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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
SMIKIS FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)
1660 S HWY 100 PARKDALE PLAZA NO
426

City or town, state, and ZIP code
ST LOUIS PARK, MN 55416

A Employer identification number
41-1742700

B Telephone number (see page 10 of the instructions)
(952) 512-1165

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 14,042,916

J Accounting method

☒ Cash

☐ Accrual

☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	214,170			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	49,449	49,449		
	4 Dividends and interest from securities.	159,741	159,741		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,531,538			
	b Gross sales price for all assets on line 6a _____ 1,353,525				
	7 Capital gain net income (from Part IV, line 2)		1,531,538		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	292,963	-15,105		
	12 Total. Add lines 1 through 11	2,247,861	1,725,623		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	207,798	207,798		0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	15,259	5,234		25
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).				
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	223,057	213,032		25
	25 Contributions, gifts, grants paid.	643,500			643,500
	26 Total expenses and disbursements. Add lines 24 and 25	866,557	213,032		643,525
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	1,381,304			
	b Net investment income (if negative, enter -0-)		1,512,591		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	1,236		
	2	Savings and temporary cash investments	1,859,982	2,581,243	2,581,243
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	19,896	19,896	763,264
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	10,126,214	10,787,493	10,698,409
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	12,007,328	13,388,632	14,042,916	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	7,674,413	7,674,413	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	4,332,915	5,714,219	
	30	Total net assets or fund balances (see page 17 of the instructions)	12,007,328	13,388,632	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	12,007,328	13,388,632	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 12,007,328
2	Enter amount from Part I, line 27a	2 1,381,304
3	Other increases not included in line 2 (itemize) ▶ _____	3 0
4	Add lines 1, 2, and 3	4 13,388,632
5	Decreases not included in line 2 (itemize) ▶ _____	5 0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 13,388,632

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,531,538
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	475,025	12,893,414	0 036842
2009	551,051	11,890,173	0 046345
2008	549,025	14,749,336	0 037224
2007	690,525	16,569,049	0 041676
2006	712,737	15,104,323	0 047188

2	Total of line 1, column (d).	2	0 209275
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 041855
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	14,283,851
5	Multiply line 4 by line 3.	5	597,851
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	15,126
7	Add lines 5 and 6.	7	612,977
8	Enter qualifying distributions from Part XII, line 4.	8	643,525

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	15,126
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3		Add lines 1 and 2.		3	15,126
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	15,126
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	15,260	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c	25,000	
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments. Add lines 6a through 6d.		7	40,260
8		Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	25,134
11		Enter the amount of line 10 to be Credited to 2012 estimated tax ☒ 25,134	Refunded ☐	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0 (2) On foundation managers ☒ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	Yes	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☒ MN			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of JOANNE KLETSCHER Telephone no (952) 512-1165 Located at 1660 S HWY 100 STE 426 ST LOUIS PARK MN ZIP+4 55416			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year.			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	15		
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	☐ Yes	☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.		5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	☐ Yes	☐ No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LUCY B HARTWELL 3350 NORTHOME ROAD WAYZATA, MN 55391	DIRECTOR 0 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	12,425,768
b	Average of monthly cash balances.	1b	2,075,604
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	14,501,372
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	14,501,372
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	217,521
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	14,283,851
6	Minimum investment return. Enter 5% of line 5.	6	714,193

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	714,193
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	15,126
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	15,126
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	699,067
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	699,067
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	699,067

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	643,525
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	643,525
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	15,126
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	628,399
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				699,067
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only.			469,564	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2011				
a From 2006.				
b From 2007.				
c From 2008.				
d From 2009.				
e From 2010.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 643,525				
a Applied to 2010, but not more than line 2a			469,564	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2011 distributable amount.				173,961
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.				525,106
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).	0			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).	0			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9				
a Excess from 2007. . . .				
b Excess from 2008. . . .				
c Excess from 2009. . . .				
d Excess from 2010. . . .				
e Excess from 2011. . . .				

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

LUCY B HARTWELL
1660 S HWY 100 SUITE 426
ST LOUIS PARK, MN 55416
(952) 512-1165

b

The form in which applications should be submitted and information and materials they should include

PAST AND PRESENT INFORMATION ABOUT THE APPLICANT, BUDGET, PROJECTIONS, USE OF FUNDS, OTHER FUND RAISING EFFORTS, TAX EXEMPTION LETTER, ETC

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	643,500
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments			14	49,449	
4	Dividends and interest from securities. . . .			14	159,741	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.			14	292,963	
8	Gain or (loss) from sales of assets other than inventory			18	1,531,538	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e). .		0		2,033,691	0
13	Total. Add line 12, columns (b), (d), and (e).			13		2,033,691

[illegible]

Part XVII

1

(a)

2.

1

4.

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2011

Name of organization SMIKIS FOUNDATION	Employer identification number 41-1742700
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule—

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization SMIKIS FOUNDATION	Employer identification number 41-1742700
--	---

Part I **Contributors** (see instructions) Use duplicate copies of Part III
 additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
<u>1</u>	CHARITABLE LEAD ANNUITY TRUST 2 1660 S HWY 100 SUITE 426 ST LOUIS PARK, MN 55416	\$ 16,230	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>2</u>	CHARITABLE LEAD ANNUITY TRUST NO 1 1660 S HWY 100 SUITE 426 ST LOUIS PARK, MN 55416	\$ 173,876	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>3</u>	LUCY B HARTWELL 1660 S HWY 100 SUITE 426 ST LOUIS PARK, MN 55416	\$ 24,064	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization SMIKIS FOUNDATION	Employer identification number 41-1742700
--	---

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

[illegible]

Name of organization SMIKIS FOUNDATION	Employer identification number 41-1742700
--	---

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete columns (a) through (e) and the following line entry)

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ➤ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

Additional Data

Software ID:
Software Version:
EIN: 41-1742700
Name: SMIKIS FOUNDATION

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
405 SHARE AMYRIS INC	P	2009-03-01	2011-11-30
103 3731 EVERGREEN SMALLCAP FUND	P	2003-03-31	2011-07-31
50 1333 EVERGREEN SMALLCAP FUND II	P		2011-04-30
61 5464 EVERGREEN SMALLCAP FUND II	P	1998-04-27	2011-07-31
246 8861 EVERGREEN TAX ADVANTAGED FUND	P		2011-07-31
40 5226 EVERGREEN TAX ADVANTAGED FUND	P		2011-08-31
4921 06 SHARES TESLA MOTORS	P	2008-02-15	2011-01-07
EVERGREEN LARGE CAP CORE	P		
EVERGREEN LARGE CAP CORE	P		
EVERGREEN SMALLCAP FUND	P		
EVERGREEN SMALLCAP FUND	P		
EVERGREEN SMALLCAP FUND	P		
EVERGREEN SMALLCAP FUND II	P		
EVERGREEN SMALLCAP FUND II	P		
EVERGREEN SMALLCAP FUND II	P		
EVERGREEN HIGHBRIDGE FUND	P		
EVERGREEN HIGHBRIDGE FUND	P		
EVERGREEN HIGHBRIDGE FUND	P		
EVERGREEN SHORT TERM FIXED INCOME	P		
EVERGREEN TAX ADVANTAGED FUND	P		
EVERGREEN TAX ADVANTAGED FUND	P		
ENRON LITIGATION	P		
POCKET LLC	P		
POCKET LLC	P		
POCKET LLC	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,008		5,725	5,283
200,000		136,583	63,417
200,000		134,460	65,540
230,000		150,280	79,720
500,000		322,721	177,279
77,153		48,633	28,520
135,364		18,366	116,998
			123,678
			590,650
			-19,261
			84,269
			108
			-14,642
			148,564
			23
			-34,214
			15,963
			1,729
			778
			1,714
			48,931
			662
			22,763
			4,709
			18,357

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,283
			63,417
			65,540
			79,720
			177,279
			28,520
			116,998
			123,678
			590,650
			-19,261
			84,269
			108
			-14,642
			148,564
			23
			-34,214
			15,963
			1,729
			778
			1,714
			48,931
			662
			22,763
			4,709
			18,357

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
1000 FRIENDS OF MINNESOTA - ENVISION MINNESOTA26 EAST EXCHANGE STREET SUITE 317 ST PAUL,MN 55101		PUBLIC CHARITY	THOUGHTFUL DEVELOPMENT AND THRIVING COMMUNITIES IN MINNESOTA	2,500
ACES1115 E HENNEPIN MINNEAPOLIS,MN 55414		PUBLIC CHARITY	IMPROVE PUBLIC EDUCATION	10,000
ADMISSION POSSIBLE450 NORTH SYNDICATE STREET SUITE 200 ST PAUL,MN 55104		PUBLIC CHARITY	COMMITTED TO EVERY STUDENT HAVING ACCESS TO BEST COLLEGE ADMISSIONS ADVICE FREE OF CHARGE	25,000
AUDUBON MINNESOTA2357 VENTURA DRIVE SUITE 106 ST PAUL,MN 55125		PUBLIC CHARITY	PROTECT ENVIRONMENT CONSERVE AND RESTORE NATURAL ECOSYSTEMS	3,000
AXIS OF HOPE621 COMMONWEALTH AVE BOSTON,MA 02215		PUBLIC CHARITY	DEVELOPING YOUNG ADULTS CHANGE LANDSCAPE OF CONFLICT	5,000
BELWIN1660 S HWY 100 SUITE 426 ST LOUIS PARK,MN 55416		PUBLIC CHARITY	IN MINNESOTA INSPIRE CONNECTION TO NATURAL WORLD	60,000
BOLDER OPTIONS2100 STEVENS AVENUE MINNEAPOLIS,MN 55404		PUBLIC CHARITY	HEALTHY YOUTH DEVELOPMENT	15,000
CARING FOR KIDS INITIATIVE 110 GRAND AVENUE WAYZATA,MN 55391		PUBLIC CHARITY	SCHOLARSHIP PROGRAM FOR LOW INCOME FAMILIES	2,000
CENTER FOR VICTIMS OF TORTURE717 EAST RIVE ROAD MINNEAPOLIS,MN 55455		PUBLIC CHARITY	DIRECT CARE FOR THOSE TORTURED IN U S AND AROUND THE WORLD AND END TORTORE WORLD WIDE	15,000
CONSERVATION MINNESOTA 1101 W RIVER PKWY 250 MINNEAPOLIS,MN 55415		PUBLIC CHARITY	CONSERVATION OF LAND AND LAKES	5,000
GENESYS WORKS380 JACKSON STREET SUITE 250 ST PAUL,MN 55101		PUBLIC CHARITY	ENABLE INNER CITY HIGH SCHOOL STUDENTS TO BREAK THROUGH BARRIERS AND DISCOVER MEANINGFUL WORK	5,000
HOPE COMMUNITY611 EAST FRANKLIN AVENUE MINNEAPOLIS,MN 55404		PUBLIC CHARITY	TURNING ABANDONED SPACES INTO HOMES FOR FAMILIES IN NEED	1,000
INNERCITY TENNIS4005 NICOLLET AVENUE SOUTH MINNEAPOLIS,MN 55409		PUBLIC CHARITY	FOSTER DEVELOPMENT OF CITIZENSHIP SCHOLARSHIP AND FAIR PLAY	1,000
INTERFAITH OUTREACH AND COMMUNITY PARTNERS110 GRAND AVE S WAYZATA,MN 55391		PUBLIC CHARITY	RESPOND TO IMMEDIATE NEEDS FOR DISADVANTAGED FAMILIES AND BUILD LONG TERM STABILITY	20,000
KIPP135 MAIN STREET SUITE 1700 SAN FRANCISCO,CA 94105		PUBLIC CHARITY	FREE OPEN ENROLLMENT COLLEGE PREPATORY SCHOOLS IN UNDER RESOURCED COMMUNITIES	50,000
LAND TRUST ALLIANCE1331 H STREET NW SUITE 400 WASHINGTON,DC 200054734		PUBLIC CHARITY	PROMOTES VOLUNTARY LAND CONSERVATION	5,000
MD ANDERSON CANCER CENTER 1515 HOLCOMBE BLVD HOUSTON,TX 77030		PUBLIC CHARITY	EXCEPTIONAL RESEARCH AND MEDICAL CARE FOR CANCER PATIENTS	5,000
MENTORING PARTNERSHIP OF MINNESOTA81 SOUTH 9TH STREET SUITE 200 MINNEAPOLIS,MN 55402		PUBLIC CHARITY	BUILD AND SUSTAIN QUALITY MENTORING FOR EVERY CHILD	15,000
MICROGRANTS1035 EAST FRANKLIN AVENUE MINNEAPOLIS,MN 55404		PUBLIC CHARITY	CONNECT AFFLUENT WITH LOW INCOME INDIVIDUALS TO HELP BECOME SELF SUFFICIENT	6,000
MINNEAPOLIS RECREATION DEVELOPMENT CENTER7200 YORK AVE S 307 MINNEAPOLIS,MN 55435		PUBLIC CHARITY	DEVELOP LIFE LONG SKILLS AND BASIC NEEDS FOR INNER CITY YOUTH	3,000
MINNESOTA LAND TRUST2356 UNIVERSITY AVENUE WEST SUITE 240 ST PAUL,MN 55114		PUBLIC CHARITY	PROTECT OPEN SPACE IN MINNESOTA	25,000
NATURE CONSERVANCY OF MINNESOTA1101 WEST RIVER PARKWAY SUITE 200 MINNEAPOLIS,MN 554151291		PUBLIC CHARITY	PROTECT LAND AND WATERS ON WHICH DIVERSITY OF LIFE DEPENDS	24,000
NEIGHBORHOOD DEVELOPMENT CENTER663 UNIVERSITY AVENUE SUITE 200 ST PAUL,MN 55104		PUBLIC CHARITY	EMPOWER ENTREPRENEURS TO TRANSFORM LOW INCOME NEIGHBORHOOD ECONOMIES FROM WITHIN	6,000
NORTHSIDE ACHIEVEMENT ZONE 1200 WEST BROADWAY AVE SUITE 250 MINNEAPOLIS,MN 55411		PUBLIC CHARITY	BUILD CULTURE OF ACHIEVEMENT SO ALL YOUTH GRADUATE COLLEGE READY	20,000
OPPORTUNITY INTERNATIONAL 12625 HIGH BLUFF DRIVE SUITE 111 SAN DIEGO,CA 92130		PUBLIC CHARITY	HELP POOR BREAK CYCLE POVERTY PROVIDE FINANCIAL ASSISTANCE	5,000
PATHWAYS3115 HENNEPIN AVENUE SOUTH MINNEAPOLIS,MN 55408		PUBLIC CHARITY	PROVIDE COMPASSIONATE HEALTH CARE AND HOSPICE TO FAMILIES REGARDLESS OF INCOME	17,000
PEOPLE INCORPORATED317 YORK AVENUE ST PAUL,MN 55130		PUBLIC CHARITY	PROMOTES INDEPENDENCE AND COMMUNITY INTEGRATION FOR PEOPLE WITH BRAIN DISORDERS	20,000
POSITIVE COACHING ALLIANCE 1001 N RENGSTORFF AVE SUITE 100 MOUNTAIN VIEW,CA 94043		PUBLIC CHARITY	PROVIDE YOUTH ATHLETES A POSITIVE CHARACTER BUIDLING YOUTH SPORTS EXPERIENCE	20,000
PROJECT FOR PRIDE IN LIVING 1035 EAST FRANKLIN AVENUE MINNEAPOLIS,MN 554042929		PUBLIC CHARITY	WORK WITH FAMILIES IN TWIN CITIES METOR TO ACHIEVE GREATER SELF SUFFICIENCY	20,000
PROJECT SUCCESS2124 DUPONT AVE SOUTH MINNEAPOLIS,MN 55405		PUBLIC CHARITY	YOUTH DEVELOPMENT PLAN MEANINGFUL FUTURES	80,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
STUDENTS TODAY LEADERS FOREVER619 SOUTH 10TH STREET SUITE L10 MINNEAPOLIS,MN 55404		PUBLIC CHARITY	REVEAL LEADERSHIP THROUGH SECURE RELATIONSHIPS AND ACTION	25,000
SUMMITT ACADAMY935 OLSON MEMORIAL HIGHWAY MINNEAPOLIS,MN 55405		PUBLIC CHARITY	EDUCATION AND VOCATIONAL TRAINING ECONOMICALLY DEPRESSED NEIGHBORHOODS	25,000
TEACH FOR AMERICA315 WEST 36TH STREET 7TH FLOOR NEWYORK,NY 10018		PUBLIC CHARITY	RECENT COLLEGE GRADS TEACH TO EFFECT CHANGE IN UNDE RESOURCED URBAN AND RURAL AREAS	10,000
TREE TRUST2350 WYCLIFF STREET SUITE 200 ST PAUL,MN 55114		PUBLIC CHARITY	IMPROVE COMMUNITY ENVIRONMENT BY INVESTING IN PEOPLE	2,000
TRUST FOR PUBLIC LAND2610 UNIVERSITY AVENUE SUITE 300 ST PAUL,MN 55114		PUBLIC CHARITY	LAND CONSERVATION PROGRAM	5,000
TWIN CITIES RISE800 WASHINGTON AVENUE NORTH SUITE 203 MINNEAPOLIS,MN 55401		PUBLIC CHARITY	PROVIDE EMPLOYERS IN TWIN CITIES METRO WITH TRAINED MEN FROM COMMUNITIES OF COLOR	50,000
UNIVERSITY OF MN FOUNDATION - HUMAN RIGHTS CENTER229- 19TH AVENUE SOUTH ROOM 381 MINNEAPOLIS,MN 55455		PUBLIC CHARITY	ASSISTS HUMAN RIGHTS ADVOCATES AND PROMOTE A CULTURE OF HUMAN RIGHTS	3,000
WHOLE LEARNING CENTER12325 HIGHWAY 55 ST LOUIS PARK,MN 55441		PUBLIC CHARITY	EDUCATION OUTSIDE OF THE BOX	10,000
WILDERNESS INQUIRY808 - 14TH AVENUE SE MINNEAPOLIS,MN 554141516		PUBLIC CHARITY	HELPS PEOPLE FROM ALL WALKS OF LIFE PERSONALLY EXPERIENCE NATURAL WORLD	1,000
YOUTH FARM AND MARKET PROJECT821 EAST 35TH STREET MINNEAPOLIS,MN 55407		PUBLIC CHARITY	YOUTH DEVELOPMENT BUILD LEADERS PROMOTE HEALTHY BODIES AND MINDS	2,000
YOUTH FRONTIERS6009 EXCELSIOR BOULEVARD MINNEAPOLIS,MN 55416		PUBLIC CHARITY	BUILD POSITIVE SCHOOL COMMUNITIES	20,000
Total  3a				643,500

TY 2011 Accounting Fees Schedule

Name: SMIKIS FOUNDATION
EIN: 41-1742700

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	83,357	83,357		0
EVERGREEN TAX ADVANTAGED FUND	687	687		0
EVERGREEN SMALLCAP FUND	3,433	3,433		0
EVERGREEN SMALLCAP FUND II	4,049	4,049		0
LARGE CAP CORE I	39,554	39,554		0
EVERGREEN SHORT TERM FIXED INCOME	542	542		0
POCKET LLC	65,205	65,205		0
	0	0		0
	0	0		0
EVERGREEN HIGHBRIDGE FUND	10,971	10,971		0
	0	0		0
	0	0		0
	0	0		0
	0	0		0
	0	0		0
	0	0		0
	0	0		0
WAYZATA OPPORTUNITY FUND IA	0	0		0
WESTLY CAPITAL FUND	0	0		0
	0	0		0

**TY 2011 Investments Corporate
Stock Schedule****Name:** SMIKIS FOUNDATION**EIN:** 41-1742700

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GENERAL MILLS INC.	19,896	763,264

TY 2011 Investments - Other Schedule**Name:** SMIKIS FOUNDATION**EIN:** 41-1742700

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
EURO-PACIFIC GROWTH FUND	AT COST	841,496	969,996
CORAL VENTURES V	AT COST	141,232	19,251
ML WARBURG PINCUS TRUST	AT COST	67,220	19,244
EVERGREEN SMALLCAP FUND II	AT COST	503,505	673,793
FLAG VENTURE PARTNERS III, L.P.	AT COST	163,698	79,316
FLAG VENTURE PARTNERS IV L.P.	AT COST	28,131	21,792
EVERGREEN SMALLCAP FUND	AT COST	492,635	659,555
EVERGREEN LARGE CAP CORE I	AT COST	4,103,632	4,169,853
J P MORGAN U.S. REAL ESTATE INCOME	AT COST	626,632	331,124
INVESCO REAL ESTATE FUND	AT COST	427,039	282,555
J.P. MORGAN REIT	AT COST	165	165
WAYZATA OPPORTUNITY FUND LLC	AT COST	136,826	267,614
WAYZATA OPPORTUNITY FUND LLC II	AT COST	251,973	404,656
WAYZATA OPPORTUNITY FUN LLC IA	AT COST	51,439	43,808
WESTLY CAPITAL FUNDS	AT COST	338,191	222,469
VANGUARD FTSE ALL-WORLD FUND	AT COST	999,973	924,440
EVERGREEN HIGH BRIDGE FUND	AT COST	650,897	640,653
EVERGREEN SHORT TERM FIXED INCOME FUND	AT COST	663,977	669,293
EUROPEAN SECONDARY OPPORTUNITIES FUND	AT COST	59,517	59,517
HARRISON STREET REAL ESTATE PARTNERS	AT COST	200,000	200,000
WESTLY CAPITAL FUNDS II	AT COST	39,315	39,315

TY 2011 Other Income Schedule

Name: SMIKIS FOUNDATION

EIN: 41-1742700

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PARTNERSHIP INCOME	292,963	0	292,963

TY 2011 Taxes Schedule

Name: SMIKIS FOUNDATION

EIN: 41-1742700

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MINNESOTA FILING FEE	25	0		25
FOREIGN TAXES	5,198	5,198		0
EVERGREEN LARGE CAP CORE	36	36		0
FEDERAL TAXES	10,000	0		0