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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2011

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, and ending

Name of foundation <b>ESSMAN FAMILY CHARITABLE FOUNDATION</b>		A Employer identification number <b>43-6804331</b>
Number and street (or P O box number if mail is not delivered to street address) <b>21 SOMERSET DOWNS</b>	Room/suite	B Telephone number <b>314-993-7190</b>
City or town, state, and ZIP code <b>ST. LOUIS, MO 63124</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 628,977.</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		6,378.	6,378.		STATEMENT 1
4 Dividends and interest from securities		17,804.	17,176.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<8,087.>			
b Gross sales price for all assets on line 6a <b>349,897.</b>					
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		16,095.	23,554.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans—employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes		94.	94.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses <b>STMT 4</b>		10,318.	10,318.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		10,412.	10,412.		0.
25 Contributions, gifts, grants paid		159,600.			159,600.
26 Total expenses and disbursements. Add lines 24 and 25		170,012.	10,412.		159,600.
27 Subtract line 26 from line 12		<153,917.>			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			13,142.		
c Adjusted net income (if negative, enter -0-)				N/A	

**Part II** Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	16,845.	5,245.	5,245.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - US and state government obligations STMT 6	542.	649.	649. ^
	b Investments - corporate stock STMT 7	675,105.	648,822.	623,083. ^
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	692,492.	654,716.	628,977.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	692,492.	654,716.		
30 Total net assets or fund balances	692,492.	654,716.		
31 Total liabilities and net assets/fund balances	692,492.	654,716.		

**Part III** Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	692,492.
2 Enter amount from Part I, line 27a	2	<153,917.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	116,141.
4 Add lines 1, 2, and 3	4	654,716.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	654,716.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a TD AMERITRADE INVESTMENT ACCOUNT	P	VARIOUS	11/07/11
b TD AMERITRADE INVESTMENT ACCOUNT	P	VARIOUS	11/07/11
c CAPITAL GAINS DIVIDENDS			
d			
e			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 96,145.		83,319.	12,826.
b 252,630.		274,665.	<22,035.>
c 1,122.			1,122.
d			
e			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			12,826.
b			<22,035.>
c			1,122.
d			
e			

  

2 Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div> <div style="margin-left: 10px;">           2           <div style="border-bottom: 1px solid black; width: 100px; text-align: right;">&lt;8,087.&gt;</div> </div> </div>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;">           If gain, also enter in Part I, line 8, column (c)            If (loss), enter -0- in Part I, line 8         </div> <div style="margin-left: 10px;">           3           <div style="border-bottom: 1px solid black; width: 100px; text-align: right;">N/A</div> </div> </div>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	195,427.	899,152.	.217346
2009	197,901.	907,646.	.218038
2008	191,600.	1,135,677.	.168710
2007	196,743.	1,518,502.	.129564
2006	187,297.	1,610,354.	.116308

  

2 Total of line 1, column (d)	2	.849966
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.169993
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	697,470.
5 Multiply line 4 by line 3	5	118,565.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	131.
7 Add lines 5 and 6	7	118,696.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	159,600.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	131.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	131.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	131.
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		131.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► ALYN V. ESSMAN Telephone no ► 314-993-7190 Located at ► 21 SOMERSET DOWNS, ST. LOUIS, MO ZIP+4 ► 63124			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	695,296.
b	Average of monthly cash balances	1b	12,795.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	708,091.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	708,091.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	10,621.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	697,470.
6	Minimum investment return. Enter 5% of line 5	6	34,874.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	34,874.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	131.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	131.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	34,743.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	34,743.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	34,743.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	159,600.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	159,600.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	131.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	159,469.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				34,743.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006	108,785.			
b From 2007	123,932.			
c From 2008	134,816.			
d From 2009	152,937.			
e From 2010	150,649.			
f Total of lines 3a through e	671,119.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$	159,600.			
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				34,743.
e Remaining amount distributed out of corpus	124,857.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	795,976.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	108,785.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	687,191.			
10 Analysis of line 9				
a Excess from 2007	123,932.			
b Excess from 2008	134,816.			
c Excess from 2009	152,937.			
d Excess from 2010	150,649.			
e Excess from 2011	124,857.			

N/A

- ☐ 4942(1)(3) or ☐ 4942(1)(5)

- (4) Gross investment income**

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ARMDI 888 7TH STREET NEW YORK, NY 10106	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
BACKSTOPPERS 10411 CLAYTON ROAD, SUITE A5 ST LOUIS, MO 63131	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
COLLEGE BOUND 110 NORTH JEFFERSON ST LOUIS, MO 63103	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
CAMP FOR ALL KIDS P.O. BOX 50194 ST LOUIS, MO 63105	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
CAMP RAINBOW 14309 MILLBRIAR CIRCLE CHESTERFIELD, MO 63017	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			<b>159,600.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				<b>0.</b>

**Part XV** Supplementary Information**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILDREN'S HOSPITAL ONE CHILDREN'S PLACE ST LOUIS, MO 63103	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
CITY ACADEMY 4174 N. KINGSHIGHWAY ST LOUIS, MO 63115	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,000.
COCA 524 TRINITY AVENUE ST LOUIS, MO 63130	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
COVENANT HOUSE 2801 ITASKA ST ST LOUIS, MO 63111	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,000.
DARWIN FOUNDATION 407 N WASHINGTON ST FALLS CHURCH, VA 22046	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,500.
DOCS W/O BORDERS 333 7TH AVENUE NEW YORK, NY 10001	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,500.
FORSYTH SCHOOL 6235 WYDOWN BOULEVARD ST LOUIS, MO 63105	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
HILLEL 6300 FORSYTH ST LOUIS, MO 63105	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
HOLOCAUST MUSEUM 12 MILLSTONE CAMPUS DRIVE ST LOUIS, MO 63146	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
JCC MILLSTONE 12 MILLSTONE CAMPUS DRIVE ST LOUIS, MO 63146	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	9,500.
Total from continuation sheets				154,600.

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JEWISH FEDERATION 12 MILLSTONE CAMPUS DRIVE ST LOUIS, MO 63146	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	47,000.
JFCS FOOD BANK 10950 SCHEUTZ ROAD ST LOUIS, MO 63146	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	5,000.
KIDSMART 12175 BRIDGETON SQUARE DRIVE ST LOUIS, MO 63044	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,000.
LIFT FOR LIFE 1415 CASS AVENUE ST LOUIS, MO 63106	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,000.
MARYVILLE UNIVERSITY 650 MARYVILLE UNIVERSITY DRIVE ST. LOUIS, MO 63141	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
MATHEWS DICKEY			GENERAL OPERATING GRANT	
MISSOURI BOTANICAL GARDENS 4344 SHAW BOULEVARD ST LOUIS, MO 63304	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,500.
MISSOURI HISTORICAL SOCIETY P.O. BOX 11940 ST LOUIS, MO 63103	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,000.
NCJW SCHOOL STORE 8350 DELCREST DRIVE ST LOUIS, MO 63124	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
OXBOW 36 SOUTH WABASH AVENUE 12TH FLOOR CHICAGO, IL 60603	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PLANNED PARENTHOOD 4251 FOREST PARK AVENUE ST LOUIS, MO 63108	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,000.
SALVATION ARMY 1130 HAMPTON AVENUE ST LOUIS, MO 63139	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
SHAARE EMETH 11645 LADUE ROAD ST LOUIS, MO 63141	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,600.
SITEMAN CANCER CENTER 660 S. EUCLID AVE BOX 8100 ST LOUIS, MO 63110	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
SPRINGBOARD FOR LEARNING 634 GRAND BOULEVARD ST LOUIS, MO 63103	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,000.
ST. LOUIS ART MUSEUM ONE FINE ARTS DRIVE ST LOUIS, MO 63110	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,500.
ST. LOUIS EFFORT FOR AIDS 1027 S. VANDEVENTER ST LOUIS, MO 63110	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,000.
ST. LOUIS PUBLIC TV (KETC) 3655 OLIVE ST LOUIS, MO 63108	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
ST. LOUIS SCIENCE CENTER 5050 OAKLAND AVENUE ST LOUIS, MO 63110	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,500.
ST. LOUIS SYMPHONY ORCHESTRA 718 N. GRAND BOULEVARD ST LOUIS, MO 63103	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	5,000.
Total from continuation sheets				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. LOUIS ZOO ONE GOVERNMENT DRIVE ST LOUIS, MO 63110	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	15,000.
TIBET RELIEF 6116 KINGSBURY AVE ST LOUIS, MO 63112	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
UNITED WAY 910 N. 11TH STREET ST LOUIS, MO 63101	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	10,000.
UNIVERISTY OF MISSOURI ST. LOUIS NATURAL BRIDGE ROAD ST LOUIS, MO 63121	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,000.
WASHINGTON UNIVERSITY ONE BROOKINGS DRIVE ST LOUIS, MO 63130	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	5,000.
WEBSTER UNIVERSITY 470 E. LOCKWOOD ST LOUIS, MO 63119	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	12,500.
WHITFIELD SCHOOL 175 S MASON RD CREVE COEUR, MO 63141	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
WORLD WILDFIRE FUND 1250 TWENTY-FOURTH STREET, N.W. WASHINGTON, DC 20090	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,500.
Total from continuation sheets				







## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
AMERITRADE	4,763.
AMERITRADE - OID	1,508.
STATE OF ISRAEL - OID	107.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	6,378.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
AMERITRADE - CAPITAL GAIN DIVIDENDS	1,122.	1,122.	0.
AMERITRADE - DIVIDENDS	17,176.	0.	17,176.
AMERITRADE - NONTAXABLE DISTRIBUTIONS	628.	0.	628.
TOTAL TO FM 990-PF, PART I, LN 4	18,926.	1,122.	17,804.

## FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AMERITRADE - FOREIGN TAXES PAID	94.	94.		0.
TO FORM 990-PF, PG 1, LN 18	94.	94.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PROFESSIONAL FEES	2,000.4	2,000.		0.	
INVESTMENT FEES	8,318.	8,318.		0.	
TO FORM 990-PF, PG 1, LN 23	10,318.	10,318.		0.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	5
DESCRIPTION	AMOUNT				
PRIOR YEAR CHANGES IN UNREALIZED GAIN/LOSS	105,517.				
CURRENT YEAR CHANGES IN UNREALIZED GAIN/LOSS	10,624.				
TOTAL TO FORM 990-PF, PART III, LINE 3	116,141.				

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
STATE OF ISREAL BOND	X		649.	649.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			649.	649.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			649.	649.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
INVESTMENTS	648,822.		623,083.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	648,822.		623,083.	

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 8

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ALYN V. ESSMAN 21 SOMERSET DOWNS ST LOUIS, MO 63124	TRUSTEE 0.70	0.	0.	0.
MARLYN R. ESSMAN 21 SOMERSET DOWNS ST LOUIS, MO 63124	TRUSTEE 0.01	0.	0.	0.
SHARYN M. ESSMAN 2809 CLAYTON FOREST ST LOUIS, MO 63131	TRUSTEE 0.01	0.	0.	0.
JUDY E. TAYLOR 19 SPOEDE LANE ST LOUIS, MO 63141	TRUSTEE 0.01	0.	0.	0.
TODD E. TAYLOR 19 SPOEDE LANE ST LOUIS, MO 63141	TRUSTEE 0.01	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

**Alyn Essman: Esman, Alyn - Trust****Realized Gain/Loss****Short-Term Capital Gains and Losses- Asset Held One Year or less**

Name	Date Acquired	Date Sold	Quantity	Sales Price	Cost	Net Proceeds	Total Gain
<b>Total(Sales)</b>	-	-	-	-	<b>83,318.60</b>	<b>96,144.71</b>	<b>12,826.11</b>
<b>Amgen Inc (AMGN)</b>			<b>250,000</b>		<b>13,035.83</b>	<b>14,533.43</b>	<b>1,497.60</b>
Amgen Inc (AMGN)	2/28/2011	11/7/2011	250,000	58.17	13,035.83	14,533.43	1,497.60
<b>Bridgepoint Education, Inc. (BPI)</b>			<b>500,000</b>		<b>7,272.88</b>	<b>12,444.87</b>	<b>5,171.99</b>
Bridgepoint Education, Inc (BPI)	9/10/2010	6/2/2011	500,000	24.91	7,272.88	12,444.87	5,171.99
<b>Ingram Micro, Inc. (IM)</b>			<b>500,000</b>		<b>8,974.09</b>	<b>9,139.88</b>	<b>165.79</b>
Ingram Micro, Inc (IM)	7/25/2011	12/28/2011	500,000	18.30	8,974.09	9,139.88	165.79
<b>Intel Corp (INTC)</b>			<b>700,000</b>		<b>14,464.29</b>	<b>16,256.85</b>	<b>1,792.56</b>
Intel Corp (INTC)	1/6/2011	10/13/2011	700,000	23.24	14,464.29	16,256.85	1,792.56
<b>Kimberly-Clark Corporation (KMB)</b>			<b>300,000</b>		<b>18,951.60</b>	<b>20,229.18</b>	<b>1,277.58</b>
Kimberly-Clark Corporation (KMB)	12/31/2010	8/24/2011	300,000	67.47	18,951.60	20,229.18	1,277.58
<b>Olin Corporation (OLN)</b>			<b>1,100,000</b>		<b>20,619.91</b>	<b>23,540.50</b>	<b>2,920.59</b>
Olin Corporation (OLN)	2/25/2011	3/29/2011	700,000	22.34	12,894.76	15,626.61	2,731.85
Olin Corporation (OLN)	11/11/2011	12/28/2011	400,000	19.81	7,725.15	7,913.89	188.74



**Alyn Essman: Essman, Alyn - Trust****Realized Gain/Loss**

Date Range  
1/1/2011 - 12/31/2011

Account Number  
901082834

Report Currency  
USD

<b>Total</b>	-	-	-	83,318.60	96,144.71	12,826.11
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**Long-Term Capital Gains and Losses- Asset Held More Than One Year**

Name	Date Acquired	Date Sold	Quantity	Sales Price	Cost	Net Proceeds	Total Gain
<b>Total(Sales)</b>	-	-	-	-	274,664.55	252,629.73	-22,034.82
<b>CDE 3.25% Convert 2013 (192108AR9)</b>			10,000,000		9,362.00	10,213.00	851.00
CDE 3 25% Convert 2013 (192108AR9)	8/27/2010	11/7/2011	10,000 000	1 02	9,362 00	10,213 00	851 00
<b>CVS Caremark Corp (CVS)</b>			200,000		5,792.00	7,375.68	1,583.68
CVS Caremark Corp (CVS)	11/2/2006	9/7/2011	200 000	36 93	5,792 00	7,375 68	1,583 68
<b>DB Liquid Alpha 5 / Emerald (2515A02V4)</b>			15,000,000		15,000.00	15,000.00	0.00
DB Liquid Alpha 5 / Emerald (2515A02V4)	3/26/2010	4/29/2011	15,000 000	1 00	15,000 00	15,000 00	0 00
<b>Dollar Tree Stores, Inc. (DLTR)</b>			300,000		12,691.34	22,130.42	9,439.08
Dollar Tree Stores, Inc (DLTR)	5/24/2010	8/29/2011	300 000	73 80	12,691 34	22,130 42	9,439 08
<b>Eastman Kodak Company (EKDKQ)</b>			500,000		12,509.50	1,640.87	-10,868.63
Eastman Kodak Company (EKDKQ)	11/5/2007	4/4/2011	500 000	3 30	12,509 50	1,640 87	-10,868 63
<b>Energy Select Sector SPDR (XLE)</b>			200,000		12,213.97	15,916.21	3,702.24
Energy Select Sector SPDR (XLE)	10/1/2008	7/22/2011	200 000	79 63	12,213 97	15,916 21	3,702 24



# Alyn Essman: Essman, Alyn - Trust

## Realized Gain/Loss

		Date Range 1/1/2011 - 12/31/2011		Account Number 901082834		Report Currency USD	
Name	Date Acquired	Date Sold	Quantity	Sales Price	Cost	Net Proceeds	Total Gain
<b>Exxon Mobil Corporation (XOM)</b>			<b>100,000</b>		<b>6,983.32</b>	<b>8,433.85</b>	<b>1,450.53</b>
Exxon Mobil Corporation (XOM)	12/15/2009	12/28/2011	100,000	84.44	6,983.32	8,433.85	1,450.53
<b>Genzyme Corp (GENZ)</b>			<b>300,000</b>		<b>14,741.62</b>	<b>22,614.37</b>	<b>7,872.75</b>
Genzyme Corp (GENZ)	12/31/2009	2/16/2011	300,000	75.42	14,741.62	22,614.37	7,872.75
<b>GlaxoSmithKline PLC ADR (GSK)</b>			<b>200,000</b>		<b>10,736.02</b>	<b>8,558.20</b>	<b>-2,177.82</b>
GlaxoSmithKline PLC ADR (GSK)	5/21/2007	10/7/2011	200,000	42.84	10,736.02	8,558.20	-2,177.82
<b>Healthsouth Corp (HLS)</b>			<b>400,000</b>		<b>8,006.00</b>	<b>9,008.19</b>	<b>1,002.19</b>
Healthsouth Corp (HLS)	12/5/2007	1/10/2011	400,000	22.55	8,006.00	9,008.19	1,002.19
<b>Hercules Offshore, Inc. (HERO)</b>			<b>300,000</b>		<b>7,539.96</b>	<b>1,280.02</b>	<b>-6,259.94</b>
Hercules Offshore, Inc. (HERO)	7/31/2008	2/14/2011	300,000	4.30	7,539.96	1,280.02	-6,259.94
<b>International Game Technology (IGT)</b>			<b>200,000</b>		<b>6,746.80</b>	<b>3,262.26</b>	<b>-3,484.54</b>
International Game Technology (IGT)	4/22/2008	4/4/2011	200,000	16.36	6,746.80	3,262.26	-3,484.54
<b>Kraft Foods Inc (KFT)</b>			<b>500,000</b>		<b>15,396.23</b>	<b>18,635.15</b>	<b>3,238.92</b>
Kraft Foods Inc (KFT)	11/5/2010	12/28/2011	500,000	37.29	15,396.23	18,635.15	3,238.92
<b>Ness Technologies, Inc. (NSTC)</b>			<b>1,000,000</b>		<b>12,114.32</b>	<b>7,594.05</b>	<b>-4,520.27</b>
Ness Technologies, Inc. (NSTC)	6/1/2007	6/13/2011	400,000	7.60	4,874.96	3,037.62	-1,837.34
Ness Technologies, Inc. (NSTC)	6/7/2007	6/13/2011	600,000	7.60	7,239.36	4,556.43	-2,682.93
<b>NiSource Inc (NI)</b>			<b>1,000,000</b>		<b>20,938.60</b>	<b>19,049.74</b>	<b>-1,888.86</b>





# Alyn Essman: Essman, Alyn - Trust

## Realized Gain/Loss

		Date Range		Account Number		Report Currency	
		1/1/2011 - 12/31/2011		901082834		USD	
Name	Date Acquired	Date Sold	Quantity	Sales Price	Cost	Net Proceeds	Total Gain
NiSource Inc (NI)	7/9/2007	2/28/2011	1,000,000	19.06	20,938.60	19,049.74	-1,888.86
<b>PDL BioPharma, Inc. (PDLI)</b>							
PDL BioPharma, Inc (PDLI)	11/12/2007	2/28/2011	500,000		6,491.48	2,780.00	-3,711.48
			500,000	5.58	6,491.48	2,780.00	-3,711.48
<b>Royal Bank of Scotland Group PLC Pref Share (RBSPRS)</b>							
Royal Bank of Scotland Group PLC Pref Share (RBSPRS)	6/25/2007	12/29/2011	500,000		12,455.00	5,779.94	-6,675.06
			500,000	11.58	12,455.00	5,779.94	-6,675.06
<b>RSC Holdings Inc (RRR)</b>							
RSC Holdings Inc (RRR)	9/24/2007	2/25/2011	500,000		8,692.65	6,890.15	-1,802.50
			500,000	13.80	8,692.65	6,890.15	-1,802.50
<b>Savient Pharmaceuticals (SVNT)</b>							
Savient Pharmaceuticals (SVNT)	10/29/2010	12/28/2011	500,000		6,213.27	1,050.48	-5,162.79
			500,000	2.12	6,213.27	1,050.48	-5,162.79
<b>Southern Union Co (SUG)</b>							
Southern Union Co (SUG)	4/21/2005	2/25/2011	525,000		12,478.00	14,779.23	2,301.23
			525,000	28.17	12,478.00	14,779.23	2,301.23
<b>Standard Register Company (SR)</b>							
Standard Register Company (SR)	3/7/2006	4/4/2011	1,000,000		15,015.70	3,357.94	-11,657.76
			1,000,000	3.37	15,015.70	3,357.94	-11,657.76
<b>TTM Technologies, Inc. (TTMI)</b>							
TTM Technologies, Inc (TTMI)	8/17/2010	12/28/2011	700,000		6,310.47	7,801.93	1,491.46
			700,000	11.16	6,310.47	7,801.93	1,491.46
<b>Wal-Mart Stores Inc (WMT)</b>							
Wal-Mart Stores Inc (WMT)	4/21/2005	10/10/2011	300,000		14,301.00	16,404.94	2,103.94
			300,000	54.72	14,301.00	16,404.94	2,103.94



**Alyn Essman: Essman, Alyn - Trust****Realized Gain/Loss**

**Date Range** 1/1/2011 12/31/2011  
**Account Number** 901082834  
**Report Currency** USD

Name	Date Acquired	Date Sold	Quantity	Sales Price	Cost	Net Proceeds	Total Gain
<b>Walgreen Company (WAG)</b>			<b>400,000</b>		<b>16,277.36</b>	<b>17,083.22</b>	<b>805.86</b>
Walgreen Company (WAG)	10/1/2007	2/15/2011	200 000	42.36	8,138.68	8,462.34	323.66
Walgreen Company (WAG)	10/1/2007	5/3/2011	200 000	43.16	8,138.68	8,620.88	482.20
<b>Williams Companies Inc (WMB)</b>			<b>200,000</b>		<b>5,657.94</b>	<b>5,989.89</b>	<b>331.95</b>
Williams Companies Inc (WMB)	8/14/2008	2/17/2011	200 000	30.00	5,657.94	5,989.89	331.95
<b>Total</b>					<b>274,664.55</b>	<b>252,629.73</b>	<b>-22,034.82</b>

