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Form **990-EZ**

Short Form Return of Organization Exempt From Income Tax

OMB No 1545-1150

2011**Open to Public
Inspection**Department of the Treasury
Internal Revenue ServiceUnder section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code
(except black lung benefit trust or private foundation)

► Sponsoring organizations of donor advised funds, organizations that operate one or more hospital facilities, and certain controlling organizations as defined in section 512(b)(13) must file Form 990 (see instructions). All other organizations with gross receipts less than \$200,000 and total assets less than \$500,000 at the end of the year may use this form.

► The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2011 calendar year, or tax year beginning , 2011, and ending , 20**B** Check if applicable:

- ☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization

ROTARY INTERNATIONAL # 597

Number and street (or P O box, if mail is not delivered to street address)

Room/suite

PO BOX 813

City or town, state or country, and ZIP + 4

DUBUQUE, IA 52004

D Employer identification number

42-0621483

E Telephone number

563-590-5616

F Group Exemption

Number ► 2025

G Accounting Method ☒ Cash ☐ Accrual Other (specify) ►**H** Check ☒ if the organization is not required to attach Schedule B**I** Website: ► WWW.DUBUQUEROTARY.ORG**J** Tax-exempt status

(check only one) -

☐ 501(c)(3)☒

501(c) ()

(insert no)

4947(a)(1) or

527

(Form 990, 990-EZ, or 990-PF)

K Check ☐ if the organization is not a section 509(a)(3) supporting organization or a section 527 organization and its gross receipts are normally not more than \$50,000. A Form 990-EZ or Form 990 return is not required though Form 990-N (e-postcard) may be required (see instructions). But if the organization chooses to file a return, be sure to file a complete return.

L Add lines 5b, 6c, and 7b, to line 9 to determine gross receipts. If gross receipts are \$200,000 or more, or if total assets (Part II,

line 25, column (B) below) are \$500,000 or more, file Form 990 instead of Form 990-EZ.

► \$

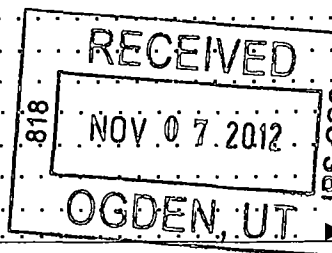
122,591

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (see the instructions for Part I)

Check if the organization used Schedule O to respond to any question in this Part I.

☒

Revenue	1	Contributions, gifts, grants, and similar amounts received	1	4,640
	2	Program service revenue including government fees and contracts	2	
	3	Membership dues and assessments	3	47,809
	4	Investment income	4	72
	5 a	Gross amount from sale of assets other than inventory	5 a	
	5 b	Less cost or other basis and sales expenses	5 b	
	5 c	Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)	5 c	0
	6	Gaming and fundraising events		
	6 a	Gross income from gaming (attach Schedule G if greater than \$15,000)	6 a	22,318
	6 b	Gross income from fundraising events (not including \$ 4,640 of contributions from fundraising events reported on line 1) (attach Schedule G if the sum of such gross income and contributions exceeds \$15,000)	6 b	28,079
	6 c	Less direct expenses from gaming and fundraising events	6 c	22,602
	6 d	Net income or (loss) from gaming and fundraising events (add lines 6a and 6b and subtract line 6c)	6 d	27,795
	7 a	Gross sales of inventory, less returns and allowances	7 a	19,223
	7 b	Less cost of goods sold	7 b	7,745
	7 c	Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)	7 c	11,478
	8	Other revenue (describe in Schedule O) SHIRT SALES TO MEMERS	8	450
	9	Total revenue. Add lines 1, 2, 3, 4, 5c, 6d, 7c, and 8	9	92,244
Expenses	10	Grants and similar amounts paid (list in Schedule O)	10	64,560
	11	Benefits paid to or for members	11	
	12	Salaries, other compensation, and employee benefits	12	
	13	Professional fees and other payments to independent contractors	13	800
	14	Occupancy, rent, utilities, and maintenance	14	
	15	Printing, publications, postage, and shipping	15	12,109
	16	Other expenses (describe in Schedule O) SEE SCHEDULE	16	19,555
	17	Total expenses. Add lines 10 through 16	17	97,024
	18	Excess or (deficit) for the year (Subtract line 17 from line 9)	18	(4,780)
	19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	19	19,932
	20	Other changes in net assets or fund balances (explain in Schedule O)	20	
	21	Net assets or fund balances at end of year. Combine lines 18 through 20	21	15,152



SCANNED DEC 03 2012

For Paperwork Reduction Act Notice, see the separate instructions.

Form 990-EZ (2011)

Part II Balance Sheets. (see the instructions for Part II)Check if the organization used Schedule O to respond to any question in this Part II ☒

	(A) Beginning of year		(B) End of year
22 Cash, savings, and investments	40,823	22	27,960
23 Land and buildings		23	
24 Other assets (describe in Schedule O)	5,149	24	1,100
25 Total assets	45,972	25	29,060
26 Total liabilities (describe in Schedule O)	26,040	26	13,284
27 Net assets or fund balances (line 27 of column (B) must agree with line 21)	19,932	27	15,776

Part III Statement of Program Service Accomplishments (see the instructions for Part III)Check if the organization used Schedule O to respond to any question in this Part III ☐What is the organization's primary exempt purpose? HUMANITARIAN SERVICE ORGANIZATION

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title

Expenses
 (Required for section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts, optional for others)

28 OPERATE LOCAL ROTARY INTERNATIONAL CHAPTER TO PROMOTE COMMUNITY SERVICE AND HUMAN DEVELOPMENT PROJECTS		
(Grants \$ 39,458) If this amount includes foreign grants, check here <input type="checkbox"/>	28a	39,458
29 OPERATE AN ANNUAL SCHOLARSHIP FUND FOR LOCAL HIGH SCHOOL STUDENTS PLANNING TO ATTEND COLLEGE		
(Grants \$ 10,500) If this amount includes foreign grants, check here <input type="checkbox"/>	29a	10,500
30 FUND ROTARY 501 (C) (3) FOUNDATION FOR HUMANITARIAN PROJECTS		
(Grants \$ 6,125) If this amount includes foreign grants, check here <input type="checkbox"/>	30a	6,125
31 Other program services (describe in Schedule O)		
(Grants \$ 8,477) If this amount includes foreign grants, check here <input type="checkbox"/>	31a	8,477
32 Total program service expenses (add lines 28a through 31a)	32	64,560

Part IV List of Officers, Directors, Trustees, and Key Employees. List each one even if not compensated (see the instructions for Part IV)Check if the organization used Schedule O to respond to any question in this Part IV ☐

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Reportable compensation (Forms W-2/1099-MISC) (If not paid, enter -0-)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation
KENT RENIER DUBUQUE, IA	PRESIDENT 6	0	0	0
BOB WOODWARD DUBUQUE, IA	PRESIDENT ELECT 3	0	0	0
TANYA BOTTOMLEY DUBUQUE, IA	PAST PRESIDENT 3	0	0	0
SUE OLSON DUBUQUE, IA	SECRETARY 6	200	0	200
CHARLIE GREEN DUBUQUE, IA	TREASURER 8	200	0	200
CRAIG BEYTEIN DUBUQUE, IA	DIRECTOR 2	0	0	0
KIMBERLY BUROS DUBUQUE, IA	DIRECTOR 2	0	0	0
ERIC HILBUN DUBUQUE, IA	DIRECTOR 2	0	0	0
TOM JAEGER DUBUQUE, IA	DIRECTOR 2	0	0	0
JON LUCKSTEAD DUBUQUE, IA	DIRECTOR 2	0	0	0
JIM SCHILLING DUBUQUE, IA	DIRECTOR 2	0	0	0
MIKE SEYER DUBUQUE, IA	DIRECTOR 2	0	0	0

Part V Other Information (Note the Schedule A and personal benefit contract statement requirements in the instructions for Part V) Check if the organization used Schedule O to respond to any question in this Part V ☐

	Yes	No
33 Did the organization engage in any significant activity not previously reported to the IRS? If "Yes," provide a detailed description of each activity in Schedule O		X
34 Were any significant changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the amended documents if they reflect a change to the organization's name. Otherwise, explain the change on Schedule O (see instructions)		X
35a Did the organization have unrelated business gross income of \$1,000 or more during the year from business activities (such as those reported on lines 2, 6a, and 7a, among others)?		X
b If "Yes," to line 35a, has the organization filed a Form 990-T for the year? If "No," provide an explanation in Schedule O		X
c Was the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization subject to section 6033(e) notice, reporting, and proxy tax requirements during the year? If "Yes," complete Schedule C, Part III		
36 Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N		X
37a Enter amount of political expenditures, direct or indirect, as described in the instructions ▶ 37a 0		
b Did the organization file Form 1120-POL for this year?		X
38a Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the tax year covered by this return?		X
b If "Yes," complete Schedule L, Part II and enter the total amount involved 38b		
39 Section 501(c)(7) organizations Enter		
a Initiation fees and capital contributions included on line 9 39a		
b Gross receipts, included on line 9, for public use of club facilities 39b		
40a Section 501(c)(3) organizations Enter amount of tax imposed on the organization during the year under section 4911 ▶ , section 4912 ▶ , section 4955 ▶		
b Section 501(c)(3) and 501(c)(4) organizations Did the organization engage in any section 4958 excess benefit transaction during the year, or did it engage in an excess benefit transaction in a prior year that has not been reported on any of its prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
c Section 501(c)(3) and 501(c)(4) organizations Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ 0		
d Section 501(c)(3) and 501(c)(4) organizations Enter amount of tax on line 40c reimbursed by the organization ▶ 0		
e All organizations At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T		X
41 List the states with which a copy of this return is filed ▶ IOWA		
42a The organization's books are in care of ▶ CHARLIE GREEN Telephone no ▶ Located at ▶ DUBUQUE, IA ZIP + 4 ▶ 52001		
b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country ▶ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		X
c At any time during the calendar year, did the organization maintain an office outside the U S ? If "Yes," enter the name of the foreign country ▶		X
43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 43		
44a Did the organization maintain any donor advised funds during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ		X
b Did the organization operate one or more hospital facilities during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ		X
c Did the organization receive any payments for indoor tanning services during the year?		X
d If "Yes" to line 44c, has the organization filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		
45a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
45b Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," Form 990 and Schedule R may need to be completed instead of Form 990-EZ (see instructions).		X

- 46 Did the organization engage, directly or indirectly, in political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I. **46** ☐ Yes ☒ No

Part VI Section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts only. All section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts must answer questions 47-49b and 52, and complete the tables for lines 50 and 51

Check if the organization used Schedule O to respond to any question in this Part VI ☐

- 47 Did the organization engage in lobbying activities or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II **47** ☐ Yes ☐ No
- 48 Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E **48** ☐ Yes ☐ No
- 49a Did the organization make any transfers to an exempt non-charitable related organization? **49a** ☐ Yes ☐ No
- b If "Yes," was the related organization a section 527 organization? **49b** ☐ Yes ☐ No
- 50 Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None "

(a) Name and address of each employee paid more than \$100,000	(b) Title and average hours per week devoted to position	(c) Reportable compensation (Forms W-2/1099-MISC)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation

f Total number of other employees paid over \$100,000 **f**

- 51 Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None "

(a) Name and address of each independent contractor paid more than \$100,000	(b) Type of service	(c) Compensation

d Total number of other independent contractors each receiving over \$100,000 **d**

- 52 Did the organization complete Schedule A? **Note:** All section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A. ☐ Yes ☐ No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer <i>F. Robert Woodward III</i>		Date <i>10/16/12</i>		
	Type or print name and title <i>F. Robert Woodward III - President</i>				
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature <i>[Signature]</i>	Date <i>10/16/12</i>	Check <input type="checkbox"/> if self-employed	PTIN <i>P01245594</i>
	Firm's name	<i>O'CONNOR, BROOKS & CO., P.C.</i>		Firm's EIN	<i>42-1302327</i>
	Firm's address	<i>P.O. BOX 743</i>		Phone no	<i>563-582-7224</i>
	<i>DUBUQUE, IA 52004-0743</i>				

May the IRS discuss this return with the preparer shown above? See instructions ☐ Yes ☐ No

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

**Supplemental Information Regarding
Fundraising or Gaming Activities**

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

**Open to Public
Inspection**

Name of the organization

ROTARY INTERNATIONAL # 597

Employer identification number

42-0621483

Part I

Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17
Form 990-EZ filers are not required to complete this part

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- | | |
|--|---|
| a <input type="checkbox"/> Mail solicitations | e <input type="checkbox"/> Solicitation of non-government grants |
| b <input type="checkbox"/> Internet and email solicitations | f <input type="checkbox"/> Solicitation of government grants |
| c <input type="checkbox"/> Phone solicitations | g <input type="checkbox"/> Special fundraising events |
| d <input type="checkbox"/> In-person solicitations | |

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☐ Yes ☐ No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

Total 0 0 0

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other Events	(d) Total events
		(event type)	(event type)	(total number)	(add col (a) through col (c))
Revenue	1 Gross receipts				0
	2 Less Charitable contributions				0
	3 Gross income (line 1 minus line 2).	0	0	0	0
Direct Expenses	4 Cash prizes				0
	5 Noncash prizes				0
	6 Rent/facility costs				0
	7 Food and beverages				0
	8 Entertainment				0
	9 Other direct expenses				0
	10 Direct expense summary. Add lines 4 through 9 in column (d)				(0)
	11 Net income summary. Combine line 3, column (d), and line 10				0

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Direct Expenses	1 Gross revenue			22,318	22,318
	2 Cash prizes			10,000	10,000
	3 Noncash prizes				0
	4 Rent/facility costs				0
	5 Other direct expenses			1,574	1,574
	6 Volunteer labor	Yes _____ % No	Yes _____ % No	X Yes 100.0000 % No	
	7 Direct expense summary. Add lines 2 through 5 in column (d)				(11,574)
	8 Net gaming income summary. Combine line 1, column d, and line 7				10,744

9 Enter the state(s) in which the organization operates gaming activities _____

a Is the organization licensed to operate gaming activities in each of these states? ☒ Yes ☐ No

b If "No," explain _____

10 a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☒ No

b If "Yes," explain _____

- 11** Does the organization operate gaming activities with nonmembers? ☐ Yes ☒ No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☒ No
- 13** Indicate the percentage of gaming activity operated in
- | | | |
|--------------------------------------|------------|-----------|
| a The organization's facility | 13a | 100.0000% |
| b An outside facility | 13b | % |
- 14** Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶ CHARLIE GREEN

Address ▶ DUBUQUE, IA

- 15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☒ No
- b** If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ and the amount of gaming revenue retained by the third party ▶ \$
- c** If "Yes," enter name and address of the third party

Name ▶

Address ▶

16 Gaming manager information

Name ▶ CHARLIE GREEN

Gaming manager compensation ▶ \$

Description of services provided ▶ RECORDKEEPING

☒ Director/officer
 ☐ Employee
 ☐ Independent contractor
17 Mandatory distributions

- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☒ No
- b** Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions)

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2011

**Open to Public
Inspection**

Name of the organization

ROTARY INTERNATIONAL # 597

Employer identification number

42-0621483

FORM 990EZ; PART I; LINE 8; OTHER REVENUE INCLUDES: ROTARY SHIRT SALES \$450 FROM 990 EZ;
PART I; LINE 10; GRANTS AND SIMILAR AMOUNTS INCLUDE: CASH AWARDS AND GRANTS \$39,458,
SPECIFIC ASSIST TO INDIVIDUALS \$10,500, PRIOR YEAR ASSIST TO INDIVIDUALS \$1,500, RYLA
\$2,844, YOUTH EXCHANGE \$5,633, PAUL HARRIS PAYMENTS \$3,625, AND OTHER \$1,000
FORM 990EZ; PART I; LINE 16; OTHER EXPENSES INCLUDE: ADVERTISING \$254, INSURANCE \$144,
MEMBERSHIP AND DUES \$17,693, AND TRAVEL AND MEETINGS \$1,464
FORM 990 EZ; PART II; LINE 24; OTHER ASSETS INCLUDE: PREPAID EXPENSES \$1,000; AND
UNDEPOSITED FUNDS \$100
FORM 990EZ; PART II; LINE 26; TOTAL LIABILITIES INCLUDE: ACCOUNTS PAYABLE \$2,272, US BANK
CREDIT CARDS \$52 AND PREPAID MEMBER DUES \$10,960

Check if the organization used Schedule O to respond to any question in this Part II ☐

Part III Statement of Program Service Accomplishments (see the instructions for Part III)

Check if the organization used Schedule O to respond to any question in this Part III . . . ☐

What is the organization's primary exempt purpose?

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title.

(Required for section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts, optional for others)

Part IV List of Officers, Directors, Trustees, and Key Employees. List each one even if not compensated (see the instructions for Part IV)

Part IV List of Officers, Directors, Trustees, and Key Employees. List each one even if not compensated (see the instructions for Part IV)

Check if the organization used Schedule O to respond to any question in this Part IV

JSA
1E1009 1 000 02702017 Form 990-EZ (2011)