon University Office of Sponsored Research 5000 Forbes Avenue, HBH 3000 Pittsburgh, Pennsylvania 15213	220020162	Theoretical methodology for using fMRI to understand complex cognition	\$97,502.40	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
9/22/2011	Duke University Office of Research Administration	220020164	Developmental and evolutionary foundations of	\$102,011.52	21st Century Science Initiative-Understanding

Human Cognition/UHC
Scholar

mathematical cognition

2200 West Main Street
Suite 820, Erwin Square Plaza
Durham, North Carolina 27705

21st Century Science
Initiative-Understanding
Human Cognition/UHC
Scholar

From percept to molecule: Human
olfactory cognition as a key to the
neurobiology of olfaction

220020163

American Committee for the
Weizmann Institute of Science
633 Third Avenue
New York, New York 10017

9/22/2011

\$49,776.00

21st Century Science
Initiative-Understanding
Human Cognition/UHC
Scholar

Evolutionary specializations and
development of the human frontal
cortex

220020165

Yale University School of Medicine
Grant & Contract Financial Administration
47 College Street, Suite 203, P.O. Box 208047
New Haven, Connecticut 06520-8047

9/22/2011

\$96,799.68

15

James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
6/15/2011	Yale University School of Medicine Grant & Contract Financial Administration 47 College Street, Suite 203, P.O. Box 208047 New Haven, Connecticut 06520-8047	220020242	To err is human?: Exploring the evolutionary origins of cognitive biases	\$151,763.44	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
8/16/2011	University College London Office of Planning and Resources Gower Street England, United Kingdom	220020240	Development of human spatial cognition	\$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
10/18/2011	Stanford University Office of Sponsored Research 651 Serra Street, Suite 260 Stanford, California 94305-4125	220020252	Compositionality in probabilistic models of cognition	\$152,379.20	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
6/15/2011	Stanford University	220020237	Mental representations of abstract	\$151,763.44	21st Century Science

Office of Sponsored Research
651 Serra Street, Suite 260
Stanford, California 94305-4125

domains

Initiative-Understanding
Human Cognition/UHC
Scholar

6/15/2011 University of Illinois
Office of Spon. Pgms and Research Admin
1901 S. First Street, Suite A
Champaign, IL 61820-7406

Cognitive and neural mechanisms of
meaning comprehension

\$151,763.44

21st Century Science
Initiative-Understanding
Human Cognition/UHC
Scholar

10/18/2011 Princeton University
Office of Spon. Pgms and Research Admin
4th Floor, New South Building, P.O. Box 36
Princeton, New Jersey 08544-0036

Vocal communication emerges and
evolves through coupled oscillations

\$150,000.00

21st Century Science
Initiative-Understanding
Human Cognition/UHC
Scholar

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James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment					
Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
6/15/2011	University of California-Davis 1850 Research Park Drive, Suite 300 Davis, California 95618	220020241	Building blocks of episodic memory. Insight from typical and atypical development	\$151,763.44	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
8/16/2011	University of Dublin - Trinity College Dublin Trinity Research & Innovation Dublin 2 Ireland	220020239	How is sensory information encoded in spike trains?	\$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
11/22/2011	Karolinska Institute Grants Office SE 171 77 Stockholm, Sweden	220020233	Multisensory mechanism of body ownership and the projection of ownership onto artificial bodies	\$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

8/16/2011	INSERM-French National Institute for Health and Medical Research Unit 864 Espace & Action Delegation Regionale Rhone-Alpes, Auvergne Centre Hospitalier du Vinatier, 95, Boulevard Pinel, 69675 Bron Cedex France	220020235	Spatial awareness: normality, pathology and rehabilitation	\$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
8/16/2011	The New School Office of Grants & Sponsored Programs Development Department 79 Fifth Avenue, 17th Floor New York, New York 10003	220020236	How experience shapes the mind. Role: of language, culture, and body	\$150,511.90	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

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James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment					
Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
8/16/2011	Federal University of Rio de Janeiro (Universidade Federal do Rio de Janeiro) Av. Pedro Calmon, No. 550, Predio da Reitoria - Sala 820, Cidade Universitaria Rio de Janeiro - CEP 21941-901 Brazil	220020232	The human brain in numbers: Comparative quantitative studies of the cellular composition of the nervous system of humans and other mammals to investigate the morphological bases of our cognitive advantage	\$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
8/16/2011	University of Rochester Office of Research & Project Administration 517 Hylan Hall Rochester, New York 14627	220020300	The origins and organization of numerical information in the mind and brain	\$150,511.90	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
11/22/2011	University of Cambridge The Old Schools, Trinity Lane Cambridge CB2 1TN England	220020289	Progress in understanding voluntary action	\$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC

8/16/2011	George Washington University 2125 G. Street, NW Washington, DC 20052	220020293	Discovering the evolved human brain phenotype	\$150,511.90	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
8/16/2011	Brown University Office of Research Administration Box 1929, Angell Street Providence, RI 02912	220020290	Emergent complexity in human development: Evidence from process-oriented investigations of attention and memory	\$150,511.90	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
11/22/2011	University of California-Berkeley Sponsored Projects Office 336 Sproul Hall Berkeley, California 94720-5940	220020288	Knowledge from nowhere. How thinking leads to learning	\$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

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James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment

Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
8/16/2011	University of California-San Diego MC 0934 9500 Gilman Drive La Jolla, California 92093	220020280	Encoding abstract concepts in language	\$150,511.90	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
8/16/2011	University of California-Berkeley Sponsored Projects Office 336 Sproul Hall Berkeley, California 94720-5940	220020281	Relational reasoning: Neural mechanisms, development, and plasticity	\$150,511.90	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
8/16/2011	University of California-Los Angeles Office of Contract & Grant Administration 10920 Wilshire Blvd, Suite 211 Los Angeles, California 90024-1406	220020279	Cognitive processing and awareness at the lower boundaries of consciousness Insights from the vegetative and minimally conscious states	\$150,511.90	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

11/22/2011	University of Aberdeen Research & Innovation, University Office King's College Aberdeen AB24 3FX (Scotland) UK	220020282	Attention, perceptual continuity, and action control	\$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
10/21/2011	University College London Office of Planning and Resources Gower Street London WC1E 6BT England UK	220020283	How does the brain learn movement? Bridging the gap between behavioral processes and functional imaging signals	\$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
11/22/2011	The Hebrew University of Jerusalem Authority for Research and Development 2 Keren Hayesod St., Terra Sancta Bldg Jerusalem 91904 Israel	220020284	The brain as a flexible, sensory-modalit; independent task machine: From basic research to visual rehabilitation	\$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar

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James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment

Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
8/16/2011	University College London Office of Planning and Resources Gower Street England UK	220020285	Navigational guidance systems in the human brain	\$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
8/16/2011	University of Illinois Ofc of Spon. Programs and Research Admin 1901 S. First Street, Suite A Champaign, IL 61820-7406	220020287	Understanding language: Prosody and cognition	\$150,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
9/22/2011	New York University Grants Administration 550 First Avenue	220020291	Dissecting learning: Combining experimental and computational approaches	\$149,386.56	21st Century Science Initiative-Understanding Human Cognition/UHC

New York, New York 10016-6481				Scholar
11/22/2011	University of Leipzig (Universitat Leipzig) Medical Faculty LiebigstraBe 27 04103 Leipzig, Germany	220020292	Function. dysfunction and repair of language networks	21st Century Science Initiative-Understanding Human Cognition/UHC Scholar
8/16/2011	University of Southern California Department of Grants and Contracts 837 Downey Way, STO 330 Los Angeles, California 90089-1147	220020200	High speed simulation and prediction of the effect of brain injury on development	21st Century Science Initiative-Understanding Human Cognition/UHC Special Initiative
12/21/2011	University of Southern California Department of Grants and Contracts 837 Downey Way, STO 330 Los Angeles, California 90089-1147	220020200	High speed simulation and prediction of the effect of brain injury on development	21st Century Science Initiative-Understanding Human Cognition/UHC Special Initiative

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James S. McDonnell Foundation - 2011 Grants Paid by Program Area

As of 31 December 2011

Form 990-PF, Part XV, Line 3.a

Payment

Date	Payee Organization	Request ID	Request Project Title	AMV/Cash	Pgm Area
10/28/2011	Fundacion Ciencias Exactas y Naturales (Foundation of Exact and Natural Sciences) Correa 1771, 1° piso oficina, 101, CP; C1428DQC, Nuñes - Ciudad Autonoma de Buenos Aires - Republica Argentina Buenos Aires, Argentina	220020301	Support for the Latin American School on Education and the Cognitive and Neural Sciences	\$425,000.00	21st Century Science Initiative-Understanding Human Cognition/UHC Special Initiative
5/10/2011	The JSM Charitable Trust 1034 South Brentwood Blvd. Suite 1860 St. Louis, Missouri 63117-1218	220020217	Operating Fund	\$3,942,675.00	Special Interests/JSMF Transfers to JSMCT

11/4/2011	The JSM Charitable Trust 1034 South Brentwood Blvd. Suite 1860 St. Louis, Missouri 63117-1218	220020217	Operating Fund	\$1,797,988.59	Special Interests/JSMF Transfers to JSMCT
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Grand Total (118 items)				\$28,010,046.39	
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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	2,336,046.	
4 Dividends and interest from securities			14	7,469,417.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	531120	29,734.	14	1,311,991.	
8 Gain or (loss) from sales of assets other than inventory			18	23,275,236.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		29,734.		34,392,690.	0.
13 Total. Add line 12, columns (b), (d), and (e)				34,422,424.	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

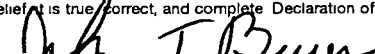
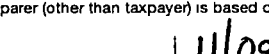
[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No		
	 Signature of officer or trustee		11/09/12 Date			PRESIDENT Title	
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature		Date	Check ☐ if self-employed	PTIN
	JOSEPH P. DRISH				11/12/12		P00964962
	Firm's name ▶ J. & J. MANAGEMENT SERVICES, INC.					Firm's EIN ▶ 43-1725668	
	Firm's address ▶ 1034 S. BRENTWOOD SUITE 1860 ST. LOUIS, MO 63117-1229					Phone no (314) 862-1040	

Form **990-PF** (2011)

JAMES S. MCDONNELL FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

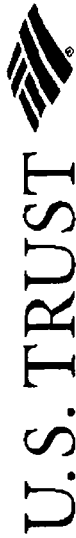
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BANK OF AMERICA- ST SALES	P	VARIOUS	VARIOUS
b	BANK OF AMERICA- LT SALES	P	VARIOUS	VARIOUS
c	QUARTERLY BOND SWAP	P	VARIOUS	VARIOUS
d	SCP OCEAN FUND INVESTMENT LIQUIDATION	P	VARIOUS	VARIOUS
e	FROM K-1 BC EUROPEAN CAPITAL IX-4	P	VARIOUS	VARIOUS
f	FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P. SE	P	VARIOUS	VARIOUS
g	FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P. SE	P	VARIOUS	VARIOUS
h	FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P. SE	P	VARIOUS	VARIOUS
i	FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P. SE	P	VARIOUS	VARIOUS
j	FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P. SE	P	VARIOUS	VARIOUS
k	FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P. SE	P	VARIOUS	VARIOUS
l	FROM K-1 COLCHESTER GLOBAL BOND FUND	P	VARIOUS	VARIOUS
m	FROM K-1 COLCHESTER GLOBAL BOND FUND	P	VARIOUS	VARIOUS
n	FROM K-1 DAVIDSON KEMPNER INSTITUTIONAL PARTNERS,	P	VARIOUS	VARIOUS
o	FROM K-1 DAVIDSON KEMPNER INSTITUTIONAL PARTNERS,	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 30,057,338.		30,112,072.	<54,734.>
b 36,637,429.		19,423,784.	17,213,645.
c		2,600,902.	<2,600,902.>
d 2,373,595.			2,373,595.
e 15.			15.
f 3,228.			3,228.
g 179,608.			179,608.
h		1,786.	<1,786.>
i 68,749.			68,749.
j		9,402.	<9,402.>
k		24,632.	<24,632.>
l 85,422.			85,422.
m 186,542.			186,542.
n 170,752.			170,752.
o 74,763.			74,763.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<54,734.>
b			17,213,645.
c			<2,600,902.>
d			2,373,595.
e			15.
f			3,228.
g			179,608.
h			<1,786.>
i			68,749.
j			<9,402.>
k			<24,632.>
l			85,422.
m			186,542.
n			170,752.
o			74,763.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	



Bank of America Private Wealth Management

2011 Tax Information Letter

Account Number [REDACTED]

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CUST JAMES S MCDONNELL FDN

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
☐ FEDERAL NATL MTG ASSN	31417JMX5	6640 82	09/01/10	08/31/11	\$6,640 82	\$6,984 27	\$-343 45
☐ UNITED STATES TREAS NT	912828LB4	325000	02/22/11	09/27/11	\$328,554 69	\$327,780 84	\$773 85
☐ UNITED STATES TREAS NT	912828LX6	1100000	12/13/10	09/27/11	\$1,114,695 31	\$1,109,570 48	\$5,124 83
☐ UNITED STATES TREAS NT	912828PW4	350000	06/14/11	10/07/11	\$351,736 33	\$351,199 37	\$536 96
☐ UNITED STATES TREAS NT	912828PW4	650000	04/05/11	10/07/11	\$653,224 61	\$649,289 06	\$3,935 55
☐ FEDERAL NATL MTG ASSN	3138EG6D1	30621 36	10/17/11	10/31/11	\$30,621 36	\$31,788 80	\$-1,167 44
VANGUARD SHORT TERM BD INDEX FD	921937850	57572 87	01/13/11	11/04/11	\$615,453 97	\$608,545 23	\$6,908 74
☐ FEDERAL NATL MTG ASSN	3138EG6D1	23278 07	10/17/11	11/30/11	\$23,278 07	\$24,165 55	\$-887 48
☐ UNITED STATES TREAS NT	912828PW4	200000	04/05/11	12/21/11	\$200,945 31	\$199,781 25	\$1,164 06
Total short term gain/loss from sales:					\$30,057,338 30	\$30,112,071 64	\$-54,733 34

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
☐ WELLS FARGO & CO NEW SR NTS	949746NB3	565000	06/18/08	01/12/11	\$565,000 00	\$565,000 00	\$0 00
☐ GOLDMAN SACHS GROUP INC	38141GAZ7	595000	02/22/08	01/15/11	\$595,000 00	\$595,000 00	\$0 00
☐ ORACLE CORP / OZARK HLDG INC	68402LAE4	700000	03/04/08	01/15/11	\$700,000 00	\$700,000 00	\$0 00
☐ UNITED STATES TREAS NT	912828JR2	1000000	06/01/09	01/20/11	\$1,053,746 00	\$1,012,584 55	\$41,161 45
☐ UNITED STATES TREAS NT	912828KDI	250000	03/30/09	01/20/11	\$244,354 47	\$250,425 43	\$-6,070 96
BOEING CO	097023105	702	12/30/66	02/07/11	\$50,443 28	\$1,788 47	\$48,654 81
BOEING CO	097023105	2869	09/13/73	02/07/11	\$206,156 36	\$7,793 20	\$198,363 16
BOEING CO	097023105	499 2	12/30/66	02/07/11	\$35,870 77	\$1,276 34	\$34,594 43
BOEING CO	097023105	18197 6	03/13/64	02/07/11	\$1,307,616 21	\$24,428 98	\$1,283,187 23
BOEING CO	097023105	967 2	09/30/65	02/07/11	\$69,499 63	\$2,101 37	\$67,398 26
BOEING CO	097023105	1599	05/20/65	02/07/11	\$114,898 58	\$2,875 89	\$112,022 69
BOEING CO	097023105	2932 8	04/22/65	02/07/11	\$210,740 80	\$4,908 89	\$205,831 91



Bank of America Private Wealth Management

CUST JAMES S MCDONNELL FDN

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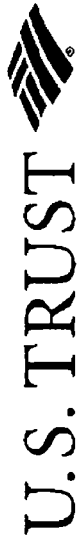
2011 Tax Information Letter

Account Number 011000449595

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
BOEING CO	097023105	9118 2	09/24/64	02/07/11	\$655,202 12	\$14,191 05	\$641,011 07
BOEING CO	097023105	475 8	09/25/64	02/07/11	\$34,189 33	\$735 49	\$33,453 84
BOEING CO	097023105	10709 4	12/31/64	02/07/11	\$769,540 21	\$15,015 00	\$754,525 21
BOEING CO	097023105	4859 4	09/04/64	02/07/11	\$349,179 57	\$6,775 00	\$342,404 57
BOEING CO	097023105	34070 4	04/10/64	02/07/11	\$2,448,180 37	\$46,725 00	\$2,401,455 37
UNITED STATES TREAS NT	912828KD1	500000	03/30/09	02/15/11	\$482,322 22	\$500,844 22	\$-18,522 00
CISCO SYS INC	17275RAB8	600000	08/29/06	02/22/11	\$600,000 00	\$597,558 00	\$2,442 00
UNITED STATES TREAS NTS	912828DV9	600000	03/30/09	02/22/11	\$657,724 55	\$653,109 75	\$4,614 80
AMERICAN HOME PRODS CORP NT	026609AM9	525000	04/29/08	03/15/11	\$525,000 00	\$525,000 00	\$0 00
BOEING CO	097023105	19461	03/09/64	05/03/11	\$1,551,292 14	\$25,050 00	\$1,526,242 14
BOEING CO	097023105	1263 4	03/13/64	05/03/11	\$100,709 24	\$1,696 02	\$99,013 22
BOEING CO	097023105	73561 8	02/06/63	05/03/11	\$5,863,822 13	\$85,786 00	\$5,778,036 13
BOEING CO	097023105	29195 4	12/03/63	05/03/11	\$2,327,249 10	\$33,300 00	\$2,293,949 10
BOEING CO	097023105	12518 4	12/09/63	05/03/11	\$997,877 58	\$14,265 14	\$983,612 44
UNITED STATES TREAS NTS	912828GS3	865000	03/30/09	05/10/11	\$975,692 97	\$962,533 32	\$13,159 65
UNITED STATES TREAS NTS	912828GS3	350000	05/18/09	05/10/11	\$394,789 06	\$380,876 04	\$13,913 02
UNITED STATES TREAS NTS	912828HZ6	525000	03/30/09	05/10/11	\$570,260 74	\$566,498 21	\$3,762 53
FEDERAL NATL MTG ASSN	31418QWM1	4660 15	05/21/10	05/31/11	\$4,660 15	\$4,895 34	\$-235 19
JPMORGAN CHASE & CO SR NT	46625HCG9	550000	08/04/08	06/01/11	\$550,000 00	\$550,000 00	\$0 00
JPMORGAN CHASE & CO SR NT	46625HCG9	240000	11/06/08	06/01/11	\$240,000 00	\$239,102 40	\$897 60
UNITED STATES TREAS NTS	912828DV9	500000	03/30/09	06/14/11	\$556,289 06	\$541,154 91	\$15,134 15
FEDERAL NATL MTG ASSN	31418QWM1	13390 13	05/21/10	06/30/11	\$13,390 13	\$14,065 91	\$-675 78
FEDERAL NATL MTG ASSN	31359M2D4	1800000	03/30/09	07/13/11	\$2,072,626 20	\$1,935,616 04	\$137,010 16
FEDERAL NATL MTG ASSN	31418QWM1	30991 98	05/21/10	07/31/11	\$30,991 98	\$32,556 11	\$-1,564 13
FEDERAL NATL MTG ASSN	31418QWM1	4501 55	05/21/10	08/31/11	\$4,501 55	\$4,728 74	\$-227 19
FEDERAL NATL MTG ASSN	31417MX5	8809 8	09/01/10	09/30/11	\$8,809 80	\$9,265 43	\$-455 63



Bank of America Private Wealth Management

CUST JAMES S MCDONNELL FDN

2011 Tax Information Letter

Account Number

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Long term transactions

This category includes sales of assets held more than 12 months

Description	Cusip	Number of shares	Date of acquisition	Sale date	Sales price or pass through gain	Cost, other basis or pass through loss	Net gain or loss
☐ FEDERAL NATL MTG ASSN	31418QWM1	19072 52	05/21/10	09/30/11	\$19,072 52	\$20,035 09	\$-962 57
☐ UNITED STATES TREAS NTS	912828DC1	1000000	03/30/09	10/17/11	\$1,113,789 07	\$1,073,895 43	\$39,893 64
☐ UNITED STATES TREAS NTS	912828DC1	350000	11/18/09	10/17/11	\$389,826 17	\$371,665 93	\$18,160 24
☐ FEDERAL NATL MTG ASSN	31418QWM1	34085 8	05/21/10	10/31/11	\$34,085 80	\$35,806 07	\$-1,720 27
☐ FEDERAL NATL MTG ASSN	31417JMX5	57244 52	09/01/10	10/31/11	\$57,244 52	\$60,205 13	\$-2,960 61
VANGUARD SHORT TERM BD INDEX FD	921937850	527085 69	04/26/10	11/04/11	\$5,634,546 03	\$5,518,587 17	\$115,958 86
☐ LOWES COS INC	548661CQ8	590000	06/07/10	11/17/11	\$633,241 10	\$618,601 78	\$14,639 32
☐ FEDERAL NATL MTG ASSN	31418QWM1	40629 58	05/21/10	11/30/11	\$40,629 58	\$42,680 10	\$-2,050 52
☐ FEDERAL NATL MTG ASSN	31417JMX5	52147 99	09/01/10	11/30/11	\$52,147 99	\$54,845 02	\$-2,697 03
☐ ELECTRONIC DATA SYS CORP NEW SR NT	285661AD6	680000	01/08/09	12/02/11	\$725,220 00	\$697,935 98	\$27,284 02
Total long term gain/loss from sales:					\$36,637,429 08	\$19,423,783 94	\$17,213,645 14

JAMES S. MCDONNELL FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	FROM K-1 DAVIDSON KEMPNER INSTITUTIONAL PARTNERS,	P	VARIOUS	VARIOUS
b	FROM K-1 GREAT HILL EQUITY PARTNERS, LP	P	VARIOUS	VARIOUS
c	FROM K-1 INSTITUTIONAL VENTURE PARTNERS, XIII LP	P	VARIOUS	VARIOUS
d	FROM K-1 INTECH US LARGE CAP GROWTH FUND, LLC	P	VARIOUS	VARIOUS
e	FROM K-1 INTECH US LARGE CAP GROWTH FUND, LLC	P	VARIOUS	VARIOUS
f	FROM K-1 JP MORGAN US REAL ESTATE INCOME & GROWTH	P	VARIOUS	VARIOUS
g	FROM K-1 JP MORGAN US REAL ESTATE INCOME & GROWTH	P	VARIOUS	VARIOUS
h	FROM K-1 NEWBURY EQUITY PARTNERS, LP	P	VARIOUS	VARIOUS
i	FROM K-1 NEWBURY EQUITY PARTNERS, LP	P	VARIOUS	VARIOUS
j	FROM K-1 NEWBURY EQUITY PARTNERS, LP- 1256	P	VARIOUS	VARIOUS
k	FROM K-1 OAKTREE OPPORTUNITIES FUND VIII, LP	P	VARIOUS	VARIOUS
l	FROM K-1 OAKTREE OPPORTUNITIES FUND VIII, LP	P	VARIOUS	VARIOUS
m	FROM K-1 OAKTREE OPPORTUNITIES FUND VIII, LP- 125	P	VARIOUS	VARIOUS
n	FROM K-1 OAKTREE OPPORTUNITIES FUND VIII AIF, LP	P	VARIOUS	VARIOUS
o	FROM K-1 OAKTREE OPPORTUNITIES FUND VIII AIF, LP	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,587.		4,587.
b	436,505.		436,505.
c	245.		245.
d	158,535.		158,535.
e	1,060,100.		1,060,100.
f	12.		12.
g		20,115.	<20,115.>
h		2,360.	<2,360.>
i	1,517,915.		1,517,915.
j	2,677.		2,677.
k	9,440.		9,440.
l	10,328.		10,328.
m	1,479.		1,479.
n	3,637.		3,637.
o	201.		201.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			4,587.
b			436,505.
c			245.
d			158,535.
e			1,060,100.
f			12.
g			<20,115.>
h			<2,360.>
i			1,517,915.
j			2,677.
k			9,440.
l			10,328.
m			1,479.
n			3,637.
o			201.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

JAMES S. MCDONNELL FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	FROM K-1 OCM OPPORTUNITIES FUND IV, L.P.	P	VARIOUS	VARIOUS
b	FROM K-1 OCM OPPORTUNITIES FUND V, L.P.	P	VARIOUS	VARIOUS
c	FROM K-1 OCM OPPORTUNITIES FUND V, LP- 1256	P	VARIOUS	VARIOUS
d	FROM K-1 OCM OPPORTUNITIES FUND VI, LP	P	VARIOUS	VARIOUS
e	FROM K-1 OCM OPPORTUNITIES FUND VI, LP	P	VARIOUS	VARIOUS
f	FROM K-1 OCM OPPORTUNITIES FUND VI, LP- 1256	P	VARIOUS	VARIOUS
g	FROM K-1 OCM OPPORTUNITIES FUND VII, LP	P	VARIOUS	VARIOUS
h	FROM K-1 OCM OPPORTUNITIES FUND VII, LP	P	VARIOUS	VARIOUS
i	FROM K-1 OCM OPPORTUNITIES FUND VII, LP- 1256	P	VARIOUS	VARIOUS
j	FROM K-1 OCM OPPORTUNITIES FUND VIIB AIF DELAWARE	P	VARIOUS	VARIOUS
k	FROM K-1 OCM OPPORTUNITIES FUND VIIB AIF DELAWARE	P	VARIOUS	VARIOUS
l	FROM K-1 OCM OPPORTUNITIES FUND VIIB, LP	P	VARIOUS	VARIOUS
m	FROM K-1 OCM OPPORTUNITIES FUND VIIB, LP	P	VARIOUS	VARIOUS
n	FROM K-1 OCM OPPORTUNITIES FUND VIIB, LP- 1256	P	VARIOUS	VARIOUS
o	FROM K-1 RESOURCE CAPITAL FUND V LP	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,944.		3,944.
b	206,347.		206,347.
c		12,282.	<12,282.>
d	2,116.		2,116.
e	33,163.		33,163.
f	8,842.		8,842.
g	26,716.		26,716.
h	42,409.		42,409.
i		4,452.	<4,452.>
j	6,535.		6,535.
k	21,172.		21,172.
l	156,533.		156,533.
m	520,730.		520,730.
n		40,367.	<40,367.>
o	57,636.		57,636.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			3,944.
b			206,347.
c			<12,282.>
d			2,116.
e			33,163.
f			8,842.
g			26,716.
h			42,409.
i			<4,452.>
j			6,535.
k			21,172.
l			156,533.
m			520,730.
n			<40,367.>
o			57,636.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

JAMES S. MCDONNELL FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	FROM K-1 RESOURCE CAPITAL FUND V LP	P	VARIOUS	VARIOUS
b	FROM K-1 RHO VENTURES V, LP	P	VARIOUS	VARIOUS
c	FROM K-1 RHO VENTURES V, LP	P	VARIOUS	VARIOUS
d	FROM K-1 SAVANNA REAL ESTATE FUND II, LP	P	VARIOUS	VARIOUS
e	FROM K-1 THOMAS WEISEL GLOBAL GROWTH PARTNERS IV	P	VARIOUS	VARIOUS
f	FROM K-1 THOMAS WEISEL GLOBAL GROWTH PARTNERS IV	P	VARIOUS	VARIOUS
g	FROM K-1 VECTIS HEALTHCARE & LIFE SCIENCES FUND I	P	VARIOUS	VARIOUS
h	FROM K-1 VECTIS HEALTHCARE & LIFE SCIENCES FUND I	P	VARIOUS	VARIOUS
i	FROM K-1 VECTIS LIFE SCIENCES FUND I, LP	P	VARIOUS	VARIOUS
j	FROM K-1 VECTIS LIFE SCIENCES FUND I, LP	P	VARIOUS	VARIOUS
k	FROM K-1 WARBURG PINCUS PRIVATE EQUITY X, LP	P	VARIOUS	VARIOUS
l	FROM K-1 WARBURG PINCUS PRIVATE EQUITY X, LP	P	VARIOUS	VARIOUS
m	BARCLAYS GLOBAL INVESTORS INTERNATIONAL ALPHA TIL	P	VARIOUS	VARIOUS
n	CAPITAL GAINS DIVIDENDS			
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	59,310.		59,310.
b	7,861.		7,861.
c		138,517.	<138,517.>
d	17,316.		17,316.
e	251,371.		251,371.
f	85,031.		85,031.
g	44,129.		44,129.
h	185,279.		185,279.
i	89,376.		89,376.
j	563,669.		563,669.
k	35,464.		35,464.
l		850.	<850.>
m	2,961.		2,961.
n	195,745.		195,745.
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			59,310.
b			7,861.
c			<138,517.>
d			17,316.
e			251,371.
f			85,031.
g			44,129.
h			185,279.
i			89,376.
j			563,669.
k			35,464.
l			<850.>
m			2,961.
n			195,745.
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	23,275,236.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BANK OF AMERICA MONEY MARKET INTEREST-SHORT	40.
FROM K-1 ARTIMAN VENTURES III, LP	344.
FROM K-1 BC EUROPEAN CAPITAL IX-4	388.
FROM K-1 BENSELL US FEEDER III, LP	0.
FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P.	
SERIES 3	18,994.
FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P.	
SERIES 4	61,442.
FROM K-1 COLCHESTER GLOBAL BOND FUND	758,657.
FROM K-1 DAVIDSON KEMPNER INSTITUTIONAL PARTNERS, LP	163,910.
FROM K-1 ENCAP ENERGY CAPITAL FUND VIII	
CO-INVESTORS B, LP	172.
FROM K-1 ENCAP ENERGY CAPITAL FUND VIII-B, LP	18,948.
FROM K-1 GREAT HILL EQUITY PARTNERS, LP	12,795.
FROM K-1 INSTITUTIONAL VENTURE PARTNERS, XIII LP	10.
FROM K-1 INTECH US LARGE CAP GROWTH FUND, LLC	18.
FROM K-1 JP MORGAN US REAL ESTATE INCOME & GROWTH DOMESTIC, LP	3,743.
FROM K-1 LIME ROCK PARTNERS V, LP	1,868.
FROM K-1 LOVELL MINNICK EQUITY PARTNERS III-A, LP	30,323.
FROM K-1 NEWBURY EQUITY PARTNERS, LP	77,844.
FROM K-1 OAKTREE OPPORTUNITIES FUND VIII AIF, LP	2,217.
FROM K-1 OAKTREE OPPORTUNITIES FUND VIII, LP	85,432.
FROM K-1 OCM OPPORTUNITIES FUND V, LP	12,218.
FROM K-1 OCM OPPORTUNITIES FUND VI AIF (DELAWARE), LP	148.
FROM K-1 OCM OPPORTUNITIES FUND VI, LP	59,389.
FROM K-1 OCM OPPORTUNITIES FUND VII AIF (DELAWARE), LP	93.
FROM K-1 OCM OPPORTUNITIES FUND VII, LP	135,027.
FROM K-1 OCM OPPORTUNITIES FUND VIIB AIF DELAWARE, LP	10,455.
FROM K-1 OCM OPPORTUNITIES FUND VIIB, LP	781,737.
FROM K-1 RESOURCE CAPITAL FUND V LP	2,350.
FROM K-1 RHO VENTURES V, LP	6,687.
FROM K-1 SAIF PARTNERS IV LP	91.
FROM K-1 SAYBROOK CORPORATE OPPORTUNITY FUND II, LP	1.
FROM K-1 THOMAS WEISEL GLOBAL GROWTH PARTNERS IV (S), LP	436.
FROM K-1 TIAA-CREF ASSET MANAGEMENT CORE PROPERTY FUND, LP	25.
FROM K-1 VECTIS HEALTHCARE & LIFE SCIENCES FUND II, LP	29,727.
FROM K-1 VECTIS LIFE SCIENCES FUND I, LP	27,750.
FROM K-1 WARBURG PINCUS (GANYMEDE) PRIVATE EQUITY X, LP	2.

FROM K-1 WARBURG PINCUS (GANYMEDE-II) PRIVATE EQUITY X, LP	10.
FROM K-1 WARBURG PINCUS PRIVATE EQUITY (E&P) X-B, LP	1,099.
FROM K-1 WARBURG PINCUS PRIVATE EQUITY X O&G, LP	20.
FROM K-1 WARBURG PINCUS PRIVATE EQUITY X, LP	31,588.
FROM K-1 WLR RECOVERY FUND V, LP	15.
JP MORGAN INTEREST INCOME	33.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	2,336,046.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	2
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
AMORT. OF US T-NOTE & BONDS	<235,306.>	0.	<235,306.>
BANK OF AMERICA	3,514,459.	195,745.	3,318,714.
CORPORATE BOND INTEREST	1,140,781.	0.	1,140,781.
DFA MICRO CAP	1,944.	0.	1,944.
DOVER STREET VI CAYMAN FUND L.P.	82,309.	0.	82,309.
FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P. SERIES 3	80,716.	0.	80,716.
FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P. SERIES 4	529,400.	0.	529,400.
FROM K-1 COLCHESTER GLOBAL BOND FUND	38.	0.	38.
FROM K-1 DAVIDSON KEMPNER INSTITUTIONAL PARTNERS, LP	8,376.	0.	8,376.
FROM K-1 INTECH US LARGE CAP GROWTH FUND, LLC	282,477.	0.	282,477.
FROM K-1 JP MORGAN US REAL ESTATE INCOME & GROWTH DOMESTIC, LP	796.	0.	796.
FROM K-1 NEWBURY EQUITY PARTNERS, LP	260,487.	0.	260,487.
FROM K-1 OAKTREE OPPORTUNITIES FUND VIII, LP	19,062.	0.	19,062.
FROM K-1 OCM OPPORTUNITIES FUND V, L.P.	24,071.	0.	24,071.
FROM K-1 OCM OPPORTUNITIES FUND VI, LP	5,885.	0.	5,885.
FROM K-1 OCM OPPORTUNITIES FUND VII, LP	27,204.	0.	27,204.
FROM K-1 OCM OPPORTUNITIES FUND VIIB AIF CAYMAN, L.P.	4,185.	0.	4,185.
FROM K-1 OCM OPPORTUNITIES FUND VIIB AIF DELAWARE, L.P.	2,257.	0.	2,257.
FROM K-1 OCM OPPORTUNITIES FUND VIIB, L.P.	224,103.	0.	224,103.
FROM K-1 RHO VENTURES V, LP	2.	0.	2.

FROM K-1 SAIF PARTNERS IV LP	13.	0.	13.
FROM K-1 THOMAS WEISEL GLOBAL GROWTH PARTNERS IV (S), LP	39.	0.	39.
FROM K-1 TIAA-CREF ASSET MANAGEMENT CORE PROPERTY FUND, L.P.	133,084.	0.	133,084.
FROM K-1 VECTIS LIFE SCIENCES FUND I, LP	1.	0.	1.
FROM K-1 WARBURG PINCUS (GANYPEDE) PRIVATE EQUITY X, LP	11.	0.	11.
FROM K-1 WARBURG PINCUS (GANYPEDE-II) PRIVATE EQUITY X, LP	2,056.	0.	2,056.
FROM K-1 WARBURG PINCUS PRIVATE EQUITY (E&P) X-B, LP	3.	0.	3.
FROM K-1 WARBURG PINCUS PRIVATE EQUITY X O&G, LP	22.	0.	22.
FROM K-1 WARBURG PINCUS PRIVATE EQUITY X, LP	64,357.	0.	64,357.
GMO INTL CORE EQUITY FUND III	538,842.	0.	538,842.
GMO QUALITY FUND III	406,993.	0.	406,993.
JP MORGAN REIT DIVIDEND	270.	0.	270.
US TREASURY NOTE & BOND INT	546,225.	0.	546,225.
TOTAL TO FM 990-PF, PART I, LN 4	7,665,162.	195,745.	7,469,417.

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY	8,367.	8,367.	
FROM K-1 BC EUROPEAN CAPITAL IX-4	<298.>	<298.>	
FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P. SERIES 3	<40,383.>	<40,383.>	
FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P. SERIES 3- UBTI	<16.>	0.	
FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P. SERIES 4	28,922.	28,922.	
FROM K-1 COLCHESTER GLOBAL BOND FUND	1,192,802.	1,192,802.	
FROM K-1 DAVIDSON KEMPNER INSTITUTIONAL PARTNERS, LP	<10,426.>	<10,426.>	
FROM K-1 NEWBURY EQUITY PARTNERS, LP	25,991.	25,991.	
FROM K-1 NEWBURY EQUITY PARTNERS, LP- UBTI	10,251.	0.	
FROM K-1 NEWBURY EQUITY PARTNERS, LP	93.	93.	
FROM K-1 OAKTREE OPPORTUNITIES FUND VIII, LP	5,229.	5,229.	

FROM K-1 OAKTREE OPPORTUNITIES FUND VIII, LP	4,457.	0.
FROM K-1 OAKTREE OPPORTUNITIES FUND VIII AIF, LP	<1,374.>	<1,374.>
FROM K-1 OAKTREE OPPORTUNITIES FUND VIII AIF, LP	<1,015.>	0.
FROM K-1 OCM OPPORTUNITIES FUND IV, L.P.	403.	403.
FROM K-1 OCM OPPORTUNITIES FUND V, L.P.	3,105.	3,105.
FROM K-1 OCM OPPORTUNITIES FUND VI AIF (DELAWARE), L.P.	276.	276.
FROM K-1 OCM OPPORTUNITIES FUND VI AIF (DELAWARE), L.P.- UBTI	491.	0.
FROM K-1 OCM OPPORTUNITIES FUND VI, LP	2,612.	2,612.
FROM K-1 OCM OPPORTUNITIES FUND VI, LP- UBTI	855.	0.
FROM K-1 OCM OPPORTUNITIES FUND VII AIF, L.P.	171.	171.
FROM K-1 OCM OPPORTUNITIES FUND VII AIF, L.P.- UBTI	309.	0.
FROM K-1 OCM OPPORTUNITIES FUND VII, LP	3,643.	3,643.
FROM K-1 OCM OPPORTUNITIES FUND VIIIB AIF DELAWARE, L.P.	15,775.	15,775.
FROM K-1 OCM OPPORTUNITIES FUND VIIIB AIF DELAWARE, L.P.- UBTI	2,024.	0.
FROM K-1 OCM OPPORTUNITIES FUND VIIIB, LP	41,074.	41,074.
FROM K-1 OCM OPPORTUNITIES FUND VIIIB, L.P.- UBTI	14,954.	0.
FROM K-1 RESOURCE CAPITAL FUND V LP	1,737.	1,737.
FROM K-1 THOMAS WEISEL GLOBAL GROWTH PARTNERS IV (S), LP	5,170.	5,170.
FROM K-1 VECTIS HEALTHCARE & LIFE SCIENCES FUND II, LP	<2,576.>	0.
FROM K-1 VECTIS LIFE SCIENCE FUND I, LP	<113.>	<113.>
FROM K-1 WARBURG PINCUS PRIVATE EQUITY X, LP	1,532.	1,532.
FROM K-1 WLR RECOVERY FUND V, LP	27,647.	27,647.
FROM K-1 WLR RECOVERY FUND V DSS AIV, LP	<48.>	<48.>
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	84.	84.
TOTAL TO FORM 990-PF, PART I, LINE 11	1,341,725.	1,311,991.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	20,803.	16,605.		4,198.	
TO FORM 990-PF, PG 1, LN 16B	20,803.	16,605.		4,198.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FINANCIAL SERVICE FEE - J&J	273,085.	245,776.		27,309.	
HAMMOND FEES	139,609.	139,609.		0.	
AGENCY FEES- BANK OF AMERICA	123,084.	123,084.		0.	
TO FORM 990-PF, PG 1, LN 16C	535,778.	508,469.		27,309.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EMPLOYER FICA & MEDICARE	30,750.	0.		30,750.	
FOREIGN TAXES PAID - BANK OF AMERICA 595	82,213.	82,213.		0.	
FOREIGN TAXES PAID - GMO INTL CORE EQUITY FUND III	50,533.	50,533.		0.	
FOREIGN TAXES PAID - FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P. SERIES 3	206.	206.		0.	
FOREIGN TAXES PAID - FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P. SERIES 4	17.	17.		0.	
FOREIGN TAXES PAID - FROM K-1 COLCHESTER GLOBAL BOND FUND	1,744.	1,744.		0.	
FOREIGN TAXES PAID - FROM K-1 DAVIDSON KEMPNER INSTITUTIONAL PARTNERS, LP	640.	640.		0.	

FOREIGN TAXES PAID - FROM K-1 GREAT HILL EQUITY PARTNERS, LP	315.	315.	0.
FOREIGN TAXES PAID - FROM K-1 INTECH US LARGE CAP GROWTH FUND, LLC	193.	193.	0.
FOREIGN TAXES PAID - FROM K-1 NEWBURY EQUITY PARTNERS, LP	935.	935.	0.
FOREIGN TAXES PAID - FROM K-1 OAKTREE OPPORTUNITIES FUND VIII, LP	26.	26.	0.
FOREIGN TAXES PAID - FROM K-1 OCM OPPORTUNITIES FUND V, L.P.	1,649.	1,649.	0.
FOREIGN TAXES PAID - FROM K-1 OCM OPPORTUNITIES FUND VII, L.P.	139.	139.	0.
FOREIGN TAXES PAID - FROM K-1 OCM OPPORTUNITIES FUND VIIB AIF DELAWARE, L.P.	789.	789.	0.
FOREIGN TAXES PAID - FROM K-1 OCM OPPORTUNITIES FUND VIIB, L.P.	5,107.	5,107.	0.
FOREIGN TAXES PAID - FROM K-1 WARBURG PINCUS PRIVATE EQUITY X, LP	713.	713.	0.
STATE TAXES WITHHELD - FROM K-1 NEWBURY EQUITY PARTNERS	1,716.	1,716.	0.
TO FORM 990-PF, PG 1, LN 18	177,685.	146,935.	30,750.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
COMPUTER EQUIPMENT	1,354.	0.		1,354.
EQUIPMENT MAINTENANCE & RENTAL	8,775.	0.		8,775.
FROM K-1 AMERICAN SECURITIES PARTNERS VI, LP	8,834.	8,834.		0.
FROM K-1 ARTIMAN VENTURES III, LP	19,187.	19,187.		0.
FROM K-1 BC EUROPEAN CAPITAL IX-4	23,129.	23,129.		0.
FROM K-1 BERKSHIRE MULTIFAMILY VALUE FUND II, LP	2,343.	2,343.		0.

FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P. SERIES 3	27,454.	27,454.	0.
FROM K-1 CERBERUS INSTITUTIONAL PARTNERS, L.P. SERIES 4	107,387.	107,387.	0.
FROM K-1 COLCHESTER GLOBAL BOND FUND	91,771.	91,771.	0.
FROM K-1 CORNERSTONE REAL ESTATE FUND VIII, LP	593.	593.	0.
FROM K-1 DAVIDSON KEMPNER INSTITUTIONAL PARTNERS, LP	111,883.	111,883.	0.
FROM K-1 ENCAP ENERGY CAPITAL FUND VIII-B, LP	477.	477.	0.
FROM K-1 ENCAP ENERGY CAPITAL FUND VIII CO-INVESTORS B, LP	42.	42.	0.
FROM K-1 GREAT HILL EQUITY PARTNERS IV, LP	75,644.	75,644.	0.
FROM K-1 INSTITUTIONAL VENTURE PARTNERS, XIII LP	21,018.	21,018.	0.
FROM K-1 INTECH RISK-MANAGED LARGE CAP GROWTH FUND, LLC	4,302.	4,302.	0.
FROM K-1 INTECH RISK-MANAGED LARGE CAP GROWTH FUND, LLC-MGMT FEES	77,525.	77,525.	0.
FROM K-1 JP MORGAN US REAL ESTATE INCOME & GROWTH DOMESTIC, LP	7,301.	7,301.	0.
FROM K-1 LIME ROCK PARTNERS V, LP	41,245.	41,245.	0.
FROM K-1 LIME ROCK RESOURCES II-C, LP	63,847.	63,847.	0.
FROM K-1 LOVELL MINNICK EQUITY PARTNERS III-A, LP	74,970.	74,970.	0.
FROM K-1 NEWBURY EQUITY PARTNERS, LP	504,775.	504,775.	0.
FROM K-1 OAKTREE OPPORTUNITIES FUND VIII, LP	30,353.	30,353.	0.
FROM K-1 OAKTREE OPPORTUNITIES FUND VIII AIF, LP	3,486.	3,486.	0.
FROM K-1 OCM OPPORTUNITIES FUND IV, LP	1,338.	1,338.	0.
FROM K-1 OCM OPPORTUNITIES FUND V, LP	13,560.	13,560.	0.
FROM K-1 OCM OPPORTUNITIES FUND VI AIF, LP	1,061.	1,061.	0.
FROM K-1 OCM OPPORTUNITIES FUND VI, LP	26,601.	26,601.	0.
FROM K-1 OCM OPPORTUNITIES FUND VII AIF, LP	1,301.	1,301.	0.
FROM K-1 OCM OPPORTUNITIES FUND VII, LP	38,438.	38,438.	0.

FROM K-1 OCM OPPORTUNITIES			
FUND VIIB AIF CAYMAN, LP	31.	31.	0.
FROM K-1 OCM OPPORTUNITIES			
FUND VIIB AIF DELAWARE, LP	8,830.	8,830.	0.
FROM K-1 OCM OPPORTUNITIES			
FUND VIIB, LP	172,568.	172,568.	0.
FROM K-1 RESOURCE CAPITAL			
FUND V LP	15,795.	15,795.	0.
FROM K-1 RHO VENTURES V, LP	55,037.	55,037.	0.
FROM K-1 SAIF PARTNERS IV LP	33,123.	33,123.	0.
FROM K-1 SAVANNA REAL ESTATE			
FUND II, LP	24,319.	24,319.	0.
FROM K-1 SAYBROOK CORPORATE			
OPPORTUNITY FUND II, LP	14,789.	14,789.	0.
FROM K-1 THOMAS WEISEL			
GLOBAL GROWTH PARTNERS IV			
(S), LP	49,542.	49,542.	0.
FROM K-1 THOMPSON STREET			
CAPITAL PARTNERS III, LP	20,814.	20,814.	0.
FROM K-1 TIAA-CREF ASSET			
MANAGEMENT CORE PROPERTY			
FUND, LP	91,406.	91,406.	0.
FROM K-1 VECTIS HEALTHCARE &			
LIFE SCIENCES FUND II, LP	337,176.	337,176.	0.
FROM K-1 VECTIS LIFE			
SCIENCES FUND I	305,318.	305,318.	0.
FROM K-1 WARBURG PINCUS			
(GANYMEDE) PRIVATE EQUITY X,			
LP	1,213.	1,213.	0.
FROM K-1 WARBURG PINCUS			
(GANYMEDE-II) PRIVATE EQUITY			
X, LP	4,523.	4,523.	0.
FROM K-1 WARBURG PINCUS			
PRIVATE EQUITY X O&G, LP	3,847.	3,847.	0.
FROM K-1 WARBURG PINCUS			
PRIVATE EQUITY X, LP	167,624.	167,624.	0.
FROM K-1 WARBURG PINCUS			
PRIVATE EQUITY (E&P) X-B, LP	255.	255.	0.
FROM K-1 WLR RECOVERY FUND			
V, LP	17,626.	17,626.	0.
FROM K-1 WLR RECOVERY FUND V			
DSS AIV, LP	134.	134.	0.
FURNITURE & FIXTURES	0.	0.	0.
INSURANCE	24,882.	0.	24,882.
MEDICAL INSURANCE	99,588.	0.	99,588.
MISCELLANEOUS EXPENSE	284.	0.	284.
OFFICE AND COMPUTER SUPPLIES	2,857.	0.	2,857.
POSTAGE	493.	0.	493.
PROGRAM ADMINISTRATION	156,988.	0.	156,988.
PROGRAM HORORARIUMS	149,500.	0.	149,500.
SUBSCRIPTIONS, BOOKS, PROF.			
MEMBERSHIPS	5,635.	0.	5,635.
TELEPHONE EXPENSE	2,319.	0.	2,319.
TO FORM 990-PF, PG 1, LN 23	3,156,510.	2,703,835.	452,675.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
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DESCRIPTION	AMOUNT
ADJUSTMENT FROM FAIR MARKET VALUE TO BOOK VALUE	24,795,068.
RECOVERY OF AMOUNTS TREATED AS QUALIFYING DISTRIBUTIONS	64,444.
TOTAL TO FORM 990-PF, PART III, LINE 3	24,859,512.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	9
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
TREASURY NOTES AND BONDS	X		3,551,752.	5,365,662.
TOTAL U.S. GOVERNMENT OBLIGATIONS			3,551,752.	5,365,662.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			3,551,752.	5,365,662.

FORM 990-PF	CORPORATE STOCK	STATEMENT	10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BOEING COMMON STOCK	0.	0.
DIMENSIONAL FUND ADVISORS SMALL CO. FUND	130,198.	257,008.
PRUDENTIAL REAL ESTATE INVESTORS (PRISA)	4,000,000.	4,476,180.
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,130,198.	4,733,188.

FORM 990-PF	CORPORATE BONDS	STATEMENT	11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BANK OF AMERICA SHORT-TERM BOND FUND	42,990,581.	44,865,156.
TOTAL TO FORM 990-PF, PART II, LINE 10C	42,990,581.	44,865,156.

FORM 990-PF	OTHER ASSETS		STATEMENT 12
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
AMERICAN SECURITIES CAPITAL PARTNERS VI	0.	454,081.	462,900.
ANCHORAGE CAPITAL PARTNERS OFFSHORE LTD.	2,000,000.	2,000,000.	2,628,692.
ANCHORAGE SHORT CREDIT OFFSHORE FUND LTD CL A SERIES 0307	4,500,000.	4,500,000.	3,274,283.
ARTIMAN VENTURES III, LP	46,602.	127,759.	104,418.
AURELIUS CAPITAL INTERNATIONAL, LTD	6,000,000.	6,000,000.	5,830,163.
BC EUROPEAN CAPITAL IX	0.	201,011.	199,383.
BENSELL US FEEDER III, LP	96,246.	670,361.	97,575.
BERKSHIRE MULTIFAMILY VALUE FUND II, LP	13,531,309.	20,952,733.	23,116,744.
BGI INTL ALPHA TILTS FUND B	<329,898.>	0.	0.
CERBERUS INSTITUTIONAL PARTNERS, L.P.-SERIES 3	1,533,289.	1,329,860.	1,165,483.
CERBERUS INSTITUTIONAL PARTNERS, L.P.-SERIES 4	4,820,065.	5,467,214.	4,382,481.
COLCHESTER GLOBAL BOND FUND	13,726,385.	15,858,075.	15,643,025.
CORNERSTONE REAL ESTATE FUND VIII	0.	675,316.	707,612.
DAVIDSON KEMPNER INSTITUTIONAL PARTNERS LP	6,933,669.	7,233,108.	7,386,458.
DOVER STREET VI	3,372,001.	2,710,781.	3,632,431.
ELLIOT INTERNATIONAL	12,000,000.	12,000,000.	16,145,565.
ENCAP ENERGY CAPITAL FUND VIII-B, LP	84,434.	509,847.	579,389.
ENCAP ENERGY CAPITAL FUND VIII	0.	78,707.	0.
CO-INVESTORS B LP	3,500,000.	3,500,000.	3,594,411.
FORTRESS MACRO OFFSHORE FUND LP	18,486,781.	18,486,781.	12,596,250.
GMO TR INTL CORE EQUITY FUND	21,364,522.	21,364,522.	21,793,328.
GMO US QUALITY EQUITY FUND III	1,791,500.	1,997,341.	2,503,081.
GREAT HILL EQUITY PARTNERS IV, LP	5,000,000.	5,000,000.	5,112,140.
HOPLITE OFFSHORE FUND, LTD	94,920.	474,157.	529,577.
INSTITUTIONAL VENTURE PARTNERS, XIII, LP	13,046,090.	14,465,200.	15,567,606.
INTECH RISK MANAGED LARGE CAP GROWTH FUND, LLC	17,915,222.	17,915,222.	16,872,000.
ISHARES TR S&P GSSI NAT RES FD	0.	5,000,000.	5,050,350.
JAT CAPITAL OFFSHORE LTD	20,000,000.	20,000,000.	20,228,833.
JENSEN FUND I	434,438.	391,632.	398,390.
JP MORGAN US RE INC & GR FUND	5,500,000.	5,500,000.	7,253,682.
KING STREET CAPITAL LTD CL A SERIES 1	1,039,094.	1,406,530.	1,637,039.
LIME ROCK PARTNERS V, LP	992,785.	1,373,369.	1,588,353.
LIME ROCK RESOURCES II-C, LP	1,039,502.	1,111,058.	1,118,401.
LOVELL MINNICK EQUITY PARTNERS III-A, LP			

MERCHANT'S GATE OFFSHORE FUND LTD	0.	5,000,000.	4,765,645.
MORGAN STANLEY INTL EQUITY	25,369,110.	25,000,000.	22,718,843.
NEWBURY SECONDARY FUND LP	10,598,904.	10,294,851.	15,465,817.
OAKTREE OPPORTUNITIES FUND VIII, L.P.	983,847.	2,089,071.	2,007,014.
OCM OPPORTUNITIES FUND IV	6,882.	7,236.	8,563.
OCM OPPORTUNITIES FUND V	711,141.	862,143.	610,777.
OCM OPPORTUNITIES FUND VI	1,997,478.	1,557,818.	1,736,455.
OCM OPPORTUNITIES FUND VII, LP	2,442,111.	1,866,611.	1,993,595.
OCM OPPORTUNITIES FUND VIIB, LP	13,817,609.	7,993,451.	8,688,511.
OZ OVERSEAS FUND II LTD	6,000,000.	6,000,000.	7,640,325.
PIMCO ALL ASSET	0.	10,000,000.	9,382,114.
RESOURCE CAPITAL FUND V LP	197,597.	435,921.	448,032.
RHO VENTURES V, LP	2,183,360.	2,131,856.	2,368,517.
SAIF PARTNERS IV LP	130,758.	762,739.	776,812.
SAVANNA REAL ESTATE FUND II, LP	477,361.	750,358.	823,104.
SAYBROOK CORPORATE OPPORTUNITY FUND II	0.	25,003.	28,629.
SCP OCEAN FUND LTD	4,000,000.	0.	0.
SECURITY DEPOSITS	2,992.	2,992.	2,992.
SILVER POINT CAPITAL OFFSHORE FUND LTD	4,000,000.	4,000,000.	4,783,219.
STARK GLOBAL OPPORTUNITIES	2,619,709.	1,995,982.	1,313,554.
TA REALTY ASSOCIATES FUND IX	5,493,842.	8,544,976.	9,523,096.
THOMAS WEISEL GLOBAL GROWTH PARTNERS IV (S), LP	1,188,523.	1,510,786.	1,611,960.
THOMPSON STREET CAPITAL III	0.	4,818.	24,830.
TIAA-CREF ASSET MANAGEMENT CORE PROPERTY	9,103,246.	9,144,949.	8,889,591.
TIGER ASIA	3,374,729.	2,502,875.	128,300.
VAN ECK GLOBAL HARD ASSETS	5,000,000.	15,000,000.	12,687,007.
VANGUARD EMERGING MARKETS	22,000,000.	22,000,000.	18,410,825.
VANGUARD GROWTH INDEX FUND	7,500,000.	7,500,000.	8,014,286.
VANGUARD SHORT TERM BOND INDEX	30,000,000.	24,481,413.	24,808,767.
VECTIS HEALTHCARE & LIFE SCIENCES FUND II, LP	2,449,196.	3,321,161.	3,834,133.
VECTIS LIFE SCIENCE FUND I	6,459,888.	6,984,230.	6,356,212.
WARBURG PINCUS PRIVATE EQUITY	6,967,355.	9,153,733.	10,439,568.
WEXFORD OFFSHORE SPECTRUM FUND	10,000,000.	10,000,000.	12,922,277.
WLR RECOVERY FUND V, LP	0.	1,370,162.	1,323,433.
TO FORM 990-PF, PART II, LINE 15	363,594,594.	401,049,800.	405,736,846.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAMES S. MCDONNELL III 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR & SECRETARY 1.00	0.	0.	0.
JOHN F. MCDONNELL 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR & TREASURER 2.50	0.	0.	0.
JEFFREY M. MCDONNELL 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR & ASSISTANT SECRETARY 0.50	0.	0.	0.
HOLLY M. JAMES 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
JEANNE M. CHAMPER 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
MARCELLA M. STEVENS 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
ALICIA S. MCDONNELL 1034 S. BRENTWOOD, #1860 ST LOUIS, MO 63117-1229	DIRECTOR 1.00	0.	0.	0.
JOHN T. BRUER, PH.D. 1034 S. BRENTWOOD, #1850 ST LOUIS, MO 63117-1284	PRESIDENT 50.00	309,000.	37,277.	0.
SUSAN M. FITZPATRICK, PH.D. 1034 S. BRENTWOOD, #1850 ST LOUIS, MO 63117-1284	VICE PRESIDENT 50.00	201,000.	29,717.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		510,000.	66,994.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 14

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

DR. JOHN T. BRUER
1034 S. BRENTWOOD, #1850
ST LOUIS, MO 63117-1284

TELEPHONE NUMBER

(314) 721-1532

FORM AND CONTENT OF APPLICATIONS

REQUEST FOR PROPOSALS(RFP) SUBMISSION INFORMATION ON FUNDING PROGRAMS CAN
BE OBTAINED AT THE FOUNDATION'S WEBSITE ADDRESS: WWW.JSMF.ORG

ANY SUBMISSION DEADLINES

SEE 2.B. ABOVE AND THE FOUNDATION'S WEBSITE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

Form **990-W****Estimated Tax on Unrelated Business Taxable
Income for Tax-Exempt Organizations**

OMB No 1545-0976

(Worksheet)

(and on Investment Income for Private Foundations)

FORM 990-T

2012Department of the Treasury
Internal Revenue Service

(Keep for your records. Do not send to the Internal Revenue Service.)

1	Unrelated business taxable income expected in the tax year	1	
2	Tax on the amount on line 1. See instructions for tax computation	2	
3	Alternative minimum tax (see instructions)	3	
4	Total. Add lines 2 and 3	4	
5	Estimated tax credits (see instructions)	5	
6	Subtract line 5 from line 4	6	
7	Other taxes (see instructions)	7	
8	Total. Add lines 6 and 7	8	
9	Credit for federal tax paid on fuels (see instructions)	9	
10a	Subtract line 9 from line 8. Note. If less than \$500, the organization is not required to make estimated tax payments. Private foundations, see instructions	10a	
b	Enter the tax shown on the 2011 return (see instructions). Caution. If zero or the tax year was for less than 12 months, skip this line and enter the amount from line 10a on line 10c	10b	3,879.
c	2012 Estimated Tax. Enter the smaller of line 10a or line 10b. If the organization is required to skip line 10b, enter the amount from line 10a on line 10c	10c	3,880.

	(a)	(b)	(c)	(d)
11	05/15/12	06/15/12	09/17/12	12/17/12
12	970.	970.	970.	970.
13				
14				

LHA For Paperwork Reduction Act Notice, see instructions

Form **990-W** (2012)

ESTIMATED TAX	3,880.
OVERPAYMENT APPLIED	4,555.
AMOUNT DUE	0.

JSMF - Future Commitments by Program Area

As of 31 December 2011

Form 990 PF, Part XV, Line 3.b.

Organization		Paid		Paid		2012		2013		Remaining Balance
Grant Total and Term (in Months)		Prior Years		2011						
Request ID/Ref. Num.										
21st Century Science Initiative-Brain Cancer										
Baylor College of Medicine Houston, Texas		\$147,001 00		\$149,994 00		\$153,005 00		\$0 00		\$0 00
Awarded \$450,000 over 36 months										
<i>Identifying preclinical imaging markers of radiation side effects. An animal brain tumor model to correlate radiation-induced imaging changes neurogenesis and cognitive impairment</i>										
220020250										
The Cleveland Clinic Foundation Cleveland, Ohio		\$180,000 00		\$90,000 00		\$90,000 00		\$90,000 00		\$0 00
Awarded \$450,000 over 60 months										
<i>Microenvironmental reprogramming of glioma cells</i>										
220020201										
Duke University Durham, North Carolina		\$0 00		\$150,000 00		\$0 00		\$150,000 00		\$150,000 00
Awarded \$450,000 over 36 months										
<i>Role of IDH1/2 mutations in cell metabolism differentiation and gliomagenesis</i>										
220020297										
German Cancer Research Center Awarded \$159,390 over 12 months		\$0 00		\$159,390 00		\$0 00		\$0 00		\$0 00
<i>Use of cerebrospinal fluid genetics for the diagnosis, subgrouping, and minimal residual disease monitoring in medulloblastoma</i>										
220020298										
The General Hospital Corporation dba Massachusetts General Hospital Boston, MA		\$112,701 00		\$112,451 00		\$112,780 00		\$112,068 00		\$0 00
Awarded \$450,000 over 48 months										
<i>Evaluating novel stem cell based therapies for brain tumors</i>										
220020245										

Organization		Paid		2012		2013		Remaining
Grant Total and Term (in Months)	Request ID/Ref. Num.	Paid	Prior Years	2011	2012	2013	Balance	
Memorial Sloan-Kettering Cancer Center New York, New York Awarded \$450,000 over 36 months <i>Genotype focused EGFR targeting in glioblastoma</i>	220020278	\$0 00		\$150,000 00	\$150,000 00	\$150,000 00	\$0 00	
Northwestern University Chicago, Illinois Awarded \$450,000 over 60 months <i>Designing new therapy by blocking brain tumor invasion and metastasis</i>	220020180	\$270,000 00		\$90,000 00	\$90,000 00	\$0 00	\$0 00	
Northwestern University Chicago, Illinois Awarded \$450,000 over 60 months <i>The Bcl2L12-microRNA4-182 axis in glioblastoma</i>		\$0 00		\$74,311 00	\$78,854 00	\$80,821 00	\$216,014 00	
Purdue University West Lafayette, Indiana Awarded \$125,472 over 12 months <i>Intrasurgical molecular diagnosis of human brain gliomas by mass spectrometry imaging</i>	220020295	\$0 00		\$125,472 00	\$0 00	\$0 00	\$0 00	
University of California-San Diego La Jolla, California Awarded \$424,476 over 60 months <i>Mechanisms of sensitivity to PDGFR inhibitors in human gliomas</i>	220020299	\$161,399 00		\$84,802 00	\$87,659 00	\$90,616 00	\$0 00	
University of California-San Francisco San Francisco, California Awarded \$449,690 over 60 months <i>Identifying and overcoming glioblastoma resistance to treatments targeting vascular endothelial growth factor</i>	220020178/UCSF#P0018213	\$298,655 00		\$74,444 00	\$76,591 00	\$0 00	\$0 00	

Organization		Paid		2012		2013		Remaining
Grant Total and Term (in Months)	Request ID/Ref. Num.	Paid	Prior Years	2011	2012	2013	Balance	
University of California-San Francisco San Francisco, California Awarded \$450,000 over 36 months <i>BRAF-driven pediatric malignant astrocytoma Etiology & treatment</i> 220020243		\$150,000 00		\$150,000 00	\$150,000 00	\$0 00	\$0 00	
University of Castilla-La Mancha (Universidad de Castilla-La Mancha) Awarded \$50,000 over 12 months <i>Optimization of glioma treatment by combination of radiotherapy, chemotherapy, antiangiogenic and metabolically-targeted therapies</i> 220020261/[OFCR APPVD 2-23-11]		\$0 00		\$50,000 00	\$0 00	\$0 00	\$0 00	
University of Geneva (Université de Genève) Awarded \$449,680 over 48 months <i>Targeting glioblastoma stemness</i> 220020296		\$0 00		\$114,420 00	\$110,420 00	\$114,420 00	\$110,420 00	
University of North Carolina at Chapel Hill Chapel Hill, North Carolina Awarded \$449,732 over 36 months <i>Mechanism and mouse model for IDH1-mediated suppression of human secondary gliomas</i> 220020203/UNC 5-47414		\$301,416 00		\$148,316 00	\$0 00	\$0 00	\$0 00	
University of Pittsburgh Pittsburgh, Pennsylvania Awarded \$450,000 over 60 months <i>Mechanisms and inhibition of anti-angiogenic therapy-induced glioma invasion</i> 220020244		\$90,000 00		\$90,000 00	\$90,001 00	\$89,999 00	\$90,000 00	
University of Washington Seattle, Washington Awarded \$1,590,000 over 36 months <i>Predicting and controlling glioma recurrence The role of heterogeneity and microenvironment</i> 220020264/UW Ref A65317		\$0 00		\$530,000 00	\$530,000 00	\$530,000 00	\$0 00	

Organization		Paid		2012		2013		Remaining Balance
Grant Total and Term (in Months)	Request ID/Ref. Num.	Prior Years	2011	2012	2013	2013	2013	
Vanderbilt University Nashville, Tennessee Awarded \$300,000 over 24 months <i>Novel lipid targets in the treatment of human glioblastomas II</i> 220020246 01/220020246		\$0 00	\$150,000 00	\$150,000 00	\$0 00	\$0 00	\$0 00	\$0 00
Vanderbilt University Nashville, Tennessee Awarded \$449,997 over 48 months <i>Hedgehog and Hippo signaling as drivers of medulloblastoma and cell division-associated metabolic choices</i> 220020248/220020248T		\$163,128 00	\$141,483 00	\$145,386 00	\$0 00	\$0 00	\$0 00	\$0 00
Virginia Commonwealth University Richmond, Virginia Awarded \$450,000 over 60 months <i>Analyzing the role of Astrocyte Elevated Gene-1 (AEG-1) in malignant glioma</i> 220020251		\$90,000 00	\$90,000 00	\$90,000 00	\$90,000 00	\$90,000 00	\$90,000 00	\$90,000 00
Total 21st Century Science Initiative-Brain Cancer (20 items)		\$1,964,300 00	\$2,725,083 00	\$2,104,696 00	\$1,497,924 00	\$656,434 00		
<u>21st Century Science Initiative-Bridging Brain, Mind & Behavior</u>								
Brain Trauma Foundation, Inc. New York, New York Awarded \$3,106,678 over 60 months <i>Attention Dynamic Consortium in Traumatic Brain Injury (ADC-TBI) Collaborative</i> 220020185/ghaj20185		\$2,302,791 00	\$803,887 00	\$0 00	\$0 00	\$0 00	\$0 00	\$0 00
Johns Hopkins University Baltimore, Maryland Awarded \$600,000 over 72 months <i>Developmental origins of hierarchical mental representations</i> 220020144/101332		\$400,000 00	\$100,000 00	\$100,000 00	\$0 00	\$0 00	\$0 00	\$0 00

Organization		Paid	Paid	2012	2013	Remaining
Grant Total and Term (in Months)	Request ID/Ref. Num.	Prior Years	2011			Balance
Massachusetts Institute of Technology Cambridge, Massachusetts Awarded \$600,000 over 72 months <i>Learning to see in late childhood</i> 220020146		\$400,000 00	\$200,000 00	\$0 00	\$0 00	\$0 00
New York University New York, New York Awarded \$600,000 over 72 months <i>Uncovering the neural basis of developmental disability</i> 220020145/24-92341-R7520		\$400,000 00	\$100,000 00	\$100,000 00	\$0 00	\$0 00
Rutgers, The State University of New Jersey New Brunswick, New Jersey Awarded \$600,000 over 72 months <i>Neuronal mechanisms of episodic memory</i> 220020148		\$400,000 00	\$100,000 00	\$100,000 00	\$0 00	\$0 00
The Salk Institute for Biological Studies La Jolla, CA Awarded \$4,951,749 over 60 months <i>Neurogenesis in rodents genetic and molecular approaches to study the physiology of neurogenesis and its behavioral effects on hippocampal memory tasks</i> 220020132		\$3,890,225 00	\$1,061,524 00	\$0 00	\$0 00	\$0 00
University of Rochester Rochester, New York Awarded \$449,731 over 72 months <i>Neural basis of bayesian inference and decision making theory and experiments</i> 220020107		\$373,808 00	\$75,923 00	\$0 00	\$0 00	\$0 00
University of Texas at Austin Austin, Texas Awarded \$449,956 over 60 months <i>Habit. automaticity and cognitive control</i> 220020080/220020080T		\$411,739 12	\$38,216 88	\$0 00	\$0 00	\$0 00

Organization					2012	2013	Remaining Balance
Grant Total and Term (in Months)	Request ID/Ref. Num.	Paid Prior Years	Paid 2011				
University of Wisconsin, Madison Madison, Wisconsin Awarded \$600,000 over 72 months <i>Prediction as a mechanism of infant language acquisition</i> 220020147/UW#36157		\$400,000 00	\$100,000 00		\$100,000 00	\$0 00	\$0 00
Washington University in Saint Louis St. Louis, Missouri Awarded \$6,504,092 over 60 months <i>Applying Cognitive Psychology to Enhance Educational Practice II</i> 220020166/WU Ref ACPEEP II		\$3,715,663 00	\$1,360,209 00		\$1,428,220 00	\$0 00	\$0 00
Cornell University Medical College New York, New York Awarded \$3,901,213 over 48 months <i>Recovery of consciousness after severe brain injury</i> 220020156		\$2,867,720 00	\$1,033,493 48		\$0 00	\$0 00	\$0 00
Total 21st Century Science Initiative-Bridging Brain, Mind & Behavior (11 items)					\$15,561,946 12	\$0 00	\$0 00
21st Century Science Initiative-Cognitive Rehabilitation							
Johns Hopkins University Baltimore, Maryland Awarded \$2,377,817 over 36 months <i>Tracking and altering the time course of spontaneous biological recovery after stroke</i> 220020220/Phase I		\$1,240,717 00	\$673,328 00		\$463,772 00	\$0 00	\$0 00
Total 21st Century Science Initiative-Cognitive Rehabilitation (1 item)					\$1,240,717 00	\$0 00	\$0 00
21st Century Science Initiative-Postdoctoral Program in Complexity Science							

Organization		Paid		2012		2013		Remaining
Grant Total and Term (in Months)		Prior Years		2011		2012		Balance
Request ID/Ref. Num.								
Carnegie Mellon University Pittsburgh, Pennsylvania Awarded \$753,861 over 36 months <i>Experimental planning and the unification of causal knowledge</i> 220020263		\$0 00		\$340,978 00		\$412,883 00	\$0 00	\$0 00
Cornell University Ithaca, New York Awarded \$39,582 over 12 months <i>Contemporary rapid evolution Dynamics and persistence in complex ecological communities II</i> 220020137 01/Supplemental Funding		\$0 00		\$39,582 00		\$0 00	\$0 00	\$0 00
Duke University Durham, North Carolina Awarded \$448,937 over 48 months <i>Derivation and application of a dimensionless quantity for understanding viral evolution</i> 220020167		\$364,445 00		\$0 00		\$84,492 00	\$0 00	\$0 00
Georgia Tech Research Corporation (Georgia Institute of Technology) Atlanta, Georgia Awarded \$448,260 over 60 months <i>Mechanisms and evolution of complex life history traits in bacterial viruses</i> 220020168/02 500 333 08 108374		\$316,781 00		\$104,719 00		\$26,760 00	\$0 00	\$0 00
Georgia Tech Research Corporation (Georgia Institute of Technology) Atlanta, Georgia Awarded \$449,879 over 48 months <i>Design of an intelligent material</i> 220020271		\$0 00		\$108,359 00		\$111,044 00	\$113,812 00	\$116,564 00
H. Lee Moffitt Cancer Center & Research Institute Tampa, Florida Awarded \$450,000 over 60 months <i>Cancer therapy Perturbing a complex adaptive system</i> 220020270		\$0 00		\$147,979 00		\$149,729 00	\$152,292 00	\$0 00

Organization		Paid		2012		2013		Remaining
Grant Total and Term (in Months)	Request ID/Ref. Num.	Paid Prior Years	Paid 2011	2012		2013		Balance
President and Fellows of Harvard College Cambridge, Massachusetts Awarded \$449,113 over 60 months <i>The evolutionary dynamics and population genetics of selection in asexual populations</i> 220020225		\$96,607 00	\$93,532 00	\$95,660 00		\$87,694 00		\$75,620 00
The Hebrew University of Jerusalem Jerusalem, Israel Awarded \$450,000 over 60 months <i>The physics of rupture from the laboratory scale to the scale of our planet</i> 220020221		\$90,000 00	\$90,000 00	\$90,000 00		\$90,000 00		\$90,000 00
Indiana University Foundation Indianapolis, Indiana Awarded \$450,000 over 48 months <i>Contagion of ideas in online social networks</i> 220020274		\$0 00	\$106,636 00	\$0 00		\$110,404 00		\$232,960 00
Massachusetts Institute of Technology Cambridge, Massachusetts Awarded \$259,000 over 36 months <i>Experimental investigations into the effects of network structure on social contagions</i> 220020197		\$195,000 00	\$64,000 00	\$0 00		\$0 00		\$0 00
McMaster University Hamilton, Ontario Awarded \$448,671 over 60 months <i>Exploring how infectious diseases beliefs, and behaviors interact on a social network</i> 220020198		\$148,190 00	\$77,446 00	\$109,869 00		\$113,166 00		\$0 00
Centre National de la Recherche Scientifique Montpellier Cedex 5, France Awarded \$446,780 over 72 months <i>Coevolution across scales</i> 220020294		\$0 00	\$60,200 00	\$0 00		\$77,124 00		\$309,456 00

Organization		Paid	Paid	2012	2013	Remaining
Grant Total and Term (in Months)	Request ID/Ref. Num.	Prior Years	2011			Balance
New York Academy of Medicine New York, New York Awarded \$4,724,573 over 94 months <i>Cognitive Complexity in Critical Care</i> 220020152/220020152TT		\$2,797,493 39	\$0 00	\$437,718 06	\$942,838 00	\$546,523 55
New York University New York, New York Awarded \$444,669 over 48 months <i>Mapping the microbial survival toolbox Using dynamic age distributions to infer the behavior of individuals within populations</i> 220020275		\$0 00	\$191,000 00	\$0 00	\$72,675 00	\$180,994 00
Northwestern University Evanston Campus Evanston, Illinois Awarded \$278,860 over 36 months <i>Modeling social dynamics in competitive systems</i> 220020230		\$100,754 00	\$87,581 00	\$90,525 00	\$0 00	\$0 00
Ohio State University Foundation Columbus, Ohio Awarded \$406,834 over 48 months <i>Multiscale dynamics and emergent patterns in urban spatial systems</i> 220020170		\$352,087 00	\$54,747 00	\$0 00	\$0 00	\$0 00
Princeton University Princeton, New Jersey Awarded \$445,730 over 60 months <i>Allometry of parasites and food-webs</i> 220020192		\$171,653 00	\$88,401 00	\$91,252 00	\$94,424 00	\$0 00
University of Michigan, Ann Arbor Ann Arbor, Michigan Awarded \$449,908 over 60 months <i>Emergence of complex material structures from particle assembly</i> 220020139		\$379,362 00	\$70,546 00	\$0 00	\$0 00	\$0 00

Organization		Grant Total and Term (in Months)		Paid		Paid		2012		2013		Remaining	
Request ID/Ref. Num				Prior Years		2011						Balance	
University of Michigan, Ann Arbor				\$321,231 00		\$80,949 00		\$44,365 00		\$0 00		\$0 00	
Ann Arbor, Michigan													
Awarded \$446,545 over 60 months													
<i>Interactions in pattern forming systems Bridging the gap between laboratory and large scale open systems</i>													
220020172													
University of Michigan, Ann Arbor				\$153,736 00		\$128,128 00		\$131,623 00		\$0 00		\$0 00	
Ann Arbor, Michigan													
Awarded \$413,487 over 36 months													
<i>Identification of bistable network topologies associated with toxic aggregation thresholds found in conformational diseases</i>													
220020223													
Santa Fe Institute				\$279,537 00		\$157,594 00		\$0 00		\$0 00		\$0 00	
Santa Fe, New Mexico													
Awarded \$437,131 over 36 months													
<i>Towards a predictive theory of social organization and dynamics in cities</i>													
220020195													
Tel Aviv University				\$297,500 00		\$151,500 00		\$0 00		\$0 00		\$0 00	
Ramat Aviv													
Awarded \$449,000 over 36 months													
<i>Community modeling of bacterial metabolic interactions</i>													
220020196/DTC rcvd 8-18													
Universitat Pompeu Fabra				\$367,367 00		\$82,633 00		\$0 00		\$0 00		\$0 00	
Barcelona, Spain													
Awarded \$450,000 over 60 months													
<i>Origins of innovation in tinkered networks</i>													
220020117													
Universitat Rovira i Virgili				\$180,300 00		\$64,110 00		\$65,518 00		\$67,039 00		\$68,696 00	
Tarragona, Spain													
Awarded \$445,663 over 60 months													
<i>Discovery, decomposition and dynamics of complex networks</i>													
220020228													

Organization		Paid		2012		2013		Remaining
Grant Total and Term (in Months)		Prior Years		2011		2012		Balance
Request ID/Ref. Num.								
The University at Albany Foundation Albany, New York Awarded \$378,375 over 60 months <i>Understanding the implications of a self-organized traffic grid</i> 220020229	\$66,500 00		\$59,088 00		\$86,675 00		\$104,262 00	
University of Arizona Tucson, Arizona Awarded \$437,060 over 36 months <i>Theoretical and experimental investigations of architecture-dependent signaling in multicellular networks</i> 220020222	\$143,637 00		\$145,667 00		\$147,756 00		\$0 00	
The University of British Columbia Vancouver, British Columbia Awarded \$345,664 over 48 months <i>Evolution to the edge of chaos - multilevel selection and life history evolution in metapopulations</i> 220020227	\$102,572 00		\$95,572 00		\$95,572 00		\$51,948 00	
University of California-Merced Merced, California Awarded \$301,702 over 60 months <i>Biological transport in complex and dynamic environments</i> 220020194	\$122,436 00		\$57,012 00		\$59,710 00		\$62,544 00	
University of Chicago Chicago, Illinois Awarded \$449,817 over 48 months <i>Bacteria test theories of biodiversity</i> 220020231	\$83,795 00		\$129,092 00		\$116,973 00		\$119,957 00	
University of Connecticut Storrs, Connecticut Awarded \$449,851 over 60 months <i>Does evolution affect the assembly dynamics of biological communities?</i> 220020277	\$0 00		\$140,447 00		\$0 00		\$59,865 00	

Organization		Paid		2011		2012		2013		Remaining Balance
Grant Total and Term (in Months)		Prior Years		2011		2012		2013		
Request ID/Ref. Num.										
The University of Georgia Research Foundation, Inc.		\$342,661 00		\$106,866 00		\$0 00		\$0 00		\$0 00
Athens, Georgia										
Awarded \$449,527 over 48 months										
Evolutionary epidemiology of multi-transmission pathogens in multi-host networks										
220020175/#10-21-RR551-438										
The University of Georgia Research Foundation, Inc.		\$243,039 00		\$101,746 00		\$103,464 00		\$0 00		\$0 00
Athens, Georgia										
Awarded \$448,249 over 48 months										
Transient pathogen evolution in heterogeneous host populations										
220020193										
University of Missouri-St. Louis		\$0 00		\$69,172 00		\$0 00		\$58,722 00		\$60,320 00
St. Louis, Missouri										
Awarded \$188,214 over 36 months										
Complex dynamics. phase transitions and scaling in an evolutionary model										
220020276										
University of North Carolina at Chapel Hill		\$0 00		\$136,047 00		\$122,644 00		\$70,792 00		\$81,327 00
Chapel Hill, North Carolina										
Awarded \$410,810 over 48 months										
Modeling population-environment interactions in a world heritage site Comparison of statistical and agent based modeling approaches to study complex systems										
220020269										
University of North Carolina at Chapel Hill		\$0 00		\$131,360 00		\$89,660 00		\$89,660 00		\$89,660 00
Chapel Hill, North Carolina										
Awarded \$400,340 over 48 months										
Synchronization of polar climate variability over the last ice age In search of simple rules at the heart of climate's complexity										
220020273										

Organization		Paid	Paid	2012	2013	Remaining
Grant Total and Term (in Months)	Request ID/Ref. Num.	Prior Years	2011			Balance
University of Oxford Awarded \$418,038 over 48 months <i>Coevolution: interconnections and communities of social and political networks in the United States Congress</i>	220020177/R09509/AA001	\$221,079 00	\$97,206 00	\$99,753 00	\$0 00	\$0 00
University of Pennsylvania Philadelphia, Pennsylvania Awarded \$449,593 over 60 months <i>Robustness and adaptability in evolving viral populations</i>	220020140	\$330,792 00	\$118,801 00	\$0 00	\$0 00	\$0 00
The University of Queensland Brisbane Queensland, Australia Awarded \$404,370 over 36 months <i>Constructing bacterial genetic exchange communities</i>	220020272	\$0 00	\$133,123 00	\$0 00	\$133,707 00	\$137,540 00
University of Texas Southwestern Medical Center at Dallas Dallas, Texas Awarded \$433,476 over 60 months <i>Nonlinear systems dynamics in differentiation circuits</i>	220020141	\$344,599 00	\$88,877 00	\$0 00	\$0 00	\$0 00
Yale University New Haven, Connecticut Awarded \$444,902 over 48 months <i>Developing and applying game theoretic human papillomavirus vaccination models with psychological data</i>	220020114	\$412,957 00	\$31,945 00	\$0 00	\$0 00	\$0 00
Yale University New Haven, Connecticut Awarded \$448,317 over 48 months <i>Shaping individual diversity for collective success</i>	220020224	\$77,200 00	\$106,587 00	\$130,458 00	\$134,072 00	\$0 00
Yury Science Initiative-Studying Complex Systems (42 items)		\$9,179,542 39	\$4,217,643 00	\$3,074,766 06	\$2,806,997 00	\$2,301,149 55

Organization		Paid	Paid	2012	2013	Remaining
Grant Total and Term (in Months)	Request ID/Ref. Num.	Prior Years	2011			Balance
Carnegie Mellon University Pittsburgh, Pennsylvania Awarded \$600,000 over 72 months <i>Theoretical methodology for using fMRI to understand complex cognition</i> 220020162		\$300,002 00	\$97,912 00	\$99,976 00	\$102,110 00	\$0 00
Duke University Durham, North Carolina Awarded \$600,000 over 60 months <i>Developmental and evolutionary foundations of mathematical cognition</i> 220020164		\$292,800 00	\$102,400 00	\$102,400 00	\$102,400 00	\$0 00
Federal University of Rio de Janeiro (Universidade Federal do Rio de Janeiro) Rio de Janeiro, Brazil Awarded \$600,000 over 72 months <i>The human brain in numbers Comparative quantitative studies of the cellular composition of the nervous system of humans and other mammals to investigate the morphological bases of our cognitive advantage</i> 220020232		\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	\$0 00
(Foundation of Exact and Natural Sciences) Fundacion Ciencias Exactas y Naturales Buenos Aires, Argentina Awarded \$425,000 over 24 months <i>Support for the Latin American School on Education and the Cognitive and Neural Sciences</i> 220020301		\$0 00	\$425,000 00	\$0 00	\$0 00	\$0 00
George Washington University Washington, DC Awarded \$600,000 over 72 months <i>Discovering the evolved human brain phenotype</i> 220020293		\$0 00	\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00

Organization		Paid	Paid	2012	2013	Remaining
Grant Total and Term (in Months)	Request ID/Ref. Num.	Prior Years	2011			Balance
The Hebrew University of Jerusalem Jerusalem, Israel Awarded \$600,000 over 72 months <i>The brain as a flexible, sensory-modality-independent task machine From basic research to visual rehabilitation</i> 220020284		\$0 00	\$150,000 00	\$0 00	\$150,000 00	\$300,000 00
INSERM-French National Institute for Health and Medical Research Awarded \$600,000 over 72 months <i>Spatial awareness normality pathology and rehabilitation</i> 220020235		\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	\$0 00
Karolinska Institutet Stockholm, Germany Awarded \$600,000 over 72 months <i>Multisensory mechanism of body ownership and the projection of ownership onto artificial bodies</i> 220020233		\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	\$0 00
The New School New York, New York Awarded \$600,000 over 72 months <i>How experience shapes the mind Roles of language, culture and body</i> 220020236		\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	\$0 00
The New School New York, New York Awarded \$207,231 over 24 months <i>"Flashbulb Memories" Consortium II Ten-year follow-up of a study on memory for the terrorist attack of September 11, 2001</i> 220020266		\$0 00	\$207,231 00	\$0 00	\$0 00	\$0 00
New York University New York, New York Awarded \$600,000 over 72 months <i>Dissecting learning Combining experimental and computational approaches</i> 220020291		\$0 00	\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00

Organization		Paid		2012		2013		Remaining Balance	
Grant Total and Term (in Months)		Prior Years		2011		2012		2013	
Request ID/Ref. Num.									
Princeton University		\$150,000 00		\$150,000 00		\$150,000 00		\$150,000 00	
Princeton, New Jersey									
Awarded \$600,000 over 72 months									
<i>Vocal communication emerges and evolves through coupled oscillations</i>									
220020238									
The Rockefeller University		\$212,178 00		\$0 00		\$73,760 00		\$76,790 00	
New York, New York									
Awarded \$362,728 over 60 months									
<i>Computational models of recurrent cortical networks</i>									
220020199									
Rotman Research Institute - Baycrest Centre for Geriatric Care		\$2,068,212 00		\$2,093,133 00		\$1,945,224 00		\$0 00	
Ontario, M6A 2E1									
Awarded \$6,106,569 over 36 months									
<i>Brain Network Recovery Group (Brain NRG) Phase II</i>									
220020255									
Rutgers, The State University of New Jersey		\$705,153 00		\$0 00		\$356,152 00		\$0 00	
New Brunswick, New Jersey									
Awarded \$1,061,305 over 36 months									
<i>Assessing Brain Interactivity II Model specification, causality and dynamics</i>									
220020127 01/220020127									
Stanford University		\$150,000 00		\$150,000 00		\$150,000 00		\$150,000 00	
Stanford, California									
Awarded \$600,000 over 72 months									
<i>Mental representations of abstract domains</i>									
220020237									
Stanford University		\$150,000 00		\$150,000 00		\$150,000 00		\$150,000 00	
Stanford, California									
Awarded \$600,000 over 72 months									
<i>Compositionality in probabilistic models of cognition</i>									
220020252									

Organization		Grant Total and Term (in Months)		Paid		Paid		2012		2013		Remaining Balance	
Request ID/Ref. Num.				Prior Years		2011							
University College London London, England Awarded \$600,000 over 72 months <i>Development of human spatial cognition</i> 220020240				\$150,000 00		\$150,000 00		\$150,000 00		\$150,000 00		\$0 00	
University College London London, England Awarded \$600,000 over 72 months <i>How does the brain learn movement? Bridging the gap between behavioral processes and functional imaging signals</i> 220020283/UCL#6339				\$0 00		\$150,000 00		\$300,000 00		\$150,000 00		\$0 00	
University College London London, England Awarded \$600,000 over 72 months <i>Navigational guidance systems in the human brain</i> 220020285/CKWL				\$0 00		\$150,000 00		\$150,000 00		\$150,000 00		\$150,000 00	
University of Aberdeen Aberdeen AB24 3FX Scotland Awarded \$600,000 over 72 months <i>Attention perceptual continuity: and action control</i> 220020282				\$0 00		\$150,000 00		\$0 00		\$150,000 00		\$300,000 00	
University of California-Berkeley Berkeley, California Awarded \$600,000 over 72 months <i>Relational reasoning Neural mechanisms, development, and plasticity</i> 220020281				\$0 00		\$150,000 00		\$150,000 00		\$150,000 00		\$150,000 00	
University of California-Berkeley Berkeley, California Awarded \$600,000 over 72 months <i>Knowledge from nowhere How thinking leads to learning</i> 220020288				\$0 00		\$150,000 00		\$0 00		\$150,000 00		\$300,000 00	

Organization		Paid		2012		2013		Remaining
Grant Total and Term (in Months)	Request ID/Ref. Num.	Paid	Paid	2011	2012	2013	Balance	
University of California-Davis Davis, California Awarded \$600,000 over 72 months <i>Building blocks of episodic memory: Insight from typical and atypical development</i> 220020241		\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	\$0 00	
University of California-Los Angeles Los Angeles, California Awarded \$600,000 over 72 months <i>Cognitive processing and awareness at the lower boundaries of consciousness: Insights from the vegetative and minimally conscious states</i> 220020279		\$0 00	\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	
University of California-San Diego La Jolla, California Awarded \$600,000 over 72 months <i>Encoding abstract concepts in language</i> 220020280/UCSD#20114002		\$0 00	\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	
University of Cambridge Cambridge, England Awarded \$600,000 over 72 months <i>Progress in understanding voluntary action</i> 220020289		\$0 00	\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	
Trinity College Dublin (TCD) Dublin 2, UK Awarded \$600,000 over 72 months <i>How is sensory information encoded in spike trains?</i> 220020239		\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	\$0 00	
University of Illinois Champaign, Illinois Awarded \$600,000 over 72 months <i>Cognitive and neural mechanisms of meaning comprehension</i> 220020234		\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	\$0 00	

Organization		Paid	Paid	2012	2013	Remaining
Grant Total and Term (in Months)	Request ID/Ref. Num.	Prior Years	2011			Balance
University of Illinois Champaign, Illinois Awarded \$600,000 over 72 months <i>Understanding language Prosody and cognition</i> 220020287		\$0 00	\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00
University of Leipzig (Universitat Leipzig) Leipzig, Germany Awarded \$600,000 over 72 months <i>Function. dysfunction and repair of language networks</i> 220020292		\$0 00	\$150,000 00	\$0 00	\$150,000 00	\$300,000 00
University of Rochester Rochester, New York Awarded \$600,000 over 72 months <i>The origins and organization of numerical information in the mind and brain</i> 220020300		\$0 00	\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00
University of Southern California Los Angeles, California Awarded \$450,000 over 48 months <i>High speed simulation and prediction of the effect of brain injury on development</i> 220020200		\$237,012 00	\$212,988 00	\$0 00	\$0 00	\$0 00
Washington University School of Medicine St Louis, Missouri Awarded \$516,275 over 24 months <i>Cognitive state sensing for aphasia rehabilitation</i> 220020262/WU Ref PDS 110189		\$0 00	\$372,924 00	\$143,351 00	\$0 00	\$0 00
Washington University in Saint Louis St Louis, Missouri Awarded \$1,170,403 over 36 months <i>Communities and cruciality in brain networks across development and in ADHD</i> 220020256		\$0 00	\$383,864 00	\$389,052 00	\$397,487 00	\$0 00

Organization		Paid	Paid	2012	2013	Remaining
Grant Total and Term (in Months)	Request ID/Ref. Num.	Prior Years	2011			Balance
Yale University New Haven, Connecticut Awarded \$600,000 over 72 months <i>Evolutionary specializations and development of the human frontal cortex</i> 220020165/R08858		\$340,407 00	\$97,171 00	\$80,010 00	\$82,412 00	\$0 00
Yale University New Haven, Connecticut Awarded \$600,000 over 72 months <i>To err is human? Exploring the evolutionary origins of cognitive biases</i> 220020242		\$150,000 00	\$150,000 00	\$150,000 00	\$150,000 00	\$0 00
Total 21st Century Science Initiative- Understanding Human Cognition (42 items)		\$8,940,298 00	\$9,535,233 00	\$7,786,979 00	\$5,109,231 00	\$2,700,000 00
<u>Special Interests</u>						
The JSM Charitable Trust St Louis, Missouri Operating Fund 220020217		\$5,504,703 90	\$5,740,663 59	\$7,731,556 00	\$7,785,000 00	\$35,547,878 00
Total Special Interests (1 item)		\$5,504,703 90	\$5,740,663 59	\$7,731,556 00	\$7,785,000 00	\$35,547,878 00
Grand Total		\$42,391,507 41	\$27,925,425 95	\$23,250,837 06	\$17,463,361 00	\$41,205,461.55

JAMES S. McDONNELL FOUNDATION
FEIN # 54-2074788
FORM 990-PF (2011)
PART VII – B, LINES 5(a)(4) AND 5(c)
REG. § 53.4945-5(d) STATEMENT
ATTACHED STATEMENT

1. Grantee:

The JSM Charitable Trust ("JSMCT")
1034 South Brentwood Blvd.
Suite 1860
St. Louis, MO 63117-1229

2. Dates and amounts of grants made to JSMCT by the James S. McDonnell Foundation (FEIN: 54-2074788, "JSMF"):

<u>DATE</u>	<u>AMOUNT</u>
5/9/2011	\$ 3,942,675.00
11/4/2011	\$ 1,797,988.59

3. JSMF made the above grants to JSMCT so that JSMCT could obtain matching grants and then make distributions of such grants and the matching grants in 2011 to various public charities described in section 509(a)(1) or (2) of the Internal Revenue Code.
4. The above grants totaling \$5,740,663.59 were distributed by JSMCT in 2011 to various public charities described in section 509(a)(1) or (2) of the Internal Revenue Code.
5. JSMCT has not diverted any portion of the above grants from their tax-exempt purpose.
6. JSMF receives a copy of JSMCT's Form 990-PF for 2011 at the time it is filed with the Internal Revenue Service.