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Form **990-PF**

**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0052

2011

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , and ending

| | | |
|---|---|---|
| Name of foundation The Neel Foundation | | A Employer identification number 54-0761588 |
| Number and street (or P O box number if mail is not delivered to street address) 32 Van Wyck Street | | B Telephone number (see instructions) |
| City or town, state, and ZIP code Croton on Hudson NY 10520 | | C If exemption application is pending, check here <input type="checkbox"/> |
| G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change | | D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 1,869,756 | J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |

| Part I | Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| | 3 Interest on savings and temporary cash investments | 6,785 | 6,785 | 6,785 | |
| | 4 Dividends and interest from securities | 59,333 | 59,333 | 59,333 | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 Stmt 1 | 69,157 | | | |
| | b Gross sales price for all assets on line 6a 69,157 | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 1,436 | | |
| | 8 Net short-term capital gain | | | 0 | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns & allowances | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit or (loss) (attach schedule) | | | | | |
| 11 Other income (attach schedule) | | | | | |
| 12 Total. Add lines 1 through 11 | | 135,275 | 67,554 | 66,118 | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | | 0 | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) Stmt 2 | 2,300 | 2,300 | | |
| | c Other professional fees (attach schedule) Stmt 3 | 17,629 | 17,629 | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) | | | | |
| | 19 Depreciation (attach schedule) and depletion Stmt 4 | 2,054 | 2,054 | | |
| | 20 Occupancy | | | | |
| 21 Travel, conferences, and meetings | 1,167 | 1,167 | | | |
| 22 Printing and publications | | | | | |
| 23 Other expenses (att sch) Stmt 5 | 2,881 | 2,881 | | | |
| 24 Total operating and administrative expenses. Add lines 13 through 23 | | 26,031 | | 0 | |
| 25 Contributions, gifts, grants paid | 85,993 | | | 85,993 | |
| 26 Total expenses and disbursements. Add lines 24 and 25 | | 112,024 | 26,031 | 0 | 85,993 |
| 27 Subtract line 26 from line 12 | | 23,251 | | | |
| a Excess of revenue over expenses and disbursements | | 23,251 | | | |
| b Net investment income (if negative, enter -0-) | | | 41,523 | | |
| c Adjusted net income (if negative, enter -0-) | | | | 66,118 | |

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2011)

| Part II | Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | Beginning of year | End of year | |
|-----------------------------|---|---|-------------------|------------------|-----------------------|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash—non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 80,277 | 56,497 | 56,497 |
| | 3 | Accounts receivable ▶ Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (att schedule) ▶ Less allowance for doubtful accounts ▶ | 0 | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U S. and state government obligations (attach schedule) Stmt 6 | 224,937 | 232,527 | 236,823 |
| | b | Investments—corporate stock (attach schedule) See Stmt 7 | 987,389 | 992,936 | 1,158,066 |
| | c | Investments—corporate bonds (attach schedule) | | | |
| | 11 | Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) See Statement 8 | 389,384 | 425,332 | 418,370 |
| | 14 | Land, buildings, and equipment basis ▶ 11,923 Less accumulated depreciation (attach sch) ▶ Stmt 9 10,386 | 3,591 | 1,537 | |
| 15 | Other assets (describe ▶) | | | | |
| 16 | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I) | 1,685,578 | 1,708,829 | 1,869,756 | |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶) | | | |
| | 23 | Total liabilities (add lines 17 through 22) | 0 | 0 | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/> | | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/> | | | | |
| | 27 | Capital stock, trust principal, or current funds | 444,469 | 444,469 | |
| | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | 1,241,109 | 1,264,360 | |
| 30 | Total net assets or fund balances (see instructions) | 1,685,578 | 1,708,829 | | |
| 31 | Total liabilities and net assets/fund balances (see instructions) | 1,685,578 | 1,708,829 | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|------------------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,685,578 |
| 2 | Enter amount from Part I, line 27a | 2 | 23,251 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 1,708,829 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 1,708,829 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|--|----------------------------------|
| 1a LT Cap Gain Dividends | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | |
| a 1,436 | | | 1,436 | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) | |
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | | |
| a | | | 1,436 | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| 2 Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | | 2 | 1,436 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | | 3 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|--|--|--|---|
| 2010 | 86,104 | 1,776,125 | 0.048479 |
| 2009 | 83,228 | 1,594,563 | 0.052195 |
| 2008 | 83,248 | 1,872,697 | 0.044454 |
| 2007 | 83,070 | 2,122,264 | 0.039142 |
| 2006 | 70,960 | 1,846,563 | 0.038428 |
| 2 Total of line 1, column (d) | | | 0.222698 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 0.044540 |
| 4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5 | | | 1,913,070 |
| 5 Multiply line 4 by line 3 | | | 85,208 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 415 |
| 7 Add lines 5 and 6 | | | 85,623 |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions | | | 85,993 |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | |
|-----------|---|----|-------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 415 |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2 | 3 | 415 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | 0 |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 415 |
| 6 | Credits/Payments | | |
| a | 2011 estimated tax payments and 2010 overpayment credited to 2011 | 6a | 1,046 |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | |
| d | Backup withholding erroneously withheld | 6d | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 1,046 |
| 8 | Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached | 8 | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 631 |
| 11 | Enter the amount of line 10 to be Credited to 2012 estimated tax 631 Refunded | 11 | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|-----------|-----|----|
| 1a | | X |
| 1b | | X |
| 1c | | X |
| 2 | | X |
| 3 | | X |
| 4a | | X |
| 4b | | X |
| 5 | | X |
| 6 | X | |
| 7 | | X |
| 8a | | |
| 8b | | |
| 9 | X | |
| 10 | | X |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|--|----|----------|--------------------------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A | 13 | X | |
| 14 | The books are in care of ▶ James Neel 32 Van Wyck St Located at ▶ Croton on Hudson NY ZIP+4 ▶ 10520 Telephone no ▶ 914-271-3428 | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year | 15 | | <input type="checkbox"/> |
| 16 | At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶ | 16 | Yes | No X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | Yes | No |
|---|---|---|-------------|
| 1a | During the year did the foundation (either directly or indirectly) | | |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) | Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here | N/A | 1b |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? | N/A | 1c |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a | At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ▶ 20 , 20 , 20 , 20 | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) | N/A | 2b |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20 | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) | N/A | 3b |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? | | 4b X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propoganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** **5b**

Organizations relying on a current notice regarding disaster assistance check here **▶**

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** Yes No **X**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| See Statement 10 | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 **▶** **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

| 1 | Expenses |
|-----|----------|
| N/A | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

| 1 | Amount |
|--|--------|
| N/A | |
| 2 | |
| All other program-related investments See instructions | |
| 3 | |

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|---|-----------|-----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities | 1a | 1,863,432 |
| b | Average of monthly cash balances | 1b | 78,771 |
| c | Fair market value of all other assets (see instructions) | 1c | 0 |
| d | Total (add lines 1a, b, and c) | 1d | 1,942,203 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d | 3 | 1,942,203 |
| 4 | Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions) | 4 | 29,133 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 1,913,070 |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 95,654 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|-----------|--|-----------|--|
| 1 | Minimum investment return from Part X, line 6 | 1 | |
| 2a | Tax on investment income for 2011 from Part VI, line 5 | 2a | |
| b | Income tax for 2011 (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | 5 | |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--|-----------|--------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 | 1a | 85,993 |
| b | Program-related investments—total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 85,993 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | 415 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 85,578 |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2010 | (c) 2010 | (d) 2011 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2011 from Part XI, line 7 | | | | |
| 2 Undistributed income, if any, as of the end of 2011: | | | | |
| a Enter amount for 2010 only | | | | |
| b Total for prior years: 20____, 20____, 20____ | | | | |
| 3 Excess distributions carryover, if any, to 2011: | | | | |
| a From 2006 | | | | |
| b From 2007 | | | | |
| c From 2008 | | | | |
| d From 2009 | | | | |
| e From 2010 | | | | |
| f Total of lines 3a through e | | | | |
| 4 Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ <u>85,993</u> | | | | |
| a Applied to 2010, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required—see instructions) | | | | |
| c Treated as distributions out of corpus (Election required—see instructions) | | | | |
| d Applied to 2011 distributable amount | | | | |
| e Remaining amount distributed out of corpus | 85,993 | | | |
| 5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a)) | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5 | 85,993 | | | |
| b Prior years' undistributed income: Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b. Taxable amount—see instructions | | | | |
| e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount—see instructions | | | | |
| f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012 | | | | |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | | | |
| 8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions) | | | | |
| 9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a | | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2007 | | | | |
| b Excess from 2008 | | | | |
| c Excess from 2009 | | | | |
| d Excess from 2010 | | | | |
| e Excess from 2011 | | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling N/A

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

| | Tax year | | | | (e) Total |
|---|----------|----------|---------------|----------|-----------|
| | (a) 2011 | (b) 2010 | Prior 3 years | | |
| | | | (c) 2009 | (d) 2008 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | 66,118 | 80,131 | 79,728 | 93,635 | 319,612 |
| b 85% of line 2a | 56,200 | 68,111 | 67,769 | 79,590 | 271,670 |
| c Qualifying distributions from Part XII, line 4 for each year listed | 85,993 | 86,682 | 83,821 | 84,031 | 340,527 |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | 85,993 | 86,682 | 83,821 | 84,031 | 340,527 |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test—enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | 63,769 | 59,204 | 53,152 | 62,423 | 238,548 |
| c "Support" alternative test—enter. | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3. Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|----------------------|
| Name and address (home or business) | | | | |
| <p>a Paid during the year See Statement 11</p> | | | | <p>85,993</p> |
| <p>Total</p> | | | <p>▶ 3a</p> | <p>85,993</p> |
| <p>b Approved for future payment N/A</p> | | | | |
| <p>Total</p> | | | <p>▶ 3b</p> | |

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

| Description | Whom Sold | Date Acquired | Date Sold | How Received | Sale Price | Cost | Expense | Depreciation | Net Gain / Loss |
|-----------------------|-----------|---------------|-----------|--------------|------------------|-------------|-------------|--------------|------------------|
| | | | | | | | | | |
| See Attached Schedule | 1/01/11 | 12/31/11 | | Purchase | \$ 7,734 | \$ | \$ | \$ | 7,734 |
| See Attached Schedule | Various | 12/31/11 | | Purchase | 59,987 | | | | 59,987 |
| Total | | | | | <u>\$ 67,721</u> | <u>\$ 0</u> | <u>\$ 0</u> | <u>\$ 0</u> | <u>\$ 67,721</u> |

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|-----------------|-----------------|-----------------|--------------|--------------------|
| Accounting Fees | \$ 2,300 | \$ 2,300 | \$ | \$ |
| Total | <u>\$ 2,300</u> | <u>\$ 2,300</u> | <u>\$ 0</u> | <u>\$ 0</u> |

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|--------------|------------------|------------------|--------------|--------------------|
| Broker Fees | \$ 17,629 | \$ 17,629 | \$ | \$ |
| Total | <u>\$ 17,629</u> | <u>\$ 17,629</u> | <u>\$ 0</u> | <u>\$ 0</u> |

Federal Statements

Statement 4 - Form 990-PF, Part I, Line 19 - Depreciation

| Date Acquired | Description | Cost Basis | Prior Year Depreciation | Method | Life | Current Year Depreciation | Net Investment Income | Adjusted Net Income |
|---------------|----------------|------------------|-------------------------|--------|------|---------------------------|-----------------------|---------------------|
| 9/21/06 | Computer | \$ 2,944 | \$ 2,502 | S/L | 5 | 442 | 442 | \$ 442 |
| 2/14/07 | Computer Equip | 3,738 | 2,928 | S/L | 5 | 748 | 748 | 748 |
| 10/31/09 | Equipment | 661 | 154 | S/L | 5 | 132 | 132 | 132 |
| 5/11/10 | Computer | 4,580 | 2,748 | 200DB | 5 | 732 | 732 | 732 |
| Total | | \$ 11,923 | \$ 8,332 | | | \$ 2,054 | \$ 2,054 | \$ 2,054 |

Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|----------------|-----------------|-----------------|--------------|--------------------|
| Expenses | | | | |
| Office Expense | 624 | 624 | | |
| Foreign Tax | 724 | 724 | | |
| Computer exp | 97 | 97 | | |
| Supplies | 1,436 | 1,436 | | |
| Total | \$ 2,881 | \$ 2,881 | \$ 0 | \$ 0 |

Federal Statements

Statement 6 - Form 990-PF, Part II, Line 10a - US and State Government Investments

| Description | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|-----------------------|-------------------|-------------|--------------------|-------------------|
| US Gov't Bonds, Notes | \$ 224,937 | \$ 228,887 | | \$ 236,823 |
| Accrued Interest | | 3,640 | | |
| Total | \$ 224,937 | \$ 232,527 | | \$ 236,823 |

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

| Description | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|--------------|-------------------|-------------|--------------------|-------------------|
| See Attached | \$ 987,389 | \$ 992,936 | Cost | \$ 1,158,066 |
| Total | \$ 987,389 | \$ 992,936 | | \$ 1,158,066 |

Statement 8 - Form 990-PF, Part II, Line 13 - Other Investments

| Description | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|---------------------------|-------------------|-------------|--------------------|-------------------|
| Mutual Funds See Attached | \$ 389,384 | \$ 425,332 | Cost | \$ 418,370 |
| Total | \$ 389,384 | \$ 425,332 | | \$ 418,370 |

Statement 9 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

| Description | Beginning Net Book | End Cost / Basis | End Accumulated Depreciation | Net FMV |
|-------------|--------------------|------------------|------------------------------|---------|
| | \$ 3,591 | \$ 11,923 | \$ 10,386 | \$ |
| Total | \$ 3,591 | \$ 11,923 | \$ 10,386 | \$ 0 |

NEEFOND The Neel Foundation
 54-0761588
 FYE: 12/31/2011

Federal Statements

Statement 10 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

| Name and Address | Title | Average Hours | Compensation | Benefits | Expenses |
|--|-------------|---------------|--------------|----------|----------|
| James A Neel 32 Van Wyck St Croton on Hudson NY 10520 | President | 0.00 | 0 | 0 | 0 |
| Mary Neel Gilbert 155 Anson Valley Rd New Vinyard ME 04956 | V President | 0.00 | 0 | 0 | 0 |
| Wendy Neel Ellsworth 1378 Cobbler Road Quakertown PA 18951 | Secretary | 0.00 | 0 | 0 | 0 |
| Kathryn Leeds Neel 32 Van Wyck St Croton on Hudson NY 10520 | Treasurer | 0.00 | 0 | 0 | 0 |
| Sophia Neel Kountz 446 Sugarland Run Drive Sterling VA 20164 | Director | 0.00 | 0 | 0 | 0 |
| Amy Bowen Neel 26483 Dutcher Creek Road Cloverdale CA 95425 | Director | 0.00 | 0 | 0 | 0 |

Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

| Name Address | Relationship | Status | Purpose | Amount |
|--------------------|--------------|----------------|---------|--------|
| AFS | | New York, NY | | 500 |
| American Red Cross | | Greensboro, NC | | 500 |

NEEFOUND The Neel Foundation
 54-0761588
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Federal Statements

Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

| Name Address | Relationship | Address Status | Purpose | Amount |
|----------------------------------|--------------|----------------------|---------|--------|
| Animal Welfare Inst | | Washington, D.C. | | 1,000 |
| AP Friend Memorial Library | | Beaverton, OR | | 917 |
| A Voice is Heard | | Strasburg, PA | | 300 |
| AYUDA | | Sterling, PA | | 500 |
| BEADS for Education | | Quakertown, PA | | 2,700 |
| Bennett Conservatory of Music | | Croton-on-Hudson, NY | | 500 |
| Blue Hill Heritage Trust | | Blue Hill, ME | | 500 |
| Blue Hill Memorial Hosp | | Blue Hill, ME | | 500 |
| Briarcliff Congregational Church | | Briarcliff Manor, NY | | 2,000 |
| Brooklin Vol Fire Dept | | Brooklin, ME | | 200 |
| Croton Caring Committee | | Croton-on-Hudson, NY | | 250 |
| Center for Spiritual Living SA | | | | 500 |
| Charity Global Inc | | New York, NY | | 300 |
| Chocorua Chapel Assoc | | Holderness, NH | | 250 |
| Cloverdale Senior Center | | Cloverdale, CA | | 2,500 |
| Cloverdale Arts Alliance | | Cloverdale, CA | | 250 |
| Cloverdale Performing Arts Ctr | | Cloverdale, CA | | 500 |

NEEFFOUND The Neel Foundation
 54-0761588
 FYE: 12/31/2011

Federal Statements

Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

| Name Address | Relationship | Address Status | Purpose | Amount |
|--------------------------------|--------------|----------------------|---------|--------|
| Common Ground on the Hill | | Westminster, MD | | 100 |
| Compassion International | | Colorado Springs, CO | | 1,000 |
| Congregation Habonim | | New York, NY | | 851 |
| Crailo NHT | | Rensselaer, NY | | 500 |
| Croton Harmon School Dist | | Croton-on-Hudson, NY | | 250 |
| Croton Lions Club | | Croton-on-Hudson, NY | | 800 |
| Eaglebrook School | | Deerfield, MA | | 500 |
| Earth Song Farm CCDI Inc | | Portsmouth, VA | | 500 |
| Eisenhower Theatre Hall | | West Point, NY | | 1,790 |
| Elephant Sanctuary | | Hohenwald, TN | | 400 |
| Fine Arts Center U Mass | | Amherst, MA | | 1,100 |
| Forgotten Felines Inc | | Valhalla, NY | | 500 |
| Fountain Valley School | | Colorado Springs, CO | | 1,500 |
| Franklin County Animal Shelter | | Louisburg, NC | | 250 |
| Franklin Memorial Hospital | | Framington, ME | | 3,000 |
| Friends of Fiber Art Intl | | | | 100 |
| Friends of the Library | | Cloverdale, CA | | 1,500 |

NEEFOND The Neel Foundation
 54-0761588
 FYE: 12/31/2011

Federal Statements

Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

| Name Address | Relationship | Address Status | Purpose | Amount |
|---|--------------|--------------------|---------|--------|
| Friend Memorial Library | | | | 350 |
| Frontier Nursing Service Fns Inc | | Wendover, KY | | 500 |
| Godfrey Daniels Folk Music Clu | | | | 100 |
| Grace Hudson Museum | | | | 500 |
| Gualala Arts Center | | | | 100 |
| Haycock Volunteer FD | | | | 50 |
| Healthcare Fdn N Sonoma | | Sonoma County, CA | | 500 |
| Heifer International | | Little Rock, AR | | 200 |
| Historic Ships in Baltimore | | Baltimore, MD | | 1,500 |
| Horidaya Hermitage | | | | 500 |
| Institute for the Int'l Edu of Stud Chicago, IL | | | | 1,000 |
| Kennebec Valley Comm College | | Fairfield, ME | | 3,000 |
| KRCB Public Radio | | CA | | 750 |
| Little Orphan Animals | | Peekskill, NY | | 1,000 |
| Loon Preservation Committee | | Moultonborough, NH | | 500 |
| Maine Public Broadcast Network | | Bangor, ME | | 250 |
| McCarter Theatre | | | | 50 |

NEEFOND The Neel Foundation
 54-0761588
 FYE: 12/31/2011

Federal Statements

Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

| Name | Address | Relationship | Status | Purpose | Amount |
|-------------------------------------|---------------|---------------|--------|---------|--------|
| Merry Go Round Bear Mountain | Bear Mountain | Bear Mountain | NY | | 1,500 |
| Metropolitan Opera National Council | Seattle | Seattle | WA | | 2,000 |
| Middlebury College | Middlebury | Middlebury | VT | | 500 |
| Nat'l Disaster Search Dog Fdn | Ojai | Ojai | CA | | 400 |
| National Foundation For Cncr | Bethesda | Bethesda | MD | | 1,000 |
| National Heritage Trust | Albany | Albany | NY | | 1,000 |
| Natural History Museum | | | | | 500 |
| Nat'l Trust for Historic Presv | Washington | Washington | D.C. | | 350 |
| Nature's Best Foundation | McLean | McLean | VA | | 2,000 |
| NY Botanical Garden | Bronx | Bronx | NY | | 1,500 |
| Oak Hill Cemetary | Washington | Washington | D.C. | | 2,000 |
| Pendleton Historic Foundation | Pendleton | Pendleton | SC | | 1,500 |
| Peppertree Dog Rescue | Latham | Latham | NY | | 500 |
| Potomac School | McLean | McLean | VA | | 1,000 |
| Samburu Youth Ed Fund | | | | | 6,000 |
| Sleepy Hollow Cemetary | | | | | 2,500 |
| Soc of the Cincinnati, S.C... | Charleston | Charleston | SC | | 2,500 |

NEEFOUND The Neel Foundation
 54-0761588
 FYE: 12/31/2011

Federal Statements

Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

| Name Address | Relationship | Address Status | Purpose | Amount |
|-------------------------------|--------------|----------------------|---------|--------|
| Soc of the Cincinnati, . | | Washington, D.C. | | 500 |
| Squam Lakes Association | | Holderness, NH | | 1,600 |
| SPCA of Westchester | | Briarcliff Manor, NY | | 500 |
| The Deerwood Foundation | | Holderness, NH | | 1,500 |
| The Maddux Foundation | | McLean, VA | | 1,000 |
| The Nature Conservatory | | Arlington, VA | | 200 |
| Tuck School of Business | | Hanover, NH | | 500 |
| United Friends School | | Quakertown, PA | | 100 |
| United States Olympic Comm | | Colorado Springs, CO | | 525 |
| USO | | | | 500 |
| Visual Medical American Univ | | | | 8,000 |
| Water is Life | | | | 500 |
| Washington Cathedral | | Washington, D.C. | | 1,000 |
| WNET 13 | | New York, NY | | 710 |
| Wildlife Conservation Society | | Bronx, NY | | 1,200 |
| World Wildlife Federation | | Washington, D.C. | | 750 |

NEEFOUND The Neel Foundation
54-0761588
FYE: 12/31/2011

Federal Statements

Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

| Name | Address | Relationship | Status | Purpose | Amount |
|-------|---------|--------------|--------|---------|--------|
| Total | | | | | 85,993 |

Form **4562**

Depreciation and Amortization
(Including Information on Listed Property)

OMB No 1545-0172

2011

Department of the Treasury
Internal Revenue Service (99)

▶ See separate instructions.

▶ Attach to your tax return.

Attachment Sequence No **179**

Name(s) shown on return

The Neel Foundation

Identifying number

54-0761588

Business or activity to which this form relates

Indirect Depreciation

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

| | | | |
|----|---|------------------------------|------------------|
| 1 | Maximum amount (see instructions) | 1 | 500,000 |
| 2 | Total cost of section 179 property placed in service (see instructions) | 2 | |
| 3 | Threshold cost of section 179 property before reduction in limitation (see instructions) | 3 | 2,000,000 |
| 4 | Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0- | 4 | |
| 5 | Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions | 5 | |
| 6 | (a) Description of property | (b) Cost (business use only) | (c) Elected cost |
| 7 | Listed property. Enter the amount from line 29 | 7 | |
| 8 | Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7 | 8 | |
| 9 | Tentative deduction. Enter the smaller of line 5 or line 8 | 9 | |
| 10 | Carryover of disallowed deduction from line 13 of your 2010 Form 4562 | 10 | |
| 11 | Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions) | 11 | |
| 12 | Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11 | 12 | |
| 13 | Carryover of disallowed deduction to 2012. Add lines 9 and 10, less line 12 | 13 | |

Note: Do not use Part II or Part III below for listed property. Instead, use Part V

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions)

| | | | |
|----|---|----|-------|
| 14 | Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions) | 14 | |
| 15 | Property subject to section 168(f)(1) election | 15 | |
| 16 | Other depreciation (including ACRS) | 16 | 1,322 |

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

| | | | |
|----|--|----|-----|
| 17 | MACRS deductions for assets placed in service in tax years beginning before 2011 | 17 | 732 |
| 18 | If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here <input type="checkbox"/> | | |

Section B—Assets Placed in Service During 2011 Tax Year Using the General Depreciation System

| (a) Classification of property | (b) Month and year placed in service | (c) Basis for depreciation (business/investment use only—see instructions) | (d) Recovery period | (e) Convention | (f) Method | (g) Depreciation deduction |
|--------------------------------|--------------------------------------|--|---------------------|----------------|------------|----------------------------|
| 19a 3-year property | | | | | | |
| b 5-year property | | | | | | |
| c 7-year property | | | | | | |
| d 10-year property | | | | | | |
| e 15-year property | | | | | | |
| f 20-year property | | | | | | |
| g 25-year property | | | 25 yrs | | S/L | |
| h Residential rental property | | | 27.5 yrs | MM | S/L | |
| | | | 27.5 yrs | MM | S/L | |
| i Nonresidential real property | | | 39 yrs | MM | S/L | |
| | | | | MM | S/L | |

Section C—Assets Placed in Service During 2011 Tax Year Using the Alternative Depreciation System

| | | | | | | |
|-----|------------|--|--------|----|-----|--|
| 20a | Class life | | | | S/L | |
| b | 12-year | | 12 yrs | | S/L | |
| c | 40-year | | 40 yrs | MM | S/L | |

Part IV Summary (See instructions.)

| | | | |
|----|--|----|-------|
| 21 | Listed property. Enter amount from line 28 | 21 | |
| 22 | Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations—see instructions | 22 | 2,054 |
| 23 | For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs | 23 | |

For Paperwork Reduction Act Notice, see separate instructions.

Form **4562** (2011)

THE NEEL FOUNDATION
INVESTMENT ACCOUNTS
12/31/2011

COMMON STOCKS

| | <u>Cost</u> | <u>Market Value</u> |
|--|-------------|---------------------|
| 200 Abbott Laboratories | 8,531.44 | 11,246.00 |
| 55 Abercrombie & Fitch Co | 3,591.98 | 2,686.20 |
| 35 Affiliated Managers Grp | 2,518.60 | 3,358.25 |
| 200 Air Products & Chemical | 11,768.00 | 17,038.00 |
| 29 Agrium Inc | 1,813.21 | 1,946.19 |
| 1 Agrium Inc | 77.88 | 67.11 |
| 43 Allergan Inc | 2,522.49 | 3,772.82 |
| 23 Allergan Inc | 1,708.59 | 2,018.02 |
| 80 Allegheny Tech Inc | 4,467.42 | 3,824.00 |
| 34 Alliance Data Sys Corp | 3,004.82 | 3,530.56 |
| 24 Allstate Corp | 1,311.74 | 657.84 |
| 5 Allstate Corp. | 313.75 | 137.05 |
| 30 Allstate Corp. | 1,481.97 | 822.30 |
| 90 Allstate Corp. | 1,994.16 | 2,466.90 |
| 15 Allstate Corp. | 451.03 | 411.15 |
| 50 Allstate Corp. | 1,245.99 | 1,370.50 |
| 450 Altria Group Inc | 7,235.42 | 13,342.50 |
| 8 Amazon Com Inc | 1,528.42 | 1,384.80 |
| 11 Amazon Com Inc | 1,608.01 | 1,904.10 |
| 67 Ameren Corp | 3,539.01 | 2,219.71 |
| 30 Ameren Corp | 1,375.68 | 993.90 |
| 65 Ameren Corp | 1,458.92 | 2,153.45 |
| 15 Ameren Corp | 365.05 | 496.95 |
| 121 Ameriprise Financial Inc | 5,371.86 | 6,006.44 |
| 92 American Express | 4,590.46 | 4,339.64 |
| 75 Amn Elec Power Co | 2,804.21 | 3,098.25 |
| 245 Annually Cap Mgmt | 3,370.07 | 3,910.20 |
| 90 Annually Cap Mgmt | 1,534.58 | 1,436.40 |
| 1 Apple Inc | 94.88 | 405.00 |
| 15 Apple Inc | 2,091.24 | 6,075.00 |
| 15 Apple Inc | 1,472.28 | 6,075.00 |
| 15 Apple Inc | 1,353.23 | 6,075.00 |
| 10 Apple Inc | 1,009.76 | 4,050.00 |
| 5 Apple Inc | 618.55 | 2,025.00 |
| 650 Ares Capital Corp exch from Allied Capital | 39,555.00 | 10,042.50 |
| 128 Asahi Glass | 1,300.42 | 1,057.28 |
| 10 Asahi Glass | 85.05 | 82.60 |
| 29 Asml Holding NV NY | 1,078.78 | 1,211.91 |
| 61 Astrazeneca | 3,078.88 | 2,823.69 |
| 17 Astrazeneca | 781.23 | 786.93 |
| 137 AT&T | 3,184.56 | 4,142.88 |
| 563 AT&T | 12,107.75 | 17,025.12 |
| 40 AT&T | 1,013.58 | 1,209.60 |
| 15 AT&T | 369.34 | 453.60 |
| 112 Aust NW | 2,353.11 | 2,331.84 |
| 89 Avago Technologies Ltd | 3,042.11 | 2,568.54 |
| 76 Axis Capital Holdings | 2,379.35 | 2,428.96 |
| 2 Axis Capital Holdings | 62.37 | 63.92 |
| 121 Bae Sys | 2,413.95 | 2,136.86 |
| 19 Bae Sys | 331.13 | 335.54 |
| 132 Banco Bradesco | 2,435.62 | 2,201.76 |
| 6 Banco Bradesco | 105.69 | 100.08 |
| 69 Barclays | 1,273.50 | 758.31 |
| 29 Barclays | 330.09 | 318.71 |
| 30 Biogen Idec Inc | 3,376.67 | 3,301.50 |
| 67 Boeing Co | 4,343.04 | 4,914.45 |

THE NEEL FOUNDATION
INVESTMENT ACCOUNTS
12/31/2011

COMMON STOCKS

| | <u>Cost</u> | <u>Market Value</u> |
|-------------------------|-------------|---------------------|
| 277 Cathay Pac Air | 3,194.35 | 2,368.35 |
| 61 Cap Gemini | 1,147.04 | 958.31 |
| 770 Chevron Texaco Corp | 17,067.00 | 81,928.00 |
| 54 Chevron Corp | 3,310.70 | 5,745.60 |
| 120 Carefusion corp | 2,771.41 | 3,049.20 |
| 152 Chesapeake Energy | 3,823.23 | 3,388.08 |
| 25 Chesapeake Energy | 526.00 | 557.25 |
| 24 Chesapeake Energy | 645.20 | 534.96 |
| 22 CF Inds Hldgs | 2,950.54 | 3,189.56 |
| 13 China Pete Chem | 1,374.09 | 1,365.65 |
| 85 Citrix systems Inc | 5,271.80 | 5,161.20 |
| 82 Coach Inc | 4,383.14 | 5,005.28 |
| 159 Coca Cola | 9,428.64 | 11,125.23 |
| 136 Comerica Inc | 5,199.01 | 3,508.80 |
| 89 Companhia D Snmnto | 3,564.33 | 4,952.85 |
| 1 Companhia D Snmnto | 157.32 | 166.95 |
| 1 Conocco Phillips | 60.84 | 72.87 |
| 135 Conocco Phillips | 6,634.31 | 9,837.45 |
| 25 Conocco Phillips | 1,241.56 | 1,821.75 |
| 1 Conocco Phillips | 67.57 | 72.87 |
| 25 Core Lab | 2,058.89 | 2,848.75 |
| 7 Core Lab | 685.03 | 797.65 |
| 168 Copel Parana | 3,664.84 | 3,524.64 |
| 20 Copel Parana | 389.87 | 419.60 |
| 93 Covidien Ltd | 3,720.32 | 4,185.93 |
| 87 Credit Suisse | 3,092.45 | 2,042.76 |
| 69 Crown Castle Intl | 2,881.87 | 3,091.20 |
| 144 Cypress Semicndtr | 2,498.57 | 2,432.16 |
| 58 Danaher Corp | 3,112.24 | 2,728.32 |
| 38 Delhaize Group | 2,791.37 | 2,141.30 |
| 88 Dentsply Intl Inc | 2,932.14 | 3,079.12 |
| 31 Diageo Plc | 2,189.20 | 2,710.02 |
| 121 Discover Finl Svcs | 3,051.42 | 2,904.00 |
| 59 DSW Inc | 2,917.04 | 2,608.39 |
| 144 Eastman | 5,250.68 | 5,624.64 |
| 129 Edison Intl | 3,586.10 | 5,340.60 |
| 10 Edison Intl | 321.68 | 414.00 |
| 174 E M C Corp | 2,784.64 | 3,747.96 |
| 10 E M C Corp | 185.06 | 215.40 |
| 105 Ensco Plc-Spon | 5,315.73 | 4,926.60 |
| 43 EOG Resources | 4,368.99 | 4,235.93 |
| 24 EOG Resources | 2,362.84 | 2,364.24 |
| 53 Express Scripts Inc | 3,165.03 | 2,368.57 |
| 23 F5 Networks Inc | 2,592.16 | 2,440.76 |
| 100 Fortinet Inc | 2,580.01 | 2,181.00 |
| 207 France Telecom | 4,133.09 | 3,241.62 |
| 140 Freeport Mcmran | 6,554.57 | 5,150.60 |
| 195 Fuji Heavy Inds | 2,473.47 | 2,347.80 |
| 39 Gardner Denver Inc | 3,249.37 | 3,005.34 |
| 1,000 General Electric | 33,780.00 | 17,910.00 |
| 337 General Electric | 5,440.89 | 6,035.67 |
| 130 Gentex Corp | 2,653.20 | 3,846.70 |

THE NEEL FOUNDATION
INVESTMENT ACCOUNTS
12/31/2011

COMMON STOCKS

| | <u>Cost</u> | <u>Market Value</u> |
|---|-------------|---------------------|
| 126 Glaxosmithkline | 4,398.84 | 5,749.38 |
| 21 Google Inc | 12,848.01 | 13,563.90 |
| 33 Hansen Natural Corp | 2,280.76 | 3,040.62 |
| 109 Harris Corp | 3,601.26 | 3,928.36 |
| 5 Harris Corp | 235.29 | 180.20 |
| 35 Harris Corp | 1,230.25 | 1,261.40 |
| 50 Heineken | 1,309.78 | 1,151.50 |
| 46 Hitachi Ltd | 2,590.78 | 2,398.44 |
| 267 Hudson City Bancorp Inc | 3,742.61 | 1,668.75 |
| 80 Hudson City Bancorp Inc | 1,047.81 | 500.00 |
| 555 Hudson City Bancorp Inc | 4,021.50 | 3,468.75 |
| 31 IBM | 3,103.09 | 5,700.28 |
| 34 I H S INC | 2,229.46 | 2,929.44 |
| 119 illumina Inc | 8,172.15 | 3,627.12 |
| 34 Imperial Tob | 2,420.97 | 2,561.56 |
| 49 Intel Corp | 970.90 | 1,188.25 |
| 329 Intel Corp | 6,017.39 | 7,978.25 |
| 286 Intel Corp | 6,708.85 | 6,935.50 |
| 31 ITC Holdings corp | 1,893.97 | 2,352.28 |
| 407 Intl Paper Co | 11,120.57 | 12,047.20 |
| 501 JP Morgan Chase & Co | 18,505.42 | 16,658.25 |
| 200 Johnson & Johnson | 12,460.00 | 13,116.00 |
| 12 Johnson & Johnson | 716.56 | 786.96 |
| 20 Johnson & Johnson | 1,302.72 | 1,311.60 |
| 128 Johnson & Johnson | 8,440.85 | 8,394.24 |
| 84 Juniper Networks Inc | 2,935.97 | 1,714.44 |
| 15 Juniper Networks Inc | 662.17 | 306.15 |
| 104 KBR Inc | 3,103.35 | 2,898.48 |
| 66 KDDI Corp | 995.64 | 1,062.60 |
| 275 Kellogg Co PV 25ct | 12,295.25 | 13,906.75 |
| 120 Kimberly Clark | 7,880.29 | 8,827.20 |
| 69 Koc Holding | 1,435.98 | 1,026.72 |
| 111 Kohls Corp | 5,536.02 | 5,477.85 |
| 311 Kraft Foods (Stk Div for Altria Group | 7,425.80 | 11,618.96 |
| 180 Kroger Co | 4,286.40 | 4,359.60 |
| 70 Limited Brands | 2,237.53 | 2,824.50 |
| 70 Lockheed Martin Corp | 5,822.31 | 5,663.00 |
| 4 Lockheed Martin Corp | 295.92 | 323.60 |
| 93 Las Vegas Sands Corp | 4,273.16 | 3,973.89 |
| 46 Lauder Estee Cos | 4,242.92 | 5,166.72 |
| 152 Eli Lilly & Co | 5,815.50 | 6,317.12 |
| 108 Marks & Spencer | 1,181.26 | 1,028.16 |
| 11 Marks & Spencer | 109.51 | 104.72 |
| 6 Metlife Inc | 165.62 | 187.08 |
| 110 Metlife Inc | 4,986.46 | 3,429.80 |
| 44 Metlife Inc | 1,463.04 | 1,371.92 |
| 92 Manulife Financial Corp | 1,484.08 | 977.04 |
| 113 Marathon Oil Corp | 1,837.28 | 3,307.51 |
| 104 Marathon Oil Corp | 3,118.68 | 3,044.08 |
| 200 Mattell Inc | 5,309.02 | 5,552.00 |
| 40 Mead Johnson Nutrition | 1,957.08 | 2,749.20 |
| 78 Mead Johnson Nutrition | 5,100.27 | 5,360.94 |
| 65 McCormick | 3,096.67 | 3,277.30 |
| 57 McDonalds | 4,709.84 | 5,718.81 |
| 118 Medtronic Inc | 3,799.15 | 4,513.50 |
| 10 Medtronic Inc | 398.89 | 382.50 |
| 34 Medtronic Inc | 1,071.84 | 1,300.50 |

THE NEEL FOUNDATION
INVESTMENT ACCOUNTS
12/31/2011

COMMON STOCKS

| | <u>Cost</u> | <u>Market Value</u> |
|--|-------------|---------------------|
| 158 Microsoft Corp. | 4,006.97 | 4,101.68 |
| 277 Microsoft Corp. | 6,930.36 | 7,190.92 |
| 70 Micros Systems Inc | 3,239.26 | 3,260.60 |
| 8 Mitsubishi | 2,138.73 | 2,476.00 |
| 103 Monsanto Co | 7,586.91 | 7,217.21 |
| 892 Mizuho Financial Group | 2,953.09 | 2,390.56 |
| 100 National Oilwell Varco | 7,719.75 | 6,799.00 |
| 72 Nexen Inc | 1,433.21 | 1,145.52 |
| 49 Netflix Com Inc | 4,434.15 | 3,395.21 |
| 250 Nextera Energy Inc (From FPL Group) | 8,912.50 | 15,220.00 |
| 300 Nike Inc CL B | 13,095.50 | 28,911.00 |
| 60 Nitto Denko Corp | 2,365.43 | 2,131.80 |
| 98 Northrop Grumman Corp | 4,628.27 | 5,731.04 |
| 57 Oceaneering Intl Inc | 2,529.14 | 2,629.41 |
| 2 Oracle Corp | 40.41 | 51.30 |
| 30 Oracle Corp | 598.19 | 769.50 |
| 223 Oracle Corp | 5,943.33 | 5,719.95 |
| 30 Oracle Corp | 855.95 | 769.50 |
| 74 Petsmart | 2,479.27 | 3,795.46 |
| 55 Peugeot Citroens | 1,755.86 | 859.10 |
| 24 Panera Bread Co | 1,863.36 | 3,394.80 |
| 153 Patterson Uti Energy | 4,194.60 | 3,056.94 |
| 45 Petrleo Bras | 1,507.25 | 1,118.25 |
| 22 Posco | 2,363.68 | 1,806.20 |
| 6 Posco | 499.41 | 492.60 |
| 101 PNC Financial | 5,754.85 | 5,824.67 |
| 4 PNC Financial | 207.60 | 230.68 |
| 159 Pepsico Inc | 10,623.47 | 10,549.65 |
| 293 Pfizer Inc | 4,883.15 | 6,340.52 |
| 118 Pitney Bowes Inc | 2,871.51 | 2,187.72 |
| 159 Pitney Bowes Inc | 3,888.30 | 2,947.86 |
| 43 Praxair Inc | 4,271.56 | 4,596.70 |
| 44 Precision Castparts | 5,346.47 | 7,250.76 |
| 450 Philip Morris (Stk Div Altria Group) | 16,487.26 | 35,316.00 |
| 225 Procter Gamble | 12,449.00 | 15,009.75 |
| 75 Procter Gamble | 4,753.35 | 5,003.25 |
| 80 Qualcomm Inc | 4,556.72 | 4,376.00 |
| 86 R R Donnelley Sons | 2,882.31 | 1,240.98 |
| 55 R R Donnelley Sons | 1,735.78 | 793.65 |
| 75 R R Donnelley Sons | 1,190.59 | 1,082.25 |
| 35 R R Donnelley Sons | 652.14 | 505.05 |
| 95 R R Donnelley Sons | 1,362.37 | 1,370.85 |
| 18 Renaissancere Holding Ltd | 1,025.30 | 1,338.66 |
| 46 Rio Tinto Plc | 2,643.64 | 2,250.32 |

THE NEEL FOUNDATION
INVESTMENT ACCOUNTS
12/31/2011

COMMON STOCKS

| | <u>Cost</u> | <u>Market Value</u> |
|-------------------------------|-------------|---------------------|
| 56 Rockwell Automation Inc | 4,252.55 | 4,108.72 |
| 102 Reed Elsevier N V | 2,743.24 | 2,367.42 |
| 146 Reynolds American Inc | 3,087.82 | 6,047.32 |
| 14 Royal Dutch Shell | 891.42 | 1,023.26 |
| 12 Royal Dutch Shell | 584.20 | 877.08 |
| 65 Royal Dutch Shell | 3,061.20 | 4,750.85 |
| 41 Royal Dutch Shell | 2,201.62 | 2,996.69 |
| 1 Royal Dutch Shell | 69.80 | 73.09 |
| 41 Roper industries Inc | 2,553.34 | 3,561.67 |
| 82 Sasol Ltd | 3,295.48 | 3,886.80 |
| 103 Schlumberger Ltd | 7,296.03 | 7,035.93 |
| 174 SK Telecom | 2,803.05 | 2,368.14 |
| 102 Starwood Hotels & Resorts | 5,292.23 | 4,892.94 |
| 99 Statoil Asa | 2,054.06 | 2,535.39 |
| 228 Sanofi Aventis | 8,052.86 | 8,331.12 |
| 1,200 Southern Company | 19,522.53 | 55,548.00 |
| 67 Sage Group | 1,008.02 | 1,218.06 |
| 34 Salesforce Com Inc | 4,244.47 | 3,449.64 |
| 37 Seadrill Ltd | 1,256.40 | 1,227.66 |
| 43 SM Energy Co | 3,122.54 | 3,143.30 |
| 173 Starbucks corp | 4,590.15 | 7,959.73 |
| 165 Svenska C | 2,428.19 | 2,423.85 |
| 9 Svenska C | 127.67 | 132.21 |
| 56 Terdata Corp | 2,464.82 | 2,716.56 |
| 97 Taiwan S Manufacturing | 1,087.97 | 1,252.27 |
| 216 Total S A | 11,380.25 | 11,039.76 |
| 125 Tata Motors Ltd | 3,111.62 | 2,112.50 |
| 31 Teva Pharmactcl | 1,435.14 | 1,251.16 |
| 231 Telenorte Les Part | 3,268.38 | 2,196.81 |
| 75 Telstra Corp | 1,092.00 | 1,278.75 |
| 53 Tempur Pedic Intl Inc | 3,305.42 | 2,784.09 |
| 34 Transdigm Group Inc | 2,974.91 | 3,253.12 |
| 77 Travelers Co Inc | 2,839.77 | 4,556.09 |
| 15 Travelers Co Inc | 758.67 | 887.55 |
| 5 Travelers Co Inc | 245.44 | 295.85 |
| 249 Time Warner Inc | 8,309.81 | 8,998.86 |
| 32 Toronto Dominion Bank | 2,298.24 | 2,393.92 |
| 63 Tyco Intl Ltd | 3,104.55 | 2,942.73 |
| 76 Unilever | 2,174.96 | 2,547.52 |
| 74 United therapeutics Corp | 3,147.52 | 3,496.50 |
| 108 United Health Group Inc | 3,652.56 | 5,473.44 |
| 13 Ulta Salon Cosmetics | 876.43 | 843.96 |
| 61 Union Pacific Corp | 5,432.19 | 6,462.34 |
| 3 United Techs Corp | 147.41 | 219.27 |
| 35 United Techs Corp | 2,555.33 | 2,558.15 |
| 83 United Overseas Bk | 2,426.92 | 1,955.48 |
| 10 United Overseas Bk | 256.05 | 235.60 |
| 35 Valmont Industries | 3,745.40 | 3,177.65 |
| 81 Verifone Systems Inc | 3,171.15 | 2,877.12 |
| 48 Vale SA | 1,578.98 | 1,029.60 |
| 183 Wal-Mart Stores Inc | 9,732.82 | 10,936.08 |
| 49 Watson Pharmaceuticals | 3,357.23 | 2,956.66 |
| 43 Whirlpool Corp | 2,727.69 | 2,040.35 |
| 68 Whirlpool Corp | 3,877.73 | 3,226.60 |

THE NEEL FOUNDATION
INVESTMENT ACCOUNTS
12/31/2011

COMMON STOCKS

| | <u>Cost</u> | <u>Market Value</u> |
|--------------------------|-------------------|---------------------|
| 1 Windstream Corp | 8.62 | 11.74 |
| 297 Xerox Corp | 4,024.69 | 2,364.12 |
| 70 Xerox Corp | 592.20 | 557.20 |
| 105 Xerox Corp | 969.09 | 835.80 |
| 217 Xerox Corp | 1,729.84 | 1,727.32 |
| 49 Yanzhou Coal Mining | 1,095.44 | 1,034.88 |
| 147 Yamana Gold Inc | 1,366.59 | 2,149.14 |
| 20 Yamana Gold Inc | 290.53 | 292.40 |
| 86 Yum Brands Inc | 4,814.16 | 5,074.86 |
| 46 Weight Watchers Intl | 3,116.33 | 2,530.46 |
| 218 Wells Fargo & Co | 5,166.51 | 6,008.08 |
| 23 Wynn Resorts Ltd | 2,592.08 | 2,541.27 |
| 32 Woori Fin Holdings Co | 1,204.73 | 776.96 |
| 10 Woori Fin Holdings Co | 304.76 | 242.80 |
| 75 Zurich Financial Svcs | 1,637.27 | 1,683.75 |
| 89 Zurich Financial Svcs | 2,031.01 | 1,998.05 |
| | <u>992,936.44</u> | <u>1,158,066.07</u> |

FIXED RATE SECURITIES

| | | |
|---|-------------------|-------------------|
| 27,000 Federal Home Ln Mtg Corp | 8,238.66 | 8,822.73 |
| 11,000 Federal Nat'l Mtge Assn Note .750% | 10,871.52 | 11,042.02 |
| 25,000 Federal Nat'l Mtge Assn 5% 2035 P735580 | 8,047.37 | 8,832.48 |
| 18,000 Federal Nat'l Mtge Assn 6% 2038 P889579 | 5,892.92 | 5,984.47 |
| 42,000 Federal Nat'l Mtge Assn 50% 2038 P889572 | 14,606.82 | 14,987.66 |
| 17,000 Federal Nat'l Mtge Assn 6% 2038 P992144 | 4,356.49 | 4,723.13 |
| 14,000 Federal Nat'l Mtge Assn 6% 2038 | 1,537.24 | 1,654.98 |
| 2,000 Federal Nat'l Mtge Assn 5% 2035 P888343 | 890.31 | 893.04 |
| 7,000 Federal Nat'l Mtge Assn 50% 2039 P971036 | 3,687.98 | 3,709.67 |
| 35,000 Federal Home Ln Mtg Corp 5% 2038 GO5188 | 14,691.55 | 14,749.88 |
| 10,000 FNMA 50% 2039 PAE 0392 | 7,220.87 | 7,321.32 |
| 9,000 FNMA 50% 2040 PAE 5471 | 8,203.76 | 8,276.46 |
| 18,000 FNMA 50% 2041 PAH 3401 | 16,546.28 | 17,484.84 |
| 26,000 FNMA 50% 2041 PAL 0065 | 23,847.03 | 25,170.45 |
| 18,000 FNMA 50% 2041 P889452 | 7,137.31 | 7,151.89 |
| 4,000 US Treasury Inflation Bond | 8,380.88 | 8,327.06 |
| 1,000 US Treasury Inflation Note 3.0% | 1,265.19 | 1,286.51 |
| 3,000 US Treasury Inflation Note 3.0% | 3,796.34 | 3,859.54 |
| 3,000 US Treasury Inflation Note | 3,812.80 | 3,859.54 |
| 5,000 US Treasury Inflation Note | 6,414.10 | 6,432.57 |
| 8,000 US Treasury Inflation Bond 2.5% | 10,032.09 | 11,257.26 |
| 8,000 US Treasury Inflation Bond | 10,082.25 | 11,257.26 |
| 6,000 U S Treasury Note .875% | 6,000.73 | 6,007.98 |
| 12,000 US Treasury Note | 11,968.59 | 12,015.96 |
| 4,000 US Treasury Note | 3,992.04 | 4,005.32 |
| 5,000 US Treasury Note 1.375 | 4,971.66 | 5,071.30 |
| 9,000 US Treasury Note 1.500 | 9,234.43 | 9,304.47 |
| 13,000 US Treasury Note 2.125 | 13,160.15 | 13,333.19 |
| | <u>228,887.36</u> | <u>236,822.98</u> |

MUTUAL FUNDS

| | | |
|---|-------------------|-------------------|
| 10881.393 Delaware Investments Diversified | 100,000.00 | 99,673.56 |
| 7,802 PIMCO Fixed Income Series C | 95,358.14 | 97,290.94 |
| 9,417 PIMCO Fixed Income Series M | 103,414.16 | 97,936.80 |
| 8,592.081 Loomis Sayles Strategic Income Fund | 126,559.44 | 123,468.20 |
| | <u>425,331.74</u> | <u>418,369.50</u> |

| THE NEEL FOUNDATION | | | | | | | | | |
|--------------------------|-----------------------|-----------|-----------|---------------|-----------|------------|----------|-----------|----------|
| CAPITAL GAINS AND LOSSES | | | | | | | | | |
| TAX YEAR 2011 | | | | | | | | | |
| # of Shares | Stock | Sale Date | Sale | Purchase Date | Cost | SHORT TERM | | LONG TERM | |
| | | | | | | Loss | Gain | Loss | Gain |
| 282 | Compass Group | 1/13/11 | 2,484.05 | 7/29/10 | 2,374.44 | | 109.61 | | |
| 37 | Frontline Ltd | 1/20/11 | 970.75 | 5/24/10 | 1,140.52 | (169.77) | | | |
| 133 | IAC Interactive Corp | 1/19/11 | 3,845.01 | 7/29/10 | 3,331.28 | | 513.73 | | |
| 64 | MSC Indl Direct | 1/13/11 | 3,876.37 | 7/29/10 | 3,211.52 | | 664.85 | | |
| 90 | McDonalds Corp | 1/13/11 | 6,619.92 | 6/8/10 | 6,051.84 | | 568.08 | | |
| 16 | Nitto Denko | 1/25/11 | 788.99 | 9/30/10 | 631.70 | | 157.29 | | |
| 18 | Rovi Corp | 1/7/11 | 1,126.69 | 8/6/10 | 792.43 | | 334.26 | | |
| 67 | Sandisk Corp | 1/25/11 | 3,299.52 | 7/29/10 | 2,948.00 | | 351.52 | | |
| 222 | Sara Lee Corp | 1/25/11 | 4,044.58 | 10/13/10 | 3,291.28 | | 753.30 | | |
| 42 | Turkcell Iletisim | 1/21/11 | 693.33 | 7/29/10 | 607.12 | | 86.21 | | |
| 50 | Visa Inc | 1/13/11 | 3,582.94 | 2/5/10 | 4,149.98 | (567.04) | | | |
| 66 | Wabco Holdings Inc | 1/21/11 | 3,805.56 | 7/29/10 | 2,528.28 | | 1,277.28 | | |
| 17,000 | US Treasury 4% | 2/1/11 | 18,958.81 | various | 17,987.96 | | 970.85 | | |
| 19,000 | US Treasury 2.375% | 2/11/11 | 19,556.60 | various | 19,521.31 | | 35.29 | | |
| 6,000 | US Treasury 2.5% | 2/10/11 | 5,884.25 | 8/19/10 | 6,189.22 | (304.97) | | | |
| 32,000 | US Treasury 2.375% | 2/4/11 | 31,097.07 | 8/13/10 | 32,599.52 | (1,502.45) | | | |
| 85,000 | FNMA 6% 2038 | 2/11/11 | 35,518.12 | 10/21/08 | 34,061.61 | | | | 1,456.51 |
| 4 | Coca Cola | 2/18/11 | 303.93 | 7/29/10 | 265.95 | | 37.98 | | |
| 73 | Celgene Corp | 2/9/11 | 3,699.79 | 11/3/10 | 4,565.55 | (865.76) | | | |
| 24 | Copa Holdings | 2/3/11 | 1,362.77 | 7/29/10 | 1,214.64 | | 148.13 | | |
| 173 | Cisco Systems Inc | 2/11/11 | 3,245.66 | 8/10/10 | 4,317.12 | (1,071.46) | | | |
| 51 | Diamond Offshore Drll | 1/27/11 | 3,672.95 | various | 4,180.49 | | | (507.54) | |
| 110 | Danaher Corp | 1/31/11 | 5,087.16 | various | 3,542.87 | | | | 1,544.29 |
| 14 | Emergency Medical | 2/7/11 | 969.55 | 8/5/11 | 718.05 | | 251.50 | | |
| 97 | MSCI Inc | 2/3/11 | 3,350.30 | 8/3/10 | 3,214.46 | | 135.84 | | |
| 8 | Netflix Com Inc | 2/14/11 | 1,967.41 | 8/6/10 | 941.59 | | 1,025.82 | | |
| 31 | Petrleo Bras | 2/22/11 | 1,184.77 | 7/29/10 | 1,107.86 | | 76.91 | | |
| 90 | Southwestern Energy | 2/10/11 | 3,359.55 | 12/22/09 | 4,356.00 | | | (996.45) | |
| 16 | Tractor Supply Co | 1/28/11 | 815.35 | 11/8/10 | 668.20 | | 147.15 | | |
| 141 | Texas Instruments | 2/10/11 | 4,941.17 | 10/4/10 | 3,861.36 | | 1,079.81 | | |
| 34 | Transcanada Corp | 2/22/11 | 1,312.49 | 7/29/10 | 1,181.31 | | 131.18 | | |
| 40 | Turkcell Iletisim | 1/31/11 | 615.56 | 7/29/10 | 578.20 | | 37.36 | | |
| 53 | Valspar Corp | 2/23/11 | 2,028.01 | 7/29/10 | 1,674.80 | | 353.21 | | |
| 74 | Baxter Interntl Inc | 3/8/11 | 3,935.35 | 5/20/10 | 3,131.69 | | 803.66 | | |
| 43 | Baxter Interntl Inc | 3/11/11 | 2,257.35 | 5/24/10 | 1,798.07 | | 459.28 | | |
| 9 | Coca Cola | 3/1/11 | 654.59 | 7/29/10 | 598.38 | | 56.21 | | |
| 27 | Conoco Phillips | 2/28/11 | 2,087.91 | 2/28/06 | 1,642.62 | | | | 445.29 |
| 64 | Covidien | 3/8/11 | 3,401.23 | 1/29/10 | 3,249.39 | | | | 151.84 |
| 73 | Chesapeake Energy | 2/28/11 | 2,576.27 | 11/12/09 | 1,836.15 | | | | 740.12 |
| 52 | Colgate Palmolive | 3/18/11 | 3,990.99 | 7/13/10 | 4,220.29 | | | (229.30) | |
| 45 | Emergency Medical | 3/7/11 | 2,848.83 | 12/3/10 | 2,322.08 | | 526.75 | | |
| 16 | F5 Networks Inc | 3/22/11 | 1,497.84 | 9/30/10 | 1,696.56 | (198.72) | | | |
| 51 | Lubrizon Corp | 3/16/11 | 6,828.65 | 1/20/10 | 4,149.41 | | | | 2,679.24 |
| 34 | Marathon Oil Corp | 2/28/11 | 1,672.55 | 4/25/05 | 833.68 | | | | 838.87 |
| 2 | Mitsui Co | 2/28/11 | 725.79 | 7/29/10 | 529.84 | | 195.95 | | |
| 5 | Netflix Com Inc | 3/9/11 | 964.48 | 8/6/10 | 588.49 | | 375.99 | | |
| 10 | Renalssancere Hldg | 3/25/11 | 647.22 | 7/29/10 | 569.61 | | 77.61 | | |
| 54 | Rovi Corp | 3/1/11 | 2,948.66 | 8/6/10 | 2,377.27 | | 571.39 | | |
| 16 | Sasol Ltd | 3/1/11 | 862.18 | 7/29/10 | 638.66 | | 223.52 | | |
| 25 | Siemens ag | 3/11/11 | 3,205.82 | 4/24/09 | 1,742.59 | | 1,463.23 | | |
| 49 | Statoil | 3/28/11 | 1,350.18 | 7/29/10 | 1,016.66 | | 333.52 | | |
| 111 | Skyworks Solutions | 3/4/11 | 3,944.73 | 11/24/10 | 2,839.89 | | 1,104.84 | | |
| 27 | Teradata Corp | 3/18/11 | 1,338.41 | 7/29/10 | 873.89 | | 464.52 | | |
| 71 | Telstra Corp | 3/10/11 | 960.53 | 3/10/11 | 1,033.76 | (73.23) | | | |
| 84 | Target Corp | 3/8/11 | 4,315.75 | 7/26/10 | 4,331.99 | (16.24) | | | |
| 34 | Valspar Corp | 2/24/11 | 1,279.02 | 7/29/10 | 1,074.40 | | 204.62 | | |
| 28,000 | US Treasury Inflation | 4/15/11 | 31,137.68 | 3/26/09 | 31,137.78 | | | (0.10) | |
| 171 | Adobe Sys Del | 4/26/11 | 5,665.11 | various | 4,982.29 | | | | 682.82 |
| 180 | American Tower Corp | 4/11/11 | 9,152.05 | various | 7,513.25 | | 1,638.80 | | |
| 95 | Amgen Inc | 4/18/11 | 5,270.59 | 8/11/09 | 5,083.75 | | | | 186.84 |
| 17 | Coca Cola | 3/30/11 | 1,128.05 | 6/3/10 | 895.08 | | 232.97 | | |
| 65 | EMC Corp | 3/30/11 | 1,746.00 | 4/13/09 | 845.16 | | | | 900.84 |
| 95 | Guess Inc | 4/5/11 | 3,809.93 | various | 3,528.83 | | 281.10 | | |
| 17 | Holly Corp | 4/18/11 | 1,002.42 | 3/21/11 | 980.93 | | 21.49 | | |

SCHEDULE D SUPPORT

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| THE NEEL FOUNDATION | | | | | | | | | |
|--------------------------|---------------------------|-----------|----------|---------------|----------|------------|----------|-----------|----------|
| CAPITAL GAINS AND LOSSES | | | | | | | | | |
| TAX YEAR 2011 | | | | | | | | | |
| # of Shares | Stock | Sale Date | Sale | Purchase Date | Cost | SHORT TERM | | LONG TERM | |
| | | | | | | Loss | Gain | Loss | Gain |
| 29 | Limited Brands Inc | 4/18/11 | 1,098.39 | 7/29/10 | 740.29 | | 358.10 | | |
| 90 | Netapp Inc | 4/5/11 | 4,141.72 | various | 3,963.58 | | 178.14 | | |
| 9 | Panera Bread | 4/5/11 | 1,154.15 | 7/29/10 | 698.76 | | 455.39 | | |
| 16 | Procter & Gamble | 3/30/11 | 990.10 | 7/7/09 | 834.43 | | | | 155.67 |
| 12 | Renassancere Hldgs Ltd | 3/29/11 | 772.43 | 7/29/10 | 683.53 | | 88.90 | | |
| 26 | Statoil | 3/29/11 | 706.75 | 7/29/10 | 539.45 | | 167.30 | | |
| 53 | SM Energy | 4/12/11 | 3,632.34 | 7/29/10 | 2,201.09 | | 1,431.25 | | |
| 13 | Teradata Corp | 4/1/11 | 659.55 | 7/29/10 | 420.77 | | 238.78 | | |
| 29 | 3M Co | 3/30/11 | 2,684.69 | 12/11/09 | 2,341.33 | | | | 343.36 |
| 34 | United Techs Corp | 3/30/11 | 2,869.39 | 10/13/08 | 1,775.38 | | | | 1,094.01 |
| 20 | Yanzhou Coal Mining | 4/26/11 | 772.62 | 8/17/10 | 425.04 | | 347.58 | | |
| 96 | Akamai Technologies | 5/11/11 | 3,309.26 | 2/23/11 | 4,610.01 | (1,300.75) | | | |
| 9 | Amazon | 5/17/11 | 1,734.80 | 12/4/08 | 447.98 | | | | 1,286.82 |
| 21 | Allergan Inc | 5/18/11 | 1,761.50 | 11/4/09 | 1,219.74 | | | | 541.76 |
| 50 | Allegheny Tech Inc | 5/19/11 | 3,311.87 | 7/29/10 | 2,384.15 | | 927.72 | | |
| 36 | British Amn Tobacco | 5/18/11 | 3,154.60 | 7/29/10 | 2,486.52 | | 668.08 | | |
| 18 | Coca Cola | 5/6/11 | 1,428.77 | 7/29/10 | 1,196.78 | | 231.99 | | |
| 11 | Citrix Systems Inc | 5/18/11 | 897.44 | 9/13/10 | 722.59 | | 174.85 | | |
| 18 | Check Point Software Tech | 5/19/11 | 981.41 | 9/30/10 | 666.33 | | 315.08 | | |
| 33 | Caterpillar Inc | 5/16/11 | 3,537.36 | 7/28/10 | 2,282.98 | | 1,254.38 | | |
| 25 | Coca Cola | 4/28/11 | 1,681.03 | 6/3/10 | 1,316.30 | | 364.73 | | |
| 184 | Compuware Corp | 5/24/11 | 1,932.29 | 11/18/10 | 1,869.77 | | 62.52 | | |
| 295 | Corning Inc | 5/19/11 | 5,967.60 | 6/11/10 | 5,399.95 | | 567.65 | | |
| 74 | Cummins Inc | 5/12/11 | 8,184.87 | 4/30/09 | 2,638.86 | | | | 5,546.01 |
| 15 | Delhaize Group | 5/5/11 | 1,277.47 | 7/29/10 | 1,120.80 | | 156.67 | | |
| 10 | EOG Resources Inc | 5/24/11 | 1,063.38 | 10/15/10 | 993.14 | | 70.24 | | |
| 68 | Freeport McMan | 5/23/11 | 3,268.39 | 1/11/10 | 3,028.93 | | | | 239.46 |
| 48 | Fujitsu Ltd | 5/6/11 | 1,337.64 | 3/15/11 | 1,554.58 | (216.94) | | | |
| 17 | Herbalife Ltd | 5/18/11 | 1,198.08 | 8/4/10 | 637.15 | | 560.93 | | |
| 77 | Hospira Inc | 5/2/11 | 4,374.51 | 2/10/11 | 4,089.06 | | 285.45 | | |
| 15 | Huntington Ingalls | 5/9/11 | 593.78 | 5/24/10 | 447.44 | | | | 146.34 |
| 27 | Limited Brands Inc | 5/18/11 | 1,136.73 | 7/29/10 | 689.23 | | 447.50 | | |
| 56 | Nexen Inc | 5/9/11 | 1,341.56 | 7/29/10 | 1,173.60 | | 167.96 | | |
| 116 | Oracle Corp | 5/23/11 | 3,872.39 | 4/1/08 | 2,404.17 | | | | 1,468.22 |
| 160 | Pearson | 5/16/11 | 2,985.89 | 7/29/10 | 2,551.23 | | 434.66 | | |
| 329 | Pfizer Inc | 5/3/11 | 6,714.77 | 3/23/10 | 5,473.45 | | | | 1,241.32 |
| 33 | Potash Corp | 5/16/11 | 1,721.96 | 11/4/10 | 1,544.83 | | 177.13 | | |
| 22 | Renassancere Holdings Ltd | 5/13/11 | 1,530.35 | 7/29/10 | 1,253.14 | | 277.21 | | |
| 13 | Starwood Hotels | 5/18/11 | 743.93 | 4/15/11 | 746.08 | (2.15) | | | |
| 29 | Schlumberger Ltd | 5/12/11 | 2,390.27 | 1/29/10 | 1,894.80 | | | | 495.47 |
| 18 | Salesforce Com Inc | 5/23/11 | 2,422.35 | 5/21/10 | 1,481.07 | | | | 941.28 |
| 37 | Starbucks Corp | 5/18/11 | 1,326.83 | 5/7/10 | 950.06 | | | | 376.77 |
| 63 | United Health Group | 5/19/11 | 3,182.57 | 6/2/10 | 2,049.11 | | 1,133.46 | | |
| 175 | Verizon Comm | 5/5/11 | 6,560.79 | various | 4,667.14 | | | | 1,893.65 |
| 111 | Verisk Analytics Inc | 4/29/11 | 3,662.36 | various | 3,779.73 | (117.37) | | | |
| 16 | Allegheny Tech Inc | 6/23/11 | 959.12 | various | 763.07 | | 196.05 | | |
| 24 | Boeing Co | 6/23/11 | 1,710.40 | 6/11/10 | 1,555.72 | | | | 154.68 |
| 16 | Cooper Cos Inc | 6/3/11 | 1,190.18 | 7/29/10 | 624.32 | | 565.86 | | |
| 25 | Citrix Systems Inc | 6/17/11 | 1,859.41 | 9/14/10 | 1,644.27 | | 215.14 | | |
| 22 | Cognizant Tech Solutions | 6/15/11 | 1,546.27 | 4/25/11 | 1,798.29 | (252.02) | | | |
| 21 | Check Point Software Tech | 6/23/11 | 1,125.89 | 9/30/10 | 777.39 | | 348.50 | | |
| 153 | Compuware Corp | 5/27/11 | 1,510.34 | 11/18/10 | 1,572.77 | (62.43) | | | |
| 46 | Devon energy Corp | 6/24/11 | 3,547.82 | 3/10/11 | 4,107.42 | (559.60) | | | |
| 61 | EMC Corp | 6/10/11 | 1,614.55 | 4/13/09 | 793.15 | | | | 821.40 |
| 21 | Freeport McMan | 6/23/11 | 1,002.15 | 5/4/10 | 935.01 | | | | 67.14 |
| 73 | Herbalife Ltd | 6/17/11 | 3,840.32 | 8/4/10 | 2,022.25 | | 1,818.07 | | |
| 104 | Hewlett Packard Co | 6/23/11 | 3,620.86 | 2/9/11 | 5,044.21 | (1,423.35) | | | |
| 76 | JP Morgan Chase & Co | 6/23/11 | 3,027.42 | 6/2/10 | 2,946.57 | | | | 80.85 |
| 165 | New York Comnty Bancorp | 6/22/11 | 2,591.81 | 1/30/09 | 2,178.25 | | | | 413.56 |
| 5 | Netflix Com Inc | 6/7/11 | 1,327.63 | 8/6/10 | 588.49 | | 739.14 | | |
| 132 | Pharm Prod Dev Inc | 6/23/11 | 3,438.19 | 4/27/11 | 4,164.15 | (725.96) | | | |
| 22 | Rockwell Automation inc | 6/16/11 | 1,723.23 | 2/14/11 | 1,941.03 | (217.80) | | | |
| 67 | Sandisk Corp | 6/24/11 | 2,817.43 | 12/7/10 | 3,222.10 | (404.67) | | | |
| 19 | United Techs Corp | 6/13/11 | 1,573.66 | 4/24/09 | 979.82 | | | | 593.84 |
| 13 | Wynn Resorts Ltd | 5/26/11 | 1,870.04 | 12/3/10 | 1,342.19 | | 527.85 | | |
| 3 | Amazon | 7/13/11 | 644.69 | 12/4/08 | 149.33 | | | | 495.36 |
| 66 | Alpha Natural Res | 7/6/11 | 2,965.51 | 7/29/10 | 2,531.76 | | 433.75 | | |

SCHEDULE D SUPPORT

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| THE NEEL FOUNDATION | | | | | | | | | |
|--------------------------|---------------------------|-----------|-----------|---------------|-----------|------------|----------|-----------|----------|
| CAPITAL GAINS AND LOSSES | | | | | | | | | |
| TAX YEAR 2011 | | | | | | | | | |
| # of Shares | Stock | Sale Date | Sale | Purchase Date | Cost | SHORT TERM | | LONG TERM | |
| | | | | | | Loss | Gain | Loss | Gain |
| 12 | Core Lab | 6/28/11 | 1,291.16 | 11/16/10 | 969.83 | | 321.33 | | |
| 73 | Coach Inc | 7/12/11 | 4,831.49 | 11/1/10 | 2,859.02 | | | | 1,972.47 |
| 63 | Marathon Oil Corp | 7/15/11 | 2,470.82 | various | 1,371.44 | | | | 1,099.38 |
| 376 | New World Dev | 7/7/11 | 1,128.57 | 3/1/11 | 1,356.25 | (227.68) | | | |
| 190 | New York Comnty Bancorp | 7/1/11 | 2,879.00 | various | 2,343.98 | | | | 535.02 |
| 6 | Netflix Com Inc | 7/13/11 | 1,754.57 | 8/6/10 | 706.19 | | 1,048.38 | | |
| 121 | Nippom Yusen | 6/28/11 | 869.14 | 12/1/10 | 1,081.01 | (211.87) | | | |
| 134 | Nokla Corp | 7/26/11 | 784.35 | 7/29/10 | 1,244.48 | (460.13) | | | |
| 12 | Sina Corp | 7/19/11 | 1,332.87 | 1/13/11 | 1,033.66 | | 299.21 | | |
| 8 | Salesforce Com Inc | 7/21/11 | 1,181.82 | 5/2/10 | 658.25 | | | | 523.57 |
| 70 | V F Corp | 7/21/11 | 8,049.27 | various | 4,128.74 | | | | 3,920.53 |
| 56 | Yamana Gold | 7/14/11 | 729.85 | 7/29/10 | 520.60 | | 209.25 | | |
| 76 | Webmd Health Corp | 7/20/11 | 2,680.07 | 4/20/11 | 4,106.25 | (1,426.18) | | | |
| 236 | Altria Group Inc | 8/4/11 | 5,961.91 | various | 4,030.82 | | | | 1,931.09 |
| 9 | Amazon | 7/27/11 | 2,015.04 | 12/4/08 | 447.98 | | | | 1,567.06 |
| 71 | Ameriprise Finl Inc | 8/12/11 | 3,185.82 | 8/11/10 | 3,087.95 | | | | 97.87 |
| 12 | Baker Hughes Inc | 8/9/11 | 723.11 | 1/28/11 | 815.44 | (92.33) | | | |
| 47 | Cooper Cos Inc | 8/26/11 | 3,287.72 | 7/29/10 | 1,833.94 | | | | 1,453.78 |
| 41 | Cognizant Tech Solutions | 8/9/11 | 2,796.89 | 4/27/11 | 3,356.48 | (559.59) | | | |
| 42 | Canadian Natural Res Ltd | 8/11/11 | 1,504.66 | 9/26/06 | 1,083.45 | | | | 421.21 |
| 90 | Check Point Software Tech | 8/9/11 | 4,512.79 | 3/31/11 | 4,003.67 | | 509.12 | | |
| 47 | Caterpillar Inc | 8/15/11 | 4,261.85 | 7/28/10 | 3,263.47 | | | | 998.38 |
| 11 | CF Inds Holdings Inc | 8/23/11 | 1,940.65 | 2/23/11 | 1,468.33 | | 472.32 | | |
| 31 | Cummins Inc | 8/10/11 | 2,759.96 | various | 1,738.68 | | | | 1,021.30 |
| 104 | Glaxosmithkline | 8/22/11 | 4,316.18 | various | 3,953.15 | | | | 363.03 |
| 54 | Huaneng Power Intl | 8/11/11 | 969.23 | 11/15/10 | 1,231.55 | (262.32) | | | |
| 9 | Hansen Natural Corp | 8/22/11 | 711.89 | 5/19/11 | 620.08 | | 91.81 | | |
| 70 | Intuit Inc | 8/3/11 | 3,159.61 | 7/29/10 | 2,794.79 | | | | 364.82 |
| 263 | MetroPCS Comm Inc | 8/12/11 | 2,692.96 | 3/9/11 | 3,963.43 | (1,270.47) | | | |
| 43 | Parker Hannifin Corp | 8/2/11 | 3,067.42 | 11/3/10 | 3,371.77 | (304.35) | | | |
| 80 | Potash Corp | 8/22/11 | 4,104.67 | 12/16/10 | 3,890.48 | | 214.19 | | |
| 53 | Rockwell Automation Inc | 8/23/11 | 2,921.24 | 4/15/11 | 4,767.64 | (1,846.40) | | | |
| 76 | Rockwell Collins Inc | 8/11/11 | 3,523.37 | 1/12/11 | 4,510.85 | (987.48) | | | |
| 51 | SPX Corp | 8/12/11 | 2,858.30 | 10/6/10 | 3,308.94 | (450.64) | | | |
| 11 | Sina Corp | 8/4/11 | 1,068.00 | 1/13/11 | 947.52 | | 120.48 | | |
| 37 | Salesforce Com Inc | 7/29/11 | 5,365.75 | 7/23/10 | 3,732.99 | | | | 1,632.76 |
| 81 | Stryker Corp | 8/18/11 | 3,653.95 | 5/13/11 | 5,123.63 | (1,469.68) | | | |
| 109 | Trimble Nav Ltd | 8/3/11 | 3,915.98 | 5/20/11 | 4,796.73 | (880.75) | | | |
| 160 | Annaly Cap | 9/26/11 | 2,785.42 | 7/15/08 | 2,304.91 | | | | 480.51 |
| 12 | Allegheny Tech Inc | 9/22/11 | 455.75 | 10/19/10 | 564.36 | (108.61) | | | |
| 12 | Boeing Co | 9/22/11 | 695.30 | 6/11/10 | 777.86 | | | (82.56) | |
| 143 | CenturyLink Inc | 9/27/11 | 5,036.27 | 4/27/09 | 3,622.43 | | | | 1,413.84 |
| 12 | Companhia D Snrnto | 9/20/11 | 633.53 | 7/29/10 | 480.58 | | | | 152.95 |
| 8 | EOG Resources Inc | 9/22/11 | 621.80 | 10/15/10 | 800.18 | (178.38) | | | |
| 13 | Freeport McMan | 9/22/11 | 424.51 | 5/4/10 | 453.04 | | | (28.53) | |
| 18 | ITC Holdings Corp | 9/27/11 | 1,367.81 | 9/22/10 | 1,095.66 | | | | 272.15 |
| 35 | KDDI Corp | 9/21/11 | 708.82 | 1/18/11 | 495.86 | | 212.96 | | |
| 201 | Mylan Inc | 9/1/11 | 4,134.95 | 12/8/10 | 3,587.08 | | 547.87 | | |
| 44,000 | US Treasury Bill 0% 2011 | 9/8/11 | 44,000.00 | | 44,000.00 | | | | |
| 3 | Netflix Com Inc | 9/15/11 | 512.33 | 8/6/10 | 353.10 | | | | 159.23 |
| 21 | Procter & Gamble | 9/27/11 | 1,318.77 | 7/8/09 | 1,095.56 | | | | 223.21 |
| 91 | Red Hat Inc | 9/21/11 | 3,698.14 | 1/27/11 | 3,849.40 | (151.26) | | | |
| 10 | Royal Dutch Shell | 9/20/11 | 664.02 | 10/2/07 | 818.77 | | | (154.75) | |
| 24 | Tractor Supply Co | 9/14/11 | 1,611.32 | 11/9/10 | 1,002.22 | | 609.10 | | |
| 59 | Yamana Gold | 9/20/11 | 991.17 | 7/29/10 | 548.49 | | | | 442.68 |
| 101 | Zebra Technologies | 9/19/11 | 3,214.07 | 1/13/11 | 3,913.52 | (699.45) | | | |
| 38 | Amazon | 10/26/11 | 7,673.49 | 9/14/10 | 3,338.85 | | | | 4,334.64 |
| 141 | Annaly Cap | 9/28/11 | 2,372.18 | 3/24/09 | 2,059.27 | | | | 312.91 |
| 71 | Allegheny Tech Inc | 10/5/11 | 2,298.32 | 11/12/10 | 3,434.06 | (1,135.74) | | | |
| 33 | Avago Technologies Ltd | 10/21/11 | 1,109.70 | 5/20/11 | 1,148.10 | (38.40) | | | |
| 37 | Baker Hughes Inc | 9/29/11 | 1,780.11 | 1/28/11 | 2,514.28 | (734.17) | | | |
| 125 | Brigham Expl Inc | 10/17/11 | 4,545.05 | 7/21/11 | 4,159.65 | | 385.40 | | |
| 162 | Canadian Natural Res Ltd | 10/7/11 | 4,863.55 | 10/5/10 | 4,797.15 | | | | 66.40 |
| 14 | CME Group Inc | 10/26/11 | 3,670.17 | 12/17/10 | 4,307.14 | (636.97) | | | |
| 114 | Freeport McMan | 9/29/11 | 3,581.60 | 10/1/10 | 4,896.32 | (1,314.72) | | | |
| 10 | Intuitive Surgical Inc | 10/18/11 | 3,812.38 | 7/27/11 | 4,003.65 | (191.27) | | | |

SCHEDULE D SUPPORT

| THE NEEL FOUNDATION | | | | | | | | | |
|--------------------------|------------------------|-----------|----------|---------------|----------|------------|--------|------------|--------|
| CAPITAL GAINS AND LOSSES | | | | | | | | | |
| TAX YEAR 2011 | | | | | | | | | |
| # of Shares | Stock | Sale Date | Sale | Purchase Date | Cost | SHORT TERM | | LONG TERM | |
| | | | | | | Loss | Gain | Loss | Gain |
| 18 | Illumina Inc | 10/4/11 | 685.27 | 3/30/11 | 1,254.72 | (569.45) | | | |
| 14 | ITC Holdings Corp | 10/11/11 | 1,029.08 | 9/23/10 | 849.43 | | | | 179.65 |
| 25 | Las Vegas Sands Corp | 10/3/11 | 925.51 | 8/4/11 | 1,116.56 | (191.05) | | | |
| 27 | Limited Brands Inc | 10/5/11 | 1,082.25 | 7/29/10 | 689.23 | | | | 393.02 |
| 24 | Netflix Com Inc | 9/29/11 | 2,674.50 | 12/9/10 | 3,834.23 | (1,159.73) | | | |
| 177 | Nippom Yusen | 10/13/11 | 915.87 | 1/24/11 | 1,617.51 | (701.64) | | | |
| 35 | Sina Corp | 9/30/11 | 2,507.24 | 1/18/11 | 3,034.68 | (527.44) | | | |
| 43 | Tractor Supply Co | 9/30/11 | 2,758.97 | 11/10/10 | 1,790.51 | | 968.46 | | |
| 58 | Varioan Medical Sys | 10/26/11 | 3,309.35 | 5/11/11 | 4,101.26 | (791.91) | | | |
| 133 | Wyndham Worldwide Corp | 10/6/11 | 3,836.18 | 1/28/11 | 3,511.58 | | 324.58 | | |
| 21 | Allstate Corp | 11/1/11 | 554.99 | 3/15/06 | 1,148.08 | | | (593.09) | |
| 8 | Affiliated Managers | 11/1/11 | 702.29 | 7/29/10 | 575.68 | | | | 126.61 |
| 61 | Abercrombie & Fitch Co | 11/15/11 | 3,595.97 | 9/29/11 | 3,985.70 | (389.73) | | | |
| 19 | Ameren Corp | 11/1/11 | 591.87 | 9/14/07 | 980.11 | (388.24) | | | |
| 6 | Allergan Inc | 11/1/11 | 484.53 | 11/4/09 | 348.50 | | | | 146.03 |
| 16 | Astrazeneca | 10/27/11 | 786.52 | 7/29/10 | 811.61 | | | (25.09) | |
| 3 | Allegheny Tech Inc | 11/1/11 | 130.34 | 11/4/10 | 185.76 | (55.42) | | | |
| 6 | Alliance Data Sys | 11/1/11 | 595.22 | 8/11/11 | 526.31 | | 68.91 | | |
| 4 | Avago Technologies Ltd | 11/1/11 | 131.24 | 5/20/11 | 138.22 | (6.98) | | | |
| 24 | AT&T | 11/1/11 | 693.03 | 1/21/05 | 557.88 | | | | 135.15 |
| 7 | AMN Elec Power Co | 11/1/11 | 272.73 | 8/15/11 | 281.75 | | 10.98 | | |
| 7 | Asml Holdings | 11/1/11 | 282.54 | 7/25/11 | 262.03 | | 20.51 | | |
| 3 | Apple Inc | 11/1/11 | 1,182.94 | 4/25/07 | 284.65 | | | | 898.29 |
| 4 | Aust NW | 11/1/11 | 86.55 | 7/29/10 | 84.04 | | | | 2.51 |
| 2 | Boeing Co | 11/1/11 | 124.93 | 6/11/10 | 129.64 | | | (4.71) | |
| 5 | Core Lab | 11/1/11 | 539.70 | 11/16/10 | 404.10 | | 135.60 | | |
| 17 | Citrix Systems Inc | 11/1/11 | 1,261.97 | 9/14/10 | 1,128.17 | | | | 133.80 |
| 10 | Credit Suisse | 11/1/11 | 261.03 | 1/4/11 | 413.90 | (152.87) | | | |
| 11 | Crown Castle Intl | 11/1/11 | 452.76 | 9/22/11 | 459.05 | (6.29) | | | |
| 9 | Coach Inc | 11/1/11 | 566.31 | 11/2/10 | 451.57 | | 114.74 | | |
| 15 | Chevron Corp | 10/27/11 | 1,637.24 | 11/15/05 | 869.28 | | | | 767.96 |
| 3 | CF Inds Holdings Inc | 11/1/11 | 465.07 | 2/24/11 | 402.74 | | 62.33 | | |
| 2 | CME Group Inc | 10/27/11 | 546.67 | 12/17/10 | 641.08 | (94.41) | | | |
| 26 | Carefusion Corp | 11/1/11 | 642.37 | 8/20/10 | 588.36 | | | | 54.01 |
| 11 | Covidien | 11/1/11 | 494.53 | 4/30/10 | 544.25 | | | (49.72) | |
| 13 | Coca Cola | 11/1/11 | 871.51 | 6/3/10 | 684.47 | | | | 187.04 |
| 7 | Comerica Inc | 11/1/11 | 174.79 | 4/7/11 | 268.52 | (93.73) | | | |
| 64 | Cypress Semic | 11/8/11 | 1,213.09 | 9/15/11 | 1,110.48 | | 102.61 | | |
| 15 | Dentsply Intl Inc | 11/1/11 | 531.95 | 8/25/11 | 500.01 | | 31.94 | | |
| 44 | Diamond Offshore Drill | 11/23/11 | 2,653.96 | 5/4/10 | 3,694.10 | | | (1,040.14) | |
| 4 | Danaher Corp | 11/1/11 | 188.03 | 5/16/11 | 216.37 | (28.34) | | | |
| 8 | Diageo Plc | 10/27/11 | 672.30 | 7/29/10 | 554.98 | | | | 117.32 |
| 9 | DSW Inc | 11/1/11 | 459.85 | 10/6/11 | 441.60 | | 18.25 | | |
| 6 | Discover Finl | 11/1/11 | 136.62 | 10/11/11 | 149.78 | (13.16) | | | |
| 44 | R R Donnelley Sons | 11/1/11 | 681.08 | 5/5/06 | 1,429.85 | | | (748.77) | |
| 10 | E M C Corp | 11/1/11 | 238.59 | 4/24/09 | 123.05 | | | | 115.54 |
| 3 | Express Scripts Inc | 11/1/11 | 131.45 | 5/16/11 | 179.24 | (47.79) | | | |
| 3 | Eastman Chemical Co | 11/1/11 | 115.57 | 9/27/11 | 109.26 | | 6.31 | | |
| 31 | Edison Intl Calif | 11/1/11 | 1,230.12 | 3/11/09 | 776.39 | | | | 453.73 |
| 4 | EOG Resources Inc | 11/1/11 | 336.14 | 10/15/10 | 400.09 | | | (63.95) | |
| 8 | France Telecom | 11/1/11 | 136.18 | 7/29/10 | 167.01 | | | (30.83) | |
| 6 | Gardner Denever | 11/1/11 | 441.97 | 8/2/11 | 499.90 | (57.93) | | | |
| 21 | Glaxosmithkline | 11/1/11 | 923.72 | 5/24/10 | 811.11 | | | | 112.61 |
| 3 | General Electric | 11/1/11 | 48.42 | 7/30/10 | 48.44 | | | (0.02) | |
| 1 | Google Inc | 11/1/11 | 577.65 | 2/11/11 | 623.86 | (46.21) | | | |
| 21 | Gentex Corp | 11/1/11 | 597.49 | 7/29/10 | 404.24 | | | | 193.25 |
| 88 | Hudson City Bancorp | 11/1/11 | 519.23 | 7/7/09 | 1,192.27 | | | (673.04) | |
| 104 | Holly Frontier Corp | 11/14/11 | 2,915.72 | 3/21/11 | 3,000.48 | (84.76) | | | |
| 5 | Hansen Natural Corp | 11/1/11 | 426.46 | 5/20/11 | 345.14 | | 81.32 | | |
| 6 | Harris Corp | 11/1/11 | 219.59 | 2/6/09 | 264.40 | | | (44.81) | |
| 4 | Hltachi Ltd | 11/1/11 | 211.59 | 4/28/11 | 217.11 | (5.52) | | | |
| 5 | Imperial Tob | 11/1/11 | 359.27 | 4/28/11 | 352.32 | | 6.95 | | |
| 6 | Illumina Inc | 11/1/11 | 180.26 | 3/30/11 | 418.24 | (237.98) | | | |
| 206 | Intel Corp | 11/4/11 | 5,046.54 | 11/11/09 | 4,081.73 | | | | 964.81 |
| 9 | IBM | 10/27/11 | 1,673.36 | 4/24/09 | 890.00 | | | | 783.36 |
| 18 | I H S Inc | 11/23/11 | 1,496.79 | 7/29/10 | 1,133.10 | | | | 363.69 |

SCHEDULE D SUPPORT
4 OF 5

| THE NEEL FOUNDATION | | | | | | | | | |
|--------------------------|---------------------------|-----------|------------|---------------|------------|------------|-----------|-----------|-----------|
| CAPITAL GAINS AND LOSSES | | | | | | | | | |
| TAX YEAR 2011 | | | | | | | | | |
| # of Shares | Stock | Sale Date | Sale | Purchase Date | Cost | SHORT TERM | | LONG TERM | |
| | | | | | | Loss | Gain | Loss | Gain |
| 2 | ITC Holdings Corp | 11/1/11 | 143.83 | 9/23/10 | 120.47 | | | | 23.36 |
| 23 | Intl Paper Co | 11/1/11 | 618.60 | 3/16/11 | 589.85 | | 28.75 | | |
| 6 | Juniper Networks Inc | 11/1/11 | 136.69 | 11/11/10 | 206.59 | (69.90) | | | |
| 14 | JP Morgan Chase & Co | 11/1/11 | 454.50 | 5/3/11 | 637.61 | (183.11) | | | |
| 32 | Johnson & Johnson Co | 11/4/11 | 2,037.47 | 7/9/09 | 1,814.36 | | | | 223.11 |
| 180 | Kraft Foods Inc | 11/8/11 | 6,326.96 | 5/9/07 | 5,590.30 | | | | 736.66 |
| 18 | KBR Inc | 11/1/11 | 477.97 | 8/29/11 | 529.23 | (51.26) | | | |
| 11 | Kimberly Clark | 11/1/11 | 756.80 | 5/10/10 | 691.26 | | | | 65.54 |
| 4 | Kohls Corp | 11/1/11 | 212.00 | 9/30/11 | 199.50 | | 12.50 | | |
| 14 | Kroger Co | 11/1/11 | 316.72 | 6/24/11 | 342.89 | (26.17) | | | |
| 3 | Lauder Estee | 11/1/11 | 285.77 | 8/10/11 | 275.95 | | 9.82 | | |
| 40 | Laboratory CP | 11/4/11 | 3,285.98 | 6/20/11 | 3,881.66 | (595.68) | | | |
| 3 | Las Vegas Sands Corp | 11/1/11 | 139.52 | 8/4/11 | 133.99 | | 5.53 | | |
| 16 | Ell Lilly & Co | 11/1/11 | 602.69 | 5/3/11 | 608.72 | (6.03) | | | |
| 11 | Limited Brands Inc | 11/1/11 | 468.10 | 7/29/10 | 280.80 | | | | 187.30 |
| 19 | Mallinco Inc | 11/1/11 | 630.60 | 5/4/09 | 524.46 | | | | 106.14 |
| 6 | Monsanto Co | 11/1/11 | 421.83 | 6/30/11 | 432.29 | (10.46) | | | |
| 13 | Marathon Oil Corp | 11/1/11 | 320.66 | 4/25/05 | 190.14 | | | | 130.52 |
| 15 | Mead Johnson Nutrition | 11/1/11 | 1,060.64 | 5/21/10 | 728.17 | | | | 332.47 |
| 12 | Mattel Inc | 11/1/11 | 336.61 | 8/30/11 | 323.20 | | 13.41 | | |
| 12 | McCormick | 11/1/11 | 576.02 | 3/1/11 | 570.65 | | 5.37 | | |
| 3 | McDonalds Corp | 11/1/11 | 276.71 | 5/25/11 | 248.63 | | 28.08 | | |
| 12 | Medtronic Inc | 11/1/11 | 399.83 | 2/23/09 | 416.54 | | | (16.71) | |
| 9 | Microsoft Corp | 11/1/11 | 234.31 | 11/26/10 | 228.15 | | 6.16 | | |
| 12 | Micros Systems Inc | 11/1/11 | 564.28 | 8/26/11 | 546.79 | | 17.49 | | |
| 4 | National Oilwell | 11/1/11 | 264.87 | 3/17/11 | 310.44 | (45.57) | | | |
| 85 | Netapp Inc | 11/14/11 | 3,549.81 | 10/16/10 | 4,283.51 | | | (733.70) | |
| 12 | Oracle Corp | 11/1/11 | 382.59 | 4/1/08 | 242.47 | | | | 140.12 |
| 18 | Petsmart Inc | 11/1/11 | 822.16 | 8/25/10 | 589.31 | | | | 232.85 |
| 30 | Patterson Util Energy | 11/1/11 | 579.31 | 7/11/11 | 946.92 | (367.61) | | | |
| 5 | Panera Bread | 11/1/11 | 659.59 | 7/29/10 | 388.20 | | | | 271.39 |
| 9 | Pepsico Inc | 11/1/11 | 559.37 | 3/2/11 | 564.26 | (4.89) | | | |
| 27 | Pfizer Inc | 11/1/11 | 529.34 | 3/24/10 | 475.26 | | | | 54.08 |
| 3 | Praxair Inc | 11/1/11 | 294.99 | 8/26/11 | 282.20 | | 12.79 | | |
| 6 | Precision Castparts | 11/1/11 | 951.54 | 7/27/10 | 724.12 | | | | 227.42 |
| 41 | Procter & Gamble | 11/1/11 | 2,639.07 | 5/19/10 | 2,307.42 | | | | 331.65 |
| 4 | Qualcomm Inc | 11/1/11 | 200.57 | 7/2/11 | 227.71 | (27.14) | | | |
| 2 | Renassancere Holdings Ltd | 11/1/11 | 133.47 | 7/29/10 | 113.92 | | | | 19.55 |
| 8 | Ratheon Co | 11/1/11 | 340.15 | 5/18/11 | 394.23 | (54.08) | | | |
| 38 | Reynolds American Inc | 11/1/11 | 1,444.57 | 12/10/04 | 715.54 | | | | 729.03 |
| 13 | Royal Dutch Shell | 11/1/11 | 893.87 | 9/4/08 | 957.23 | | | (63.36) | |
| 1 | Reed Elsevier | 11/1/11 | 23.81 | 4/29/11 | 26.28 | (2.47) | | | |
| 10 | Roper Industries Inc | 11/1/11 | 782.53 | 7/29/10 | 622.76 | | | | 159.77 |
| 20 | Starwood Hotels | 11/23/11 | 898.88 | 4/15/11 | 1,148.05 | (249.17) | | | |
| 2 | Schlumberger Ltd | 11/1/11 | 138.40 | 2/25/10 | 125.10 | | | | 13.30 |
| 1 | StatOil Asa | 11/1/11 | 23.96 | 7/29/10 | 20.75 | | | | 3.21 |
| 3 | Sanofi ADR | 11/1/11 | 102.27 | 10/26/10 | 103.25 | | | (0.98) | |
| 11 | Sage Group | 11/1/11 | 189.38 | 7/29/10 | 165.90 | | | | 23.88 |
| 3 | Seadrill Ltd | 11/1/11 | 95.07 | 5/9/11 | 101.19 | (6.12) | | | |
| 2 | SM Energy | 11/1/11 | 148.29 | 10/17/11 | 142.75 | | 5.54 | | |
| 9 | Starbucks Corp | 11/1/11 | 369.17 | 5/7/10 | 231.10 | | | | 138.07 |
| 35 | Teredata Corp | 11/1/11 | 2,157.53 | 7/29/10 | 1,132.83 | | | | 1,024.70 |
| 22 | Total S A | 11/1/11 | 1,103.28 | 4/24/09 | 1,110.43 | | | (7.15) | |
| 4 | Tyco Intl Ltd | 11/1/11 | 177.07 | 6/29/11 | 194.55 | (17.48) | | | |
| 16 | Taiwan S Manufacturing | 11/1/11 | 197.43 | 11/9/10 | 179.55 | | 17.88 | | |
| 21 | Telstra Corp | 11/1/11 | 332.35 | 7/29/10 | 305.76 | | | | 26.59 |
| 4 | 3M Co | 11/1/11 | 305.54 | 12/11/09 | 322.94 | | | (16.40) | |
| 6 | Transdigm Group Inc | 11/1/11 | 537.04 | 8/11/11 | 518.67 | | 18.37 | | |
| 13 | Travelers Co | 11/1/11 | 736.12 | 12/10/04 | 479.44 | | | | 256.68 |
| 29 | Time Warner Inc | 11/1/11 | 980.82 | 12/6/10 | 901.15 | | 79.67 | | |
| 1 | Toronto Dominion | 11/1/11 | 71.60 | 7/29/10 | 71.82 | | | (0.22) | |
| 11 | Unilever Plc | 11/1/11 | 362.67 | 7/29/10 | 314.80 | | | | 47.87 |
| 38 | United Health Group | 11/7/11 | 1,732.02 | 6/9/10 | 1,178.75 | | | | 553.27 |
| 3 | Ulta Salon Cosmetics | 11/1/11 | 199.78 | 10/18/11 | 206.19 | (6.41) | | | |
| 1 | Union Pacific Corp | 11/1/11 | 97.24 | 10/6/11 | 89.02 | | 8.22 | | |
| 3 | United Techs Corp | 11/1/11 | 223.97 | 4/24/09 | 147.42 | | | | 76.55 |
| 6 | Vermont Industries | 11/1/11 | 493.60 | 2/16/11 | 577.12 | (83.52) | | | |
| 14 | Verifone Systems Inc | 11/1/11 | 562.54 | 9/20/11 | 557.03 | | 5.51 | | |
| 28 | Wal Mart Stores Inc | 11/1/11 | 1,579.35 | 6/23/11 | 1,485.35 | | 94.00 | | |
| 2 | Watson Pharmaceuticals | 11/1/11 | 134.53 | 10/26/11 | 139.76 | (5.23) | | | |
| 113 | Wsm Digital Corp | 11/15/11 | 2,871.15 | 1/28/11 | 3,818.84 | (947.69) | | | |
| 43 | Xerox Corp | 11/1/11 | 341.84 | 6/30/08 | 585.75 | | | (243.91) | |
| 4 | Yum Brands Inc | 11/1/11 | 209.99 | 5/20/11 | 225.23 | (15.24) | | | |
| 6 | Wells Fargo & Co | 11/1/11 | 150.56 | 8/26/10 | 141.79 | | | | 8.77 |
| 2 | Weight Watchers Intl | 11/1/11 | 141.06 | 10/12/11 | 135.27 | | 5.79 | | |
| 5 | Wynn Resorts Ltd | 11/1/11 | 642.21 | 12/3/10 | 516.23 | | 125.98 | | |
| 42 | Diamond Offshore Drill | 11/30/11 | 2,523.85 | 6/2/10 | 2,646.48 | | | (122.63) | |
| 26 | Johnson & Johnson Co | 12/2/11 | 1,652.38 | 10/29/09 | 1,501.77 | | | | 150.61 |
| 19 | Kimberly Clark | 12/5/11 | 1,338.24 | 5/10/10 | 1,193.99 | | | | 144.25 |
| 56 | Microsoft Corp | 12/2/11 | 1,414.22 | 11/26/10 | 1,419.61 | | | (5.39) | |
| 20 | Pepsico Inc | 12/1/11 | 1,282.91 | 3/3/11 | 1,264.26 | | 18.65 | | |
| 24 | Procter & Gamble | 12/5/11 | 1,553.85 | various | 1,524.41 | | | | 29.44 |
| 94 | Ratheon Co | 12/5/11 | 4,275.17 | 5/23/11 | 4,655.77 | (380.60) | | | |
| 47 | 3M Co | 12/5/11 | 3,797.01 | 6/10/10 | 3,706.91 | | | | 90.10 |
| 36 | Ulta Salon Cosmetics | 12/27/11 | 2,349.24 | 10/28/11 | 2,558.08 | (208.84) | | | |
| 49 | Wal Mart Stores Inc | 12/5/11 | 2,854.72 | 6/23/11 | 2,599.37 | | 255.35 | | |
| | TOTALS | | 808,408.28 | | 738,887.46 | -34,708.77 | 42,440.39 | -8,483.85 | 66,471.05 |
| | Total Short Term Loss | | | | | | 7,733.62 | | |
| | Total Long Term Gain | | | | | | | | 58,987.20 |

SCHEDULE D SUPPORT