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Form 990-PF

Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For	calen	dar year 2011 or tax year beginning		, 2011	1, and	ending		, 20
Nar	ne of for	undation				A Employer	identification number	,
AS'	TRA7	ZENECA FOUNDATION				51-034	13305	
		d street (or P O box number if mail is not delivered to street address)		Room	/suite		number (see instruction	ns)
		BOX 15437					36-3000	,
		n, state, and ZIP code						
		NGTON DE 19850-5437				C if exempti	on application is pendir	ng, check here >
			n of a former p	oublic	charity	D 1 Foreign	ı organizations, check h	nere •
							organizations meeting	
ш.	Chook					check h	nere and attach comput	ation
		type of organization \(\times \) Section 501(c)(3) exempt pn 4947(a)(1) nonexempt charitable trust \(\times \) Other tax			-4		oundation status was t	
		narket value of all assets at J Accounting method				1)7(b)(1)(A), check here	
	end of	year (from Part II, col (c), Other (specify)				F If the foun under sec	idation is in a 60-month ition 507(b)(1)(B), chec	termination k here
	line 16		e on cash bası	s)		<u> </u>		
Pa	art I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue expenses p books			t investment ncome	(c) Adjusted net income	(d) Disbursemer for charitable purposes (cash basis on
\neg	1	Contributions, gifts, grants, etc., received (attach schedule)		0			-	
	2	Check ► ☐ if the foundation is not required to attach Sch B						
	3	Interest on savings and temporary cash investments			. -			
	4	Dividends and interest from securities						
	5a	Gross rents						····
	b	Net rental income or (loss)						
a	6a	Net gain or (loss) from sale of assets not on line 10						·····
Revenue	b	Gross sales price for all assets on line 6a						
Ve	7	Capital gain net income (from Part IV, line 2)				0		
Re	8	Net short-term capital gain						
	9	Income modifications						
	10a	Gross sales less returns and allowances						
	b	Less Cost of goods sold						
	c	Gross profit or (loss) (attach schedule)		0				
	11	Other income (attach schedule)					 	
	12	Total. Add lines 1 through 11		0		0	0	
	13	Compensation of officers, directors, trustees, etc.						
oenses	14	Other employee salaries and wages RECEIVE	5					
î	15	Pension plans, employee benefits						
	16a	the state of the s	<u> </u>					
ш	b	Accounting fees (attach schedule) NOV 2 3 2012	<u> </u>					
۷e	c	Other professional fees (attack schedule)	SS-SS					
ati	17	Interest OGDEN, U	 					
str	18	Taxes (attach schedule) (see instructions)		-				
Ξ	19	Depreciation (attach schedule) and depletion			·· ··· ···			
투	20	Occupancy						
Ă	21	Travel, conferences, and meetings						<u> </u>
nd	22	Printing and publications						
a	23	Other expenses (attach schedule)						
Ë	24	Total operating and administrative expenses.						
Operating and Administrative Ex		Add lines 13 through 23		0		0	0	
ď	25	Contributions, gifts, grants paid .						
_	26	Total expenses and disbursements. Add lines 24 and 25		0		0	0	
	27	Subtract line 26 from line 12	1					
	а	Excess of revenue over expenses and disbursements		Ō		٠		
Operating and	b	Net investment income (if negative, enter -0-)	*8			0		ś
	С	Adjusted net income (if negative, enter -0-)					0	- ×

Part II		Balance Sheets Attached schedules and amounts in the description column	Beginning of year	End o	f year
		should be for end-of-year amounts only (See instructions)	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash—non-interest-bearing	0	0	0
	2	Savings and temporary cash investments	0	0	0
	3	Accounts receivable ►0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	4	Pledges receivable ▶0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	5	Grants receivable	0	0	0
	6	Receivables due from officers, directors, trustees, and other	·		
		disqualified persons (attach schedule) (see instructions)	0	0	0
	7	Other notes and loans receivable (attach schedule) ▶ 0 Less allowance for doubtful accounts ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
ţ	8	Inventories for sale or use	0	0	0
Assets	9	Prepaid expenses and deferred charges	0	0	0
As	10a	Investments—U S and state government obligations (attach schedule)	0	0	0
	b	Investments—corporate stock (attach schedule)	0	0	0
	С	Investments—corporate bonds (attach schedule)	0	0	0
	11	Investments—land, buildings, and equipment basis ▶ 0			
		Less accumulated depreciation (attach schedule) ▶ 0	0	o	0
	12	Investments—mortgage loans	0	0	0
	13	Investments—other (attach schedule)	0	0	0
	14	Land, buildings, and equipment basis ▶ 0			
		Less accumulated depreciation (attach schedule) ▶ 0	0	0	0
	15	Other assets (describe ▶ 0	0	0	0
	16	Total assets (to be completed by all filers—see the			
		instructions Also, see page 1, item I)	0	0-	0
	17	Accounts payable and accrued expenses	0	0	
<i>(</i> 0	18	Grants payable	0	0	
ië	19	Deferred revenue	0	0	
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
iak	21	Mortgages and other notes payable (attach schedule)	0	0	
_	22	Other liabilities (describe ► 0	0	0	
	23	Total liabilities (add lines 17 through 22)	0	0	×
		Foundations that follow SFAS 117, check here			•
alances		and complete lines 24 through 26 and lines 30 and 31.			
Jue .	24	Unrestricted	0	0	
ä	25	Temporarily restricted	0	0	
B	26	Permanently restricted	0	0	
Fund B		Foundations that do not follow SFAS 117, check here ▶ □			,
Ē		and complete lines 27 through 31.			5
ō	27	Capital stock, trust principal, or current funds	0	0	1
Net Assets	28	Paid-in or capital surplus, or land, bldg, and equipment fund	0	Ō	ì
SS (29	Retained earnings, accumulated income, endowment, or other funds	0	0	1
Ä	30	Total net assets or fund balances (see instructions)	0	0	3
let	31	Total liabilities and net assets/fund balances (see			. 1
~		instructions)	0	0	
Pa	rt III	Analysis of Changes in Net Assets or Fund Balances		<u> </u>	<u> </u>
1		al net assets or fund balances at beginning of year—Part II, colu	mn (a), line 30 (must	agree with	
	end-	-of-year figure reported on prior year's return)		1	0
2	. Ente	er amount from Part I, line 27a .		2	0
3	Othe	er increases not included in line 2 (itemize) ► 0		3	0
4		lines 1, 2, and 3		4	0
5	Dec	reases not included in line 2 (itemize) ► 0		5	0
6	Tota	al net assets or fund balances at end of year (line 4 minus line 5)—	Part II, column (b), lin	e 30 6	0

Part	V Capital Gains and L	osses for Tax on Invest	ment Income	· -· -		
(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)	
1a	N/A					
b						
<u>C</u>						
d						
е_				L		
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	1	other basis	1 ' '	or (loss) f) minus (g)
<u>a</u>		_	<u> </u>	_		
<u>b</u>						
c d						
e e						
	Complete only for assets showing	ng gain in column (h) and owned	by the foundation	on 12/31/69	(I) Cause (Cal	(h) gain minus
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Exces	ss of col (i) (j), if any	col (k), but not	less than -0-) or om col (h))
а						
b						
С						
d						
е	<u></u>	/ If a a	alaa aataa aa Da		<u> </u>	· · · · · · · · · · · · · · · · · · ·
2	Capital gain net income or (r		, also enter in Pa s), enter -0- in Pa		2	
3	Net short-term capital gain of lf gain, also enter in Part I,					
art	Part I, line 8	Section 4940(e) for Red		<u> </u>	3	
Vas tl	ion 4940(d)(2) applies, leave the foundation liable for the se s," the foundation does not qu	ction 4942 tax on the distribu	Do not complete t	this part	,	☐ Yes ☒ N
1	Enter the appropriate amour	it in each column for each ye	ar, see the instru	ictions before ma	aking any entries	
Cale	(a) Base period years Indar year (or tax year beginning in)	(b) Adjusted qualifying distribution	ns Net value o	(c) of noncharitable-use a		(d) ribution ratio divided by col (c))
	2010					
	2009					
	2008					
	2007					
	2006					
2	Total of line 1, column (d)				2	
3	Average distribution ratio for	the 5-year base period—div	ide the total on li	ne 2 by 5, or by	\	
	number of years the foundat				3	
4	Enter the net value of nonch	arıtable-use assets for 2011	from Part X, line	5	4	
5	Multiply line 4 by line 3				5	
6	Enter 1% of net investment	ncome (1% of Part I, line 27t	0)		6	
7	Add lines 5 and 6				7	
8	Enter qualifying distributions		_		8	
	If line 8 is equal to or greate Part VI instructions	er than line 7, check the box	in Part VI, line 1	b, and complete	that part using a 1	% tax rate Se

Part \	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see in	nstru	ıctio	ns)			
1a	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)						
b							
	here ► ☐ and enter 1% of Part I, line 27b						
С	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)						
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			0			
3	Add lines 1 and 2	····		0			
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			0			
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-			0			
6	Credits/Payments						
	2011 estimated tax payments and 2010 overpayment credited to 2011 6a 0						
b	Exempt foreign organizations—tax withheld at source 6b 0						
C	Tax paid with application for extension of time to file (Form 8868) 6c 0						
d -	Backup withholding erroneously withheld 6d 0			Λ			
7	Total credits and payments Add lines 6a through 6d			0			
8	Enter any penalty for underpayment of estimated tax. Check here			0			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶ 9 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶ 10			$\frac{0}{0}$			
10 11	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid Enter the amount of line 10 to be Credited to 2012 estimated tax ▶ 0 Refunded ▶ 11			0			
	VII-A Statements Regarding Activities						
	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it		Yes	No			
	participate or intervene in any political campaign?	1a		X			
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		Х			
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials	ID					
	published or distributed by the foundation in connection with the activities	_					
	Did the foundation file Form 1120-POL for this year?	1c		X			
	d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$(2) On foundation managers ▶ \$						
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers > \$						
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X			
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X			
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		X			
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X			
	If "Yes," attach the statement required by General Instruction T						
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either						
	● By language in the governing instrument, or			;			
	 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 	6	Χ				
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7		X			
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) N/A		3				
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G? If "No," attach explanation</i>	8b		X			
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or						
	4942(J)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes,"						
	complete Part XIV	9		X			
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses			l v			
	Hames and addresses	10	0.05	<u> X</u>			

Part	VII-A Statements Regarding Activities (continued)						
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X			
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)						
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Χ				
14 15	The books are in care of ► ASTRAZENECA FOUNDATION Telephone no ► 302-8 Located at ► P.O. BOX 15437, WILMINGTON, DE ZIP+4 ► 19850 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here		37	0			
46	and enter the amount of tax-exempt interest received or accrued during the year						
16	over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country.	16	Yes	No X			
Part	VII-B Statements Regarding Activities for Which Form 4720 May Be Required						
- 410	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No			
1a	During the year did the foundation (either directly or indirectly)						
Ia	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (1) Yes (2) No						
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (No Yes No						
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☐ No						
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b					
	Organizations relying on a current notice regarding disaster assistance check here						
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		Х			
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))						
а	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? Yes No						
b	If "Yes," list the years > 20, 20, 20, 20, 20 Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to						
	all years listed, answer "No" and attach statement—see instructions)	2b					
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20, 20, 20,						
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?						
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3b					
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		Х			
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	-	Х			

Page	6

Part	VII-B Statements Regarding Activities	for W	hich Form	4720 N	May Be R	equire	d (contii	nued)			
5a	During the year did the foundation pay or incur an										
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955), or to carry on,										
	directly or indirectly, any voter registration driv						☐ Yes	⊠ No			
	(4) Provide a grant to an individual for travel, stud (4) Provide a grant to an organization other than	a char	itable, etc , c	rganıza		bed in	Yes	⊠ No			
	section 509(a)(1), (2), or (3), or section 4940(v or oduo	ational	Yes	X No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?										
b									5b		
	Organizations relying on a current notice regarding disaster assistance check here										
С	If the answer is "Yes" to question 5a(4), does the because it maintained expenditure responsibility for			exemp	tion from tl	ne tax	☐Yes	□ No			
	If "Yes," attach the statement required by Regulation										
6a	Did the foundation, during the year, receive any f	unds, c	directly or ind	rectly,	to pay prer	niums					
	on a personal benefit contract?			ilu an a	naraanal l		∐ Yes	⊠ No	C.L.	i	Χ
b	Did the foundation, during the year, pay premium If "Yes" to 6b, file Form 8870	s, airec	tily or indirec	ily, on a	personari	beneni (contract		6b		
7a	At any time during the tax year, was the foundation a	party to	o a prohibited	tax shel	ter transact	ion?	☐Yes	∏ No		ŀ	
b	If "Yes," did the foundation receive any proceeds		•				nsaction?	· _	7b		
Par	Information About Officers, Direct and Contractors								nploy	ees,	
1	List all officers, directors, trustees, foundation										
	(a) Name and address	` hou	e, and average rs per week ed to position		npensation paid, enter -0-)	emplo	Contributions yee benefit erred compe	plans	(e) Expe other a	nse acc allowan	
SEE	ATTACHED SCHEDULE										
••••											
2	Compensation of five highest-paid employed "NONE."	es (oth	er than tho	se incl	uded on I	ine 1	see inst	ruction	s). If n	one,	enter
	(a) Name and address of each employee paid more than \$50,00	0	(b) Title, and a hours per videvoted to per	veek	(c) Compe	nsation	(d) Contribution (d) Co	benefit deferred	(e) Expe	nse aco allowan	
NON	E							i			
				 					· - ·-	·	
rotal	number of other employees paid over \$50,000							<u> </u>	orm 9 9	0-PF	(2011)
									J • •		\~~·/

art VIII	Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Er and Contractors (continued)	nployees,
3 Five	e highest-paid independent contractors for professional services (see instructions). If none, enter "NOI	NE."
	(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
IONE		
		0
otal num	ber of others receiving over \$50,000 for professional services	0
Part IX-A	Summary of Direct Charitable Activities	
	oundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of ions and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 AST	RAZENECA FOUNDATION WAS INACTIVE IN 2011	
		0
2		
3		
4		
Part IX-E	Summary of Program-Related Investments (see instructions)	1
	the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NON	VE	
		0
2		
All other i	program-related investments. See instructions	
3		
Fatal Adi	d lines 1 through 2	1
otal. Add	d lines 1 through 3	50cm 990-PF (2011)

Part	Minimum Investment Return (All domestic foundations must complete this part. Foreig see instructions.)	n fou	ndations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.,		
	purposes		
а	Average monthly fair market value of securities	1a	0
b	Average of monthly cash balances	1b	0
С	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	0
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	0
4	Cash deemed held for charitable activities Enter 11/2 % of line 3 (for greater amount, see		
	instructions)	4	0
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	0
6	Minimum investment return. Enter 5% of line 5	6	0
Part	Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part)		
1	Minimum investment return from Part X, line 6	1	0
2a	Tax on investment income for 2011 from Part VI, line 5		
b	Income tax for 2011 (This does not include the tax from Part VI) 2b 0		
С	Add lines 2a and 2b	2c	0
3	Distributable amount before adjustments Subtract line 2c from line 1	3	0
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	0
6	Deduction from distributable amount (see instructions)	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII,		
	line 1	7	0
Part	XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes] [
а	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	0
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
	purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
а	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4 5	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4. Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.	4	0
	Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	0
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating qualifies for the section 4940(e) reduction of tax in those years	g whe	

Part	XIII Undistributed Income (see instruction	ns)			Page 9
		(a)	(b)	(c)	(d)
1	Distributable amount for 2011 from Part XI, line 7	Corpus	Years prior to 2010	2010	2011
2	Undistributed income, if any, as of the end of 2011				
а	Enter amount for 2010 only				
b	Total for prior years 20 , 20 , 20				
3	Excess distributions carryover, if any, to 2011				
a	From 2006				
b	From 2007				
C C	From 2008 From 2009				
d e	From 2010				
f	Total of lines 3a through e	0			
4	Qualifying distributions for 2011 from Part XII, line 4 ▶ \$			11	
а	Applied to 2010, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
С	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2011 distributable amount				
е	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6	Enter the net total of each column as indicated below:				
а	Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
С	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d			0		
е	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012.				C
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9	Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0			· «H»
10	Analysis of line 9				
а		*			*
b	Excess from 2008	, ``			
С	Excess from 2009				
d e	Excess from 2010 Excess from 2011				>

art A		•			<u> </u>	
1a	If the foundation has received a ruling			ivate operating		
	foundation, and the ruling is effective for		-			2) [] (0.40/.)(5)
b	Check box to indicate whether the foun		perating foundation	Prior 3 years	ion	3) or 🔲 4942(J)(5)
2a	Enter the lesser of the adjusted net income from Part I or the minimum	Tax year	(1) 2010		(-1) 2000	(e) Total
	investment return from Part X for	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
	each year listed .	0				0
	85% of line 2a	0	0	0	0	0
С	Qualifying distributions from Part XII, line 4 for each year listed					0
d	Amounts included in line 2c not used directly for active conduct of exempt activities					0
е	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0;	0	0	, 0	0
3	Complete 3a, b, or c for the alternative test relied upon					
а	"Assets" alternative test—enter (1) Value of all assets					0
	(2) Value of assets qualifying under	·				
	section 4942(j)(3)(B)(i)					0
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
С	"Support" alternative test—enter					
·	(1) Total support other than gross					
	investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(1)(3)(B)(w)					0
	section 4942(j)(3)(B)(iii) (3) Largest amount of support from an exempt organization					0
	· -			-		0
Part	(4) Gross investment income XV Supplementary Information	on (Complete th	is part only if th	e foundation h	ad \$5 000 or m	
ı art	any time during the year-			ic roundation ii	αα ψο,σσο σι πι	ore in assets at
1	Information Regarding Foundation					
	List any managers of the foundation before the close of any tax year (but o	who have contribu				by the foundation
b	List any managers of the foundation ownership of a partnership or other en					arge portion of the
2	Information Regarding Contribution Check here ▶ ☐ If the foundation unsolicited requests for funds If the other conditions, complete items 2a, it	only makes contr foundation makes	ibutions to presel	ected charitable of	_	•
а	The name, address, and telephone no	umber of the perso	n to whom applica	tions should be ad	dressed	
b	The form in which applications should	be submitted and	information and m	aterials they shou	ld include	
С	Any submission deadlines					
d	Any restrictions or limitations on a factors	wards, such as b	y geographical ar	eas, charitable fi	elds, kınds of ıns	stitutions, or other

	Supplementary Information (con	tinued)							
3									
	Recipient	If recipient is an individual show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount				
	Name and address (home or business)	or substantial contributor	recipient	5574115411511					
а	Paid during the year								
NC	NE.								
	- · -								
					0				
					İ				
	Tatal								
	Total Approved for future payment	- 	<u> </u>	▶ 3a	C				
	NE								
					c				
	Total	<u></u>							

iter gro	oss amounts unless otherwise indicated	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exemp function income (See instructions
1 Pro	gram service revenue	Dusiness code	Amount	Exclusion code	Amount	(See instructions
а			-			
b						
С						
d				,		
е						
f						
-	Fees and contracts from government agencies		,			
	mbership dues and assessments					
	erest on savings and temporary cash investments					
	idends and interest from securities					
	t rental income or (loss) from real estate					
	Debt-financed property					
	Not debt-financed property					
	rental income or (loss) from personal property					
	ner investment income					
	n or (loss) from sales of assets other than inventory				-	
	t income or (loss) from special events			ļ		.
	oss profit or (loss) from sales of inventory					ļ.— .—
	ner revenue a					
b						-
C						ļ
d						
e		-				\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
	btotal Add columns (b), (d), and (e)	<u> </u>	0		<u>C</u>	
	tal. Add line 12, columns (b), (d), and (e)				13	•
		c)				
ee wo	rksheet in line 13 instructions to verify calculation		ent of Exemp	nt Purnoses		
ee wo art X ine No	rksheet in line 13 instructions to verify calculation VI-B Relationship of Activities to the A	Accomplishme				
ee wo art X	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt purpose.	Accomplishme				importantly to t
ee wo art X ine No	rksheet in line 13 instructions to verify calculation VI-B Relationship of Activities to the A	Accomplishme				Importantly to t
ee wo art X ine No	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt purpose.	Accomplishme				importantly to t
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ee wo art X .ine No	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt purpose.	Accomplishme				

Part 2	(VII		n Regarding Trans ganizations	sfers To and Transac	tions and R	elationshi	ps With	Noncha	ritab	le	
	ın sec	e organization d	lirectly or indirectly e	ngage in any of the follov ection 501(c)(3) organiza						Yes	No
	(1) Ca	ash	porting foundation to	a noncharitable exempt o	rganization o	f		,	1a(1)		X
		her assets							1a(2)		X
		transactions									17
			a noncharitable exer						1b(1)		X
	• •			ble exempt organization					1b(2)		X
			equipment, or other	assets					1b(3)		<u>X</u>
		eimbursement ar	-						1b(4)		X
		ans or loan gua		n or fundraising collectatio					1b(5) 1b(6)		X
				p or fundraising solicitations, other assets, or paid e					1c		X
				," complete the following		olumn (h) s	bould ab	wave show		fair m	
	value	of the goods, ot	ther assets, or servic	tes given by the reporting tement, show in column (d	foundation	If the founda	ation rece	eived less	than t	fair m	arket
(a) Line	no (l	a) Amount involved	(c) Name of nonch	aritable exempt organization	(d) Descri	ption of transfer	s, transacti	ons, and shar	ing arra	angeme	ents
							_				
		-									
_					_	. <u> </u>					
				····							
				· · · · · · · · · · · · · · · · · · ·							
											
	+										
		· .					·				
											
											
	descr	ibed in section 5	501(c) of the Code (o	liated with, or related to, ther than section 501(c)(3			organiza] Ye	s 🛛	No
b	If "Ye	•	following schedule								
		(a) Name of organ	nization	(b) Type of organiza	tion		(c) Descript	tion of relation	ıshıp		
											
								·			
	Unde	r penalties of periury.	I dedare that Lhave examin-	ed this return, including accompan	ying schedules and	d statements, and	d to the best	t of my knowle	dge and	d belief.	ıt ıs true
Sign	corre	ot, and complete Dec	laration of preparer (other th	an taxpayer) is based on all inform	nation of which pre	parer has any kr	owledge				
Here	/	X JAN XT	2100A 10-11-		ASSISTAN	T TREAS	SURER	May the IF with the p	reparer	shown	below
	· — -	ature of officer or tru	stee	Date Tit		- 11/DU	, OI (LII)	(see instru	ctions)?	Yes	□No
n - 1 1	1	Print/Type prepare		Preparer's signature		Date	T 6:	F	PTIN		
Paid								eck			
Prep		Firm's name		1		L	Firm's EIN				
Use (אוחכ	Firm's address ▶					Phone no				
-			-						- 00)A DE	

Form **990-PF** (2011)

APPROVED
By Michael Giunta at 5 22 pm, Oct 30 2012

REVIEWED
By Thoresa A Rogics at 2 39 pm, Oct 31 2017

ASTRAZENECA FOUNDATION
INFORMATION ABOUT OFFICERS AND DIRECTORS
PAGE 6, PART VIII
YEAR END 12/31/11

(a) <u>NAME</u>	ADDRLSS	77 <u>1.1.1.7</u> (q)	TIML DLYOTLD TO <u>POSITION</u>	(c) CONTRIBUTIONS 10 BENEFIT PLANS	(d) LAPENSE ACCT ALLOWANCLS	(c) COMPLNSATION
DAVID P NICOLI	P O BOX 15437 WILMINGTON DE 19850-5437	CHAIRMAN PRLSIDENT & DIRI CTOR	N/A	N/A		Ф
DAVID L WIII L	P O BOA 15437 WILMINGTON DE 19880-5437	FRLASURLR	₹ Z	ςZ	N/N	e
ROBERT L BUSCII	P.O. BOX 15437 WILMINGTON DL 19850-5437	ASSISTAN I PRIASURI R	V 2	<td>N/A</td> <td>С</td>	N/A	С
ROBERT N JENKINS	P O BOX 15437 WILMINGTON DL 19850-5437	ASSISTANT FRLASURLR	V /N	< X	N/A	c
ANN V. BOOTII-BARBARIN	P O BOX 15437 WILMINGTON DL 19850-5437	SECRETARY & DIRECTOR	N/A	N/A	N/A	¢
CAROLYN II MICOLUCCI	P O BOX 15437 WILMINGTON DE 19830-5437	ASSISTANT SECRETARY	N/A	N/A	V,N	c
ROBERT PERKINS	P O BOX 15437 WILMINGTON DE 19850-5437	DIRLCTOR	N/A	V/Z	۷ Ž	c

8868 Form

(Rev January 2012)

Department of the Treasury

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return.

OMB No 1545-1709

internal nevenue	3 Service	_			I				
If you are	filing for an Automatic 3-Month Extension	on, complete c	nly Part I and check this box			▶ 🗸			
If you are	filing for an Additional (Not Automatic) 3	-Month Exten	sion, complete only Part II (o	n page 2 of the	s form)				
Do not con	nplete Part II unless you have already be	en granted an a	automatic 3-month extension o	on a previously	filed Form	8868			
a corporation 8868 to reconstruction Return for	filing (e-file). You can electronically file For required to file Form 990-T), or an addiquest an extension of time to file any of the Transfers Associated With Certain Person For more details on the electronic filing	tional (not auto the forms listed onal Benefit C	matic) 3-month extension of t I in Part I or Part II with the e contracts, which must be ser	ime You can exception of Fo nt to the IRS	electronically orm 8870, Ir in paper fo	y file Form nformation ormat (see			
Part I	Automatic 3-Month Extension of T	ime. Only sub	omit original (no copies need	ded).		<u> </u>			
	ion required to file Form 990-T and re				oox and co	mplete			
All other co	rporations (including 1120-C filers), partne	erships, REMIC	s, and trusts must use Form 7	7004 to reques	t an extensi	on of time			
to file incon	ne tax returns								
			Enter filer	's identifying ni	umber, see ıı	nstructions			
Type or	Name of exempt organization or other filer, s	see instructions	Em	ployer identificat	tion number (l	EIN) or			
print	AstraZeneca Foundation			51-0343305					
File by the	Number, street, and room or suite no. If a P.O. box, see instructions. Social security								
due date for filing your	1800 Concord Pike, PO Box 15437								
return See	City, town or post office, state, and ZIP code. For a foreign address, see instructions								
instructions	Wilmington, DE 19850-5437								
	eturn code for the return that this applicat	ion is for (file a	separate application for each i	return)		0 4			
Application	on	Return	Application			Return			
Is For		Code	Is For			Code			
Form 990		01	Form 990-T (corporation)			07			
Form 990-		02	Form 1041-A			08			
Form 990-		01	Form 4720			09			
Form 990-		04	Form 5227			10			
	T (sec 401(a) or 408(a) trust)	05	Form 6069			11			
Form 990-	T (trust other than above)	06	Form 8870			12			
Telephon If the orga If this is for the who a list with the until for t	anization does not have an office or place or a Group Return, enter the organization's	F of business in s four digit Gro If it is for par tension is for a corporation i exempt organiz	up Exemption Number (GEN) t of the group, check this box required to file Form 990-T) exi zation return for the organization	tension of time	ve The exte	nsion is			
	e tax year entered in line 1 is for less than Change in accounting period	12 monus, cn	ecy reason Initial tettim	☐ Firial return	ı				
	is application is for Form 990-BL, 990-PF	. 990-T 4720	or 6069, enter the tentative ta	x. less any					
	refundable credits See instructions.	, 500 1, 1720,	c. 2200, orner and terracive ta	- 1	a \$	0			

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and

Balance due. Subtract line 3b from line 3a Include your payment with this form, if required, by using

estimated tax payments made. Include any prior year overpayment allowed as a credit

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

EFTPS (Electronic Federal Tax Payment System). See instructions.

Cat No 27916D

Form **8868** (Rev 1-2012)

0

REVIEWED

3b \$

orm 8868 (Re	v 1-2012)			_			Page 2
	filing for an Additional (Not Automatic) 3-Mo						
	complete Part II if you have already been gran			on on a previously f	filed f	Form 88	868.
	filing for an Automatic 3-Month Extension, o						
Part II	Additional (Not Automatic) 3-Month Ex	ktension					
			En	ter filer's identifying			
ype or	Name of exempt organization or other filer, see in	structions		Employer identific	cation	number	(EIN) or
rint	AstraZeneca Foundation			51-0343305		40.04.11	
e by the e date for	Number, street, and room or suite no. If a P.O. box, see instructions Social security number, 1800, Concord, Pake, P.O. Box, 15437					(SSN)	
ng your	Chy town or part of the action and 710 and East of spring address, and partnershape						
um See	.						
tructions	S Wilmington, DE 19850-5437						
iter the R	eturn code for the return that this application is	s for (file a	separate application for	r each return) .			. 04
pplication		Return	•	·		·	Return
s For	/ 11	Code	Is For				Code
orm 990		01			· 0.	Det Seit	1
orm 990-	BI	02	Form 1041-A	5 - 1 Francis - 1-79	27.	r carrie	08
orm 990-		01	Form 4720				09
orm 990-		04	Form 5227				10
orm 990-	T (sec. 401(a) or 408(a) trust)	05					11
Form 990- TOP! Do n	T (sec 401(a) or 408(a) trust) T (trust other than above) not complete Part II if you were not already grades are in the care of AstraZeneca, Pharmace)	06 anted an a	Form 6069 Form 8870 utomatic 3-month exten	<u>-</u>		d Form	11 12
TOP! Do n The book Telephon If the orga	T (trust other than above) not complete Part II if you were not already grass are in the care of ►AstraZeneca Pharmaceu e No. ► 302-886-3000 anization does not have an office or place of bor a Group Return, enter the organization's four	06 anted an au uticals, FAX usiness in ur digit Gro	Form 6069 Form 8870 utomatic 3-month extended LP No. 302-886-5298 the United States, checking Exemption Number	k this box (GEN)		 If th	11 12 8868.
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The book Telephon f the orga f this is for t with the f rec f For f the	are in the care of ►AstraZeneca Pharmaceuse No. ► 302-886-3000 anization does not have an office or place of bor a Group Return, enter the organization's found group, check this box ► If a names and EINs of all members the extension quest an additional 3-month extension of time calendar year , or other tax year beginning tax year entered in line 5 is for less than 12 respectives.	06 anted an articals, FAX usiness in ur digit Gro it is for par n is for	Form 6069 Form 8870 utomatic 3-month extending the United States, check the United States, check the group, check the Movember 15 November 15 , 20	k this box (GEN) is box	 · • [If th	11 12 8868.
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APPROVED	
By Brock Houseman at 2 48 pm, Aug 03, 201	12

REVIEWED By Theresa A Rogler at 9 44 am, Aug 06, 2012

REVIEWED By Robert Tortorello at 5 07 pm, Aug 08, 2012