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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545 0052

2011

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning , 2011, and ending

Name of foundation THE FINDLAY FAMILY FOUNDATION		A Employer identification number 52-2388373
Number and street (or P O box number if mail is not delivered to street address) C/O D.B. FINDLAY, JR. 10 FATHER PETER'S LANE		B Telephone number (see the instructions) (203) 966-4617
City or town NEW CANAAN	State ZIP code CT 06840	C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 1,149,359.	J Accounting method ☒ Cash ☐ Accrual Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (att sch)					
2 Ck ☒ if the foundn is not req to att Sch B					
3 Interest on savings and temporary cash investments		7.	7.	7.	
4 Dividends and interest from securities		23,093.	23,093.	23,093.	
5a Gross rents					
b Net rental income or (loss)					
6a Net gain/(loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			49,666.		
8 Net short-term capital gain				206.	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		23,100.	72,766.	23,306.	
13 Compensation of officers, directors, trustees, etc					
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule) L-16a Stmt		5,432.			5,432.
b Accounting fees (attach sch)					
c Other prof fees (attach sch) L-16c Stmt		9,300.	9,300.	9,300.	
17 Interest					
18 Taxes (attach schedule) (see instrs) See Line 18 Stmt		1,800.	1,800.	1,800.	
19 Depreciation (attach sch) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) Bank Charges		12.	12.	12.	
24 Total operating and administrative expenses. Add lines 13 through 23		16,544.	11,112.	11,112.	5,432.
25 Contributions, gifts, grants paid		63,533.			63,533.
26 Total expenses and disbursements. Add lines 24 and 25		80,077.	11,112.	11,112.	68,965.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-56,977.			
b Net investment income (if negative, enter -0-)			61,654.		
c Adjusted net income (if negative, enter -0-)				12,194.	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash – non-interest-bearing	26,156.	36,317.	36,317.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)	1,336,607.	1,334,794.	1,113,042.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ▶			
L I A B I L I T I E S	Less accumulated depreciation (attach schedule) ▶			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	1,362,763.	1,371,111.	1,149,359.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
N E T A S S E T S	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)			
F U N D A S S E T B A L A N C E S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	1,362,763.	1,371,111.	
	30 Total net assets or fund balances (see instructions)	1,362,763.	1,371,111.	
	31 Total liabilities and net assets/fund balances (see instructions)	1,362,763.	1,371,111.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,362,763.
2 Enter amount from Part I, line 27a	2	-56,977.
3 Other increases not included in line 2 (itemize) ▶ See Other Increases Stmt	3	65,325.
4 Add lines 1, 2, and 3	4	1,371,111.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,371,111.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a Short Term Capital Gain (see attached)	P	Various	Various
b Long Term Capital Gain (see attached)	P	Various	Various
c Long Term Capital Gain Distribution	P	Various	Various
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 356,574.		356,368.	206.
b 335,664.		288,141.	47,523.
c 1,937.		0.	1,937.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a 0.	0.	0.	206.
b 0.	0.	0.	47,523.
c 0.	0.	0.	1,937.
d			
e			

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	49,666.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	— [If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8]	3	206.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

| Yes | No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	75,829.	1,245,062.	0.060904
2009	67,816.	1,190,092.	0.056984
2008	86,088.	1,564,571.	0.055023
2007	122,098.	2,015,015.	0.060594
2006	107,608.	1,913,815.	0.056227

2 Total of line 1, column (d)	2	0.289732
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.057946
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	1,300,163.
5 Multiply line 4 by line 3	5	75,339.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	617.
7 Add lines 5 and 6	7	75,956.
8 Enter qualifying distributions from Part XII, line 4	8	68,965.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1 1,233.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2 0.
3 Add lines 1 and 2		3 1,233.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5 1,233.
6 Credits/Payments		
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a	
b Exempt foreign organizations – tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d		7
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8 9.
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed		9 1,242.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10 0.
11 Enter the amount of line 10 to be. Credited to 2012 estimated tax ☐ Refunded ☐		11

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions)		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>David B. Findlay, Jr.</u> Telephone no <u>(203) 966-4617</u> Located at <u>10 Father Peter's Lane</u> <u>New Canaan</u> <u>CT</u> ZIP + 4 <u>06840</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country <u></u>			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If 'Yes' to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
David B. Findlay, Jr. 10 Father Peter's Lane New Canaan CT 06840	Director & President 0.25	0.	0.	0.
Harry Peter Findlay 79 Prospect Mountain Road Salisbury CT 06068	Director & Secy/Treas 0.25	0.	0.	0.
Kimberly Findlay Davis 5481 Greenbush Road Charlotte VT 05445	Director 0.25	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				
0				
0				
0				
0				

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,289,447.
b	Average of monthly cash balances	1b	30,515.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,319,962.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,319,962.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	19,799.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,300,163.
6	Minimum investment return. Enter 5% of line 5	6	65,008.

Part XII Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	65,008.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	1,233.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,233.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	63,775.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	63,775.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	63,775.

Part XIII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	68,965.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	68,965.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	68,965.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				63,775.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2011:				
a From 2006	14,349.			
b From 2007	25,951.			
c From 2008	8,099.			
d From 2009	8,681.			
e From 2010	14,362.			
f Total of lines 3a through e	71,442.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 68,965.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2011 distributable amount				63,775.
e Remaining amount distributed out of corpus	5,190.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	5,190.			
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	71,442.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	9,159.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	62,283.			
10 Analysis of line 9				
a Excess from 2007	25,951.			
b Excess from 2008	8,099.			
c Excess from 2009	8,681.			
d Excess from 2010	14,362.			
e Excess from 2011	5,190.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b** 85% of line 2a

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon.

- a 'Assets' alternative test – enter

- (1) Value of all assets**

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c 'Support' alternative test – enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

Part XV **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include.

- c Any submission deadlines.

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
The High Line 529 West 20 Street New York NY 10011		Paid	Community Support Grant	1,000.
Robin Hood Foundation 826 Broadway, 7th Floor New York NY 10003		Paid	Fighting Poverty Support Grant	1,000.
Salisbury Visiting Nurse Association 30A Salmon Kill Road Salisbury CT 06068		Paid	Medical Support Grant	200.
Tri-State Public Communications Main Street Sharon CT 81612		Paid	Medical Support Grant	200.
Pew Charitable Trust 1650 Market St Ste 1200 Philadelphia PA 19103		Paid	Foundation Support Grant	2,000.
Town of Salisbury Campaign for New Firehouse Salisbury CT 06068		Paid	Community Support Grant	500.
Connecticut Farmland Trust 77 Buckingham Street Harford CT 06106		Paid	Presevation of Farm Land Support Grant	250.
American Farmland Trust 1200 18th Street NW, Ste 800 Washington DC 20036		Paid	Presevation of Farm Land Support Grant	200.
The Buddy Program 110 E. Hallam St. Ste 125 Aspen CO 81611		Paid	Youth Development Support Grant	250.
See Line 3a statement				57,933.
Total			3a	63,533.
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	7.	
4	Dividends and interest from securities			14	23,093.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				23,100.	
13	Total. Add line 12, columns (b), (d), and (e)					23,100.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
US Treasury	373.	373.	373.	
Foreign Taxes Withheld	1,427.	1,427.	1,427.	
Total	<u>1,800.</u>	<u>1,800.</u>	<u>1,800.</u>	

Form 990-PF, Page 2, Part III, Line 3

Other Increases Stmt

Net Capital Gains on Sale of Assets	49,666.
Reinvestment/adjustments	15,659.
Total	<u>65,325.</u>

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person ☒ Business ☐ Joshua Findlay 5 Tulip Tree Mamaroneck NY 10543	Director 0.25	0.	0.	0.
Person ☒ Business ☐ Patricia Findlay 79 Prospect Mountain Road Salisbury CT 06068	Director 0.25	0.	0.	0.
Person ☒ Business ☐ Lee F. Potter 530 East 86th Street #12A New York NY 10028	Director 0.25	0.	0.	0.

Total

0. 0. 0.

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
Aspen Community Foundation 110 E. Hallam St. Ste 128 Aspen CO 81611		Paid	Community Improvements Support Grant	Person or ☐ Business ☐ 3,000.
The Little Guild of St. Francis St. Francis West Cornwall CT 06796		Paid	Animal Protection Support Grant	Person or ☐ Business ☐ 200.
City Harvest, Inc. 578 8th Avenue New York NY 10018		Paid Paid	Food Rescue and Distribution Support Grant	Person or ☐ Business ☐ 100.
Lakeview Hose Company P.O. Box 1969 Lakeville CT 06039		Paid	Public Safety Support Grant	Person or ☐ Business ☐ 200.
Salisbury Association 24 Main Street Salisbury CT 06068		Paid	Arts and Culture Support Grant	Person or ☐ Business ☐ 200.
Silver Hill Hospital 208 Valley Road New Canaan CT 06840		Paid	Medical Research Support Grant	Person or ☐ Business ☐ 5,000.
Berkshire Taconic 271 Main St Ste 3 Great Barrington MA 01230		Paid	Voluntarism Support Grant	Person or ☐ Business ☐ 3,000.
Womens Support Services P.O. Box 341 Sharon CT 06069		Paid	Human Services Support Grant	Person or ☐ Business ☐ 500.
Fresh Air Fund 633 Third Avenue, 14th Floor New York NY 10017		Paid	Youth Development Support Grant	Person or ☐ Business ☐ 100.
Salisbury Volunteer Ambulance P.O. Box 582 Salisbury CT 06068		Paid	Health; Ambulance Support Grant	Person or ☐ Business ☐ 300.
Touch the World 1 Maple Street Allendale NJ 10018		Paid	Educational Support Grant	Person or ☐ Business ☐ 200.
Northwest CT Owl P.O. Box 153 Lakeville CT 06039		Paid	Educational Support Grant	Person or ☐ Business ☐ 200.
Room to Read 111 Sutter Street San Francisco CA 94104		Paid	Educational Support Grant	Person or ☐ Business ☐ 500.
Prevent Cancer 1600 Duke Street Alexandria VA 22314		Paid	Medical Support Grant	Person or ☐ Business ☐ 500.
Salisbury Winter Sports Assoc. P.O. Box 196 Salisbury CT 06068		Paid	Recreational Support Grant	Person or ☐ Business ☐ 1,000.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
<u>Seeds of Peace</u>				Person or ☐
<u>370 Lexington Avenue</u>			<u>Youth Development</u>	Business ☐
<u>New York NY 10013</u>		<u>Paid</u>	<u>Support Grant</u>	<u>200.</u>
<u>Gabriella Foundation</u>				Person or ☐
<u>922 E. LaVerne Way</u>			<u>Voluntarism</u>	Business ☐
<u>Palm Springs CA 92264</u>		<u>Paid</u>	<u>Support Grant</u>	<u>1,000.</u>
<u>Housatonic Youth Services</u>				Person or ☐
<u>P.O. Box 356</u>			<u>Youth Development</u>	Business ☐
<u>Falls Village CT 06031</u>		<u>Paid</u>	<u>Support Grant</u>	<u>100.</u>
<u>Central Park Conservancy</u>				Person or ☐
<u>14 East 60</u>			<u>Conservation</u>	Business ☐
<u>New York NY 10022</u>		<u>Paid</u>	<u>Support Grant</u>	<u>200.</u>
<u>Salisbury School</u>				Person or ☐
<u>Rte 44</u>			<u>Educational</u>	Business ☐
<u>Salisbury CT 06068</u>		<u>Paid</u>	<u>Support Grant</u>	<u>2,000.</u>
<u>Scoville Memorial Library</u>				Person or ☐
<u>38 Main Street</u>			<u>Educational</u>	Business ☐
<u>Salisbury CT 06068</u>		<u>Paid</u>	<u>Support Grant</u>	<u>200.</u>
<u>The Aspen Institute</u>				Person or ☐
<u>1000 North Third Street</u>			<u>Arts and Culture</u>	Business ☐
<u>Aspen CO 81611</u>		<u>Paid</u>	<u>Support Grant</u>	<u>500.</u>
<u>Innocence Project, Inc.</u>				Person or ☐
<u>100 Fifth Ave. Third Floor</u>			<u>Legal Services</u>	Business ☐
<u>New York NY 10011</u>		<u>Paid</u>	<u>for individuals</u>	
			<u>Support Grant</u>	<u>2,000.</u>
<u>NW Center Family Services</u>				Person or ☐
<u>350 Main Street</u>			<u>Human Services</u>	Business ☐
<u>Lakeville CT 06039</u>		<u>Paid</u>	<u>Support Grant</u>	<u>200.</u>
<u>Housatonic Valley Association</u>				Person or ☐
<u>P.O. Box 28</u>			<u>Educational</u>	Business ☐
<u>Cornwall Bridge CT 06754</u>		<u>Paid</u>	<u>Support Grant</u>	<u>100.</u>
<u>Hospice of NW CT</u>				Person or ☐
<u>P.O. Box 625</u>			<u>Medical Services</u>	Business ☐
<u>Sharon CT 06069</u>		<u>Paid</u>	<u>Support Grant</u>	<u>500.</u>
<u>Salisbury Family Services</u>				Person or ☐
<u>P.O. Box 1868</u>			<u>Human Services</u>	Business ☐
<u>Lakeville CT 06039</u>		<u>Paid</u>	<u>Support Grant</u>	<u>200.</u>
<u>Aspen Public Radio (KAJX)</u>				Person or ☐
<u>110 E. Hallam, Ste 134</u>			<u>Information/educational</u>	Business ☐
<u>Aspen CO 81611</u>		<u>Paid</u>	<u>Support Grant</u>	<u>100.</u>
<u>Sloan Kettering Cancer</u>				Person or ☐
<u>1275 York Avenue</u>			<u>Medical Research</u>	Business ☐
<u>New York NY 10021</u>		<u>Paid</u>	<u>Support Grant</u>	<u>1,000.</u>
<u>Housatonic Child Care Center Inc</u>				Person or ☐
<u>30 Salmonkill Road</u>			<u>Child Care</u>	Business ☐
<u>Salisbury CT 06068</u>		<u>Paid</u>	<u>Support Grant</u>	<u>200.</u>

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
<u>Pathfinders</u>				Person or ☐
<u>275 Castle Creek Road</u>			<u>Youth Development</u>	Business ☐
<u>Aspen CO 81611</u>		<u>Paid</u>	<u>Support Grant</u>	200.
<u>Aloha Foundation</u>				Person or ☐
<u>2968 Lake Morey Road</u>			<u>Summer/Day Camps</u>	Business ☐
<u>Fairlee VT 05045</u>		<u>Paid</u>	<u>Support Grant</u>	100.
<u>NC Library</u>				Person or ☐
<u>151 Main Street</u>			<u>Educational</u>	Business ☐
<u>New Canaan CT 06840</u>		<u>Paid</u>	<u>Support Grant</u>	100.
<u>Cornell University</u>				Person or ☐
<u>377 Pine Tree Road</u>			<u>Educational</u>	Business ☐
<u>Ithaca NY 14850</u>		<u>Paid</u>	<u>Support Grant</u>	5,000.
<u>Deerfield Academy</u>				Person or ☐
<u>P.O. Box 87</u>			<u>Educational</u>	Business ☐
<u>Deerfield MA 01342</u>		<u>Paid</u>	<u>Support Grant</u>	8,500.
<u>Buckley School in the City of New York</u>			<u>Educational</u>	Person or ☐
<u>113 E 73RD Street</u>			<u>Support Grant</u>	Business ☐
<u>New York NY 10021</u>		<u>Paid</u>		1,000.
<u>Lockwood-Mathews Mansion Museum</u>			<u>Arts, Culture</u>	Person or ☐
<u>45 Holly road</u>			<u>Support Grant</u>	Business ☐
<u>New Canaan CT 06840</u>		<u>Paid</u>		5,000.
<u>New Canaan Preservation Alliance Inc</u>				Person or ☐
<u>P.O. Box 924</u>			<u>Arts, Culture</u>	Business ☐
<u>New Canaan CT 06840</u>		<u>Paid</u>	<u>Support Grant</u>	500.
<u>Hunger Free Vermont</u>				Person or ☐
<u>180 Flynn Avenue</u>			<u>Nutrition</u>	Business ☐
<u>Burlington VT 05401</u>		<u>Paid</u>	<u>Support Grant</u>	1,000.
<u>Nursing & Home Care</u>				Person or ☐
<u>P.O. Box 489</u>			<u>Health Care</u>	Business ☐
<u>Wilton CT 06897</u>		<u>Paid</u>	<u>Support Grant</u>	50.
<u>Prep for Prep</u>				Person or ☐
<u>328 W. 71st Street</u>			<u>Educational</u>	Business ☐
<u>New York NY 10023</u>		<u>Paid</u>	<u>Support Grant</u>	1,000.
<u>Rumsey Hall School Inc.</u>				Person or ☐
<u>201 Romford Road</u>			<u>Educational</u>	Business ☐
<u>Washington DT CT 06794</u>		<u>Paid</u>	<u>Support Grant</u>	1,000.
<u>Met Museum</u>				Person or ☐
<u>1000 Fifth Avenue</u>			<u>Arts, Culture</u>	Business ☐
<u>New York NY 10028</u>		<u>Paid</u>	<u>Support Grant</u>	8,733.
<u>Llan Lael Foundation</u>				Person or ☐
<u>930 Orchard Lane</u>			<u>Arts, Culture</u>	Business ☐
<u>Santa Ysabel CA 92070</u>		<u>Paid</u>	<u>Support Grant</u>	100.
<u>Trinity Church</u>				Person or ☐
<u>15 Sherwood Place</u>			<u>Religious</u>	Business ☐
<u>Greenwich CT 06830</u>		<u>Paid</u>	<u>Support Grant</u>	1,000.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
NC Land Cons Trust 107 Elm Street Stanford CT 06902		Paid	Conservation Support Grant	Person or ☐ Business ☐ 100.
NY Times Neediest 620 Eighth Ave, #16 New York NY 10018		Paid	Charitable Support Grant	Person or ☐ Business ☐ 100.
WNYC Box 1550 New York NY 10113		Paid	Support Grant	Person or ☐ Business ☐ 100.
New Canaan Community Foundation 111 Cherry Street New Canaan CT 06840		Paid	Community Support Support Grant	Person or ☐ Business ☐ 100.
Sankaty Head Foundation P.O. Box 875 Siasconset MA 02564		Paid	Medical Support Grant	Person or ☐ Business ☐ 50.
New Canaan Volunteer Ambulance Service 182 South Avenue New Canaan CT 06840		Paid	Medical Support Grant	Person or ☐ Business ☐ 100.
St. Lukes School 377 No Wilton Road New Canaan CT 06840		Paid	Educational Support Grant	Person or ☐ Business ☐ 100.
Waveny Care Network 3 Farm Road New Canaan CT 06840		Paid	Medical Support Grant	Person or ☐ Business ☐ 100.
New Canaan Nature Center 144 Oenoke Road New Canaan CT 06840		Paid	Educational Support Grant	Person or ☐ Business ☐ 100.
Spinx Head Society P.O. Box 876 Ithaca NY 14851		Paid	Medical Support Grant	Person or ☐ Business ☐ 50.
Carriage Barn Arts Center P.O. Box 1044 New Canaan CT 06840		Paid	Arts Support Grant	Person or ☐ Business ☐ 50.
Doctor's w/o Boards 333 7th Avenue New York NY 10001		Paid	Medical Support Grant	Person or ☐ Business ☐ 200.

Total

57,933.

Form 990-PF, Page 1, Part I

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Bryan Cave LLP	Legal/Tax preparation	5,432.			
Total		<u>5,432.</u>			

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Sanford C. Bernstein	Investment Expenses	9,300.			
Total		<u>9,300.</u>			

Supporting Statement of:

Form 990-PF, p2/Line 1(c)

Description	Amount
Bank of America	29,280.
Bernstein	7,037.
Total	<u>36,317.</u>

Capital Gains Report

FINDLAY FAMILY FOUNDATION (Account: 888-23738)

January 1, 2011 through December 31, 2011

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
SHORT-TERM NON-COVERED								
06/17/2010	01/13/2011	10	LEAR CORP	106.55	70.77	1,065.47	707.72	357.75
07/14/2010	01/14/2011	75	MICROSOFT CORP	28.05	25.41	2,103.97	1,905.94	198.03
10/21/2010	01/14/2011	40	SOUTHWESTERN ENERGY	39.34	34.41	1,573.66	1,376.50	197.16
02/16/2010	01/21/2011	15	MICROSOFT CORP	28.10	28.27	421.56	424.04	-2.48
03/04/2010	01/21/2011	40	MICROSOFT CORP	28.10	28.46	1,124.15	1,138.50	-14.35
05/06/2010	01/25/2011	55	CABLEVISION SYS CORP	34.36	25.27	1,889.72	1,388.77	499.95
08/05/2010	01/26/2011	13	CF INDUSTRIES HOLDINGS INC	132.13	86.16	1,717.70	1,120.05	597.65
09/09/2010	01/26/2011	17	CF INDUSTRIES HOLDINGS INC	132.13	94.41	2,246.22	1,604.98	641.24
03/17/2010	01/26/2011	75	GAP INC/THE	20.03	23.13	1,502.56	1,734.67	-232.11
10/25/2010	01/26/2011	60	NVIDIA CORP	24.66	11.91	1,479.77	714.73	765.04
10/21/2010	01/28/2011	30	SOUTHWESTERN ENERGY	39.24	34.41	1,177.33	1,032.37	144.96
05/11/2010	01/28/2011	15	TARGET CORP	54.46	58.49	816.86	847.40	-30.54
02/03/2010	02/01/2011	60	FORD MOTOR CO	16.15	11.53	968.92	691.96	276.96
03/04/2010	02/01/2011	30	MICROSOFT CORP	27.92	28.46	279.23	284.63	-5.40
04/06/2010	02/01/2011	50	MICROSOFT CORP	27.92	29.33	1,396.13	1,466.41	-70.28
08/05/2010	02/02/2011	30	**AGRIUM INC	92.03	67.95	2,760.96	2,038.58	722.38
11/12/2010	02/02/2011	10	**AGRIUM INC	92.03	81.62	920.32	816.18	104.14
11/16/2010	02/02/2011	10	**AGRIUM INC	92.03	77.63	920.32	776.29	144.03
04/20/2010	02/03/2011	250	SAFEWAY INC	20.93	26.38	5,233.08	6,594.85	-1,361.77
09/21/2010	02/07/2011	16	DEERE & CO	94.33	73.01	1,509.21	1,168.17	341.04
07/02/2010	02/09/2011	47	COMCAST CORP-CL A	23.54	17.44	1,106.56	819.91	286.65
04/23/2010	02/10/2011	10	FORD MOTOR CO	15.98	14.15	159.82	141.55	18.27
10/25/2010	02/10/2011	80	NVIDIA CORP	22.95	11.91	1,377.13	714.73	662.40
03/23/2010	02/14/2011	15	PEPSICO INC	63.28	66.53	949.18	997.90	-48.72
12/15/2010	02/14/2011	14	**POTASH CORP OF SASKATCHEWAN	190.12	139.43	2,661.68	1,952.00	709.68
09/21/2010	02/15/2011	9	DEERE & CO	93.45	73.01	841.04	657.09	183.95
09/29/2010	02/15/2011	9	DEERE & CO	93.45	72.04	841.04	648.32	192.72
10/25/2010	02/15/2011	45	NVIDIA CORP	22.75	11.91	1,023.81	536.05	487.76
09/29/2010	02/16/2011	6	DEERE & CO	95.61	72.04	573.66	432.22	141.44
10/01/2010	02/16/2011	15	DEERE & CO	95.61	68.74	1,434.14	1,031.05	403.09
10/22/2010	02/16/2011	9	DEERE & CO	95.61	77.00	860.48	693.03	167.45
01/24/2011	02/16/2011	10	NETFLIX INC	239.42	181.78	2,394.24	1,817.81	576.43
05/05/2010	02/17/2011	20	CAPITAL ONE FINANCIAL CORP	52.05	45.05	1,041.08	901.09	139.99
06/24/2010	02/17/2011	40	HONEYWELL INTERNATIONAL INC	57.42	41.06	2,296.86	1,642.47	654.39
07/14/2010	02/17/2011	10	MICROSOFT CORP	27.16	25.41	271.60	254.13	17.47
07/15/2010	02/17/2011	60	MICROSOFT CORP	27.16	25.28	1,629.62	1,516.99	112.63
09/01/2010	02/17/2011	40	MICROSOFT CORP	27.16	23.89	1,086.42	955.60	130.82
01/24/2011	02/17/2011	4	NETFLIX INC	235.64	181.78	942.54	727.12	215.42
01/26/2011	02/17/2011	1	NETFLIX INC	235.64	183.61	235.64	183.61	52.03
05/10/2010	02/18/2011	1	CHE GROUP INC	302.92	329.32	302.92	329.32	-26.40
01/26/2011	02/18/2011	4	NETFLIX INC	232.10	183.61	928.39	734.44	193.95
06/14/2010	02/22/2011	275	FIFTH THIRD BANCORP	14.63	13.60	4,024.54	3,738.85	285.69
08/09/2010	02/23/2011	21	PARKER HANNIFIN CORP	87.47	64.98	1,836.93	1,364.57	472.36
04/23/2010	02/24/2011	90	FORD MOTOR CO	14.63	14.15	1,316.98	1,273.94	43.04
04/28/2010	02/24/2011	5	FORD MOTOR CO	14.63	13.14	73.17	65.68	7.49
11/09/2010	02/25/2011	13	EATON CORP	107.04	93.17	1,391.55	1,211.19	180.36

Capital Gains Report

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
11/09/2010	02/25/2011	22	EATON CORP	107.04	93.17	2,354.94	2,049.71	305.23
11/26/2010	02/25/2011	6	EATON CORP	107.04	98.07	642.26	588.41	53.85
08/09/2010	02/25/2011	9	PARKER HANNIFIN CORP	88.92	64.98	800.29	584.81	215.48
08/17/2010	02/25/2011	1	PARKER HANNIFIN CORP	88.92	65.41	88.92	65.41	23.51
08/17/2010	02/25/2011	13	PARKER HANNIFIN CORP	88.92	65.41	1,155.98	850.34	305.64
05/20/2010	02/25/2011	20	VERTEX PHARMACEUTICALS INC	43.71	36.31	874.22	726.29	147.93
10/11/2010	02/25/2011	50	VERTEX PHARMACEUTICALS INC	43.71	34.92	2,185.56	1,745.90	439.66
05/10/2010	03/03/2011	3	CME GROUP INC	309.19	329.32	927.56	887.97	-60.41
10/22/2010	03/03/2011	11	DEERE & CO	92.67	77.00	1,019.35	847.03	172.32
03/15/2010	03/04/2011	120	DELTA AIR LINES INC	10.08	12.62	1,209.67	1,514.40	-304.73
04/20/2010	03/04/2011	35	FORD MOTOR CO	14.46	13.85	506.00	484.84	21.16
04/28/2010	03/04/2011	70	FORD MOTOR CO	14.46	13.14	1,011.99	919.55	92.44
05/10/2010	03/04/2011	100	FORD MOTOR CO	14.46	12.20	1,445.70	1,219.97	225.73
07/22/2010	03/04/2011	50	**VODAFONE GROUP PLC-SP ADR	29.24	22.74	1,462.05	1,136.80	325.25
11/19/2010	03/04/2011	65	**VODAFONE GROUP PLC-SP ADR	29.24	26.76	1,900.67	1,739.13	161.54
08/11/2010	03/07/2011	20	KIMBERLY-CLARK CORP	64.40	65.29	1,288.03	1,305.82	-17.79
03/23/2010	03/08/2011	30	ALTRIA GROUP INC	25.46	20.44	763.65	613.11	150.54
09/27/2010	03/08/2011	5	ALTRIA GROUP INC	25.46	24.05	127.28	120.26	7.02
05/05/2010	03/08/2011	20	CAPITAL ONE FINANCIAL CORP	49.23	45.05	984.61	901.09	83.52
08/30/2010	03/08/2011	15	CAPITAL ONE FINANCIAL CORP	49.23	38.21	738.45	573.08	165.37
06/10/2010	03/09/2011	3	OCCIDENTAL PETROLEUM CORP	101.53	82.53	304.59	247.58	57.01
08/17/2010	03/11/2011	11	PARKER HANNIFIN CORP	84.30	65.41	927.30	719.52	207.78
12/07/2010	03/11/2011	2	PARKER HANNIFIN CORP	84.30	85.28	168.60	170.56	-1.96
12/07/2010	03/11/2011	11	PARKER HANNIFIN CORP	84.30	85.28	927.30	938.07	-10.77
12/15/2010	03/11/2011	9	**POTASH CORP OF SASKATCHEWAN	54.26	46.48	488.32	418.29	70.03
12/16/2010	03/11/2011	8	**POTASH CORP OF SASKATCHEWAN	54.26	46.35	434.06	370.77	63.29
06/24/2010	03/14/2011	18	HONEYWELL INTERNATIONAL INC	55.51	41.06	999.23	739.12	260.11
04/07/2010	03/15/2011	10	GOODRICH CORP	82.75	70.40	827.54	704.03	123.51
04/20/2010	03/16/2011	13	NEWFIELD EXPLORATION CO	68.91	53.77	895.77	699.02	196.75
04/09/2010	03/17/2011	50	CISCO SYSTEMS INC	17.00	26.44	850.01	1,321.91	-471.90
07/19/2010	03/17/2011	45	CISCO SYSTEMS INC	17.00	22.84	765.01	1,027.67	-262.66
05/06/2010	03/17/2011	50	SARA LEE CORP	16.72	13.57	835.93	678.72	157.21
05/24/2010	03/17/2011	100	SARA LEE CORP	16.72	14.38	1,671.87	1,438.40	233.47
06/15/2010	03/17/2011	125	SARA LEE CORP	16.72	14.75	2,089.84	1,843.80	246.04
05/10/2010	03/18/2011	2	CME GROUP INC	286.43	329.32	572.86	658.64	-85.78
09/01/2010	03/18/2011	4	CME GROUP INC	286.43	253.40	1,145.71	1,013.59	132.12
10/28/2010	03/18/2011	3	CME GROUP INC	286.43	286.36	859.29	859.08	0.21
10/28/2010	03/18/2011	1	CME GROUP INC	286.43	286.36	286.43	286.36	0.07
03/25/2010	03/18/2011	145	DELTA AIR LINES INC	10.23	14.29	1,483.12	2,072.73	-589.61
11/05/2010	03/18/2011	25	DELTA AIR LINES INC	10.23	14.42	255.71	360.49	-104.78
05/12/2010	03/21/2011	15	COSTCO WHOLESALE CORP	70.90	57.86	1,063.48	867.95	195.53
12/13/2010	03/22/2011	40	CONSTELLATION ENERGY GROUP	30.63	28.10	1,225.22	1,124.11	101.11
05/12/2010	03/23/2011	7	**ALCON INC	164.30	151.50	1,150.10	1,060.52	89.58
09/01/2010	03/24/2011	25	KOHL'S CORP	52.62	48.28	1,315.45	1,207.05	108.40
06/10/2010	03/24/2011	8	OCCIDENTAL PETROLEUM CORP	99.80	82.53	798.41	660.21	138.20
07/09/2010	03/24/2011	5	OCCIDENTAL PETROLEUM CORP	99.80	81.16	499.01	405.81	93.20
09/01/2010	03/25/2011	20	KOHL'S CORP	53.44	48.28	1,068.73	965.64	103.09
10/04/2010	03/25/2011	5	KOHL'S CORP	53.44	52.37	267.18	261.87	5.31
02/02/2011	03/28/2011	40	EMERSON ELECTRIC CO	57.50	59.83	2,300.05	2,393.26	-93.21

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
02/09/2011	03/28/2011	20	EMERSON ELECTRIC CO	57.50	60.95	1,150.02	1,219.01	-68.99
02/15/2011	03/28/2011	25	EMERSON ELECTRIC CO	57.50	61.53	1,437.53	1,538.29	-100.76
02/28/2011	03/28/2011	20	EMERSON ELECTRIC CO	57.50	60.13	1,150.02	1,202.70	-52.68
08/30/2010	03/29/2011	40	CAPITAL ONE FINANCIAL CORP	51.47	38.20	2,058.80	1,528.20	530.60
11/01/2010	03/30/2011	25	***CARNIVAL CORP	38.57	42.89	964.33	1,072.16	-107.83
12/23/2010	03/30/2011	20	***CARNIVAL CORP	38.57	46.25	771.47	924.91	-153.44
02/17/2011	03/30/2011	55	***CARNIVAL CORP	38.57	46.32	2,121.54	2,547.43	-425.89
03/15/2011	03/30/2011	10	***CARNIVAL CORP	38.57	38.86	385.73	388.58	-2.85
07/19/2010	04/04/2011	5	CISCO SYSTEMS INC	17.04	22.84	85.21	114.18	-28.97
10/08/2010	04/04/2011	50	CISCO SYSTEMS INC	17.04	22.42	852.13	1,120.78	-268.65
02/18/2011	04/04/2011	25	MCKESSON CORP	79.27	80.57	1,981.78	2,014.23	-32.45
05/07/2010	04/05/2011	70	COMERICA INC	37.03	40.26	2,591.79	2,818.37	-226.58
06/02/2010	04/05/2011	20	KOHL'S CORP	54.51	50.96	1,090.12	1,019.12	71.00
11/26/2010	04/07/2011	18	EATON CORP	54.99	49.03	989.79	882.61	107.18
12/06/2010	04/07/2011	20	EATON CORP	54.99	49.83	1,099.77	996.69	103.08
06/24/2010	04/07/2011	12	HONEYWELL INTERNATIONAL INC	58.70	41.06	704.43	492.74	211.69
11/30/2010	04/07/2011	20	HONEYWELL INTERNATIONAL INC	58.70	49.91	1,174.04	998.19	175.85
03/15/2011	04/12/2011	25	***CARNIVAL CORP	38.60	38.86	965.04	971.45	-6.41
10/04/2010	04/12/2011	60	KOHL'S CORP	54.38	52.37	3,263.00	3,142.48	120.52
08/11/2010	04/13/2011	40	KIMBERLY-CLARK CORP	65.23	65.29	2,609.01	2,611.63	-2.62
11/09/2010	04/13/2011	40	LIMITED BRANDS INC	37.77	31.60	1,510.79	1,263.83	246.96
01/03/2011	04/14/2011	20	AON CORP	52.19	46.18	1,043.79	923.61	120.18
01/03/2011	04/14/2011	25	AON CORP	52.19	46.18	1,304.74	1,154.52	150.22
11/30/2010	04/14/2011	10	HONEYWELL INTERNATIONAL INC	57.05	49.91	570.45	499.09	71.36
01/31/2011	04/14/2011	25	HONEYWELL INTERNATIONAL INC	57.05	55.52	1,426.14	1,387.94	38.20
05/07/2010	04/19/2011	25	DIRECTV-CLASS A	45.97	35.60	1,149.23	889.94	259.29
02/11/2011	04/20/2011	45	AON CORP	52.14	49.98	2,346.34	2,249.27	97.07
02/18/2011	04/20/2011	15	AON CORP	52.14	52.81	782.11	789.18	-7.07
01/20/2011	04/21/2011	15	ROCKWELL AUTOMATION INC	95.09	74.75	1,426.28	1,121.25	305.03
03/10/2011	04/21/2011	21	***TEVA PHARMACEUTICAL-SP ADR	45.97	49.54	965.47	1,040.41	-74.94
12/14/2010	04/25/2011	35	ROVI CORP	48.96	56.71	1,713.66	1,984.76	-271.10
01/12/2011	04/25/2011	15	ROVI CORP	48.96	63.97	734.43	959.51	-225.08
12/13/2010	04/26/2011	65	CONSTELLATION ENERGY GROUP	32.72	28.10	2,126.92	1,826.67	300.25
05/07/2010	04/26/2011	25	DIRECTV-CLASS A	47.21	35.60	1,180.32	889.94	290.38
12/01/2010	05/04/2011	50	EDISON INTERNATIONAL	39.11	37.43	1,955.60	1,871.71	83.89
10/21/2010	05/04/2011	20	SOUTHWESTERN ENERGY	42.53	34.41	850.69	688.25	162.44
11/24/2010	05/04/2011	5	SOUTHWESTERN ENERGY	42.53	36.77	212.67	183.86	28.81
01/25/2011	05/05/2011	45	JUNIPER NETWORKS INC	37.68	34.87	1,695.44	1,568.97	126.47
05/10/2010	05/06/2011	1	LOWE'S COS INC	25.70	26.73	25.70	26.73	-1.03
06/17/2010	05/09/2011	30	LEAR CORP	51.57	35.39	1,547.20	1,061.58	485.62
11/19/2010	05/11/2011	25	ALLERGAN INC	81.39	68.51	2,034.70	1,712.79	321.91
07/16/2010	05/12/2011	13	GOLDMAN SACHS GROUP INC	141.93	150.08	1,845.07	1,951.06	-105.99
07/23/2010	05/12/2011	3	GOLDMAN SACHS GROUP INC	141.93	147.00	425.79	441.01	-15.22
06/29/2010	05/12/2011	25	JOHNSON & JOHNSON	66.45	59.10	1,661.30	1,477.56	183.74
02/15/2011	05/16/2011	50	ARCHER-DANIELS-MIDLAND CO	32.17	36.32	1,608.66	1,815.97	-207.31
12/22/2010	05/18/2011	85	DOW CHEMICAL	36.88	34.15	3,135.17	2,902.92	232.25
06/08/2010	05/20/2011	30	DOW CHEMICAL	36.42	24.37	1,092.50	731.11	361.39
12/01/2010	05/20/2011	25	EDISON INTERNATIONAL	39.51	37.43	987.67	935.86	51.81
03/08/2011	05/20/2011	30	EDISON INTERNATIONAL	39.51	37.82	1,185.20	1,134.50	50.70

Capital Gains Report

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
07/23/2010	05/23/2011	9	GOLDMAN SACHS GROUP INC	135.76	147.00	1,221.83	1,323.03	-101.20
07/27/2010	05/23/2011	5	GOLDMAN SACHS GROUP INC	135.76	147.77	678.80	738.85	-60.05
07/30/2010	05/23/2011	1	GOLDMAN SACHS GROUP INC	135.76	152.74	135.76	152.74	-16.98
02/18/2011	05/26/2011	20	AON CORP	51.87	52.61	1,037.37	1,052.23	-14.86
01/18/2011	05/26/2011	30	CAMERON INTERNATIONAL CORP	47.76	51.84	1,432.82	1,555.12	-122.30
10/01/2010	05/27/2011	50	MORGAN STANLEY	23.60	24.87	1,179.89	1,243.43	-63.54
09/02/2010	05/31/2011	150	DELL INC	16.02	12.27	2,402.27	1,841.03	561.24
03/30/2011	05/31/2011	50	TIME WARNER INC	36.41	35.58	1,820.64	1,778.96	41.68
07/22/2010	06/01/2011	135	COMMERCIAL METALS CO	14.73	13.84	1,988.56	1,868.93	119.63
02/25/2011	06/10/2011	20	COMCAST CORP-CL A	23.94	25.06	478.87	501.28	-22.41
07/30/2010	06/10/2011	9	GOLDMAN SACHS GROUP INC	133.97	152.73	1,205.76	1,374.61	-168.85
08/25/2010	06/10/2011	1	GOLDMAN SACHS GROUP INC	133.97	143.63	133.97	143.63	-9.66
11/24/2010	06/10/2011	35	SOUTHWESTERN ENERGY	42.27	36.77	1,479.59	1,287.00	192.59
03/11/2011	06/15/2011	40	DTE ENERGY COMPANY	49.38	48.86	1,975.29	1,954.42	20.87
03/14/2011	06/15/2011	5	DTE ENERGY COMPANY	49.38	48.40	246.91	242.00	4.91
09/29/2010	06/15/2011	20	NEWFIELD EXPLORATION CO	64.77	57.37	1,295.31	1,147.44	147.87
03/14/2011	06/17/2011	275	SPRINT NEXTEL CORP	5.10	5.02	1,403.79	1,380.61	23.18
10/18/2010	06/20/2011	8	HUNTINGTON INGALLS INDUST-WI	36.00	35.84	288.01	286.73	1.28
10/27/2010	06/20/2011	3	HUNTINGTON INGALLS INDUST-WI	36.00	37.96	108.00	113.89	-5.89
12/09/2010	06/20/2011	9	HUNTINGTON INGALLS INDUST-WI	36.00	33.05	324.01	297.45	26.56
12/07/2010	06/20/2011	22	PARKER HANNIFIN CORP	85.02	85.28	1,870.44	1,876.13	-5.69
10/05/2010	06/27/2011	20	**ACCENTURE PLC-CL A	57.35	44.58	1,147.05	891.68	255.37
03/08/2011	06/29/2011	30	MOTOROLA SOLUTIONS INC	46.08	41.30	1,382.37	1,238.96	143.41
01/11/2011	06/29/2011	100	STEEL DYNAMICS INC	16.37	18.79	1,636.76	1,878.72	-241.96
03/08/2011	06/30/2011	25	MOTOROLA SOLUTIONS INC	46.04	41.30	1,150.94	1,032.47	118.47
03/11/2011	06/30/2011	15	MOTOROLA SOLUTIONS INC	46.04	40.86	690.57	612.83	77.74
01/14/2011	07/07/2011	40	RELANCE STEEL & ALUMINUM	49.64	53.29	1,985.42	2,131.45	-146.03
06/07/2011	07/14/2011	50	**ENSCO PLC- SPON ADR	51.57	55.47	2,578.50	2,773.71	-195.21
03/11/2011	07/14/2011	20	MOTOROLA SOLUTIONS INC	44.11	40.85	882.20	817.10	65.10
05/23/2011	07/14/2011	25	MOTOROLA SOLUTIONS INC	44.11	46.13	1,102.74	1,153.13	-50.39
03/07/2011	07/15/2011	75	AT&T INC	30.40	28.00	2,280.00	2,099.90	180.10
03/14/2011	07/19/2011	150	SPRINT NEXTEL CORP	5.25	5.02	787.65	753.06	34.59
04/15/2011	07/19/2011	50	SPRINT NEXTEL CORP	5.25	4.85	262.55	242.37	20.18
03/08/2011	07/21/2011	95	AT&T INC	30.30	28.52	2,878.67	2,709.54	169.13
03/09/2011	07/21/2011	30	AT&T INC	30.30	28.73	909.06	861.79	47.27
09/29/2010	07/21/2011	75	DELL INC	17.25	12.87	1,293.75	965.32	328.43
10/05/2010	07/21/2011	75	DELL INC	17.25	13.40	1,293.75	1,005.06	288.69
09/28/2010	07/21/2011	25	EXPRESS SCRIPTS INC	55.46	48.85	1,386.58	1,221.24	165.34
12/09/2010	07/21/2011	10	EXPRESS SCRIPTS INC	55.46	54.13	554.63	541.30	13.33
03/21/2011	07/26/2011	25	LOWE'S COS INC	22.74	26.32	568.61	657.93	-89.32
11/24/2010	07/26/2011	25	SOUTHWESTERN ENERGY	48.39	36.77	1,209.63	919.28	290.35
03/09/2011	07/28/2011	25	AT&T INC	29.32	28.73	732.90	718.16	14.74
03/30/2011	07/28/2011	50	AT&T INC	29.32	30.78	1,465.80	1,539.14	-73.34
04/06/2011	07/28/2011	50	AT&T INC	29.32	30.39	1,465.81	1,519.40	-53.59
04/08/2011	07/28/2011	15	AT&T INC	29.32	30.55	439.74	458.19	-18.45
08/18/2010	07/28/2011	42	DEVON ENERGY CORPORATION	80.54	63.02	3,382.64	2,646.83	735.81
05/04/2011	07/28/2011	45	NETAPP INC	48.12	51.25	2,165.61	2,306.03	-140.42
04/15/2011	07/29/2011	275	SPRINT NEXTEL CORP	4.20	4.85	1,154.09	1,333.04	-178.95
08/11/2010	08/02/2011	10	GOODRICH CORP	93.79	72.36	937.90	723.65	214.25

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
12/09/2010	08/02/2011	95	LOWE'S COS INC	20.82	25.13	1,977.84	2,387.21	-409.37
03/30/2011	08/03/2011	65	HCA HOLDINGS INC	23.85	34.04	1,550.26	2,122.48	-662.22
05/23/2011	08/03/2011	40	HCA HOLDINGS INC	23.85	34.46	954.01	1,378.56	-424.55
08/09/2010	08/03/2011	20	MONSANTO CO	70.68	59.44	1,413.60	1,188.73	224.87
05/27/2011	08/03/2011	20	NETAPP INC	45.74	55.21	914.76	1,104.27	-189.51
11/05/2010	08/03/2011	2	OCCIDENTAL PETROLEUM CORP	93.55	84.49	187.10	168.98	18.12
03/08/2011	08/04/2011	60	RAYTHEON COMPANY	42.05	52.02	2,523.11	3,121.37	-598.26
12/27/2010	08/09/2011	25	DEVON ENERGY CORPORATION	66.83	76.94	1,670.78	1,923.44	-252.66
05/24/2011	08/09/2011	250	SPRINT NEXTEL CORP	3.20	5.75	800.85	1,437.83	-636.98
02/22/2011	08/09/2011	85	TESORO CORPORATION	19.33	23.80	1,642.92	2,023.24	-380.32
08/19/2010	08/10/2011	35	HESS CORP	55.24	52.25	1,933.25	1,828.91	104.34
11/17/2010	08/10/2011	15	HESS CORP	55.24	68.28	828.54	1,024.27	-195.73
05/20/2011	08/10/2011	30	HESS CORP	55.24	77.77	1,657.07	2,333.10	-676.03
10/11/2010	08/11/2011	65	NEWS CORP	16.20	13.83	1,052.70	898.87	153.83
11/05/2010	08/11/2011	13	OCCIDENTAL PETROLEUM CORP	84.64	84.49	1,100.37	1,098.37	2.00
04/11/2011	08/11/2011	2	OCCIDENTAL PETROLEUM CORP	84.64	101.58	169.29	203.16	-33.87
04/11/2011	08/11/2011	11	OCCIDENTAL PETROLEUM CORP	84.64	101.58	931.09	1,117.37	-186.28
02/18/2011	08/15/2011	75	***NABORS INDUSTRIES LTD	19.96	27.90	1,497.29	2,092.19	-594.90
03/24/2011	08/15/2011	70	***NABORS INDUSTRIES LTD	19.96	28.46	1,397.48	1,992.06	-594.58
02/08/2011	08/16/2011	55	RIVERBED TECHNOLOGY INC	25.15	38.46	1,383.26	2,115.26	-732.00
02/16/2011	08/16/2011	10	RIVERBED TECHNOLOGY INC	25.15	41.89	251.50	418.94	-167.44
03/08/2011	08/17/2011	20	RAYTHEON COMPANY	41.31	52.02	826.24	1,040.45	-214.21
05/10/2011	08/17/2011	25	RAYTHEON COMPANY	41.31	49.55	1,032.80	1,238.77	-205.97
01/04/2011	08/23/2011	76	DOW CHEMICAL	26.00	34.77	1,976.20	2,642.27	-666.07
01/28/2011	08/23/2011	25	DOW CHEMICAL	26.00	35.25	650.07	881.30	-231.23
02/04/2011	08/23/2011	25	DOW CHEMICAL	26.00	36.94	650.06	923.60	-273.54
09/03/2010	08/30/2011	25	STARBUCKS CORP	38.55	24.91	963.81	622.85	340.96
10/11/2010	09/02/2011	110	NEWS CORP	16.46	13.83	1,810.07	1,521.17	288.90
04/11/2011	09/12/2011	9	OCCIDENTAL PETROLEUM CORP	79.17	101.58	712.57	914.22	-201.65
04/25/2011	09/12/2011	3	OCCIDENTAL PETROLEUM CORP	79.17	100.20	237.52	300.60	-63.08
12/30/2010	09/12/2011	35	STANLEY BLACK & DECKER INC	53.51	67.21	1,872.93	2,352.42	-479.49
09/21/2010	09/14/2011	1	GOLDMAN SACHS GROUP INC	104.87	152.98	104.87	152.98	-48.11
06/17/2011	09/19/2011	25	UNITEDHEALTH GROUP INC	49.70	50.09	1,242.51	1,252.24	-9.73
10/05/2010	09/21/2011	20	***ACCENTURE PLC-CL A	54.36	44.58	1,087.17	891.67	195.50
03/14/2011	09/22/2011	35	PROCTER & GAMBLE CO	60.58	60.97	2,120.27	2,134.11	-13.84
11/05/2010	09/26/2011	50	DELTA AIR LINES INC	8.30	14.42	414.85	720.98	-306.13
11/12/2010	09/26/2011	75	DELTA AIR LINES INC	8.30	13.52	622.28	1,014.00	-391.72
03/31/2011	09/28/2011	25	STANLEY BLACK & DECKER INC	52.51	76.54	1,312.79	1,913.40	-600.61
05/06/2011	09/28/2011	15	STANLEY BLACK & DECKER INC	52.51	74.14	787.67	1,112.15	-324.48
11/09/2010	09/30/2011	125	ALCOA INC	9.80	14.05	1,224.58	1,756.03	-531.45
06/24/2011	09/30/2011	20	ANADARKO PETROLEUM CORP	64.82	72.17	1,296.36	1,443.37	-147.01
07/01/2011	09/30/2011	15	ANADARKO PETROLEUM CORP	64.82	77.54	972.27	1,163.03	-190.76
11/09/2010	09/30/2011	25	LIMITED BRANDS INC	38.79	31.60	969.64	789.89	179.75
04/25/2011	10/04/2011	12	OCCIDENTAL PETROLEUM CORP	68.67	100.20	823.99	1,202.42	-378.43
12/03/2010	10/06/2011	150	ALCOA INC	9.86	14.18	1,479.38	2,127.50	-648.12
09/13/2011	10/10/2011	40	***BUNGE LTD	56.46	62.00	2,258.52	2,480.16	-221.64
11/09/2010	10/12/2011	20	LIMITED BRANDS INC	42.26	31.60	845.21	631.91	213.30
11/22/2010	10/12/2011	5	LIMITED BRANDS INC	42.26	33.05	211.30	165.27	46.03
01/25/2011	10/19/2011	40	JUNIPER NETWORKS INC	20.86	34.87	834.55	1,394.64	-560.09

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
02/25/2011	10/19/2011	20	JUNIPER NETWORKS INC	20.86	43.85	417.28	877.04	-459.76
07/25/2011	10/19/2011	13	UNITED TECHNOLOGIES CORP	73.68	87.66	957.90	1,139.61	-181.71
07/27/2011	10/19/2011	17	UNITED TECHNOLOGIES CORP	73.68	84.48	1,252.84	1,436.12	-183.48
02/16/2011	10/21/2011	20	RIVERBED TECHNOLOGY INC	24.36	41.89	487.26	837.88	-350.62
04/05/2011	10/21/2011	40	RIVERBED TECHNOLOGY INC	24.36	34.62	974.52	1,384.67	-410.15
04/28/2011	10/21/2011	35	RIVERBED TECHNOLOGY INC	24.36	34.84	852.70	1,219.56	-366.86
10/27/2010	10/25/2011	5	NORTHROP GRUMMAN CORP	56.34	55.65	281.71	278.26	3.45
01/13/2011	10/26/2011	25	DIRECTV-CLASS A	46.61	42.19	1,165.24	1,054.66	110.58
04/15/2011	10/27/2011	45	WELLS FARGO & COMPANY	27.17	30.24	1,222.58	1,360.62	-138.04
02/11/2011	10/28/2011	15	BORGWARNER INC	76.00	76.59	1,140.05	1,148.82	-8.77
11/22/2010	10/31/2011	25	LIMITED BRANDS INC	43.20	33.06	1,080.00	826.38	253.62
03/31/2011	11/01/2011	25	PROCTER & GAMBLE CO	63.04	61.96	1,575.91	1,549.02	26.89
07/21/2011	11/10/2011	45	ARUBA NETWORKS INC	23.13	24.29	1,040.83	1,093.05	-52.22
07/26/2011	11/10/2011	5	ARUBA NETWORKS INC	23.13	24.74	115.65	123.69	-8.04
03/01/2011	11/10/2011	24	CELGENE CORP	64.32	53.29	1,543.74	1,278.93	264.81
01/31/2011	11/10/2011	20	ROVI CORP	28.38	62.13	567.63	1,242.61	-674.98
02/17/2011	11/10/2011	15	ROVI CORP	28.38	59.69	425.72	895.31	-469.59
06/16/2011	11/10/2011	20	ROVI CORP	28.38	53.17	567.62	1,063.50	-495.88
10/04/2011	11/10/2011	25	ROVI CORP	28.38	40.84	709.53	1,016.12	-306.59
08/22/2011	11/11/2011	55	LAS VEGAS SANDS CORP	45.24	40.73	2,488.43	2,239.96	248.47
07/26/2011	11/16/2011	50	ARUBA NETWORKS INC	24.17	24.74	1,208.56	1,236.87	-28.31
04/15/2011	11/25/2011	20	PROCTER & GAMBLE CO	61.50	63.84	1,230.05	1,276.79	-46.74
12/16/2010	11/28/2011	20	INTUIT INC	50.89	48.75	1,017.80	975.00	42.80
12/27/2010	12/01/2011	15	DEVON ENERGY CORPORATION	64.87	76.94	973.09	1,154.07	-180.98
04/13/2011	12/05/2011	40	CONOCOPHILLIPS	72.68	77.48	2,907.06	3,099.18	-192.12
05/04/2011	12/05/2011	15	CONOCOPHILLIPS	72.68	72.69	1,090.15	1,090.36	-0.21
06/07/2011	12/05/2011	35	CONOCOPHILLIPS	72.68	71.60	2,543.67	2,505.87	37.80
03/07/2011	12/05/2011	30	WELLPOINT INC	69.15	67.84	2,074.63	2,035.21	39.42
05/05/2011	12/08/2011	35	COMCAST CORP-CL A	22.71	25.86	794.68	905.20	-110.52
03/25/2011	12/13/2011	20	CELGENE CORP	64.00	55.48	1,279.92	1,109.60	170.32
02/02/2011	12/14/2011	15	ALLERGAN INC	82.50	71.03	1,237.57	1,065.48	172.09
06/07/2011	12/14/2011	15	ANADARKO PETROLEUM CORP	73.74	75.46	1,106.06	1,131.97	-25.91
11/02/2011	12/14/2011	18	BOEING CO	70.00	64.42	1,259.92	1,159.55	100.37
05/20/2011	12/14/2011	40	COVIDIEN PLC	42.12	56.89	1,684.90	2,275.44	-590.54
06/07/2011	12/14/2011	20	CONOCOPHILLIPS	68.28	71.60	1,365.55	1,431.92	-66.37
07/15/2011	12/14/2011	25	CONOCOPHILLIPS	68.28	76.44	1,706.93	1,910.97	-204.04
07/22/2011	12/14/2011	5	CONOCOPHILLIPS	68.28	75.45	341.39	377.26	-35.87
09/21/2011	12/14/2011	25	DOLLAR GENERAL CORP	40.87	37.40	1,021.66	934.94	86.72
05/26/2011	12/14/2011	25	FMC TECHNOLOGIES INC	47.69	43.73	1,192.20	1,093.24	98.96
12/16/2010	12/14/2011	20	INTUIT INC	51.97	48.75	1,039.43	975.00	64.43
10/04/2011	12/14/2011	40	LAS VEGAS SANDS CORP	40.99	36.71	1,639.74	1,468.52	171.22
01/04/2011	12/14/2011	20	LIMITED BRANDS INC	39.35	30.06	787.01	601.21	185.80
02/02/2011	12/14/2011	10	HONSANTO CO	67.59	75.38	675.92	753.83	-77.91
03/03/2011	12/14/2011	50	METLIFE INC	30.70	44.82	1,534.77	2,241.18	-706.41
03/07/2011	12/14/2011	45	METLIFE INC	30.70	45.90	1,381.29	2,065.31	-684.02
04/13/2011	12/14/2011	25	METLIFE INC	30.70	44.39	767.38	1,109.80	-342.42
04/25/2011	12/14/2011	15	METLIFE INC	30.70	44.18	460.43	662.72	-202.29
04/25/2011	12/14/2011	5	METLIFE INC	30.70	44.18	153.48	220.91	-67.43
01/20/2011	12/14/2011	15	ROCKWELL AUTOMATION INC	71.97	74.75	1,079.54	1,121.25	-41.71

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02/15/2011	12/14/2011	5	ROCKWELL AUTOMATION INC	71.97	88.61	359.85	443.05	-83.20
05/11/2011	12/14/2011	35	RIVERBED TECHNOLOGY INC	23.89	35.93	836.28	1,257.38	-421.10
05/23/2011	12/14/2011	30	RIVERBED TECHNOLOGY INC	23.89	37.70	716.82	1,130.96	-414.14
02/17/2011	12/21/2011	5	***ACCENTURE PLC-CL A	51.49	54.13	257.43	270.65	-13.22
02/11/2011	12/21/2011	15	BORGWARNER INC	63.96	76.59	959.37	1,148.82	-189.45
02/03/2011	12/21/2011	25	LOWE'S COS INC	25.64	24.52	640.91	613.11	27.80
06/17/2011	12/21/2011	55	UNITEDHEALTH GROUP INC	49.90	50.09	2,744.69	2,754.91	-10.22
09/22/2011	12/23/2011	30	PEPSICO INC	66.63	60.25	1,999.01	1,807.41	191.60
01/27/2011	12/29/2011	25	QUALCOMM INC	54.61	54.96	1,365.29	1,374.00	-8.71
SUBTOTAL FOR SHORT-TERM NON-COVERED						356,573.77	356,368.19	205.58
LONG-TERM NON-COVERED								
08/05/2009	01/03/2011	6	FREEMPORT-MCMORAN COPPER	122.01	64.44	732.04	386.64	345.40
08/14/2009	01/03/2011	3	FREEMPORT-MCMORAN COPPER	122.01	64.86	366.02	194.58	171.44
07/27/2009	01/05/2011	7	***ALCON INC	163.15	128.69	1,142.04	900.80	241.24
06/22/2009	01/07/2011	10	AT&T INC	28.79	24.04	287.89	240.43	47.46
06/24/2009	01/07/2011	75	AT&T INC	28.79	24.81	2,159.21	1,861.08	298.13
07/20/2009	01/07/2011	50	AT&T INC	28.79	24.23	1,439.47	1,211.49	227.98
07/27/2009	01/10/2011	3	***ALCON INC	162.50	128.69	487.50	386.06	101.44
08/04/2009	01/10/2011	9	***ALCON INC	162.50	131.32	1,462.49	1,181.92	280.57
07/08/2009	01/12/2011	105	***XL GROUP PLC	22.27	11.04	2,338.12	1,159.28	1,178.84
08/04/2009	01/14/2011	3	***ALCON INC	163.05	131.32	489.15	393.97	95.18
09/24/2009	01/14/2011	8	***ALCON INC	163.05	140.27	1,304.39	1,122.13	182.26
09/28/2009	01/14/2011	10	***ALCON INC	163.05	141.63	1,630.49	1,416.35	214.14
10/19/2009	01/14/2011	1	***ALCON INC	163.05	146.58	163.05	146.58	16.47
07/29/2009	01/19/2011	60	EMC CORP/MASS	24.18	15.14	1,450.77	908.66	542.11
09/18/2009	01/19/2011	30	EMC CORP/MASS	24.18	17.01	725.39	510.37	215.02
09/06/2006	01/19/2011	35	GILEAD SCIENCES INC	38.18	30.95	1,336.30	1,083.27	253.03
11/02/2006	01/19/2011	55	GILEAD SCIENCES INC	38.18	33.67	2,099.90	1,851.69	248.21
08/14/2009	01/20/2011	8	FREEMPORT-MCMORAN COPPER	109.80	64.86	878.38	518.88	359.50
12/14/2005	01/24/2011	1	GOOGLE INC-CL A	607.63	417.07	607.63	417.07	190.56
08/09/2006	01/24/2011	4	GOOGLE INC-CL A	607.63	382.26	2,430.54	1,529.04	901.50
08/14/2009	01/25/2011	9	FREEMPORT-MCMORAN COPPER	105.46	64.86	949.11	583.73	365.38
05/13/2008	01/28/2011	2	CME GROUP INC	305.19	464.74	610.37	929.49	-319.12
06/05/2008	01/28/2011	5	CME GROUP INC	305.19	389.22	1,525.94	1,946.10	-420.16
11/10/2008	01/28/2011	2	CME GROUP INC	305.19	263.63	610.37	527.25	83.12
10/20/2009	01/28/2011	13	TARGET CORP	54.46	49.95	707.95	649.38	58.57
10/19/2009	01/31/2011	9	***ALCON INC	163.30	146.58	1,469.71	1,319.25	150.46
10/30/2009	01/31/2011	2	***ALCON INC	163.30	143.76	326.60	287.52	39.08
10/09/2009	01/31/2011	22	***COOPER INDUSTRIES PLC	61.36	39.14	1,349.99	861.12	488.87
01/23/2009	02/07/2011	30	KOHL'S CORP	51.77	37.63	1,553.23	1,128.93	424.30
10/30/2009	02/10/2011	8	***ALCON INC	164.15	143.76	1,313.18	1,150.09	163.09
12/23/2009	02/10/2011	1	***ALCON INC	164.15	160.37	164.15	160.37	3.78
01/08/2007	02/10/2011	50	CISCO SYSTEMS INC	19.11	28.62	955.66	1,431.12	-475.46
10/17/2007	02/10/2011	15	CISCO SYSTEMS INC	19.11	32.29	286.70	484.37	-197.67
02/03/2010	02/10/2011	90	FORD MOTOR CO	15.98	11.53	1,438.36	1,037.93	400.43

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10/17/2007	02/14/2011	60	CISCO SYSTEMS INC	18.82	32.29	1,129.30	1,937.46	-808.16
10/29/2007	02/14/2011	5	CISCO SYSTEMS INC	18.82	32.32	94.11	161.58	-67.47
10/29/2007	02/16/2011	90	CISCO SYSTEMS INC	18.63	32.32	1,676.39	2,908.36	-1,231.97
02/06/2007	02/17/2011	45	GILEAD SCIENCES INC	39.32	34.96	1,769.33	1,573.14	196.19
08/10/2009	02/17/2011	70	**TYCO ELECTRONICS LTD	37.75	22.16	2,642.61	1,551.47	1,091.14
03/04/2008	02/18/2011	4	APPLE INC	353.27	122.67	1,413.09	490.70	922.39
11/10/2008	02/18/2011	2	CME GROUP INC	302.92	263.63	605.84	527.25	78.59
10/14/2009	02/23/2011	20	**SUNCOR ENERGY INC	47.00	38.99	940.08	779.79	160.29
05/08/2009	02/23/2011	125	WELLS FARGO & COMPANY	31.07	22.00	3,883.30	2,750.00	1,133.30
10/29/2007	02/24/2011	5	CISCO SYSTEMS INC	18.29	32.32	91.47	161.58	-70.11
09/22/2009	02/24/2011	50	CISCO SYSTEMS INC	18.29	23.39	914.74	1,169.44	-254.70
11/05/2009	02/24/2011	40	CISCO SYSTEMS INC	18.29	23.89	731.79	955.49	-223.70
02/10/2009	02/25/2011	5	TIME WARNER CABLE	71.43	29.18	357.15	145.88	211.27
03/24/2009	02/25/2011	7	TIME WARNER CABLE	71.43	28.06	500.01	196.43	303.58
01/23/2009	02/28/2011	20	KOHL'S CORP	53.44	37.63	1,068.89	752.62	316.27
05/08/2009	02/28/2011	2	KOHL'S CORP	53.44	43.97	106.89	87.94	18.95
12/15/2005	03/01/2011	20	SCHLUMBERGER LTD	92.70	50.84	1,854.10	1,016.88	837.22
06/12/2007	03/01/2011	4	SCHLUMBERGER LTD	92.70	79.95	370.82	319.82	51.00
06/12/2007	03/03/2011	12	SCHLUMBERGER LTD	92.49	79.95	1,109.92	959.45	150.47
08/10/2009	03/03/2011	25	**TYCO ELECTRONICS LTD	36.88	22.16	922.05	554.10	367.95
12/23/2009	03/04/2011	4	**ALCON INC	165.97	160.38	663.87	641.50	22.37
01/08/2010	03/04/2011	10	**ALCON INC	165.97	154.13	1,659.68	1,541.26	118.42
02/09/2010	03/04/2011	2	**ALCON INC	165.97	157.13	331.93	314.27	17.66
05/12/2009	03/04/2011	19	COSTCO WHOLESALE CORP	72.61	46.65	1,379.52	886.34	493.18
06/02/2009	03/04/2011	30	**ENSCO PLC- SPON ADR	56.97	40.88	1,709.24	1,226.45	482.79
11/13/2009	03/04/2011	120	FORD MOTOR CO	14.46	8.36	1,734.84	1,003.15	731.69
02/01/2010	03/04/2011	20	**ROYAL CARIBBEAN CRUISES LTD	42.27	26.63	845.48	532.50	312.98
06/26/2009	03/04/2011	20	**VODAFONE GROUP PLC-SP ADR	29.24	19.32	584.82	386.38	198.44
07/02/2009	03/04/2011	60	**VODAFONE GROUP PLC-SP ADR	29.24	19.05	1,754.46	1,143.01	611.45
07/02/2009	03/04/2011	30	**VODAFONE GROUP PLC-SP ADR	29.24	19.05	877.23	571.50	305.73
01/30/2009	03/07/2011	65	NEWS CORP	17.47	6.52	1,135.23	423.90	711.33
01/15/2008	03/09/2011	42	JPMORGAN CHASE & CO	46.63	39.34	1,958.52	1,652.13	306.39
09/26/2008	03/09/2011	9	JPMORGAN CHASE & CO	46.63	40.50	419.68	364.50	55.18
09/26/2008	03/09/2011	20	JPMORGAN CHASE & CO	46.63	40.50	932.63	810.00	122.63
10/27/2009	03/09/2011	11	OCCIDENTAL PETROLEUM CORP	101.53	81.22	1,116.85	893.46	223.39
10/09/2008	03/11/2011	15	**COOPER INDUSTRIES PLC	61.92	39.14	928.86	587.13	341.73
02/06/2007	03/11/2011	30	GILEAD SCIENCES INC	40.93	34.96	1,227.84	1,048.76	179.08
07/17/2009	03/11/2011	55	INTEL CORP	20.85	18.67	1,147.00	1,026.78	120.22
05/08/2009	03/14/2011	18	KOHL'S CORP	53.82	43.97	968.68	791.47	177.21
06/02/2008	03/14/2011	2	KOHL'S CORP	53.82	46.73	107.63	93.46	14.17
02/17/2009	03/16/2011	5	THE WALT DISNEY CO.	40.87	17.87	204.36	89.37	114.99
02/19/2009	03/16/2011	25	THE WALT DISNEY CO.	40.87	17.88	1,021.82	446.91	574.91
01/25/2010	03/16/2011	12	GOLDMAN SACHS GROUP INC	155.20	157.13	1,862.34	1,885.54	-23.20
05/08/2009	03/16/2011	25	WELLS FARGO & COMPANY	31.63	22.00	790.80	550.00	240.80
11/05/2009	03/17/2011	10	CISCO SYSTEMS INC	17.00	23.89	170.00	238.87	-68.87
07/17/2009	03/17/2011	45	INTEL CORP	19.91	18.67	895.76	840.10	55.66
09/08/2009	03/17/2011	5	INTEL CORP	19.91	19.66	99.53	98.31	1.22
03/15/2010	03/18/2011	35	DELTA AIR LINES INC	10.23	12.62	357.99	441.70	-83.71
05/12/2009	03/21/2011	6	COSTCO WHOLESALE CORP	70.90	46.65	425.39	279.90	145.49

Capital Gains Report

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
02/09/2010	03/23/2011	8	***ALCON INC	164.30	157.14	1,314.39	1,257.10	57.29
06/02/2009	03/24/2011	15	***ENSCO PLC- SPON ADR	57.23	40.88	858.47	613.22	245.25
02/12/2010	03/24/2011	30	***ENSCO PLC- SPON ADR	57.23	40.28	1,716.93	1,208.38	508.55
02/22/2010	03/24/2011	5	***ENSCO PLC- SPON ADR	57.23	43.21	286.16	216.06	70.10
11/16/2009	03/25/2011	20	***GARMIN LTD	34.04	31.50	680.85	629.91	50.94
02/01/2010	03/25/2011	50	***GARMIN LTD	34.04	32.42	1,702.12	1,621.12	81.00
06/12/2007	03/28/2011	23	SCHLUMBERGER LTD	90.81	79.95	2,088.59	1,838.94	249.65
06/02/2009	03/29/2011	28	KOHL'S CORP	52.82	46.73	1,478.83	1,308.37	170.46
02/12/2010	03/29/2011	10	KOHL'S CORP	52.82	49.64	528.16	496.37	31.79
09/08/2009	04/05/2011	50	INTEL CORP	19.75	19.66	987.48	983.14	4.34
09/26/2008	04/05/2011	13	JPMORGAN CHASE & CO	46.34	40.50	602.37	526.50	75.87
05/27/2009	04/05/2011	37	JPMORGAN CHASE & CO	46.34	36.29	1,714.45	1,342.66	371.79
02/12/2010	04/05/2011	10	KOHL'S CORP	54.51	49.64	545.06	496.37	48.69
10/14/2009	04/06/2011	35	***SUNCOR ENERGY INC	44.72	38.99	1,565.12	1,364.64	200.48
08/17/2009	04/14/2011	75	DELL INC	14.98	13.86	1,123.33	1,039.43	83.90
11/27/2009	04/14/2011	150	DELL INC	14.98	14.13	2,246.65	2,118.90	127.75
03/23/2010	04/21/2011	30	***GARMIN LTD	34.11	37.07	1,023.43	1,112.15	-88.72
04/13/2010	04/21/2011	25	***GARMIN LTD	34.11	36.60	852.85	914.94	-62.09
02/06/2007	04/21/2011	10	GILEAD SCIENCES INC	39.26	34.96	392.62	349.58	43.04
03/19/2009	04/21/2011	35	GILEAD SCIENCES INC	39.26	44.78	1,374.19	1,567.33	-193.14
04/29/2008	04/21/2011	29	***TEVA PHARMACEUTICAL-SP ADR	45.97	45.93	1,333.26	1,331.88	1.38
07/28/2008	04/21/2011	50	***TEVA PHARMACEUTICAL-SP ADR	45.97	45.97	2,298.73	2,298.70	0.03
10/09/2008	04/21/2011	25	***TEVA PHARMACEUTICAL-SP ADR	45.97	43.06	1,149.37	1,076.38	72.99
01/25/2010	04/25/2011	3	GOLDMAN SACHS GROUP INC	151.73	157.13	455.18	471.38	-16.20
01/27/2010	04/25/2011	10	GOLDMAN SACHS GROUP INC	151.73	149.55	1,517.25	1,495.50	21.75
09/18/2009	04/26/2011	50	EMC CORP/MASS	28.20	17.01	1,410.03	850.61	559.42
05/08/2009	04/28/2011	35	WELLS FARGO & COMPANY	29.27	22.00	1,024.61	770.00	254.61
08/10/2009	05/02/2011	5	***TE CONNECTIVITY LTD	36.07	22.16	180.33	110.82	69.51
11/30/2009	05/02/2011	50	***TE CONNECTIVITY LTD	36.07	23.07	1,803.34	1,153.45	649.89
05/08/2009	05/03/2011	35	WELLS FARGO & COMPANY	29.35	22.00	1,027.15	770.00	257.15
12/15/2009	05/03/2011	75	WELLS FARGO & COMPANY	29.35	25.00	2,201.03	1,875.00	326.03
12/17/2009	05/03/2011	75	WELLS FARGO & COMPANY	29.35	25.99	2,201.03	1,949.07	251.96
01/22/2010	05/05/2011	75	WELLS FARGO & COMPANY	27.89	28.02	2,091.45	2,101.16	-9.71
04/16/2010	05/06/2011	64	LOWE'S COS INC	25.70	26.31	1,644.83	1,683.78	-38.95
05/05/2010	05/06/2011	45	LOWE'S COS INC	25.70	26.79	1,156.53	1,205.60	-49.07
04/01/2009	05/09/2011	35	CAMERON INTERNATIONAL CORP	49.13	21.27	1,719.60	744.44	975.16
08/31/2009	05/12/2011	4	GOLDMAN SACHS GROUP INC	141.93	163.34	567.71	653.37	-85.66
02/04/2009	05/16/2011	65	ARCHER-DANIELS-MIDLAND CO	32.17	25.44	2,091.25	1,653.72	437.53
09/26/2008	05/16/2011	17	JPMORGAN CHASE & CO	43.17	40.50	733.94	688.50	45.44
09/26/2008	05/16/2011	29	JPMORGAN CHASE & CO	43.17	40.50	1,252.02	1,174.50	77.52
05/05/2009	05/16/2011	129	JPMORGAN CHASE & CO	43.17	35.23	5,569.33	4,544.23	1,025.10
05/27/2009	05/16/2011	33	JPMORGAN CHASE & CO	43.17	36.29	1,424.72	1,197.51	227.21
06/03/2009	05/16/2011	25	JPMORGAN CHASE & CO	43.17	34.42	1,079.33	860.42	218.91
12/10/2009	05/16/2011	17	JPMORGAN CHASE & CO	43.17	41.13	733.94	699.22	34.72
02/05/2010	05/18/2011	70	DOW CHEMICAL	36.88	26.35	2,581.91	1,844.21	737.70
05/13/2010	05/18/2011	5	DOW CHEMICAL	36.88	29.33	184.42	146.67	37.75
09/15/2009	05/19/2011	70	BB&T CORP	27.15	27.83	1,900.58	1,948.42	-47.84
05/13/2010	05/20/2011	25	DOW CHEMICAL	36.42	29.33	910.42	733.37	177.05
01/27/2010	05/20/2011	50	WELLS FARGO & COMPANY	28.36	27.75	1,418.14	1,387.63	30.51

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
04/16/2010	05/20/2011	20	WELLS FARGO & COMPANY	28.36	32.55	567.25	650.97	-83.72
03/12/2010	05/23/2011	125	COMCAST CORP-CL A	24.70	17.40	3,087.79	2,175.61	912.18
09/17/2009	05/25/2011	30	CAMERON INTERNATIONAL CORP	47.76	38.90	1,432.71	1,167.07	265.64
05/05/2009	05/26/2011	25	JPMORGAN CHASE & CO	42.45	35.23	1,061.20	880.67	180.53
08/01/2008	05/27/2011	30	MORGAN STANLEY	23.60	40.22	707.93	1,206.64	-498.71
10/16/2009	05/27/2011	75	MORGAN STANLEY	23.60	32.48	1,769.84	2,435.71	-665.87
01/30/2009	05/27/2011	50	NEWS CORP	17.97	6.52	898.61	326.08	572.53
04/17/2009	05/27/2011	25	NEWS CORP	17.97	8.16	449.31	203.88	245.43
04/17/2009	05/31/2011	65	TIME WARNER INC	36.41	21.67	2,366.83	1,408.78	958.05
07/17/2009	06/07/2011	23	DEVON ENERGY CORPORATION	80.74	55.63	1,856.97	1,279.42	577.55
08/27/2009	06/07/2011	15	DEVON ENERGY CORPORATION	80.74	61.37	1,211.07	920.49	290.58
12/01/2009	06/07/2011	12	DEVON ENERGY CORPORATION	80.74	68.83	968.85	825.92	142.93
04/20/2010	06/07/2011	37	NEWFIELD EXPLORATION CO	70.13	53.77	2,594.99	1,989.50	605.49
03/12/2010	06/10/2011	30	COMCAST CORP-CL A	23.94	17.40	718.31	522.15	196.16
12/15/2009	06/15/2011	60	CONSTELLATION BRANDS INC-A	21.12	16.12	1,267.22	966.91	300.31
04/17/2009	06/20/2011	3	HUNTINGTON INGALLS INDUST-WI	36.00	22.27	108.00	66.80	41.20
08/25/2009	06/20/2011	7	HUNTINGTON INGALLS INDUST-WI	36.00	29.56	252.01	206.94	45.07
03/24/2009	06/20/2011	1	TIME WARNER CABLE	74.37	28.06	74.37	28.06	46.31
04/09/2009	06/20/2011	27	TIME WARNER CABLE	74.37	27.23	2,008.00	735.08	1,272.92
04/17/2009	06/20/2011	15	TIME WARNER CABLE	74.37	29.12	1,115.55	436.73	678.82
04/17/2009	06/20/2011	5	TIME WARNER CABLE	74.37	29.11	371.85	145.57	226.28
07/01/2009	06/23/2011	130	SMITHFIELD FOODS INC	21.55	14.05	2,801.15	1,825.91	975.24
10/09/2009	06/27/2011	33	***COOPER INDUSTRIES PLC	58.53	39.14	1,931.56	1,291.69	639.87
02/26/2010	06/27/2011	10	***COOPER INDUSTRIES PLC	58.53	45.17	585.32	451.75	133.57
02/22/2010	07/14/2011	15	***ENSCO PLC- SPON ADR	51.57	43.21	773.55	648.17	125.38
04/26/2010	07/14/2011	25	***ENSCO PLC- SPON ADR	51.57	51.47	1,289.25	1,286.82	2.43
05/14/2010	07/14/2011	20	***ENSCO PLC- SPON ADR	51.57	40.77	1,031.40	815.50	215.90
04/17/2009	07/14/2011	75	NEWS CORP	16.04	8.16	1,203.19	611.64	591.55
06/22/2010	07/14/2011	50	NEWS CORP	16.04	13.67	802.13	683.67	118.46
06/22/2010	07/14/2011	150	NEWS CORP	16.04	13.67	2,406.38	2,051.01	355.37
03/04/2008	07/19/2011	5	APPLE INC	375.02	122.67	1,875.08	613.37	1,261.71
05/04/2010	07/21/2011	40	EXPRESS SCRIPTS INC	55.46	50.03	2,218.53	2,001.27	217.26
12/01/2009	07/28/2011	8	DEVON ENERGY CORPORATION	80.54	68.83	644.31	550.61	93.70
02/26/2010	08/02/2011	20	***COOPER INDUSTRIES PLC	52.22	45.17	1,044.36	903.49	140.87
02/26/2010	08/02/2011	25	***COOPER INDUSTRIES PLC	52.22	45.17	1,305.45	1,129.36	176.09
03/05/2010	08/02/2011	20	***COOPER INDUSTRIES PLC	52.22	47.57	1,044.36	951.32	93.04
04/07/2010	08/02/2011	20	GOODRICH CORP	93.79	70.40	1,875.81	1,408.05	467.76
04/16/2010	08/02/2011	16	LOWE'S COS INC	20.82	26.31	333.11	420.95	-87.84
05/10/2010	08/02/2011	74	LOWE'S COS INC	20.82	26.73	1,540.64	1,977.84	-437.20
08/14/2003	08/02/2011	99	BERNSTEIN INTERNATIONAL	15.01	15.83	1,500.00	1,581.94	-81.94
		933	PORTFOLIO					
07/09/2010	08/03/2011	18	OCCIDENTAL PETROLEUM CORP	93.55	81.16	1,683.91	1,460.91	223.00
03/17/2010	08/05/2011	200	GAP INC/THE	16.67	23.13	3,334.44	4,625.78	-1,291.34
06/29/2010	08/09/2011	25	JOHNSON & JOHNSON	60.46	59.10	1,511.45	1,477.56	33.89
03/04/2008	08/10/2011	5	APPLE INC	370.40	122.68	1,852.00	613.38	1,238.62
07/28/2010	08/10/2011	10	HESS CORP	55.24	52.75	552.36	527.54	24.82
08/03/2010	08/10/2011	15	HESS CORP	55.24	55.96	828.54	839.44	-10.90
10/16/2009	08/10/2011	275	OFFICE DEPOT INC	2.52	7.57	693.00	2,080.51	-1,387.51
12/02/2009	08/10/2011	350	OFFICE DEPOT INC	2.52	6.48	882.00	2,266.39	-1,384.39

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
02/01/2010	08/17/2011	20	***ROYAL CARIBBEAN CRUISES LTD	25.09	26.62	501.85	532.49	-30.64
03/11/2010	08/17/2011	30	***ROYAL CARIBBEAN CRUISES LTD	25.09	30.45	752.78	913.43	-160.65
04/17/2009	08/19/2011	75	NEWS CORP	16.05	8.16	1,203.62	611.64	591.98
07/07/2009	08/19/2011	50	NEWS CORP	16.05	8.34	802.41	417.02	385.39
04/27/2009	08/25/2011	45	***BUNGE LTD	60.82	46.79	2,737.05	2,105.42	631.63
06/01/2010	08/25/2011	10	***BUNGE LTD	60.82	48.84	608.23	488.44	119.79
07/07/2009	09/02/2011	75	NEWS CORP	16.46	8.34	1,234.14	625.54	608.60
04/17/2009	09/08/2011	15	NORTHROP GRUMMAN CORP	53.18	43.52	797.64	652.81	144.83
08/25/2009	09/08/2011	30	NORTHROP GRUMMAN CORP	53.18	44.94	1,595.28	1,348.32	246.96
12/17/2009	09/08/2011	70	GENERAL ELECTRIC CO	14.98	15.83	1,048.25	1,108.00	-59.75
08/25/2010	09/14/2011	9	GOLDMAN SACHS GROUP INC	104.87	143.63	943.82	1,292.67	-348.85
11/13/2007	09/20/2011	1	CELGENE CORP	64.23	62.53	64.23	62.53	1.70
05/13/2008	09/20/2011	25	CELGENE CORP	64.23	61.28	1,605.81	1,532.12	73.69
05/06/2010	09/20/2011	9	CELGENE CORP	64.23	59.92	578.09	539.26	38.83
05/22/2009	09/23/2011	5	AMAZON.COM INC	219.93	75.59	1,099.63	377.95	721.68
02/03/2010	09/26/2011	10	NOBLE ENERGY INC	71.23	76.74	712.27	767.43	-55.16
03/12/2010	09/26/2011	5	NOBLE ENERGY INC	71.23	73.67	356.13	368.35	-12.22
03/04/2008	09/29/2011	5	APPLE INC	401.26	122.67	2,006.28	613.37	1,392.91
06/01/2010	09/30/2011	25	***BUNGE LTD	58.67	48.84	1,466.73	1,221.09	245.64
09/24/2010	09/30/2011	30	CITRIX SYSTEMS INC	56.45	70.38	1,693.37	2,111.52	-418.15
08/09/2010	09/30/2011	15	MONSANTO CO	60.95	59.44	914.20	891.55	22.65
06/01/2010	10/06/2011	30	***BUNGE LTD	57.53	48.84	1,725.77	1,465.30	260.47
06/01/2009	10/06/2011	70	***NEXEN INC	15.99	25.91	1,119.30	1,813.71	-694.41
07/17/2009	10/06/2011	50	***NEXEN INC	15.99	19.75	799.50	987.37	-187.87
01/27/2010	10/06/2011	105	***NEXEN INC	15.99	21.47	1,678.95	2,254.52	-575.57
06/03/2009	10/10/2011	15	***INGERSOLL-RAND PLC	28.32	17.03	424.84	255.42	169.42
11/10/2009	10/10/2011	40	***INGERSOLL-RAND PLC	28.32	35.17	1,132.92	1,406.70	-273.78
11/11/2009	10/10/2011	25	***INGERSOLL-RAND PLC	28.32	35.36	708.07	883.97	-175.90
03/31/2010	10/10/2011	50	***INGERSOLL-RAND PLC	28.32	34.96	1,416.15	1,748.08	-331.93
02/19/2009	10/12/2011	25	THE WALT DISNEY CO.	33.16	17.88	828.96	446.90	382.06
05/10/2010	10/12/2011	35	THE WALT DISNEY CO.	33.16	35.26	1,160.55	1,234.12	-73.57
05/06/2010	10/18/2011	15	CELGENE CORP	64.44	59.92	966.63	898.77	67.86
08/25/2009	10/20/2011	15	NORTHROP GRUMMAN CORP	54.18	44.94	812.66	674.16	138.50
10/18/2010	10/20/2011	25	NORTHROP GRUMMAN CORP	54.18	56.05	1,354.42	1,401.20	-46.78
10/05/2010	10/21/2011	75	DELL INC	15.20	13.40	1,140.20	1,005.05	135.15
10/11/2010	10/21/2011	35	DELL INC	15.20	13.70	532.10	479.37	52.73
03/12/2010	10/24/2011	10	NOBLE ENERGY INC	89.77	73.67	897.67	736.70	160.97
03/23/2010	10/24/2011	5	NOBLE ENERGY INC	89.77	71.84	448.84	359.22	89.62
10/18/2010	10/25/2011	25	NORTHROP GRUMMAN CORP	56.34	56.05	1,408.57	1,401.19	7.38
09/03/2010	10/25/2011	25	STARBUCKS CORP	41.72	24.91	1,043.10	622.84	420.26
09/27/2010	10/26/2011	50	ALTRIA GROUP INC	26.90	24.05	1,344.96	1,202.56	142.40
05/06/2010	10/26/2011	6	CELGENE CORP	66.43	59.92	398.57	359.51	39.06
07/16/2010	10/26/2011	15	CELGENE CORP	66.43	52.59	996.43	788.92	207.51
05/07/2010	10/26/2011	30	DIRECTV-CLASS A	46.61	35.60	1,398.29	1,067.92	330.37
04/16/2010	10/27/2011	86	WELLS FARGO & COMPANY	27.17	32.55	2,336.49	2,799.15	-462.66
04/19/2010	10/27/2011	14	WELLS FARGO & COMPANY	27.17	32.57	380.36	455.99	-75.63
10/12/2010	10/28/2011	25	CITRIX SYSTEMS INC	72.95	57.10	1,823.81	1,427.62	396.19
11/05/2009	10/28/2011	40	CONOCOPHILLIPS	71.90	51.92	2,876.06	2,076.70	799.36
11/13/2009	10/28/2011	35	CONOCOPHILLIPS	71.90	52.81	2,516.55	1,848.41	668.14

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Proceeds	Total Cost	Gain or Loss
09/21/2010	11/01/2011	10	GOLDMAN SACHS GROUP INC	104.82	152.98	1,048.17	1,529.77	-481.60
08/12/2008	11/01/2011	45	JOHNSON CONTROLS INC	31.51	25.90	1,418.10	1,165.71	252.39
07/08/2009	11/03/2011	3	NVR INC	634.83	481.37	1,904.50	1,444.11	460.39
03/12/2010	11/09/2011	59	COMCAST CORP-CL A	21.87	17.40	1,290.38	1,026.88	263.50
03/30/2010	11/09/2011	30	COMCAST CORP-CL A	21.87	18.75	658.13	562.57	93.56
08/30/2010	11/10/2011	15	MONSANTO CO	73.61	56.54	1,104.12	848.14	255.98
07/01/2009	11/10/2011	5	SMITHFIELD FOODS INC	22.29	14.05	111.45	70.23	41.22
05/18/2010	11/10/2011	60	SMITHFIELD FOODS INC	22.29	18.25	1,337.35	1,094.95	242.40
05/05/2009	11/11/2011	26	JPMORGAN CHASE & CO	33.38	35.23	867.96	915.90	-47.94
12/10/2009	11/11/2011	38	JPMORGAN CHASE & CO	33.38	41.13	1,268.56	1,562.95	-294.39
03/30/2010	11/14/2011	70	COMCAST CORP-CL A	22.33	18.75	1,562.77	1,312.65	250.12
05/17/2010	11/16/2011	60	MARATHON PETROLEUM CORP	34.40	24.46	2,084.01	1,467.43	596.58
06/24/2010	11/16/2011	23	MARATHON PETROLEUM CORP	34.40	25.53	791.21	587.10	204.11
09/03/2010	11/16/2011	15	STARBUCKS CORP	43.30	24.91	649.52	373.71	275.81
09/30/2010	11/16/2011	25	STARBUCKS CORP	43.30	25.50	1,082.53	637.42	445.11
09/23/2010	11/17/2011	10	GOLDMAN SACHS GROUP INC	92.56	145.80	925.62	1,458.03	-532.41
10/05/2010	11/17/2011	5	GOLDMAN SACHS GROUP INC	92.56	147.24	462.81	736.20	-273.39
05/05/2009	11/17/2011	49	JPMORGAN CHASE & CO	30.60	35.23	1,499.16	1,726.10	-226.94
09/06/2006	11/23/2011	10	GILEAD SCIENCES INC	39.46	30.95	394.62	309.51	85.11
11/02/2006	11/23/2011	5	GILEAD SCIENCES INC	39.46	33.67	197.31	168.34	28.97
02/06/2007	11/23/2011	5	GILEAD SCIENCES INC	39.46	34.96	197.31	174.79	22.52
02/08/2010	11/23/2011	12	GILEAD SCIENCES INC	39.46	46.24	473.53	554.83	-81.30
02/08/2010	11/23/2011	5	GILEAD SCIENCES INC	39.46	46.24	197.31	231.18	-33.87
04/08/2010	11/23/2011	43	GILEAD SCIENCES INC	39.46	45.74	1,696.86	1,966.78	-269.92
04/13/2010	11/23/2011	35	GILEAD SCIENCES INC	39.46	46.39	1,381.16	1,623.82	-242.66
04/23/2010	11/23/2011	25	GILEAD SCIENCES INC	39.46	41.10	986.54	1,027.51	-40.97
09/04/2009	11/23/2011	40	JOHNSON CONTROLS INC	27.96	24.34	1,118.23	973.78	144.45
03/23/2010	11/29/2011	10	NOBLE ENERGY INC	92.63	71.84	926.28	718.44	207.84
04/06/2010	11/29/2011	5	NOBLE ENERGY INC	92.63	76.19	463.14	380.96	82.18
11/13/2009	12/05/2011	15	CONOCOPHILLIPS	72.68	52.81	1,090.15	792.18	297.97
08/18/2010	12/06/2011	15	GOODRICH CORP	122.31	73.75	1,834.69	1,106.19	728.50
05/22/2009	12/14/2011	6	AMAZON.COM INC	177.15	75.59	1,062.90	453.54	609.36
10/08/2010	12/14/2011	20	***ACCENTURE PLC-CL A	56.14	45.59	1,122.70	911.80	210.90
10/22/2010	12/14/2011	5	***ACCENTURE PLC-CL A	56.14	45.69	280.68	228.47	52.21
11/19/2010	12/14/2011	10	ALLERGAN INC	82.50	68.51	825.04	685.11	139.93
03/04/2008	12/14/2011	10	APPLE INC	379.47	122.67	3,794.69	1,226.75	2,567.94
11/11/2009	12/14/2011	50	BROADCOM CORP-CL A	28.56	27.99	1,427.93	1,399.52	28.41
11/11/2009	12/14/2011	15	BROADCOM CORP-CL A	28.56	27.99	428.38	419.85	8.53
05/04/2010	12/14/2011	11	BROADCOM CORP-CL A	28.56	33.41	285.58	334.13	-48.55
03/12/2010	12/14/2011	11	COMCAST CORP-CL A	23.06	17.40	253.63	191.45	62.18
05/04/2010	12/14/2011	45	COMCAST CORP-CL A	23.06	19.85	1,037.60	893.27	144.33
05/12/2009	12/14/2011	25	DANAHER CORP	45.66	29.84	1,141.51	745.90	395.61
06/09/2010	12/14/2011	34	DOW CHEMICAL	25.46	26.05	865.73	885.68	-19.95
05/04/2010	12/14/2011	18	EOG RESOURCES INC	93.83	109.42	1,688.90	1,969.55	-280.65
09/18/2009	12/14/2011	35	EMC CORP/MASS	22.13	17.01	774.72	595.43	179.29
09/21/2009	12/14/2011	40	EMC CORP/MASS	22.13	16.74	885.40	669.42	215.98
10/26/2010	12/14/2011	14	FLOWERVE CORP/MASS	96.22	115.63	1,347.13	1,618.86	-271.73
11/12/2010	12/14/2011	1	FLOWERVE CORPORATION	96.22	106.42	96.22	106.42	-10.20
08/09/2006	12/14/2011	2	GOOGLE INC-CL A	618.77	382.26	1,233.54	764.52	469.02

Capital Gains Report

FINDLAY FAMILY FOUNDATION (Account: 888-23738)

January 1, 2011 through December 31, 2011

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
08/21/2006	12/14/2011	3	GOOGLE INC-CL A	616.77	376.96	1,850.31	1,130.88	719.43
05/05/2009	12/14/2011	46	JPMORGAN CHASE & CO	31.42	35.23	1,445.24	1,620.42	-175.18
11/22/2010	12/14/2011	15	LIMITED BRANDS INC	39.35	33.05	590.25	495.82	94.43
08/30/2010	12/14/2011	15	MONSANTO CO	67.59	56.54	1,013.87	848.14	165.73
10/27/2010	12/14/2011	100	MARVELL TECHNOLOGY GROUP LT	13.46	18.33	1,345.56	1,832.91	-487.35
04/06/2010	12/14/2011	15	NOBLE ENERGY INC	88.45	76.19	1,326.82	1,142.89	183.93
09/21/2010	12/14/2011	50	ORACLE CORP	30.06	27.03	1,503.10	1,351.68	151.42
09/30/2010	12/14/2011	25	STARBUCKS CORP	42.92	25.50	1,073.08	637.42	435.66
08/13/2010	12/14/2011	15	UNITED PARCEL SERVICE-CL B	70.51	64.59	1,057.65	968.79	88.86
10/22/2010	12/21/2011	20	ACCENTURE PLC-CL A	51.49	45.69	1,029.71	913.87	115.84
10/25/2010	12/21/2011	15	CITRIX SYSTEMS INC	57.82	60.88	867.34	913.21	-45.87
12/09/2010	12/21/2011	45	LOWE'S COS INC	25.64	25.13	1,153.64	1,130.79	22.85

SUBTOTAL FOR LONG-TERM NON-COVERED

TOTAL

 335,664.23 288,141.44 47,522.79

 692,238.00 644,509.63 47,728.37

** ACCOUNT TOTALS **

CURRENT PERIOD - G/L 47,728.37

SHORT TERM - COVERED (COST BASIS REPORTED ON 1099-B)

SHORT TERM - NON-COVERED (COST BASIS NOT REPORTED ON 1099-B)

SHORT TERM TOTAL 205.58

LONG TERM - COVERED (COST BASIS REPORTED ON 1099-B)

LONG TERM - NON-COVERED (COST BASIS NOT REPORTED ON 1099-B)

LONG TERM TOTAL 47,522.79

47,522.79

Capital Gains Distributions: If you own shares of the Sanford C. Bernstein Fund, Inc., you may have received an annual capital-gains distribution (different from the capital gains or losses on securities sold, which are listed in this report). Long-term capital-gains distributions are a separate item on the I.R.S. forms, and appear on the Summary-of-Key-Items report.

Zero-Cost Transactions: If you transferred securities to Bernstein for which the purchase price was unavailable, the total capital-gains figure that appears on this report needs to be adjusted. Any such transactions are segregated on the Capital-Gains report, with the cost entered as zero. You will need to update the report data with the correct purchase information.

This information should be reviewed by your tax advisor or accountant.

12/31/11

Account No.* 888-23738 **Period Ending** 12/30/2011 **Last Statement** 11/30/2011

* Comprised of the following sub-accounts:
037-74170 038-21213

NY012

004188 ABSTMF01

DAVID FINDLAY
FINDLAY FAMILY FOUNDATION
10 FATHER PETERS LANE
NEW CANAAN CT 06840-2227



Bernstein Advisor: Will Herrman (212) 823-2909
Advisor Associate(s): Robert D'Angelo (212) 756-4148
Shawn Hiner-Leamon (212) 756-4031
Erin McGoldrick (212) 756-4081
Sarah Strange (212) 756-4016
(212) 407-5850

Fax Number:

MEMBER, NEW YORK STOCK EXCHANGE, INC.



ACCOUNT SUMMARY

	Opening Balance	%	Closing Balance	%
Cash	11,189	0.9	7,037	0.6
Strategic Value	336,927	28.2	336,865	30.1
Strategic Growth	385,360	32.2	328,837	29.4
International Portfolio	347,828	29.1	336,185	30.0
Emerging Markets Fund	55,308	4.6	52,337	4.7
AllianceBernstein Global Real Estate Fund	59,123	4.9	58,819	5.3
Total Account Value	1,195,735	100.0	1,120,079	100.0

BANK OF AMERICA
CASH CHECKING
Account 29,280

INCOME SUMMARY

	Current Month	Year To Date
Credit Interest	1	7
Equity Dividends	11,217	22,713
Total Income	11,217	22,719

PORTFOLIO SUMMARY

Quantity	Description	Price	Market Value	Estimated Annual Income Rate	Amount
Cash	Closing Cash Balance		7,037	0.05%	4
U.S. Equity					
Strategic Value					
225	ALTRIA GROUP INC	29.650	6,671	\$1.64	369
65	APOLLO GROUP INC-CLA	53.870	3,502	\$0.00	0
500	APPLIED MATERIALS INC	10.710	5,355	\$0.32	160
275	ASTRAZENECA PLC	46.290	12,730	\$2.70	743
135	AT&T INC	30.240	4,082	\$1.76	238
325	BP P L C SPONSORED ADR	42.740	13,891	\$1.68	546



DAVID FINDLAY

Account No.* 888-23738 Period Ending 12/30/2011 Last Statement 11/30/2011

* Comprised of the following sub-accounts:
037-74170 038-21213

PORTFOLIO SUMMARY

Quantity	Description	Price	Market Value	Estimated Annual Income Rate	Amount
85	CENTERPOINT ENERGY INC	20.090	1,708	\$0.79	67
300	CENTURYLINK INC	37.200	11,160	\$2.90	870
140	CISCO SYSTEMS INC	18.080	2,531	\$0.24	34
115	CIT GROUP INC	34.870	4,010	\$0.00	0
600	CITIGROUP INC	26.310	15,786	\$0.04	24
170	CMS ENERGY CORP	22.080	3,754	\$0.84	143
45	CONOCOPHILLIPS	72.870	3,279	\$2.64	119
190	CONSTELLATION BRANDS INC	20.670	3,927	\$0.00	0
275	CORNING INC	12.980	3,570	\$0.30	83
115	DELL INC	14.630	1,682	\$0.00	0
375	DELTA AIR LINES INC DEL	8.090	3,034	\$0.00	0
75	DEVON ENERGY CORPORATION NEW	62.000	4,650	\$0.68	51
55	DIRECTV	42.760	2,352	\$0.00	0
75	DTE ENERGY CO	54.450	4,084	\$2.35	176
140	FORD MOTOR CO	10.760	1,506	\$0.20	28
80	GAMESTOP CORP	24.130	1,930	\$0.00	0
400	GANNETT CO INC	13.370	5,348	\$0.32	128
225	GENERAL ELECTRIC CO	17.910	4,030	\$0.68	153
400	GENERAL MOTORS COMPANY	20.270	8,108	\$0.00	0
162	GILEAD SCIENCES INC	40.930	6,631	\$0.00	0
21	GOLDMAN SACHS GROUP INC	90.430	1,899	\$1.40	25
110	HEALTH NET INC	30.420	3,346	\$0.00	0
500	HEWLETT PACKARD CO	25.760	12,880	\$0.48	240
60	INGERSOLL RAND PLC	30.470	1,828	\$0.64	38
250	JOHNSON & JOHNSON	65.580	16,395	\$2.28	570
265	JPMORGAN CHASE & CO	33.250	8,811	\$1.00	265
400	KROGER CO	24.220	9,688	\$0.46	184
105	LAM RESEARCH CORP	37.020	3,887	\$0.00	0
95	LEAR CORPORATION	39.800	3,781	\$0.50	48
60	LORILLARD INC	114.000	6,840	\$5.20	312
130	LOWES COMPANIES INC	25.380	3,299	\$0.56	73
165	LYONDELLBASELL INDUSTRIES	32.490	5,361	\$1.00	165
300	MARATHON OIL CORP	29.270	8,781	\$0.60	180
217	MARATHON PETE CORP	33.290	7,224	\$1.00	217
60	MCGRAW HILL COMPANIES INC	44.970	2,698	\$1.00	60
850	MICRON TECHNOLOGY INC	6.290	5,347	\$0.00	0
120	MOODYS CORP	33.680	4,042	\$0.64	77
325	MORGAN STANLEY	15.130	4,917	\$0.20	65
120	NEWELL RUBBERMAID INC	16.150	1,938	\$0.32	38
65	NORTHROP GRUMMAN CORP	58.480	3,801	\$2.00	130
200	NV ENERGY INC	16.350	3,270	\$0.52	104
3	NVR INC	686.000	2,058	\$0.00	0
975	PFIZER INC	21.640	21,099	\$0.88	858
140	THE TRAVELERS COMPANIES INC	59.170	8,284	\$1.64	230
110	TIME WARNER CABLE INC	63.570	6,993	\$1.92	211
145	TRANSOCEAN LTD	38.390	5,567	\$3.16	458
90	TRW AUTOMOTIVE HOLDINGS INC	32.600	2,934	\$0.00	0
200	TYSON FOODS INC-CLA	20.640	4,128	\$0.16	32
170	UNITEDHEALTH GROUP INC	50.680	8,616	\$0.65	111
160	VIACOM INC	45.410	7,266	\$1.00	160

Account No.* 888-23738 **Period Ending** 12/30/2011 **Last Statement** 11/30/2011

DAVID FINDLAY

* Comprised of the following sub-accounts:
037-74170 038-21213

PORTFOLIO SUMMARY

Quantity	Description	Price	Market Value	Estimated Annual Income Rate	Amount
155	WELLPOINT INC	66.250	10,269	\$1.00	155
			307 AI		
Total Strategic Value			336,865	2.65%	8,942
Strategic Growth					
60	ACCENTURE PLC IRELAND	53.230	3,194	\$1.35	81
85	ALLERGAN INC	87.740	7,458	\$0.20	17
39	AMAZON.COM INC	173.100	6,751	\$0.00	0
70	ANADARKO PETROLEUM CORP	76.330	5,343	\$0.36	25
70	APPLE INC	405.000	28,350	\$0.00	0
67	BOEING CO	73.350	4,914	\$1.76	118
65	BORG WARNER AUTOMOTIVE INC	63.740	4,143	\$0.00	0
200	BROADCOM CORP	29.360	5,872	\$0.36	72
250	CBRE GROUP INC	15.220	3,805	\$0.00	0
45	CELGENE CORP	67.600	3,042	\$0.00	0
85	CITRIX SYSTEMS INC	60.720	5,161	\$0.00	0
325	COMCAST CORP	23.710	7,706	\$0.45	146
135	COVIDIEN PLC	45.010	6,076	\$0.90	122
225	DANAHER CORP	47.040	10,584	\$0.10	23
85	DOLLAR GENERAL CORPORATION	41.140	3,497	\$0.00	0
150	DOW CHEMICAL CO.	28.760	4,314	\$1.00	150
325	EMC CORP-MASS	21.540	7,001	\$0.00	0
67	EOG RES INC	98.510	6,600	\$0.64	43
17	ESTEE LAUDER COMPANIES INC	112.320	1,909	\$1.05	18
125	EXPRESS SCRIPTS INC COMMON	44.690	5,586	\$0.00	0
50	FLOWERVE CORP	99.320	4,966	\$1.28	64
105	FMC TECHNOLOGIES INC	52.230	5,484	\$0.00	0
185	GENERAL MILLS INC	40.410	7,476	\$1.22	226
59	GILEAD SCIENCES INC	40.930	2,415	\$0.00	0
24	GOLDMAN SACHS GROUP INC	90.430	2,170	\$1.40	34
20	GOODRICH CORPORATION	123.700	2,474	\$1.16	23
30	GOOGLE INC	645.900	19,377	\$0.00	0
80	HARLEY DAVIDSON INC	38.870	3,110	\$0.50	40
30	HERSHEY COMPANY (THE)	61.780	1,853	\$1.38	41
85	ILLUMINA INC	30.480	2,591	\$0.00	0
120	INTUIT INC	52.590	6,311	\$0.60	72
140	JOHNSON CONTROLS INC	31.260	4,376	\$0.72	101
179	JPMORGAN CHASE & CO	33.250	5,952	\$1.00	179
115	LIMITED BRANDS INC	40.350	4,640	\$0.80	92
450	MARVELL TECHNOLOGY GROUP	13.850	6,233	\$0.00	0
110	METLIFE INC	31.180	3,430	\$0.74	81
115	MONSANTO CO	70.070	8,058	\$1.20	138
80	NOBLE ENERGY INC	94.390	7,551	\$0.88	70
400	ORACLE CORP	25.650	10,260	\$0.24	96
40	PEPSICO INC	66.350	2,654	\$2.06	82
35	PERRIGO CO	97.300	3,406	\$0.32	11
115	POTASH CORP OF SASKATCHEWAN	41.280	4,747	\$0.28	32
40	PRECISION CASTPARTS CORP	164.790	6,592	\$0.12	5
20	PROCTER & GAMBLE CO	66.710	1,334	\$2.10	42
40	PVH CORP	70.490	2,820	\$0.15	6
250	QUALCOMM INC	54.700	13,675	\$0.86	215
55	ROCKWELL AUTOMATION INC	73.370	4,035	\$1.70	94



DAVID FINDLAY

Account No.*	Period Ending	Last Statement
888-23738	12/30/2011	11/30/2011

* Comprised of the following sub-accounts:
037-74170 038-21213

PORTFOLIO SUMMARY

Quantity	Description	Price	Market Value	Estimated Annual Income Rate	Amount
10	SALESFORCE.COM INC	101.460	1,015	\$0.00	0
186	SCHLUMBERGER LTD	68.310	12,706	\$1.00	186
135	STARBUCKS CORP	46.010	6,211	\$0.68	92
150	UNITED PARCEL SVC INC	73.190	10,979	\$2.08	312
25	V F CORP	126.990	3,175	\$2.88	72
40	VISA INC	101.530	4,061	\$0.88	35
250	WALT DISNEY CO	37.500	9,375	\$0.60	150
60	WELLS FARGO & CO	27.560	1,654	\$0.48	29
			365 AI		
	Total Strategic Growth		328,837	1.04%	3,435
	Total U.S. Equity		665,701	1.86%	12,377

International Equity

International Portfolio

27,089.810	BERNSTEIN INTERNATIONAL PORTFOLIO	12.410	336,185	\$0.26	6,908
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Emerging Market

Emerging Markets Fund

2,160.027	BERNSTEIN EMERGING MARKETS PORTFOLIO	24.230	52,337	\$0.24	518
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Real Estate

AllianceBernstein Global Real Estate Fund

7,361.542	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I	7.990	58,819	\$0.24	1,730
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Total Portfolio Value		1,120,079	1.92%	21,537
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