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INTERNAL REVENUE SERVICE

Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No. 1545-0052

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation EDWARD F. SWINNEY TRUST FOR EMPLOYEES		A Employer identification number 44-6009267
Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) (800) 357-7094
BANK OF AMERICA, N.A. P.O. BOX 831041		
City or town, state, and ZIP code DALLAS, TX 75283-1041		
Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		C If exemption application is pending, check here ☐ D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,548,433.		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))				(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)						
2	Check ☒ if the foundation is not required to attach Sch. B						
3	Interest on savings and temporary cash investments						
4	Dividends and interest from securities			55,752.	55,752.		STMT 1
5a	Gross rents						
b	Net rental income or (loss)						
6a	Net gain or (loss) from sale of assets not on line 10			101,328.			
b	Gross sales price for all assets on line 6a			1,243,513.			
7	Capital gain net income (from Part IV, line 2)				101,328.		
8	Net short-term capital gain						
9	Income modifications						
10a	Gross sales less returns and allowances						
b	Less Cost of goods sold						
	Gross profit or (loss) (attach schedule)			156.	156.		STMT 6
	Other income (attach schedule)						
12	Total. Add lines 1 through 11			157,236.	157,236.		
13	Compensation of officers, directors, trustees, etc.			18,498.	11,099.		7,399.
14	Other employee salaries and wages						
15	Pension plans, employee benefits						
16a	Legal fees (attach schedule)						
b	Accounting fees (attach schedule) STMT 7			1,000.	NONE	NONE	1,000.
c	Other professional fees (attach schedule)						
17	Interest						
18	Taxes (attach schedule) (see instructions) STMT 8			2,508.	471.		
19	Depreciation (attach schedule) and depletion						
20	Occupancy						
21	Travel, conferences, and meetings						
22	Printing and publications						
23	Other expenses (attach schedule) STMT 9			56.	56.		
24	Total operating and administrative expenses. Add lines 13 through 23			22,062.	11,626.	NONE	8,399.
25	Contributions, gifts, grants paid			56,004.			56,004.
26	Total expenses and disbursements. Add lines 24 and 25			78,066.	11,626.	NONE	64,403.
27	Subtract line 26 from line 12:						
a	Excess of revenue over expenses and disbursements			79,170.			
b	Net investment income (if negative, enter -0-)				145,610.		
c	Adjusted net income (if negative, enter -0-)						

For Paperwork Reduction Act Notice, see instructions.

JSA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	104,613.	60,585.	60,585.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule) . .			
	b	Investments - corporate stock (attach schedule)	599,188.	587,687.	702,640.
	c	Investments - corporate bonds (attach schedule)	175,894.	NONE	NONE
	11	Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)	559,341.	870,519.	785,208.
	14	Land, buildings, and equipment, basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	1,439,036.	1,518,791.	1,548,433.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons .			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒				
	27	Capital stock, trust principal, or current funds	1,439,036.	1,518,791.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds . .			
	30	Total net assets or fund balances (see instructions)	1,439,036.	1,518,791.	
31	Total liabilities and net assets/fund balances (see instructions)	1,439,036.	1,518,791.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,439,036.
2	Enter amount from Part I, line 27a	2	79,170.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	2,023.
4	Add lines 1, 2, and 3	4	1,520,229.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 11	5	1,438.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,518,791.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 1,213,796.		1,124,451.	89,345.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			89,345.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	101,328.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	39,091.	1,510,316.	0.025883
2009	47,292.	1,324,076.	0.035717
2008	199,615.	1,558,402.	0.128090
2007	70,077.	1,941,502.	0.036094
2006	3,001.	1,791,798.	0.001675
2 Total of line 1, column (d)			2 0.227459
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.045492
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 1,618,197.
5 Multiply line 4 by line 3			5 73,615.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,456.
7 Add lines 5 and 6			7 75,071.
8 Enter qualifying distributions from Part XII, line 4			8 64,403.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	1	2,912.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3 Add lines 1 and 2	3	2,912.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,912.
6 Credits/Payments		
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	1,192.
b Exempt foreign organizations - tax withheld at source	6b	NONE
c Tax paid with application for extension of time to file (Form 8868)	6c	2,448.
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	3,640.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	728.
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ 728. Refunded ☐ 11	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ NONE				
14	The books are in care of ▶ BANK OF AMERICA, N.A. Telephone no ▶ (816) 292-4300 Located at ▶ 1200 MAIN ST, 14TH FL, KANSAS CITY, MO ZIP + 4 ▶ 64105-2100			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes	☐ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	☐ Yes	☒ No
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		18,498.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2 NONE	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,642,840.
b	Average of monthly cash balances	1b	NONE
c	Fair market value of all other assets (see instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	1,642,840.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	1,642,840.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	24,643.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,618,197.
6	Minimum investment return. Enter 5% of line 5	6	80,910.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	80,910.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	2,912.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,912.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	77,998.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	77,998.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	77,998.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	64,403.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	64,403.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	64,403.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				77,998.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			54,467.	
b Total for prior years 20 <u>09</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2011				
a From 2006	NONE			
b From 2007	NONE			
c From 2008	NONE			
d From 2009	NONE			
e From 2010	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ <u>64,403.</u>				
a Applied to 2010, but not more than line 2a			54,467.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2011 distributable amount				9,936.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				68,062.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2007	NONE			
b Excess from 2008	NONE			
c Excess from 2009	NONE			
d Excess from 2010	NONE			
e Excess from 2011	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 14				
Total			3a	56,004.
b Approved for future payment				
Total			3b	

Form **990-PF** (2011)

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations.)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
▼	

NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee
BANK OF AMERICA, N.A.

11-7-12
Date

TRUSTEE
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date	
------	--

Check ☐ if self-employed

PTIN	
------	--

Firm's name ▶

Firm's EIN ►

Firm's address ►

Phone no

Gains and Losses From Section 1256 Contracts and Straddles

► Attach to your tax return.

OMB No 1545-0644

2011

Attachment
Sequence No **82**

Name(s) shown on tax return

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

Identifying number

44-6009267

Check all applicable boxes (see instructions).

A

Mixed straddle election

C

Mixed straddle account election

B

Straddle-by-straddle identification election

D

Net section 1256 contracts loss election

Part I Section 1256 Contracts Marked to Market

(a) Identification of account	(b) (Loss)	(c) Gain
1 SEE STATEMENT 15		197.
2 Add the amounts on line 1 in columns (b) and (c)	2 ()	197.
3 Net gain or (loss). Combine line 2, columns (b) and (c)	3	197.
4 Form 1099-B adjustments See instructions and attach schedule	4	
5 Combine lines 3 and 4	5	197.
Note: If line 5 shows a net gain, skip line 6 and enter the gain on line 7. Partnerships and S corporations, see instructions		
6 If you have a net section 1256 contracts loss and checked box D above, enter the amount of loss to be carried back Enter the loss as a positive number	6	
7 Combine lines 5 and 6	7	197.
8 Short-term capital gain or (loss). Multiply line 7 by 40% (.40). Enter here and include on the appropriate line of Schedule D (see instructions)	8	79.
9 Long-term capital gain or (loss). Multiply line 7 by 60% (.60). Enter here and include on the appropriate line of Schedule D (see instructions)	9	118.

Part II Gains and Losses From Straddles. Attach a separate schedule listing each straddle and its components.

Section A - Losses From Straddles

(a) Description of property	(b) Date entered into or acquired	(c) Date closed out or sold	(d) Gross sales price	(e) Cost or other basis plus expense of sale	(f) Loss If column (e) is more than (d), enter difference Otherwise, enter -0-	(g) Unrecognized gain on offsetting positions	(h) Recognized loss. If column (f) is more than (g), enter difference Otherwise, enter -0-
10							
11a Enter the short-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions)						11a ()	
b Enter the long-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions)						11b ()	

Section B - Gains From Straddles

(a) Description of property	(b) Date entered into or acquired	(c) Date closed out or sold	(d) Gross sales price	(e) Cost or other basis plus expense of sale	(f) Gain. If column (d) is more than (e), enter difference Otherwise, enter -0-
12					
13a Enter the short-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions)					13a
b Enter the long-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions)					13b

Part III Unrecognized Gains From Positions Held on Last Day of Tax Year. Memo Entry Only (see instructions)

(a) Description of property	(b) Date acquired	(c) Fair market value on last business day of tax year	(d) Cost or other basis as adjusted	(e) Unrecognized gain. If column (c) is more than (d), enter difference Otherwise, enter -0-
14				

For Paperwork Reduction Act Notice, see instructions.

Form **6781** (2011)

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
AT&T INC	526.	526.
ABBOTT LABORATORIES COM	187.	187.
CAMBIAR SMALL CAP FUND INV CL	572.	572.
AIR PRODUCTS & CHEMICALS INC COM	151.	151.
ALLEGHENY TECHNOLOGIES INC CONV SR NT	31.	31.
ALLERGAN INC COM	4.	4.
ALTRIA GROUP INC	220.	220.
AMERICAN ELECTRIC POWER CO INC COM	245.	245.
AMERICAN EXPRESS CO COM	53.	53.
AMGEN INC COM	11.	11.
ANADARKO PETROLEUM CORP COM	22.	22.
ANALOG DEVICES INC COM	51.	51.
APACHE CORP CONV PFD SER D 6.000%	204.	204.
ASSURED GTY MUN HLDGS INC NT PFD 6.250	781.	781.
AUTOMATIC DATA PROCESSING INC COM	192.	192.
BB&T CORP COM	43.	43.
BHP BILLITON PLC - ADR	65.	65.
BLACKROCK INC	23.	23.
BOFA CASH RESERVES TRUST CLASS	4.	4.
BRISTOL MYERS SQUIBB CO COM	85.	85.
CME GROUP INC COM	14.	14.
CARNIVAL CORP PAIRED CTF 1 COM	21.	21.
CHEVRONTXACO CORP COM	299.	299.
CITIGROUP INC NEW COM	3.	3.
COACH INC	24.	24.
COLGATE PALMOLIVE CO COM	65.	65.
COLUMBIA ACORN FUND CLASS Z SHARES	184.	184.
COLUMBIA ACORN INTERNATIONAL FUND CL Z	1,110.	1,110.
COLUMBIA INCOME OPPORTUNITIES FUND CL Z	1,365.	1,365.
COLUMBIA HIGH INCOME FUND CLASS Z SHARES	502.	502.
COLUMBIA INTERNATIONAL VALUE FUND CLASS	1,837.	1,837.
COLUMBIA MARSICO INTERNATIONAL OPPORTUNI	104.	104.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
COLUMBIA MID CAP VALUE FUND CLASS Z SHAR	355.	355.
COLUMBIA CORPORATE INCOME FUND CLASS Z S	782.	782.
COLUMBIA SMALL CAP GROWTH I FUND CLASS Z	208.	208.
CUMMINS ENGINE CO INC COM	91.	91.
DANAHER CORP COM	14.	14.
DELAWARE EMERGING MKTS FUND CL INSTL CL	1,244.	1,244.
DIAGEO SPONSORED ADR (UK-USD) SEDOL #213	253.	253.
DIGITAL RLTY TR INC REITS	2.	2.
DOVER CORP	73.	73.
DOW CHEM CO	319.	319.
EMC CORP CONV SR NT	42.	42.
EOG RESOURCES INC	23.	23.
EATON CORP COMMON	267.	267.
ENSCO PLC SPONSORED ADR	107.	107.
EXXON MOBIL CORPORATION	186.	186.
FOOT LOCKER INC COM	46.	46.
FREEMPORT-MCMORAN COPPER & GOLD INC COM	177.	177.
GENERAL DYNAMICS CORP COM	141.	141.
GENERAL MILLS INC COM	145.	145.
GENERAL MTRS CO CONV PFD JR SER B	205.	205.
GENUINE PARTS CO	196.	196.
GLAXO WELLCOME PLC SPON ADR	39.	39.
GOLDMAN SACHS GROUP INC	41.	41.
GOODRICH B F CO COM	69.	69.
HALLIBURTON CO COM	96.	96.
HEINZ H J CO	133.	133.
HOME DEPOT INC	201.	201.
HONEYWELL INTERNATIONAL INC	23.	23.
ILLINOIS TOOL WORKS INC COM	157.	157.
INTEL CORP COM	116.	116.
INTERNATIONAL BUSINESS MACHINES CORP COM	167.	167.
J P MORGAN CHASE CAP X TR PFD SECS 7% SE	438.	438.
J P MORGAN CHASE & CO COM	158.	158.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
JOHNSON & JOHNSON COM	183.	183.
KELLOGG CO COM	48.	48.
KINDER MORGAN INC DEL COM	68.	68.
KRAFT FOODS INC CL A COM	111.	111.
LAUDER ESTEE COS INC CL A	124.	124.
LIMITED BRANDS INC COM	112.	112.
MC DONALDS CORP COM	431.	431.
MEAD JOHNSON NUTRITION CO COM	59.	59.
MERCK & CO INC NEW COM	225.	225.
MEREDITH CORP	20.	20.
METLIFE INC	67.	67.
MICROSOFT CORP	124.	124.
MOLSON COORS BREWING CO CL B	24.	24.
MONSANTO CO NEW COM	220.	220.
MORGAN STANLEY DEAN WITTER & CO	11.	11.
MORGAN STANLEY CAP TR III CAP SEC PFD 6.	391.	391.
NATIONAL OILWELL VARCO INC COM	20.	20.
NATIXIS FDS TR IV AEW REAL ESTATE FD CL	386.	386.
NAVISTAR INTL CORP NEW CONV SR SUB NT	15.	15.
NEXTERA ENERGY INC COM	108.	108.
NIKE INC COM CL B	142.	142.
NORDSTROM INC COM	66.	66.
NUCOR CORP	25.	25.
OCCIDENTAL PETROLEUM CORP COM	293.	293.
ORACLE CORPORATION COM	103.	103.
PG&E CORP COM	174.	174.
PIMCO TOTAL RETURN FUND INSTL	7,839.	7,839.
P N C BANK CORP COM	201.	201.
PPG INDUSTRIES INC COM	348.	348.
PACCAR INC COM	9.	9.
PACKAGING CORP OF AMERICA	71.	71.
PARKER HANNIFIN CORP COM	43.	43.
PEOPLES UTD FINL INC COM	122.	122.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
PFIZER INC COM	243.	243.
PHILIP MORRIS INTL INC COM	292.	292.
PIMCO FOREIGN BOND FUND UNHEDGED INSTL C	184.	184.
PIMCO COMMODITY REALRETURN STRATEGY FUND	15,935.	15,935.
POWERSHARES DB COMMODITY INDEX TRACKING	8.	8.
PRAXAIR INC COM	268.	268.
PRECISION CASTPARTS CORP COM	6.	6.
PRICE T ROWE GROUP INC COM	53.	53.
PUBLIC SERVICE ENTERPRISE GROUP INC COM	32.	32.
PUBLIC STORAGE INC COM (REIT)	15.	15.
QUALCOMM INC	26.	26.
ROCKWELL INTL CORP NEW COM	103.	103.
ROWE T PRICE INTL FUNDS INC INTL BD FUND	962.	962.
ROYAL DUTCH SHELL PLC SPONSORED ADR CL A	55.	55.
SBC COMMUNICATIONS INC GLOBAL NT	512.	512.
SEMPRA ENERGY	123.	123.
SOTHEBYS HOLDINGS INC CL A COM	5.	5.
STARBUCKS CORP	147.	147.
STARWOOD HOTELS & RESORTS WORLDWIDE INC	39.	39.
TJX COMPANIES INC NEW COM	194.	194.
TARGET CORP	60.	60.
3M CO COM	43.	43.
TIFFANY & CO NEW COM	166.	166.
TIME WARNER INC NEW COM	296.	296.
US BANCORP DEL COM NEW	325.	325.
UNION PACIFIC CORP COM	256.	256.
UNITED PARCEL SERVICE CL B	149.	149.
UNITED STS STL CORP CONV NEW SR UNSECD N	19.	19.
UNITED TECHNOLOGIES CORP COM	219.	219.
UNITED TECHNOLOGIES CORP NT	1,185.	1,185.
V F CORP COM	42.	42.
VANGUARD TOTAL BD MARKET ETF	648.	648.
VERIZON COMMUNICATIONS	391.	391.

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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
VERIZON COMMUNICATIONS INC SR UNSECD NT	1,516.	1,516.
VISA INC CL A COM	46.	46.
WACHOVIA CORP NEW SUB NT	1,932.	1,932.
WASTE MANAGEMENT INC	21.	21.
WELLS FARGO COMPANY	120.	120.
WELLS FARGO & CO NEW PFD DEP SHS SER J	207.	207.
WILLIAMS COS INC DEL COM	68.	68.
WYNN RESORTS LTD COM	525.	525.
XCEL ENERGY INC COM	75.	75.
YUM BRANDS INC COM	142.	142.
BANK OF AMERICA MONEY MARKET SAVINGS ACC	48.	48.
ACCENTURE PLC CL A COM	153.	153.
XL GROUP PLC COM	50.	50.
ACE LTD COM	53.	53.
TE CONNECTIVITY LTD COM	46.	46.
TRANSOCEAN LTD COM	31.	31.
TYCO ELECTRONICS LTD COM	23.	23.
	-----	-----
TOTAL	55,752.	55,752.
	=====	=====

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
CITIGROUP INC CONV PFD COM STK EQUIVALEN	17.	17.
FORD MTR CO CAP TR II TR ORIGINATED PFD	32.	32.
VERIZON COMMUNICATIONS INC SR UNSECD NT	107.	107.
	-----	-----
TOTALS	156.	156.
	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE	1,000.			1,000.
TOTALS	1,000.	NONE	NONE	1,000.

FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
FOREIGN TAXES		8.
EXCISE TAX - PRIOR YEAR	845.	
FEDERAL EXCISE ESTIMATES	1,192.	
FOREIGN TAXES ON QUALIFIED FOR	295.	295.
FOREIGN TAXES ON NONQUALIFIED	168.	168.
	-----	-----
TOTALS	2,508.	471.
	=====	=====

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

44-6009267

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
OTHER INVESTMENT FEE	56.	56.
TOTALS	56. =====	56. =====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
MUTUAL FUND ADJUSTMENT - 2010	1,325.
ROC ADJUSTMENT - 2011	237.
YEAR END SALES ADJUSTMENT - 2010	461.

TOTAL	2,023.
	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
-----	-----
MUTUAL FUND ADJUSTMENT - 2011	1,018.
ROC ADJUSTMENT - 2010	246.
BASIS ADJUSTMENT - 2011 SALES	134.
NET ROUNDING	20.
NET FACTORING ADJUSTMENT	20.

TOTAL	1,438.
	=====

FORM 990PF, PART VII-B, LN 5(c) EXPENDITURE RESPONSIBILITY STATEMENT

=====

NAME:

EDWARD F. SWINNEY FOUNDATION

GRANT AMOUNT

56,004.

GRANT PURPOSE:

SEE ATTACHMENTS

EXPENDITURE REPORT

Edward F. Swinney Foundation
c/o Bank of America 1200 Main St. Kansas City, MO 64121

NAME OF TRUST: Edward F. Swinney for Employees

ACCOUNT #: _____

To Bank of America, N.A., in its capacity as Trustee:

The following constitutes the expenditure report for the Edward F. Swinney for Employees Trust for the period 1/1/2011 through 12/31/2011 for a grant award totaling \$56,004.12 ("grant funds") received by the Edward F. Swinney Foundation. We certify that the grant funds and the income earned on the grant funds (if any) have been used exclusively for the charitable purposes set forth in the grant agreement letter.

Attached is a report showing income earned on the grant funds, if any, and expenditures of the grant funds and income earned on the grant funds during the fiscal year by amount and purpose.

No part of the grant funds or interest earned on the grant funds were used for any of the following purposes:

- (i) carrying on propaganda or attempting to influence legislation,
- (ii) influencing the outcome of any election for public office or carrying on directly or indirectly any voter registration drive,
- (iii) making grants to individuals or organizations that do not comply with sections 4945(d)(3) or (d)(4) of the Internal Revenue Code, or
- (iv) for any non-charitable purpose, that is, any purpose not described in section 170(c)(2)(B) of the Internal Revenue Code.

I further certify that the Edward F. Swinney Foundation has not diverted any portion of the grant funds (or the income on the grant funds) from the specified purpose of the grant and that this organization has complied with the terms of the grant. I am authorized to sign this report on behalf of the Grantee. I have examined the foregoing statements, including the attached Expenditure Responsibility Report, and they are true, correct and complete, to the best of my knowledge.

By: Spencer Addison

Its Swinney

Telephone Number: 816-292-4300

Date 11-6-12

EXPENDITURE RESPONSIBILITY REPORT
(Note that the final report must cover expenditures over the life of the grant.)

Date of report: 11-6-12

Report Period: 1-1-2011 to 12-31-2011

Name and address of organization:

EDWARD F. SWINNEY Foundation
c/o Bank of America, 1200 main, Kansas City, MO. 64105

Amounts and dates of grants received during the period from 1-1-11 through 12-31-11:

<u>Date</u>	<u>Amount</u>		
<u>3-15-11</u>	<u>\$ 12,113.14</u>	<u>6-15-11</u>	<u>6,308.52</u>
<u>9-15-11</u>	<u>\$ 7,840.82</u>	<u>12-12-11</u>	<u>20,400.00</u>
		<u>12-15-11</u>	<u>9,341.64</u>

Describe the uses of grant funds and the progress made towards the goal of the grant (attach additional pages, if needed):

SEE ATTACHED REPORT

Itemize all income earned on the grant funds: \$

N/A

Itemize all amounts spent from the grant funds and income earned on the grant funds, including salaries, travel and supplies (attach additional pages, if needed):

<u>Date</u>	<u>Amount</u>	<u>Purpose</u>
-------------	---------------	----------------

N/A

Amount of grant funds and income earned on the grant funds unspent as of the end of Grantee's most recent fiscal year: \$ N/A

By:

Spencer Hedden

Its

Secretary

Date:

11-6-12

Posted	Amount	Recipient Name	Address (line 1)	Address (line 2)	Tax Yr End	PURPOSE OF GRANT
1/25/2011	-5,000.00	COMMITTEE FOR THE MAYORS PRAYER	6026 N ELMWOOD CT	KANSAS CITY MO 64119	12/31/2011	SCHOLARSHIP FOR KC MO URBAN YOUTH TO ATTEND 2011 EVENT
2/11/2011	-5,000.00	GREATER KC SPORTS FOUNDATION	1308 PENNSYLVANIA AVE	KANSAS CITY MO 64105	12/31/2011	IN SUPPORT OF WIN FOR KC HONORARY COACHES PROGRAM
6/10/2011	-1,500.00	BISHOP SPENCER PLACE	4301 MADISON AVE	KANSAS CITY MO 64111	12/31/2011	UNRESTRICTED CONTRIBUTION
6/21/2011	-25,000.00	NONPROFIT CONNECT	4747 TROOST AVENUE SUITE	KANSAS CITY MO 64110	12/31/2011	OPERATIONAL SUPPORT
6/24/2011	-5,000.00	UNITED WAY OF GREATER KC	1080 WASHINGTON	KANSAS CITY MO 64105	12/31/2011	IN SUPPORT OF THE NEIGHBORHOOD SELF HELP FUND
9/12/2011	-5,000.00	THE CONSERVATORY OF MUSIC OF KC	4949 CHERRY STREET	KANSAS CITY MO 64110	12/31/2011	UNDERWRITING SUPPORT FOR CRESCENDO
11/14/2011	-400.00	ARTIE L THOMPSON	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	BETTY L DICEGEORGE	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	BETTY L KENNEDY	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	CLARA M TURNER	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	DIXIE P DYSON	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	DOROTHY A EGGERT	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	ERMA E HARRIS	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	EVELYN M RIORDAN	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	GENEVA HARRISON COOK	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	GLORIA D HERNANDEZ	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	HELEN E BURNS	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-700.00	IRMA F HUBBLE	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	JOE SCOTT	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	JOHNIE LEE WILLIAMS	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	LAVONE CROSON	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	LOIS CORNELIUS	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	MARGARET L COY	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	MARGARET M CHASE	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	MARIE F BROCKMAN	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	NEVA JONES	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	PATRICIA J HOLT	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	ROXIE GREGORY	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
11/14/2011	-400.00	VIRGINIA R ZIPP	C/O BANK OF AMERICA	KANSAS CITY MO 64105	12/31/2011	PAYMENT OF INSURANCE PREMIUM
12/13/2011	-10,000.00	CHILD PROTECTION CENTER	3101 BROADWAY SUITE 750	KANSAS CITY MO 64111	12/31/2011	OPERATIONAL SUPPORT
12/14/2011	-2,500.00	GREATER KC COMMUNITY FOUNDATION	1055 BROADWAY, SUITE 130	KANSAS CITY MO 64105	12/31/2011	GOLD STAR MEMORIAL SCHOLARSHIP FUND FOR KC MO STUDENTS
	-68,500.00					

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

BANK OF AMERICA, N.A.

ADDRESS:

P.O. BOX 219119

KANSAS CITY, MO 64121-9119

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

COMPENSATION 18,498.

TOTAL COMPENSATION: 18,498.

=====

RECIPIENT NAME:
EDWARD F. SWINNEY FOUNDATION
C/O BANK OF AMERICA, N.A.
ADDRESS:
P.O. BOX 219119
KANSAS CITY, MO 64121-9119
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
FOR NEEDY EMPLOYEES & 501(C)(3) ORGANIZATIONS
FOUNDATION STATUS OF RECIPIENT:
PRIVATE 501(C)(3)
AMOUNT OF GRANT PAID 56,004.

TOTAL GRANTS PAID: 56,004.
=====

FORM 6781, PART I -- SECTION 1256 CONTRACTS MARKED TO MARKET

DESCRIPTION	DATE ACQUIRED	DATE SOLD	GROSS SALES PRICE	COST OR		LOSS	GAIN
				BASIS			
POWERSHARES DB COMMODITY INDEX TRAC		12/31/2011					197.
TOTAL GAINS AND LOSSES							197.

FEDERAL FOOTNOTES

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FORM 990-PF - PART VIII, LINE 1(B) - AVERAGE HOURS PER WEEK DEVOTED TO POSITION: THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for
Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2011

Name of estate or trust

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

Employer identification number

44-6009267

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					
b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b					1b -23,820.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824					2 79.
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts					3 11.
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet					4 ()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ►					5 -23,730.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					8,193.
b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b					6b 116,466.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824					7 118.
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts					8 281.
9 Capital gain distributions					9
10 Gain from Form 4797, Part I					10
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet					11 ()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ►					12 125,058.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2011

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13	Net short-term gain or (loss)	13		-23,730.
14	Net long-term gain or (loss):			
a	Total for year	14a		125,058.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		101,328.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000	16	()
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Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . .	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . ▶	21		
22	Subtract line 21 from line 20. If zero or less, enter -0-	22		
23	Subtract line 22 from line 17. If zero or less, enter -0-	23		
24	Enter the smaller of the amount on line 17 or \$2,300	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ No. Enter the amount from line 23	25		
26	Subtract line 25 from line 24	26		
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% (.15)	30		
31	Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31		
32	Add lines 30 and 31	32		
33	Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33		
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34		

Schedule D (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

Employer identification number

44-6009267

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 12. MC DONALDS CORP COM	07/09/2010	01/04/2011	901.00	829.00	72.00
16. MONSANTO CO NEW COM	09/22/2010	01/06/2011	1,136.00	867.00	269.00
10. MONSANTO CO NEW COM	09/22/2010	01/06/2011	708.00	542.00	166.00
23. GLAXO WELLCOME PLC SPO	09/24/2010	01/13/2011	895.00	930.00	-35.00
49. GLAXO WELLCOME PLC SPO	09/27/2010	01/13/2011	1,906.00	1,980.00	-74.00
1. GLAXO WELLCOME PLC SPON	09/24/2010	01/13/2011	39.00	40.00	-1.00
4. GLAXO WELLCOME PLC SPON	09/27/2010	01/13/2011	156.00	161.00	-5.00
8. XCEL ENERGY INC COM	05/18/2010	01/13/2011	187.00	173.00	14.00
76. XCEL ENERGY INC COM	04/29/2010	01/13/2011	1,781.00	1,637.00	144.00
64. XCEL ENERGY INC COM	04/29/2010	01/13/2011	1,500.00	1,378.00	122.00
12. XCEL ENERGY INC COM	04/29/2010	01/14/2011	282.00	258.00	24.00
38. XCEL ENERGY INC COM	05/18/2010	01/14/2011	892.00	818.00	74.00
98. XCEL ENERGY INC COM	05/17/2010	01/14/2011	2,300.00	2,100.00	200.00
37. FORD MTR CO DEL COM	11/18/2010	01/31/2011	587.00	608.00	-21.00
113. FORD MTR CO DEL COM	11/09/2010	01/31/2011	1,792.00	1,826.00	-34.00
112. FORD MTR CO DEL COM	11/05/2010	01/31/2011	1,776.00	1,805.00	-29.00
17. NORDSTROM INC COM	04/30/2010	01/31/2011	702.00	707.00	-5.00
14. NORDSTROM INC COM	02/02/2010	01/31/2011	579.00	503.00	76.00
9. FEDEX CORP	03/26/2010	02/01/2011	836.00	823.00	13.00
8. FEDEX CORP	03/22/2010	02/01/2011	743.00	724.00	19.00
15. TERADATA CORP DELAWARE	01/12/2011	02/03/2011	661.00	685.00	-24.00 *
15. TERADATA CORP DELAWARE	12/16/2010	02/07/2011	688.00	685.00	3.00
5. TERADATA CORP DELAWARE	01/12/2011	02/07/2011	229.00	228.00	1.00
6. TERADATA CORP DELAWARE	01/07/2011	02/07/2011	275.00	265.00	10.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**SCHEDULE D-1
(Form 1041)**

Department of the Treasury
Internal Revenue Service

**Continuation Sheet for Schedule D
(Form 1041)**

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

Employer identification number

44-6009267

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 12. BHP BILLITON PLC - ADR	03/09/2010	02/10/2011	959.00	801.00	158.00
3. BHP BILLITON PLC - ADR	03/09/2010	02/14/2011	246.00	200.00	46.00
66. FORD MTR CO CAP TR II ORIGINATED PFD SECS 6.500%	07/29/2010	02/16/2011	3,363.00	3,103.00	260.00
24. BHP BILLITON PLC - ADR	05/24/2010	02/24/2011	1,847.00	1,258.00	589.00
4. AMAZON COM INC	09/03/2010	02/28/2011	698.00	549.00	149.00
1. AMAZON COM INC	09/03/2010	02/28/2011	173.00	137.00	36.00
6. AMAZON COM INC	09/02/2010	02/28/2011	1,040.00	810.00	230.00
3. AMAZON COM INC	03/02/2010	02/28/2011	520.00	380.00	140.00
1. BHP BILLITON PLC - ADR	05/24/2010	02/28/2011	80.00	52.00	28.00
22. DOW CHEM CO	10/13/2010	03/04/2011	819.00	656.00	163.00
17. DOW CHEM CO	10/13/2010	03/04/2011	633.00	507.00	126.00
7. MOLSON COORS BREWING CO	12/08/2010	03/04/2011	309.00	346.00	-37.00
9. MOLSON COORS BREWING CO	12/08/2010	03/04/2011	399.00	445.00	-46.00
18. MOLSON COORS BREWING C	12/07/2010	03/04/2011	798.00	890.00	-92.00
8. MOLSON COORS BREWING CO	12/06/2010	03/04/2011	355.00	393.00	-38.00
3. MOLSON COORS BREWING CO	12/06/2010	03/04/2011	133.00	147.00	-14.00
1. MOLSON COORS BREWING CO	12/06/2010	03/04/2011	44.00	49.00	-5.00
34. MOLSON COORS BREWING C	12/09/2010	03/04/2011	1,509.00	1,669.00	-160.00
5. MOLSON COORS BREWING CO	12/06/2010	03/04/2011	222.00	245.00	-23.00
7. FEDEX CORP	03/22/2010	03/14/2011	619.00	633.00	-14.00
3. FEDEX CORP	03/22/2010	03/14/2011	266.00	271.00	-5.00
18. FEDEX CORP	03/18/2010	03/14/2011	1,596.00	1,608.00	-12.00
6. F5 NETWORKS INC	12/01/2010	03/14/2011	651.00	834.00	-183.00 *
6. NORDSTROM INC COM	06/25/2010	03/14/2011	259.00	213.00	46.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust EDWARD F. SWINNEY TRUST FOR EMPLOYEES	Employer identification number 44-6009267
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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. SALESFORCE.COM INC COMM	12/31/2010	03/14/2011	623.00	655.00	-32.00
14. NORDSTROM INC COM	06/25/2010	03/16/2011	582.00	497.00	85.00
5. NORDSTROM INC COM	06/25/2010	03/17/2011	214.00	178.00	36.00
5. SALESFORCE.COM INC COMM	12/31/2010	03/17/2011	616.00	655.00	-39.00
8. SALESFORCE.COM INC COMM	09/24/2010	03/17/2011	986.00	953.00	33.00
9. SALESFORCE.COM INC COMM	09/02/2010	03/17/2011	1,109.00	1,070.00	39.00
.23 EL PASO CORP COM	01/27/2011	03/21/2011	4.00	4.00	
11. NIKE INC COM CL B	07/21/2010	03/25/2011	849.00	769.00	80.00
25. BROADCOM CORP CL A	12/15/2010	04/04/2011	956.00	1,093.00	-137.00
31. WELLS FARGO COMPANY	01/05/2011	04/13/2011	954.00	997.00	-43.00
35. US BANCORP DEL COM NEW	04/20/2010	04/14/2011	900.00	983.00	-83.00 *
1000. NAVISTAR INTL CORP N SUB NT	09/24/2010	04/15/2011	1,457.00	1,131.00	326.00
11. AIR PRODUCTS & CHEMICA	02/16/2011	04/21/2011	1,031.00	1,035.00	-4.00
10. APACHE CORP CONV PFD S	07/23/2010	04/21/2011	663.00	530.00	133.00
21. AUTOMATIC DATA PROCESS	12/06/2010	04/21/2011	1,108.00	981.00	127.00
10. BB&T CORP COM	02/16/2011	04/21/2011	258.00	288.00	-30.00
4. ENSCO PLC SPONSORED ADR	02/16/2011	04/21/2011	234.00	214.00	20.00
11. ENSCO PLC SPONSORED AD	02/16/2011	04/21/2011	645.00	589.00	56.00
3. ENSCO PLC SPONSORED ADR	01/14/2011	04/21/2011	176.00	158.00	18.00
13. GENERAL MTRS CO CONV P	01/13/2011	04/21/2011	617.00	732.00	-115.00
1. GENERAL MTRS CO CONV PF	01/13/2011	04/21/2011	47.00	56.00	-9.00
5. GENERAL MTRS CO CONV PF	01/14/2011	04/21/2011	237.00	280.00	-43.00
25. ILLINOIS TOOL WORKS IN	04/27/2010	04/21/2011	1,350.00	1,282.00	68.00
9. KELLOGG CO COM	03/04/2011	04/21/2011	498.00	489.00	9.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust EDWARD F. SWINNEY TRUST FOR EMPLOYEES	Employer identification number 44-6009267
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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. NEXTERA ENERGY INC COM	08/02/2010	04/21/2011	278.00	270.00	8.00
4. NEXTERA ENERGY INC COM	08/02/2010	04/21/2011	222.00	215.00	7.00
2. NORDSTROM INC COM	09/27/2010	04/21/2011	95.00	74.00	21.00
6. NORDSTROM INC COM	09/27/2010	04/21/2011	284.00	221.00	63.00
2. NORDSTROM INC COM	09/24/2010	04/21/2011	95.00	73.00	22.00
5. PACCAR INC COM	04/15/2011	04/21/2011	268.00	255.00	13.00
38. PEOPLES UTD FINL INC C	07/29/2010	04/21/2011	501.00	529.00	-28.00
11. TARGET CORP	08/06/2010	04/21/2011	548.00	576.00	-28.00
4. 3M CO COM	12/06/2010	04/21/2011	376.00	348.00	28.00
10. UNITED PARCEL SERVICE	02/16/2011	04/21/2011	733.00	754.00	-21.00
2. UNITED PARCEL SERVICE C	01/14/2011	04/21/2011	147.00	145.00	2.00
9. ACE LTD COM	01/13/2011	04/21/2011	588.00	547.00	41.00
13. TE CONNECTIVITY LTD CO	01/14/2011	04/21/2011	453.00	475.00	-22.00
5. TE CONNECTIVITY LTD COM	01/13/2011	04/21/2011	174.00	182.00	-8.00
8. BROADCOM CORP CL A	12/15/2010	04/27/2011	284.00	351.00	-67.00
44. BROADCOM CORP CL A	02/17/2011	04/27/2011	1,564.00	1,866.00	-302.00
13. BROADCOM CORP CL A	11/11/2010	04/27/2011	462.00	533.00	-71.00
5. BROADCOM CORP CL A	10/13/2010	04/27/2011	178.00	186.00	-8.00
39. BROADCOM CORP CL A	10/13/2010	04/28/2011	1,339.00	1,450.00	-111.00
27. BROADCOM CORP CL A	10/19/2010	04/28/2011	927.00	995.00	-68.00
45. BROADCOM CORP CL A	09/30/2010	04/28/2011	1,545.00	1,589.00	-44.00
24. BROADCOM CORP CL A	09/27/2010	04/28/2011	824.00	812.00	12.00
24. BROADCOM CORP CL A	09/28/2010	04/28/2011	824.00	802.00	22.00
8. F5 NETWORKS INC	12/22/2010	04/28/2011	834.00	1,086.00	-252.00 *

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

Employer identification number

44-6009267

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2. F5 NETWORKS INC	02/07/2011	04/28/2011	208.00	253.00	-45.00 *
3. F5 NETWORKS INC	02/07/2011	04/28/2011	313.00	379.00	-66.00
7. F5 NETWORKS INC	02/07/2011	04/28/2011	730.00	885.00	-155.00 *
21. EL PASO CORP COM	04/21/2011	05/04/2011	379.00	402.00	-23.00
1. EL PASO CORP COM	04/21/2011	05/04/2011	18.00	19.00	-1.00
77. EL PASO CORP COM	01/27/2011	05/04/2011	1,391.00	1,238.00	153.00
14. TARGET CORP	08/06/2010	05/04/2011	683.00	733.00	-50.00
8. AMAZON COM INC	04/29/2011	05/05/2011	1,600.00	1,565.00	35.00
10. ANADARKO PETROLEUM COR	04/29/2011	05/20/2011	748.00	793.00	-45.00
20. GENERAL DYNAMICS CORP	04/29/2011	05/23/2011	1,432.00	1,454.00	-22.00
14. MONSANTO CO NEW COM	04/29/2011	05/23/2011	930.00	945.00	-15.00
86. CITIGROUP INC NEW COM	04/20/2011	05/25/2011	3,474.00	3,922.00	-448.00
2. GENERAL DYNAMICS CORP C	04/29/2011	05/25/2011	141.00	145.00	-4.00
1. GOLDMAN SACHS GROUP INC	10/27/2010	05/25/2011	136.00	159.00	-23.00 *
10. GOLDMAN SACHS GROUP IN	10/28/2010	05/25/2011	1,362.00	1,625.00	-263.00 *
9. ANADARKO PETROLEUM CORP	04/29/2011	05/26/2011	693.00	713.00	-20.00
11. ANADARKO PETROLEUM COR	08/06/2010	05/26/2011	847.00	607.00	240.00
39.1 CITIGROUP INC NEW COM	04/20/2011	05/26/2011	1,569.00	1,783.00	-214.00
15.9 CITIGROUP INC NEW COM	04/29/2011	05/26/2011	638.00	725.00	-87.00
6. GENERAL DYNAMICS CORP C	04/29/2011	05/26/2011	422.00	436.00	-14.00
6. GOLDMAN SACHS GROUP INC	10/27/2010	05/26/2011	816.00	953.00	-137.00
1. GOLDMAN SACHS GROUP INC	10/01/2010	05/26/2011	136.00	174.00	-38.00 *
7. GOLDMAN SACHS GROUP INC	10/02/2010	05/26/2011	952.00	1,241.00	-289.00
3. GOLDMAN SACHS GROUP INC	10/02/2010	05/26/2011	408.00	532.00	-124.00 *

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
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OMB No 1545-0092

2011

Name of estate or trust

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

Employer identification number

44-6009267

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 12. ANADARKO PETROLEUM CORP	08/06/2010	05/31/2011	948.00	662.00	286.00
6. ANADARKO PETROLEUM CORP	08/03/2010	05/31/2011	474.00	316.00	158.00
.9 CITIGROUP INC NEW COM	04/20/2011	05/31/2011	36.00	41.00	-5.00
2. ANADARKO PETROLEUM CORP	08/03/2010	06/02/2011	154.00	105.00	49.00
2. ANADARKO PETROLEUM CORP	08/03/2010	06/03/2011	153.00	105.00	48.00
24. ANADARKO PETROLEUM COR	08/11/2010	06/03/2011	1,833.00	1,258.00	575.00
1. ANADARKO PETROLEUM CORP	08/11/2010	06/07/2011	75.00	52.00	23.00
14. ANADARKO PETROLEUM COR	09/07/2010	06/07/2011	1,045.00	703.00	342.00
8. APPLE COMPUTER INC COM	04/29/2011	06/07/2011	2,667.00	2,804.00	-137.00
5. APPLE COMPUTER INC COM	04/29/2011	06/07/2011	1,671.00	1,752.00	-81.00
3. BAIDU COM INC SPONSORED	04/29/2011	06/07/2011	370.00	452.00	-82.00
7. BAIDU COM INC SPONSORED	04/29/2011	06/07/2011	869.00	1,054.00	-185.00
7. BAIDU COM INC SPONSORED	04/29/2011	06/07/2011	869.00	1,054.00	-185.00 *
3. GOLDMAN SACHS GROUP INC	09/05/2010	06/07/2011	401.00	577.00	-176.00
1. GOLDMAN SACHS GROUP INC	09/04/2010	06/07/2011	134.00	189.00	-55.00
5. GOLDMAN SACHS GROUP INC	10/27/2010	06/07/2011	669.00	794.00	-125.00
30. ORACLE CORPORATION COM	04/29/2011	06/07/2011	956.00	1,067.00	-111.00 *
33. ORACLE CORPORATION COM	04/29/2011	06/07/2011	1,052.00	1,174.00	-122.00 *
10. BAIDU COM INC SPONSORE	04/29/2011	06/08/2011	1,236.00	1,506.00	-270.00
9. BAIDU COM INC SPONSORED	04/19/2011	06/08/2011	1,112.00	1,335.00	-223.00
48.2 CITIGROUP INC NEW COM	04/29/2011	06/08/2011	1,816.00	2,198.00	-382.00
36.8 CITIGROUP INC NEW COM	04/19/2011	06/08/2011	1,386.00	1,663.00	-277.00
80. CITIGROUP INC NEW COM	04/19/2011	06/08/2011	2,966.00	3,616.00	-650.00
5. GOLDMAN SACHS GROUP INC	10/27/2010	06/08/2011	666.00	794.00	-128.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust

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Employer identification number

44-6009267

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 11. GOLDMAN SACHS GROUP IN	07/14/2010	06/08/2011	1,465.00	1,523.00	-58.00
11. CITIGROUP INC NEW COM	04/19/2011	06/09/2011	408.00	497.00	-89.00
5. AMAZON COM INC	04/29/2011	06/17/2011	929.00	978.00	-49.00
2. AMAZON COM INC	07/14/2010	06/17/2011	372.00	247.00	125.00
12. ANADARKO PETROLEUM COR	09/07/2010	06/17/2011	844.00	602.00	242.00
17. ANADARKO PETROLEUM COR	07/13/2010	06/17/2011	1,196.00	822.00	374.00
30. DOW CHEM CO	04/29/2011	06/17/2011	1,038.00	1,223.00	-185.00
29. FREEPORT-MCMORAN COPPE COM	04/29/2011	06/17/2011	1,385.00	1,588.00	-203.00
22. FREEPORT-MCMORAN COPPE COM	11/09/2010	06/17/2011	1,051.00	1,182.00	-131.00
40. HALLIBURTON CO COM	04/29/2011	06/17/2011	1,833.00	2,024.00	-191.00
28. ORACLE CORPORATION COM	04/29/2011	06/17/2011	885.00	996.00	-111.00 *
15. UNION PACIFIC CORP COM	04/29/2011	06/17/2011	1,501.00	1,545.00	-44.00
15. ANADARKO PETROLEUM COR	07/13/2010	06/20/2011	1,045.00	725.00	320.00
33. ANADARKO PETROLEUM COR	07/14/2010	06/20/2011	2,298.00	1,570.00	728.00
81. DOW CHEM CO	04/29/2011	06/20/2011	2,829.00	3,301.00	-472.00
9. DOW CHEM CO	10/13/2010	06/20/2011	314.00	268.00	46.00
17. EOG RESOURCES INC	04/29/2011	06/20/2011	1,716.00	1,916.00	-200.00
12. FREEPORT-MCMORAN COPPE COM	11/09/2010	06/20/2011	572.00	645.00	-73.00
32. FREEPORT-MCMORAN COPPE COM	12/01/2010	06/20/2011	1,525.00	1,677.00	-152.00
18. FREEPORT-MCMORAN COPPE COM	11/10/2010	06/20/2011	858.00	929.00	-71.00
32. FREEPORT-MCMORAN COPPE COM	03/14/2011	06/20/2011	1,525.00	1,550.00	-25.00
9. HALLIBURTON CO COM	04/29/2011	06/20/2011	410.00	455.00	-45.00
20. HALLIBURTON CO COM	05/05/2011	06/20/2011	912.00	937.00	-25.00
17. HALLIBURTON CO COM	02/02/2011	06/20/2011	775.00	793.00	-18.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

Employer identification number

44-6009267

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 15. DOW CHEM CO	10/13/2010	07/01/2011	544.00	447.00	97.00
4. TIFFANY & CO NEW COM	04/29/2011	07/01/2011	318.00	278.00	40.00
27. P N C BANK CORP COM	04/29/2011	07/19/2011	1,504.00	1,680.00	-176.00
16. UNION PACIFIC CORP COM	04/29/2011	07/19/2011	1,605.00	1,648.00	-43.00
3. PRAXAIR INC COM	06/29/2011	07/20/2011	319.00	318.00	1.00
15. PRAXAIR INC COM	04/29/2011	07/20/2011	1,594.00	1,589.00	5.00
2. F5 NETWORKS INC	02/07/2011	07/22/2011	203.00	253.00	-50.00
8. F5 NETWORKS INC	11/30/2010	07/22/2011	811.00	1,004.00	-193.00
6. F5 NETWORKS INC	12/24/2010	07/22/2011	608.00	746.00	-138.00
7. F5 NETWORKS INC	02/08/2011	07/22/2011	710.00	868.00	-158.00
2. F5 NETWORKS INC	01/16/2011	07/22/2011	203.00	232.00	-29.00
12. F5 NETWORKS INC	01/20/2011	07/22/2011	1,216.00	1,332.00	-116.00
2. MONSANTO CO NEW COM	04/29/2011	07/27/2011	148.00	135.00	13.00
30. TIFFANY & CO NEW COM	04/29/2011	07/27/2011	2,411.00	2,088.00	323.00
2. TIFFANY & CO NEW COM	01/12/2011	07/27/2011	161.00	122.00	39.00
14. ORACLE CORPORATION COM	05/11/2011	08/12/2011	383.00	510.00	-127.00
27. TIME WARNER INC NEW CO	02/28/2011	08/12/2011	805.00	1,031.00	-226.00
14. ORACLE CORPORATION COM	05/11/2011	08/15/2011	387.00	510.00	-123.00
1. ORACLE CORPORATION COM	05/21/2011	08/15/2011	28.00	36.00	-8.00
32. ORACLE CORPORATION COM	05/21/2011	08/15/2011	873.00	1,158.00	-285.00
8. ORACLE CORPORATION COM	05/20/2011	08/15/2011	218.00	288.00	-70.00
18. TIME WARNER INC NEW CO	02/28/2011	08/15/2011	545.00	688.00	-143.00
18. TIME WARNER INC NEW CO	04/29/2011	08/15/2011	545.00	683.00	-138.00
12. ACME PACKET INC COM	04/27/2011	08/16/2011	646.00	1,005.00	-359.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 32. AGILENT TECHNOLOGIES I	04/29/2011	08/19/2011	997.00	1,599.00	-602.00
7. BAIDU COM INC SPONSORED	05/22/2011	08/19/2011	895.00	1,160.00	-265.00 *
15. COACH INC	06/30/2011	08/19/2011	690.00	959.00	-269.00
18. DANAHER CORP COM	04/29/2011	08/19/2011	734.00	998.00	-264.00 *
30. DOW CHEM CO	09/22/2010	08/19/2011	792.00	821.00	-29.00
12. DOW CHEM CO	09/23/2010	08/19/2011	317.00	324.00	-7.00
4. GOOGLE INC CL A	07/15/2011	08/19/2011	1,978.00	2,373.00	-395.00 *
1. P N C BANK CORP COM	04/29/2011	08/19/2011	44.00	62.00	-18.00
13. P N C BANK CORP COM	12/29/2010	08/19/2011	566.00	795.00	-229.00
4. ROCKWELL INTL CORP NEW	04/13/2011	08/19/2011	215.00	369.00	-154.00
4. SALESFORCE.COM INC COMM	06/28/2011	08/19/2011	447.00	589.00	-142.00
1. SALESFORCE.COM INC COMM	04/29/2011	08/19/2011	112.00	137.00	-25.00
42. TIME WARNER INC NEW CO	04/29/2011	08/19/2011	1,173.00	1,595.00	-422.00
10. ACME PACKET INC COM	04/27/2011	08/22/2011	409.00	838.00	-429.00
14. ACME PACKET INC COM	04/29/2011	08/22/2011	572.00	1,166.00	-594.00
3. ACME PACKET INC COM	03/14/2011	08/22/2011	123.00	215.00	-92.00
14. AGILENT TECHNOLOGIES I	04/29/2011	08/22/2011	431.00	699.00	-268.00
7. AGILENT TECHNOLOGIES IN	04/20/2011	08/22/2011	216.00	339.00	-123.00
19. COACH INC	06/30/2011	08/22/2011	898.00	1,214.00	-316.00
11. COACH INC	06/29/2011	08/22/2011	520.00	684.00	-164.00
29. EATON CORP COMMON	04/29/2011	08/22/2011	1,100.00	1,562.00	-462.00
22. ORACLE CORPORATION COM	05/20/2011	08/22/2011	551.00	791.00	-240.00
16. ORACLE CORPORATION COM	04/29/2011	08/22/2011	401.00	569.00	-168.00
17. ORACLE CORPORATION COM	06/29/2011	08/22/2011	426.00	552.00	-126.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
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1a 34. ORACLE CORPORATION COM	01/31/2011	08/22/2011	852.00	1,090.00	-238.00
23. ORACLE CORPORATION COM	12/17/2010	08/22/2011	576.00	737.00	-161.00
54. ORACLE CORPORATION COM	10/19/2010	08/22/2011	1,353.00	1,569.00	-216.00
25. ORACLE CORPORATION COM	10/15/2010	08/22/2011	626.00	715.00	-89.00
2. PPG INDUSTRIES INC COM	04/29/2011	08/22/2011	138.00	188.00	-50.00
10. TIME WARNER INC NEW CO	04/29/2011	08/22/2011	278.00	380.00	-102.00
23. TIME WARNER INC NEW CO	03/03/2011	08/22/2011	640.00	870.00	-230.00
1. TIME WARNER INC NEW COM	03/04/2011	08/22/2011	28.00	37.00	-9.00
8. US BANCORP DEL COM NEW	10/22/2010	08/22/2011	164.00	189.00	-25.00
10. ACME PACKET INC COM	03/14/2011	08/23/2011	428.00	717.00	-289.00
2. ACME PACKET INC COM	02/23/2011	08/23/2011	86.00	138.00	-52.00
25. AGILENT TECHNOLOGIES I	04/20/2011	08/23/2011	793.00	1,211.00	-418.00
14. CONTINENTAL RES INC OK	03/22/2011	08/23/2011	715.00	964.00	-249.00
9. CONTINENTAL RES INC OKL	04/29/2011	08/23/2011	460.00	617.00	-157.00
9. CONTINENTAL RES INC OKL	03/21/2011	08/23/2011	460.00	611.00	-151.00
5. DANAHER CORP COM	04/29/2011	08/23/2011	204.00	277.00	-73.00 *
12. DANAHER CORP COM	04/29/2011	08/23/2011	489.00	665.00	-176.00 *
9. DANAHER CORP COM	06/28/2011	08/23/2011	367.00	468.00	-101.00 *
22. EATON CORP COMMON	04/29/2011	08/23/2011	851.00	1,185.00	-334.00
22. EATON CORP COMMON	04/21/2011	08/23/2011	851.00	1,183.00	-332.00
2. EATON CORP COMMON	04/20/2011	08/23/2011	77.00	107.00	-30.00
23. PPG INDUSTRIES INC COM	04/29/2011	08/23/2011	1,621.00	2,160.00	-539.00
2. PPG INDUSTRIES INC COM	06/29/2011	08/23/2011	141.00	178.00	-37.00
2. ROCKWELL INTL CORP NEW	04/13/2011	08/23/2011	110.00	184.00	-74.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
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1a 5. ROCKWELL INTL CORP NEW	04/12/2011	08/23/2011	276.00	459.00	-183.00
10. ROCKWELL INTL CORP NEW	04/19/2011	08/23/2011	552.00	915.00	-363.00
7. ROCKWELL INTL CORP NEW	04/27/2011	08/23/2011	387.00	625.00	-238.00
10. AGILENT TECHNOLOGIES I	04/20/2011	08/25/2011	332.00	484.00	-152.00
12. AGILENT TECHNOLOGIES I	04/13/2011	08/25/2011	399.00	560.00	-161.00
24. AGILENT TECHNOLOGIES I	04/14/2011	08/25/2011	797.00	1,103.00	-306.00
20. AGILENT TECHNOLOGIES I	07/18/2011	08/25/2011	664.00	907.00	-243.00
11. ACME PACKET INC COM	02/23/2011	08/31/2011	517.00	760.00	-243.00
14. ACME PACKET INC COM	02/23/2011	08/31/2011	658.00	950.00	-292.00
10. AGILENT TECHNOLOGIES I	07/18/2011	08/31/2011	366.00	454.00	-88.00
6. PPG INDUSTRIES INC COM	06/29/2011	08/31/2011	460.00	535.00	-75.00
11. PACCAR INC COM	04/15/2011	08/31/2011	410.00	562.00	-152.00
20. PACCAR INC COM	04/15/2011	08/31/2011	746.00	1,011.00	-265.00
6. PRECISION CASTPARTS COR	06/29/2011	08/31/2011	977.00	972.00	5.00
13. ROCKWELL INTL CORP NEW	04/27/2011	08/31/2011	833.00	1,160.00	-327.00
4. CONTINENTAL RES INC OKL	03/21/2011	09/01/2011	218.00	271.00	-53.00
7. CONTINENTAL RES INC OKL	05/31/2011	09/01/2011	381.00	473.00	-92.00
15. EATON CORP COMMON	04/20/2011	09/01/2011	630.00	803.00	-173.00
5. PPG INDUSTRIES INC COM	06/29/2011	09/01/2011	381.00	446.00	-65.00
4. ROCKWELL INTL CORP NEW	04/27/2011	09/01/2011	255.00	357.00	-102.00
10. ROCKWELL INTL CORP NEW	04/29/2011	09/01/2011	636.00	876.00	-240.00
17. EATON CORP COMMON	03/21/2011	09/02/2011	692.00	901.00	-209.00
19. EATON CORP COMMON	01/27/2011	09/02/2011	774.00	997.00	-223.00
4. PPG INDUSTRIES INC COM	06/29/2011	09/02/2011	295.00	357.00	-62.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

Employer identification number

44-6009267

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 21. CARNIVAL CORP PAIRED C	05/04/2011	09/06/2011	634.00	817.00	-183.00
10. CARNIVAL CORP PAIRED C	05/04/2011	09/06/2011	303.00	389.00	-86.00
10. CARNIVAL CORP PAIRED C	05/04/2011	09/06/2011	303.00	388.00	-85.00
12. CONTINENTAL RES INC OK	05/31/2011	09/07/2011	635.00	810.00	-175.00
13. ROCKWELL INTL CORP NEW	04/29/2011	09/07/2011	770.00	1,139.00	-369.00
1. CONTINENTAL RES INC OKL	05/31/2011	09/08/2011	53.00	68.00	-15.00
2. CONTINENTAL RES INC OKL	05/27/2011	09/08/2011	105.00	133.00	-28.00
9. CONTINENTAL RES INC OKL	03/18/2011	09/08/2011	474.00	594.00	-120.00
3. ENSCO PLC SPONSORED ADR	01/14/2011	09/13/2011	143.00	158.00	-15.00
3. ENSCO PLC SPONSORED ADR	01/13/2011	09/13/2011	143.00	158.00	-15.00
20. ENSCO PLC SPONSORED AD	01/13/2011	09/13/2011	952.00	1,050.00	-98.00
1. PRICELINE COM INC COM	08/30/2011	09/16/2011	526.00	538.00	-12.00
3. PRICELINE COM INC COM	04/29/2011	09/16/2011	1,577.00	1,661.00	-84.00
1. PRICELINE COM INC COM	04/29/2011	09/16/2011	526.00	554.00	-28.00 *
3. ROCKWELL INTL CORP NEW	04/29/2011	09/16/2011	178.00	263.00	-85.00
10. ROCKWELL INTL CORP NEW	02/24/2011	09/16/2011	594.00	869.00	-275.00
11. ROCKWELL INTL CORP NEW	03/14/2011	09/16/2011	654.00	954.00	-300.00
11. ROCKWELL INTL CORP NEW	02/23/2011	09/16/2011	654.00	941.00	-287.00
6. VISA INC CL A COM	07/14/2011	10/05/2011	502.00	528.00	-26.00
8. VISA INC CL A COM	07/15/2011	10/05/2011	669.00	704.00	-35.00
1. AGILENT TECHNOLOGIES IN	07/18/2011	10/07/2011	32.00	45.00	-13.00
21. AGILENT TECHNOLOGIES I	03/14/2011	10/07/2011	666.00	932.00	-266.00
8. AGILENT TECHNOLOGIES IN	03/22/2011	10/07/2011	254.00	346.00	-92.00
18. AGILENT TECHNOLOGIES I	03/23/2011	10/07/2011	571.00	775.00	-204.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

Employer identification number

44-6009267

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 17. AGILENT TECHNOLOGIES I	03/17/2011	10/07/2011	539.00	722.00	-183.00
23. AGILENT TECHNOLOGIES I	03/16/2011	10/07/2011	730.00	974.00	-244.00
22. ROCKWELL INTL CORP NEW	02/23/2011	10/07/2011	1,313.00	1,875.00	-562.00
15. ROCKWELL INTL CORP NEW	06/21/2011	10/07/2011	895.00	1,227.00	-332.00
1. ALLEGHENY TECHNOLOGIES NT	04/07/2011	10/12/2011	1.00	2.00	-1.00
498. ALLEGHENY TECHNOLOGIE SR NT	01/18/2011	10/12/2011	628.00	809.00	-181.00
501. ALLEGHENY TECHNOLOGIE SR NT	01/14/2011	10/12/2011	632.00	783.00	-151.00
16. MONSANTO CO NEW COM	04/29/2011	10/12/2011	1,177.00	1,080.00	97.00
14. ACE LTD COM	01/13/2011	10/21/2011	972.00	851.00	121.00
7. ACE LTD COM	01/13/2011	10/21/2011	486.00	425.00	61.00
28. ACE LTD COM	01/14/2011	10/21/2011	1,945.00	1,701.00	244.00
7. AMAZON COM INC	08/30/2011	10/26/2011	1,408.00	1,484.00	-76.00
7. GENERAL MTRS CO CONV PF	01/14/2011	10/26/2011	281.00	392.00	-111.00
34. GENERAL MTRS CO CONV P	12/06/2010	10/26/2011	1,366.00	1,752.00	-386.00
31. GENERAL MTRS CO CONV P	12/01/2010	10/26/2011	1,245.00	1,597.00	-352.00
33. GENERAL MTRS CO CONV P	11/23/2010	10/26/2011	1,326.00	1,651.00	-325.00
4. EATON CORP COMMON	10/14/2011	11/07/2011	180.00	170.00	10.00
11. EATON CORP COMMON	01/27/2011	11/07/2011	495.00	577.00	-82.00 *
3. EATON CORP COMMON	03/14/2011	11/07/2011	135.00	154.00	-19.00 *
15. EATON CORP COMMON	03/18/2011	11/07/2011	675.00	776.00	-101.00 *
19. BB&T CORP COM	02/16/2011	11/09/2011	443.00	547.00	-104.00
9. BB&T CORP COM	01/14/2011	11/09/2011	210.00	250.00	-40.00
24. BB&T CORP COM	01/14/2011	11/09/2011	559.00	659.00	-100.00
13. BB&T CORP COM	01/13/2011	11/09/2011	303.00	351.00	-48.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

Employer identification number

44-6009267

Part 1 Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2. BB&T CORP COM	01/13/2011	11/09/2011	47.00	54.00	-7.00
17. BB&T CORP COM	01/13/2011	11/09/2011	400.00	458.00	-58.00
5. APPLE COMPUTER INC COM	09/27/2011	11/11/2011	1,936.00	2,031.00	-95.00
28. KELLOGG CO COM	03/04/2011	11/14/2011	1,390.00	1,520.00	-130.00
29. KELLOGG CO COM	03/07/2011	11/14/2011	1,440.00	1,569.00	-129.00
4. AUTOMATIC DATA PROCESSI	12/06/2010	11/16/2011	206.00	187.00	19.00
5. AUTOMATIC DATA PROCESSI	12/06/2010	11/16/2011	258.00	233.00	25.00
6. AUTOMATIC DATA PROCESSI	12/06/2010	11/16/2011	310.00	279.00	31.00
12. DANAHER CORP COM	05/07/2011	11/16/2011	589.00	723.00	-134.00
5. DANAHER CORP COM	05/06/2011	11/16/2011	246.00	299.00	-53.00
18. DANAHER CORP COM	05/10/2011	11/16/2011	884.00	1,078.00	-194.00
11. EATON CORP COMMON	01/01/2011	11/16/2011	501.00	545.00	-44.00
15. EATON CORP COMMON	02/20/2011	11/16/2011	684.00	732.00	-48.00
1. PPG INDUSTRIES INC COM	06/29/2011	11/16/2011	86.00	89.00	-3.00
18. TJX COMPANIES INC NEW	04/29/2011	11/16/2011	1,100.00	970.00	130.00
21. 3M CO COM	12/06/2010	11/17/2011	1,699.00	1,829.00	-130.00
4. 3M CO COM	12/06/2010	11/17/2011	324.00	347.00	-23.00
3. TE CONNECTIVITY LTD COM	01/13/2011	11/18/2011	99.00	109.00	-10.00
21. TE CONNECTIVITY LTD CO	01/13/2011	11/18/2011	691.00	764.00	-73.00
1. AIR PRODUCTS & CHEMICAL	02/16/2011	11/21/2011	79.00	94.00	-15.00
11. UNITED PARCEL SERVICE	01/14/2011	11/21/2011	747.00	798.00	-51.00
8. UNITED PARCEL SERVICE C	01/13/2011	11/21/2011	543.00	578.00	-35.00
13. UNITED PARCEL SERVICE	01/13/2011	11/21/2011	882.00	939.00	-57.00
4. UNITED PARCEL SERVICE C	01/13/2011	11/21/2011	272.00	289.00	-17.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

Employer identification number

44-6009267

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 27. UNITED PARCEL SERVICE	12/06/2010	11/21/2011	1,833.00	1,938.00	-105.00
8. UNITED PARCEL SERVICE C	12/06/2010	11/21/2011	543.00	572.00	-29.00
34. COLGATE PALMOLIVE CO C	05/04/2011	11/22/2011	3,003.00	2,912.00	91.00
6. COLGATE PALMOLIVE CO CO	05/04/2011	11/23/2011	520.00	514.00	6.00
16. COLGATE PALMOLIVE CO C	05/04/2011	11/23/2011	1,385.00	1,369.00	16.00
15. TIFFANY & CO NEW COM	01/12/2011	11/23/2011	1,054.00	916.00	138.00
30. GREEN MOUNTAIN COFFEE COM	06/08/2011	11/28/2011	1,534.00	2,279.00	-745.00
8. GREEN MOUNTAIN COFFEE R COM	04/29/2011	11/28/2011	409.00	528.00	-119.00
29. GREEN MOUNTAIN COFFEE COM	04/05/2011	11/29/2011	1,433.00	1,911.00	-478.00
9. AMAZON COM INC	08/30/2011	12/01/2011	1,774.00	1,908.00	-134.00
12. SOTHEBYS HOLDINGS INC	04/21/2011	12/13/2011	351.00	606.00	-255.00
1. SOTHEBYS HOLDINGS INC C	04/21/2011	12/14/2011	28.00	51.00	-23.00
12. SOTHEBYS HOLDINGS INC	04/29/2011	12/14/2011	334.00	603.00	-269.00
1. SOTHEBYS HOLDINGS INC C	05/10/2011	12/14/2011	28.00	43.00	-15.00
6. SALESFORCE.COM INC COMM	04/29/2011	12/15/2011	640.00	824.00	-184.00
3. SOTHEBYS HOLDINGS INC C	05/10/2011	12/15/2011	84.00	129.00	-45.00
14. TIFFANY & CO NEW COM	01/12/2011	12/16/2011	881.00	855.00	26.00
11. TIFFANY & CO NEW COM	01/11/2011	12/16/2011	693.00	666.00	27.00
1. TIFFANY & CO NEW COM	01/11/2011	12/19/2011	63.00	61.00	2.00
1. AMAZON COM INC	08/30/2011	12/20/2011	182.00	212.00	-30.00
827.967 PIMCO TOTAL RETURN	04/26/2011	12/21/2011	9,000.00	9,116.00	-116.00
28. RED HAT INC COM	09/27/2011	12/21/2011	1,112.00	1,253.00	-141.00
10. RED HAT INC COM	07/18/2011	12/21/2011	397.00	430.00	-33.00
26. RED HAT INC COM	07/18/2011	12/21/2011	1,038.00	1,117.00	-79.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**Continuation Sheet for Schedule D
(Form 1041)**

OMB No 1545-0092

2011

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

Employer identification number

44-6009267

Part I	Short-Term Capital Gains and Losses - Assets Held One Year or Less
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1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b	-23,820.00
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

44-6009267

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 15. MC DONALDS CORP COM	05/17/2007	01/04/2011	1,127.00	779.00	348.00
28. MC DONALDS CORP COM	06/26/2007	01/04/2011	2,103.00	1,446.00	657.00
8. MC DONALDS CORP COM	05/15/2007	01/04/2011	601.00	412.00	189.00
5. MONSANTO CO NEW COM	10/10/2008	01/06/2011	355.00	401.00	-46.00
1. MONSANTO CO NEW COM	12/02/2008	01/06/2011	71.00	73.00	-2.00
5. MONSANTO CO NEW COM	12/02/2008	01/06/2011	355.00	363.00	-8.00
3. AMERICAN TOWER CORP	10/06/2009	01/07/2011	152.00	112.00	40.00
11. AMERICAN TOWER CORP	09/16/2009	01/07/2011	556.00	394.00	162.00
10. AMERICAN TOWER CORP	09/16/2009	01/10/2011	506.00	358.00	148.00
5. AMERICAN TOWER CORP	09/10/2009	01/10/2011	253.00	172.00	81.00
19. AMERICAN TOWER CORP	09/10/2009	01/11/2011	958.00	655.00	303.00
16. AMERICAN TOWER CORP	09/10/2009	01/12/2011	810.00	551.00	259.00
2. AMERICAN TOWER CORP	09/09/2009	01/12/2011	101.00	69.00	32.00
19. AMERICAN TOWER CORP	09/14/2009	01/12/2011	961.00	651.00	310.00
29. AMERICAN ELECTRIC POWE	11/11/2009	01/13/2011	1,029.00	922.00	107.00
7. AMERICAN ELECTRIC POWER	11/11/2009	01/13/2011	249.00	223.00	26.00
11. AMERICAN ELECTRIC POWE	11/10/2009	01/13/2011	391.00	349.00	42.00
28. JOHNSON & JOHNSON COM	01/03/2007	01/26/2011	1,699.00	1,873.00	-174.00
7. JOHNSON & JOHNSON COM	01/04/2007	01/26/2011	425.00	467.00	-42.00
28. JOHNSON & JOHNSON COM	01/04/2007	01/27/2011	1,701.00	1,870.00	-169.00
9. JOHNSON & JOHNSON COM	01/03/2007	01/27/2011	547.00	601.00	-54.00
9. BHP BILLITON PLC - ADR	02/02/2010	02/14/2011	738.00	557.00	181.00
30. WILLIAMS COS INC DEL C	03/20/2009	02/17/2011	896.00	354.00	542.00
10. WILLIAMS COS INC DEL C	03/20/2009	02/17/2011	299.00	118.00	181.00
27. WILLIAMS COS INC DEL C	03/20/2009	02/17/2011	799.00	318.00	481.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

44-6009267

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 4. BHP BILLITON PLC - ADR	02/02/2010	02/24/2011	308.00	247.00	61.00
10. BHP BILLITON PLC - ADR	02/04/2010	02/24/2011	770.00	582.00	188.00
16. BHP BILLITON PLC - ADR	08/07/2009	02/24/2011	1,232.00	858.00	374.00
6. BHP BILLITON PLC - ADR	08/06/2009	02/24/2011	462.00	316.00	146.00
11. BHP BILLITON PLC - ADR	04/02/2009	02/28/2011	877.00	477.00	400.00
1. BHP BILLITON PLC - ADR	04/24/2009	02/28/2011	80.00	42.00	38.00
19. MC DONALDS CORP COM	05/15/2007	02/28/2011	1,418.00	979.00	439.00
13. UNION PACIFIC CORP COM	03/30/2006	02/28/2011	1,238.00	601.00	637.00
14. MC DONALDS CORP COM	05/15/2007	03/01/2011	1,056.00	722.00	334.00
13. MC DONALDS CORP COM	07/02/2007	03/01/2011	981.00	665.00	316.00
12. BHP BILLITON PLC - ADR	04/24/2009	03/02/2011	959.00	510.00	449.00
2. BHP BILLITON PLC - ADR	04/01/2009	03/02/2011	160.00	81.00	79.00
1. UNION PACIFIC CORP COM	03/30/2006	03/03/2011	96.00	46.00	50.00
21. UNION PACIFIC CORP COM	01/31/2006	03/03/2011	2,008.00	926.00	1,082.00
9. AMAZON COM INC	03/02/2010	03/04/2011	1,534.00	1,140.00	394.00
15. GENERAL DYNAMICS CORP	07/25/2005	03/07/2011	1,142.00	879.00	263.00
6. UNION PACIFIC CORP COM	01/31/2006	03/07/2011	573.00	265.00	308.00
14. UNION PACIFIC CORP COM	01/31/2006	03/07/2011	1,318.00	617.00	701.00
1. BHP BILLITON PLC - ADR	04/01/2009	03/14/2011	74.00	41.00	33.00
9. BHP BILLITON PLC - ADR	04/22/2009	03/14/2011	667.00	361.00	306.00
10. BHP BILLITON PLC - ADR	03/13/2009	03/14/2011	741.00	383.00	358.00
6. NORDSTROM INC COM	02/02/2010	03/14/2011	259.00	215.00	44.00
2. NORDSTROM INC COM	11/17/2009	03/17/2011	86.00	69.00	17.00
21. NORDSTROM INC COM	11/17/2009	03/21/2011	886.00	726.00	160.00
22. NORDSTROM INC COM	11/17/2009	03/21/2011	929.00	759.00	170.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

44-6009267

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 12. NIKE INC COM CL B	02/03/2010	03/25/2011	926.00	762.00	164.00
6. NIKE INC COM CL B	02/04/2010	03/25/2011	463.00	375.00	88.00
5. APPLE COMPUTER INC COM	04/25/2008	04/04/2011	1,702.00	845.00	857.00
16. BHP BILLITON PLC - ADR	03/13/2009	04/13/2011	1,317.00	613.00	704.00
31. WELLS FARGO COMPANY	05/12/2009	04/13/2011	954.00	797.00	157.00
5. BHP BILLITON PLC - ADR	03/13/2009	04/15/2011	411.00	192.00	219.00
30. BHP BILLITON PLC - ADR	03/12/2009	04/15/2011	2,468.00	1,071.00	1,397.00
247. WELLS FARGO COMPANY	05/12/2009	04/15/2011	7,373.00	6,348.00	1,025.00
1000. ASSURED GTY MUN HLDG 6.250	03/24/2005	04/20/2011	21,853.00	25,070.00	-3,217.00
931.224 COLUMBIA INCOME OP FUND CL Z	03/24/2005	04/20/2011	9,126.00	10,526.00	-1,400.00
3765.998 COLUMBIA INTERNAT FUND CLASS Z SHARES	04/19/2000	04/20/2011	56,113.00	68,880.00	-12,767.00
118.432 COLUMBIA INTERNATI FUND CLASS Z SHARES	03/19/2002	04/20/2011	1,765.00	2,000.00	-235.00
4739.808 COLUMBIA MID CAP CLASS Z SHARES	12/29/2003	04/20/2011	59,674.00	46,640.00	13,034.00
3786.859 COLUMBIA SMALL CA CLASS Z SHARES	01/29/2002	04/20/2011	70,095.00	54,493.00	15,602.00
368.992 COLUMBIA MID CAP V CLASS Z SHARES	08/22/2002	04/20/2011	5,343.00	3,616.00	1,727.00
5144.033 COLUMBIA CORPORAT FUND CLASS Z SHARES	05/01/2007	04/20/2011	50,360.00	50,000.00	360.00
550. ISHR MSCI EAFE INDEX	06/30/2009	04/20/2011	33,866.00	25,119.00	8,747.00
1000. J P MORGAN CHASE CAP SECS 7% SER J	03/24/2005	04/20/2011	25,441.00	25,410.00	31.00
1000. MORGAN STANLEY CAP T SEC PFD 6.250%	03/24/2005	04/20/2011	23,682.00	24,290.00	-608.00
675. POWERSHARES DB COMMOD TRACKING FUND UNIT BEN INT	06/30/2009	04/20/2011	21,232.00	17,931.00	3,301.00
25000. SBC COMMUNICATIONS NT	04/14/2009	04/20/2011	27,984.00	25,809.00	2,175.00
50000. UNITED TECHNOLOGIES	09/02/2008	04/20/2011	55,184.00	50,262.00	4,922.00
975. VANGUARD TOTAL BD MAR	06/24/2009	04/20/2011	78,330.00	75,290.00	3,040.00
650. VANGUARD MSCI EMERGIN	06/30/2009	04/20/2011	32,609.00	20,644.00	11,965.00
50000. VERIZON COMMUNICATI UNSECD NT	02/12/2008	04/20/2011	52,783.00	49,931.00	2,852.00

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EDWARD F. SWINNEY TRUST FOR EMPLOYEES

44-6009267

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 50000. WACHOVIA CORP NEW S	02/08/2008	04/20/2011	53,860.00	49,999.00	3,861.00
3. AT&T INC	07/06/2007	04/21/2011	92.00	122.00	-30.00
51. AT&T INC	05/22/2007	04/21/2011	1,565.00	2,071.00	-506.00
8. ABBOTT LABORATORIES COM	08/06/2007	04/21/2011	411.00	418.00	-7.00
11. ABBOTT LABORATORIES CO	04/04/2005	04/21/2011	565.00	509.00	56.00
26. ALTRIA GROUP INC	01/15/2009	04/21/2011	678.00	426.00	252.00
16. AMERICAN ELECTRIC POWE	11/10/2009	04/21/2011	565.00	508.00	57.00
5. AMERICAN ELECTRIC POWER	11/09/2009	04/21/2011	177.00	158.00	19.00
12. AMERICAN EXPRESS CO CO	02/08/2010	04/21/2011	565.00	444.00	121.00
14. ANALOG DEVICES INC COM	07/16/2009	04/21/2011	550.00	352.00	198.00
15. CHEVRONTXACO CORP COM	06/05/2008	04/21/2011	1,617.00	1,498.00	119.00
4. CITIGROUP INC CONV PFD EQUIVALENT	01/05/2010	04/21/2011	517.00	408.00	109.00
6. DIAGEO SPONSORED ADR (U #2133896	07/09/2008	04/21/2011	478.00	428.00	50.00
13. DIAGEO SPONSORED ADR (U SEDOL #2133896	04/04/2005	04/21/2011	1,035.00	745.00	290.00
10. DOVER CORP	07/16/2009	04/21/2011	678.00	338.00	340.00
16. EXXON MOBIL CORPORATIO	02/23/2010	04/21/2011	1,374.00	1,046.00	328.00
21. FOOT LOCKER INC COM	10/08/2009	04/21/2011	444.00	254.00	190.00
1. FOOT LOCKER INC COM	08/12/2009	04/21/2011	21.00	12.00	9.00
6. FREEPORT-MCMORAN COPPER COM	08/22/2007	04/21/2011	328.00	281.00	47.00
19. GENERAL MILLS INC COM	10/16/2007	04/21/2011	719.00	540.00	179.00
13. GENUINE PARTS CO	07/29/2009	04/21/2011	677.00	461.00	216.00
4. GENUINE PARTS CO	07/28/2009	04/21/2011	208.00	141.00	67.00
13. GOODRICH B F CO COM	04/04/2005	04/21/2011	1,141.00	508.00	633.00
13. HEINZ H J CO	10/21/2008	04/21/2011	658.00	570.00	88.00
36. HOME DEPOT INC	05/20/2009	04/21/2011	1,358.00	860.00	498.00

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44-6009267

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 26. INTEL CORP COM	02/06/2009	04/21/2011	557.00	384.00	173.00
12. INTERNATIONAL BUSINESS CORP COM	10/13/2008	04/21/2011	2,015.00	1,095.00	920.00
30. J P MORGAN CHASE & CO	11/14/2007	04/21/2011	1,340.00	1,359.00	-19.00
7. J P MORGAN CHASE & CO C	11/13/2007	04/21/2011	313.00	316.00	-3.00
12. JOHNSON & JOHNSON COM	01/03/2007	04/21/2011	769.00	801.00	-32.00
16. KRAFT FOODS INC CL A C	11/11/2008	04/21/2011	531.00	446.00	85.00
6. MC DONALDS CORP COM	10/20/2008	04/21/2011	462.00	336.00	126.00
20. MERCK & CO INC NEW COM	08/22/2007	04/21/2011	684.00	757.00	-73.00 *
13.39 METLIFE INC	08/16/2008	04/21/2011	593.00	824.00	-231.00
2.61 METLIFE INC	02/18/2009	04/21/2011	116.00	152.00	-36.00
22. MICROSOFT CORP	03/26/2010	04/21/2011	560.00	656.00	-96.00
22. MORGAN STANLEY DEAN WI	04/09/2009	04/21/2011	584.00	545.00	39.00
17. OCCIDENTAL PETROLEUM C	04/04/2005	04/21/2011	1,697.00	627.00	1,070.00
15. PG&E CORP COM	07/14/2009	04/21/2011	676.00	569.00	107.00
11. P N C BANK CORP COM	05/09/2006	04/21/2011	682.00	780.00	-98.00 *
6. PPG INDUSTRIES INC COM	10/08/2009	04/21/2011	564.00	355.00	209.00
15. PACKAGING CORP OF AMER	06/19/2009	04/21/2011	415.00	221.00	194.00
7. PARKER HANNIFIN CORP CO	04/29/2009	04/21/2011	673.00	315.00	358.00
45. PFIZER INC COM	07/13/2009	04/21/2011	906.00	656.00	250.00
20. PHILIP MORRIS INTL INC	04/04/2005	04/21/2011	1,358.00	685.00	673.00
2246.181 PIMCO FOREIGN BON UNHEDGED INSTL CL	05/22/2008	04/21/2011	24,573.00	24,814.00	-241.00
6. PRICE T ROWE GROUP INC	02/06/2009	04/21/2011	385.00	172.00	213.00
11. SEMPRA ENERGY	12/22/2009	04/21/2011	587.00	616.00	-29.00
23. US BANCORP DEL COM NEW	01/05/2006	04/21/2011	574.00	702.00	-128.00
36. US BANCORP DEL COM NEW	01/05/2006	04/21/2011	898.00	1,098.00	-200.00 *

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 13. UNITED TECHNOLOGIES CO	02/13/2006	04/21/2011	1,128.00	743.00	385.00
10. UNITED TECHNOLOGIES CO	04/04/2005	04/21/2011	868.00	499.00	369.00
6. V F CORP COM	10/18/2007	04/21/2011	622.00	496.00	126.00
19. VERIZON COMMUNICATIONS	05/01/2008	04/21/2011	700.00	691.00	9.00
8. VERIZON COMMUNICATIONS	04/04/2005	04/21/2011	295.00	256.00	39.00
27. WELLS FARGO COMPANY	04/04/2005	04/21/2011	772.00	808.00	-36.00
20. WILLIAMS COS INC DEL C	03/20/2009	04/21/2011	638.00	236.00	402.00
28. XL GROUP PLC COM	12/03/2009	04/21/2011	681.00	513.00	168.00
41. US BANCORP DEL COM NEW	04/20/2010	04/26/2011	1,023.00	1,152.00	-129.00
31. US BANCORP DEL COM NEW	07/21/2008	04/26/2011	773.00	845.00	-72.00
42. US BANCORP DEL COM NEW	04/22/2010	04/26/2011	1,048.00	1,145.00	-97.00
18. US BANCORP DEL COM NEW	07/22/2008	04/26/2011	449.00	485.00	-36.00
23. FOOT LOCKER INC COM	08/12/2009	05/04/2011	487.00	265.00	222.00
15. FOOT LOCKER INC COM	08/12/2009	05/04/2011	317.00	172.00	145.00
36. FOOT LOCKER INC COM	08/13/2009	05/04/2011	762.00	412.00	350.00
4. FOOT LOCKER INC COM	08/12/2009	05/04/2011	85.00	46.00	39.00
23. FOOT LOCKER INC COM	08/14/2009	05/04/2011	487.00	260.00	227.00
19. FOOT LOCKER INC COM	08/14/2009	05/04/2011	402.00	215.00	187.00
4. FOOT LOCKER INC COM	08/13/2009	05/04/2011	85.00	44.00	41.00
17. MORGAN STANLEY DEAN WI	04/09/2009	05/04/2011	430.00	421.00	9.00
25. MORGAN STANLEY DEAN WI	03/13/2009	05/04/2011	632.00	616.00	16.00
10. MORGAN STANLEY DEAN WI	03/23/2009	05/04/2011	253.00	232.00	21.00
1. MORGAN STANLEY DEAN WIT	03/23/2009	05/04/2011	25.00	23.00	2.00
9. MORGAN STANLEY DEAN WIT	03/23/2009	05/04/2011	228.00	209.00	19.00
17. MORGAN STANLEY DEAN WI	03/23/2009	05/04/2011	431.00	389.00	42.00

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44-6009267

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 8. MORGAN STANLEY DEAN WIT	03/23/2009	05/04/2011	203.00	178.00	25.00
6. MORGAN STANLEY DEAN WIT	03/20/2009	05/04/2011	152.00	127.00	25.00
8. MORGAN STANLEY DEAN WIT	03/20/2009	05/04/2011	203.00	165.00	38.00
11. PARKER HANNIFIN CORP C	04/29/2009	05/04/2011	994.00	495.00	499.00
1000. UNITED STS STL CORP UNSECD NT	07/16/2009	05/04/2011	1,613.00	1,335.00	278.00
4. V F CORP COM	10/18/2007	05/04/2011	392.00	331.00	61.00
4. V F CORP COM	10/18/2007	05/04/2011	393.00	331.00	62.00
4. V F CORP COM	05/02/2008	05/04/2011	393.00	310.00	83.00
6. GENERAL DYNAMICS CORP C	07/25/2005	05/26/2011	422.00	352.00	70.00
3. GENERAL DYNAMICS CORP C	01/12/2009	05/26/2011	211.00	173.00	38.00
8. GENERAL DYNAMICS CORP C	01/12/2009	05/31/2011	595.00	460.00	135.00
11. GENERAL DYNAMICS CORP	05/12/2009	05/31/2011	819.00	609.00	210.00
16. GENERAL DYNAMICS CORP	04/04/2005	06/02/2011	1,152.00	864.00	288.00
17. GENERAL DYNAMICS CORP	04/04/2005	06/02/2011	1,218.00	918.00	300.00
2. APPLE COMPUTER INC COM	04/25/2008	06/07/2011	668.00	338.00	330.00
16. GENERAL DYNAMICS CORP	04/04/2005	06/07/2011	1,131.00	864.00	267.00
2. BAIDU COM INC SPONSORED	09/17/2009	06/08/2011	247.00	80.00	167.00
1. GOLDMAN SACHS GROUP INC	03/25/2009	06/08/2011	133.00	108.00	25.00
20. GOLDMAN SACHS GROUP IN	03/25/2009	06/08/2011	2,634.00	2,168.00	466.00
6. GOLDMAN SACHS GROUP INC	11/25/2008	06/08/2011	790.00	413.00	377.00
5. AMAZON COM INC	03/02/2010	06/17/2011	929.00	628.00	301.00
5. EOG RESOURCES INC	03/10/2010	06/20/2011	505.00	492.00	13.00
8. EOG RESOURCES INC	03/10/2010	06/22/2011	817.00	786.00	31.00
10. EOG RESOURCES INC	01/08/2010	06/22/2011	1,021.00	974.00	47.00
4. EOG RESOURCES INC	12/22/2009	06/22/2011	408.00	386.00	22.00

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6a 9. DOW CHEM CO	12/01/2009	07/01/2011	326.00	252.00	74.00
4. EOG RESOURCES INC	12/22/2009	07/01/2011	413.00	386.00	27.00
7. EOG RESOURCES INC	12/21/2009	07/01/2011	722.00	664.00	58.00
17. EOG RESOURCES INC	11/16/2009	07/01/2011	1,754.00	1,535.00	219.00
17. EOG RESOURCES INC	05/12/2009	07/01/2011	1,754.00	1,244.00	510.00
16. GENERAL DYNAMICS CORP	04/04/2005	07/08/2011	1,191.00	864.00	327.00
4. AMAZON COM INC	07/14/2010	07/19/2011	871.00	493.00	378.00
7. AMAZON COM INC	03/01/2010	07/19/2011	1,525.00	862.00	663.00
2. AMAZON COM INC	10/30/2009	07/19/2011	436.00	238.00	198.00
18. DOW CHEM CO	12/01/2009	07/19/2011	624.00	504.00	120.00
11. P N C BANK CORP COM	05/17/2006	07/19/2011	613.00	783.00	-170.00
1. P N C BANK CORP COM	05/03/2010	07/19/2011	56.00	68.00	-12.00
23. P N C BANK CORP COM	04/21/2010	07/19/2011	1,281.00	1,492.00	-211.00
1. UNION PACIFIC CORP COM	01/31/2006	07/19/2011	100.00	44.00	56.00
33. GENERAL DYNAMICS CORP	04/04/2005	07/20/2011	2,313.00	1,781.00	532.00
23. ILLINOIS TOOL WORKS IN	04/27/2010	08/15/2011	1,040.00	1,179.00	-139.00
8. ILLINOIS TOOL WORKS INC	04/28/2010	08/15/2011	362.00	409.00	-47.00
3. AMAZON COM INC	10/30/2009	08/19/2011	540.00	357.00	183.00
3. APPLE COMPUTER INC COM	04/25/2008	08/19/2011	1,073.00	507.00	566.00
7. APPLE COMPUTER INC COM	07/24/2008	08/19/2011	2,503.00	1,125.00	1,378.00
2. BAIDU COM INC SPONSORED	09/17/2009	08/19/2011	256.00	80.00	176.00
7. BAIDU COM INC SPONSORED	10/06/2009	08/19/2011	895.00	276.00	619.00
14. DOW CHEM CO	12/01/2009	08/19/2011	370.00	392.00	-22.00
16. DOW CHEM CO	09/23/2009	08/19/2011	422.00	425.00	-3.00
2. DOW CHEM CO	09/17/2009	08/19/2011	53.00	53.00	

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6a 9. P N C BANK CORP COM	05/24/2010	08/19/2011	392.00	555.00	-163.00
11. P N C BANK CORP COM	07/14/2010	08/19/2011	479.00	672.00	-193.00
4. P N C BANK CORP COM	03/25/2010	08/19/2011	174.00	243.00	-69.00
36. US BANCORP DEL COM NEW	01/13/2006	08/19/2011	742.00	1,128.00	-386.00
7. US BANCORP DEL COM NEW	05/05/2010	08/19/2011	144.00	197.00	-53.00
2. UNION PACIFIC CORP COM	01/31/2006	08/19/2011	171.00	88.00	83.00
11. UNION PACIFIC CORP COM	01/25/2006	08/19/2011	941.00	477.00	464.00
35. P N C BANK CORP COM	03/25/2010	08/22/2011	1,523.00	2,126.00	-603.00
56. P N C BANK CORP COM	02/03/2010	08/22/2011	2,437.00	3,042.00	-605.00
28. US BANCORP DEL COM NEW	05/05/2010	08/22/2011	574.00	788.00	-214.00
8. US BANCORP DEL COM NEW	07/22/2008	08/22/2011	164.00	216.00	-52.00
2. UNION PACIFIC CORP COM	01/25/2006	08/22/2011	172.00	87.00	85.00
4. ILLINOIS TOOL WORKS INC	04/28/2010	08/30/2011	186.00	204.00	-18.00
11. ILLINOIS TOOL WORKS IN	01/12/2010	08/30/2011	512.00	535.00	-23.00
30. ILLINOIS TOOL WORKS IN	01/12/2010	08/30/2011	1,395.00	1,458.00	-63.00
36. DOW CHEM CO	09/17/2009	08/31/2011	1,018.00	950.00	68.00
5. UNION PACIFIC CORP COM	01/25/2006	09/02/2011	445.00	217.00	228.00
1. UNION PACIFIC CORP COM	03/08/2006	09/02/2011	89.00	42.00	47.00
8. ILLINOIS TOOL WORKS INC	01/12/2010	09/06/2011	345.00	389.00	-44.00
53. ILLINOIS TOOL WORKS IN	03/26/2010	09/06/2011	2,283.00	2,506.00	-223.00
7. DOW CHEM CO	09/17/2009	09/07/2011	189.00	185.00	4.00
51. DOW CHEM CO	08/06/2009	09/07/2011	1,378.00	1,169.00	209.00
12. DOW CHEM CO	07/30/2009	09/07/2011	324.00	258.00	66.00
13. ENSCO PLC SPONSORED AD	08/09/2010	09/13/2011	619.00	590.00	29.00
11. ENSCO PLC SPONSORED AD	08/09/2010	09/13/2011	524.00	499.00	25.00

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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 45. ENSCO PLC SPONSORED AD	08/06/2010	09/13/2011	2,145.00	2,022.00	123.00
1. ENSCO PLC SPONSORED ADR	08/06/2010	09/13/2011	48.00	45.00	3.00
3000. EMC CORP CONV SR NT	03/26/2010	09/15/2011	4,204.00	3,741.00	463.00
33. GOODRICH B F CO COM	04/04/2005	09/19/2011	3,441.00	1,290.00	2,151.00
36. GOODRICH B F CO COM	04/04/2005	09/23/2011	4,356.00	1,407.00	2,949.00
6. GOODRICH B F CO COM	10/29/2008	09/23/2011	726.00	222.00	504.00
5. V F CORP COM	05/02/2008	10/12/2011	656.00	388.00	268.00
1. V F CORP COM	05/01/2008	10/12/2011	131.00	77.00	54.00
3. V F CORP COM	05/01/2008	10/12/2011	396.00	230.00	166.00
7. V F CORP COM	05/01/2008	10/13/2011	908.00	536.00	372.00
35. APACHE CORP CONV PFD S	07/23/2010	11/04/2011	1,961.00	1,856.00	105.00
28. APACHE CORP CONV PFD S	07/23/2010	11/04/2011	1,569.00	1,472.00	97.00
125. WILLIAMS COS INC DEL	03/20/2009	11/04/2011	3,891.00	1,473.00	2,418.00
27. KRAFT FOODS INC CL A C	11/11/2008	11/09/2011	937.00	752.00	185.00
61. KRAFT FOODS INC CL A C	05/06/2009	11/09/2011	2,117.00	1,531.00	586.00
3. NORDSTROM INC COM	09/24/2010	11/09/2011	147.00	110.00	37.00
10. NORDSTROM INC COM	09/24/2010	11/09/2011	489.00	365.00	124.00
7. APPLE COMPUTER INC COM	07/24/2008	11/11/2011	2,710.00	1,125.00	1,585.00
12. DIAGEO SPONSORED ADR (SEDOL #2133896	04/04/2005	11/14/2011	1,001.00	688.00	313.00
4. DIAGEO SPONSORED ADR (U #2133896	04/04/2005	11/14/2011	335.00	229.00	106.00
17. DIAGEO SPONSORED ADR (SEDOL #2133896	04/04/2005	11/14/2011	1,427.00	974.00	453.00
12. GENERAL MILLS INC COM	10/16/2007	11/14/2011	472.00	341.00	131.00
1.602 GENERAL MILLS INC CO	10/16/2007	11/14/2011	63.00	46.00	17.00
18.436 GENERAL MILLS INC C	10/16/2007	11/14/2011	725.00	517.00	208.00
8.962 GENERAL MILLS INC CO	10/16/2007	11/14/2011	353.00	250.00	103.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

44-6009267

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 21. OCCIDENTAL PETROLEUM C	04/04/2005	11/14/2011	2,046.00	774.00	1,272.00
21. OCCIDENTAL PETROLEUM C	04/04/2005	11/14/2011	2,055.00	774.00	1,281.00
16. GENERAL MILLS INC COM	10/16/2007	11/15/2011	629.00	447.00	182.00
23. AMERICAN ELECTRIC POWE	11/09/2009	11/16/2011	889.00	725.00	164.00
2. AMERICAN ELECTRIC POWER	11/09/2009	11/16/2011	77.00	63.00	14.00
14. ANALOG DEVICES INC COM	07/16/2009	11/16/2011	514.00	352.00	162.00
3. ANALOG DEVICES INC COM	07/15/2009	11/16/2011	110.00	75.00	35.00
23. ANALOG DEVICES INC COM	07/15/2009	11/16/2011	856.00	573.00	283.00
27. ANALOG DEVICES INC COM	07/10/2009	11/16/2011	1,005.00	646.00	359.00
10. AUTOMATIC DATA PROCESS	12/03/2009	11/16/2011	516.00	435.00	81.00
26. CITIGROUP INC CONV PFD EQUIVALENT	01/05/2010	11/16/2011	2,183.00	2,573.00	-390.00
4. NEXTERA ENERGY INC COM	08/02/2010	11/16/2011	223.00	215.00	8.00
6. NEXTERA ENERGY INC COM	08/03/2010	11/16/2011	334.00	323.00	11.00
3. NEXTERA ENERGY INC COM	07/29/2010	11/16/2011	167.00	158.00	9.00
23. PPG INDUSTRIES INC COM	03/26/2010	11/16/2011	1,973.00	1,518.00	455.00
7. PEOPLES UTD FINL INC CO	07/29/2010	11/16/2011	88.00	97.00	-9.00
79. PEOPLES UTD FINL INC C	07/29/2010	11/16/2011	1,003.00	1,099.00	-96.00
30. PEOPLES UTD FINL INC C	07/29/2010	11/16/2011	381.00	416.00	-35.00
6. XL GROUP PLC COM	12/03/2009	11/16/2011	121.00	110.00	11.00
1. XL GROUP PLC COM	12/03/2009	11/16/2011	20.00	18.00	2.00
34. XL GROUP PLC COM	12/03/2009	11/16/2011	695.00	618.00	77.00
15. XL GROUP PLC COM	08/03/2009	11/16/2011	307.00	216.00	91.00
15. AMERICAN ELECTRIC POWE	11/09/2009	11/17/2011	577.00	469.00	108.00
28. AMERICAN ELECTRIC POWE	11/06/2009	11/17/2011	1,078.00	867.00	211.00
24. AUTOMATIC DATA PROCESS	12/03/2009	11/17/2011	1,211.00	1,044.00	167.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

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Employer identification number

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

44-6009267

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 2. AUTOMATIC DATA PROCESSI	06/19/2009	11/17/2011	101.00	71.00	30.00
28. XL GROUP PLC COM	08/03/2009	11/17/2011	553.00	403.00	150.00
16. XL GROUP PLC COM	07/31/2009	11/17/2011	316.00	227.00	89.00
42. XL GROUP PLC COM	07/31/2009	11/17/2011	829.00	588.00	241.00
29.424 GENERAL MILLS INC C	10/16/2007	11/18/2011	1,136.00	822.00	314.00
28.576 GENERAL MILLS INC C	10/16/2007	11/18/2011	1,103.00	795.00	308.00
6. GENUINE PARTS CO	07/28/2009	11/18/2011	339.00	211.00	128.00
6. GENUINE PARTS CO	02/27/2009	11/18/2011	339.00	207.00	132.00
2. GENUINE PARTS CO	02/27/2009	11/18/2011	113.00	69.00	44.00
13. GENUINE PARTS CO	07/16/2009	11/18/2011	735.00	442.00	293.00
9.15 METLIFE INC	02/18/2009	11/18/2011	280.00	531.00	-251.00
15.85 METLIFE INC	02/18/2009	11/18/2011	486.00	798.00	-312.00
19. OCCIDENTAL PETROLEUM C	04/04/2005	11/18/2011	1,782.00	700.00	1,082.00
50. PEOPLES UTD FINL INC C	07/29/2010	11/18/2011	611.00	694.00	-83.00
19. PEOPLES UTD FINL INC C	07/29/2010	11/18/2011	232.00	263.00	-31.00
20. TE CONNECTIVITY LTD CO	04/29/2010	11/18/2011	658.00	644.00	14.00
1. TE CONNECTIVITY LTD COM	04/29/2010	11/18/2011	33.00	32.00	1.00
4. TE CONNECTIVITY LTD COM	04/29/2010	11/18/2011	132.00	128.00	4.00
26. TE CONNECTIVITY LTD CO	04/29/2010	11/18/2011	857.00	835.00	22.00
7. AIR PRODUCTS & CHEMICAL	08/04/2006	11/21/2011	556.00	457.00	99.00
15. AIR PRODUCTS & CHEMICA	08/03/2006	11/21/2011	1,191.00	976.00	215.00
15. AIR PRODUCTS & CHEMICA	08/07/2006	11/21/2011	1,191.00	973.00	218.00
15. AIR PRODUCTS & CHEMICA	08/04/2006	11/21/2011	1,191.00	972.00	219.00
12. AIR PRODUCTS & CHEMICA	10/29/2008	11/21/2011	953.00	639.00	314.00
34. FREEPORT-MCMORAN COPPE COM	08/22/2007	11/21/2011	1,226.00	1,595.00	-369.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

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Employer identification number

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

44-6009267

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 19. GENUINE PARTS CO	07/16/2009	11/21/2011	1,051.00	646.00	405.00
3. GENUINE PARTS CO	02/05/2009	11/21/2011	166.00	100.00	66.00
11. GENUINE PARTS CO	02/04/2009	11/21/2011	609.00	363.00	246.00
9. GENUINE PARTS CO	02/03/2009	11/21/2011	498.00	290.00	208.00
9. GENUINE PARTS CO	02/02/2009	11/21/2011	498.00	286.00	212.00
4. GENUINE PARTS CO	02/02/2009	11/21/2011	221.00	127.00	94.00
1. GENUINE PARTS CO	02/03/2009	11/21/2011	55.00	32.00	23.00
20. GENUINE PARTS CO	03/04/2009	11/21/2011	1,107.00	538.00	569.00
14. PG&E CORP COM	07/14/2009	11/21/2011	534.00	531.00	3.00
36. PG&E CORP COM	07/13/2009	11/21/2011	1,374.00	1,363.00	11.00
12. PPG INDUSTRIES INC COM	10/08/2009	11/21/2011	979.00	711.00	268.00
20. PPG INDUSTRIES INC COM	10/08/2009	11/21/2011	1,631.00	1,181.00	450.00
52. US BANCORP DEL COM NEW	01/05/2006	11/21/2011	1,278.00	1,586.00	-308.00
30. US BANCORP DEL COM NEW	02/13/2006	11/21/2011	737.00	898.00	-161.00
52. US BANCORP DEL COM NEW	04/04/2005	11/21/2011	1,278.00	1,489.00	-211.00
32. US BANCORP DEL COM NEW	04/04/2005	11/21/2011	787.00	916.00	-129.00 *
32. UNITED TECHNOLOGIES CO	04/04/2005	11/21/2011	2,351.00	1,597.00	754.00
30. UNITED TECHNOLOGIES CO	04/04/2005	11/21/2011	2,205.00	1,497.00	708.00
8. TE CONNECTIVITY LTD COM	04/29/2010	11/21/2011	256.00	257.00	-1.00
11. TE CONNECTIVITY LTD CO	04/29/2010	11/21/2011	352.00	352.00	
33. TE CONNECTIVITY LTD CO	04/30/2010	11/21/2011	1,057.00	1,055.00	2.00
2. APPLE COMPUTER INC COM	07/24/2008	11/22/2011	748.00	321.00	427.00
1. APPLE COMPUTER INC COM	05/12/2009	11/22/2011	374.00	125.00	249.00
10. PACKAGING CORP OF AMER	06/19/2009	11/22/2011	250.00	147.00	103.00
16. PACKAGING CORP OF AMER	06/22/2009	11/22/2011	400.00	228.00	172.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

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Employer identification number

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

44-6009267

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 22. J P MORGAN CHASE & CO	11/13/2007	11/23/2011	627.00	994.00	-367.00
31. PACKAGING CORP OF AMER	06/22/2009	11/23/2011	755.00	441.00	314.00
4. PACKAGING CORP OF AMERI	06/22/2009	11/23/2011	97.00	56.00	41.00
7. PACKAGING CORP OF AMERI	06/23/2009	11/23/2011	170.00	98.00	72.00
59. WELLS FARGO COMPANY	04/04/2005	11/23/2011	1,382.00	1,766.00	-384.00 *
20. PACKAGING CORP OF AMER	06/23/2009	11/25/2011	490.00	280.00	210.00
30. DOW CHEM CO	07/30/2009	12/13/2011	780.00	646.00	134.00
1. PPG INDUSTRIES INC COM	03/26/2010	12/13/2011	82.00	66.00	16.00
5. PPG INDUSTRIES INC COM	07/09/2010	12/13/2011	412.00	323.00	89.00
2. PPG INDUSTRIES INC COM	07/21/2010	12/13/2011	165.00	129.00	36.00
37. DOW CHEM CO	07/30/2009	12/14/2011	941.00	796.00	145.00
8. PPG INDUSTRIES INC COM	07/21/2010	12/14/2011	642.00	517.00	125.00
10. PPG INDUSTRIES INC COM	09/10/2009	12/14/2011	803.00	564.00	239.00
4. SALESFORCE.COM INC COMM	09/02/2010	12/16/2011	429.00	476.00	-47.00
6. SALESFORCE.COM INC COMM	09/01/2010	12/16/2011	643.00	692.00	-49.00
7. SALESFORCE.COM INC COMM	09/01/2010	12/19/2011	731.00	807.00	-76.00
3. SALESFORCE.COM INC COMM	08/25/2010	12/19/2011	313.00	338.00	-25.00
11. TIFFANY & CO NEW COM	03/22/2010	12/19/2011	690.00	519.00	171.00
18. AMAZON COM INC	10/30/2009	12/20/2011	3,283.00	2,143.00	1,140.00
3. AMAZON COM INC	10/23/2009	12/20/2011	547.00	350.00	197.00
51. ORACLE CORPORATION COM	10/15/2010	12/20/2011	1,487.00	1,458.00	29.00
16. ORACLE CORPORATION COM	11/18/2010	12/20/2011	466.00	454.00	12.00
30. ORACLE CORPORATION COM	11/19/2010	12/20/2011	874.00	846.00	28.00
8. AMAZON COM INC	10/23/2009	12/21/2011	1,391.00	934.00	457.00
16. AMAZON COM INC	07/13/2009	12/21/2011	2,783.00	1,289.00	1,494.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

Employer identification number

44-6009267

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* INDICATES WASH SALE

Schedule D-1 (Form 1041) 2011

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

44-6009267

Balance Sheet

12/31/2011

Bank of America



Cusip	Asset	Units	Basis	Market Value
00206R102	AT&T INC	315.000	8,707.19	9,525.60
002824100	ABBOTT LABS	90.000	4,140.12	5,060.70
0075W0817	CAMBIAR SMALL CAP FUND	1529.805	29,770.00	25,608.94
018490102	ALLERGAN INC	75.000	6,076.84	6,580.50
02209S103	ALTRIA GROUP INC	129.000	2,115.48	3,824.85
025537101	AMERICAN ELEC PWR INC	59.000	1,826.35	2,437.29
025816109	AMERICAN EXPRESS CO	81.000	3,124.87	3,820.77
031162100	AMGEN INC	41.000	2,352.77	2,632.61
037833100	APPLE INC	44.000	7,436.87	17,820.00
053015103	AUTOMATIC DATA PROCESSING INC	72.000	2,567.58	3,888.72
053332102	AUTOZONE INC	7.000	2,198.87	2,274.79
056752108	BAIDU INC	118.000	6,506.44	13,743.46
088606108	BHP BILLITON LTD	32.000	2,217.32	2,260.16
09062X103	BIOGEN IDEC INC	103.000	10,830.75	11,335.15
09247X101	BLACKROCK INC	17.000	2,666.79	3,030.08
097023105	BOEING CO	34.000	2,216.15	2,493.90
110122108	BRISTOL MYERS SQUIBB CO	460.000	14,538.23	16,210.40
12572Q105	CME GROUP INC	10.000	2,448.71	2,436.70
166764100	CHEVRON CORP	105.000	8,610.41	11,172.00
171232101	CHUBB CORP	56.000	3,773.56	3,876.32
189754104	COACH INC	106.000	6,476.30	6,470.24
192446102	COGNIZANT TECHNOLOGY SOLUTIONS	31.000	2,095.02	1,993.61
197199409	COLUMBIA ACORN FUND	2219.122	72,299.00	61,159.00
197199813	COLUMBIA ACORN INTERNATIONAL	1018.657	30,137.00	34,950.12
19763T889	COLUMBIA INCOME OPPORTUNITIES	2607.456	29,473.77	24,327.56
19765H586	COLUMBIA INTERNATIONAL VALUE	2918.241	47,999.68	35,281.53
19765H636	COLUMBIA MARSICO INTERNATIONAL	4095.907	51,035.00	41,327.70
19765J830	COLUMBIA MID CAP VALUE FUND	3301.784	32,357.49	42,295.85
19765P596	COLUMBIA SMALL CAP GROWTH I	1358.482	28,885.00	36,869.20
212015101	CONTINENTAL RES INC	5.000	330.02	333.55
231021106	CUMMINS INC	91.000	8,234.96	8,009.82
235851102	DANAHER CORP DEL	163.000	6,891.98	7,667.52
245914817	DELAWARE EMERGING MKTS FUND	6477.739	110,575.00	80,777.41
25243Q205	DIAGEO PLC	57.000	3,267.24	4,982.94

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

44-6009267

Balance Sheet

12/31/2011

Bank of America



Cusip	Asset	Units	Basis	Market Value
253868103	DIGITAL RLTY TR INC	42 000	2,722.18	2,800.14
256746108	DOLLAR TREE INC	13 000	1,087.01	1,080.43
260003108	DOVER CORP	87.000	3,566.31	5,050.35
260543103	DOW CHEM CO	169.000	2,766.17	4,860.44
263534109	DU PONT E I DE NEMOURS & CO	65.000	2,941.69	2,975.70
278058102	EATON CORP	151.000	6,188.24	6,573.03
291011104	EMERSON ELEC CO	49 000	2,387.61	2,282.91
30231G102	EXXON MOBIL CORP	116 000	7,904.30	9,832.16
363576109	GALLAGHER ARTHUR J & CO	87.000	2,701.76	2,909.28
37733W105	GLAXOSMITHKLINE PLC	68.000	2,886.46	3,102.84
38259P508	GOOGLE INC	19.000	11,562.61	12,272.10
406216101	HALLIBURTON CO	331.000	14,838.43	11,422.81
423074103	HEINZ H J CO	87.000	3,952.10	4,701.48
437076102	HOME DEPOT INC	344.000	10,289.65	14,461.76
438516106	HONEYWELL INTL INC	83 000	3,841.76	4,511.05
458140100	INTEL CORP	285 000	5,509.96	6,911.25
459200101	INTERNATIONAL BUSINESS MACHS	55 000	4,723.30	10,113.40
46625H100	J P MORGAN CHASE & CO	161 000	6,854.57	5,353.25
478160104	JOHNSON & JOHNSON	89.000	5,844.28	5,836.62
494368103	KIMBERLY CLARK CORP	60 000	4,264.55	4,413.60
49456B101	KINDER MORGAN INC DEL	114.000	3,175.38	3,667.38
518439104	LAUDER ESTEE COS INC	118 000	9,982.20	13,253.76
532716107	LIMITED BRANDS INC	51 000	2,162.37	2,057.85
535678106	LINEAR TECHNOLOGY CORP	44.000	1,409.95	1,321.32
550021109	LULULEMON ATHLETICA INC	23.000	1,103.29	1,073.18
580135101	MCDONALDS CORP	210.000	14,060.46	21,069.30
582839106	MEAD JOHNSON NUTRITION CO	125 000	7,941.49	8,591.25
58933Y105	MERCK & CO INC	174.000	6,445.07	6,559.80
589433101	MEREDITH CORP	53.000	1,474.51	1,730.45
59156R108	METLIFE INC	65.000	3,258.40	2,026.70
594918104	MICROSOFT CORP	282.000	7,884.66	7,320.72
61166W101	MONSANTO CO	180.000	9,039.12	12,612.60
637071101	NATIONAL OILWELL VARCO INC	105.000	7,286.46	7,138.95
63872W409	NATIXIS FDS TR IV AEW REAL	2958.551	51,035.00	50,265.78

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

44-6009267

Balance Sheet

12/31/2011

Bank of America



Cusip	Asset	Units	Basis	Market Value
65339F101	NEXTERA ENERGY INC	37.000	1,939.10	2,252.56
654106103	NIKE INC	153.000	9,129.88	14,744.61
655664100	NORDSTROM INC	41.000	822.11	2,038.11
655844108	NORFOLK SOUTHERN CORP	30.000	2,199.08	2,185.80
670346105	NUCOR CORP	69.000	2,834.78	2,730.33
67103H107	O REILLY AUTOMOTIVE INC	75.000	4,607.84	5,996.25
674599105	OCCIDENTAL PETE CORP DEL	176.000	15,237.57	16,491.20
69331C108	PG&E CORP	38.000	1,438.43	1,566.36
693390700	PIMCO TOTAL RETURN FUND	24666.220	271,575.08	268,121.81
693475105	PNC FINL SVCS GROUP INC	72.000	4,731.13	4,152.24
693506107	PPG INDS INC	54.000	2,984.10	4,508.46
701094104	PARKER HANNIFIN CORP	26.000	1,101.32	1,982.50
707887105	PENN WEST PETE LTD	87.000	1,514.19	1,722.60
717081103	PFIZER INC	379.000	5,937.31	8,201.56
718172109	PHILIP MORRIS INTL INC	142.000	6,323.70	11,144.16
722005667	PIMCO COMMODITY REALRETURN	8463.483	85,058.00	55,351.18
74005P104	PRAXAIR INC	138.000	10,986.70	14,752.20
740189105	PRECISION CASTPARTS CORP	61.000	8,312.85	10,052.19
74144T108	PRICE T ROWE GROUP INC	43.000	1,092.93	2,448.85
741503403	PRICELINE COM INC	30.000	8,876.43	14,031.30
742718109	PROCTER & GAMBLE CO	71.000	4,499.60	4,736.41
744573106	PUBLIC SVC ENTERPRISE GROUP	93.000	3,053.07	3,069.93
74460D109	PUBLIC STORAGE INC	16.000	2,080.38	2,151.36
747525103	QUALCOMM INC	135.000	7,593.15	7,384.50
749685103	RPM INTL INC	100.000	2,227.00	2,455.00
755111507	RAYTHEON CO	64.000	2,766.45	3,096.32
77956H104	ROWE T PRICE INTL FDS INC	2455.919	25,517.00	23,920.65
780259206	ROYAL DUTCH SHELL PLC	113.000	7,528.00	8,259.17
816851109	SEMPRA ENERGY	62.000	3,472.68	3,410.00
824348106	SHERWIN WILLIAMS CO	35.000	2,939.70	3,124.45
855244109	STARBUCKS CORP	341.000	11,807.43	15,689.41
85590A401	STARWOOD HOTELS & RESORTS	78.000	2,265.17	3,741.66
872540109	TJX COS INC NEW	334.000	16,564.60	21,559.70
87612E106	TARGET CORP	74.000	3,891.97	3,790.28

EDWARD F. SWINNEY TRUST FOR EMPLOYEES

44-6009267

Balance Sheet

12/31/2011

Bank of America



Cusip	Asset	Units	Basis	Market Value
882508104	TEXAS INSTRS INC	83.000	2,621.94	2,416.13
886547108	TIFFANY & CO NEW	82.000	3,503.14	5,433.32
887317303	TIME WARNER INC	488.000	17,381.61	17,636.32
902973304	US BANCORP DEL	506.000	11,474.52	13,687.30
907818108	UNION PAC CORP	126.000	6,625.65	13,348.44
913017109	UNITED TECHNOLOGIES CORP	50.000	2,495.00	3,654.50
92343V104	VERIZON COMMUNICATIONS INC	246.000	8,077.26	9,869.52
92826C839	VISA INC	135.000	11,687.71	13,706.55
931142103	WAL-MART STORES INC	46.000	2,671.21	2,748.96
94106L109	WASTE MGMT INC DEL	62.000	1,914.68	2,028.02
949746101	WELLS FARGO & CO	270.000	7,971.89	7,441.20
949746879	WELLS FARGO & CO NEW	109.000	2,332.48	3,098.87
983134107	WYNN RESORTS LTD	111.000	13,614.02	12,264.39
98742U100	YOUKU INC	140.000	5,889.64	2,193.80
988498101	YUM BRANDS INC	184.000	8,852.48	10,857.84
G1151C101	ACCENTURE PLC	270.000	14,461.80	14,372.10
H8817H100	TRANSOCEAN LTD	39.000	2,064.18	1,497.21
M22465104	CHECK POINT SOFTWARE TECH LTD	78.000	4,384.48	4,098.12
	Cash/Cash Equivalent	60584.890	60,584.89	60,584.89
		Totals:	1,518,791.37	1,548,433.23