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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation IOWA WEST FOUNDATION		A Employer identification number 42-1391990
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) (712) 325-3133
25 MAIN PLACE	550	
City or town, state, and ZIP code COUNCIL BLUFFS, IA 51503		C If exemption application is pending, check here ☐
G Check all that apply	Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change ☐	D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 312,836,715.	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule). Check ☐ if the foundation is not required to attach Sch B		6,959,829.			
2 Interest on savings and temporary cash investments					
3 Dividends and interest from securities		5,312,268.	5,312,268.		ATCH 1
4a Gross rents					
b Net rental income or (loss)					
5a Net gain or (loss) from sale of assets not on line 10		11,555,895.			
b Gross sales price for all assets on line 6a	40,947,362.				
7 Capital gain net income (from Part IV line 2)			11,555,895.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		23,827,992.	16,868,163.		
13 Compensation of officers, directors, trustees, etc.		250,132.	37,520.		212,612.
14 Other employee salaries and wages		682,474.	17,519.		583,212.
15 Pension plans, employee benefits		376,458.	20,956.		352,373.
16a Legal fees (attach schedule) ATCH. 2.		58,481.			58,481.
b Accounting fees (attach schedule) ATCH. 3.		70,480.	17,620.		9,713.
c Other professional fees (attach schedule) *		1,602,776.	1,204,413.		381,345.
17 Interest, ATTACHMENT. 5.		163,000.			163,000.
18 Taxes (attach schedule) (see instructions)		250,000.			
19 Depreciation (attach schedule) and depletion.					
20 Occupancy					
21 Travel, conferences, and meetings		39,188.	5,369.		33,804.
22 Printing and publications		104,692.			104,202.
23 Other expenses (attach schedule) ATCH. 6.		100,018.			99,524.
24 Total operating and administrative expenses. Add lines 13 through 23		3,697,699.	1,303,397.		1,998,266.
25 Contributions, gifts, grants paid		10,182,794.			15,550,169.
26 Total expenses and disbursements. Add lines 24 and 25		13,880,493.	1,303,397.	0	17,548,435.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		9,947,499.			
b Net investment income (if negative, enter -0-)			15,564,766.		
c Adjusted net income (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see instructions.

*ATCH 4 JSA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments	2,333,552.	6,091,791.	6,091,791.	
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule), . .				
	b	Investments - corporate stock (attach schedule) ATCH 7 . .	187,658,932.	130,574,486.	130,574,486.	
	c	Investments - corporate bonds (attach schedule), ATCH 18 . .	109,893.	39,689,947.	39,689,947.	
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶				
	12	Investments - mortgage loans				
	13	Investments - other (attach schedule) ATCH 8 . .	130,407,766.	136,273,077.	136,273,077.	
	14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ ATCH 9)	182,859.	207,414.	207,414.		
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	320,693,002.	312,836,715.	312,836,715.		
Liabilities	17	Accounts payable and accrued expenses	96,288.	125,237.		
	18	Grants payable ATCH 17	50,548,799.	45,258,217.		
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons . .				
	21	Mortgages and other notes payable (attach schedule)	14,625,000.	14,625,000.	ATCH 10	
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	65,270,087.	60,008,454.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ X and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted	255,422,915.	252,828,261.		
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds . .				
	30	Total net assets or fund balances (see instructions)	255,422,915.	252,828,261.		
	31	Total liabilities and net assets/fund balances (see instructions)	320,693,002.	312,836,715.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	255,422,915.
2	Enter amount from Part I, line 27a	2	9,947,499.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	265,370,414.
5	Decreases not included in line 2 (itemize) ▶ ATTACHMENT 11	5	12,542,153.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	252,828,261.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	11,555,895.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	23,696,448.	293,481,504.	0.080743
2009	21,909,726.	275,589,858.	0.079501
2008	27,740,711.	347,021,124.	0.079940
2007	20,912,093.	364,577,665.	0.057360
2006	12,992,965.	309,825,268.	0.041936
2 Total of line 1, column (d)			2 0.339480
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.067896
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 322,994,060.
5 Multiply line 4 by line 3			5 21,930,005.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 155,648.
7 Add lines 5 and 6			7 22,085,653.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 17,548,435.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	311,295.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	311,295.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	311,295.
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a 305,637.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	305,637.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	5,658.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ Refunded ☐		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► IA, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address WWW.IOWAWESTFOUNDATION.ORG				
14	The books are in care of MR. TIM MILLER Telephone no 712-325-3133			
Located at 25 MAIN PLACE STE 550 COUNCIL BLUFFS, IA ZIP + 4 51503				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	15		
and enter the amount of tax-exempt interest received or accrued during the year				
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	☐ Yes ☒ No	
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 12		250,132.	98,108.	4,500.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 13		523,036.	185,143.	0

Total number of other employees paid over \$50,000 ☐ 1

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATTACHMENT 14		613,277.
Total number of others receiving over \$50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	184,842,900.
b	Average of monthly cash balances	1b	6,796,814.
c	Fair market value of all other assets (see instructions)	1c	136,273,037.
d	Total (add lines 1a, b, and c)	1d	327,912,751.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	327,912,751.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,918,691.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	322,994,060.
6	Minimum investment return. Enter 5% of line 5	6	16,149,703.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	16,149,703.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	311,295.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	311,295.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	15,838,408.
4	Recoveries of amounts treated as qualifying distributions	4	22,834.
5	Add lines 3 and 4	5	15,861,242.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	15,861,242.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	17,548,435.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	17,548,435.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	17,548,435.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				15,861,242.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2011				
a From 2006 0.				
b From 2007 2,663,262.				
c From 2008 10,254,216.				
d From 2009 7,752,544.				
e From 2010 9,162,712.				
f Total of lines 3a through e	29,832,734.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 17,548,435.				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				15,861,242.
e Remaining amount distributed out of corpus . .	1,687,193.			
5 Excess distributions carryover applied to 2011. (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	31,519,927.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	31,519,927.			
10 Analysis of line 9				
a Excess from 2007 2,663,262.				
b Excess from 2008 10,254,216.				
c Excess from 2009 7,752,544.				
d Excess from 2010 9,162,712.				
e Excess from 2011 1,687,193.				

Form 990-PF (2011)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 8 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 15

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHMENT 20 & 21

c Any submission deadlines

SEE ATTACHMENT 20 & 21

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHMENT 20 & 21

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHMENT 16				15,550,169.
Total ► 3a				15,550,169.
b Approved for future payment SEE ATTACHMENT 17				45,258,217.
Total ► 3b				45,258,217.

Form 990-PF (2011)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

JSA
1E1492 1 000

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|---|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: Timothy L. Miller Date: 11/15/2012 Title: VP of Finance

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name Lorraine A. Egger	Preparer's signature <i>Lorraine A. Egger</i>	Date 11/15/12	Check ☐ if self-employed	PTIN P00223617
Firm's name ▶ KPMG LLP			Firm's EIN ▶ 13-5565207	
Firm's address ▶ TWO CENTRAL PARK PLAZA, SUITE 1501 OMAHA, NE 68102-1626			Phone no 402-348-1450	

Form 990-PF (2011)

Schedule of Contributors

OMB No 1545-0047

2011

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

Name of the organization

IOWA WEST FOUNDATION

Employer identification number

42-1391990

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization IOWA WEST FOUNDATION

Employer identification number
42-1391990**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	IOWA WEST RACING ASSOCIATION 25 MAIN PLACE, SUITE 550 COUNCIL BLUFFS, IA 51503	\$ 6,700,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	CITY OF COUNCIL BLUFFS 209 PEARL STREET COUNCIL BLUFFS, IA 51503	\$ 259,829.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Employer identification number

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

JSA

Name of organization IOWA WEST FOUNDATION

Employer identification number

42-1391990

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.

For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once See instructions) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
TOTAL GAIN (LOSS)		SEE ATTACHMENT 19					<u>11555895.</u>	

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST & DIVIDENDS FROM FNB	4,312,258.	4,312,258.
INTEREST & DIVIDENDS FROM OTHER INVESTMENTS	1,000,010.	1,000,010.
TOTAL	<u>5,312,268.</u>	<u>5,312,268.</u>

ATTACHMENT 2FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ATTORNEY FEES	58,481.			58,481.
TOTALS	<u>58,481.</u>			<u>58,481.</u>

ATTACHMENT 3FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	70,480.	17,620.		9,713.
TOTALS	<u>70,480.</u>	<u>17,620.</u>		<u>9,713.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
OTHER PROFESSIONAL FEES	2,360.		2,360.
BANK CHARGES	4,295.		4,295.
INVESTMENT MANAGER FEES	1,204,413.	1,204,413.	
CONSULTANT FEES	389,631.		372,613.
PAYROLL PROCESSING FEE	2,077.		2,077.
TOTALS	<u>1,602,776.</u>	<u>1,204,413.</u>	<u>381,345.</u>

ATTACHMENT 5FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
INTEREST AND AMORTIZATION	163,000.
TOTALS	<u>163,000.</u>

ATTACHMENT 6FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
OFFICE SUPPLIES	7,454.	7,454.
DUES AND SUBSCRIPTIONS	9,299.	9,299.
REPAIRS AND MAINTENANCE	9,290.	9,290.
OFFICE INSURANCE	62,256.	62,256.
MISCELLANEOUS EXPENSE	11,719.	11,225.
TOTALS	<u>100,018.</u>	<u>99,524.</u>

ATTACHMENT 7FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VARIOUS SEE ATTACHMENT 18 FOR DETAIL	187,658,932.	130,574,486.	130,574,486.
TOTALS	<u>187,658,932.</u>	<u>130,574,486.</u>	<u>130,574,486.</u>

ATTACHMENT 8FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE ATTACHMENT 22	130,407,766.	136,273,077.	136,273,077.
TOTALS	<u>130,407,766.</u>	<u>136,273,077.</u>	<u>136,273,077.</u>

ATTACHMENT 9FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DEFERRED FINANCING COSTS	-5,130.		
TAXES WITHHELD	26,816.	26,816.	26,816.
DEFERRED BOND ISSUANCE COST	171,244.	163,114.	163,114.
ACCOUNTS RECEIVABLE -INSURANCE	-10,071.	17,484.	17,484.
TOTALS	<u>182,859.</u>	<u>207,414.</u>	<u>207,414.</u>

ATTACHMENT 10FORM 990PF, PART II - MORTGAGES AND OTHER NOTES PAYABLE

LENDER: IOWA FINANCE AUTHORITY REVENUE BONDS
ORIGINAL AMOUNT: 15,000,000.
INTEREST RATE: 0.270000
MATURITY DATE: 01/01/2032
PURPOSE OF LOAN: STREETSCAPE CONSTRUCTION PROJECT/MARCC GRANT PROJ.

BEGINNING BALANCE DUE 14,625,000.

ENDING BALANCE DUE 14,625,000.

TOTAL BEGINNING MORTGAGES AND OTHER NOTES PAYABLE 14,625,000.

TOTAL ENDING MORTGAGES AND OTHER NOTES PAYABLE 14,625,000.

ATTACHMENT 11FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

NET UNREALIZED LOSS ON INVESTMENTS

12,542,153.

TOTAL

12,542,153.

IOWA WEST FOUNDATION

42-1391990

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 12

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
DAVID H. KUPER, MD 25 MAIN PLACE 550 COUNCIL BLUFFS, IA 51503	VICE PRESIDENT 1.00	0	0	0
SUELLEN OVERTON 25 MAIN PLACE 550 COUNCIL BLUFFS, IA 51503	SECRETARY/TREASURER 1.00	0	0	0
JOHN M. BURNS 25 MAIN PLACE 550 COUNCIL BLUFFS, IA 51503	BOARD MEMBER 1.00	0	0	0
CHARLES L. SMITH 25 MAIN PLACE 550 COUNCIL BLUFFS, IA 51503	PRESIDENT 1.00	0	0	0
TODD GRAHAM 25 MAIN PLACE 550 COUNCIL BLUFFS, IA 51503	PRESIDENT & CEO 40.00	138,777.	66,386.	4,500.

25 MAIN PLACE
550
Council, Bluffs, IA 51503

IOWA WEST FOUNDATION

42-1391990

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 12 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
GEORGIA A. SIEVERS 25 MAIN PLACE 550 COUNCIL BLUFFS, IA 51503	BOARD MEMBER 1.00	0	0	0
RICK D. CROWL 25 MAIN PLACE 550 COUNCIL BLUFFS, IA 51503	BOARD MEMBER 1.00	0	0	0
SUSAN M. MILLER 25 MAIN PLACE 550 COUNCIL BLUFFS, IA 51503	BOARD MEMBER 1.00	0	0	0
ROBERT N. SCHLOTT 25 MAIN PLACE 550 COUNCIL BLUFFS, IA 51503	BOARD MEMBER 1.00	0	0	0
EMMA M. CHANCE 25 MAIN PLACE 550 COUNCIL BLUFFS, IA 51503	BOARD MEMBER 1.00	0	0	0

IOWA WEST FOUNDATION

42-1391990

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 12 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
TIMOTHY MILLER 25 MAIN PLACE 550 COUNCIL BLUFFS, IA 51503	CHIEF FINANCIAL OFFICER 40.00	111,355.	31,722.	0
GRAND TOTALS		<u>250,132.</u>	<u>98,108.</u>	<u>4,500.</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES</u>
REED MORGAN 25 MAIN PLACE COUNCIL BLUFFS, IA 51503	GRANTS PROGRAM DIR 40.00	101,896.	23,372. 0
RICHARD SORICH 25 MAIN PLACE COUNCIL BLUFFS, IA 51503	SPECIAL PROJECTS MGR 40.00	97,396.	39,475. 0
GERALD MATHIASSEN 25 MAIN PLACE COUNCIL BLUFFS, IA 51503	SR VICE PRESIDENT 40.00	123,213.	44,272. 0
DAVID HARRIS 25 MAIN PLACE COUNCIL BLUFFS, IA 51503	VP - GRANTS & ADMIN 40.00	131,805.	40,408. 0
DEB DEBBAUT 25 MAIN PLACE COUNCIL BLUFFS, IA 51503	GRANTS MANAGER 40.00	68,726.	37,616. 0
	TOTAL COMPENSATION	523,036.	185,143. 0

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
BAIRD HOLM LAW FIRM 1500 WOODMEN TOWER OMAHA, NE 68102	LEGAL	58,481.
BOARDWALK CONSULTING 127 PEACHTREE STREET NE, SUITE 200 ATLANTA, GA 30303	EXECUTIVE SEARCH	64,660.
EMSPACE, INC. 7634 PIERCE STREET OMAHA, NE 68124	PUBLIC RELATIONS	125,083.
WINSTON ASSOCATES 4696 BROADWAY BOULDER, CO 80304	RECREATON STUDY	76,518.
MERCER INVESTMENT CONSULTANTS 701 MARKET STRET, SUITE 1100 ST LOUIS, MO 63101	INVESTMENT ADVISORS	288,535.
TOTAL COMPENSATION		<u>613,277.</u>

ATTACHMENT 15

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

IOWA WEST FOUNDATION
25 MAIN PLACE
COUNCIL BLUFFS, IA 51503
~~712-325-3133~~

712-309-3000

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
African American Empowerment Network 2221 N 24TH ST OMAHA, NE 68110-2200	N / A	Public Chanty	Neighborhood Revitalization and Transformation	\$25,000 00
African Culture Connection 1823 S 155th Avenue Omaha, NE 68144-1935	N / A	Public Chanty	Capacity Building and Third Annual ACC Residency Project	\$10,200 00
Alegent Health Mercy Hospital 12809 West Dodge Road Omaha, NE 68154	N / A	Public Chanty	Chanty Care Assistance at Mercy Hospital	\$75,000 00
American Red Cross Loess Hills Chapter 705 N 16th Street Council Bluffs, IA 51501	N / A	Public Chanty	General Operating Support	\$37,500 00
American Red Cross Loess Hills Chapter 705 N 16th Street Council Bluffs, IA 51501	N / A	Public Chanty	Flood Recovery	\$257,500 00
Avoca Betterment Association 201 N Elm Street Avoca, IA 51521	N / A	Public Chanty	Adaptive Re-Use of 133 North Elm Street	\$125,000 00
Avoca Betterment Association 201 N Elm Street Avoca, IA 51521	N / A	Public Chanty	"Green" Affordable Housing Units	\$60,000 00
Ballet Nebraska 918 S 37th St Omaha, NE 68105	N / A	Public Chanty	Momentum	\$20,000 00
Ballet Nebraska 918 S 37th St Omaha, NE 68105	N / A	Public Chanty	Dracula 2011	\$7,500 00
Bemis Center for Contemporary Arts 724 S 12TH ST Omaha, NE 68102-3202	N / A	Public Chanty	Capital Improvements Campaign	\$2,944 70
Bemis Center for Contemporary Arts 724 S 12TH ST Omaha, NE 68102-3202	N / A	Public Chanty	Building Bridges	\$41,625 00
Big Brothers Big Sisters of the Midlands 10831 OLD MILL RD STE 400 Omaha, NE 68154-2640	N / A	Public Chanty	Children at Risk of Academic Failure	\$4,100 00
Big Brothers Big Sisters of the Midlands 10831 OLD MILL RD STE 400 Omaha, NE 68154-2640	N / A	Public Chanty	Community-Based Mentoring for Children At-Risk	\$10,250 00
Big Brothers Big Sisters of the Midlands 10831 OLD MILL RD STE 400 Omaha, NE 68154-2640	N / A	Public Chanty	Community-Based Mentoring for Children Facing Adversity	\$30,000 00
Bluffs Arts Council 209 Pearl, Suite 106B Council Bluffs, IA 51503	N / A	Public Chanty	General Operating Support	\$20,000 00
Bluffs Arts Council 209 Pearl, Suite 106B Council Bluffs, IA 51503	N / A	Public Chanty	Concert Series 2011-2012	\$5,000 00
Bluffs Arts Council 209 Pearl, Suite 106B Council Bluffs, IA 51503	N / A	Public Chanty	Black Squirrel Year Round Arts Classes	\$2,500 00
Board of Regents of the University of Nebraska 6001 Dodge Street Omaha, NE 68182-0210	N / A	Public Chanty	LEGENDS-Learning Experiences with Genatnc Elderly Needing Delivery Systems	\$13,600 84

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Board of Regents of the University of Nebraska 6001 Dodge Street Omaha, NE 68182-0210	N / A	Public Charity	Information Technology Interventions for Microenterprse Economic Development	\$28,950 75
Board of Regents of the University of Nebraska 6001 Dodge Street Omaha, NE 68182-0210	N / A	Public Charity	Evidence-Based Community Development Demographic Change and Latinos' Economic Impact in the Heartland	\$26,250 00
Boy Scouts of Amerca, Mid-America Council 12401 West Maple Road Omaha, NE 68164-1853	N / A	Public Charity	Little Sioux Scout Ranch Improvements	\$34,125 00
Boys & Girls Clubs of the Midlands 815 N 16th St Council Bluffs, IA 51501	N / A	Public Charity	Building Social Skills	\$112,500 00
Boys & Girls Clubs of the Midlands 815 N 16th St Council Bluffs, IA 51501	N / A	Public Charity	General Operating Support	\$87,500 00
Camp Hertko Hollow, Inc 101 Locust Street Des Moines, IA 50309	N / A	Public Charity	Diabetes Camperships for Underserved Iowa Diabetic Youth	\$5,000 00
CASA (Court Appointed Special Advocates) for Douglas County 2412 St Mary's Avenue Omaha, NE 68105	N / A	Public Charity	Children Services Project	\$10,000 00
Carson Business Club PO Box 355 Carson, IA 51525-0355	N / A	Public Charity	Dreamland Theatre Digital Projector Upgrade	\$30,750 00
Carstens 1880 Farmstead, Inc PO Box 302 Shelby, IA 51570	N / A	Public Charity	Pingel's Hybrnds Exhibit	\$10,000 00
Centro Latino of Iowa 600 South Main Street Council Bluffs, IA 51503	N / A	Public Charity	Family Literacy and Child Care	\$15,000 00
Charles Drew Health Center, Inc 2915 GRANT ST Omaha, NE 68111-5609	N / A	Public Charity	Active Seniors	\$5,000 00
Chrntian Home Association - Children's Square U S A 6th Street and Avenue E, PO Box 8C Council Bluffs, IA 51502-3008	N / A	Public Charity	Campus Development Project, Phase I	\$37,875 00
Chrntian Home Association - Children's Square U S A 6th Street and Avenue E, PO Box 8C Council Bluffs, IA 51502-3008	N / A	Public Charity	Partnenng with Familes, Engaging Families in the Care and Treatment of their Children	\$28,161 00
Chrntian Home Association - Children's Square U S A 6th Street and Avenue E, PO Box 8C Council Bluffs, IA 51502-3008	N / A	Public Charity	Cottonwood Upgrade	\$30,000 00
Chrntian Home Association - Children's Square U S.A. 6th Street and Avenue E, PO Box 8C Council Bluffs, IA 51502-3008	N / A	Public Charity	Targeted Foster Home Recruitment	\$16,800 00
City of Avoca 201 N Elm St Avoca, IA 51521	N / A	Government	Municipal Golf Clubhouse Project	\$18,750 00
City of Avoca 201 N Elm St Avoca, IA 51521	N / A	Government	Clubhouse Equipment and Furniture	\$10,000 00

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
City of Carson 316 South Commercial St , Box 128 Carson, IA 51525-0128	N / A	Government	Community Center Sound System	\$5,992 00
City of Carter Lake 950 Locus Street Carter Lake, IA 51510	N / A	Government	Locust Street Streetscaping	\$25,781 00
City of Council Bluffs 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Mid-America Center Operations	\$150,000 00
City of Council Bluffs 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Economic Development of Bass Pro Area	\$1,000,000 00
City of Council Bluffs 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	City Cost Center Benchmarking	\$3,850 00
City of Council Bluffs 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	City Streetscape, Phase VII Part II	\$619,338 60
City of Council Bluffs 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Council Bluffs Economic Development Initiative	\$150,000 00
City of Council Bluffs 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	First Night 2011	\$5,000 00
City of Council Bluffs 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	First Night 2012	\$20,000 00
City of Council Bluffs Community Development Department 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Park and Glen Avenues Historic Study	\$900 00
City of Council Bluffs Parks, Recreation and Public Property Department 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	River's Edge Park	\$508,353 29
City of Crescent 102 W Florence St P O Box 16 Crescent, IA 51526	N / A	Government	Park Revitalization VI Volleyball Court Lights	\$4,000 00
City of Lewis 416 Main Street Lewis, IA 51544	N / A	Government	Lewis Bandstand Restoration	\$5,000 00
City of Macedonia 322 Main Street Macedonia, IA 51549	N / A	Government	Roofing, Renovation and ADA Compliance of Bathroom at Stempel Bird Museum, 311 Main St	\$5,500 00
City of Oakland 101 N Main, PO Box 396 Oakland, IA 51560	N / A	Government	Oakland Swimming Pool Bath House Renovations	\$49,678 17
City of Red Oak 400 North Second Street Red Oak, IA 51566	N / A	Government	Red Oak Public Library Expansion	\$5,000 00
City of Shelby 410 East Street Shelby, IA 51570	N / A	Government	Shelby Park Renovation Committee	\$10,000.00
City of Walnut P O Box 326 229 Antique City Drive Walnut, IA 51577	N / A	Government	Walnut Splash Pad Project	\$5,000.00

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
City of Woodbine 517 Walker Street Woodbine, IA 51579	N / A	Government	Downtown Facade Reconstruction	\$37,500 00
College of Saint Mary 7000 Mercy Road Omaha, NE 68106	N / A	Public Chanty	2011 Latina Summer Academy	\$3,000 00
Community Education Foundation, Inc 300 W Broadway, Suite 212 Council Bluffs, IA 51503	N / A	Public Chanty	Scholarships and Training for Adult Re-Entry Students	\$86,634 42
Community Education Foundation, Inc 300 W Broadway, Suite 212 Council Bluffs, IA 51503	N / A	Public Chanty	STARS Scholarship Expansion Program	\$104,833 20
Community Housing Investment Corporation 532 First Avenue Suite 310 Council Bluffs, IA 51503-0803	N / A	Public Chanty	Green Street Home Designs 2009	\$12,000 00
Council Bluffs Airport Authority 101 McCandless Lane Council Bluffs, IA 51503	N / A	Government	Airport Business Park No 1	\$25,000 00
Council Bluffs Community Health Center, Inc 300 W Broadway, Suite 6 Council Bluffs, IA 51503-9040	N / A	Public Chanty	Caring for our Neighbors in Need	\$93,224 50
Council Bluffs Community School District 12 Scott Street Council Bluffs, IA 51503	N / A	Public Chanty	Education Phase I Initiative	\$467,501 00
Council Bluffs Community School District 12 Scott Street Council Bluffs, IA 51503	N / A	Public Chanty	Quality Summer School Program and Instructional Leadership	\$1,016,415 31
Council Bluffs Goodfellows 535 West Broadway, Suite 300 Council Bluffs, IA 51503	N / A	Public Chanty	Council Bluffs Goodfellows 2010	\$44,174 95
Council Bluffs Housing Trust Fund Inc 209 Pearl Street Council Bluffs, IA 51503	N / A	Public Chanty	Council Bluffs Housing Trust Fund 2012	\$30,000 00
Council Bluffs Senior Center, Inc 714 S Main St Council Bluffs, IA 51503-6576	N / A	Public Chanty	Pool Boiler Replacement	\$4,312 69
Crossroads of Western Iowa 535 W Broadway, Suite 201 Council Bluffs, IA 51503	N / A	Public Chanty	Lift Vans for Day and Residential Programs	\$22,500 00
Eckels Memorial Library P O Box 519 Oakland, IA 51560	N / A	Government	Collection Development	\$5,000 00
El Museo Latino 4701 South 25th Street Omaha, NE 68107-2728	N / A	Public Chanty	Visual and Performing Arts	\$20,000 00
Exra Public Library 114 West Washington Street Exra, IA 50076	N / A	Government	Expansion and Remodel of Exra Public Library	\$37,500 00
Family Housing Advisory Services 10 South 4th Street Council Bluffs, IA 51503	N / A	Public Chanty	Community Stability Project	\$33,750 00
Food Bank for the Heartland 10525 J Street Omaha, NE 68127	N / A	Public Chanty	Capital campaign	\$37,500 00
Friends of the Union Pacific Railroad Museum	N / A	Public Chanty	Exhibit Update - Building of the Transcontinental Railroad	\$75,000 00

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
200 Pearl Street Council Bluffs, IA 51503				
Garland Hotel P O Box 15 306 Main Street Bedford, IA 50833-0015	N / A	Public Chanty	Garland Hotel Restoration Planning	\$4,000 00
Golden Hills Resource Conservation and Development PO Box 189 Oakland, IA 51560	N / A	Public Chanty	Western Skies Scenic Byway Corridor Management Plan Development	\$10,000 00
Grameen America, Inc 5002 South 24th Street Suite # 202 Omaha, NE 68107	N / A	Public Chanty	Grameen America Microloan Program Expansion in Omaha and Iowa	\$30,000 00
Green Hills Area Education Agency 24997 Highway 92, PO Box 1109 Council Bluffs, IA 51502-1109	N / A	Public Chanty	Pottawattamie County Preschool Project	\$912,787 71
Green Hills Area Education Agency 24997 Highway 92, PO Box 1109 Council Bluffs, IA 51502-1109	N / A	Public Chanty	Enhancing Technology in Rural Schools in Pottawattamie County	\$99,975 00
Green Hills Area Education Agency 24997 Highway 92, PO Box 1109 Council Bluffs, IA 51502-1109	N / A	Public Chanty	Summer Leadership Academies	\$50,012.78
Green Hills Area Education Agency 24997 Highway 92, PO Box 1109 Council Bluffs, IA 51502-1109	N / A	Public Chanty	Pottawattamie County Preschool Project	\$221,189 99
Habitat for Humanity of Council Bluffs 645 Ninth Avenue Council Bluffs, IA 51503	N / A	Public Chanty	Support of 2011 Building and Neighborhood Transformation Efforts	\$300,000 00
Habitat for Humanity of Omaha, Inc 2204 Ames Avenue Omaha, NE 68110-1515	N / A	Public Chanty	Habitat for Humanity Youth Build - LEED House	\$25,000 00
Heartland Center for Leadership Development 3110 North 40th Street, Suite A Lincoln, NE 68504-1964	N / A	Public Chanty	Capacity Building for Rural Pottawattamie County Community Leaders	\$80,000 00
Heartland Family Service 2101 S 42nd Street Omaha, NE 68105	N / A	Public Chanty	Pottawattamie County Homeless Link	\$150,000 00
Heartland Family Service 2101 S 42nd Street Omaha, NE 68105	N / A	Public Chanty	Homeless Follow-up 2010	\$9,375 00
Heartland Family Service 2101 S 42nd Street Omaha, NE 68105	N / A	Public Chanty	Ways to Work Program	\$16,666 66
Heartland Family Service 2101 S 42nd Street Omaha, NE 68105	N / A	Public Chanty	Iowa Family Works Support	\$142,388 00
Heartland Workers Center 4923 S 24th St Ste 3A Omaha, NE 68107	N / A	Public Chanty	Building a Community that Works for All	\$15,000 00
Historic General Dodge House 621 South 3rd Street Council Bluffs, IA 51503-6614	N / A	Public Chanty	Complex Paint, Wood & Masonry Restoration Project	\$61,750 00
Hoo Doo Celebration Inc. P O Box 37 Neola, IA 51559-0037	N / A	Public Chanty	Phoenix theater restoration	\$75,000 00

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Hospice of Southwest Iowa 427 East Kaneshville, Suite 100 Council Bluffs, IA 51503	N / A	Public Chanty	Hospice of SW Iowa Marketing and Capital Campaign Development	\$25,000 00
Iowa Architectural Foundation 400 Locust Street - Suite 100 Des Moines, IA 50309	N / A	Public Chanty	Community Design in Southwest Iowa	\$5,000 00
Iowa Architectural Foundation 400 Locust Street - Suite 100 Des Moines, IA 50309	N / A	Public Chanty	Community Design in Southwest Iowa	\$2,500 00
Iowa JAG, Inc Abraham Lincoln High School 1205 Bonham Avenue Council Bluffs, IA 51503	N / A	Public Chanty	Council Bluffs JAG General Operating Support	\$30,000 00
Iowa Legal Aid 532 First Avenue, Suite 300 Council Bluffs, IA 51503-0803	N / A	Public Chanty	Health and Law Project	\$7,500 00
Iowa Natural Heritage Foundation 505 5th Avenue, Suite 444 Des Moines, IA 50309-2321	N / A	Public Chanty	Wabash Trace Trail Enhancement	\$3,000 00
Iowa West Foundation Initiative 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	City of Council Bluffs Streetscape Construction	\$195,898 00
Iowa West Foundation Initiative 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	City of Council Bluffs Streetscape Construction and Public Art	\$1,191,448 56
Iowa West Foundation Initiative 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	City of Council Bluffs Streetscape Maintenance	\$337,003 26
Iowa West Foundation Initiative 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	City of Council Bluffs Public Art	\$141,323 45
Iowa West Foundation Scholarship Program 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	2005 Scholarship Program	\$15,000 00
Iowa West Foundation Scholarship Program 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	2007 Scholarship Program	\$5,000 00
Iowa West Foundation Scholarship Program 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	2008 Scholarship Program	\$92,500 00
Iowa West Foundation Scholarship Program 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	2009 Scholarship Program	\$95,000 00
Iowa Western Community College Foundation 2700 College Road Council Bluffs, IA 51503	N / A	Public Chanty	Transforming Lives, Building Futures Campaign	\$423,812 42
Iowa Western Community College Foundation 2700 College Road Council Bluffs, IA 51503	N / A	Public Chanty	2010-2011 Arts Center Programming	\$12,500 00
Iowa Western Community College Foundation 2700 College Road Council Bluffs, IA 51503	N / A	Public Chanty	2011-2012 Arts Center Programming	\$33,750 00
Jennie Edmundson Memorial Hospital	N / A	Public Chanty	Jennie Edmundson Chantable Patient Care Program	\$75,000 00

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
933 East Pierce Street Council Bluffs, IA 51503				
Joslyn Art Museum 2200 Dodge Street Omaha, NE 68102-1292	N / A	Public Chanty	Museum to the Classroom Third Thursdays for Teachers	\$25,000 00
Keep Council Bluffs Beautiful, Inc 209 Pearl Street Council Bluffs, IA 51503	N / A	Public Chanty	Capacity Building	\$20,000 00
Keep Council Bluffs Beautiful, Inc 209 Pearl Street Council Bluffs, IA 51503	N / A	Public Chanty	Planning Grant for Community Gardens	\$25,000 00
Language & Culture School of Omaha (LACSO) Historic South Omaha City Hall--5002 S 24th-- Suite 201 Omaha, NE 68107	N / A	Public Chanty	Rapid English for Latinos	\$3,500 00
Lenox Public Library 101 N Main Lenox, IA 50851	N / A	Government	2/3 new computers	\$1,500 00
Loess Hills Humane Society, Inc PO BOX 571 Glenwood, IA 51534-0571	N / A	Public Chanty	Stray, Abandoned and Owner Surrendered animals program support	\$5,000 00
Lutheran Family Services of Nebraska, Inc 124 S 24th Street, Ste 230 Omaha, NE 68102	N / A	Public Chanty	Pottawattamie County Building Families Boutique	\$6,000 00
Lutheran Family Services of Nebraska, Inc 124 S 24th Street, Ste 230 Omaha, NE 68102	N / A	Public Chanty	Pottawattamie County Center for Healthy Families	\$30,000 00
Lutheran Services in Iowa Inc P O Box 679 Council Bluffs, IA 51502	N / A	Public Chanty	Teen Pregnancy Prevention & Services for People with Disabilities	\$5,000 00
MICAH House Corporation 1415 Avenue J Council Bluffs, IA 51501	N / A	Public Chanty	MICAH House Homeless Services	\$26,250 00
Macedonia Historical Preservation Society 38038 Aspen Road Macedonia, IA 51549	N / A	Public Chanty	MHPS Historic Preservation	\$4,500 00
Malcolm X Memorial Foundation PO BOX 111446 Omaha, NE 68111-5446	N / A	Public Chanty	Development Concepts/Preliminary Designs	\$12,500 00
Mercy Housing Mountain Plains 6816 South 137th Street Plaza Omaha, NE 68137	N / A	Public Chanty	Building Potential with Out-of-School Time Activities	\$10,000 00
Merrymakers Association 11213 Davenport St , Ste 201 Omaha, NE 68154-2604	N / A	Public Chanty	Adopt-a-Home Program-Southwest Iowa	\$4,000 00
Midlands Humane Society PO Box 1591 Council Bluffs, IA 51502-1591	N / A	Public Chanty	Construction of the Midlands Humane Society Animal Care and Control Facilities and Development of Animal Care Programs	\$100,000 00
Midwest Women and Children's Services 7701 Pacific Street #200 Omaha, NE 68114-5480	N / A	Public Chanty	Neighborhood Entrepreneurs	\$14,228 75
Mills County Public Health 212 Independence, PO Box 209 Glenwood, IA 51534	N / A	Government	"Family Matters" Recovery Project	\$15,000 00

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Muscular Dystrophy Association 4654 South 132nd Street Omaha, NE 68137	N / A	Public Charity	2011 MDA Summer Camp	\$5,000 00
Nebraska Writers Collective 9712 N 34th Street Omaha, NE 68112-1306	N / A	Public Charity	Poets on Loan	\$1,500 00
Nishna Productions Inc P O Box 70 Shenandoah, IA 51601-0070	N / A	Public Charity	Red Oak Vocational Skills Training Center	\$56,250 00
NorthStar Foundation P O Box 4817 Omaha, NE 68104-0817	N / A	Public Charity	The Compass Program Pointing Students in the Right Direction	\$13,093 50
Omaha Area Youth Orchestras PO BOX 34518 Omaha, NE 68134-0518	N / A	Public Charity	Council Bluffs Outreach Concerts	\$5,600 00
Omaha Area Youth Orchestras PO BOX 34518 Omaha, NE 68134-0518	N / A	Public Charity	Music Education Opportunities for Council Bluffs Youth	\$10,000 00
Omaha Botanical Center, Inc 100 Bancroft Street Omaha, NE 68108-1752	N / A	Public Charity	Railroad Days 2011	\$20,000 00
Omaha Botanical Center, Inc 100 Bancroft Street Omaha, NE 68108-1752	N / A	Public Charity	Outside Kaneko	\$38,700 00
Omaha Children's Museum 500 South 20th Street Omaha, NE 68102-2508	N / A	Public Charity	OCM Partner School Program	\$22,500 00
Omaha Community Foundation 302 S 36TH ST STE 100 Omaha, NE 68131-3845	N / A	Public Charity	2011 Nonprofit Capacity Building Initiative	\$25,000 00
Omaha Performing Arts Society 1200 DOUGLAS ST Omaha, NE 68102-1815	N / A	Public Charity	Technology Capacity Building Initiative	\$20,000 00
Omaha Symphony Association 1605 Howard Street Omaha, NE 68102-2705	N / A	Public Charity	Adventures in Music! with the Omaha Symphony's Education Programs, and a Council Bluffs Outdoor Concert	\$8,000 00
Omaha Symphony Association 1605 Howard Street Omaha, NE 68102-2705	N / A	Public Charity	Adventures in Music! - Omaha Symphony's comprehensive education programs - and the 3rd Annual Memorial Day Weekend Outdoor Concert	\$80,000 00
Planned Parenthood of the Heartland, Inc 1171 7th Street Des Moines, IA 50314-2505	N / A	Public Charity	Council Bluffs Clinic Facility Renovation and Red Oak Clinic Building Purchase Project	\$12,500 00
Pottawattamie County Board Supervisors 227 South 6th Street Council Bluffs, IA 51501	N / A	Government	Economic Feasibility Analysis of the Gateway Commerce Portal	\$50,000 00
Pottawattamie County Board Supervisors 227 South 6th Street Council Bluffs, IA 51501	N / A	Government	Pottawattamie County Wayfinding & Marketing Campaign	\$3,900 00
Pottawattamie County Board Supervisors 227 South 6th Street Council Bluffs, IA 51501	N / A	Government	CountyConnection.org	\$15,260 00
Pottawattamie County Board Supervisors	N / A	Government	2010 CITIES Program Recapitalization	\$477,137 92

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
227 South 6th Street Council Bluffs, IA 51501				
Pottawattamie County Board Supervisors 227 South 6th Street Council Bluffs, IA 51501	N / A	Government	2011 CITIES Program Re-Capitalization	\$400,114 90
Pottawattamie County Board Supervisors 227 South 6th Street Council Bluffs, IA 51501	N / A	Government	Video Library Creation & Marketing Campaign	\$47,625 00
Pottawattamie County Community Foundation 536 East Broadway Council Bluffs, IA 51503	N / A	Public Chanty	Pottawattamie County Community Endowment	\$266,000 00
Pottawattamie County Conservation Board 223 South 6th Street Council Bluffs, IA 51501	N / A	Public Chanty	Arrowhead Park Improvement	\$6,300 00
Pottawattamie County Conservation Board 223 South 6th Street Council Bluffs, IA 51501	N / A	Public Chanty	Rayburn Addition to Hitchcock Nature Center	\$121,250 00
Pottawattamie County Development Corporation PO BOX 1565 Council Bluffs, IA 51502-1565	N / A	Public Chanty	Downtown Revitalization Fund	\$661,831 32
Pottawattamie County Development Corporation PO BOX 1565 Council Bluffs, IA 51502-1565	N / A	Public Chanty	Downtown Revitalization Fund	\$500,000 00
Pottawattamie County Development Corporation PO BOX 1565 Council Bluffs, IA 51502-1565	N / A	Public Chanty	Downtown Revitalization Fund	\$400,000 00
Pottawattamie County Firefighters Association 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Government	Equipment fund	\$29,475 30
Project Harmony Child Protection Center 11949 Q Street Omaha, NE 68137	N / A	Public Chanty	Project Harmony Capital Campaign	\$50,000 00
Promise Partners - Pottawattamie County Alliance for Youth 300 W Broadway STE 213 Council Bluffs, IA 51503-4489	N / A	Public Chanty	Neighborhood Small Grants	\$45,000 00
Promise Partners - Pottawattamie County Alliance for Youth 300 W Broadway STE 213 Council Bluffs, IA 51503-4489	N / A	Public Chanty	General Operating	\$46,875 00
Qresolution, Inc, d/b/a Project Homeless Connect Omaha 747 North 148th Avenue Omaha, NE 68154-1914	N / A	Public Chanty	Project Homeless Connect Omaha 2011	\$5,000 00
R E S P E C T 2 820 South 75th Street Omaha, NE 68114	N / A	Public Chanty	Website, Technology & Communication Enhancement and Support	\$3,000 00
SOLAS (Support Our Local Animal Shelter) 1204 N 28TH ST Council Bluffs, IA 51501-0751	N / A	Public Chanty	Spay/Neuter Vouchers	\$5,000 00
Southwest Iowa Families, Inc 215 E Washington Clannda, IA 51632	N / A	Public Chanty	Stork's Nest	\$15,000 00

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Southwest Iowa Family Services, Inc P O Box 262 Atlantic, IA 50022-0262	N / A	Public Chanty	Ann W Wickman Child Development Center Play Environment	\$3,000 00
Southwest Iowa Mediation Center 300 West Broadway, Suite 104 Council Bluffs, IA 51503-9051	N / A	Public Chanty	Southwest Iowa Mediation Center	\$52,800 00
Southwest 8 Senior Services, Inc 300 W Broadway, Suite 240 Council Bluffs, IA 51503	N / A	Public Chanty	Technology Improvements	\$25,000 00
Southwest 8 Senior Services, Inc 300 W Broadway, Suite 240 Council Bluffs, IA 51503	N / A	Public Chanty	Evidence-Based Disease and Disability Prevention Program	\$21,600 00
Southwest Iowa Nature Trails, Inc P O Box 522 Council Bluffs, IA 51502	N / A	Public Chanty	Equipment Needs	\$10,000 00
Tabor Historical Society PO BOX 584 Tabor, IA 51653-0584	N / A	Public Chanty	Historic Structure Report and Interpretation of the Todd House	\$19,818 75
The Art Institute 1905 Hamey Street, Suite 700 Omaha, NE 68102	N / A	Public Chanty	IWF CAP Scholarship Program	\$234,845 50
The Durham Museum 801 S 10th Street Omaha, NE 68108-3299	N / A	Public Chanty	Scholars in Residence Education Series	\$10,000 00
The Nature Conservancy 8175 Camden Avenue Omaha, NE 68134	N / A	Public Chanty	Loess Hills Fire Initiative	\$7,500 00
The Salvation Army Council Bluffs Corps 715 North 16th Street Council Bluffs, IA 51502	N / A	Public Chanty	2009 Emergency Assistance & Seasonal Services	\$5,000 00
The Salvation Army Council Bluffs Corps 715 North 16th Street Council Bluffs, IA 51502	N / A	Public Chanty	2010 Emergency Assistance & Seasonal Services	\$4,000 00
The Salvation Army Council Bluffs Corps 715 North 16th Street Council Bluffs, IA 51502	N / A	Public Chanty	2011 Emergency Assistance and Seasonal Services	\$37,500 00
Turnbull Child Development Center 1501 Mustang Drive Shenandoah, IA 51601	N / A	Public Chanty	Playground Enhancement Project	\$5,000 00
United Way of the Midlands 1805 Hamey St Omaha, NE 68102-1908	N / A	Public Chanty	2010 United Way of the Midlands Campaign	\$170,850 00
University of Nebraska at Omaha - UNO 6001 Dodge Street Omaha, NE 68182-0210	N / A	Public Chanty	Neighborhood Center for Council Bluffs	\$127,703 00
Visiting Nurse Association of Pottawattamie County Iowa 822 South Main, Suite 102 Council Bluffs, IA 51503	N / A	Public Chanty	VNA Shelter Nursing Program	\$4,438 40
Visiting Nurse Association of Pottawattamie County Iowa 822 South Main, Suite 102 Council Bluffs, IA 51503	N / A	Public Chanty	Maternal-Child Nurse Home Visit Program	\$10,518 00
Visiting Nurse Association of Pottawattamie County Iowa 822 South Main, Suite 102 Council Bluffs, IA 51503	N / A	Public Chanty	Keeping Pottawattamie County Seniors Safe in their Homes	\$20,000 00

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Visiting Nurse Association of Pottawattamie County Iowa 822 South Main, Suite 102 Council Bluffs, IA 51503	N / A	Public Chanty	VNA Shelter Nursing and Immunizations	\$29,226 00
Vocational Development Center, Inc 612 South Main Council Bluffs, IA 51503	N / A	Public Chanty	Two Wheelchair Vans	\$9,500 00
Vocational Development Center, Inc 612 South Main Council Bluffs, IA 51503	N / A	Public Chanty	Matching grant for repair to client residence basement	\$10,000 00
West Pottawattamie County Agncultural Extension Distnct 3501 Harry Langdon Blvd Suite 100 Council Bluffs, IA 51503	N / A	Government	4-H positive youth development	\$14,000 00
West Pottawattamie County Agncultural Extension Distnct 3501 Harry Langdon Blvd Suite 100 Council Bluffs, IA 51503	N / A	Government	Caregiving in Rural Communities Learning to Care for Yourself While Canng for Others	\$10,000 00
Wings of Hope Cancer Support Center 427 E Kanesville Blvd , Suite 202 Council Bluffs, IA 51503-4403	N / A	Public Chanty	Hierarchy of Healing	\$18,975 00
YMCA of Greater Omaha 430 S 20TH ST Omaha, NE 68102-2506	N / A	Public Chanty	Council Bluffs YMCA Market Feasibility Study	\$22,500 00
Youth Emergency Services, Inc 2679 Farnam Street, Ste 205 Omaha, NE 68131	N / A	Public Chanty	Street Outreach Program	\$14,000 00
Grant Amount Paid Total				\$15,550,168 59

Approved for Future Payment

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Alzheimer's Association Midlands Chapter 1941 South 42nd Street, Suite 205 Omaha, NE 68105	N / A	Public Charity	Dementia Education for In-home Caregivers	\$5,000 00
American Red Cross Loess Hills Chapter 705 N 16th Street Council Bluffs, IA 51501	N / A	Public Charity	General Operating Support	\$12,500 00
American Red Cross Loess Hills Chapter 705 N 16th Street Council Bluffs, IA 51501	N / A	Public Charity	Flood Recovery	\$257,500 00
Angels Among Us, Inc 11918 Poppleton Plaza, Ste 2 Omaha, NE 68144	N / A	Public Charity	Family Assistance Financial Aid Program	\$30,000 00
Avoca Betterment Association 201 N Elm Street Avoca, IA 51521	N / A	Public Charity	"Green" Affordable Housing Units	\$60,000 00
Ballet Nebraska 918 S 37th St Omaha, NE 68105	N / A	Public Charity	Momentum 2012	\$7,500 00
Bemis Center for Contemporary Arts 724 S 12TH ST Omaha, NE 68102-3202	N / A	Public Charity	Building Bridges	\$13,875 00
Best Buddies International, Inc 8450 Hickman Road, Suite 6 Clive, IA 50325	N / A	Public Charity	Harlan High School Project	\$2,000 00
Big Brothers Big Sisters of the Midlands 10831 Old Mill Road, Suite 400 Omaha, NE 68154-2640	N / A	Public Charity	School-Based Mentoring Concentrated Program Pilot	\$3,000 00
Big Brothers Big Sisters of the Midlands 10831 OLD MILL RD STE 400 Omaha, NE 68154-2640	N / A	Public Charity	Community-Based Mentoring for Children Facing Adversity	\$11,000 00
Bluffs Arts Council 209 Pearl, Suite 106B Council Bluffs, IA 51503	N / A	Public Charity	General Operating Support	\$22,500 00
Board of Regents of the University of Nebraska 6001 Dodge Street Omaha, NE 68182-0210	N / A	Public Charity	Delivery Systems	\$21,520 51
Board of Regents of the University of Nebraska 6001 Dodge Street Omaha, NE 68182-0210	N / A	Public Charity	Information Technology Interventions for Microenterprise Economic Development	\$9,650 25
Board of Regents of the University of Nebraska 6001 Dodge Street Omaha, NE 68182-0210	N / A	Public Charity	Evidence-Based Community Development Demographic Change and Latinos' Economic Impact in the Heartland	\$8,750 00
Board of Regents of the University of Nebraska 6001 Dodge Street Omaha, NE 68182-0210	N / A	Public Charity	Iowa Arts Education 2012	\$8,000 00
Boy Scouts of America, Mid-America Council 12401 West Maple Road Omaha, NE 68164-1853	N / A	Public Charity	Little Sioux Scout Ranch Improvements	\$11,375 00
Boys & Girls Clubs of Omaha 2610 Hamilton	N / A	Public Charity	Gang Prevention and Intervention Planning	\$4,000 00

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Omaha, NE 68131-1640				
Boys & Girls Clubs of the Midlands 815 N 16th St. Council Bluffs, IA 51501	N / A	Public Chanty	General Operating Support	\$87,500 00
Camp Hertko Hollow, Inc 101 Locust Street Des Moines, IA 50309	N / A	Public Chanty	Diabetes Camperships for Underserved Diabetic Youth in Southwest Iowa & Eastern Nebraska	\$4,000 00
Carson Business Club PO Box 355 Carson, IA 51525-0355	N / A	Public Chanty	Dreamland Theatre Digital Projector Upgrade	\$10,250 00
Catholic Chanties of the Archdiocese of Omaha 3300 N 60TH ST Omaha, NE 68104-3402	N / A	Public Chanty	Information Technology Initiative	\$22,000 00
Center for the Advancement of Self-Sufficiency Incorporated 1406 SW 7TH ST Atlantic, IA 50022-2260	N / A	Public Chanty	Technology to Support Mission Project	\$25,000 00
Children's Hospital & Medical Center Foundation 8401 West Dodge Rd , Suite 160 Omaha, NE 68114-4113	N / A	Public Chanty	Carolyn Scott Rainbow House Program	\$50,000 00
Chrstian Home Association - Children's Square U S A 6th Street and Avenue E, PO Box 8C Council Bluffs, IA 51502-3008	N / A	Public Chanty	Campus Development Project, Phase I	\$116,621 00
Chrstian Home Association - Children's Square U S A 6th Street and Avenue E, PO Box 8C Council Bluffs, IA 51502-3008	N / A	Public Chanty	Web Based Data Management System	\$10,892 50
Chrstian Home Association - Children's Square U S A 6th Street and Avenue E, PO Box 8C Council Bluffs, IA 51502-3008	N / A	Public Chanty	Partnerng with Families, Engaging Families in the Care and Treatment of their Children	\$9,387 00
Chrstian Home Association - Children's Square U S A 6th Street and Avenue E, PO Box 8C Council Bluffs, IA 51502-3008	N / A	Public Chanty	Cottonwood Upgrade	\$10,000 00
Chrstian Home Association - Children's Square U S A 6th Street and Avenue E, PO Box 8C Council Bluffs, IA 51502-3008	N / A	Public Chanty	LVC Window Replacement	\$15,000 00
Chrstian Urban Education Service 2207 Wirt St Omaha, NE 68110 Omaha, NE 68110	N / A	Public Chanty	The Professional Pals Mentorship Program	\$5,000 00
City of Avoca 201 N Elm St Avoca, IA 51521	N / A	Government	Baseball/Softball Complex Improvements	\$53,190 00
City of Carson 316 South Commercial St , Box 128 Carson, IA 51525-0128	N / A	Government	Nishna Loop Pedestrian Trail & Roadside Hentage Center	\$70,000 00
City of Carter Lake 950 Locus Street Carter Lake, IA 51510	N / A	Government	Locust Street Streetscaping	\$47,050 00
City of Carter Lake Park & Recreation Department 950 Locus Street	N / A	Government	Skate Park	\$5,162 70

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Carter Lake, IA 51510				
City of Council Bluffs 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Economic Development of Bass Pro Area	\$13,760,000 00
City of Council Bluffs 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	City Cost Center Benchmarking	\$80,452 65
City of Council Bluffs 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	First Night 2009	\$4,500 00
City of Council Bluffs 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Downtown Streetscape - Phase VIII Street Light	\$28,233 35
City of Council Bluffs 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	First Night 2010	\$4,500 00
City of Council Bluffs 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	First Night 2011	\$5,000 00
City of Council Bluffs 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Bunge Facilities Acquisition and Demolition	\$2,000,000 00
City of Council Bluffs Civil Rights Commission 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Iowa Human and Civil Rights VISTA Project	\$8,400 00
City of Council Bluffs Community Development Department 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	MAC Area Land Use Plan / Development Guidelines	\$100,000 00
City of Council Bluffs Community Development Department 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Park and Glen Avenues Historic Study	\$400 00
City of Council Bluffs Community Development Department 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Council Bluffs Economic Initiative	\$12,607 47
City of Council Bluffs Community Development Department 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Residential Acquisition Rehabilitation Program	\$152,138 43
City of Council Bluffs Community Development Department 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Mid-City Corridor Project	\$96,479 65
City of Council Bluffs Community Development Department 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Historic Residential Rehabilitation Program	\$180,000 00
City of Council Bluffs Parks, Recreation and Public Property Department 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Arena Operating Support	\$300,000 00
City of Council Bluffs Parks, Recreation and Public Property Department	N / A	Government	River's Edge Park	\$4,541,252 73

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
209 Pearl Street Council Bluffs, IA 51503				
City of Council Bluffs Parks, Recreation and Public Property Department 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Community Way-Finding Signage Project - Phase 1	\$79,175 00
City of Council Bluffs Parks, Recreation and Public Property Department 209 Pearl Street Council Bluffs, IA 51503	N / A	Government	Historic Neighborhoods' Park and Trail Rehab	\$275,000 00
City of Elk Horn, Iowa 2027 Washington Street Elk Horn, IA 51531	N / A	Government	Little Mermaid Trail System Friends Walk Extension Reconstruction	\$38,600 00
City of Oakland 101 N Main, PO Box 396 Oakland, IA 51560	N / A	Government	City Hall Renovation	\$2,750 00
City of Oakland 101 N Main, PO Box 396 Oakland, IA 51560	N / A	Government	Oakland Swimming Pool Bath House Renovations	\$20,321 83
City of Walnut P O Box 326, 229 Antique City Drive Walnut, IA 51577	N / A	Government	Fireman's Park Improvements	\$12,056 50
City of Woodbine 517 Walker Street Woodbine, IA 51579	N / A	Government	Downtown Facade Reconstruction	\$12,500 00
Community Education Foundation, Inc 300 W Broadway, Suite 212 Council Bluffs, IA 51503	N / A	Public Charity	Quality Child Care Assistance Program	\$43,418 40
Community Education Foundation, Inc 300 W Broadway, Suite 212 Council Bluffs, IA 51503	N / A	Public Charity	Scholarships and Training for Adult Re-Entry Students	\$6,000 00
Community Education Foundation, Inc 300 W Broadway, Suite 212 Council Bluffs, IA 51503	N / A	Public Charity	Scholarships and Training for Adult Re-Entry Students	\$184,974 46
Community Education Foundation, Inc 300 W Broadway, Suite 212 Council Bluffs, IA 51503	N / A	Public Charity	Graduating is Our Norm	\$4,615 80
Community Education Foundation, Inc 300 W Broadway, Suite 212 Council Bluffs, IA 51503	N / A	Public Charity	STARS Scholarship Expansion Program	\$296,091 27
Community Education Foundation, Inc 300 W Broadway, Suite 212 Council Bluffs, IA 51503	N / A	Public Charity	Grant Winter/Development & Events Coordinator	\$61,900 30
Community Education Foundation, Inc 300 W Broadway, Suite 212 Council Bluffs, IA 51503	N / A	Public Charity	Classroom Grants	\$26,700 00
Community Education Foundation, Inc 300 W Broadway, Suite 212 Council Bluffs, IA 51503	N / A	Public Charity	Operational request	\$95,000 00
Community Housing Investment Corporation 532 First Avenue Suite 310 Council Bluffs, IA 51503-0803	N / A	Public Charity	Environmental Site Assessment	\$2,700 00
Community Housing Investment Corporation 532 First Avenue Suite 310 Council Bluffs, IA 51503-0803	N / A	Public Charity	Home Buyer Scholarships	\$100,000 00

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Community Housing Investment Corporation 532 First Avenue Suite 310 Council Bluffs, IA 51503-0803	N / A	Public Charity	Neighborhood Recovery 2012	\$44,000 00
Coming Opera House Cultural Center 710 Davis Avenue Coming, IA 50841-1419	N / A	Public Charity	Opera House Restoration - Final Phase	\$50,000 00
Council Bluffs Community School District 12 Scott Street Council Bluffs, IA 51503	N / A	Public Charity	Education Phase I Initiative	\$1,150,509 68
Council Bluffs Community School District 12 Scott Street Council Bluffs, IA 51503	N / A	Public Charity	Temporary / Transitional Facility	\$407,500 00
Council Bluffs Community School District 12 Scott Street Council Bluffs, IA 51503	N / A	Public Charity	Quality Summer School Program and Instructional Leadership	\$2,612,593 12
Council Bluffs Community School District 12 Scott Street Council Bluffs, IA 51503	N / A	Public Charity	SAMs Program for Three Years	\$1,361,038 00
Council Bluffs Goodfellows 535 West Broadway, Suite 300 Council Bluffs, IA 51503	N / A	Public Charity	Council Bluffs Goodfellows 2005	\$3,145 90
Council Bluffs Goodfellows 535 West Broadway, Suite 300 Council Bluffs, IA 51503	N / A	Public Charity	Council Bluffs Goodfellows 2010	\$825 05
Council Bluffs Goodfellows 535 West Broadway, Suite 300 Council Bluffs, IA 51503	N / A	Public Charity	Council Bluffs Goodfellows 2011	\$45,000 00
Council Bluffs Housing Trust Fund Inc 209 Pearl Street Council Bluffs, IA 51503	N / A	Public Charity	Council Bluffs Housing Trust Fund 2012	\$10,000 00
Council Bluffs Public Library 400 Willow Avenue Council Bluffs, IA 51503	N / A	Public Charity	Library Outside Makeover Project	\$62,500 00
Crossroads of Western Iowa 535 W Broadway, Suite 201 Council Bluffs, IA 51503	N / A	Public Charity	Lift Vans for Day and Residential Programs	\$7,500 00
Douglas County Historical Society 5730 N 30TH ST 11B Omaha, NE 68111-1600	N / A	Public Charity	Victorian Heirloom Garden Restoration	\$4,000 00
El Museo Latino 4701 S 25th ST Omaha, NE 68107-2728	N / A	Public Charity	Visual and Performing Arts for At-Risk Youth	\$10,000 00
Exira Public Library 114 West Washington Street Exira, IA 50076	N / A	Government	Expansion and Remodel of Exira Public Library	\$12,500 00
FAMILY, Inc 3501 Harry Langdon Blvd - Suite 150 Council Bluffs, IA 51503	N / A	Public Charity	Pottawattamie County Young Families Support Initiative	\$326,250 00
Family Housing Advisory Services 10 South 4th Street Council Bluffs, IA 51503	N / A	Public Charity	Community Stability Project	\$11,250 00
Film Streams, Inc 1340 Webster Street Omaha, NE 68102	N / A	Public Charity	Start-Up Capital Campaign	\$7,500 00

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Food Bank for the Heartland 10525 J Street Omaha, NE 68127	N / A	Public Charity	Capital campaign	\$12,500 00
Fremont County Historical Society P O Box 671 Sidney, IA	N / A	Public Charity	Fremont County Rodeo Museum Project	\$6,000 00
Friends of the Union Pacific Railroad Museum 200 Pearl Street Council Bluffs, IA 51503	N / A	Public Charity	Simulator Upgrade	\$4,750 00
Friends of the Union Pacific Railroad Museum 200 Pearl Street Council Bluffs, IA 51503	N / A	Public Charity	Council Bluffs Proud Past - Bright Future	\$3,700 00
Friends of the Union Pacific Railroad Museum 200 Pearl Street Council Bluffs, IA 51503	N / A	Public Charity	Exhibit Update - Building of the Transcontinental Railroad	\$75,000 00
Girl Scouts of Greater Iowa 1860 Madison Ave Suite #3 Council Bluffs IA 51503-6611	N / A	Public Charity	Girl Scouts Leadership Experience/It's Your Planet, Love it	\$10,000 00
Golden Hills Resource Conservation and Development PO Box 189 Oakland, IA 51560	N / A	Public Charity	Pottawattamie County Farm to Fork - Local Foods Coordinator	\$21,170 01
Golden Hills Resource Conservation and Development PO Box 189 Oakland, IA 51560	N / A	Public Charity	Providing Technical Assistance to Western Iowa Communities	\$62,000 00
Grameen America, Inc 5002 South 24th Street Suite # 202 Omaha, NE 68107	N / A	Public Charity	Grameen America Microloan Program Expansion in Omaha and Iowa	\$20,000 00
Grant Community Preservationists 1129 S Ave Elliott, IA 51532	N / A	Public Charity	Masonic Lodge Restoration Phase II	\$25,000 00
Green Hills Area Education Agency 24997 Highway 92, PO Box 1109 Council Bluffs, IA 51502-1109	N / A	Public Charity	Enhancing Technology in Rural Schools in Pottawattamie County	\$25 00
Green Hills Area Education Agency 24997 Highway 92, PO Box 1109 Council Bluffs, IA 51502-1109	N / A	Public Charity	Summer Leadership Academies	\$24,987 22
Green Hills Area Education Agency 24997 Highway 92, PO Box 1109 Council Bluffs, IA 51502-1109	N / A	Public Charity	Pottawattamie County Preschool Project	\$978,810 01
Green Hills Area Education Agency 24997 Highway 92, PO Box 1109 Council Bluffs, IA 51502-1109	N / A	Public Charity	Senior Year Capstone Project	\$37,500 00
Green Hills Area Education Agency 24997 Highway 92, PO Box 1109 Council Bluffs, IA 51502-1109	N / A	Public Charity	Summer Leadership Academies	\$80,000 00
Habitat for Humanity of Omaha, Inc 2204 Ames Avenue Omaha, NE 68110-1515	N / A	Public Charity	Neighborhood Revitalization Initiative (NRI)	\$25,000 00
Heartland Center for Leadership Development	N / A	Public Charity	Capacity Building for Rural Pottawattamie County Community Leaders	\$20,000 00

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
3110 North 40th Street, Suite A Lincoln, NE 68504-1964				
Heartland Center for Leadership Development 3110 N 40th Street, Suite A Lincoln, NE 68504-1964	N / A	Public Charity	HomeTown Competitiveness Strategic Plan Implementation for Rural Pottawattamie County	\$400,000 00
Heartland Family Service 2101 S 42nd Street Omaha, NE 68105	N / A	Public Charity	Ways to Work Program	\$16,666 68
Heartland Family Service 2101 S 42nd Street Omaha, NE 68105	N / A	Public Charity	Substance Abuse Services	\$128,874 00
Heartland Family Service 2101 S 42nd Street Omaha, NE 68105	N / A	Public Charity	Iowa Family Works Support	\$142,388 00
Heartland Family Service 2101 S 42nd Street Omaha, NE 68105	N / A	Public Charity	HEAT 2012 (Housing and Emergency Assistance Task Force)	\$170,000 00
Heartland Family Service 2101 S 42nd Street Omaha, NE 68105	N / A	Public Charity	Community Prevention Services	\$35,856 00
Historic General Dodge House 621 South 3rd Street Council Bluffs, IA 51503-6614	N / A	Public Charity	Museum Audio Tours	\$3,000 00
Historic General Dodge House 621 South 3rd Street Council Bluffs, IA 51503-6614	N / A	Public Charity	Storm Window Replacement, Wood Repair and Paint Project	\$19,000 00
Historical Society of Pottawattamie County P O Box 2 Council Bluffs, IA 51502-0002	N / A	Public Charity	Education Outreach Coordinator	\$9,750 00
Humanities Iowa 100 LIB Rm 4039 Iowa City, IA 52242-1420	N / A	Public Charity	Speakers Bureau for Rural Southwest Iowa	\$2,850 00
Impact One Community Connection, Inc 2415 Grant Street Omaha, NE 68111	N / A	Public Charity	Gang Prevention and Intervention	\$12,500 00
Iowa Architectural Foundation 400 Locust Street - Suite 100 Des Moines, IA 50309	N / A	Public Charity	Community Design in Southwest Iowa	\$15,000 00
Iowa Architectural Foundation 400 Locust Street - Suite 100 Des Moines, IA 50309	N / A	Public Charity	Community Design in Southwest Iowa	\$10,000 00
Iowa JAG, Inc Abraham Lincoln High School 1205 Bonham Avenue Council Bluffs, IA 51503	N / A	Public Charity	Council Bluffs JAG General Operating Support	\$10,000 00
Iowa Radio Reading Information Service for the Blind and Print Handicapped, Inc 100 East Euclid Avenue - Suite 117 Des Moines, IA 50313	N / A	Public Charity	IRIS Western Iowa	\$15,000 00
Iowa Special Olympics Inc 551 SE Dovetail Road, P O Box 620 Grimes, IA 50111-0620	N / A	Public Charity	Southwest Iowa Events	\$10,000 00
Iowa West Foundation Initiative 25 Main Place, Suite 550	N / A	Public Charity	City of Council Bluffs Streetscape Construction	\$920,070 58

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Council Bluffs, IA 51503				
Iowa West Foundation Initiative 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	City of Council Bluffs Streetscape Construction and Public Art	\$3,280,690 13
Iowa West Foundation Initiative 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	City of Council Bluffs Streetscape Maintenance	\$291,485 19
Iowa West Foundation Initiative 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	City of Council Bluffs Public Art	\$542,256 95
Iowa West Foundation Initiative 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	Pottawattamie County Recreation Study	\$185,000 00
Iowa West Foundation Scholarship Program 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	2006 Scholarship Program	\$72,500 00
Iowa West Foundation Scholarship Program 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	2007 Scholarship Program	\$17,500 00
Iowa West Foundation Scholarship Program 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	2008 Scholarship Program	\$65,000 00
Iowa West Foundation Scholarship Program 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Public Chanty	2009 Scholarship Program	\$172,500 00
Iowa Western Community College Foundation 2700 College Road Council Bluffs, IA 51503	N / A	Public Chanty	2011-2012 Arts Center Programming	\$11,250 00
Joslyn Art Museum 2200 Dodge Street Omaha, NE 68102-1292	N / A	Public Chanty	Museum to the Classroom	\$20,000 00
Keep Council Bluffs Beautiful, Inc 209 Pearl Street Council Bluffs, IA 51503	N / A	Public Chanty	Creating the Ultimate Residential Beautification (CURB)	\$18,750 00
Keep Council Bluffs Beautiful, Inc 209 Pearl Street Council Bluffs, IA 51503	N / A	Public Chanty	Growing a Healthy Community	\$25,000 00
Lutheran Family Services of Nebraska, Inc 124 S 24th Street, Ste 230 Omaha, NE 68102	N / A	Public Chanty	Pottawattamie County Building Families Boutique	\$4,000 00
Lutheran Family Services of Nebraska, Inc 124 S 24th Street, Ste 230 Omaha, NE 68102	N / A	Public Chanty	Pottawattamie County Center for Healthy Families	\$10,000 00
MICAH House Corporation 1415 Avenue J Council Bluffs, IA 51501	N / A	Public Chanty	Campus Coordinator	\$182,949 00
MICAH House Corporation 1415 Avenue J Council Bluffs, IA 51501	N / A	Public Chanty	MICAH House Color Copier	\$5,812 00
MICAH House Corporation 1415 Avenue J Council Bluffs, IA 51501	N / A	Public Chanty	MICAH House Homeless Services	\$8,750 00
Make-A-Wish Foundation of Iowa 3024 104th Street	N / A	Public Chanty	Southwest Iowa Adopt-A-Wish	\$15,000 00

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Urbandale, IA 50322				
Metro Area Continuum of Care for the Homeless 115 South 49 Avenue Omaha, NE 68132	N / A	Public Charity	Enhanced Compliance, Outcomes, Resources & Evaluation (ENCORE)	\$12,500 00
Midlands Humane Society PO Box 1591 Council Bluffs, IA 51502-1591	N / A	Public Charity	Implementation of the Midlands Humane Society Animal Care and Control Facilities	\$300,000 00
Midlands Humane Society PO Box 1591 Council Bluffs, IA 51502-1591	N / A	Public Charity	Construction of the Midlands Humane Society Animal Care and Control Facilities and Development of Animal Care Programs	\$200,000 00
Midwest Women and Children's Services 7701 Pacific Street #200 Omaha, NE 68114-5480	N / A	Public Charity	Neighborhood Entrepreneurs	\$65,811 16
Mills County Prevent Child Abuse Council Inc PO Box 209, 101 Central St , Ste B11 Glenwood, IA 51534-1739	N / A	Public Charity	Mills County Healthy Kids II	\$5,500 00
Nishnabotna Girl Scout Council 1860 Madison Avenue, Suite 3 Council Bluffs, IA 51503	N / A	Public Charity	Camp Neyati Improvement Project	\$3,900 00
Nishna Productions Inc P O Box 70 Shenandoah, IA 51601-0070	N / A	Public Charity	Red Oak Vocational Skills Training Center	\$18,750 00
NorthStar Foundation P O Box 4817 Omaha, NE 68104-0817	N / A	Public Charity	The Compass Program Pointing Students in the Right Direction	\$13,093 50
Omaha Botanical Center, Inc 100 Bancroft Street Omaha, NE 68108-1752	N / A	Public Charity	Railroad Days 2012	\$25,000 00
Omaha Children's Museum 500 South 20th Street Omaha, NE 68102-2508	N / A	Public Charity	OCM Partner School Program	\$7,500 00
Omaha Community Foundation 302 S 36TH ST STE 100 Omaha, NE 68131-3845	N / A	Public Charity	2010 Nonprofit Capacity Building Initiative	\$3,600 00
Omaha Symphony Association 1605 Howard Street Omaha, NE 68102-2705	N / A	Public Charity	Adventures in Music for southwest Iowa	\$60,000 00
Omaha Zoological Society 3701 South 10th Street Omaha, NE 68107-2299	N / A	Public Charity	Aquarium Education and Outreach Programs	\$75,000 00
Pottawattamie County Board Supervisors 227 South 6th Street Council Bluffs, IA 51501	N / A	Government	2011 CITIES Program Re-Capitalization	\$199,885 10
Pottawattamie County Board Supervisors 227 South 6th Street Council Bluffs, IA 51501	N / A	Government	Video Library Creation & Marketing Campaign	\$15,875 00
Pottawattamie County Community Foundation 536 East Broadway Council Bluffs, IA 51503	N / A	Public Charity	Pottawattamie County Community Endowment	\$1,000,197 25

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
Pottawattamie County Community Foundation 536 East Broadway Council Bluffs, IA 51503	N / A	Public Chanty	Neighborhood Small Grants Program	\$150,500 00
Pottawattamie County Conservation Board 223 South 6th Street Council Bluffs, IA 51501	N / A	Public Chanty	Capacity Building Pottawattamie County Conservation Program Strategic Planning	\$13,475 00
Pottawattamie County Development Corporation PO BOX 1565 Council Bluffs, IA 51502-1565	N / A	Public Chanty	Fat Jacks Redevelopment	\$68,581 00
Pottawattamie County Development Corporation PO BOX 1565 Council Bluffs, IA 51502-1565	N / A	Public Chanty	Downtown Revitalization/Redevelopment	\$1,432,500 00
Pottawattamie County Development Corporation PO BOX 1565 Council Bluffs, IA 51502-1565	N / A	Public Chanty	PCDC 2012 Operating	\$200,000 00
Pottawattamie County Firefighters Association 25 Main Place, Suite 550 Council Bluffs, IA 51503	N / A	Government	Equipment fund	\$53,957 44
Pottawattamie County Mental Health & Substance Abuse Network 515 Fifth Avenue, Room 113 Council Bluffs, IA 51503-3128	N / A	Public Chanty	Mental Health / Substance Abuse Community Initiative	\$60,000 00
Project Interfaith Inc PO Box 6037 Omaha, NE 68106	N / A	Public Chanty	Community Mosaic Video Project	\$10,000 00
Promise Partners - Pottawattamie County Alliance for Youth 300 W Broadway STE 213 Council Bluffs, IA 51503-4489	N / A	Public Chanty	General Operating	\$15,625 00
Siena / Francis House 1702 Nicholas Street Omaha, NE 68102	N / A	Public Chanty	Homeless Day Services	\$5,000 00
Southwest 8 Senior Services, Inc 300 W Broadway, Suite 240 Council Bluffs, IA 51503	N / A	Public Chanty	Expansion of Family Caregiver Program	\$2,900 00
Southwest 8 Senior Services, Inc 300 W Broadway, Suite 240 Council Bluffs, IA 51503	N / A	Public Chanty	Evidence-Based Disease and Disability Prevention Program	\$7,200 00
Southwest 8 Senior Services, Inc 300 W Broadway, Suite 240 Council Bluffs, IA 51503	N / A	Public Chanty	Merger and Expansion	\$34,231 00
Southwest Iowa Mediation Center 300 West Broadway, Suite 104 Council Bluffs, IA 51503-9051	N / A	Public Chanty	Southwest Iowa Mediation Center	\$13,200 00
Tabor Historical Society PO BOX 584 Tabor, IA 51653-0584	N / A	Public Chanty	Historic Structure Report and Interpretation of the Todd House	\$6,606 25
The Aim Institute 1905 Hamey Street, Suite 700 Omaha, NE 68102	N / A	Public Chanty	IWF CAP Scholarship Program	\$1,821,503 50

Recipient Name and Address	Relationship	Foundation Status of Recipient	Purpose of Grant	Amount
The Danish Immigrant Museum 2212 Washington Street Elk Horn, IA 51531	N / A	Public Chanty	Jens Jensen Prairie Landscape Park	\$25,000 00
The Nature Conservancy 8175 Camden Avenue Omaha, NE 68134	N / A	Public Chanty	1,065-Acre Loess Hills Conservation Easement	\$487,500 00
The Salvation Army Council Bluffs Corps 715 North 16th Street Council Bluffs, IA 51502	N / A	Public Chanty	2011 Emergency Assistance and Seasonal Services	\$12,500 00
The Salvation Army Council Bluffs Corps 715 North 16th Street Council Bluffs, IA 51502	N / A	Public Chanty	2012 Emergency Assistance & Seasonal Services	\$50,000 00
United Way of the Midlands 1805 Hamey St Omaha, NE 68102-1908	N / A	Public Chanty	2009 United Way of the Midlands Campaign	\$167,500 00
United Way of the Midlands 1805 Hamey St Omaha, NE 68102-1908	N / A	Public Chanty	2011 United Way of the Midlands Campaign	\$350,000 00
University of Nebraska at Omaha - UNO 6001 Dodge Street Omaha, NE 68182-0210	N / A	Public Chanty	Neighborhood Center for Council Bluffs	\$250,064 00
Visiting Nurse Association of Pottawattamie County Iowa 822 South Main, Suite 102 Council Bluffs, IA 51503	N / A	Public Chanty	VNA Shelter Nursing and Immunizations	\$9,742 00
Vocational Development Center, Inc 612 South Main Council Bluffs, IA 51503	N / A	Public Chanty	Capital improvements to new location at 72nd and F Street, Omaha, NE	\$38,250 00
Wings of Hope Cancer Support Center 427 E Kanesville Blvd , Suite 202 Council Bluffs, IA 51503-4403	N / A	Public Chanty	Hierarchy of Healing	\$6,325 00
Women's Center for Advancement 222 South 29th Street Omaha, NE 68131	N / A	Public Chanty	From High School to Self-Sufficiency	\$5,000 00
YMCA of Greater Omaha 430 S 20TH ST Omaha, NE 68102-2506	N / A	Public Chanty	Council Bluffs YMCA Market Feasibility Study	\$7,500 00
Total Grants Payable				\$45,258,216 52

**Iowa West Foundation
Corporate Stocks and Bonds
As of 12/31/2011**

Corporate Stocks	shares	share price	market value
VGRSX	52,712.239	\$21.93	\$1,155,979.40
VANGUARD REIT INDEX FUND- SIG #1355			
JENIX	441,568.274	\$26.52	\$11,710,390.63
JENSEN QUALITY GROWTH FUND CLASS I			
NLDLX	2,349,918.821	\$6.92	\$16,261,438.24
NEUBERGER BERMAN LARGE CAP			
CBLLX	960,962.735	\$7.93	\$7,620,434.49
WELLS FARGO ADVANTAGE C&B LARGE CAP			
MSIQX	1,624,429.683	\$12.25	\$19,899,263.62
MORGAN STANLEY INSTITUTIONAL EQUITY			
GHAIX	145,699.023	\$44.40	\$6,469,036.62
VAN ECK GLOBAL HARD ASSETS FUND CL I			
VIDMX	2,750,835.111	\$8.42	\$23,162,031.63
VANGUARD INSTITUTIONAL DEVELOPED			
VITSX	1,051,687.859	\$31.30	\$32,917,829.99
VANGUARD TOTAL STOCK MARKET INDEX FD			
IGE	139,128.000	\$38.00	\$5,286,864.00
S&P NORTH AMERICAN NATURAL RESOURCES			
IWN	4,325.000	\$65.64	\$283,893.00
ISHARES TR RUSSELL 2000 VALUE INDEX			
AAN	3,875.000	\$26.68	\$103,385.00
AARONS INC COM PAR			
CHH	2,500.000	\$38.05	\$95,125.00
CHOICE HOTELS INTL INC COM			
DSW	1,300.000	\$44.21	\$57,473.00
DSW INC CL A			
GPI	325.000	\$51.80	\$16,835.00
GROUP 1 AUTOMOTIVE INC COM			
PAG	3,925.000	\$19.25	\$75,556.25
PENSKE AUTOMOTIVE GROUP INC COM			
PVH	925.000	\$70.49	\$65,203.25
PVH CORP COM			
JW A	2,250.000	\$44.40	\$99,900.00
WILEY JOHN & SONS INC CL A			
WWW	2,050.000	\$35.64	\$73,062.00
WOLVERINE WORLD WIDE INC COM			
WDFC	1,150.000	\$40.41	\$46,471.50
WD 40 CO COM			
OAS	2,875.000	\$29.09	\$83,633.75
OASIS PETE INC NEW COM			
OIS	1,725.000	\$76.37	\$131,738.25
OIL STATES INTERNATIONAL INC COM			
UNT	1,750.000	\$46.40	\$81,200.00
UNIT CORP COM			
AINV	0.000	\$6.44	\$0.00
APOLLO INVT CORP COM			
ARCC	10,300.000	\$15.45	\$159,135.00
ARES CAP CORP COM			
ASBC	6,925.000	\$11.17	\$77,352.25
ASSOCIATED BANC CORP COM			
BOH	1,625.000	\$44.49	\$72,296.25
BANK HAWAII CORP COM			
CFFN	8,425.000	\$11.54	\$97,224.50
CAPITOL FED FINL INC COM			
CNO	13,475.000	\$6.31	\$85,027.25
CNO FINL GROUP INC COM			

FCFS	1,425.000	\$35.09	\$50,003 25
FIRST CASH INC COM			
FMER	6,971 000	\$15.13	\$105,471 23
FIRSTMERIT CORP COM			
GOV	3,100 000	\$22.55	\$69,905.00
GOVERNMENT PPTYS INCOME TR COM SHS			
HCC	5,000.000	\$27.50	\$137,500 00
HCC INS HLDGS INC COM			
HIW	3,175.000	\$29.67	\$94,202 25
HIGHWOODS PPTYS INC COM			
LHO	1,575 000	\$24.21	\$38,130 75
LASALLE HOTEL PPTYS COM SH BEN INT			
ZZZZ	3,250.000	\$30 54	\$99,255.00
LPL INVT HLDGS INC COM			
MPW	9,050.000	\$9.87	\$89,323 50
MEDICAL PPTYS TRUST INC COM			
PB	2,300.000	\$40.35	\$92,805 00
PROSPERITY BANCSHARES INC COM			
TWGP	4,475 000	\$20.17	\$90,260.75
TOWER GROUP INC COM			
WAFD	6,025.000	\$13 99	\$84,289.75
WASHINGTON FEDERAL INC COM			
LPNT	1,225 000	\$37 15	\$45,508 75
LIFEPOINT HOSPS INC COM			
OMI	825.000	\$27.79	\$22,926 75
OWENS & MINOR INC NEW COM			
TFX	2,225 000	\$61.29	\$136,370.25
TELEFLEX INC COM			
WST	1,900.000	\$37.95	\$72,105 00
WEST PHARMACEUTICAL SVCS INC COM			
ATU	4,775 000	\$22.69	\$108,344 75
ACTUANT CORP CL A NEW			
ATK	625.000	\$57 16	\$35,725.00
ALLIANT TECHSYSTEMS INC COM			
CGX	1,300.000	\$48.28	\$62,764.00
CONSOLIDATED GRAPHICS INC COM			
CXW	5,525 000	\$20.37	\$112,544.25
CORRECTIONS CORP AMER NEW COM NEW			
KAR	5,875.000	\$13 50	\$79,312.50
KAR AUCTION SVCS INC COM			
LII	1,475 000	\$33.75	\$49,781 25
LENNOX INTERNATIONAL INC COM			
MTZ	4,000 000	\$17.37	\$69,480 00
MASTEC INC COM			
MGRC	2,375 000	\$28.99	\$68,851 25
MCGRATH RENTCORP COM			
MYRG	1,900 000	\$19 14	\$36,366.00
MYR GROUP INC DEL COM			
AOS	2,350.000	\$40.12	\$94,282.00
SMITH (A O.) CORP COM			
884315-10-2	2,000.000	\$54.60	\$109,200.00
THOMAS & BETTS CORP COM			
TW	2,200 000	\$59.93	\$131,846.00
TOWERS WATSON & CO CL A			
URI	1,500 000	\$29.55	\$44,325.00
UNITED RENTALS INC COM			
VMI	1,250 000	\$90.79	\$113,487 50
VALMONT INDS INC COM			
WCN	568 000	\$33.14	\$18,823 52
WASTE CONNECTIONS INC COM			
WERN	2,675 000	\$24 10	\$64,467.50
WERNER ENTERPRISES INC COM			
WCC	2,425 000	\$53.01	\$128,549.25
WESCO INTERNATIONAL INC COM			
ADTN	1,950.000	\$30 16	\$58,812.00
ADTRAN INC COM			

BR	4,225.000	\$22.55	\$95,273.75
BROADRIDGE FINL SOLUTIONS INC COM			
CACI	1,200.000	\$55.92	\$67,104.00
CACI INTL INC CL A			
CALX	3,500.000	\$6.47	\$22,645.00
CALIX INC COM			
CYMI	800.000	\$49.76	\$39,808.00
CYMER INC COM			
MSCC	4,150.000	\$16.75	\$69,512.50
MICROSEMI CORP COM			
QLGC	5,425.000	\$15.00	\$81,375.00
QLOGIC CORP COM			
RFMD	11,925.000	\$5.40	\$64,395.00
RF MICRO DEVICES INC COM			
SCSC	1,350.000	\$36.00	\$48,600.00
SCANSOURCE INC COM			
SLAB	1,850.000	\$43.42	\$80,327.00
SILICON LABORATORIES INC OC COM			
SMCI	2,900.000	\$15.68	\$45,472.00
SUPER MICRO COMPUTER INC COM			
VECO	1,225.000	\$20.80	\$25,480.00
VEECO INSTRUMENTS INC COM			
VRNT	2,700.000	\$27.54	\$74,358.00
VERINT SYS INC COM			
EXP	1,850.000	\$25.66	\$47,471.00
EAGLE MATLS INC COM			
KRA	1,425.000	\$20.30	\$28,927.50
KRATON PERFORMANCE POLYMERS COM			
OLN	1,325.000	\$19.65	\$26,036.25
OLIN CORP COM PAR \$1			
PKG	2,250.000	\$25.24	\$56,790.00
PACKAGING CORP OF AMERICA COM			
SXT	2,525.000	\$37.90	\$95,697.50
SENSIENT TECHNOLOGIES CORP COM			
SLGN	4,500.000	\$38.64	\$173,880.00
SILGAN HOLDINGS INC COM			
SMG	2,800.000	\$46.69	\$130,732.00
THE SCOTTS MIRACLE-GRO COMPANY			
TPCG	600.000	\$23.33	\$13,998.00
TPC GROUP INC COM			
ATO	2,850.000	\$33.35	\$95,047.50
ATMOS ENERGY CORP COM			
CNL	2,050.000	\$38.10	\$82,867.50
CLECO CORP NEW COM			
EE	2,175.000	\$34.64	\$80,538.00
EL PASO ELEC COMPANY COM			
GXP	3,750.000	\$21.78	\$85,486.50
GREAT PLAINS ENERGY INC COM			
NWE	2,250.000	\$35.79	\$85,896.00
NORTHWESTERN CORP COM NEW			
SJI	950.000	\$56.81	\$53,969.50
SOUTH JERSEY INDUSTRIES INC COM			

Total Corporate Stocks and Mutual Funds (10 a)

\$130,574,486.37

Corporate Bonds and Mutual Funds

049164-AU-0	257.880	\$100.00	\$257.88
ATLAS AIR SER 1999 1 CL A 1			
210805-BV-8	68.180	\$97.62	\$66.56
CONTINENTAL AIRLINES SER 1997 4 CL			
210805-CC-9	3.250	\$94.62	\$3.08
CONTINENTAL AIRLINES SER 1998 1 CL			

260851-0 -	85,000 000	\$748.73	\$636,419.44
MEXICOUTD MEX ST BDS MXN			
WOBDX	813,720.215	\$11 83	\$9,626,310 14
JP MORGAN CORE BOND FUND - SELECT			
TGBAX	717,800.975	\$12.37	\$8,879,198.06
TEMPLETON GLOBAL BOND FUND-AD #616			
VBPIX	1,859,937.347	\$11.00	\$20,459,310.82
VANGUARD TOTAL BD MKT IDX FD #222			
Accrued Income			\$88,380.70
Total Corporate Bonds (10 b)			\$39,689,946.68
Total Corporate Stocks and Bonds			\$170,264,433.05

IOWA WEST FOUNDATION
42-1391990
2011 FORM 990-PF
PART IV, LINE 1a

SALE DATE	QUANTITY	SECURITY	COST BASIS	PROCEEDS	GAIN OR LOSS	
					SHORT TERM	LONG TERM
2/28/2011	2550 0000	AARONS INC	\$58,755 21	\$46,645 16	\$366 45	\$11,743 60
2/28/2011	4350 0000	ACTUANT CORP CL A	\$120,061 61	\$76,276 42	\$0 00	\$43,785 19
3/11/2011	50 0000	ACTUANT CORP CL A	\$1,423 66	\$521 52	\$0 00	\$902 14
3/11/2011	75 0000	APOLLO INVESTMENT CORP	\$912 94	\$735 62	\$0 00	\$177 32
2/28/2011	7725 0000	APOLLO INVESTMENT CORP	\$91,491 59	\$69,038 56	\$0 00	\$22,453 03
2/28/2011	7300 0000	ARES CAP CORP	\$124,829 78	\$94,324 19	\$946 40	\$29,559 19
3/11/2011	75 0000	ARES CAP CORP	\$1,325 47	\$1,064 10	\$261 37	\$0 00
2/11/2011	400 0000	ASPEN INSURANCE HOLDINGS LTD	\$12,063 80	\$9,009 58	\$0 00	\$3,054 22
2/11/2011	350 0000	ASPEN INSURANCE HOLDINGS LTD	\$10,581 58	\$7,988 36	\$0 00	\$2,593 22
2/14/2011	500 0000	ASPEN INSURANCE HOLDINGS LTD	\$15,017 21	\$12,001 11	\$0 00	\$3,016 10
2/14/2011	200 0000	ASPEN INSURANCE HOLDINGS LTD	\$5,997 14	\$5,206 36	\$357 18	\$433 60
2/15/2011	1050 0000	ASPEN INSURANCE HOLDINGS LTD	\$30,998 97	\$27,078 42	\$3,920 55	\$0 00
2/15/2011	50 0000	ASPEN INSURANCE HOLDINGS LTD	\$1,475 22	\$1,278 06	\$197 16	\$0 00
2/15/2011	475 0000	ASPEN INSURANCE HOLDINGS LTD	\$14,038 12	\$12,401 71	\$1,636 41	\$0 00
2/16/2011	500 0000	ASPEN INSURANCE HOLDINGS LTD	\$14,836 16	\$14,682 14	\$154 02	\$0 00
2/28/2011	5525 0000	ASSOCIATED BANC CORP	\$79,958 47	\$66,125 01	\$0 00	\$13,833 46
3/11/2011	25 0000	ASSOCIATED BANC CORP	\$366 58	\$309 27	\$0 00	\$57 31
2/28/2011	1050 0000	BANK OF HAWAII CORP	\$49,758 54	\$47,165 12	\$2,593 42	\$0 00
4/11/2011	475 0000	BRIGHAM EXPLORATION CO	\$16,964 24	\$7,511 74	\$9,452 50	\$0 00
1/7/2011	500 0000	BRIGHAM EXPLORATION CO	\$13,778 52	\$7,554 25	\$6,224 27	\$0 00
3/30/2011	350 0000	BRIGHAM EXPLORATION CO	\$12,209 37	\$5,516 74	\$6,692 63	\$0 00
3/29/2011	400 0000	BRIGHAM EXPLORATION CO	\$13,611 73	\$6,347 06	\$7,264 67	\$0 00
3/11/2011	25 0000	BRIGHAM EXPLORATION CO	\$862 34	\$397 30	\$465 04	\$0 00
3/29/2011	75 0000	BRIGHAM EXPLORATION CO	\$2,555 36	\$1,191 90	\$1,363 46	\$0 00
1/6/2011	475 0000	BRIGHAM EXPLORATION CO	\$13,255 03	\$7,176 54	\$6,078 49	\$0 00
2/28/2011	3875 0000	BRIGHAM EXPLORATION CO	\$131,416 16	\$61,708 90	\$69,707 26	\$0 00
1/27/2011	275 0000	CLECO CORP	\$8,559 18	\$7,396 40	\$1,162 78	\$0 00
2/28/2011	1825 0000	CLECO CORP	\$57,156 62	\$49,429 38	\$7,727 24	\$0 00
1/20/2011	575 0000	CLECO CORP	\$18,031 52	\$15,781 64	\$2,249 88	\$0 00
1/26/2011	300 0000	CLECO CORP	\$9,293 82	\$8,066 55	\$1,227 27	\$0 00
1/25/2011	50 0000	CLECO CORP	\$1,561 86	\$1,344 43	\$217 43	\$0 00
1/24/2011	75 0000	CLECO CORP	\$2,360 20	\$2,016 64	\$343 56	\$0 00
1/24/2011	575 0000	CLECO CORP	\$18,035 38	\$15,582 44	\$2,452 94	\$0 00
1/21/2011	350 0000	CLECO CORP	\$11,005 89	\$9,511 08	\$1,494 81	\$0 00
1/19/2011	200 0000	CLECO CORP	\$6,264 95	\$5,470 02	\$794 93	\$0 00
2/28/2011	13900 0000	CNO FINANCIAL GROUP	\$96,680 97	\$75,840 79	\$0 00	\$20,840 18
3/11/2011	125 0000	CNO FINANCIAL GROUP	\$912 85	\$652 85	\$0 00	\$260 00
2/28/2011	1650 0000	CACI INTERNATIONAL INCORPORATED CL A	\$93,587 19	\$75,241 54	\$0 00	\$18,345 65
3/11/2011	25 0000	CONSOLIDATED GRAPHICS INC	\$1,279 26	\$997 08	\$282 18	\$0 00
2/28/2011	950 0000	CONSOLIDATED GRAPHICS INC	\$50,305 52	\$41,982 00	\$8,323 52	\$0 00
2/28/2011	3400 0000	CORRECTIONS CORP OF AMERICA	\$83,366 05	\$65,439 89	\$0 00	\$17,926 16
3/23/2011	701 0000	DIAMONDROCK HOSPITALITY COMPANY	\$7,617 48	\$7,635 09	-\$17 61	\$0 00
3/24/2011	262 0000	DIAMONDROCK HOSPITALITY COMPANY	\$2,921 84	\$2,901 79	\$20 05	\$0 00
3/9/2011	50 0000	DIAMONDROCK HOSPITALITY COMPANY	\$578 24	\$465 47	\$0 00	\$112 77
3/16/2011	341 0000	DIAMONDROCK HOSPITALITY COMPANY	\$3,771 01	\$3,711 17	\$59 84	\$0 00
3/25/2011	95 0000	DIAMONDROCK HOSPITALITY COMPANY	\$1,058 09	\$1,054 59	\$3 50	\$0 00
3/9/2011	275 0000	DIAMONDROCK HOSPITALITY COMPANY	\$3,171 64	\$2,628 04	\$0 00	\$543 60
3/10/2011	125 0000	DIAMONDROCK HOSPITALITY COMPANY	\$1,408 89	\$1,196 39	\$0 00	\$212 50
3/17/2011	212 0000	DIAMONDROCK HOSPITALITY COMPANY	\$2,307 78	\$2,303 50	\$4 28	\$0 00
3/14/2011	953 0000	DIAMONDROCK HOSPITALITY COMPANY	\$10,715 42	\$9,188 68	\$1,526 74	\$0 00
3/15/2011	1165 0000	DIAMONDROCK HOSPITALITY COMPANY	\$12,843 29	\$10,600 50	\$2,242 79	\$0 00
3/11/2011	394 0000	DIAMONDROCK HOSPITALITY COMPANY	\$4,469 76	\$3,912 17	\$557 59	\$0 00
3/18/2011	61 0000	DIAMONDROCK HOSPITALITY COMPANY	\$651 19	\$657 55	-\$6 36	\$0 00
3/16/2011	56 0000	DIAMONDROCK HOSPITALITY COMPANY	\$620 05	\$609 46	\$10 59	\$0 00
3/22/2011	515 0000	DIAMONDROCK HOSPITALITY COMPANY	\$5,466 62	\$5,616 77	-\$150 15	\$0 00
2/28/2011	5550 0000	DIAMONDROCK HOSPITALITY COMPANY	\$63,706 67	\$47,671 99	\$0 00	\$16,034 68
3/18/2011	169 0000	DIAMONDROCK HOSPITALITY COMPANY	\$1,792 89	\$1,821 74	-\$28 85	\$0 00
3/28/2011	165 0000	DIAMONDROCK HOSPITALITY COMPANY	\$1,802 06	\$1,828 23	-\$26 17	\$0 00
3/10/2011	125 0000	DIAMONDROCK HOSPITALITY COMPANY	\$1,403.77	\$1,056 25	\$0 00	\$347 52
3/21/2011	584 0000	DIAMONDROCK HOSPITALITY COMPANY	\$6,140 70	\$6,334 12	-\$193 42	\$0 00
3/21/2011	187 0000	DIAMONDROCK HOSPITALITY COMPANY	\$1,963.82	\$2,054 86	-\$91 04	\$0 00
3/11/2011	603 0000	DIAMONDROCK HOSPITALITY COMPANY	\$6,838 49	\$5,123 67	\$404 91	\$1,309 91
3/25/2011	375 0000	EL PASO ELECTRIC CO	\$10,846.52	\$7,932 68	\$2,913 84	\$0 00
3/11/2011	25 0000	EL PASO ELECTRIC CO	\$719 55	\$528 85	\$190 70	\$0 00
2/28/2011	3725 0000	EL PASO ELECTRIC CO	\$101,132 17	\$76,486 63	\$24,645 54	\$0 00
3/28/2011	25 0000	EL PASO ELECTRIC CO	\$723 87	\$528 84	\$195 03	\$0 00
3/28/2011	75 0000	EL PASO ELECTRIC CO	\$2,173 96	\$1,586 54	\$587 42	\$0 00
3/30/2011	675 0000	EL PASO ELECTRIC CO	\$20,627 33	\$13,710 65	\$6,916 68	\$0 00
3/29/2011	475 0000	EL PASO ELECTRIC CO	\$14,072 93	\$9,887 59	\$4,185 34	\$0 00

3/23/2011	75 0000 MF GLOBAL HOLDINGS LTD	\$600 36	\$599 89	\$0 47	\$0 00
3/15/2011	1200 0000 MF GLOBAL HOLDINGS LTD	\$9,752 81	\$6,849 48	\$2,903 33	\$0 00
3/23/2011	750 0000 MF GLOBAL HOLDINGS LTD	\$5,937 86	\$5,362 72	\$575 14	\$0 00
3/11/2011	75 0000 MF GLOBAL HOLDINGS LTD	\$635 46	\$428 09	\$207 37	\$0 00
3/11/2011	1275 0000 MF GLOBAL HOLDINGS LTD	\$10,726 62	\$9,257 51	\$291 66	\$1,177 45
3/14/2011	1300 0000 MF GLOBAL HOLDINGS LTD	\$10,683 19	\$7,420 27	\$3,262 92	\$0 00
3/22/2011	250 0000 MF GLOBAL HOLDINGS LTD	\$1,971 64	\$1,426 98	\$544 66	\$0 00
3/22/2011	275 0000 MF GLOBAL HOLDINGS LTD	\$2,163 19	\$1,569 67	\$593 52	\$0 00
3/10/2011	375 0000 MF GLOBAL HOLDINGS LTD	\$3,160 36	\$2,566 16	\$0 00	\$594 20
3/24/2011	50 0000 MYR GROUP INC DEL	\$1,238 95	\$912 50	\$326 45	\$0 00
3/29/2011	25 0000 MYR GROUP INC DEL	\$604 89	\$443 04	\$161 85	\$0 00
3/23/2011	75 0000 MYR GROUP INC DEL	\$1,811 85	\$1,412 00	\$399 85	\$0 00
2/28/2011	2325 0000 MYR GROUP INC DEL	\$49,126 30	\$36,238 30	\$4,172 55	\$8,715 45
3/28/2011	25 0000 MYR GROUP INC DEL	\$606 75	-\$441 82	\$1,048 57	\$0 00
3/29/2011	300 0000 MYR GROUP INC DEL	\$7,252 69	\$5,382 80	\$1,869 89	\$0 00
4/19/2011	50 0000 MYR GROUP INC DEL	\$1,240 97	\$833 83	\$407 14	\$0 00
3/25/2011	25 0000 MYR GROUP INC DEL	\$617 63	\$441 83	\$175 80	\$0 00
3/25/2011	25 0000 MYR GROUP INC DEL	\$625 89	\$441 83	\$184 06	\$0 00
3/31/2011	25 0000 MYR GROUP INC DEL	\$600 40	\$399 00	\$201 40	\$0 00
4/4/2011	50 0000 MYR GROUP INC DEL	\$1,198 73	\$797 99	\$400 74	\$0 00
4/19/2011	225 0000 MYR GROUP INC DEL	\$5,558 04	\$3,626 82	\$1,931 22	\$0 00
2/28/2011	1550 0000 MCGRATH RENTCORP	\$39,797 19	\$43,425 85	-\$3,628 66	\$0 00
2/11/2011	175 0000 MID-AMERICA APARTMENT COMMUNITIES	\$10,893 68	\$10,795 92	\$97 76	\$0 00
2/8/2011	375 0000 MID-AMERICA APARTMENT COMMUNITIES	\$23,617 57	\$19,517 06	\$4,100 51	\$0 00
2/10/2011	50 0000 MID-AMERICA APARTMENT COMMUNITIES	\$3,091 90	\$2,630 13	\$461 77	\$0 00
2/7/2011	175 0000 MID-AMERICA APARTMENT COMMUNITIES	\$11,109 99	\$9,094 38	\$2,015 61	\$0 00
2/14/2011	100 0000 MID-AMERICA APARTMENT COMMUNITIES	\$6,197 80	\$6,180 07	\$17 73	\$0 00
2/9/2011	125 0000 MID-AMERICA APARTMENT COMMUNITIES	\$7,718 77	\$6,575 31	\$1,143 46	\$0 00
2/7/2011	25 0000 MID-AMERICA APARTMENT COMMUNITIES	\$1,586 27	\$1,299 20	\$287 07	\$0 00
2/8/2011	150 0000 MID-AMERICA APARTMENT COMMUNITIES	\$9,464 91	\$7,795 19	\$1,669 72	\$0 00
2/10/2011	375 0000 MID-AMERICA APARTMENT COMMUNITIES	\$23,222 63	\$20,544 02	\$2,678 61	\$0 00
2/28/2011	2400 0000 NORTHWESTERN CORP	\$69,178 67	\$68,165 58	\$1,013 09	\$0 00
2/28/2011	3050 0000 OASIS PETE INC NEW	\$98,450 88	\$46,937 20	\$51,513 68	\$0 00
1/6/2011	50 0000 OASIS PETE INC NEW	\$1,424 15	\$752 06	\$672 09	\$0 00
1/6/2011	50 0000 OASIS PETE INC NEW	\$1,415 20	\$752 06	\$663 14	\$0 00
1/7/2011	100 0000 OASIS PETE INC NEW	\$2,754 45	\$1,504 12	\$1,250 33	\$0 00
1/10/2011	125 0000 OASIS PETE INC NEW	\$3,434 84	\$1,880 15	\$1,554 69	\$0 00
1/14/2011	225 0000 OASIS PETE INC NEW	\$6,286 91	\$3,384 27	\$2,902 64	\$0 00
1/14/2011	225 0000 OASIS PETE INC NEW	\$6,306 10	\$3,384 27	\$2,921 83	\$0 00
4/1/2011	425 0000 OASIS PETE INC NEW	\$12,778 14	\$7,221 98	\$5,556 16	\$0 00
3/31/2011	300 0000 OASIS PETE INC NEW	\$8,943 82	\$5,097 87	\$3,845 95	\$0 00
3/31/2011	375 0000 OASIS PETE INC NEW	\$11,203 09	\$5,953 98	\$5,249 11	\$0 00
3/30/2011	425 0000 OASIS PETE INC NEW	\$12,669 13	\$6,431 73	\$6,237 40	\$0 00
3/29/2011	25 0000 OASIS PETE INC NEW	\$743 01	\$378 34	\$364 67	\$0 00
3/11/2011	25 0000 OASIS PETE INC NEW	\$877 33	\$378 34	\$498 99	\$0 00
1/18/2011	175 0000 OASIS PETE INC NEW	\$4,941 95	\$2,675 81	\$2,266 14	\$0 00
2/28/2011	1925 0000 OIL STATES INTERNATIONAL INC	\$137,785 58	\$47,660 22	\$0 00	\$90,125 36
3/11/2011	25 0000 OIL STATES INTERNATIONAL INC	\$1,920 13	\$640 53	\$0 00	\$1,279 60
2/28/2011	4150 0000 PACKAGING CORP OF AMERICA	\$118,904 34	\$71,716 60	\$0 00	\$47,187 74
3/11/2011	50 0000 PACKAGING CORP OF AMERICA	\$1,427 99	\$1,104 58	\$0 00	\$323 41
2/28/2011	2025 0000 PHILLIPS VAN HEUSEN CORP	\$119,411 95	\$64,109 70	\$12,520 19	\$42,782 06
2/28/2011	2275 0000 PROSPERITY BANCSHARES INC	\$93,851 95	\$63,348 97	\$2,155 96	\$28,347 02
2/28/2011	3850 0000 QLOGIC CORP	\$67,221 63	\$61,546 89	-\$724 36	\$6,399 10
3/31/2011	100 0000 RESOLUTE ENERGY CORP	\$1,801 66	\$1,194 85	\$0 00	\$606 81
2/28/2011	3875 0000 RESOLUTE ENERGY CORP	\$68,774 50	\$47,063 74	\$21,710 76	\$0 00
4/5/2011	200 0000 RESOLUTE ENERGY CORP	\$3,604 35	\$2,461 62	\$432 32	\$710 41
3/29/2011	25 0000 RESOLUTE ENERGY CORP	\$456 19	\$298 71	\$0 00	\$157 48
3/30/2011	125 0000 RESOLUTE ENERGY CORP	\$2,275 56	\$1,493 56	\$0 00	\$782 00
3/29/2011	75 0000 RESOLUTE ENERGY CORP	\$1,365 31	\$896 14	\$0 00	\$469 17
3/31/2011	200 0000 RESOLUTE ENERGY CORP	\$3,594 47	\$2,402 50	\$0 00	\$1,191 97
4/1/2011	125 0000 RESOLUTE ENERGY CORP	\$2,259 07	\$1,531 03	\$0 00	\$728 04
4/4/2011	225 0000 RESOLUTE ENERGY CORP	\$4,058 69	\$2,776 16	\$0 00	\$1,282 53
3/11/2011	25 0000 RESOLUTE ENERGY CORP	\$439 69	\$298 71	\$140 98	\$0 00
3/11/2011	25 0000 SRA INTERNATIONAL INC	\$651 76	\$502 94	\$148 82	\$0 00
2/24/2011	25 0000 SRA INTERNATIONAL INC	\$690 29	\$452 55	\$0 00	\$237 74
2/16/2011	125 0000 SRA INTERNATIONAL INC	\$3,496 58	\$2,262 74	\$0 00	\$1,233 84
2/28/2011	2275 0000 SRA INTERNATIONAL INC	\$60,052 02	\$43,266 47	\$7,336 02	\$9,449 53
2/15/2011	1675 0000 SRA INTERNATIONAL INC	\$46,974 63	\$27,364 47	\$0 00	\$19,610 16
2/14/2011	325 0000 SRA INTERNATIONAL INC	\$9,059 52	\$4,494 95	\$0 00	\$4,564 57
2/14/2011	175 0000 SRA INTERNATIONAL INC	\$4,848 57	\$2,420 35	\$0 00	\$2,428 22
4/6/2011	2700 0000 SRA INTERNATIONAL INC	\$84,466 52	\$56,133 86	\$28,332 66	\$0 00
2/15/2011	675 0000 SRA INTERNATIONAL INC	\$18,900.64	\$12,364 56	\$0 00	\$6,536 08
2/28/2011	2525 0000 SCOTTS MIRACLE-GRO CO CL A	\$131,588 86	\$57,069 74	\$0 00	\$74,519 12
3/11/2011	25 0000 SCOTTS MIRACLE-GRO CO CL A	\$1,396 91	\$570 05	\$0 00	\$826 86
2/28/2011	2450 0000 SENSIENT TECHNOLOGIES CORP	\$80,997 40	\$65,099 49	\$0 00	\$15,897 91
1/12/2011	325 0000 SENSIENT TECHNOLOGIES CORP	\$11,546 66	\$8,230 37	\$0 00	\$3,316 29
3/11/2011	25 0000 SENSIENT TECHNOLOGIES CORP	\$824 73	\$635 34	\$0 00	\$189 39
1/13/2011	250 0000 SENSIENT TECHNOLOGIES CORP	\$8,871 59	\$6,310 55	\$0 00	\$2,561 04
1/14/2011	100 0000 SENSIENT TECHNOLOGIES CORP	\$3,567.21	\$2,532 00	\$0 00	\$1,035 21

2/28/2011	1100 0000 SILICON LABORATORIES INC	\$47,788 03	\$46,944 20	\$843 83	\$0 00
2/28/2011	3325 0000 SILGAN HOLDINGS INC	\$119,496 54	\$95,605 08	\$4,980 15	\$18,911 31
1/4/2011	925 0000 SKYWORKS SOLUTIONS INC	\$27,054 40	\$12,944 41	\$6,456 51	\$7,653 48
2/1/2011	250 0000 SKYWORKS SOLUTIONS INC	\$8,171 44	\$3,360 75	\$4,810 69	\$0 00
1/31/2011	150 0000 SKYWORKS SOLUTIONS INC	\$4,497 00	\$2,028 43	\$2,468 57	\$0 00
1/28/2011	50 0000 SKYWORKS SOLUTIONS INC	\$1,498 75	\$684 13	\$814 62	\$0 00
1/26/2011	75 0000 SKYWORKS SOLUTIONS INC	\$2,324 86	\$1,026 20	\$1,298 66	\$0 00
1/25/2011	150 0000 SKYWORKS SOLUTIONS INC	\$4,503 60	\$2,052 39	\$2,451 21	\$0 00
2/10/2011	500 0000 SKYWORKS SOLUTIONS INC	\$17,862 86	\$7,703 38	\$7,931 21	\$2,228 27
2/28/2011	800 0000 SKYWORKS SOLUTIONS INC	\$26,405 81	\$14,939 83	\$11,465 98	\$0 00
2/25/2011	875 0000 SKYWORKS SOLUTIONS INC	\$30,306 53	\$14,947 19	\$15,359 34	\$0 00
2/17/2011	400 0000 SKYWORKS SOLUTIONS INC	\$14,728 35	\$6,833 00	\$7,895 35	\$0 00
2/28/2011	1175 0000 SMITH A O CORP	\$46,924 60	\$34,357 52	\$6,373 11	\$6,193 97
3/11/2011	25 0000 TELEFLEX INC	\$1,450 49	\$1,110 65	\$0 00	\$339 84
2/28/2011	1650 0000 TELEFLEX INC	\$98,066 69	\$79,207 06	\$0 00	\$18,859 63
1/31/2011	525 0000 TENNECO INC	\$22,588 74	\$13,619 00	\$8,969 74	\$0 00
1/27/2011	125 0000 TENNECO INC	\$5,347 72	\$3,031 65	\$2,316 07	\$0 00
1/25/2011	75 0000 TENNECO INC	\$3,223 17	\$1,814 52	\$1,408 65	\$0 00
2/28/2011	1775 0000 TENNECO INC	\$71,337 29	\$40,882 49	\$30,454 80	\$0 00
1/26/2011	25 0000 TENNECO INC	\$1,071 46	\$604 84	\$466 62	\$0 00
3/3/2011	675 0000 THOMAS & BETTS CORP	\$37,318 55	\$38,412 67	-\$1,094 12	\$0 00
3/14/2011	275 0000 THOMPSON CREEK METALS CO INC	\$3,385 64	\$2,539 69	\$845 95	\$0 00
2/28/2011	6450 0000 THOMPSON CREEK METALS CO INC	\$87,128 79	\$75,315 76	\$7,309 21	\$4,503 82
3/16/2011	950 0000 THOMPSON CREEK METALS CO INC	\$11,644 88	\$8,600 35	\$3,044 53	\$0 00
3/15/2011	750 0000 THOMPSON CREEK METALS CO INC	\$8,861 61	\$6,989 64	\$1,871 97	\$0 00
3/17/2011	400 0000 THOMPSON CREEK METALS CO INC	\$4,815 67	\$3,621 20	\$1,194 47	\$0 00
3/11/2011	50 0000 THOMPSON CREEK METALS CO INC	\$618 84	\$453 53	\$165 31	\$0 00
3/15/2011	150 0000 THOMPSON CREEK METALS CO INC	\$1,768 25	\$1,357 95	\$410 30	\$0 00
2/28/2011	3100 0000 TOWER GROUP INC	\$83,650 03	\$70,552 17	\$13,097 86	\$0 00
2/28/2011	2175 0000 TOWERS WATSON & CO	\$126,089 06	\$106,793 07	\$0 00	\$19,295 99
2/28/2011	2900 0000 TRIQUINT SEMICONDUCTOR INC	\$39,837 11	\$19,182 62	\$18,395 84	\$2,258 65
3/15/2011	575 0000 TRIQUINT SEMICONDUCTOR INC	\$7,013 54	\$3,544 76	\$3,468 78	\$0 00
3/15/2011	250 0000 TRIQUINT SEMICONDUCTOR INC	\$3,076 24	\$1,541 20	\$1,535 04	\$0 00
1/11/2011	800 0000 TRIQUINT SEMICONDUCTOR INC	\$10,743 90	\$5,023 36	\$5,720 54	\$0 00
2/28/2011	1950 0000 TYLER TECHNOLOGIES INC	\$40,479 27	\$25,449 96	\$0 00	\$15,029 31
4/11/2011	75 0000 UNIT CORPORATION	\$4,566 57	\$3,353 60	\$0 00	\$1,212 97
3/29/2011	25 0000 UNIT CORPORATION	\$1,496 36	\$1,145 91	\$0 00	\$350 45
2/28/2011	2575 0000 UNIT CORPORATION	\$147,844 64	\$91,817 72	\$10,288 16	\$45,738 76
4/1/2011	100 0000 UNIT CORPORATION	\$6,023 12	\$4,227 71	\$1,795 41	\$0 00
3/31/2011	200 0000 UNIT CORPORATION	\$12,031 27	\$8,903 00	\$1,340 95	\$1,787 32
3/30/2011	50 0000 UNIT CORPORATION	\$3,007 42	\$2,292 89	\$0 00	\$714 53
4/12/2011	75 0000 UNIT CORPORATION	\$4,546 95	\$3,343 44	\$1,203 51	\$0 00
4/4/2011	175 0000 UNIT CORPORATION	\$10,745 36	\$7,400 55	\$2,866 69	\$478 12
4/13/2011	125 0000 UNIT CORPORATION	\$7,735 05	\$5,572 41	\$2,162 64	\$0 00
4/4/2011	50 0000 UNIT CORPORATION	\$3,047 69	\$2,179 89	\$867 80	\$0 00
3/11/2011	25 0000 UNIT CORPORATION	\$1,463 81	\$1,145 91	\$0 00	\$317 90
2/28/2011	1100 0000 UNITED RENTALS INC	\$33,735 58	\$31,768 55	\$1,967 03	\$0 00
1/20/2011	175 0000 VALASSIS COMMUNICATIONS INC	\$5,490 68	\$5,776 57	-\$285 89	\$0 00
1/21/2011	150 0000 VALASSIS COMMUNICATIONS INC	\$4,691 72	\$4,948 46	-\$256 74	\$0 00
1/19/2011	375 0000 VALASSIS COMMUNICATIONS INC	\$11,317 31	\$13,416 69	-\$2,099 38	\$0 00
1/24/2011	375 0000 VALASSIS COMMUNICATIONS INC	\$11,707 28	\$11,891 58	-\$184 30	\$0 00
1/18/2011	225 0000 VALASSIS COMMUNICATIONS INC	\$6,842 11	\$7,809 29	-\$967 18	\$0 00
1/18/2011	850 0000 VALASSIS COMMUNICATIONS INC	\$25,739 26	\$28,868 72	-\$3,129 46	\$0 00
1/20/2011	425 0000 VALASSIS COMMUNICATIONS INC	\$13,305 62	\$14,519 65	-\$1,214 03	\$0 00
1/14/2011	700 0000 VALASSIS COMMUNICATIONS INC	\$20,964 93	\$21,528 22	-\$563 29	\$0 00
1/12/2011	775 0000 VALASSIS COMMUNICATIONS INC	\$23,610 51	\$24,319 15	-\$708 64	\$0 00
1/11/2011	800 0000 VALASSIS COMMUNICATIONS INC	\$24,627 34	\$25,225 45	-\$598 11	\$0 00
1/14/2011	100 0000 VALASSIS COMMUNICATIONS INC	\$3,001 71	\$3,206 46	-\$204 75	\$0 00
2/28/2011	1125 0000 VALMONT INDUSTRIES INC	\$116,273 49	\$87,071 48	\$4,607 82	\$24,594 19
2/28/2011	2250 0000 WADDELL & REED FINANCIAL	\$89,773 27	\$62,305 29	\$27,467 98	\$0 00
2/28/2011	5450 0000 WASHINGTON FED INC	\$96,762 34	\$71,173 90	\$0 00	\$25,588 44
3/11/2011	50 0000 WASHINGTON FED INC	\$874 13	\$813 10	\$0 00	\$61 03
2/28/2011	2200 0000 WASTE CONNECTIONS INC	\$63,707 69	\$41,998 84	\$2,864 97	\$18,843.88
2/28/2011	1500 0000 WERNER ENTERPRISES INC	\$34,667 33	\$35,425 89	-\$758 56	\$0 00
2/28/2011	2650 0000 WESCO INTERNATIONAL INC	\$148,751 71	\$79,227 19	\$7,710 12	\$61,814 40
3/28/2011	75 0000 WESCO INTERNATIONAL INC	\$4,514 10	\$2,554 15	\$0 00	\$1,959 95
3/25/2011	25 0000 WESCO INTERNATIONAL INC	\$1,516 68	\$847 72	\$0 00	\$668 96
4/7/2011	125 0000 WESCO INTERNATIONAL INC	\$8,031 89	\$4,272 28	\$0 00	\$3,759 61
3/24/2011	75 0000 WESCO INTERNATIONAL INC	\$4,573 49	\$2,543 16	\$0 00	\$2,030 33
3/23/2011	25 0000 WESCO INTERNATIONAL INC	\$1,493 95	\$831 33	\$0 00	\$662 62
3/22/2011	25 0000 WESCO INTERNATIONAL INC	\$1,474 02	\$831 34	\$0 00	\$642 68
3/22/2011	50 0000 WESCO INTERNATIONAL INC	\$2,944 12	\$1,645 76	\$0 00	\$1,298 36
3/11/2011	25 0000 WESCO INTERNATIONAL INC	\$1,479 87	\$814 43	\$665 44	\$0 00
3/25/2011	175 0000 WESCO INTERNATIONAL INC	\$10,590 97	\$5,948 69	\$0 00	\$4,642 28
2/28/2011	1325 0000 WEST PHARMACEUTICAL SERVICES INC	\$53,373 02	\$41,015 90	\$159 14	\$12,197 98
3/11/2011	25 0000 WILEY JOHN & SONS INC CL A	\$1,193 34	\$795 95	\$0 00	\$397 39
2/28/2011	1775 0000 WILEY JOHN & SONS INC CL A	\$83,554 21	\$57,154 74	\$0 00	\$26,399.47
2/28/2011	2575 0000 WOLVERINE WORLD WIDE INC	\$92,271 80	\$67,601.58	\$1,427 00	\$23,243 22
3/11/2011	25 0000 WOLVERINE WORLD WIDE INC	\$921 81	\$731 02	\$190 79	\$0 00

5/20/2011	325 0000 ACTUANT CORP CL A NEW	\$8,100 82	\$3,603 92	\$0 00	\$4,496 90
5/23/2011	550 0000 ACTUANT CORP CL A NEW	\$13,589 02	\$6,828 84	\$0 00	\$6,760 18
8/12/2011	575 0000 APOLLO INVT CORP COM	\$4,471.34	\$5,707 62	\$0 00	-\$1,236 28
8/12/2011	1475 0000 APOLLO INVT CORP COM	\$11,567 02	\$16,084 21	\$0 00	-\$4,517 19
8/15/2011	900 0000 APOLLO INVT CORP COM	\$6,827 44	\$11,104 24	\$0 00	-\$4,276 80
12/13/2011	300 0000 APOLLO INVT CORP COM	\$2,102 45	\$3,630 63	\$0 00	-\$1,528 18
12/13/2011	1520 0000 APOLLO INVT CORP COM	\$10,624 89	\$16,551 15	\$0 00	-\$5,926 26
12/14/2011	1000 0000 APOLLO INVT CORP COM	\$7,058 06	\$9,787 30	\$0 00	-\$2,729 24
12/14/2011	290 0000 APOLLO INVT CORP COM	\$2,041 82	\$2,838 32	\$0 00	-\$796 50
12/15/2011	100 0000 APOLLO INVT CORP COM	\$684 17	\$978 73	\$0 00	-\$294 56
12/15/2011	1220 0000 APOLLO INVT CORP COM	\$8,361 23	\$11,940 51	\$0 00	-\$3,579 28
12/16/2011	550 0000 APOLLO INVT CORP COM	\$3,624 60	\$5,364 38	\$0 00	-\$1,739 78
12/19/2011	390 0000 APOLLO INVT CORP COM	\$2,455 78	\$3,930 02	\$0 00	-\$1,474 24
12/20/2011	770 0000 APOLLO INVT CORP COM	\$4,855 07	\$8,399 28	\$0 00	-\$3,544 21
12/21/2011	450 0000 APOLLO INVT CORP COM	\$2,825 46	\$5,106 76	\$0 00	-\$2,281 30
12/22/2011	432 0000 APOLLO INVT CORP COM	\$2,613 64	\$4,909 49	-\$1,418 55	-\$877 30
12/22/2011	808 0000 APOLLO INVT CORP COM	\$4,844 10	\$6,561 23	-\$1,717 13	\$0 00
6/1/2011	675 0000 ARES CAP CORP COM	\$11,109 74	\$9,819 42	\$0 00	\$1,290 32
6/2/2011	925 0000 ARES CAP CORP COM	\$15,290 97	\$13,487 52	\$0 00	\$1,803 45
6/3/2011	275 0000 ARES CAP CORP COM	\$4,570 63	\$3,818 72	\$159 99	\$591 92
5/3/2011	1 4200 ATLAS AIR SER 1999 1 CL A 1	\$1 42	\$2 09	\$0 00	-\$0 67
6/2/2011	1 4300 ATLAS AIR SER 1999 1 CL A 1	\$1 43	\$2 10	\$0 00	-\$0 67
7/6/2011	1 4400 ATLAS AIR SER 1999 1 CL A 1	\$1 44	\$2 12	\$0 00	-\$0 68
8/2/2011	4 4200 ATLAS AIR SER 1999 1 CL A 1	\$4 42	\$6 50	\$0 00	-\$2 08
9/2/2011	4 4700 ATLAS AIR SER 1999 1 CL A 1	\$4 47	\$6 58	\$0 00	-\$2 11
10/3/2011	4 7800 ATLAS AIR SER 1999 1 CL A 1	\$4 78	\$7 03	\$0 00	-\$2 25
11/2/2011	2 2800 ATLAS AIR SER 1999 1 CL A 1	\$2 28	\$3 35	\$0 00	-\$1 07
12/2/2011	1 4800 ATLAS AIR SER 1999 1 CL A 1	\$1 48	\$2 18	\$0 00	-\$0 70
12/7/2011	25 0000 ATMOS ENERGY CORP COM	\$825 93	\$799 62	\$26 31	\$0 00
12/7/2011	175 0000 ATMOS ENERGY CORP COM	\$5,679 03	\$5,597 34	\$81 69	\$0 00
12/8/2011	50 0000 ATMOS ENERGY CORP COM	\$1,660 21	\$1,600 10	\$60 11	\$0 00
12/8/2011	175 0000 ATMOS ENERGY CORP COM	\$5,785 26	\$5,625 09	\$160 17	\$0 00
5/9/2011	300 0000 BRIGHAM EXPL CO COM	\$8,627 86	\$5,122 95	\$3,504 91	\$0 00
5/10/2011	675 0000 BRIGHAM EXPL CO COM	\$18,970 71	\$11,304 14	\$7,666 57	\$0 00
8/10/2011	450 0000 BRIGHAM EXPL CO COM	\$12,140 40	\$7,837 38	\$4,303 02	\$0 00
9/27/2011	225 0000 BRIGHAM EXPL CO COM	\$5,890 36	\$4,181 65	\$1,708 71	\$0 00
9/28/2011	200 0000 BRIGHAM EXPL CO COM	\$5,095 58	\$3,717 02	\$1,378 56	\$0 00
10/20/2011	2025 0000 BRIGHAM EXPL CO COM	\$73,692 58	\$43,815 53	\$20,271 18	\$9,605 87
7/11/2011	175 0000 CACI INTL INC CL A	\$11,511 89	\$8,102 82	\$0 00	\$3,409 07
7/11/2011	50 0000 CACI INTL INC CL A	\$3,294 05	\$2,276 48	\$0 00	\$1,017 57
7/12/2011	100 0000 CACI INTL INC CL A	\$6,578 64	\$4,634 60	\$0 00	\$1,944 04
7/26/2011	25 0000 CACI INTL INC CL A	\$1,546 10	\$1,180 79	\$0 00	\$365 31
7/26/2011	175 0000 CACI INTL INC CL A	\$10,827 46	\$8,328 99	\$0 00	\$2,498 47
7/26/2011	200 0000 CACI INTL INC CL A	\$12,422 76	\$9,615 40	\$0 00	\$2,807 36
7/27/2011	75 0000 CACI INTL INC CL A	\$4,623 09	\$3,198 53	\$0 00	\$1,424 56
8/5/2011	100 0000 CACI INTL INC CL A	\$5,297 40	\$4,264 70	\$0 00	\$1,032 70
8/5/2011	100 0000 CACI INTL INC CL A	\$5,291 60	\$4,264 70	\$0 00	\$1,026 90
8/8/2011	50 0000 CACI INTL INC CL A	\$2,608 23	\$2,132 35	\$0 00	\$475 88
8/8/2011	50 0000 CACI INTL INC CL A	\$2,656 46	\$2,132 35	\$0 00	\$524 11
8/8/2011	175 0000 CACI INTL INC CL A	\$9,181 53	\$7,463 23	\$0 00	\$1,718 30
12/7/2011	250 0000 CLECO CORP NEW COM	\$9,002 72	\$6,879 43	\$0 00	\$2,123 29
12/7/2011	75 0000 CLECO CORP NEW COM	\$2,697 03	\$2,063 83	\$0 00	\$633 20
12/8/2011	250 0000 CLECO CORP NEW COM	\$8,980 27	\$6,879 42	\$0 00	\$2,100 85
12/8/2011	100 0000 CLECO CORP NEW COM	\$3,622 68	\$2,751 77	\$0 00	\$870 91
12/9/2011	75 0000 CLECO CORP NEW COM	\$2,694 40	\$2,063 83	\$0 00	\$630 57
8/15/2011	2400 0000 CNO FINL GROUP INC COM	\$13,278 94	\$11,875 95	\$0 00	\$1,402 99
8/16/2011	2075 0000 CNO FINL GROUP INC COM	\$11,613 34	\$11,721 00	\$0 00	-\$107 66
8/24/2011	100 0000 DSW INC CL A	\$3,822 62	\$4,458 34	-\$635 72	\$0 00
8/25/2011	175 0000 DSW INC CL A	\$6,656 54	\$8,165 71	-\$1,509 17	\$0 00
12/7/2011	75 0000 EL PASO ELEC COMPANY COM	\$2,526 27	\$1,469 81	\$0 00	\$1,056 46
12/8/2011	50 0000 EL PASO ELEC COMPANY COM	\$1,690 96	\$975 92	\$0 00	\$715 04
12/9/2011	25 0000 EL PASO ELEC COMPANY COM	\$842 63	\$487 96	\$0 00	\$354 67
12/12/2011	75 0000 EL PASO ELEC COMPANY COM	\$2,486 62	\$1,491 31	\$0 00	\$995 31
12/12/2011	150 0000 EL PASO ELEC COMPANY COM	\$4,955 18	\$2,964 36	\$0 00	\$1,990 82
12/13/2011	75 0000 EL PASO ELEC COMPANY COM	\$2,462 96	\$1,482 18	\$0 00	\$980 78
12/14/2011	175 0000 EL PASO ELEC COMPANY COM	\$5,738 12	\$3,458 42	\$0 00	\$2,279 70
12/14/2011	25 0000 EL PASO ELEC COMPANY COM	\$816.21	\$494 06	\$0 00	\$322 15
12/15/2011	75 0000 EL PASO ELEC COMPANY COM	\$2,466.70	\$1,482 18	\$0 00	\$984 52
12/15/2011	25 0000 EL PASO ELEC COMPANY COM	\$820.81	\$494 06	\$0 00	\$326 75
12/16/2011	125 0000 EL PASO ELEC COMPANY COM	\$4,137 14	\$2,470 30	\$0 00	\$1,666 84
12/19/2011	25 0000 EL PASO ELEC COMPANY COM	\$820.19	\$494 06	\$0 00	\$326 13
6/7/2011	100 0000 ENERSYS COM	\$3,292 37	\$2,941 26	\$351.11	\$0 00
6/7/2011	25 0000 ENERSYS COM	\$824 86	\$735 31	\$89 55	\$0 00
6/8/2011	400 0000 ENERSYS COM	\$13,222 14	\$11,853 15	\$1,368 99	\$0 00
6/8/2011	50 0000 ENERSYS COM	\$1,659 27	\$1,499 68	\$159 59	\$0 00
6/9/2011	150 0000 ENERSYS COM	\$4,945 85	\$4,575 92	\$369 93	\$0 00
6/10/2011	25 0000 ENERSYS COM	\$823 38	\$769 06	\$54 32	\$0 00
6/13/2011	150 0000 ENERSYS COM	\$4,803 77	\$4,658 39	\$145 38	\$0 00
11/16/2011	75 0000 ENERSYS COM	\$1,937 62	\$2,456 21	-\$518 59	\$0 00

11/16/2011	700 0000 ENERSYS COM	\$18,234 64	\$21,634 22	-\$3,399 58	\$0 00
11/23/2011	25 0000 ENERSYS COM	\$623 48	\$828 17	-\$204.69	\$0 00
11/23/2011	50 0000 ENERSYS COM	\$1,244 25	\$1,657 06	-\$412 81	\$0 00
11/25/2011	75 0000 ENERSYS COM	\$1,797 71	\$2,409 17	-\$611 46	\$0 00
11/25/2011	150 0000 ENERSYS COM	\$3,618 56	\$4,860 55	-\$1,241 99	\$0 00
11/28/2011	175 0000 ENERSYS COM	\$4,123 18	\$6,097 99	-\$1,974 81	\$0 00
11/28/2011	50 0000 ENERSYS COM	\$1,192 96	\$1,614 85	-\$421 89	\$0 00
12/1/2011	50 0000 ENERSYS COM	\$1,163 56	\$1,869 05	-\$705 49	\$0 00
12/2/2011	125 0000 ENERSYS COM	\$2,882 82	\$4,619 28	-\$1,736 46	\$0 00
12/2/2011	50 0000 ENERSYS COM	\$1,149 62	\$1,878 09	-\$728 47	\$0 00
12/2/2011	75 0000 ENERSYS COM	\$1,731 15	\$2,803 58	-\$1,072 43	\$0 00
12/5/2011	250 0000 ENERSYS COM	\$5,957 81	\$4,606 38	\$1,351 43	\$0 00
12/5/2011	500 0000 ENERSYS COM	\$11,947 67	\$17,283 88	-\$5,336 21	\$0 00
12/6/2011	100 0000 ENERSYS COM	\$2,435 76	\$1,863 75	\$572 01	\$0 00
12/6/2011	225 0000 ENERSYS COM	\$5,448 81	\$4,188 86	\$1,259 95	\$0 00
12/7/2011	50 0000 ENERSYS COM	\$1,221 81	\$922 73	\$299 08	\$0 00
12/7/2011	75 0000 ENERSYS COM	\$1,833 75	\$1,384 10	\$449 65	\$0 00
8/11/2011	550 0000 FIFTH STREET FIN CORP COM	\$4,859 44	\$5,502 49	\$0 00	-\$643 05
8/12/2011	1900 0000 FIFTH STREET FIN CORP COM	\$16,741 33	\$21,781 82	\$0 00	-\$5,040 49
8/15/2011	1475 0000 FIFTH STREET FIN CORP COM	\$12,997 89	\$16,450 20	\$0 00	-\$3,452 31
8/16/2011	1450 0000 FIFTH STREET FIN CORP COM	\$12,961 15	\$16,601 99	-\$2,083 26	-\$1,557 58
6/3/2011	50 0000 FIRST CASH INC COM	\$2,094 90	\$1,053 54	\$0 00	\$1,041 36
6/6/2011	25 0000 FIRST CASH INC COM	\$1,019 55	\$525 21	\$0 00	\$494 34
6/7/2011	75 0000 FIRST CASH INC COM	\$2,870 22	\$1,569 34	\$0 00	\$1,300 88
8/18/2011	75 0000 FIRST CASH INC COM	\$3,449 89	\$1,556 78	\$0 00	\$1,893 11
8/19/2011	175 0000 FIRST CASH INC COM	\$7,899 12	\$3,713 90	\$0 00	\$4,185 22
9/23/2011	75 0000 FIRST CASH INC COM	\$3,889 58	\$1,610 23	\$0 00	\$2,279 35
9/23/2011	25 0000 FIRST CASH INC COM	\$1,297 24	\$548 98	\$0 00	\$748 26
9/26/2011	50 0000 FIRST CASH INC COM	\$2,509 52	\$1,097 96	\$0 00	\$1,411 56
9/27/2011	100 0000 FIRST CASH INC COM	\$4,711 57	\$2,195 92	\$0 00	\$2,515 65
9/28/2011	200 0000 FIRST CASH INC COM	\$8,864 41	\$4,391 84	\$0 00	\$4,472 57
9/29/2011	175 0000 FIRST CASH INC COM	\$7,363 41	\$3,842 86	\$0 00	\$3,520 55
5/31/2011	10086 4800 FIRST HORIZON NATIONAL CORPORATION	\$1 04	\$1 00	\$0 00	\$0 04
8/15/2011	50 0000 FIRSTMERIT CORP COM	\$623 97	\$878 69	-\$22 92	-\$231 80
8/15/2011	600 0000 FIRSTMERIT CORP COM	\$7,377 21	\$10,501 96	\$0 00	-\$3,124 75
8/16/2011	675 0000 FIRSTMERIT CORP COM	\$8,093 09	\$12,310 39	-\$4,217 30	\$0 00
8/8/2011	375 0000 GOVERNMENT PPTYS INCOME TR COM SHS	\$8,557 64	\$10,087 47	\$0 00	-\$1,529 83
8/9/2011	350 0000 GOVERNMENT PPTYS INCOME TR COM SHS	\$7,900 05	\$9,184 46	\$0 00	-\$1,284 41
6/8/2011	125 0000 GRAFTECH INTL LTD COM	\$2,510 38	\$1,848 74	\$661 64	\$0 00
6/8/2011	400 0000 GRAFTECH INTL LTD COM	\$8,007 12	\$6,140 49	\$1,866 63	\$0 00
6/9/2011	550 0000 GRAFTECH INTL LTD COM	\$10,642 40	\$8,743 85	\$1,898 55	\$0 00
6/9/2011	675 0000 GRAFTECH INTL LTD COM	\$13,260 72	\$12,434 53	\$826 19	\$0 00
6/10/2011	350 0000 GRAFTECH INTL LTD COM	\$6,755 54	\$6,970 96	-\$215 42	\$0 00
6/10/2011	150 0000 GRAFTECH INTL LTD COM	\$2,902 07	\$3,026 37	-\$124 30	\$0 00
6/13/2011	275 0000 GRAFTECH INTL LTD COM	\$5,252 89	\$5,524 72	-\$271 83	\$0 00
6/14/2011	500 0000 GRAFTECH INTL LTD COM	\$9,683 11	\$10,122 74	-\$439 63	\$0 00
8/24/2011	775 0000 HANESBRANDS INC COM	\$18,636 91	\$18,680 13	-\$43.22	\$0 00
12/6/2011	125 0000 HANESBRANDS INC COM	\$2,909 04	\$3,012 93	-\$103 89	\$0 00
12/6/2011	50 0000 HANESBRANDS INC COM	\$1,163 09	\$1,205 17	-\$42 08	\$0 00
12/7/2011	225 0000 HANESBRANDS INC COM	\$5,348 42	\$5,423 26	-\$74 84	\$0 00
12/8/2011	1050 0000 HANESBRANDS INC COM	\$24,747 81	\$25,969 49	-\$1,221 68	\$0 00
12/8/2011	400 0000 HANESBRANDS INC COM	\$9,424 06	\$12,210 51	-\$2,786 45	\$0 00
12/9/2011	100 0000 HANESBRANDS INC COM	\$2,347 49	\$3,220 50	-\$873 01	\$0 00
12/9/2011	200 0000 HANESBRANDS INC COM	\$4,682 55	\$6,472 81	-\$1,790 26	\$0 00
12/12/2011	375 0000 HANESBRANDS INC COM	\$8,682 20	\$10,784 59	-\$2,102 39	\$0 00
5/3/2011	125 0000 HANOVER INS GROUP INC COM	\$5,258 92	\$5,406 39	-\$147 47	\$0 00
5/4/2011	75 0000 HANOVER INS GROUP INC COM	\$3,164 27	\$3,243 83	-\$79 56	\$0 00
5/5/2011	300 0000 HANOVER INS GROUP INC COM	\$12,691 59	\$13,590 76	-\$899 17	\$0 00
5/24/2011	525 0000 HEXCEL CORP COM	\$11,350 86	\$7,782 16	\$268 40	\$3,300 30
5/24/2011	150 0000 HEXCEL CORP COM	\$3,249 67	\$2,437 91	\$811 76	\$0 00
5/25/2011	200 0000 HEXCEL CORP COM	\$4,289 37	\$3,250 54	\$1,038 83	\$0 00
5/25/2011	100 0000 HEXCEL CORP COM	\$2,144 08	\$1,625 27	\$518 81	\$0 00
5/26/2011	225 0000 HEXCEL CORP COM	\$4,703 98	\$3,656 86	\$1,047 12	\$0 00
5/26/2011	50.0000 HEXCEL CORP COM	\$1,039 73	\$812 63	\$227 10	\$0 00
5/27/2011	100 0000 HEXCEL CORP COM	\$2,059 67	\$1,625 27	\$434 40	\$0 00
5/31/2011	175 0000 HEXCEL CORP COM	\$3,604 84	\$2,844 22	\$760.62	\$0 00
6/1/2011	150 0000 HEXCEL CORP COM	\$3,071 11	\$2,437 91	\$633.20	\$0 00
6/2/2011	425 0000 HEXCEL CORP COM	\$8,753 30	\$7,141 15	\$1,612 15	\$0 00
6/3/2011	525 0000 HEXCEL CORP COM	\$10,815 21	\$10,501 71	\$313 50	\$0 00
9/15/2011	1325 0000 INVESCO MORTGAGE CAPITAL INC COM	\$21,227 55	\$31,301 29	-\$10,073 74	\$0 00
9/29/2011	750.0000 INVESCO MORTGAGE CAPITAL INC COM	\$10,936.43	\$16,839 58	-\$5,903 15	\$0 00
10/6/2011	25 0000 INVESCO MORTGAGE CAPITAL INC COM	\$335 05	\$532 01	-\$196 96	\$0 00
10/7/2011	1225 0000 INVESCO MORTGAGE CAPITAL INC COM	\$15,989 49	\$25,679 56	-\$9,690 07	\$0 00
10/11/2011	675 0000 INVESCO MORTGAGE CAPITAL INC COM	\$9,274 32	\$13,005 37	-\$3,731 05	\$0 00
5/13/2011	2075 0000 ISHARES TR RUSSELL 2000 VALUE INDEX	\$156,960 98	\$158,273 27	-\$1,312 29	\$0 00
5/16/2011	1100 0000 ISHARES TR RUSSELL 2000 VALUE INDEX	\$81,945 45	\$82,226 92	-\$281 47	\$0 00
6/10/2011	225 0000 ISHARES TR RUSSELL 2000 VALUE INDEX	\$16,101 43	\$16,368 37	-\$266 94	\$0 00
6/13/2011	700 0000 ISHARES TR RUSSELL 2000 VALUE INDEX	\$49,548 19	\$50,923 81	-\$1,375 62	\$0 00
6/14/2011	250 0000 ISHARES TR RUSSELL 2000 VALUE INDEX	\$17,694 66	\$18,187 07	-\$492 41	\$0 00

6/15/2011	250 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$17,461 06	\$18,187 08	-\$726 02	\$0 00
6/28/2011	950 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$67,036 13	\$69,110 89	-\$2,074 76	\$0 00
7/14/2011	700 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$51,420 66	\$50,923 81	\$496 85	\$0 00
7/14/2011	200 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$14,695 71	\$14,549 66	\$146 05	\$0 00
8/1/2011	950 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$67,900 99	\$68,712 99	-\$812 00	\$0 00
8/5/2011	475 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$32,767 25	\$33,443 51	-\$676 26	\$0 00
8/8/2011	475 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$32,114 32	\$33,337 40	-\$1,223 08	\$0 00
8/18/2011	825 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$51,579 73	\$58,422 68	-\$6,842 95	\$0 00
8/19/2011	975 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$60,802 95	\$63,495 86	-\$2,692 91	\$0 00
9/8/2011	450 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$27,587 71	\$28,926 45	-\$1,338 74	\$0 00
9/9/2011	850 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$50,617 29	\$53,997 08	-\$3,379 79	\$0 00
9/14/2011	250 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$14,984 76	\$15,428 47	-\$443 71	\$0 00
9/15/2011	1225 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$72,811 38	\$75,673 80	-\$2,862 42	\$0 00
9/27/2011	425 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$24,386 03	\$26,085 03	-\$1,699 00	\$0 00
9/27/2011	525 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$30,113 21	\$32,209 86	-\$2,096 65	\$0 00
9/28/2011	575 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$32,844 40	\$35,027 75	-\$2,183 35	\$0 00
9/29/2011	375 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$21,524 40	\$22,836 79	-\$1,312 39	\$0 00
11/1/2011	450 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$30,323 79	\$25,936 70	\$4,387 09	\$0 00
11/3/2011	300 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$19,804 83	\$17,291 13	\$2,513 70	\$0 00
11/4/2011	600 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$37,983 17	\$34,582 26	\$3,400 91	\$0 00
11/4/2011	375 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$23,680 08	\$21,613 91	\$2,066 17	\$0 00
11/15/2011	2125 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$136,255 57	\$122,492 67	\$13,762 90	\$0 00
11/15/2011	775 0000	ISHARES TR RUSSELL 2000 VALUE INDEX	\$49,861 15	\$46,533 54	\$3,327 61	\$0 00
12/16/2011	812208 0000	JP MORGAN CORE BOND FUND - SELECT	\$17,917 31	\$0 00	\$0 00	\$17,917 31
8/5/2011	25 0000	KBW INC COM	\$382 22	\$609 96	-\$227 74	\$0 00
8/8/2011	100 0000	KBW INC COM	\$1,530 38	\$2,429 62	-\$899 24	\$0 00
8/8/2011	125 0000	KBW INC COM	\$1,908 69	\$2,982 78	-\$1,074 09	\$0 00
8/9/2011	100 0000	KBW INC COM	\$1,496 26	\$2,420 51	-\$924 25	\$0 00
8/9/2011	175 0000	KBW INC COM	\$2,618 50	\$4,225 08	-\$1,606 58	\$0 00
8/10/2011	150 0000	KBW INC COM	\$2,141 71	\$3,590 45	-\$1,448 74	\$0 00
8/10/2011	275 0000	KBW INC COM	\$3,916 20	\$6,459 17	-\$2,542 97	\$0 00
8/11/2011	25 0000	KBW INC COM	\$355 75	\$651 02	-\$295 27	\$0 00
8/12/2011	175 0000	KBW INC COM	\$2,353 28	\$4,611 38	-\$2,258 10	\$0 00
8/15/2011	175 0000	KBW INC COM	\$2,303 32	\$4,669 29	-\$2,365 97	\$0 00
8/16/2011	325 0000	KBW INC COM	\$4,293 71	\$7,685 52	-\$3,391 81	\$0 00
8/17/2011	125 0000	KBW INC COM	\$1,700 26	\$2,231 51	-\$531 25	\$0 00
5/16/2011	375 0000	KILROY RLTY CORP COM	\$15,034 89	\$12,269 30	\$2,765 59	\$0 00
5/16/2011	375 0000	KILROY RLTY CORP COM	\$15,092 78	\$10,950 28	\$4,142 50	\$0 00
5/16/2011	25 0000	KILROY RLTY CORP COM	\$1,007 98	\$725 05	\$282 93	\$0 00
5/17/2011	450 0000	KILROY RLTY CORP COM	\$17,969 28	\$15,007 04	\$2,962 24	\$0 00
5/17/2011	225 0000	KILROY RLTY CORP COM	\$8,974 91	\$7,923 60	\$1,051 31	\$0 00
6/8/2011	150 0000	LANDSTAR SYS INC COM	\$6,690 94	\$6,304 49	\$386 45	\$0 00
6/9/2011	100 0000	LANDSTAR SYS INC COM	\$4,407 29	\$4,165 93	\$241 36	\$0 00
6/9/2011	150 0000	LANDSTAR SYS INC COM	\$6,590 27	\$6,270 13	\$320 14	\$0 00
6/9/2011	100 0000	LANDSTAR SYS INC COM	\$4,401 02	\$4,187 84	\$213 18	\$0 00
6/10/2011	175 0000	LANDSTAR SYS INC COM	\$7,687 83	\$7,297 41	\$390 42	\$0 00
6/13/2011	275 0000	LANDSTAR SYS INC COM	\$11,892 97	\$11,985 49	-\$92 52	\$0 00
8/18/2011	75 0000	LASALLE HOTEL PPTYS COM SH BEN INT	\$1,474 80	\$1,509 82	\$0 00	-\$35 02
8/19/2011	525 0000	LASALLE HOTEL PPTYS COM SH BEN INT	\$10,171 83	\$11,719 94	-\$1,321 31	-\$226 80
8/22/2011	200 0000	LASALLE HOTEL PPTYS COM SH BEN INT	\$3,876 70	\$5,049 84	-\$1,173 14	\$0 00
8/23/2011	175 0000	LASALLE HOTEL PPTYS COM SH BEN INT	\$3,106 84	\$4,314 85	-\$1,208 01	\$0 00
10/5/2011	300 0000	LENNOX INTERNATIONAL INC COM	\$7,818 98	\$13,191 24	-\$5,372 26	\$0 00
10/5/2011	200 0000	LENNOX INTERNATIONAL INC COM	\$5,217 91	\$8,794 16	-\$3,576 25	\$0 00
10/6/2011	250 0000	LENNOX INTERNATIONAL INC COM	\$6,611 85	\$11,509 96	-\$4,898 11	\$0 00
10/7/2011	175 0000	LENNOX INTERNATIONAL INC COM	\$4,367 41	\$8,353 60	-\$3,986 19	\$0 00
5/12/2011	150 0000	LINCOLN ELEC HLDGS INC COM	\$11,416 22	\$6,394 37	\$0 00	\$5,021 85
5/13/2011	50 0000	LINCOLN ELEC HLDGS INC COM	\$3,828 36	\$2,149 01	\$0 00	\$1,679 35
5/16/2011	25 0000	LINCOLN ELEC HLDGS INC COM	\$1,901 71	\$1,415 43	\$486 28	\$0 00
5/17/2011	25 0000	LINCOLN ELEC HLDGS INC COM	\$1,893 63	\$1,415 43	\$478 20	\$0 00
5/20/2011	25 0000	LINCOLN ELEC HLDGS INC COM	\$1,817 64	\$1,264 12	\$553 52	\$0 00
5/23/2011	25 0000	LINCOLN ELEC HLDGS INC COM	\$1,822 46	\$1,264 12	\$558 34	\$0 00
5/23/2011	75 0000	LINCOLN ELEC HLDGS INC COM	\$5,542 15	\$3,792 37	\$1,749 78	\$0 00
5/24/2011	25 0000	LINCOLN ELEC HLDGS INC COM	\$1,877 35	\$1,264 12	\$613 23	\$0 00
5/24/2011	75 0000	LINCOLN ELEC HLDGS INC COM	\$5,618 59	\$3,792 37	\$1,826 22	\$0 00
5/25/2011	25 0000	LINCOLN ELEC HLDGS INC COM	\$1,873 77	\$1,264 12	\$609 65	\$0 00
5/25/2011	25 0000	LINCOLN ELEC HLDGS INC COM	\$1,876 44	\$1,264 12	\$612 32	\$0 00
5/26/2011	50 0000	LINCOLN ELEC HLDGS INC COM	\$3,652 43	\$2,528 25	\$1,124 18	\$0 00
5/27/2011	75 0000	LINCOLN ELEC HLDGS INC COM	\$5,419 31	\$3,792 37	\$1,626 94	\$0 00
5/31/2011	175 0000	LINCOLN ELEC HLDGS INC COM	\$12,668 22	\$10,338 80	\$2,329 42	\$0 00
6/1/2011	25 0000	LINCOLN ELEC HLDGS INC COM	\$1,813 01	\$1,602 80	\$210.21	\$0 00
5/12/2011	925 0000	LOUISIANA PAC CORP COM	\$7,967 06	\$7,301 45	\$665 61	\$0 00
5/13/2011	1025 0000	LOUISIANA PAC CORP COM	\$8,945 21	\$8,061 24	\$883 97	\$0 00
5/20/2011	925 0000	LOUISIANA PAC CORP COM	\$7,511 69	\$7,656 63	-\$144 94	\$0 00
5/20/2011	100 0000	LOUISIANA PAC CORP COM	\$811 96	\$884 97	-\$73 01	\$0 00
5/23/2011	550 0000	LOUISIANA PAC CORP COM	\$4,522 12	\$5,011 24	-\$489 12	\$0 00
5/24/2011	1075 0000	LOUISIANA PAC CORP COM	\$8,868 68	\$10,419 80	-\$1,551 12	\$0 00
5/25/2011	625 0000	LOUISIANA PAC CORP COM	\$5,060 71	\$6,489 73	-\$1,429 02	\$0 00
5/26/2011	300 0000	LOUISIANA PAC CORP COM	\$2,378 50	\$3,391 11	-\$1,012 61	\$0 00
5/26/2011	125 0000	LOUISIANA PAC CORP COM	\$991 44	\$1,412 96	-\$421 52	\$0 00

5/27/2011	275 0000	LOUISIANA PAC CORP COM	\$2,191 90	\$3,108 52	-\$916 62	\$0 00
11/25/2011	300 0000	MAIDENFORM BRANDS INC COM	\$5,173 49	\$7,431 72	-\$2,258 23	\$0 00
11/25/2011	100 0000	MAIDENFORM BRANDS INC COM	\$1,738 99	\$2,471 61	-\$732 62	\$0 00
8/9/2011	425 0000	MUELLER WTR PRODS INC COM SER A	\$1,035 79	\$1,874 97	-\$839 18	\$0 00
8/9/2011	650 0000	MUELLER WTR PRODS INC COM SER A	\$1,521 62	\$2,814 65	-\$1,293 03	\$0 00
8/9/2011	100 0000	MUELLER WTR PRODS INC COM SER A	\$252 99	\$441 17	-\$188 18	\$0 00
8/10/2011	100 0000	MUELLER WTR PRODS INC COM SER A	\$219 94	\$443 04	-\$223 10	\$0 00
8/10/2011	375 0000	MUELLER WTR PRODS INC COM SER A	\$806 23	\$1,669 80	-\$863 57	\$0 00
8/10/2011	1825 0000	MUELLER WTR PRODS INC COM SER A	\$4,054 88	\$8,140 72	-\$4,085 84	\$0 00
8/11/2011	125 0000	MUELLER WTR PRODS INC COM SER A	\$264 80	\$553 80	-\$289 00	\$0 00
8/12/2011	650 0000	MUELLER WTR PRODS INC COM SER A	\$1,359 70	\$2,894 45	-\$1,534 75	\$0 00
8/12/2011	375 0000	MUELLER WTR PRODS INC COM SER A	\$788 61	\$1,678 24	-\$889 63	\$0 00
8/15/2011	1350 0000	MUELLER WTR PRODS INC COM SER A	\$2,872 06	\$5,896 15	-\$3,024 09	\$0 00
8/15/2011	425 0000	MUELLER WTR PRODS INC COM SER A	\$910 37	\$1,734 89	-\$824 52	\$0 00
8/16/2011	1200 0000	MUELLER WTR PRODS INC COM SER A	\$2,654 82	\$5,247 47	-\$2,592 65	\$0 00
8/16/2011	75 0000	MUELLER WTR PRODS INC COM SER A	\$162 78	\$306 41	-\$143 63	\$0 00
8/17/2011	325 0000	MUELLER WTR PRODS INC COM SER A	\$723 49	\$1,436 95	-\$713 46	\$0 00
8/18/2011	575 0000	MUELLER WTR PRODS INC COM SER A	\$1,297 40	\$2,509 04	-\$1,211 64	\$0 00
8/19/2011	600 0000	MUELLER WTR PRODS INC COM SER A	\$1,332 99	\$2,561 54	-\$1,228 55	\$0 00
8/22/2011	525 0000	MUELLER WTR PRODS INC COM SER A	\$1,097 64	\$2,243 47	-\$1,145 83	\$0 00
8/23/2011	400 0000	MUELLER WTR PRODS INC COM SER A	\$832 50	\$1,727 28	-\$894 78	\$0 00
5/13/2011	175 0000	MYR GROUP INC DEL COM	\$4,579 22	\$2,918 42	\$1,660 80	\$0 00
5/16/2011	25 0000	MYR GROUP INC DEL COM	\$650 26	\$416 92	\$233 34	\$0 00
5/26/2011	50 0000	NCI BUILDING SYS INC COM NEW	\$530 20	\$613 36	-\$83 16	\$0 00
5/26/2011	75 0000	NCI BUILDING SYS INC COM NEW	\$791 51	\$921 23	-\$129 72	\$0 00
5/27/2011	125 0000	NCI BUILDING SYS INC COM NEW	\$1,317 60	\$1,574 45	-\$256 85	\$0 00
5/27/2011	25 0000	NCI BUILDING SYS INC COM NEW	\$263 62	\$316 97	-\$53 35	\$0 00
5/31/2011	50 0000	NCI BUILDING SYS INC COM NEW	\$524 87	\$633 94	-\$109 07	\$0 00
6/1/2011	75 0000	NCI BUILDING SYS INC COM NEW	\$796 69	\$950 91	-\$154 22	\$0 00
6/2/2011	200 0000	NCI BUILDING SYS INC COM NEW	\$2,166 53	\$2,568 97	-\$402 44	\$0 00
6/3/2011	225 0000	NCI BUILDING SYS INC COM NEW	\$2,433 26	\$2,823 10	-\$389 84	\$0 00
12/19/2011	2237198 0000	NEUBERGER BERMAN LARGE CAP	\$731,787 61	\$0 00	\$0 00	\$731,787 61
12/7/2011	75 0000	NORTHWESTERN CORP COM NEW	\$2,636 31	\$2,200 59	\$0 00	\$435 72
12/8/2011	50 0000	NORTHWESTERN CORP COM NEW	\$1,759 95	\$1,467 06	\$0 00	\$292 89
12/9/2011	75 0000	NORTHWESTERN CORP COM NEW	\$2,632 67	\$2,200 60	\$0 00	\$432 07
12/12/2011	50 0000	NORTHWESTERN CORP COM NEW	\$1,727 56	\$1,466 17	\$0 00	\$261 39
12/12/2011	25 0000	NORTHWESTERN CORP COM NEW	\$861 78	\$733 08	\$0 00	\$128 70
12/13/2011	50 0000	NORTHWESTERN CORP COM NEW	\$1,689 61	\$1,479 49	\$0 00	\$210 12
12/13/2011	100 0000	NORTHWESTERN CORP COM NEW	\$3,395 05	\$2,959 99	\$0 00	\$435 06
12/14/2011	225 0000	NORTHWESTERN CORP COM NEW	\$7,649 76	\$6,624 23	\$0 00	\$1,025 53
12/15/2011	25 0000	NORTHWESTERN CORP COM NEW	\$843 60	\$736 02	\$0 00	\$107 58
12/15/2011	50 0000	NORTHWESTERN CORP COM NEW	\$1,690 29	\$1,472 05	\$0 00	\$218 24
12/16/2011	225 0000	NORTHWESTERN CORP COM NEW	\$7,705 78	\$6,598 29	\$0 00	\$1,107 49
12/16/2011	175 0000	NORTHWESTERN CORP COM NEW	\$5,989 48	\$5,143 12	\$0 00	\$846 36
12/19/2011	125 0000	NORTHWESTERN CORP COM NEW	\$4,209 47	\$3,663 33	\$0 00	\$546 14
5/9/2011	125 0000	OASIS PETE INC NEW COM	\$3,441 34	\$2,169 37	\$1,271 97	\$0 00
5/10/2011	325 0000	OASIS PETE INC NEW COM	\$8,787 08	\$5,625 00	\$3,162 08	\$0 00
8/11/2011	225 0000	OASIS PETE INC NEW COM	\$5,005 47	\$3,903 27	\$254 65	\$847 55
5/3/2011	450 0000	OIL STATES INTERNATIONAL INC COM	\$36,157 62	\$15,282 46	\$2,855 49	\$18,019 67
5/3/2011	25 0000	OIL STATES INTERNATIONAL INC COM	\$2,010 38	\$640 53	\$0 00	\$1,369 85
5/9/2011	175 0000	OIL STATES INTERNATIONAL INC COM	\$13,683 41	\$7,188 69	\$6,494 72	\$0 00
5/10/2011	75 0000	OIL STATES INTERNATIONAL INC COM	\$5,785 12	\$3,080 86	\$2,704 26	\$0 00
8/11/2011	25 0000	OIL STATES INTERNATIONAL INC COM	\$1,711 41	\$1,026 96	\$0 00	\$684 45
5/26/2011	400 0000	PACKAGING CORP OF AMERICA COM	\$11,306 78	\$7,760 45	\$0 00	\$3,546 33
5/26/2011	400 0000	PACKAGING CORP OF AMERICA COM	\$11,312 02	\$9,054 97	\$0 00	\$2,257 05
5/27/2011	100 0000	PACKAGING CORP OF AMERICA COM	\$2,826 95	\$2,263 74	\$0 00	\$563 21
5/27/2011	100 0000	PACKAGING CORP OF AMERICA COM	\$2,831 38	\$2,279 22	\$0 00	\$552 16
5/31/2011	325 0000	PACKAGING CORP OF AMERICA COM	\$9,241 09	\$7,506 57	\$0 00	\$1,734 52
6/1/2011	225 0000	PACKAGING CORP OF AMERICA COM	\$6,408 95	\$5,065 04	\$0 00	\$1,343 91
6/2/2011	250 0000	PACKAGING CORP OF AMERICA COM	\$7,160 21	\$6,103 76	\$0 00	\$1,056 45
6/20/2011	275 0000	PACKAGING CORP OF AMERICA COM	\$7,673 83	\$6,274 47	\$0 00	\$1,399 36
6/20/2011	50 0000	PACKAGING CORP OF AMERICA COM	\$1,446 49	\$1,083 17	\$0 00	\$363 32
6/21/2011	100 0000	PACKAGING CORP OF AMERICA COM	\$2,708 66	\$2,019 98	\$0 00	\$688 68
6/22/2011	125 0000	PACKAGING CORP OF AMERICA COM	\$3,360 33	\$2,621 89	\$237 22	\$501 22
12/13/2011	200 0000	PACKAGING CORP OF AMERICA COM	\$4,959 50	\$4,424 89	\$0 00	\$534 61
12/14/2011	175 0000	PACKAGING CORP OF AMERICA COM	\$4,328 85	\$3,870 98	\$0 00	\$457 87
12/14/2011	350 0000	PACKAGING CORP OF AMERICA COM	\$8,678 12	\$7,741 97	\$0 00	\$936 15
12/15/2011	50 0000	PACKAGING CORP OF AMERICA COM	\$1,215 42	\$1,106 00	\$0 00	\$109 42
12/16/2011	175 0000	PACKAGING CORP OF AMERICA COM	\$4,242 55	\$3,870 98	\$0 00	\$371 57
8/10/2011	875 0000	PEP BOYS MANNY MOE & JACK COM	\$8,072 41	\$10,947 47	-\$2,875 06	\$0 00
8/11/2011	325 0000	PEP BOYS MANNY MOE & JACK COM	\$2,866 83	\$3,704 13	-\$837 30	\$0 00
8/12/2011	825 0000	PEP BOYS MANNY MOE & JACK COM	\$7,184 70	\$9,403 27	-\$2,218 57	\$0 00
8/15/2011	475 0000	PEP BOYS MANNY MOE & JACK COM	\$4,155 40	\$5,175 03	-\$1,019 63	\$0 00
5/25/2011	200 0000	PHILLIPS VAN HEUSEN CORP COM	\$13,172 46	\$9,431 56	\$3,740 90	\$0 00
5/26/2011	175 0000	PHILLIPS VAN HEUSEN CORP COM	\$11,444 97	\$8,299 15	\$3,145 82	\$0 00
8/15/2011	175 0000	PROSPERITY BANCSHARES INC COM	\$6,624 88	\$6,114 96	\$0 00	\$509 92
8/15/2011	225 0000	PROSPERITY BANCSHARES INC COM	\$8,408 17	\$7,826 65	\$0 00	\$581 52
8/16/2011	25 0000	PROSPERITY BANCSHARES INC COM	\$921 80	\$853 81	\$0 00	\$67 99
8/16/2011	200 0000	PROSPERITY BANCSHARES INC COM	\$7,357 23	\$6,830 48	\$0 00	\$526 75

8/17/2011	50 0000	PROSPERITY BANCSHARES INC COM	\$1,856 62	\$1,707 62	\$0 00	\$149 00
8/18/2011	100 0000	PROSPERITY BANCSHARES INC COM	\$3,745 32	\$3,415 24	\$0 00	\$330 08
8/22/2011	175 0000	PVH CORP COM	\$10,596 50	\$8,285 57	\$0 00	\$2,310 93
8/23/2011	150 0000	PVH CORP COM	\$8,350 96	\$6,946 42	\$0 00	\$1,404 54
8/24/2011	150 0000	PVH CORP COM	\$7,888 83	\$6,834 90	\$0 00	\$1,053 93
10/27/2011	75 0000	PVH CORP COM	\$5,523 08	\$3,432 43	\$0 00	\$2,090 65
10/27/2011	200 0000	PVH CORP COM	\$14,632 36	\$9,187 96	\$0 00	\$5,444 40
10/28/2011	150 0000	PVH CORP COM	\$10,784 42	\$7,405 22	\$0 00	\$3,379 20
10/28/2011	25 0000	PVH CORP COM	\$1,798 34	\$1,289 06	\$0 00	\$509 28
11/21/2011	150 0000	PVH CORP COM	\$10,358 28	\$7,400 72	\$212 80	\$2,744 76
11/21/2011	50 0000	PVH CORP COM	\$3,457 77	\$3,027 15	\$430 62	\$0 00
11/23/2011	100 0000	PVH CORP COM	\$6,589.85	\$6,054 31	\$535 54	\$0 00
11/25/2011	250 0000	PVH CORP COM	\$15,850 94	\$15,135 78	\$715 16	\$0 00
5/9/2011	175 0000	RESOLUTE ENERGY CORP COM	\$3,003 82	\$2,171 51	\$0 00	\$832 31
5/10/2011	500 0000	RESOLUTE ENERGY CORP COM	\$8,311 14	\$6,393 51	\$1,259 44	\$658 19
8/11/2011	100 0000	RESOLUTE ENERGY CORP COM	\$1,357 26	\$1,251 53	\$0 00	\$105 73
8/11/2011	150 0000	RESOLUTE ENERGY CORP COM	\$1,999 51	\$1,877 29	\$0 00	\$122 22
8/19/2011	275 0000	RESOLUTE ENERGY CORP COM	\$3,673 62	\$3,441 71	\$0 00	\$231 91
8/19/2011	125 0000	RESOLUTE ENERGY CORP COM	\$1,667 84	\$1,564 41	\$0 00	\$103 43
8/19/2011	50 0000	RESOLUTE ENERGY CORP COM	\$668 54	\$625 77	\$0 00	\$42 77
8/22/2011	175 0000	RESOLUTE ENERGY CORP COM	\$2,330 41	\$2,190 18	\$0 00	\$140 23
8/22/2011	50 0000	RESOLUTE ENERGY CORP COM	\$665 09	\$625 76	\$0 00	\$39 33
8/23/2011	200 0000	RESOLUTE ENERGY CORP COM	\$2,560 13	\$2,503 06	\$0 00	\$57 07
9/27/2011	150 0000	RESOLUTE ENERGY CORP COM	\$1,765 38	\$1,877 30	\$0 00	-\$111 92
9/27/2011	100 0000	RESOLUTE ENERGY CORP COM	\$1,167 26	\$1,233 03	\$0 00	-\$65 77
9/27/2011	75 0000	RESOLUTE ENERGY CORP COM	\$882 06	\$928 88	\$0 00	-\$46 82
9/28/2011	100 0000	RESOLUTE ENERGY CORP COM	\$1,170 19	\$1,169 72	\$12 74	-\$12 27
9/28/2011	275 0000	RESOLUTE ENERGY CORP COM	\$3,221 25	\$3,325 39	\$0 00	-\$104 14
9/29/2011	525 0000	RESOLUTE ENERGY CORP COM	\$5,995 80	\$6,169 52	-\$173 72	\$0 00
9/29/2011	100 0000	RESOLUTE ENERGY CORP COM	\$1,151 57	\$1,205 46	-\$53 89	\$0 00
9/30/2011	650 0000	RESOLUTE ENERGY CORP COM	\$7,793 35	\$8,238 50	-\$445 15	\$0 00
7/26/2011	300 0000	S&P NORTH AMERICAN NATURAL RESOURCES	\$13,768 29	\$7,717 96	\$0 00	\$6,050 33
7/26/2011	182320 0000	S&P NORTH AMERICAN NATURAL RESOURCES	\$8,387,853 35	\$4,690,464 59	\$0 00	\$3,697,388 76
11/1/2011	49700 0000	S&P NORTH AMERICAN NATURAL RESOURCES	\$2,021,260 17	\$1,278,609 54	\$0 00	\$742,650 63
10/27/2011	75 0000	SENSIENT TECHNOLOGIES CORP COM	\$2,763 61	\$1,906 01	\$0 00	\$857 60
10/27/2011	75 0000	SENSIENT TECHNOLOGIES CORP COM	\$2,752 12	\$1,906 01	\$0 00	\$846 11
10/28/2011	125 0000	SENSIENT TECHNOLOGIES CORP COM	\$4,461 27	\$3,137 20	\$0 00	\$1,324 07
10/31/2011	175 0000	SENSIENT TECHNOLOGIES CORP COM	\$6,223 31	\$4,469 79	\$0 00	\$1,753 52
12/8/2011	100 0000	SENSIENT TECHNOLOGIES CORP COM	\$3,738 68	\$2,620 17	\$0 00	\$1,118 51
12/9/2011	250 0000	SENSIENT TECHNOLOGIES CORP COM	\$9,261 32	\$6,784 61	\$0 00	\$2,476 71
12/12/2011	50 0000	SENSIENT TECHNOLOGIES CORP COM	\$1,826 23	\$1,446 17	\$0 00	\$380 06
6/27/2011	200 0000	SOLUTIA INC COM NEW	\$4,420 18	\$4,838 64	-\$418 46	\$0 00
6/27/2011	625 0000	SOLUTIA INC COM NEW	\$13,718 48	\$15,224 23	-\$1,505 75	\$0 00
6/28/2011	75 0000	SOLUTIA INC COM NEW	\$1,582 61	\$1,862 24	-\$279 63	\$0 00
7/14/2011	575 0000	SOLUTIA INC COM NEW	\$12,628 88	\$14,371 43	-\$1,742 55	\$0 00
7/14/2011	50 0000	SOLUTIA INC COM NEW	\$1,093 47	\$1,241 49	-\$148 02	\$0 00
7/14/2011	50 0000	SOLUTIA INC COM NEW	\$1,098 97	\$1,241 50	-\$142 53	\$0 00
7/15/2011	375 0000	SOLUTIA INC COM NEW	\$8,127 44	\$9,276 21	-\$1,148 77	\$0 00
12/7/2011	25 0000	SOUTH JERSEY INDUSTRIES INC COM	\$1,381 00	\$1,213 60	\$167 40	\$0 00
12/8/2011	50 0000	SOUTH JERSEY INDUSTRIES INC COM	\$2,771 99	\$2,444 35	\$327 64	\$0 00
12/12/2011	50 0000	SOUTH JERSEY INDUSTRIES INC COM	\$2,738 26	\$2,461 50	\$276 76	\$0 00
12/13/2011	25 0000	SOUTH JERSEY INDUSTRIES INC COM	\$1,342 30	\$1,188 86	\$153 44	\$0 00
12/13/2011	75 0000	SOUTH JERSEY INDUSTRIES INC COM	\$4,026 81	\$3,566 59	\$460 22	\$0 00
8/11/2011	100 0000	TECH DATA CORP COM	\$4,005 94	\$5,052 63	-\$1,046 69	\$0 00
8/12/2011	175 0000	TECH DATA CORP COM	\$6,946 51	\$8,866 69	-\$1,920 18	\$0 00
8/15/2011	525 0000	TECH DATA CORP COM	\$21,067 16	\$26,738 59	-\$5,671 43	\$0 00
9/14/2011	200 0000	TECH DATA CORP COM	\$8,998 97	\$10,370 92	-\$1,371 95	\$0 00
9/15/2011	175 0000	TECH DATA CORP COM	\$7,794 68	\$9,119 77	-\$1,325 09	\$0 00
9/27/2011	175 0000	TECH DATA CORP COM	\$7,856 49	\$8,546 07	-\$689 58	\$0 00
9/28/2011	125 0000	TECH DATA CORP COM	\$5,537 66	\$5,700 20	-\$162 54	\$0 00
9/29/2011	25 0000	TECH DATA CORP COM	\$1,106 82	\$1,141 92	-\$35 10	\$0 00
12/19/2011	699047 0000	TEMPLETON GLOBAL BOND FUND-AD #616	\$55,224 76	\$0 00	\$0 00	\$55,224 76
8/12/2011	725 0000	TENNECO INC	\$22,149 33	\$15,341 05	\$0 00	\$6,808 28
8/12/2011	25 0000	TENNECO INC	\$753 78	\$527 14	\$0 00	\$226 64
8/25/2011	275 0000	TENNECO INC	\$7,958 62	\$5,798 54	\$0 00	\$2,160 08
8/26/2011	325 0000	TENNECO INC	\$9,371 70	\$6,852 82	\$0 00	\$2,518 88
10/5/2011	125 0000	TENNECO INC	\$3,334 45	\$2,675 73	\$0 00	\$658 72
10/6/2011	250 0000	TENNECO INC	\$6,362 68	\$5,671 70	\$0 00	\$690 98
10/7/2011	225 0000	TENNECO INC	\$5,386 73	\$6,275 10	-\$478 66	-\$409 71
11/2/2011	275 0000	TENNECO INC	\$9,076 31	\$11,975 76	-\$2,899 45	\$0 00
11/25/2011	350 0000	TENNECO INC	\$9,116 73	\$14,219 42	-\$5,102 69	\$0 00
11/28/2011	225 0000	TENNECO INC	\$5,877 67	\$9,241 77	-\$3,364.10	\$0 00
6/7/2011	75 0000	THE SCOTTS MIRACLE-GRO COMPANY	\$4,192 05	\$1,710 14	\$0 00	\$2,481 91
6/8/2011	200 0000	THE SCOTTS MIRACLE-GRO COMPANY	\$11,100 35	\$5,791 61	\$0 00	\$5,308 74
6/8/2011	75 0000	THE SCOTTS MIRACLE-GRO COMPANY	\$4,153 42	\$2,999 07	\$0 00	\$1,154 35
6/9/2011	75 0000	THE SCOTTS MIRACLE-GRO COMPANY	\$3,996 39	\$2,975 69	\$0 00	\$1,020 70
6/9/2011	25 0000	THE SCOTTS MIRACLE-GRO COMPANY	\$1,312 43	\$985 14	\$0 00	\$327 29
5/10/2011	800 0000	THOMPSON CREEK METALS CO INC COM	\$9,021 27	\$7,053 54	\$1,967 73	\$0 00
5/11/2011	175 0000	THOMPSON CREEK METALS CO INC COM	\$2,003 71	\$1,537.06	\$466 65	\$0 00

5/11/2011	750 0000 THOMPSON CREEK METALS CO INC COM	\$8,492 77	\$6,850 58	\$1,642 19	\$0 00
5/12/2011	100 0000 THOMPSON CREEK METALS CO INC COM	\$1,102 11	\$913 41	\$188 70	\$0 00
5/12/2011	1850 0000 THOMPSON CREEK METALS CO INC COM	\$19,948 54	\$17,305 63	\$2,642 91	\$0 00
5/13/2011	100 0000 THOMPSON CREEK METALS CO INC COM	\$1,100 13	\$1,095 79	\$4 34	\$0 00
5/16/2011	650 0000 THOMPSON CREEK METALS CO INC COM	\$6,964 43	\$7,652 28	-\$687 85	\$0 00
5/17/2011	1175 0000 THOMPSON CREEK METALS CO INC COM	\$12,592 82	\$16,365 92	-\$3,773 10	\$0 00
6/7/2011	25 0000 TOWERS WATSON & CO CL A	\$1,544 64	\$1,428 51	\$0 00	\$116 13
6/8/2011	25 0000 TOWERS WATSON & CO CL A	\$1,527 60	\$1,069 79	\$0 00	\$457 81
6/8/2011	150 0000 TOWERS WATSON & CO CL A	\$9,169 83	\$8,554 16	\$0 00	\$615 67
6/9/2011	125.0000 TOWERS WATSON & CO CL A	\$7,645 11	\$5,348 95	\$0 00	\$2,296 16
6/9/2011	25 0000 TOWERS WATSON & CO CL A	\$1,527 22	\$1,069 79	\$0 00	\$457 43
6/10/2011	150 0000 TOWERS WATSON & CO CL A	\$9,025 40	\$6,163 71	\$0 00	\$2,861 69
6/10/2011	50 0000 TOWERS WATSON & CO CL A	\$3,049 97	\$2,139 58	\$0 00	\$910 39
6/13/2011	50 0000 TOWERS WATSON & CO CL A	\$2,993 11	\$2,012 07	\$0 00	\$981 04
6/14/2011	150 0000 TOWERS WATSON & CO CL A	\$8,831 92	\$7,166 02	\$0 00	\$1,665 90
6/15/2011	25 0000 TOWERS WATSON & CO CL A	\$1,469 18	\$1,311 70	\$0 00	\$157 48
9/14/2011	675 0000 TRIQUINT SEMICONDUCTOR INC COM	\$4,200 51	\$4,161 24	\$0 00	\$39 27
9/14/2011	1050 0000 TRIQUINT SEMICONDUCTOR INC COM	\$6,603 43	\$6,473 04	\$0 00	\$130 39
9/15/2011	600 0000 TRIQUINT SEMICONDUCTOR INC COM	\$3,630 71	\$4,103 97	-\$419 29	-\$53 97
9/15/2011	750 0000 TRIQUINT SEMICONDUCTOR INC COM	\$4,501 64	\$8,104 16	-\$3,602 52	\$0 00
9/16/2011	100 0000 TRIQUINT SEMICONDUCTOR INC COM	\$598 76	\$1,318 73	-\$719 97	\$0 00
9/16/2011	450 0000 TRIQUINT SEMICONDUCTOR INC COM	\$2,683 74	\$5,933 73	-\$3,249 99	\$0 00
9/19/2011	100 0000 TRIQUINT SEMICONDUCTOR INC COM	\$597 98	\$1,271 80	-\$673 82	\$0 00
9/19/2011	1025 0000 TRIQUINT SEMICONDUCTOR INC COM	\$6,050 45	\$12,846 40	-\$6,795 95	\$0 00
9/20/2011	750 0000 TRIQUINT SEMICONDUCTOR INC COM	\$4,525 33	\$6,966 25	-\$2,440 92	\$0 00
9/21/2011	300 0000 TRIQUINT SEMICONDUCTOR INC COM	\$1,792 46	\$2,403 15	-\$610 69	\$0 00
9/21/2011	175 0000 TRIQUINT SEMICONDUCTOR INC COM	\$1,046 47	\$1,401 84	-\$355 37	\$0 00
9/22/2011	188 0000 TRIQUINT SEMICONDUCTOR INC COM	\$1,060 29	\$1,505 97	-\$445 68	\$0 00
9/22/2011	887 0000 TRIQUINT SEMICONDUCTOR INC COM	\$5,051 01	\$6,891 64	-\$1,840 63	\$0 00
6/8/2011	125 0000 TYLER TECHNOLOGIES INC COM	\$3,122 49	\$1,916 84	\$0 00	\$1,205 65
6/9/2011	100 0000 TYLER TECHNOLOGIES INC COM	\$2,497 91	\$1,688 94	\$0 00	\$808 97
6/10/2011	75 0000 TYLER TECHNOLOGIES INC COM	\$1,855 99	\$1,286 31	\$0 00	\$569 68
6/13/2011	200 0000 TYLER TECHNOLOGIES INC COM	\$4,894 73	\$3,456 32	\$0 00	\$1,438 41
6/14/2011	200 0000 TYLER TECHNOLOGIES INC COM	\$4,895 19	\$3,418 70	\$0 00	\$1,476 49
6/14/2011	225 0000 TYLER TECHNOLOGIES INC COM	\$5,503 84	\$3,845 99	\$0 00	\$1,657 85
6/15/2011	250 0000 TYLER TECHNOLOGIES INC COM	\$6,059 08	\$3,805 00	\$2,254 08	\$0 00
6/15/2011	150 0000 TYLER TECHNOLOGIES INC COM	\$3,630 43	\$2,283 00	\$1,347 43	\$0 00
6/16/2011	75 0000 TYLER TECHNOLOGIES INC COM	\$1,820 81	\$1,141 50	\$679 31	\$0 00
6/16/2011	150 0000 TYLER TECHNOLOGIES INC COM	\$3,638 32	\$2,283 00	\$1,355 32	\$0 00
6/17/2011	100 0000 TYLER TECHNOLOGIES INC COM	\$2,449 00	\$1,522 00	\$927 00	\$0 00
6/20/2011	100 0000 TYLER TECHNOLOGIES INC COM	\$2,424 99	\$1,530 09	\$894 90	\$0 00
6/21/2011	150 0000 TYLER TECHNOLOGIES INC COM	\$3,605 72	\$2,426 83	\$1,178 89	\$0 00
6/22/2011	125.0000 TYLER TECHNOLOGIES INC COM	\$3,007 78	\$2,093 13	\$914 65	\$0 00
7/1/2011	50 0000 TYLER TECHNOLOGIES INC COM	\$1,356 21	\$844 21	\$512 00	\$0 00
7/1/2011	150 0000 TYLER TECHNOLOGIES INC COM	\$4,061 94	\$2,588 55	\$1,473 39	\$0 00
7/12/2011	75 0000 TYLER TECHNOLOGIES INC COM	\$2,053 37	\$1,482 71	\$570 66	\$0 00
7/12/2011	25 0000 TYLER TECHNOLOGIES INC COM	\$684 48	\$527 21	\$157 27	\$0 00
7/13/2011	245 0000 TYLER TECHNOLOGIES INC COM	\$6,612 02	\$5,393 36	\$1,218 66	\$0 00
7/13/2011	5 0000 TYLER TECHNOLOGIES INC COM	\$134 97	\$112 56	\$22 41	\$0 00
5/9/2011	25 0000 UNIT CORP COM	\$1,404 72	\$1,114 48	\$290 24	\$0 00
5/9/2011	25 0000 UNIT CORP COM	\$1,401 60	\$1,114 48	\$287 12	\$0 00
5/10/2011	475 0000 UNIT CORP COM	\$25,782 31	\$19,234 80	\$6,547 51	\$0 00
9/27/2011	100 0000 UNIT CORP COM	\$4,033 46	\$4,150 85	\$0 00	-\$117 39
9/27/2011	25 0000 UNIT CORP COM	\$1,019 84	\$1,037 71	\$0 00	-\$17 87
9/28/2011	75 0000 UNIT CORP COM	\$2,826 04	\$3,113 14	\$0 00	-\$287 10
9/28/2011	50 0000 UNIT CORP COM	\$1,968 36	\$2,075 42	\$0 00	-\$107 06
9/29/2011	250 0000 UNIT CORP COM	\$9,426 80	\$10,377 13	\$0 00	-\$950 33
6/27/2011	150 0000 UNITED RENTALS INC COM	\$3,676 05	\$4,498 34	-\$822 29	\$0 00
6/27/2011	325 0000 UNITED RENTALS INC COM	\$7,912 52	\$9,580 21	-\$1,667 69	\$0 00
6/28/2011	450 0000 UNITED RENTALS INC COM	\$10,617 46	\$14,051 13	-\$3,433 67	\$0 00
6/21/2011	75 0000 VALMONT INDS INC COM	\$6,721 46	\$6,416 16	\$0 00	\$305 30
6/22/2011	150 0000 VALMONT INDS INC COM	\$13,453.69	\$12,358 02	\$0 00	\$1,095 67
6/23/2011	100 0000 VALMONT INDS INC COM	\$8,929 30	\$7,907 92	\$0 00	\$1,021 38
6/24/2011	75 0000 VALMONT INDS INC COM	\$6,706 79	\$5,787 44	\$0 00	\$919 35
12/22/2011	144752 0000 VAN ECK GLOBAL HARD ASSETS FUND CL I	\$35,174 91	\$0 00	\$0 00	\$35,174 91
10/28/2011	366972 4770 VANGUARD TOTAL BD MKT IDX FD #222	\$4,000,000 00	\$3,977,981 65	\$0 00	\$22,018.35
12/23/2011	1851322 0000 VANGUARD TOTAL BD MKT IDX FD #222	\$37,026 46	\$0 00	\$37,026 46	\$0 00
12/23/2011	1851322 0000 VANGUARD TOTAL BD MKT IDX FD #222	\$57,391 00	\$0 00	\$0 00	\$57,391 00
8/10/2011	400.0000 WADDELL & REED FINL INC CL A	\$12,856 07	\$11,085 03	\$1,771 04	\$0 00
8/10/2011	350 0000 WADDELL & REED FINL INC CL A	\$11,341 64	\$10,209 86	\$1,131 78	\$0 00
8/12/2011	150 0000 WADDELL & REED FINL INC CL A	\$4,442 16	\$4,699.37	-\$257 21	\$0 00
8/22/2011	200 0000 WADDELL & REED FINL INC CL A	\$6,266 84	\$6,265.82	\$1 02	\$0 00
8/23/2011	450 0000 WADDELL & REED FINL INC CL A	\$12,845 45	\$14,098 09	-\$1,252.64	\$0 00
8/24/2011	250 0000 WADDELL & REED FINL INC CL A	\$6,984 62	\$7,832 28	-\$847 66	\$0 00
8/25/2011	25 0000 WADDELL & REED FINL INC CL A	\$688 94	\$783 23	-\$94 29	\$0 00
9/29/2011	175 0000 WADDELL & REED FINL INC CL A	\$4,493 05	\$5,604 59	-\$1,111 54	\$0 00
9/29/2011	300 0000 WADDELL & REED FINL INC CL A	\$7,818 24	\$9,166 45	-\$1,348 21	\$0 00
9/30/2011	700 0000 WADDELL & REED FINL INC CL A	\$19,680 82	\$24,824 31	-\$5,143 49	\$0 00
8/15/2011	300 0000 WASHINGTON FEDERAL INC COM	\$4,345 98	\$4,956 11	\$0 00	-\$610 13

8/15/2011	250 0000 WASHINGTON FEDERAL INC COM	\$3,631 88	\$4,220 53	\$0 00	-\$588 65
8/16/2011	700 0000 WASHINGTON FEDERAL INC COM	\$10,234 85	\$13,104 85	\$0 00	-\$2,870 00
5/26/2011	25 0000 WASTE CONNECTIONS INC COM	\$786 73	\$591 44	\$195 29	\$0 00
5/26/2011	150 0000 WASTE CONNECTIONS INC COM	\$4,689 74	\$3,549 28	\$338 53	\$801 93
5/27/2011	275 0000 WASTE CONNECTIONS INC COM	\$8,564 05	\$6,505 80	\$2,058 25	\$0 00
5/27/2011	25 0000 WASTE CONNECTIONS INC COM	\$778 90	\$591 44	\$187 46	\$0 00
8/5/2011	225 0000 WASTE CONNECTIONS INC COM	\$7,198 74	\$5,322 93	\$0 00	\$1,875 81
8/5/2011	300 0000 WASTE CONNECTIONS INC COM	\$9,495 56	\$7,097 24	\$0 00	\$2,398 32
8/10/2011	425 0000 WASTE CONNECTIONS INC COM	\$13,073 98	\$10,054 42	\$0 00	\$3,019 56
8/11/2011	125 0000 WASTE CONNECTIONS INC COM	\$3,750 78	\$2,957 18	\$0 00	\$793 60
8/12/2011	150 0000 WASTE CONNECTIONS INC COM	\$4,483 99	\$3,548 62	\$0 00	\$935 37
8/16/2011	475 0000 WASTE CONNECTIONS INC COM	\$14,467 32	\$11,342 38	\$0 00	\$3,124 94
8/18/2011	150 0000 WASTE CONNECTIONS INC COM	\$4,735 98	\$3,740 83	\$0 00	\$995 15
8/19/2011	150 0000 WASTE CONNECTIONS INC COM	\$4,684 71	\$3,751 95	\$0 00	\$932 76
8/19/2011	50 0000 WASTE CONNECTIONS INC COM	\$1,565 47	\$1,246 94	\$0 00	\$318 53
7/22/2011	1453488 3720 WELLS FARGO ADVANTAGE C&B LARGE CAP	\$12,500,000 00	\$13,197,947 60	\$0 00	-\$697,947 60
10/28/2011	241254 5240 WELLS FARGO ADVANTAGE C&B LARGE CAP	\$2,000,000 00	\$2,432,404 47	\$0 00	-\$432,404 47
5/20/2011	125 0000 WESCO INTERNATIONAL INC COM	\$6,637 45	\$4,334 79	\$0 00	\$2,302 66
5/20/2011	75 0000 WESCO INTERNATIONAL INC COM	\$3,965 02	\$2,572 25	\$0 00	\$1,392 77
5/23/2011	225 0000 WESCO INTERNATIONAL INC COM	\$12,045 88	\$8,049 82	\$0 00	\$3,996 06
5/25/2011	150 0000 WOLVERINE WORLD WIDE INC COM	\$5,794 20	\$4,225 10	\$630 40	\$938 70
5/26/2011	100 0000 WOLVERINE WORLD WIDE INC COM	\$3,821 56	\$2,601 99	\$1,219 57	\$0 00
5/27/2011	50 0000 WOLVERINE WORLD WIDE INC COM	\$1,899 60	\$1,300 99	\$598 61	\$0 00
5/27/2011	200 0000 WOLVERINE WORLD WIDE INC COM	\$7,607 77	\$5,203 98	\$2,403 79	\$0 00
5/31/2011	100 0000 WOLVERINE WORLD WIDE INC COM	\$3,793 49	\$2,601 99	\$1,191 50	\$0 00
6/1/2011	100 0000 WOLVERINE WORLD WIDE INC COM	\$3,820 91	\$2,601 99	\$1,218 92	\$0 00
8/24/2011	125 0000 WOLVERINE WORLD WIDE INC COM	\$3,913 48	\$3,252 49	\$0 00	\$660 99
8/25/2011	125 0000 WOLVERINE WORLD WIDE INC COM	\$3,942 58	\$3,353 99	\$0 00	\$588 59
8/26/2011	50 0000 WOLVERINE WORLD WIDE INC COM	\$1,618.21	\$1,341 59	\$0 00	\$276 62
8/26/2011	175 0000 WOLVERINE WORLD WIDE INC COM	\$5,766 03	\$4,695 58	\$0 00	\$1,070 45
SUBTOTAL				\$733,945 00	\$5,585,361 00
FIRST NATIONAL BANK SECURITIES - FUNDS IN TRANSIT					\$11,080 00
GAIN FROM PUBLICLY TRADED SECURITIES				\$733,945 00	\$5,596,441 00
ALTERNATIVE INVESTMENTS					
ACADIAN					1,563,430 00
COMMONFUND					(31 00)
CROWN GLOBAL					57,999 00
EUROPEAN STRATEGIC PARTNERS					3,326 00
GOLDMAN SACHS IV					240,039 00
MONDRIAN					837,027 00
LEHMAN					276,691 00
LEHMAN II					556,129 00
NORTHGATE IV					86,995 00
PARK STREET III					82,950 00
PARK STREET VIII					164,535 00
PORTFOLIO ADVISORS III					343,465 00
PORTFOLIO ADVISORS IV					296,430 00
PORTFOLIO ADVISORS V					156,576 00
PORTFOLIO ADVISORS VI					7,417 00
QUELLOS					\$146,176 00
RREEF					\$404,950 00
STATE STREET					\$1,405 00
				\$733,945 00	\$10,821,950 00
TOTAL GAIN ON INVESTMENTS					\$11,555,895 00

*Supporting families.
Building communities.*



IOWA WEST
FOUNDATION

Annual Report 2011

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print

File by the
due date for
filing your
return. See
instructions.

Name of exempt organization or other filer, see instructions

IOWA WEST FOUNDATION

Employer identification number (EIN) or

☒ 42-1391990

Number, street, and room or suite no. If a P.O. box, see instructions

25 MAIN PLACE

☐ Social security number (SSN) =

City, town or post office, state, and ZIP code For a foreign address, see instructions

COUNCIL BLUFFS, IA 51503

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► MR. J. TODD GRAHAM

Telephone No. ► 712 325-3133

FAX No. ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

► ☒ calendar year 20 11 or

► ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____.

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 265,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 305,637.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)

The Iowa West Foundation is committed to improving lives and strengthening communities for today and future generations. We do this by providing leadership, creating partnerships, leveraging resources and serving as a catalyst in identifying and supporting community needs. We have a special interest in community development and beautification, economic development, education, and human and social needs.

Through our grants and initiatives, we strive to help foster thriving communities where families choose to live, and where businesses choose to locate. Since our inception, we're proud to have awarded more than \$285 million in grants that have improved the quality of life of thousands of our neighbors in over 100 southwestern Iowa and eastern Nebraska communities.

As the new President and CEO of the Iowa West Foundation, it is an honor to share with you the significant achievements of the Foundation during the past year. While my tenure with the Foundation is still quite new, I am thrilled to have the opportunity to lead an organization with such an exemplary record of serving the community. It is with great excitement that I look forward to serving both the Foundation and the region, continuing the Foundation's commitment to high standards, exploring new opportunities and providing philanthropic leadership for our community.



Certainly, 2011 provided many challenges for the Heartland. The epic flooding of the Missouri River strained more than the physical and fiscal reserves of the community, it strained the emotions of everyone, from those who lost their homes and livelihoods, to those who worked so diligently to try and contain the water, as well as those who commuted hours to and from work around the flood zone. Working with the local American Red Cross Loess Hills Chapter, the Foundation made funds available to help with the recovery effort.



With the economy improved but not yet vibrant, the Foundation was proud to continue its long-term community development of revitalizing neighborhoods in Council Bluffs and Pottawattamie County. This five-year, \$725,000 Foundation commitment includes starting neighborhood associations. In addition, our commitment to the beautification of the city of Council Bluffs continued with work progressing on major roadways.

Access to education is a top priority for the Foundation, and our \$1.2 million grant for quality preschool programs throughout Pottawattamie County is an example of our commitment to our youngest students. We also completed year three of our six-year \$4.4 million commitment to fund the College Access Program which provides college preparation opportunities to promising Council Bluffs high school students.

The Foundation serves not only the youngest members but also the elderly in our community. In order to prioritize our mission to serve the human and social needs within our community, the Foundation provided funding for organizations such as Southwest 8 Senior Services to enhance the quality of life for southwest Iowa seniors by helping them maintain independence in their own homes.

From the disastrous flooding to the continued pinch of the lackluster economy, the Foundation was challenged to provide the needs of the underserved in our community. The many challenges provided ample opportunity for the Foundation to make a positive impact and work toward a more vibrant community. I look forward to the coming year and the challenges and opportunities of serving the region and our community.

Sincerely,

A handwritten signature in black ink, reading "Pete Tulipana".

Pete Tulipana
President and CEO

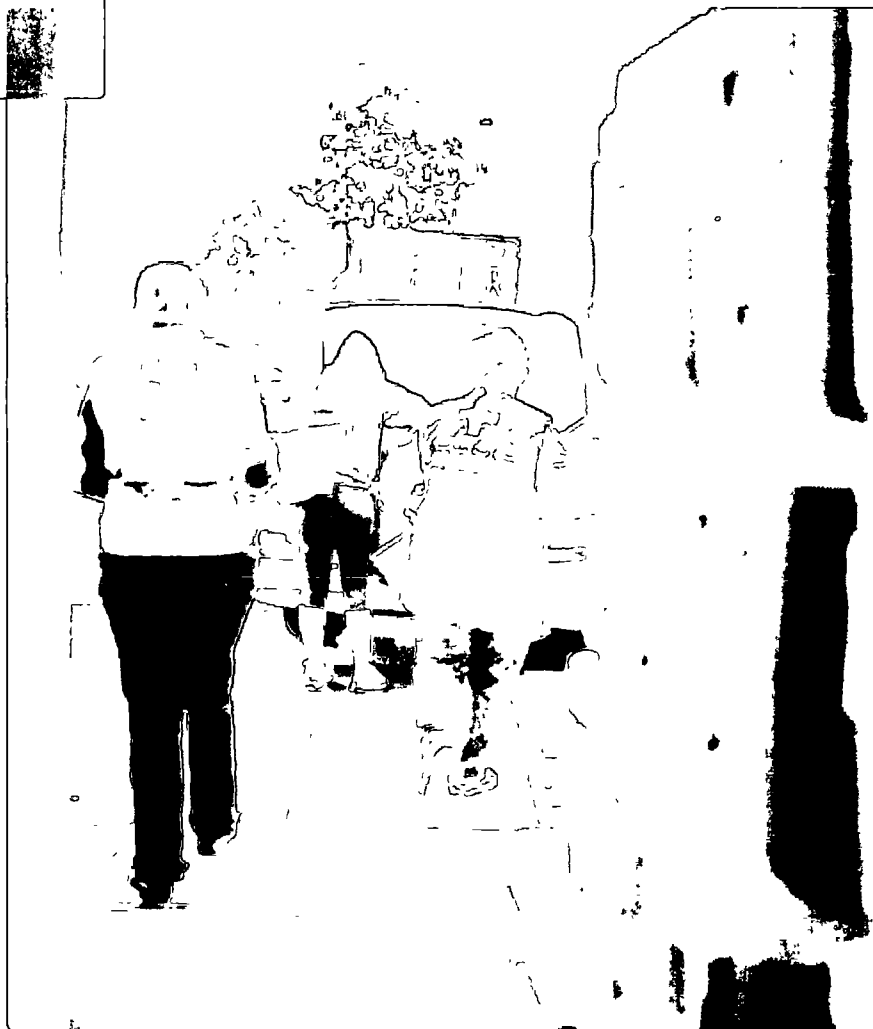
ATTACHMENT 20

Human and Social Needs

In 2011, the Iowa West Foundation rose to the challenge as unprecedented floodwaters caused many communities incredible hardship for thousands of residents.

When extremely heavy rains fell in the upper Missouri River basin during the spring of 2011, they combined with the melting of a twice-normal Rocky Mountain snowpack. With reservoirs filled to capacity, the water was released at record amounts from major dams along the river. It was the start of a disastrous summer in southwest Iowa and eastern Nebraska.

By June, many area communities were anxiously bracing for the Missouri's muddy onslaught behind countless miles of tenuous levees, some recently built from millions of hand-filled sandbags. Many levees were breached and local volunteers and charities jumped in valiantly. Through the American Red Cross Loess Hills Chapter, the Foundation made funds available in what was proving to be one of the region's biggest times of need.





Gerry Holmes/American Red Cross

Flood recovery assistance for families

American Red Cross

Loess Hills Chapter • \$515,000

At the Iowa West Foundation, one of the primary focus areas of our mission is to help meet human and social needs. Through our work we understand the many challenges nonprofit agencies like the Red Cross often face, especially in terms of disaster recovery. Given that the flooding destruction was so close to home and was affecting our fellow neighbors, we felt it was our duty to come forward in the recovery and award a \$515,000 grant to meet critical needs of families.

The grant funds—which helped the American Red Cross Loess Hills Chapter serve as the point organization in the recovery efforts—went towards interim housing, cleanup kits, sump pumps, dehumidifiers, and replenishment of canteen supplies, as well as seed money to establish a “Community Organization Active in Disaster,” an important mechanism used across the country for large recovery efforts.

General operating support

American Red Cross

Loess Hills Chapter • \$50,000

When the Foundation updated its funding guidelines in 2010 to allow grants to nonprofits for operations, little did we know that such a grant in early 2011 would be so needed. Organizations like local Red Cross chapters are faced with a challenging task when it comes to emergency fundraising, especially given the current economic climate. Fortunately, Foundation financial support was in place when the flood came.

While currently restricted to Iowa organizations located in Pottawattamie County (and typically limited to a total of six consecutive years), these grants can be used specifically for general expenses and operational support.

By meeting specific eligibility criteria and qualifying for the grant, the American Red Cross Loess Hills Chapter successfully demonstrated how the award would help it build organizational capacity. The \$50,000 grant played a key role in preparing the Red Cross to fight the flood. Moreover, the chapter demonstrated that its work advances the Foundation's mission “to improve lives and strengthen communities.”

Neighborhoods

Vibrant neighborhoods are vital for sustaining our communities' quality of life. The Iowa West Foundation is committed to community development projects that encourage neighborhood revitalization, beautification and diversity.

A lot goes into sustaining the vibrancy and quality of life in our neighborhoods. It takes neighborly pride, shared vision and goals, not to mention plain old hard work. It also demands the willingness to make ongoing investments in projects and initiatives that create sustainable opportunities and pay dividends through strengthened social capital. In 2011, the Foundation was able to continue supporting organizations that were making a difference in local neighborhoods.





The Neighborhood Center

As a result of the Foundation's five-year, \$725,000 commitment to a neighborhood initiative in Council Bluffs and Pottawattamie County back in 2008, the Neighborhood Center was able to open an office in Council Bluffs. The Neighborhood Center is a comprehensive, community-based organization that works to build capacity and strengthen neighborhoods in Douglas, Sarpy and Pottawattamie Counties.

Through Iowa West funding, the Neighborhood Center has been successful in helping establish and assist neighborhood associations, as well as bringing associations together to develop their own leadership and decision-making structure. A grassroots effort, neighborhood associations serve an important role in local problem solving, as well as a way for neighbors to know, care and celebrate together.

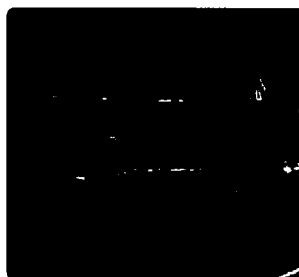
We're excited by and proud of all the hard work neighborhood residents contribute to make our communities better, safer and more enjoyable places to live and work.

Neighborhood recovery

Community Housing
Investment Corporation • \$44,000

Serving Council Bluffs since 1971, the Community Housing Investment Corporation (CHIC) is a nonprofit organization that provides affordable housing opportunities in the community. Grants from the Iowa West Foundation help CHIC acquire blighted property and build new affordable family housing in its place.

One recently completed project on Wenwood Circle in Council Bluffs turned a failed pool area from a blight and safety hazard for that neighborhood into two new homes for families. It was a valuable hands-on learning experience for students in the Iowa Western Community College Construction Technology program, and benefitted the city and county through the increased tax base.



Before

Since its inception, CHIC has built nearly 350 new homes to help revitalize and stabilize neighborhoods—and the Iowa West Foundation's grant of \$44,000 in 2011 added to CHIC's progress.



After

Economic Development



Downtown revitalization and redevelopment fund

Pottawattamie County
Development Corporation • \$1,432,500

Through its grantmaking, the Iowa West Foundation serves as a catalyst that enables public and nonprofit organizations to effectively plan and manage economic development within their communities.

We're committed to helping boost the standard of living, economic competitiveness and tax base of the communities we serve. Whether that's through funding programs to expand job opportunities or to support operations in nonprofit organizations such as the Pottawattamie County Development Corporation (PCDC), the Foundation has been able to enhance organizations that are seeing great success.

Since PCDC's founding in 1997, it has focused on preparing underutilized property for development. We're pleased that Iowa West grants over the years have helped it in many successes, including the 1884 Creston House, the Artspace Harvester Lofts, the original Daily Nonpareil building, and the Hughes-Irons building, among others

PCDC continues its work by awarding storefront grants to assist commercial and mixed use property owners in the business district to renovate building exteriors and modernize second story residences.

We're confident our \$1.4 million grant to PCDC's downtown fund will spark other opportunities to stimulate growth, redevelopment and revitalization in downtown Council Bluffs.



HomeTown Competitiveness

Heartland Center for Leadership
Development • \$400,000

As part of its focus on providing practical resources and public policy support for rural communities, the Heartland Center for Leadership Development is continuing to implement a HomeTown Competitiveness strategic plan in Pottawattamie County. The multi-year initiative focuses on leadership development, entrepreneurship, youth engagement and community endowment building.

Recently more than 80 Pottawattamie County residents from communities including Avoca, Neola, Oakland, Carson, Macedonia, Shelby, Treynor, and Underwood were involved in

community-level "study circles." Each group discussed community connections, financial stress issues, community strengths and a vision for the future. Participants then identified several short-term projects they could implement to affect positive change in their areas.

The Foundation's \$400,000 grant is helping to rekindle optimism in rural Pottawattamie County by leading residents in the dozen participating municipalities to locally drive community-oriented strategies that offer promise for long-term sustainability.

***Iowa West Foundation
Grant Funding***

*Community
Development
& Beautification*

*Human and
Social Needs*

Education

*Economic
Development*

ATTACHMENT 20

Since its inception the Iowa West Foundation's grant program has awarded more than \$285 million that has improved the quality of life of thousands of citizens in 100 area communities in southwest Iowa and eastern Nebraska.

The Iowa West Foundation receives its funding for grants from investment income and also from the Iowa West Racing Association. The association receives contracted fees from casino operators, Ameristar and Harrah's.

AMERISTAR
CASINO • HOTEL

Harrah's
COUNCIL BLUFFS

Grants

Community Development and Beautification	\$10,289,264 *
Economic Development	\$3,878,801
Education	\$1,742,050
Human and Social Needs	\$2,616,794
Total	\$18,526,909 *

2011 Financials

Condensed Balance Sheet for the Year Ending December 31, 2011**

Assets

Cash and cash equivalents	\$1,540,534
Total investments	\$311,088,767
Other assets	\$207,414
Total assets	\$312,836,715

Liabilities

Grants and initiated projects payable	\$45,258,215
Long-term debt	\$14,625,000
Other liabilities	\$125,239
Total liabilities	\$60,008,454

Net assets unrestricted \$252,828,261

Total liabilities and net assets \$312,836,715

*Includes a \$5.45 million grant to the City of Council Bluffs for River's Edge Park, which was delayed much of the year due to flooding.

**The financials in this report are preliminary and unaudited, as the audit is currently in process.

Education

The Foundation is heavily vested in increasing educational attainment within the community by funding opportunities and initiatives that foster early childhood development, improve literacy and academic performance and promote life-long learning.

We know the future of any community rests in part on the promise and potential of its youth. That's why the Foundation supports programs that build a continuum of access and excellence for students—from preschool through adult learning. In 2011 we awarded grants to help reach community goals of increasing high school graduation rates and increasing the percentage of residents with college degrees.

Funded in part by our \$1.2 million grant, the Green Hills AEA was able to continue working to keep Pottawattamie County public preschools at a level of excellence by providing quality early childhood education to at-risk four year-olds. In partnering with the Green Hills AEA, we are proud to help children with their school readiness in order to start down a successful path toward rewarding lives.



Preschool programs in Pottawattamie County school districts

Green Hills Area Education Agency • \$1,200,000

College Access Program

In 2009, the Foundation made a six-year \$4.4 million commitment to fund the College Access Program (CAP), an exciting initiative that provides college preparation opportunities to promising, underprivileged Council Bluffs high school students. Each year 25 incoming freshman—who show potential for high scholastic achievement, might otherwise not be able to get on a college tract and intend to remain in the program through graduation—receive mentoring, college placement test preparation, assistance with financial aid applications, and career advice.

Upon graduation in 2013, the first group of CAP students will be awarded \$1,000 scholarships. They will also be given priority status for the first Iowa West Opportunity Scholarships—another Foundation initiative—which will be awarded starting in 2013. Under that program, students can qualify for \$4,000 scholarships for each year of college they complete.

In many cases, these students might be the first members of their families to go to college. The Foundation is excited to invest in these students' aspirations and, ultimately, in the future of the participating individuals, their families and the community.



Classroom grants

Community Education
Foundation • \$26,700

The Community Education Foundation provides annual \$250 and \$500 grant opportunities for teachers in the Council Bluffs Community Schools. With these grants, teachers can implement creative, innovative and otherwise-non-funded projects to enhance their curriculum, especially in the areas of math and literacy. Our \$26,700 contribution will help this program continue to assist teachers who are making the learning process more fun and engaging.

STARS Scholarship Program

The STARS Scholarship Program awards \$2000/year scholarships to low-income parents in Pottawattamie County who are returning to school to earn a degree, license or certification. This program, which has received more than \$2 million in grants from the Foundation since 1997, aims to remove barriers to post-secondary education that traditional scholarships do not address, such as transportation and child care.

Human and Social Needs

It's been said that a clear judge of a society's character is in how it treats its elderly citizens. Sadly, the generation that worked so hard—making so many sacrifices—to nurture the quality of life we Midwesterners have come to enjoy, may too often be underserved. The Foundation has a record of making grants to support senior-based initiatives that help our citizens maintain quality of life.

Iowa West continually looks at supporting programs which strengthen families, address the needs of underserved youth, provide services to senior citizens and help people reach their full potential.



Technology improvements for client services

Southwest 8 Senior Services • \$25,000

Southwest 8 is an organization which provides a broad range of programs that support seniors, caregivers and their families in eight southwest Iowa counties. Part of its mission is to enhance the quality of life of southwest Iowa elderly by helping them maintain independence in their own homes. Technology plays an important role in the efforts of Southwest 8. For example, its case managers use tablet computers while conducting home visits, and in setting up in-home service care plans.

In addition to purchasing extra tablet computers, the Foundation's grant also helped Southwest 8 upgrade its office technology for quicker information accessibility and its phone system for better customer service.

2011 Grant Recipients

Community Development and Beautification

African American Empowerment Network

Coordination of neighborhood revitalization efforts in Omaha metro area
\$25,000

Avoca (IA) Betterment Association

"Green" affordable housing project
\$120,000

Bemis Center for Contemporary Arts

Community arts residency program in Council Bluffs neighborhood
\$55,500

Board of Regents/University of Nebraska – Omaha

Economic development study on Latinos' impact in the Heartland
\$35,000

Boy Scouts Mid-America Council

Emergency sirens at Little Sioux (IA) Scout Ranch
\$45,500

Carson Business Club

Dreamland Theatre digital projector upgrade for the facility in Carson (IA)
\$41,000

Carstens 1880 Farmstead, Inc.

Exhibit of "Pingel Hybrids" at historic farmstead near Shelby (IA)
\$10,000

City of Avoca (IA)

Equipment and furniture for municipal clubhouse
\$10,000

Improvements to community's baseball and softball complex
\$53,190

City of Carson (IA)

Community center sound system
\$5,992

Recreational trail and entrance center
\$70,000

City of Council Bluffs

Development of River's Edge Park (awarded in 2010, but delayed much of the year due to flooding)
\$5,450,000

Improvements to parks and trails of historic neighborhoods
\$275,000

City of Crescent (IA)

Lights for volleyball court at city park
\$4,000

City of Elk Horn (IA)

Reconstruction of the city's "friends walk" trail
\$38,600

City of Lewis (IA)

Restoration of city's historic bandstand
\$5,000

City of Macedonia (IA)

Roofing and renovation at Stempel Bird Museum
\$5,500

City of Shelby (IA)

Improvements to city park playground
\$10,000

City of Walnut (IA)

Beautification and improvements of city park
\$12,057

Community Housing Investment Corporation

An infill housing program for Council Bluffs families
\$44,000

Council Bluffs Housing Trust Fund, Inc.

Project to increase housing opportunities
\$40,000

Douglas County (NE)

Historical Society Victorian Heirloom Garden restoration at the General Crook House
\$4,000

Golden Hills Resource Conservation and Development

Technical assistance for projects in western Iowa communities
\$62,000

Grant (IA) Community Preservationists

Restoration of historic building into multi-purpose facility
\$25,000

Habitat for Humanity of Omaha

Neighborhood revitalization initiative in areas of north and south Omaha
\$25,000

Iowa West Foundation Streetscape Initiative

Beautification of primary corridors in Council Bluffs
\$825,000

Streetscape maintenance for City of Council Bluffs
\$444,000

Keep Council Bluffs Beautiful, Inc.

Collaborative gardening and education program in Council Bluffs
\$25,000

Malcolm X Memorial Foundation

Concepts and preliminary designs for visitor center in Omaha
\$12,500

Midlands Humane Society

Construction of an animal care and control facility in Council Bluffs
\$300,000

Pottawattamie County Development Corporation

General operating support for the nonprofit corporation in Council Bluffs
\$200,000

Support for downtown revitalization/redevelopment fund
\$1,432,500

Southwest Iowa Nature Trails, Inc.

Trail maintenance equipment
\$10,000

Tabor (IA) Historical Society
Historic structure assessment and planning of Todd House
\$26,425

The Danish Immigrant Museum

Restoration of prairie park at museum in Elk Horn (IA)
\$25,000

The Nature Conservancy

Scenic Loess Hills preservation in Mills County (IA)
\$487,500

YMCA of Greater Omaha

Council Bluffs YMCA market feasibility study
\$30,000

Economic Development

Bluffs Arts Council

Concert and film series in downtown Bayliss Park
\$5,000

General operating support for arts council
\$22,500

Board of Regents/University of Nebraska – Omaha

Technology support for microenterprises in southwest Iowa
\$38,601

City of Council Bluffs

"First Night" – a New Year's Eve family festival
\$20,000

West Broadway redevelopment
\$750,000

Council Bluffs Airport Authority

Utilization assessment of airport area
\$25,000

Friends of the Union Pacific Railroad Museum

Update of the "Building of the Transcontinental Railroad" exhibit at Council Bluffs museum
\$150,000

Heartland Center for Leadership Development

Implementation of strategic economic development plan in rural Pottawattamie County
\$400,000

Heartland Workers Center
Enhancement of workplace services for Latinos in Omaha
\$15,000

Iowa Western Community College Foundation
Programming at the Arts Center in Council Bluffs
\$45,000

Macedonia (IA) Historical Preservation Society
Preservation improvements in museum
\$4,500

Omaha Botanical Center, Inc.
Marketing support of Lauritzen Gardens 10th anniversary
\$38,700

"Railroad Days" — a collaborative area event celebrating railroad history
\$25,000

Omaha Performing Arts
Technology upgrades for customer service
\$20,000

Pottawattamie County Board of Supervisors
CITIES program to assist communities in meeting infrastructure needs
\$600,000

Creation of video library and marketing campaign
\$63,500

Pottawattamie County Community Foundation
Support for community foundation operations and donor outreach
\$1,256,000

Pottawattamie County Development Corporation
Support of the downtown revitalization fund
\$400,000

Education

Ballet Nebraska
Performance production and outreach in Omaha-Council Bluffs area
\$7,500

Performances for southwest Iowa children at community college venue
\$7,500

Bluffs Arts Council
Arts classes for local youth
\$2,500

Board of Regents/University of Nebraska — Omaha
Arts education for southwest Iowa teachers
\$8,000

College of Saint Mary
Summer academy for Latina high school students in Omaha metro area
\$3,000

Community Education Foundation, Inc.
General operating support to the foundation in Council Bluffs
\$95,000

Grants for improved educational opportunities in Council Bluffs classrooms
\$26,700

Eckels Memorial Library
New materials for Oakland (IA) library collection
\$5,000

El Museo Latino
Visual and performing arts program for at-risk youth in Omaha
\$10,000

Green Hills AEA
Career internship program for high school seniors in Pottawattamie County
\$37,500

Preschool programs in Pottawattamie County school districts
\$1,200,000

Summer leadership academies for southwest Iowa students
\$80,000

Humanities Iowa
Speakers bureau program for rural southwest Iowa
\$2,850

Iowa JAG, Inc.
Career and leadership programs in Council Bluffs schools
\$40,000

Joslyn Art Museum
"Museum to the Classroom" program for Omaha metro teachers
\$20,000

Language & Culture School of Omaha
Special programs for English speaking classes
\$3,500

Lenox (IA) Public Library
New computers for use by area families
\$1,500

Nebraska Writers Collective
"Poets on Loan" pilot programs in four Council Bluffs and Omaha schools
\$1,500

Omaha Area Youth Orchestras
Support of music education opportunities for Council Bluffs youth
\$10,000

Omaha Children's Museum
Partnering program with Council Bluffs and Omaha schools
\$30,000

Omaha Symphony Association
"Adventures in Music" for southwest Iowa students
\$60,000

Omaha Zoological Society
Aquarium education and outreach programs in Omaha-Council Bluffs metro area
\$75,000

Project Interfaith, Inc.
Diversity education project in Omaha area
\$10,000

Women's Center for Advancement
School-to-work program in eastern Nebraska
\$5,000

Human and Social Need

American Red Cross Loess Hills Chapter
Flood recovery assistance for families in southwest Iowa and eastern Nebraska
\$515,000

General operating support at southwest Iowa facility
\$50,000

Angels Among Us, Inc.
Financial aid assistance for Omaha metro families with ill children
\$30,000

Best Buddies International, Inc.
Developmental disabilities program at Harlan (IA) high school
\$2,000

Big Brothers Big Sisters of the Midlands
Community based mentoring for children in Pottawattamie and Mills County (IA)
\$40,000

Boys & Girls Clubs of the Midlands
General operating support for core Council Bluffs programs
\$175,000

Camp Hertko Hollow, Inc.
"Camperships" for youth with diabetes in southwest Iowa and eastern Nebraska
\$4,000

CASA for Douglas County (NE)
Children advocacy services
\$10,000

Catholic Charities of the Archdiocese of Omaha
Enhancements to communications system to improve family relief services
\$22,000

Center for the Advancement of Self-Sufficiency
Upgrade of technology to improve services in southwest Iowa
\$25,000

Charles Drew Health Center
Fitness program for senior patients in Omaha
\$5,000

Children's Hospital & Medical Center Foundation
Housing program for families with children receiving medical treatment in Omaha
\$50,000

Children's Square U.S.A.
Upgrades of residential treatment facility in Council Bluffs
\$40,000

Recruitment program for qualified foster parents in Council Bluffs and Omaha
\$16,800

Windows renovation at Council Bluffs facility
\$15,000

Christian Urban Education Service
"Professional pals" mentorship program in north Omaha
\$5,000

Council Bluffs Goodfellows
Program to assist local families during holiday season
\$45,000

Council Bluffs Senior Center, Inc.
Replacement of pool boiler system
\$4,313

Crossroads of Western Iowa
Lift vans for persons with disabilities to attend activities
\$30,000

Family Housing Advisory Services
Housing and financial counseling program in Council Bluffs
\$45,000

Food Bank for the Heartland
Development of new food bank facility in Omaha
\$50,000

Heartland Family Service
HEAT 2012 - housing and emergency assistance for residents and families in Pottawattamie County
\$170,000

Residential substance abuse services in southwest Iowa
\$284,776

Substance abuse prevention in Omaha-Council Bluffs metro area
\$35,856

Iowa Radio Reading Information Service for the Blind and Print Handicapped, Inc.
Program outreach into western Iowa
\$15,000

Iowa Special Olympics
Events for disabled athletes in southwest Iowa
\$10,000

Loess Hills Humane Society, Inc.
Stray, abandoned and owner surrendered animals program in Mills County (IA)
\$5,000

Lutheran Family Services of Nebraska, Inc.
Healthy family programming for parents and children in Pottawattamie County
\$40,000

Lutheran Services in Iowa, Inc.
Teen pregnancy prevention programs and other services
\$5,000

Make-A-Wish Foundation of Iowa
To fulfill wishes of southwest Iowa children with life-threatening diseases
\$15,000

Merrymakers Association
Entertainment programs in southwest Iowa care centers
\$4,000

Metro Area Continuum of Care for the Homeless
Services to prevent homelessness in Omaha metro area
\$12,500

MICAH House Corporation
Case management and homeless services at shelter in Council Bluffs
\$35,000

Mills County (IA) Prevent Child Abuse Council, Inc.
Family and youth health programs
\$5,500

Mills County (IA) Public Health
"Family Matters" substance abuse recovery program
\$15,000

Muscular Dystrophy Association
Summer camp for Iowa and Nebraska youth
\$5,000

Omaha Community Foundation
Nonprofit capacity building program for local nonprofits
\$25,000

Pottawattamie County Firefighters Association
Equipment to assist volunteer fire departments
\$65,000

Promise Partners — Pottawattamie Alliance for Youth
General operating support including the Pottawattamie County "Alliance for Youth"
\$62,500

R.E.S.P.E.C.T.
Technology upgrade to support Omaha metro area response to bullying
\$3,000

SOLAS (Support Our Local Animal Shelter) — Council Bluffs
Vouchers for spaying or neutering of cats
\$5,000

Southwest 8 Senior Services, Inc.
Evidence-based disease/disability prevention program in southwest Iowa
\$28,800

Reorganized programs and services for southwest Iowa aging
\$34,231

Technology improvements for client services in southwest Iowa
\$25,000

The Salvation Army Council Bluffs Corps
Emergency assistance and seasonal services for local families
\$50,000

Turnbull Child Development Center
Playground enhancement project in Page County (IA)
\$5,000

United Way of the Midlands
Human services programs in Omaha-Council Bluffs metro area.
\$350,000

Visiting Nurse Association of Pottawattamie County
Nursing services for families in local shelters
\$38,968

Vocational Development Center, Inc.
Capital improvements at new location serving Omaha and Council Bluffs
\$38,250

West Pottawattamie County Agricultural Extension District
"Caregiving in Rural Communities" project
\$10,000

Wings of Hope Cancer Support Center
Funding for the "Hierarchy of Healing" counseling program in Council Bluffs
\$25,300

Youth Emergency Services, Inc.
Outreach to homeless youth in Omaha metro area
\$14,000

2011
Grant
Recipients



IOWA WEST FOUNDATION

2011 Iowa West Foundation Board of Directors

Charles L. Smith,
Chair
Dr. David H. Kuper,
Vice Chair
Suellen Overton,
Secretary/Treasurer
Deborah I. Bass
John M. Burns
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Susan M. Miller
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President & CEO
Jerry Mathiasen,
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David Harris,
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Grants & Initiatives*
Tim Miller,
*Vice President of
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Reed Morgan,
Grants Program Director
Richard Sorich,
Special Projects Manager
Deb Debbaut,
Grants Manager
Jacquelyn Dix,
Executive Assistant

Iowa West Foundation Staff

2011 Iowa West Racing Association Board of Directors

Jack E. Ryan,*
President
Deborah I. Bass,
Vice President
Patricia A. Hannan,
Secretary/Treasurer
Dr. Theresa Barron-
McKeagney
Emma M. Chance
John P. Nelson
Ronald N. Tekippe
Barbara J. Walsh
Gary D. Woods

**Jack passed away in
December 2011. He was
a dedicated Iowa West
board member and officer,
and will be greatly missed.*

*The Iowa West
Foundation has
grant advisory
committees
consisting of local
citizens. Listed
here are the 2011
committees.*

Community Development & Beautification

Kate Gronstal
Scott Hartman
Rhonda Hope
Beth Lindquist
Walt Pyper
Linda Rhatigan
Mark Shoemaker
Dolores Silkworth

Economic Development

Dave Christiansen
Doug Coziahr
Lori Holste
Todd Lehan
Mike Maher
Henry McKinley
Verne Welch

Education

JoAnne Carrithers
Judy Davis
Don Friday
Helen Hummel
Jim Mortensen
Doug Primmer
Jeanne Trachta

Human and Social Needs

Marcia Antworth
Matt Madsen
Diane McKee
Barb Morrison
Brian Rhoten
Nancy Schulze
Jordan Turner

Grant Information

The mission of the Iowa West Foundation is to improve lives and strengthen communities for today and future generations. We do this by providing leadership, creating partnerships, leveraging resources and serving as a catalyst in identifying and supporting community needs.

We have a special interest in: community development and beautification, economic development, education, and human and social needs.

As a result of a community needs assessment of Pottawattamie County, the Foundation also has announced two overarching goals:

To assist local schools in reducing the high school drop out rate, including to help increase educational attainment opportunities for Pottawattamie County citizens; and, to improve lives by helping transform neighborhoods in Council Bluffs and in local communities throughout Pottawattamie County.

Grant Application Information

Organizations with an IRS 501 (c) (3) tax-exempt status and classification as a public charity— under IRS sections 509 (a) (1) and 509 (a) (2)—as well as governmental units and agencies, are eligible to apply for grant consideration. The Foundation's geographic focus is southwest Iowa and eastern Nebraska, the primary focus being Council Bluffs and Pottawattamie County.

Application Deadlines

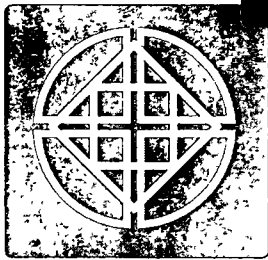
Grant applications are reviewed by grant committees and considered by the Foundation's Board of Directors four times a year. Potential applicants are encouraged to visit the Foundation's website at www.iowawestfoundation.org for application deadlines and procedures.

Contact Information

Please feel free to contact the Foundation office for assistance in the grant application process.

Iowa West Foundation
25 Main Place, Suite 550
Council Bluffs, Iowa 51503

Phone 712.309.3000
Fax 712.322.2267
Email grantinfo@iowawest.com



Iowa West Foundation

The Iowa West Foundation, headquartered in Council Bluffs, Iowa, is an independent 501 (c) (3) organization that receives funding from investment income and from the Iowa West Racing Association, which collects fees from casino operators.

From modest beginnings in 1994, the Iowa West Foundation has evolved into one of the largest private foundations in the Midwest. The Foundation began a grant program in 1996, which awards funds for various community projects of governmental entities or nonprofit organizations.

Through 2011, the Iowa West Foundation's grand total of funding to non-profit organizations and governmental entities surpassed \$285 million, resulting in a positive impact on the lives of thousands of area citizens.

Iowa West Racing Association

The Iowa West Racing Association was officially created in 1984. The organization came about because Iowa passed laws requiring gaming licenses to be either held or sponsored by non-profit organizations. The Association has become an important source of funding for charitable and community projects.

The Iowa West Racing Association is the license holder for Horseshoe Casino, formerly Bluffs Run, and the license sponsor for two casino riverboats, Ameristar and Harrah's. The two riverboat operators pay license fees to the Association, which distributes the funds to the Iowa West Foundation. In addition, two transactions in 1999 and 2004 involving Bluffs Run, significantly increased the Foundation's corpus and investment income for charitable grantmaking.

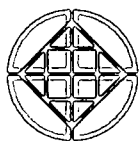
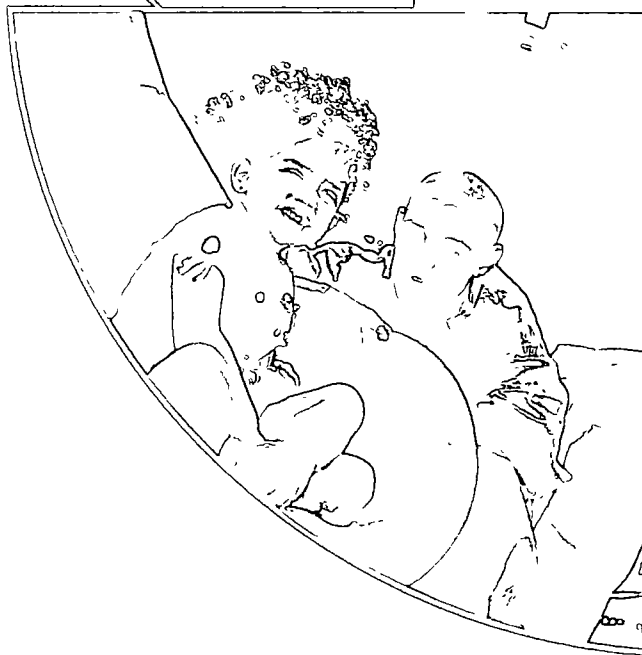
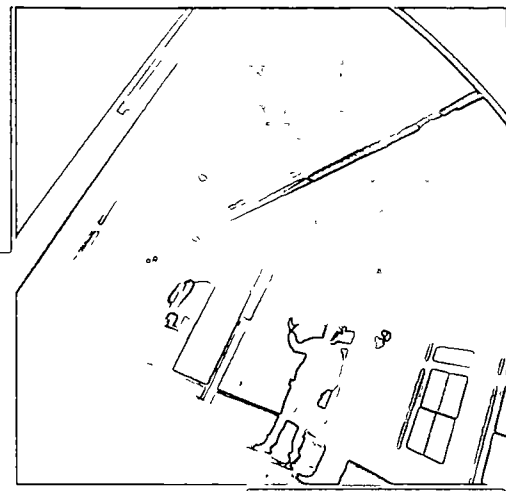
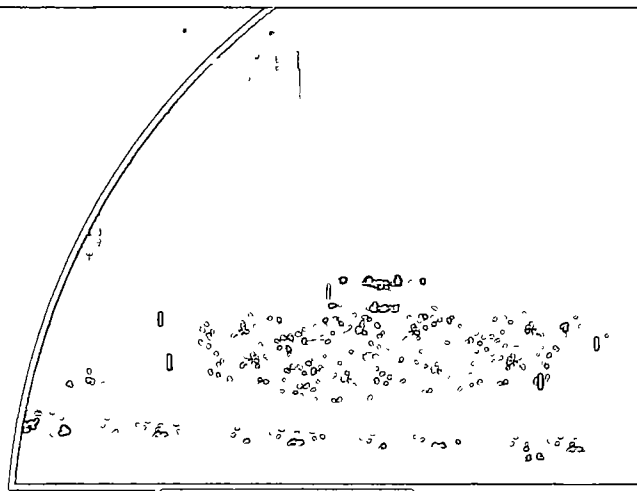
ATTACHMENT



AMERISTAR
CASINO • HOTEL

Harrah's
COUNCIL BLUFFS

HORSESHOE
COUNCIL BLUFFS



IOWA WEST FOUNDATION

The Mission of the Iowa West Foundation is to improve lives and strengthen communities for today and future generations. We do this by providing leadership, creating partnerships, leveraging resources, and serving as a catalyst in identifying and supporting community needs. We have a special interest in four areas of focus: Community Development and Beautification, Economic Development, Education, and Human and Social Needs



WHAT WE SEEK TO ACCOMPLISH

The Foundation believes it can help improve the quality of life and standard of living of the southwest Iowa and Council Bluffs-Omaha metropolitan areas by implementing: 1) the four strategies identified in the mission (leading, partnering, leveraging, and catalyzing); and, 2) a high-impact, results-oriented grant making program.

To accomplish this, the Foundation will work to articulate the specific outcomes we seek to achieve; provide information about our approaches and lessons learned, and, communicate the results generated by our grant making. The Foundation's grant making processes strive to be community and customer-focused, accessible, transparent and consistent.

Through the four focus areas – Community Development and Beautification, Economic Development, Education, and Human and Social Needs – the Foundation implements a responsive grant program which

addresses priorities and needs identified by community residents, leaders, and local experts from the four areas. Proposals are accepted for *project-restricted, general operating, and capital project* support. The Foundation encourages applications that propose innovative approaches to meet community needs identified in the focus areas. At a minimum, proposals should include a description of the community need addressed; identifiable outcomes that relate to the needs, specific and measurable objectives for the project, an evaluation plan, and, budget details for the organization and project. Proposals for funding under these four areas are accepted on a quarterly basis.

The Foundation's grant making will remain flexible enough to respond to unique challenges, ideas and projects that lie beyond its established focus areas, yet fulfill our mission to improve lives and strengthen communities. For example, Foundation-sponsored initiatives enable the Foundation to proactively implement strategies that result in long-term community-level outcomes, and employ a range of innovative programs and investments. The Foundation's two current priority initiatives are *Increasing Educational Attainment*, and *Transforming Neighborhoods and Communities*. Examples of other Foundation-sponsored initiatives include: the Iowa West Public Art program and Pottawattamie County Community Foundation. Funding proposals are not accepted for initiative programs.

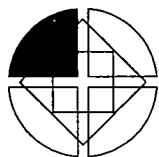
GRANT

PROGRAM

FOCUS AREAS

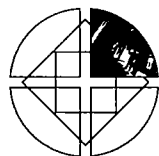
Community Development and Beautification

The Foundation seeks to promote community development by supporting efforts that increase civic engagement and participation, neighborhood development and revitalization; housing affordability and quality; environmental sustainability; community safety and violence prevention; and, the beautification of our region. Our overall goals in this area are to improve quality of life for residents, increase civic pride, strengthen social capital and civic infrastructure, and enhance the image and/or appearance of our region's communities. The Foundation has a special interest in projects that create and strengthen the capacity of neighborhood associations/resident organizations in Council Bluffs and rural communities in Pottawattamie County, and projects that promote development in low- and moderate-income neighborhoods.



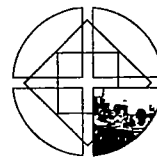
Economic Development

An overall Foundation goal is to increase the standard of living and economic competitiveness of southwest Iowa and the Council Bluffs-Omaha metropolitan area. Toward this goal, we seek to support efforts that directly result in expanding the tax base of our community, and increasing high-quality jobs for residents. Key strategies to achieve this goal include developing a world-class workforce and retaining or creating family-wage jobs that will result in increased economic self-sufficiency for area families and residents; supporting the economic revitalization of downtown Council Bluffs; and, promoting the growth of existing businesses and new business development in the region. The Foundation's primary economic development role is to serve as a catalyst by building the capacity of the public and the nonprofit sectors to effectively plan and implement economic development strategies and projects.



Education

The Foundation's overall goals in this area are to 1) increase high school completion rates, 2) decrease high school dropout rates, and, 3) increase the percentage of residents with college degrees. This includes programs that build a continuum (from pre-school through college access) of services designed to achieve the Foundation's overall education goals. The Foundation has a special interest in programs that promote early childhood development, literacy skills, improved student academic performance, workforce preparedness, and life-long learning. Proposals that address the needs of children from economically and educationally disadvantaged families are strongly encouraged. Proposals from individual schools, in our geographic target area, must be submitted through the Green Hills Area Education Agency (formerly Loess Hills AEA 13). Proposals for capital improvements to school property will not be considered.



Human and Social Needs

The Foundation places a high priority on programs that strengthen families, address the needs of under-served youth, provide services to senior citizens, and address critical human/social service needs in our community (e.g., hunger, homelessness, substance abuse, etc.) while helping individuals to reach their fullest potential. Examples of such programs include proposals that address the following: family stability; health issues, emergency/transitional housing needs; teenage pregnancy prevention, access to prenatal care; and chemical dependency issues, especially methamphetamine use. Collaboration among agencies to meet human and social needs is strongly encouraged.



TYPES OF SUPPORT

The Foundation accepts proposals, on a quarterly basis, for the following types of grants.

Program or Project Support – A majority of the Foundation's grants support a specific program or project that consists of a connected set of activities (with a beginning and an end), explicit objectives, and a predetermined cost. Project grants are restricted grants, and must be used for that project. In general, project grants are given to support activities aligned with the Foundation's funding priorities and related to the mission of the organization receiving the money. Of the dozens of kinds of project grants, below are the most common examples of project grants.

- ▢ **Program Activity Grants** – These grants support specific programs implemented to achieve the grantee organization's mission. These are the most common type of Foundation support and can be used to cover both personnel and other than personnel services for these activities. Examples include a youth program (focused on violence prevention) seeking support for counselors; a community development corporation seeking support to build low-income housing, and/or, a local food pantry seeking funds for supplies.
- ▢ **Planning Grants** – If your organization is planning a major new program, you may need to spend a good deal of time and money just figuring out what it will look like. Before you can even write a proposal to fund the new effort, you may want to research the needs of your constituents, consult with experts in the field, or conduct other planning activities. A planning grant supports such initial project development work.
- ▢ **Seed-money or Start-up Grants** – A start-up grant helps a new organization or program in its first few years. The idea is to give the new effort a strong push forward, so it can devote its energy early on to setting up programs without worrying constantly about raising money. Such grants are often for more than one year, and frequently decrease in amount each year. The Foundation assumes that the new organization will begin to raise other funds to replace the decreasing start-up grant.
- ▢ **Capacity Building Grants** – Unlike most project grants, a technical assistance (or capacity building) grant does not directly support the grantee's mission-related activities. Instead, it supports the charity's management or administration – its fundraising, marketing, financial management, facilities management, etc. Such a grant might help the organization implement a new management information system, conduct an evaluation/needs assessment, or develop a marketing plan.

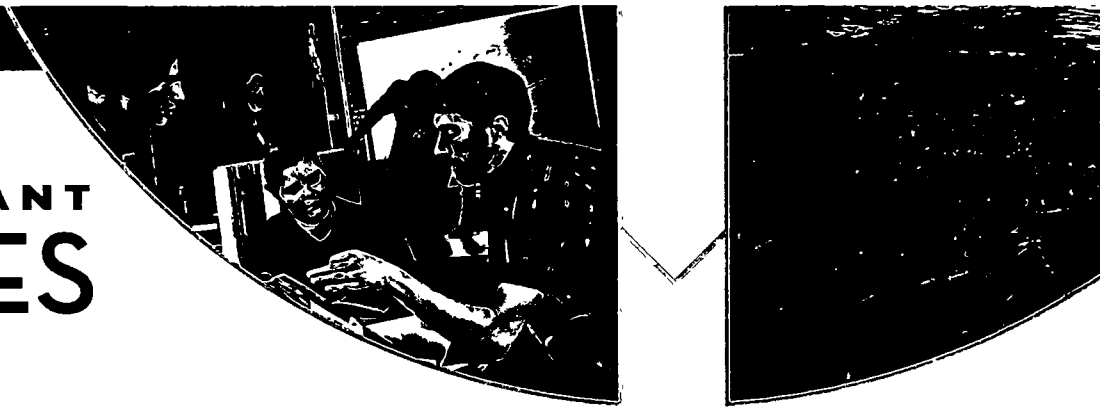
General Operating Support – These grants can be used to support the general (core) expenses of operating an organization, including staff and other expenses (like rent, utilities, etc.).

A general support grant means the Foundation supports your work because it advances the Foundation's mission. General support requests may only be submitted by organizations located in Pottawattamie County. All general support requests must 1) describe how the funding support will build organizational capacity and/or contribute to the organization's sustainability, 2) describe how the organization's work advances the Foundation's mission and priorities; 3) identify the organization's current strategic goals. Funds may not be used for paying debts or contributing to endowments. Typically, general support grants will be limited to a total of six (6) consecutive years.

Capital – There are two types of capital grants in philanthropy 1) facilities and equipment grants; and, 2) endowment grants. Iowa West Foundation does not make endowment grants.

- ▢ **Facilities and Equipment Grants** – Sometimes called "brick-and-mortar" grants; these capital grants help an organization acquire and/or meet the needs of a physical asset – a building, phone system, or van, for instance. The applicant organization must make the case that the new acquisition will help it serve its clients better. Foundation consideration of capital requests will focus on both the applicant's current activities and financial health, and financial/program plans for the next several years. The Foundation wants to be sure that, if it helps an organization move into a permanent space, for example, the organization will have the resources to manage and maintain it. The Foundation has limitations to the type of organizations eligible for capital grants (see INELIGIBLE PROPOSALS section of these guidelines).

GENERAL GRANT POLICIES



Applicants must be either a public charity or a governmental entity, and more specifically as follows:

- an IRS-approved tax-exempt non-profit public charity qualifying under section 501 (a) of the Internal Revenue Code ("IRC") as described in IRC 501 (c)(3), *and furthermore* a "public charity" qualifying under Sections 509(a), and *specifically* under 509(a)(1) or 509(a)(2) [but not 509(a)(3)], and, *finally*, *specifically not a private foundation* as described in IRC 509(a), or;
- a school or school district applying through the local area education agency, or another governmental entity

(The above information is contained in every agency's formal IRS letter-notification of tax-exempt status.)

No grant application will be approved that is inconsistent with the charitable tax-exempt status of the Foundation.

The Foundation's geographic funding area is southwest Iowa and eastern Nebraska, with the primary focus area being Council Bluffs and Pottawattamie County. A secondary area of focus includes counties that are contiguous to Pottawattamie County. Those counties in Iowa are: Cass, Harrison, Mills, Montgomery and Shelby, and, the Nebraska counties of Douglas, Sarpy and Washington. Other eligible Iowa counties are Adams, Audubon, Carroll, Crawford, Fremont, Monona, Page, and Taylor. Cass County in Nebraska is also an eligible county. However, grants awarded to organizations for projects outside the contiguous counties typically will be limited to \$50,000. Proposals may be submitted by organizations from counties not listed above, but the applications may only be for projects/activities undertaken in eligible counties.

The Foundation considers grant applications covering a wide range of community needs with a focus on Community Development and Beautification, Economic Development, Education, and Human and Social Needs. Every effort will be made to maintain a reasonable balance in awarding grants to the various areas of need.

To heighten the impact of its grant making, the Foundation is especially interested in leveraging its grants by partnering with other organizations, governmental entities, and funders.

The Foundation has a special interest in funding innovative programs that address community needs, as well as facilities and physical improvements that will have a lasting positive impact on the community's image and quality of life.

The Foundation is interested in leveraging its grant awards—therefore, applicants are encouraged to secure at a minimum a dollar-for-dollar match for their grant requests. In general, qualifying in-kind support may be part of the match, but shall not exceed 25 percent of total project costs.

Faith-based institutions (churches) may apply for funding of non-religious programming.

Proposals from individual schools, in our geographic area (see counties previously identified), must be submitted through the Green Hills Area Education Agency (*formerly Loess Hills AEA 13*). Proposals for capital improvements to school property will not be considered.

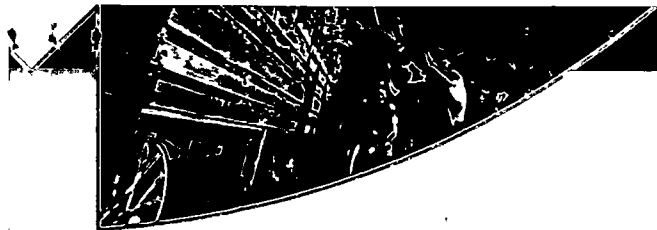
The Foundation will not fund obligations incurred **prior** to application (e.g., *deficits, mortgages and other loans*). Proposals may include expenses for program evaluation, as well as necessary capacity-building assistance.

Applicants may contact Foundation staff for technical assistance in refining their proposals and/or navigating the grants review process. All applicants are encouraged to attend any grant application workshops held.

Organizations submitting proposals requesting \$500,000 (and more) may be required to submit supplemental information, in addition to the normal application process, that provides detailed programmatic, financial, and organizational information pertinent to the request.

Proposals for multi-organization collaborations must clearly identify the lead agency and/or fiscal agent for the project, and the roles/responsibilities of each institutional partner.

Grant recipients will be required to execute and return a grant award agreement within 30 days from the date they are notified of their grant award. Recipients also will be required to submit periodic reports, and/or attend periodic meetings with Foundation staff, during and following the grant term (as stipulated in a grant award agreement contract).



INELIGIBLE PROPOSALS

- △ Participating in, or intervening in (including the publishing or distributing of statements), any political campaign on behalf of (or in opposition to) any candidate for public office [within the meaning of section 501(c)(3) of the Internal Revenue Code ("IRC")].
- △ Carrying out propaganda or otherwise attempting to influence legislation [within the meaning of section 4945(d)(1) of the IRC].
- △ Efforts seeking to influence the outcome of any specific public election or carrying on, directly or indirectly, any voter registration drive [within the meaning of section 4945(d)(2) of the IRC].
- △ Making any "donative" grant (i.e., re-granting) to or directly benefitting any non-tax-exempt organization or individuals (including scholarships)
- △ Undertaking any activity for any non-charitable purpose or to the extent that use of grant funds would constitute a "taxable expenditure" [within the meaning of section 4945(d) of the IRC] or in any other manner which would jeopardize or cause the Foundation to lose its status as an organization exempt from federal income tax under section 501(c)(3) of the IRC.
- △ Discriminating against any individual or group based on race, religious beliefs, ethnic or national origin, or otherwise legally impermissible criteria
- △ Benefiting for-profit businesses.
- △ Supporting private foundations as described in IRC 509(a), as well as organizations qualifying under 509(a)(3).
- △ Funding of projects outside of southwest Iowa or eastern Nebraska.
- △ Benefiting church-affiliated organizations for religious purposes (however, support may be provided to non-religious programs like child-care, meal centers, etc)
- △ Providing support for benefits and fundraising events, such as galas, golf tournaments, and school dinners.
- △ Participating in capital campaigns for endowment or for certain educational facilities (public K-12), public safety facilities (police and fire stations), or medical facilities (including hospitals, assisted living projects, nursing homes, independent care, and extended care facilities), and, public safety vehicles.
- △ Funding medical research
- △ Funding hospital charity-care assistance.
- △ Financing publications, films, books, seminars, symposia and conferences.
- △ Funding proposals that were declined by the foundation board within the previous 12 months.

APPLICATION PROCEDURES

The first step in applying is determining eligibility. Prospective applicants take the brief Eligibility Quiz on-line at www.iowawestfoundation.org. The immediate next step is submitting the required Pre-Application Letter of Inquiry, also on-line. Foundation staff review Letters of Inquiry to confirm the eligibility under our guidelines of both the applicant and the proposed use of funds, and then notify each prospective applicant/project, usually within one business day.

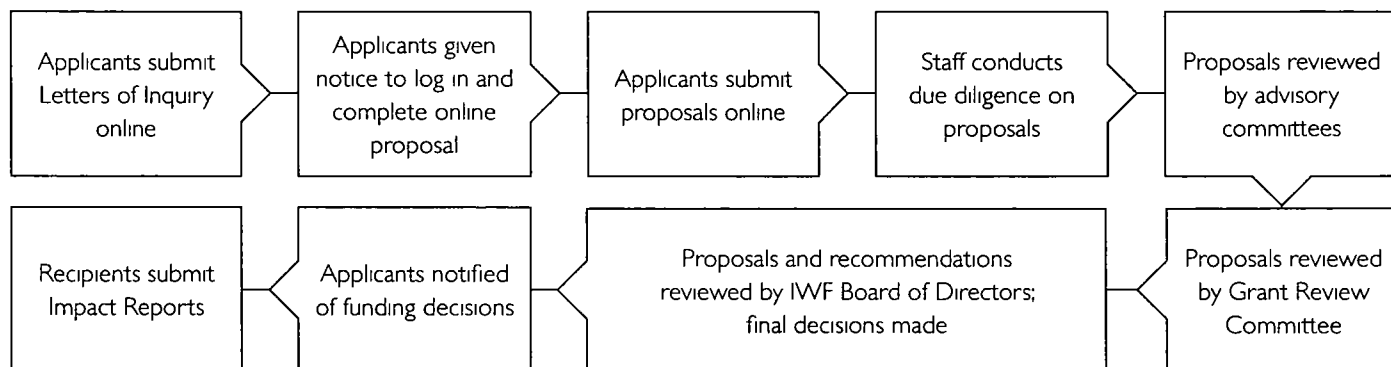
In fairness to others, we adhere strictly to a 5:00 p.m. deadline on the filing deadline dates (When the 1st or 15th of the month falls on a weekend/holiday, the deadline moves to the next business day) Late or incomplete submissions are automatically moved to the next round for consideration. Foundation staff is available for consultation.

Applicants may apply for funding under any of the four focus areas by using our on-line proposal application system at www.iowawestfoundation.org Internet access is available in public libraries Funding proposals are not accepted for initiative programs

FILING DEADLINES

Quarter	1st	2nd	3rd	4th
Letter of Intent	January 1	April 1	July 1	October 1
Full Application	January 15	April 15	July 15	October 15
Notification	April 15	July 15	October 15	January 15

GRANT PROCESS



- △ Applicants will be notified of the IWF Board of Directors' decision within 90 days from the proposal deadline
- △ Grantees are required to submit Impact Reports at the end of the grant period.
- △ Grants may not be extended for more than two, one-year periods.
- △ Prospective grantees receive grant disbursements once grant agreements are signed and returned
- △ Requests for extension of a grant-period must be made at least 60 days prior to the deadline agreed to in the Grant Award Agreement contract.
- △ Organizations not meeting grant performance or reporting requirements may be ineligible for future grant consideration until requirements are fully satisfied.

APPLICATION REVIEW PROCESS

The Iowa West Foundation has established a community-based grants review process in an effort to insure objective review and input regarding grant proposals. Four grant advisory committees have been established to correspond with the Foundation's four focus areas. Most grant proposals are referred to these committees by the Foundation staff.

In reviewing applications, the Foundation's staff, advisory committees, Grants Review Committee and Board of Directors carefully consider the following criteria:

- △ The relevance of the proposed project to the Foundation's mission and four focus areas.
- △ The extent to which the proposal addresses a community need, demonstrates broad-based community

support, and provides benefits to the community at large

- △ The ability of the proposed project to leverage funding and support from other sources
- △ The extent to which the proposal maximizes community resources through cooperation and collaboration with other organizations in the community and helps eliminate redundant costs, facilities, services, programs or projects
- △ The operating capacity of the applicant-organization to achieve the desired result.
- △ The sustainability of the proposed project without additional long-term financial commitments from the Foundation.

Recommendations from Foundation staff and the grant advisory committees are forwarded to the Foundation's Grant Review Committee for consideration in making funding recommendations, which are then considered by the Foundation's Board of Directors

Applicants will be notified of the IWF Board of Directors' decision within 90 days from the proposal deadline

Applicants are discouraged from lobbying our community volunteers (grant advisory committees, grant review committee, board of directors) regarding their proposals

Applicants are encouraged to contact Foundation staff with questions. For technical assistance, contact Grants Manager at (712) 309-3003 or at grantinfo@iowawest.com

Iowa West Foundation

25 Main Place, Suite 550

Council Bluffs, Iowa 51503

www.iowawestfoundation.org

IOWA WEST FOUNDATION
FORM 990-PF
Part II, Line 13

12/31/11

Acadian	6,146,829 26
Blackrock	1,851,984 55
CommonFund	155,366 00
Crown Global	2,967,010 00
European Strategic Partners	937,292 86
Goldman Sachs IV	4,308,148 00
Lime Rock	1,718,911 00
Metropolitan III	2,695,697 89
Metropolitan VI	4,922,524 00
Mondnan	15,261,450 00
NB Secondary (Lehman)	1,683,585 78
NB Secondary II (Lehman II)	4,314,633 95
Newlin	3,698,624 00
Newlin II	2,125,360 00
Northgate IV	4,756,720 00
Park Street III	5,774,527 00
Park Street VIII	3,967,007 00
PIMPCO	0 00
Portfolio Advisors III	4,083,921 00
Portfolio Advisors IV	4,445,674 00
Portfolio Advisors V	3,161,249 00
Portfolio Advisors VI	642,699 00
Quellos	2,289,688 00
RREEF	4,764,129 51
State Street	12,418,343 02
Anchorage Capital	6,038,769 40
Anchorage Short	1,374,898 01
Canyon	5,925,162 83
Davidson Kempner	6,181,016 96
Elliott	6,157,570 37
JAT Capital	4,008,752 00
SCP	0 00
Shepherd	655,375 02
Southport	1,843,647 40
Viking	4,996,510 60

TOTAL 136,273,077 41

IOWA WEST FOUNDATION
42-1391990
2011 FORM 990-PF
PART VII-B, LINE 3A

Internal Revenue Code Sections (IRC §)4943 states that the permitted holdings of any private foundation in an incorporated business enterprise is 20 percent of the voting stock, reduced by the percentage of the voting stock owned by all disqualified persons. In addition, a business which derives more than 95 percent of its gross income from passive sources will not constitute a business enterprise within the meaning of IRC §4943, and a foundation's investments in such an entity will not constitute an IRC §4943 business holding. Iowa West Foundation holds various ownership in incorporated business enterprises; however, each holding meets the above discussed exceptions relating to excess business holdings.