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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning

, and ending

Name of foundation ELLIOT D. BAKER CHARITABLE FOUNDATION C/O ELLIOT D. BAKER, TRUSTEE		A Employer identification number 43-6826586
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 46019	Room/suite	B Telephone number (847) 982-0372
City or town, state, and ZIP code CHICAGO, IL 60646-0019		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ Foreign organizations meeting the 85% test, 2. check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 216,669. (Part I, column (d) must be on cash basis.)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	9,792.		N/A	
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	6,882.	6,851.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-5,021.			
	b Gross sales price for all assets on line 6a	12,958,531.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
Operating and Administrative Expenses	9 Income modifications Gross sales less returns and allowances				
	10a Less: Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	898.	898.		STATEMENT 2
	12 Total. Add lines 1 through 11	12,551.	7,749.		
	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 3	540.	270.	270.
	c Other professional fees				
	17 Interest				
	18 Taxes	STMT 4	359.	25.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses	STMT 5	4,919.	4,919.	0.	
24 Total operating and administrative expenses. Add lines 13 through 23		5,818.	5,214.	270.	
25 Contributions, gifts, grants paid		11,950.		11,950.	
26 Total expenses and disbursements. Add lines 24 and 25		17,768.	5,214.	12,220.	
27 Subtract line 26 from line 12		-5,217.			
a Excess of revenue over expenses and disbursements			2,535.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		11,122.	11,981.	11,981.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 6	341,166.	350,646.	129,536.	
	c	Investments - corporate bonds				
11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 7	125,769.	110,213.	75,122.		
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶ ORGANIZATION COSTS)	30.	30.	30.		
16	Total assets (to be completed by all filers)	478,087.	472,870.	216,669.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	0.	0.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29	Retained earnings, accumulated income, endowment, or other funds	478,087.	472,870.		
30	Total net assets or fund balances	478,087.	472,870.			
31	Total liabilities and net assets/fund balances	478,087.	472,870.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	478,087.
2	Enter amount from Part I, line 27a	2	-5,217.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	472,870.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	472,870.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a AMERITRADE	P	VARIOUS	12/31/11
b AMERITRADE	P	VARIOUS	12/31/11
c DELL INC 800 SHS	P	VARIOUS	10/27/11
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 12,941,428.		12,958,817.	-17,389.
b 4,017.		4,423.	-406.
c 13,065.		312.	12,753.
d 21.			21.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			-17,389.
b			-406.
c			12,753.
d			21.
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-5,021.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2010	14,224.	226,376.	.062834
2009	11,557.	194,573.	.059397
2008	12,252.	212,974.	.057528
2007	14,148.	232,716.	.060795
2006	11,734.	223,597.	.052478

2 Total of line 1, column (d)	2	.293032
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.058606
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	262,674.
5 Multiply line 4 by line 3	5	15,394.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	25.
7 Add lines 5 and 6	7	15,419.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.	8	12,220.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	51.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	51.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	51.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	60.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	60.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	9.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ☐ 9. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>MO</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>ELLIOT D. BAKER</u> Telephone no. ► <u>(847) 982-0372</u> Located at ► <u>6755 NORTH LOCKWOOD AVE, LINCOLNWOOD, IL</u> ZIP+4 ► <u>60712</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 <u>N/A</u>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A**

Organizations relying on a current notice regarding disaster assistance check here ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No **X**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** **N/A**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELLIOT D. BAKER	TRUSTEE AND FOUNDATION	MGR		
6755 NORTH LOCKWOOD AVE				
LINCOLNWOOD, IL 60712	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

[illegible]

C

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	N/A	0.
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	256,896.
b Average of monthly cash balances	1b	9,778.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	266,674.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	266,674.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,000.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	262,674.
6 Minimum investment return. Enter 5% of line 5	6	13,134.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	13,134.
2a Tax on investment income for 2011 from Part VI, line 5	2a	51.
b Income tax for 2011 (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	51.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	13,083.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	13,083.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	13,083.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	12,220.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	12,220.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	12,220.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				13,083.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006	745.			
b From 2007	5,370.			
c From 2008	1,603.			
d From 2009	2,026.			
e From 2010	3,573.			
f Total of lines 3a through e	13,317.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				12,220.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	863.			863.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	12,454.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012 Subtract lines 7 and 8 from line 6a	12,454.			
10 Analysis of line 9:				
a Excess from 2007	5,252.			
b Excess from 2008	1,603.			
c Excess from 2009	2,026.			
d Excess from 2010	3,573.			
e Excess from 2011				

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(i)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV	Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)
----------------	--

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ELLIOT D. BAKER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ELLIOT D. BAKER CHARITABLE FOUNDATION

Form 990-PF (2011)

C/O ELLIOT D. BAKER, TRUSTEE

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Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICAN ASSOCIATION OF BIKUR CHOLIM HOSPITAL	N/A	501(C)(3)	UNRESTRICTED USE	500.
AMERICAN COMMITTEE FOR SHAARE ZEDEK MED. CENTER CENTER 101 W. GRAND AVE CHICAGO, IL	N/A	501(C)(3)	UNRESTRICTED USE	500.
AMERICAN ENTERPRISE INSTITUTE	N/A	501(C)(3)	UNRESTRICTED USE	500.
AMERICAN FRIENDS OF HEBREW UNIVERSITY	N/A	501(C)(3)	UNRESTRICTED USE	500.
AMERICAN FRIENDS OF MEIR PANIM 5316 NEW UTRECHT AVE BROOKLYN, NY 11219	N/A	501(C)(3)	UNRESTRICTED USE	500.
Total	SEE CONTINUATION SHEET(S)			11,950.
b Approved for future payment				
NONE				
Total				0.

ELLIOT D. BAKER CHARITABLE FOUNDATION
C/O ELLIOT D. BAKER, TRUSTEE

43-6826586

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CARERA	N/A	501(C)(3)	UNRESTRICTED USE	500.
CHAI LIFELINE 151 WEST 30TH ST NEW YORK, NY 10001	N/A	501(C)(3)	UNRESTRICTED USE	500.
FRIEDMAN PLACE	N/A	501(C)(3)	UNRESTRICTED USE	1,000.
FRIENDS OF THE IDF	N/A	501(C)(3)	UNRESTRICTED USE	500.
GREATER CHICAGO FOOD DEPOSITORY 4501 S. TRIPP CHICAGO, IL 60632	N/A	501(C)(3)	UNRESTRICTED USE	500.
LINCOLNWOOD JEWISH CONGREGATION 7117 N. CRAWFORD LINCOLNWOOD, IL 60712	N/A	501(C)(3)	UNRESTRICTED USE	2,500.
MEMRI	N/A	501(C)(3)	UNRESTRICTED USE	500.
THE ARK 6450 N. CALIFORNIA AVE CHICAGO, IL 60645	N/A	501(C)(3)	UNRESTRICTED USE	500.
THE SMILE TRAIN	N/A	501(C)(3)	UNRESTRICTED USE	500.
TEMPLE MENORAH	N/A	501(C)(3)	UNRESTRICTED USE	600.
Total from continuation sheets				9,450.

43-6826586

3 Grants and Contributions Paid During the Year (Continuation)**Total from continuation sheets**

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|--|---|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| | a Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| | b Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | X | |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X | |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Chad B. B.

Date 6/1/2012

► **TRUSTEE**

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

Signature of officer or trustee

Date _____

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

TARA L.
VOSSenkEMPER

Preparer's signature

Jaya Ramakrishna

Date

5 | 16 | 12

Check ☐ if
self-employed

PTIN

P01078906

Firm's name ► CLIFTONLARSONALLEN LLP

Firm's EIN ► 41-0746749

Firm's address ► 8112 MARYLAND AVE, SUITE 400
ST. LOUIS, MO 63105

Phone no. (314) 966-6622

Form **990-PF** (2011)

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
AMERITRADE	1,678.	10.	1,668.
AMERITRADE	1,198.	0.	1,198.
FIDELITY INVESTMENTS	613.	0.	613.
FIDELITY INVESTMENTS	4.	0.	4.
THINKORSWIM	2,600.	11.	2,589.
THINKORSWIM	810.	0.	810.
TOTAL TO FM 990-PF, PART I, LN 4	6,903.	21.	6,882.

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
THINKORSWIM- SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST	731.	731.	
AMERITRADE	167.	167.	
TOTAL TO FORM 990-PF, PART I, LINE 11	898.	898.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	540.	270.		270.
TO FORM 990-PF, PG 1, LN 16B	540.	270.		270.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	25.	25.		0.
EXCISE TAX	334.	0.		0.
TO FORM 990-PF, PG 1, LN 18	359.	25.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	1,612.	1,612.		0.
MARGIN INTEREST	3,307.	3,307.		0.
TO FORM 990-PF, PG 1, LN 23	4,919.	4,919.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
DELL INC	312,638.	78,856.
UNITED HEALTH GROUP	38,008.	50,680.
TOTAL TO FORM 990-PF, PART II, LINE 10B	350,646.	129,536.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	7
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
THINKORSWIM	COST	0.	0.
THINKORSWIM- OPEN OPTIONS	COST	0.	0.
AMERITRADE	COST	143,398.	75,122.
AMERITRADE- OPEN OPTIONS	COST	-33,185.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		110,213.	75,122.



Ameritrade

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Realized Capital Gain/Loss Report

BAKER ELLIOT 941000658

01/01/2011 -- 12/31/2011

Created 1/03/2012 10 12 am

Entire Period		
Short-term gain	846,188.10	199.91
Short-term loss	-863,576.74	-605.88
Short-term net	-17,388.64	-405.97
Short-term proceeds	12,941,428.15	4,017.01
Short-term cost	12,958,816.80	4,422.97
Section 1256 Gain/Loss (included above)		
Gain	333.19	-784.84
Short-term gain or loss(40%)	-180.66	
Section 1256 sales	373.31	-270.99
Section 1256 cost	824.96	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
AGILENT TECHNOLOGIES INC (A)	GainLoss	300 01/10/2011	12,722.70 01/28/2011	12,269.97	-452.73	
AGILENT TECHNOLOGIES INC (A)	GainLoss	200 07/11/2011	9,919.82 07/12/2011	9,672.08	-247.74	
APPLE COMPUTER INC (AAPL)	GainLoss	100 03/18/2011	33,164.00 04/18/2011	32,518.37	-645.63	
APPLE COMPUTER INC (AAPL)	Sell FIFO	100 10/16/2011	42,072.40 11/11/2011	38,104.59	-3,967.81	
APPLE COMPUTER INC (AAPL)	Wash Sale Adj	100 11/10/2011	-3,967.81 11/11/2011		3,967.81	
APPLE COMPUTER INC (AAPL)	Sell FIFO	100 11/10/2011	42,336.71 11/14/2011	37,966.77	-4,369.94	
APPLE COMPUTER INC (AAPL)	Wash Sale Adj	100 11/21/2011	-4,369.94 11/14/2011		4,369.94	
AAPL Apr 16 2011 350.0 Put	GainLoss	500 02/17/2011	5,353.82 02/22/2011	10,495.97	5,142.15	
AAPL Aug 20 2011 320.0 Put	GainLoss	200 06/20/2011	3,689.54 07/05/2011	638.43	-3,051.11	
AAPL Aug 20 2011 315.0 Put	GainLoss Short	200 06/20/2011	509.55 07/05/2011	3,204.38	2,694.83	
AAPL Aug 20 2011 335.0 Call	GainLoss	200 06/14/2011	2,681.55 06/20/2011	1,608.41	-1,073.14	
AAPL Dec 17 2011 380.0 Put	Buy to Close.FIFO	100 11/03/2011	1,572.70 11/11/2011	776.28	-796.42	
AAPL Dec 17 2011 450.0 Call	Expire Long	100 08/29/2011	755.70 12/19/2011		-755.70	
AAPL Dec 17 2011 430.0 Call	Buy to Close.FIFO	100 11/03/2011	175.70 11/11/2011	484.29	308.59	
AAPL Dec 17 2011 400.0 Call	Expire Long	200 12/13/2011	73.40 12/19/2011		-73.40	
AAPL Dec 17 2011 395.0 Put	Sell to Close FIFO	100 11/03/2011	1,252.70 11/11/2011	2,316.25	1,063.55	
AAPL Dec 17 2011 395.0 Call	Buy to Close FIFO	200 12/13/2011	63.40 12/14/2011	207.18	143.78	
AAPL Dec 17 2011 395.0 Call	Buy to Close FIFO	100 12/13/2011	3.70 12/15/2011	103.58	99.88	
AAPL Dec 17 2011 395.0 Call	Buy to Close.FIFO	100 12/13/2011	3.70 12/15/2011	103.59	99.89	
AAPL Dec 17 2011 390.0 Call	Expire Long	200 12/13/2011	544.46 12/19/2011		-544.46	
AAPL Dec 17 2011 345.0 Call	Sell to Close.FIFO	100 11/11/2011	4,345.70 11/14/2011	3,949.22	-396.48	
AAPL Dec 17 2011 540.0 Call	Expire Long	100 08/29/2011	61.70 12/19/2011		-61.70	
AAPL Dec 17 2011 500.0 Call	Expire Short	200 08/29/2011	0.00 12/19/2011	356.59	356.59	
AAPL Dec 17 2011 550.0 Call	Expire Long	100 08/29/2011	48.70 12/19/2011		-48.70	
AAPL Feb 19 2011 340.0 Put	GainLoss Short	200 02/10/2011	34.78 02/17/2011	244.46	209.68	
AAPL Feb 19 2011 350.0 Put	GainLoss	200 02/10/2011	657.53 02/17/2011	99.71	-557.82	
AAPL Feb 19 2011 350.0 Call	GainLoss	400 01/04/2011	2,368.06 02/10/2011	3,136.87	768.81	
AAPL Feb 19 2011 360.0 Call	GainLoss Short	400 01/04/2011	699.06 01/20/2011	1,413.92	714.86	
AAPL Feb 19 2011 360.0 Call	GainLoss Short	400 01/04/2011	991.06 02/10/2011	1,413.92	422.86	
AAPL Feb 19 2011 370.0 Call	GainLoss	400 01/04/2011	828.06 01/20/2011	340.93	-487.13	
AAPL Jul 16 2011 370.0 Call	GainLoss Short	300 04/28/2011	8.17 07/15/2011	1,842.19	1,834.02	
AAPL Jul 16 2011 365.0 Call	GainLoss	300 04/28/2011	2,292.31 07/15/2011	19.70	-2,272.61	
AAPL May 21 2011 350.0 Call	GainLoss Short	100 03/18/2011	415.01 04/18/2011	1,199.21	784.20	
AAPL Nov 19 2011 380.0 Put	Buy to Close.FIFO	100 10/19/2011	240.70 11/03/2011	586.28	345.58	
AAPL Nov 19 2011 400.0 Call	Buy to Close FIFO	100 11/15/2011	8.70 11/17/2011	54.29	45.59	
AAPL Nov 19 2011 395.0 Put	Sell to Close FIFO	100 10/19/2011	1,072.70 11/03/2011	574.28	-498.42	
AAPL Nov 19 2011 395.0 Call	Expire Long	100 11/15/2011	95.70 11/21/2011		-95.70	
AAPL Oct 22 2011 430.0 Call	Buy to Close.FIFO	100 10/18/2011	14.70 10/19/2011	724.28	709.58	
ARCH COAL INC (ACI)	GainLoss	1,000 05/26/2011	29,669.80 06/07/2011	27,029.68	-2,640.12	
ARCH COAL INC (ACI)	GainLoss	500 05/27/2011	16,280.01 06/07/2011	13,515.54	-2,764.47	
ARCH COAL INC (ACI)	GainLoss	500 05/27/2011	16,280.01 06/07/2011	13,516.49	-2,763.52	
ARCH COAL INC (ACI)	GainLoss	500 06/02/2011	14,141.46 06/07/2011	13,514.84	-626.62	
ARCH COAL INC (ACI)	GainLoss	500 06/02/2011	14,141.46 06/07/2011	13,514.84	-626.62	
ARCH COAL INC (ACI)	GainLoss	450 06/02/2011	13,278.66 06/07/2011	12,158.81	-1,119.85	
ARCH COAL INC (ACI)	GainLoss	500 06/02/2011	14,754.07 06/07/2011	13,515.29	-1,238.78	
ARCH COAL INC (ACI)	GainLoss	50 06/02/2011	1,475.41 06/07/2011	1,351.12	-124.29	
ARCH COAL INC (ACI)	GainLoss	83 06/02/2011	2,493.51 06/07/2011	2,242.37	-251.14	
ARCH COAL INC (ACI)	GainLoss	900 06/02/2011	27,043.25 06/07/2011	24,311.53	-2,731.72	
ARCH COAL INC (ACI)	GainLoss	17 06/02/2011	510.91 06/07/2011	459.59	-51.32	
ARCH COAL INC (ACI)	GainLoss	1,000 06/02/2011	30,459.18 06/07/2011	27,015.34	-3,443.84	
ARCH COAL INC (ACI)	GainLoss	500 06/02/2011	16,905.93 06/07/2011	13,516.99	-3,388.94	
ARCH COAL INC (ACI)	GainLoss	500 06/02/2011	16,904.99 06/07/2011	13,514.84	-3,390.15	
ARCH COAL INC (ACI)	GainLoss	500 06/02/2011	17,516.39 06/07/2011	13,514.84	-4,001.55	
ARCH COAL INC (ACI)	GainLoss	500 06/02/2011	17,517.60 06/07/2011	13,515.29	-4,002.31	
ARCH COAL INC (ACI)	GainLoss	550 06/02/2011	19,562.39 06/07/2011	14,860.77	-4,701.62	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
ARCH COAL INC (ACI)	GainLoss	33 06/02/2011	1,173.79 06/07/2011	891.30	-282.49	
ARCH COAL INC (ACI)	GainLoss	417 06/02/2011	14,832.43 06/07/2011	11,265.87	-3,566.56	
ARCH COAL INC (ACI)	GainLoss	707 06/02/2011	25,434.15 06/07/2011	19,113.37	-6,320.78	
ARCH COAL INC (ACI)	GainLoss	93 06/02/2011	3,345.94 06/07/2011	2,513.68	-832.26	
ARCH COAL INC (ACI)	GainLoss	200 06/02/2011	7,195.58 06/07/2011	5,403.07	-1,792.51	
ARCH COAL INC (ACI)	Wash Sale Adj	1,000 05/27/2011	-2,640.12 06/07/2011		2,640.12	
ARCH COAL INC (ACI)	Wash Sale Adj	500 06/02/2011	-626.62 06/07/2011		626.62	
ARCH COAL INC (ACI)	Wash Sale Adj	500 06/02/2011	-626.62 06/07/2011		626.62	
ARCH COAL INC (ACI)	Wash Sale Adj	450 06/02/2011	-1,119.85 06/07/2011		1,119.85	
ARCH COAL INC (ACI)	Wash Sale Adj	500 06/02/2011	-1,238.78 06/07/2011		1,238.78	
ARCH COAL INC (ACI)	Wash Sale Adj	50 06/02/2011	-124.29 06/07/2011		124.29	
ARCH COAL INC (ACI)	Wash Sale Adj	83 06/02/2011	-251.14 06/07/2011		251.14	
ARCH COAL INC (ACI)	Wash Sale Adj	900 06/02/2011	-2,731.72 06/07/2011		2,731.72	
ARCH COAL INC (ACI)	Wash Sale Adj	17 06/02/2011	-51.32 06/07/2011		51.32	
ARCH COAL INC (ACI)	Wash Sale Adj	500 06/02/2011	-2,764.47 06/07/2011		2,764.47	
ARCH COAL INC (ACI)	Wash Sale Adj	500 06/02/2011	-2,763.52 06/07/2011		2,763.52	
ARCH COAL INC (ACI)	Wash Sale Adj	500 06/02/2011	-3,388.94 06/07/2011		3,388.94	
ARCH COAL INC (ACI)	Wash Sale Adj	500 06/02/2011	-3,390.15 06/07/2011		3,390.15	
ARCH COAL INC (ACI)	Wash Sale Adj	500 06/02/2011	-4,001.55 06/07/2011		4,001.55	
ARCH COAL INC (ACI)	Wash Sale Adj	500 06/02/2011	-4,002.31 06/07/2011		4,002.31	
ARCH COAL INC (ACI)	Wash Sale Adj	550 06/02/2011	-4,701.62 06/07/2011		4,701.62	
ARCH COAL INC (ACI)	Wash Sale Adj	33 06/02/2011	-282.49 06/07/2011		282.49	
ARCH COAL INC (ACI)	Wash Sale Adj	417 06/02/2011	-3,566.56 06/07/2011		3,566.56	
AECOM TECHNOLOGY CORP (ACM)	GainLoss	300 02/08/2011	8,639.97 02/22/2011	8,306.87	-333.10	
AECOM TECHNOLOGY CORP (ACM)	GainLoss	200 06/15/2011	5,539.77 06/20/2011	5,381.89	-157.88	
ACCENTURE PLC CL A (ACN)	Sell.FIFO	200 08/31/2011	10,757.70 09/02/2011	10,363.80	-393.90	
ADOBE SYSTEMS INC (ADBE)	GainLoss	300 02/08/2011	10,052.49 03/15/2011	9,673.16	-379.33	
ADOBE SYSTEMS INC (ADBE)	GainLoss	400 04/28/2011	13,596.00 06/08/2011	13,013.45	-582.55	
ADOBE SYSTEMS INC (ADBE)	Sell.FIFO	500 08/29/2011	12,665.75 09/02/2011	12,096.46	-569.29	
ANALOG DEVICES INC (ADI)	GainLoss	800 01/20/2011	31,032.00 01/28/2011	30,633.42	-398.58	
ANALOG DEVICES INC (ADI)	Sell.FIFO	200 08/29/2011	6,591.98 09/06/2011	6,205.88	-386.10	
ALLIANCE DATA SYSTEMS CORP (ADS)	GainLoss	300 12/02/2010	20,212.80 01/14/2011	21,067.64	854.84	
ALLIANCE DATA SYSTEMS CORP (ADS)	GainLoss	100 05/24/2011	8,949.99 06/06/2011	8,769.83	-180.16	
ADVANCE AMERICA CASH ADV (AEA)	Sell.FIFO	500 08/29/2011	4,360.50 09/01/2011	4,019.57	-340.93	
ADVANCED ENERGY INDS INC (AEIS)	GainLoss	100 12/02/2010	1,226.99 02/15/2011	1,423.98	196.99	
ADVANCED ENERGY INDS INC (AEIS)	GainLoss	300 12/02/2010	3,755.02 02/15/2011	4,271.95	515.93	
ADVANCED ENERGY INDS INC (AEIS)	GainLoss	800 02/15/2011	11,596.97 04/08/2011	12,259.89	662.92	
ADVANCED ENERGY INDS INC (AEIS)	GainLoss	100 05/23/2011	1,437.45 06/10/2011	1,371.07	-66.38	
ADVANCED ENERGY INDS INC (AEIS)	GainLoss	300 05/24/2011	4,430.99 06/10/2011	4,113.22	-317.77	
AMERICAN EQUITY INVESTMENTS (AEL)	GainLoss	500 01/06/2011	6,604.95 02/24/2011	5,959.87	-645.08	
AMERICAN EAGLE OUTFITTERS I (AEO)	GainLoss	200 05/13/2011	2,643.98 05/23/2011	2,495.95	-148.03	
AMERICAN EAGLE OUTFITTERS I (AEO)	GainLoss	500 01/20/2011	7,329.85 05/05/2011	7,663.36	333.51	
AMERICAN CAPITAL AGENCY COR (AGNC)	GainLoss	200 04/28/2011	3,161.99 05/05/2011	3,065.34	-96.65	
AMERICAN CAPITAL AGENCY COR (AGNC)	GainLoss	100 11/09/2010	2,917.99 04/12/2011	2,769.94	-148.05	
AMERICAN CAPITAL AGENCY COR (AGNC)	GainLoss	100 11/09/2010	2,917.99 04/12/2011	2,769.94	-148.05	
AMERICAN CAPITAL AGENCY COR (AGNC)	GainLoss	100 11/09/2010	2,917.99 04/12/2011	2,769.94	-148.05	
AMERICAN CAPITAL AGENCY COR (AGNC)	GainLoss	100 11/09/2010	2,917.99 04/12/2011	2,769.94	-148.05	
AMERICAN CAPITAL AGENCY COR (AGNC)	GainLoss	100 11/09/2010	2,917.99 04/12/2011	2,769.94	-148.05	
AMERICAN CAPITAL AGENCY COR (AGNC)	GainLoss	200 12/09/2010	5,589.34 04/12/2011	5,539.89	-49.45	
AMERICAN CAPITAL AGENCY COR (AGNC)	GainLoss	800 12/09/2010	22,357.38 04/12/2011	22,172.70	-184.68	
AMERIGROUP CORP (AGP)	GainLoss	100 02/08/2011	5,434.99 03/18/2011	5,993.89	558.90	
AMERICAN INTERNATIONAL GROU (AIG)	Sell.FIFO	400 11/01/2011	9,473.92 11/09/2011	9,153.82	-320.10	
AIG Jan 21 2012 21.0 Call	Sell to Close.FIFO	500 10/25/2011	2,403.50 11/01/2011	1,821.76	-581.74	
APARTMENT INVESTMENT & MANA (AIV)	GainLoss	200 08/30/2010	4,041.98 02/08/2011	4,777.89	735.91	
ALBEMARLE CORP (ALB)	GainLoss	300 06/15/2011	19,643.70 06/16/2011	19,278.22	-365.48	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
ALB Mar 19 2011 55.0 Call	GainLoss	600 12/08/2010	1,714.58 01/28/2011	1,465.38	-249.20	
ALV Mar 19 2011 80.0 Call	GainLoss	100 12/06/2010	550.76 02/14/2011	174.23	-376.53	
APPLIED MATERIALS INC (AMAT)	GainLoss	500 04/28/2011	7,904.95 05/02/2011	7,669.85	-235.10	
APPLIED MATERIALS INC (AMAT)	GainLoss	500 05/02/2011	7,704.95 05/02/2011	7,624.90	-80.05	
APPLIED MATERIALS INC (AMAT)	GainLoss	500 05/02/2011	7,940.05 05/02/2011	7,624.90	-315.15	
Wash Sale Adj		500 05/02/2011	-235.10 05/02/2011		235.10	
Wash Sale Adj		500 05/03/2011	-80.05 05/02/2011		80.05	
Wash Sale Adj		500 05/03/2011	-315.15 05/02/2011		315.15	
APPLIED MATERIALS INC (AMAT)	GainLoss	6,000 05/03/2011	94,939.45 05/03/2011	94,858.77	-80.68	
APPLIED MATERIALS INC (AMAT)	GainLoss	500 05/03/2011	8,220.10 05/03/2011	7,904.90	-315.20	
APPLIED MATERIALS INC (AMAT)	GainLoss	1,000 07/11/2011	13,085.93 07/12/2011	12,546.15	-539.78	
APPLIED MATERIALS INC (AMAT)	Sell/FIFO	1,000 08/30/2011	11,463.90 09/01/2011	11,063.98	-399.92	
AMAT Apr 16 2011 14.0 Call	GainLoss	2,500 12/02/2010	1,119.08 01/10/2011	1,505.87	386.79	
ADVANCED MICRO DEVICES INC (AMD)	Sell/FIFO	500 08/30/2011	3,425.75 09/06/2011	3,038.94	-386.81	
AMKOR TECH INC (AMKR)	GainLoss	300 05/13/2011	2,072.97 05/17/2011	1,955.99	-116.98	
AMKOR TECH INC (AMKR)	GainLoss	400 07/11/2011	2,501.62 07/12/2011	2,353.13	-148.49	
AMERIPRISE FINANCIAL INC (AMP)	GainLoss	200 02/08/2011	11,807.98 03/24/2011	11,990.18	182.20	
AMERIPRISE FINANCIAL INC (AMP)	GainLoss	300 04/01/2011	18,726.00 04/18/2011	18,156.15	-569.85	
AMERIPRISE FINANCIAL INC (AMP)	Wash Sale Adj	200 05/09/2011	-379.90 04/18/2011		379.90	
AMERIPRISE FINANCIAL INC (AMP)	GainLoss	200 05/09/2011	12,715.04 06/01/2011	11,866.22	-848.82	
AMERIPRISE FINANCIAL INC (AMP)	Wash Sale Adj	200 06/15/2011	-848.82 06/01/2011		848.82	
AMERIPRISE FINANCIAL INC (AMP)	GainLoss	300 06/15/2011	16,767.00 06/23/2011	16,548.19	-218.81	
AMERIPRISE FINANCIAL INC (AMP)	GainLoss	200 06/15/2011	12,026.82 06/23/2011	11,032.12	-994.70	
AMERIPRISE FINANCIAL INC (AMP)	Wash Sale Adj	200 07/08/2011	-994.70 06/23/2011		994.70	
AMERIPRISE FINANCIAL INC (AMP)	GainLoss	200 07/08/2011	12,566.48 07/11/2011	11,342.07	-1,224.41	
AMZN May 21 2011 185.0 Call	GainLoss Short	1,000 04/27/2011	10,304.28 04/27/2011	7,836.21	-2,468.07	
AMZN May 21 2011 190.0 Call	GainLoss	1,000 04/27/2011	5,090.35 04/27/2011	6,950.04	1,859.69	
APA Apr 16 2011 120.0 Call	GainLoss	400 12/02/2010	2,183.05 01/27/2011	2,136.89	-46.16	
ARES CAPITAL CORP (ARCC)	GainLoss	500 11/09/2010	8,679.85 03/14/2011	8,349.88	-329.97	
AEROPOSTALE INC (ARO)	GainLoss	400 01/20/2011	10,371.20 01/25/2011	9,876.21	-494.99	
AEROPOSTALE INC (ARO)	GainLoss	400 03/01/2011	10,324.00 03/07/2011	9,951.84	-372.16	
ARRIS GRP (ARRS)	GainLoss	900 03/01/2011	11,834.77 03/07/2011	11,303.78	-530.99	
ARROW ELECTRS INC (ARW)	GainLoss	100 05/23/2011	4,376.99 06/08/2011	4,052.36	-324.63	
ASHLAND (ASH)	GainLoss	100 01/04/2011	5,702.41 02/24/2011	5,566.24	-136.17	
ASHLAND (ASH)	GainLoss	200 01/04/2011	11,191.18 02/24/2011	11,132.48	-58.70	
ASHLAND (ASH)	GainLoss	100 06/15/2011	6,253.00 06/16/2011	6,069.45	-183.55	
ASHLAND (ASH)	GainLoss	300 06/16/2011	18,249.09 06/16/2011	18,033.76	-215.33	
ASHLAND (ASH)	GainLoss	100 06/16/2011	6,266.58 06/16/2011	6,011.25	-255.33	
ASHLAND (ASH)	Wash Sale Adj	100 06/16/2011	-183.55 06/16/2011		183.55	
ASH Apr 16 2011 60.0 Call	GainLoss	800 02/24/2011	992.75 03/24/2011	447.87	-544.88	
ASH Mar 19 2011 60.0 Call	GainLoss Short	800 02/24/2011	0.00 03/19/2011	380.50	380.50	
ASH May 21 2011 60.0 Call	GainLoss	800 03/24/2011	1,495.48 04/21/2011	559.86	-935.62	
ASCENA RETAIL GROUP INC (ASNA)	GainLoss	100 07/08/2011	3,500.99 07/14/2011	3,370.93	-130.06	
ATHEROS COMMUNICATIONS (ATHR)	GainLoss	500 10/13/2010	13,534.89 01/04/2011	22,165.64	8,630.75	
ATMEL CORP (ATML)	GainLoss	400 05/20/2011	5,756.00 06/10/2011	5,270.14	-485.86	
ATMEL CORP (ATML)	Wash Sale Adj	300 06/15/2011	-364.40 06/10/2011		364.40	
ATMEL CORP (ATML)	GainLoss	300 06/15/2011	4,441.37 07/12/2011	4,004.86	-436.51	
ATMEL CORP (ATML)	GainLoss	200 07/11/2011	2,816.38 07/12/2011	2,669.91	-146.47	
ATMEL CORP (ATML)	Wash Sale Adj	300 07/11/2011	-436.51 07/12/2011		436.51	
ATMEL CORP (ATML)	GainLoss	300 07/11/2011	4,661.08 07/27/2011	3,654.08	-1,007.00	
ATWOOD OCEANICS INC (ATW)	GainLoss	600 09/21/2010	17,279.96 01/05/2011	21,048.85	3,768.89	
ATWOOD OCEANICS INC (ATW)	GainLoss	300 11/19/2010	10,798.80 01/05/2011	10,524.43	-274.37	
ATWOOD OCEANICS INC (ATW)	GainLoss	200 03/23/2011	9,003.99 04/11/2011	8,813.83	-190.16	
ATWOOD OCEANICS INC (ATW)	GainLoss	200 06/15/2011	7,903.98 08/04/2011	8,565.10	661.12	
ATW Jun 18 2011 40.0 Call	GainLoss	800 02/01/2011	2,878.12 03/10/2011	3,956.66	1,078.54	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
ATW Mar 19 2011 30.0 Call	GainLoss	400 01/05/2011	2,563.06 01/27/2011	3,556.87	993.81	
ATW Mar 19 2011 35.0 Call	GainLoss	800 01/27/2011	3,606.12 02/01/2011	4,777.78	1,171.66	
ATW Sep 17 2011 45.0 Call	GainLoss	400 04/28/2011	1,240.06 05/02/2011	1,039.92	-200.14	
AVAGO TECHNOLOGIES LTD (AVGO)	GainLoss	400 01/10/2011	11,471.96 01/18/2011	11,061.25	-410.71	
AVAGO TECHNOLOGIES LTD (AVGO)	GainLoss	100 05/09/2011	3,507.00 05/16/2011	3,427.73	-79.27	
AVNET INC (AVT)	GainLoss	100 05/23/2011	3,524.99 06/02/2011	3,416.93	-108.06	
AMERICAN EXPRESS CO (AXP)	GainLoss	200 04/28/2011	9,603.74 06/06/2011	9,736.31	132.57	
AXP Apr 16 2011 43.0 Put	GainLoss Short	500 03/01/2011	5.07 04/15/2011	701.16	696.09	
AXP Apr 16 2011 42.0 Put	GainLoss	500 03/01/2011	533.82 04/16/2011		-533.82	
AXT INC (AXTI)	GainLoss	100 05/09/2011	825.72 05/17/2011	751.02	-74.70	
AXT INC (AXTI)	Wash Sale Adj	100 06/14/2011	-74.70 05/17/2011		74.70	
AXT INC (AXTI)	GainLoss	200 06/14/2011	1,677.86 07/12/2011	1,432.80	-245.06	
AXT INC (AXTI)	GainLoss	100 06/14/2011	913.63 07/12/2011	716.40	-197.23	
A Aug 20 2011 60.0 Call	Expire Long	1,000 04/29/2011	587.65 08/22/2011		-587.65	
BAC Apr 16 2011 13.0 Call	GainLoss	400 01/20/2011	723.06 03/24/2011	248.93	-474.13	
BAC Jan 22 2011 12.5 Call	GainLoss	400 10/27/2010	543.27 01/20/2011	712.92	169.65	
BAXTER INTL INC (BAX)	GainLoss	600 01/20/2011	30,275.94 01/21/2011	29,813.41	-462.53	
BED BATH BEYOND INC (BBBY)	GainLoss	300 02/08/2011	14,678.49 02/23/2011	14,178.70	-499.79	
BED BATH BEYOND INC (BBBY)	GainLoss	400 07/11/2011	23,816.97 07/15/2011	23,328.59	-488.38	
BHP BILLITON PLC (BBL)	GainLoss	200 03/31/2011	15,952.00 04/13/2011	16,661.68	709.68	
BAKER HUGHES INC (BHI)	GainLoss	200 05/23/2011	13,759.98 06/13/2011	14,049.73	289.75	
BHP BILLITON LTD ADR (BHP)	GainLoss	200 01/04/2011	18,265.60 01/05/2011	17,867.69	-397.91	
BHP Aug 20 2011 105.0 Call	Expire Long	200 04/28/2011	850.03 08/22/2011		-850.03	
SOTHEBY'S HLDGS INC CL A (BID)	GainLoss	100 05/13/2011	4,277.00 05/16/2011	4,129.92	-147.08	
BIOGEN IDEC, INC (BIB)	GainLoss	100 11/03/2010	6,304.99 05/04/2011	9,628.82	3,323.83	
BARNES & NOBLE INC (BKS)	GainLoss	200 05/23/2011	3,676.30 07/14/2011	3,541.93	-134.37	
BARNES & NOBLE INC (BKS)	GainLoss	1,300 05/23/2011	23,909.60 07/14/2011	22,921.68	-987.92	
BARNES & NOBLE INC (BKS)	GainLoss	500 06/20/2011	10,354.95 07/14/2011	8,816.03	-1,538.92	
BARNES & NOBLE INC (BKS)	GainLoss	200 06/20/2011	4,276.35 07/14/2011	3,526.42	-749.93	
BARNES & NOBLE INC (BKS)	Wash Sale Adj	200 06/20/2011	-134.37 07/14/2011		134.37	
BARNES & NOBLE INC (BKS)	Wash Sale Adj	400 06/20/2011	-299.66 07/14/2011		299.66	
BARNES & NOBLE INC (BKS)	Wash Sale Adj	500 06/23/2011	-1,538.92 07/14/2011		1,538.92	
BARNES & NOBLE INC (BKS)	Wash Sale Adj	900 06/23/2011	-688.26 07/14/2011		688.26	
BARNES & NOBLE INC (BKS)	Wash Sale Adj	200 06/23/2011	-749.93 07/14/2011		749.93	
BARNES & NOBLE INC (BKS)	GainLoss	400 06/20/2011	8,527.63 07/18/2011	7,255.98	-1,271.65	
BARNES & NOBLE INC (BKS)	GainLoss	2,400 06/23/2011	42,415.75 07/18/2011	42,840.87	425.12	
BARNES & NOBLE INC (BKS)	GainLoss	500 06/23/2011	10,395.90 07/18/2011	8,969.97	-1,425.93	
BARNES & NOBLE INC (BKS)	GainLoss	900 06/23/2011	16,744.65 07/18/2011	16,265.95	-478.70	
BARNES & NOBLE INC (BKS)	GainLoss	200 06/23/2011	4,301.27 07/18/2011	3,597.99	-703.28	
BARNES & NOBLE INC (BKS)	GainLoss	3,200 06/24/2011	55,990.69 07/18/2011	55,922.90	-67.79	
BARNES & NOBLE INC (BKS)	GainLoss	400 06/24/2011	7,230.31 07/18/2011	6,709.27	-521.04	
BARNES & NOBLE INC (BKS)	GainLoss	400 06/24/2011	8,265.61 07/18/2011	6,709.28	-1,556.33	
BARNES & NOBLE INC (BKS)	Wash Sale Adj	2,500 06/24/2011	-170.16 07/18/2011		170.16	
BARNES & NOBLE INC (BKS)	Wash Sale Adj	900 06/24/2011	-49.93 07/18/2011		49.93	
BARNES & NOBLE INC (BKS)	Wash Sale Adj	500 06/24/2011	-1,425.93 07/18/2011		1,425.93	
BARNES & NOBLE INC (BKS)	Wash Sale Adj	900 06/24/2011	-478.70 07/18/2011		478.70	
BARNES & NOBLE INC (BKS)	Wash Sale Adj	400 06/24/2011	-1,271.65 07/18/2011		1,271.65	
BARNES & NOBLE INC (BKS)	Wash Sale Adj	200 06/24/2011	-703.28 07/18/2011		703.28	
BARNES & NOBLE INC (BKS)	Wash Sale Adj	400 06/24/2011	-521.04 07/18/2011		521.04	
BARNES & NOBLE INC (BKS)	Wash Sale Adj	400 06/24/2011	-1,556.33 07/18/2011		1,556.33	
BARNES & NOBLE INC (BKS)	Sell FIFO	1,200 06/24/2011	20,854.09 08/19/2011	13,776.11	-7,077.98	
BARNES & NOBLE INC (BKS)	Sell FIFO	100 06/24/2011	1,744.63 08/19/2011	1,148.01	-596.62	
BARNES & NOBLE INC (BKS)	Sell FIFO	900 06/24/2011	15,676.51 08/19/2011	10,332.08	-5,344.43	
BARNES & NOBLE INC (BKS)	Sell FIFO	400 06/24/2011	6,959.35 08/19/2011	4,592.03	-2,367.32	
BARNES & NOBLE INC (BKS)	Sell FIFO	100 06/24/2011	1,754.84 08/19/2011	1,148.01	-606.83	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
BARNES & NOBLE INC (BKS)	Sell FIFO	100 06/24/2011	1,754.84 08/19/2011	1,148.01	-606.83	
BARNES & NOBLE INC (BKS)	Sell FIFO	1,000 06/27/2011	17,209.83 08/19/2011	11,480.09	-5,729.74	
BARNES & NOBLE INC (BKS)	Sell FIFO	1,000 06/27/2011	16,859.84 08/19/2011	11,480.09	-5,379.75	
BARNES & NOBLE INC (BKS)	Sell FIFO	500 06/28/2011	8,560.00 08/19/2011	5,740.05	-2,819.95	
BARNES & NOBLE INC (BKS)	Sell FIFO	1,300 06/28/2011	21,862.98 08/19/2011	14,924.11	-6,938.87	
BARNES & NOBLE INC (BKS)	Sell FIFO	200 06/29/2011	3,423.96 08/19/2011	2,296.02	-1,127.94	
BARNES & NOBLE INC (BKS)	Sell FIFO	100 06/29/2011	1,651.99 08/19/2011	1,148.01	-503.98	
BARNES & NOBLE INC (BKS)	Sell FIFO	800 06/29/2011	13,200.00 08/19/2011	9,184.07	-4,015.93	
BARNES & NOBLE INC (BKS)	Sell FIFO	100 06/30/2011	1,661.20 08/19/2011	1,148.01	-513.19	
BARNES & NOBLE INC (BKS)	Sell FIFO	200 07/05/2011	3,423.49 08/19/2011	2,296.02	-1,127.47	
BARNES & NOBLE INC (BKS)	Sell FIFO	200 07/06/2011	3,420.00 08/19/2011	2,296.02	-1,123.98	
BARNES & NOBLE INC (BKS)	Sell FIFO	200 07/06/2011	3,414.00 08/19/2011	2,296.01	-1,117.99	
BARNES & NOBLE INC (BKS)	Sell FIFO	300 07/27/2011	5,131.78 08/19/2011	3,444.03	-1,687.75	
BARNES & NOBLE INC (BKS)	Exercise Put	100 06/24/2011	1,806.78 08/19/2011	1,149.07	-657.71	
BARNES & NOBLE INC (BKS)	Exercise Put	400 06/24/2011	7,220.52 08/19/2011	4,596.26	-2,624.26	
BARNES & NOBLE INC (BKS)	Exercise Put	100 06/24/2011	2,097.63 08/19/2011	1,149.07	-948.56	
BARNES & NOBLE INC (BKS)	Exercise Put	300 06/24/2011	6,093.25 08/19/2011	3,447.20	-2,646.05	
BARNES & NOBLE INC (BKS)	Exercise Put	200 06/24/2011	4,061.54 08/19/2011	2,298.13	-1,763.41	
BARNES & NOBLE INC (BKS)	Exercise Put	800 06/24/2011	14,007.77 08/19/2011	9,192.53	-4,815.24	
BARNES & NOBLE INC (BKS)	Exercise Put	100 06/24/2011	1,745.85 08/19/2011	1,149.07	-596.78	
BARNES & NOBLE INC (BKS)	Exercise Put	900 06/24/2011	15,780.09 08/19/2011	10,341.60	-5,438.49	
BARNES & NOBLE INC (BKS)	Exercise Put	300 06/24/2011	6,415.34 08/19/2011	3,447.20	-2,968.14	
BARNES & NOBLE INC (BKS)	Exercise Put	100 06/24/2011	2,092.35 08/19/2011	1,149.07	-943.28	
BARNES & NOBLE INC (BKS)	Exercise Put	100 06/24/2011	1,864.37 08/19/2011	1,149.06	-715.31	
BARNES & NOBLE INC (BKS)	Exercise Put	300 06/24/2011	5,608.04 08/19/2011	3,447.20	-2,160.84	
BARNES & NOBLE INC (BKS)	Exercise Put	3,400 06/24/2011	59,086.60 08/19/2011	39,068.27	-20,018.33	
BKS Aug 20 2011 17.0 Call	Expire Short	400 07/13/2011	0.00 08/22/2011	365.10	365.10	
BKS Aug 20 2011 17.0 Call	Expire Short	800 07/14/2011	0.00 08/22/2011	858.20	858.20	
BKS Aug 20 2011 17.0 Call	Expire Short	500 07/14/2011	0.00 08/22/2011	546.37	546.37	
BKS Aug 20 2011 17.0 Call	Expire Short	500 07/14/2011	0.00 08/22/2011	446.38	446.38	
BKS Aug 20 2011 17.0 Call	Expire Short	1,000 07/15/2011	0.00 08/22/2011	892.75	892.75	
BKS Aug 20 2011 17.0 Call	Expire Short	1,000 07/15/2011	0.00 08/22/2011	892.75	892.75	
BKS Aug 20 2011 17.0 Call	Expire Short	11,200 07/15/2011	0.00 08/22/2011	8,878.90	8,878.90	
BKS Aug 20 2011 17.0 Call	Expire Short	300 07/27/2011	0.00 08/22/2011	162.82	162.82	
BKS Aug 20 2011 17.0 Call	Expire Short	100 08/02/2011	0.00 08/22/2011	64.27	64.27	
BKS Aug 20 2011 17.0 Call	Expire Short	100 08/02/2011	0.00 08/22/2011	49.27	49.27	
BKS Jul 16 2011 18.0 Call	GainLoss Short	400 05/23/2011	30.89 07/13/2011	436.90	406.01	
BKS Jul 16 2011 18.0 Call	GainLoss Short	100 05/23/2011	10.72 07/13/2011	109.22	98.50	
BKS Jul 16 2011 18.0 Call	GainLoss Short	800 05/23/2011	85.78 07/14/2011	889.54	803.76	
BKS Jul 16 2011 18.0 Call	GainLoss Short	200 05/23/2011	21.45 07/14/2011	228.95	207.50	
BKS Jul 16 2011 18.0 Call	GainLoss Short	500 06/20/2011	53.61 07/14/2011	1,471.11	1,417.50	
BKS Jul 16 2011 18.0 Call	GainLoss Short	600 06/20/2011	64.34 07/14/2011	1,715.32	1,650.98	
BKS Jul 16 2011 18.0 Call	GainLoss Short	500 06/23/2011	53.61 07/14/2011	321.13	267.52	
BKS Jul 16 2011 18.0 Call	GainLoss Short	900 06/24/2011	96.50 07/14/2011	398.03	301.53	
BKS Jul 16 2011 18.0 Call	GainLoss Short	500 06/24/2011	28.61 07/14/2011	203.13	174.52	
BKS Jul 16 2011 18.0 Call	GainLoss Short	1,000 06/24/2011	57.23 07/15/2011	392.76	335.53	
BKS Jul 16 2011 18.0 Call	GainLoss Short	1,000 06/24/2011	57.23 07/15/2011	392.26	335.03	
BKS Jul 16 2011 18.0 Call	GainLoss Short	10,600 06/24/2011	606.59 07/15/2011	4,210.50	3,603.91	
BKS Jul 16 2011 18.0 Call	GainLoss Short	200 06/28/2011	11.44 07/15/2011	38.44	27.00	
BKS Jul 16 2011 18.0 Call	GainLoss Short	100 06/29/2011	5.72 07/15/2011	9.22	3.50	
BKS Jul 16 2011 18.0 Call	GainLoss Short	100 06/30/2011	5.73 07/15/2011	19.22	13.49	
BKS Jul 16 2011 18.0 Call	GainLoss Short	200 07/05/2011	11.44 07/15/2011	28.44	17.00	
BKS Sep 17 2011 18.0 Call	Expire Short	4,000 08/12/2011	0.00 09/19/2011	2,820.37	2,820.37	
BKS Sep 17 2011 19.0 Call	Expire Long	2,000 08/12/2011	1,039.11 09/19/2011	2,820.37	-1,039.11	

Description	Trans type	Qty	Open date	Close date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
BKS Sep 17 2011 17 0 Call	Expire Long	2,000	08/12/2011	2,179.12 09/19/2011		-2,179.12	
BELO CORP CL A (BLC)	GainLoss	1,000	12/02/2010	6,279.70 01/19/2011	6,701.36	421.66	
BLACKROCK INC CL A (BLK)	GainLoss	100	01/06/2011	18,960.75 01/07/2011	18,617.18	-343.57	
BLACKROCK INC CL A (BLK)	GainLoss	100	05/09/2011	19,557.84 05/17/2011	19,058.83	-499.01	
BIOMIMETIC THERAPEUTICS INC (BMTI)	GainLoss	500	05/10/2011	4,249.95 05/13/2011	4,494.92	244.97	
BMTI May 21 2011 7.0 Call	GainLoss Short	500	05/10/2011	1,128.84 05/13/2011	1,296.13	167.29	
BRISTOL-MYERS SQUIBB CO (BMY)	GainLoss	800	05/09/2011	23,023.92 05/20/2011	22,599.64	-424.28	
BMY Aug 20 2011 26 0 Put	Expire Short	1,000	06/24/2011	0.00 08/22/2011	182.26	182.26	
BMY Aug 20 2011 26.0 Put	Expire Short	1,000	06/24/2011	0.00 08/22/2011	192.26	192.26	
BMY Aug 20 2011 26 0 Put	Expire Short	1,000	06/24/2011	0.00 08/22/2011	192.26	192.26	
BMY Aug 20 2011 31.0 Call	Expire Long	1,000	06/24/2011	167.73 08/22/2011		-167.73	
BMY Aug 20 2011 31 0 Call	Expire Long	1,000	06/24/2011	147.73 08/22/2011		-147.73	
BMY Aug 20 2011 31 0 Call	Expire Long	1,000	06/24/2011	127.73 08/22/2011		-127.73	
BRIDGEPOINT EDUCATION INC (BPI)	GainLoss	100	05/23/2011	1,993.55 06/16/2011	2,264.96	271.41	
BRIDGEPOINT EDUCATION INC (BPI)	GainLoss	100	05/24/2011	2,034.50 06/16/2011	2,264.95	230.45	
BROCADE COMMUNICATIONS SYST (BRCD)	GainLoss	1,000	01/04/2011	5,549.80 04/12/2011	5,699.99	150.19	
BROADCOM CORP CL A (BRCD)	GainLoss	500	03/18/2011	19,770.00 04/01/2011	19,259.67	-510.33	
BROADCOM CORP CL A (BRCD)	GainLoss	400	07/11/2011	13,734.00 07/12/2011	13,336.03	-397.97	
BROADCOM CORP CL A (BRCD)	Wash Sale Adj	400	07/12/2011	-397.97 07/12/2011		397.97	
BROADCOM CORP CL A (BRCD)	GainLoss	200	07/12/2011	6,639.98 07/18/2011	6,507.42	-132.56	
BROADCOM CORP CL A (BRCD)	GainLoss	400	07/12/2011	13,677.93 07/18/2011	13,014.85	-663.08	
BROADCOM CORP CL A (BRCD)	Sell FIFO	200	08/29/2011	6,893.92 09/12/2011	6,618.19	-275.73	
BRF-BRASIL FOODS SA ADR (BRFS)	GainLoss	500	05/24/2011	9,084.34 06/06/2011	8,854.49	-229.85	
BRF-BRASIL FOODS SA ADR (BRFS)	GainLoss	100	07/08/2011	1,644.00 07/11/2011	1,612.96	-31.04	
BRF-BRASIL FOODS SA ADR (BRFS)	Sell FIFO	100	07/08/2011	1,644.00 09/21/2011	1,765.28	121.28	
BROOKS AUTOMATION INC (BRKS)	GainLoss	500	03/01/2011	6,234.40 03/10/2011	5,835.28	-399.12	
PEABODY ENERGY CORP (BTU)	GainLoss Short	500	05/26/2011	28,760.00 06/07/2011	30,334.41	1,574.41	
PEABODY ENERGY CORP (BTU)	GainLoss Short	500	05/27/2011	28,740.00 06/07/2011	30,936.40	2,196.40	
PEABODY ENERGY CORP (BTU)	GainLoss Short	500	06/02/2011	28,754.95 06/07/2011	29,819.48	1,064.53	
PEABODY ENERGY CORP (BTU)	GainLoss Short	500	06/02/2011	28,754.95 06/07/2011	29,819.47	1,064.52	
PEABODY ENERGY CORP (BTU)	GainLoss Short	1,500	06/02/2011	86,263.79 06/07/2011	89,388.47	3,124.68	
PEABODY ENERGY CORP (BTU)	GainLoss Short	1,500	06/02/2011	86,289.75 06/07/2011	88,578.50	2,288.75	
BTU Mar 19 2011 65 0 Call	GainLoss Short	500	03/01/2011	1,653.82 03/03/2011	1,491.15	-162.67	
BTU Mar 19 2011 70.0 Call	GainLoss	500	03/01/2011	403.82 03/03/2011	381.17	-22.65	
COMPANIA DE MINAS BUENAVENT (BVN)	GainLoss	400	01/20/2011	16,787.96 01/21/2011	16,347.99	-439.97	
COMPANIA DE MINAS BUENAVENT (BVN)	GainLoss	100	03/01/2011	4,698.90 03/07/2011	4,636.92	-61.98	
COMPANIA DE MINAS BUENAVENT (BVN)	Wash Sale Adj	100	03/07/2011	-61.98 03/07/2011		61.98	
COMPANIA DE MINAS BUENAVENT (BVN)	GainLoss	100	03/07/2011	4,669.73 03/08/2011	4,432.91	-236.82	
COMPANIA DE MINAS BUENAVENT (BVN)	GainLoss	100	05/20/2011	4,110.99 06/06/2011	3,715.98	-395.01	
COMPANIA DE MINAS BUENAVENT (BVN)	GainLoss	200	07/11/2011	7,396.00 08/05/2011	7,725.55	329.55	
COMPANIA DE MINAS BUENAVENT (BVN)	Sell FIFO	100	08/29/2011	4,633.90 09/22/2011	4,219.27	-414.63	
COMPANIA DE MINAS BUENAVENT (BVN)	GainLoss	200	03/22/2011	14,639.98 04/08/2011	14,941.43	301.45	
BORG WARNER INC (BWA)	GainLoss	200	04/29/2011	15,291.62 05/03/2011	14,999.82	-291.80	
BORG WARNER INC (BWA)	GainLoss	300	05/24/2011	11,900.97 06/03/2011	11,505.20	-395.77	
BALLY TECH INC (BYI)	GainLoss	1,000	01/20/2011	8,239.70 03/14/2011	8,519.93	280.23	
BOISE INC (BZ)	GainLoss	700	04/28/2011	6,621.93 05/02/2011	6,219.61	-402.32	
BOISE INC (BZ)	GainLoss	200	01/18/2011	9,706.50 05/24/2011	7,942.24	-1,764.26	
CITIGROUP INC (C)	Wash Sale Adj	200	06/02/2011	-1,764.26 05/24/2011		1,764.26	
CITIGROUP INC (C)	GainLoss	200	06/02/2011	31,390.79 06/06/2011	31,127.40	-263.39	
CITIGROUP INC (C)	GainLoss	800	06/02/2011	9,625.70 06/06/2011	7,781.85	-1,843.85	
CITIGROUP INC (C)	GainLoss	300	03/21/2011	7,712.12 03/23/2011	7,346.76	-365.36	
CABELA'S INC (CAB)	Wash Sale Adj	300	04/01/2011	-365.36 03/23/2011		365.36	
CABELA'S INC (CAB)	GainLoss	300	04/01/2011	8,015.36 05/03/2011	7,219.87	-795.49	
CABELA'S INC (CAB)	GainLoss	200	06/15/2011	4,829.66 08/02/2011	5,071.24	241.58	
COOPER INDUSTRIES PLC CL A (CBE)	GainLoss	200	03/23/2011	12,753.99 05/04/2011	12,528.22	-225.77	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
COOPER INDUSTRIES PLC CL A (CBE)	Wash Sale Adj	200 05/09/2011	-225.77 05/04/2011		225.77	
COOPER INDUSTRIES PLC CL A (CBE)	GainLoss	100 05/09/2011	6,343.98 05/16/2011	6,227.88	-116.10	
COOPER INDUSTRIES PLC CL A (CBE)	GainLoss	200 05/09/2011	12,913.73 05/16/2011	12,455.77	-457.96	
COOPER INDUSTRIES PLC CL A (CBE)	GainLoss	400 07/08/2011	24,669.91 07/11/2011	24,266.90	-403.01	
CBE Apr 16 2011 60.0 Call	GainLoss	900 01/03/2011	2,205.87 01/19/2011	2,117.08	-88.79	
CBE Jan 22 2011 55.0 Call	GainLoss	400 11/03/2010	543.05 01/03/2011	1,592.92	1,049.87	
CBE Jan 22 2011 55.0 Call	GainLoss	200 11/03/2010	271.53 01/03/2011	796.45	524.92	
COCA-COLA ENTERPRISES INC (CCE)	GainLoss	400 01/20/2011	10,031.60 03/15/2011	10,095.80	64.20	
COCA-COLA ENTERPRISES INC (CCE)	GainLoss	400 05/13/2011	11,657.95 06/06/2011	11,265.00	-392.95	
CAMECO CORP (CCJ)	GainLoss	600 04/28/2011	17,663.41 04/29/2011	17,460.61	-202.80	
CAMECO CORP (CCJ)	Wash Sale Adj	500 05/10/2011	-169.00 04/29/2011		169.00	
CAMECO CORP (CCJ)	GainLoss	500 05/10/2011	14,629.00 05/11/2011	14,049.73	-579.27	
CAMECO CORP (CCJ)	GainLoss	400 07/11/2011	10,229.96 08/02/2011	10,287.80	57.84	
CHINA MEDIAEXPRESS HOLDINGS (CCME)	GainLoss	200 01/04/2011	3,522.04 01/05/2011	3,241.94	-280.10	
CHINA MEDIAEXPRESS HOLDINGS (CCME)	GainLoss	200 01/04/2011	3,814.10 01/05/2011	3,241.94	-572.16	
CELANESE CORP (CE)	GainLoss	400 12/03/2010	15,583.99 01/20/2011	16,619.68	1,035.69	
CONSTELLATION ENERGY GRP IN (CEG)	GainLoss	300 01/10/2011	9,902.88 02/04/2011	9,414.91	-487.97	
CENTURY ALUM CO (CENX)	GainLoss	200 03/01/2011	3,237.60 05/04/2011	3,680.52	442.92	
CENTURY ALUM CO (CENX)	GainLoss	300 05/23/2011	4,553.27 06/06/2011	4,414.00	-139.27	
CENTURY ALUM CO (CENX)	GainLoss	200 07/08/2011	3,175.36 07/11/2011	3,034.35	-141.01	
CENTURY ALUM CO (CENX)	Sell FIFO	600 08/29/2011	7,019.70 09/02/2011	6,622.67	-397.03	
CEPHALON INC CON (CEPH)	GainLoss	200 02/08/2011	12,137.32 02/11/2011	11,547.77	-589.55	
CF INDUSTRIES HOLDINGS INC (CF)	Assign Call	100 08/19/2011	15,425.77 08/19/2011	16,402.66	976.89	
CF Apr 16 2011 130.0 Put	GainLoss	200 03/01/2011	1,109.53 03/08/2011	2,044.43	934.90	
CF Apr 16 2011 135.0 Put	GainLoss	100 03/31/2011	947.23 04/01/2011	240.98	-706.25	
CF Apr 16 2011 135.0 Put	GainLoss Short	200 03/01/2011	2,703.53 03/08/2011	1,498.44	-1,205.09	
CF Apr 16 2011 175.0 Call	Short WS Adj	100 03/31/2011	-602.55 03/08/2011		602.55	
CF Aug 20 2011 110.0 Put	Expire Long	100 05/20/2011	183.77 08/22/2011		-183.77	
CF May 21 2011 110.0 Put	GainLoss Short	400 03/18/2011	56.06 04/29/2011	1,345.34	1,289.28	
CF May 21 2011 95.0 Put	GainLoss	200 03/18/2011	246.60 05/21/2011	-246.60		
CF May 21 2011 125.0 Put	GainLoss	200 03/18/2011	1,648.60 05/21/2011	-1,648.60		
CHK Jan 22 2011 30.0 Call	GainLoss	500 06/25/2010	313.82 01/22/2011		-313.82	
CHICOS FAS INC (CHS)	GainLoss	500 03/01/2011	6,834.95 05/02/2011	7,162.16	327.21	
CHICOS FAS INC (CHS)	GainLoss	500 03/18/2011	6,925.00 05/02/2011	7,162.15	237.15	
CHICOS FAS INC (CHS)	GainLoss	1,000 05/09/2011	14,685.85 05/18/2011	14,259.72	-426.13	
CHICOS FAS INC (CHS)	GainLoss	800 07/11/2011	12,589.92 07/18/2011	12,287.76	-302.16	
CHICOS FAS INC (CHS)	Sell FIFO	400 08/29/2011	5,513.80 09/06/2011	5,013.90	-499.90	
STAR SCIENTIFIC INC (CIGX)	GainLoss	1,200 05/23/2011	2,904.15 05/23/2011	4,068.98	1,164.83	
STAR SCIENTIFIC INC (CIGX)	GainLoss	300 06/01/2011	1,529.99 06/01/2011	1,562.97	32.98	
STAR SCIENTIFIC INC (CIGX)	GainLoss	400 06/01/2011	2,042.97 06/01/2011	2,063.99	21.02	
STAR SCIENTIFIC INC (CIGX)	GainLoss	300 06/01/2011	1,543.98 06/01/2011	1,551.00	7.02	
CIGX Aug 20 2011 5.0 Call	Expire Long	1,000 04/14/2011	720.98 08/22/2011		-720.98	
CIGX Aug 20 2011 7.0 Call	GainLoss Short	1,000 04/14/2011	207.73 06/22/2011	342.34	134.61	
CIGX Aug 20 2011 8.0 Call	Expire Long	1,500 07/15/2011	85.84 08/22/2011		-85.84	
CIGX Dec 17 2011 4.0 Call	Expire Long	500 11/16/2011	53.50 12/19/2011		-53.50	
CIGX Jul 16 2011 8.0 Call	GainLoss	2,000 06/24/2011	115.45 07/16/2011		-115.45	
CIGX May 21 2011 2.0 Call	GainLoss	200 12/23/2010	81.53 04/07/2011	427.96	346.43	
CIGX May 21 2011 3.5 Call	GainLoss Short	200 03/16/2011	198.03 04/07/2011	59.96	-138.07	
CIGX Nov 19 2011 4.5 Call	Expire Long	1,000 10/19/2011	107.00 11/21/2011		-107.00	
CIGX Oct 22 2011 4.5 Call	Expire Long	1,000 08/26/2011	57.00 10/24/2011		-57.00	
CNINSURE INC (CISG)	GainLoss	400 01/06/2011	7,084.00 01/21/2011	7,259.92	175.92	
CNINSURE INC (CISG)	GainLoss	300 03/01/2011	5,370.00 03/02/2011	4,976.93	-393.07	
CNINSURE INC (CISG)	GainLoss	200 04/29/2011	2,951.32 05/04/2011	2,774.95	-176.37	
CNINSURE INC (CISG)	GainLoss	100 06/14/2011	1,577.93 06/16/2011	1,462.66	-115.27	
CLIFFS NATURAL RESOURCES IN (CLF)	GainLoss	200 01/04/2011	16,787.98 01/07/2011	16,285.74	-502.24	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
CLF Jul 16 2011 95.0 Call	GainLoss	200 02/28/2011	2,275.53 03/10/2011	1,481.94	-793.59	-793.59
CLF Jul 16 2011 95.0 Call	Wash Sale Adj	200 03/18/2011	-793.59 03/10/2011		793.59	793.59
CLF Jul 16 2011 95.0 Call	GainLoss	200 03/18/2011	2,317.62 07/15/2011	364.68	-1,952.94	-1,952.94
CLF Jul 16 2011 110.0 Call	GainLoss Short	400 03/18/2011	19.02 06/30/2011	1,229.91	1,210.89	1,210.89
CLF Jul 16 2011 115.0 Call	GainLoss Short	200 02/28/2011	486.03 03/10/2011	792.45	306.42	306.42
CLF Jul 16 2011 125.0 Call	GainLoss	200 03/18/2011	222.03 07/16/2011		-222.03	-222.03
CME Jun 18 2011 300.0 Call	GainLoss Short	100 05/25/2011	0.00 06/18/2011	160.22	160.22	160.22
CME Jun 18 2011 290.0 Call	GainLoss	100 05/25/2011	458.77 06/18/2011		-458.77	-458.77
CUMMINS INC (CMI)	GainLoss	500 06/15/2011	46,543.86 06/16/2011	46,098.37	-445.49	-445.49
CUMMINS INC (CMI)	Wash Sale Adj	200 07/08/2011	-178.20 06/16/2011		178.20	178.20
CUMMINS INC (CMI)	Wash Sale Adj	200 07/11/2011	-178.19 06/16/2011		178.19	178.19
CUMMINS INC (CMI)	GainLoss	200 07/08/2011	21,554.20 07/11/2011	20,907.59	-646.61	-646.61
CUMMINS INC (CMI)	GainLoss	200 07/11/2011	21,047.05 07/18/2011	20,427.51	-619.54	-619.54
CMI Jun 18 2011 130.0 Call	GainLoss Short	400 05/09/2011	0.00 06/18/2011	419.92	419.92	419.92
CMI Jun 18 2011 120.0 Call	GainLoss	200 05/09/2011	820.04 06/18/2011		-820.04	-820.04
CMI Jun 18 2011 140.0 Call	GainLoss	200 05/09/2011	50.04 06/18/2011		-50.04	-50.04
CMI Mar 19 2011 110.0 Call	GainLoss	300 12/03/2010	1,592.29 02/01/2011	552.69	-1,039.60	-1,039.60
CMI Mar 19 2011 110.0 Call	Wash Sale Adj	300 02/02/2011	-1,039.60 02/01/2011		1,039.60	1,039.60
CMI Mar 19 2011 110.0 Call	GainLoss	200 02/02/2011	727.03 03/19/2011		-727.03	-727.03
CMI Mar 19 2011 120.0 Call	GainLoss	300 02/02/2011	2,151.89 03/19/2011	985.82	-2,151.89	-2,151.89
CMI Mar 19 2011 130.0 Call	GainLoss Short	1,000 02/02/2011	0.00 03/19/2011		985.82	985.82
CANADIAN NATL RY CO (CNI)	GainLoss	600 02/02/2011	149.58 03/19/2011		-149.58	-149.58
COACH INC (COH)	GainLoss	200 01/20/2011	13,607.60 04/13/2011	14,540.73	933.13	933.13
COVIDIEN PLC (COV)	GainLoss	200 02/07/2011	11,199.80 02/23/2011	10,940.28	-259.52	-259.52
COVIDIEN PLC (COV)	GainLoss	700 12/03/2010	30,034.32 02/15/2011	35,286.44	5,252.12	5,252.12
COVIDIEN PLC (COV)	GainLoss	100 05/13/2011	5,621.09 06/03/2011	5,410.21	-210.88	-210.88
CORN PRODS INTL INC (CPO)	Sell FIFO	100 08/29/2011	5,194.96 09/09/2011	4,822.06	-372.90	-372.90
COPART INC (CPRT)	GainLoss	100 05/09/2011	5,492.92 05/17/2011	5,313.89	-179.03	-179.03
COMPLETE PRODUCTION SERVICE (CPX)	GainLoss	200 02/08/2011	8,115.98 03/15/2011	8,037.84	-78.14	-78.14
COMPLETE PRODUCTION SERVICE (CPX)	GainLoss	200 03/01/2011	5,799.80 03/11/2011	5,343.89	-455.91	-455.91
COMPLETE PRODUCTION SERVICE (CPX)	Wash Sale Adj	200 03/21/2011	-455.91 03/11/2011		455.91	455.91
COMPLETE PRODUCTION SERVICE (CPX)	GainLoss	200 03/21/2011	6,580.01 04/01/2011	5,935.68	-644.33	-644.33
COMPLETE PRODUCTION SERVICE (CPX)	GainLoss	100 05/09/2011	3,152.56 05/12/2011	2,912.38	-240.18	-240.18
COMPLETE PRODUCTION SERVICE (CPX)	Wash Sale Adj	100 05/24/2011	-240.18 05/12/2011		240.18	240.18
COMPLETE PRODUCTION SERVICE (CPX)	GainLoss	100 05/24/2011	3,368.18 08/04/2011	3,569.93	201.75	201.75
CARTER HOLDINGS (CRI)	GainLoss	300 01/21/2011	8,462.40 01/28/2011	8,289.59	-172.81	-172.81
CRM Jul 16 2011 130.0 Put	GainLoss	400 03/01/2011	11,094.80 03/02/2011	10,679.79	-415.01	-415.01
CRM Jul 16 2011 145.0 Put	GainLoss Short	200 05/25/2011	256.05 06/21/2011	414.44	158.39	158.39
CRM Jul 16 2011 115.0 Put	GainLoss	100 05/25/2011	595.77 06/21/2011	642.96	47.19	47.19
CIRRUS LOGIC INC (CRUS)	GainLoss	100 05/25/2011	74.77 06/21/2011	18.97	-55.80	-55.80
CIRRUS LOGIC INC (CRUS)	GainLoss	300 12/03/2010	4,862.97 02/10/2011	6,770.86	1,907.89	1,907.89
CIRRUS LOGIC INC (CRUS)	GainLoss	200 02/02/2011	4,542.00 02/10/2011	4,513.91	-28.09	-28.09
CIRRUS LOGIC INC (CRUS)	GainLoss	500 05/24/2011	7,944.95 06/08/2011	7,502.87	-442.08	-442.08
CIRRUS LOGIC INC (CRUS)	GainLoss	300 07/11/2011	4,881.00 07/12/2011	4,664.45	-216.55	-216.55
CIRRUS LOGIC INC (CRUS)	Sell FIFO	400 08/29/2011	6,061.64 09/02/2011	5,649.89	-411.75	-411.75
CISCO SYSTEMS INC (CSCO)	GainLoss	200 03/01/2011	3,747.66 03/03/2011	3,710.49	-37.17	-37.17
CISCO SYSTEMS INC (CSCO)	GainLoss	800 05/09/2011	14,055.92 05/12/2011	13,575.73	-480.19	-480.19
CISCO SYSTEMS INC (CSCO)	GainLoss	500 07/12/2011	7,905.95 08/02/2011	7,774.85	-131.10	-131.10
CSCO Apr 16 2011 19.0 Call	GainLoss Short	200 03/01/2011	80.10 03/03/2011	100.46	20.36	20.36
CSCO Jan 22 2011 20.0 Put	GainLoss Short	1,600 11/12/2010	64.22 01/12/2011	1,523.76	1,459.54	1,459.54
CSCO Jan 22 2011 20.0 Put	GainLoss Short	1,000 11/18/2010	40.14 01/12/2011	1,062.35	1,022.21	1,022.21
CSCO Jan 22 2011 19.0 Put	GainLoss	1,600 11/12/2010	924.21 01/22/2011		-924.21	-924.21
CSCO Jan 22 2011 19.0 Put	GainLoss	1,000 11/18/2010	607.63 01/22/2011		-607.63	-607.63
CSCO Mar 19 2011 19.0 Put	GainLoss	3,000 02/10/2011	1,365.22 02/11/2011	2,017.02	651.80	651.80
CSCO Mar 19 2011 19.0 Put	GainLoss	3,000 02/10/2011	1,365.01 02/11/2011	1,965.10	600.09	600.09

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
CSCO Mar 19 2011 19 0 Put	GainLoss	3,000 02/10/2011	1,394.43 02/11/2011	1,995.02	600.59	
CSCO Mar 19 2011 20 0 Put	GainLoss Short	3,000 02/10/2011	4,312.94 02/11/2011	2,729.28	-1,583.66	
CSCO Mar 19 2011 20 0 Put	GainLoss Short	3,000 02/10/2011	5,814.68 02/11/2011	2,789.07	-3,025.61	
CSCO Mar 19 2011 20 0 Put	GainLoss Short	3,000 02/10/2011	7,286.55 02/11/2011	3,028.49	-4,258.06	
CSCO Mar 19 2011 20 0 Put	Short WS Adj	3,000 02/10/2011	-1,583.66 02/11/2011		1,583.66	
CSCO Mar 19 2011 20 0 Put	Short WS Adj	3,000 02/10/2011	-3,025.61 02/11/2011		3,025.61	
CSC Feb 19 2011 52 5 Call	GainLoss	500 02/09/2011	153.82 02/19/2011		-153.82	
CSC Mar 19 2011 50.0 Call	GainLoss	400 01/04/2011	1,071.06 02/02/2011	2,083.38	1,012.32	
CSC Mar 19 2011 55.0 Call	GainLoss	800 02/02/2011	1,560.61 02/09/2011	433.87	-1,126.74	
COOPER TIRE & RUBR CO (CTB)						
CENTURYLINK INC (CTL)	GainLoss	300 10/13/2010	6,050.70 01/18/2011	6,942.61	891.91	
CENTURYLINK INC (CTL)	Wash Sale Adj	200 03/18/2011	8,337.98 04/18/2011	7,872.19	-465.79	
CENTURYLINK INC (CTL)	GainLoss	200 05/10/2011	-465.79 04/18/2011		465.79	
CENTURYLINK INC (CTL)	GainLoss	200 05/10/2011	8,241.98 06/10/2011	8,049.86	-192.12	
CENTURYLINK INC (CTL)	GainLoss	200 05/10/2011	8,707.77 06/10/2011	8,049.87	-657.90	
CTXS Feb 19 2011 62 5 Put	GainLoss	200 01/26/2011	509.53 02/19/2011		-509.53	
CTXS Feb 19 2011 70 0 Call	GainLoss	300 01/27/2011	332.29 01/27/2011	252.70	-79.59	
CTXS Feb 19 2011 57 5 Put	GainLoss Short	200 01/26/2011	0.00 02/19/2011	206.46	206.46	
CTXS Jul 16 2011 75 0 Put	GainLoss Short	200 05/25/2011	431.55 06/21/2011	318.44	-113.11	
CTXS Jul 16 2011 77 5 Put	GainLoss	200 05/25/2011	461.55 06/21/2011	652.43	190.88	
COVENTRY HEALTH CARE (CVH)						
CHEVRON CORP (CVX)	GainLoss	400 01/04/2011	10,887.97 03/17/2011	11,870.54	982.57	
CHEVRON CORP (CVX)	GainLoss	100 10/06/2010	8,333.99 01/05/2011	9,068.86	734.87	
COMMUNITY HEALTH SYS INC (CYH)	GainLoss	100 01/04/2011	9,156.82 01/05/2011	9,068.85	-87.97	
COMMUNITY HEALTH SYS INC (CYH)	GainLoss	300 02/08/2011	11,210.97 04/11/2011	11,219.78	8.81	
COMMUNITY HEALTH SYS INC (CYH)	GainLoss	300 03/21/2011	11,537.57 04/11/2011	11,219.78	-317.79	
DU PONT EI DE NEMOURS & CO (DD)	Sell FIFO	100 08/31/2011	2,105.52 09/02/2011	1,910.96	-194.56	
DEERE & CO (DE)	GainLoss	400 12/03/2010	19,639.28 01/10/2011	19,079.87	-559.41	
DECKERS OUTDOOR CORP (DECK)	GainLoss	200 07/12/2011	16,508.00 07/14/2011	16,251.68	-256.32	
DELL INC (DELL)	GainLoss	100 05/23/2011	8,764.99 06/06/2011	8,222.41	-542.58	
DG FASTCHANNEL INC (DGIT)	GainLoss	500 06/15/2011	7,994.95 07/27/2011	8,360.26	365.31	
QUEST DIAGNOSTICS INC (DGX)	GainLoss	200 12/03/2010	5,339.98 04/18/2011	6,335.27	995.29	
DANAHER CORP (DHR)	GainLoss	100 05/13/2011	5,800.00 07/14/2011	5,811.15	11.15	
DISCOVERY COMMUNICATIONS IN (DISCA)	GainLoss	300 07/12/2011	16,132.89 07/14/2011	15,818.69	-314.20	
DISCOVERY COMMUNICATIONS IN (DISCA)	GainLoss	150 05/20/2011	6,730.49 05/25/2011	6,483.17	-247.32	
DLB May 21 2011 55.0 Call	GainLoss	150 05/20/2011	6,730.49 05/25/2011	6,485.23	-245.26	
DLB Sep 17 2011 55.0 Call	GainLoss Short	200 04/28/2011	11.55 05/17/2011	87.96	76.41	
DOVER CORP (DOV)	GainLoss	200 04/28/2011	472.03 05/17/2011	238.44	-233.59	
DOVER CORP (DOV)	GainLoss	100 03/21/2011	6,497.50 04/14/2011	6,365.08	-132.42	
DOVER CORP (DOV)	GainLoss	100 03/31/2011	6,577.99 04/14/2011	6,365.08	-212.91	
DOVER CORP (DOV)	Wash Sale Adj	100 04/28/2011	-212.91 04/14/2011		212.91	
DOVER CORP (DOV)	Wash Sale Adj	100 04/28/2011	-132.42 04/14/2011		132.42	
DOVER CORP (DOV)	GainLoss	100 04/28/2011	6,992.01 05/04/2011	6,570.03	-421.98	
DOVER CORP (DOV)	GainLoss	100 04/28/2011	6,911.52 05/04/2011	6,570.04	-341.48	
DOVER CORP (DOV)	Wash Sale Adj	100 05/09/2011	-421.98 05/04/2011		421.98	
DOVER CORP (DOV)	Wash Sale Adj	100 05/09/2011	-341.48 05/04/2011		341.48	
DOVER CORP (DOV)	GainLoss	100 05/09/2011	7,060.82 05/13/2011	6,415.87	-644.95	
DOV Mar 19 2011 60 0 Call	GainLoss	100 05/09/2011	6,980.32 05/13/2011	6,415.88	-564.44	
DIANA SHIPPING INC (DSX)	GainLoss	500 12/03/2010	1,103.82 02/04/2011	2,804.24	1,700.42	
DIANA SHIPPING INC (DSX)	GainLoss	1,000 02/04/2011	2,100.77 02/23/2011	1,002.33	-1,098.44	
DIANA SHIPPING INC (DSX)	GainLoss	500 05/23/2011	5,714.98 06/08/2011	5,355.33	-359.65	
DIANA SHIPPING INC (DSX)	Wash Sale Adj	500 07/08/2011	-359.65 06/08/2011		359.65	
DIANA SHIPPING INC (DSX)	GainLoss	500 07/08/2011	5,493.00 07/11/2011	5,359.22	-133.78	
DIRECTV CL A (DTV)	GainLoss	500 07/08/2011	5,852.65 07/11/2011	5,359.23	-493.42	
DIRECTV CL A (DTV)	GainLoss	600 01/06/2011	24,677.94 02/23/2011	27,593.47	2,915.53	
DIRECTV CL A (DTV)	GainLoss	200 05/10/2011	9,926.00 06/09/2011	9,428.66	-497.34	
DIRECTV CL A (DTV)	Wash Sale Adj	200 07/08/2011	-497.34 06/09/2011		497.34	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
DIRECTV CL A (DTV)	GainLoss	200 07/08/2011	11,127.32 07/18/2011	10,251.80	-875.52	
DVN Apr 16 2011 80.0 Call	GainLoss	600 01/10/2011	1,924.58 01/25/2011	4,498.06	2,573.48	
DVN Apr 16 2011 90.0 Call	GainLoss	1,200 01/25/2011	2,591.91 02/22/2011	3,266.76	674.85	
DYCOM INDS INC (DY)	GainLoss	200 05/23/2011	2,919.11 08/03/2011	3,270.89	351.78	
EBIX INC (EBIX)	GainLoss	200 04/29/2011	4,533.65 05/04/2011	4,305.93	-227.72	
CENTRAIS ELETRICAS BRASILEI (EBR)	GainLoss	500 01/04/2011	7,029.90 01/10/2011	6,850.01	-179.89	
CENTRAIS ELETRICAS BRASILEI (EBR)	GainLoss	500 01/04/2011	7,257.85 01/10/2011	6,850.01	-407.84	
EQUIFAX INC (EFX)	Sell,FIFO	800 08/29/2011	25,388.56 09/02/2011	24,931.12	-457.44	
EMC CORP (EMC)	GainLoss	400 05/12/2011	11,007.99 06/10/2011	10,619.48	-388.51	
EASTMAN CHEM CO (EMN)	GainLoss	100 12/06/2010	8,190.00 01/20/2011	9,010.82	820.82	
EASTMAN CHEM CO (EMN)	GainLoss	100 02/08/2011	9,280.99 02/23/2011	8,853.83	-427.16	
EASTMAN CHEM CO (EMN)	Wash Sale Adj	100 03/01/2011	-427.16 02/23/2011		427.16	
EASTMAN CHEM CO (EMN)	GainLoss	100 03/01/2011	9,662.81 05/03/2011	10,221.80	558.99	
EASTMAN CHEM CO (EMN)	GainLoss	100 03/21/2011	9,546.94 05/03/2011	10,221.80	674.86	
EASTMAN CHEM CO (EMN)	GainLoss	100 02/08/2011	6,150.90 03/01/2011	5,836.95	-313.95	
EMERSON ELEC CO (EMR)	GainLoss	100 05/23/2011	4,019.00 06/20/2011	3,741.61	-277.39	
ENDO PHARMS HLDGS INC (ENDP)	Wash Sale Adj	100 07/08/2011	-277.39 06/20/2011		277.39	
ENDO PHARMS HLDGS INC (ENDP)	GainLoss	100 07/08/2011	4,142.70 07/15/2011	3,970.62	-172.08	
ENDO PHARMS HLDGS INC (ENDP)	GainLoss	100 07/08/2011	4,420.09 07/15/2011	3,970.62	-449.47	
ENDO PHARMS HLDGS INC (ENDP)	GainLoss	400 05/10/2011	8,528.00 07/29/2011	8,696.17	168.17	
ENDO PHARMS HLDGS INC (ENDP)	GainLoss	1,000 10/25/2010	5,169.75 01/05/2011	7,160.05	1,990.30	
ENERGIS S A SPONS ADR (ENI)	GainLoss	1,000 04/26/2011	8,650.00 05/05/2011	8,323.20	-326.80	
ENTEGRIS INC (ENTG)	Wash Sale Adj	500 05/09/2011	-163.40 05/05/2011		163.40	
ENTEGRIS INC (ENTG)	GainLoss	500 05/09/2011	4,613.08 07/12/2011	4,584.85	-28.23	
ENTROPIC COMMUNICATIONS INC (ENTR)	GainLoss	500 03/01/2011	4,524.95 03/09/2011	4,348.14	-176.81	
ENTROPIC COMMUNICATIONS INC (ENTR)	Wash Sale Adj	500 03/17/2011	-176.81 03/09/2011		176.81	
ENTROPIC COMMUNICATIONS INC (ENTR)	GainLoss	500 03/17/2011	3,898.96 04/11/2011	3,849.85	-49.11	
ENTROPIC COMMUNICATIONS INC (ENTR)	GainLoss	500 03/17/2011	4,075.78 04/11/2011	3,849.85	-225.93	
ENTROPIC COMMUNICATIONS INC (ENTR)	Wash Sale Adj	500 04/28/2011	-49.11 04/11/2011		49.11	
ENTROPIC COMMUNICATIONS INC (ENTR)	Wash Sale Adj	500 04/28/2011	-225.93 04/11/2011		225.93	
ENTROPIC COMMUNICATIONS INC (ENTR)	GainLoss	500 04/28/2011	4,299.06 05/17/2011	4,066.47	-232.59	
ENTROPIC COMMUNICATIONS INC (ENTR)	Wash Sale Adj	500 04/28/2011	4,475.89 05/17/2011	4,066.48	-409.41	
ENTROPIC COMMUNICATIONS INC (ENTR)	GainLoss	100 05/20/2011	-46.52 05/17/2011		46.52	
ENTROPIC COMMUNICATIONS INC (ENTR)	Wash Sale Adj	500 05/20/2011	-409.41 05/17/2011		409.41	
ENTROPIC COMMUNICATIONS INC (ENTR)	Wash Sale Adj	400 06/15/2011	-186.07 05/17/2011		186.07	
ENTROPIC COMMUNICATIONS INC (ENTR)	GainLoss	100 05/20/2011	900.52 06/08/2011	789.98	-110.54	
ENTROPIC COMMUNICATIONS INC (ENTR)	GainLoss	500 05/20/2011	4,679.41 06/08/2011	3,949.92	-729.49	
ENTROPIC COMMUNICATIONS INC (ENTR)	GainLoss	400 06/15/2011	3,281.82 07/18/2011	3,056.38	-225.44	
ENTROPIC COMMUNICATIONS INC (ENTR)	GainLoss	600 07/12/2011	5,220.96 07/18/2011	4,584.57	-636.39	
ENTROPIC COMMUNICATIONS INC (ENTR)	GainLoss	200 05/09/2011	6,832.88 05/17/2011	6,431.37	-401.51	
EMBRAER SA (ERJ)	GainLoss	500 03/01/2011	3,199.95 03/15/2011	2,884.94	-315.01	
ENERGY SOLUTIONS INC (ES)	GainLoss	200 12/06/2010	9,989.85 02/08/2011	10,136.85	147.00	
ENSCO PLC ADR (ESV)	GainLoss	200 02/09/2011	22,087.98 02/22/2011	21,537.58	-550.40	
EATON CORP (ETN)	GainLoss	300 01/20/2011	9,173.97 01/31/2011	9,068.82	-105.15	
EATON VANCE CORP COM NON VT (EV)	Sell,FIFO	800 09/15/2011	13,317.60 09/19/2011	12,905.75	-411.85	
ISHARES MSCI HONG KONG INDE (EWH)	GainLoss	200 07/11/2011	3,088.00 07/27/2011	3,225.93	137.93	
ISHARES MSCI ITALY INDEX FD (EWI)	GainLoss	400 02/07/2011	6,464.00 02/23/2011	5,939.88	-524.12	
FORD MOTOR CO (F)	GainLoss	500 06/14/2011	6,769.95 06/16/2011	6,359.87	-410.08	
FORD MOTOR CO (F)	Wash Sale Adj	500 07/08/2011	-410.08 06/16/2011		410.08	
FORD MOTOR CO (F)	GainLoss	500 07/08/2011	6,902.95 07/11/2011	6,679.92	-223.03	
FORD MOTOR CO (F)	GainLoss	500 07/08/2011	7,313.03 07/11/2011	6,679.92	-633.11	
DIREXION DAILY FINANCIAL BU (FAS)	Sell,FIFO	1,000 08/19/2011	12,240.00 08/31/2011	15,659.70	3,419.70	
DIREXION DAILY FINANCIAL BU (FAS)	Sell,FIFO	1,000 08/19/2011	12,466.00 08/31/2011	15,659.70	3,193.70	
DIREXION DAILY FINANCIAL BU (FAS)	Sell FIF0	1,000 08/19/2011	12,675.80 08/31/2011	15,659.70	2,983.90	
DIREXION DAILY FINANCIAL BU (FAS)	Sell,FIFO	500 08/19/2011	6,360.95 08/31/2011	7,829.85	1,468.90	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
DIREXION DAILY FINANCIAL BU (FAS)	Sell FIFO	1,000 08/19/2011	12,516.00 08/31/2011	15,659.70	3,143.70	
DIREXION DAILY FINANCIAL BU (FAS)	Sell FIFO	1,000 08/19/2011	12,316.00 08/31/2011	15,659.69	3,343.69	
DIREXION DAILY FINANCIAL BU (FAS)	Sell FIFO	1,000 08/19/2011	12,226.00 08/31/2011	15,659.70	3,433.70	
DIREXION DAILY FINANCIAL BU (FAS)	Sell FIFO	2,000 08/19/2011	24,125.60 08/31/2011	31,319.40	7,193.80	
DIREXION DAILY FINANCIAL BU (FAS)	Sell FIFO	2,000 08/19/2011	24,165.60 08/31/2011	31,319.40	7,153.80	
DIREXION DAILY FINANCIAL BU (FAS)	Sell FIFO	1,000 09/21/2011	12,603.30 10/18/2011	12,093.76	-509.54	
DIREXION DAILY FINANCIAL BU (FAS)	Wash Sale Adj	1,000 09/21/2011	-509.54 10/18/2011		509.54	
DIREXION DAILY FINANCIAL BU (FAS)	Assign Call	1,000 09/21/2011	13,065.54 10/21/2011	10,889.04	-2,176.50	
DIREXION DAILY FINANCIAL BU (FAS)	Assign Call	2,000 09/21/2011	25,005.60 10/21/2011	21,588.08	-3,417.52	
DIREXION DAILY FINANCIAL BU (FAS)	Assign Call	1,000 09/21/2011	12,319.10 10/21/2011	10,599.04	-1,720.06	
DIREXION DAILY FINANCIAL BU (FAS)	Wash Sale Adj	1,000 09/21/2011	-2,176.50 10/21/2011		2,176.50	
DIREXION DAILY FINANCIAL BU (FAS)	Wash Sale Adj	2,000 09/21/2011	-3,417.52 10/21/2011		3,417.52	
DIREXION DAILY FINANCIAL BU (FAS)	Wash Sale Adj	1,000 09/21/2011	-1,720.06 10/21/2011		1,720.06	
DIREXION DAILY FINANCIAL BU (FAS)	Assign Call	1,000 09/21/2011	14,495.60 10/21/2011	11,940.90	-2,554.70	
DIREXION DAILY FINANCIAL BU (FAS)	Assign Call	2,000 09/21/2011	26,443.12 10/21/2011	23,881.79	-2,561.33	
DIREXION DAILY FINANCIAL BU (FAS)	Assign Call	4,000 09/21/2011	47,765.66 10/21/2011	43,353.65	-4,412.01	
DIREXION DAILY FINANCIAL BU (FAS)	Assign Call	1,000 09/22/2011	10,475.80 10/21/2011	10,470.92	-4.88	
DIREXION DAILY FINANCIAL BU (FAS)	Wash Sale Adj	1,000 09/22/2011	-2,554.70 10/21/2011		2,554.70	
DIREXION DAILY FINANCIAL BU (FAS)	Wash Sale Adj	2,000 09/22/2011	-2,561.33 10/21/2011		2,561.33	
DIREXION DAILY FINANCIAL BU (FAS)	Wash Sale Adj	3,000 10/03/2011	-4,841.50 10/21/2011		4,841.50	
DIREXION DAILY FINANCIAL BU (FAS)	Wash Sale Adj	1,000 10/03/2011	-4.88 10/21/2011		4.88	
DIREXION DAILY FINANCIAL BU (FAS)	Assign Call	1,000 09/22/2011	13,150.60 10/21/2011	13,040.60	-110.00	
DIREXION DAILY FINANCIAL BU (FAS)	Assign Call	2,000 09/22/2011	22,746.93 10/21/2011	26,081.21	3,334.28	
DIREXION DAILY FINANCIAL BU (FAS)	Assign Call	4,000 10/03/2011	43,411.58 10/21/2011	50,062.46	6,650.88	
DIREXION DAILY FINANCIAL BU (FAS)	Buy to Close FIFO	1,000 09/21/2011	3,157.00 10/18/2011	3,992.92	835.92	
FAS Oct 22 2011 9.0 Call	Sell to Close FIFO	8,900 09/28/2011	3,493.25 10/03/2011	3,497.63	4.38	
FAS Oct 22 2011 7.0 Put	Expire Long	16,000 09/28/2011	824.00 10/24/2011		-824.00	
FAS Sep 17 2011 8.0 Call	Buy to Close FIFO	1,000 08/19/2011	7,757.00 08/31/2011	4,843.20	-2,913.80	
FAS Sep 17 2011 8.0 Call	Buy to Close FIFO	1,000 08/19/2011	7,757.00 08/31/2011	4,993.20	-2,763.80	
FAS Sep 17 2011 8.0 Call	Buy to Close FIFO	1,000 08/19/2011	7,757.00 08/31/2011	5,192.90	-2,564.10	
FAS Sep 17 2011 8.0 Call	Buy to Close FIFO	500 08/19/2011	3,878.50 08/31/2011	2,721.44	-1,157.06	
FAS Sep 17 2011 8.0 Call	Buy to Close FIFO	1,000 08/19/2011	7,757.00 08/31/2011	5,042.90	-2,714.10	
FAS Sep 17 2011 8.0 Call	Buy to Close FIFO	1,000 08/19/2011	7,757.00 08/31/2011	4,892.90	-2,864.10	
FAS Sep 17 2011 8.0 Call	Buy to Close FIFO	1,000 08/19/2011	7,757.00 08/31/2011	4,792.90	-2,964.10	
FAS Sep 17 2011 8.0 Call	Buy to Close FIFO	2,000 08/19/2011	15,514.00 08/31/2011	9,385.81	-6,128.19	
FAS Sep 17 2011 8.0 Call	Buy to Close FIFO	2,000 08/19/2011	15,514.00 08/31/2011	9,385.81	-6,128.19	
FTI CONSULTING INC (FCN)	GainLoss	200 03/21/2011	7,354.00 04/19/2011	7,473.27	119.27	
FTI CONSULTING INC (FCN)	GainLoss	600 04/29/2011	23,988.00 05/04/2011	23,548.20	-439.80	
FAIRCHILD SEMICONDUCTOR INT (FCS)	GainLoss	500 10/05/2010	4,724.95 02/23/2011	8,846.82	4,121.87	
FAIRCHILD SEMICONDUCTOR INT (FCS)	GainLoss	400 04/01/2011	7,247.76 04/04/2011	7,004.18	-243.58	
FAIRCHILD SEMICONDUCTOR INT (FCS)	GainLoss	200 05/09/2011	3,987.79 05/16/2011	3,869.93	-117.86	
FAIRCHILD SEMICONDUCTOR INT (FCS)	Wash Sale Adj	200 05/23/2011	-117.86 05/16/2011		117.86	
FAIRCHILD SEMICONDUCTOR INT (FCS)	GainLoss	800 05/23/2011	14,757.65 05/23/2011	14,538.75	-218.90	
FAIRCHILD SEMICONDUCTOR INT (FCS)	GainLoss	200 05/23/2011	3,807.27 05/23/2011	3,634.69	-172.58	
FAIRCHILD SEMICONDUCTOR INT (FCS)	Wash Sale Adj	300 06/15/2011	-82.09 05/23/2011		82.09	
FAIRCHILD SEMICONDUCTOR INT (FCS)	Wash Sale Adj	200 06/15/2011	-172.58 05/23/2011		172.58	
FAIRCHILD SEMICONDUCTOR INT (FCS)	GainLoss	300 06/15/2011	4,848.95 07/12/2011	4,853.90	4.95	
FAIRCHILD SEMICONDUCTOR INT (FCS)	GainLoss	200 06/15/2011	3,350.49 07/12/2011	3,235.94	-114.55	
FAIRCHILD SEMICONDUCTOR INT (FCS)	GainLoss	200 06/15/2011	9,898.35 08/02/2011	10,420.57	522.22	
FREEPORT-MCMORAN COPPER & G (FCX)	GainLoss	400 08/29/2011	18,213.68 09/02/2011	17,881.97	-331.71	
FREEPORT-MCMORAN COPPER & G (FCX)	Sell FIFO	300 10/24/2011	656.14 12/19/2011		-656.14	
FCX Dec 17 2011 41.0 Call	Expire Long	200 07/08/2011	19,519.98 07/11/2011	19,036.63	-483.35	
FEDEX CORP (FDX)	GainLoss	100 05/12/2011	10,511.99 06/16/2011	10,072.80	-439.19	
F5 NETWORKS INC (FFIV)	GainLoss	500 05/12/2011	0.00 07/16/2011	676.14	676.14	
FFIV Jul 16 2011 125.0 Call	GainLoss Short	500 05/12/2011	1,098.84 07/16/2011		-1,098.84	
FFIV Jul 16 2011 120.0 Call	GainLoss	500 05/12/2011				

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
FIRST TRUST STRATEGIC (FHI)	GainLoss	400 07/26/2010	1,284.00 07/13/2011	1,489.99	205.99	
THE FINISH LINE INC CL A (FINL)	GainLoss	400 03/01/2011	6,932.00 07/29/2011	8,278.91	1,346.91	
FISERV INC (FISV)	GainLoss	400 02/08/2011	24,395.32 03/10/2011	23,862.25	-533.07	
FISERV INC (FISV)	GainLoss	100 03/01/2011	6,240.32 03/10/2011	5,965.56	-274.76	
FISERV INC (FISV)	GainLoss	200 07/08/2011	12,931.99 07/12/2011	12,630.17	-301.82	
FLIR SYSTEMS INC (FLIR)	GainLoss	400 02/08/2011	12,903.48 02/10/2011	12,099.76	-803.72	
FLIR SYSTEMS INC (FLIR)	GainLoss	200 06/15/2011	6,703.91 06/21/2011	6,540.39	-163.52	
FLIR SYSTEMS INC (FLIR)	Sell FIFO	300 08/26/2011	7,316.70 11/18/2011	7,643.85	327.15	
FIDELITY NATIONAL FINANCIAL (FNF)	GainLoss	800 03/01/2011	11,119.92 06/10/2011	12,135.21	1,015.29	
FINISAR CORP (FNSR)	Sell FIFO	200 08/29/2011	3,537.62 10/03/2011	3,445.69	-91.93	
FIFTH STREET FINANCE CORP (FSC)	Sell FIFO	500 08/29/2011	5,010.95 09/06/2011	4,493.91	-517.04	
FIRST SOLAR INC (FSLR)	GainLoss	100 03/01/2011	14,795.00 03/02/2011	14,468.73	-326.27	
FSLR Sep 17 2011 130 0 Call	GainLoss	500 07/12/2011	2,803.61 07/15/2011	2,393.90	-409.71	
FRONTIER OIL CORP (FTO)	GainLoss	100 05/20/2011	2,859.00 05/23/2011	2,759.94	-99.06	
FRONTIER OIL CORP (FTO)	Wash Sale Adj	100 05/23/2011	-99.06 05/23/2011		99.06	
FRONTIER COMMUNICATIONS COR (FTR)	GainLoss	800 11/03/2010	7,046.04 02/23/2011	6,879.85	-166.19	
FOSTER WHEELER AG (FWLT)	GainLoss	200 02/08/2011	7,499.60 02/23/2011	7,073.88	-425.72	
FOSTER WHEELER AG (FWLT)	Sell FIFO	400 08/29/2011	9,649.80 09/02/2011	9,049.02	-600.78	
FWLT Feb 19 2011 31.0 Call	GainLoss	500 12/02/2010	978.82 01/25/2011	2,421.13	1,442.31	
F Jun 18 2011 15 0 Call	GainLoss	1,000 03/18/2011	870.15 06/18/2011		-870.15	
F Mar 19 2011 17 0 Call	GainLoss	1,000 12/21/2010	1,077.63 01/20/2011	1,152.32	74.69	
GIANT INTERACTIVE GROUP INC (GA)	GainLoss	100 06/15/2011	747.99 07/20/2011	706.48	-41.51	
GIANT INTERACTIVE GROUP INC (GA)	Sell FIFO	700 08/29/2011	5,451.93 09/12/2011	3,389.91	-2,062.02	
SHANDA GAMES LTD ADR (GAME)	GainLoss	300 05/12/2011	2,147.83 05/12/2011	2,141.29	-6.54	
SHANDA GAMES LTD ADR (GAME)	GainLoss	4,200 05/12/2011	30,045.78 05/12/2011	29,978.18	-67.60	
SHANDA GAMES LTD ADR (GAME)	Wash Sale Adj	300 05/12/2011	-6.54 05/12/2011		6.54	
SHANDA GAMES LTD ADR (GAME)	Wash Sale Adj	300 05/12/2011	-4.36 05/12/2011		4.36	
SHANDA GAMES LTD ADR (GAME)	GainLoss	300 05/12/2011	2,150.02 06/08/2011	1,905.76	-244.26	
SHANDA GAMES LTD ADR (GAME)	Wash Sale Adj	200 06/15/2011	-162.84 06/08/2011		162.84	
SHANDA GAMES LTD ADR (GAME)	Wash Sale Adj	200 06/15/2011	1,494.83 06/17/2011	1,236.06	-258.77	
SHANDA GAMES LTD ADR (GAME)	GainLoss	800 03/22/2011	12,175.92 03/24/2011	11,767.85	-408.07	
GANNETT INC (GCI)	GainLoss	1,000 04/29/2011	15,149.96 05/09/2011	14,899.81	-250.15	
GENERAL DYNAMICS CORP (GD)	GainLoss	300 06/15/2011	21,128.97 07/15/2011	21,356.58	227.61	
GENERAL ELECTRIC CO (GE)	GainLoss	400 03/22/2011	7,815.96 05/23/2011	7,727.85	-88.11	
GENERAL ELECTRIC CO (GE)	Wash Sale Adj	400 06/15/2011	-88.11 05/23/2011		88.11	
GENERAL ELECTRIC CO (GE)	GainLoss	100 06/15/2011	1,858.99 06/27/2011	1,813.97	-45.02	
GENERAL ELECTRIC CO (GE)	GainLoss	400 06/15/2011	7,524.07 06/27/2011	7,255.90	-268.17	
GENERAL ELECTRIC CO (GE)	Sell FIFO	400 08/29/2011	6,409.96 10/03/2011	5,877.96	-532.00	
GENERAL ELECTRIC CO (GE)	GainLoss	100 05/13/2011	4,322.00 05/23/2011	4,072.02	-249.98	
GUESS INC (GES)	Wash Sale Adj	100 06/15/2011	-249.98 05/23/2011		249.98	
GUESS INC (GES)	GainLoss	200 06/15/2011	8,156.00 07/11/2011	8,253.84	97.84	
GUESS INC (GES)	GainLoss	100 06/15/2011	4,327.98 07/11/2011	4,126.92	-201.06	
GUESS INC (GES)	Sell FIFO	100 08/31/2011	3,475.70 09/01/2011	3,257.05	-218.65	
GE Feb 19 2011 18.0 Call	GainLoss	3,000 12/03/2010	682.90 01/28/2011	7,836.90	7,154.00	
GE Feb 19 2011 19.0 Call	GainLoss Short	3,000 12/03/2010	5,032.94 01/28/2011	217.08	-4,815.86	
GAFISA SA ADR (GFA)	Sell FIFO	500 03/17/2011	6,209.03 04/15/2011	6,359.87	150.84	
GERDAU S A SPONS ADR (GGB)	GainLoss	500 08/29/2011	4,565.50 09/12/2011	4,169.41	-396.09	
GERDAU S A SPONS ADR (GGB)	GainLoss	1,000 01/04/2011	14,329.90 01/20/2011	13,869.73	-460.17	
GERDAU S A SPONS ADR (GGB)	Sell FIFO	1,000 07/08/2011	10,575.93 07/11/2011	10,259.80	-316.13	
GEORGIA GULF CORP (GGC)	GainLoss	800 08/26/2011	6,542.00 11/17/2011	6,674.83	132.83	
GEORGIA GULF CORP (GGC)	Sell FIFO	100 08/26/2011	1,918.99 09/22/2011	1,698.96	-220.03	
GEORGIA GULF CORP (GGC)	Wash Sale Adj	100 08/29/2011	-220.03 09/22/2011		220.03	
GEORGIA GULF CORP (GGC)	Sell FIFO	300 08/29/2011	6,548.53 09/28/2011	4,305.66	-2,242.87	
GILEAD SCIENCES INC (GILD)	GainLoss	200 01/10/2011	7,483.40 01/10/2011	7,339.91	-143.49	
GILEAD SCIENCES INC (GILD)	GainLoss	300 01/10/2011	11,633.23 01/10/2011	11,009.87	-623.36	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
GILEAD SCIENCES INC (GILD)	Wash Sale Adj	200 01/20/2011	-415.57 01/10/2011		415.57	
GILEAD SCIENCES INC (GILD)	GainLoss	200 01/20/2011	8,059.23 03/16/2011	7,827.84	-231.39	
GILEAD SCIENCES INC (GILD)	Wash Sale Adj	200 03/21/2011	-231.39 03/16/2011		231.39	
GILEAD SCIENCES INC (GILD)	GainLoss	200 03/21/2011	8,371.37 04/21/2011	7,919.84	-451.53	
GILEAD SCIENCES INC (GILD)	GainLoss	200 05/24/2011	8,127.82 07/19/2011	8,068.18	-59.64	
GILEAD SCIENCES INC (GILD)	GainLoss	300 06/15/2011	11,963.97 07/18/2011	12,102.28	138.31	
GREAT LAKES DREDGE & DOCK C (GLDD)	GainLoss	400 02/07/2011	3,499.96 02/23/2011	3,072.06	-427.90	
GLD Apr 01 2011 133.0 Put	GainLoss	200 03/28/2011	26.03 03/30/2011	9.96	-16.07	
GLD Apr 01 2011 139.0 Put	GainLoss Short	200 03/30/2011	0.00 04/30/2011	149.96	149.96	
GLD Apr 01 2011 136.0 Put	GainLoss Short	400 03/28/2011	42.06 03/30/2011	179.93	137.87	
GLD Apr 16 2011 136.0 Put	GainLoss Short	400 03/30/2011	0.00 04/16/2011	247.93	247.93	
GLD Apr 16 2011 138.0 Put	GainLoss	400 03/30/2011	496.06 04/16/2011		-496.06	
GLD Apr 16 2011 139.0 Put	GainLoss	200 03/24/2011	252.03 04/30/2011		-252.03	
GLD Aug 05 2011 149.0 Put	GainLoss	2,000 07/28/2011	321.51 08/05/2011		-321.51	
GLD Aug 05 2011 148.0 Put	GainLoss Short	2,000 07/28/2011	0.00 08/05/2011	162.01	162.01	
GLD Dec 17 2011 145.0 Put	Buy to Close FIFO	300 10/26/2011	51.99 12/14/2011	318.89	266.90	
GLD Dec 17 2011 155.0 Put	Sell to Close FIFO	100 10/26/2011	254.70 12/14/2011	319.29	64.59	
GLD Dec 17 2011 155.0 Put	Sell to Close FIFO	200 10/26/2011	509.40 12/16/2011	136.59	-372.81	
GLD Feb 19 2011 132.0 Put	GainLoss	600 01/19/2011	1,018.59 02/19/2011		-1,018.59	
GLD Feb 19 2011 128.0 Put	GainLoss Short	100 01/19/2011	5.01 02/14/2011	72.23	67.22	
GLD Feb 19 2011 128.0 Put	GainLoss Short	500 01/19/2011	25.07 02/14/2011	361.17	336.10	
CORNING INC (GLW)	GainLoss	300 03/01/2011	6,884.97 03/10/2011	6,449.87	-435.10	
CORNING INC (GLW)	GainLoss	400 05/09/2011	8,367.96 05/20/2011	7,947.88	-420.08	
CORNING INC (GLW)	Wash Sale Adj	400 05/23/2011	-420.08 05/20/2011		420.08	
CORNING INC (GLW)	GainLoss	600 05/23/2011	11,717.54 06/03/2011	11,479.19	-238.35	
GAMESTOP CORP (GME)	GainLoss	400 05/23/2011	8,231.78 06/03/2011	7,652.80	-578.98	
GOL LINHAS AEREAS INTEL (GOL)	GainLoss	600 05/10/2011	15,473.94 05/19/2011	15,150.13	-323.81	
GOOGLE INC (GOOG)	GainLoss	500 07/11/2011	6,290.95 07/12/2011	5,945.54	-345.41	
GOOG Jun 18 2011 660.0 Call	GainLoss	100 04/14/2011	55,087.00 04/14/2011	55,221.93	134.93	
GOOG Jun 18 2011 700.0 Call	GainLoss Short	200 02/08/2011	60.03 04/15/2011	3,538.40	3,478.37	
GOOG Jun 18 2011 620.0 Call	GainLoss	100 02/08/2011	850.76 06/18/2011		-850.76	
GOODRICH CO (GR)	GainLoss	100 02/08/2011	3,420.76 06/18/2011		-3,420.76	
GRACE W R & CO (GRA)	GainLoss	100 06/15/2011	8,840.59 07/29/2011	9,302.82	462.23	
GOLDMAN SACHS GRP INC (GS)	GainLoss	300 11/03/2010	9,688.50 01/20/2011	10,505.79	817.29	
GOLDMAN SACHS GRP INC (GS)	GainLoss	100 01/04/2011	17,228.00 01/07/2011	16,965.71	-262.29	
GENCORP INC (GY)	GainLoss	100 06/15/2011	13,475.09 06/24/2011	13,117.19	-357.90	
GENCORP INC (GY)	Wash Sale Adj	500 04/28/2011	3,284.30 05/03/2011	3,122.08	-162.22	
GENCORP INC (GY)	GainLoss	500 05/23/2011	-162.22 05/03/2011		162.22	
GENCORP INC (GY)	GainLoss	500 05/23/2011	3,029.93 07/27/2011	2,850.53	-179.40	
GENCORP INC (GY)	GainLoss	500 05/23/2011	3,192.16 07/27/2011	2,850.53	-341.63	
HALLIBURTON CO (HAL)	GainLoss	200 01/20/2011	7,877.98 03/11/2011	8,583.83	705.85	
HALLIBURTON CO (HAL)	GainLoss	100 03/21/2011	4,531.00 05/03/2011	4,830.91	299.91	
HALLIBURTON CO (HAL)	Wash Sale Adj	100 04/28/2011	5,004.00 05/03/2011	4,830.91	-173.09	
HALLIBURTON CO (HAL)	GainLoss	100 05/09/2011	-173.09 05/03/2011		173.09	
HALLIBURTON CO (HAL)	Wash Sale Adj	100 05/09/2011	4,789.99 05/11/2011	4,610.91	-179.08	
HALLIBURTON CO (HAL)	Wash Sale Adj	100 05/09/2011	4,963.08 05/11/2011	4,610.91	-352.17	
HALLIBURTON CO (HAL)	Wash Sale Adj	100 05/13/2011	-179.08 05/11/2011		179.08	
HALLIBURTON CO (HAL)	GainLoss	100 05/13/2011	-352.17 05/11/2011		352.17	
HALLIBURTON CO (HAL)	GainLoss	200 05/13/2011	9,357.77 05/13/2011	9,137.84	-219.93	
HALLIBURTON CO (HAL)	GainLoss	100 05/13/2011	4,857.96 05/13/2011	4,568.92	-289.04	
HALLIBURTON CO (HAL)	GainLoss	100 05/13/2011	5,031.06 05/13/2011	4,568.92	-462.14	
HALLIBURTON CO (HAL)	Wash Sale Adj	100 05/23/2011	-109.97 05/13/2011		109.97	
HALLIBURTON CO (HAL)	Wash Sale Adj	100 05/23/2011	-289.04 05/13/2011		289.04	
HALLIBURTON CO (HAL)	Wash Sale Adj	100 05/23/2011	-462.14 05/13/2011		462.14	
HALLIBURTON CO (HAL)	GainLoss	100 05/23/2011	4,730.96 08/02/2011	5,270.90	539.94	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
HALLIBURTON CO (HAL)	GainLoss	100 05/23/2011	4,910.03 08/02/2011	5,270.89	360.86	
HALLIBURTON CO (HAL)	GainLoss	100 05/23/2011	5,083.13 08/02/2011	5,270.90	187.77	
HARMAN INTL INDS INC (HAR)	GainLoss	400 05/24/2011	18,588.66 05/24/2011	18,215.65	-373.01	
HASBRO INC (HAS)	GainLoss	300 04/28/2011	13,916.97 06/02/2011	13,604.71	-312.26	
HASBRO INC (HAS)	GainLoss	200 05/09/2011	9,429.24 06/02/2011	9,069.81	-359.43	
HASBRO INC (HAS)	GainLoss	100 07/08/2011	4,422.68 07/11/2011	4,364.79	-57.89	
HAS Jun 18 2011 45.0 Put	GainLoss	500 05/31/2011	278.86 06/02/2011	296.13	17.27	
HCA HOLDINGS INC (HCA)	GainLoss	100 05/23/2011	3,431.33 06/03/2011	3,372.37	-58.96	
HOLLYFRONTIER CORP (HFC)	GainLoss	48.11 05/23/2011	2,908.99 07/06/2011	3,438.23	529.24	
HOLLYFRONTIER CORP (HFC)	GainLoss	47.89 05/23/2011	2,994.30 07/06/2011	3,422.51	428.21	
HARTFORD FINL SVCS GRP INC (HIG)	GainLoss	100 12/06/2010	2,398.99 01/10/2011	2,712.15	313.16	
HARTFORD FINL SVCS GRP INC (HIG)	GainLoss	500 12/06/2010	12,439.85 01/10/2011	13,560.77	1,120.92	
HARTFORD FINL SVCS GRP INC (HIG)	GainLoss	300 02/28/2011	8,864.70 03/02/2011	8,457.04	-407.66	
HARTFORD FINL SVCS GRP INC (HIG)	GainLoss	200 04/28/2011	5,788.00 05/23/2011	5,335.83	-452.17	
HARTFORD FINL SVCS GRP INC (HIG)	GainLoss	800 07/08/2011	20,912.63 07/11/2011	20,552.18	-360.45	
HARTFORD FINL SVCS GRP INC (HIG)	Sell FIFO	400 08/30/2011	7,765.80 09/01/2011	7,294.49	-471.31	
HIG Apr 16 2011 28.0 Call	GainLoss Short	700 03/02/2011	26.35 04/13/2011	981.63	955.28	
HIG Apr 16 2011 29.0 Call	GainLoss	700 03/02/2011	698.35 04/16/2011		-698.35	
HIG Mar 19 2011 25.0 Call	GainLoss	200 12/06/2010	333.53 03/02/2011	608.45	274.92	
HIG Mar 19 2011 35.0 Call	GainLoss	200 12/06/2010	19.53 03/19/2011		-19.53	
HIG Mar 19 2011 30.0 Call	GainLoss Short	400 12/06/2010	91.06 03/02/2011	144.92	53.86	
HEALTHSOUTH CORP (HLS)	GainLoss	1,000 01/20/2011	23,030.00 01/21/2011	22,691.56	-338.44	
HEALTH MGMT ASSOC INC CL A (HMA)	GainLoss	1,000 11/16/2010	8,509.70 01/10/2011	9,229.83	720.13	
HEALTH MGMT ASSOC INC CL A (HMA)	GainLoss	400 03/01/2011	3,935.60 06/08/2011	4,144.20	208.60	
HEALTH MGMT ASSOC INC CL A (HMA)	GainLoss	400 03/21/2011	4,103.96 06/08/2011	4,144.20	40.24	
HOLSYS AUTOMATIC TECHNOLOG (HOLI)	GainLoss	100 07/08/2011	1,010.16 07/25/2011	864.28	-145.88	
HARBIN ELECTRIC, INC. (HREB)	GainLoss	400 01/20/2011	7,711.99 01/24/2011	7,327.84	-384.15	
HARRIS CORP (HRS)	GainLoss	200 05/09/2011	9,796.00 05/24/2011	9,470.07	-325.93	
HANWHA SOLARONE CO LTD (HSOL)	GainLoss	1,000 01/20/2011	8,728.84 03/10/2011	7,829.63	-899.21	
HS Mar 19 2011 30.0 Call	GainLoss	200 01/10/2011	341.53 02/22/2011	1,698.43	1,356.90	
HS Mar 19 2011 30.0 Call	GainLoss	100 01/10/2011	185.76 02/22/2011	829.22	643.46	
HATTERAS FINANCIAL CORP (HTS)	GainLoss	100 05/25/2011	2,888.80 06/06/2011	2,918.94	30.14	
HTS Jul 16 2011 29.0 Call	GainLoss Short	100 05/25/2011	60.77 06/06/2011	39.22	-21.55	
HEXCEL CORP NEW (HXL)	GainLoss	300 06/15/2011	6,029.03 07/29/2011	6,939.57	910.54	
ICONIX BRAND GROUP, INC (ICON)	GainLoss	400 01/04/2011	8,051.96 03/14/2011	8,277.84	225.88	
ICONIX BRAND GROUP, INC (ICON)	GainLoss	200 04/28/2011	4,736.03 05/26/2011	4,761.90	25.87	
IDT CORP CL B (IDT)	GainLoss	100 06/29/2011	2,736.12 08/03/2011	2,311.26	-424.86	
IDT CORP CL B (IDT)	Sell FIFO	200 08/24/2011	4,055.98 10/07/2011	3,656.36	-399.62	
IDT CORP CL B (IDT)	Wash Sale Adj	100 10/28/2011	-199.81 10/07/2011		199.81	
IDT CORP CL B (IDT)	Sell FIFO	100 10/28/2011	1,208.61 10/31/2011	1,199.41	-9.20	
INTEGRATED DEVICE TECH INC (IDTI)	GainLoss	1,000 03/18/2011	6,929.90 06/08/2011	7,525.35	595.45	
INTEGRATED DEVICE TECH INC (IDTI)	GainLoss	500 07/11/2011	3,919.03 07/14/2011	3,584.93	-334.10	
IGATE CORP (IGTE)	GainLoss	400 02/08/2011	6,967.96 03/15/2011	6,523.87	-444.09	
IGATE CORP (IGTE)	Wash Sale Adj	200 03/21/2011	-222.05 03/15/2011		222.05	
IGATE CORP (IGTE)	GainLoss	200 03/21/2011	3,764.01 04/15/2011	3,329.22	-434.79	
INGRAM MICRO INC CL A (IM)	GainLoss	500 06/14/2011	8,734.99 07/12/2011	8,766.89	31.90	
INTEL CORP (INTC)	GainLoss	200 05/12/2011	4,741.98 06/10/2011	4,253.91	-488.07	
INTC Aug 20 2011 25.0 Call	GainLoss Short	1,000 07/20/2011	47.23 07/21/2011	118.13	70.90	
INTC Aug 20 2011 25.0 Call	Expire Short	1,000 07/20/2011	0.00 08/22/2011	118.13	118.13	
INTC Aug 20 2011 23.0 Call	Expire Long	1,000 07/20/2011	655.62 08/22/2011		-655.62	
INTC Aug 20 2011 27.0 Call	Expire Long	1,000 07/20/2011	39.56 08/22/2011		-39.56	
INTC Jan 22 2011 20.0 Put	GainLoss Short	1,000 01/13/2011	30.15 01/14/2011	122.35	92.20	
INTC Jan 22 2011 21.0 Put	GainLoss	1,000 01/13/2011	407.64 01/14/2011	182.34	-225.30	
IMPAX LABORATORIES INC (IPXL)	GainLoss	200 01/06/2011	4,131.98 06/06/2011	4,874.05	742.07	
INTL RECTIFIER CORP (IRF)	GainLoss	300 01/06/2011	8,992.99 02/23/2011	9,372.26	379.27	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
INTL RECTIFIER CORP (IRF)	GainLoss	300 04/28/2011	10,236.80 05/03/2011	9,793.71	-443.09	
ITT CORP (ITT)	GainLoss	400 03/22/2011	22,788.25 04/13/2011	23,084.00	295.75	
ITT CORP (ITT)	GainLoss	200 07/12/2011	11,487.27 07/14/2011	11,306.33	-180.94	
ILLINOIS TOOL WKS INC (ITW)	GainLoss	400 06/15/2011	21,739.96 07/18/2011	22,451.56	711.60	
ITW Jan 22 2011 47 5 Call	GainLoss	600 11/03/2010	814.58 01/03/2011	4,015.35	3,200.77	
ITW Jun 18 2011 55 0 Call	GainLoss	500 03/01/2011	978.82 05/23/2011	951.68	-27.14	
ITW Mar 19 2011 52 5 Call	GainLoss	1,200 01/03/2011	3,969.16 01/31/2011	2,450.78	-1,518.38	
JARDEN CORP (JAH)	GainLoss	200 03/01/2011	6,553.98 05/17/2011	6,827.86	273.88	
JARDEN CORP (JAH)	GainLoss	100 05/23/2011	3,433.00 05/24/2011	3,371.87	-61.13	
JA SOLAR HOLDINGS CO LTD (JASO)	GainLoss	800 01/06/2011	5,615.92 03/10/2011	5,223.89	-392.03	
JC PENNEY CO INC HLDG CO (JCP)	GainLoss Short	400 02/09/2011	14,820.00 02/22/2011	14,235.72	-584.28	
JC PENNEY CO INC HLDG CO (JCP)	GainLoss Short	400 02/16/2011	15,400.24 02/22/2011	14,661.12	-739.12	
JC PENNEY CO INC HLDG CO (JCP)	Short WS Adj	400 02/16/2011	-584.28 02/22/2011		584.28	
JACOBS ENGR GRP INC (JEC)	Sell,FIFO	300 08/29/2011	10,745.85 09/06/2011	10,082.80	-663.05	
JEF Jan 22 2011 30 0 Call	GainLoss	500 11/17/2010	78.82 01/22/2011		-78.82	
SPDR LEHMAN HIGH YIELD BOND (JNK)	Sell FIFO	100 07/26/2010	3,928.00 08/19/2011	3,793.02	-213.97	
JONES GROUP INC (JNY)	GainLoss	500 02/28/2011	6,649.85 03/02/2011	6,435.88	-213.97	
JONES GROUP INC (JNY)	Wash Sale Adj	200 04/01/2011	-85.59 03/02/2011		85.59	
JONES GROUP INC (JNY)	GainLoss	200 04/01/2011	2,903.59 05/02/2011	2,649.96	-253.63	
JONES GROUP INC (JNY)	Wash Sale Adj	200 05/23/2011	-253.63 05/02/2011		253.63	
JONES GROUP INC (JNY)	GainLoss	800 05/23/2011	9,949.91 05/25/2011	9,632.32	-317.59	
JONES GROUP INC (JNY)	GainLoss	200 05/23/2011	2,741.11 05/25/2011	2,408.08	-333.03	
JONES GROUP INC (JNY)	GainLoss	300 07/11/2011	3,255.00 08/02/2011	3,759.23	504.23	
JONES GROUP INC (JNY)	GainLoss	100 07/21/2011	1,147.18 08/02/2011	1,253.08	105.90	
JONES GROUP INC (JNY)	GainLoss	400 07/21/2011	4,588.74 08/02/2011	4,871.90	283.16	
JOY GLOBAL INC (JOYG)	GainLoss	200 01/20/2011	17,653.36 01/21/2011	17,234.05	-419.31	
JOY GLOBAL INC (JOYG)	GainLoss	100 07/11/2011	9,413.00 07/29/2011	9,211.22	-201.78	
JOYG Jul 16 2011 105 0 Call	GainLoss	300 04/28/2011	1,140.04 05/11/2011	338.93	-801.11	
JAMES RIVER COAL CO (JRCC)	GainLoss	700 02/08/2011	14,888.37 02/10/2011	14,420.72	-467.65	
JAMES RIVER COAL CO (JRCC)	GainLoss	200 03/23/2011	4,852.99 04/12/2011	4,431.93	-421.06	
JAMES RIVER COAL CO (JRCC)	Wash Sale Adj	200 04/28/2011	-421.06 04/12/2011		421.06	
JRCC Jan 22 2011 25 0 Call	GainLoss	200 04/28/2011	4,962.97 05/11/2011	4,249.93	-713.04	
JRCC Mar 19 2011 25.0 Call	GainLoss	2,000 12/02/2010	1,015.26 01/03/2011	3,784.67	2,769.41	
KNIGHT CAPITAL GROUP INC CL (KCG)	GainLoss	1,000 01/03/2011	2,957.63 01/14/2011	1,942.31	-1,015.32	
KNIGHT CAPITAL GROUP INC CL (KCG)	Sell,FIFO	1,000 03/01/2011	13,919.70 03/01/2011	13,690.23	-229.47	
KINETIC CONCEPTS INC (KCI)	GainLoss	400 08/26/2011	4,932.68 11/01/2011	4,513.91	-418.77	
KCI Feb 19 2011 47 5 Call	GainLoss	300 12/06/2010	12,375.00 06/08/2011	16,559.68	4,184.68	
KEMET CORP (KEM)	GainLoss	600 01/27/2011	694.59 02/01/2011	325.40	-369.19	
KKR & CO LP (KKR)	GainLoss	200 07/12/2011	2,847.92 07/14/2011	2,702.07	-145.85	
KLA-TENCOR CORP (KLAC)	Sell FIFO	200 08/26/2011	2,433.98 09/06/2011	2,203.95	-230.03	
KLA-TENCOR CORP (KLAC)	GainLoss	200 03/23/2011	9,216.00 04/07/2011	8,741.83	-474.17	
KLA-TENCOR CORP (KLAC)	GainLoss	200 05/09/2011	9,014.00 05/17/2011	8,449.85	-564.15	
KLA-TENCOR CORP (KLAC)	GainLoss	100 07/11/2011	4,323.00 07/28/2011	4,127.88	-195.12	
KLAC Mar 19 2011 42.0 Call	Sell FIFO	300 08/29/2011	10,967.85 09/02/2011	10,569.27	-398.58	
KULICKE & SOFFA INDS INC (KLIC)	GainLoss	800 12/02/2010	1,366.10 01/14/2011	1,393.85	27.75	
KULICKE & SOFFA INDS INC (KLIC)	GainLoss	600 02/08/2011	5,933.82 02/23/2011	5,599.90	-333.92	
KULICKE & SOFFA INDS INC (KLIC)	GainLoss	500 05/20/2011	5,977.69 06/03/2011	5,531.64	-446.05	
KULICKE & SOFFA INDS INC (KLIC)	GainLoss	300 07/11/2011	3,323.97 07/11/2011	3,215.04	-108.93	
KENAMETAL INC (KMT)	GainLoss	300 03/01/2011	11,492.97 03/15/2011	11,021.78	-471.19	
KENAMETAL INC (KMT)	Wash Sale Adj	300 03/18/2011	-471.19 03/15/2011		471.19	
KENAMETAL INC (KMT)	GainLoss	100 03/18/2011	3,851.92 04/18/2011	3,753.09	-98.83	
KENAMETAL INC (KMT)	GainLoss	300 03/18/2011	12,026.94 04/18/2011	11,259.29	-767.65	
KENAMETAL INC (KMT)	Wash Sale Adj	200 04/28/2011	-511.77 04/18/2011		511.77	
KENAMETAL INC (KMT)	Wash Sale Adj	100 05/09/2011	-255.88 04/18/2011		255.88	
KENAMETAL INC (KMT)	GainLoss	200 04/28/2011	8,769.76 05/05/2011	7,850.12	-919.64	

-134.98

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
KENAMETAL INC (KMT)	GainLoss	100 05/09/2011	4,267.88 06/06/2011	3,870.98	-396.90	
KRATON PERFORMANCE POLYMES (KRA)	GainLoss	300 07/11/2011	11,861.66 07/12/2011	11,435.78	-425.88	
KRATON PERFORMANCE POLYMES (KRA)	Sell.FIFO	300 08/29/2011	7,352.97 09/01/2011	6,932.89	-420.08	
LDK Dec 17 2011 3.0 Put	Expire Short	4,000 11/25/2011	0.00 12/19/2011	1,769.48	1,769.48	
LDK Dec 17 2011 3.0 Put	Expire Short	500 11/28/2011	0.00 12/19/2011	146.49	146.49	
LDK Dec 17 2011 3.0 Put	Expire Short	500 11/28/2011	0.00 12/19/2011	141.49	141.49	
LDK Dec 17 2011 3.0 Put	Expire Short	500 11/28/2011	0.00 12/19/2011	136.49	136.49	
LDK Dec 17 2011 3.0 Put	Expire Short	2,000 11/28/2011	0.00 12/19/2011	545.98	545.98	
LDK Dec 17 2011 3.0 Put	Expire Short	2,500 11/28/2011	0.00 12/19/2011	682.48	682.48	
LDK Dec 17 2011 3.0 Put	Expire Short	500 11/28/2011	0.00 12/19/2011	136.49	136.49	
LDK Dec 17 2011 3.0 Put	Expire Short	1,000 11/28/2011	0.00 12/19/2011	272.99	272.99	
LDK Dec 17 2011 2.0 Put	Expire Long	4,000 11/25/2011	640.48 12/19/2011		-640.48	
LDK Dec 17 2011 2.0 Put	Expire Long	500 11/28/2011	43.50 12/19/2011		-43.50	
LDK Dec 17 2011 2.0 Put	Expire Long	500 11/28/2011	43.50 12/19/2011		-43.50	
LDK Dec 17 2011 2.0 Put	Expire Long	500 11/28/2011	43.50 12/19/2011		-43.50	
LDK Dec 17 2011 2.0 Put	Expire Long	2,000 11/28/2011	174.00 12/19/2011		-174.00	
LDK Dec 17 2011 2.0 Put	Expire Long	2,500 11/28/2011	217.50 12/19/2011		-217.50	
LDK Dec 17 2011 2.0 Put	Expire Long	500 11/28/2011	43.50 12/19/2011		-43.50	
LDK Dec 17 2011 2.0 Put	Expire Long	1,000 11/28/2011	87.00 12/19/2011		-87.00	
LEAR CORP (LEA)	GainLoss	100 05/13/2011	5,117.00 05/17/2011	4,868.90		-248.10
LEAR CORP (LEA)	Wash Sale Adj	100 05/24/2011	-248.10 05/17/2011			248.10
LEAR CORP (LEA)	GainLoss	100 05/24/2011	5,138.10 07/29/2011	4,705.90		-432.20
LIFE TECHNOLOGIES CORP (LIFE)	GainLoss	300 03/18/2011	15,209.29 04/18/2011	15,511.57		302.28
LIFE TECHNOLOGIES CORP (LIFE)	GainLoss	100 04/28/2011	5,558.00 05/24/2011	5,270.90		-287.10
LIBERTY INTERACTIVE CORP SR (LINTA)	GainLoss	700 05/10/2011	12,900.93 05/12/2011	12,522.02		-378.91
LKQ CORP OC COM (LKQX)	GainLoss	200 04/28/2011	5,040.12 06/09/2011	4,921.90		-118.22
LKQ CORP OC COM (LKQX)	Wash Sale Adj	200 06/15/2011	-118.22 06/09/2011			118.22
LKQ CORP OC COM (LKQX)	GainLoss	200 06/15/2011	5,148.22 07/28/2011	5,048.78		-97.44
LLL Jan 22 2011 75.0 Call	GainLoss	200 10/13/2010	371.53 01/19/2011	318.46		-53.07
LLL Jul 16 2011 80.0 Call	GainLoss	500 03/01/2011	2,003.82 03/24/2011	1,809.89		-193.93
LLL Jul 16 2011 95.0 Call	GainLoss Short	500 03/24/2011	60.16 04/04/2011	84.92		24.76
LLL Jul 16 2011 90.0 Call	GainLoss	500 03/24/2011	315.07 06/22/2011	321.13		6.06
LILLY ELI & CO (LLY)	GainLoss	100 05/13/2011	3,881.99 06/23/2011	3,690.23		-191.76
LILLY ELI & CO (LLY)	GainLoss	700 06/15/2011	26,116.93 06/23/2011	25,831.59		-285.34
LILLY ELI & CO (LLY)	Wash Sale Adj	100 06/15/2011	-191.76 06/23/2011			191.76
LILLY ELI & CO (LLY)	GainLoss	100 06/15/2011	3,922.75 06/27/2011	3,683.93		-238.82
LINCOLN NATL CORP IND (LNC)	GainLoss	200 03/23/2011	5,920.00 05/17/2011	5,849.90		-70.10
LINCARE HOLDINGS INC (LNC)	GainLoss	400 07/08/2011	11,426.00 07/11/2011	11,080.67		-345.33
LINCARE HOLDINGS INC (LNC)	GainLoss	200 10/06/2010	5,112.00 01/20/2011	5,224.39		112.39
LINCARE HOLDINGS INC (LNC)	GainLoss	200 01/04/2011	5,399.78 01/20/2011	5,224.38		-175.40
LINCARE HOLDINGS INC (LNC)	GainLoss	500 03/01/2011	14,599.23 05/17/2011	15,155.59		556.36
LINCARE HOLDINGS INC (LNC)	GainLoss	400 04/28/2011	12,604.78 05/17/2011	12,124.47		-480.31
LINCARE HOLDINGS INC (LNC)	GainLoss	300 07/12/2011	8,975.82 07/15/2011	8,687.92		-287.90
LNC Apr 16 2011 30.0 Call	GainLoss	1,000 12/05/2010	667.63 02/17/2011	3,092.29		2,424.66
LNC Apr 16 2011 32.0 Call	GainLoss	1,500 02/17/2011	2,771.47 02/22/2011	1,233.50		-1,537.97
LNKO Jun 18 2011 85.0 Put	GainLoss Short	200 05/27/2011	1,909.95 06/03/2011	1,518.42		-391.53
LNKO Jun 18 2011 87.5 Put	GainLoss	100 05/27/2011	890.77 06/03/2011	1,144.05		253.28
LNKO Jun 18 2011 82.5 Put	GainLoss	100 05/27/2011	620.77 06/03/2011	769.51		148.74
LENDER PROCESSING SERVICES (LPS)	GainLoss	200 02/08/2011	6,361.80 03/11/2011	6,445.05		83.25
LENDER PROCESSING SERVICES (LPS)	GainLoss	200 03/21/2011	6,514.00 04/13/2011	6,127.46		-386.54
LAM RESH CORP (LRCX)	GainLoss	200 01/10/2011	9,719.32 03/10/2011	10,567.76		848.44
LAM RESH CORP (LRCX)	GainLoss	400 05/12/2011	19,010.97 05/17/2011	18,655.30		-355.67
LAM RESH CORP (LRCX)	Wash Sale Adj	400 06/15/2011	-355.67 05/17/2011			355.67
LAM RESH CORP (LRCX)	GainLoss	400 06/15/2011	18,115.63 06/16/2011	17,337.31		-778.32
LAM RESH CORP (LRCX)	Wash Sale Adj	100 07/11/2011	-194.58 06/16/2011			194.58

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
LAM RESH CORP (LRCX)	GainLoss	100 07/11/2011	4,796.57 07/12/2011	4,269.91	-526.66	
LRCX Jan 22 2011 45 0 Call	GainLoss	500 10/25/2010	1,428.82 01/14/2011	3,013.05	1,584.23	
LRCX Jan 22 2011 50 0 Call	GainLoss Short	1,000 10/25/2010	1,578.23 01/14/2011	1,047.34	-530.89	
LRCX Jan 22 2011 55 0 Call	GainLoss	500 10/25/2010	203.82 01/14/2011	24.82	-179.00	
LRCX Jun 18 2011 60 0 Call	GainLoss	200 04/01/2011	460.03 04/08/2011	239.96	-220.07	
LATTICE SEMICONDUCTOR CORP (LSCC)	GainLoss	1,000 05/09/2011	6,759.98 05/24/2011	6,328.73	-431.25	
LATTICE SEMICONDUCTOR CORP (LSCC)	Wash Sale Adj	1,000 06/15/2011	-431.25 05/24/2011		431.25	
LATTICE SEMICONDUCTOR CORP (LSCC)	GainLoss	1,000 06/15/2011	6,456.84 07/12/2011	6,281.69	-175.15	
LEXMARK INTL INC (LXX)	GainLoss	300 02/28/2011	11,258.40 03/04/2011	10,871.82	-386.58	
MACYS INC (M)	GainLoss	700 02/09/2011	17,254.93 02/22/2011	16,603.99	-650.94	
MACYS INC (M)	GainLoss	700 02/16/2011	17,471.52 02/22/2011	16,638.75	-832.77	
MACYS INC (M)	Wash Sale Adj	700 02/16/2011	-650.94 02/22/2011		650.94	
MACYS INC (M)	Wash Sale Adj	500 03/11/2011	-594.84 02/22/2011		594.84	
MACYS INC (M)	GainLoss	500 03/11/2011	12,963.76 03/14/2011	11,645.40	-1,318.36	
MACYS INC (M)	GainLoss	6,000 05/05/2011	160,918.00 05/05/2011	209,988.46	49,070.46	
MACYS INC (M)	GainLoss	1,000 05/05/2011	26,289.80 05/05/2011	26,269.59	-20.21	
MACYS INC (M)	GainLoss Short	4,000 04/07/2011	102,199.66 04/07/2011	102,518.18	318.52	
MACYS INC (M)	GainLoss Short	2,000 05/05/2011	52,569.80 05/05/2011	52,623.58	53.78	
MA Jul 16 2011 300.0 Call	GainLoss	400 04/28/2011	1,608.06 06/29/2011	3,669.71	2,061.65	
MA Jul 16 2011 305 0 Call	GainLoss Short	400 04/28/2011	2,585.03 06/29/2011	1,223.91	-1,361.12	
MEDICINES CO (MDCO)	Sell.FIFO	500 08/26/2011	7,095.75 09/06/2011	6,705.02	-390.73	
MCDERMOTT INTERNATIONAL INC (MDR)	GainLoss	100 09/21/2010	1,438.99 01/10/2011	1,966.01	527.02	
MCDERMOTT INTERNATIONAL INC (MDR)	GainLoss	700 09/21/2010	10,451.08 01/10/2011	13,762.04	3,310.96	
MCDERMOTT INTERNATIONAL INC (MDR)	GainLoss	400 03/31/2011	10,148.00 04/12/2011	9,555.81	-592.19	
MEDTRONIC INC (MDT)	GainLoss	600 01/04/2011	22,278.00 01/05/2011	21,911.74	-366.26	
MEDTRONIC INC (MDT)	Wash Sale Adj	400 01/06/2011	-244.17 01/05/2011		244.17	
MEDTRONIC INC (MDT)	GainLoss	400 01/06/2011	14,887.45 01/10/2011	14,463.90	-423.55	
MDT May 21 2011 38 0 Call	GainLoss	400 01/20/2011	747.06 03/14/2011	480.93	-266.13	
METALICO INC (MEA)	GainLoss	300 05/09/2011	1,842.89 05/16/2011	1,712.97	-129.92	
METALICO INC (MEA)	Wash Sale Adj	300 05/23/2011	-129.92 05/16/2011		129.92	
METALICO INC (MEA)	GainLoss	200 05/23/2011	1,126.00 08/03/2011	1,041.49	-84.51	
METALICO INC (MEA)	GainLoss	300 05/23/2011	1,818.92 08/03/2011	1,562.24	-256.68	
MEDIFAST INC (MED)	GainLoss	100 05/09/2011	2,010.00 06/08/2011	2,269.15	259.15	
MEDIFAST INC (MED)	GainLoss	400 06/15/2011	8,661.34 06/16/2011	8,464.83	-196.51	
MERCER INTL INC-SBI (MERC)	GainLoss	300 05/24/2011	3,853.66 06/06/2011	3,551.59	-302.07	
METLIFE INC (MET)	GainLoss	600 01/04/2011	27,443.94 01/10/2011	27,077.53	-366.41	
METLIFE INC (MET)	GainLoss	100 05/13/2011	4,478.99 05/13/2011	4,416.47	-62.52	
METLIFE INC (MET)	GainLoss	400 07/08/2011	17,390.00 07/11/2011	17,071.67	-318.33	
MFA FINANCIAL INC (MFA)	GainLoss	1,000 04/29/2011	7,959.90 06/09/2011	7,899.94	-59.96	
MAGNA INTL INC CL A (MGA)	GainLoss	200 03/23/2011	9,965.98 04/08/2011	9,467.81	-498.17	
MAGNA INTL INC CL A (MGA)	GainLoss	100 05/09/2011	5,262.99 05/16/2011	4,868.90	-394.09	
MAGNA INTL INC CL A (MGA)	Wash Sale Adj	100 05/24/2011	-394.09 05/16/2011		394.09	
MGM Jun 18 2011 20.0 Call	GainLoss	100 05/24/2011	5,278.08 07/27/2011	4,870.90	-407.18	
MMM Apr 16 2011 95 0 Call	GainLoss	1,000 12/29/2010	817.63 06/18/2011		-817.63	
MMM Feb 19 2011 95 0 Call	GainLoss	700 01/20/2011	513.32 03/24/2011	356.89	-156.43	
MMM Mar 19 2011 95 0 Call	GainLoss Short	700 01/20/2011	7.10 02/04/2011	68.61	61.51	
MANKIND CORP (MNKD)	GainLoss Short	700 02/04/2011	0.00 03/19/2011	78.64	78.64	
MANKIND CORP (MNKD)	GainLoss	1,000 01/19/2011	8,910.00 01/20/2011	5,830.34	-3,079.66	
MANKIND CORP (MNKD)	GainLoss	600 01/20/2011	3,126.00 01/20/2011	3,498.05	372.05	
MANKIND CORP (MNKD)	GainLoss	1,000 01/20/2011	8,307.66 01/20/2011	5,830.09	-2,477.57	
MANKIND CORP (MNKD)	Wash Sale Adj	1,000 01/20/2011	-3,079.66 01/20/2011		3,079.66	
MNKD Feb 19 2011 6.0 Call	GainLoss Short	1,000 01/20/2011	447.65 01/20/2011	252.35	-195.30	
MNKD Feb 19 2011 6 0 Call	GainLoss Short	1,600 01/20/2011	732.23 01/20/2011	403.75	-328.48	
MNKD Feb 19 2011 7 0 Call	GainLoss Short	1,000 01/19/2011	197.65 01/20/2011	3,042.29	2,844.64	
MOMENTA PHARM (MNTA)	GainLoss	400 03/18/2011	5,936.00 06/17/2011	7,066.15	1,130.15	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
SKY-MOBI LTD (MOBI)	GainLoss	1,000 05/04/2011	14,589.80 05/24/2011	8,261.23	-6,328.57	
MOBI Jun 18 2011 10.0 Call	GainLoss Short	1,000 05/17/2011	557.73 05/24/2011	1,472.24	914.51	
MOBI May 21 2011 12.5 Call	GainLoss Short	500 05/04/2011	53.86 05/17/2011	1,449.90	1,396.04	
MOBI May 21 2011 12.5 Call	GainLoss Short	500 05/04/2011	33.86 05/17/2011	1,449.89	1,416.03	
MOLINA HEALTHCARE INC (MOH)	GainLoss	100 07/08/2011	2,731.00 07/18/2011	2,651.21	-79.79	
THE MOSAIC CO (MOS)	GainLoss	200 07/11/2011	13,448.00 08/04/2011	13,033.95	-414.05	
MOS Feb 19 2011 70.0 Put	GainLoss	2,000 01/20/2011	3,895.29 02/08/2011	52.20	-3,843.09	
MOS Feb 19 2011 72.5 Put	GainLoss Short	2,000 01/20/2011	202.79 02/08/2011	5,864.59	5,661.80	
MOS Mar 19 2011 85.0 Call	GainLoss	600 12/30/2010	1,594.58 01/20/2011	853.39	-741.19	
MINDRAY MEDICAL INTERNATIONAL (MR)	GainLoss	300 01/06/2011	8,417.97 01/07/2011	8,192.86	-225.11	
MINDRAY MEDICAL INTERNATIONAL (MR)	GainLoss	600 04/28/2011	16,056.38 06/07/2011	16,802.61	746.23	
MONTPELIER RE HLDGS (MRH)	GainLoss	100 12/16/2010	1,857.64 02/22/2011	2,049.21	191.57	
MONTPELIER RE HLDGS (MRH)	GainLoss	100 12/16/2010	1,857.64 02/22/2011	2,049.06	191.42	
MONTPELIER RE HLDGS (MRH)	GainLoss	100 12/16/2010	1,857.63 02/22/2011	2,048.97	191.34	
MONTPELIER RE HLDGS (MRH)	GainLoss	200 12/16/2010	3,715.28 02/22/2011	4,099.94	384.66	
MONTPELIER RE HLDGS (MRH)	GainLoss	300 12/16/2010	5,572.91 02/22/2011	6,147.18	574.27	
MARVELL TECH GRP LTD (MRVL)	GainLoss	500 03/01/2011	9,164.25 03/04/2011	8,345.33	-818.92	
MARVELL TECH GRP LTD (MRVL)	GainLoss	800 04/28/2011	12,655.92 05/02/2011	12,199.76	-456.16	
MARVELL TECH GRP LTD (MRVL)	GainLoss	600 07/12/2011	8,831.94 08/03/2011	8,495.83	-336.11	
MRVL May 21 2011 20.0 Call	GainLoss	1,000 01/10/2011	1,837.64 01/28/2011	1,268.32	-569.32	
MEDICIS PHARM CORP CL A (MRX)	GainLoss	700 01/06/2011	18,622.79 01/18/2011	18,170.68	-452.11	
MEDICIS PHARM CORP CL A (MRX)	GainLoss	100 04/28/2011	3,512.73 08/02/2011	3,553.73	41.00	
MORGAN STANLEY (MS)	GainLoss	400 01/04/2011	11,263.28 01/05/2011	11,471.83	208.55	
MORGAN STANLEY (MS)	GainLoss	200 01/05/2011	5,741.64 01/05/2011	5,735.91	-5.73	
MORGAN STANLEY (MS)	Wash Sale Adj	200 01/05/2011	-5.73 01/05/2011		5.73	
MORGAN STANLEY (MS)	GainLoss	600 01/05/2011	17,224.89 01/07/2011	16,895.83	-329.06	
MORGAN STANLEY (MS)	Wash Sale Adj	400 01/20/2011	-215.55 01/07/2011		215.55	
MORGAN STANLEY (MS)	GainLoss	400 01/20/2011	11,698.83 03/04/2011	11,408.78	-290.05	
MICROSOFT CORP (MSFT)	GainLoss	700 04/28/2011	18,564.00 04/29/2011	18,374.93	-189.07	
MICROSOFT CORP (MSFT)	Wash Sale Adj	700 05/09/2011	-189.07 04/29/2011		189.07	
MICROSOFT CORP (MSFT)	GainLoss	100 05/09/2011	2,592.99 05/12/2011	2,516.96	-76.03	
MICROSOFT CORP (MSFT)	GainLoss	700 05/09/2011	18,340.00 05/12/2011	17,618.73	-721.27	
MSFT Apr 16 2011 29.0 Call	GainLoss	1,000 01/20/2011	857.65 02/10/2011	282.34	-575.31	
MINDSPEED TECHNOLOGIES INC (MSPD)	GainLoss	300 06/15/2011	2,259.00 06/17/2011	2,147.95	-111.05	
MASTEC INC (MTZ)	GainLoss	300 03/18/2011	5,900.92 05/03/2011	6,389.87	488.95	
MASTEC INC (MTZ)	GainLoss	500 05/24/2011	10,264.98 05/24/2011	9,864.81	-400.17	
MICRON TECHNOLOGY INC (MU)	GainLoss	100 07/11/2011	2,082.63 08/03/2011	1,973.26	-109.37	
MAXIM INTEGRATED PRODUCTS I (MXIM)	GainLoss	500 12/02/2010	3,884.85 03/10/2011	5,024.90	1,140.05	
M Apr 16 2011 25.0 Put	GainLoss	400 05/20/2011	10,943.69 06/03/2011	10,475.99	-467.70	
M Apr 16 2011 26.0 Put	GainLoss Short	300 04/06/2011	76.71 04/07/2011	138.94	62.23	
M Feb 19 2011 26.0 Call	GainLoss	300 04/06/2011	317.49 04/08/2011	437.95	120.46	
M Mar 19 2011 28.0 Call	GainLoss	400 02/09/2011	71.06 02/19/2011		-71.06	
M May 21 2011 25.0 Put	GainLoss	1,000 02/09/2011	237.65 03/19/2011		-237.65	
M May 21 2011 25.0 Put	GainLoss Short	2,000 03/24/2011	395.29 05/05/2011	5,059.60	4,664.31	
M May 21 2011 26.0 Put	GainLoss Short	1,000 05/05/2011	197.65 05/05/2011	449.84	252.19	
M May 21 2011 26.0 Put	GainLoss Short	400 03/21/2011	187.06 05/05/2011	1,196.92	1,009.86	
M May 21 2011 26.0 Put	GainLoss Short	800 03/21/2011	374.12 05/05/2011	2,393.83	2,019.71	
M May 21 2011 26.0 Put	GainLoss Short	300 03/21/2011	140.29 05/05/2011	897.69	757.40	
M May 21 2011 26.0 Put	GainLoss Short	1,500 03/21/2011	701.47 05/05/2011	4,488.44	3,786.97	
M May 21 2011 30.0 Put	GainLoss	500 02/09/2011	2,853.82 03/14/2011	3,370.48	516.66	
M May 21 2011 35.0 Put	GainLoss	3,000 03/21/2011	34,972.94 05/05/2011		-34,972.94	
M May 21 2011 35.0 Put	GainLoss	2,000 03/24/2011	24,240.30 05/05/2011		-24,240.30	
M May 21 2011 35.0 Put	GainLoss	1,000 05/05/2011	9,330.15 05/05/2011		-9,330.15	
NEWCASTLE INVT CORP (NCT)	GainLoss	1,000 04/29/2011	6,179.95 05/04/2011	5,899.92	-280.03	
NEWCASTLE INVT CORP (NCT)	GainLoss	500 07/08/2011	3,185.37 07/18/2011	2,854.94	-330.43	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
99 CENTS ONLY STORES (NDN)	GainLoss	500 02/08/2011	8,049.85 03/24/2011	9,849.84	1,799.99	
NOBLE CORP (NE)	GainLoss	500 06/15/2011	18,888.79 06/17/2011	18,675.52	-213.27	
NFLX Apr 16 2011 235.0 Put	GainLoss	200 03/30/2011	1,460.03 04/01/2011	1,049.94	-410.09	
NFLX Dec 17 2011 145.0 Call	Expire Short	200 10/19/2011	0.00 12/19/2011	600.58	600.58	
NFLX Dec 17 2011 130.0 Call	Expire Long	200 10/19/2011	1,197.40 12/19/2011		-1,197.40	
NFLX Jul 16 2011 255.0 Put	GainLoss	300 06/02/2011	2,604.07 07/16/2011		-2,604.07	
NFLX Jul 16 2011 245.0 Put	GainLoss	600 06/02/2011	0.00 07/16/2011	3,344.79	3,344.79	
NFLX Jul 16 2011 235.0 Put	GainLoss	300 06/02/2011	1,041.07 07/16/2011		-1,041.07	
NFLX Jun 18 2011 145.0 Put	GainLoss	200 03/18/2011	666.03 06/18/2011		-666.03	
NFLX Jun 18 2011 170.0 Put	GainLoss Short	400 03/18/2011	0.00 06/18/2011	3,005.88	3,005.88	
NFLX Jun 18 2011 195.0 Put	GainLoss	200 03/18/2011	3,072.03 06/18/2011		-3,072.03	
NFLX May 27 2011 250.0 Put	GainLoss	300 05/25/2011	185.32 05/27/2011		-185.32	
NII HLDGS INC CL B (NIHD)	GainLoss	200 04/28/2011	8,427.86 06/10/2011	8,050.25	-377.61	
NALCO HLDG (NLC)	GainLoss	600 01/10/2011	17,909.82 01/20/2011	17,543.72	-366.10	
NALCO HLDG (NLC)	GainLoss	500 03/01/2011	12,784.70 03/15/2011	12,144.76	-639.94	
NALCO HLDG (NLC)	GainLoss	300 07/11/2011	8,639.98 07/20/2011	10,760.79	2,120.81	
NLC Jun 18 2011 28.0 Call	GainLoss	500 03/18/2011	453.82 06/18/2011		-453.82	
NAVIOS MARITIME HOLDINGS IN (NM)	GainLoss	500 02/28/2011	2,834.85 03/16/2011	2,625.02	-209.83	
NAVIOS MARITIME HOLDINGS IN (NM)	Wash Sale Adj	500 04/01/2011	-209.83 03/16/2011		209.83	
NAVIOS MARITIME HOLDINGS IN (NM)	GainLoss	500 04/01/2011	3,114.83 04/13/2011	2,674.99	-439.84	
NORTROP GRUMMAN CORP (NOC)	GainLoss	100 05/23/2011	6,451.00 07/08/2011	6,724.66	273.66	
NORTHERN OIL AND GAS INC (NOG)	GainLoss	1,000 05/03/2011	22,192.09 05/18/2011	20,100.89	-2,091.20	
NOG May 21 2011 18.0 Call	GainLoss Short	1,000 05/03/2011	2,278.96 05/18/2011	5,003.09	2,724.13	
NOK Oct 22 2011 5.0 Call	Sell to Close.FIFO	400 06/01/2011	803.09 10/17/2011	489.19	-313.90	
NEWPARK RES INC (NR)	GainLoss	700 12/03/2010	4,255.79 01/06/2011	4,101.93	-153.86	
NEWPARK RES INC (NR)	GainLoss	500 03/21/2011	3,735.03 04/11/2011	3,621.15	-113.88	
NEWPARK RES INC (NR)	GainLoss	200 05/13/2011	1,841.98 06/15/2011	1,635.96	-206.02	
NORFOLK SOUTHERN CORP (NSC)	GainLoss	300 01/21/2011	19,358.46 01/25/2011	18,995.66	-362.80	
NETEASE COM (NTES)	GainLoss	100 06/15/2011	4,297.99 08/03/2011	4,736.56	438.57	
NTES Mar 19 2011 38.0 Call	GainLoss	600 01/06/2011	1,354.59 02/10/2011	3,295.34	1,940.75	
NETSPEND HOLDINGS INC (NTSP)	Sell FIFO	400 08/29/2011	2,565.60 09/02/2011	2,126.31	-439.29	
NU SKIN ENTPR INC CL A (NUS)	GainLoss	100 07/08/2011	3,989.75 07/28/2011	3,823.92	-165.83	
NVDA Jan 22 2011 17.5 Call	GainLoss Short	600 01/03/2011	974.86 01/06/2011	85.41	-889.45	
NVDA Jan 22 2011 16.0 Call	GainLoss	300 01/03/2011	155.29 01/06/2011	867.94	712.65	
NVDA Jan 22 2011 19.0 Call	GainLoss	300 01/03/2011	20.29 01/06/2011	211.73	191.44	
NVDA Jun 18 2011 16.0 Put	GainLoss	200 04/01/2011	160.03 04/15/2011	99.96	-60.07	
NVDA Jun 18 2011 20.0 Call	GainLoss	200 04/01/2011	190.03 06/18/2011		-190.03	
NOVELLUS SYSTEMS INC (NVLS)	GainLoss	500 11/10/2010	14,914.95 01/04/2011	15,844.73	929.78	
NOVELLUS SYSTEMS INC (NVLS)	GainLoss	100 05/13/2011	3,775.99 05/25/2011	3,514.93	-261.06	
NOVELLUS SYSTEMS INC (NVLS)	GainLoss	200 07/11/2011	7,325.39 07/12/2011	6,758.83	-566.56	
NVLS Jun 18 2011 38.0 Call	GainLoss	500 04/01/2011	950.07 04/13/2011	314.92	-635.15	
NYT Oct 22 2011 6.0 Call	GainLoss	1,000 06/14/2011	2,157.73 07/07/2011	3,218.95	1,061.22	
NYT Oct 22 2011 9.0 Call	Expire Long	1,000 07/07/2011	1,097.23 10/24/2011		-1,097.23	
NYT Oct 22 2011 10.0 Call	Expire Short	1,000 07/07/2011	0.00 10/24/2011	542.75	542.75	
OCEANEERING INTL INC (OII)	GainLoss	300 06/15/2011	11,081.91 08/02/2011	12,408.82	1,326.91	
OLIN CORP (OLN)	GainLoss	300 03/23/2011	6,237.00 05/04/2011	7,273.06	1,036.06	
OLIN CORP (OLN)	GainLoss	1,100 05/23/2011	24,671.90 05/25/2011	24,315.31	-356.59	
OLN Aug 20 2011 20.0 Call	GainLoss	400 06/14/2011	1,043.09 07/07/2011	1,620.14	577.05	
OLN Aug 20 2011 25.0 Call	Expire Short	400 07/07/2011	0.00 08/22/2011	188.17	188.17	
OLN Aug 20 2011 25.0 Call	Expire Short	400 07/07/2011	0.00 08/22/2011	197.10	197.10	
OLN Aug 20 2011 22.5 Call	Expire Long	800 07/07/2011	1,539.92 08/22/2011		-1,539.92	
OFFICEMAX INC (OMX)	GainLoss	500 06/14/2011	3,334.92 07/12/2011	3,589.95	255.03	
ON SEMICONDUCTOR CORP (ONNN)	GainLoss	800 09/21/2010	5,455.92 02/23/2011	8,543.47	3,087.55	
ON SEMICONDUCTOR CORP (ONNN)	GainLoss	1,000 04/28/2011	10,289.90 05/25/2011	10,709.97	420.07	
OPEN Jul 16 2011 70.0 Put	GainLoss Short	400 05/25/2011	573.09 06/21/2011	636.89	63.80	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
OPEN Jul 16 2011 60.0 Put	GainLoss	200 05/25/2011	101 55 06/21/2011	51 44	-50.11	
OPEN Jul 16 2011 80 0 Put	GainLoss	200 05/25/2011	861 55 06/21/2011	1,118 17	256.62	
ORACLE CORP (ORCL)	GainLoss	300 01/04/2011	9,455.97 02/24/2011	9,492 05	36 08	
ORACLE CORP (ORCL)	GainLoss	400 04/29/2011	14,199.96 05/16/2011	13,667.77	-532.19	
ORACLE CORP (ORCL)	Wash Sale Adj	400 06/15/2011	-532 19 05/16/2011		532 19	
ORACLE CORP (ORCL)	GainLoss	400 06/15/2011	12,488.00 06/16/2011	12,284.04	-203 96	
ORACLE CORP (ORCL)	GainLoss	400 06/15/2011	13,020 19 06/16/2011	12,284.04	-736 15	
ORACLE CORP (ORCL)	Wash Sale Adj	400 07/11/2011	-203.96 06/16/2011		203 96	
ORACLE CORP (ORCL)	Wash Sale Adj	400 07/11/2011	-736.15 06/16/2011		736.15	
ORACLE CORP (ORCL)	GainLoss	400 07/11/2011	13,470.92 07/12/2011	13,085.76	-385 16	
ORACLE CORP (ORCL)	GainLoss	400 07/11/2011	14,003 11 07/12/2011	13,085 77	-917 34	
OMNIVISION TECH INC (OVTI)	GainLoss	200 05/09/2011	6,657.75 06/06/2011	6,468 86	-188 89	
OCCIDENTAL PETE CORP (OXY)	GainLoss	100 01/04/2011	9,598.00 01/26/2011	9,408.06	-189 94	
PITNEY BOWES INC (PBI)	Sell FIFO	300 08/29/2011	5,985.00 09/06/2011	5,594.89	-390 11	
PCLN May 21 2011 445 0 Put	GainLoss	200 04/06/2011	1,686.03 05/17/2011	38 78	-1,647 25	
PCLN May 21 2011 475.0 Put	GainLoss Short	400 04/06/2011	239.25 05/17/2011	6,129 82	5,890.57	
PCLN May 21 2011 505 0 Put	GainLoss	200 04/06/2011	5,386.03 05/17/2011	1,174 24	-4,211.79	
PCLN Nov 19 2011 520 0 Call	Expire Long	100 11/07/2011	2,500.70 11/21/2011		-2,500 70	
PCLN Nov 19 2011 545.0 Call	Buy to Close.FIFO	200 11/07/2011	11 40 11/18/2011	2,978.54	2,967 14	
PCLN Nov 19 2011 570 0 Call	Expire Long	100 11/07/2011	830 70 11/21/2011		-830 70	
METROPCS COMMUNICATIONS INC (PCS)	GainLoss	500 10/13/2010	5,544 70 06/06/2011	8,538 60	2,993.90	
METROPCS COMMUNICATIONS INC (PCS)	GainLoss	400 05/10/2011	7,211.96 06/06/2011	6,830 88	-381.08	
METROPCS COMMUNICATIONS INC (PCS)	Sell FIFO	400 08/29/2011	4,405 80 09/06/2011	4,017 92	-387 88	
PATTERSON COMPANIES, INC. (PDCO)	GainLoss	400 01/04/2011	12,392 00 02/18/2011	12,311 29	-80.71	
PDL BIOPHARMA INC (PDLI)	GainLoss	1,000 01/04/2011	6,269 90 01/10/2011	5,844 95	-424 95	
PDL BIOPHARMA INC (PDLI)	GainLoss	1,000 03/22/2011	5,490 00 06/10/2011	5,876 90	386 90	
PRINCIPAL FINL GRP (PFG)	GainLoss	500 02/08/2011	16,310.00 02/14/2011	15,936 70	-373 30	
PRINCIPAL FINL GRP (PFG)	Wash Sale Adj	200 03/01/2011	-149 32 02/14/2011		149 32	
PRINCIPAL FINL GRP (PFG)	GainLoss	200 03/01/2011	7,015.12 03/10/2011	6,447 88	-567 24	
PRINCIPAL FINL GRP (PFG)	GainLoss	300 04/28/2011	10,004 46 05/03/2011	9,479 81	-524.65	
PRINCIPAL FINL GRP (PFG)	GainLoss	300 06/14/2011	8,759 97 07/07/2011	9,161.96	401.99	
PGF May 21 2011 17 0 Put	GainLoss	15,100 04/13/2011	1,512.19 05/21/2011		-1,512 19	
PGF May 21 2011 17.0 Put	GainLoss	300 04/18/2011	17.29 05/21/2011		-17.29	
PARKER HANNIFIN CORP (PH)	GainLoss	100 01/04/2011	8,703.93 02/23/2011	8,818.83	114.90	
PARKER HANNIFIN CORP (PH)	GainLoss	100 03/18/2011	8,962 00 05/04/2011	9,069.82	107.82	
PARKER HANNIFIN CORP (PH)	GainLoss	100 06/15/2011	8,759 61 06/27/2011	8,364 37	-395 24	
PIER 1 IMPORTS INC (PIR)	GainLoss	400 07/08/2011	4,905 96 07/27/2011	4,543.91	-362.05	
PROTECTIVE LIFE CORP (PL)	GainLoss	300 04/28/2011	8,115.51 05/05/2011	7,347.11	-768 40	
PROTECTIVE LIFE CORP (PL)	GainLoss	400 07/08/2011	9,288 25 07/12/2011	8,853.82	-434.43	
PENTAIR INC (PNR)	GainLoss	300 02/09/2011	11,441 40 02/23/2011	11,000 81	-440 59	
POLYONE CORP (POL)	GainLoss	400 12/06/2010	5,803 30 01/07/2011	5,260 31	-542 99	
POLYONE CORP (POL)	GainLoss	300 03/01/2011	4,299.00 05/17/2011	4,112 95	-186.05	
POLYONE CORP (POL)	Wash Sale Adj	300 06/15/2011	-186 05 05/17/2011		186.05	
POLYONE CORP (POL)	GainLoss	100 06/15/2011	1,435.41 08/02/2011	1,517.03	81 62	
POLYONE CORP (POL)	GainLoss	300 06/15/2011	4,492 27 08/02/2011	4,551 10	58.83	
PHARMACEUTICAL PRODUCT DEVE (PPDI)	GainLoss	100 04/01/2011	2,809.00 05/05/2011	2,913 95	104 95	
PHARMACEUTICAL PRODUCT DEVE (PPDI)	GainLoss	102 07/12/2011	2,859.36 07/28/2011	3,028 32	168 96	
PPG INDS INC (PPG)	GainLoss	200 03/23/2011	17,704 00 04/12/2011	18,696.37	992 37	
PPG INDS INC (PPG)	GainLoss	100 07/11/2011	9,054.66 07/26/2011	8,733 83	-320 83	
PRUDENTIAL FINL (PRU)	GainLoss	400 12/06/2010	21,735.38 03/14/2011	24,679.78	2,944.40	
PRUDENTIAL FINL (PRU)	GainLoss	200 04/28/2011	12,670.00 05/05/2011	12,265.76	-404.24	
PRUDENTIAL FINL (PRU)	Wash Sale Adj	100 05/23/2011	-202.12 05/05/2011		202.12	
PRUDENTIAL FINL (PRU)	GainLoss	100 05/23/2011	6,511.12 06/08/2011	5,925 17	-585.95	
PRU Apr 16 2011 67.5 Call	GainLoss	400 03/02/2011	20.06 04/06/2011	340.93	320.87	
PAREXEL INTL CORP (PRXL)	GainLoss Short	200 04/01/2011	4,960.00 05/03/2011	4,411.93	-548 07	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
COLLECTIVE BRANDS INC (PSS)	GainLoss	300 09/21/2010	4,695.00 03/08/2011	6,149.88	1,454.88	
PAIN THERAPEUTICS (PTIE)	GainLoss	500 05/04/2011	4,415.00 06/20/2011	4,634.83	219.83	
POWER-ONE INC (POWER)	GainLoss	500 01/20/2011	5,449.96 02/04/2011	4,619.90	-830.06	
POWER-ONE INC (POWER)	GainLoss	500 03/21/2011	4,244.89 03/22/2011	4,082.08	-162.81	
POWER-ONE INC (POWER)	GainLoss	1,000 04/29/2011	8,169.76 05/04/2011	7,703.51	-466.25	
POWER-ONE INC (POWER)	Wash Sale Adj	1,000 05/09/2011	-466.25 05/04/2011		466.25	
POWER-ONE INC (POWER)	GainLoss	1,000 05/09/2011	8,933.33 05/24/2011	8,053.46	-879.87	
POWER-ONE INC (POWER)	Wash Sale Adj	1,000 06/15/2011	-879.87 05/24/2011		879.87	
POWER-ONE INC (POWER)	GainLoss	1,000 06/15/2011	8,999.76 07/11/2011	7,860.93	-1,138.83	
POWER-ONE INC (POWER)	Sell FIFO	500 08/29/2011	3,840.90 09/02/2011	3,510.78	-330.12	
PERFECT WORLD CO LTD (PWRD)	GainLoss	200 01/06/2011	4,602.00 01/13/2011	4,374.92	-227.08	
PERFECT WORLD CO LTD (PWRD)	GainLoss	100 05/09/2011	2,484.13 05/12/2011	2,424.95	-59.18	
PERFECT WORLD CO LTD (PWRD)	Wash Sale Adj	100 05/13/2011	-59.18 05/12/2011		59.18	
PERFECT WORLD CO LTD (PWRD)	GainLoss	100 05/13/2011	2,522.68 05/16/2011	2,342.59	-180.09	
PERFECT WORLD CO LTD (PWRD)	GainLoss	200 01/06/2011	3,001.80 02/07/2011	2,786.89	-214.91	
QUESTCOR PHARMS INC (QCOR)	GainLoss	400 03/22/2011	5,375.97 08/04/2011	11,681.99	6,306.02	
QUESTCOR PHARMS INC (QCOR)	GainLoss	1,000 05/03/2011	26,152.66 05/18/2011	27,430.74	1,278.08	
QIHOO 360 TECHNOLOGY CO LTD (QIHU)	GainLoss Short	1,000 05/03/2011	2,728.95 05/18/2011	2,692.29	-36.66	
QIHU May 21 2011 25.0 Call	GainLoss	400 01/21/2011	6,959.96 04/18/2011	6,864.19	-95.77	
QLOGIC CORP (QLGC)	Sell FIFO	500 08/30/2011	6,945.75 09/02/2011	6,571.87	-373.88	
RAX Jul 16 2011 42.0 Call	GainLoss Short	500 05/25/2011	403.86 06/16/2011	1,241.11	837.25	
RAX Jul 16 2011 41.0 Call	GainLoss	500 05/25/2011	1,508.86 06/16/2011	511.13	-997.73	
RUBICON TECHNOLOGY INC (RBCN)	GainLoss	100 03/18/2011	2,706.99 04/13/2011	2,472.17	-234.82	
RUBICON TECHNOLOGY INC (RBCN)	Wash Sale Adj	100 04/28/2011	-234.82 04/13/2011		234.82	
RUBICON TECHNOLOGY INC (RBCN)	GainLoss	100 04/28/2011	3,069.93 05/06/2011	2,628.34	-441.59	
ROWAN COS INC (RDC)	GainLoss	400 06/15/2011	14,543.11 07/12/2011	14,688.81	145.70	
RF MICRO DEVICES INC (RFMD)	GainLoss	900 01/04/2011	6,875.73 01/26/2011	6,375.86	-499.87	
RF MICRO DEVICES INC (RFMD)	GainLoss	2,000 03/18/2011	12,389.60 04/12/2011	11,774.03	-615.57	
RF MICRO DEVICES INC (RFMD)	Wash Sale Adj	2,000 04/28/2011	-615.57 04/12/2011		615.57	
RF MICRO DEVICES INC (RFMD)	GainLoss	1,000 04/28/2011	6,600.00 05/03/2011	6,326.90	-273.10	
RF MICRO DEVICES INC (RFMD)	GainLoss	1,000 04/28/2011	6,907.78 05/03/2011	6,326.91	-580.87	
RF MICRO DEVICES INC (RFMD)	GainLoss	1,000 04/28/2011	6,897.48 05/03/2011	6,331.54	-565.94	
RF MICRO DEVICES INC (RFMD)	Wash Sale Adj	1,000 05/23/2011	-580.87 05/03/2011		580.87	
RF MICRO DEVICES INC (RFMD)	GainLoss	1,000 05/23/2011	6,416.70 06/13/2011	5,539.89	-876.81	
RF MICRO DEVICES INC (RFMD)	Wash Sale Adj	600 07/11/2011	-526.09 06/13/2011		526.09	
RF MICRO DEVICES INC (RFMD)	GainLoss	600 07/11/2011	4,534.03 07/12/2011	3,671.92	-862.11	
RIMM Sep 17 2011 35.0 Call	Expire Short	400 07/07/2011	0.00 09/19/2011	258.03		
RIMM Sep 17 2011 40.0 Call	Expire Long	200 07/07/2011	46.92 09/19/2011		-46.92	
RIMM Sep 17 2011 30.0 Call	Expire Long	200 07/07/2011	395.91 09/19/2011		-395.91	
RIO TINTO PLC (RIO)	GainLoss	100 07/11/2011	7,103.00 08/02/2011	6,846.86	-256.14	
ELEMENTS LINKED TO THE ROGE (RJA)	GainLoss	100 05/27/2011	1,089.00 06/27/2011	990.98	-98.02	
RAMBUS INC (RMBS)	GainLoss	200 05/03/2011	3,757.98 05/18/2011	2,821.94	-936.04	
RAMBUS INC (RMBS)	GainLoss	300 05/03/2011	5,636.98 05/18/2011	4,229.91	-1,407.07	
RAMBUS INC (RMBS)	GainLoss	500 05/03/2011	9,394.95 05/18/2011	7,043.84	-2,351.11	
RAMBS Jun 18 2011 17.0 Call	GainLoss Short	1,000 05/16/2011	177.73 05/17/2011	372.26	194.53	
RAMBS May 21 2011 18.0 Call	GainLoss Short	1,000 05/03/2011	57.73 05/16/2011	1,329.82	1,272.09	
ROCKWOOD HOLDINGS INC (ROC)	GainLoss	300 02/08/2011	12,806.00 04/12/2011	14,261.72	1,455.72	
ROCKWELL AUTOMATION INC (ROK)	GainLoss	200 01/06/2011	14,579.44 04/18/2011	18,061.65	3,482.21	
ROCKWELL AUTOMATION INC (ROK)	Sell FIFO	100 08/30/2011	6,275.90 09/02/2011	5,926.20	-349.70	
ROP May 21 2011 85.0 Call	GainLoss Short	200 05/10/2011	41.55 05/17/2011	198.45	156.90	
ROP May 21 2011 80.0 Call	GainLoss	200 02/08/2011	961.53 05/17/2011	528.43	-433.10	
RPM May 21 2011 22.5 Call	GainLoss	1,000 01/20/2011	1,257.65 03/14/2011	776.26	-481.39	
RR DONNELLEY & SONS CO (RRD)	GainLoss	200 04/01/2011	3,810.00 06/16/2011	3,832.59	22.59	
RR DONNELLEY & SONS CO (RRD)	GainLoss	100 06/14/2011	1,983.99 06/16/2011	1,916.29	-67.70	
RADIOSHACK CORP (RSH)	GainLoss	1,000 05/09/2011	16,080.00 05/23/2011	15,626.53	-453.47	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
RADIOHACK CORP (RSH)	GainLoss	400 07/11/2011	5,329.96 07/14/2011	5,156.72	-173.24	
RTN May 21 2011 52.5 Call	GainLoss	500 01/20/2011	928.82 05/21/2011		-928.82	
SAIC INC (SAI)	GainLoss	800 01/20/2011	13,135.52 02/24/2011	12,704.15	-431.37	
SANMINA-SCI CORP (SANMI)	GainLoss	100 07/11/2011	974.88 07/12/2011	936.29	-38.59	
SA Jan 22 2011 12.5 Put	GainLoss	300 03/01/2010	258.04 01/22/2011		-258.04	
SOUTHERN COPPER CORP (SCCO)	GainLoss	500 01/10/2011	27,467.94 01/20/2011	27,107.46	-360.48	
SOUTHERN COPPER CORP (SCCO)	GainLoss	300 03/01/2011	12,522.00 03/08/2011	12,056.76	-465.24	
SOUTHERN COPPER CORP (SCCO)	Wash Sale Adj	200 03/28/2011	-310.16 03/08/2011		310.16	
SOUTHERN COPPER CORP (SCCO)	GainLoss	200 03/28/2011	8,521.06 04/07/2011	7,849.86	-671.20	
SOUTHERN COPPER CORP (SCCO)	GainLoss	200 05/20/2011	7,017.96 06/01/2011	6,650.93	-367.03	
SOUTHERN COPPER CORP (SCCO)	GainLoss	100 07/11/2011	3,388.00 07/29/2011	3,412.02	24.02	
SOUTHERN COPPER CORP (SCCO)	Sell FIFO	200 08/29/2011	6,435.32 09/12/2011	6,099.32	-336.00	
SCCO Jun 18 2011 39.0 Call	GainLoss Short	1,000 04/14/2011	37.73 06/07/2011	1,077.75	1,040.02	
SCCO Jun 18 2011 38.0 Put	GainLoss	1,000 04/08/2011	1,800.15 06/07/2011	7,082.13	5,281.98	
SCCO Jun 18 2011 39.0 Put	GainLoss Short	1,000 04/08/2011	8,107.73 06/07/2011	2,199.80	-5,907.93	
SCCO Jun 18 2011 40.0 Call	GainLoss	1,000 04/14/2011	838.08 06/07/2011	12.26	-825.82	
SCCO Mar 19 2011 48.0 Call	GainLoss	100 01/14/2011	180.76 02/15/2011	44.23	-136.53	
SCCO Mar 19 2011 48.0 Call	GainLoss	100 01/14/2011	180.76 02/15/2011	44.23	-136.53	
SDS Jul 29 2011 21.0 Call	GainLoss	500 07/26/2011	38.61 07/29/2011	241.38	202.77	
SDS Jul 29 2011 21.0 Call	GainLoss	1,000 07/26/2011	77.23 07/29/2011	415.76	338.53	
SDS Jul 29 2011 22.0 Call	GainLoss Short	800 07/28/2011	60.66 07/29/2011	29.73	-30.93	
SDS Jul 29 2011 22.0 Call	GainLoss Short	700 07/28/2011	56.16 07/29/2011	26.01	-30.15	
SDS Jul 29 2011 22.0 Call	GainLoss Short	200 07/28/2011	23.78 07/29/2011	10.19	-13.59	
SDS Jul 29 2011 22.0 Call	Short WS Adj	200 07/28/2011	-7.73 07/29/2011		7.73	
SDS Jul 29 2011 23.0 Call	GainLoss	700 07/28/2011	19.06 07/29/2011		-19.06	
SEI INVTs CO (SEIC)	GainLoss	200 04/01/2011	4,822.00 04/18/2011	4,541.18	-280.82	
SHLD Sep 17 2011 75.0 Call	Expire Long	200 06/22/2011	553.99 09/19/2011		-553.99	
SHLD Sep 17 2011 85.0 Call	Expire Short	200 06/22/2011	0.00 09/19/2011	146.44	146.44	
SHLD Sep 17 2011 85.0 Call	Expire Short	200 06/22/2011	0.00 09/19/2011	145.25	145.25	
SHLD Sep 17 2011 95.0 Call	Expire Long	200 06/22/2011	45.55 09/19/2011		-45.55	
SIEMENS AG ADR (SI)	GainLoss	100 05/10/2011	13,823.99 05/12/2011	13,433.74	-390.25	
CIA SIDERURGICA NACIONAL SP (SID)	GainLoss	500 03/31/2011	8,375.00 04/12/2011	8,124.89	-250.11	
CIA SIDERURGICA NACIONAL SP (SID)	GainLoss	1,000 07/08/2011	12,316.00 07/11/2011	12,111.96	-204.04	
SKECHERS U S A INC CL A (SKX)	GainLoss	500 03/01/2011	10,310.00 03/03/2011	9,931.80	-378.20	
SLM CORP (SLM)	GainLoss	1,100 05/23/2011	17,675.79 05/24/2011	17,280.94	-394.85	
SLM CORP (SLM)	GainLoss	800 07/08/2011	13,381.92 07/11/2011	13,086.14	-295.78	
SLV Apr 01 2011 35.0 Put	GainLoss	300 03/24/2011	100.64 03/28/2011	101.95	1.31	
SLV Jun 18 2011 28.0 Put	GainLoss	500 05/06/2011	330.07 06/03/2011	26.13	-303.94	
SLV Jun 18 2011 42.0 Call	GainLoss	500 05/06/2011	395.40 06/03/2011	26.13	-369.27	
SLV Jun 18 2011 30.0 Put	GainLoss Short	500 05/06/2011	68.86 06/03/2011	504.92	436.06	
SLV Jun 18 2011 40.0 Call	GainLoss Short	500 05/06/2011	83.86 06/03/2011	570.24	486.38	
SLV May 21 2011 45.0 Call	GainLoss Short	1,000 04/27/2011	4,080.15 04/28/2011	2,352.81	-1,727.34	
SLV May 21 2011 46.0 Call	GainLoss	1,000 04/27/2011	1,899.81 04/28/2011	3,409.78	1,509.97	
SEMTECH CORP (SMTC)	GainLoss	200 03/18/2011	4,615.89 06/10/2011	5,237.89	622.00	
SEMTECH CORP (SMTC)	Sell FIFO	200 08/31/2011	4,259.98 09/06/2011	4,068.58	-191.40	
SANDISK CORP (SNDK)	GainLoss	200 10/06/2010	7,682.92 01/20/2011	9,824.31	2,141.39	
SANDISK CORP (SNDK)	GainLoss	400 05/09/2011	18,951.84 05/17/2011	18,499.65	-452.19	
SPREADTRUM COMMUNICATIONS I (SPRD)	GainLoss	400 01/04/2011	7,276.00 02/18/2011	8,455.83	1,179.83	
SPREADTRUM COMMUNICATIONS I (SPRD)	GainLoss	500 03/01/2011	9,944.95 03/14/2011	9,609.81	-335.14	
SUNPOWER CORP (SPWRA)	GainLoss	200 03/21/2011	3,253.98 04/18/2011	3,033.32	-220.66	
SPDR S&P 500 ETF TR (SPY)	GainLoss	14,000 03/21/2011	1,810,292.78 03/21/2011	1,801,708.81	-8,583.97	
SPY Apr 16 2011 132.0 Call	GainLoss	10,000 04/08/2011	13,801.33 04/11/2011	11,098.33	-2,703.00	
SPY Apr 16 2011 133.0 Call	GainLoss Short	10,000 04/08/2011	5,701.45 04/11/2011	7,998.39	2,296.94	
SPY Aug 20 2011 133.0 Put	GainLoss	6,000 07/26/2011	13,437.35 08/12/2011	95,138.02	81,700.67	
SPY Aug 20 2011 129.0 Put	GainLoss Short	12,000 07/26/2011	142,743.50 08/12/2011	13,501.03	-129,242.47	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
SPY Aug 20 2011 125.0 Put	GainLoss	6,000 07/26/2011	3,597.35 08/12/2011	48,389.31	44,791.96	
SPY Aug 26 2011 116.0 Put	Sell to Close.FIFO	300 08/23/2011	431.10 08/26/2011	297.89	-133.21	
SPY Dec 09 2011 126.0 Call	Sell to Close.FIFO	500 12/08/2011	228.50 12/09/2011	186.64	-41.86	
SPY Dec 09 2011 127.0 Call	Buy to Close.FIFO	500 12/08/2011	18.50 12/09/2011	146.49	127.99	
SPY Feb 04 2011 125.0 Put	GainLoss	5,000 01/28/2011	1,188.23 01/31/2011	1,619.77	431.54	
SPY Feb 04 2011 123.0 Put	GainLoss Short	5,000 01/28/2011	996.27 01/31/2011	1,261.74	265.47	
SPY Feb 04 2011 123.0 Put	GainLoss Short	10,000 01/31/2011	0.00 02/04/2011	1,473.50	1,473.50	
SPY Feb 04 2011 124.0 Put	GainLoss	10,000 01/31/2011	2,126.46 02/04/2011		-2,126.46	
SPY Feb 25 2011 134.0 Put	GainLoss	400 02/18/2011	299.06 02/22/2011	564.92	265.86	
SPY Jan 07 2011 128.0 Call	GainLoss	500 01/06/2011	103.82 01/07/2011		-103.82	
SPY Jan 22 2011 124.0 Call	GainLoss	1,600 11/12/2010	2,796.21 01/05/2011	6,304.74	3,508.53	
SPY Jan 22 2011 127.0 Call	GainLoss Short	3,200 11/12/2010	5,602.09 01/05/2011	2,823.52	-2,778.57	
SPY Jan 22 2011 130.0 Call	GainLoss	1,600 11/12/2010	684.21 01/05/2011	700.33	16.12	
SPY Jul 08 2011 132.0 Call	GainLoss	2,000 07/08/2011	3,574.45 07/08/2011	3,808.57	234.12	
SPY Jun 03 2011 134.0 Call	GainLoss	1,000 06/01/2011	427.73 06/01/2011	360.89	-66.84	
SPY Jun 03 2011 134.0 Call	Wash Sale Adj	1,000 06/01/2011	-66.84 06/01/2011		66.84	
SPY Jun 03 2011 134.0 Call	GainLoss	6,000 06/01/2011	1,353.20 06/03/2011		-1,353.20	
SPY Jun 18 2011 128.0 Call	GainLoss	5,000 06/15/2011	1,938.63 06/17/2011	1,661.33	-277.30	
SPY Mar 04 2011 132.0 Call	GainLoss	5,000 03/01/2011	2,788.23 03/01/2011	2,761.71	-26.52	
SPY Mar 19 2011 130.0 Put	GainLoss Short	1,300 02/18/2011	1,777.94 02/22/2011	1,265.03	-512.91	
SPY Mar 19 2011 130.0 Put	GainLoss Short	1,700 02/18/2011	2,104.00 02/22/2011	1,635.97	-468.03	
SPY Mar 19 2011 130.0 Put	GainLoss Short	900 02/18/2011	1,468.66 02/22/2011	866.10	-602.56	
SPY Mar 19 2011 130.0 Put	GainLoss Short	400 02/18/2011	649.19 02/22/2011	384.93	-264.26	
SPY Mar 19 2011 130.0 Put	GainLoss Short	900 02/22/2011	1,352.66 02/22/2011	1,145.09	-207.57	
SPY Mar 19 2011 130.0 Put	GainLoss Short	400 02/22/2011	581.19 02/22/2011	508.93	-72.26	
SPY Mar 19 2011 130.0 Put	Short WS Adj	1,300 02/18/2011	-512.91 02/22/2011		512.91	
SPY Mar 19 2011 130.0 Put	Short WS Adj	1,300 02/22/2011	-357.91 02/22/2011		357.91	
SPY Mar 19 2011 131.0 Put	GainLoss	1,300 02/18/2011	1,504.94 02/22/2011	2,070.02	565.08	
SPY Mar 19 2011 131.0 Put	GainLoss	1,700 02/18/2011	1,968.00 02/22/2011	2,468.95	500.95	
SPY Mar 19 2011 131.0 Put	GainLoss	900 02/22/2011	1,392.88 02/22/2011	1,307.09	-85.79	
SPY Mar 19 2011 131.0 Put	GainLoss	400 02/22/2011	619.06 02/22/2011	560.92	-58.14	
SPY Mar 19 2011 131.0 Put	Wash Sale Adj	900 02/22/2011	-85.79 02/22/2011		85.79	
SPY Mar 19 2011 131.0 Put	Wash Sale Adj	400 02/22/2011	-58.14 02/22/2011		58.14	
SPY Mar 19 2011 134.0 Put	GainLoss	1,300 02/18/2011	2,809.94 02/22/2011	3,317.99	508.05	
SPY Mar 31 2011 126.0 Put	GainLoss	10,000 03/16/2011	24,336.19 03/31/2011		-24,336.19	
SPY Mar 31 2011 127.0 Put	GainLoss Short	10,000 03/16/2011	101.45 03/30/2011	28,432.74	28,331.29	
SPY Mar 31 2011 129.0 Put	GainLoss	12,000 03/10/2011	25,711.74 03/31/2011		-25,711.74	
SPY Mar 31 2011 130.0 Put	GainLoss Short	12,000 03/10/2011	0.00 03/31/2011	30,207.67	30,207.67	
SPY May 06 2011 136.0 Call	GainLoss	20,000 05/04/2011	3,502.90 05/06/2011	197.09	-3,305.81	
SPY May 06 2011 136.0 Call	GainLoss	10,000 05/05/2011	701.45 05/06/2011	98.55	-602.90	
SPY May 13 2011 135.0 Call	GainLoss	3,000 05/11/2011	1,073.06 05/12/2011	276.93	-796.13	
SPY May 21 2011 135.0 Call	GainLoss	4,000 05/18/2011	670.90 05/18/2011	1,009.08	338.18	
SPY May 21 2011 135.0 Call	GainLoss	4,000 05/18/2011	835.80 05/18/2011	1,169.07	333.27	
SPY May 27 2011 133.0 Call	GainLoss	1,000 05/24/2011	337.73 05/27/2011	712.25	374.52	
SPY May 27 2011 133.0 Call	GainLoss	1,000 05/24/2011	337.72 05/27/2011	502.26	164.54	
SPY May 27 2011 134.0 Call	GainLoss Short	2,000 05/24/2011	115.45 05/26/2011	184.54	69.09	
SPY Nov 04 2011 124.0 Call	Sell to Close.FIFO	500 11/01/2011	568.50 11/04/2011	599.31	30.81	
SPY Nov 04 2011 130.0 Call	Expire Long	500 11/01/2011	28.20 11/07/2011		-28.20	
SPY Nov 11 2011 128.0 Call	Buy to Close.FIFO	1,000 11/09/2011	27.00 11/11/2011	84.09	57.09	
SPY Nov 11 2011 125.0 Call	Sell to Close.FIFO	500 11/09/2011	349.05 11/11/2011	676.48	327.43	
SPY Nov 11 2011 131.0 Call	Expire Long	500 11/09/2011	13.50 11/14/2011		-13.50	
SPY Oct 22 2011 121.0 Call	Sell to Close.FIFO	300 09/21/2011	965.10 10/17/2011	585.55	-379.55	
SPY Oct 22 2011 126.0 Call	Buy to Close.FIFO	600 09/21/2011	100.20 10/17/2011	680.44	580.24	
SPY Oct 22 2011 131.0 Call	Sell to Close.FIFO	300 09/21/2011	80.10 10/17/2011	0.89	-79.21	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	2,000 03/16/2011	97,539.80 03/16/2011	96,208.15	-1,331.65	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
ULTRA S&P500 PROSHARES (SSO)	GainLoss	2,000 03/16/2011	98,331.45 03/16/2011	96,208.15	-2,123.30	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	2,000 03/16/2011	96,587.33 03/16/2011	96,161.49	-425.84	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	2,000 03/16/2011	98,710.63 03/16/2011	96,208.15	-2,502.48	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	2,000 03/16/2011	96,525.84 03/16/2011	96,268.15	-257.69	
ULTRA S&P500 PROSHARES (SSO)	Wash Sale Adj	2,000 03/16/2011	-1,331.65 03/16/2011		1,331.65	
ULTRA S&P500 PROSHARES (SSO)	Wash Sale Adj	2,000 03/16/2011	-2,123.30 03/16/2011		2,123.30	
ULTRA S&P500 PROSHARES (SSO)	Wash Sale Adj	2,000 03/16/2011	-425.84 03/16/2011		425.84	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	500 06/16/2011	24,444.95 06/16/2011	24,259.53	-185.42	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	500 06/16/2011	24,655.37 06/16/2011	24,260.00	-395.37	
ULTRA S&P500 PROSHARES (SSO)	Wash Sale Adj	500 06/16/2011	-185.42 06/16/2011		185.42	
ULTRA S&P500 PROSHARES (SSO)	Wash Sale Adj	500 06/16/2011	-395.37 06/16/2011		395.37	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	600 06/16/2011	29,382.99 06/17/2011	29,627.43	244.44	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	600 06/16/2011	29,620.25 06/17/2011	29,669.49	49.24	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	300 06/16/2011	14,713.46 06/17/2011	14,753.82	40.36	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	1,400 06/17/2011	69,111.87 06/17/2011	68,851.14	-260.73	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	200 06/17/2011	9,976.28 06/17/2011	9,835.87	-140.41	
ULTRA S&P500 PROSHARES (SSO)	Wash Sale Adj	100 06/16/2011	-25.16 06/17/2011		25.16	
ULTRA S&P500 PROSHARES (SSO)	Wash Sale Adj	200 06/16/2011	-36.30 06/17/2011		36.30	
ULTRA S&P500 PROSHARES (SSO)	Wash Sale Adj	1,400 06/17/2011	-260.73 06/17/2011		260.73	
ULTRA S&P500 PROSHARES (SSO)	Wash Sale Adj	200 06/17/2011	-36.29 06/17/2011		36.29	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	200 06/17/2011	-140.41 06/17/2011		140.41	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	400 06/17/2011	19,898.14 07/05/2011	21,695.59	1,797.45	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	600 06/17/2011	29,684.10 07/05/2011	32,549.39	2,865.29	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	29,704.09 07/05/2011	32,477.40	2,773.31		
ULTRA S&P500 PROSHARES (SSO)	GainLoss	29,625.12 07/05/2011	32,543.37	2,918.25		
ULTRA S&P500 PROSHARES (SSO)	GainLoss	39,304.46 07/05/2011	43,407.19	4,102.73		
ULTRA S&P500 PROSHARES (SSO)	GainLoss	800 06/17/2011	88,147.76 07/05/2011	97,695.66	9,547.90	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	1,800 06/17/2011	10,030.37 07/05/2011	10,847.80	817.43	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	200 06/17/2011	25,314.97 07/05/2011	27,137.69	1,822.72	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	500 06/22/2011	24,484.95 07/05/2011	27,137.68	2,652.73	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	2,000 06/23/2011	97,170.00 07/05/2011	108,488.11	11,318.11	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	4,500 07/15/2011	234,812.58 07/18/2011	229,993.58	-4,819.00	
ULTRA S&P500 PROSHARES (SSO)	Wash Sale Adj	4,500 07/18/2011	-4,819.00 07/18/2011		4,819.00	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	2,000 07/18/2011	104,926.62 07/19/2011	105,057.98	131.36	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	2,000 07/18/2011	104,927.78 07/25/2011	108,462.17	3,534.39	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	1,500 07/18/2011	77,074.50 07/26/2011	81,298.43	4,223.93	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	2,000 07/18/2011	102,859.58 07/26/2011	107,404.64	4,545.06	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	500 07/18/2011	26,226.94 07/26/2011	27,099.48	872.54	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	200 07/12/2011	3,483.92 07/26/2011	3,215.07	-268.85	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	600 01/10/2011	11,165.82 01/19/2011	10,787.84	-377.98	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	300 03/01/2011	5,516.97 03/01/2011	5,451.04	-65.93	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	300 07/11/2011	4,775.97 07/14/2011	4,660.21	-115.76	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	400 04/01/2011	4,956.00 04/12/2011	4,795.90	-160.10	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	400 02/08/2011	7,865.98 02/16/2011	7,331.86	-534.12	
ULTRA S&P500 PROSHARES (SSO)	Wash Sale Adj	400 03/01/2011	-534.12 02/16/2011		534.12	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	100 03/01/2011	1,748.00 03/02/2011	1,687.98	-60.02	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	400 03/01/2011	7,526.12 03/02/2011	6,751.90	-774.22	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	1,000 10/22/2010	227.63 01/22/2011		-227.63	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	1,000 10/25/2010	237.63 01/22/2011		-237.63	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	200 08/30/2010	5,593.81 06/10/2011	7,230.66	1,636.85	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	200 06/15/2011	13,642.00 07/12/2011	14,250.36	608.36	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	200 07/12/2011	14,249.85 07/18/2011	13,602.40	-647.45	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	200 05/12/2011	5,791.99 05/16/2011	5,540.78	-251.21	
ULTRA S&P500 PROSHARES (SSO)	Wash Sale Adj	200 05/23/2011	-251.21 05/16/2011		251.21	
ULTRA S&P500 PROSHARES (SSO)	GainLoss	300 05/23/2011	8,063.50 05/27/2011	7,842.35	-221.15	

Description	Trans type	Open Qty	Open date	Close date	Adj cost	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
SKYWORKS SOLS INC (SWKS)	GainLoss	200	05/23/2011	5,626.88	05/27/2011	5,228.23	-398.65	
SKYWORKS SOLS INC (SWKS)	GainLoss	200	07/11/2011	5,013.50	07/12/2011	4,634.72	-378.78	
SOLAZYME INC (SZYM)	GainLoss	400	05/27/2011	8,419.94	06/17/2011	8,404.53	-15.41	
ULTRASHORT LEHMAN 20+ YEAR (TBT)	GainLoss	2,000	12/28/2010	76,109.70	01/04/2011	75,264.71	-844.99	
ULTRASHORT LEHMAN 20+ YEAR (TBT)	GainLoss	2,000	12/29/2010	76,404.59	01/04/2011	75,038.72	-1,365.87	
ULTRASHORT LEHMAN 20+ YEAR (TBT)	GainLoss	1,000	12/29/2010	38,552.87	01/04/2011	37,498.45	-1,053.42	
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Wash Sale Adj	2,000	12/29/2010	-844.99	01/04/2011		844.99	
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Wash Sale Adj	1,000	12/29/2010	-877.98	01/04/2011		877.98	
ULTRASHORT LEHMAN 20+ YEAR (TBT)	GainLoss	1,000	02/24/2011	38,219.80	04/07/2011	38,369.46	149.66	
TBT Apr 16 2011 39 0 Call	GainLoss Short	1,000	03/17/2011	275.33	04/07/2011	392.34	117.01	
TBT Aug 20 2011 32 0 Call	Expire Short	1,000	07/29/2011		08/22/2011	862.75	862.75	
TBT Aug 20 2011 32 0 Call	Expire Short	1,000	07/29/2011		08/22/2011	872.75	872.75	
TBT Aug 20 2011 32 0 Call	Expire Short	1,000	07/29/2011		08/22/2011	732.75	732.75	
TBT Aug 20 2011 32 0 Call	Expire Short	1,000	07/29/2011		08/22/2011	753.66	753.66	
TBT Aug 20 2011 32 0 Call	Expire Short	1,000	07/29/2011		08/22/2011	704.11	704.11	
TBT Aug 20 2011 32 0 Call	Expire Short	1,000	07/29/2011		08/22/2011	704.89	704.89	
TBT Aug 20 2011 32 0 Call	Expire Short	300	07/29/2011		08/22/2011	207.82	207.82	
TBT Aug 20 2011 32 0 Call	Expire Short	600	07/29/2011		08/22/2011	421.65	421.65	
TBT Aug 20 2011 32 0 Call	Expire Short	600	07/29/2011		08/22/2011	436.76	436.76	
TBT Aug 20 2011 32 0 Call	Expire Short	1,000	07/29/2011		08/22/2011	772.75	772.75	
TBT Aug 20 2011 32 0 Call	Expire Short	2,000	07/29/2011		08/22/2011	1,565.51	1,565.51	
TBT Aug 20 2011 32 0 Call	Expire Short	2,000	07/29/2011		08/22/2011	1,528.86	1,528.86	
TBT Aug 20 2011 33 0 Call	Expire Short	1,000	07/20/2011		08/22/2011	947.09	947.09	
TBT Dec 09 2011 20 0 Call	Expire Long	2,000	12/06/2011	234.00	12/12/2011	-234.00		
TBT Dec 17 2011 21 0 Call	Expire Long	2,000	12/06/2011	274.00	12/19/2011	-274.00		
TBT Jan 22 2011 32 0 Call	GainLoss	1,500	12/31/2010	7,436.45	01/05/2011	9,213.38	1,776.93	
TBT Jan 22 2011 32 0 Call	GainLoss	5,000	01/04/2011	28,088.16	01/05/2011	30,961.27	2,873.11	
TBT Jan 22 2011 38 0 Call	GainLoss Short	1,500	12/31/2010	1,811.46	01/05/2011	1,038.53	-772.93	
TBT Jan 22 2011 39 0 Call	GainLoss Short	2,000	12/28/2010	1,595.28	01/05/2011	1,604.70	9.42	
TBT Jan 22 2011 39 0 Call	GainLoss Short	3,000	12/29/2010	2,392.92	01/05/2011	2,048.05	-344.87	
TBT Jun 18 2011 32 0 Put	GainLoss Short	2,000	06/01/2011	535.45	06/03/2011	784.53	249.08	
TBT Jun 18 2011 33 0 Put	GainLoss Short	2,000	05/26/2011	1,195.45	06/03/2011	1,079.52	-115.93	
TBT Mar 19 2011 38 0 Call	GainLoss Short	1,000	02/24/2011	57.65	03/16/2011	1,142.32	1,084.67	
TBT May 21 2011 34 0 Put	GainLoss Short	2,000	05/11/2011	115.45	05/20/2011	544.61	429.16	
TBT Nov 11 2011 20 0 Call	Sell to Close.FIFO	500	11/09/2011	68.50	11/10/2011	246.49	177.99	
TBT Nov 11 2011 20 0 Call	Sell to Close.FIFO	500	11/09/2011	68.50	11/11/2011	146.49	77.99	
TBT Oct 22 2011 31 0 Call	Expire Short	7,600	09/12/2011	0.00	10/24/2011	770.62	770.62	
TBT Sep 17 2011 34 0 Call	Buy to Close.FIFO	3,800	08/23/2011	64.60	09/12/2011	125.39	60.79	
TBT Sep 17 2011 34 0 Call	Buy to Close.FIFO	2,300	08/23/2011	39.10	09/12/2011	78.19	39.09	
TBT Sep 17 2011 33 0 Call	Buy to Close.FIFO	100	08/23/2011	1.70	09/09/2011	4.20	2.50	
TBT Sep 17 2011 33 0 Call	Buy to Close.FIFO	1,100	08/23/2011	18.70	09/12/2011	46.19	27.49	
TBT Sep 17 2011 33 0 Call	Buy to Close.FIFO	300	08/23/2011	5.10	09/12/2011	12.89	7.79	
TBT Sep 17 2011 32 0 Call	Expire Short	2,900	08/23/2011	0.00	09/19/2011	153.69	153.69	
TBT Sep 17 2011 32 0 Call	Expire Short	3,000	08/23/2011	0.00	09/19/2011	128.99	128.99	
TBT Sep 17 2011 32 0 Call	Expire Short	400	07/11/2011	3,989.96	07/26/2011	3,815.92	-174.04	
THOMPSON CREEK METALS CO IN (TC)	GainLoss	300	03/21/2011	16,386.00	03/23/2011	15,449.70	-936.30	
TECK RESOURCES LTD CL B (TCK)	GainLoss	200	05/09/2011	10,127.73	05/11/2011	9,741.83	-385.90	
TECK RESOURCES LTD CL B (TCK)	GainLoss	100	07/08/2011	5,225.00	07/11/2011	5,072.46	-152.54	
TIDEWATER INC (TDW)	GainLoss	200	12/06/2010	9,981.80	01/25/2011	10,639.99	658.19	
TYCO ELECTRONICS LTD (TEL)	GainLoss	200	01/10/2011	7,140.00	01/20/2011	6,822.86	-317.14	
TERADYNE INC (TER)	GainLoss	1,000	11/10/2010	11,429.96	03/10/2011	16,980.82	5,550.86	
TERADYNE INC (TER)	GainLoss	400	11/10/2010	4,719.99	03/10/2011	6,792.33	2,072.34	
TERADYNE INC (TER)	GainLoss	200	04/01/2011	3,588.00	04/28/2011	3,252.93	-335.07	
TERADYNE INC (TER)	GainLoss	1,000	07/11/2011	14,535.91	07/12/2011	13,921.73	-614.18	
TEVA PHARMACEUTICAL INDUSTR (TEVA)	GainLoss	400	07/13/2011	19,825.96	07/15/2011	19,212.66	-613.30	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
TEX Jan 21 2012 20.0 Call	GainLoss Short	600 08/23/2010	7,204.59 01/20/2011	2,875.37	-4,329.22	
TEX Jan 22 2011 20.0 Call	GainLoss	600 08/23/2010	1,654.58 01/20/2011	6,295.28	4,640.70	
THOR INDS INC (THO)	GainLoss	400 01/06/2011	13,417.89 02/22/2011	13,652.73	234.84	
THOR INDS INC (THO)	Sell FIFO	400 08/29/2011	8,905.60 09/01/2011	8,410.95	-494.65	
TIBX Jul 16 2011 27.0 Put	GainLoss	300 05/25/2011	422.32 06/21/2011	567.66	145.34	
TIBX Jul 16 2011 25.0 Put	GainLoss Short	300 05/25/2011	272.32 06/21/2011	216.67	-55.65	
TIN Feb 19 2011 24.0 Call	GainLoss Short	300 01/19/2011	437.29 02/15/2011	268.94	-168.35	
TIN Feb 19 2011 24.0 Call	GainLoss Short	200 01/19/2011	293.53 02/15/2011	179.29	-114.24	
TIN Jan 22 2011 24.0 Call	GainLoss Short	500 12/02/2010	55.88 01/19/2011	346.17	290.29	
TIN May 21 2011 24.0 Call	GainLoss	300 12/02/2010	572.29 02/15/2011	852.69	280.40	
TIN May 21 2011 24.0 Call	GainLoss	200 12/02/2010	381.53 02/15/2011	568.45	186.92	
TIMKEN CO (TKR)	GainLoss	100 01/10/2011	4,998.90 01/27/2011	4,866.90	-132.00	
TIMKEN CO (TKR)	GainLoss	100 01/10/2011	4,998.90 01/27/2011	4,905.91	-92.99	
TIMKEN CO (TKR)	Wash Sale Adj	100 02/08/2011	-132.00 01/27/2011		132.00	
TIMKEN CO (TKR)	GainLoss	100 02/08/2011	5,108.99 03/10/2011	4,650.92	-458.07	
TIMKEN CO (TKR)	GainLoss	200 05/09/2011	10,636.46 05/16/2011	10,264.11	-372.35	
TIMKEN CO (TKR)	Wash Sale Adj	200 05/24/2011	-372.35 05/16/2011		372.35	
TIMKEN CO (TKR)	GainLoss	200 05/24/2011	10,082.33 06/10/2011	9,342.82	-739.51	
TIMKEN CO (TKR)	Wash Sale Adj	200 06/15/2011	-739.51 06/10/2011		739.51	
TIMKEN CO (TKR)	GainLoss	200 06/15/2011	10,005.22 07/14/2011	9,825.81	-179.41	
TALERCIS BIOTHEAPREUTICS HO (TLCR)	GainLoss	500 01/06/2011	11,479.85 02/15/2011	11,704.77	224.92	
TALERCIS BIOTHEAPREUTICS HO (TLCR)	GainLoss	700 03/18/2011	18,195.36 04/28/2011	19,494.62	1,299.26	
THERMO FISHER SCIENTIFIC IN (TMO)	GainLoss	400 12/06/2010	20,951.80 02/24/2011	21,835.58	883.98	
THERMO FISHER SCIENTIFIC IN (TMO)	GainLoss	100 04/29/2011	6,013.00 06/06/2011	6,268.65	255.65	
TELE NORTE LESTE PARTICIPAC (TNE)	GainLoss	700 01/10/2011	10,674.79 05/04/2011	11,695.23	1,020.44	
TRIQUINT SEMICONDUCTOR INC (TQNT)	GainLoss	500 01/06/2011	6,824.95 01/20/2011	6,489.92	-335.03	
TRIQUINT SEMICONDUCTOR INC (TQNT)	GainLoss	500 04/29/2011	6,754.95 05/03/2011	6,354.92	-400.03	
TRIQUINT SEMICONDUCTOR INC (TQNT)	Wash Sale Adj	300 05/09/2011	-240.02 05/03/2011		240.02	
TRIQUINT SEMICONDUCTOR INC (TQNT)	GainLoss	300 05/09/2011	4,292.99 05/23/2011	3,646.92	-646.07	
TRIQUINT SEMICONDUCTOR INC (TQNT)	Sell FIFO	400 08/29/2011	3,153.60 09/07/2011	2,749.98	-403.62	
TENARIS SA (TS)	GainLoss	500 03/31/2011	24,750.00 04/07/2011	24,350.62	-399.38	
TENARIS SA (TS)	Wash Sale Adj	200 04/29/2011	-159.75 04/07/2011		159.75	
TENARIS SA (TS)	GainLoss	200 04/29/2011	10,275.24 05/04/2011	9,667.83	-607.41	
TRINA SOLAR LTD ADR (TSL)	GainLoss	300 01/10/2011	7,640.40 03/11/2011	7,271.86	-368.54	
TRINA SOLAR LTD ADR (TSL)	Sell FIFO	200 08/29/2011	3,201.98 09/02/2011	2,830.10	-371.88	
TIM PARTICIPACOE SA (TSU)	GainLoss	300 04/29/2011	14,088.65 05/03/2011	13,748.73	-339.92	
TIM PARTICIPACOE SA (TSU)	Wash Sale Adj	100 05/24/2011	-113.31 05/03/2011		113.31	
TIM PARTICIPACOE SA (TSU)	GainLoss	100 05/24/2011	4,812.31 08/05/2011	4,568.60	-243.71	
TIM PARTICIPACOE SA (TSU)	GainLoss	100 06/14/2011	4,921.25 08/05/2011	4,568.59	-352.66	
TIME WARNER CABLE INC (TWC)	GainLoss	100 04/29/2011	7,731.00 06/06/2011	7,558.85	-172.15	
TIME WARNER INC (TWX)	GainLoss	600 01/06/2011	19,967.16 01/19/2011	19,511.62	-455.54	
TIME WARNER INC (TWX)	GainLoss	600 03/18/2011	20,909.94 04/11/2011	21,093.98	184.04	
TERNIUM SA (TX)	GainLoss	100 07/08/2011	3,067.99 07/11/2011	2,980.32	-87.67	
TERNIUM SA (TX)	Sell FIFO	400 08/29/2011	9,843.80 09/06/2011	9,489.81	-353.99	
TEXAS INSTRS INC (TXN)	GainLoss	500 10/13/2010	14,100.00 03/10/2011	17,174.67	3,074.67	
UNIVERSAL HEALTH SERVICES I (UHS)	GainLoss	100 05/13/2011	5,471.99 06/06/2011	5,251.90	-220.09	
UNIVERSAL HEALTH SERVICES I (UHS)	GainLoss	100 07/08/2011	5,390.90 07/14/2011	5,217.89	-173.01	
UNISYS CORP (UIS)	GainLoss	600 01/04/2011	15,419.46 01/04/2011	15,230.74	-188.72	
UNITED STATES NATURAL GAS F (UNG)	GainLoss	1,000 06/15/2011	11,569.90 06/17/2011	11,179.78	-390.12	
UNG Dec 17 2011 9.0 Call	Expire Long	1,000 11/08/2011	257.00 12/19/2011		-257.00	
UNG Jan 22 2011 8.0 Call	GainLoss	1,000 06/02/2010	1,047.64 01/22/2011		-1,047.64	
UNG Jul 16 2011 14.0 Call	GainLoss	2,000 06/01/2011	155.45 07/16/2011		-155.45	
UNG Jul 16 2011 13.0 Call	GainLoss Short	4,000 06/01/2011	70.90 06/30/2011	689.08	618.18	
UNG Jul 16 2011 12.0 Call	GainLoss	2,000 06/01/2011	935.45 07/16/2011		-935.45	
UNUM GROUP (UNM)	GainLoss	500 02/28/2011	13,279.95 03/02/2011	12,840.00	-439.95	

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
UNUM GROUP (UNM)	Wash Sale Adj	400 03/28/2011	-351.96 03/02/2011			351.96
UNUM GROUP (UNM)	GainLoss	400 03/28/2011	10,867.96 04/14/2011	10,281.61		-586.35
UNUM GROUP (UNM)	Wash Sale Adj	400 04/28/2011	-586.35 04/14/2011			586.35
UNUM GROUP (UNM)	GainLoss	100 04/28/2011	2,641.00 06/01/2011	2,551.95		-89.05
UNUM GROUP (UNM)	GainLoss	400 04/28/2011	11,150.35 06/01/2011	10,207.80		-942.55
URS CORP (URS)	GainLoss	200 01/20/2011	8,429.98 03/14/2011	8,665.83		235.85
USO Apr 16 2011 53.0 Call	GainLoss	10,000 03/14/2011	1,376.45 04/16/2011			-1,376.45
USO Aug 20 2011 51.0 Call	Expire Long	1,800 07/14/2011	49.01 08/22/2011			-49.01
USO Aug 20 2011 51.0 Call	Expire Long	2,400 07/14/2011	65.34 08/22/2011			-65.34
USO Aug 20 2011 51.0 Call	Expire Long	2,900 07/14/2011	78.95 08/22/2011			-78.95
USO Aug 20 2011 51.0 Call	Expire Long	2,100 07/14/2011	57.17 08/22/2011			-57.17
USO Dec 17 2011 57.0 Call	Expire Long	2,000 11/14/2011	120.24 12/19/2011			-120.24
USO Dec 17 2011 56.0 Call	Expire Long	300 11/14/2011	23.31 12/19/2011			-23.31
USO Dec 17 2011 55.0 Call	Expire Long	300 11/14/2011	26.10 12/19/2011			-26.10
USO Dec 17 2011 55.0 Call	Expire Long	600 11/14/2011	52.20 12/19/2011			-52.20
USO Dec 17 2011 59.0 Call	Expire Long	4,000 11/14/2011	228.00 12/19/2011			-228.00
USO Dec 17 2011 54.0 Call	Expire Long	100 11/14/2011	9.70 12/19/2011			-9.70
USO Dec 17 2011 54.0 Call	Expire Long	100 11/14/2011	9.70 12/19/2011			-9.70
USO Dec 17 2011 54.0 Call	Expire Long	900 11/14/2011	87.30 12/19/2011			-87.30
USO Dec 17 2011 54.0 Call	Expire Long	700 11/14/2011	67.90 12/19/2011			-67.90
USO Feb 19 2011 46.0 Call	GainLoss	5,000 01/19/2011	238.23 02/19/2011			-238.23
USO Feb 19 2011 48.0 Call	GainLoss	4,800 01/19/2011	140.71 02/19/2011			-140.71
USO Jan 22 2011 47.0 Call	GainLoss	2,000 11/15/2010	155.26 01/22/2011			-155.26
USO Jan 22 2011 54.0 Call	GainLoss	8,000 10/11/2010	621.04 01/22/2011			-621.04
USO Jul 16 2011 47.0 Call	GainLoss	9,000 06/16/2011	249.53 07/16/2011			-249.53
USO Jun 18 2011 43.0 Call	GainLoss Short	500 05/06/2011	53.86 06/03/2011	409.92		356.06
USO Jun 18 2011 37.0 Put	GainLoss Short	500 05/06/2011	113.86 06/03/2011	359.92		246.06
USO Jun 18 2011 35.0 Put	GainLoss	500 05/06/2011	190.07 06/03/2011	26.13		-163.94
USO Jun 18 2011 45.0 Call	GainLoss	500 05/06/2011	230.07 06/03/2011	11.13		-218.94
USO Jun 18 2011 56.0 Call	GainLoss	8,000 05/17/2011	221.81 06/18/2011			-221.81
USO Mar 19 2011 43.0 Call	GainLoss	1,000 02/09/2011	125.65 03/01/2011	421.35		295.70
USO Mar 19 2011 44.0 Call	GainLoss	1,000 02/09/2011	336.66 03/01/2011	72.34		-264.32
USO Mar 19 2011 47.0 Call	GainLoss	6,500 02/16/2011	244.69 03/19/2011			-244.69
USO Mar 19 2011 49.0 Call	GainLoss	3,500 02/16/2011	131.76 03/19/2011			-131.76
USO May 21 2011 60.0 Call	GainLoss	8,000 04/15/2011	461.16 05/21/2011			-461.16
USO Nov 19 2011 45.0 Call	Expire Long	500 10/03/2011	18.50 11/21/2011			-18.50
USO Nov 19 2011 45.0 Call	Expire Long	2,000 10/03/2011	74.00 11/21/2011			-74.00
USO Nov 19 2011 45.0 Call	Expire Long	4,000 10/03/2011	148.00 11/21/2011			-148.00
USO Nov 19 2011 47.0 Call	Expire Long	1,500 10/03/2011	40.50 11/21/2011			-40.50
USO Nov 19 2011 47.0 Call	Expire Long	1,000 10/03/2011	27.00 11/21/2011			-27.00
USO Oct 22 2011 46.0 Call	Expire Long	1,800 09/09/2011	84.60 10/24/2011			-84.60
USO Oct 22 2011 46.0 Call	Expire Long	1,800 09/09/2011	84.60 10/24/2011			-84.60
USO Oct 22 2011 46.0 Call	Expire Long	1,800 09/09/2011	84.60 10/24/2011			-84.60
USO Oct 22 2011 46.0 Call	Expire Long	1,800 09/09/2011	84.78 10/24/2011			-84.78
USO Oct 22 2011 47.0 Call	Expire Long	200 09/09/2011	7.40 10/24/2011			-7.40
USO Sep 17 2011 46.0 Call	Expire Long	9,000 08/16/2011	243.00 09/19/2011			-243.00
UTI WORLDWIDE IND (UTIW)	Sell FIFO	200 08/31/2011	2,735.76 09/22/2011	2,523.19		-212.57
UUP Jun 18 2011 22.0 Call	GainLoss Short	500 05/03/2011	8.86 06/15/2011	36.17		27.31
UUP Jun 18 2011 21.0 Call	GainLoss	500 05/03/2011	145.07 06/15/2011	276.13		131.06
VISA INC (V)	GainLoss	200 01/10/2011	14,423.50 01/19/2011	13,940.79		-482.71
VISA INC (V)	Wash Sale Adj	200 02/07/2011	-482.71 01/19/2011			482.71
VISA INC (V)	GainLoss	200 02/07/2011	14,765.96 02/23/2011	14,571.74		-194.22
VISA INC (V)	GainLoss	200 02/07/2011	15,248.67 02/23/2011	14,571.74		-676.93
VISA INC (V)	Wash Sale Adj	200 03/01/2011	-194.22 02/23/2011			194.22

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
VISA INC (V)	Wash Sale Adj	200 03/01/2011	-676.93 02/23/2011		676.93	
VISA INC (V)	GainLoss	200 03/01/2011	14,940.20 03/10/2011	14,466.97	-473.23	
VISA INC (V)	GainLoss	200 03/01/2011	15,422.91 03/10/2011	14,466.97	-955.94	
VISA INC (V)	GainLoss	200 06/14/2011	15,187.98 06/20/2011	14,821.33	-366.65	
VALSPAR CORP (VAL)	GainLoss	200 09/21/2010	6,360.00 05/05/2011	7,599.85	1,239.85	
VALE SA ADR (VALE)	GainLoss	1,000 01/10/2011	34,639.90 01/20/2011	35,239.31	599.41	
VALE SA ADR (VALE)	GainLoss	500 02/08/2011	17,140.00 02/09/2011	16,759.72	-380.28	
VALE SA ADR (VALE)	GainLoss	1,000 03/16/2011	31,019.90 03/16/2011	30,709.46	-310.44	
VALE SA ADR (VALE)	Wash Sale Adj	1,000 03/16/2011	-310.44 03/16/2011		310.44	
VALE SA ADR (VALE)	GainLoss	1,000 03/16/2011	31,250.34 04/13/2011	32,699.39	1,449.05	
VALE2 Sep 17 2011 35.0 Call	Expire Long	800 08/12/2011	0.00 09/19/2011		376.47	
VALE2 Sep 17 2011 37.0 Call	Expire Short	1,600 07/26/2011	0.00 09/19/2011			
VALE2 Sep 17 2011 39.0 Call	Expire Long	800 08/12/2011	0.00 09/19/2011			
VALE Aug 20 2011 37.0 Call	GainLoss	700 07/26/2011	47.06 07/27/2011	21.30	-25.76	
VALE Aug 20 2011 33.0 Call	GainLoss	700 07/26/2011	782.06 07/27/2011	525.28	-256.78	
VALE Aug 20 2011 35.0 Call	GainLoss Short	1,400 07/26/2011	272.85 07/27/2011	390.51	117.66	
VALASSIS COMMS INC (VCI)	GainLoss	200 05/13/2011	5,719.98 06/27/2011	5,789.90	69.92	
VALASSIS COMMS INC (VCI)	GainLoss	200 06/14/2011	5,881.91 07/28/2011	5,717.42	-164.49	
VEECO INSTRS INC (VECO)	GainLoss	200 03/01/2011	9,304.34 04/13/2011	9,255.84	-48.50	
VEECO INSTRS INC (VECO)	GainLoss	100 06/14/2011	5,192.99 06/17/2011	5,057.63	-135.36	
VECO Jan 22 2011 45.0 Call	GainLoss	1,000 11/04/2010	3,407.63 01/11/2011	3,692.29	284.66	
VECO Jan 22 2011 50.0 Call	GainLoss Short	2,000 11/04/2010	1,615.28 01/11/2011	3,334.65	1,719.37	
VECO Jan 22 2011 55.0 Call	GainLoss	1,000 11/04/2010	857.63 01/22/2011		-857.63	
VECO Jul 16 2011 60.0 Call	GainLoss Short	200 05/23/2011	9.45 07/07/2011	300.44	290.99	
VECO May 21 2011 49.0 Put	GainLoss	500 05/09/2011	455.09 05/21/2011		-455.09	
VECO May 21 2011 50.0 Put	GainLoss Short	500 05/09/2011	0.00 05/21/2011	629.89	629.89	
VECO Oct 22 2011 60.0 Call	GainLoss	200 05/23/2011	849.55 07/07/2011	105.54	-744.01	
VIX Apr 20 2011 27.5 Call*	GainLoss Short	800 03/25/2011	40.12 04/13/2011	373.31	133.28	199.91
VIX Apr 20 2011 35.0 Call*	GainLoss	400 03/25/2011	56.49 04/20/2011		-22.60	-33.89
VIX Apr 20 2011 20.0 Call*	GainLoss	400 03/25/2011	728.35 04/20/2011		-291.34	-437.01
VALERO ENERGY CORP (VLO)	Sell.FIFO	500 08/29/2011	10,845.95 09/06/2011	10,439.29	-406.66	
VISTAPRINT NV (VPRT)	Sell.FIFO	300 08/29/2011	8,846.55 09/02/2011	8,358.91	-487.64	
VALIDUS HOLDINGS LTD (VR)	GainLoss	400 01/04/2011	12,275.69 03/11/2011	11,652.25	-623.44	
VARIAN SEMICONDUCTOR EQUIP (VSEA)	GainLoss	200 12/03/2010	6,892.00 01/05/2011	7,221.87	329.87	
VARIAN SEMICONDUCTOR EQUIP (VSEA)	GainLoss	200 12/03/2010	7,286.11 01/05/2011	7,221.88	-64.23	
VARIAN SEMICONDUCTOR EQUIP (VSEA)	GainLoss	200 03/01/2011	9,330.00 03/09/2011	8,929.74	-400.26	
VISHAY INTERTECHNOLOGY INC (VSH)	GainLoss	400 10/13/2010	4,063.60 02/22/2011	6,868.06	2,804.46	
VISHAY INTERTECHNOLOGY INC (VSH)	GainLoss	500 04/28/2011	9,529.41 05/03/2011	8,764.83	-764.58	
VISHAY INTERTECHNOLOGY INC (VSH)	GainLoss	400 07/12/2011	5,949.69 07/26/2011	5,897.20	-52.49	
V Jun 18 2011 85.0 Call	GainLoss Short	400 05/10/2011	0.00 06/18/2011	324.92	324.92	
V Jun 18 2011 80.0 Call	GainLoss	400 04/28/2011	796.06 06/18/2011		-796.06	
WATERS CORP (WAT)	GainLoss	100 02/08/2011	7,669.80 04/18/2011	8,537.17	867.37	
WATERS CORP (WAT)	Sell.FIFO	100 08/26/2011	7,829.95 09/06/2011	7,342.85	-487.10	
WELLCARE HEALTH PLANS INC (WCG)	Sell.FIFO	100 08/26/2011	4,399.90 09/22/2011	3,895.92	-503.98	
WADDELL & REED FINL INC CL (WDR)	GainLoss	200 02/08/2011	7,471.98 03/16/2011	7,445.18	-26.80	
WELLPOINT INC (WLP)	GainLoss	200 03/01/2011	13,359.20 04/01/2011	14,123.49	764.29	
WLP Jun 18 2011 65.0 Call	GainLoss	200 04/01/2011	1,350.03 05/02/2011	2,579.92	1,229.89	
WLP Sep 17 2011 85.0 Call	Expire Long	400 05/02/2011	688.06 09/19/2011		-688.06	
WLP Sep 17 2011 90.0 Call	Expire Short	400 05/02/2011	0.00 09/19/2011	303.93	303.93	
WALTER ENERGY INC (WLT)	GainLoss	400 06/15/2011	44,680.94 06/16/2011	44,219.86	-461.08	
WALTER ENERGY INC (WLT)	Wash Sale Adj	100 07/08/2011	-115.27 06/16/2011		115.27	
WALTER ENERGY INC (WLT)	GainLoss	100 07/08/2011	11,749.08 07/11/2011	11,310.22	-438.86	
WLT Apr 16 2011 125.0 Call	GainLoss	500 03/17/2011	2,120.07 04/15/2011	2,770.94	650.87	
WLT Apr 16 2011 135.0 Call	GainLoss Short	500 03/17/2011	198.25 04/13/2011	892.41	694.16	
WLT Apr 16 2011 135.0 Call	GainLoss Short	500 03/17/2011	25.07 04/15/2011	892.40	867.33	

Description	Trans type	Qty	Open date	Close date	Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
WLT Apr 16 2011 145.0 Call	GainLoss	500	03/17/2011	400.07	04/16/2011		-400.07	
WLT Jun 18 2011 145.0 Call	GainLoss Short	200	05/09/2011	0.00	06/18/2011	660.27	660.27	
WLT Jun 18 2011 130.0 Call	GainLoss	100	05/09/2011	979.35	06/18/2011		-979.35	
WLT Jun 18 2011 160.0 Call	GainLoss	100	05/09/2011	100.02	06/18/2011		-100.02	
WLT Mar 19 2011 125.0 Call	GainLoss	200	03/01/2011	701.53	03/19/2011		-701.53	
WLT Mar 19 2011 145.0 Call	GainLoss	200	03/01/2011	87.53	03/19/2011		-87.53	
WLT Mar 19 2011 135.0 Call	GainLoss Short	400	03/01/2011	0.00	03/19/2011	400.93	400.93	
WLT Oct 22 2011 85.0 Call	Sell to Close.FIFO	100	08/31/2011	640.70	10/17/2011	34.29	-606.41	
WLT Oct 22 2011 105.0 Call	Buy to Close.FIFO	100	08/31/2011	6.70	10/17/2011	123.29	116.59	
WMS INDS INC (WMS)	GainLoss	100	07/08/2011	2,892.62	07/18/2011	2,718.50	-174.12	
WATSON PHARMS INC (WPI)	GainLoss	300	01/20/2011	15,755.70	03/16/2011	16,264.86	509.16	
WARNACO GROUP INC (WRC)	GainLoss	200	04/28/2011	12,811.10	05/04/2011	12,417.89	-393.21	
WARNACO GROUP INC (WRC)	Wash Sale Adj	200	05/23/2011	-393.21	05/04/2011		393.21	
WARNACO GROUP INC (WRC)	GainLoss	200	05/23/2011	11,233.20	05/25/2011	10,460.22	-772.98	
WILLIAMS SONOMA INC (WSM)	GainLoss	200	07/11/2011	7,542.99	07/28/2011	7,435.85	-107.14	
W&T OFFSHORE INC (WTI)	GainLoss	500	03/22/2011	10,076.64	04/18/2011	10,555.16	478.52	
WESTERN UNION CO (WU)	GainLoss	400	07/08/2011	7,918.00	07/13/2011	7,671.85	-246.15	
WYNN Oct 14 2011 115.0 Put	Expire Short	100	10/07/2011	0.00	10/17/2011	95.29	95.29	
WYNN Oct 14 2011 125.0 Put	Expire Long	100	10/07/2011	309.70	10/17/2011	-309.70		
WYNN Oct 28 2011 120.0 Put	Expire Long	200	10/25/2011	331.40	10/31/2011	-331.40		
WYNN Oct 28 2011 110.0 Put	Expire Short	400	10/25/2011	0.00	10/31/2011	89.19	89.19	
WYNN Oct 28 2011 100.0 Put	Expire Long	200	10/25/2011	9.40	10/31/2011	-9.40		
XL GROUP PLC (XL)	GainLoss	500	01/06/2011	11,104.70	03/01/2011	11,174.98	70.28	
XILINX INC (XLNX)	GainLoss	400	06/15/2011	13,456.00	07/12/2011	13,735.92	279.92	
XILINX INC (XLNX)	Sell.FIFO	700	08/29/2011	21,621.65	09/02/2011	21,227.74	-393.91	
XLNX Mar 19 2011 31.0 Call	GainLoss	700	01/06/2011	614.35	02/01/2011	1,765.61	1,151.26	
XRT Dec 17 2011 50.0 Put	GainLoss	1,000	02/01/2011	1,237.65	02/22/2011	1,202.32	-35.33	
XRT Jan 21 2012 50.0 Put	Buy to Close.FIFO	300	11/08/2011	11.10	12/16/2011	383.66	372.56	
XEROX CORP (XRX)	Sell to Close.FIFO	300	11/08/2011	633.87	12/16/2011	354.89	-278.98	
XEROX CORP (XRX)	GainLoss	0.5	10/26/2010	5.70	01/10/2011	5.62	-0.08	
XEROX CORP (XRX)	GainLoss	999.5	12/06/2010	11,848.59	01/10/2011	11,234.18	-614.41	
XEROX CORP (XRX)	GainLoss	0.5	12/06/2010	5.93	07/18/2011	4.95	-0.98	
XEROX CORP (XRX)	GainLoss	899.5	07/12/2011	9,207.83	07/18/2011	8,904.96	-302.87	
XEROX CORP (XRX)	Wash Sale Adj	0.5	07/12/2011	-0.98	07/18/2011		0.98	
ZIMMER HOLDINGS INC (ZMH)	GainLoss	400	12/06/2010	20,339.50	03/15/2011	23,799.54	3,460.04	
Total:				12,963,239.77		12,945,445.16	-17,388.64	-405.97

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions. ELLIOT D. BAKER CHARITABLE FOUNDATION C/O ELLIOT D. BAKER, TRUSTEE	Employer identification number (EIN) or ☒ 43-6826586
	Number, street, and room or suite no. If a P.O. box, see instructions P.O. BOX 46019	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions CHICAGO, IL 60646-0019	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

ELLIOT D. BAKER

- The books are in the care of ► **6755 NORTH LOCKWOOD AVE - LINCOLNWOOD, IL 60712**
Telephone No. ► **(847) 982-0372** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2012**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year **2011** or
► ☐ tax year beginning , and ending

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	60.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	60.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)