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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

**2011**

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, and ending

Name of foundation <b>STANLEY &amp; LUCY LOPATA FOUNDATION</b> <b>C/O LOPATA, FLEGEL &amp; CO LLP</b>		A Employer identification number <b>43-6099972</b>
Number and street (or P O box number if mail is not delivered to street address) <b>600 MASON RIDGE CENTER DRIVE</b>	Room/suite <b>100</b>	B Telephone number <b>314-514-8881</b>
City or town, state, and ZIP code <b>ST. LOUIS, MO 63141</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>9,049,626.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		155,220.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		250,864.	250,864.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<23,146.>			
b Gross sales price for all assets on line 6a <b>1,196,992.</b>					
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		857.	857.		STATEMENT 2
12 Total. Add lines 1 through 11		383,795.	251,721.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		14,295.	7,148.		7,147.
c Other professional fees STMT 4		29,669.	29,669.		0.
17 Interest					
18 Taxes STMT 5		52,533.	2,691.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		165.	165.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		96,662.	39,673.		7,147.
25 Contributions, gifts, grants paid		452,370.			452,370.
26 Total expenses and disbursements. Add lines 24 and 25		549,032.	39,673.		459,517.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<165,237.>			
b Net investment income (if negative, enter -0-)			212,048.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2011)

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Operating and Administrative Expenses

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# STANLEY & LUCY LOPATA FOUNDATION

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## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	13,670.	18,799.	18,799.
	2	Savings and temporary cash investments	117,377.	28,212.	28,212.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons		100.	
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 9	263,887.	200,045.	206,573.
	b	Investments - corporate stock STMT 10	6,837,532.	6,936,952.	7,633,079.
	c	Investments - corporate bonds STMT 11	374,949.	292,916.	270,037.
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 12	897,019.	883,176.	892,926.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers)	8,504,434.	8,360,200.	9,049,626.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ STATEMENT 13)	0.	10,000.	
	23	Total liabilities (add lines 17 through 22)	0.	10,000.	
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds ...	8,504,434.	8,350,200.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	Total net assets or fund balances	8,504,434.	8,350,200.	
	31	Total liabilities and net assets/fund balances	8,504,434.	8,360,200.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,504,434.
2	Enter amount from Part I, line 27a	2	<165,237.>
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	14,761.
4	Add lines 1, 2, and 3	4	8,353,958.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	3,758.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,350,200.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 1,196,992.		1,220,138.	<23,146.>	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			<23,146.>	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 <23,146.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3 N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	364,660.	9,114,405.	.040009
2009	481,437.	7,282,450.	.066109
2008	463,700.	9,820,127.	.047219
2007	384,532.	10,601,173.	.036273
2006	421,956.	9,395,943.	.044908

2 Total of line 1, column (d)	2 .234518
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 .046904
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4 9,253,300.
5 Multiply line 4 by line 3	5 434,017.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 2,120.
7 Add lines 5 and 6	7 436,137.
8 Enter qualifying distributions from Part XII, line 4	8 459,517.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	2,120.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,120.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,120.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	14,433.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	14,433.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	12,313.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input checked="" type="checkbox"/> 12,313. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A** Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A		X	
14	The books are in care of ► LOPATA, FLEGEL & COMPANY, LLP Telephone no. ► 314-514-8881 Located at ► 600 MASON RIDGE CENTER DR, STE 100, ST. LOUIS, MO ZIP+4 ► 63141			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		15	N/A
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►		16	Yes No X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LUCY LOPATA	TRUSTEE			
ONE MCKNIGHT PLACE APT. NO. 308				
ST LOUIS, MO 63124	5.00	0.	0.	0.
JAMES R. LOPATA	TRUSTEE			
ONE EAST SHILLER				
CHICAGO, IL 60611	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

## (c) Compensation

0

## Expenses

Amount

0.



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	9,288,111.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	106,102.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	9,394,213.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	9,394,213.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	140,913.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	9,253,300.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	462,665.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	462,665.
<b>2a</b>	Tax on investment income for 2011 from Part VI, line 5	<b>2a</b>	2,120.
<b>b</b>	Income tax for 2011. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	2,120.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	460,545.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	460,545.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	460,545.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	459,517.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	459,517.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	2,120.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	457,397.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## STANLEY &amp; LUCY LOPATA FOUNDATION

Form 990-PF (2011)

C/O LOPATA, FLEGEL &amp; CO LLP

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				460,545.
<b>2</b> Undistributed income, if any, as of the end of 2011				
<b>a</b> Enter amount for 2010 only			416,224.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2011:				
<b>a</b> From 2006				
<b>b</b> From 2007				
<b>c</b> From 2008				
<b>d</b> From 2009				
<b>e</b> From 2010				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4: ► \$ 459,517.				
<b>a</b> Applied to 2010, but not more than line 2a			416,224.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2011 distributable amount				43,293.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				417,252.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2007				
<b>b</b> Excess from 2008				
<b>c</b> Excess from 2009				
<b>d</b> Excess from 2010				
<b>e</b> Excess from 2011				

## Page 10

N/A

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,  
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities.

- 3 Subtract line 2d from line 2c  
Complete 3a, b, or c for the  
alternative test relied upon:

- a "Assets" alternative test - enter:  
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

## 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:**

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:**

## STANLEY &amp; LUCY LOPATA FOUNDATION

Form 990-PF (2011)

C/O LOPATA, FLEGEL &amp; CO LLP

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**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year				
AARP		CHARITABLE ORGANIZATION		100.
ADL ANTI-DEFAMATION		CHARITABLE ORGANIZATION		100.
ALZHEIMER'S ASSOCIATION		CHARITABLE ORGANIZATION		100.
AMERICAN CANCER SOCIETY		CHARITABLE ORGANIZATION		100.
AMERICAN DIABETES ASSOCIATION		CHARITABLE ORGANIZATION		100.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			<b>452,370.</b>
<b>b</b> Approved for future payment				
NONE				
<b>Total</b>				<b>0.</b>

Form 990-PF (2011)

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14			
4 Dividends and interest from securities			14	250,864.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						857.
8 Gain or (loss) from sales of assets other than inventory			14	<23,146.>		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a <b>TAX REFUND</b> _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		227,718.		857.
13 Total. Add line 12, columns (b), (d), and (e)						228,575.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]

## Form 990-PF (2011)

C/O LOPATA, FLEGEL & CO LLP

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## Part XVII


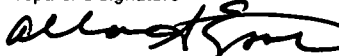
**Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |          |  | Yes          | No       |
|----------|--|--------------|----------|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |              |          |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |              |          |
|          | (1) Cash   | <b>1a(1)</b> | <b>X</b> |
|          | (2) Other assets   | <b>1a(2)</b> | <b>X</b> |
| <b>b</b> | Other transactions:  |              |          |
|          | (1) Sales of assets to a noncharitable exempt organization   | <b>1b(1)</b> | <b>X</b> |
|          | (2) Purchases of assets from a noncharitable exempt organization   | <b>1b(2)</b> | <b>X</b> |
|          | (3) Rental of facilities, equipment, or other assets   | <b>1b(3)</b> | <b>X</b> |
|          | (4) Reimbursement arrangements   | <b>1b(4)</b> | <b>X</b> |
|          | (5) Loans or loan guarantees   | <b>1b(5)</b> | <b>X</b> |
|          | (6) Performance of services or membership or fundraising solicitations   | <b>1b(6)</b> | <b>X</b> |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | <b>1c</b>    | <b>X</b> |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              |          |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	 Signature of officer or trustee		8/14/12 Date		Title	
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature 		Date 8/14/12	
	Check <input type="checkbox"/> if self-employed		Check <input type="checkbox"/> if self-employed		PTIN P00192387	
	Firm's name ▶ LOPATA, FLEGEL & COMPANY LLP				Firm's EIN ▶ 43-1552002	
Firm's address ▶ 600 MASON RIDGE CENTER DR STE 100 ST. LOUIS, MI 63141				Phone no. 314-514-8881		

Form **990-PF** (2011)

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

**2011**

Name of the organization

STANLEY & LUCY LOPATA FOUNDATION  
C/O LOPATA, FLEGEL & CO LLP

Employer identification number

43-6099972

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)( ) (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**LHA** For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

## Name of organization

STANLEY & LUCY LOPATA FOUNDATION  
C/O LOPATA, FLEGEL & CO LLP

## Employer identification number

43-6099972

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	STANLEY & LUCY LOPATA CHARITABLE REMAINDER TRUST DTD 7/2/1991  C/O US BANK NA PO BOX 387  ST LOUIS, MO 63166	\$ 730.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	STANLEY & LUCY LOPATA CHARITABLE REMAINDER TRUST DTD 7/2/1991  C/O US BANK NA PO BOX 387  ST LOUIS, MO 63116	\$ 154,490.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



Name of organization <b>STANLEY &amp; LUCY LOPATA FOUNDATION</b> <b>C/O LOPATA, FLEGEL &amp; CO LLP</b>	Employer identification number <b>43-6099972</b>
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**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>2</u>	<u>PUBLICLY TRADED SECURITIES</u> _____ _____ _____	\$ <u>154,490.</u>	<u>07/02/11</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization

Employer identification number

**STANLEY & LUCY LOPATA FOUNDATION**  
**C/O LOPATA, FLEGEL & CO LLP**

**43-6099972****Part III**

*Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$ \_\_\_\_\_  
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

STANLEY & LUCY LOPATA FOUNDATION  
C/O LOPATA, FLEGEL & CO LLP

43-6099972

**Part XV** Supplementary Information

**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN JEWISH CONGRESS		CHARITABLE ORGANIZATION		1,250.
AMERICAN RED CROSS		CHARITABLE ORGANIZATION		1,700.
AMERICAN SOCIETY OF YAD VASHEN		CHARITABLE ORGANIZATION		200.
ARTS AND EDUCATION COUNCIL		CHARITABLE ORGANIZATION		2,000.
B'NAI AMONNA		CHARITABLE ORGANIZATION		490.
B'NAI BRITH		CHARITABLE ORGANIZATION		100.
BOY SCOUTS OF AMERICA		CHARITABLE ORGANIZATION		1,000.
BOYS & GIRLS TOWN OF MO		CHARITABLE ORGANIZATION		500.
BRODSKY JEWISH COMMUNITY LIBRARY		CHARITABLE ORGANIZATION		50.
CAMPBELL HOUSE MUSEUM		CHARITABLE ORGANIZATION		50.
Total from continuation sheets				451,870.

STANLEY & LUCY LOPATA FOUNDATION  
C/O LOPATA, FLEGEL & CO LLP

43-6099972

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CASA DE SALUD		CHARITABLE ORGANIZATION		100.
CENTER OF CONTEMPORARY ARTS		CHARITABLE ORGANIZATION		500.
CENTRAL INSTITUTE FOR THE DEAF		CHARITABLE ORGANIZATION		100.
CHANNEL NINE KETC		CHARITABLE ORGANIZATION		1,000.
CHURCHILL SCHOOL		CHARITABLE ORGANIZATION		1,000.
COLLEGE BOUND		CHARITABLE ORGANIZATION		50.
CONGREGATION SHAARE EMETH		CHARITABLE ORGANIZATION		600.
CONTEMPORARY ART MUSEUM		CHARITABLE ORGANIZATION		500.
CRAFT ALLIANCE		CHARITABLE ORGANIZATION		100.
CRISIS NURSERY		CHARITABLE ORGANIZATION		100.
Total from continuation sheets				

STANLEY & LUCY LOPATA FOUNDATION  
C/O LOPATA, FLEGEL & CO LLP

43-6099972

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CROWN CENTER FOR SENIORS		CHARITABLE ORGANIZATION		2,000.
DONALD DANFORTH PLANT SCIENCE		CHARITABLE ORGANIZATION		5,000.
EASTER SEALS		CHARITABLE ORGANIZATION		50.
EUGENE FIELD HOUSE		CHARITABLE ORGANIZATION		100.
FOOD OUTREACH		CHARITABLE ORGANIZATION		100.
FOREST PARK FOREVER		CHARITABLE ORGANIZATION		3,000.
GIRL SCOUT COUNCIL		CHARITABLE ORGANIZATION		1,000.
GIRLS INC OF ST LOUIS		CHARITABLE ORGANIZATION		500.
GRAND CENTER		CHARITABLE ORGANIZATION		1,000.
HADASSAH		CHARITABLE ORGANIZATION		200.
Total from continuation sheets				

STANLEY & LUCY LOPATA FOUNDATION  
C/O LOPATA, FLEGEL & CO LLP

43-6099972

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HEARTLAND SPCA		CHARITABLE ORGANIZATION		100.
HERBERT HOOVER BOYS CLUB		CHARITABLE ORGANIZATION		500.
HILLEL		CHARITABLE ORGANIZATION		100.
HOLOCAUST MUSEUM		CHARITABLE ORGANIZATION		1,000.
HUMANE SOCIETY		CHARITABLE ORGANIZATION		350.
INTERNATIONAL INSTITUTE		CHARITABLE ORGANIZATION		100.
JEWISH COMMUNITY CENTER		CHARITABLE ORGANIZATION		2,700.
JEWISH COMMUNITY RELATIONS COUNCIL		CHARITABLE ORGANIZATION		200.
JEWISH FAMILY & CHILDREN'S SERVICE		CHARITABLE ORGANIZATION		11,200.
JEWISH FEDERATION		CHARITABLE ORGANIZATION		50,000.
Total from continuation sheets				

STANLEY & LUCY LOPATA FOUNDATION  
C/O LOPATA, FLEGEL & CO LLP

43-6099972

**Part XV** Supplementary Information

**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JEWISH LIGHT		CHARITABLE ORGANIZATION		250.
KWMU PUBLIC RADIO		CHARITABLE ORGANIZATION		1,000.
LANDMARK ASSOCIATION OF ST. LOUIS		CHARITABLE ORGANIZATION		100.
LAUMEIER SCULPTURE PARK		CHARITABLE ORGANIZATION		100.
LEUKEMIA AND LYMPHOMA		CHARITABLE ORGANIZATION		100.
LIFE SKILLS		CHARITABLE ORGANIZATION		1,000.
LUPUS FOUNDATION		CHARITABLE ORGANIZATION		50.
MAGIC HOUSE		CHARITABLE ORGANIZATION		100.
MARCH OF DIMES		CHARITABLE ORGANIZATION		600.
MAX & LOUIE PRODUCTIONS		CHARITABLE ORGANIZATION		500.
Total from continuation sheets				

STANLEY & LUCY LOPATA FOUNDATION  
C/O LOPATA, FLEGEL & CO LLP

43-6099972

**Part XV** Supplementary Information

**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MEMORY HOME CARE SOLUTIONS		CHARITABLE ORGANIZATION		100.
METROPOLITAN OPERA ASSOCIATION		CHARITABLE ORGANIZATION		100.
MICDS		CHARITABLE ORGANIZATION		100.
MIRIAM FOUNDATION		CHARITABLE ORGANIZATION		40,150.
MISSOURI BOTANICAL GARDENS		CHARITABLE ORGANIZATION		21,125.
MISSOURI CURES STEM CELL RESEARCH		CHARITABLE ORGANIZATION		1,000.
MISSOURI HISTORY MUSEUM		CHARITABLE ORGANIZATION		1,000.
MUSCULAR DYSTROPHY		CHARITABLE ORGANIZATION		100.
NAAMAT USA		CHARITABLE ORGANIZATION		50.
NAMI ST LOUIS		CHARITABLE ORGANIZATION		200.
Total from continuation sheets				



STANLEY & LUCY LOPATA FOUNDATION  
C/O LOPATA, FLEGEL & CO LLP

43-6099972

**Part XV** Supplementary Information

**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NARAL PRO-CHOICE MISSOURI FOUNDATION		CHARITABLE ORGANIZATION		5,000.
NATIONAL CHILDREN'S CANCER SOCIETY		CHARITABLE ORGANIZATION		500.
NATIONAL CONF. COMMUNITY		CHARITABLE ORGANIZATION		300.
NATURE CONSERVANCY		CHARITABLE ORGANIZATION		50.
NCJW		CHARITABLE ORGANIZATION		10,050.
NEW JEWISH THEATRE		CHARITABLE ORGANIZATION		300.
OASIS		CHARITABLE ORGANIZATION		50.
OLDER WOMEN'S LEAGUE		CHARITABLE ORGANIZATION		500.
OPERA THEATRE OF ST. LOUIS		CHARITABLE ORGANIZATION		2,000.
PATHWAYS TO INDEPENDENCE		CHARITABLE ORGANIZATION		300.
Total from continuation sheets				

STANLEY & LUCY LOPATA FOUNDATION  
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43-6099972

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PLANNED PARENTHOOD		CHARITABLE ORGANIZATION		3,000.
RAINBOW VILLAGE		CHARITABLE ORGANIZATION		25,000.
REPERTORY THEATRE OF ST LOUIS		CHARITABLE ORGANIZATION		1,250.
SALVATION ARMY		CHARITABLE ORGANIZATION		725.
SAUL BRODSKY JEWISH COMMUNITY		CHARITABLE ORGANIZATION		100.
SAUL MIROWITZ DAY SCHOOL		CHARITABLE ORGANIZATION		1,000.
SCHOLARSHIP FOUNDATION OF ST LOUIS		CHARITABLE ORGANIZATION		6,000.
SHELDON ARTS FOUNDATION		CHARITABLE ORGANIZATION		1,000.
SHERWOOD FOREST CAMP		CHARITABLE ORGANIZATION		100.
ST ANDREWS RESOURCES FOR SENIORS		CHARITABLE ORGANIZATION		650.
Total from continuation sheets				

STANLEY & LUCY LOPATA FOUNDATION  
C/O LOPATA, FLEGEL & CO LLP

43-6099972

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST LOUIS AMERICAN HEART ASSOCIATION		CHARITABLE ORGANIZATION		100.
ST LOUIS AREA FOODBANK		CHARITABLE ORGANIZATION		700.
ST LOUIS ART MUSEUM		CHARITABLE ORGANIZATION		5,000.
ST LOUIS CATHEDRAL CONCERTS		CHARITABLE ORGANIZATION		100.
ST LOUIS CHILDREN'S HOSPITAL		CHARITABLE ORGANIZATION		100.
ST LOUIS HEART ASSOCIATION		CHARITABLE ORGANIZATION		100.
ST LOUIS PUBLIC LIBRARY FOUNDATION		CHARITABLE ORGANIZATION		250.
ST LOUIS RABBINICAL ASSOCIATION		CHARITABLE ORGANIZATION		330.
ST LOUIS SCIENCE CENTER		CHARITABLE ORGANIZATION		2,500.
ST LOUIS SYMPHONY		CHARITABLE ORGANIZATION		6,050.
Total from continuation sheets				

STANLEY & LUCY LOPATA FOUNDATION  
C/O LOPATA, FLEGEL & CO LLP

43-6099972

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST LOUIS ZOO		CHARITABLE ORGANIZATION		1,000.
STAGES		CHARITABLE ORGANIZATION		100.
TOWER GROVE PARK		CHARITABLE ORGANIZATION		150.
UNITED STATES HOLOCAUST MEMORIAL		CHARITABLE ORGANIZATION		100.
UNITED WAY OF ST LOUIS		CHARITABLE ORGANIZATION		16,000.
VARIETY CHILDREN'S CHARITY OF ST LOUIS		CHARITABLE ORGANIZATION		750.
WASHINGTON UNIVERSITY		CHARITABLE ORGANIZATION		201,000.
WEBSTER UNIVERSITY		CHARITABLE ORGANIZATION		1,000.
WOMEN OF ACHIEVEMENT		CHARITABLE ORGANIZATION		200.
WOMEN'S SOCIETY OF WASHINGTON UNIVERSITY		CHARITABLE ORGANIZATION		100.
Total from continuation sheets				

43-6099972

## 3 Grants and Contributions Paid During the Year (Continuation)

**Total from continuation sheets**

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a T ROWE PRICE INTL FUND		D	06/29/05	09/09/11
b T ROWE PRICE INTL FUND ADV CLASS		D	04/16/07	09/09/11
c ROWE T PRICE MID CAP VALUE FUND		D	08/05/02	09/09/11
d DODGE & COX STOCK FUND		D	08/05/02	09/09/11
e SEE ATTACHED STATEMENT		P	VARIOUS	VARIOUS
f SEE ATTACHED STATEMENT		P	VARIOUS	VARIOUS
g CAPITAL GAINS DIVIDENDS				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 13,626.		15,000.	<1,374.>
b 10,408.		11,005.	<597.>
c 4,521.		2,982.	1,539.
d 10,962.		9,522.	1,440.
e 360,997.		416,139.	<55,142.>
f 789,218.		765,490.	23,728.
g 7,260.			7,260.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,374.>
b			<597.>
c			1,539.
d			1,440.
e			<55,142.>
f			23,728.
g			7,260.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	<23,146.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8		3	N/A

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FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
US BANK TRUST	126,726.	0.	126,726.
US BANK TRUST	7,260.	7,260.	0.
US BANK TRUST	117,888.	0.	117,888.
US BANK TRUST	6,250.	0.	6,250.
TOTAL TO FM 990-PF, PART I, LN 4	258,124.	7,260.	250,864.

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FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLASS ACTION DISTRIBUTIONS	651.	651.	
OTHER MISC INCOME	206.	206.	
TOTAL TO FORM 990-PF, PART I, LINE 11	857.	857.	

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FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LOPATA FLEGEL & COMPANY LLP	14,295.	7,148.		7,147.
TO FORM 990-PF, PG 1, LN 16B	14,295.	7,148.		7,147.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
US BANK INVESTMENT FEES	29,669.	29,669.		0.	
TO FORM 990-PF, PG 1, LN 16C	29,669.	29,669.		0.	

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX PAID	2,691.	2,691.		0.	
EXCISE TAX EXTENSION & ESTIMATED PAYMENTS	49,842.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	52,533.	2,691.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BANK SERVICE CHARGES	165.	165.		0.	
TO FORM 990-PF, PG 1, LN 23	165.	165.		0.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	7
DESCRIPTION	AMOUNT				
ADJUST FOR DONATED STOCK RECORDED AT COST	14,761.				
TOTAL TO FORM 990-PF, PART III, LINE 3	14,761.				



FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
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DESCRIPTION	AMOUNT
BASIS ADJUSTMENT - SALE OF DONATED SECURITIES	3,758.
TOTAL TO FORM 990-PF, PART III, LINE 5	3,758.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	9
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US GOVERNMENT FUNDS	X		200,045.	206,573.
TOTAL U.S. GOVERNMENT OBLIGATIONS			200,045.	206,573.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			200,045.	206,573.

FORM 990-PF	CORPORATE STOCK	STATEMENT	10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
DOMESTIC STOCKS	4,408,730.	4,912,918.
CORPORATE BONDS	2,178,763.	2,324,360.
EQUITY MUTUAL FUNDS	349,459.	395,801.
TOTAL TO FORM 990-PF, PART II, LINE 10B	6,936,952.	7,633,079.

FORM 990-PF	CORPORATE BONDS	STATEMENT	11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIXED INCOME BONDS	292,916.	270,037.
TOTAL TO FORM 990-PF, PART II, LINE 10C	292,916.	270,037.

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FORM 990-PF	OTHER INVESTMENTS	STATEMENT 12
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
FOREIGN STOCKS	COST	883,176.	892,926.
TOTAL TO FORM 990-PF, PART II, LINE 13		883,176.	892,926.

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FORM 990-PF	OTHER LIABILITIES	STATEMENT 13
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
DUE TO ACCOUNT - 8840	0.	10,000.
TOTAL TO FORM 990-PF, PART II, LINE 22	0.	10,000.

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**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

► File a separate application for each return.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3 month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on **e-file for Charities & Nonprofits**.**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐**All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.**

Type or print  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. <b>STANLEY &amp; LUCY LOPATA FOUNDATION C/O LOPATA, FLEGEL &amp; CO LLP</b>	Employer identification number (EIN) or <input checked="" type="checkbox"/> <b>43-6099972</b>
	Number, street, and room or suite no. If a P.O. box, see instructions. <b>600 MASON RIDGE CENTER DRIVE, NO. 100</b>	Social security number (SSN) <input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>ST. LOUIS, MO 63141</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)  

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**LOPATA, FLEGEL & COMPANY, LLP**• The books are in the care of ► **600 MASON RIDGE CENTER DR, STE 100 - ST. LOUIS, MO 63141**  
Telephone No. ► **314-514-8881** FAX No ► **314-514-8872**• If the organization does not have an office or place of business in the United States, check this box ☐• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2012**, to file the exempt organization return for the organization named above. The extension

is for the organization's return for:

► ☒ calendar year **2011** or► ☐ tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return  
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	<b>1,825.</b>
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	<b>14,433.</b>
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	<b>0.</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2012)