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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
Niki Charitable Art Foundation

A Employer identification number
47-6245971

Number and street (or P O box number if mail is not delivered to street address) PO Box 2784
Room/suite

B Telephone number (see page 10 of the instructions)
(760) 807-6727

City or town, state, and ZIP code
Park City, UT 84060

C If exemption application is pending, check here ▶ ☐
D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 82,590,891

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	51,129	51,129	51,129	
	4	Dividends and interest from securities	19,551	19,551	19,551	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	46,797			
	b	Gross sales price for all assets on line 6a 730,712				
	7	Capital gain net income (from Part IV, line 2)		45,710		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	151,509	215,279	151,509	
	12	**Total.** Add lines 1 through 11	268,986	331,669	222,189	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	84,392	18,235	18,235	54,765
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	40,658	3,687	3,687	22,226
	b	Accounting fees (attach schedule)	10,132	7,599	7,599	
	c	Other professional fees (attach schedule)	18,216	16,040	16,040	
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	804	719	719	
	19	Depreciation (attach schedule) and depletion	28,266			
	20	Occupancy	5,104			
	21	Travel, conferences, and meetings	123,801			120,545
	22	Printing and publications				
	23	Other expenses (attach schedule)	111,154	742	742	73,721
	24	**Total operating and administrative expenses.** Add lines 13 through 23	422,527	47,022	47,022	271,257
	25	Contributions, gifts, grants paid	10,277			10,277
	26	**Total expenses and disbursements.** Add lines 24 and 25	432,804	47,022	47,022	281,534
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-163,818			
	b	**Net investment income** (if negative, enter -0-)		284,647		
	c	**Adjusted net income** (if negative, enter -0-)			175,167	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	433,044	548,421	548,421
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	26,980,606	26,893,239	78,853,428
	c	Investments—corporate bonds (attach schedule)	1,235,044	1,073,982	1,044,011
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	3,000	3,000	41,772
	14	Land, buildings, and equipment basis ▶ 1,179,495			
		Less accumulated depreciation (attach schedule) ▶ 128,398	1,079,363	1,051,097	850,000
	15	Other assets (describe ▶)	420,386	417,886	1,253,259
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	30,151,443	29,987,625	82,590,891
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	30,151,443	29,987,625	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	30,151,443	29,987,625	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	30,151,443	29,987,625	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	30,151,443
2	Enter amount from Part I, line 27a	2	-163,818
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	29,987,625
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	29,987,625

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	LONG TERM SALES OF STOCK	P	2009-01-01	2011-01-01
b	SHORT TERM SALES OF STOCK	P	2011-01-01	2011-01-01
c	CAPITAL GAIN DISTRIBUTION	P	2009-01-01	2011-01-01
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	543,579		497,710	45,869
b	183,308		187,292	-3,984
c	3,825			3,825
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				45,869
b				-3,984
c				3,825
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	45,710
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	-3,984

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	410,514	2,890,220	0 14204
2009	302,921	2,775,658	0 10914
2008	565,357	3,226,745	0 17521
2007	587,579	4,277,441	0 13737
2006	469,733	5,436,151	0 08641

2	**Total** of line 1, column (d).	2	0 65016
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 13003
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	2,829,947
5	Multiply line 4 by line 3.	5	367,981
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,846
7	Add lines 5 and 6.	7	370,827
8	Enter qualifying distributions from Part XII, line 4.	8	281,534

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	5,693
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	5,693
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	5,693
6	Credits/Payments				
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	1,627		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,000		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	2,627
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	23
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	3,089
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9	Yes	
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11	Yes	
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶www nikidesaintphalle org

14 The books are in care of ▶DAVE W STEVENSON Telephone no ▶(760) 807-6727

Located at ▶PO BOX 2784 PARK CITY UT ZIP+4 ▶84060

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** **No**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** **No**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** **No**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
DAVID STEVENSON PO BOX 2784 PARK CITY, UT 84060	Trustee 10 00	0		
MARCELO ZITELLI Cervino 3560 Buenos Aires 1425 AR	Trustee 7 00	78,235	6,157	
BLOUM CARDENAS 43 Rue Bezout Paris 75014 FR	Trustee 10 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE PURPOSE OF THE FOUNDATION IS TO PROVIDE FOR THE PRESERVATION, RESTORATION, DISPLAY, PRESENTATION, AND INTERPRETATION OF THE NIKI DE SAINT PHALLE COLLECTION AND THE CYCLOPS IN PARIS	269,108
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	

Total. Add lines 1 through 3. . . . . ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,354,787
b	Average of monthly cash balances	1b	518,256
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,873,043
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,873,043
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	43,096
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,829,947
6	**Minimum investment return.** Enter 5% of line 5	6	141,497

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☑ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2011 from Part VI, line 5 — 2a		
b	Income tax for 2011 (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	281,534
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	281,534
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	281,534

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only.				
b Total for prior years 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2011				
a From 2006.				
b From 2007.				
c From 2008.				
d From 2009.				
e From 2010.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2011 from Part XII, line 4 ► $ ___________				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .				
d Applied to 2011 distributable amount.				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2007. . . .				
b Excess from 2008. . . .				
c Excess from 2009. . . .				
d Excess from 2010. . . .				
e Excess from 2011. . . .				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling. . . . . . . ▶ 2002-11-27

b Check box to indicate whether the organization is a private operating foundation described in section ☑ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	**(b)** 2010	**(c)** 2009	**(d)** 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	141,497	144,511		161,337	447,345
b 85% of line 2a	120,272	122,834		137,136	380,242
c Qualifying distributions from Part XII, line 4 for each year listed	281,534	413,566	304,434	571,549	1,571,083
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	281,534	413,566	304,434	571,549	1,571,083
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	94,331	96,340	62,104	107,558	360,333
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ASPEN INSTITUTE 1 DUPONT CIRCLE NORTHWEST STE 700 WASHINGTON, DC 20036	NONE	EXEMPT	SUPPORT TO ASSIST ENHANCED DISSIMINATION ACTIVITIES FOR THE ASPEN INSTITUTE'S NATIONAL STUDY OF ARTIST-ENDOWED FOUNDATIONS, ADDRESSING PRIVATE FOUNDATIONS CREATED BY VISUAL ARTISTS IN THE US	5,000
GALLERIA DEGLI UFFIZI VIA DELLA NINNA 5 FLORENCE, FIRENZE 50122 IT	NONE	EXEMPT	SHIPPING & FREIGHT COSTS PAID FOR DONATION OF ARTWORK	694
WHITNEY MUSEUM 945 MADISON AVE NEW YORK, NY 10021	NONE	EXEMPT	ART PROGRAM	67
MUSEUM OF MODERN ART 11 WEST 53 STREET NEW YORK, NY 10019	NONE	EXEMPT	SHIPPING & FREIGHT COSTS PAID FOR DONATION OF ARTWORK	4,516
Total . . . . ▶ **3a**				10,277
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	51,129	
4 Dividends and interest from securities. . . .			14	19,551	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.	493000	51,538			
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	46,797	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a ROYALTIES			15	215,279	
b NOAH'S ART INC - K-1	711130				-165,302
c ARCHIVE FEE INCOME			1	4,062	
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		51,538		336,818	-165,302

13 Total. Add line 12, columns (b), (d), and (e). 13 223,054

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)
11B	Noah's Art, Inc holds the artwork of Niki De Saint Phalle, maintains the artwork and loans the artwork out for display Thus, Noah's Art "carries on activities which are carried on within a larger aggregate of similar services or within a larger complex of other endeavors which is related to the charitable, educational, or similar exempt purpose of the organization" and meets the definition of a functionally related business as defined in Section 4942(j)(4) The foundation has been formed to educate and promote art in general, which is held by Noah's Art, Inc

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2012-11-15	******
Signature of officer or trustee	Date	Title

Paid Preparer's Use Only

Preparer's Signature ▶ Joan M Wolfe CPA	Date	Check if self-employed ▶ ☐	PTIN
Firm's name ▶ HAMILTON THARP LLP		Firm's EIN ▶	
Firm's address ▶ 323 N PACIFIC COAST HWY 101 SOLANA BEACH, CA 92075		Phone no (858) 481-7702	

May the IRS discuss this return with the preparer shown above? See instructions ▶ ☑ Yes ☐ No

Additional Data

Software ID: 11000144
Software Version: 2011v1.2
EIN: 47-6245971
Name: Nıkı Charıtable Art Foundatıon

Form 990PF - Special Condition Description:

Special Condition Description

TY 2011 Accounting Fees Schedule

Name: Nıkı Charıtable Art Foundatıon

EIN: 47-6245971

Software ID: 11000144

Software Version: 2011v1.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
HAMILTON THARP LLP	10,132	7,599	7,599	0

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2011 Amortization Schedule

Name: Niki Charitable Art Foundation

EIN: 47-6245971

Software ID: 11000144

Software Version: 2011v1.2

Description of Amortized Expenses	Date Acquired, Completed, or Expended	Amount Amortized	Deduction for Prior Years	Amortization Method	Current Year Amortization	Net Investment Income	Adjusted Net Income	Total Amount of Amortization
Website Development	2008-04-13	30,000	27,500	3	2,500			30,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2011 Depreciation Schedule

Name: Nıkı Charıtable Art Foundatıon

EIN: 47-6245971

Software ID: 11000144

Software Version: 2011v1.2

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
Securıty System	2008-04-13	1,808	1,145	54	54 55 %	362			
Wındow/Door treatments	2007-11-28	1,016	322	58	14 63 %	102			
Securıty System	2007-09-01	850	538	54	54 55 %	170			
Bldg Improvements	2007-09-01	21,500	1,793	91	40 0000	538			
Paıntıng	2007-09-01	30,000	2,500	91	40 0000	750			
Toılets/sınks	2007-09-01	1,500	475	58	14 63 %	150			
Kıtchen cabınets/new gate	2007-09-01	3,500	1,108	58	14 63 %	350			
Carpet/tıles	2007-08-23	8,295	2,627	58	14 63 %	829			
Bldg ımprovements	2007-07-15	6,850	599	91	40 0000	171			
9920 Prospect Ave - Bldg	2007-05-16	993,758	89,025	91	40 0000	24,844			

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TY 2011 General Explanation Attachment

Name: Nıkı Charıtable Art Foundatıon
EIN: 47-6245971
Software ID: 11000144
Software Version: 2011v1.2

Identifier	Return Reference	Explanation
		IRC SECTION 6033(H) REPORTING REQUIREMENTPART VII-A, ADDITIONAL DETAILSDETAILS OF FUND TRANSFERS BETWEEN THE NIKI CHARITABLE ART FOUNDATION AND CONTROLLED ENTITY The Nıkı Charıtable Art Foundatıon ıs the 100% ow ner of corporatıon, The Noah's Art, Inc In 2011, the Nıkı Charıtable Art Foundatıon ınvested a total of $118,339 23 ınto Noah's Art, Inc Noah's Art, Inc EIN 33-0663869PO Box 2794Park Cıty, UT 84060
		990PF, PART II, LINE 14STATEMENT 14 DESCRIPTION VARIOUS LAND & BUILDING COMPONENTS, SEE DEPRECIATION SCHEDULE
		FORM 990PFPART VII-ALINE 11 DETAILS AND SCHEDULEThe foundatıon receıved 100% of the votıng stock of Noah's Art Inc on May 1, 2004 The stock w as transferred to the foundatıon vıa gıft at death by Nıkı De Saınt Phalle The value of Noah's Art Inc 's stock ıs not ıncluded ın the mınımum ınvestment return calculatıon because Noah's Art Inc ıs a functıonally related busıness as defıned ın Sectıon 4942(j)(4) Sectıon 53 4942(a)-2(c)(3)(ıı)(d) excludes ınterests ın a 'functıonally related busıness' from the mınımum ınvestment return of a prıvate foundatıon The foundatıon has been formed to educate and promote art ın general More specıfıcally the foundatıon ıs responsıble for the perseveratıon, restoratıon, dısplay, presentatıon and ınterpretatıon of the Nıkı De Saınt Phalle art collectıon Noah's Art, Inc holds the artw ork of Nıkı De Saınt Phalle, maıntaıns the artw ork and loans the artw ork out for dısplay rent free Thus, Noah's Art Inc by, "carryıng on actıvıtıes w hıch are carrıed on w ıthın a larger aggregate of sımılar servıces or w ıthın a larger complex of other endeavors w hıch ıs related to the charıtable, educatıonal, or sımılar exempt purpose of the organızatıon" meets the defınıtıon of a functıonally related busıness as defıned ın Sectıon 4942(j)(4)

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TY 2011 Investments Corporate Bonds Schedule

Name: Nıkı Charıtable Art Foundatıon

EIN: 47-6245971

Software ID: 11000144

Software Version: 2011v1.2

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BONDS - SEE ATTACHED SCHEDULE	1,073,982	1,044,011

TY 2011 Investments Corporate Stock Schedule

Name: Nıkı Charıtable Art Foundatıon

EIN: 47-6245971

Software ID: 11000144

Software Version: 2011v1.2

Name of Stock	End of Year Book Value	End of Year Fair Market Value
STOCKS - SEE ATTACHED SCHEDULE	980,194	1,114,293
NOAH'S ART INC.	25,913,045	77,739,135

TY 2011 Investments - Other Schedule

Name: Nıkı Charıtable Art Foundatıon
EIN: 47-6245971
Software ID: 11000144
Software Version: 2011v1.2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
WINE COLLECTION	AT COST	3,000	41,772

TY 2011 Land, Etc. Schedule

Name: Nıkı Charıtable Art Foundatıon

EIN: 47-6245971

Software ID: 11000144

Software Version: 2011v1.2

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Mıscellaneous	1,179,495	128,398	1,051,097	850,000

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TY 2011 Legal Fees Schedule

Name: Nıkı Charıtable Art Foundatıon

EIN: 47-6245971

Software ID: 11000144

Software Version: 2011v1.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	40,658	3,687	3,687	22,226

TY 2011 Other Assets Schedule

Name: Niki Charitable Art Foundation

EIN: 47-6245971

Software ID: 11000144

Software Version: 2011v1.2

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SECURITY DEPOSIT	200	200	200
NIKI DE SAINT PHALLE ARTWORK	417,686	417,686	1,253,059

TY 2011 Other Expenses Schedule

Name: Nıkı Charıtable Art Foundatıon

EIN: 47-6245971

Software ID: 11000144

Software Version: 2011v1.2

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WEBSITE MAINTENANCE	7,920			7,920
SHOW EXPENSES	729			729
Rental Expenses	17,666			
POSTAGE AND DELIVERY	3,453			3,453
PHOTOGRAPHY	2,149			2,149
OFFICE EXPENSE	56			
INSURANCE	15,182			9,008
DUES AND SUBSCRIPTIONS	659			
COMMUNICATIONS	9,636			
BANK FEES	742	742	742	
ART STORAGE	682			682
ART SHIPPING	21,083			21,083
ART REPAIR	752			752
ARCHIVE RECORDINGS	25,970			25,970
ARCHIVE FEE	1,975			1,975
Amortızatıon	2,500			

TY 2011 Other Income Schedule

Name: Nıkı Charıtable Art Foundatıon

EIN: 47-6245971

Software ID: 11000144

Software Version: 2011v1.2

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ROYALTIES	215,279	215,279	215,279
Rental Income - Nonınvestment Property	97,470		97,470
NOAH'S ART INC - K-1	-165,302		-165,302
ARCHIVE FEE INCOME	4,062		4,062

TY 2011 Other Professional Fees Schedule

Name: Nıkı Charıtable Art Foundatıon

EIN: 47-6245971

Software ID: 11000144

Software Version: 2011v1.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER	2,176	0	0	0
INVESTMENT MGMT	16,040	16,040	16,040	0

TY 2011 Taxes Schedule

Name: Nıkı Charıtable Art Foundatıon

EIN: 47-6245971

Software ID: 11000144

Software Version: 2011v1.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LICENSES & PERMITS	85			
FOREIGN TAXES	719	719	719	

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 30 ($)	Price per share on Dec 30 ($)	Average rate	Dividend/interest period	Days in period
RMA MONEY MKT PORTFOLIO	21,841 45	22,511 60	1 00	0 01%	Nov 23 to Dec 22	30

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
ACCENTURE PLC IRELAND CL A								
Symbol ACN Exchange NYSE								
EAI $130 Current yield 2 54%	Sep 15, 11	26 000	53 198	1,383.17	53 230	1,383 98	0 81	ST
	Sep 16, 11	25 000	54 620	1,365 52	53 230	1,330 75	-34 77	ST
	Sep 20, 11	25 000	55 116	1,377 92	53 230	1,330 75	-47 17	ST
	Sep 28, 11	20 000	54 273	1,085 46	53 230	1,064 60	-20 86	ST
Security total		96 000	54 292	5,212.07		5,110 08	-101 99	
ALLERGAN INC								
Symbol AGN Exchange NYSE								
EAI $10 Current yield 0 24%	Aug 24, 11	17 000	77 039	1,309 67	87.740	1,491 58	181 91	ST
	Aug 30, 11	17 000	80 335	1,365 70	87 740	1,491 58	125 88	ST
	Sep 28, 11	14 000	84 118	1,177 66	87 740	1,228 36	50 70	ST
Security total		48 000	80 271	3,853 03		4,211 52	358 49	
APPLE INC								
Symbol AAPL Exchange OTC	Oct 1, 09	7 000	183 377	1,283 64	405 000	2,835 00	1,551.36	LT
	Jan 27, 10	1 000	206 670	206 67	405 000	405 00	198 33	LT
	Apr 29, 10	7 000	269 415	1,885 91	405 000	2,835 00	949 09	LT
	Jul 14, 10	2 000	253 150	506 30	405 000	810 00	303 70	LT
	Jul 21, 10	2 000	259 210	518 42	405 000	810 00	291 58	LT

continued next page

UBS

Friendly account name: Marisco LCG
Account number: M4 06089 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Sep 27, 11	3 000	402 300	1,206 90	405 000	1,215 00	8.10	ST
	Dec 22, 11	6 000	398 383	2,390 30	405 000	2,430 00	39.70	ST
Security total		28 000	285 648	7,998.14		11,340.00	3,341.86	
AUTOZONE INC Symbol AZO Exchange. NYSE	Aug 24, 11	4.000	300.517	1,202 07	324.970	1,299 88	97 81	ST
BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR Symbol BIDU Exchange OTC	Nov 5, 09	5 000	39 654	198 27	116 470	582 35	384 08	LT
	Nov 6, 09	30 000	40 290	1,208.71	116 470	3,494 10	2,285.39	LT
	Oct 8, 10	9 000	99.241	893 17	116 470	1,048 23	155 06	LT
	Apr 20, 11	16 000	150 493	2,407 90	116 470	1,863.52	-544.38	ST
	Jun 30, 11	4 000	139.912	559 65	116 470	465 88	-93.77	ST
	Aug 30, 11	10 000	148 257	1,482.57	116 470	1,164 70	-317 87	ST
Security total		74 000	91.220	6,750 27		8,618 78	1,868.51	
BIOGEN IDEC INC Symbol BIIB Exchange OTC	Jun 22, 11	15 000	99 922	1,498 84	110 050	1,650.75	151.91	ST
	Jun 23, 11	13 000	100.112	1,301.46	110 050	1,430 65	129 19	ST
	Jun 24, 11	1.000	100.110	100 11	110 050	110 05	9 94	ST
	Jun 27, 11	5 000	104 646	523 23	110 050	550 25	27.02	ST
	Jun 28, 11	21.000	106 480	2,236 10	110 050	2,311 05	74 95	ST
	Nov 23, 11	9 000	110.793	997 14	110 050	990 45	-6 69	ST
Security total		64 000	104 014	6,656 88		7,043.20	386 32	
BRISTOL MYERS SQUIBB CO Symbol BMY Exchange NYSE EAI $173 Current yield 3 87%	Nov 29, 11	43.000	31 508	1,354 86	35 240	1,515 32	160 46	ST
	Dec 5, 11	42.000	33 047	1,387.98	35.240	1,480 08	92 10	ST
	Dec 20, 11	31.000	34.933	1,082.93	35 240	1,092 44	9 51	ST
	Dec 27, 11	11.000	35 288	388 17	35 240	387 64	-0 53	ST
Security total		127.000	33.181	4,213.94		4,475.48	261.54	
CHECK POINT SOFTWARE TECH LTD ILS Symbol CHKP Exchange OTC	Aug 12, 11	10 000	55 020	550 20	52 540	525 40	-24 80	ST
	Aug 15, 11	15 000	56 179	842.69	52 540	788 10	-54 59	ST

continued next page

Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Aug 17, 11	23 000	56 450	1,298 36	52 540	1,208 42	-89 94	ST
Security total		48 000	56 068	2,691 25		2,521 92	-169 33	
COACH INC								
Symbol COH Exchange NYSE								
EAI $59 Current yield 1 46%	Jun 3, 11	6 000	61 030	366 18	61.040	366.24	0 06	ST
	Jun 9, 11	14 000	60 119	841 67	61 040	854 56	12 89	ST
	Jun 10, 11	10 000	59 500	595 00	61 040	610 40	15 40	ST
	Jun 29, 11	15 000	61.775	926 63	61 040	915 60	-11 03	ST
	Jul 1, 11	21 000	65 820	1,382 23	61 040	1,281 84	-100 39	ST
Security total		66 000	62 299	4,111 71		4,028 64	-83 07	
COGNIZANT TECH SOLUTIONS CRP								
Symbol CTSH Exchange OTC	Sep 28, 11	20 000	65 108	1,302.17	64 310	1,286 20	-15 97	ST
CUMMINS INC								
Symbol CMI Exchange NYSE								
EAI $94 Current yield 1 81%	Jul 14, 10	6 000	74 521	447 13	88 020	528 12	80 99	LT
	Jul 15, 10	9 000	73 814	664 33	88 020	792.18	127 85	LT
	Aug 12, 10	7 000	78 241	547 69	88 020	616 14	68 45	LT
	Aug 13, 10	8 000	78 057	624 46	88 020	704 16	79 70	LT
	Sep 23, 10	12 000	89 340	1,072 09	88 020	1,056 24	-15 85	LT
	Sep 28, 11	17 000	90 165	1,532 81	88 020	1,496 34	-36.47	ST
Security total		59 000	82 856	4,888 51		5,193 18	304 67	
DANAHER CORP								
Symbol DHR Exchange NYSE								
EAI $10 Current yield 0 20%	May 3, 10	27 000	42 751	1,154 30	47 040	1,270 08	115 78	LT
	May 11, 10	14 000	42 107	589 51	47 040	658 56	69 05	LT
	May 12, 10	12 000	43.406	520 88	47 040	564.48	43 60	LT
	Jul 14, 10	8 000	37 976	303.81	47 040	376 32	72 51	LT
	Jun 28, 11	11 000	52 013	572 15	47 040	517 44	-54 71	ST
	Aug 30, 11	15 000	44 814	685 72[3]	47 040	705 60	19 88	LT
	Oct 7, 11	17 000	42 382	720 50	47 040	799 68	79 18	ST
Security total		104 000	43 720	4,546 87		4,892 16	345 29	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
DOLLAR TREE INC								
Symbol DLTR Exchange. OTC	Dec 23, 11	8 000	82.592	660 74	83 110	664 88	4 14	ST
DOW CHEMICAL								
Symbol DOW Exchange NYSE								
EAI $101 Current yield 3 48%	Dec 28, 09	25 000	28 317	707.94	28 760	719 00	11 06	LT
	Sep 23, 10	27 000	27 004	729.11	28.760	776 52	47.41	LT
	Oct 13, 10	49.000	29.880	1,464 12	28 760	1,409.24	-54 88	LT
Security total		101 000	28 724	2,901.17		2,904 76	3.59	
EATON CORP								
Symbol ETN Exchange: NYSE								
EAI $129 Current yield 3.12%	Apr 20, 11	29 000	53.386	1,548 22	43 530	1,262.37	-285 85	ST
	Oct 12, 11	36 000	41.796	1,661 03[2]	43 530	1,567 08	-93 95	ST
	Oct 14, 11	30 000	42 171	1,265 13	43.530	1,305 90	40 77	ST
Security total		95.000	47 099	4,474 38		4,135 35	-339.03	
GOOGLE INC CL A								
Symbol GOOG Exchange OTC	Jul 15, 11	3.000	592.810	1,778 43	645 900	1,937 70	159 27	ST
	Aug 30, 11	2 000	540 725	1,257.32[2]	645 900	1,291.80	34 48	ST
	Oct 14, 11	2 000	592 225	1,184 45	645 900	1,291 80	107 35	ST
	Nov 11, 11	5.000	605 430	3,027.15	645 900	3,229.50	202 35	ST
Security total		12 000	603 946	7,247 35		7,750 80	503 45	
HALLIBURTON CO								
(HOLDING COMPANY)								
Symbol HAL Exchange NYSE								
EAI $75 Current yield. 1.05%	Nov 23, 10	3 000	36 450	109 35	34 510	103.53	-5 82	LT
	Feb 2, 11	39.000	46 362	1,808.13	34 510	1,345 89	-462.24	ST
	Feb 3, 11	17 000	46 298	787 08	34 510	586 67	-200 41	ST
	Feb 3, 11	15.000	46 258	693.88	34 510	517.65	-176 23	ST
	Mar 14, 11	38 000	44.524	1,691 93	34.510	1,311 38	-380 55	ST
	May 5, 11	11 000	47 103	518 14	34 510	379 61	-138 53	ST
	Jul 21, 11	43 000	56 600	2,433.84	34 510	1,483 93	-949 91	ST
	Aug 24, 11	15 000	39.923	598 85	34.510	517 65	-81 20	ST
	Aug 30, 11	10 000	43.011	430 11	34 510	345.10	-85 01	ST
	Sep 27, 11	16 000	34 673	554.77	34 510	552.16	-2 61	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
Security total		207 000	46 503	9,626 08		7,143.57	-2,482 51	
HOME DEPOT INC								
Symbol HD Exchange NYSE								
EAI $114 Current yield 2 77%	Dec 2, 11	18 000	40 107	721 94	42 040	756 72	34 78	ST
	Dec 5, 11	17 000	40 327	685 56	42 040	714 68	29 12	ST
	Dec 6, 11	36 000	40 297	1,450 72	42 040	1,513 44	62 72	ST
	Dec 20, 11	27 000	41 490	1,120 25	42 040	1,135 08	14 83	ST
Security total		98 000	40 597	3,978 47		4,119 92	141 45	
LAUDER ESTEE COS CL A								
Symbol EL Exchange NYSE								
EAI $78 Current yield 0 94%	Jul 15, 10	17 000	64 605	1,098 29	112 320	1,909 44	811 15	LT
	Aug 12, 10	11 000	57 683	634 52	112 320	1,235 52	601 00	LT
	Sep 23, 10	24 000	60 220	1,445 30	112 320	2,695 68	1,250 38	LT
	Aug 15, 11	6 000	93 411	560 47	112.320	673 92	113 45	ST
	Aug 24, 11	12 000	92 355	1,108 26	112 320	1,347 84	239 58	ST
	Oct 7, 11	4 000	93 295	373 18	112 320	449 28	76 10	ST
Security total		74 000	70 541	5,220 02		8,311 68	3,091 66	
LULULEMON ATHLETICA INC								
Symbol LULU Exchange OTC	Oct 5, 11	13 000	49 542	644 05	46 660	606 58	-37 47	ST
MCDONALDS CORP								
Symbol MCD Exchange NYSE								
EAI $249 Current yield 2 79%	Jul 2, 07	2 000	51 250	102 50	100 330	200 66	98 16	LT
	Oct 4, 07	10 000	56 063	560 63	100 330	1,003 30	442 67	LT
	Oct 5, 07	14 000	56 044	784 62	100 330	1,404 62	620 00	LT
	Jan 25, 08	23 000	54.148	1,245 41	100 330	2,307 59	1,062 18	LT
	Mar 25, 08	1 000	56 400	56 40	100 330	100 33	43.93	LT
	Jul 9, 10	9 000	69.144	622 30	100 330	902 97	280 67	LT
	Jun 29, 11	11 000	84 230	926 54	100 330	1,103 63	177 09	ST
	Sep 27, 11	19 000	90 180	1,713 43	100 330	1,906 27	192 84	ST
Security total		89 000	67 549	6,011 83		8,929 37	2,917 54	

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UBS

Friendly account name: Marisco LCG
Account number: M4 06089 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
MEAD JOHNSON NUTRITION CO COM STOCK								
Symbol MJN Exchange NYSE								
EAI $87 Current yield 1 51%	Aug 2, 10	13 000	54 230	705 00	68 730	893 49	188 49	LT
	Sep 30, 10	20 000	57 039	1,140 79	68 730	1,374 60	233 81	LT
	Jun 29, 11	11 000	66 942	736 37	68 730	756 03	19 66	ST
	Aug 17, 11	4 000	70 970	283 88	68.730	274 92	-8 96	ST
	Aug 30, 11	6 000	70 786	424 72	68 730	412 38	-12 34	ST
	Aug 31, 11	4 000	71.022	284 09	68 730	274 92	-9 17	ST
	Dec 22, 11	26 000	69 799	1,814 79	68 730	1,786 98	-27 81	ST
Security total		84 000	64 162	5,389 64		5,773 32	383 68	
MONSANTO CO NEW								
Symbol MON Exchange NYSE								
EAI $137 Current yield 1 72%	Jun 11, 10	10 000	50 999	509 99	70 070	700 70	190.71	LT
	Jul 1, 10	15 000	45 882	688 23	70 070	1,051 05	362 82	LT
	Jul 2, 10	12 000	46 469	557 63	70 070	840 84	283 21	LT
	Sep 23, 10	30 000	53 841	1,615 25	70 070	2,102.10	486 85	LT
	Sep 28, 10	15 000	48 150	722 26	70 070	1,051 05	328 79	LT
	Oct 1, 10	14 000	48 101	673 42	70 070	980 98	307 56	LT
	Oct 5, 10	16 000	48 782	780 52	70 070	1,121 12	340 60	LT
	Oct 6, 10	2 000	49 275	98 55	70 070	140 14	41 59	LT
Security total		114 000	49 525	5,645 85		7,987 98	2,342 13	
NATL-OILWELLVARCO INC								
Symbol NOV Exchange NYSE								
EAI $34 Current yield 0 71%	Jul 21, 11	19 000	81 443	1,547 43	67 990	1,291 81	-255 62	ST
	Jul 28, 11	9 000	81 841	736 57	67 990	611 91	-124 66	ST
	Aug 24, 11	13 000	63 245	822 19	67 990	883 87	61 68	ST
	Sep 27, 11	5 000	57 160	285 80	67 990	339 95	54 15	ST
	Oct 6, 11	24 000	57 173	1,372 16	67 990	1,631 76	259 60	ST
Security total		70 000	68 059	4,764 15		4,759 30	-4 85	
NIKE INC CL B								
Symbol NKE Exchange NYSE								
EAI $137 Current yield 1 50%	Jul 16, 08	8 000	57 117	456 94	96 370	770 96	314 02	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Jul 17, 08	13 000	58 480	760 24	96 370	1,252 81	492 57	LT
	Nov 26, 08	15 000	51 860	777 91	96 370	1,445 55	667 64	LT
	Nov 26, 08	2 000	52 965	105 93	96 370	192 74	86 81	LT
	Dec 8, 08	15 000	56 630	849 45	96 370	1,445 55	596 10	LT
	Dec 9, 08	15 000	53 811	807 17	96 370	1,445 55	638 38	LT
	Feb 3, 10	11 000	63 933	703 27	96 370	1,060 07	356 80	LT
	Feb 4, 10	8 000	62.335	498 68	96 370	770 96	272.28	LT
	Jul 21, 10	8 000	69 880	559 04	96 370	770 96	211 92	LT
Security total		95 000	58 091	5,518 63		9,155 15	3,636 52	
O REILLY AUTOMOTIVE INC								
Symbol ORLY Exchange OTC	Jun 20, 11	11 000	62 748	690 23	79 950	879 45	189 22	ST
	Jun 21, 11	11 000	63 269	695 96	79 950	879 45	183 49	ST
	Jul 28, 11	13 000	59 746	776 71	79 950	1,039 35	262 64	ST
	Aug 9, 11	11 000	58 410	642 51	79 950	879 45	236 94	ST
	Oct 7, 11	1 000	67 600	67 60	79 950	79 95	12 35	ST
Security total		47 000	61.128	2,873 01		3,757 65	884 64	
OCCIDENTAL PETROLEUM CRP								
Symbol OXY Exchange NYSE								
EAI $155 Current yield 1 97%	Mar 14, 11	15 000	98 846	1,482 69	93 700	1,405 50	-77 19	ST
	Mar 21, 11	6 000	101 058	606 35	93 700	562 20	-44 15	ST
	Apr 28, 11	9 000	103 916	935 25	93 700	843 30	-91.95	ST
	May 2, 11	10 000	116 220	1,162 20	93 700	937 00	-225 20	ST
	May 5, 11	5 000	106 486	532 43	93 700	468 50	-63 93	ST
	May 26, 11	4 000	105 270	421 08	93 700	374 80	-46 28	ST
	May 31, 11	6 000	108 068	648 41	93 700	562 20	-86 21	ST
	Jun 29, 11	18 000	103 061	1,855.11	93 700	1,686 60	-168 51	ST
	Sep 27, 11	11 000	78 348	861 83	93 700	1,030 70	168 87	ST
Security total		84 000	101 254	8,505 35		7,870 80	-634 55	
PPG INDUSTRIES INC								
Symbol PPG Exchange NYSE								
EAI $75 Current yield 2 72%	Mar 26, 10	9 000	65 954	593 59	83 490	751 41	157 82	LT
	Jul 9, 10	4 000	64 527	258 11	83 490	333 96	75 85	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Jul 21, 10	8 000	65 105	520 84	83 490	667 92	147 08	LT
	Jun 28, 11	6 000	88 293	529 76	83 490	500 94	-28 82	ST
	Jun 29, 11	6 000	88 345	530 07	83 490	500 94	-29 13	ST
Security total		33 000	73 708	2,432 37		2,755 17	322 80	
PRAXAIR INC								
Symbol PX Exchange NYSE								
EAI $172 Current yield 1 87%	Dec 26, 06	9 000	59 870	538 83	106 900	962 10	423 27	LT
	May 18, 07	23 000	69 008	1,587 19	106 900	2,458 70	871 51	LT
	Jul 25, 07	17 000	75 804	1,288 67	106 900	1,817 30	528 63	LT
	Jul 9, 10	12 000	80 895	970 74	106 900	1,282 80	312 06	LT
	Dec 3, 10	10 000	93 811	938 11	106 900	1,069 00	130 89	LT
	Dec 6, 10	6 000	93 731	562.39	106 900	641 40	79 01	LT
	Dec 7, 10	3 000	93 583	280 75	106 900	320 70	39 95	LT
	Jun 28, 11	6 000	105 740	634 44	106 900	641 40	6 96	ST
Security total		86 000	79.083	6,801 12		9,193 40	2,392 28	
PRECISION CASTPARTS CORP								
Symbol PCP Exchange NYSE								
EAI $5 Current yield 0 08%	Jul 22, 10	12 000	116 169	1,394 03	164 790	1,977 48	583 45	LT
	Oct 22, 10	9 000	140 131	1,261 18	164 790	1,483 11	221 93	LT
	Nov 12, 10	7 000	133 535	934 75	164 790	1,153 53	218 78	LT
	Jan 7, 11	4 000	142 905	571 62	164 790	659 16	87 54	ST
	Jun 28, 11	6 000	159 556	957 34	164 790	988 74	31 40	ST
Security total		38 000	134 708	5,118 92		6,262 02	1,143 10	
PRICELINE COM INC NEW								
Symbol PCLN Exchange OTC	Apr 13, 10	5 000	257 068	1,285 34	467 710	2,338 55	1,053 21	LT
	May 11, 10	5 000	219.918	1,099 59	467 710	2,338 55	1,238 96	LT
	Jul 21, 10	3 000	221 770	665 31	467.710	1,403 13	737 82	LT
	Jun 28, 11	4 000	493 720	1,974 88	467 710	1,870 84	-104 04	ST
	Aug 30, 11	1 000	537 030	537 03	467.710	467.71	-69 32	ST
Security total		18 000	309 008	5,562 15		8,418 78	2,856 63	
QUALCOMM INC								
Symbol QCOM Exchange OTC								
EAI $73 Current yield 1 57%	Nov 3, 11	25 000	56 121	1,403 04	54 700	1,367 50	-35 54	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Nov 4, 11	14 000	56 027	784 39	54 700	765 80	-18 59	ST
	Nov 11, 11	35 000	56 815	1,988.55	54 700	1,914 50	-74 05	ST
	Nov 22, 11	11 000	54 359	597 95	54 700	601 70	3 75	ST
Security total		85 000	56 164	4,773 93		4,649 50	-124 43	
STARBUCKS CORP Symbol SBUX Exchange OTC EAI $143 Current yield 1 47%	Dec 7, 10	43 000	32.963	1,417.41	46 010	1,978 43	561 02	LT
	Feb 1, 11	47 000	32.105	1,508 96	46 010	2,162 47	653 51	ST
	Feb 28, 11	24 000	32 860	788 66	46 010	1,104 24	315 58	ST
	Mar 1, 11	21 000	32 388	680 16	46 010	966 21	286 05	ST
	Mar 4, 11	46 000	33 000	1,518 00	46 010	2,116 46	598 46	ST
	Apr 12, 11	2 000	35 495	70 99	46 010	92.02	21.03	ST
	Apr 14, 11	9 000	35 403	318 63	46 010	414 09	95 46	ST
	Aug 24, 11	19 000	36 761	698 47	46 010	874 19	175 72	ST
Security total		211 000	33 181	7,001 28		9,708 11	2,706 83	
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW Symbol HOT Exchange NYSE EAI $25 Current yield 1 04%	Oct 20, 08	30 000	20 806	624 20	47.970	1,439 10	814 90	LT
	Oct 21, 08	20 000	21 467	429 34	47 970	959 40	530 06	LT
Security total		50 000	21 071	1,053 54		2,398 50	1,344 96	
TIFFANY & CO NEW Symbol TIF Exchange NYSE EAI $50 Current yield 1 75%	Jul 27, 10	10 000	41 906	419 06	66 260	662 60	243 54	LT
	Jan 11, 11	10 000	60 577	605 77	66 260	662 60	56 83	ST
	Jan 11, 11	9 000	60 701	546 31	66 260	596 34	50 03	ST
	Jan 12, 11	13 000	61 112	794 46	66 260	861 38	66 92	ST
	Jan 12, 11	1 000	61 040	61 04	66 260	66 26	5 22	ST
Security total		43.000	56 433	2,426 64		2,849.18	422 54	
TIME WARNER INC NEW Symbol TWX Exchange NYSE EAI $220 Current yield 2 60%	Feb 28, 11	26 000	38 206	993.38	36 140	939 64	-53 74	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Mar 3, 11	18 000	37.933	682 81	36 140	650 52	-32 29	ST
	Mar 4, 11	24 000	37 412	897 91	36 140	867.36	-30.55	ST
	Apr 13, 11	49 000	35 662	1,747 47	36 140	1,770 86	23 39	ST
	Apr 21, 11	40 000	36 382	1,455 30	36 140	1,445 60	-9 70	ST
	Jun 28, 11	17 000	35 608	605 35	36 140	614 38	9 03	ST
	Jun 29, 11	24 000	36 071	865 72	36 140	867 36	1 64	ST
	Dec 22, 11	36 000	35 356	1,272 82	36 140	1,301 04	28 22	ST
Security total		234 000	36 414	8,520 76		8,456 76	-64 00	
TJX COS INC NEW								
Symbol TJX Exchange NYSE								
EAI $144 Current yield 1 18%	May 25, 10	1 000	44 240	44 24	64 550	64 55	20 31	LT
	May 27, 10	13 000	45 582	592.57	64 550	839.15	246 58	LT
	Jun 10, 10	26 000	46 083	1,198 16	64 550	1,678 30	480 14	LT
	Jun 18, 10	57 000	46 372	2,643 26	64 550	3,679 35	1,036 09	LT
	Dec 2, 10	19 000	44 527	846 02	64 550	1,226 45	380 43	LT
	Mar 4, 11	23 000	50 485	1,161.16	64 550	1,484 65	323 49	ST
	May 5, 11	12 000	53 524	642 29	64 550	774 60	132 31	ST
	Jun 21, 11	24 000	50 900	1,221 61	64 550	1,549 20	327 59	ST
	Jun 28, 11	14 000	51 450	720 31	64 550	903 70	183 39	ST
Security total		189 000	47 987	9,069 62		12,199 95	3,130 33	
UNION PACIFIC CORP								
Symbol UNP Exchange NYSE								
EAI $190 Current yield 2 27%	Dec 26, 06	37 000	45 474	1,682 57	105 940	3,919.78	2,237 21	LT
	Feb 1, 08	14 000	63 181	884 54	105 940	1,483 16	598 62	LT
	Oct 30, 09	10 000	54 940	549 40	105 940	1,059 40	510 00	LT
	Dec 22, 11	14 000	104 330	1,460 63	105 940	1,483 16	22 53	ST
	Dec 23, 11	4 000	105.175	420.70	105 940	423 76	3 06	ST
Security total		79 000	63 264	4,997 84		8,369 26	3,371 42	
US BANCORP DEL (NEW)								
Symbol USB Exchange NYSE								
EAI $105 Current yield 1 85%	Apr 21, 10	4 000	28 150	112 60	27 050	108 20	-4 40	LT
	Apr 22, 10	38 000	27 272	1,036 36	27 050	1,027 90	-8 46	LT
	Jul 9, 10	35 000	23 669	828 43	27 050	946 75	118.32	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Oct 20, 10	46 000	22 851	1,051.19	27 050	1,244 30	193 11	LT
	Dec 10, 10	61 000	26 363	1,608 20	27 050	1,650 05	41.85	LT
	Dec 21, 11	26 000	26 665	693 31	27 050	703 30	9 99	ST
Security total		210 000	25 381	5,330 09		5,680 50	350 41	
VISA INC CL A Symbol V Exchange NYSE EAI $75 Current yield 0 87%	Jun 30, 11	12 000	84 845	1,018 14	101 530	1,218 36	200 22	ST
	Jul 1, 11	52 000	87 135	4,531 05	101.530	5,279 56	748 51	ST
	Aug 24, 11	21 000	85 622	1,798 07	101 530	2,132 13	334 06	ST
Security total		85 000	86 438	7,347 26		8,630 05	1,282 79	
WELLS FARGO & CO NEW Symbol WFC Exchange NYSE EAI $76 Current yield 1.75%	Dec 9, 11	51 000	26 945	1,374 22	27 560	1,405 56	31 34	ST
	Dec 21, 11	107 000	26 497	2,835 18	27 560	2,948 92	113 74	ST
Security total		158 000	26 642	4,209 40		4,354 48	145 08	
WYNN RESORTS LTD Symbol WYNN Exchange OTC EAI $140 Current yield 1 81%	Jul 14, 10	14 000	82 562	1,155 88	110 490	1,546 86	390 98	LT
	Jul 30, 10	7 000	87.115	609 81	110 490	773 43	163 62	LT
	Jan 19, 11	11 000	118 598	1,304 58	110 490	1,215 39	-89 19	ST
	Aug 30, 11	19 000	153 110	2,909.10	110 490	2,099 31	-809 79	ST
	Aug 31, 11	2 000	153 425	306 85	110 490	220 98	-85 87	ST
	Oct 3, 11	2 000	113 755	227 51	110 490	220 98	-6 53	ST
	Dec 23, 11	15 000	109 301	1,639.52	110 490	1,657 35	17 83	ST
Security total		70 000	116 475	8,153 25		7,734 30	-418 95	
YOUKU INC SPON ADR Symbol YOKU Exchange: NYSE	May 20, 11	16 000	48 334	773 35	15 670	250 72	-522 63	ST
	May 23, 11	15 000	45 754	686 31	15 670	235 05	-451 26	ST
	Jun 7, 11	10 000	33 912	339 12	15 670	156 70	-182 42	ST
	Jun 28, 11	23 000	33 786	777.10	15 670	360 41	-416 69	ST
Security total		64 000	40 248	2,575 88		1,002 88	-1,573 00	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
YUM! BRANDS INC								
Symbol YUM Exchange NYSE								
EAI $131 Current yield 1 93%	Sep 2, 10	25 000	43 344	1,083 61	59 010	1,475 25	391 64	LT
	Sep 3, 10	28 000	44 297	1,240 33	59 010	1,652 28	411 95	LT
	Sep 14, 10	14 000	45 976	643.67	59 010	826 14	182 47	LT
	Oct 1, 10	15 000	46 384	695 76	59 010	885 15	189 39	LT
	Jul 7, 11	10 000	55 404	554 04	59 010	590 10	36 06	ST
	Aug 24, 11	7 000	52 354	366 48	59 010	413 07	46 59	ST
	Aug 25, 11	16 000	51 923	830 77	59 010	944 16	113 39	ST
Security total		115 000	47 084	5,414 66		6,786 15	1,371 49	
Total				**$217,676.34**		**$253,341.14**	**$35,664.80**	

Total estimated annual income: $3,396

[2] Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Fixed income

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
WELLS FARGO & CO NEW 8 000%								
PREFERRED CLBL								
Symbol WFC PRJ Exchange NYSE								
EAI $136 Current yield 7 03%	Dec 30, 08	4 000	21 897	87.59	28 430	113.72	26 13	LT
	Dec 31, 08	14 000	21 948	307 28	28 430	398 02	90 74	LT
	Mar 18, 09	12 000	16 249	194 99	28 430	341 16	146 17	LT
	Aug 28, 09	38 000	24 501	931 05	28 430	1,080 34	149 29	LT
Security total		68 000		1,520 91		1,933 24	412 33	

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 30 ($)	Price per share on Dec 30 ($)	Average rate	Dividend/interest period	Days in period
RMA MONEY MKT PORTFOLIO	4,796 42	3,743 99	1 00	0 01%	Nov 23 to Dec 22	30

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
ANHEUSER BUSCH INBEV SPON ADR								
Symbol BUD Exchange NYSE								
EAI $132 Current yield 1 59%	Jul 6, 09	25 000	37.386	934 66	60 990	1,524 75	590.09	LT
	Nov 12, 09	65 000	47 781	3,105 80	60 990	3,964 35	858 55	LT
	Nov 18, 10	13 000	60 410	785 33	60.990	792 87	7 54	LT
	Nov 22, 10	16 000	61 041	976.67	60 990	975 84	-0 83	LT
	Apr 1, 11	17 000	58 502	994 54	60 990	1,036 83	42 29	ST
Security total		136 000	49.978	6,797.00		8,294 64	1,497 64	
ASSA ABLOY AB ADR								
Symbol ASAZY Exchange OTC								
EAI $104 Current yield 1.98%	Feb 9, 10	172 000	9.147	1,573.37	12 460	2,143 12	569 75	LT
	Mar 3, 10	137 000	9 878	1,353 35	12 460	1,707 02	353 67	LT
	May 24, 10	73 000	9 926	724 64	12.460	909.58	184 94	LT
	Jan 5, 11	26 000	14 344	372.95	12 460	323.96	-48 99	ST
	Nov 29, 11	14 000	11 306	158 29	12 460	174 44	16 15	ST
Security total		422 000	9 911	4,182.60		5,258 12	1,075 52	
ATLANTIA SPA ADR								
Symbol ATASY Exchange OTC								
EAI $123 Current yield 4 33%	Jan 14, 11	102 500	10 598	1,086.37	7 910	810 78	-275 59	ST

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UBS

Friendly account name: Lazard Int'l
Account number: M4 06092 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Feb 8, 11	100 800	10 870	1,095 71	7 910	797 33	-298 38	ST
	Feb 14, 11	98 700	10 835	1,069 43	7 910	780 72	-288 71	ST
	Sep 29, 11	57 000	7 385	420 97	7 910	450 87	29 90	ST
Security total		359 000	10 230	3,672 48		2,839 69	-832 78	
AXA ADR Symbol AXAHY Exchange OTC EAI $87 Current yield 6 50%	Oct 27, 11	104 000	17 601	1,830 56	12 860	1,337 44	-493 12	ST
BAYER A G SPON ADR Symbol BAYRY Exchange OTC EAI $52 Current yield 2 55%	Dec 22, 11	32 000	62 246	1,991 88	63 800	2,041 60	49 72	ST
BAYERISCHE MOTOREN WERKE A G ADR Symbol BAMXY Exchange OTC EAI $84 Current yield 1 83%	Feb 9, 11	101 000	28 111	2,839 22	22 230	2,245 23	-593 99	ST
	Feb 14, 11	36 000	28 368	1,021 27	22 230	800 28	-220 99	ST
	Apr 1, 11	30 000	28 661	859 83	22 230	666 90	-192 93	ST
	Jun 23, 11	33 000	30 860	1,018 41	22 230	733 59	-284 82	ST
	Nov 29, 11	7 000	23 338	163.37	22 230	155 61	-7.76	ST
Security total		207 000	28 513	5,902 10		4,601.61	-1,300 49	
BG GROUP PLC SPON ADR Symbol BRGYY Exchange OTC EAI $46 Current yield 1 05%	Jul 21, 08	8 000	112 513	900 11	107 000	856 00	-44 11	LT
	Sep 26, 08	10 000	102 028	1,020 28	107 000	1,070 00	49 72	LT
	Aug 26, 10	12 000	81 985	983 82	107 000	1,284 00	300 18	LT
	Sep 8, 11	9 000	105 176	946 59	107 000	963 00	16 41	ST
	Nov 29, 11	2 000	101 600	203 20	107 000	214 00	10 80	ST
Security total		41 000	98 878	4,054 00		4,387 00	333 00	
BHP BILLITON LTD SPON ADR Symbol BHP Exchange NYSE EAI $162 Current yield 2 87%	May 8, 09	13 000	53 803	699 44	70 630	918 19	218 75	LT
	Jun 2, 09	15 000	60 116	901 75	70 630	1,059 45	157 70	LT
	Apr 20, 10	21 000	79 290	1,665 11	70 630	1,483 23	-181 88	LT
	Jun 9, 10	20 000	61 924	1,238 49	70 630	1,412 60	174 11	LT

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Feb 25, 11	11 000	93 075	1,023 83	70 630	776 93	-246 90	ST
Security total		80 000	69 108	5,528 62		5,650 40	121 78	
BNP PARIBAS SA ADR Symbol BNPQY Exchange. OTC								
EAI $162 Current yield 5 65%	Mar 17, 09	38 000	20 528	780 08	19 650	746 70	-33 38	LT
	Nov 5, 09	31 000	40 693	1,261.51	19 650	609.15	-652 36	LT
	May 10, 10	28 000	33 656	942 39	19 650	550 20	-392.19	LT
	May 14, 10	17 000	29 600	503 20	19 650	334 05	-169 15	LT
	Nov 8, 10	20 000	37 849	756 98	19 650	393 00	-363 98	LT
	Oct 13, 11	12 000	23 136	277 64	19 650	235 80	-41 84	ST
Security total		146 000	30.971	4,521 80		2,868 90	-1,652 90	
BRITISH SKY BROADCASTING GROUP PLC SPONSORED ADR Symbol BSYBY Exchange OTC								
EAI $53 Current yield 3 25%	Oct 21, 11	36 000	46 884	1,687 83	45 290	1,630 44	-57 39	ST
BRITISH AMER TOBACCO PLC GB SPON ADR Symbol BTI Exchange AMEX								
EAI $304 Current yield 4 06%	Jan 31, 07	52 000	61.120	3,178 24	94 880	4,933 76	1,755 52	LT
	Aug 5, 08	14 000	74 866	1,048.13	94 880	1,328 32	280 19	LT
	Oct 15, 08	13 000	59 776	777.10	94 880	1,233 44	456 34	LT
Security total		79 000	63 335	5,003 47		7,495 52	2,492 05	
CANON INC ADR JAPAN SPON ADR Symbol CAJ Exchange NYSE								
EAI $135 Current yield 3 30%	Apr 17, 08	40 000	48 758	1,950 33	44 040	1,761 60	-188 73	LT
	Oct 31, 08	37 000	34 003	1,258 13	44 040	1,629 48	371 35	LT
	Feb 5, 09	5 000	27.540	137 70	44 040	220 20	82 50	LT
	Jan 5, 11	11 000	51.509	566 60	44 040	484 44	-82 16	ST
Security total		93 000	42 073	3,912 76		4,095 72	182 96	
DAITO TR CONSTR CO LTD ADR Symbol DITFY Exchange OTC								
EAI $168 Current yield 3 60%	Jun 23, 10	47 000	13 585	638 54	21 300	1,001 10	362 56	LT
	Sep 7, 10	40 000	15 466	618 67	21 300	852 00	233 33	LT

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UBS

Friendly account name: Lazard Int'l
Account number: M4 06092 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Oct 6, 10	52.000	15 708	816 86	21 300	1,107 60	290 74	LT
	Jan 5, 11	8 000	16 837	134 70	21 300	170 40	35 70	ST
	Mar 10, 11	20 000	20 489	409 79	21 300	426.00	16 21	ST
	Mar 18, 11	52 000	19.299	1,003.58	21.300	1,107.60	104 02	ST
Security total		219 000	16 539	3,622 14		4,664 70	1,042.56	
DANONE SPON ADR Symbol DANOY Exchange OTC EAI $58 Current yield 2.01%	Jul 6, 10	50 000	11 414	570.71	12 640	632 00	61 29	LT
	Aug 3, 10	69 000	11 753	810.98	12 640	872 16	61.18	LT
	Oct 22, 10	109 000	12.753	1,390 16	12 640	1,377 76	-12 40	LT
Security total		228 000	12 157	2,771 85		2,881 92	110.07	
FANUC CORP ADR Symbol. FANUY Exchange OTC EAI $78 Current yield 1 46%	May 1, 09	10 000	12 314	123.14	25 300	253 00	129 86	LT
	Jun 3, 09	72 000	13.783	992 38	25 300	1,821 60	829 22	LT
	Jun 3, 10	48 000	17 534	841 67	25 300	1,214 40	372 73	LT
	Sep 21, 10	81 000	20 674	1,674 62	25 300	2,049 30	374 68	LT
Security total		211 000	17.212	3,631.81		5,338.30	1,706 49	
GEA GROUP AG SPON ADR Symbol GEAGY Exchange: OTC EAI $19 Current yield 1 99%	Aug 4, 11	34 000	30 984	1,053.48	28 120	956 08	-97 40	ST
GLAXO SMITHKLINE PLC ADR Symbol GSK Exchange. NYSE EAI $379 Current yield. 4 83%	Oct 19, 04	69 000	42 200	2,911 86	45 630	3,148 47	236 61	LT
	Jan 31, 07	10 000	53.910	539.10	45.630	456 30	-82 80	LT
	Dec 24, 08	51 000	35 833	1,827 53	45 630	2,327 13	499 60	LT
	Feb 2, 10	42 000	39 031	1,639 31	45 630	1,916 46	277 15	LT
Security total		172 000	40 220	6,917 80		7,848.36	930 56	
HONDA MOTOR CO ADR JAPAN ADR Symbol HMC Exchange NYSE EAI $82 Current yield 2 27%	Jun 15, 10	9 000	29 938	269.45	30 550	274.95	5 50	LT
	Aug 19, 10	35 000	33.137	1,159.82	30 550	1,069 25	-90 57	LT
	Sep 16, 10	29 000	34 802	1,009 27	30.550	885 95	-123 32	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Nov 26, 10	21 000	36 940	775 74	30 550	641 55	-134 19	LT
	Mar 16, 11	24 000	38 535	924 85	30 550	733 20	-191 65	ST
Security total		118 000	35 077	4,139 13		3,604 90	-534 23	
INFORMA PLC UNSPONSORED ADR								
Symbol IFPJY Exchange OTC								
EAI $124 Current yield 3 72%	Jan 31, 11	80 000	13 843	1,107 51	11 160	892 80	-214 71	ST
	Feb 2, 11	77 000	14 151	1,089 70	11 160	859 32	-230 38	ST
	Feb 28, 11	50 000	14 154	707.72	11 160	558 00	-149 72	ST
	Aug 9, 11	52 000	11 138	579 22	11 160	580 32	1 10	ST
	Sep 1, 11	40 000	11 647	465 91	11 160	446 40	-19.51	ST
Security total		299 000	13 211	3,950 06		3,336 84	-613 22	
ING GROEP N V NL SPON ADR								
Symbol ING Exchange NYSE	Oct 28, 10	63 000	10 960	690 48	7.170	451 71	-238 77	LT
	Nov 10, 10	154 000	11 242	1,731.33	7.170	1,104 18	-627 15	LT
	May 27, 11	97 000	11.942	1,158 44	7 170	695 49	-462 95	ST
	Sep 13, 11	69 000	6 459	445 73	7 170	494 73	49 00	ST
	Oct 13, 11	32 000	8 554	273 74	7.170	229 44	-44 30	ST
Security total		415 000	10 361	4,299 72		2,975 55	-1,324 17	
JS GROUP CORP ADR								
Symbol JSGRY Exchange OTC								
EAI $58 Current yield 2 24%	Jan 28, 11	37 000	45 202	1,672 50	38 000	1,406 00	-266 50	ST
	Mar 9, 11	18 000	48 531	873 56	38 000	684 00	-189 56	ST
	Mar 14, 11	13 000	50 158	652 06	38 000	494 00	-158 06	ST
Security total		68 000	47 031	3,198 12		2,584 00	-614 12	
JULIUS BAER GROUP LTD ADR								
Symbol JBAXY Exchange OTC								
EAI $30 Current yield 1 49%	Jul 1, 11	207 000	8 293	1,716 69	7.750	1,604.25	-112 44	ST
	Aug 31, 11	53 000	8 177	433 40	7.750	410 75	-22 65	ST
Security total		260 000	8 270	2,150 09		2,015 00	-135 09	

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UBS

Friendly account name:Lazard Int'l
Account number: M4 06092 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
LVMH MOET HENNESSY LOUIS NEW ADR								
Symbol: LVMUY Exchange OTC								
EAI $55 Current yield 1 62%	Apr 28, 09	36 000	14 570	524 54	28 100	1,011.60	487 06	LT
	Jun 2, 09	62 000	17.576	1,089 72	28 100	1,742 20	652 48	LT
	Jul 1, 11	23 000	35 990	827.78	28 100	646 30	-181.48	ST
Security total		121 000	20.182	2,442.04		3,400.10	958 06	
MERCK KGAA ADR								
Symbol MKGAY Exchange. OTC								
EAI $36 Current yield 1 22%	Apr 26, 11	71 000	33 604	2,385 94	33 230	2,359 33	-26 61	ST
	Sep 16, 11	18 000	27 437	493 87	33 230	598.14	104 27	ST
Security total		89 000	32 357	2,879 81		2,957.47	77.66	
MITSUBISHI ESTATE LTD ADR ADR								
Symbol MITEY Exchange. OTC								
EAI $22 Current yield 0 87%	May 12, 09	100 000	15 045	1,504.50	14 890	1,489 00	-15 50	LT
	Sep 9, 09	70 000	17 803	1,246 26	14 890	1,042.30	-203 96	LT
Security total		170 000	16 181	2,750.76		2,531 30	-219 46	
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE								
EAI $269 Current yield 3.51%	Feb 6, 06	12.000	55.034	660.41	57 170	686 04	25.63	LT
	Jul 11, 06	50 000	55 619	2,780 96	57 170	2,858.50	77.54	LT
	Apr 24, 08	18 000	50 028	900 52	57 170	1,029.06	128 54	LT
	Jan 28, 10	28 000	54.032	1,512 92	57.170	1,600.76	87 84	LT
	Sep 1, 10	11 000	53 313	586 45	57 170	628 87	42 42	LT
	Jan 5, 11	2 000	57 895	115 79	57 170	114 34	-1.45	ST
	Aug 5, 11	13 000	57 407	746 30	57.170	743 21	-3 09	ST
Security total		134.000	54.503	7,303 35		7,660 78	357 43	
PETROFAC LTD ADR								
Symbol POFCY Exchange OTC								
EAI $55 Current yield 1 90%	Apr 12, 11	188 000	12 467	2,343 95	11 190	2,103.72	-240 23	ST
	Jul 22, 11	54 000	12.190	658.29	11 190	604 26	-54 03	ST
	Nov 29, 11	17 000	10 571	179 72	11.190	190.23	10 51	ST
Security total		259.000	12.286	3,181.96		2,898 21	-283 75	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
POTASH CORP SASK INC CANADA CAD								
Symbol POT Exchange NYSE								
EAI $16 Current yield 0 68%	Jul 2, 10	15 000	28 455	426 83	41 280	619 20	192 37	LT
	Nov 5, 10	9 000	47 087	423 79	41 280	371.52	-52 27	LT
	Dec 16, 10	21 000	46 173	969 65	41 280	866 88	-102 77	LT
	Aug 16, 11	12 000	54 989	659 87	41 280	495 36	-164 51	ST
Security total		57 000	43 511	2,480 14		2,352 96	-127 18	
PRUDENTIAL PLC ADR UNITED KINGDOM								
Symbol PUK Exchange NYSE								
EAI $172 Current yield 4 09%	Mar 20, 09	64 000	9 468	606 00	19 740	1,263 36	657 36	LT
	Aug 3, 10	39 000	18 382	716 91	19 740	769 86	52 95	LT
	Sep 29, 10	43 000	20 107	864 63	19 740	848 82	-15 81	LT
	Nov 18, 10	11 000	19 860	218 46	19 740	217 14	-1 32	LT
	Sep 9, 11	56 000	18 552	1,038 94	19 740	1,105 44	66 50	ST
Security total		213 000	16 173	3,444 94		4,204 62	759 68	
REXAM PLC SPON ADR								
Symbol REXMY Exchange OTC								
EAI $148 Current yield 3 72%	Feb 9, 11	26 000	29 586	769 25	27 250	708 50	-60 75	ST
	Feb 10, 11	14 000	29 557	413 81	27 250	381 50	-32 31	ST
	Mar 1, 11	37 000	30 208	1,117 72	27 250	1,008 25	-109 47	ST
	Jun 22, 11	27 000	30 128	813 46	27 250	735 75	-77 71	ST
	Aug 18, 11	19 000	29 138	553 64	27 250	517.75	-35 89	ST
	Oct 7, 11	23 000	25 257	580 93	27 250	626 75	45 82	ST
Security total		146 000	29.101	4,248 81		3,978 50	-270 31	
ROGERS COMMUNICATIONS INC NON VTG SHS CL B CAD								
Symbol RCI Exchange NYSE								
EAI $82 Current yield 3 61%	Nov 5, 09	11 000	30 058	330 64	38 510	423 61	92 97	LT
	Mar 1, 10	24 000	33 734	809 62	38 510	924 24	114 62	LT
	Apr 30, 10	24 000	35 617	854 83	38 510	924 24	69 41	LT
Security total		59 000	33 815	1,995 09		2,272 09	277 00	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
ROYAL DUTCH SHELL PLC CL A SPON ADR								
Symbol RDS.A Exchange NYSE								
EAI $217 Current yield 3 91%	Apr 27, 10	55 000	61 648	3,390 67	73 090	4,019 95	629 28	LT
	Sep 1, 10	10 000	55 030	550 30	73 090	730 90	180 60	LT
	Nov 5, 10	11 000	67.728	745 01	73 090	803 99	58 98	LT
Security total		76 000	61 658	4,685 98		5,554 84	868 86	
RYANAIR HOLDINGS PLC SPON ADR								
Symbol RYAAY Exchange OTC	Jun 30, 11	39 000	29 503	1,150 63	27 860	1,086 54	-64 09	ST
	Jul 7, 11	21 000	28 865	606 17	27 860	585 06	-21 11	ST
	Aug 31, 11	19 000	26 486	503 25	27 860	529.34	26 09	ST
	Sep 16, 11	32 000	25 150	804 82	27 860	891 52	86 70	ST
	Oct 5, 11	19 000	25 346	481 58	27 860	529 34	47 76	ST
Security total		130 000	27 280	3,546 45		3,621 80	75 35	
SAMPO OYJ ADR								
Symbol SAXPY Exchange OTC								
EAI $154 Current yield 5 43%	Jul 27, 10	127 000	12 428	1,578 39	12 330	1,565 91	-12 48	LT
	Aug 19, 10	103 000	12 443	1,281 63	12 330	1,269 99	-11 64	LT
Security total		230 000	12 435	2,860 02		2,835 90	-24 12	
SANOFI SPON ADR								
Symbol SNY Exchange NYSE								
EAI $299 Current yield 3 62%	Oct 19, 04	28 000	36 150	1,012 20	36 540	1,023 12	10 92	LT
	May 16, 06	55 000	48 619	2,674 06	36 540	2,009 70	-664 36	LT
	Dec 24, 08	45 000	31 008	1,395 36	36 540	1,644 30	248 94	LT
	Jan 14, 09	27 000	32 090	866 44	36 540	986 58	120 14	LT
	Feb 11, 09	36 000	30 440	1,095 87	36 540	1,315 44	219 57	LT
	Nov 5, 10	35 000	35 929	1,257 53	36 540	1,278 90	21 37	LT
Security total		226 000	36 732	8,301 46		8,258 04	-43 42	
SAP AG SPON ADR								
Symbol SAP Exchange NYSE								
EAI $136 Current yield 1 93%	Sep 9, 09	36 000	49 432	1,779 57	52 950	1,906 20	126 63	LT
	Mar 17, 10	50 000	46 675	2,333 78	52 950	2,647 50	313 72	LT
	Apr 20, 10	19 000	48 743	926 13	52 950	1,006 05	79 92	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Aug 12, 11	16 000	51 573	825.17	52 950	847 20	22 03	ST
	Sep 16, 11	10 000	51 764	517 64	52 950	529 50	11 86	ST
	Nov 28, 11	2 000	56 765	113.53	52 950	105 90	-7 63	ST
Security total		133 000	48 841	6,495 82		7,042 35	546 53	
SIEMENS A G SPON ADR Symbol SI Exchange NYSE EAI $71 Current yield 3 09%	Feb 28, 11	11 000	134 723	1,481 96	95 610	1,051 71	-430 25	ST
	May 17, 11	13 000	131.703	1,712 15	95 610	1,242 93	-469 22	ST
Security total		24 000	133 088	3,194 11		2,294 64	-899 47	
SUMITOMO MITSUI FINANCIAL SPON ADR Symbol SMFG Exchange NYSE EAI $155 Current yield 4 20%	May 19, 08	16 000	16 743	267 89	5 510	88.16	-179 73	LT
	May 1, 09	219 000	6 926	1,516.93	5 510	1,206 69	-310 24	LT
	Jan 19, 10	219 000	6 604	1,446 45	5 510	1,206 69	-239 76	LT
	Mar 31, 10	103 000	6 670	687 03	5 510	567 53	-119 50	LT
	Mar 16, 11	113 000	6 486	733 02	5 510	622 63	-110 39	ST
Security total		670 000	6 942	4,651 32		3,691 70	-959 62	
SWEDBANK AB ADR Symbol SWDBY Exchange OTC EAI $52 Current yield 1 81%	Apr 8, 11	156 000	18 498	2,885 77	12 850	2,004 60	-881 17	ST
	Jun 23, 11	67 000	15 643	1,048 09	12 850	860 95	-187 14	ST
Security total		223 000	17 641	3,933 86		2,865 55	-1,068 31	
TECHNIP SA NEW SPON ADR Symbol TKPPY Exchange OTC EAI $47 Current yield 1 62%	Sep 23, 10	35 000	19 311	675 90	23 600	826 00	150 10	LT
	Oct 29, 10	44 000	20 891	919 23	23 600	1,038 40	119 17	LT
	Dec 17, 10	44 000	22 632	995 81	23 600	1,038 40	42.59	LT
Security total		123 000	21 065	2,590 94		2,902 80	311 86	
TELSTRA CORP LTD (FINAL) ADR Symbol TLSYY Exchange OTC EAI $533 Current yield 7 76%	Nov 26, 10	181 000	13 964	2,527 59	17 050	3,086 05	558 46	LT
	Feb 17, 11	202 000	14 964	3,022 73	17 050	3,444 10	421 37	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Aug 12, 11	20 000	15 753	315 06	17 050	341 00	25 94	ST
Security total		403 000	14 554	5,865 38		6,871 15	1,005 77	
TOTAL S A FRANCE SPON ADR								
Symbol TOT Exchange NYSE								
EAI $213 Current yield 5 28%	Oct 26, 04	62 000	50 833	3,151 69	51 110	3,168 82	17.13	LT
	Jan 31, 07	15 000	67 370	1,010 55	51 110	766 65	-243 90	LT
	Nov 28, 11	2 000	48 875	97 75	51 110	102 22	4 47	ST
Security total		79 000	53 924	4,259 99		4,037 69	-222 30	
TULLOW OIL PLC ADR								
Symbol TUWOY Exchange OTC								
EAI $13 Current yield 0 52%	Mar 26, 10	91 000	9 603	873 93	10 746	977 88	103 95	LT
	May 10, 10	66 000	8 359	551 71	10 746	709 23	157 52	LT
	Nov 22, 10	76 000	9 815	745 96	10 746	816 69	70 73	LT
Security total		233 000	9 320	2,171.60		2,503 81	332 20	
UNILEVER PLC AMER SHS NEW SPON ADR								
Symbol UL Exchange NYSE								
EAI $247 Current yield 3 70%	Oct 14, 04	35 000	18 308	640 78	33 520	1,173 20	532 42	LT
	Jul 10, 07	65 000	33 655	2,187 59	33 520	2,178 80	-8 79	LT
	Sep 26, 08	66 000	28 193	1,860 76	33 520	2,212 32	351 56	LT
	Aug 12, 11	33 000	31 788	1,049 03	33 520	1,106 16	57 13	ST
Security total		199 000	28 835	5,738 16		6,670 48	932 32	
VALEO SPON ADR								
Symbol VLEEY Exchange OTC								
EAI $93 Current yield 3 62%	May 31, 11	56 000	31.587	1,768 91	19 780	1,107 68	-661 23	ST
	Jun 29, 11	12 000	33 664	403 97	19.780	237 36	-166 61	ST
	Aug 31, 11	16 000	25 453	407 26	19 780	316 48	-90 78	ST
	Oct 13, 11	19 000	24 645	468 27	19 780	375 82	-92 45	ST
	Dec 22, 11	27 000	20 202	545 47	19.780	534 06	-11 41	ST
Security total		130 000	27.645	3,593 88		2,571 40	-1,022 48	

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
VODAFONE GROUP PLC NEW SPON ADR Symbol VOD Exchange NYSE EAI $131 Current yield 5 14%	Sep 26, 08	21 000	23 323	489.79	28 030	588 63	98 84	LT
	Feb 5, 10	59 000	22 012	1,298 76	28 030	1,653.77	355 01	LT
	Jan 5, 11	11 000	27 490	302 39	28 030	308 33	5 94	ST
Security total		91 000	22 977	2,090 94		2,550 73	459 79	
WM MORRISON SUPERMARKETS PLC ADR Symbol MRWSY Exchange. OTC EAI $122 Current yield 3 42%	Nov 2, 09	15 000	23 524	352 86	25 150	377 25	24 39	LT
	Jul 8, 10	48 000	21.578	1,035.77	25 150	1,207 20	171 43	LT
	Sep 23, 10	45 000	23 921	1,076 46	25.150	1,131.75	55 29	LT
	Nov 30, 10	34 000	21 657	736 37	25.150	855 10	118 73	LT
Security total		142 000	22 545	3,201.46		3,571 30	369 84	
WOLSELEY PLC SPON ADR Symbol WOSYY Exchange OTC EAI $35 Current yield 1 94%	Oct 20, 11	556 000	2 821	1,568 64	3 250	1,807 00	238 36	ST
XSTRATA PLC ADR Symbol XSRAY Exchange OTC EAI $61 Current yield 1 19%	Mar 10, 10	443 000	3 663	1,622 97	2 960	1,311 28	-311 69	LT
	Jun 10, 10	423 000	2 933	1,240 91	2 960	1,252 08	11 17	LT
	Aug 19, 10	269 000	3 411	917.56	2 960	796 24	-121 32	LT
	Jan 5, 11	84 000	4 590	385 56	2 960	248 64	-136 92	ST
	Oct 27, 11	516 000	3 534	1,823 85	2 960	1,527 36	-296 49	ST
Security total		1,735 000	3 453	5,990 85		5,135 60	-855 25	
YAHOO JAPAN CORP ADR Symbol YAHOY Exchange OTC EAI $50 Current yield 1 12%	Feb 2, 10	160 000	12 480	1,996 91	10 630	1,700 80	-296 11	LT
	Mar 4, 10	100 000	12.374	1,237 49	10 630	1,063 00	-174 49	LT
	Oct 8, 10	60 000	12 153	729 22	10 630	637 80	-91 42	LT
	Jan 5, 11	10 000	12 165	121 65	10 630	106 30	-15 35	ST
	Jan 28, 11	90 000	12 696	1,142 65	10 630	956 70	-185 95	ST

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UBS

Friendly account name: Lazard Int'l
Account number: M4 06092 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
Security total		420 000	12 447	5,227.92		4,464 60	-763 32	
Total				**$195,514.98**		**$198,214.14**	**$2,699.16**	

Total estimated annual income: $5,924

Your total assets

		Value on Dec 30 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	3,743.99	1.85%	3,743.99		
Equities	**Common stock**	198,214.14	98.15%	195,514.98	5,924.00	2,699.16
Total		**$201,958.13**	**100.00%**	**$199,258.97**	**$5,924.00**	**$2,699.16**

Account activity this month

	Date	Activity	Description	Amount ($)
Dividend and interest income				
Taxable dividends	Dec 2	Foreign Dividend	HONDA MOTOR CO ADR JAPAN ADR PAID ON 118	22 79
	Dec 6	Foreign Dividend	DAITO TR CONSTR CO LTD ADR PAID ON 244	117 74
	Dec 9	Foreign Dividend	ATLANTIA SPA ADR PAID ON 359	85 42
	Dec 9	Foreign Dividend	SUMITOMO MITSUI FINANCIAL SPON ADR PAID ON 670	85 82
	Dec 12	Foreign Dividend	JS GROUP CORP ADR PAID ON 81	41.70
	Dec 14	Foreign Dividend	UNILEVER PLC AMER SHS NEW SPON ADR PAID ON 199	61 01
	Dec 16	Foreign Dividend	FANUC CORP ADR PAID ON 211	51 47
	Dec 16	Foreign Dividend	ROYAL DUTCH SHELL PLC CL A SPON ADR PAID ON 76	63 84
	Dec 19	Foreign Dividend	BRITISH SKY BROADCASTING GROUP PLC SPONSORED ADR PAID ON 36	32 69

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Account name: BLOUM CARDENAS & DAVID W
Friendly account name: Niki Bond Acct
Account type: Personal Trust
Account number: M4 06150 88

Your Financial Advisor:
RMG GROUP
406-329-4540/888-295-3493

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

UBS Bank USA deposit account balances are included in the Cash and money balances value, are insured by the FDIC within applicable limits, but are not protected by SIPC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 30 ($)	Price per share on Dec 30 ($)	Average rate	Dividend/interest period	Days in period
UBS BANK USA DEP ACCT	54,546 49	59,615 53				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
CIT GROUP INC Symbol CIT Exchange NYSE		545 000	--This information was unavailable--		34 870	19,004 15		

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
STEELPATH MLP SELECT 40 FUND - CLASS C Symbol MLPEX									
Trade date Oct 6, 11	3,042 049	10 250	31,181 00	31,181 00	10 920	33,219 17	2,038 17		ST
Total reinvested	50 233	10 609		532.97	10 920	548 54	15 57		

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UBS

Friendly account name: Niki Bond Acct
Account number: M4 06150 88

Your assets › Equities › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EAI $2,161 Current yield 6 40%									
Security total	3,092 282	10 256	31,181.00	31,713 97		33,767 71	2,053 74	2,586 71	

Fixed income

Certificates of deposit

Cost basis has been adjusted for accreted original issue discount (OID) on long term (more than 1 year) CDs

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
DISCOVER BK DE US RATE 04 6500% MAT 06/11/2013 FIXED RATE CD ACCRUED INTEREST $121 02 CUSIP 25469JKL2 EAI $2,325 Current yield 4.41%	Jun 04, 08	50,000 000	100 000	50,000 00	105 357	52,678.50	2,678 50	LT
CAPITAL ONE BANK NA RATE 04 8500% MAT 06/11/2015 FIXED RATE CD ACCRUED INTEREST $113 61 CUSIP 14042ESH4 EAI $2,183 Current yield 4 42%	Jun 04, 08	45,000 000	100 000	45,000 00	109.694	49,362 30	4,362 30	LT
Total		**$95,000.000**		**$95,000.00**		**$102,040.80**	**$7,040.80**	

Total accrued interest: $234.63

Total estimated annual income: $4,508

Your assets › Fixed income (continued)

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
DAIMLERCHRYSLER B/E FOREIGN SECURITY RATE 07.300% MATURES 01/15/12 ACCRUED INTEREST $3,011.24 CUSIP 233835AT4 Moody A3 S&P BBB+ EAI $3,285 Current yield 7.29%	Aug 31, 04	90,000.000	114.940	103,454.10[1]	100.186	90,167.40	-13,286.70	LT
GENERAL MTRS ACCEP CORP RATE 06.875% MATURES 08/28/12 ACCRUED INTEREST $2,096.87 CUSIP 370425SE1 Moody B1 S&P B+ EAI $6,188 Current yield 6.81%	Aug 31, 04	90,000.000	106.130	95,528.30[1]	101.016	90,914.40	-4,613.90	LT
GENL ELEC CAP CORP B/E FOREIGN SECURITY RATE 05.450% MATURES 01/15/13 ACCRUED INTEREST $2,248.12 CUSIP 36962GZY3 Moody Aa2 S&P AA+ EAI $4,905 Current yield 5.21%	Aug 31, 04	90,000.000	108.150	97,340.00[1]	104.626	94,163.40	-3,176.60	LT
MORGAN STANLEY DEAN WITT & CO MW@+20BP RATE 05.300% MATURES 03/01/13 ACCRUED INTEREST $613.17 CUSIP 617446HR3 Moody A2 S&P A- EAI $1,855 Current yield 5.24%	Aug 31, 05	35,000.000	104.890	36,717.20[1]	101.224	35,428.40	-1,288.80	LT

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UBS

Friendly account name: Niki Bond Acct
Account number: M4 06150 88

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
GOLDMAN SACHS GROUP INC NTS RATE 05 250% MATURES 10/15/13 ACCRUED INTEREST $984 37 CUSIP 38141GDQ4 Moody A1 S&P A- EAI $4,725 Current yield 5 15%	Aug 31, 04	90,000 000	104 030	93,635 60¹	102 033	91,829 70	-1,805 90	LT
GOLDMAN SACHS GROUP INC UNITS RATE 05 150% MATURES 01/15/14 ACCRUED INTEREST $1,180 21 CUSIP 38143UAB7 Moody A1 S&P A- EAI $2,575 Current yield 5 05%	Jun 04, 08	50,000 000	100 944	50,477 25	101 903	50,951 50	474 25	LT
BANK OF AMER CORP NTS RATE 05 375% MATURES 06/15/14 ACCRUED INTEREST $201 56 CUSIP 060505BM5 Moody Baa1 S&P A- EAI $4,838 Current yield 5 40%	Aug 31, 04	90,000 000	106 360	95,734 40¹	99 534	89,580 60	-6,153 80	LT
GOLDMAN SACHS GROUP INC NTS RATE 05 125% MATURES 01/15/15 ACCRUED INTEREST $1,174 48 CUSIP 38141GEA8 Moody A1 S&P A- EAI $2,563 Current yield 5 01%	Mar 27, 07	50,000 000	99 504	49,757 25	102 211	51,105 50	1,348 25	LT
CIT GROUP INC B/E RATE 07 000% MATURES 05/01/15 ACCRUED INTEREST $92 43 CUSIP 125581FV5 Moody B2 S&P B+ EAI $666 Current yield 6 99%		9,508 000	---This information was unavailable---		100 200	9,527 01		

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Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
CIT GROUP INC B/E RATE 07 000% MATURES 05/01/16 ACCRUED INTEREST $154 07 CUSIP 125581FW3 Moody B2 S&P B+ EAI $1,109 Current yield 7 00%		15,848 000	---This information was unavailable---		100.000	15,848 00		
CIT GROUP INC B/E RATE 07 000% MATURES 05/01/17 ACCRUED INTEREST $86 28 CUSIP 125581FX1 Moody B2 S&P B+ EAI $1,553 Current yield 7 00%		22,187.000	---This information was unavailable---		100 000	22,187.00		
GE CAPITAL INTERNOTES CALLABLE RATE 05 650% MATURES 09/15/19 ACCRUED INTEREST $411 98 CUSIP 36966RT71 Moody Aa2 S&P AA+ EAI $1,413 Current yield 5 73%	Sep 13, 07	25,000 000	100 000	25,000 00	98 686	24,671.50	-328 50	LT
Total		**$657,543.000**		**$647,644.10**		**$666,374.41**	**-$28,831.70**	

Total accrued interest: $12,254.78

Total estimated annual income: $35,675

[1] indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
DELAWARE DIVERSIFIED INCOME FUND CLASS C									

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UBS

Friendly account name: Niki Bond Acct
Account number: M4 06150 88

Your assets › Fixed income › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Symbol: DPCFX									
Trade date Oct 6, 11	3,373 232	9 190	31,005 25	31,005 25	9 160	30,898 80	-106 45		ST
Total reinvested	107 239	9.140		980 26	9.160	982 31	2 05		
EAI $1,124 Current yield 3 53%									
Security total	3,480 471	9.190	31,005 25	31,985 51		31,881 11	-104 40	875 86	

Your total assets

		Value on Dec 30 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	Cash and money balances	59,615.53	6.44%	59,615.53		
Equities	• Common stock	19,004 15				
	Mutual funds	33,767.71		31,713 97	2,161 00	2,053.74
	Total equities	52,771.86	5.70%	31,713.97	2,161.00	2,053.74
Fixed income	Certificates of deposits	102,040 80		95,000 00	4,508 00	7,040 80
	• Corporate bonds and notes	666,374 41		647,644.10	35,675 00	-28,831.70
	Mutual funds	31,881.11		31,985 51	1,124 00	-104 40
	Total accrued interest	12,489 41				
	Total fixed income	812,785.73	87.86%	774,629.61	41,307.00	-21,895.30
Total		$925,173.12	100.00%	$865,959.11	$43,468.00	-$19,841.56

• Missing cost basis information

Account activity this month

You have qualified for a $150 00 Annual account fee waiver

	Date	Activity	Description	Amount ($)
Dividend and interest income				
Taxable dividends	Dec 23	Dividend	DELAWARE DIVERSIFIED INCOME FUND CLASS C AS OF 12/22/11	79 02
	Dec 23	St Cap Gain	DELAWARE DIVERSIFIED INCOME FUND CLASS C SHORT TERM CAPITAL GAIN	345.27
	Dec 23	Lt Cap Gain	DELAWARE DIVERSIFIED INCOME FUND CLASS C LONG TERM CAPITAL GAIN	446 81
	Total taxable dividends			**$871.10**

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 30 ($)	Price per share on Dec 30 ($)	Average rate	Dividend/interest period	Days in period
RMA MONEY MKT PORTFOLIO	4,621 25	5,287 67	1.00	0 01%	Nov 23 to Dec 22	30

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
ABAXIS INC								
Symbol. ABAX Exchange OTC	Mar 5, 07	65 000	21.563	1,401.61	27 670	1,798 55	396 94	LT
	Apr 11, 07	51 000	25.607	1,305 97	27.670	1,411 17	105 20	LT
	Jul 27, 09	96 000	20 026	1,922.51	27 670	2,656 32	733 81	LT
Security total		212 000	21 840	4,630 09		5,866 04	1,235 95	
ADVISORY BOARD CO								
Symbol ABCO Exchange OTC	Jun 10, 10	25.000	38 904	972 61	74 210	1,855 25	882 64	LT
ANSYS INC								
Symbol ANSS Exchange. OTC	Jan 9, 07	69 000	21.740	1,500 06	57 280	3,952 32	2,452 26	LT
	May 10, 07	78 000	27.966	2,181 41	57 280	4,467.84	2,286 43	LT
Security total		147.000	25 044	3,681 47		8,420 16	4,738 69	
APTARGROUP INC								
Symbol ATR Exchange NYSE								
EAI $58 Current yield 1.68%	Jan 9, 07	35 000	28 920	1,012 20	52 170	1,825.95	813 75	LT
	Nov 24, 08	31 000	29 850	925 38	52 170	1,617 27	691 89	LT
Security total		66 000	29 357	1,937.58		3,443 22	1,505 64	
BLACKBAUD INC								
Symbol BLKB Exchange OTC								
EAI $104 Current yield 1 74%	Jan 9, 07	91 000	24 680	2,245 88	27 700	2,520.70	274 82	LT

continued next page

UBS

Friendly account name: Kayne MCV
Account number: M4 06155 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	May 14, 08	41 000	24 988	1,024 54	27 700	1,135 70	111 16	LT
	Feb 20, 09	84 000	10 814	908 41	27 700	2,326 80	1,418 39	LT
Security total		216 000	19 346	4,178 83		5,983 20	1,804 37	
BROWN & BROWN INC Symbol BRO Exchange NYSE EAI $105 Current yield 1 50%	Jun 24, 09	125 000	19 367	2,420 94	22 630	2,828 75	407 81	LT
	Oct 27, 09	82 000	18 504	1,517 38	22 630	1,855 66	338 28	LT
	Jan 13, 10	102 000	17 824	1,818 06	22 630	2,308 26	490 20	LT
Security total		309 000	18 629	5,756 38		6,992 67	1,236 29	
CABOT MICROELECTRONICS CORP Symbol CCMP Exchange OTC	Mar 30, 11	26 000	51 395	1,336 29	47 250	1,228 50	-107 79	ST
	May 16, 11	43 000	49 180	2,114 74	47 250	2,031 75	-82 99	ST
Security total		69 000	50 015	3,451 03		3,260 25	-190 78	
CARBO CERAMICS INC Symbol CRR Exchange NYSE EAI $16 Current yield 0 76%	Jun 22, 09	17 000	32 914	559 54	123 330	2,096 61	1,537 07	LT
COHEN & STEERS INC Symbol CNS Exchange NYSE EAI $174 Current yield 2 08%	Oct 18, 07	82 000	36 911	3,026 75	28 900	2,369 80	-656 95	LT
	Oct 19, 07	1 000	35 760	35 76	28 900	28 90	-6 86	LT
	Nov 13, 07	96 000	32 517	3,121 66	28 900	2,774 40	-347 26	LT
	Feb 12, 10	2 000	20 050	40 10	28 900	57 80	17 70	LT
	Feb 23, 10	19 000	21 955	417 15	28 900	549 10	131 95	LT
	Feb 24, 10	10 000	22 030	220 30	28 900	289 00	68 70	LT
	Feb 25, 10	80 000	21 881	1,750 53	28 900	2,312 00	561 47	LT
Security total		290 000	29 697	8,612 25		8,381 00	-231 25	
COMPUTER PROGRAMS & SYSTEMS INC Symbol CPSI Exchange OTC EAI $124 Current yield 2 82%	Jan 9, 07	86 000	32 680	2,810 48	51 110	4,395 46	1,584 98	LT
COPART INC Symbol CPRT Exchange OTC	Dec 10, 10	120 000	34 304	4,116 48	47 890	5,746 80	1,630 32	LT
	Dec 16, 10	62 000	37 272	2,310 91	47 890	2,969 18	658 27	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
Security total		182 000	35 315	6,427.39		8,715 98	2,288 59	
EXPONENT INC								
Symbol EXPO Exchange OTC	Jul 13, 09	35 000	25 190	881 66	45 970	1,608 95	727 29	LT
	Aug 28, 09	54 000	25 484	1,376 15	45 970	2,482.38	1,106 23	LT
	Jun 7, 10	66 000	28 572	1,885.77	45 970	3,034 02	1,148 25	LT
Security total		155 000	26 733	4,143 58		7,125 35	2,981 77	
FACTSET RESH SYSTEMS INC								
Symbol FDS Exchange NYSE								
EAI $55 Current yield 1 24%	Oct 14, 04	5 000	32 866	164 33	87 280	436 40	272 07	LT
	Sep 5, 07	46 000	58 118	2,673 44	87 280	4,014 88	1,341 44	LT
Security total		51 000	55 643	2,837 77		4,451 28	1,613 51	
FEDERATED INVESTORS INC PA CL B								
Symbol FII Exchange NYSE								
EAI $288 Current yield 6 34%	Feb 20, 09	127 000	19 755	2,508 95	15 150	1,924 05	-584 90	LT
	Feb 18, 10	119 000	25 527	3,037 82	15 150	1,802 85	-1,234 97	LT
	May 14, 10	54 000	23 225	1,254 15	15 150	818 10	-436 05	LT
Security total		300 000	22 670	6,800 92		4,545 00	-2,255 92	
HAEMONETICS CORP MASS								
Symbol HAE Exchange NYSE	Jan 22, 07	25 000	46 102	1,152 56	61 220	1,530 50	377.94	LT
	Jan 25, 07	44 000	48 157	2,118 94	61 220	2,693 68	574 74	LT
	Oct 20, 11	34 000	59 443	2,021 07	61 220	2,081 48	60 41	ST
Security total		103 000	51 384	5,292.57		6,305 66	1,013 09	
HENRY JACK & ASSOC INC								
Symbol JKHY Exchange OTC								
EAI $116 Current yield 1 25%	Jan 9, 07	158 000	21 450	3,389 10	33 610	5,310 38	1,921 28	LT
	Feb 5, 09	49.000	17 129	839 34	33 610	1,646 89	807 55	LT
	Mar 29, 10	70 000	24 298	1,700 92	33 610	2,352.70	651 78	LT
Security total		277 000	21 406	5,929 36		9,309 97	3,380 61	
HITTITE MICROWAVE CORP								
Symbol HITT Exchange OTC	Oct 19, 10	39 000	49 030	1,912 17	49 380	1,925 82	13 65	LT
	Nov 3, 10	39 000	52 695	2,055 12	49 380	1,925 82	-129 30	LT

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Mar 15, 11	36 000	56 862	2,047 04	49 380	1,777 68	-269.36	ST
	Mar 17, 11	13 000	57.183	743.39	49 380	641 94	-101.45	ST
Security total		127 000	53 210	6,757 72		6,271.26	-486 46	
LANDSTAR SYSTEMS INC								
Symbol LSTR Exchange OTC								
EAI $23 Current yield 0 46%	Apr 13, 11	104 000	46 742	4,861 21	47 920	4,983 68	122 47	ST
LINCOLN ELEC HOLDINGS NEW								
Symbol LECO Exchange OTC								
EAI $87 Current yield 1 74%	Jan 9, 07	128 000	29 885	3,825 28	39 120	5,007 36	1,182 08	LT
OWENS & MINOR INC NEW								
Symbol OMI Exchange NYSE								
EAI $173 Current yield 2 88%	Mar 28, 11	75 000	32 036	2,402 70	27 790	2,084 25	-318 45	ST
	Mar 29, 11	74 000	32 169	2,380 55	27 790	2,056 46	-324 09	ST
	Jun 9, 11	67 000	33 492	2,243 98	27 790	1,861 93	-382 05	ST
Security total		216 000	32 533	7,027 23		6,002 64	-1,024 59	
POOL CORP								
Symbol POOL Exchange OTC								
EAI $156 Current yield 1 86%	Aug 13, 08	106 000	23 579	2,499 43	30 100	3,190 60	691 17	LT
	Feb 8, 10	172 000	18 659	3,209 47	30 100	5,177 20	1,967 73	LT
Security total		278 000	20 536	5,708 90		8,367 80	2,658 90	
RBC BEARINGS INC								
Symbol ROLL Exchange OTC	Oct 1, 08	12 000	33 018	396 22	41 700	500 40	104 18	LT
	Dec 4, 08	76 000	21.371	1,624 20	41 700	3,169 20	1,545 00	LT
Security total		88 000	22 959	2,020 42		3,669 60	1,649 18	
RLI CORP								
Symbol RLI Exchange NYSE								
EAI $103 Current yield. 1 64%	Mar 25, 11	42 000	56 445	2,370 70	72 860	3,060 12	689 42	ST
	May 4, 11	44 000	58.965	2,594 46	72 860	3,205 84	611 38	ST
Security total		86 000	57.734	4,965.16		6,265 96	1,300 80	
ROLLINS INC								
Symbol ROL Exchange NYSE								
EAI $42 Current yield 1 26%	Jan 9, 07	150 000	9 514	1,427.10	22 220	3,333 00	1,905.90	LT
STEINER LEISURE LTD								
Symbol STNR Exchange OTC	Aug 9, 07	44 000	41 403	1,821.77	45 390	1,997 16	175 39	LT

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Account name: BLOUM CARDENAS & DAVID W
Friendly account name: Kayne MCV
Account number: M4 06155 88

Your Financial Advisor:
RMG GROUP
406-329-4540/888-295-3493

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Sep 4, 07	41 000	42 721	1,751 57	45 390	1,860 99	109 42	LT
	Sep 27, 07	32 000	43 774	1,400 78	45 390	1,452 48	51 70	LT
Security total		117 000	42 514	4,974 12		5,310 63	336 51	
TECHNE CORP MINN								
Symbol TECH Exchange OTC								
EAI $124 Current yield 1 64%	Oct 14, 04	64 000	36 265	2,321 02	68 260	4,368 64	2,047 62	LT
	Mar 6, 09	13 000	46 889	609 56	68 260	887 38	277 82	LT
	Jan 3, 11	34 000	66 775	2,270 36	68 260	2,320 84	50 48	ST
Security total		111 000	46 855	5,200 94		7,576 86	2,375 92	
TEMPUR-PEDIC INTL INC								
Symbol TPX Exchange NYSE	Jan 9, 07	5 000	20 400	102 00	52 530	262 65	160 65	LT
	Mar 4, 08	35 000	16 986	594 53	52 530	1,838 55	1,244 02	LT
	Mar 7, 08	94 000	15 982	1,502 34	52 530	4,937 82	3,435 48	LT
Security total		134 000	16 409	2,198 87		7,039 02	4,840 15	
Total				**$116,988.80**		**$154,974.91**	**$37,986.11**	

Total estimated annual income: $1,748

Your total assets

		Value on Dec 30 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**5,287.67**	**3.30%**	**5,287.67**		
Equities	**Common stock**	**154,974.91**	**96.70%**	**116,988.80**	**1,748.00**	**37,986.11**
Total		**$160,262.58**	**100.00%**	**$122,276.47**	**$1,748.00**	**$37,986.11**

Account activity this month

	Date	Activity	Description	Amount ($)
Dividend and interest income				
Taxable dividends	Dec 2	Dividend	LANDSTAR SYSTEMS INC PAID ON 104	5 72
	Dec 7	Dividend	POOL CORP PAID ON 278	38 92
	Dec 9	Dividend	ROLLINS INC PAID ON 150	10 50
	Dec 15	Dividend	BLACKBAUD INC PAID ON 216	25 92

continued next page

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 30 ($)	Price per share on Dec 30 ($)	Average rate	Dividend/interest period	Days in period
Cash	0 00	292 97				
RMA MONEY MKT PORTFOLIO	3,991 57	6,235 53	1 00	0 01%	Nov 23 to Dec 22	30
Total	**$3,991.57**	**$6,528.50**				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
ACE LTD CHF								
Symbol ACE Exchange NYSE								
EAI $118 Current yield 2 67%	Jul 11, 08	22 000	49 495	1,088 89	70 120	1,542 64	453 75	LT
	Jul 15, 08	18 000	47 597	856 76	70 120	1,262 16	405 40	LT
	Mar 5, 09	7 000	32 244	225 71	70 120	490 84	265 13	LT
	Dec 21, 09	7 000	48 950	342.65	70 120	490 84	148 19	LT
	Mar 23, 10	2 000	52 590	105 18	70 120	140 24	35 06	LT
	May 14, 10	1 000	53 200	53 20	70 120	70 12	16 92	LT
	Jun 3, 10	6 000	49 835	299 01	70 120	420 72	121 71	LT
Security total		63 000	47 165	2,971 40		4,417 56	1,446 16	
AEROVIRONMENT INC								
Symbol AVAV Exchange OTC	Oct 30, 09	20 000	26 820	536 40	31 470	629 40	93.00	LT
	Dec 4, 09	13 000	28 300	367 90	31 470	409 11	41 21	LT
	Feb 23, 10	15 000	26 907	403 61	31 470	472 05	68 44	LT
	Mar 12, 10	20 000	24 432	488 64	31 470	629 40	140 76	LT
	May 14, 10	15 000	26 491	397 37	31 470	472 05	74 68	LT
Security total		83 000	26 433	2,193.92		2,612 01	418 09	

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UBS

Friendly account name: TCW MCG
Account number: M4 06207 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
ALLEGHENY TECHNOLOGY INC								
Symbol ATI Exchange NYSE								
EAI $54 Current yield 1 51%	Jun 21, 11	22 000	61 269	1,347 92	47 800	1,051 60	-296 32	ST
	Jun 30, 11	8 000	63 976	511 81	47 800	382 40	-129 41	ST
	Aug 26, 11	6 000	43 356	260 14	47 800	286 80	26 66	ST
	Sep 23, 11	26 000	38 050	989 30	47 800	1,242 80	253 50	ST
	Nov 16, 11	13 000	49 390	642 07	47 800	621 40	-20 67	ST
Security total		75 000	50 017	3,751 24		3,585.00	-166 24	
ARCOS DARADOS HOLDINGS INC CL A								
Symbol ARCO Exchange NYSE								
EAI $24 Current yield 0 87%	Oct 17, 11	52 000	24 146	1,255 63	20 530	1,067 56	-188 07	ST
	Oct 19, 11	39 000	22 886	892 56	20 530	800 67	-91 89	ST
	Oct 20, 11	43 000	21 964	944 49	20 530	882.79	-61 70	ST
Security total		134 000	23 080	3,092.68		2,751 02	-341 66	
ARM HOLDINGS PLC SPON ADR								
Symbol ARMH Exchange OTC								
EAI $22 Current yield 0 47%	Jul 16, 10	68 000	13 965	949 65	27 670	1,881 56	931 91	LT
	Aug 6, 10	21 000	15 059	316 25	27 670	581.07	264 82	LT
	Aug 10, 10	54 000	14 909	805 11	27 670	1,494.18	689 07	LT
	Aug 24, 10	26 000	15 177	394 62	27 670	719 42	324 80	LT
Security total		169 000	14 590	2,465 63		4,676 23	2,210 60	
ARUBA NETWORKS INC								
Symbol ARUN Exchange OTC	May 25, 10	64 000	12 147	777 46	18 520	1,185 28	407 82	LT
	Jun 9, 11	25 000	26 705	667 63	18 520	463 00	-204 63	ST
	Jun 10, 11	5 000	25 676	128 38	18 520	92 60	-35 78	ST
	Jul 29, 11	23 000	22 971	528 34	18 520	425 96	-102 38	ST
Security total		117 000	17 964	2,101 81		2,166 84	65 03	
ATHENAHEALTH INC								
Symbol ATHN Exchange OTC	Oct 18, 10	31 000	31 599	979 59	49 120	1,522 72	543 13	LT
	Oct 25, 10	1 000	39 210	39 21	49 120	49.12	9 91	LT
	Nov 2, 10	33 000	39 953	1,318 46	49 120	1,620 96	302 50	LT
	Dec 21, 10	1 000	41 690	41 69	49.120	49.12	7 43	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
Security total		66 000	36 045	2,378 95		3,241 92	862.97	
BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR								
Symbol BIDU Exchange OTC	Nov 17, 08	8 000	13 500	108 00	116 470	931 76	823 76	LT
	Dec 11, 08	20 000	11 835	236 70	116 470	2,329 40	2,092 70	LT
Security total		28 000	12 311	344 70		3,261.16	2,916 46	
BORG WARNER INC								
Symbol BWA Exchange NYSE	Aug 9, 11	19 000	65 553	1,245 51	63 740	1,211 06	-34 45	ST
	Aug 31, 11	5 000	70 790	353 95	63 740	318 70	-35 25	ST
	Sep 23, 11	16 000	59 603	953 66	63 740	1,019 84	66 18	ST
	Oct 3, 11	13 000	57 154	743 01	63 740	828 62	85 61	ST
Security total		53 000	62 191	3,296 13		3,378 22	82 09	
C H ROBINSON WORLDWIDE INC NEW								
Symbol CHRW Exchange OTC								
EAI $63 Current yield 1 88%	Jul 15, 08	17 000	54 430	925 32	69 780	1,186 26	260 94	LT
	Jul 17, 09	16 000	52 530	840 48	69 780	1,116 48	276 00	LT
	Oct 19, 09	2 000	61 310	122 62	69 780	139 56	16 94	LT
	Oct 26, 09	4 000	57 675	230 70	69 780	279 12	48 42	LT
	Mar 23, 10	6 000	55 918	335 51	69 780	418 68	83 17	LT
	Apr 29, 11	3 000	80 243	240 73	69 780	209 34	-31 39	ST
Security total		48 000	56 153	2,695 36		3,349 44	654 08	
CARBO CERAMICS INC								
Symbol CRR Exchange NYSE								
EAI $26 Current yield 0 78%	Jan 18, 11	9 000	103 577	932 20	123 330	1,109 97	177 77	ST
	Feb 23, 11	3 000	118 020	354 06	123 330	369 99	15 93	ST
	Mar 11, 11	11 000	115 570	1,271 27	123 330	1,356 63	85 36	ST
	Aug 8, 11	4 000	120 135	480 54	123 330	493 32	12.78	ST
Security total		27 000	112 521	3,038 07		3,329.91	291 84	
CARMAX INC								
Symbol KMX Exchange NYSE	Aug 2, 10	68 000	21 357	1,452 28	30 480	2,072 64	620 36	LT
	Aug 4, 10	38 000	21 243	807 27	30 480	1,158 24	350 97	LT
	Sep 15, 10	11 000	23.609	259 70	30 480	335 28	75 58	LT

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UBS

Friendly account name: TCW MCG
Account number: M4 06207 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Aug 8, 11	7 000	26 255	183.79	30 480	213 36	29 57	ST
	Sep 22, 11	9 000	24 681	222.13	30 480	274 32	52 19	ST
Security total		133 000	21.994	2,925 17		4,053 84	1,128 67	
CAVIUM INC COM								
Symbol CAVM Exchange OTC	Jun 30, 08	5 000	21 156	105 78	28 430	142 15	36 37	LT
	Jul 10, 08	57 000	17 614	1,004 04	28 430	1,620 51	616 47	LT
	Dec 18, 09	18 000	22 973	413.53	28 430	511 74	98 21	LT
	Jan 14, 10	3 000	23 376	70 13	28 430	85 29	15 16	LT
	Aug 8, 11	35 000	28 113	983 98	28 430	995.05	11 07	ST
Security total		118 000	21 843	2,577.46		3,354 74	777 28	
CF INDUSTRIES HOLDINGS INC								
Symbol CF Exchange NYSE								
EAI $30 Current yield 1 09%	May 14, 10	6 000	70 801	424 81	144 980	869 88	445 07	LT
	Jun 3, 10	9 000	64 717	582.46	144 980	1,304 82	722 36	LT
	Jun 10, 10	4 000	63 170	252 68	144 980	579 92	327 24	LT
Security total		19 000	66 313	1,259 95		2,754 62	1,494 67	
CORE LABORATORIES N V EUR								
Symbol CLB Exchange NYSE								
EAI $55 Current yield 0 88%	Feb 8, 07	21 000	41 521	871.95	113 950	2,392 95	1,521 00	LT
	Mar 23, 07	10 000	42 461	424 61	113 950	1,139 50	714 89	LT
	May 2, 07	12 000	46 535	558 42	113 950	1,367 40	808 98	LT
	May 22, 07	12 000	46 710	560 52	113 950	1,367 40	806 88	LT
Security total		55 000	43 918	2,415 50		6,267 25	3,851 75	
CTRIP COM INTL LTD ADS								
Symbol CTRP Exchange OTC	Jan 25, 07	34 000	17 640	599 76	23 400	795 60	195 84	LT
	Jul 7, 10	18 000	34 647	623 66	23 400	421 20	-202 46	LT
	Aug 24, 10	11 000	39 010	429 11	23 400	257 40	-171 71	LT
	Feb 18, 11	12 000	39 720	476 64	23 400	280 80	-195 84	ST
	May 24, 11	11 000	43.369	477.06	23 400	257 40	-219 66	ST
	Jun 21, 11	4 000	39 810	159 24	23 400	93 60	-65 64	ST
	Aug 3, 11	5 000	39 830	199 15	23 400	117 00	-82 15	ST
Security total		95 000	31 207	2,964 62		2,223 00	-741 62	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
DENDREON CORP								
Symbol DNDN Exchange OTC	Jun 16, 10	38 000	39 854	1,514 47	7 600	288 80	-1,225 67	LT
	Jul 19, 10	14 000	31 398	439 58	7 600	106 40	-333 18	LT
	Nov 16, 10	18 000	36 026	648 48	7 600	136 80	-511 68	LT
	Jan 13, 11	11 000	37 365	411 02	7 600	83 60	-327 42	ST
	Jan 19, 11	7 000	36 417	254 92	7 600	53 20	-201 72	ST
	Feb 18, 11	9 000	34 508	310 58	7 600	68 40	-242 18	ST
	Sep 19, 11	136 000	11 207	1,524 26	7 600	1,033 60	-490 66	ST
Security total		233 000	21 903	5,103 31		1,770 80	-3,332 51	
DICK'S SPORTING GOODS INC								
Symbol DKS Exchange NYSE								
EAI $37 Current yield 1 36%	Jul 8, 11	53 000	37.922	2,009.91	36 880	1,954 64	-55 27	ST
	Aug 4, 11	15 000	33 724	505 86	36 880	553 20	47 34	ST
	Aug 9, 11	6 000	30 651	183 91	36 880	221 28	37 37	ST
Security total		74 000	36 482	2,699 68		2,729 12	29 44	
EXPEDITORS INTL WASH INC								
Symbol EXPD Exchange OTC								
EAI $40 Current yield 1 24%	Dec 11, 08	9 000	34 132	307 19	40 960	368 64	61 45	LT
	Dec 30, 08	14 000	32 010	448 14	40 960	573 44	125 30	LT
	Feb 11, 09	16 000	31 886	510 18	40 960	655 36	145.18	LT
	Mar 17, 09	22 000	27 869	613 12	40 960	901 12	288 00	LT
	Jun 29, 09	4 000	33 540	134 16	40 960	163 84	29 68	LT
	Jul 17, 09	6 000	31 080	186 48	40 960	245 76	59 28	LT
	Oct 27, 09	8 000	33 120	264 96	40 960	327 68	62 72	LT
Security total		79 000	31 193	2,464 23		3,235 84	771 61	
FMC TECHNOLOGIES INC								
Symbol FTI Exchange NYSE	Nov 19, 10	40 000	40 685	1,627 40	52 230	2,089 20	461 80	LT
	Feb 16, 11	20 000	45 857	917 14	52 230	1,044.60	127 46	ST
	Apr 28, 11	23 000	46 767	1,075 65	52 230	1,201 29	125 64	ST
	May 4, 11	3 000	43 670	131 01	52 230	156 69	25 68	ST
	Jun 23, 11	7 000	39 720	278 04	52 230	365 61	87 57	ST
Security total		93 000	43 325	4,029 24		4,857 39	828 15	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
FOSSIL INC								
Symbol FOSL Exchange OTC	Feb 11, 11	11 000	81 898	900 88	79 360	872 96	-27 92	ST
	Feb 15, 11	12 000	75 918	911 02	79 360	952 32	41 30	ST
	Mar 7, 11	13 000	80 653	1,048 50	79 360	1,031 68	-16 82	ST
	Apr 29, 11	5 000	95 860	479 30	79 360	396 80	-82 50	ST
Security total		41 000	81 456	3,339.70		3,253.76	-85 94	
FUSION-IO INC COM								
Symbol FIO Exchange NYSE	Dec 20, 11	69.000	22 990	1,586 31	24 200	1,669 80	83 49	ST
F5 NETWORKS INC								
Symbol FFIV Exchange OTC	Mar 28, 11	5 000	94 564	472 82	106 120	530 60	57 78	ST
	Mar 30, 11	9 000	99 496	895 47	106 120	955 08	59 61	ST
	May 4, 11	14 000	99 682	1,395 56	106 120	1,485 68	90 12	ST
	Jun 9, 11	5 000	110 850	554 25	106 120	530 60	-23 65	ST
	Jun 21, 11	3 000	101 243	303 73	106 120	318 36	14 63	ST
Security total		36 000	100 606	3,621 83		3,820 32	198 49	
GILDAN ACTIVEWEAR INC CAD								
Symbol GIL Exchange NYSE								
EAI $38 Current yield 1 59%	Oct 25, 10	59 000	28 070	1,656 13	18 790	1,108 61	-547 52	LT
	Nov 16, 10	33 000	26 583	877 25	18 790	620 07	-257 18	LT
	Aug 16, 11	35 000	27 106	948 73	18 790	657 65	-291 08	ST
Security total		127 000	27 418	3,482.11		2,386 33	-1,095 78	
GREEN DOT CORP								
Symbol GDOT Exchange NYSE	Oct 6, 10	18 000	46 028	828 51	31 220	561 96	-266 55	LT
	Nov 9, 10	13 000	53 458	694 96	31 220	405 86	-289 10	LT
	Dec 8, 10	14 000	57 619	806 67	31 220	437 08	-369 59	LT
	Dec 20, 10	13 000	51.945	675 29	31 220	405 86	-269 43	LT
	Mar 23, 11	10 000	42 960	429 60	31 220	312 20	-117 40	ST
	Apr 29, 11	19 000	44 770	850 64	31 220	593 18	-257 46	ST
	Aug 8, 11	17 000	25 061	426 04	31 220	530.74	104 70	ST
Security total		104 000	45 305	4,711.71		3,246 88	-1,464 83	
GREEN MTN COFFEE ROASTERS INC								
Symbol GMCR Exchange OTC	Oct 22, 10	27 000	31 280	844 56	44 850	1,210 95	366 39	LT
	Oct 27, 10	10 000	32 958	329 58	44 850	448.50	118 92	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Oct 29, 10	12 000	32 950	395 40	44 850	538 20	142 80	LT
	Nov 26, 10	18 000	37 037	666 68	44 850	807 30	140 62	LT
	Dec 13, 10	14 000	32 825	459 56	44 850	627 90	168 34	LT
Security total		81 000	33 281	2,695 78		3,632 85	937 07	
HUMAN GENOME SCIENCES INC								
Symbol HGSI Exchange OTC	Jul 17, 09	51 000	3 360	171 36	7 390	376 89	205 53	LT
	Jul 21, 09	19 000	14 528	276 04	7 390	140 41	-135 63	LT
	Jun 2, 10	16 000	25 650	410 40	7 390	118 24	-292 16	LT
	Jan 13, 11	19 000	26 997	512.95	7 390	140 41	-372 54	ST
	Apr 8, 11	27 000	28 735	775 86	7 390	199 53	-576 33	ST
	Jun 2, 11	23 000	26 793	616 25	7 390	169 97	-446 28	ST
	Aug 4, 11	1 000	16 060	16 06	7 390	7 39	-8 67	ST
	Aug 8, 11	78 000	14 551	1,135 01	7 390	576 42	-558 59	ST
	Nov 7, 11	125 000	9 614	1,201 76	7 390	923 75	-278 01	ST
Security total		359 000	14 250	5,115 69		2,653 01	-2,462 68	
IHS INC CL A								
Symbol IHS Exchange NYSE	Oct 19, 10	23 000	71 283	1,639 51	86 160	1,981 68	342 17	LT
	Mar 10, 11	9 000	88 990	800 91	86 160	775 44	-25 47	ST
	Apr 29, 11	12 000	88 722	1,064 67	86 160	1,033 92	-30 75	ST
	Aug 8, 11	7 000	69 384	485 69	86 160	603 12	117 43	ST
Security total		51 000	78 251	3,990 78		4,394 16	403 38	
INTUITIVE SURGICAL INC NEW								
Symbol ISRG Exchange OTC	Jan 25, 07	2 000	96 760	193.52	463 010	926 02	732 50	LT
	Jan 8, 09	3 000	111 750	335 25	463 010	1,389 03	1,053 78	LT
	Mar 5, 09	3 000	91 990	275 97	463 010	1,389 03	1,113 06	LT
	Apr 1, 09	4 000	92 177	368 71	463.010	1,852 04	1,483 33	LT
Security total		12 000	97.788	1,173 45		5,556 12	4,382 67	
IRONWOOD PHARMACEUTICALS INC COM CL A								
Symbol IRWD Exchange OTC	Oct 1, 10	63 000	10 463	659 18	11 970	754 11	94 93	LT
	Oct 4, 10	14 000	10 605	148 48	11 970	167 58	19 10	LT
Security total		77 000	10 489	807 66		921 69	114 03	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
LINKEDIN CORP CL A								
Symbol LNKD Exchange NYSE	Nov 22, 11	24 000	68 100	1,634 40	63 010	1,512 24	-122 16	ST
LUFKIN INDUSTRIES INC								
Symbol LUFK Exchange OTC								
EAI $33 Current yield 0 74%	Jul 21, 11	24 000	81 580	1,957 93	67 310	1,615 44	-342 49	ST
	Aug 8, 11	14 000	62 047	868 66	67 310	942 34	73 68	ST
	Aug 19, 11	13 000	60 989	792 86	67.310	875 03	82 17	ST
	Oct 5, 11	15 000	45 796	686 94	67 310	1,009 65	322 71	ST
Security total		66 000	65 248	4,306 39		4,442 46	136 07	
MYLAN INC								
Symbol MYL Exchange OTC	Mar 31, 08	5 000	11 410	57 05	21 460	107 30	50 25	LT
	Apr 9, 08	8 000	12 455	99 64	21 460	171 68	72 04	LT
	Sep 10, 08	1 000	11 350	11 35	21 460	21 46	10 11	LT
	Oct 14, 08	55 000	8 746	481 05	21 460	1,180 30	699 25	LT
	Oct 16, 08	77.000	7 375	567 94	21 460	1,652 42	1,084 48	LT
	Aug 8, 11	39 000	18 087	705 41	21.460	836 94	131 53	ST
Security total		185 000	10 392	1,922 44		3,970 10	2,047 66	
OCEANEERING INTL INC								
Symbol OII Exchange NYSE								
EAI $61 Current yield 1 30%	Sep 28, 10	28 000	26 566	743 87	46 130	1,291 64	547 77	LT
	Oct 25, 10	30 000	27 055	811 65	46 130	1,383 90	572 25	LT
	Nov 1, 10	44 000	31 745	1,396 78	46 130	2,029.72	632 94	LT
Security total		102 000	28 944	2,952 30		4,705 26	1,752 96	
PRICE T ROWE GROUP INC								
Symbol TROW Exchange OTC								
EAI $86 Current yield 2 19%	Jun 1, 10	25 000	49 281	1,232 03	56 950	1,423 75	191 72	LT
	Jun 3, 10	31 000	49 562	1,536 44	56 950	1,765 45	229 01	LT
	Aug 24, 10	5 000	44 970	224 85	56 950	284 75	59 90	LT
	Aug 4, 11	8 000	53 237	425 90	56 950	455 60	29 70	ST
Security total		69 000	49 554	3,419 22		3,929 55	510 33	
QLIK TECHNOLOGIES INC COM								
Symbol QLIK Exchange OTC	Oct 29, 10	16 000	24 903	398 45	24 200	387 20	-11 25	LT
	Nov 1, 10	7 000	24 954	174 68	24 200	169 40	-5 28	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Nov 16, 10	33 000	21 483	708 95	24 200	798 60	89 65	LT
	Dec 3, 10	22 000	22 867	503 09	24 200	532 40	29 31	LT
	Dec 6, 10	19 000	22 904	435 19	24 200	459 80	24 61	LT
	Dec 9, 10	14 000	23 050	322.70	24 200	338 80	16 10	LT
	Jan 18, 11	37 000	25 435	941.10	24 200	895 40	-45 70	ST
Security total		148 000	23 542	3,484 16		3,581 60	97 44	
ROBERT HALF INTL INC Symbol RHI Exchange NYSE EAI $85 Current yield 1 98%	Dec 8, 09	55 000	25 238	1,388 13	28 460	1,565 30	177 17	LT
	Dec 21, 09	13 000	26 800	348 40	28 460	369 98	21 58	LT
	Jan 14, 10	20 000	28 682	573 64	28 460	569 20	-4 44	LT
	Jan 20, 10	18 000	28 000	504 00	28 460	512 28	8 28	LT
	May 14, 10	13 000	26 336	342 37	28 460	369 98	27 61	LT
	May 28, 10	2 000	25 320	50 64	28 460	56 92	6 28	LT
	Sep 15, 10	8 000	23 837	190 70	28 460	227 68	36 98	LT
	Jun 21, 11	7 000	26 445	185 12	28 460	199 22	14 10	ST
	Jul 11, 11	15 000	26 331	394 97	28 460	426.90	31 93	ST
Security total		151 000	26 344	3,977 97		4,297 46	319 49	
ROCKWELL AUTOMATION INC NEW Symbol. ROK Exchange NYSE EAI $97 Current yield 2 32%	Mar 5, 09	4 000	17 987	71 95	73 370	293 48	221 53	LT
	Mar 5, 09	4 000	17 990	71 96	73 370	293 48	221 52	LT
	Jun 30, 09	29 000	31 895	924 98	73 370	2,127 73	1,202.75	LT
	Aug 8, 11	20 000	57 080	1,141 60	73 370	1,467 40	325 80	ST
Security total		57 000	38 781	2,210 49		4,182 09	1,971 60	
RUE21 INC Symbol RUE Exchange OTC	Mar 4, 10	3.000	29 480	88 44	21 600	64 80	-23 64	LT
	May 10, 10	13 000	32 098	417 28	21 600	280 80	-136 48	LT
	May 25, 10	6 000	30 810	184 86	21 600	129 60	-55 26	LT
	Jun 23, 10	2 000	31 595	63 19	21 600	43 20	-19 99	LT
	Jul 12, 10	40 000	28 319	1,132 76	21 600	864 00	-268 76	LT
	Aug 19, 10	21 000	21 815	458 13	21 600	453 60	-4 53	LT

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Aug 20, 10	16 000	22 050	352 81	21 600	345 60	-7 21	LT
	Oct 25, 10	1 000	27 320	27 32	21 600	21 60	-5 72	LT
Security total		102 000	26 714	2,724.79		2,203 20	-521 59	
SALESFORCE COM INC								
Symbol CRM Exchange NYSE	Jan 25, 07	35 000	41 960	1,468 60	101 460	3,551 10	2,082 50	LT
	Feb 27, 07	7 000	45 332	317 33	101 460	710 22	392 89	LT
Security total		42 000	42 522	1,785 93		4,261 32	2,475 39	
SINA CORP								
Symbol SINA Exchange OTC	Aug 30, 11	8 000	106 323	850 59	52 000	416 00	-434 59	ST
	Dec 7, 11	11 000	63 682	700 51	52 000	572 00	-128 51	ST
	Dec 14, 11	3 000	54 586	163 76	52 000	156 00	-7.76	ST
Security total		22 000	77 948	1,714 86		1,144 00	-570 86	
SUCCESSFACTORS INC								
Symbol SFSF Exchange NYSE	Jan 31, 11	34 000	29 283	995 65	39 870	1,355 58	359 93	ST
	Jul 29, 11	28 000	27 008	756 25	39 870	1,116 36	360 11	ST
	Jul 29, 11	4 000	26 477	105 91	39 870	159 48	53 57	ST
	Aug 4, 11	3 000	27 776	83 33	39 870	119 61	36 28	ST
	Aug 11, 11	15 000	23 700	355 50	39 870	598 05	242 55	ST
Security total		84 000	27 341	2,296 64		3,349 08	1,052 44	
TRIPADVISOR INC								
Symbol TRIP Exchange OTC	Jun 30, 11	16 000	30 657	490 52	25 210	403 36	-87 16	ST
	Aug 4, 11	15 000	31 186	467.79	25 210	378 15	-89 64	ST
	Nov 16, 11	13 000	29 766	386 97	25 210	327 73	-59 24	ST
	Dec 27, 11	41 000	25 183	1,033 51[2]	25 210	1,033 61	0 10	ST
Security total		85 000	27 986	2,378 79		2,142 85	-235 94	
UNIVERSAL DISPLAY CORP								
Symbol PANL Exchange OTC	Jun 24, 11	11 000	33 566	369 23	36 690	403 59	34 36	ST
	Jul 20, 11	6 000	29 993	179 96	36 690	220 14	40.18	ST
	Dec 5, 11	3 000	42.306	126.92	36 690	110 07	-16 85	ST
Security total		20 000	33 806	676 11		733.80	57 69	
VERTEX PHARMACEUTICAL INC								
Symbol VRTX Exchange OTC	May 4, 10	62 000	38 498	2,386 90	33 210	2,059 02	-327 88	LT
	Jan 13, 11	14 000	37 799	529.19	33 210	464 94	-64 25	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
Security total		76 000	38 370	2,916 09		2,523 96	-392 13	
VMWARE INC CL A								
Symbol VMW Exchange NYSE	Jun 30, 08	8 000	53 293	426 35	83 190	665 52	239 17	LT
	Jul 9, 08	5 000	40 748	203 74	83 190	415 95	212 21	LT
	Jul 11, 08	3 000	39 326	117 98	83 190	249 57	131.59	LT
	Jul 18, 08	11 000	38 305	421 36	83 190	915 09	493 73	LT
	Oct 14, 08	11 000	21 515	236 67	83 190	915 09	678 42	LT
Security total		38 000	37.003	1,406 10		3,161 22	1,755.12	
VOLCANO CORP								
Symbol VOLC Exchange OTC	Mar 31, 10	32 000	24 961	798.76	23 790	761 28	-37 48	LT
	May 18, 10	47 000	23 446	1,101.97	23 790	1,118 13	16 16	LT
	Aug 24, 10	10 000	22 337	223 37	23 790	237 90	14 53	LT
	Sep 21, 10	12 000	24 110	289 32	23 790	285 48	-3 84	LT
Security total		101 000	23 895	2,413 42		2,402 79	-10 63	
YOUKU INC SPON ADR								
Symbol YOKU Exchange NYSE	Dec 15, 10	10 000	31 620	316 20	15 670	156 70	-159 50	LT
	Dec 21, 10	16 000	31.594	505 51	15 670	250 72	-254 79	LT
	Dec 31, 10	10 000	35 430	354 30	15 670	156 70	-197 60	ST
	Jan 25, 11	4 000	30 662	122.65	15 670	62 68	-59 97	ST
	Jun 20, 11	66 000	27 455	1,812 08	15 670	1,034 22	-777 86	ST
	Aug 16, 11	22 000	23 984	527 66	15 670	344 74	-182 92	ST
Security total		128 000	28 425	3,638 40		2,005 76	-1,632 64	
Total				**$131,152.57**		**$154,099.57**	**$22,947.00**	

Total estimated annual income: $869

[1] Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 30 ($)	Price per share on Dec 30 ($)	Average rate	Dividend/interest period	Days in period
Cash	0 00	152.95				
RMA MONEY MKT PORTFOLIO	9,631 63	7,435 56	1 00	0 01%	Nov 23 to Dec 22	30
Total	**$9,631.63**	**$7,588.51**				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
ADOBE SYSTEMS INC (DELAWARE)								
Symbol ADBE Exchange OTC	Jan 19, 11	42 000	33 717	1,416 14	28 270	1,187 34	-228 80	ST
ANADARKO PETROLEUM CORP								
Symbol APC Exchange NYSE								
EAI $18 Current yield 0 48%	Jun 23, 10	31 000	40 545	1,256 90	76 330	2,366 23	1,109 33	LT
	Feb 17, 11	1 000	79 110	79.11	76 330	76 33	-2 78	ST
	Feb 24, 11	17 000	77.781	1,322 29	76 330	1,297 61	-24 68	ST
Security total		49 000	54 251	2,658 30		3,740 17	1,081 87	
APACHE CORP								
Symbol APA Exchange NYSE								
EAI $16 Current yield 0 65%	Jun 1, 10	14 000	88 281	1,235.94	90 580	1,268 12	32 18	LT
	Nov 11, 10	7 000	109 301	765 11	90 580	634 06	-131 05	LT
	Jan 14, 11	6 000	125 038	750.23	90 580	543 48	-206 75	ST
Security total		27 000	101 899	2,751 28		2,445 66	-305 62	
ARCHER DANIELS MIDLAND CO								
Symbol ADM Exchange NYSE								
EAI $40 Current yield 2 45%	Dec 9, 09	24 000	30 554	733 30	28 600	686 40	-46 90	LT

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UBS

Friendly account name: Lord Abbbet LCV
Account number: M4 06208 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Dec 14, 09	17 000	30 040	510 68	28 600	486 20	-24 48	LT
	Dec 16, 09	16 000	31 382	502 12	28 600	457 60	-44 52	LT
Security total		57 000	30 633	1,746 10		1,630 20	-115 90	
AT&T INC								
Symbol T Exchange NYSE								
EAI $417 Current yield 5 82%	Dec 15, 05	10 000	24 754	247 54	30 240	302 40	54 86	LT
	Jan 20, 06	25 000	24 672	616 82	30 240	756 00	139 18	LT
	Jan 23, 06	19 000	24 638	468 13	30 240	574 56	106 43	LT
	Jan 25, 07	36 000	36 544	1,315 60	30 240	1,088 64	-226 96	LT
	May 25, 10	31 000	23 948	742.41	30 240	937 44	195 03	LT
	Jun 14, 10	32 000	25 350	811 20	30 240	967.68	156 48	LT
	Aug 16, 11	16 000	28 603	457 66	30 240	483 84	26 18	ST
	Aug 23, 11	8 000	28 410	227 28	30 240	241 92	14 64	ST
	Sep 21, 11	28 000	28 828	807 20	30 240	846 72	39 52	ST
	Sep 23, 11	32 000	27 780	888.96	30 240	967 68	78 72	ST
Security total		237 000	27 776	6,582 80		7,166 88	584 08	
BANK OF AMER CORP								
Symbol BAC Exchange NYSE								
EAI $14 Current yield 0 72%	Nov 30, 10	191 000	11 200	2,139 20	5 560	1,061 96	-1,077.24	LT
	Jan 5, 11	159 000	14 489	2,303.80	5 560	884 04	-1,419 76	ST
Security total		350 000	12.694	4,443 00		1,946 00	-2,497 00	
BARRICK GOLD CORP CAD								
Symbol ABX Exchange NYSE								
EAI $23 Current yield 1 34%	Dec 8, 09	8 000	41 173	329 39	45 250	362 00	32 61	LT
	Jun 22, 10	7 000	45 128	315 90	45 250	316 75	0 85	LT
	Oct 19, 10	13 000	45 650	593 45	45 250	588 25	-5 20	LT
	Sep 19, 11	10 000	54 031	540 31	45 250	452 50	-87 81	ST
Security total		38 000	46 817	1,779 05		1,719 50	-59.55	
BRISTOL MYERS SQUIBB CO								
Symbol BMY Exchange NYSE								
EAI $92 Current yield 3 84%	Nov 29, 11	15 000	31.556	473.35	35 240	528 60	55 25	ST
	Dec 1, 11	15 000	32 940	494 11	35 240	528 60	34 49	ST
	Dec 2, 11	14 000	32 987	461 83	35 240	493 36	31 53	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Dec 7, 11	12 000	33 120	397 44	35 240	422 88	25 44	ST
	Dec 14, 11	12 000	33.747	404 97	35 240	422 88	17 91	ST
Security total		68 000	32.819	2,231 70		2,396 32	164 62	
CARNIVAL CORP NEW (PAIRED STOCK) Symbol CCL Exchange NYSE EAI $46 Current yield 3 06%	Mar 18, 09	21 000	21.435	450.14	32.640	685 44	235 30	LT
	Jul 8, 09	14 000	24 897	348.57	32 640	456 96	108 39	LT
	Jun 8, 10	11 000	34.744	382 19	32.640	359 04	-23.15	LT
Security total		46 000	25 672	1,180.90		1,501 44	320 54	
CATERPILLAR INC Symbol CAT Exchange NYSE EAI $88 Current yield 2 02%	May 20, 08	5 000	83 520	417 60	90 600	453 00	35 40	LT
	May 14, 09	20 000	36 010	720 20	90.600	1,812 00	1,091 80	LT
	Jul 8, 09	15 000	30 400	456 00	90 600	1,359 00	903 00	LT
	Oct 4, 10	8 000	77 706	621.65	90 600	724.80	103.15	LT
Security total		48 000	46 155	2,215 45		4,348 80	2,133 35	
CHEVRON CORP Symbol CVX Exchange NYSE EAI $282 Current yield 3 05%	Jul 16, 07	7 000	92 625	648 38	106 400	744 80	96 42	LT
	Jan 7, 08	1 000	92 680	92 68	106 400	106 40	13 72	LT
	Sep 15, 09	28 000	71 200	1,993 60	106 400	2,979 20	985 60	LT
	Sep 21, 09	7 000	71.600	501.20	106 400	744 80	243 60	LT
	Oct 6, 09	5 000	69 756	348 78	106 400	532.00	183 22	LT
	Jan 27, 10	12 000	74.020	888 24	106.400	1,276 80	388.56	LT
	May 7, 10	16 000	77 850	1,245 60	106 400	1,702 40	456 80	LT
	Jun 8, 10	6 000	70 793	424 76	106 400	638.40	213 64	LT
	Oct 13, 10	5 000	83 786	418 93	106 400	532 00	113 07	LT
Security total		87 000	75 427	6,562 17		9,256 80	2,694 63	
CIGNA CORP Symbol CI Exchange NYSE EAI $2 Current yield 0 08%	Aug 25, 10	33 000	31 831	1,050 45	42 000	1,386 00	335 55	LT

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UBS

Friendly account name: Lord Abbbet LCV
Account number: M4 06208 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Sep 14, 10	14 000	34 997	489.97	42 000	588 00	98 03	LT
	Nov 8, 10	15 000	37 512	562 69	42 000	630 00	67 31	LT
Security total		62 000	33 921	2,103 11		2,604 00	500 89	
CISCO SYSTEMS INC Symbol CSCO Exchange OTC EAI $27 Current yield 1 33%	Nov 14, 11	33 000	19 074	629 47	18 080	596 64	-32 83	ST
	Nov 18, 11	32 000	18 522	592 71	18 080	578 56	-14 15	ST
	Nov 28, 11	16 000	18 030	288 48	18 080	289 28	0 80	ST
	Nov 30, 11	18 000	18 210	327 78	18 080	325 44	-2 34	ST
	Dec 8, 11	13 000	18 870	245 31	18 080	235.04	-10 27	ST
Security total		112 000	18 605	2,083 75		2,024 96	-58 79	
CITIGROUP INC Symbol C Exchange NYSE EAI $4 Current yield 0 14%	Feb 4, 10	30 000	33 174	995 22	26 310	789 30	-205 92	LT
	Feb 22, 10	12 000	37 375	448 50	26 310	315 72	-132 78	LT
	Mar 29, 10	12 000	45 608	547 30	26 310	315 72	-231 58	LT
	Apr 15, 10	22 000	51 636	1,136 01	26 310	578 82	-557 19	LT
	May 10, 10	7 000	48 457	339 20	26 310	184 17	-155 03	LT
	Sep 23, 10	23 000	30 259	695.97	26 310	605 13	-90 84	LT
Security total		106 000	39 266	4,162.20		2,788 86	-1,373 34	
CLIFFS NAT RESOURCES INC Symbol CLF Exchange NYSE EAI $38 Current yield 1 79%	Dec 8, 09	29 000	40 980	1,188 44	62 350	1,808 15	619 71	LT
	Jun 7, 10	5 000	49 928	249 64	62 350	311 75	62 11	LT
Security total		34 000	42 296	1,438 08		2,119 90	681 82	
COLGATE PALMOLIVE CO Symbol CL Exchange NYSE EAI $65 Current yield 2 51%	Aug 25, 10	15 000	75 360	1,130 40	92 390	1,385 85	255 45	LT
	Apr 7, 11	8 000	81 536	652 29	92 390	739 12	86 83	ST
	Aug 11, 11	5 000	81 236	406 18	92 390	461 95	55 77	ST
Security total		28 000	78 174	2,188 87		2,586 92	398 05	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
COMCAST CORP NEW CL A								
Symbol CMCSA Exchange. OTC								
EAI $51 Current yield 1 90%	Jan 13, 10	22 000	16 688	367 15	23 710	521 62	154 47	LT
	May 24, 10	28 000	16 869	472 34	23 710	663 88	191 54	LT
	Jun 30, 10	17 000	17 700	300 90	23 710	403 07	102 17	LT
	Oct 5, 10	46 000	17 880	822 50	23 710	1,090 66	268 16	LT
Security total		113 000	17 371	1,962 89		2,679 23	716 34	
CORNING INC								
Symbol GLW Exchange NYSE								
EAI $42 Current yield 2.31%	Dec 22, 10	140 000	19 283	2,699 65	12 980	1,817 20	-882 45	LT
COVIDIEN PLC								
Symbol COV Exchange. NYSE								
EAI $10 Current yield. 2 02%	Feb 26, 09	11 000	34 672	381 40	45 010	495.11	113 71	LT
CVS CAREMARK CORP								
Symbol CVS Exchange· NYSE								
EAI $51 Current yield 1 60%	Aug 31, 11	17 000	35 748	607 73	40.780	693 26	85 53	ST
	Sep 6, 11	16 000	34 598	553.58	40 780	652 48	98 90	ST
	Sep 7, 11	16 000	36 228	579 66	40 780	652 48	72 82	ST
	Sep 9, 11	16 000	36 669	586 71	40 780	652 48	65 77	ST
	Sep 13, 11	4 000	36 530	146 12	40 780	163 12	17 00	ST
	Nov 28, 11	9 000	37 616	338 55	40 780	367 02	28 47	ST
Security total		78 000	36 056	2,812 35		3,180 84	368 49	
DELTA AIR LINES INC DELA NEW								
Symbol DAL Exchange NYSE	Jan 22, 09	65 000	10 013	650 85	8 090	525 85	-125 00	LT
DEVON ENERGY CORP NEW								
Symbol DVN Exchange NYSE								
EAI $18 Current yield 1 08%	Nov 16, 10	27 000	70 535	1,904 45	62 000	1,674 00	-230 45	LT
DOW CHEMICAL								
Symbol DOW Exchange. NYSE								
EAI $137 Current yield 3 48%	Jul 28, 09	31 000	20 090	622.79	28 760	891.56	268.77	LT
	Aug 27, 09	36 000	21 271	765 78	28 760	1,035 36	269 58	LT
	Oct 6, 09	8 000	25 000	200 00	28 760	230 08	30 08	LT
	Oct 26, 09	17 000	25 200	428 40	28 760	488 92	60 52	LT

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UBS

Friendly account name: Lord Abbbet LCV
Account number: M4 06208 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Jan 20, 10	15 000	29 848	447.73	28.760	431 40	-16 33	LT
	Dec 10, 10	30 000	33 930	1,017 90	28 760	862 80	-155 10	LT
Security total		137 000	25 420	3,482 60		3,940 12	457 52	
EATON CORP Symbol ETN Exchange NYSE EAI $73 Current yield 3 11%	Jul 2, 08	54 000	42 149	2,276 09	43 530	2,350 62	74 53	LT
EL PASO CORP Symbol EP Exchange NYSE EAI $8 Current yield 0 15%	Dec 20, 05	13 000	12 295	159 84	26 570	345 41	185 57	LT
	Dec 21, 05	10 000	12 296	122 96	26 570	265 70	142 74	LT
	Mar 1, 06	52 000	12 851	668 26	26 570	1,381 64	713 38	LT
	Jan 17, 07	50 000	14 750	737 50	26.570	1,328 50	591 00	LT
	Feb 7, 08	1 000	16 010	16 01	26.570	26 57	10 56	LT
	Nov 20, 09	48 000	9.620	461.76	26 570	1,275 36	813 60	LT
	Dec 7, 09	25.000	9 622	240 57	26 570	664 25	423 68	LT
Security total		199 000	12 095	2,406 90		5,287 43	2,880 53	
EMC CORP MASS Symbol EMC Exchange NYSE	Mar 17, 10	18 000	18 706	336 72	21 540	387 72	51.00	LT
	Mar 30, 10	31 000	18 140	562 34	21 540	667 74	105 40	LT
	Dec 14, 10	37 000	22 757	842 01	21 540	796 98	-45 03	LT
Security total		86 000	20 245	1,741 07		1,852 44	111 37	
EMERSON ELECTRIC CO Symbol EMR Exchange NYSE EAI $94 Current yield 3 42%	Aug 3, 09	26 000	36 661	953 21	46 590	1,211 34	258 13	LT
	Jan 4, 11	22 000	57 222	1,258 89	46 590	1,024 98	-233.91	ST
	Feb 10, 11	11 000	60 790	668 69	46 590	512 49	-156 20	ST
Security total		59 000	48 827	2,880 79		2,748 81	-131.98	
EXXON MOBIL CORP Symbol XOM Exchange. NYSE EAI $130 Current yield. 2 22%	Dec 16, 09	40 000	69 432	2,777.28	84 760	3,390 40	613 12	LT
	Mar 17, 10	11 000	67 447	741 92	84 760	932.36	190 44	LT
	Apr 27, 10	5 000	69 640	348 20	84 760	423 80	75 60	LT
	Apr 30, 10	13 000	68 920	895 96	84 760	1,101 88	205 92	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
Security total		69 000	69 034	4,763 36		5,848 44	1,085 08	
FORD MOTOR CO COM NEW								
Symbol F Exchange NYSE								
EAI $29 Current yield 1 86%	Oct 6, 09	74 000	7 164	530 19	10 760	796 24	266 05	LT
	Jan 4, 10	71 000	10 172	722 28	10 760	763 96	41 68	LT
Security total		145 000	8 638	1,252 47		1,560 20	307 73	
GENL ELECTRIC CO								
Symbol GE Exchange NYSE								
EAI $197 Current yield 3 81%	Jan 17, 07	21 000	38 040	798 84	17 910	376 11	-422 73	LT
	Feb 7, 07	43 000	36 241	1,558 39	17 910	770 13	-788 26	LT
	Feb 9, 07	8 000	35 560	284 48	17 910	143 28	-141 20	LT
	Sep 5, 07	33 000	38 698	1,277 04	17 910	591 03	-686 01	LT
	Dec 4, 08	3 000	17 850	53 55	17 910	53 73	0 18	LT
	Mar 24, 11	73 000	19 582	1,429 52	17 910	1,307 43	-122 09	ST
	Jun 27, 11	86 000	18 167	1,562 44	17 910	1,540 26	-22 18	ST
	Nov 30, 11	22 000	15 350	337 70	17 910	394 02	56 32	ST
Security total		289 000	25 266	7,301.96		5,175 99	-2,125 97	
GOLDMAN SACHS GROUP INC								
Symbol GS Exchange NYSE								
EAI $56 Current yield 1 55%	Dec 4, 08	12 000	68 425	821 10	90 430	1,085 16	264 06	LT
	Jan 2, 09	16 000	83 598	1,337 57	90 430	1,446 88	109 31	LT
	Jul 28, 10	6 000	147 448	884 69	90 430	542 58	-342 11	LT
	Aug 16, 10	2 000	147 860	295 72	90 430	180 86	-114 86	LT
	Sep 22, 10	4 000	149 460	597 84	90 430	361 72	-236 12	LT
Security total		40 000	98 423	3,936 92		3,617 20	-319 72	
HALLIBURTON CO (HOLDING COMPANY)								
Symbol HAL Exchange NYSE								
EAI $10 Current yield 1 00%	Jul 8, 09	1 000	18 980	18 98	34 510	34 51	15.53	LT
	Sep 10, 09	28 000	25 006	700 19	34 510	966 28	266 09	LT
Security total		29 000	24 799	719 17		1,000 79	281 62	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
HERTZ GLOBAL HOLDINGS INC								
Symbol HTZ Exchange NYSE	Jan 3, 08	16 000	15 028	240 45	11 720	187 52	-52 93	LT
	Jul 2, 08	52 000	8 265	429 82	11 720	609 44	179 62	LT
	Aug 8, 08	32 000	8 219	263 01	11 720	375 04	112 03	LT
	Mar 4, 09	190 000	3 300	627 04	11 720	2,226 80	1,599 76	LT
	May 24, 10	31 000	10 399	322 39	11 720	363 32	40 93	LT
	Oct 4, 10	50 000	10 205	510 25	11 720	586 00	75 75	LT
Security total		371 000	6 450	2,392 96		4,348 12	1,955 16	
HESS CORP								
Symbol HES Exchange NYSE								
EAI $13 Current yield 0 69%	Apr 2, 09	4 000	58 790	235 16	56 800	227 20	-7 96	LT
	Jun 3, 09	22 000	58 524	1,287 53	56 800	1,249 60	-37 93	LT
	Nov 10, 10	7 000	69 387	485 71	56 800	397 60	-88 11	LT
Security total		33 000	60 861	2,008 40		1,874 40	-134 00	
HEWLETT PACKARD CO								
Symbol HPQ Exchange NYSE								
EAI $31 Current yield 1 85%	Jan 19, 11	30 000	46 248	1,387 45	25 760	772 80	-614 65	ST
	Nov 8, 11	15 000	27 967	419 51	25 760	386 40	-33 11	ST
	Nov 28, 11	11 000	26 199	288 19	25 760	283 36	-4 83	ST
	Dec 1, 11	9 000	28 376	255 39	25 760	231 84	-23 55	ST
Security total		65 000	36 162	2,350 54		1,674 40	-676 14	
HSN INC								
Symbol HSNI Exchange OTC								
EAI $66 Current yield 1 38%	Jan 29, 10	40 000	19 006	760 24	36 260	1,450 40	690 16	LT
	Feb 1, 10	20 000	19 100	382 00	36 260	725 20	343 20	LT
	Feb 2, 10	2 000	19 100	38 20	36 260	72 52	34 32	LT
	Feb 3, 10	11 000	19 096	210 06	36 260	398 86	188 80	LT
	Feb 4, 10	20 000	19 099	381.99	36 260	725 20	343 21	LT
	Apr 1, 10	28 000	29.426	823 93	36 260	1,015 28	191 35	LT
	Jun 4, 10	11 000	24 982	274 81	36 260	398 86	124 05	LT
Security total		132 000	21.752	2,871 23		4,786 32	1,915 09	
HYATT HOTELS CORP CL A								
Symbol H Exchange NYSE	Feb 4, 10	37 000	29 890	1,105 94	37 640	1,392 68	286 74	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Jul 2, 10	17 000	35 656	606 16	37.640	639 88	33 72	LT
Security total		54 000	31 706	1,712.10		2,032 56	320 46	
INTEL CORP								
Symbol INTC Exchange OTC								
EAI $85 Current yield 3 47%	Apr 20, 09	8 000	15 120	120 96	24.250	194 00	73 04	LT
	Jul 8, 09	22 000	16 110	354 42	24 250	533.50	179 08	LT
	Oct 10, 11	29.000	22.847	662 57	24 250	703.25	40 68	ST
	Oct 17, 11	23 000	23 349	537.04	24 250	557 75	20.71	ST
	Oct 18, 11	19.000	23 191	440 63	24 250	460.75	20 12	ST
Security total		101 000	20 947	2,115 62		2,449 25	333 63	
INTL PAPER CO								
Symbol IP Exchange NYSE								
EAI $97 Current yield 3 56%	Nov 11, 10	92.000	25 973	2,389 54	29 600	2,723 20	333 66	LT
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI $205 Current yield 3 47%	Aug 5, 09	22 000	60 464	1,330.22	65 580	1,442 76	112 54	LT
	Sep 15, 09	11 000	60.280	663 08	65 580	721.38	58 30	LT
	Sep 23, 09	3 000	61 070	183 21	65.580	196.74	13 53	LT
	Nov 16, 10	4 000	63 660	254 64	65 580	262.32	7 68	LT
	Apr 29, 11	27 000	65 615	1,771 62	65.580	1,770 66	-0 96	ST
	Jun 1, 11	12 000	66 783	801.40	65 580	786 96	-14 44	ST
	Sep 23, 11	11 000	61 380	675.18	65 580	721 38	46 20	ST
Security total		90 000	63 104	5,679.35		5,902 20	222 85	
JPMORGAN CHASE & CO								
Symbol JPM Exchange NYSE								
EAI $278 Current yield 3 01%	Oct 14, 04	12 000	38 530	462 36	33 250	399 00	-63 36	LT
	Oct 22, 07	33.000	45.156	1,490 18	33 250	1,097 25	-392 93	LT
	Jan 2, 08	54 000	42.364	2,287.69	33 250	1,795 50	-492.19	LT
	Mar 4, 08	22 000	39.267	863 89	33 250	731.50	-132 39	LT
	Jun 19, 08	79 000	37 731	2,980.82	33 250	2,626 75	-354 07	LT
	Jun 23, 08	26 000	37.182	966 74	33 250	864 50	-102 24	LT
	Sep 16, 08	23 000	38 423	883.73	33 250	764 75	-118 98	LT

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Friendly account name: Lord Abbbet LCV
Account number: M4 06208 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Sep 23, 10	5 000	39 610	198 05	33 250	166 25	-31 80	LT
	Nov 22, 10	24 000	38 322	919 75	33 250	798 00	-121 75	LT
Security total		278 000	39 760	11,053 21		9,243 50	-1,809 71	
KEYCORP NEW								
Symbol KEY Exchange NYSE								
EAI $15 Current yield 1 59%	Apr 12, 10	24 000	8 365	200 76	7 690	184.56	-16 20	LT
	Jul 2, 10	41 000	7 420	304 22	7 690	315 29	11 07	LT
	Oct 21, 10	58 000	8 204	475 86	7 690	446 02	-29 84	LT
Security total		123 000	7 974	980 84		945 87	-34 97	
KROGER COMPANY								
Symbol KR Exchange NYSE								
EAI $15 Current yield 1 88%	Jan 4, 10	33 000	20 553	678 28	24 220	799 26	120 98	LT
M & T BANK CORP								
Symbol MTB Exchange NYSE								
EAI $14 Current yield 3 67%	Mar 19, 09	5 000	39 574	197 87	76 340	381.70	183 83	LT
MARATHON OIL CORP								
Symbol MRO Exchange NYSE								
EAI $36 Current yield 2 05%	Oct 6, 09	7 000	19 202	134 42	29 270	204 89	70 47	LT
	Oct 22, 09	15 000	20 723	310 85	29 270	439 05	128 20	LT
	Dec 7, 09	14 000	19 238	269 34	29 270	409 78	140 44	LT
	Apr 27, 10	6 000	19 845	119 07	29 270	175 62	56 55	LT
	Apr 28, 10	18 000	19 448	350 08	29 270	526 86	176 78	LT
Security total		60 000	19 729	1,183 76		1,756 20	572 44	
MARATHON PETROLEUM CO								
Symbol MPC Exchange NYSE								
EAI $32 Current yield 3 00%	Sep 25, 09	1 000	25 240	25 24	33 290	33 29	8 05	LT
	Oct 6, 09	4 500	24 953	112 29	33 290	149 81	37 52	LT
	Oct 22, 09	7 500	26 933	202 00	33 290	249 68	47 68	LT
	Dec 7, 09	7 000	25 001	175 01	33 290	233 03	58 02	LT
	Apr 27, 10	3 000	25 790	77 37	33.290	99.87	22.50	LT
	Apr 28, 10	9 000	25 274	227 47	33 290	299 61	72 14	LT
Security total		32 000	25 606	819 38		1,065 28	245 91	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
MARRIOTT INTL INC NEW CL A								
Symbol MAR Exchange NYSE								
EAI $32 Current yield 1 39%	Mar 18, 09	67 000	14 580	976 92	29 170	1,954 39	977 47	LT
	Jul 8, 09	12 000	18 477	221 73	29 170	350 04	128 31	LT
Security total		79 000	15 173	1,198 65		2,304 43	1,105 78	
MCKESSON CORP								
Symbol MCK Exchange NYSE								
EAI $10 Current yield 1 07%	May 2, 11	12 000	83 095	997 15	77.910	934 92	-62 23	ST
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI $188 Current yield 4 45%	Aug 5, 09	53 000	29 715	1,574 90	37.700	1,998 10	423 20	LT
	Aug 13, 10	10 000	35.049	350 49	37 700	377 00	26 51	LT
	May 3, 11	36 000	36 541	1,315 49	37 700	1,357.20	41.71	ST
	May 18, 11	13 000	37 534	487.95	37 700	490 10	2 15	ST
Security total		112 000	33 293	3,728 83		4,222 40	493 57	
METLIFE INC								
Symbol MET Exchange NYSE								
EAI $33 Current yield 2 35%	Jul 27, 09	20 000	34 534	690 69	31 180	623 60	-67 09	LT
	Nov 8, 10	25 000	41 833	1,045 83	31.180	779 50	-266 33	LT
Security total		45 000	38 589	1,736 52		1,403.10	-333 42	
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI $69 Current yield 3 09%	May 19, 11	57 000	24 792	1,413 17	25 960	1,479 72	66 55	ST
	May 24, 11	29 000	24 159	700 63	25 960	752 84	52 21	ST
Security total		86 000	24 579	2,113 80		2,232 56	118 76	
MORGAN STANLEY								
Symbol MS Exchange NYSE								
EAI $19 Current yield 1 29%	Apr 23, 09	37 000	21 365	790 52	15 130	559 81	-230 71	LT
	May 7, 09	21 000	26 518	556 88	15 130	317 73	-239 15	LT
	May 8, 09	39 000	26 924	1,050 07	15 130	590 07	-460 00	LT
Security total		97 000	24 716	2,397 47		1,467 61	-929 86	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
MOSAIC CO								
Symbol MOS Exchange NYSE								
EAI $8 Current yield 0 38%	Nov 25, 09	18 000	55.121	992 19	50 430	907 74	-84 45	LT
	Dec 9, 09	10 000	59 656	596 56	50 430	504 30	-92 26	LT
	Jan 13, 10	14 000	62 332	872 66	50 430	706 02	-166 64	LT
Security total		42 000	58 605	2,461 41		2,118 06	-343 35	
NEXTERA ENERGY INC COM								
Symbol NEE Exchange NYSE								
EAI $53 Current yield 3 63%	Aug 11, 10	24 000	53 130	1,275 14	60 880	1,461 12	185 98	LT
OMNICOM GROUP INC								
Symbol OMC Exchange NYSE								
EAI $69 Current yield 2 24%	May 13, 09	12 000	31 090	373 09	44 580	534 96	161 87	LT
	Oct 6, 09	14 000	36 434	510 08	44 580	624 12	114 04	LT
	Dec 6, 10	43 000	47.534	2,043.97	44 580	1,916 94	-127.03	LT
Security total		69 000	42 422	2,927 14		3,076 02	148 88	
ORACLE CORP								
Symbol ORCL Exchange OTC								
EAI $9 Current yield 0 90%	Jan 10, 11	39 000	31 001	1,209 07	25 650	1,000 35	-208 72	ST
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI $47 Current yield 3 08%	Jan 28, 10	12 000	59 779	717 35	66 350	796 20	78 85	LT
	Nov 12, 10	11 000	64 577	710 35	66 350	729 85	19 50	LT
Security total		23 000	62 074	1,427 70		1,526 05	98 35	
PFIZER INC								
Symbol PFE Exchange NYSE								
EAI $315 Current yield 4 07%	Nov 16, 10	206 000	16 701	3,440 55	21 640	4,457 84	1,017 29	LT
	Jan 6, 11	140 000	18 180	2,545 28	21 640	3,029 60	484 32	ST
	Sep 23, 11	12 000	17 400	208 80	21 640	259 68	50 88	ST
Security total		358 000	17.303	6,194 63		7,747.12	1,552 49	
PG & E CORP (HOLDING COMPANY)								
Symbol PCG Exchange NYSE								
EAI $56 Current yield 4 38%	Oct 6, 09	6 000	40 710	244 26	41 220	247 32	3 06	LT
	Jan 27, 10	9 000	43 873	394 86	41 220	370 98	-23 88	LT
	May 13, 10	16 000	43 668	698 70	41 220	659 52	-39 18	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
Security total		31 000	43 155	1,337 82		1,277 82	-60 00	
PHILIP MORRIS INTL INC								
Symbol PM Exchange NYSE								
EAI $117 Current yield 3 92%	Mar 8, 11	20 000	63 925	1,278 50	78 480	1,569 60	291 10	ST
	Aug 2, 11	8 000	70 518	564 15	78 480	627 84	63 69	ST
	Aug 11, 11	10 000	65 045	650 45	78 480	784 80	134 35	ST
Security total		38 000	65 608	2,493 10		2,982 24	489.14	
PNC FINANCIAL SERVICES GROUP								
Symbol PNC Exchange NYSE								
EAI $74 Current yield 2 42%	Apr 14, 08	26 000	63 071	1,639 85	57 670	1,499 42	-140 43	LT
	Apr 21, 08	20 000	65 511	1,310 22	57 670	1,153 40	-156 82	LT
	Jun 23, 08	7 000	56 527	395 69	57 670	403 69	8 00	LT
Security total		53 000	63 128	3,345 76		3,056 51	-289 25	
PPL CORP								
Symbol PPL Exchange NYSE								
EAI $70 Current yield 4 76%	Jun 23, 10	7 000	24 807	173 65	29 420	205 94	32 29	LT
	Aug 11, 10	29 000	26 121	757 53	29 420	853 18	95 65	LT
	Aug 19, 11	14 000	27 229	381 21	29 420	411 88	30 67	ST
Security total		50 000	26 248	1,312 39		1,471 00	158 61	
PROCTER & GAMBLE CO								
Symbol PG Exchange NYSE								
EAI $239 Current yield 3 14%	Nov 19, 10	50 000	64 230	3,211 50	66 710	3,335 50	124 00	LT
	Feb 8, 11	17 000	64 370	1,094 29	66 710	1,134 07	39 78	ST
	May 4, 11	12 000	65.750	789 00	66 710	800 52	11 52	ST
	Aug 2, 11	8 000	60 838	486 71	66 710	533 68	46 97	ST
	Aug 11, 11	15 000	58 902	883.54	66 710	1,000 65	117 11	ST
	Sep 19, 11	12 000	63 929	767 15	66 710	800.52	33 37	ST
Security total		114 000	63 440	7,232.19		7,604 94	372 75	
PROGRESS ENERGY INC								
Symbol PGN Exchange NYSE								
EAI $97 Current yield 4 44%	Oct 14, 04	19 000	41 710	792 49	56 020	1,064 38	271 89	LT
	Oct 10, 07	9 000	47 093	423 84	56 020	504 18	80 34	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	May 19, 10	11 000	39.597	435 57	56 020	616 22	180 65	LT
Security total		39 000	42.356	1,651 90		2,184 78	532 88	
PRUDENTIAL FINANCIAL INC Symbol PRU Exchange NYSE EAI $86 Current yield 2 91%	Dec 20, 10	57.000	58 360	3,326 52	50 120	2,856 84	-469 68	LT
	Feb 25, 11	2 000	64 190	128 38	50 120	100 24	-28 14	ST
Security total		59 000	58 558	3,454 90		2,957 08	-497 82	
REGIONS FINANCIAL CORP Symbol RF Exchange NYSE EAI $10 Current yield 0 95%	Oct 6, 09	71 000	6 093	432 67	4 300	305 30	-127 37	LT
	Oct 15, 09	26 000	5 999	155 99	4 300	111 80	-44 19	LT
	Feb 3, 10	80 000	6 320	505 60	4 300	344 00	-161 60	LT
	Apr 15, 10	69 000	8 725	602 05	4 300	296 70	-305 35	LT
Security total		246 000	6 896	1,696 31		1,057 80	-638 51	
SCHLUMBERGER LTD NETHERLANDS ANTILLES Symbol SLB Exchange NYSE EAI $54 Current yield 1 46%	Dec 1, 08	23 000	44 398	1,021 16	68 310	1,571 13	549 97	LT
	May 15, 09	11 000	51 799	569 79	68 310	751 41	181 62	LT
	Jun 23, 09	11 000	52 949	582 44	68 310	751 41	168 97	LT
	Jan 26, 10	9 000	65 308	587 78	68 310	614 79	27 01	LT
Security total		54 000	51 133	2,761 17		3,688 74	927 57	
SOUTHERN CO Symbol SO Exchange NYSE EAI $136 Current yield 4 08%	Jul 13, 11	34 000	40 480	1,376 35	46 290	1,573 86	197 51	ST
	Aug 17, 11	11 000	40 425	444 68	46 290	509.19	64 51	ST
	Aug 19, 11	12.000	40 070	480 84	46 290	555 48	74 64	ST
	Sep 21, 11	15 000	42 940	644 11	46 290	694 35	50 24	ST
Security total		72 000	40 916	2,945 98		3,332 88	386 90	
SUNCOR ENERGY INC NEW CAD Symbol SU Exchange NYSE EAI $25 Current yield 1 50%	Oct 30, 09	21 000	33 300	699 32	28 830	605 43	-93 89	LT
	Dec 8, 09	18 000	34 192	615 46	28 830	518 94	-96 52	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	Nov 12, 10	19 000	35 295	670 62	28 830	547 77	-122 85	LT
Security total		58 000	34 231	1,985 40		1,672 14	-313 26	
SUNTRUST BANKS INC								
Symbol STI Exchange NYSE								
EAI $41 Current yield 1 14%	Jul 10, 09	42 000	15 327	643 74	17 700	743 40	99 66	LT
	Sep 25, 09	61 000	22 570	1,376 77	17 700	1,079 70	-297 07	LT
	Oct 19, 09	17 000	21.171	359 92	17 700	300 90	-59 02	LT
	Nov 17, 09	23 000	21 132	486 04	17 700	407 10	-78 94	LT
	Dec 18, 09	15 000	20 614	309 21	17.700	265 50	-43.71	LT
	Feb 4, 10	37 000	23 050	852 85	17.700	654 90	-197 95	LT
	Oct 21, 10	8 000	26 130	209 04	17 700	141 60	-67 44	LT
Security total		203 000	20 875	4,237.57		3,593 10	-644 47	
TARGET CORP								
Symbol TGT Exchange NYSE								
EAI $34 Current yield 2 37%	Dec 29, 08	28 000	32 241	902 77	51 220	1,434 16	531 39	LT
TEVA PHARMACEUTICALS IND LTD								
ISRAEL ADR								
Symbol TEVA Exchange OTC								
EAI $37 Current yield 1 95%	Apr 10, 07	17 000	37 999	645 99	40 360	686 12	40 13	LT
	Apr 11, 07	5 000	37 962	189 81	40 360	201 80	11 99	LT
	May 10, 07	22 000	38 313	842 89	40 360	887 92	45 03	LT
	Jul 2, 07	3 000	41 650	124 95	40 360	121 08	-3 87	LT
Security total		47 000	38 375	1,803 64		1,896 92	93 28	
TEXAS INSTRUMENTS								
Symbol TXN Exchange. NYSE								
EAI $67 Current yield 2 32%	Dec 10, 10	99 000	33 445	3,311 10	29.110	2,881 89	-429 21	LT
TIME WARNER CABLE INC								
Symbol TWC Exchange' NYSE								
EAI $71 Current yield 3 02%	Jan 8, 09	8 000	30 478	243 83	63.570	508 56	264 73	LT
	Aug 10, 09	6 000	35 418	212 51	63.570	381 42	168 91	LT
	Oct 6, 09	10 000	42 971	429 71	63 570	635 70	205 99	LT
	Jan 4, 11	13 000	67 150	872 96	63.570	826 41	-46 55	ST
Security total		37 000	47 541	1,759 01		2,352 09	593 08	

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UBS

Friendly account name: Lord Abbbet LCV
Account number: M4 06208 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
TRAVELERS COS INC/THE								
Symbol TRV Exchange NYSE								
EAI $57 Current yield 2 75%	Oct 25, 11	13 000	57 098	742 28	59 170	769 21	26 93	ST
	Nov 4, 11	6 000	58 126	348 76	59 170	355 02	6 26	ST
	Nov 28, 11	4 000	54 227	216 91	59 170	236 68	19 77	ST
	Nov 30, 11	5 000	55 920	279 60	59 170	295 85	16 25	ST
	Dec 19, 11	3 000	57 520	172 56	59 170	177 51	4 95	ST
	Dec 20, 11	4 000	57 630	230 52	59 170	236 68	6 16	ST
Security total		35 000	56 875	1,990 63		2,070 95	80 32	
UNITED STATES STEEL CORP NEW								
Symbol X Exchange NYSE								
EAI $10 Current yield 0 79%	Jan 29, 10	22 000	45 840	1,008 48	26 460	582.12	-426 36	LT
	Sep 22, 10	26 000	43 610	1,133 86	26 460	687.96	-445 90	LT
Security total		48 000	44 632	2,142.34		1,270 08	-872 26	
UNITEDHEALTH GROUP INC								
Symbol UNH Exchange NYSE								
EAI $84 Current yield 1 28%	Mar 2, 09	2 000	18 865	37.73	50 680	101 36	63 63	LT
	Mar 19, 09	1 000	21 740	21 74	50 680	50 68	28 94	LT
	Mar 27, 09	21 000	21 078	442 64	50 680	1,064 28	621 64	LT
	Oct 6, 09	24 000	23 948	574 76	50 680	1,216 32	641 56	LT
	Nov 10, 09	19 000	28 880	548 72	50 680	962 92	414 20	LT
	Jan 4, 10	42 000	31 219	1,311 23	50 680	2,128 56	817 33	LT
	Oct 5, 10	20 000	34 878	697.56	50 680	1,013 60	316 04	LT
Security total		129 000	28 173	3,634 38		6,537 72	2,903 34	
UNTD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI $84 Current yield 2 61%	Feb 3, 11	26 000	82 123	2,135 21	73 090	1,900 34	-234 87	ST
	Apr 5, 11	18 000	85 240	1,534 32	73 090	1,315 62	-218 70	ST
Security total		44 000	83 398	3,669.53		3,215.96	-453.57	
VALERO ENERGY CORP NEW								
Symbol VLO Exchange NYSE								
EAI $55 Current yield 2 87%	Apr 6, 10	61 000	20 688	1,262 02	21 050	1,284 05	22 03	LT
	May 7, 10	14 000	19 210	268 94	21 050	294 70	25 76	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
	May 24, 10	16 000	17 995	287 93	21 050	336 80	48 87	LT
Security total		91 000	19 988	1,818 89		1,915 55	96 66	
VERIZON COMMUNICATIONS INC								
Symbol VZ Exchange NYSE								
EAI $202 Current yield 4 99%	May 7, 09	19 000	27.757	527 40	40 120	762 28	234 88	LT
	Jun 1, 09	19 000	27 637	525 12	40 120	762 28	237.16	LT
	Jun 22, 09	36 000	27 734	998 45	40 120	1,444 32	445 87	LT
	Dec 14, 11	27 000	38 196	1,031 30	40 120	1,083 24	51 94	ST
Security total		101 000	30 518	3,082 27		4,052 12	969 85	
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol DIS Exchange NYSE								
EAI $64 Current yield 1 61%	Jan 28, 11	36 000	39 383	1,417 79	37 500	1,350 00	-67 79	ST
	Feb 16, 11	31 000	43 500	1,348 50	37 500	1,162 50	-186 00	ST
	Feb 25, 11	17 000	42 490	722 33	37 500	637.50	-84 83	ST
	May 12, 11	22 000	41 510	913 24	37 500	825 00	-88 24	ST
Security total		106 000	41 527	4,401 86		3,975 00	-426 86	
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI $168 Current yield 1 74%	Jun 27, 08	188 000	23 687	4,453 21	27 560	5,181 28	728 07	LT
	Nov 17, 08	88 000	26 834	2,361 42	27 560	2,425 28	63 86	LT
	Jan 6, 09	23 000	28 521	656 00	27 560	633 88	-22 12	LT
	Jan 15, 09	4 000	20 915	83 66	27 560	110 24	26 58	LT
	Jan 4, 10	48 000	26 952	1,293 70	27 560	1,322 88	29 18	LT
Security total		351 000	25 208	8,847 99		9,673.56	825 57	
ZIONS BANCORP								
Symbol ZION Exchange OTC								
EAI $4 Current yield 0 23%	Apr 17, 09	32 000	15 151	484 85	16 280	520.96	36 11	LT
	Sep 10, 09	30 000	15 679	470 37	16 280	488 40	18 03	LT
	Oct 15, 09	31 000	18 615	577 07	16 280	504 68	-72 39	LT
	Nov 13, 09	13 000	12 950	168 35	16 280	211 64	43 29	LT
Security total		106 000	16 044	1,700 64		1,725 68	25 04	

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UBS

Friendly account name: Lord Abbot LCV
Account number: M4 06208 88

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
Total				**$224,335.95**		**$240,574.73**	**$16,238.79**	

Total estimated annual income: $5,759

Your total assets

		Value on Dec 30 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**7,588.51**	**3.06%**	**7,588.51**		
Equities	**Common stock**	**240,574.73**	**96.94%**	**224,335.95**	**5,759.00**	**16,238.79**
Total		**$248,163.24**	**100.00%**	**$231,924.46**	**$5,759.00**	**$16,238.79**

Account activity this month

	Date	Activity	Description	Amount ($)
Dividend and interest income				
Taxable dividends	Dec 1	Dividend	CLIFFS NAT RESOURCES INC PAID ON 34	9 52
	Dec 1	Dividend	INTEL CORP PAID ON 101	21 21
	Dec 1	Dividend	KROGER COMPANY PAID ON 33	3 80
	Dec 1	Dividend	WELLS FARGO & CO NEW PAID ON 351	42.12
	Dec 6	Dividend	PFIZER INC PAID ON 358	71.60
	Dec 6	Dividend	SOUTHERN CO PAID ON 72	34 02
	Dec 7	Foreign Dividend	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR PAID ON 47	10.10
	Dec 8	Dividend	AMGEN INC PAID ON 17	4 76
	Dec 8	Dividend	ARCHER DANIELS MIDLAND CO PAID ON 57	9 98
	Dec 8	Dividend	MICROSOFT CORP PAID ON 86	17 20
	Dec 9	Dividend	EXXON MOBIL CORP PAID ON 69	32 43
	Dec 9	Dividend	EMERSON ELECTRIC CO PAID ON 59	23 60
	Dec 12	Dividend	CHEVRON CORP PAID ON 87	70 47
	Dec 12	Dividend	MARATHON OIL CORP PAID ON 65	9.75
	Dec 12	Dividend	TARGET CORP PAID ON 28 AS OF 12/10/11	8 40
	Dec 12	Dividend	UNITED STATES STEEL CORP NEW PAID ON 48 AS OF 12/10/11	2 40
	Dec 12	Dividend	UNTD TECHNOLOGIES CORP PAID ON 44 AS OF 12/10/11	21.12

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Account name: NIKI CHARITABLE ART FOUNDATION
Account number: VR 49142 34

Your Financial Advisor:
JOHN M SEIBER & STEPHEN SEIBER
858-454-9181/800-231-9628

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 30 ($)	Price per share on Dec 30 ($)	Average rate	Dividend/interest period	Days in period
RMA MONEY MKT. PORTFOLIO	104,338.50	104,189 40	1 00	0 01%	Nov 23 to Dec 22	30

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
CARMAX INC								
Symbol: KMX Exchange: NYSE	Aug 19, 11	575 000	25 860	14,870.07	30 480	17,526 00	2,655.93	ST
HEALTHSOUTH CORP NEW								
Symbol. HLS Exchange NYSE	Aug 19, 11	800 000	18 810	15,048 65	17 670	14,136.00	-912.65	ST
Total				$29,918.72		$31,662.00	$1,743.28	

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
MATTHEWS CHINA FUND									
Symbol: MCHFX									
Trade date. Aug 22, 11	1,203 852	24 920	30,075 00	30,075 00	21 510	25,894 85	-4,180 15		ST
Total reinvested	128 291	21.960		2,817.28	21.510	2,759 54	-57.74		

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Account name: NIKI CHARITABLE ART FOUNDATION
Account number: VR 49142 34

Your assets › Equities › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EAI $373 Current yield: 1.30%									
Security total	1,332.143	24 691	30,075 00	32,892.28		28,654.39	-4,237 89	-1,420 61	

Fixed income

Cost 29,918.72+32,892.28 = 62,811

Certificates of deposit

Cost basis has been adjusted for accreted original issue discount (OID) on long-term (more than 1 year) CDs.

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
AMER EXP CENT BK UT US RT 01.3000% MAT 03/26/12 FIXED RATE CD ACCRUED INTEREST $328 20 CUSIP 02586VGG8 EAI $618 Current yield: 1.30%	Mar 18, 10	95,000 000	100 000	95,000.00	100.199	95,189 05	189 05	LT
PRUDENTIAL B&T CT US RATE 01 4000% MAT 09/17/2012 FIXED RATE CD ACCRUED INTEREST $378.95 CUSIP 74432LAL0 EAI $1,330 Current yield: 1 39%	Mar 18, 10	95,000 000	99 898	94,903 10	100 835	95,793 25	890.15	LT
ALLY BK MIDVALE UT US RATE 01.9500% MAT 03/26/2013 FIXED RATE CD ACCRUED INTEREST $253.76 CUSIP 02004MQA4 EAI: $975 Current yield: 1.92%	Mar 18, 10	50,000.000	100.000	50,000 00	101.598	50,799 00	799 00	LT
Total		**$240,000.000**		**$239,903.10**		**$241,781.30**	**$1,878.20**	

Total accrued interest: $960.91

Total estimated annual income: $2,923

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Your assets (continued)

Your total assets

		Value on Dec 30 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	Cash and money balances	104,189.40	25.58%	104,189.40		
Equities	Common stock	31,662.00		29,918 72		1,743 28
	Mutual funds	28,654 39		32,892.28	373 00	-4,237.89
	Total equities	60,316.39	14.81%	62,811.00	373.00	-2,494.61
Fixed income	Certificates of deposits	241,781.30		239,903.10	2,923 00	1,878 20
	Total accrued interest	960 91				
	Total fixed income	242,742.21	59.61%	239,903.10	2,923.00	1,878.20
Total		**$407,248.00**	**100.00%**	**$406,903.50**	**$3,296.00**	**-$616.41**

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 30		Cash and money balance					$104,338.50
Dec 6	Fee Charge	ANNUAL FEE CHARGE				-150 00	104,188 50
Dec 9	St Cap Gain	MATTHEWS CHINA FUND SHORT TERM CAPITAL GAIN AS OF 12/08/11				13 96	
Dec 9	Dividend	MATTHEWS CHINA FUND AS OF 12/08/11				336 99	
Dec 9	Lt Cap Gain	MATTHEWS CHINA FUND LONG TERM CAPITAL GAIN AS OF 12/08/11				2,466.32	
Dec 9	Reinvestment	MATTHEWS CHINA FUND DIVIDEND REINVESTED AT 21.96 NAV ON 12/08/11 AS OF 12/08/11		15 346		-336.99	
Dec 9	Reinvestment	MATTHEWS CHINA FUND LT CAP GAINS REINVESTED AT 21.96 NAV ON 12/08/11 AS OF 12/08/11		112 309		-2,466.32	
Dec 9	Reinvestment	MATTHEWS CHINA FUND ST CAP GAINS REINVESTED AT 21 96 NAV ON 12/08/11 AS OF 12/08/11		0 636		-13.96	104,188 50
Dec 23	Dividend	RMA MONEY MKT. PORTFOLIO AS OF 12/22/11				.90	104,189 40
Dec 30		Closing cash and money balance					$104,189.40
		Funds used for investment transactions					-$2,817.27