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Check if Schedule O contains a response to any question in this Part III ☒

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☒ **Yes** ☐ **No**
If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a	(Code) (Expenses \$ 2,360,904 including grants of \$ 1,855,591) (Revenue \$)
	IN 2011 THE COMMUNITY FOUNDATION AWARDED \$1,855,591 IN GRANTS AND SCHOLARSHIPS TOTAL GRANTS AWARDED WERE \$1,584,578 (INCLUDING INTERFUND GRANTS) COMPRISED OF 29% UNRESTRICTED FUNDS, 34% DESIGNATED, 19% FIELD OF INTEREST AND 18% DONOR ADVISED THE LARGEST PROGRAM AREA SUPPORTED WAS HUMAN SERVICES WITH 46% OF GRANT FUNDS, FOLLOWED BY 22% IN EDUCATION, 16% IN ARTS, 8% IN COMMUNITY AND ECONOMIC DEVELOPMENT, AND 8% IN ENVIRONMENT OVER 60% OF THE GRANTS FOR 2011 WERE AWARDED WITHIN ST LOUIS COUNTY IN 2011 THE COMMUNITY FOUNDATION AWARDED \$151,033 SCHOLARSHIPS FROM ENDOWED FUNDS, AND \$119,250 FROM 11 TEMPORARY SCHOLARSHIP FUNDS THAT THE FOUNDATION PROVIDES SCHOLARSHIP SERVICES IN ADDITION THE FOUNDATION PROVIDED SCHOLARSHIPS TOTALING \$554,300

4b	(Code) (Expenses \$ 212,537 including grants of \$) (Revenue \$)
	PUBLIC EDUCATION IN 2011, THE COMMUNITY FOUNDATION HELD A PUBLIC PRESENTATION WITH PUBLIC WORKSHOPS ON THE KNIGHT SOUL OF THE COMMUNITY (SOTC) STUDY THE SOTC STUDY FOCUSED ON FACTORS THAT RANK HIGH IN CREATING COMMUNITY ATTACHMENT, WHILE WORKSHOPS FOCUSED ON IDEAS TO IMPROVE PERCEPTIONS IN KEY AREAS THE COMMUNITY FOUNDATION INITIATIVE OF ATTRACTING AND RETAINING YOUNG LEADERS EFFORTS SHOWED THE NEEDS FOR AND FUNDED GRANTS FOR A TWIN PORTS CONNECTS PROJECT AS WELL AS SPONSORED THE 20 UNDER 40 ANNUAL AWARDS

[illegible]

4d Other program services (Describe in Schedule O)
(Expenses \$ including grants of \$) (Revenue \$)

4e	Total program service expenses	\$ 2,573,441
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Part IV

Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A.	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I.		No
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II.		No
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III.		
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I.	Yes	
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II.		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III.		No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV.		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V.	Yes	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI.	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII.	Yes	
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII.		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX.		No
e	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X.	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X.	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII.	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional.		No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E.		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?		No
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Part I.		No
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U.S.? If "Yes," complete Schedule F, Part II and IV.		No
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U.S.? If "Yes," complete Schedule F, Part III and IV.		No
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I.		No
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II.		No
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III.		No
20a	Did the organization operate one or more hospitals? If "Yes," complete Schedule H.		No
b	If "Yes" to line 20a, did the organization attach its audited financial statement to this return? Note. All Form 990 filers that operated one or more hospitals must attach audited financial statements.		

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23		No
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to line 25</i>	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34		No
35a	Is any related organization a controlled entity of the filing organization within the meaning of section 512(b)(13)?	35a		No
b	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b		No
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance			
Check if Schedule O contains a response to any question in this Part V ☐			
		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable. .	1a	6
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	1b	0
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	
2a	Enter the number of employees reported on Form W-3, <i>Transmittal of Wage and Tax Statements</i> filed for the calendar year ending with or within the year covered by this return.	2a	10
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	2b	Yes
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	No
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account or securities account)?	4a	Yes
b	If "Yes," enter the name of the foreign country: <u>BD, CJ</u> See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6a	No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	No
d	If "Yes," indicate the number of Forms 8282 filed during the year.	7d	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	No
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?	9a	No
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b	No
10	Section 501(c)(7) organizations. Enter		
a	Initiation fees and capital contributions included on Part VIII, line 12.	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b	
11	Section 501(c)(12) organizations. Enter		
a	Gross income from members or shareholders.	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b	
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. All 501(c)(29) organizations must list in Schedule O each state in which they are licensed to issue qualified health plans, the amount of reserves required by each state, and the amount of reserves the organization allocated to each state.	13a	
b	Enter the aggregate amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b	
c	Enter the aggregate amount of reserves on hand.	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	No
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b	

Part VI

Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.
Check if Schedule O contains a response to any question in this Part VI ☒

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year		
1a	12		
b	Enter the number of voting members included in line 1a, above, who are independent	1b	12
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
6	Did the organization have members or stockholders?	6	No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
a	The governing body?	8a	Yes
b	Each committee with authority to act on behalf of the governing body?	8b	Yes
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
b	Describe in Schedule O the process, if any, used by the organization to review the Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
b	Were officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes
13	Did the organization have a written whistleblower policy?	13	Yes
14	Did the organization have a written document retention and destruction policy?	14	Yes
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	15a	Yes
b	Other officers or key employees of the organization	15b	Yes
	If "Yes," to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed ☒ MN , WI
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. ☐ Own website ☒ Another's website ☒ Upon request
19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization ☒ JOHN M FOSCHI 222 E SUPERIOR ST SUITE 302 DULUTH, MN 55802 (218) 726-0232

Part VII

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

Check this box if neither the organization nor any related organizations compensated any current or former officer, director, or trustee

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) MARLENE DAVID TRUSTEE	1 00	X						0	0	0
(2) LYLE NORTHEY IMMEDIATE PAST CHAIR	1 00	X						0	0	0
(3) RYAN BOMAN TRUSTEE	1 00	X						0	0	0
(4) JENNIFER CAREY CHAIR	1 00	X		X				0	0	0
(5) PHILLIP ROLLE TRUSTEE	1 00	X						0	0	0
(6) AREND SANDBULTE TRUSTEE	1 00	X						0	0	0
(7) HOWARD KLATZKY VICE CHAIR	1 00	X		X				0	0	0
(8) LEROY KOLQUIST TREASURER	1 00	X		X				0	0	0
(9) JAMES ZASTROW TRUSTEE	1 00	X						0	0	0
(10) CLAUDIA SCOTT WELTY SECRETARY	1 00	X		X				0	0	0
(11) AMY KURONEN TRUSTEE	1 00	X						0	0	0
(12) MIA THIBODEAU TRUSTEE	1 00	X						0	0	0
(13) HOLLY SAMPSON PRESIDENT	40 00			X				126,021	0	13,786

Part VII

1b	Sub-Total	▼			
c	Total from continuation sheets to Part VII, Section A	▼			
d	Total (add lines 1b and 1c)	▼	126,021	0	13,786

2 Total number of individuals (including but not limited to those listed in Item 1) who received more than \$100,000 of reportable compensation from the organization. 1

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3	No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4	No
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ►0

Part VIII

Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . .	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c				
	d	Related organizations	1d				
	e	Government grants (contributions)	1e				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	1,647,361			
	g	Noncash contributions included in lines 1a-1f \$ 342,201					
	h	Total. Add lines 1a-1f		1,647,361			
Program Service Revenue	2a		Business Code				
	b						
	c						
	d						
	e						
	f	All other program service revenue					
	g	Total. Add lines 2a-2f					
	Other Revenue	3	Investment income (including dividends, interest and other similar amounts)		855,771		
4		Income from investment of tax-exempt bond proceeds . .					
5		Royalties					
6a		Gross rents	(i) Real	(ii) Personal			
b		Less rental expenses					
c		Rental income or (loss)					
d		Net rental income or (loss)					
7a		Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other			
b		Less cost or other basis and sales expenses					
c		Gain or (loss)					
d		Net gain or (loss)			1,291,386		1,291,386
8a		Gross income from fundraising events (not including \$ of contributions reported on line 1c) See Part IV, line 18	a				
b		Less direct expenses	b				
c		Net income or (loss) from fundraising events . .					
9a		Gross income from gaming activities See Part IV, line 19	a				
b		Less direct expenses	b				
c		Net income or (loss) from gaming activities . .					
10a		Gross sales of inventory, less returns and allowances	a				
b		Less cost of goods sold . . .	b				
c		Net income or (loss) from sales of inventory . .					
		Miscellaneous Revenue	Business Code				
11a		REFUNDS	900099	1,171			1,171
b	MISCELLANEOUS	900099	1,072			1,072	
c							
d	All other revenue						
e	Total. Add lines 11a-11d		2,243				
12	Total revenue. See Instructions		3,796,761	0	0	2,149,400	

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D)

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States See Part IV, line 21	1,585,245	1,585,245		
2	Grants and other assistance to individuals in the United States See Part IV, line 22	270,346	270,346		
3	Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees	139,807	78,777	27,895	33,135
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7	Other salaries and wages	333,801	187,969	66,642	79,190
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)				
9	Other employee benefits	51,859	29,388	10,288	12,183
10	Payroll taxes	31,069	17,566	6,115	7,388
11	Fees for services (non-employees)				
a	Management				
b	Legal	1,300	1,015	149	136
c	Accounting	17,000	13,275	1,954	1,771
d	Lobbying				
e	Professional fundraising See Part IV, line 17				
f	Investment management fees	196,147	196,147		
g	Other	46,509	36,318	5,346	4,845
12	Advertising and promotion	28,126	26,922	1,005	199
13	Office expenses	14,098	8,620	1,754	3,724
14	Information technology				
15	Royalties				
16	Occupancy	30,369	16,602	5,848	7,919
17	Travel	4,253	2,318	104	1,831
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	7,702	3,757	3,552	393
20	Interest				
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	4,690	2,605	1,058	1,027
23	Insurance	7,323	4,195	1,586	1,542
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O)				
a	PRINTING	50,632	15,865	71	34,696
b	PUBLIC EDUCATION MEETIN	32,654	27,604	3,624	1,426
c	EQUIPMENT	30,069	16,274	7,340	6,455
d	MISCELLANEOUS	27,397	24,821	1,333	1,243
e					
f	All other expenses	10,968	7,812	859	2,297
25	Total functional expenses. Add lines 1 through 24f	2,921,364	2,573,441	146,523	201,400
26	Joint costs. Check here ☐ if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X

Balance Sheet

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing				1	
	2	Savings and temporary cash investments			719,927	2	1,382,123
	3	Pledges and grants receivable, net			1,276,645	3	1,030,234
	4	Accounts receivable, net			18,366	4	6,225
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L				5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L				6	
	7	Notes and loans receivable, net				7	
	8	Inventories for sale or use				8	
	9	Prepaid expenses and deferred charges			232	9	
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a	132,257	7,606	10c	20,876
	b	Less accumulated depreciation	10b	111,381			
	11	Investments—publicly traded securities			34,179,295	11	33,588,263
	12	Investments—other securities See Part IV, line 11			15,398,540	12	12,630,825
	13	Investments—program-related See Part IV, line 11				13	
	14	Intangible assets				14	
	15	Other assets See Part IV, line 11			199,799	15	199,799
	16	Total assets. Add lines 1 through 15 (must equal line 34)			51,800,410	16	48,858,345
Liabilities	17	Accounts payable and accrued expenses			460,355	17	683,114
	18	Grants payable				18	
	19	Deferred revenue				19	
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D				21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L				22	
	23	Secured mortgages and notes payable to unrelated third parties				23	
	24	Unsecured notes and loans payable to unrelated third parties				24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D			1,373,908	25	1,068,890
	26	Total liabilities. Add lines 17 through 25			1,834,263	26	1,752,004
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets			10,853,924	27	7,180,952
	28	Temporarily restricted net assets			32,020,728	28	33,025,686
	29	Permanently restricted net assets			7,091,495	29	6,899,703
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			49,966,147	33	47,106,341
	34	Total liabilities and net assets/fund balances			51,800,410	34	48,858,345

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	3,796,761
2	Total expenses (must equal Part IX, column (A), line 25)	2	2,921,364
3	Revenue less expenses Subtract line 2 from line 1	3	875,397
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	49,966,147
5	Other changes in net assets or fund balances (explain in Schedule O)	5	-3,735,203
6	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	47,106,341

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		No
b	Were the organization's financial statements audited by an independent accountant?	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separated basis		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public
Inspection

Name of the organization DULUTH SUPERIOR AREA COMMUNITY FOUNDATION	Employer identification number 41-1429402
---	--

Part I Reason for Public Charity Status

(All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1

☐

A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**
- 2

☐

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☒

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 10

☐

An organization organized and operated exclusively to test for public safety Se**section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other

e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box

g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i)

a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?

(ii)

a family member of a person described in (i) above?

(iii)

a 35% controlled entity of a person described in (i) or (ii) above?

h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support?
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	3,713,893	4,053,018	2,064,180	1,927,709	1,647,361	13,406,161
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	3,713,893	4,053,018	2,064,180	1,927,709	1,647,361	13,406,161
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						379,198
6 Public Support. Subtract line 5 from line 4						13,026,963

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
7 Amounts from line 4	3,713,893	4,053,018	2,064,180	1,927,709	1,647,361	13,406,161
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	737,650	600,761	423,179	520,275	855,810	3,137,675
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets						
11 Total support (Add lines 7 through 10)						16,543,836

12 Gross receipts from related activities, etc (See instructions)

12

13 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2011 (line 6 column (f) divided by line 11 column (f))	14	78 740 %
15 Public Support Percentage for 2010 Schedule A, Part II, line 14	15	79 540 %

16a 33 1/3% support test—2011. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support test—2010. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization

17a 10%-facts-and-circumstances test—2011. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization

b 10%-facts-and-circumstances test—2010. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization

18 Private Foundation If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions

Part IIIPart III

Support Schedule for Organizations Described in IRC 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public Support (Subtract line 7c from line 6.)						

Section B. Total Support						
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11 and 12.)						
14 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage		
15 Public Support Percentage for 2011 (line 8 column (f) divided by line 13 column (f))	15	
16 Public support percentage from 2010 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2011 (line 10c column (f) divided by line 13 column (f))	17	
18 Investment income percentage from 2010 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2011. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization		
b 33 1/3% support tests—2010. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization		
20 Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions		

Part IV **Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
DULUTH SUPERIOR AREA COMMUNITY FOUNDATION

Employer identification number
41-1429402

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	24
2	Aggregate contributions to (during year)	376,313
3	Aggregate grants from (during year)	215,378
4	Aggregate value at end of year	5,942,745

5

Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?

☒ Yes ☐ No

6

Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit

☒ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or pleasure) ☐ Preservation of an historically importantly land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ► _____

4

Number of states where property subject to conservation easement is located ► _____

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ► _____

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year
► \$ _____

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i)

Revenues included in Form 990, Part VIII, line 1

► \$ _____

(ii)

Assets included in Form 990, Part X

► \$ _____

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1

► \$ _____

b

Assets included in Form 990, Part X

► \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization’s accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

- ☐ Public exhibition

☐ Loan or exchange programs
- ☐ Scholarly research

☐ Other
- ☐ Preservation for future generations

4 Provide a description of the organization’s collections and explain how they further the organization’s exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization’s collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No

b If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21? Yes No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a Beginning of year balance	49,298,329	44,206,525	35,343,030	50,172,041	
b Contributions	1,040,332	1,585,047	1,712,498	3,227,555	
c Investment earnings or losses	-1,610,231	5,246,287	9,283,468	-15,466,829	
d Grants or scholarships	1,558,115	1,252,885	1,318,536	1,600,534	
e Other expenditures for facilities and programs					
f Administrative expenses	704,430	486,645	813,935	989,203	
g End of year balance	46,465,885	49,298,329	44,206,525	35,343,030	

2 Provide the estimated percentage of the year end balance held as

- Board designated or quasi-endowment ▶
- Permanent endowment ▶
- Term endowment ▶

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

	Yes	No
(i) unrelated organizations	3a(i)	No
(ii) related organizations	3a(ii)	No
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b	

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment		132,257	111,381	20,876
e Other				
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).)				20,876

Part XI

Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	3,796,761
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	2,921,364
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	875,397
4	Net unrealized gains (losses) on investments	4	-3,735,203
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	-3,735,203
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	-2,859,806

Part XII

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	96,605
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments	2a	-3,735,204
b	Donated services and use of facilities	2b	35,048
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	-3,700,156
3	Subtract line 2e from line 1	3	3,796,761
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	0
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	3,796,761

Part XIII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	2,956,412
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	35,048
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	35,048
3	Subtract line 2e from line 1	3	2,921,364
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	0
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	2,921,364

Part XIV

Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Identifier	Return Reference	Explanation
DESCRIPTION OF UNCERTAIN TAX POSITIONS UNDER FIN 48	PART X	THE FOUNDATION IS EXEMPT FROM FEDERAL INCOME TAXES UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE AND UNDER SIMILAR PROVISIONS OF THE MINNESOTA INCOME TAX ACT. SHOULD THAT STATUS BE CHALLENGED IN THE FUTURE, THE FOUNDATION'S 2010, 2009, AND 2008 TAX YEARS ARE OPEN FOR EXAMINATION BY THE INTERNAL REVENUE SERVICE.

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
DULUTH SUPERIOR AREA COMMUNITY FOUNDATION

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2011

Open to Public
Inspection

Employer identification number
41-1429402

Part I

General Information on Grants and Assistance

- 1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ▶ ☐

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

74

3

Enter total number of other organizations listed in the line 1 table ▶

6

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Use Schedule I-1 (Form 990) if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) SCHOLARSHIPS	164	270,283			

Part IV

Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
PROCEDURE FOR MONITORING GRANTS IN THE U S	PART I, LINE 2	SCHEDULE I, PART I, LINE 2 DULUTH SUPERIOR AREA COMMUNITY FOUNDATION (DSACF) REQUIRES ALL GRANTEES TO SIGN AND ABIDE BY A GRANT AGREEMENT THAT REQUIRES THE GRANTEE TO USE GRANT MONIES ONLY FOR THE DESIGNATED PURPOSES DESCRIBED IN GRANT APPLICATION, MAINTAIN THEIR BOOKS/RECORDS TO ACCOUNT FOR SEPARATELY THE GRANT FUNDS AND EXPENDITURES, PERMIT DSACF ACCESS TO THEIR FILES/RECORDS, RETURN ANY UNUSED FUNDS, SUBMIT THE FINAL PROJECT REPORT BY A SET DATE THE DSACF DIRECTOR OF COMMUNITY PHILANTHROPY AND THEIR STAFF MONITORS THE GRANTEE'S COMPLETION OF THESE CRITERIA INCLUDING REPORT REVIEWS, RANDOM FIELD AND GRANTEE REQUIRED PROGRESS REPORTS AND OTHER WORK AS CONSIDERED NECESSARY TO ENSURE FULL GRANTEE COMPLIANCE WITH ALL TERMS OF THE GRANT AGREEMENT

Software ID:

Software Version:

EIN: 41-1429402

Name: DULUTH SUPERIOR AREA COMMUNITY FOUNDATION

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ANIMAL ALLIES HUMANE SOCIETY 4006 AIRPARK ROAD DULUTH,MN 55811	41-0917362	501(C)(3)	18,368				INTEREST ALLOCATION, BUILDING FUND, PROGRAM SUPPORT, ANNUAL MEETING THANK YOU, MERGER OF THREE TWIN PORTS HUMANE SOCIETIES
ARROWHEAD ECONOMIC OPPORTUNITY AGENCY702 SOUTH THIRD AVENUE VIRGINIA,MN 55792	41-6052144	501(C)(3)	20,000				ASSIST INDIVIDUALS IN NEED
ARC OF MINNESOTA800 TRANSFER ROAD SUITE 7A ST PAUL,MN 55114	41-0795254	501(C)(3)	13,692				HOUSING ACCESS SERVICES, TRANSITION TO COMMUNITY AND EMPLOYMENT
ARROWHEAD REGIONAL CORRECTIONS211 WEST 2ND STREET DULUTH,MN 55802	41-6005890	501(C)(3)	25,000				JUVENILE DETENTION ALTERNATIVES INTITIAIVE (JDAI)
BAYFIELD COMMUNITY EDUCATION FOUNDATION INC PO BOX 921 BAYFIELD,WI 54814	39-1843162	501(C)(3)	6,839				PROGRAM SUPPORT
BONG P-38 FUND INC305 HARBOR VIEW PARKWAY SUPERIOR,WI 54880	39-1647421	501(C)(3)	10,000				EDUCATION OUTREACH COORDINATOR
CATHOLIC CHARITIES BUREAU1416 CUMMING AVENUE SUPERIOR,WI 54880	39-1951615	501(C)(3)	6,000				LIVING WELL WITH LESS
CARLTON COUNTY CHILD NETWORK 509 CARLTON AVENUE CLOQUET,MN 55720	41-6000448	501(C)(3)	7,500				JUMP START 4 KINDERGARTEN
CHILDREN'S DENTAL SERVICES 636 BROADWAY STREET NE MINNEAPOLIS,MN 55413	41-0857929	501(C)(3)	5,000				DENTAL SERVICES EXPANSION IN DULUTH
CITY OF SILVER BAY7 DAVIS DRIVE SILVER BAY,MN 55614	41-6008798	170(C)(1)	12,000				PLANNING AND ZONING FOR THE SILVER BAY ECO-PARK, PRAIRIE FIRE THEATRE PRODUCTION
COOK COUNTY HIGHER EDUCATIONPO BOX 57 GRAND MARAIS,MN 55604	41-1920590	501(C)(3)	12,500				TECHNOLOGY ASSISTANCE PROJECT
CLOQUET EDUCATIONAL FOUNDATION302 14TH STREET CLOQUET,MN 55720	41-1543092	501(C)(3)	40,260				PROGRAM SUPPORT
COLLEGE OF ST SCHOLASTICA1200 KENWOOD AVENUE DULUTH,MN 55811	41-0698301	501(C)(3)	114,138				PLANNING A WEB PORTAL & PROFESSIONAL NETWORK, TOWER FUND - FINANCIAL AID SCHOLARSHIP, THE MYTH OF POLARIZED AMERICA A TALK BY MORRIS FIORINA, THE DISAPPEARING CENTER POLARIZATION AND DEMOCRACY, PERFORMANCE OF SR MARTINA'S "THE HIGHWAYMAN", WEB PORTAL AND PROFESSIONAL NETWORK FOR YOUNG ADULTS, "THE IMMORTAL LIFE OF HENRIETTA LACKS" EVENT, GREEN ROOFTOP GARDEN DEMONSTRATION PROJECT
COMMUNITY ACTION DULUTH19 NORTH 21ST AVENUE WEST DULUTH,MN 55806	41-1410670	501(C)(3)	39,000				PROGRAM SUPPORT, SEEDS OF SUCCESS URBAN AGRICULTURE, ALLY COMMUNITY ENGAGEMENT
DAMIANO OF DULUTH INC206 WEST FOURTH STREET DULUTH,MN 55802	41-1453521	501(C)(3)	14,440				BACK TO SCHOOL CLOTHING, SOUP KITCHEN, PROGRAM SUPPORT, MEDICAL ARM EXPANSION
DULUTH CHILDREN'S MUSEUM INC506 WEST MICHIGAN STREET DULUTH,MN 55802	41-0718361	501(C)(3)	16,536				PROGRAM SUPPORT, BUILDING MARKETING CAPACITY, MUSEUM ON THE MOVE EXHIBIT EXPLORATIONS
DOMESTIC ABUSE INTERVENTION PROGRAMS202 EAST SUPERIOR STREET STE 300 DULUTH,MN 55802	41-1382134	501(C)(3)	24,420				STRONG MOMS / SAFE KIDS, EVALUATION OF TRANSITION PROGRAM, WOMEN'S EDUCATION GROUPS
DULUTH LIBRARY FOUNDATION520 WEST SUPERIOR ST DULUTH,MN 55802	41-1696460	501(C)(3)	7,210				PROGRAM SUPPORT, LIBRARY TOYS AND GAMES REPLACEMENT PROJECT
DULUTH PLAYHOUSE INC 506 WEST MICHIGAN STREET DULUTH,MN 55802	41-0694692	501(C)(3)	20,191				CREATIVE COLLABORATIONS TO CONNECT THE COMMUNITY, ANNUAL MEETING THANK YOU, PROGRAM SUPPORT, PLAY SPONSORSHIP
GENDER MATTERS 2001 WAVERLY AVENUE DULUTH,MN 55807	26-3603254	501(C)(3)	8,000				PROGRAM SUPPORT

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GIRL SCOUTS OF MINNESOTA AND WISCONSIN LAKES AND PINES COUNCIL424 WEST SUPERIOR STREET G3 DULUTH,MN 55802	41-0877820	501(C)(3)	6,485				PROGRAM SUPPORT
GREAT LAKES AQUARIUM & FRESHWATER DISCOVERY CENTER 353 HARBOR DRIVE DULUTH,MN 55802	41-1659809	501(C)(3)	5,000				INTERACTIVE VIDEO CONFERENCING DEVELOPMENT PROGRAM
GRANT COMMUNITY SCHOOL COLLABORATIVE 108 EAST 6TH STREET DULUTH,MN 55805	41-2002724	501(C)(3)	7,500				EXPANDING OPPORTUNITIES FOR YOUTH IN POVERTY
GREATER PROCTOR AREA SCHOLARSHIP FOUNDATION415 ST LOUIS RIVER ROAD DULUTH,MN 55810	36-3414876	501(C)(3)	5,547				PROGRAM SUPPORT
HAMLIN UNIVERSITY1536 HEWITT AVENUE ST PAUL,MN 55104	41-0693960	501(C)(3)	5,000				CB CONGDON SCHOLARSHIP FUND
ISD#381 LAKE SUPERIOR PUBLIC SCHOOLS1640 HIGHWAY 2 TWO HARBORS,MN 55616	41-6001896	170(C)(1)	10,607				TWO HARBORS SCHOOL READINESS SCHOLARSHIPS, TWO HARBORS HIGH SCHOOL BAND TRIP, SCHOOL READINESS PROGRAM SUPPORT, KELLEY HIGH SCHOOL - FINE ARTS SCHOLARSHIP
ISD#709 DULUTH PUBLIC SCHOOLS 215 NORTH FIRST AVENUE EAST DULUTH,MN 55802	41-6003776	170(C)(1)	27,694				DULUTH EAST ROBOTICS - ANNUAL MEETING THANK YOU, PROGRAM SUPPORT, SCOTT ANDERSON LEADERSHIP FORUM - YEAR IX
KIDS CLOSET OF DULUTH2308 WEST 3RD STREET DULUTH,MN 55806	20-1878745	501(C)(3)	6,107				SCHOOL CLOTHING FOR RIVERFRONT AREA STUDENTS, WINTER CLOTHING FOR DULUTH'S LOW INCOME CHILDREN, PROGRAM SUPPORT
LITTLE TREASURES CENTER INC4002 LONDON ROAD DULUTH,MN 55804	41-1942142	501(C)(3)	5,000				INTERGENERATIONAL COMMUNITY
KINSHIP OF AITKIN COUNTYPO BOX 372 AITKIN,MN 56431	41-1682641	501(C)(3)	8,500				YOUTH DEVELOPMENT PROGRAM
MINNESOTA ASSISTANCE COUNCIL FOR VETERANS360 ROBERT ST N STE 306 ST PAUL,MN 55101	41-1694717	501(C)(3)	8,000				DULUTH REGIONAL EMPLOYMENT PROGRAM
MINNESOTA MEDICAL FOUNDATION200 OAK STREET SE STE 300 MINNEAPOLIS,MN 55455	41-6027707	501(C)(3)	5,000				FUND 1312 EDWIN HALLER SCHOLARSHIP
MILLER-DWAN FOUNDATION502 EAST SECOND STREET DULUTH,MN 55805	23-7396466	501(C)(3)	50,914				AFTER BREAST CANCER A STUDY OF REFLECTIVE WRITING, PEDIATRIC CANCER CARE, AMBER WING, AMBERWING PROGRAM EVALUATION, PEDIATRIC BURN CARE, CHILD ADOLESCENT MENTAL HEALTH
MINNESOTA BALLET 301 WEST FIRST STREET DULUTH,MN 55802	41-6055588	501(C)(3)	7,450				GUEST CHOREOGRAPHER 2012, "BEHIND THE CURTAIN" SUPERIOR OUTREACH, ARTISTIC ASSISTANT
MINNESOTA PUBLIC INTEREST RESEARCH GROUP 1313 5TH ST SE SUITE 111 MINNEAPOLIS,MN 55414	23-7389068	501(C)(3)	10,061				TRANSITION DULUTH
NORTHEAST ENTREPRENEUR FUND INC202 W SUPERIOR STREET SUITE 311 DULUTH,MN 55802	26-3566632	501(C)(3)	10,000				BE STRATEGIC GROW YOUR BUSINESS
NEIGHBORHOOD HOUSING SERVICES OF DULUTH224 EAST 4TH STREET DULUTH,MN 55805	41-1465688	501(C)(3)	15,000				DULUTH COMMUNITY SAFETY INITIATIVE
NORTH SHORE COMMUNITY SCHOOL5926 RYAN ROAD DULUTH,MN 55804	41-2009080	501(C)(3)	7,145				IPAD TECHNOLOGY PROJECT, PROGRAM SUPPORT
NORTH SHORE HEALTH CARE FOUNDATIONPO BOX 454 GRAND MARAIS,MN 55604	41-1694904	501(C)(3)	10,587				HOSPICE PROGRAM, PROGRAM SUPPORT, CARE PARTNERS CAREGIVER SUPPORT, COOK COUNTY ORAL HEALTH TASK FORCE
NORTH SHORE HORIZONSPO BOX 206 TWO HARBORS,MN 55616	41-1451736	501(C)(3)	8,000				VOLUNTEER CAPACITY BUILDING, SILVER BAY TRANSITIONAL HOUSING, MISSOULA CHILDREN'S THEATRE RESIDENCY PROGRAM

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NORTHERN BIBLE SOCIETY129 WEST FARIBAULT STREET DULUTH,MN 55803	41-0790153	501(C)(3)	6,637				PROGRAM SUPPORT
NORTHWOOD CHILDREN'S SERVICES4000 W 9TH ST DULUTH,MN 55807	41-0706108	501(C)(3)	19,234				PROGRAM SUPPORT
NORTHWOODS WOMEN INCPO BOX 88 ASHLAND,WI 54806	39-1364912	501(C)(3)	6,350				SELF-SUFFICIENCY PROGRAM FOR VICTIMS, CHILDREN'S PROGRAM/TEEN SPEAK-OUT CAMPAIGNS
PILGRIM CONGREGATIONAL CHURCH2310 EAST FOURTH STREET DULUTH,MN 55812	41-0704218	501(C)(3)	10,038				PROGRAM SUPPORT
RANGE TRANSITIONAL HOUSING INCPO BOX 1146 VIRGINIA,MN 55792	41-1773248	501(C)(3)	6,000				HIBBING TRANSITIONAL HOUSING PROJECT
RONALD MCDONALD HOUSE OF ROCHESTER MN INC 850 2ND STREET SOUTHWEST ROCHESTER,MN 55902	41-1344744	501(C)(3)	5,000				PROGRAM SUPPORT
ST LUKE'S FOUNDATION1000 EAST FIRST STREET DULUTH,MN 55805	41-1448118	501(C)(3)	8,304				PROGRAM SUPPORT
SUPERIOR HIKING TRAIL ASSOCIATION PO BOX 4 TWO HARBORS,MN 55616	41-1569104	501(C)(3)	6,187				TWO HARBORS TO DULUTH FINAL CONNECTION, PROGRAM SUPPORT
SUPERIOR PUBLIC LIBRARY1530 TOWER AVENUE SUPERIOR,WI 54880	39-6005631	170(C)(1)	10,000				SUPERIOR PUBLIC LIBRARY STRATEGIC PLANNING
SILVER BAY PARENT TEACHER STUDENT ORGANIZATION137 BANKS BOULEVARD SILVER BAY,MN 55614	25-1903810	501(C)(3)	5,000				WILLIAM KELLY ELEMENTARY AFTER SCHOOL PROGRAM
SVCNDA331 N CENTRAL AVENUE UPSTAIRS DULUTH,MN 55807	41-1367313	501(C)(3)	14,534				LINCOLN PARK BUSINESS CORRIDOR REVITALIZATION
TWO HARBORS AREA CHAMBER OF COMMERCE1330 HIGHWAY 61 TWO HARBORS,MN 55616	41-0827278	501(C)(3)	7,500				CEOS IN THE CLASSROOM, WEB PRESENCE AND WEB DESIGN PROJECT
THE SALVATION ARMY - SUPERIOR916 HUGHITT AVENUE SUPERIOR,WI 54880	36-2167910	501(C)(3)	5,000				ASSIST INDIVIDUALS IN NEED
THE SALVATION ARMY - DULUTH215 SOUTH 27TH AVENUE WEST DULUTH,MN 55806	41-0698597	501(C)(3)	15,035				PROGRAM SUPPORT, ASSIST INDIVIDUALS IN NEED, GENERAL OPERATING SUPPORT, EMERGENCY FOOD SERVICES
UNIVERSITY OF WISCONSIN - SUPERIOROLD MAIN 212 SUPERIOR,WI 54880	39-6006492	170(C)(1)	24,500				GAINING EARLY AWARENESS AND READINESS IN SUPERIOR
UNITED WAY OF GREATER DULUTH424 WEST SUPERIOR STREET DULUTH,MN 55802	41-0857077	501(C)(3)	81,792				COMMUNITY INITIATIVES COORDINATOR, DULUTH PROSPERITY AGENDA, PROGRAM SUPPORT, 2-1-1 INFORMATION AND REFERRAL
UNIVERSITY OF MINNESOTA DULUTH 1049 UNIVERSITY DRIVE DULUTH,MN 55812	41-6007513	501(C)(3)	12,096				THEATER DEPARTMENT SCHOLARSHIP, UMD VOYAGEURS PROGRAM SUPPORT, TUNDRA CONSERVATION AND MONITORING ALONG THE NORTH SHORE
WOODLAND HILLS 4321 ALLENDALE AVENUE DULUTH,MN 55803	41-0693848	501(C)(3)	14,400				PROGRAM SUPPORT, OPERATING EXPENSES, NEIGHBORHOOD YOUTH SERVICES JET PROJECT, ANNUAL SUPPORT
WTIP NORTH SHORE RADIOPO BOX 1005 GRAND MARAIS,MN 55604	41-1754979	501(C)(3)	7,000				DEEPER CONNECTION
YMCA OF DULUTH302 WEST FIRST STREET DULUTH,MN 55802	41-0693931	501(C)(3)	18,196				PROGRAM SUPPORT, PARTNER WITH YOUTH FUND, MENTOR DULUTH AND FIVE POINTS, HERMANTOWN PROCTOR MENTORING

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
YWCA OF DULUTH 32 EAST 1ST STREET SUITE 202 DULUTH,MN 55802	41-0696493	501(C)(3)	21,128				PROGRAM SUPPORT, INTEREST ALLOCATION, WOMEN OF DISTINCTION LUNCHEON, GIRL POWER!, SPIRIT VALLEY YOUNG MOTHERS PROGRAM
DULUTH LIGHTHOUSE FOR THE BLIND4505 WEST SUPERIOR STREET DULUTH,MN 55806	41-0706140	501(C)(3)	31,812				WEBSITE EXPANSION AND UPGRADE, PROGRAM SUPPORT, LIVING WELL WITH VISION LOSS A COURSE FOR SENIORS
DULUTH PUBLIC LIBRARY520 WEST SUPERIOR STREET DULUTH,MN 55802	41-6005105	501(C)(3)	10,719				PROGRAM SUPPORT
DULUTH-SUPERIOR AREA EDUCATIONAL TV CORPORATION - WDSE632 NIAGARA COURT DULUTH,MN 55811	41-1718449	501(C)(3)	7,572				NATIVE REPORT SERIES, PROGRAM SUPPORT, WDSE - UNDERWRITING
FAMILY ALTERNATIVES INC 1089 SE 10TH AVE MINNEAPOLIS,MN 55414	41-1356085	501(C)(3)	5,000				CORE PROJECT
GATHERING WATERS CONSERVANCY211 S PETERSON ST STE 270 MADISON,WI 53703	39-1805090	501(C)(3)	8,000				LAND TRUST EXCELLENCE & ADVANCEMENT PROGRAM, WESTERN LAKE SUPERIOR WATERSHED LEAP INITIATIVE
HARTLEY NATURE CENTER3001 WOODLAND AVE DULUTH,MN 55803	36-3507636	501(C)(3)	5,348				PROGRAM SUPPORT
HERMANTOWN COMMUNITY LEADERSHIP COMMITTEE5105 MAPLE GROVE ROAD HERMANTOWN,MN 55811	41-1829938	170(C)(1)	8,000				ADVANCE HERMANTOWN - CREATING A COMMUNITY OF CHOICE, ADVANCE HERMANTOWN STEWARDSHIP INITIATIVE
LOCAL INITIATIVES SUPPORT CORPORATION202 WEST SUPERIOR STR STE 401 DULUTH,MN 55802	13-3030229	501(C)(3)	15,000				PROGRAM SUPPORT, DULUTH DOWNTOWN, HILLSIDE AND WATERFRONT CHARRETTE, BUILDING SUSTAINABLE COMMUNITY INITIATIVES, UPDATE OF DULUTH DOWNTOWN, HILLSIDE AND WATERFRONT CHARRETTE
LUTHERN SOCIAL SERVICE424 WEST SUPERIOR STREET DULUTH,MN 55802	41-0872993	501(C)(3)	9,250				FIRST YEAR PROGRAM, FAMILY RESOURCE CENTER
MAYO FOUNDATION200 FIRST STREET SW ROCHESTER,MN 55905	41-1937751	501(C)(3)	5,175				ALZHEIMERS DRIVE DONATION, PEDIATRICS - CANCER
MINNESOTA RESOURCE CENTER DULUTH205 WEST SECOND ST DULUTH,MN 55802	41-0828779	501(C)(3)	10,000				BRIDGING THE GENERATIONAL EMPLOYMENT GAP
MINNESOTA SECTION SME3128 14TH AVENUE EAST HIBBING,MN 55746	74-2338444	501(C)(3)	42,000				INTERNSHIP REIMBURSEMENT
MUSIC RESOURCE CENTER CO ARMORY ARTS AND MUSIC CENTER 1626 LONDON RD 779 DULUTH,MN 55812	68-0497248	501(C)(3)	10,000				STAFFING AND ORGANIZATIONAL DEVELOPMENT
ONE ROOF COMMUNITY HOUSING206 W 4TH ST STE 201 DULUTH,MN 55806	41-1678328	501(C)(3)	15,500				HOUSING DEVELOPMENT, PROGRAM SUPPORT
PROGRAM FOR AID TO VICTIMS OF SEXUAL ASSAULT 32 EAST FIRST STREET STE 200 DULUTH,MN 55802	41-1350021	501(C)(3)	19,396				ADMINISTRATIVE RESTRUCTURING
RANGE REGIONAL HEALTH SERVICES 750 E 34TH STREET HIBBING,MN 55746	41-1293970	501(C)(3)	15,000				COORDINATION OF RANGE CITIES HEALTHCARE COLLABORATIVE
ROTARY CLUB OF CLOQUETPO BOX 292 CLOQUET,MN 55720	41-1680082	501(C)(3)	5,000				PROGRAM SUPPORT
SACRED HEART MUSIC CENTER201 WEST 4TH STREET DULUTH,MN 55806	41-1557842	501(C)(3)	8,000				PART-TIME ADMINISTRATOR
SCOTTISH RITE FOUNDATION OF DULUTH28 WEST SECOND STREET DULUTH,MN 55802	41-1420162	501(C)(3)	12,520				PROGRAM SUPPORT, PARENTS AND CHILDREN COMMUNICATING (PACC)

Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons
▶ Complete if the organization answered
"Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c,
or Form 990-EZ, Part V lines 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047
2011
Open to Public Inspection

Name of the organization
DULUTH SUPERIOR AREA COMMUNITY FOUNDATION

Employer identification number
41-1429402

Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Description of transaction	(c) Corrected?	
			Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 ▶ \$ _____

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$ _____

Part II Loans to and/or From Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(a) Name of interested person and purpose	(b) Loan to or from the organization?		(c)Original principal amount	(d)Balance due	(e) In default?		(f) Approved by board or committee?		(g)Written agreement?	
	To	From			Yes	No	Yes	No	Yes	No
Total ▶ \$ _____										

Part III Grants or Assistance Benefitting Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b)Relationship between interested person and the organization	(c)Amount of grant or type of assistance

Part IV

Business Transactions Involving Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) HOWARD KLATZKY	TRUSTEE	29,816	PUBLIC COMMUNICATIONS/ANNUAL REPORT COMPLETED BY H T KLATZKY & ASSOCIATES		No
(2) JENNIFER CAREY	TRUSTEE	580	LEGAL WORK PROVIDED BY HANFT, FRIDE, PA		No

Part V

Supplemental Information
Complete this part to provide additional information for responses to questions on Schedule L (see instructions)

Identifier	Return Reference	Explanation
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SCHEDULE M
(Form 990)

NonCash Contributions

OMB No 1545-0047

2011

Open to Public Inspection

►Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.

Name of the organization
DULUTH SUPERIOR AREA COMMUNITY FOUNDATION

Employer identification number
41-1429402

Part I

Types of Property

	(a) Check if applicable	(b) Number of Contributions or items contributed	(c) Contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	13	342,201	AVERAGE MARKET VALUE
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► ()				
26 Other ►()				
27 Other ►()				
28 Other ► ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

b If "Yes," describe the arrangement in Part II

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions?

b If "Yes," describe in Part II

33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II

Yes

No

No

No

No

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat No 51227J

Schedule M (Form 990) 2011

Part III

Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
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2011

Open to Public Inspection

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

**Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.**
► Attach to Form 990 or 990-EZ.

Name of the organization
DULUTH SUPERIOR AREA COMMUNITY FOUNDATION

Employer identification number

41-1429402

Identifier	Return Reference	Explanation
NEW PROGRAM SERVICES	FORM 990, PART III, LINE 2	A NEW AFFILIATE CHEQUAMEGON BAY WAS FORMED AND THE DSACF SERVICE AREA WAS EXPANDED TO INCLUDE ASHLAND COUNTY IN WISCONSIN
	FORM 990, PART VI, SECTION B, LINE 11	PREPARED BY INDEPENDENT AUDITORS AS A RESULT OF THEIR AUDIT, REVIEWED BY DSACF PRESIDENT, FINANCIAL OFFICER, DSACF AUDIT COMMITTEE THEN BY FULL DSACF BOARD
	FORM 990, PART VI, SECTION B, LINE 12C	CONFLICTS POLICY AND QUESTIONNAIRE SENT OUT TO ALL BOARD MEMBERS AND DSACF STAFF MONITORS BOD RESPONSES ALSO IN MAY WHEN NEW BOD MEMBERS TERM BEGINS THE SAME POLICY AND QUESTIONNAIRE IS SENT OUT AND DSACF STAFF MONITORS AT EVERY BOD AND COMMITTEE MEETING A CONFLICT OF INTEREST SHEET IS PASSED OUT TO ATTENDEES TO MARK ANY CONFLICTS BEFORE VOTING, SAID SHEETS ARE GATHERED AND CONFLICTS ENTERED INTO ACTIONS
	FORM 990, PART VI, SECTION B, LINE 15	THE EXECUTIVE COMMITTEE RECOMMENDS THE SALARY FOR THE PRESIDENT AND ALL OTHER STAFF THIS IS COMPLETED ANNUALLY AS PART OF THE OVERALL BUDGET ANALYSIS BY THE EXECUTIVE COMMITTEE THE EXECUTIVE COMMITTEE THEN PRESENTS THE BUDGET TO THE BOARD FOR APPROVAL
	FORM 990, PART VI, SECTION C, LINE 18	THE ORGANIZATION MAKES ITS 990 AVAILABLE TO THE PUBLIC UPON REQUEST AND ON GUIDESTAR ORG
	FORM 990, PART VI, SECTION C, LINE 19	THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST
CHANGES IN NET ASSETS OR FUND BALANCES	FORM 990, PART XI, LINE 5	NET UNREALIZED LOSSES ON INVESTMENTS -3,735,203
	FORM 990, PART XII, LINE 2C	THE PROCESS FOR OVERSIGHT OF THE AUDIT AND SELECTION OF AN INDEPENDENT AUDITOR HAS NOT CHANGED FROM THE PRIOR YEAR

Additional Data

Software ID:
Software Version:
EIN: 41-1429402
Name: DULUTH SUPERIOR AREA COMMUNITY FOUNDATION

Form 990, Special Condition Description:

Special Condition Description
