Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation JOSEPH & SARAH VAN DRISSE CHAR TRUST MARIANNE VAN DRISSE R71890009

A Employer identification number 39-6719617

Number and street (or P.O box number if mail is not delivered to street address) 10 S DEARBORN IL1-0117

Room/suite

B Telephone number (see instructions) (866) 888-5157

City or town, state, and ZIP code CHICAGO, IL 60603

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return [X] Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 4,273,484.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	84,995.	84,242.		
	5a Gross rents	8,450.	8,450.		
	b Net rental income or (loss) -5,462				
	6a Net gain or (loss) from sale of assets not on line 10	406,712.			
	b Gross sales price for all assets on line 6a 2,677,433.				
	7 Capital gain net income (from Part IV, line 2)		406,712.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	500,157.	499,404.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	45,510.	34,133.		11,378.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STMT 1	985.	NONE	NONE	985.
	c Other professional fees (attach schedule) STMT 2	3,825.	3,825.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 3	14,208.	4,961.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) STMT 4	6,947.	6,947.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	71,475.	49,866.	NONE	12,363.
	25 Contributions, gifts, grants paid	214,000.			214,000.
	26 **Total expenses and disbursements** Add lines 24 and 25	285,475.	49,866.	NONE	226,363.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	214,682.			
	b **Net investment income** (if negative, enter -0-)		449,538.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash - non-interest-bearing	15,264.		
2	Savings and temporary cash investments	230,034.	185,280.	185,280.
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule)	1,696,571.	2,585,711.	2,793,705.
c	Investments - corporate bonds (attach schedule)	1,063,694.	796,699.	795,164.
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶	207,100.		
12	Investments - mortgage loans			
13	Investments - other (attach schedule)	722,340.	488,221.	499,335.
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	3,935,003.	4,055,911.	4,273,484.
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]			
27	Capital stock, trust principal, or current funds	3,935,003.	4,055,911.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	3,935,003.	4,055,911.	
31	**Total liabilities and net assets/fund balances** (see instructions)	3,935,003.	4,055,911.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,935,003.
2	Enter amount from Part I, line 27a	2	214,682.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,149,685.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	93,774.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,055,911.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr.)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,646,357.		2,270,721.	375,636.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				375,636.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 406,712.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	225,026.	4,567,182.	0.049270
2009	237,537.	4,192,831.	0.056653
2008	282,095.	5,040,752.	0.055963
2007	263,434.	5,974,735.	0.044091
2006	381,560.	5,615,197.	0.067951

2	**Total** of line 1, column (d)	2	0.273928
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.054786
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	4,656,790.
5	Multiply line 4 by line 3	5	255,127.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	4,495.
7	Add lines 5 and 6	7	259,622.
8	Enter qualifying distributions from Part XII, line 4	8	226,363.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	8,991.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	8,991.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	8,991.
6	Credits/Payments:		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 — 6a: 9,000.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 7,700.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	16,700.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	7,709.
11	Enter the amount of line 10 to be: **Credited to 2012 estimated tax** ▶ 7,709. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶$ ________ (2) On foundation managers ▶$ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ WI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JP MORGAN CHASE BANK N.A Telephone no ▶ (866)888-5157

Located at ▶ 10 SOUTH DEARBORN STREET, CHICAGO, IL ZIP + 4 ▶ 60603

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No			
	If "Yes," list the years ▶ , , ,			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ , , ,			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) . . . . ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**		
	Organizations relying on a current notice regarding disaster assistance check here . . . . ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**		X
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		45,510.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		NONE	NONE	NONE

Total number of other employees paid over $50,000 . . . . . . ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 8		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,578,096.
b	Average of monthly cash balances	1b	149,610.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	4,727,706.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	4,727,706.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	70,916.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,656,790.
6	**Minimum investment return.** Enter 5% of line 5	6	232,840.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	232,840.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	8,991.		
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	8,991.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	223,849.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	223,849.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	223,849.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	226,363.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	226,363.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	226,363.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				223,849.
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only			82,276.	
b Total for prior years 20____,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2011:				
a From 2006 ... NONE				
b From 2007 ... NONE				
c From 2008 ... NONE				
d From 2009 ... NONE				
e From 2010 ... NONE				
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 226,363.				
a Applied to 2010, but not more than line 2a			82,276.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2011 distributable amount				144,087.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2010 Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				79,762.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	NONE			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2007 ... NONE				
b Excess from 2008 ... NONE				
c Excess from 2009 ... NONE				
d Excess from 2010 ... NONE				
e Excess from 2011 ... NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 15				
Total . . . . . . ▶ **3a**				214,000.
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	84,995.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property			16	-5,462.	
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	406,712.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				486,245.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 486,245.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Kevin Reinelt | 11/08/2012 | 11-12-12

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEES	985.			985.
TOTALS	985.	NONE	NONE	985.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
REAL ESTATE FEES	3,825.	3,825.
TOTALS	3,825.	3,825.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL ESTIMATES-INCOME	9,000.	
FEDERAL TAX PAYMENT-PRIOR YEAR	247.	
FOREIGN TAXES	25.	25.
FOREIGN TAXES ON QUALIFIED FOR	1,476.	1,476.
FOREIGN TAXES ON NONQUALIFIED	318.	318.
REAL ESTATE TAXES	3,142.	3,142.
TOTALS	14,208.	4,961.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
REAL ESTATE EXPENSE	6,945.	6,945.
DEPOSITORY FEES	2.	2.
TOTALS	6,947.	6,947.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
RETURN OF CAPITAL ADJ		93,774.
	TOTAL	93,774.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
JP MORGAN CHASE BANK NA
ADDRESS:
10 SOUTH DEARBORN STREET
CHICAGO, IL 60603
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 2
COMPENSATION .... 45,510.

TOTAL COMPENSATION: 45,510.

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

EMPLOYEE NAME:
NONE

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS
==

NAME:
NONE

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID
===

RECIPIENT NAME:
ST. NORBERT COLLEGE
ADDRESS:
100 GRANT ST
DE PERE, WI 54115
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 25,000.

RECIPIENT NAME:
ST. VINCENT HOSPITAL
ADDRESS:
835 S VAN BUREN ST
GREEN BAY, WI 54301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 25,000.

RECIPIENT NAME:
ST. MARYS HOSPITAL MEDICAL
CENTER
ADDRESS:
1726 SHAWANO AVE
GREEN BAY, WI 54303
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 15,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

===

RECIPIENT NAME:
RESURRECTION CATHOLIC PARISH
ADDRESS:
333 HILLTOP DRIVE
GREEN BAY, WI 54301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 25,000.

RECIPIENT NAME:
FAMILY VIOLENCE CENTER, INC.
ADDRESS:
1120 UNIVERSITY AVENUE
GREEN BAY, WI 54302
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 2,500.

RECIPIENT NAME:
PAULS PANTRY, INC
ADDRESS:
1520 N WEBSTER CT
GREEN BAY, WI 54302
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 2,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
HOWE NEIGHBORHOOD FAMILY
RESOURCE CENTER, INC.
ADDRESS:
526 S. MONROE AVE.
GREEN BAY, WI 54301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 2,500.

RECIPIENT NAME:
BOY SCOUTS OF AMERICA INC
ADDRESS:
P.O. BOX 14135
MADISON, WI 53708
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 1,000.

RECIPIENT NAME:
GIRL SCOUTS OF AMERICA
LAC BAIE COUNCIL
ADDRESS:
2430 FINGER RD
GREEN BAY, WI 54302
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 1,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
ASPIRO, INC.
ADDRESS:
1660 STILES RD
GREEN BAY, WI 54303
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 5,000.

RECIPIENT NAME:
ENCOMPASS EARLY EDUCATION
AND CARE, INC.
ADDRESS:
P.O. BOX 1627
GREEN BAY, WI 54305
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 5,000.

RECIPIENT NAME:
ECUMENICAL PARTNERSHIP FOR
HOUSING, INC.
ADDRESS:
P.O. BOX 524
GREEN BAY, WI 54305
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 5,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
LITERACY GREEN BAY, INC.
ADDRESS:
424 S MONROE AVE.
GREEN BAY, WI 54301
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 5,000.

RECIPIENT NAME:
GREEN BAY SYMPHONY
ORCHESTRA INC
ADDRESS:
P. O. BOX 2222
GREEN BAY, WI 54305
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 5,000.

RECIPIENT NAME:
NEW COMMUNITY SHELTER, INC.
ADDRESS:
301 MATHER STREET
GREEN BAY, WI 54302
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 10,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
FAMILY SERVICES OF NORTHEAST
WISCONSIN, INC.
ADDRESS:
300 CROOKS ST;P.O. BOX 22308
GREEN BAY, WI 54305
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 25,000.

RECIPIENT NAME:
BOYS AND GIRLS CLUB OF
GREEN BAY
ADDRESS:
1451 UNIVERSITY AVE
GREEN BAY, WI 54302
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 10,000.

RECIPIENT NAME:
BELLIN COLLEGE OF NURSING, INC
ADDRESS:
P.O. BOX 23400
GREEN BAY, WI 54305
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 20,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
CATHOLIC DIOCESE OF GREEN BAY
ADDRESS:
P.O. BOX 23825
GREEN BAY, WI 54305
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPORT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 25,000.

TOTAL GRANTS PAID: 214,000.

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2011

Name of estate or trust: JOSEPH & SARAH VAN DRISSE CHAR TRUST MARIANNE

Employer identification number: 39-6719617

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	35,833.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	35,833.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					31,076.

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	339,803.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	370,879.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D (Form 1041) 2011**

Part III Summary of Parts I and II
Caution: *Read the instructions* **before** *completing this part.*

			(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			35,833.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			370,879.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			406,712.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet,** *as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *in the instructions to figure your capital loss carryover.*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the* **Schedule D Tax Worksheet** *in the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20. If zero or less, enter -0-			22			
23	Subtract line 22 from line 17. If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,300			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (.15)					30	
31	Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: JOSEPH & SARAH VAN DRISSE CHAR TRUST MARIANNE

Employer identification number: 39-6719617

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2941.402 JPMORGAN TR I MKT	VAR	01/05/2011	45,592.00	46,209.00	-617.00
5661.153 JPMORGAN STRATEGI OPPTYS FUND SEL	03/25/2010	01/05/2011	67,255.00	66,179.00	1,076.00
75. PHILIP MORRIS INTERNAT	12/22/2010	01/31/2011	4,282.00	4,375.00	-93.00
50. QUALCOMM INC	03/25/2010	01/31/2011	2,695.00	2,115.00	580.00
70. SPDR TR UNIT SER 1	08/24/2010	04/08/2011	9,322.00	7,389.00	1,933.00
50. NATIONAL-OILWELL INC	11/29/2010	04/21/2011	3,958.00	3,049.00	909.00
6935.976 ARBITRAGE FUNDS -	10/19/2010	05/13/2011	89,543.00	91,000.00	-1,457.00
5199.12 JPMORGAN HIGH YIEL	05/20/2010	05/13/2011	43,673.00	40,293.00	3,380.00
20. AT&T INC	11/29/2010	06/08/2011	605.00	554.00	51.00
15. AMERICAN EXPRESS CO	11/29/2010	06/08/2011	732.00	635.00	97.00
50. APACHE CORP	01/31/2011	06/08/2011	6,023.00	5,942.00	81.00
15. BAIDU.COM-ADR	09/24/2010	06/08/2011	1,835.00	1,463.00	372.00
15. BIOGEN IDEC INC	08/09/2010	06/08/2011	1,385.00	864.00	521.00
5. BROADCOM CORP CL A	04/21/2011	06/08/2011	168.00	202.00	-34.00
10. CENTERPOINT ENERGY INC	04/21/2011	06/08/2011	189.00	182.00	7.00
10. COGNIZANT TECHNOLOGY S A	06/25/2010	06/08/2011	730.00	527.00	203.00
10. CONSTELLATION ENERGY G	04/21/2011	06/08/2011	366.00	332.00	34.00
225. DOW CHEM CO	11/29/2010	06/08/2011	7,867.00	6,977.00	890.00
25. EMC CORP	11/29/2010	06/08/2011	670.00	539.00	131.00
5. FIFTH THIRD BANCORP	01/31/2011	06/08/2011	62.00	75.00	-13.00
10. GENERAL ELEC CO	04/21/2011	06/08/2011	186.00	199.00	-13.00
5. GOLDMAN SACHS GROUP INC	03/01/2011	06/08/2011	665.00	816.00	-151.00
5. KELLOGG CO	11/29/2010	06/08/2011	275.00	244.00	31.00
90. MORGAN STANLEY DEAN WI NEW	08/09/2010	06/08/2011	1,991.00	2,477.00	-486.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: JOSEPH & SARAH VAN DRISSE CHAR TRUST MARIANNE

Employer identification number: 39-6719617

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 20. NEXTERA ENERGY INC	08/09/2010	06/08/2011	1,108.00	1,070.00	38.00
15. ORACLE CORP	12/22/2010	06/08/2011	471.00	476.00	-5.00
100. TD AMERITRADE HOLDING	11/29/2010	06/08/2011	1,905.00	1,703.00	202.00
15. UNITEDHEALTH GROUP INC	12/22/2010	06/08/2011	719.00	533.00	186.00
30. INVESCO LTD SHS	08/09/2010	06/08/2011	682.00	596.00	86.00
75. SANDISK CORP	VAR	06/14/2011	3,244.00	3,323.00	-79.00
40. CITRIX SYS INC	06/08/2011	07/11/2011	3,164.00	3,208.00	-44.00
265. GENERAL ELEC CO	04/21/2011	07/12/2011	4,929.00	5,279.00	-350.00
40. DEVON ENERGY CORP NEW	06/08/2011	07/15/2011	3,165.00	3,225.00	-60.00
40. EOG RESOURCES INC	06/08/2011	07/15/2011	4,041.00	4,407.00	-366.00
425. ISHARES BARCLAYS TIPS	01/05/2011	07/19/2011	47,667.00	45,534.00	2,133.00
1949.318 JPMORGAN US REAL	12/22/2010	07/19/2011	33,743.00	30,000.00	3,743.00
1650. VANGUARD EMERGING MA	VAR	07/19/2011	78,438.00	79,178.00	-740.00
20. ABBOTT LABS	06/08/2011	07/21/2011	1,055.00	1,026.00	29.00
10. PEPSICO INC	06/08/2011	07/25/2011	643.00	685.00	-42.00
25. ABBOTT LABS	06/08/2011	07/27/2011	1,296.00	1,283.00	13.00
26. HONEYWELL INTL INC	06/08/2011	07/27/2011	1,406.00	1,469.00	-63.00
31. XILINX INC	06/08/2011	07/27/2011	1,001.00	1,043.00	-42.00
8. XILINX INC	06/08/2011	07/27/2011	258.00	269.00	-11.00
75. TIME WARNER INC	06/08/2011	08/02/2011	2,583.00	2,621.00	-38.00
20. BAKER HUGHES INC	11/29/2010	08/03/2011	1,431.00	989.00	442.00
29. BAKER HUGHES INC	11/29/2010	08/04/2011	1,922.00	1,433.00	489.00
120. LENNAR CORP	VAR	08/04/2011	1,907.00	1,851.00	56.00
26. BAKER HUGHES INC	11/29/2010	08/05/2011	1,708.00	1,285.00	423.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: JOSEPH & SARAH VAN DRISSE CHAR TRUST MARIANNE

Employer identification number: 39-6719617

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 220. FIFTH THIRD BANCORP	01/31/2011	08/09/2011	2,229.00	3,302.00	-1,073.00
20. APACHE CORP	01/31/2011	08/10/2011	1,986.00	2,377.00	-391.00
5. CME GROUP INC	06/08/2011	08/10/2011	1,256.00	1,316.00	-60.00
130. ADOBE SYS INC	06/08/2011	08/11/2011	3,002.00	4,214.00	-1,212.00
12. CONSTELLATION ENERGY G	04/21/2011	08/12/2011	428.00	398.00	30.00
2. STATE STR CORP	11/29/2010	08/12/2011	72.00	88.00	-16.00
6. STATE STR CORP	11/29/2010	08/12/2011	212.00	263.00	-51.00
7. STATE STR CORP	11/29/2010	08/12/2011	246.00	307.00	-61.00
1. STATE STR CORP	11/29/2010	08/12/2011	35.00	44.00	-9.00
7. STATE STR CORP	11/29/2010	08/12/2011	244.00	307.00	-63.00
19. CONSTELLATION ENERGY G	04/21/2011	08/15/2011	700.00	631.00	69.00
62. STATE STR CORP	VAR	08/15/2011	2,162.00	2,704.00	-542.00
30. APACHE CORP	01/31/2011	08/16/2011	3,121.00	3,565.00	-444.00
14. CONSTELLATION ENERGY G	04/21/2011	08/16/2011	517.00	465.00	52.00
14. CONSTELLATION ENERGY G	04/21/2011	08/17/2011	524.00	465.00	59.00
6. CONSTELLATION ENERGY GR	04/21/2011	08/18/2011	218.00	199.00	19.00
10. FLUOR CORP	01/31/2011	08/18/2011	563.00	692.00	-129.00
50. LAM RESEARCH CORPORATI	01/31/2011	08/18/2011	1,827.00	2,498.00	-671.00
10. 3M CO	06/08/2011	08/18/2011	776.00	907.00	-131.00
20. HOLLYFRONTIER CORPORAT	VAR	08/19/2011	1,346.00	1,434.00	-88.00
50. LAM RESEARCH CORPORATI	VAR	08/25/2011	1,828.00	2,390.00	-562.00
30. PEPSICO INC	VAR	09/09/2011	1,799.00	2,040.00	-241.00
35. BECTON DICKINSON & CO	06/08/2011	09/16/2011	2,707.00	2,973.00	-266.00
65. 3M CO	VAR	09/16/2011	5,224.00	5,537.00	-313.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: JOSEPH & SARAH VAN DRISSE CHAR TRUST MARIANNE

Employer identification number: 39-6719617

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a	95. PEPSICO INC	12/22/2010	09/19/2011	5,756.00	6,204.00	-448.00
	20. CAMERON INTERNATIONAL	06/08/2011	09/20/2011	1,035.00	904.00	131.00
	30. XILINX INC	VAR	09/20/2011	911.00	991.00	-80.00
	40. CARNIVAL CORPORATION	06/08/2011	09/22/2011	1,247.00	1,442.00	-195.00
	55. FLUOR CORP	VAR	09/22/2011	2,691.00	3,719.00	-1,028.00
	10. GOLDMAN SACHS GROUP IN	03/01/2011	09/22/2011	925.00	1,632.00	-707.00
	20. DU PONT E I DE NEMOURS	08/05/2011	09/28/2011	832.00	957.00	-125.00
	28. DU PONT E I DE NEMOURS	VAR	09/29/2011	1,150.00	1,272.00	-122.00
	48. HOLLYFRONTIER CORPORAT	07/15/2011	09/29/2011	1,196.00	1,718.00	-522.00
	175. SPRINT CORP	06/08/2011	09/29/2011	550.00	947.00	-397.00
	40. COACH INC	06/08/2011	09/30/2011	2,062.00	2,360.00	-298.00
	50. CONOCOPHILLIPS	VAR	10/06/2011	3,180.00	3,444.00	-264.00
	70. MORGAN STANLEY DEAN WI NEW	09/22/2011	10/17/2011	1,057.00	903.00	154.00
	45. VERIFONE HOLDINGS INCO	06/08/2011	10/25/2011	1,801.00	1,970.00	-169.00
	750. ISHARES MISCI EAFE IN	05/13/2011	10/27/2011	41,276.00	46,013.00	-4,737.00
	75. PUBLIC SVC ENTERPRISE	VAR	10/28/2011	2,549.00	2,400.00	149.00
	50. NOVELLUS SYS INC	06/15/2011	11/01/2011	1,679.00	1,704.00	-25.00
	30. MONSANTO CO NEW	07/27/2011	11/02/2011	2,141.00	2,225.00	-84.00
	100. DENDREON CORP	06/08/2011	11/03/2011	659.00	3,955.00	-3,296.00
	25. COLGATE PALMOLIVE CO	VAR	11/09/2011	2,195.00	2,182.00	13.00
	45. KELLOGG CO	11/29/2010	11/28/2011	2,183.00	2,199.00	-16.00
	60. TE CONNECTIVITY LTD	06/08/2011	12/05/2011	1,925.00	2,122.00	-197.00
	5545.7 DODGE & INTERNATION FUND	VAR	12/09/2011	170,253.00	199,100.00	-28,847.00
	1313.28 DREYFUS/LAUREL FDS	07/21/2011	12/09/2011	17,900.00	19,975.00	-2,075.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: JOSEPH & SARAH VAN DRISSE CHAR TRUST MARIANNE

Employer identification number: 39-6719617

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 7935.429 JOHN HANCOCK II-E	07/19/2011	12/09/2011	75,149.00	93,400.00	-18,251.00
3981.164 JPMORGAN INTERNAT CURRENCY	07/19/2011	12/09/2011	44,509.00	46,500.00	-1,991.00
6298.92 MANNING & NAPIER F	VAR	12/09/2011	46,234.00	54,100.00	-7,866.00
55. SEMPRA ENERGY	VAR	12/09/2011	2,893.00	2,870.00	23.00
100. SPECTRA ENERGY CORPOR	VAR	12/09/2011	2,945.00	2,546.00	399.00
50. SPECTRA ENERGY CORPORA	09/22/2011	12/12/2011	1,456.00	1,219.00	237.00
50. NOVELLUS SYS INC	VAR	12/15/2011	2,059.00	1,459.00	600.00
65. ST JUDE MED INC	06/08/2011	12/21/2011	2,177.00	3,154.00	-977.00
. OUTSIDE 1099 INFORMATION	01/01/2011	12/31/2011	110,000.00	10,494.00	99,506.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 35,833.00

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
JOSEPH & SARAH VAN DRISSE CHAR TRUST MARIANNE	39-6719617

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 4443.272 VANGUARD FXD INCM CORP*	12/10/2008	01/05/2011	43,811.00	37,279.00	6,532.00
150. HEWLETT PACKARD CO	10/15/2009	01/31/2011	6,808.00	7,196.00	-388.00
100. INTERNATIONAL BUSINES	04/29/1981	01/31/2011	16,073.00	1,499.00	14,574.00
50. QUALCOMM INC	10/15/2009	01/31/2011	2,695.00	2,106.00	589.00
125. SCHLUMBERGER LTD	01/07/2010	01/31/2011	11,066.00	8,678.00	2,388.00
150. STARWOOD HOTELS & RES	10/27/2009	01/31/2011	8,832.00	4,862.00	3,970.00
2100. ISHARES MSCI ASIAN E	12/10/2008	02/03/2011	131,352.00	69,678.00	61,674.00
45000. HSBC 18M SPX MKT PL	08/13/2009	02/17/2011	59,201.00	44,550.00	14,651.00
45000. HSBC 18M ASIA BSKT	08/14/2009	02/17/2011	51,767.00	44,438.00	7,329.00
225. DISNEY WALT CO	11/12/2009	03/01/2011	9,731.00	6,554.00	3,177.00
100. INTERNATIONAL BUSINES	04/29/1981	03/01/2011	16,110.00	1,499.00	14,611.00
150. SYSCO CORP	11/12/2009	03/01/2011	4,195.00	4,074.00	121.00
400. WELLS FARGO & CO NEW	08/30/1995	03/01/2011	12,724.00	3,021.00	9,703.00
40000. BARC EAFE BREN 03/2	03/05/2010	03/24/2011	40,328.00	39,600.00	728.00
40000. DB ASIA BREN 03/24/	03/05/2010	03/24/2011	47,040.00	39,600.00	7,440.00
300. SPDR TR UNIT SER 1	12/09/2008	04/08/2011	39,950.00	27,006.00	12,944.00
45000. CS COMMODITY CPN 4/	04/09/2010	04/21/2011	52,650.00	44,550.00	8,100.00
175. NOVELLUS SYS INC	03/25/2010	04/21/2011	6,094.00	4,456.00	1,638.00
125. SOUTHERN CO	10/15/2009	04/21/2011	4,812.00	4,002.00	810.00
5027.07 JPMORGAN HIGH YIEL	11/15/2007	05/13/2011	42,227.00	37,543.00	4,684.00
20590.372 JPMORGAN SHORT D FUND	12/09/2008	05/13/2011	226,700.00	217,228.00	9,472.00
10. ADVANCED AUTO PARTS IN	10/27/2009	06/08/2011	579.00	371.00	208.00
20. BB & T CORP	02/08/2010	06/08/2011	513.00	549.00	-36.00
125. CVS CORP	11/12/2009	06/08/2011	4,691.00	3,739.00	952.00
25. CELGENE CORP.	04/27/2010	06/08/2011	1,489.00	1,489.00	

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

JOSEPH & SARAH VAN DRISSE CHAR TRUST MARIANNE

Employer identification number 39-6719617

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 35. COMCAST CORP NEW CL A	12/09/2009	06/08/2011	847.00	605.00	242.00
10. DISNEY WALT CO	11/12/2009	06/08/2011	394.00	291.00	103.00
35. DU PONT E I DE NEMOURS	05/13/2010	06/08/2011	1,741.00	1,369.00	372.00
390. EXXON MOBIL CORP	10/19/1994	06/08/2011	31,617.00	5,861.00	25,756.00
90. FREEPORT-MCMORAN COPPE B	10/15/2009	06/08/2011	4,383.00	3,414.00	969.00
25. GOOGLE INC	11/12/2009	06/08/2011	12,971.00	14,282.00	-1,311.00
200. INTERNATIONAL BUSINES	04/29/1981	06/08/2011	32,801.00	2,998.00	29,803.00
40. JOHNSON & JOHNSON	06/22/1990	06/08/2011	2,627.00	337.00	2,290.00
40. JUNIPER NETWORKS INC	10/15/2009	06/08/2011	1,285.00	1,080.00	205.00
5. MASTERCARD INC - CLASS	02/08/2010	06/08/2011	1,327.00	1,136.00	191.00
5. NIKE INC	11/12/2009	06/08/2011	403.00	324.00	79.00
90. NORFOLK SOUTHN CORP	10/27/2009	06/08/2011	6,339.00	4,230.00	2,109.00
45. OCCIDENTAL PETE CORP	01/07/2010	06/08/2011	4,632.00	3,721.00	911.00
40. PACCAR INC	01/07/2010	06/08/2011	1,898.00	1,524.00	374.00
300. PFIZER INC	10/16/2009	06/08/2011	6,210.00	5,255.00	955.00
100. PROCTER & GAMBLE CO	10/27/2009	06/08/2011	6,478.00	5,705.00	773.00
20. QUALCOMM INC	10/15/2009	06/08/2011	1,114.00	843.00	271.00
180. STAPLES INC	VAR	06/08/2011	2,729.00	4,184.00	-1,455.00
5. UNITED TECHNOLOGIES COR	03/30/1979	06/08/2011	415.00	12.00	403.00
90. VERIZON COMMUNICATIONS	10/15/2009	06/08/2011	3,235.00	2,440.00	795.00
80. COVIDIEN PLC NEW	11/12/2009	06/08/2011	4,343.00	3,518.00	825.00
20. MARVELL TECHNOLOGY GRO	03/25/2010	06/08/2011	298.00	420.00	-122.00
65. ADVANCED AUTO PARTS IN	10/27/2009	06/14/2011	3,721.00	2,414.00	1,307.00
180. MARVELL TECHNOLOGY GR	03/25/2010	06/14/2011	2,572.00	3,780.00	-1,208.00
3976.378 EATON VANCE MUT F	06/24/2010	07/19/2011	40,400.00	41,275.00	-875.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: JOSEPH & SARAH VAN DRISSE CHAR TRUST MARIANNE

Employer identification number 39-6719617

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 750. ISHARES RUSSELL MIDCA INDEX	12/09/2008	07/19/2011	35,006.00	20,684.00	14,322.00
700. ISHARES TR RUSSELL MI IDX	12/09/2008	07/19/2011	43,139.00	21,378.00	21,761.00
3255.766 JPMORGAN US REAL	12/23/2008	07/19/2011	56,357.00	31,232.00	25,125.00
4632.82 JPMORGAN SHORT DUR FUND	12/09/2008	07/19/2011	51,100.00	48,876.00	2,224.00
20. QUALCOMM INC	10/15/2009	07/21/2011	1,131.00	843.00	288.00
40000. BNP BREN ASIA BASKE	06/25/2010	07/22/2011	47,240.00	39,600.00	7,640.00
40000. GS BREN SPX 07/22/1	06/25/2010	07/22/2011	45,928.00	39,600.00	6,328.00
80. PFIZER INC	10/16/2009	07/27/2011	1,554.00	1,401.00	153.00
30. FREEPORT-MCMORAN COPPE B	10/15/2009	08/03/2011	1,505.00	1,138.00	367.00
5. MASTERCARD INC - CLASS	02/08/2010	08/03/2011	1,677.00	1,136.00	541.00
23. FREEPORT-MCMORAN COPPE B	10/15/2009	08/05/2011	1,073.00	873.00	200.00
195. STAPLES INC	10/27/2009	08/09/2011	2,430.00	4,413.00	-1,983.00
10. CME GROUP INC	06/08/2010	08/10/2011	2,511.00	2,920.00	-409.00
115. DISNEY WALT CO	11/12/2009	08/10/2011	3,549.00	3,350.00	199.00
26. DISNEY WALT CO	11/12/2009	08/12/2011	861.00	757.00	104.00
46. BB & T CORP	02/08/2010	08/16/2011	962.00	1,263.00	-301.00
59. BB & T CORP	02/08/2010	08/16/2011	1,224.00	1,620.00	-396.00
99. DISNEY WALT CO	11/12/2009	08/16/2011	3,301.00	2,884.00	417.00
25. FREEPORT-MCMORAN COPPE B	10/15/2009	09/20/2011	990.00	948.00	42.00
70. CITIGROUP INC NEW	10/15/2009	09/21/2011	1,921.00	3,371.00	-1,450.00
40. CITIGROUP INC NEW	10/15/2009	09/22/2011	957.00	1,926.00	-969.00
40000. DB BREN SPX 09/26/1	09/03/2010	09/26/2011	46,720.00	39,600.00	7,120.00
50. CVS CORP	11/12/2009	09/28/2011	1,718.00	1,496.00	222.00
30. FREEPORT-MCMORAN COPPE B	10/15/2009	09/28/2011	985.00	1,138.00	-153.00
10. BAIDU.COM-ADR	09/24/2010	09/29/2011	1,098.00	976.00	122.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side	Employer identification number
JOSEPH & SARAH VAN DRISSE CHAR TRUST MARIANNE	39-6719617

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 17. DU PONT E I DE NEMOURS	05/13/2010	09/29/2011	698.00	665.00	33.00
25. FREEPORT-MCMORAN COPPE B	10/15/2009	09/29/2011	792.00	948.00	-156.00
100. SPRINT CORP	10/15/2009	09/29/2011	315.00	357.00	-42.00
50. COACH INC	10/27/2009	10/05/2011	2,627.00	1,693.00	934.00
4. MASTERCARD INC - CLASS	02/08/2010	10/05/2011	1,228.00	909.00	319.00
15. EOG RESOURCES INC	10/15/2009	10/14/2011	1,240.00	1,377.00	-137.00
80. NEXTERA ENERGY INC	08/09/2010	10/14/2011	4,376.00	4,279.00	97.00
100. MORGAN STANLEY DEAN W NEW	08/09/2010	10/17/2011	1,515.00	2,752.00	-1,237.00
10. MORGAN STANLEY DEAN WI NEW	08/09/2010	10/17/2011	151.00	275.00	-124.00
400. SPRINT CORP	10/15/2009	10/20/2011	1,089.00	1,428.00	-339.00
75. SPRINT CORP	10/15/2009	10/21/2011	204.00	268.00	-64.00
100. SPRINT CORP	10/15/2009	10/21/2011	275.00	357.00	-82.00
2000. VANGUARD EMERGING MA	10/19/2010	10/27/2011	85,702.00	92,670.00	-6,968.00
70. VERIZON COMMUNICATIONS	10/15/2009	10/28/2011	2,626.00	1,898.00	728.00
80. CVS CORP	11/12/2009	10/31/2011	2,931.00	2,393.00	538.00
30. DU PONT E I DE NEMOURS	05/13/2010	11/08/2011	1,472.00	1,173.00	299.00
50. NORFOLK SOUTHN CORP	10/27/2009	11/08/2011	3,666.00	2,350.00	1,316.00
60. AMERICAN EXPRESS CO	11/29/2010	12/05/2011	2,936.00	2,538.00	398.00
3920.525 ARTIO INTERNATION - I	12/10/2008	12/09/2011	39,323.00	38,813.00	510.00
160.16 JPMORGAN INTERNATIO	03/16/2010	12/09/2011	1,791.00	1,744.00	47.00
350. SPDR TR UNIT SER 1	12/09/2008	12/09/2011	44,093.00	31,507.00	12,586.00

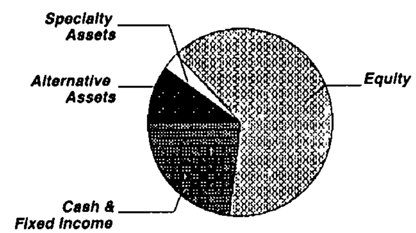
6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b 339,803.00

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	2,509,398 78	2,793,704 85	284,306 07	26,027 38	66%
Alternative Assets	710,804.43	442,668 07	(268,136 36)	5,849.67	10%
Cash & Fixed Income	1,346,169 60	962,247 16	(383,922 44)	32,593.40	23%
Specialty Assets	237,500 00	56,666.50	(180,833 50)		1%
Market Value	**$4,803,872.81**	**$4,255,286.58**	**($548,586.23)**	**$64,470.45**	**100%**

Asset Allocation



INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	15,263 52	18,197 19	2,933 67
Accruals	4,478.56	3,691.38	(787.18)
Market Value	**$19,742.08**	**$21,888.57**	**$2,146.49**

Account Summary CONTINUED

	PRINCIPAL		INCOME	
Portfolio Activity	Current Period Value	Year-to-Date Value	Current Period Value	Year-to-Date Value
Beginning Market Value	**4,803,872.81**	**4,803,872.81**	**15,263.52**	**15,263.52**
Additions	99,691 89	99,691.89	172,209.56	172,209.56
Withdrawals & Fees	(195,457.03)	(195,457.03)	(260,622 60)	(260,622.60)
Securities Transferred In	19,207 00	19,207 00	--	--
Securities Transferred Out	(19,117 00)	(19,117.00)	--	--
Net Additions/Withdrawals	**($95,675.14)**	**($95,675.14)**	**($88,413.04)**	**($88,413.04)**
Income	298 28	298 28	91,346 71	91,346.71
Change In Investment Value	(453,209 37)	(453,209 37)		
Ending Market Value	**$4,255,286.58**	**$4,255,286.58**	**$18,197.19**	**$18,197.19**
Accruals	--	--	3,691 38	3,691 38
Market Value with Accruals	**--**	**--**	**$21,888.57**	**$21,888.57**

Account Summary CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	82,212 34	82,212 34
Foreign Dividends	608.64	608 64
Interest Income	75 73	75.73
Original Issue Discount	298 28	298 28
Taxable Income	**$83,194.99**	**$83,194.99**
Specialty Asset Income	8,450.00	8,450.00
Other Income & Receipts	**$8,450.00**	**$8,450.00**

	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions	31,075.69	31,075 69
ST Realized Gain/Loss	(63,670 48)	(63,670 48)
LT Realized Gain/Loss	339,804 38	339,804 38
Realized Gain/Loss	**$307,209.59**	**$307,209.59**

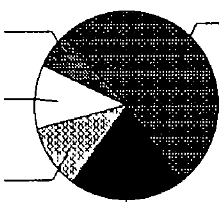
	To-Date Value
Unrealized Gain/Loss	**$274,238.56**

Cost Summary	Cost
Equity	2,585,710 87
Cash & Fixed Income	963,782 45
Total	**$3,549,493.32**

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	1,289,908 85	1,465,109 17	175,200.32	35%
US Mid Cap Equity	300,309 32	298,523 19	(1,786.13)	7%
EAFE Equity	235,397.27	568,293 20	332,895.93	13%
Asia ex-Japan Equity	414,516 75	282,874.02	(131,642 73)	7%
Emerging Market Equity	226,606 84	178,905 27	(47,701.57)	4%
Concentrated & Other Equity	42,659 75	0.00	(42,659.75)	
Total Value	**$2,509,398.78**	**$2,793,704.85**	**$284,306.07**	**66%**

Asset Categories



Equity as a percentage of your portfolio - 66 %

Market Value/Cost	Current Period Value
Market Value	2,793,704 85
Tax Cost	2,585,710 87
Unrealized Gain/Loss	207,993 98
Estimated Annual Income	26,027.38
Accrued Dividends	862 93
Yield	0 93%

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div.	Yield
US Large Cap Equity							
ABBOTT LABORATORIES 002824-10-0 ABT	56.23	115.000	6,466 45	5,901.78	564 67	220.80	3 41%
ACE LTD NEW H0023R-10-5 ACE	70.12	90 000	6,310.80	5,018 25	1,292 55	169 20	2 68%
ALLERGAN INC 018490-10-2 AGN	87.74	40.000	3,509 60	3,446 69	62.91	8 00	0 23%
AMAZON COM INC 023135-10-6 AMZN	173 10	70 000	12,117 00	8,762 24	3,354.76		
ANADARKO PETROLEUM CORP 032511-10-7 APC	76.33	85.000	6,488 05	6,233 85	254.20	30.60	0 47%
APPLE INC. 037833-10-0 AAPL	405.00	85.000	34,425.00	18,453 54	15,971 46		
AT&T INC 00206R-10-2 T	30 24	256 000	7,741 44	7,417.11	324 33	450 56	5 82%
AUTOZONE INC 053332-10-2 AZO	324.97	14 000	4,549.58	4,024.81	524 77		
BAIDU INC SPONS ADR 056752-10-8 BIDU	116 47	25.000	2,911 75	2,439.00	472.75		
BAKER HUGHES INC 057224-10-7 BHI	48 64	60 000	2,918.40	3,431.05	(512.65)	36 00	1 23%
BANK OF AMERICA CORP 060505-10-4 BAC	5 56	865 000	4,809.40	11,667.81	(6,858.41)	34 60	0 72%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div.	Yield
US Large Cap Equity							
BARC BREN SPX 01/11/12 10%BUFFER-2XLEV-5 25%CAP- 10.5%MAXRTRN INITIAL LEVEL-12/22/10 SPX 1258.84 06740P-YM-2	101 41	45,000.000	45,634 50	44,550 00	1,084.50		
BIOGEN IDEC INC 09062X-10-3 BIIB	110 05	72 000	7,923 60	4,546.42	3,377.18		
BROADCOM CORP CL A 111320-10-7 BRCM	29 36	70 000	2,055.20	2,826 60	(771 40)	25.20	1 23%
CAMERON INTERNATIONAL CORPORATION 13342B-10-5 CAM	49 19	55.000	2,705.45	2,485.45	220.00		
CAMPBELL SOUP COMPANY 134429-10-9 CPB	33.24	140 000	4,653.60	4,954.55	(300 95)	162 40 40 60	3 49%
CAPITAL ONE FINANCIAL CORP 14040H-10-5 COF	42 29	114 000	4,821.06	5,191.09	(370.03)	22 80	0.47%
CARDINAL HEALTH INC 14149Y-10-8 CAH	40 61	180 000	7,309 80	6,660 28	649 52	154 80 38.70	2 12%
CARNIVAL CORPORATION 143658-30-0 CCL	32.64	155 000	5,059.20	5,094.24	(35.04)	155 00	3.06%
CBS CORP CLASS B W/I 124857-20-2 CBS	27.14	270.000	7,327.80	7,199.19	128.61	108.00 27.00	1.47%
CELGENE CORPORATION 151020-10-4 CELG	67 60	100 000	6,760 00	5,956.98	803 02		
CENTERPOINT ENERGY INC 15189T-10-7 CNP	20 09	383 000	7,694.47	7,098 71	595 76	302 57	3 93%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div.	Yield
US Large Cap Equity							
CISCO SYSTEMS INC 17275R-10-2 CSCO	18 08	598 000	10,811.84	9,491 43	1,320.41	143.52	1 33%
CITIGROUP INC NEW 172967-42-4 C	26.31	240 000	6,314.40	8,883 17	(2,568 77)	9.60	0 15%
CITRIX SYSTEMS INC 177376-10-0 CTXS	60.72	45 000	2,732.40	2,598 38	134 02		
COCA-COLA CO 191216-10-0 KO	69 97	80 000	5,597 60	5,443 50	154 10	150 40	2 69%
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A 192446-10-2 CTSH	64.31	113 000	7,267.03	6,169.00	1,098 03		
COLGATE PALMOLIVE CO 194162-10-3 CL	92 39	53 000	4,896 67	4,170 09	726 58	122 96	2 51%
COMCAST CORP CL A 20030N-10-1 CMCS A	23.71	225 000	5,334 75	4,138 32	1,196.43	101 25 25.31	1 90%
CONOCOPHILLIPS 20825C-10-4 COP	72 87	143 000	10,420 41	7,516 48	2,903 93	377 52	3 62%
COVIDIEN PLC NEW G2554F-11-3 COV	45.01	137.000	6,166 37	6,279 26	(112 89)	123.30	2 00%
CVS CAREMARK CORPORATION 126650-10-0 CVS	40.78	95 000	3,874 10	2,841.45	1,032.65	61.75	1 59%
DARDEN RESTAURANTS INC 237194-10-5 DRI	45 58	55 000	2,506 90	2,335.82	171.08	94 60	3 77%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div.	Yield
US Large Cap Equity							
DB BREN SPX 10/11/12 10%BUFFER-2 XLEV- 8 25%CAP 18 2%MAXRTRN INITIAL LEVEL-09/23/11 SPX 1,136 43 2515A1-D4-0	105 85	50,000 000	52,925 00	49,500 00	3,425 00		
DB REN SPX 2/29/12 2XLEV-8 5%CAP-17%MAXPYMT INITIAL LEVEL-2/11/11 SPX. 1329.15 2515A1-3T-6	94 86	70,000.000	66,402.00	69,300.00	(2,898.00)		
DB REN SPX 04/25/12 2 XLEV- 8 65%CAP- 17 3%MAXPYMT INITIAL LEVEL-04/08/11 SPX 1328 17 2515A1-5R-8	95.39	70,000 000	66,773 00	69,300 00	(2,527 00)		
DEVON ENERGY CORP 25179M-10-3 DVN	62 00	35 000	2,170.00	2,511 30	(341 30)	23 80	1 10%
DOMINION RESOURCES INC VA 25746U-10-9 D	53 08	80 000	4,246 40	4,031 69	214 71	157.60	3 71%
E I DU PONT DE NEMOURS & CO 263534-10-9 DD	45 78	268.000	12,269.04	9,805.25	2,463.79	439.52	3.58%
E M C CORP MASS 268648-10-2 EMC	21 54	200.000	4,308.00	4,307.98	0.02		
EMERSON ELECTRIC CO 291011-10-4 EMR	46 59	106 000	4,938 54	5,612 04	(673 50)	169 60	3 43%
EOG RESOURCES INC 26875P-10-1 EOG	98 51	35 000	3,447 85	3,211 95	235 90	22 40	0.65%
EXXON MOBIL CORP 30231G-10-2 XOM	84 76	210 000	17,799 60	3,155 77	14,643.83	394.80	2 22%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
US Large Cap Equity							
FREEPORT-MCMORAN COPPER & GOLD INC CL B 35671D-85-7 FCX	36 79	107 000	3,936 53	4,170.36	(233 83)	107 00	2.72%
GENERAL MILLS INC 370334-10-4 GIS	40 41	130 000	5,253 30	4,519.05	734.25	158.60	3 02%
GENERAL MOTORS CO 37045V-10-0 GM	20 27	200 000	4,054 00	6,265 98	(2,211 98)		
GENERAL ELECTRIC CO 369604-10-3 GE	17.91	310 000	5,552 10	5,042.43	509 67	210 80 52 70	3.80%
GLOBAL PAYMENTS INC 37940X-10-2 GPN	47 38	60 000	2,842 80	2,969 40	(126 60)	4 80	0.17%
GOLDMAN SACHS GROUP INC 38141G-10-4 GS	90.43	75 000	6,782 25	11,232.24	(4,449 99)	105 00	1 55%
HOME DEPOT INC 437076-10-2 HD	42.04	100.000	4,204.00	3,378.29	825.71	116 00	2 76%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	54 35	139.000	7,554 65	6,100 00	1,454 65	207 11	2.74%
HSBC CONT BUFF EQ SPX 06/27/12 80% CONTIN BARRIER- 2 35%CPN 20% CAP INITIAL LEVEL-06/10/11 SPX.1,270 98 4042K1-JV-6	98.46	70,000.000	68,922.00	69,300 00	(378.00)		
HUMANA INC 444859-10-2 HUM	87.61	100 000	8,761 00	8,033 59	727 41	100.00 25.00	1.14%
INTERCONTINENTAL EXCHANGE INC 45865V-10-0 ICE	120 55	17 000	2,049 35	1,897.55	151 80		

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div	Yield
US Large Cap Equity							
INVESCO LTD G491BT-10-8 IVZ	20.09	250 000	5,022 50	4,911 50	111.00	122.50	2 44%
IVY LARGE CAP GROWTH FUND - I 466001-20-3 IYGI X	13 58	13,440 860	182,526 88	125,000.00	57,526 88		
JOHNSON & JOHNSON 478160-10-4 JNJ	65.58	160 000	10,492.80	1,347.90	9,144 90	364.80	3 48%
JOHNSON CONTROLS INC 478366-10-7 JCI	31 26	395 000	12,347.70	11,435 64	912 06	284 40 71 10	2 30%
JPM TR I GROWTH ADVANTAGE FD - SEL 4812A3-71-8 JGAS X	8.69	10,256 983	89,133.18	91,800.00	(2,666 82)		
JUNIPER NETWORKS INC 48203R-10-4 JNPR	20.41	330.000	6,735 30	8,474 32	(1,739.02)		
KRAFT FOODS INC CLASS A 50075N-10-4 KFT	37.36	255.000	9,526 80	8,803 85	722.95	295.80 73 95	3 10%
LAM RESEARCH CORP 512807-10-8 LRCX	37 02	40 000	1,480.80	1,754 75	(273.95)		
LENNAR CORP 526057-10-4 LEN	19.65	170 000	3,340.50	2,570 48	770 02	27.20	0 81%
LOWES COMPANIES INC 548661-10-7 LOW	25.38	426 000	10,811.88	9,501 22	1,310.66	238.56	2.21%
MASTERCARD INC - CLASS A 57636Q-10-4 MA	372 82	11 000	4,101.02	2,499.42	1,601 60	6 60	0.16%
MERCK AND CO INC 58933Y-10-5 MRK	37 70	485 000	18,284.50	16,631.99	1,652.51	814.80 203.70	4 46%
METLIFE INC 59156R-10-8 MET	31 18	275 000	8,574 50	10,466 92	(1,892 42)	203 50	2.37%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div	Yield
US Large Cap Equity							
MICROS SYSTEMS INC 594901-10-0 MCRS	46 58	35 000	1,630.30	1,756 28	(125.98)		
MICROSOFT CORP 594918-10-4 MSFT	25.96	871 000	22,611.16	20,889.13	1,722.03	696 80	3.08%
MONSANTO CO 61166W-10-1 MON	70 07	42.000	2,942 94	2,908.93	34 01	50 40	1.71%
NETAPP INC 64110D-10-4 NTAP	36 27	50 000	1,813 50	1,782 02	31 48		
NEXTERA ENERGY INC 65339F-10-1 NEE	60 88	80 000	4,870 40	4,569 55	300 85	176 00	3.61%
NIKE INC B 654106-10-3 NKE	96.37	70.000	6,745.90	4,539 50	2,206.40	100.80 25 20	1 49%
NORFOLK SOUTHERN CORP 655844-10-8 NSC	72.86	210.000	15,300 60	9,869.10	5,431.50	361.20	2 36%
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	93.70	174.000	16,303 80	14,427.14	1,876 66	320.16 80 04	1 96%
ORACLE CORP 68389X-10-5 ORCL	25 65	360 000	9,234.00	11,421.00	(2,187.00)	86 40	0 94%
PACCAR INC 693718-10-8 PCAR	37.47	185.000	6,931 95	7,050.14	(118.19)	133 20 129.50	1 92%
PFIZER INC 717081-10-3 PFE	21.64	663.000	14,347 32	11,612.44	2,734.88	583.44	4.07%
PIONEER NATURAL RESOURCES CO 723787-10-7 PXD	89.48	25 000	2,237.00	1,860.34	376.66	2 00	0 09%
PROCTER & GAMBLE CO 742718-10-9 PG	66 71	200 000	13,342.00	11,410.00	1,932.00	420 00	3 15%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div.	Yield
US Large Cap Equity							
PRUDENTIAL FINANCIAL INC 744320-10-2 PRU	50 12	119.000	5,964 28	5,827 07	137 21	172 55	2 89%
QUALCOMM INC 747525-10-3 QCOM	54.70	185 000	10,119 50	8,013.50	2,106.00	159.10	1 57%
SCHLUMBERGER LTD 806857-10-8 SLB	68.31	183 000	12,500.73	15,261.41	(2,760 68)	183 00 45.75	1.46%
SG REN SPX 3/28/12 2XLEV-9 2%CAP-18 4%MAXPYMT INITIAL LEVEL-3/11/11 SPX 1304 28 78423A-J9-4	96 75	70,000 000	67,725 00	69,300.00	(1,575 00)		
SOUTHWESTERN ENERGY CO 845467-10-9 SWN	31 94	50.000	1,597 00	1,972 92	(375 92)		
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	125 50	1,250.000	156,875.00	112,523 25	44,351 75	3,220 00	2 05%
TARGET CORP 87612E-10-6 TGT	51 22	100 000	5,122 00	4,729.74	392.26	120 00	2.34%
TD AMERITRADE HOLDING CORPORATION 87236Y-10-8 AMTD	15 65	169 000	2,644 85	2,773 83	(128 98)	40 56	1 53%
THE ESTEE LAUDER COMPANIES INC CL A 518439-10-4 EL	112 32	34 000	3,818 88	3,447.38	371.50	35.70	0.93%
TIME WARNER INC NEW 887317-30-3 TWX	36 14	465 000	16,805 10	14,326 92	2,478 18	437 10	2 60%
TYCO INTERNATIONAL LTD H89128-10-4 TYC	46 71	145.000	6,772.95	6,842.55	(69 60)	145.00	2 14%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	50 68	125.000	6,335.00	5,018.79	1,316 21	81 25	1 28%
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	73.09	195 000	14,252 55	2,339.39	11,913 16	374.40	2.63%
US BANCORP DEL 902973-30-4 USB	27.05	195.000	5,274.75	4,711.18	563.57	97.50 24 38	1 85%
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	40 12	240 000	9,628 80	6,505 86	3,122 94	480 00	4 99%
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	33.21	80.000	2,656.80	2,508 92	147 88		
WELLS FARGO & CO 949746-10-1 WFC	27 56	596 000	16,425 76	7,926.38	8,499 38	286 08	1 74%
WILLIAMS COMPANIES INC 969457-10-0 WMB	33.02	215.000	7,099.30	6,405.35	693 95	215 00	3 03%
XILINX CORP 983919-10-1 XLNX	32.06	196 000	6,283 76	6,376.18	(92.42)	148.96	2.37%
YUM BRANDS INC 988498-10-1 YUM	59 01	190.000	11,211 90	6,886.16	4,325 74	216 60	1 93%
Total US Large Cap Equity			**$1,465,109.17**	**$1,269,861.75**	**$195,247.42**	**$16,983.82** **$862.93**	**1.16%**
US Mid Cap Equity							
ASTON OPTIMUM MID CAP FUND I 00078H-15-8 ABMI X	29 97	4,217 407	126,395 69	137,200 00	(10,804 31)	392 21	0 31%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div	Yield
US Mid Cap Equity							
ISHARES RUSSELL MIDCAP VALUE INDEX FUND 464287-47-3 IWS	43 40	2,000 000	86,800 00	55,157 80	31,642 20	1,842 00	2 12%
ISHARES RUSSELL MIDCAP GROWTH INDEX FUND 464287-48-1 IWP	55 05	1,550.000	85,327.50	47,337.00	37,990.50	795.15	0 93%
Total US Mid Cap Equity			**$298,523.19**	**$239,694.80**	**$58,828.39**	**$3,029.36**	**1.01%**
EAFE Equity							
ARTISAN INTL VALUE FUND - INV 04314H-88-1 ARTK X	25.09	4,994 614	125,314 87	139,100 00	(13,785 13)	69.92	0 06%
CS BREN EAFE 2/29/12 10%BUFFER-2XLEV-7.653%CAP-15 306%MAXRTRN INITIAL LEVEL-2/11/11.SX5E 3024.37 UKX 6062 90 TPX 959 19 22546E-V6-8	86 70	45,000 000	39,015 00	44,550.00	(5,535.00)		
DB BREN EAFE 3/28/12 10%BUFFER-2XLEV-7 85%CAP-15.7%MAXRTRN INITIAL LEVEL-3/11/11 SX5E 2883.84 UKX·5828.67 TPX 915 51 2515A1-4X-6	88.76	45,000 000	39,942 00	44,550.00	(4,608.00)		

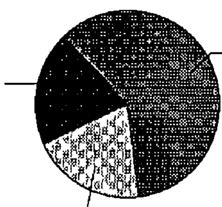
	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div.	Yield
EAFE Equity							
GS BREN EAFE 04/25/12 10%BUFFER-2 XLEV- 7 4%CAP 14 8%MAXRTRN INITIAL LEVEL-04/08/11 SX5E·2984 66 UKX 6055.75 TPX·853.13 38143U-TT-8	85.38	50,000 000	42,691 50	49,500 00	(6,808.50)		
GS BREN EAFE 06/20/12 10%BUFFER-2 XLEV- 7 81%CAP 15 62%MAXRTRN INITIAL LEVEL-06/03/11:SX5E 2789.11 UKX 5855 01 TPX 816 57 38143U-VP-3	86 00	45,000 000	38,700 45	44,550 00	(5,849 55)		
MANNING & NAPIER FUND INC WORLD OPPORTUNITIES SERIES FUND 563821-54-5 EXWA X	6 63	16,861 734	111,793 30	96,449.12	15,344.18	4,046 81	3.62%
RS INTERNATIONAL GROWTH FUND 74972H-42-4 RSIG X	15.42	11,078.864	170,836.08	175,600.00	(4,763.92)		
Total EAFE Equity			**$568,293.20**	**$594,299.12**	**($26,005.92)**	**$4,116.73**	**0.73%**
Asia ex-Japan Equity							
COLUMBIA FDS SER TR II MASS ASIA PC JP R5 19763P-57-2 TAPR X	10 92	3,418 210	37,326 85	44,300.00	(6,973.15)	628 95	1 68%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
Asia ex-Japan Equity							
CS BREN ASIA BASKET 08/08/12 10%BUFFER-2 XLEV- 6 2%CAP 12 4%MAXRTRN INITIAL LEVEL-07/22/11 ASIA BASKET· 100 00 22546T-CC-3	83 70	45,000.000	37,665 00	44,550.00	(6,885 00)		
JPM ASIA EQUITY FD - SEL 4812A0-70-6 JPAS X	28 76	3,783 075	108,801 24	143,600.00	(34,798 76)	646 90	0 59%
T ROWE PRICE INTERNATIONAL FUNDS INC NEW ASIA FUND 77956H-50-0 PRAS X	13 91	7,123 000	99,080 93	106,450 65	(7,369 72)		
Total Asia ex-Japan Equity			**$282,874.02**	**$338,900.65**	**($56,026.63)**	**$1,275.85**	**0.45%**
Emerging Market Equity							
DELAWARE EMERGING MARKETS FUND 245914-81-7 DEMI X	12 47	3,340 944	41,661 57	43,900.00	(2,238 43)	557 93	1.34%
GS BREN EEM 11/05/12 10%BUFFER-2 XLEV- 12.15%CAP 22 2%MAXRTRN INITIAL LEVEL-10/19/11 EEM ·38 52 38143U-YK-1	100 56	45,000 000	45,250 20	44,550 00	700 20		
JPM EM MKTS EQUITY FD - SEL 4812A0-62-3 JEMS X	20 22	4,549 629	91,993 50	54,504 55	37,488 95	63 69	0 07%
Total Emerging Market Equity			**$178,905.27**	**$142,954.55**	**$35,950.72**	**$621.62**	**0.35%**

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	269,466 99	90,362 36	(179,104 63)	2%
Real Estate & Infrastructure	167,888.55	90,191 54	(77,697 01)	2%
Hard Assets	273,448.89	262,114.17	(11,334 72)	6%
Total Value	**$710,804.43**	**$442,668.07**	**($268,136.36)**	**10%**

Asset Categories



Alternative Assets as a percentage of your portfolio - 10 %

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
EATON VANCE MUT FDS TR GLOBAL MACRO - I 277923-72-8 EIGM X	9.82	9,201.870	90,362.36	95,042 28

	Quantity/Original Commitment Amount	Cost/Net Capital Called Since Inception	Net Distributions Since Inception	Estimated Value	Est. Annual Inc / Accrued Div	Yield
Real Estate & Infrastructure						
JPM US REAL ESTATE FD - SEL 4812C0-61-3 SUIE X	5,570 82	53,440.53		90,191 54	1,966.49	2 18%

Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value". Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement For additional information, please contact your J P. Morgan representative

For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market" Most of these underlying funds also present their audited financial statements on a US GAAP basis (i.e , "marked-to-market"). However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes. Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund)

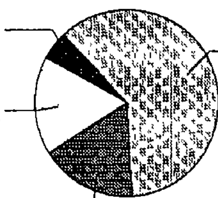
	Price	Quantity	Estimated Value	Cost
Hard Assets				
HIGHBRIDGE DYNAMIC COMM STRG FD -SEL 48121A-67-0 HDCS X	17 13	7,299.835	125,046 17	121,834 57
MS COMMODITY INDEX BREN 01/03/12 LEV 1.2X, BUFF 15%, MAX RET 18%, DD 06/25/10 617482-MC-5	114 69	40,000.000	45,874 00	39,500.00

	Price	Quantity	Estimated Value	Cost
Hard Assets				
SPDR GOLD TRUST 78463V-10-7 GLD	151.99	600 000	91,194.00	65,070 82
Total Hard Assets			**$262,114.17**	**$226,405.39**

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	230,033 92	167,083.14	(62,950 78)	4%
US Fixed Income	979,721 27	585,375 16	(394,346.11)	14%
Complementary Structured Strategies	0.00	60,361.30	60,361.30	1%
Foreign Exchange & Non-USD Fixed Income	136,414.41	149,427.56	13,013 15	4%
Total Value	**$1,346,169.60**	**$962,247.16**	**($383,922.44)**	**23%**

Asset Categories



Cash & Fixed Income as a percentage of your portfolio - 23 %

Market Value/Cost	Current Period Value
Market Value	962,247.16
Tax Cost	963,782 45
Unrealized Gain/Loss	(1,535 29)
Estimated Annual Income	32,593.40
Accrued Interest	2,525 23
Yield	3 38%

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months[1]	901,885 86	94%
1-5 years[1]	60,361 30	6%
Total Value	**$962,247.16**	**100%**

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	167,083.14	17%
International Bonds	64,106.60	6%
Mutual Funds	670,696.12	71%
Complementary Structure	60,361 30	6%
Total Value	**$962,247.16**	**100%**

Note O - Bonds purchased at a discount show accretion.
[1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1 00	167,083 14	167,083.14	167,083.14		83 54	0.05 % [1]
US Fixed Income							
EATON VANCE MUT FDS TR FLT RT CL I 277911-49-1	8.81	25,769.87	227,032.51	225,966.21	1,066.30	9,715 23 830.27	4.28 %
JPM HIGH YIELD FD - SEL 4812C0-80-3	7.62	22,634.77	172,476.95	171,419.82	1,057 13	13,309 24 1,109.10	7 72 %
JPM SHORT DURATION BOND FD - SEL 4812C1-33-0	10 95	12,691.50	138,971 93	133,895.33	5,076 60	2,271 77 190 37	1.63 %
JPM TR I MLT SC INC - SEL 48121A-29-0	9.96	4,708 21	46,893 77	47,600 00	(706 23)	974 59 395 49	2 08 %
Total US Fixed Income			**$585,375.16**	**$578,881.36**	**$6,493.80**	**$26,270.83** **$2,525.23**	**4.49 %**

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Income / Accrued Interest	Yield
Complementary Structured Strategies							
O **BARC 93% PPN CURRENCY BASKET 9/13/13** LNKED TO 3 CURRENCY BSKTS 50% 35% & 15% WEIGHT,UNCAPPED 9/9/11 06738K-UP-4	93 85	30,000.00	28,155.00	29,747 95 29,550 00	(1,592 95)		
O **GS 95% PP CMIT BASKET 11/18/13** LNKED TO CNY,MXN,IDR, TRY /USD 215% UPSIDE LEV, UNCAPPED 11/11/11 38143U-ZV-6	92 02	35,000.00	32,206.30	34,575.33 34,475 00	(2,369.03)		
Total Complementary Structured Strategies			**$60,361.30**	**$64,323.28** **$64,025.00**	**($3,961.98)**	**$0.00**	**0.00%**
Foreign Exchange & Non-USD Fixed Income							
JPM INTL CURRENCY INCOME FD 4812A3-29-6	10 83	7,878 21	85,320 96	85,793.66	(472 70)	2,907 05	3 41%
DREYFUS/LAUREL FDS TR PRM EMRGN MK I 261980-49-4	13.16	4,871 32	64,106 60	67,701 01	(3,594 41)	3,331 98	5.20%
Total Foreign Exchange & Non-USD Fixed Income			**$149,427.56**	**$153,494.67**	**($4,067.11)**	**$6,239.03**	**4.18%**

Specialty Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Real Estate	237,500.00	56,666.50	(180,833 50)	1%

Specialty Assets Detail

	Interest Type	Percentage of Ownership	Estimated Value	Estimated Cost
Real Estate				
310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY Bearer 995R00-90-2	SURFACE-REAL ESTATE	50.00 %	56,666.50	113,333 00

Portfolio Activity Summary

Transactions	PRINCIPAL Current Period Value	PRINCIPAL Year-To-Date Value*	INCOME Current Period Value	INCOME Year-To-Date Value*
Beginning Cash Balance	**230,033.92**	--	**15,263.52**	--
INFLOWS				
Income			91,346 71	91,346.71
Contributions	99,691.89	99,691.89	172,209.56	172,209 56
Total Inflows	**$99,691.89**	**$99,691.89**	**$263,556.27**	**$263,556.27**
OUTFLOWS **				
Withdrawals	(172,209.56)	(172,209 56)	(225,005.47)	(225,005 47)
Fees & Commissions	(23,247 47)	(23,247 47)	(23,247.53)	(23,247 53)
Tax Payments			(12,369 60)	(12,369 60)
Total Outflows	**($195,457.03)**	**($195,457.03)**	**($260,622.60)**	**($260,622.60)**
TRADE ACTIVITY				
Settled Sales/Maturities/Redemptions	2,567,431.93	2,567,431.93		
Settled Securities Purchased	(2,534,617.57)	(2,534,617 57)		
Total Trade Activity	**$32,814.36**	**$32,814.36**	**$0.00**	**$0.00**
Ending Cash Balance	**$167,083.14**	--	**$18,197.19**	--

* Year to date information is calculated on a calendar year basis.
** Your account's standing instructions use a HIGH COST method for relieving assets from your position

Portfolio Activity Summary

Cost Adjustments	Current Period Value	Year-To-Date Value*
Accretion	298 28	298.28
Specialty Asset Cost Basis Adj	(93,767.00)	(93,767 00)
Total Cost Adjustments	**($93,468.72)**	**($93,468.72)**

* Year to date information is calculated on a calendar year basis

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
1/3	Div Domest	COACH INC @ 0.15 PER SHARE (ID: 189754-10-4)	50.000	0.15		7.50
1/3	Interest Income	DEPOSIT SWEEP INTEREST FOR DEC. @ 05% RATE ON NET AVG COLLECTED BALANCE OF $247,300 28 AS OF 01/01/11				10 50
1/3	Div Domest	JPM INTL CURRENCY INCOME FD @ 0.0008 PER SHARE (ID· 4812A3-29-6)	8,038 365	0.001		6 43
1/3	Div Domest	JPM STR INC OPP FD @ 0.034 PER SHARE (ID 4812A4-35-1)	5,661.153	0 034		192 48
1/3	Div Domest	JPM HIGH YIELD FD - SEL @ 0 056 PER SHARE (ID 4812C0-80-3)	27,220.909	0 056		1,524 37
1/3	Div Domest	JPM SHORT DURATION BOND FD - SEL @ 0.016 PER SHARE (ID 4812C1-33-0)	37,914 692	0.016		606 64

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
1/3	Div Domest	EATON VANCE MUT FDS TR FLT RT CL I 12/31/10 INCOME DIVIDEND @ 0 031 PER SHARE AS OF 12/31/10 (ID: 277911-49-1)	25,769 865	0 031		800 27
1/3	Div Domest	EATON VANCE MUT FDS TR GLOBAL MACRO - I 12/31/10 INCOME DIVIDEND @ 0 042 PER SHARE AS OF 12/31/10 (ID· 277923-72-8)	13,178.248	0.042		550 60
1/3	Div Domest	VANGUARD FIXED INCOME SECS F INTR TRM CP PT (FUND 71) 01/03/11 INCOME DIVIDEND @ 0 039 PER SHARE (ID· 922031-88-5)	4,443.272	0 039		172.48
1/4	Div Domest	JOHNSON CONTROLS INC @ 0.16 PER SHARE (ID 478366-10-7)	300 000	0 16		48 00
1/6	Div Domest	VANGUARD FIXED INCOME SECS F INTR TRM CP PT (FUND 71) DIV PAID (ID 922031-88-5)	4,443 272	0.006		26 89
1/6	Rental Income	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY MGL FITNESS, INC RENTAL INC.-COMM'L (I) (01/2011) 01/2011 RENTAL INCOME (ID. 995R00-90-2)				1,050 00
1/7	Div Domest	MERCK AND CO INC @ 0 38 PER SHARE (ID 58933Y-10-5)	400.000	0 38		152 00
1/7	Div Domest	ADVANCED AUTO PARTS INC @ 0 06 PER SHARE (ID 00751Y-10-6)	75 000	0.06		4.50
1/10	Foreign Dividend	SCHLUMBERGER LTD @ 0 21 PER SHARE AS OF 01/07/11 (ID 806857-10-8)	125 000	0 21		26.25
1/11	Foreign Dividend	ACE LTD NEW @ 0.33 PER SHARE (ID. H0023R-10-5)	75.000	0 33		24 75
1/13	Div Domest	STAPLES INC @ 0 09 PER SHARE (ID 855030-10-2)	375 000	0.09		33 75
1/18	Div Domest	OCCIDENTAL PETROLEUM CORP @ 0 38 PER SHARE (ID: 674599-10-5)	175 000	0 38		66 50

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
1/18	Div Domest	WALT DISNEY CO @ 0 40 PER SHARE (ID 254687-10-6)	475 000	0 40		190 00
1/18	Div Domest	CARDINAL HEALTH INC @ 0 195 PER SHARE (ID 14149Y-10-8)	100 000	0.195		19 50
1/18	Div Domest	STATE STREET CORP @ 0 01 PER SHARE (ID 857477-10-3)	75.000	0 01		0 75
1/26	Div Domest	COMCAST CORP CL A @ 0.0945 PER SHARE (ID· 20030N-10-1)	200 000	0 095		18 90
1/28	Div Domest	DOW CHEMICAL CO @ 0 15 PER SHARE (ID 260543-10-3)	225 000	0.15		33 75
1/28	Div Domest	SYSCO CORP @ 0 26 PER SHARE (ID· 871829-10-7)	150 000	0 26		39.00
1/31	Div Domest	SPDR S&P 500 ETF TRUST @ 0.65276 PER SHARE (ID. 78462F-10-3)	1,970.000	0.653		1,285 94
1/31	Div Domest	CAMPBELL SOUP COMPANY @ 0 29 PER SHARE (ID 134429-10-9)	75 000	0.29		21 75
1/31	Div Domest	EOG RESOURCES INC @ 0 155 PER SHARE (ID 26875P-10-1)	50 000	0 155		7 75
2/1	Div Domest	BB & T CORP @ 0.15 PER SHARE (ID 054937-10-7)	125.000	0.15		18.75
2/1	Div Domest	AT&T INC @ 0 43 PER SHARE (ID 00206R-10-2)	175.000	0 43		75 25
2/1	Div Domest	GENERAL MILLS INC @ 0 28 PER SHARE (ID 370334-10-4)	100 000	0.28		28 00
2/1	Div Domest	VERIZON COMMUNICATIONS INC @ 0 4875 PER SHARE (ID. 92343V-10-4)	400 000	0.488		195 00
2/1	Div Domest	FREEPORT-MCMORAN COPPER & GOLD INC CL B @ 0 50 PER SHARE (ID. 35671D-85-7)	150.000	0 50		75.00

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
2/1	Interest Income	DEPOSIT SWEEP INTEREST FOR JAN @ 05% RATE ON NET AVG COLLECTED BALANCE OF $164,675.25 AS OF 02/01/11				6 99
2/1	Div Domest	JPM HIGH YIELD FD - SEL @ 0.05 PER SHARE (ID. 4812C0-80-3)	27,220 909	0 05		1,361 05
2/1	Div Domest	JPM SHORT DURATION BOND FD - SEL @ 0 014 PER SHARE (ID. 4812C1-33-0)	37,914 692	0 014		530 81
2/1	Div Domest	EATON VANCE MUT FDS TR FLT RT CL I 01/31/11 INCOME DIVIDEND @ 0.031 PER SHARE AS OF 01/31/11 (ID 277911-49-1)	25,769 865	0 031		797 18
2/1	Div Domest	EATON VANCE MUT FDS TR GLOBAL MACRO - I 01/31/11 INCOME DIVIDEND @ 0 042 PER SHARE AS OF 01/31/11 (ID 277923-72-8)	13,178 248	0 042		550 61
2/2	Div Domest	LOWES COMPANIES INC @ 0 11 PER SHARE (ID 548661-10-7)	200.000	0.11		22.00
2/2	Div Domest	CVS/CAREMARK CORPORATION @ 0 125 PER SHARE (ID 126650-10-0)	350 000	0 125		43 75
2/4	Div Domest	YUM BRANDS INC @ 0 25 PER SHARE (ID 988498-10-1)	175 000	0 25		43 75
2/7	Div Domest	ISHARES BARCLAYS TIPS BOND FUND @ 0 164105 PER SHARE (ID· 464287-17-6)	425.000	0 164		69.74
2/8	Div Domest	LENNAR CORP @ 0 04 PER SHARE (ID. 526057-10-4)	100 000	0 04		4.00
2/8	Rental Income	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY MGL FITNESS, INC RENTAL INC -COMM'L (I) (02/2011) 02/2011 RENTAL INCOME (ID 995R00-90-2)				1,050 00
2/9	Div Domest	MASTERCARD INC - CLASS A @ 0.15 PER SHARE (ID 57636Q-10-4)	25.000	0 15		3 75

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
2/9	Div Domest	ORACLE CORP @ 0 05 PER SHARE (ID 68389X-10-5)	375.000	0 05		18 75
2/10	Div Domest	AMERICAN EXPRESS CO @ 0 18 PER SHARE (ID 025816-10-9)	75 000	0 18		13 50
2/15	Div Domest	PROCTER & GAMBLE CO @ 0 4818 PER SHARE (ID 742718-10-9)	300.000	0.482		144 54
2/15	Div Domest	COLGATE PALMOLIVE CO @ 0 53 PER SHARE (ID 194162-10-3)	50 000	0 53		26 50
2/15	Div Domest	MORGAN STANLEY @ 0.05 PER SHARE (ID 617446-44-8)	200 000	0.05		10 00
2/15	Div Domest	TD AMERITRADE HOLDING CORPORATION @ 0.05 PER SHARE (ID· 87236Y-10-8)	225.000	0.05		11 25
2/18	Div Domest	BAKER HUGHES INC @ 0 15 PER SHARE (ID 057224-10-7)	75 000	0 15		11 25
2/22	Foreign Dividend	COVIDIEN PLC @ 0 20 PER SHARE (ID· G2554F-10-5)	175 000	0 20		35 00
3/1	Div Domest	PFIZER INC @ 0 20 PER SHARE (ID 717081-10-3)	1,043 000	0 20		208.60
3/1	Div Domest	WELLS FARGO & CO @ 0 05 PER SHARE (ID. 949746-10-1)	800.000	0.05		40 00
3/1	Interest Income	DEPOSIT SWEEP INTEREST FOR FEB. @ .05% RATE ON NET AVG COLLECTED BALANCE OF $139,865 49 AS OF 03/01/11				5 37
3/1	Div Domest	CONOCOPHILLIPS @ 0 66 PER SHARE (ID 20825C-10-4)	125.000	0.66		82 50
3/1	Div Domest	JPM HIGH YIELD FD - SEL @ 0 045 PER SHARE (ID 4812C0-80-3)	27,220 909	0.045		1,224 94
3/1	Div Domest	JPM SHORT DURATION BOND FD - SEL @ 0 014 PER SHARE (ID 4812C1-33-0)	37,914.692	0 014		530.81

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
3/1	Div Domest	EATON VANCE MUT FDS TR FLT RT CL I 02/28/11 INCOME DIVIDEND @ 0 027 PER SHARE AS OF 02/28/11 (ID 277911-49-1)	25,769 865	0 027		701 40
3/1	Div Domest	EATON VANCE MUT FDS TR GLOBAL MACRO - I 02/28/11 INCOME DIVIDEND @ 0 035 PER SHARE AS OF 02/28/11 (ID· 277923-72-8)	13,178.248	0.038		497.32
3/2	Div Domest	XILINX CORP @ 0.16 PER SHARE (ID· 983919-10-1)	150.000	0.16		24 00
3/3	Div Domest	DREYFUS/LAUREL FDS TR PRM EMRGN MK I 03/01/11 INCOME DIVIDEND @ 0 064 PER SHARE AS OF 03/01/11 (ID. 261980-49-4)	3,180 000	0 064		203 52
3/7	Div Domest	SOUTHERN CO @ 0 455 PER SHARE (ID 842587-10-7)	125 000	0 455		56 88
3/7	Div Domest	PACCAR INC @ 0.12 PER SHARE (ID: 693718-10-8)	225.000	0 12		27 00
3/7	Div Domest	ISHARES BARCLAYS TIPS BOND FUND @ 0 274385 PER SHARE (ID 464287-17-6)	425 000	0.274		116 61
3/7	Rental Income	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY MGL FITNESS, INC RENTAL INC.-COMM'L (I) (03/2011) 03/2011 RENTAL INCOME (ID· 995R00-90-2)				1,050 00
3/9	Foreign Dividend	INVESCO LTD @ 0.11 PER SHARE (ID. G491BT-10-8)	150.000	0.11		16.50
3/10	Div Domest	NORFOLK SOUTHERN CORP @ 0 40 PER SHARE (ID· 655844-10-8)	350 000	0 40		140.00
3/10	Div Domest	EXXON MOBIL CORP @ 0 44 PER SHARE (ID 30231G-10-2)	600.000	0 44		264.00
3/10	Div Domest	INTERNATIONAL BUSINESS MACHINES CORP @ 0 65 PER SHARE (ID. 459200-10-1)	300 000	0 65		195 00
3/10	Div Domest	HONEYWELL INTERNATIONAL INC @ 0 3325 PER SHARE (ID: 438516-10-6)	100.000	0 333		33 25

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
3/10	Div Domest	UNITED TECHNOLOGIES CORP @ 0 425 PER SHARE (ID. 913017-10-9)	175 000	0 425		74 38
3/11	Foreign Dividend	CARNIVAL CORPORATION @ 0 25 PER SHARE (ID 143658-30-0)	75 000	0 25		18 75
3/14	Div Domest	E I DU PONT DE NEMOURS & CO @ 0.41 PER SHARE (ID 263534-10-9)	350 000	0.41		143.50
3/14	Div Domest	3M CO @ 0 55 PER SHARE (ID· 88579Y-10-1)	50 000	0 55		27 50
3/15	Div Domest	TIME WARNER INC NEW @ 0.235 PER SHARE (ID 887317-30-3)	360.000	0.235		84 60
3/15	Div Domest	JOHNSON & JOHNSON @ 0 54 PER SHARE (ID· 478160-10-4)	200 000	0.54		108.00
3/15	Div Domest	KELLOGG CO @ 0.405 PER SHARE (ID: 487836-10-8)	50.000	0.405		20 25
3/15	Div Domest	NEXTERA ENERGY INC @ 0 55 PER SHARE (ID· 65339F-10-1)	100.000	0.55		55.00
3/21	Div Domest	UNITEDHEALTH GROUP INC @ 0 125 PER SHARE (ID 91324P-10-2)	100.000	0.125		12.50
3/25	Div Domest	QUALCOMM INC @ 0 19 PER SHARE (ID· 747525-10-3)	200 000	0 19		38 00
3/25	Div Domest	BANK OF AMERICA CORP @ 0 01 PER SHARE (ID· 060505-10-4)	800 000	0 01		8 00
3/25	Div Domest	CME GROUP INC CLASS A COMMON STOCK @ 1.40 PER SHARE (ID· 12572Q-10-5)	10.000	1.40		14 00
3/25	Div Domest	NATIONAL-OILWELL VARCO INC @ 0 11 PER SHARE (ID 637071-10-1)	50.000	0 11		5.50
3/30	Div Domest	ISHARES RUSSELL MIDCAP VALUE INDEX FUND @ 0 199911 PER SHARE (ID 464287-47-3)	2,750 000	0 20		549.76

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
3/30	Div Domest	ISHARES RUSSELL MIDCAP GROWTH INDEX FUND @ 0 103591 PER SHARE (ID 464287-48-1)	2,250 000	0.104		233.08
3/31	Div Domest	PEPSICO INC @ 0 48 PER SHARE (ID 713448-10-8)	100 000	0.48		48 00
3/31	Div Domest	WELLS FARGO & CO @ 0 07 PER SHARE (ID. 949746-10-1)	400 000	0 07		28 00
3/31	Div Domest	DEVON ENERGY CORP @ 0.16 PER SHARE (ID. 25179M-10-3)	25 000	0 16		4 00
4/1	Div Domest	NIKE INC B @ 0 31 PER SHARE (ID 654106-10-3)	75.000	0 31		23 25
4/1	Div Domest	JPM INTL CURRENCY INCOME FD @ 0 02329 PER SHARE (ID 4812A3-29-6)	8,038 365	0 023		187 21
4/1	Interest Income	DEPOSIT SWEEP INTEREST FOR MAR @ 05% RATE ON NET AVG COLLECTED BALANCE OF $132,435 12 AS OF 04/01/11				5 62
4/1	Div Domest	JPM US REAL ESTATE FD - SEL @ 0 0897 PER SHARE (ID. 4812C0-61-3)	10,775 902	0 09		966 60
4/1	Div Domest	JPM HIGH YIELD FD - SEL @ 0 052 PER SHARE (ID. 4812C0-80-3)	27,220 909	0 052		1,415 49
4/1	Div Domest	JPM SHORT DURATION BOND FD - SEL @ 0.015 PER SHARE (ID 4812C1-33-0)	37,914.692	0.015		568.72
4/1	Div Domest	EATON VANCE MUT FDS TR FLT RT CL I 03/31/11 INCOME DIVIDEND @ 0 030 PER SHARE AS OF 03/31/11 (ID· 277911-49-1)	25,769.865	0 03		768 64
4/1	Div Domest	EATON VANCE MUT FDS TR GLOBAL MACRO - I 03/31/11 INCOME DIVIDEND @ 0 037 PER SHARE AS OF 03/31/11 (ID 277923-72-8)	13,178 248	0.037		491.35
4/4	Div Domest	FLUOR CORP @ 0 125 PER SHARE (ID. 343412-10-2)	50 000	0 125		6 25
4/4	Div Domest	COACH INC @ 0.15 PER SHARE (ID: 189754-10-4)	50.000	0.15		7.50

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
4/4	Div Domest	JOHNSON CONTROLS INC @ 0 16 PER SHARE (ID. 478366-10-7)	300.000	0 16		48 00
4/5	Rental Income	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY MGL FITNESS, INC. RENTAL INC -COMM'L (I) (04/2011) 04/2011 RENTAL INCOME (ID· 995R00-90-2)				1,050 00
4/7	Div Domest	MERCK AND CO INC @ 0.38 PER SHARE (ID. 58933Y-10-5)	400.000	0.38		152 00
4/7	Div Domest	ISHARES BARCLAYS TIPS BOND FUND @ 0.582101 PER SHARE (ID: 464287-17-6)	425 000	0.582		247.39
4/8	Div Domest	ADVANCED AUTO PARTS INC @ 0 06 PER SHARE (ID. 00751Y-10-6)	75 000	0.06		4.50
4/14	Div Domest	STAPLES INC @ 0 10 PER SHARE (ID 855030-10-2)	375.000	0.10		37 50
4/15	Div Domest	OCCIDENTAL PETROLEUM CORP @ 0.46 PER SHARE (ID 674599-10-5)	175 000	0.46		80 50
4/15	Div Domest	SEMPRA ENERGY @ 0 48 PER SHARE (ID 816851-10-9)	50 000	0 48		24 00
4/15	Div Domest	CARDINAL HEALTH INC @ 0.195 PER SHARE (ID 14149Y-10-8)	100 000	0.195		19.50
4/15	Div Domest	STATE STREET CORP @ 0 18 PER SHARE (ID. 857477-10-3)	75.000	0 18		13 50
4/21	Div Domest	FIFTH THIRD BANCORP @ 0 06 PER SHARE (ID 316773-10-0)	225 000	0 06		13 50
4/22	Foreign Dividend	ACE LTD NEW @ 0.33 PER SHARE (ID H0023R-10-5)	75.000	0.33		24.75
4/27	Div Domest	COMCAST CORP CL A @ 0 1125 PER SHARE (ID. 20030N-10-1)	200 000	0 113		22 50
4/29	Div Domest	SPDR S&P 500 ETF TRUST @ 0 55332 PER SHARE (ID. 78462F-10-3)	1,970 000	0.553		1,090 04

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
4/29	Div Domest	DOW CHEMICAL CO @ 0 15 PER SHARE (ID. 260543-10-3)	225 000	0 15		33 75
4/29	Div Domest	EOG RESOURCES INC @ 0 16 PER SHARE (ID. 26875P-10-1)	50 000	0 16		8 00
5/2	Div Domest	AT&T INC @ 0 43 PER SHARE (ID 00206R-10-2)	175.000	0.43		75 25
5/2	Div Domest	BB & T CORP @ 0 16 PER SHARE (ID· 054937-10-7)	125 000	0.16		20 00
5/2	Div Domest	VERIZON COMMUNICATIONS INC @ 0.4875 PER SHARE (ID 92343V-10-4)	400.000	0.488		195.00
5/2	Div Domest	CAMPBELL SOUP COMPANY @ 0 29 PER SHARE (ID· 134429-10-9)	75 000	0 29		21 75
5/2	Div Domest	GENERAL MILLS INC @ 0 28 PER SHARE (ID 370334-10-4)	100.000	0 28		28 00
5/2	Div Domest	BB & T CORP @ 0 01 PER SHARE (ID 054937-10-7)	125 000	0.01		1 25
5/2	Interest Income	DEPOSIT SWEEP INTEREST FOR APR @ 05% RATE ON NET AVG COLLECTED BALANCE OF $127,210 94 AS OF 05/01/11				5 23
5/2	Div Domest	FREEPORT-MCMORAN COPPER & GOLD INC CL B @ 0.25 PER SHARE (ID 35671D-85-7)	300 000	0 25		75.00
5/2	Div Domest	JPM HIGH YIELD FD - SEL @ 0 053 PER SHARE (ID: 4812C0-80-3)	27,220 909	0.053		1,442 71
5/2	Div Domest	JPM SHORT DURATION BOND FD - SEL @ 0 015 PER SHARE (ID 4812C1-33-0)	37,914 692	0 015		568 72
5/2	Div Domest	EATON VANCE MUT FDS TR FLT RT CL I 04/29/11 INCOME DIVIDEND @ 0 029 PER SHARE AS OF 04/29/11 (ID. 277911-49-1)	25,769 865	0.029		740.76

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
5/2	Div Domest	EATON VANCE MUT FDS TR GLOBAL MACRO - I 04/29/11 INCOME DIVIDEND @ 0 036 PER SHARE AS OF 04/29/11 (ID 277923-72-8)	13,178 248	0 036		475 50
5/3	Div Domest	CVS CAREMARK CORPORATION @ 0.125 PER SHARE (ID 126650-10-0)	350.000	0 125		43 75
5/3	Rental Income	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY MGL FITNESS, INC. RENTAL INC -COMM'L (I) (05/2011) 05/2011 PARTIAL RENTAL INCOME (ID. 995R00-90-2)				850 00
5/4	Div Domest	ORACLE CORP @ 0 06 PER SHARE (ID. 68389X-10-5)	375 000	0.06		22 50
5/4	Div Domest	LOWES COMPANIES INC @ 0 11 PER SHARE (ID· 548661-10-7)	200 000	0 11		22 00
5/6	Foreign Dividend	COVIDIEN PLC NEW @ 0 20 PER SHARE (ID G2554F-11-3)	175.000	0 20		35 00
5/6	Div Domest	YUM BRANDS INC @ 0.25 PER SHARE (ID. 988498-10-1)	175 000	0.25		43.75
5/6	Div Domest	ISHARES BARCLAYS TIPS BOND FUND @ 0.593852 PER SHARE (ID· 464287-17-6)	425.000	0.594		252 39
5/9	Div Domest	MASTERCARD INC - CLASS A @ 0 15 PER SHARE (ID 57636Q-10-4)	25 000	0.15		3.75
5/10	Div Domest	AMERICAN EXPRESS CO @ 0 18 PER SHARE (ID. 025816-10-9)	75 000	0 18		13 50
5/11	Div Domest	LENNAR CORP @ 0 04 PER SHARE (ID 526057-10-4)	100 000	0.04		4 00
5/13	Div Domest	MORGAN STANLEY @ 0 05 PER SHARE (ID 617446-44-8)	200 000	0 05		10 00
5/16	Div Domest	COLGATE PALMOLIVE CO @ 0 58 PER SHARE (ID 194162-10-3)	50 000	0 58		29 00

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
5/16	Div Domest	PROCTER & GAMBLE CO @ 0 525 PER SHARE (ID 742718-10-9)	300 000	0 525		157 50
5/17	Div Domest	TD AMERITRADE HOLDING CORPORATION @ 0 05 PER SHARE (ID. 87236Y-10-8)	225 000	0 05		11 25
5/20	Div Domest	BAKER HUGHES INC @ 0 15 PER SHARE (ID 057224-10-7)	75 000	0.15		11.25
5/23	Div Domest	APACHE CORP @ 0 15 PER SHARE (ID· 037411-10-5)	100 000	0 15		15 00
6/1	Div Domest	WELLS FARGO & CO @ 0 12 PER SHARE (ID. 949746-10-1)	400 000	0.12		48.00
6/1	Div Domest	FREEPORT-MCMORAN COPPER & GOLD INC CL B @ 0.50 PER SHARE (ID· 35671D-85-7)	300.000	0.50		150.00
6/1	Interest Income	DEPOSIT SWEEP INTEREST FOR MAY @ 05% RATE ON NET AVG COLLECTED BALANCE OF $128,890 18 AS OF 06/01/11				5 47
6/1	Div Domest	CONOCOPHILLIPS @ 0.66 PER SHARE (ID 20825C-10-4)	125.000	0.66		82 50
6/1	Div Domest	JPM HIGH YIELD FD - SEL @ 0 052 PER SHARE (ID 4812C0-80-3)	16,994.719	0 052		883.73
6/1	Div Domest	JPM SHORT DURATION BOND FD - SEL @ 0 016 PER SHARE (ID. 4812C1-33-0)	17,324 320	0.016		277 19
6/1	Div Domest	EATON VANCE MUT FDS TR FLT RT CL I 05/31/11 INCOME DIVIDEND @ 0.030 PER SHARE AS OF 05/31/11 (ID 277911-49-1)	25,769 865	0 03		765 84
6/1	Div Domest	EATON VANCE MUT FDS TR GLOBAL MACRO - I 05/31/11 INCOME DIVIDEND @ 0 033 PER SHARE AS OF 05/31/11 (ID· 277923-72-8)	13,178.248	0 033		434 30

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
6/3	Div Domest	DREYFUS/LAUREL FDS TR PRM EMRGN MK I 06/01/11 INCOME DIVIDEND @ 0.199 PER SHARE AS OF 06/01/11 (ID 261980-49-4)	3,180 000	0 199		632 82
6/6	Div Domest	PACCAR INC @ 0.12 PER SHARE (ID 693718-10-8)	225.000	0.12		27 00
6/7	Div Domest	PFIZER INC @ 0.20 PER SHARE (ID 717081-10-3)	1,043 000	0.20		208 60
6/7	Div Domest	BROADCOM CORP CL A @ 0 09 PER SHARE AS OF 06/06/11 (ID 111320-10-7)	75 000	0 09		6 75
6/7	Div Domest	ISHARES BARCLAYS TIPS BOND FUND @ 1 049297 PER SHARE (ID 464287-17-6)	425 000	1 049		445 95
6/7	Rental Income	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY MGL FITNESS, INC. RENTAL INC.-COMM'L (I) (06/2011) 06/2011 RENTAL INCOME (ID 995R00-90-2)				850.00
6/8	Div Domest	XILINX CORP @ 0 19 PER SHARE (ID: 983919-10-1)	150 000	0.19		28.50
6/8	Foreign Dividend	INVESCO LTD @ 0 1225 PER SHARE (ID. G491BT-10-8)	150.000	0 123		18 38
6/10	Div Domest	NORFOLK SOUTHERN CORP @ 0 40 PER SHARE (ID 655844-10-8)	350.000	0 40		140 00
6/10	Div Domest	INTERNATIONAL BUSINESS MACHINES CORP @ 0 75 PER SHARE (ID· 459200-10-1)	200.000	0.75		150 00
6/10	Div Domest	E I DU PONT DE NEMOURS & CO @ 0 41 PER SHARE (ID 263534-10-9)	350.000	0.41		143.50
6/10	Div Domest	EXXON MOBIL CORP @ 0 47 PER SHARE (ID. 30231G-10-2)	600.000	0.47		282.00
6/10	Div Domest	CENTERPOINT ENERGY INC @ 0 1975 PER SHARE (ID· 15189T-10-7)	250 000	0.198		49 38
6/10	Foreign Dividend	CARNIVAL CORPORATION @ 0 25 PER SHARE (ID. 143658-30-0)	75 000	0 25		18 75

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
6/10	Div Domest	HONEYWELL INTERNATIONAL INC @ 0 3325 PER SHARE (ID 438516-10-6)	100 000	0 333		33 25
6/10	Div Domest	UNITED TECHNOLOGIES CORP @ 0 48 PER SHARE (ID 913017-10-9)	175 000	0 48		84 00
6/13	Div Domest	3M CO @ 0 55 PER SHARE (ID 88579Y-10-1)	50.000	0.55		27 50
6/14	Div Domest	JOHNSON & JOHNSON @ 0 57 PER SHARE (ID 478160-10-4)	200 000	0 57		114 00
6/15	Div Domest	TIME WARNER INC NEW @ 0.235 PER SHARE (ID 887317-30-3)	360 000	0 235		84.60
6/15	Div Domest	KELLOGG CO @ 0.405 PER SHARE (ID· 487836-10-8)	50 000	0.405		20 25
6/15	Div Domest	NEXTERA ENERGY INC @ 0 55 PER SHARE (ID. 65339F-10-1)	100 000	0 55		55 00
6/17	Div Domest	CITIGROUP INC NEW @ 0 01 PER SHARE (ID 172967-42-4)	225.000	0 01		2.25
6/21	Div Domest	UNITEDHEALTH GROUP INC @ 0.1625 PER SHARE (ID 91324P-10-2)	100 000	0.163		16.25
6/24	Div Domest	QUALCOMM INC @ 0.215 PER SHARE (ID 747525-10-3)	200.000	0 215		43 00
6/24	Div Domest	BANK OF AMERICA CORP @ 0.01 PER SHARE (ID 060505-10-4)	800 000	0.01		8 00
6/27	Div Domest	CME GROUP INC CLASS A COMMON STOCK @ 1.40 PER SHARE (ID· 12572Q-10-5)	15.000	1 40		21 00
6/28	Div Domest	ISHARES MSCI EAFE INDEX FUND @ 1.140993 PER SHARE (ID. 464287-46-5)	750.000	1 141		855.74
6/29	Div Domest	GOLDMAN SACHS GROUP INC @ 0 35 PER SHARE (ID 38141G-10-4)	75 000	0.35		26.25
6/30	Div Domest	PEPSICO INC @ 0.515 PER SHARE (ID 713448-10-8)	100.000	0.515		51 50

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
6/30	Div Domest	DEVON ENERGY CORP @ 0 17 PER SHARE (ID: 25179M-10-3)	75 000	0 17		12 75
7/1	Div Domest	NIKE INC B @ 0 31 PER SHARE (ID 654106-10-3)	75 000	0 31		23 25
7/1	Div Domest	CONSTELLATION ENERGY GROUP INC @ 0.24 PER SHARE (ID· 210371-10-0)	75.000	0 24		18.00
7/1	Interest Income	DEPOSIT SWEEP INTEREST FOR JUNE @ 05% RATE ON NET AVG COLLECTED BALANCE OF $80,400 20 AS OF 07/01/11				3 30
7/1	Div Domest	JPM INTL CURRENCY INCOME FD @ 0.03235 PER SHARE (ID 4812A3-29-6)	8,038 365	0.032		260.04
7/1	Div Domest	JPM US REAL ESTATE FD - SEL @ 0 09195 PER SHARE (ID· 4812C0-61-3)	10,775 902	0 092		990 84
7/1	Div Domest	JPM HIGH YIELD FD - SEL @ 0.047 PER SHARE (ID. 4812C0-80-3)	16,994.719	0 047		798.75
7/1	Div Domest	JPM SHORT DURATION BOND FD - SEL @ 0.015 PER SHARE (ID 4812C1-33-0)	17,324.320	0.015		259 86
7/1	Div Domest	JPM TR I MLT SC INC - SEL @ 0.01 PER SHARE (ID. 48121A-29-0)	4,708.210	0 01		47.08
7/1	Div Domest	EATON VANCE MUT FDS TR FLT RT CL I 06/30/11 INCOME DIVIDEND @ 0 030 PER SHARE AS OF 06/30/11 (ID 277911-49-1)	25,769 865	0 03		763 66
7/1	Div Domest	EATON VANCE MUT FDS TR GLOBAL MACRO - I 06/30/11 INCOME DIVIDEND @ 0 032 PER SHARE AS OF 06/30/11 (ID 277923-72-8)	13,178 248	0 032		420 30
7/5	Div Domest	FLUOR CORP @ 0.125 PER SHARE (ID 343412-10-2)	50.000	0 125		6 25
7/5	Div Domest	COACH INC @ 0.225 PER SHARE (ID· 189754-10-4)	50 000	0 225		11.25

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
7/5	Div Domest	JOHNSON CONTROLS INC @ 0.16 PER SHARE (ID. 478366-10-7)	300 000	0 16		48 00
7/5	Rental Income	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY MGL FITNESS, INC RENTAL INC -COMM'L (I) (07/2011) 07/2011 RENTAL INCOME (ID 995R00-90-2)				850 00
7/8	Div Domest	MERCK AND CO INC @ 0.38 PER SHARE (ID. 58933Y-10-5)	445.000	0.38		169.10
7/8	Div Domest	ISHARES BARCLAYS TIPS BOND FUND @ 0 754884 PER SHARE (ID 464287-17-6)	425.000	0 755		320 83
7/11	Div Domest	ISHARES RUSSELL MIDCAP VALUE INDEX FUND @ 0.246272 PER SHARE (ID. 464287-47-3)	2,750.000	0 246		677 25
7/11	Div Domest	ISHARES RUSSELL MIDCAP GROWTH INDEX FUND @ 0 137643 PER SHARE (ID. 464287-48-1)	2,250 000	0 138		309 70
7/14	Div Domest	STAPLES INC @ 0.10 PER SHARE (ID 855030-10-2)	195 000	0.10		19.50
7/15	Div Domest	OCCIDENTAL PETROLEUM CORP @ 0 46 PER SHARE (ID 674599-10-5)	175 000	0 46		80 50
7/15	Div Domest	SEMPRA ENERGY @ 0.48 PER SHARE (ID 816851-10-9)	55 000	0 48		26.40
7/15	Div Domest	US BANCORP DEL @ 0 125 PER SHARE (ID. 902973-30-4)	195 000	0 125		24 38
7/15	Div Domest	CARDINAL HEALTH INC @ 0 215 PER SHARE (ID 14149Y-10-8)	160 000	0 215		34 40
7/18	Div Domest	STATE STREET CORP @ 0 18 PER SHARE (ID 857477-10-3)	85.000	0.18		15.30
7/21	Foreign Dividend	ACE LTD NEW @ 0.35 PER SHARE (ID· H0023R-10-5)	90.000	0.35		31 50
7/21	Div Domest	FIFTH THIRD BANCORP @ 0 06 PER SHARE (ID: 316773-10-0)	220 000	0 06		13 20

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
7/21	Div Domest	LENNAR CORP @ 0 04 PER SHARE (ID 526057-10-4)	120 000	0 04		4 80
7/25	Div Domest	GENERAL ELECTRIC CO @ 0 15 PER SHARE (ID 369604-10-3)	265 000	0 15		39.75
7/27	Div Domest	COMCAST CORP CL A @ 0.1125 PER SHARE (ID 20030N-10-1)	165.000	0 112		18 56
7/27	Div Domest	CISCO SYSTEMS INC @ 0 06 PER SHARE (ID· 17275R-10-2)	335 000	0 06		20 10
7/28	Div Domest	HUMANA INC @ 0.25 PER SHARE (ID 444859-10-2)	65 000	0 25		16 25
7/29	Div Domest	SPDR S&P 500 ETF TRUST @ 0 62762 PER SHARE (ID. 78462F-10-3)	1,600 000	0 628		1,004 19
7/29	Div Domest	ST JUDE MEDICAL INC @ 0 21 PER SHARE (ID· 790849-10-3)	65 000	0 21		13 65
7/29	Div Domest	EOG RESOURCES INC @ 0 16 PER SHARE (ID 26875P-10-1)	90.000	0.16		14.40
8/1	Div Domest	AT&T INC @ 0 43 PER SHARE (ID. 00206R-10-2)	155.000	0.43		66 65
8/1	Div Domest	BB & T CORP @ 0 16 PER SHARE (ID 054937-10-7)	105 000	0 16		16 80
8/1	Div Domest	VERIZON COMMUNICATIONS INC @ 0.4875 PER SHARE (ID. 92343V-10-4)	310.000	0 488		151.13
8/1	Div Domest	CAMPBELL SOUP COMPANY @ 0 29 PER SHARE (ID. 134429-10-9)	100.000	0.29		29.00
8/1	Interest Income	DEPOSIT SWEEP INTEREST FOR JULY @ .05% RATE ON NET AVG COLLECTED BALANCE OF $102,404.76 AS OF 08/01/11				4.35
8/1	Div Domest	GENERAL MILLS INC @ 0 305 PER SHARE (ID 370334-10-4)	130 000	0.305		39 65

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
8/1	Div Domest	FREEPORT-MCMORAN COPPER & GOLD INC CL B @ 0 25 PER SHARE (ID 35671D-85-7)	210 000	0 25		52.50
8/1	Div Domest	JPM HIGH YIELD FD - SEL @ 0 049 PER SHARE (ID 4812C0-80-3)	16,994 719	0 049		832 74
8/1	Div Domest	JPM SHORT DURATION BOND FD - SEL @ 0 015 PER SHARE (ID 4812C1-33-0)	12,691 500	0 015		190.37
8/1	Div Domest	JPM TR I MLT SC INC - SEL @ 0 02 PER SHARE (ID: 48121A-29-0)	4,708 210	0 02		94.16
8/1	Div Domest	EATON VANCE MUT FDS TR FLT RT CL I 07/29/11 INCOME DIVIDEND @ 0.031 PER SHARE AS OF 07/29/11 (ID 277911-49-1)	25,769.865	0.031		803 42
8/1	Div Domest	EATON VANCE MUT FDS TR GLOBAL MACRO - I 07/29/11 INCOME DIVIDEND @ 0 033 PER SHARE AS OF 07/29/11 (ID: 277923-72-8)	9,201.870	0.042		387.79
8/2	Div Domest	CVS CAREMARK CORPORATION @ 0.125 PER SHARE (ID 126650-10-0)	225 000	0.125		28.13
8/2	Rental Income	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY MGL FITNESS, INC RENTAL INC -COMM'L (I) (08/2011) 08/2011 RENTAL INCOME (ID 995R00-90-2)				850 00
8/3	Div Domest	ORACLE CORP @ 0 06 PER SHARE (ID 68389X-10-5)	360 000	0 06		21.60
8/3	Div Domest	LOWES COMPANIES INC @ 0.14 PER SHARE (ID 548661-10-7)	205.000	0.14		28.70
8/5	Div Domest	YUM BRANDS INC @ 0 25 PER SHARE (ID 988498-10-1)	190 000	0.25		47 50
8/9	Div Domest	MASTERCARD INC - CLASS A @ 0 15 PER SHARE (ID 57636Q-10-4)	20 000	0.15		3 00

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
8/10	Div Domest	AMERICAN EXPRESS CO @ 0 18 PER SHARE (ID 025816-10-9)	60 000	0 18		10 80
8/15	Div Domest	ABBOTT LABORATORIES @ 0 48 PER SHARE (ID 002824-10-0)	160 000	0 48		76 80
8/15	Div Domest	PROCTER & GAMBLE CO @ 0.525 PER SHARE (ID 742718-10-9)	200.000	0 525		105 00
8/15	Div Domest	COLGATE PALMOLIVE CO @ 0.58 PER SHARE (ID 194162-10-3)	78 000	0.58		45 24
8/15	Div Domest	MORGAN STANLEY @ 0 05 PER SHARE (ID 617446-44-8)	110.000	0.05		5 50
8/16	Div Domest	TD AMERITRADE HOLDING CORPORATION @ 0 05 PER SHARE (ID: 87236Y-10-8)	125 000	0 05		6 25
8/19	Foreign Dividend	COVIDIEN PLC NEW @ 0 20 PER SHARE (ID: G2554F-11-3)	120.000	0.20		24 00
8/19	Div Domest	BAKER HUGHES INC @ 0.15 PER SHARE (ID. 057224-10-7)	55.000	0.15		8 25
8/22	Div Domest	APACHE CORP @ 0.15 PER SHARE (ID. 037411-10-5)	50.000	0 15		7.50
8/22	Div Domest	HOLLYFRONTIER CORPORATION @ 1 00 PER SHARE (ID 436106-10-8)	35.000	1.00		35.00
8/24	Foreign Dividend	TYCO INTERNATIONAL LTD @ 0.25 PER SHARE (ID H89128-10-4)	145.000	0 25		36.25
8/26	Div Domest	CITIGROUP INC NEW @ 0 01 PER SHARE (ID 172967-42-4)	255 000	0.01		2.55
8/31	Div Domest	XILINX CORP @ 0 19 PER SHARE (ID· 983919-10-1)	166 000	0 19		31 54
8/31	Div Domest	GLOBAL PAYMENTS INC @ 0.02 PER SHARE (ID· 37940X-10-2)	60 000	0.02		1 20

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
9/1	Div Domest	CONOCOPHILLIPS @ 0 66 PER SHARE (ID 20825C-10-4)	145 000	0 66		95 70
9/1	Div Domest	WELLS FARGO & CO @ 0 12 PER SHARE (ID 949746-10-1)	500 000	0 12		60 00
9/1	Interest Income	DEPOSIT SWEEP INTEREST FOR AUG @ 05% RATE ON NET AVG COLLECTED BALANCE OF $193,267 86 AS OF 09/01/11				8 21
9/1	Div Domest	JPM HIGH YIELD FD - SEL @ 0 05 PER SHARE (ID 4812C0-80-3)	16,994 719	0 05		849 74
9/1	Div Domest	JPM SHORT DURATION BOND FD - SEL @ 0.016 PER SHARE (ID· 4812C1-33-0)	12,691 500	0 016		203 06
9/1	Div Domest	JPM TR I MLT SC INC - SEL @ 0 034 PER SHARE (ID 48121A-29-0)	4,708.210	0 034		160 08
9/1	Div Domest	EATON VANCE MUT FDS TR FLT RT CL I 08/31/11 INCOME DIVIDEND @ 0.032 PER SHARE AS OF 08/31/11 (ID· 277911-49-1)	25,769.865	0.032		825 78
9/1	Div Domest	EATON VANCE MUT FDS TR GLOBAL MACRO - I 08/31/11 INCOME DIVIDEND @ 0 033 PER SHARE AS OF 08/31/11 (ID: 277923-72-8)	9,201.870	0.033		303 22
9/6	Div Domest	PFIZER INC @ 0.20 PER SHARE (ID 717081-10-3)	663 000	0.20		132 60
9/6	Div Domest	PACCAR INC @ 0 18 PER SHARE (ID 693718-10-8)	185 000	0.18		33 30
9/6	Rental Income	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY MGL FITNESS, INC. RENTAL INC -COMM'L (I) (09/2011) 09/2011 RENTAL INCOME (ID. 995R00-90-2)				850 00
9/6	Div Domest	DREYFUS/LAUREL FDS TR PRM EMRGN MK I 09/01/11 INCOME DIVIDEND @ 0 200 PER SHARE AS OF 09/01/11 (ID· 261980-49-4)	6,184 602	0 20		1,236 92

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
9/8	Div Domest	MICROSOFT CORP @ 0 16 PER SHARE (ID 594918-10-4)	871 000	0 16		139 36
9/8	Foreign Dividend	INVESCO LTD @ 0 1225 PER SHARE (ID G491BT-10-8)	120 000	0 123		14 70
9/9	Div Domest	EMERSON ELECTRIC CO @ 0.345 PER SHARE (ID 291011-10-4)	106 000	0 345		36 57
9/9	Div Domest	EXXON MOBIL CORP @ 0 47 PER SHARE (ID 30231G-10-2)	210 000	0 47		98 70
9/9	Div Domest	CENTERPOINT ENERGY INC @ 0 1975 PER SHARE (ID 15189T-10-7)	383.000	0 197		75 64
9/9	Div Domest	HONEYWELL INTERNATIONAL INC @ 0.3325 PER SHARE (ID. 438516-10-6)	139.000	0.333		46 22
9/12	Div Domest	NORFOLK SOUTHERN CORP @ 0.43 PER SHARE (ID 655844-10-8)	260 000	0 43		111.80
9/12	Div Domest	E I DU PONT DE NEMOURS & CO @ 0 41 PER SHARE (ID. 263534-10-9)	363 000	0.41		148 83
9/12	Div Domest	TARGET CORP @ 0 30 PER SHARE (ID 87612E-10-6)	100.000	0 30		30 00
9/12	Div Domest	3M CO @ 0 55 PER SHARE (ID: 88579Y-10-1)	75 000	0 55		41 25
9/12	Div Domest	UNITED TECHNOLOGIES CORP @ 0 48 PER SHARE (ID 913017-10-9)	170.000	0 48		81.60
9/12	Div Domest	WILLIAMS COMPANIES INC @ 0.20 PER SHARE (ID· 969457-10-0)	215.000	0.20		43.00
9/12	Div Domest	BROADCOM CORP CL A @ 0 09 PER SHARE (ID. 111320-10-7)	70 000	0.09		6 30
9/13	Div Domest	JOHNSON & JOHNSON @ 0.57 PER SHARE (ID 478160-10-4)	160.000	0.57		91.20

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
9/15	Div Domest	NEXTERA ENERGY INC @ 0 55 PER SHARE (ID· 65339F-10-1)	80.000	0 55		44 00
9/15	Div Domest	TIME WARNER INC NEW @ 0 235 PER SHARE (ID 887317-30-3)	465 000	0 235		109 28
9/15	Foreign Dividend	TE CONNECTIVITY LTD @ 0.18 PER SHARE (ID H84989-10-4)	60 000	0 18		10.80
9/15	Div Domest	KELLOGG CO @ 0 43 PER SHARE (ID. 487836-10-8)	45.000	0.43		19.35
9/16	Foreign Dividend	CARNIVAL CORPORATION @ 0 25 PER SHARE (ID 143658-30-0)	195 000	0 25		48.75
9/16	STCapitalGain Dist	MANNING & NAPIER FUND INC WORLD OPPORTUNITIES SERIES FUND 09/15/11 SHORT TERM CAPITAL GAINS @ 0 029 PER SHARE AS OF 09/15/11 (ID· 563821-54-5)	20,360 654	0.029		596.57
9/21	Div Domest	UNITEDHEALTH GROUP INC @ 0.1625 PER SHARE (ID. 91324P-10-2)	85.000	0.162		13 81
9/23	Div Domest	QUALCOMM INC @ 0 215 PER SHARE (ID. 747525-10-3)	160 000	0 215		34 40
9/23	Div Domest	BANK OF AMERICA CORP @ 0 01 PER SHARE (ID 060505-10-4)	865 000	0 01		8.65
9/29	Div Domest	GOLDMAN SACHS GROUP INC @ 0.35 PER SHARE (ID· 38141G-10-4)	70 000	0.35		24 50
9/29	Div Domest	ISHARES RUSSELL MIDCAP VALUE INDEX FUND @ 0 187481 PER SHARE (ID 464287-47-3)	2,000 000	0.187		374 96
9/29	Div Domest	ISHARES RUSSELL MIDCAP GROWTH INDEX FUND @ 0 103969 PER SHARE (ID. 464287-48-1)	1,550.000	0.104		161 15
9/30	Div Domest	PEPSICO INC @ 0 515 PER SHARE (ID· 713448-10-8)	125 000	0 515		64 38
9/30	Div Domest	BECTON DICKINSON & CO @ 0 41 PER SHARE (ID· 075887-10-9)	35.000	0 41		14.35

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
9/30	Div Domest	PUBLIC SERVICE ENTERPRISE GROUP @ 0 3425 PER SHARE (ID. 744573-10-6)	75 000	0 343		25 69
9/30	Div Domest	DEVON ENERGY CORP @ 0 17 PER SHARE (ID· 25179M-10-3)	35 000	0 17		5 95
10/3	Foreign Dividend	SCHLUMBERGER LTD @ 0 25 PER SHARE (ID 806857-10-8)	183.000	0 25		45.75
10/3	Div Domest	COACH INC @ 0 225 PER SHARE (ID 189754-10-4)	90 000	0 225		20.25
10/3	Div Domest	NIKE INC B @ 0.31 PER SHARE (ID 654106-10-3)	70 000	0.31		21.70
10/3	Div Domest	CBS CORP CLASS B W/I @ 0 10 PER SHARE (ID 124857-20-2)	220 000	0 10		22 00
10/3	Interest Income	DEPOSIT SWEEP INTEREST FOR SEPT @ 05% RATE ON NET AVG COLLECTED BALANCE OF $179,945.78 AS OF 10/01/11				7 40
10/3	Div Domest	JPM INTL CURRENCY INCOME FD @ 0 05222 PER SHARE (ID 4812A3-29-6)	12,019 529	0 052		627 66
10/3	Div Domest	JPM US REAL ESTATE FD - SEL @ 0 10342 PER SHARE (ID. 4812C0-61-3)	5,570 818	0 103		576 13
10/3	Div Domest	JPM HIGH YIELD FD - SEL @ 0 047 PER SHARE (ID· 4812C0-80-3)	16,994.719	0.047		798 75
10/3	Div Domest	JPM SHORT DURATION BOND FD - SEL @ 0.015 PER SHARE (ID 4812C1-33-0)	12,691.500	0.015		190.37
10/3	Div Domest	JPM TR I MLT SC INC - SEL @ 0.019 PER SHARE (ID 48121A-29-0)	4,708 210	0 019		89 46
10/3	Div Domest	EATON VANCE MUT FDS TR FLT RT CL I 09/30/11 INCOME DIVIDEND @ 0 031 PER SHARE AS OF 09/30/11 (ID 277911-49-1)	25,769 865	0 031		801 66

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
10/3	Div Domest	EATON VANCE MUT FDS TR GLOBAL MACRO - I 09/30/11 INCOME DIVIDEND @ 0 032 PER SHARE AS OF 09/30/11 (ID· 277923-72-8)	9,201 870	0 032		293 44
10/4	Div Domest	FLUOR CORP @ 0.125 PER SHARE (ID. 343412-10-2)	55 000	0 125		6.88
10/4	Div Domest	JOHNSON CONTROLS INC @ 0.16 PER SHARE (ID 478366-10-7)	355.000	0.16		56 80
10/4	Div Domest	HOLLYFRONTIER CORPORATION @ 0 0875 PER SHARE (ID. 436106-10-8)	48.000	0.088		4 20
10/7	Div Domest	MERCK AND CO INC @ 0 38 PER SHARE (ID. 58933Y-10-5)	485.000	0.38		184 30
10/14	Div Domest	KRAFT FOODS INC CLASS A @ 0 29 PER SHARE (ID 50075N-10-4)	255 000	0 29		73 95
10/14	Div Domest	PIONEER NATURAL RESOURCES CO @ 0 04 PER SHARE (ID 723787-10-7)	25 000	0 04		1 00
10/17	Div Domest	OCCIDENTAL PETROLEUM CORP @ 0.46 PER SHARE (ID 674599-10-5)	139.000	0 46		63.94
10/17	Div Domest	SEMPRA ENERGY @ 0 48 PER SHARE (ID. 816851-10-9)	55.000	0.48		26.40
10/17	Div Domest	CARDINAL HEALTH INC @ 0.215 PER SHARE (ID 14149Y-10-8)	180 000	0 215		38 70
10/17	Div Domest	US BANCORP DEL @ 0 125 PER SHARE (ID· 902973-30-4)	195 000	0 125		24.38
10/21	Foreign Dividend	ACE LTD NEW @ 0 35 PER SHARE (ID: H0023R-10-5)	90 000	0 35		31 50
10/26	Div Domest	COMCAST CORP CL A @ 0 1125 PER SHARE (ID 20030N-10-1)	225.000	0.112		25.31
10/26	Div Domest	CISCO SYSTEMS INC @ 0.06 PER SHARE (ID 17275R-10-2)	538.000	0.06		32.28

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
10/28	Div Domest	HUMANA INC @ 0 25 PER SHARE (ID 444859-10-2)	65 000	0 25		16 25
10/28	Div Domest	MONSANTO CO @ 0.30 PER SHARE (ID 61166W-10-1)	72.000	0.30		21 60
10/31	Div Domest	SPDR S&P 500 ETF TRUST @ 0 62495 PER SHARE (ID 78462F-10-3)	1,600 000	0.625		999 92
10/31	Div Domest	ST JUDE MEDICAL INC @ 0 21 PER SHARE (ID· 790849-10-3)	65.000	0.21		13.65
10/31	Div Domest	CAMPBELL SOUP COMPANY @ 0.29 PER SHARE (ID 134429-10-9)	140 000	0 29		40 60
10/31	Div Domest	EOG RESOURCES INC @ 0 16 PER SHARE (ID 26875P-10-1)	50 000	0 16		8 00
11/1	Div Domest	AT&T INC @ 0 43 PER SHARE (ID· 00206R-10-2)	256 000	0 43		110 08
11/1	Div Domest	GENERAL MILLS INC @ 0 305 PER SHARE (ID 370334-10-4)	130.000	0 305		39.65
11/1	Div Domest	VERIZON COMMUNICATIONS INC @ 0 50 PER SHARE (ID: 92343V-10-4)	310 000	0 50		155 00
11/1	Div Domest	DARDEN RESTAURANTS INC @ 0 43 PER SHARE (ID· 237194-10-5)	55.000	0 43		23 65
11/1	Interest Income	DEPOSIT SWEEP INTEREST FOR OCT @ 05% RATE ON NET AVG COLLECTED BALANCE OF $166,898 26 AS OF 11/01/11				7.09
11/1	Div Domest	FREEPORT-MCMORAN COPPER & GOLD INC CL B @ 0 25 PER SHARE (ID 35671D-85-7)	77 000	0 25		19 25
11/1	Div Domest	CVS CAREMARK CORPORATION @ 0 125 PER SHARE (ID 126650-10-0)	175 000	0 125		21 88
11/1	Div Domest	JPM HIGH YIELD FD - SEL @ 0 045 PER SHARE (ID 4812C0-80-3)	22,634 770	0 045		1,018 56

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
11/1	Div Domest	JPM SHORT DURATION BOND FD - SEL @ 0 015 PER SHARE (ID. 4812C1-33-0)	12,691 500	0 015		190 37
11/1	Div Domest	JPM TR I MLT SC INC - SEL @ 0 01 PER SHARE (ID 48121A-29-0)	4,708 210	0 01		47 08
11/1	Div Domest	EATON VANCE MUT FDS TR FLT RT CL I 10/31/11 INCOME DIVIDEND @ 0 038 PER SHARE AS OF 10/31/11 (ID 277911-49-1)	25,769 865	0 033		854 95
11/1	Div Domest	EATON VANCE MUT FDS TR GLOBAL MACRO - I 10/31/11 INCOME DIVIDEND @ 0 033 PER SHARE AS OF 10/31/11 (ID. 277923-72-8)	9,201 870	0 033		303 22
11/2	Div Domest	ORACLE CORP @ 0 06 PER SHARE (ID 68389X-10-5)	360 000	0 06		21 60
11/2	Div Domest	LENNAR CORP @ 0.04 PER SHARE (ID. 526057-10-4)	90.000	0 04		3 60
11/2	Div Domest	LOWES COMPANIES INC @ 0 14 PER SHARE (ID. 548661-10-7)	426.000	0.14		59.64
11/4	Foreign Dividend	COVIDIEN PLC NEW @ 0.225 PER SHARE (ID· G2554F-11-3)	137.000	0.225		30 83
11/4	Div Domest	YUM BRANDS INC @ 0.285 PER SHARE (ID 988498-10-1)	190.000	0.285		54 15
11/9	Div Domest	MASTERCARD INC - CLASS A @ 0 15 PER SHARE (ID. 57636Q-10-4)	15 000	0 15		2 25
11/10	Div Domest	AMERICAN EXPRESS CO @ 0 18 PER SHARE (ID: 025816-10-9)	60 000	0 18		10 80
11/15	Div Domest	ABBOTT LABORATORIES @ 0 48 PER SHARE (ID· 002824-10-0)	115.000	0.48		55.20
11/15	Div Domest	PROCTER & GAMBLE CO @ 0.525 PER SHARE (ID 742718-10-9)	200 000	0 525		105 00

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
11/15	Div Domest	COLGATE PALMOLIVE CO @ 0 58 PER SHARE (ID· 194162-10-3)	78 000	0.58		45 24
11/15	Div Domest	TD AMERITRADE HOLDING CORPORATION @ 0 06 PER SHARE (ID 87236Y-10-8)	169 000	0 06		10 14
11/17	Foreign Dividend	TYCO INTERNATIONAL LTD @ 0 25 PER SHARE (ID H89128-10-4)	145.000	0.25		36.25
11/23	Div Domest	CITIGROUP INC NEW @ 0 01 PER SHARE (ID· 172967-42-4)	240 000	0 01		2.40
11/30	Div Domest	XILINX CORP @ 0.19 PER SHARE (ID 983919-10-1)	196.000	0.19		37.24
11/30	Div Domest	GLOBAL PAYMENTS INC @ 0 02 PER SHARE (ID 37940X-10-2)	60.000	0.02		1 20
12/1	Div Domest	CONOCOPHILLIPS @ 0.66 PER SHARE (ID. 20825C-10-4)	143 000	0 66		94.38
12/1	Div Domest	WELLS FARGO & CO @ 0 12 PER SHARE (ID. 949746-10-1)	596 000	0 12		71 52
12/1	Interest Income	DEPOSIT SWEEP INTEREST FOR NOV @ .05% RATE ON NET AVG COLLECTED BALANCE OF $150,936 53 AS OF 12/01/11				6 20
12/1	Div Domest	JPM HIGH YIELD FD - SEL @ 0 049 PER SHARE (ID 4812C0-80-3)	22,634 770	0 049		1,109 10
12/1	Div Domest	JPM SHORT DURATION BOND FD - SEL @ 0 014 PER SHARE (ID· 4812C1-33-0)	12,691.500	0 014		177 68
12/1	Div Domest	JPM TR I MLT SC INC - SEL @ 0 032 PER SHARE (ID 48121A-29-0)	4,708.210	0.032		150 66
12/1	Div Domest	EATON VANCE MUT FDS TR FLT RT CL I 11/30/11 INCOME DIVIDEND @ 0 030 PER SHARE AS OF 11/30/11 (ID 277911-49-1)	25,769 865	0 03		784 10

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
12/1	Div Domest	EATON VANCE MUT FDS TR GLOBAL MACRO - I 11/30/11 INCOME DIVIDEND @ 0 032 PER SHARE AS OF 11/30/11 (ID· 277923-72-8)	9,201 870	0 032		293 44
12/2	Div Domest	CAPITAL ONE FINANCIAL CORP @ 0.05 PER SHARE (ID 14040H-10-5)	44.000	0.05		2.20
12/5	Div Domest	PACCAR INC @ 0 18 PER SHARE (ID· 693718-10-8)	185 000	0 18		33 30
12/5	Div Domest	DREYFUS/LAUREL FDS TR PRM EMRGN MK I 12/01/11 INCOME DIVIDEND @ 0 171 PER SHARE AS OF 12/01/11 (ID 261980-49-4)	6,184.602	0.171		1,057 57
12/6	Div Domest	PFIZER INC @ 0 20 PER SHARE (ID 717081-10-3)	663 000	0.20		132 60
12/7	Foreign Dividend	INVESCO LTD @ 0 1225 PER SHARE (ID· G491BT-10-8)	250.000	0 123		30 63
12/8	Div Domest	MICROSOFT CORP @ 0 20 PER SHARE (ID 594918-10-4)	871.000	0 20		174.20
12/9	Div Domest	EMERSON ELECTRIC CO @ 0 40 PER SHARE (ID 291011-10-4)	106 000	0 40		42.40
12/9	Div Domest	EXXON MOBIL CORP @ 0 47 PER SHARE (ID 30231G-10-2)	210 000	0 47		98 70
12/9	Div Domest	CENTERPOINT ENERGY INC @ 0.1975 PER SHARE (ID 15189T-10-7)	383.000	0.197		75.64
12/9	Div Domest	HONEYWELL INTERNATIONAL INC @ 0 3725 PER SHARE (ID· 438516-10-6)	139 000	0.373		51 78
12/12	Div Domest	NORFOLK SOUTHERN CORP @ 0.43 PER SHARE (ID. 655844-10-8)	260 000	0 43		111 80
12/12	Div Domest	SPECTRA ENERGY CORPORATION W/I @ 0 28 PER SHARE (ID. 847560-10-9)	150 000	0 28		42.00
12/12	Div Domest	TARGET CORP @ 0 30 PER SHARE (ID 87612E-10-6)	100 000	0 30		30.00

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
12/12	Div Domest	UNITED TECHNOLOGIES CORP @ 0 48 PER SHARE (ID 913017-10-9)	170 000	0 48		81 60
12/13	Div Domest	JOHNSON & JOHNSON @ 0 57 PER SHARE (ID· 478160-10-4)	160 000	0 57		91 20
12/14	Div Domest	METLIFE INC @ 0 74 PER SHARE (ID 59156R-10-8)	275.000	0 74		203 50
12/14	Div Domest	E I DU PONT DE NEMOURS & CO @ 0 41 PER SHARE (ID 263534-10-9)	268 000	0 41		109 88
12/14	Div Domest	THE ESTEE LAUDER COMPANIES INC CL A @ 1 05 PER SHARE (ID. 518439-10-4)	34 000	1 05		35 70
12/15	Div Domest	TIME WARNER INC NEW @ 0.235 PER SHARE (ID 887317-30-3)	465.000	0.235		109 28
12/15	Foreign Dividend	TE CONNECTIVITY LTD @ 0.18 PER SHARE (ID H84989-10-4)	60 000	0.18		10 80
12/15	Div Domest	COCA-COLA CO @ 0 47 PER SHARE (ID 191216-10-0)	80 000	0 47		37 60
12/15	Div Domest	HOME DEPOT INC @ 0 29 PER SHARE (ID 437076-10-2)	100.000	0.29		29 00
12/16	Div Domest	PRUDENTIAL FINANCIAL INC @ 1 45 PER SHARE (ID. 744320-10-2)	119.000	1 45		172.55
12/16	Foreign Dividend	CARNIVAL CORPORATION @ 0 25 PER SHARE (ID 143658-30-0)	155 000	0 25		38 75
12/16	STCapitalGain Dist	JPM HIGH YIELD FD - SEL SHORT TERM CAPITAL GAINS @ 0 05937 (ID 4812C0-80-3)	22,634 770	0 059		1,343 83
12/16	STCapitalGain Dist	JPM SHORT DURATION BOND FD - SEL SHORT TERM CAPITAL GAINS @ 0 00787 (ID 4812C1-33-0)	12,691.500	0.008		99.88
12/16	Div Domest	MANNING & NAPIER FUND INC WORLD OPPORTUNITIES SERIES FUND 12/15/11 INCOME DIVIDEND @ 0 240 PER SHARE AS OF 12/15/11 (ID 563821-54-5)	16,861.734	0 24		4,041.76

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
12/19	Div Domest	ARTISAN INTL VALUE FUND - INV 12/15/11 INCOME DIVIDEND @ 0.015 PER SHARE AS OF 12/15/11 (ID 04314H-88-1)	4,994 614	0 014		72 42
12/19	Div Domest	RS INTERNATIONAL GROWTH FUND 12/16/11 INCOME DIVIDEND @ 0 047 PER SHARE AS OF 12/16/11 (ID 74972H-42-4)	11,078.864	0 047		517 38
12/20	Div Domest	DOMINION RESOURCES INC VA @ 0 4925 PER SHARE (ID 25746U-10-9)	80 000	0 493		39 40
12/20	Div Domest	BROADCOM CORP CL A @ 0 09 PER SHARE AS OF 12/19/11 (ID 111320-10-7)	70 000	0 09		6.30
12/20	Div Domest	T ROWE PRICE INTERNATIONAL FUNDS INC NEW ASIA FUND 12/19/11 INCOME DIVIDEND @ 0.150 PER SHARE AS OF 12/19/11 (ID· 77956H-50-0)	7,123 000	0 15		1,068 45
12/21	Div Domest	QUALCOMM INC @ 0 215 PER SHARE (ID 747525-10-3)	185.000	0 215		39.78
12/21	Div Domest	UNITEDHEALTH GROUP INC @ 0 1625 PER SHARE (ID· 91324P-10-2)	125 000	0.162		20.31
12/21	Div Domest	JPM EM MKTS EQUITY FD - SEL @ 0 01372 PER SHARE (ID· 4812A0-62-3)	4,549.629	0 014		62 42
12/21	Div Domest	JPM ASIA EQUITY FD - SEL @ 0.17095 PER SHARE (ID 4812A0-70-6)	3,783 075	0.171		646 72
12/21	Div Domest	JPM INTL CURRENCY INCOME FD @ 0 26119 PER SHARE (ID 4812A3-29-6)	7,878 205	0 261		2,057 71
12/21	Div Domest	JPM US REAL ESTATE FD - SEL @ 0 0684 PER SHARE (ID· 4812C0-61-3)	5,570 818	0.068		381.04
12/22	STCapitalGain Dist	COLUMBIA FDS SER TR II MASS ASIA PC JP R5 12/20/11 SHORT TERM CAPITAL GAINS @ 0 071 PER SHARE AS OF 12/20/11 (ID· 19763P-57-2)	3,418.210	0.071		242 52

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
12/22	Div Domest	COLUMBIA FDS SER TR II MASS ASIA PC JP R5 12/20/11 INCOME DIVIDEND @ 0.184 PER SHARE AS OF 12/20/11 (ID. 19763P-57-2)	3,418 210	0 184		628 23
12/23	Div Domest	BANK OF AMERICA CORP @ 0 01 PER SHARE (ID. 060505-10-4)	865 000	0 01		8 65
12/23	STCapitalGain Dist	HIGHBRIDGE DYNAMIC COMM STRG FD -SEL SHORT TERM CAPITAL GAINS @ 0 04136 (ID. 48121A-67-0)	7,299 835	0 041		301.92
12/23	Div Domest	HIGHBRIDGE DYNAMIC COMM STRG FD -SEL @ 0 82323 PER SHARE (ID· 48121A-67-0)	7,299 835	0 823		6,009 44
12/23	STCapitalGain Dist	DELAWARE EMERGING MARKETS FUND 12/22/11 SHORT TERM CAPITAL GAINS @ 0 025 PER SHARE AS OF 12/22/11 (ID 245914-81-7)	3,340 944	0.025		83.52
12/23	STCapitalGain Dist	DREYFUS/LAUREL FDS TR PRM EMRGN MK I 12/21/11 SHORT TERM CAPITAL GAINS @ 0 048 PER SHARE AS OF 12/21/11 (ID. 261980-49-4)	4,871 322	0 048		232 85
12/23	Div Domest	DELAWARE EMERGING MARKETS FUND 12/22/11 INCOME DIVIDEND @ 0 167 PER SHARE AS OF 12/22/11 (ID 245914-81-7)	3,340 944	0 167		557 94
12/23	Div Domest	DREYFUS/LAUREL FDS TR PRM EMRGN MK I 12/21/11 INCOME DIVIDEND @ 0.050 PER SHARE AS OF 12/21/11 (ID· 261980-49-4)	4,871.322	0.05		243 57
12/27	Div Domest	WILLIAMS COMPANIES INC @ 0 25 PER SHARE (ID 969457-10-0)	215.000	0 25		53 75
12/28	Div Domest	ANADARKO PETROLEUM CORP @ 0 09 PER SHARE (ID. 032511-10-7)	85.000	0.09		7 65
12/29	Div Domest	GOLDMAN SACHS GROUP INC @ 0 35 PER SHARE (ID 38141G-10-4)	75.000	0 35		26 25

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
Income						
12/29	Div Domest	ISHARES RUSSELL MIDCAP VALUE INDEX FUND @ 0 287022 PER SHARE (ID 464287-47-3)	2,000 000	0 287		574 04
12/29	Div Domest	ISHARES RUSSELL MIDCAP GROWTH INDEX FUND @ 0 167514 PER SHARE (ID. 464287-48-1)	1,550 000	0 168		259 65
12/30	Div Domest	DEVON ENERGY CORP @ 0.17 PER SHARE (ID· 25179M-10-3)	35.000	0.17		5 95
12/30	Div Domest	ASTON OPTIMUM MID CAP FUND I 12/29/11 INCOME DIVIDEND @ 0 135 PER SHARE AS OF 12/29/11 (ID. 00078H-15-8)	4,217 407	0.135		568 93
Total Income						**$91,346.71**

Settle Date	Type / Selection Method	Description	Quantity / Cost	PRINCIPAL Amount	INCOME Amount
Contributions					
6/17	Misc Receipt	TRANSFER TO COVER PRINCIPAL OVERDRAFT		189 68	
10/19	Misc Receipt	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY BAY COMMERCIAL SERVICES NET SALE OF PROPERTY SALE PROCEEDS - 95R00902 (ID 995R00-90-2)		99,502 21	
12/12	Misc Receipt	TO COVER INCOME DISTRIBUTION			46,600.00
12/13	Misc Receipt	TRANSFER FROM TRUST A/C TO TRUST A/C.			45,700 76
12/14	Misc Receipt	XFER TO COVER INCOME OVERDRAFT			79,908 80
Total Contributions				**$99,691.89**	**$172,209.56**

Settle Date	Type / Selection Method	Description	Quantity / Cost	PRINCIPAL Amount	INCOME Amount
Miscellaneous Credits					
2/2	Stock Split	FREEPORT-MCMORAN COPPER & GOLD INC CL B 2 FOR 1 STOCK SPLIT. (ID· 35671D-85-7)	150 000		
3/21	Exchange	COVIDIEN PLC MANDATORY EXCHANGE - HOLDERS RECEIVE ONE SHARE OF COVIDIEN PLC NEW, CUSIP G2554F113, FOR EACH SHARE HELD. (ID G2554F-10-5)	(175 000) 7,694.75		
3/21	NonTaxableExchange	COVIDIEN PLC NEW MANDATORY EXCHANGE - HOLDERS RECEIVE ONE SHARE FOR EACH SHARE OF COVIDIEN PLC, CUSIP G2554F105, HELD (ID: G2554F-11-3)	175.000 7,694.75		
5/6	Exchange	CITIGROUP INC REVERSE SPLIT - HOLDERS RECEIVE 1 SHARE OF CITIGROUP INC NEW, CUSIP. 172967424, FOR EVERY 10 SHARES HELD. (ID· 172967-10-1)	(2,250.000) 10,262 52		
5/6	NonTaxableExchange	CITIGROUP INC NEW REVERSE SPLIT - HOLDERS RECEIVE 1 SHARE FOR EVERY 10 SHARES OF CITIGROUP INC., CUSIP· 172967101, HELD. (ID. 172967-42-4)	225 000 10,262 52		
9/1	Stock Split	HOLLYFRONTIER CORPORATION 2 FOR 1 STOCK SPLIT. (ID: 436106-10-8)	24.000 0 00		
Total Miscellaneous Credits					

Settle Date	Type / Selection Method	Description	Quantity / Cost	PRINCIPAL Amount	INCOME Amount
Withdrawals					
1/20	Expenses	BAIDU INC SPONS ADR ADR DEPOSITARY SERVICE FEE FOR HOLDERS AS OF 11/22/2010 FROM BNY MELLON AS PAYING AGENT @ 0.02 PER SHARE AS OF 01/18/11 (ID 056752-10-8)			(1.00)

Settle Date	Type / Selection Method	Description	Quantity / Cost	PRINCIPAL Amount	INCOME Amount
Withdrawals					
1/21	Business Expense	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY JON B TESSIER & ASSOCIATES APPRAISAL (I) APPRAISAL AT 310 N MONROE AVE (ID· 995R00-90-2)			(2,500 00)
1/24	Business Expense	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY SES INSURANCE SVCS LIAB INS-MIP POL (I) MASTER INS POLICY BILLING POLICY# (ID. 995R00-90-2)			(638 00)
3/1	Business Expense	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY MMA, INC OTHER PROFESSIONAL (I) SOIL TEST AT 310 N MONROE (ID· 995R00-90-2)			(725 00)
3/18	Business Expense	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY JON B TESSIER & ASSOCIATES APPRAISAL (I) REVISED APPRAISAL AT 310-314 N MON (ID 995R00-90-2)			(600 00)
6/17	Misc Debit	FUNDS TRANSFERRED FROM TRUST AC# R71890009 TO TRUST AC# R71890009 TRANSFER TO COVER PRINCIPAL OVERDRAFT			(189 68)
7/25	Business Expense	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY 2011 AD VALOREM TAXES RE TAX CONTRACT (I) ICG PROPERTY TAX SERVICES PARCEL# (ID 995R00-90-2)			(44 00)
9/21	Business Expense	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY VAN DRISSE ASSOC MISC R/E EXPENSE (I) REIMB FOR PD INS DEDUCT @ 310-314 (ID. 995R00-90-2)			(500.00)
10/21	Business Expense	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY GENERAL CASUALTY INSURANCE CO PROP/LIAB INS-OTHER (I) COMM POLICY 10/10/11-10/10/12 (ID 995R00-90-2)			(1,082 00)

Settle Date	Type / Selection Method	Description	Quantity / Cost	PRINCIPAL Amount	INCOME Amount
Withdrawals					
10/25	Business Expense	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY VAN DRISSE ASSOC MISC R/E EXPENSE (I) REIMB R/E EXPENSES AT 310 N MONTOE (ID 995R00-90-2)			(3,668.83)
11/23	Business Expense	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY VAN DRISSE ASSOC MISC R/E EXPENSE (I) REIMB FOR R/E EXP @ 310 N MONROE A (ID 995R00-90-2)			(1,277.99)
11/28	Expenses	BAIDU INC SPONS ADR ADR DEPOSITARY SERVICE FEE FOR HOL DERS AS OF 10/07/2011 FROM BNY-MEL ON AS PAYING AGENT .02 PER SHARE AS OF 11/23/11 (ID 056752-10-8)			(0 50)
12/9	Grant Paid	PAID RESURRECTION CATHOLIC PARISH CHARITABLE CONTRIBUTION TREASURERS CHECK NO. 2199273			(25,000.00)
12/9	Grant Paid	PAID ST NORBERT COLLEGE DESIGNATED FOR SCHOLARSHIPS TREASURERS CHECK NO. 2199274			(25,000 00)
12/9	Grant Paid	PAID ST. VINCENT HOSPITAL CHARITABLE CONTRIBUTION TREASURERS CHECK NO 2199275			(25,000 00)
12/9	Grant Paid	PAID ST MARYS HOSPITAL MEDICAL CTR OF GREEN BAY, INC-HOSP. SISTERS CHARITABLE CONTRIBUTION TREASURERS CHECK NO: 2199276			(15,000 00)
12/12	Misc Debit	FUNDS TRANSFERRED FROM TRUST AC# R71890009 TO TRUST AC# R71890009 TO COVER INCOME DISTRIBUTION		(46,600 00)	
12/12	Grant Paid	PAID BOY SCOUTS OF AMERICA CHARITABLE CONTRIBUTION TREASURERS CHECK NO· 2201524			(1,000 00)
12/12	Grant Paid	PAID GIRL SCOUTS OF AMERICA LAC BAIE COUNCIL CHARITABLE CONTRIBUTION TREASURERS CHECK NO: 2201525			(1,000 00)

Settle Date	Type / Selection Method	Description	Quantity / Cost	PRINCIPAL Amount	INCOME Amount
Withdrawals					
12/12	Grant Paid	PAID ASPIRO INC CHARITABLE CONTRIBUTION TREASURERS CHECK NO. 2201526			(5,000 00)
12/12	Grant Paid	PAID FAMILY VIOLENCE CENTER, INC AKA GOLDEN HOUSE CHARITABLE CONTRIBUTION TREASURERS CHECK NO. 2201527			(2,500.00)
12/12	Grant Paid	PAID PAULS PANTRY, INC CHARITABLE CONTRIBUTION TREASURERS CHECK NO: 2201528			(2,000 00)
12/12	Grant Paid	PAID HOWE NEIGHBORHOOD FAMILY RESOURCE CENTER, INC CHARITABLE CONTRIBUTION TREASURERS CHECK NO 2201529			(2,500 00)
12/12	Grant Paid	PAID GREEN BAY SYMPHONY ORCHESTRA, INC CHARITABLE CONTRIBUTION TREASURERS CHECK NO: 2201530			(5,000.00)
12/12	Grant Paid	PAID ENCOMPASS EARLY EDUCATION AND CARE, INC. CHARITABLE CONTRIBUTION TREASURERS CHECK NO. 2201531			(5,000.00)
12/12	Grant Paid	PAID ECUMENICAL PARTNERSHIP FOR HOUSING, INC CHARITABLE CONTRIBUTION TREASURERS CHECK NO. 2201532			(5,000 00)
12/12	Grant Paid	PAID LITERACY GREEN BAY, INC. CHARITABLE CONTRIBUTION TREASURERS CHECK NO 2201533			(5,000 00)
12/12	Grant Paid	PAID NEW COMMUNITY SHELTER, INC CHARITABLE CONTRIBUTION TREASURERS CHECK NO 2201534			(10,000.00)
12/13	Grant Paid	PAID FAMILY SERVICES OF NORTHEAST WISCONSIN, INC CHARITABLE CONTRIBUTION (1ST PAYMENT OF 2-YEAR COMMITMENT) TREASURERS CHECK NO· 2202085			(25,000.00)
12/13	Grant Paid	PAID BELLIN COLLEGE OF NURSING, INC CHARITABLE CONTRIBUTION TREASURERS CHECK NO. 2202086			(20,000 00)

Settle Date	Type / Selection Method	Description	Quantity / Cost	PRINCIPAL Amount	INCOME Amount
Withdrawals					
12/13	Grant Paid	PAID BOYS AND GIRLS CLUB OF GREEN BAY CHARITABLE CONTRIBUTION TREASURERS CHECK NO 2202087			(10,000 00)
12/13	Misc Debit	FUNDS TRANSFERRED FROM TRUST AC# R71890009 TO TRUST AC# R71890009 TO BALANCE LEDGER ACCOUNT TO COVER OVERDRAFT		(45,700 76)	
12/13	Grant Paid	PAID CATHOLIC DIOCESE OF GREEN BAY CHARITABLE CONTRIBUTION TREASURERS CHECK NO 2203118			(25,000 00)
12/14	Misc Debit	FUNDS TRANSFERRED FROM TRUST AC# R71890009 TO TRUST AC# R71890009 XFER TO COVER INCOME OVERDRAFT		(79,908 80)	
12/14	Business Expense	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY QBE INSURANCE REIMB (I) PROP INS REFUND FOR OVERPAYMENT (ID. 995R00-90-2)			1,193 00
12/23	Business Expense	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY VAN DRISSE ASSOC MISC R/E EXPENSE (I) REIMB FOR R/E EXP@310-314 N MONROE (ID 995R00-90-2)			(971 47)
Total Withdrawals				**($172,209.56)**	**($225,005.47)**
Fees & Commissions					
1/18	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 12-17-2010 TO 01-16-2011 ON TOTAL MV $4,808,351 37 INC $1,622 70 PRINC $1,622 69			(1,622.70)
1/18	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 12-17-2010 TO 01-16-2011 ON TOTAL MV $4,808,351 37 INC $1,622.70 PRINC $1,622.69		(1,622.69)	

Settle Date	Type / Selection Method	Description	Quantity / Cost	PRINCIPAL Amount	INCOME Amount
Fees & Commissions					
2/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 01-17-2011 TO 02-16-2011 ON TOTAL MV $4,794,312.20 INC $1,636 32 PRINC $1,636.32			(1,636 32)
2/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 01-17-2011 TO 02-16-2011 ON TOTAL MV $4,794,312 20 INC $1,636 32 PRINC $1,636 32		(1,636 32)	
3/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 02-17-2011 TO 03-16-2011 ON TOTAL MV $4,885,471 60 INC $1,667.86 PRINC $1,667 86			(1,667 86)
3/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 02-17-2011 TO 03-16-2011 ON TOTAL MV $4,885,471 60 INC $1,667 86 PRINC $1,667.86		(1,667 86)	
4/18	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 03-17-2011 TO 04-16-2011 ON TOTAL MV $4,874,840 15 INC $1,667.39 PRINC $1,667.38			(1,667 39)
4/18	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 03-17-2011 TO 04-16-2011 ON TOTAL MV $4,874,840.15 INC $1,667 39 PRINC $1,667.38		(1,667.38)	
5/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 04-17-2011 TO 05-16-2011 ON TOTAL MV $5,014,013.05 INC $1,735 06 PRINC $1,735 06			(1,735 06)
5/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 04-17-2011 TO 05-16-2011 ON TOTAL MV $5,014,013.05 INC $1,735 06 PRINC $1,735 06		(1,735 06)	
6/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 05-17-2011 TO 06-16-2011 INC $2,145 43 PRINC $2,145 42			(2,145 43)

Settle Date	Type / Selection Method	Description	Quantity / Cost	PRINCIPAL Amount	INCOME Amount
Fees & Commissions					
6/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 05-17-2011 TO 06-16-2011 INC $2,145.43 PRINC $2,145 42		(2,145 42)	
7/18	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 06-17-2011 TO 07-16-2011 INC $2,209.89 PRINC $2,209 88			(2,209 89)
7/18	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 06-17-2011 TO 07-16-2011 INC $2,209.89 PRINC $2,209.88		(2,209.88)	
7/20	Tax Prep Fee	2010 FORM 990-PF CHARITABLE TAX PREP FEE		(492 50)	
7/21	Tax Prep Fee	2010 FORM 990-PF CHARITABLE TAX PREP FEE AS OF 07/20/11			(492 50)
8/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 07-17-2011 TO 08-16-2011 INC $2,116 49 PRINC $2,116.49			(2,116 49)
8/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 07-17-2011 TO 08-16-2011 INC $2,116 49 PRINC $2,116 49		(2,116.49)	
9/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 08-17-2011 TO 09-16-2011 INC $1,998 56 PRINC $1,998 55			(1,998 56)
9/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 08-17-2011 TO 09-16-2011 INC $1,998 56 PRINC $1,998.55		(1,998 55)	
10/17	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 09-17-2011 TO 10-16-2011 INC $1,882 79 PRINC $1,882.78			(1,882 79)

Settle Date	Type / Selection Method	Description	Quantity / Cost	PRINCIPAL Amount	INCOME Amount
Fees & Commissions					
10/17	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 09-17-2011 TO 10-16-2011 INC $1,882 79 PRINC $1,882.78		(1,882 78)	
11/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 10-17-2011 TO 11-16-2011 INC $2,039 43 PRINC $2,039 43			(2,039 43)
11/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 10-17-2011 TO 11-16-2011 INC $2,039.43 PRINC $2,039.43		(2,039 43)	
12/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 11-17-2011 TO 12-16-2011 INC $2,033 11 PRINC $2,033.11			(2,033 11)
12/16	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 11-17-2011 TO 12-16-2011 INC $2,033 11 PRINC $2,033 11		(2,033 11)	
Total Fees & Commissions				**($23,247.47)**	**($23,247.53)**
Tax Payments					
2/22	FGN Tax Withheld	COVIDIEN PLC TAX WITHHELD IRELAND 20.00% (ID G2554F-10-5)			(7 00)
5/6	FGN Tax Withheld	COVIDIEN PLC NEW TAX WITHHELD IRELAND 20 00% (ID G2554F-11-3)			(7 00)
5/6	EstFed Excise Tax	990PF 1ST QTR PYMT FOR 12/31/2011 39-6719617 FAO JOSEPH SARAH VAN DRISSE CHAR			(2,250 00)
5/10	Federal Excise Tax	FORM 990PF EXCISE TAX 12/31/2010 39-6719617 FAO JOSEPH AND SARAH VAN DRISSE CH			(247.00)

Settle Date	Type / Selection Method	Description	Quantity / Cost	PRINCIPAL Amount	INCOME Amount
Tax Payments					
6/9	EstFed Excise Tax	TRANSFERRED BY WIRE TO JPMORGAN CHASE BANK NA PRIVATE 12/31/11 990PF 2ND QTR PYMT 39-6719617			(2,250 00)
7/25	Real Estate Taxes	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY 2010 AD VALOREM TAXES RE TAX-RENTAL (I) BROWN - 2ND HALF WI PARCEL# NOV-4 (ID 995R00-90-2)			(422 93)
7/25	Real Estate Taxes	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY 2010 AD VALOREM TAXES RE TAX-RENTAL (I) BROWN - 2ND HALF WI PARCEL# 11-14 (ID. 995R00-90-2)			(1,734.15)
8/19	FGN Tax Withheld	COVIDIEN PLC NEW TAX WITHHELD IRELAND 20 00% (ID. G2554F-11-3)			(4 80)
9/8	EstFed Excise Tax	TRANSFERRED BY WIRE TO JPMORGAN CHASE BANK NA PRIVATE 12/31/11 990PF 3RD QTR PYMT 39-6719617			(2,250 00)
11/4	FGN Tax Withheld	COVIDIEN PLC NEW TAX WITHHELD IRELAND 20.00% (ID G2554F-11-3)			(6 17)
12/12	EstFed Excise Tax	TRANSFERRED BY WIRE TO JPMORGAN CHASE BANK NA PRIVATE 12/31/2011 990PF 4TH QTR PYMT 39-6719617			(2,250.00)
12/28	Real Estate Taxes	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY 2011 AD VALOREM TAXES RE TAX-RENTAL (I) BROWN COUNTY WI PARCEL# NOV-45 (ID. 995R00-90-2)			(940 55)
Total Tax Payments					**($12,369.60)**

TRADE ACTIVITY

Note L indicates Long Term Realized Gain/Loss S indicates Short Term Realized Gain/Loss

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
1/5 1/6	Sale High Cost	JPM STR INC OPP FD JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 11 88 (ID 4812A4-35-1)	(5,661.153)	11.88	67,254.50	(66,178 88)	1,075 62 S
1/5 1/6	Sale High Cost	JPM RESEARCH MARKET NEUTRAL FD - SEL JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 15 50 (ID 48121A-71-2)	(2,941.402)	15 50	45,591 73	(46,209 43)	(617 70) S
1/5 1/6	Sale High Cost	VANGUARD FIXED INCOME SECS F INTR TRM CP PT (FUND 71) (ID 922031-88-5)	(4,443 272)	9 86	43,810 66	(37,279 06)	6,531 60 L
1/31 2/3	Sale High Cost	HEWLETT-PACKARD CO @ 45.41 6,811 50 BROKERAGE 3 00 TAX &/OR SEC .15 CREDIT SUISSE FIRST BOSTON LLC (ID· 428236-10-3)	(150.000)	45.389	6,808.35	(7,195.50)	(387.15) L
1/31 2/3	Sale High Cost	STARWOOD HOTELS & RESORTS @ 58.904 8,835 60 BROKERAGE 3 00 TAX &/OR SEC 17 CREDIT SUISSE FIRST BOSTON LLC (ID· 85590A-40-1)	(150.000)	58 883	8,832 43	(4,861 50)	3,970.93 L
1/31 2/3	Sale High Cost	PHILIP MORRIS INTERNATIONAL @ 57.11 4,283 25 BROKERAGE 1.50 TAX &/OR SEC 09 INVESTMENT TECHNOLOGY GROUP (ITG) (ID· 718172-10-9)	(75 000)	57.089	4,281.66	(4,375.13)	(93.47) S
1/31 2/3	Sale High Cost	QUALCOMM INC @ 53 93 5,393 00 BROKERAGE 2.00 TAX &/OR SEC .11 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 747525-10-3)	(100 000)	53 909	5,390 89	(4,221 76)	589.14 L 579 99 S
1/31 2/3	Sale High Cost	INTERNATIONAL BUSINESS MACHINES CORP @ 160 7501 16,075 01 BROKERAGE 2 00 TAX &/OR SEC 31 AUTOMATED TRADING DESK FINK SER TR (ID· 459200-10-1)	(100 000)	160.727	16,072.70	(1,499.07)	14,573 63 L
1/31 2/3	Sale High Cost	SCHLUMBERGER LTD @ 88 5502 11,068 78 BROKERAGE 2 50 TAX &/OR SEC 22 AUTOMATED TRADING DESK FINK SER TR (ID. 806857-10-8)	(125.000)	88 528	11,066 06	(8,678.31)	2,387.75 L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
2/3 2/8	Sale High Cost	ISHARES MSCI ALL COUNTRY ASIA EX JAPAN INDEX FUND @ 62.5799 131,417 79 BROKERAGE 63.00 TAX &/OR SEC 2 53 SANFORD C BERNSTEIN & CO INC (SCB (ID 464288-18-2)	(2,100.000)	62.549	131,352 26	(69,678.00)	61,674.26 L
2/17 2/17	Redemption Pro Rata	HSBC SPX MKT PLS NOTE 02/17/11 (70% CONTIN BARRIER-1 25%PMT- UNCAPPED) INITIAL LEVEL-SPX 08/13/09. 1012 73 TO REDEMPTION (ID 4042K0-YQ-2)	(45,000 000)	131.60	59,200.77	(44,550 00)	14,650 77 L
2/17 2/17	Redemption Pro Rata	HSBC ASIA BSKT MKT PLS NOTE 02/17/11 (73% CONTIN BARRIER-0%PMT-UNCAPPED) INITIAL LEVEL-ASIA BSKT 08/14/09 100 TO REDEMPTION (ID 4042K0-YR-0)	(45,000 000)	115.00	51,766 80	(44,437.50)	7,329.30 L
3/1 3/4	Sale High Cost	INTERNATIONAL BUSINESS MACHINES CORP @ 161 1204 16,112.04 BROKERAGE 2 00 TAX &/OR SEC 31 AUTOMATED TRADING DESK FINK SER TR (ID. 459200-10-1)	(100 000)	161 097	16,109.73	(1,499 07)	14,610 66 L
3/1 3/4	Sale High Cost	WELLS FARGO & CO @ 31 8301 12,732 04 BROKERAGE 8 00 TAX &/OR SEC 25 AUTOMATED TRADING DESK FINK SER TR (ID. 949746-10-1)	(400 000)	31.809	12,723 79	(3,021.41)	9,702 38 L
3/1 3/4	Sale High Cost	SYSCO CORP @ 27 9867 4,198 00 BROKERAGE 3 00 TAX &/OR SEC 08 CREDIT SUISSE FIRST BOSTON LLC (ID. 871829-10-7)	(150 000)	27 966	4,194.92	(4,074 00)	120 92 L
3/1 3/4	Sale High Cost	WALT DISNEY CO @ 43 27 9,735 75 BROKERAGE 4 50 TAX &/OR SEC 19 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 254687-10-6)	(225 000)	43 249	9,731 06	(6,554 25)	3,176 81 L
3/24 3/24	Redemption Pro Rata	BARC EAFE BREN 03/24/11 (10%BUFFER-2XLEV-6.82%CAP-13.64% MAXPYMT) INITIAL LEVEL-3/5/10 SX5E 2877 44 UKX 5599 76 TPX.910 81 TO REDEMPTION (ID 06740J-S7-6)	(40,000 000)	100 80	40,328.00	(39,600.00)	728.00 L

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
3/24 3/24	Redemption Pro Rata	DB ASIA BREN 03/24/11 (10%BUFF -2XLEV-8.80%CAP-17 60%MXPYMT) INITIAL LEVEL-ASIA 3/5/10 100 00 TO REDEMPTION (ID: 2515A0-3E-1)	(40,000.000)	117.60	47,040.00	(39,600.00)	7,440.00 L
4/8 4/13	Sale High Cost	SPDR S&P 500 ETF TRUST @ 133 1901 49,280.34 BROKERAGE 7 40 TAX &/OR SEC .95 AUTOMATED TRADING DESK FINK SER TR (ID. 78462F-10-3)	(370 000)	133 168	49,271 99	(34,394 78)	12,944 68 L 1,932 53 S
4/21 4/21	Redemption Pro Rata	CS COMMODITY CPN 4/21/11 KNOCK-OUT NOTES LKND S&P GSCI EXCESS RETURN INDEX MAX RET 17%, INT STRIKE 445 1916 DD 04/09/10 TO REDEMPTION (ID· 22546E-UG-7)	(45,000 000)	117 00	52,650 00	(44,550 00)	8,100 00 L
4/21 4/27	Sale High Cost	NOVELLUS SYSTEMS INC @ 34.8429 6,097.51 BROKERAGE 3 50 TAX &/OR SEC 12 INVESTMENT TECHNOLOGY GROUP (ITG) (ID: 670008-10-1)	(175.000)	34 822	6,093 89	(4,456 23)	1,637.66 L
4/21 4/27	Sale High Cost	SOUTHERN CO @ 38.515 4,814 37 BROKERAGE 2 50 TAX &/OR SEC 09 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 842587-10-7)	(125 000)	38.494	4,811.78	(4,002.00)	809.78 L
4/21 4/27	Sale High Cost	NATIONAL-OILWELL VARCO INC @ 79 18 3,959 00 BROKERAGE 1 00 TAX &/OR SEC 08 AUTOMATED TRADING DESK FINK SER TR (ID 637071-10-1)	(50 000)	79 158	3,957 92	(3,048 50)	909 42 S
5/13 5/16	Sale High Cost	JPM HIGH YIELD FD - SEL JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 8 40 (ID· 4812C0-80-3)	(10,226 190)	8 40	85,900 00	(77,836 30)	4,684 27 L 3,379 43 S
5/13 5/16	Sale High Cost	JPM SHORT DURATION BOND FD - SEL JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 11 01 (ID· 4812C1-33-0)	(20,590 372)	11 01	226,700.00	(217,228.42)	9,471 58 L
5/13 5/16	Sale High Cost	ARBITRAGE FUNDS - I CL I (ID 03875R-20-5)	(6,935.976)	12 91	89,543.45	(91,000 00)	(1,456 55) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/8 6/13	Sale High Cost	INVESCO LTD @ 22.76 682 80 BROKERAGE 0 60 TAX &/OR SEC .02 INVESTMENT TECHNOLOGY GROUP (ITG) (ID· G491BT-10-8)	(30 000)	22 739	682 18	(595 50)	86.68 S
6/8 6/13	Sale High Cost	ADVANCED AUTO PARTS INC @ 57 91 579.10 BROKERAGE 0 20 TAX &/OR SEC 02 INVESTMENT TECHNOLOGY GROUP (ITG) (ID: 00751Y-10-6)	(10.000)	57 888	578.88	(371.40)	207.48 L
6/8 6/13	Sale High Cost	AMERICAN EXPRESS CO @ 48.79 731 85 BROKERAGE 0 30 TAX &/OR SEC 02 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 025816-10-9)	(15.000)	48 769	731 53	(634.50)	97 03 S
6/8 6/13	Sale High Cost	BROADCOM CORP CL A @ 33.65 168.25 BROKERAGE 0.10 TAX &/OR SEC 01 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 111320-10-7)	(5.000)	33.628	168 14	(201 90)	(33 76) S
6/8 6/13	Sale High Cost	CELGENE CORPORATION @ 59.60 1,490 00 BROKERAGE 0 50 TAX &/OR SEC .03 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 151020-10-4)	(25.000)	59 579	1,489 47	(1,489 25)	0.22 L
6/8 6/13	Sale High Cost	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 73.06 730.60 BROKERAGE 0.20 TAX &/OR SEC .02 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 192446-10-2)	(10 000)	73 038	730.38	(527.11)	203.27 S
6/8 6/13	Sale High Cost	CONSTELLATION ENERGY GROUP INC @ 36 58 365 80 BROKERAGE 0.20 TAX &/OR SEC 01 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 210371-10-0)	(10.000)	36.559	365.59	(332 00)	33 59 S
6/8 6/13	Sale High Cost	E M C CORP MASS @ 26 84 671.00 BROKERAGE 0 50 TAX &/OR SEC 02 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 268648-10-2)	(25 000)	26 819	670 48	(538 50)	131 98 S
6/8 6/13	Sale High Cost	FIFTH THIRD BANCORP @ 12.455 62 27 BROKERAGE 0 10 TAX &/OR SEC 01 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 316773-10-0)	(5 000)	12.432	62 16	(75 05)	(12.89) S

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/8 6/13	Sale High Cost	GENERAL ELECTRIC CO @ 18 59 185 90 BROKERAGE 0.20 TAX &/OR SEC 01 INVESTMENT TECHNOLOGY GROUP (ITG) (ID· 369604-10-3)	(10 000)	18 569	185 69	(199 20)	(13.51) S
6/8 6/13	Sale High Cost	GOOGLE INC CL A @ 518.88 12,972 00 BROKERAGE 0 50 TAX &/OR SEC .25 INVESTMENT TECHNOLOGY GROUP (ITG) (ID· 38259P-50-8)	(25 000)	518 85	12,971 25	(14,281.75)	(1,310 50) L
6/8 6/13	Sale High Cost	JOHNSON & JOHNSON @ 65 69 2,627.60 BROKERAGE 0 80 TAX &/OR SEC .06 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 478160-10-4)	(40.000)	65.669	2,626 74	(336.97)	2,289 77 L
6/8 6/13	Sale High Cost	KELLOGG CO @ 55 04 275.20 BROKERAGE 0.10 TAX &/OR SEC .01 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 487836-10-8)	(5.000)	55.018	275 09	(244.35)	30 74 S
6/8 6/13	Sale High Cost	MASTERCARD INC - CLASS A @ 265 49 1,327 45 BROKERAGE 0.10 TAX &/OR SEC .03 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 57636Q-10-4)	(5.000)	265 464	1,327 32	(1,136 10)	191 22 L
6/8 6/13	Sale High Cost	NEXTERA ENERGY INC @ 55.43 1,108 60 BROKERAGE 0 40 TAX &/OR SEC 03 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 65339F-10-1)	(20 000)	55 409	1,108.17	(1,069.80)	38 37 S
6/8 6/13	Sale High Cost	NORFOLK SOUTHERN CORP @ 70 46 6,341.40 BROKERAGE 1.80 TAX &/OR SEC .13 INVESTMENT TECHNOLOGY GROUP (ITG) (ID· 655844-10-8)	(90.000)	70.439	6,339.47	(4,229 61)	2,109.86 L
6/8 6/13	Sale High Cost	PACCAR INC @ 47.46 1,898 40 BROKERAGE 0.80 TAX &/OR SEC 04 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 693718-10-8)	(40 000)	47 439	1,897.56	(1,524.36)	373.20 L
6/8 6/13	Sale High Cost	PROCTER & GAMBLE CO @ 64 80 6,480.00 BROKERAGE 2 00 TAX &/OR SEC 13 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 742718-10-9)	(100.000)	64.779	6,477 87	(5,705.00)	772 87 L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/8 6/13	Sale High Cost	STAPLES INC @ 15 18 2,732 40 BROKERAGE 3 60 TAX &/OR SEC .06 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 855030-10-2)	(180.000)	15 16	2,728 74	(4,183.90)	(1,455.16) L
6/8 6/13	Sale High Cost	UNITED TECHNOLOGIES CORP @ 82.98 414 90 BROKERAGE 0 10 TAX &/OR SEC 01 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 913017-10-9)	(5 000)	82 958	414 79	(11.76)	403.03 L
6/8 6/13	Sale High Cost	VERIZON COMMUNICATIONS INC @ 35.96 3,236 40 BROKERAGE 1 80 TAX &/OR SEC 08 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 92343V-10-4)	(90.000)	35 939	3,234 52	(2,439 70)	794 82 L
6/8 6/13	Sale High Cost	COVIDIEN PLC NEW @ 54 3101 4,344 81 BROKERAGE 1.60 TAX &/OR SEC .09 AUTOMATED TRADING DESK FINK SER TR (ID· G2554F-11-3)	(80.000)	54.289	4,343.12	(3,517 60)	825.52 L
6/8 6/13	Sale High Cost	MARVELL TECHNOLOGY GROUP LTD @ 14.9301 298 60 BROKERAGE 0.40 TAX &/OR SEC 01 AUTOMATED TRADING DESK FINK SER TR (ID. G5876H-10-5)	(20 000)	14 91	298 19	(420 03)	(121 84) L
6/8 6/13	Sale High Cost	AT&T INC @ 30.29 605 80 BROKERAGE 0.40 TAX &/OR SEC 02 AUTOMATED TRADING DESK FINK SER TR (ID· 00206R-10-2)	(20 000)	30 269	605.38	(553 60)	51 78 S
6/8 6/13	Sale High Cost	APACHE CORP @ 120.49 6,024 50 BROKERAGE 1.00 TAX &/OR SEC 12 AUTOMATED TRADING DESK FINK SER TR (ID 037411-10-5)	(50.000)	120.468	6,023.38	(5,942.49)	80 89 S
6/8 6/13	Sale High Cost	BAIDU INC SPONS ADR @ 122.36 1,835 40 BROKERAGE 0 30 TAX &/OR SEC 04 AUTOMATED TRADING DESK FINK SER TR (ID: 056752-10-8)	(15 000)	122.337	1,835.06	(1,463 40)	371 66 S
6/8 6/13	Sale High Cost	BIOGEN IDEC INC @ 92.35 1,385.25 BROKERAGE 0 30 TAX &/OR SEC .03 AUTOMATED TRADING DESK FINK SER TR (ID. 09062X-10-3)	(15 000)	92 328	1,384 92	(864.00)	520.92 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/8 6/13	Sale High Cost	CVS CAREMARK CORPORATION @ 37 5502 4,693 77 BROKERAGE 2 50 TAX &/OR SEC 10 AUTOMATED TRADING DESK FINK SER TR (ID 126650-10-0)	(125 000)	37.529	4,691.17	(3,738.75)	952 42 L
6/8 6/13	Sale High Cost	CENTERPOINT ENERGY INC @ 18 94 189 40 BROKERAGE 0 20 TAX &/OR SEC 01 AUTOMATED TRADING DESK FINK SER TR (ID. 15189T-10-7)	(10 000)	18 919	189.19	(182 30)	6 89 S
6/8 6/13	Sale High Cost	COMCAST CORP CL A @ 24.23 848.05 BROKERAGE 0.70 TAX &/OR SEC 02 AUTOMATED TRADING DESK FINK SER TR (ID. 20030N-10-1)	(35.000)	24.209	847.33	(605.15)	242.18 L
6/8 6/13	Sale High Cost	WALT DISNEY CO @ 39 47 394.70 BROKERAGE 0.20 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR (ID. 254687-10-6)	(10.000)	39 449	394 49	(291 30)	103 19 L
6/8 6/13	Sale High Cost	E I DU PONT DE NEMOURS & CO @ 49 76 1,741 60 BROKERAGE 0 70 TAX &/OR SEC 04 AUTOMATED TRADING DESK FINK SER TR (ID 263534-10-9)	(35 000)	49 739	1,740 86	(1,368 78)	372 08 L
6/8 6/13	Sale High Cost	EXXON MOBIL CORP @ 81.0901 31,625 14 BROKERAGE 7.80 TAX &/OR SEC .61 AUTOMATED TRADING DESK FINK SER TR (ID 30231G-10-2)	(390.000)	81.069	31,616.73	(5,860.73)	25,756.00 L
6/8 6/13	Sale High Cost	FREEPORT-MCMORAN COPPER & GOLD INC CL B @ 48.7201 4,384 81 BROKERAGE 1.80 TAX &/OR SEC 09 AUTOMATED TRADING DESK FINK SER TR (ID 35671D-85-7)	(90.000)	48.699	4,382.92	(3,414.15)	968 77 L
6/8 6/13	Sale High Cost	GOLDMAN SACHS GROUP INC @ 133 03 665 15 BROKERAGE 0.10 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR (ID: 38141G-10-4)	(5 000)	133.006	665 03	(815 85)	(150 82) S
6/8 6/13	Sale High Cost	INTERNATIONAL BUSINESS MACHINES CORP @ 164 0304 32,806 08 BROKERAGE 4 00 TAX &/OR SEC .63 AUTOMATED TRADING DESK FINK SER TR (ID· 459200-10-1)	(200.000)	164 007	32,801.45	(2,998.14)	29,803 31 L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/8 6/13	Sale High Cost	JUNIPER NETWORKS INC @ 32 15 1,286 00 BROKERAGE 0.80 TAX &/OR SEC .03 AUTOMATED TRADING DESK FINK SER TR (ID· 48203R-10-4)	(40 000)	32 129	1,285 17	(1,080.24)	204.93 L
6/8 6/13	Sale High Cost	MORGAN STANLEY @ 22.14 1,992 60 BROKERAGE 1 80 TAX &/OR SEC .04 AUTOMATED TRADING DESK FINK SER TR (ID 617446-44-8)	(90 000)	22.12	1,990 76	(2,476.79)	(486 03) S
6/8 6/13	Sale High Cost	NIKE INC B @ 80 71 403 55 BROKERAGE 0.10 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR (ID 654106-10-3)	(5.000)	80 688	403.44	(324 25)	79 19 L
6/8 6/13	Sale High Cost	OCCIDENTAL PETROLEUM CORP @ 102 95 4,632 75 BROKERAGE 0.90 TAX &/OR SEC .09 AUTOMATED TRADING DESK FINK SER TR (ID. 674599-10-5)	(45.000)	102.928	4,631 76	(3,721 25)	910 51 L
6/8 6/13	Sale High Cost	ORACLE CORP @ 31 39 470 85 BROKERAGE 0 30 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR (ID 68389X-10-5)	(15.000)	31 369	470 54	(475 88)	(5 34) S
6/8 6/13	Sale High Cost	PFIZER INC @ 20.7202 6,216.06 BROKERAGE 6.00 TAX &/OR SEC .12 AUTOMATED TRADING DESK FINK SER TR (ID 717081-10-3)	(300.000)	20.70	6,209.94	(5,254 50)	955.44 L
6/8 6/13	Sale High Cost	QUALCOMM INC @ 55 74 1,114 80 BROKERAGE 0.40 TAX &/OR SEC .03 AUTOMATED TRADING DESK FINK SER TR (ID. 747525-10-3)	(20 000)	55 719	1,114.37	(842 52)	271.85 L
6/8 6/13	Sale High Cost	TD AMERITRADE HOLDING CORPORATION @ 19 0701 1,907 01 BROKERAGE 2 00 TAX &/OR SEC 04 AUTOMATED TRADING DESK FINK SER TR (ID 87236Y-10-8)	(100 000)	19 05	1,904.97	(1,702 99)	201.98 S
6/8 6/13	Sale High Cost	UNITEDHEALTH GROUP INC @ 47.97 719 55 BROKERAGE 0.30 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR (ID· 91324P-10-2)	(15.000)	47.949	719 23	(533 33)	185.90 S

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/8 6/13	Sale High Cost	BB & T CORP @ 25 69 513 80 BROKERAGE 0 40 TAX &/OR SEC 01 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 054937-10-7)	(20 000)	25 67	513 39	(549 20)	(35 81) L
6/8 6/13	Sale High Cost	DOW CHEMICAL CO @ 34 9844 7,871.49 BROKERAGE 4 50 TAX &/OR SEC 16 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 260543-10-3)	(225 000)	34 964	7,866 83	(6,977.23)	889.60 S
6/14 6/17	Sale High Cost	ADVANCED AUTO PARTS INC @ 57 2744 3,722.84 BROKERAGE 1 95 TAX &/OR SEC 08 CITATION GROUP/BCC CLRG (ID: 00751Y-10-6)	(65 000)	57.243	3,720.81	(2,414.10)	1,306 71 L
6/14 6/17	Sale High Cost	MARVELL TECHNOLOGY GROUP LTD @ 14 3174 2,577.13 BROKERAGE 5 40 TAX &/OR SEC 05 UBS SECURITIES LLC (ID. G5876H-10-5)	(180 000)	14 287	2,571 68	(3,780 29)	(1,208 61) L
6/14 6/17	Sale High Cost	SANDISK CORP @ 43 286 3,246 45 BROKERAGE 2 25 TAX &/OR SEC 08 UBS SECURITIES LLC (ID. 80004C-10-1)	(75 000)	43 255	3,244 12	(3,322.50)	(78.38) S
7/11 7/14	Sale High Cost	CITRIX SYSTEMS INC @ 79.1255 3,165 02 BROKERAGE 1 20 TAX &/OR SEC .06 CITATION GROUP/BCC CLRG (ID 177376-10-0)	(40.000)	79 094	3,163.76	(3,207 60)	(43 84) S
7/12 7/15	Sale High Cost	GENERAL ELECTRIC CO @ 18 6188 4,933 98 BROKERAGE 5.30 TAX &/OR SEC 10 UBS SECURITIES LLC (ID 369604-10-3)	(265.000)	18 598	4,928 58	(5,278.80)	(350 22) S
7/15 7/20	Sale High Cost	DEVON ENERGY CORP @ 79.1655 3,166 62 BROKERAGE 1 20 TAX &/OR SEC 08 BARCLAYS CAPITAL LE (ID 25179M-10-3)	(40.000)	79 134	3,165.34	(3,225 20)	(59.86) S
7/19 7/20	Sale High Cost	JPM US REAL ESTATE FD - SEL JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 17 31 (ID: 4812C0-61-3)	(5,205 084)	17 31	90,100.00	(61,232 37)	25,124 94 L 3,742 69 S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
7/19 7/20	Sale High Cost	JPM SHORT DURATION BOND FD - SEL JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 11 03 (ID 4812C1-33-0)	(4,632.820)	11 03	51,100.00	(48,876.25)	2,223.75 L
7/15 7/20	Sale High Cost	EOG RESOURCES INC @ 101 0583 4,042.33 BROKERAGE 1 20 TAX &/OR SEC 08 GOLDMAN SACHS & CO. (ID. 26875P-10-1)	(40.000)	101 026	4,041.05	(4,407.20)	(366 15) S
7/19 7/20	Sale High Cost	EATON VANCE MUT FDS TR GLOBAL MACRO - I (ID 277923-72-8)	(3,976.378)	10.16	40,400.00	(41,274 80)	(874 80) L
7/22 7/22	Redemption Pro Rata	BNP BREN ASIA BASKET 07/22/11 (10%BUFFER-9.05%CAP- 18 10%MAXPYMT) INITIAL LEVEL-06/25/10 ASIA BASKET 100.00 TO REDEMPTION (ID 05567L-K8-9)	(40,000.000)	118.10	47,240 00	(39,600.00)	7,640.00 L
7/22 7/22	Redemption Pro Rata	GS BREN SPX 07/22/11 (10%BUFFER-2XLEV-7 41%CAP-14.82%MAXPYMT) INITIAL LEVEL-06/25/10 SPX: 1076.76 TO REDEMPTION (ID. 38143U-KR-1)	(40,000 000)	114 80	45,928 00	(39,600 00)	6,328 00 L
7/19 7/22	Sale High Cost	ISHARES RUSSELL MIDCAP GROWTH INDEX FUND @ 61 6486 43,154 02 BROKERAGE 14 00 TAX &/OR SEC 83 SANFORD C BERNSTEIN & CO INC (SCB (ID 464287-48-1)	(700.000)	61.627	43,139.19	(21,378 00)	21,761 19 L
7/19 7/22	Sale High Cost	VANGUARD MSCI EMERGING MARKETS ETF @ 47.5588 78,472.02 BROKERAGE 33 00 TAX &/OR SEC 1 51 SANFORD C BERNSTEIN & CO INC.(SCB (ID· 922042-85-8)	(1,650.000)	47.538	78,437.51	(79,177 70)	(740 19) S
7/19 7/22	Sale High Cost	ISHARES RUSSELL MIDCAP VALUE INDEX FUND @ 46 6953 35,021 48 BROKERAGE 15 00 TAX &/OR SEC 68 CREDIT SUISSE FIRST BOSTON LLC (ID 464287-47-3)	(750.000)	46.674	35,005.80	(20,684.18)	14,321 62 L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
7/19 7/22	Sale High Cost	ISHARES BARCLAYS TIPS BOND FUND @ 112.18 47,676.50 BROKERAGE 8.50 TAX &/OR SEC 92 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 464287-17-6)	(425 000)	112.158	47,667.08	(45,534.42)	2,132.66 S
7/21 7/26	Sale High Cost	ABBOTT LABORATORIES @ 52 7904 1,055 81 BROKERAGE 0 60 TAX &/OR SEC 03 CITATION GROUP/BCC CLRG (ID. 002824-10-0)	(20.000)	52.759	1,055 18	(1,026 40)	28 78 S
7/21 7/26	Sale High Cost	QUALCOMM INC @ 56 5767 1,131 53 BROKERAGE 0 40 TAX &/OR SEC 03 RBC CAPITAL MARKETS CORPORATION (ID. 747525-10-3)	(20 000)	56 555	1,131 10	(842 52)	288 58 L
7/25 7/28	Sale High Cost	PEPSICO INC @ 64.2869 642.87 BROKERAGE 0.20 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID 713448-10-8)	(10.000)	64.265	642.65	(685.40)	(42.75) S
7/27 8/1	Sale High Cost	ABBOTT LABORATORIES @ 51.87 1,296 75 BROKERAGE 0 75 TAX &/OR SEC .03 S G COWEN & CO (ID. 002824-10-0)	(25 000)	51.839	1,295.97	(1,283.00)	12 97 S
7/27 8/1	Sale High Cost	XILINX CORP @ 32 3249 1,002 07 BROKERAGE 0 62 TAX &/OR SEC 02 UBS SECURITIES LLC (ID 983919-10-1)	(31 000)	32.304	1,001.43	(1,043.16)	(41 73) S
7/27 8/1	Sale High Cost	XILINX CORP @ 32 2251 257.80 BROKERAGE 0.24 TAX &/OR SEC .01 UBS SECURITIES LLC (ID 983919-10-1)	(8.000)	32 194	257.55	(269 20)	(11 65) S
7/27 8/1	Sale High Cost	PFIZER INC @ 19 45 1,556 00 BROKERAGE 2 40 TAX &/OR SEC 03 S G COWEN & CO (ID 717081-10-3)	(80 000)	19 42	1,553 57	(1,401 20)	152.37 L
7/27 8/1	Sale High Cost	HONEYWELL INTERNATIONAL INC @ 54 1188 1,407.09 BROKERAGE 0 78 TAX &/OR SEC .03 GOLDMAN SACHS & CO (ID. 438516-10-6)	(26 000)	54.088	1,406 28	(1,469.00)	(62.72) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
8/2 8/5	Sale High Cost	TIME WARNER INC NEW @ 34 4604 2,584.53 BROKERAGE 1 50 TAX &/OR SEC .05 UBS SECURITIES LLC (ID 887317-30-3)	(75 000)	34 44	2,582 98	(2,620.87)	(37 89) S
8/3 8/8	Sale High Cost	FREEPORT-MCMORAN COPPER & GOLD INC CL B @ 50 1921 1,505 76 BROKERAGE 0 90 TAX &/OR SEC 03 GOLDMAN SACHS & CO (ID 35671D-85-7)	(30.000)	50 161	1,504.83	(1,138.05)	366 78 L
8/3 8/8	Sale High Cost	BAKER HUGHES INC @ 71.5907 1,431 81 BROKERAGE 0 40 TAX &/OR SEC 03 UBS SECURITIES LLC (ID 057224-10-7)	(20.000)	71 569	1,431 38	(988.60)	442 78 S
8/3 8/8	Sale High Cost	MASTERCARD INC - CLASS A @ 335 3661 1,676 83 BROKERAGE 0.10 TAX &/OR SEC .04 GARDNER RICH & COMPANY (ID. 57636Q-10-4)	(5.000)	335.338	1,676 69	(1,136.10)	540 59 L
8/4 8/9	Sale High Cost	LENNAR CORP @ 15 9244 1,910 93 BROKERAGE 3 60 TAX &/OR SEC .04 CITIGROUP GLOBAL MKTS INC (ID. 526057-10-4)	(120 000)	15 894	1,907.29	(1,851 19)	56 10 S
8/4 8/9	Sale High Cost	BAKER HUGHES INC @ 66 3139 1,923.10 BROKERAGE 0 87 TAX &/OR SEC 04 GOLDMAN SACHS & CO. (ID 057224-10-7)	(29.000)	66.282	1,922.19	(1,433 47)	488.72 S
8/5 8/10	Sale High Cost	FREEPORT-MCMORAN COPPER & GOLD INC CL B @ 46 666 1,073 32 BROKERAGE 0.69 TAX &/OR SEC .02 GOLDMAN SACHS & CO. (ID: 35671D-85-7)	(23 000)	46 635	1,072 61	(872.50)	200 11 L
8/5 8/10	Sale High Cost	BAKER HUGHES INC @ 65 709 1,708.43 BROKERAGE 0 78 TAX &/OR SEC 03 GOLDMAN SACHS & CO (ID 057224-10-7)	(26.000)	65.678	1,707.62	(1,285.18)	422 44 S
8/9 8/12	Sale High Cost	STAPLES INC @ 12.4907 2,435 69 BROKERAGE 5 85 TAX &/OR SEC 05 CREDIT SUISSE FIRST BOSTON LLC (ID 855030-10-2)	(195.000)	12.46	2,429.79	(4,412 85)	(1,983.06) L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
8/9 8/12	Sale High Cost	FIFTH THIRD BANCORP @ 10 1519 2,233 42 BROKERAGE 4.40 TAX &/OR SEC 05 MORGAN STANLEY & CO INCORPORATED (ID 316773-10-0)	(220 000)	10.132	2,228.97	(3,302.18)	(1,073.21) S
8/10 8/15	Sale High Cost	WALT DISNEY CO @ 30.8935 3,552 75 BROKERAGE 3 45 TAX &/OR SEC 07 CREDIT SUISSE FIRST BOSTON LLC (ID· 254687-10-6)	(115 000)	30.863	3,549.23	(3,349.95)	199.28 L
8/10 8/15	Sale High Cost	APACHE CORP @ 99 3262 1,986 52 BROKERAGE 0.60 TAX &/OR SEC 04 GOLDMAN SACHS & CO (ID 037411-10-5)	(20.000)	99.294	1,985.88	(2,377.00)	(391.12) S
8/10 8/15	Sale High Cost	CME GROUP INC CLASS A COMMON STOCK @ 251.1239 3,766 86 BROKERAGE 0.30 TAX &/OR SEC .07 UBS SECURITIES LLC (ID. 12572Q-10-5)	(15.000)	251 099	3,766 49	(4,235.65)	(408 71) L (60.45) S
8/11 8/16	Sale High Cost	ADOBE SYSTEMS INC @ 23.1203 3,005 64 BROKERAGE 3 90 TAX &/OR SEC 06 MORGAN STANLEY & CO. INCORPORATED (ID 00724F-10-1)	(130 000)	23.09	3,001.68	(4,213.60)	(1,211 92) S
8/12 8/17	Sale High Cost	WALT DISNEY CO @ 33.1537 862.00 BROKERAGE 0.52 TAX &/OR SEC 02 SANFORD C. BERNSTEIN & CO INC (SCB (ID 254687-10-6)	(26.000)	33.133	861.46	(757 38)	104.08 L
8/12 8/17	Sale High Cost	CONSTELLATION ENERGY GROUP INC @ 35.734 428.81 BROKERAGE 0.36 TAX &/OR SEC .01 BAYPOINT TRADING LLC (ID· 210371-10-0)	(12.000)	35 703	428 44	(398 40)	30 04 S
8/12 8/17	Sale High Cost	STATE STREET CORP @ 35 9086 71.82 BROKERAGE 0 06 TAX &/OR SEC 01 MORGAN STANLEY & CO. INCORPORATED (ID· 857477-10-3)	(2.000)	35 875	71.75	(87 68)	(15 93) S
8/12 8/17	Sale High Cost	STATE STREET CORP @ 35 3081 211.85 BROKERAGE 0.12 TAX &/OR SEC .01 MORGAN STANLEY & CO. INCORPORATED (ID 857477-10-3)	(6 000)	35 287	211 72	(263 04)	(51 32) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
8/12 8/17	Sale High Cost	STATE STREET CORP @ 35 2205 246.54 BROKERAGE 0.21 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID 857477-10-3)	(7 000)	35.189	246 32	(306 88)	(60 56) S
8/12 8/17	Sale High Cost	STATE STREET CORP @ 35.0305 35 03 BROKERAGE 0.03 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID· 857477-10-3)	(1 000)	34.99	34 99	(43 84)	(8 85) S
8/12 8/17	Sale High Cost	STATE STREET CORP @ 34 8365 243 86 BROKERAGE 0 14 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC (ID. 857477-10-3)	(7 000)	34.816	243 71	(306 88)	(63.17) S
8/15 8/18	Sale High Cost	CONSTELLATION ENERGY GROUP INC @ 36.8579 700 30 BROKERAGE 0.57 TAX &/OR SEC 01 BAYPOINT TRADING LLC (ID: 210371-10-0)	(19.000)	36 827	699 72	(630 80)	68 92 S
8/15 8/18	Sale High Cost	STATE STREET CORP @ 34 9082 2,164.31 BROKERAGE 1.86 TAX &/OR SEC 04 CITIGROUP GLOBAL MKTS INC (ID 857477-10-3)	(62 000)	34 878	2,162 41	(2,703.78)	(541 37) S
8/16 8/19	Sale High Cost	BB & T CORP @ 20.9331 962 92 BROKERAGE 0.92 TAX &/OR SEC .02 MERRILL LYNCH PIERCE FENNER & SMIT (ID 054937-10-7)	(46 000)	20.913	961 98	(1,263 16)	(301 18) L
8/16 8/19	Sale High Cost	BB & T CORP @ 20.7726 1,225 58 BROKERAGE 1.77 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT (ID. 054937-10-7)	(59 000)	20 742	1,223 79	(1,620.14)	(396.35) L
8/16 8/19	Sale High Cost	APACHE CORP @ 104 0713 3,122 14 BROKERAGE 0.60 TAX &/OR SEC 06 UBS SECURITIES LLC (ID 037411-10-5)	(30.000)	104.049	3,121.48	(3,565 49)	(444 01) S
8/16 8/19	Sale High Cost	WALT DISNEY CO @ 33 3774 3,304.36 BROKERAGE 2.97 TAX &/OR SEC 06 MORGAN STANLEY & CO INCORPORATED (ID 254687-10-6)	(99.000)	33 347	3,301.33	(2,883 87)	417.46 L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
8/16 8/19	Sale High Cost	CONSTELLATION ENERGY GROUP INC @ 36 9508 517 31 BROKERAGE 0 42 TAX &/OR SEC 01 BAYPOINT TRADING LLC (ID 210371-10-0)	(14.000)	36 92	516 88	(464 80)	52 08 S
8/17 8/22	Sale High Cost	CONSTELLATION ENERGY GROUP INC @ 37.4857 524 80 BROKERAGE 0 42 TAX &/OR SEC 01 BAYPOINT TRADING LLC (ID 210371-10-0)	(14.000)	37.455	524 37	(464.79)	59.58 S
8/18 8/23	Sale High Cost	CONSTELLATION ENERGY GROUP INC @ 36.433 218 60 BROKERAGE 0 18 TAX &/OR SEC 01 BAYPOINT TRADING LLC (ID 210371-10-0)	(6.000)	36 402	218 41	(199 20)	19 21 S
8/18 8/23	Sale High Cost	FLUOR CORP @ 56 3395 563 39 BROKERAGE 0 20 TAX &/OR SEC .02 CITATION GROUP/BCC CLRG (ID 343412-10-2)	(10 000)	56 317	563.17	(692 10)	(128 93) S
8/18 8/23	Sale High Cost	LAM RESEARCH CORP @ 36 5635 1,828 18 BROKERAGE 1.50 TAX &/OR SEC 04 CREDIT SUISSE FIRST BOSTON LLC (ID. 512807-10-8)	(50 000)	36 533	1,826 64	(2,497 50)	(670 86) S
8/18 8/23	Sale High Cost	3M CO @ 77 6378 776.38 BROKERAGE 0 20 TAX &/OR SEC 02 CITATION GROUP/BCC CLRG (ID· 88579Y-10-1)	(10.000)	77 616	776.16	(906.50)	(130 34) S
8/19 8/24	Sale High Cost	HOLLYFRONTIER CORPORATION @ 67.3322 1,346 64 BROKERAGE 0 40 TAX &/OR SEC .03 UBS SECURITIES LLC (ID 436106-10-8)	(20.000)	67.311	1,346 21	(1,433 79)	(87.58) S
8/25 8/30	Sale High Cost	LAM RESEARCH CORP @ 36 5998 1,829 99 BROKERAGE 1 50 TAX &/OR SEC 04 CITATION GROUP/BCC CLRG (ID· 512807-10-8)	(50.000)	36 569	1,828.45	(2,390 25)	(561 80) S
9/9 9/14	Sale High Cost	PEPSICO INC @ 59.9967 1,799.90 BROKERAGE 0.90 TAX &/OR SEC .04 BMO NESBITT BURNS CORP (ID. 713448-10-8)	(30.000)	59.965	1,798.96	(2,040 05)	(241 09) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
9/16 9/16	LT Capital Gain Distribution	MANNING & NAPIER FUND INC WORLD OPPORTUNITIES SERIES FUND 09/15/11 LONG TERM CAPITAL GAINS @ 0 019 PER SHARE AS OF 09/15/11 (ID· 563821-54-5)	20,360 654	0.019	378 71		
9/16 9/21	Sale High Cost	BECTON DICKINSON & CO @ 77 3679 2,707.88 BROKERAGE 1 05 TAX &/OR SEC 06 CITATION GROUP/BCC CLRG (ID: 075887-10-9)	(35.000)	77 336	2,706.77	(2,972.90)	(266.13) S
9/16 9/21	Sale High Cost	3M CO @ 80.3935 5,225 58 BROKERAGE 1.30 TAX &/OR SEC 11 GARDNER RICH & COMPANY (ID 88579Y-10-1)	(65.000)	80 372	5,224.17	(5,536 75)	(312 58) S
9/19 9/22	Sale High Cost	PEPSICO INC @ 60 6182 5,758.73 BROKERAGE 2 85 TAX &/OR SEC 12 CREDIT SUISSE FIRST BOSTON LLC (ID. 713448-10-8)	(95.000)	60.587	5,755 76	(6,204.44)	(448 68) S
9/20 9/23	Sale High Cost	CAMERON INTERNATIONAL CORPORATION @ 51.7997 1,035 99 BROKERAGE 0 60 TAX &/OR SEC .02 CITATION GROUP/BCC CLRG (ID 13342B-10-5)	(20 000)	51 769	1,035 37	(903 80)	131 57 S
9/20 9/23	Sale High Cost	FREEPORT-MCMORAN COPPER & GOLD INC CL B @ 39 6032 990.08 BROKERAGE 0 50 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC (ID. 35671D-85-7)	(25 000)	39 582	989 56	(948 38)	41 18 L
9/20 9/23	Sale High Cost	XILINX CORP @ 30 4001 912 00 BROKERAGE 0.90 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC (ID 983919-10-1)	(30.000)	30.369	911 08	(991 11)	(80 03) S
9/26 9/26	Redemption Pro Rata	DB BREN SPX 09/26/11 10%BUFFER-2XLEV-8.4%CAP-16 8%MAXRTRN INITIAL LEVEL-9/3/10· SPX 1104 51 TO REDEMPTION (ID: 2515A0-6R-9)	(40,000 000)	116.80	46,720 00	(39,600 00)	7,120 00 L
9/21 9/26	Sale High Cost	CITIGROUP INC NEW @ 27 4589 1,922.12 BROKERAGE 1.40 TAX &/OR SEC .04 GARDNER RICH & COMPANY (ID 172967-42-4)	(70 000)	27.438	1,920.68	(3,370 64)	(1,449.96) L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
9/22 9/27	Sale High Cost	CITIGROUP INC NEW @ 23 9459 957 84 BROKERAGE 0 80 TAX &/OR SEC 02 GOLDMAN SACHS EXECUTION & CLEARING (ID· 172967-42-4)	(40 000)	23.926	957 02	(1,926 08)	(969.06) L
9/22 9/27	Sale High Cost	GOLDMAN SACHS GROUP INC @ 92.5421 925 42 BROKERAGE 0 30 TAX &/OR SEC 02 CITATION GROUP/BCC CLRG (ID· 38141G-10-4)	(10.000)	92 51	925 10	(1,631 70)	(706 60) S
9/22 9/27	Sale High Cost	CARNIVAL CORPORATION @ 31.2124 1,248 50 BROKERAGE 1 20 TAX &/OR SEC 03 MORGAN STANLEY & CO INCORPORATED (ID 143658-30-0)	(40.000)	31.182	1,247.27	(1,442.00)	(194 73) S
9/22 9/27	Sale High Cost	FLUOR CORP @ 48.9673 2,693 20 BROKERAGE 1 65 TAX &/OR SEC 06 BARCLAYS CAPITAL LE (ID 343412-10-2)	(55.000)	48 936	2,691.49	(3,718.80)	(1,027.31) S
9/28 10/3	Sale High Cost	E I DU PONT DE NEMOURS & CO @ 41 5993 831 99 BROKERAGE 0 40 TAX &/OR SEC 02 SANFORD C BERNSTEIN & CO INC (SCB (ID. 263534-10-9)	(20 000)	41 579	831 57	(957 48)	(125 91) S
9/28 10/3	Sale High Cost	FREEPORT-MCMORAN COPPER & GOLD INC CL B @ 32.8566 985 70 BROKERAGE 0.60 TAX &/OR SEC 02 CITIGROUP GLOBAL MKTS INC (ID· 35671D-85-7)	(30 000)	32.836	985.08	(1,138.05)	(152.97) L
9/28 10/3	Sale High Cost	CVS CAREMARK CORPORATION @ 34 3893 1,719 47 BROKERAGE 1 50 TAX &/OR SEC .04 FIRST UNION CAPITAL MARKETS (ID: 126650-10-0)	(50.000)	34.359	1,717.93	(1,495.50)	222.43 L
9/29 10/4	Sale High Cost	BAIDU INC SPONS ADR @ 109.829 1,098 29 BROKERAGE 0 20 TAX &/OR SEC 02 SANFORD C BERNSTEIN & CO INC.(SCB (ID. 056752-10-8)	(10.000)	109.807	1,098.07	(975 60)	122 47 L
9/29 10/4	Sale High Cost	FREEPORT-MCMORAN COPPER & GOLD INC CL B @ 31 6823 792 06 BROKERAGE 0.50 TAX &/OR SEC 02 SANFORD C BERNSTEIN & CO INC (SCB (ID 35671D-85-7)	(25.000)	31.662	791.54	(948.37)	(156.83) L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
9/29 10/4	Sale High Cost	E I DU PONT DE NEMOURS & CO @ 41.1073 1,849 83 BROKERAGE 1 35 TAX &/OR SEC 04 BMO NESBITT BURNS CORP (ID. 263534-10-9)	(45 000)	41 076	1,848 44	(1,936 57)	33 46 L (121.59) S
9/29 10/4	Sale High Cost	HOLLYFRONTIER CORPORATION @ 24.9492 1,197 56 BROKERAGE 1 44 TAX &/OR SEC .03 BMO NESBITT BURNS CORP (ID· 436106-10-8)	(48.000)	24.919	1,196.09	(1,717.82)	(521 73) S
9/29 10/4	Sale High Cost	SPRINT NEXTEL CORP @ 3 1554 867.74 BROKERAGE 2 75 TAX &/OR SEC 02 GARDNER RICH & COMPANY (ID 852061-10-0)	(275 000)	3.145	864 97	(1,303 73)	(42 47) L (396 29) S
9/30 10/5	Sale High Cost	COACH INC @ 51 579 2,063.16 BROKERAGE 1.20 TAX &/OR SEC .04 MORGAN STANLEY & CO. INCORPORATED (ID 189754-10-4)	(40.000)	51 548	2,061 92	(2,359 60)	(297 68) S
10/5 10/11	Sale High Cost	MASTERCARD INC - CLASS A @ 307 0364 1,228 15 BROKERAGE 0.08 TAX &/OR SEC 03 MORGAN STANLEY & CO INCORPORATED (ID 57636Q-10-4)	(4 000)	307.01	1,228.04	(908 88)	319.16 L
10/5 10/11	Sale High Cost	COACH INC @ 52 5671 2,628 36 BROKERAGE 1.50 TAX &/OR SEC 06 PIPER JAFFRAY & CO (ID 189754-10-4)	(50.000)	52.536	2,626.80	(1,692.50)	934.30 L
10/6 10/12	Sale High Cost	CONOCOPHILLIPS @ 63.6272 3,181 36 BROKERAGE 1 50 TAX &/OR SEC .08 MORGAN STANLEY & CO INCORPORATED (ID 20825C-10-4)	(50.000)	63.596	3,179 78	(3,443.82)	(264.04) S
10/14 10/19	Sale High Cost	EOG RESOURCES INC @ 82 6722 1,240 08 BROKERAGE 0 45 TAX &/OR SEC 03 BAYPOINT TRADING LLC (ID· 26875P-10-1)	(15.000)	82 64	1,239 60	(1,376.55)	(136 95) L
10/14 10/20	Sale High Cost	NEXTERA ENERGY INC @ 54 7353 4,378 82 BROKERAGE 2.40 TAX &/OR SEC .09 BAYPOINT TRADING LLC (ID 65339F-10-1)	(80 000)	54 704	4,376.33	(4,279.18)	97 15 L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
10/17 10/20	Sale High Cost	MORGAN STANLEY @ 15.1795 1,517.95 BROKERAGE 3.00 TAX &/OR SEC .03 GOLDMAN SACHS & CO (ID 617446-44-8)	(100 000)	15 149	1,514 92	(2,751.99)	(1,237.07) L
10/17 10/20	Sale High Cost	MORGAN STANLEY @ 15 135 1,210 80 BROKERAGE 2.40 TAX &/OR SEC 03 GOLDMAN SACHS & CO. (ID· 617446-44-8)	(80.000)	15.105	1,208.37	(1,178.45)	(124 15) L 154 07 S
10/20 10/25	Sale High Cost	SPRINT NEXTEL CORP @ 2.7327 1,093 08 BROKERAGE 4 00 TAX &/OR SEC 03 UBS SECURITIES LLC (ID 852061-10-0)	(400 000)	2.723	1,089.05	(1,428 00)	(338 95) L
10/21 10/26	Sale High Cost	SPRINT NEXTEL CORP @ 2.7301 204 76 BROKERAGE 0.75 TAX &/OR SEC .01 CREDIT SUISSE FIRST BOSTON LLC (ID. 852061-10-0)	(75 000)	2 72	204 00	(267.75)	(63.75) L
10/21 10/26	Sale High Cost	SPRINT NEXTEL CORP @ 2 7597 275 97 BROKERAGE 1.00 TAX &/OR SEC .01 UBS SECURITIES LLC (ID. 852061-10-0)	(100.000)	2 75	274 96	(357 00)	(82 04) L
10/25 10/28	Sale High Cost	VERIFONE SYSTEMS, INC. @ 40 0495 1,802.23 BROKERAGE 1 35 TAX &/OR SEC 04 UBS SECURITIES LLC (ID 92342Y-10-9)	(45.000)	40 019	1,800.84	(1,969.65)	(168 81) S
10/27 11/1	Sale High Cost	VANGUARD MSCI EMERGING MARKETS ETF @ 42 8719 85,743 80 BROKERAGE 40 00 TAX &/OR SEC 1 65 CREDIT SUISSE FIRST BOSTON LLC (ID: 922042-85-8)	(2,000.000)	42.851	85,702.15	(92,669.80)	(6,967 65) L
10/27 11/1	Sale High Cost	ISHARES MSCI EAFE INDEX FUND @ 55 0554 41,291 55 BROKERAGE 15 00 TAX &/OR SEC .79 SANFORD C. BERNSTEIN & CO.INC.(SCB (ID 464287-46-5)	(750 000)	55.034	41,275 76	(46,012 50)	(4,736.74) S
10/28 11/2	Sale High Cost	VERIZON COMMUNICATIONS INC @ 37 5405 2,627.84 BROKERAGE 2 10 TAX &/OR SEC 06 CREDIT SUISSE FIRST BOSTON LLC (ID· 92343V-10-4)	(70.000)	37.51	2,625 68	(1,897 54)	728.14 L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
10/28 11/2	Sale High Cost	PUBLIC SERVICE ENTERPRISE GROUP @ 34.0113 2,550 85 BROKERAGE 2 25 TAX &/OR SEC 05 BARCLAYS CAPITAL LE (ID· 744573-10-6)	(75 000)	33 981	2,548 55	(2,399.93)	148.62 S
10/31 11/3	Sale High Cost	CVS CAREMARK CORPORATION @ 36 6668 2,933 34 BROKERAGE 2 40 TAX &/OR SEC 06 GOLDMAN SACHS & CO (ID 126650-10-0)	(80 000)	36 636	2,930.88	(2,392 80)	538.08 L
11/1 11/4	Sale High Cost	NOVELLUS SYSTEMS INC @ 33 5974 1,679.87 BROKERAGE 1 00 TAX &/OR SEC 04 UBS SECURITIES LLC (ID 670008-10-1)	(50.000)	33 577	1,678.83	(1,704.39)	(25 56) S
11/2 11/7	Sale High Cost	MONSANTO CO @ 71 4023 2,142 07 BROKERAGE 0 90 TAX &/OR SEC .05 UBS SECURITIES LLC (ID. 61166W-10-1)	(30 000)	71.371	2,141.12	(2,225 31)	(84 19) S
11/3 11/8	Sale High Cost	DENDREON CORP @ 6 6067 660.67 BROKERAGE 2 00 TAX &/OR SEC .02 UBS SECURITIES LLC (ID 24823Q-10-7)	(100 000)	6 587	658 65	(3,955 00)	(3,296 35) S
11/8 11/14	Sale High Cost	E I DU PONT DE NEMOURS & CO @ 49 0837 1,472.51 BROKERAGE 0 90 TAX &/OR SEC 03 CITIGROUP GLOBAL MKTS INC (ID· 263534-10-9)	(30.000)	49.053	1,471.58	(1,173 24)	298.34 L
11/8 11/14	Sale High Cost	NORFOLK SOUTHERN CORP @ 73.3597 3,667 99 BROKERAGE 1 50 TAX &/OR SEC 08 CITIGROUP GLOBAL MKTS INC (ID 655844-10-8)	(50.000)	73 328	3,666.41	(2,349.79)	1,316 62 L
11/9 11/15	Sale High Cost	COLGATE PALMOLIVE CO @ 87 804 2,195 10 BROKERAGE 0 50 TAX &/OR SEC 05 GOLDMAN SACHS EXECUTION & CLEARING (ID. 194162-10-3)	(25 000)	87 782	2,194.55	(2,181.62)	12 93 S
11/28 12/1	Sale High Cost	KELLOGG CO @ 48 55 2,184 75 BROKERAGE 1 35 TAX &/OR SEC .05 BMO NESBITT BURNS CORP (ID 487836-10-8)	(45 000)	48 519	2,183 35	(2,199.15)	(15 80) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
12/5 12/8	Sale High Cost	AMERICAN EXPRESS CO @ 48.9516 2,937.10 BROKERAGE 1 20 TAX &/OR SEC 06 GOLDMAN SACHS EXECUTION & CLEARING (ID· 025816-10-9)	(60 000)	48 931	2,935.84	(2,537.99)	397 85 L
12/5 12/8	Sale High Cost	TE CONNECTIVITY LTD @ 32 1136 1,926 82 BROKERAGE 1.80 TAX &/OR SEC 04 GOLDMAN SACHS & CO (ID H84989-10-4)	(60 000)	32 083	1,924 98	(2,121 60)	(196 62) S
12/9 12/12	Sale High Cost	JPM INTL CURRENCY INCOME FD JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 11 18 (ID 4812A3-29-6)	(4,141.324)	11.18	46,300 00	(48,244 14)	46 45 L (1,990 59) S
12/9 12/12	Sale High Cost	DREYFUS/LAUREL FDS TR PRM EMRGN MK I (ID 261980-49-4)	(1,313.280)	13.63	17,900.00	(19,974 99)	(2,074.99) S
12/9 12/12	Sale High Cost	MANNING & NAPIER FUND INC WORLD OPPORTUNITIES SERIES FUND (ID· 563821-54-5)	(6,298 920)	7.34	46,234.07	(54,100.00)	(7,865 93) S
12/9 12/12	Sale High Cost	ARTIO INTERNATIONAL EQUITY II - I (ID. 04315J-83-7)	(3,920.525)	10.03	39,322 87	(38,813 20)	509.67 L
12/9 12/12	Sale High Cost	JOHN HANCOCK II-EMG MKTS-I (ID· 47804B-19-5)	(7,935.429)	9.47	75,148.51	(93,400 00)	(18,251 49) S
12/9 12/12	Sale High Cost	DODGE & COX INTERNATIONAL STOCK (ID. 256206-10-3)	(5,545 700)	30.70	170,252.99	(199,100.00)	(28,847 01) S
12/9 12/14	Sale High Cost	SPECTRA ENERGY CORPORATION W/I @ 29.4811 2,948 11 BROKERAGE 3 00 TAX &/OR SEC 06 BARCLAYS CAPITAL LE (ID 847560-10-9)	(100.000)	29 451	2,945.05	(2,546 27)	398 78 S
12/9 12/14	Sale High Cost	SEMPRA ENERGY @ 52 618 2,893.99 BROKERAGE 1.10 TAX &/OR SEC 06 CREDIT SUISSE FIRST BOSTON LLC (ID 816851-10-9)	(55 000)	52 597	2,892 83	(2,869 84)	22 99 S
12/9 12/14	Sale High Cost	SPDR S&P 500 ETF TRUST @ 126.0029 44,101.02 BROKERAGE 7.00 TAX &/OR SEC 85 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 78462F-10-3)	(350 000)	125 98	44,093.17	(31,506.51)	12,586 66 L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
12/12 12/15	Sale High Cost	SPECTRA ENERGY CORPORATION W/I @ 29 1581 1,457 91 BROKERAGE 1 50 TAX &/OR SEC 03 MORGAN STANLEY & CO INCORPORATED (ID 847560-10-9)	(50.000)	29 128	1,456 38	(1,218.62)	237.76 S
12/16 12/16	LT Capital Gain Distribution	JPM INTL CURRENCY INCOME FD LONG TERM CAPITAL GAINS @ 0.00022 (ID: 4812A3-29-6)	7,878 205		1 73		
12/16 12/16	LT Capital Gain Distribution	JPM HIGH YIELD FD - SEL LONG TERM CAPITAL GAINS @ 0.09686 (ID· 4812C0-80-3)	22,634.770	0.097	2,192 40		
12/16 12/16	LT Capital Gain Distribution	JPM SHORT DURATION BOND FD - SEL LONG TERM CAPITAL GAINS @ 0 01414 (ID 4812C1-33-0)	12,691.500	0.014	179 46		
12/16 12/16	LT Capital Gain Distribution	MANNING & NAPIER FUND INC WORLD OPPORTUNITIES SERIES FUND 12/15/11 LONG TERM CAPITAL GAINS @ 0.288 PER SHARE AS OF 12/15/11 (ID: 563821-54-5)	16,861 734	0 288	4,857.87		
12/16 12/16	LT Capital Gain Distribution	HIGHBRIDGE DYNAMIC COMM STRG FD -SEL LONG TERM CAPITAL GAINS @ 0 06183 (ID. 48121A-67-0)	7,299.835	0.062	451 35		
12/19 12/19	LT Capital Gain Distribution	ARTISAN INTL VALUE FUND - INV 12/15/11 LONG TERM CAPITAL GAINS @ 0.068 PER SHARE AS OF 12/15/11 (ID· 04314H-88-1)	4,994.614	0.068	340.13		
12/15 12/20	Sale High Cost	NOVELLUS SYSTEMS INC @ 41 2028 2,060.14 BROKERAGE 1.50 TAX &/OR SEC 04 UBS SECURITIES LLC (ID: 670008-10-1)	(50.000)	41.172	2,058.60	(1,458.51)	600 09 S
12/20 12/20	LT Capital Gain Distribution	T ROWE PRICE INTERNATIONAL FUNDS INC NEW ASIA FUND 12/19/11 LONG TERM CAPITAL GAINS @ 2 730 PER SHARE AS OF 12/19/11 (ID· 77956H-50-0)	7,123.000	2.73	19,445.79		
12/22 12/22	LT Capital Gain Distribution	COLUMBIA FDS SER TR II MASS ASIA PC JP R5 12/20/11 LONG TERM CAPITAL GAINS @ 0.485 PER SHARE AS OF 12/20/11 (ID. 19763P-57-2)	3,418 210	0.485	1,657 32		

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
12/23 12/23	LT Capital Gain Distribution	DELAWARE EMERGING MARKETS FUND 12/22/11 LONG TERM CAPITAL GAINS @ 0.114 PER SHARE AS OF 12/22/11 (ID 245914-81-7)	3,340 944	0.114	380 87		
12/23 12/23	LT Capital Gain Distribution	DREYFUS/LAUREL FDS TR PRM EMRGN MK I 12/21/11 LONG TERM CAPITAL GAINS @ 0 065 PER SHARE AS OF 12/21/11 (ID 261980-49-4)	4,871.322	0.065	316 64		
12/21 12/27	Sale High Cost	ST JUDE MEDICAL INC @ 33 527 2,179 26 BROKERAGE 1 95 TAX &/OR SEC 05 PIPER JAFFRAY & CO (ID 790849-10-3)	(65 000)	33.496	2,177.26	(3,154.45)	(977.19) S
12/30 12/30	LT Capital Gain Distribution	ASTON OPTIMUM MID CAP FUND I 12/29/11 LONG TERM CAPITAL GAINS @ 0.207 PER SHARE AS OF 12/29/11 (ID 00078H-15-8)	4,217.407	0.207	873 42		
Total Settled Sales/Maturities/Redemptions					**$2,567,431.93**	**($2,260,222.34)**	**$339,804.38 L** **($63,670.48) S**

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
1/5 1/6	Purchase	JPM ASIA EQUITY FD - SEL JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 38 61 (ID. 4812A0-70-6)	1,188 811	38.61	(45,900 00)
1/5 1/6	Purchase	JPMORGAN TR I GROWTH ADVANTAGE FUND SELECT SHARE CLASS FUND 1567 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 8 95 (ID 4812A3-71-8)	10,256 983	8 95	(91,800 00)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
1/5 1/6	Purchase	HIGHBRIDGE DYNAMIC COMM STRG FD -SEL JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 18 91 (ID 48121A-67-0)	2,242.200	18.91	(42,400 00)
1/5 1/10	Purchase	ISHARES BARCLAYS TIPS BOND FUND @ 107 1198 45,525 92 BROKERAGE 8.50 AUTOMATED TRADING DESK FINK SER TR (ID. 464287-17-6)	425.000	107.14	(45,534 42)
1/5 1/10	Purchase	VANGUARD EMERGING MARKET ETF @ 48.6675 43,800.75 BROKERAGE 18 00 SANFORD C BERNSTEIN & CO.INC (SCB (ID: 922042-85-8)	900 000	48.688	(43,818.75)
1/31 2/3	Purchase	BANK OF AMERICA CORP @ 13.6954 10,956 32 BROKERAGE 16 00 SANFORD C. BERNSTEIN & CO.INC (SCB (ID: 060505-10-4)	800.000	13.715	(10,972 32)
1/31 2/3	Purchase	FLUOR CORP @ 69.19 3,459 50 BROKERAGE 1.00 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 343412-10-2)	50.000	69.21	(3,460.50)
1/31 2/3	Purchase	LAM RESEARCH CORP @ 49 93 3,744 75 BROKERAGE 1.50 INVESTMENT TECHNOLOGY GROUP (ITG) (ID: 512807-10-8)	75 000	49.95	(3,746.25)
1/31 2/3	Purchase	XILINX CORP @ 32.3167 4,847.50 BROKERAGE 3.00 INVESTMENT TECHNOLOGY GROUP (ITG) (ID· 983919-10-1)	150 000	32.337	(4,850.50)
1/31 2/3	Purchase	APACHE CORP @ 118 8298 11,882 98 BROKERAGE 2.00 AUTOMATED TRADING DESK FINK SER TR (ID 037411-10-5)	100.000	118.85	(11,884.98)
1/31 2/3	Purchase	FIFTH THIRD BANCORP @ 14.9899 3,372.73 BROKERAGE 4 50 AUTOMATED TRADING DESK FINK SER TR (ID 316773-10-0)	225 000	15.01	(3,377 23)
2/7 2/8	Purchase	ARTISAN INTL VALUE FUND - INV (ID· 04314H-88-1)	4,994.614	27 85	(139,100.00)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
2/11 2/16	Purchase	DB REN SPX 2/29/12 2XLEV-8 5%CAP-17%MAXPYMT INITIAL LEVEL-2/11/11 SPX· 1329.15 @ 99 00 DEUTSCHE BANC ALEX BROWN INC (ID· 2515A1-3T-6)	70,000.000	99.00	(69,300 00)
2/11 2/17	Purchase	CS BREN EAFE 2/29/12 10%BUFFER-2XLEV-7.653%CAP-15 306%MAXRTRN INITIAL LEVEL-2/11/11·SX5E 3024 37 UKX·6062 90 TPX. 959.19 @ 99 00 CREDIT SUISSE FIRST BOSTON LLC (ID 22546E-V6-8)	45,000.000	99.00	(44,550 00)
3/1 3/4	Purchase	GOLDMAN SACHS GROUP INC @ 163 15 12,236 25 BROKERAGE 1 50 AUTOMATED TRADING DESK FINK SER TR (ID. 38141G-10-4)	75.000	163 17	(12,237 75)
3/11 3/16	Purchase	SG REN SPX 3/28/12 2XLEV-9 2%CAP-18.4%MAXPYMT INITIAL LEVEL-3/11/11 SPX 1304.28 @ 99 00 SG AMERICAS SECURITIES, LLC (ID. 78423A-J9-4)	70,000.000	99 00	(69,300.00)
3/11 3/16	Purchase	DB BREN EAFE 3/28/12 10%BUFFER-2XLEV-7.85%CAP-15 7%MAXRTRN INITIAL LEVEL-3/11/11 SX5E·2883 84 UKX:5828 67 TPX.915.51 @ 99 00 DEUTSCHE BANC ALEX BROWN INC (ID 2515A1-4X-6)	45,000.000	99 00	(44,550 00)
4/8 4/13	Purchase	GS BREN EAFE 04/25/12 10%BUFFER-2 XLEV- 7 4%CAP 14.8%MAXRTRN INITIAL LEVEL-04/08/11 SX5E 2984 66 UKX·6055 75 TPX 853 13 @ 99 00 GOLDMAN SACHS & CO (ID. 38143U-TT-8)	50,000.000	99 00	(49,500 00)
4/8 4/14	Purchase	DB REN SPX 04/25/12 2 XLEV- 8 65%CAP-17.3%MAXPYMT INITIAL LEVEL-04/08/11 SPX.1328 17 @ 99 00 DEUTSCHE BANC ALEX BROWN INC (ID· 2515A1-5R-8)	70,000 000	99 00	(69,300.00)
4/21 4/27	Purchase	CENTERPOINT ENERGY INC @ 18 2099 4,552.47 BROKERAGE 5 01 AUTOMATED TRADING DESK FINK SER TR (ID 15189T-10-7)	250 000	18 23	(4,557.48)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
4/21 4/27	Purchase	GENERAL ELECTRIC CO @ 19 90 5,472 50 BROKERAGE 5 50 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 369604-10-3)	275.000	19 92	(5,478.00)
4/21 4/27	Purchase	BROADCOM CORP CL A @ 40.36 3,027 00 BROKERAGE 1 50 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 111320-10-7)	75.000	40 38	(3,028.50)
4/21 4/27	Purchase	CONSTELLATION ENERGY GROUP INC @ 33 1798 2,488 49 BROKERAGE 1.50 AUTOMATED TRADING DESK FINK SER TR (ID 210371-10-0)	75.000	33.20	(2,489 99)
5/13 5/16	Purchase	JPM ASIA EQUITY FD - SEL JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 37 66 (ID. 4812A0-70-6)	2,594 264	37.66	(97,700 00)
5/13 5/16	Purchase	JPM TR I MLT SC INC - SEL JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 10 11 (ID. 48121A-29-0)	4,708.210	10.11	(47,600.00)
5/13 5/16	Purchase	DODGE & COX INTERNATIONAL STOCK (ID 256206-10-3)	3,862 591	36 97	(142,800 00)
5/13 5/16	Purchase	MANNING & NAPIER FUND INC WORLD OPPORTUNITIES SERIES FUND (ID. 563821-54-5)	3,498.920	9.26	(32,400.00)
5/13 5/18	Purchase	VANGUARD MSCI EMERGING MARKETS ETF @ 48.0514 16,817 99 BROKERAGE 7.00 CREDIT SUISSE FIRST BOSTON LLC (ID· 922042-85-8)	350.000	48.071	(16,824.99)
5/13 5/18	Purchase	ISHARES MSCI EAFE INDEX FUND @ 61 33 45,997 50 BROKERAGE 15 00 SANFORD C BERNSTEIN & CO INC (SCB (ID 464287-46-5)	750.000	61 35	(46,012.50)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/3 6/8	Purchase	GS BREN EAFE 06/20/12 10%BUFFER-2 XLEV-7 81%CAP 15.62%MAXRTRN INITIAL LEVEL-06/03/11.SX5E.2789 11 UKX:5855 01 TPX 816 57 @ 99.00 JP MORGAN SECURITIES LLC F.I (ID 38143U-VP-3)	45,000 000	99.00	(44,550.00)
6/8 6/13	Purchase	MICROSOFT CORP @ 23.9125 19,369 13 BROKERAGE 16.20 SANFORD C BERNSTEIN & CO INC.(SCB (ID 594918-10-4)	810 000	23 933	(19,385 33)
6/8 6/13	Purchase	TE CONNECTIVITY LTD @ 35 34 2,120 40 BROKERAGE 1.20 INVESTMENT TECHNOLOGY GROUP (ITG) (ID· H84989-10-4)	60.000	35.36	(2,121.60)
6/8 6/13	Purchase	TYCO INTERNATIONAL LTD @ 47 17 6,839.65 BROKERAGE 2.90 INVESTMENT TECHNOLOGY GROUP (ITG) (ID H89128-10-4)	145.000	47.19	(6,842.55)
6/8 6/13	Purchase	ADOBE SYSTEMS INC @ 32 3923 4,211.00 BROKERAGE 2 60 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 00724F-10-1)	130.000	32.412	(4,213 60)
6/8 6/13	Purchase	APPLE INC. @ 333.59 1,667.95 BROKERAGE 0.10 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 037833-10-0)	5 000	333 61	(1,668.05)
6/8 6/13	Purchase	BECTON DICKINSON & CO @ 84 92 2,972 20 BROKERAGE 0.70 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 075887-10-9)	35 000	84 94	(2,972.90)
6/8 6/13	Purchase	CME GROUP INC CLASS A COMMON STOCK @ 263.17 1,315 85 BROKERAGE 0 10 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 12572Q-10-5)	5.000	263.19	(1,315.95)
6/8 6/13	Purchase	CAMPBELL SOUP COMPANY @ 33 58 839.50 BROKERAGE 0 50 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 134429-10-9)	25 000	33.60	(840 00)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/8 6/13	Purchase	CARNIVAL CORPORATION @ 36 03 2,522 10 BROKERAGE 1.40 INVESTMENT TECHNOLOGY GROUP (ITG) (ID· 143658-30-0)	70.000	36.05	(2,523 50)
6/8 6/13	Purchase	CITIGROUP INC NEW @ 37.70 188 50 BROKERAGE 0.10 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 172967-42-4)	5 000	37.72	(188 60)
6/8 6/13	Purchase	COACH INC @ 58.97 2,358 80 BROKERAGE 0 80 INVESTMENT TECHNOLOGY GROUP (ITG) (ID: 189754-10-4)	40 000	58.99	(2,359 60)
6/8 6/13	Purchase	CONOCOPHILLIPS @ 71 62 1,432.40 BROKERAGE 0 40 INVESTMENT TECHNOLOGY GROUP (ITG) (ID· 20825C-10-4)	20.000	71.64	(1,432 80)
6/8 6/13	Purchase	DEVON ENERGY CORP @ 80.61 4,030 50 BROKERAGE 1 00 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 25179M-10-3)	50.000	80.63	(4,031 50)
6/8 6/13	Purchase	EMERSON ELECTRIC CO @ 51 2799 2,051 20 BROKERAGE 0.80 INVESTMENT TECHNOLOGY GROUP (ITG) (ID: 291011-10-4)	40 000	51.30	(2,052.00)
6/8 6/13	Purchase	GENERAL MILLS INC @ 37 765 1,132 95 BROKERAGE 0 60 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 370334-10-4)	30.000	37.785	(1,133 55)
6/8 6/13	Purchase	GLOBAL PAYMENTS INC @ 49.47 2,968 20 BROKERAGE 1 20 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 37940X-10-2)	60.000	49.49	(2,969 40)
6/8 6/13	Purchase	HUMANA INC @ 77.50 5,037 50 BROKERAGE 1.30 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 444859-10-2)	65 000	77.52	(5,038.80)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/8 6/13	Purchase	JOHNSON CONTROLS INC @ 36 02 1,981 10 BROKERAGE 1 10 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 478366-10-7)	55 000	36 04	(1,982 20)
6/8 6/13	Purchase	LENNAR CORP @ 16 89 337.80 BROKERAGE 0.40 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 526057-10-4)	20 000	16.91	(338.20)
6/8 6/13	Purchase	MERCK AND CO INC @ 35.51 1,597 95 BROKERAGE 0 90 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 58933Y-10-5)	45 000	35 53	(1,598 85)
6/8 6/13	Purchase	PEPSICO INC @ 68.52 2,398 20 BROKERAGE 0 70 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 713448-10-8)	35.000	68 54	(2,398 90)
6/8 6/13	Purchase	SEMPRA ENERGY @ 52.75 263.75 BROKERAGE 0 10 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 816851-10-9)	5 000	52.77	(263 85)
6/8 6/13	Purchase	STATE STREET CORP @ 42.39 423.90 BROKERAGE 0.20 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 857477-10-3)	10.000	42.41	(424.10)
6/8 6/13	Purchase	TIME WARNER INC NEW @ 34.925 6,286 50 BROKERAGE 3.60 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 887317-30-3)	180.000	34.945	(6,290.10)
6/8 6/13	Purchase	VERIFONE SYSTEMS, INC @ 43 75 1,968.75 BROKERAGE 0 90 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 92342Y-10-9)	45 000	43.77	(1,969 65)
6/8 6/13	Purchase	WILLIAMS COMPANIES INC @ 29 8513 3,432 90 BROKERAGE 2 30 INVESTMENT TECHNOLOGY GROUP (ITG) (ID· 969457-10-0)	115 000	29.871	(3,435.20)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/8 6/13	Purchase	YUM BRANDS INC @ 53 89 808.35 BROKERAGE 0.30 INVESTMENT TECHNOLOGY GROUP (ITG) (ID 988498-10-1)	15 000	53.91	(808 65)
6/8 6/13	Purchase	ACE LTD NEW @ 66 73 1,000 95 BROKERAGE 0.30 AUTOMATED TRADING DESK FINK SER TR (ID H0023R-10-5)	15.000	66 75	(1,001.25)
6/8 6/13	Purchase	ABBOTT LABORATORIES @ 51 2999 8,207.98 BROKERAGE 3 20 AUTOMATED TRADING DESK FINK SER TR (ID: 002824-10-0)	160.000	51.32	(8,211.18)
6/8 6/13	Purchase	AMAZON COM INC @ 188 32 941 60 BROKERAGE 0.10 AUTOMATED TRADING DESK FINK SER TR (ID· 023135-10-6)	5 000	188.34	(941 70)
6/8 6/13	Purchase	BANK OF AMERICA CORP @ 10.6799 694 19 BROKERAGE 1.30 AUTOMATED TRADING DESK FINK SER TR (ID. 060505-10-4)	65.000	10 70	(695.49)
6/8 6/13	Purchase	CBS CORP CLASS B W/I @ 26.2299 2,754.14 BROKERAGE 2.10 AUTOMATED TRADING DESK FINK SER TR (ID: 124857-20-2)	105 000	26 25	(2,756.24)
6/8 6/13	Purchase	CAMERON INTERNATIONAL CORPORATION @ 45.17 3,387.75 BROKERAGE 1 50 AUTOMATED TRADING DESK FINK SER TR (ID 13342B-10-5)	75.000	45.19	(3,389.25)
6/8 6/13	Purchase	CARDINAL HEALTH INC @ 43 82 2,629.20 BROKERAGE 1 20 AUTOMATED TRADING DESK FINK SER TR (ID· 14149Y-10-8)	60.000	43.84	(2,630 40)
6/8 6/13	Purchase	CISCO SYSTEMS INC @ 15 2899 5,122.12 BROKERAGE 6 70 AUTOMATED TRADING DESK FINK SER TR (ID 17275R-10-2)	335.000	15 31	(5,128 82)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/8 6/13	Purchase	COLGATE PALMOLIVE CO @ 84.01 840 10 BROKERAGE 0 20 AUTOMATED TRADING DESK FINK SER TR (ID· 194162-10-3)	10.000	84.03	(840.30)
6/8 6/13	Purchase	DENDREON CORP @ 39 53 3,953 00 BROKERAGE 2 00 AUTOMATED TRADING DESK FINK SER TR (ID· 24823Q-10-7)	100.000	39 55	(3,955 00)
6/8 6/13	Purchase	GENERAL MOTORS CO @ 29 1799 2,917 99 BROKERAGE 2 00 AUTOMATED TRADING DESK FINK SER TR (ID 37045V-10-0)	100.000	29 20	(2,919 99)
6/8 6/13	Purchase	HONEYWELL INTERNATIONAL INC @ 56 48 3,671.20 BROKERAGE 1.30 AUTOMATED TRADING DESK FINK SER TR (ID· 438516-10-6)	65.000	56.50	(3,672.50)
6/8 6/13	Purchase	LAM RESEARCH CORP @ 45.64 1,141.00 BROKERAGE 0 50 AUTOMATED TRADING DESK FINK SER TR (ID. 512807-10-8)	25.000	45.66	(1,141.50)
6/8 6/13	Purchase	LOWES COMPANIES INC @ 22.71 113 55 BROKERAGE 0 10 AUTOMATED TRADING DESK FINK SER TR (ID· 548661-10-7)	5.000	22.73	(113.65)
6/8 6/13	Purchase	METLIFE INC @ 41.3999 6,830 98 BROKERAGE 3 30 AUTOMATED TRADING DESK FINK SER TR (ID 59156R-10-8)	165.000	41.42	(6,834.28)
6/8 6/13	Purchase	PRUDENTIAL FINANCIAL INC @ 59.40 297.00 BROKERAGE 0.10 AUTOMATED TRADING DESK FINK SER TR (ID· 744320-10-2)	5 000	59.42	(297.10)
6/8 6/13	Purchase	ST JUDE MEDICAL INC @ 48 51 3,153 15 BROKERAGE 1 30 AUTOMATED TRADING DESK FINK SER TR (ID· 790849-10-3)	65.000	48.53	(3,154 45)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/8 6/13	Purchase	SCHLUMBERGER LTD @ 83 4598 14,605.47 BROKERAGE 3 50 AUTOMATED TRADING DESK FINK SER TR (ID 806857-10-8)	175.000	83.48	(14,608.97)
6/8 6/13	Purchase	SPRINT NEXTEL CORP @ 5 3899 943.23 BROKERAGE 3.50 AUTOMATED TRADING DESK FINK SER TR (ID 852061-10-0)	175.000	5.41	(946 73)
6/8 6/13	Purchase	3M CO @ 90 6299 2,265 75 BROKERAGE 0 50 AUTOMATED TRADING DESK FINK SER TR (ID 88579Y-10-1)	25.000	90.65	(2,266 25)
6/8 6/13	Purchase	US BANCORP DEL @ 24 1399 4,707 28 BROKERAGE 3 90 AUTOMATED TRADING DESK FINK SER TR (ID 902973-30-4)	195 000	24.16	(4,711.18)
6/8 6/13	Purchase	WELLS FARGO & CO @ 25 96 1,298 00 BROKERAGE 1 00 AUTOMATED TRADING DESK FINK SER TR (ID 949746-10-1)	50.000	25.98	(1,299.00)
6/8 6/13	Purchase	XILINX CORP @ 33 63 1,849.65 BROKERAGE 1 11 AUTOMATED TRADING DESK FINK SER TR (ID: 983919-10-1)	55.000	33.65	(1,850 76)
6/8 6/14	Purchase	SANDISK CORP @ 42 82 1,070.50 BROKERAGE 0.50 INVESTMENT TECHNOLOGY GROUP (ITG) (ID: 80004C-10-1)	25.000	42.84	(1,071.00)
6/8 6/14	Purchase	CITRIX SYSTEMS INC @ 80.17 3,206 80 BROKERAGE 0 80 AUTOMATED TRADING DESK FINK SER TR (ID· 177376-10-0)	40.000	80.19	(3,207.60)
6/8 6/14	Purchase	EOG RESOURCES INC @ 110 16 4,406 40 BROKERAGE 0 80 AUTOMATED TRADING DESK FINK SER TR (ID: 26875P-10-1)	40.000	110.18	(4,407 20)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/8 6/14	Purchase	FLUOR CORP @ 63 34 950 10 BROKERAGE 0 30 AUTOMATED TRADING DESK FINK SER TR (ID· 343412-10-2)	15.000	63 36	(950 40)
6/10 6/15	Purchase	HSBC CONT BUFF EQ SPX 06/27/12 80% CONTIN BARRIER- 2 35%CPN 20% CAP INITIAL LEVEL-06/10/11 SPX:1,270 98 @ 99.00 JP MORGAN SECURITIES LLC F I. (ID 4042K1-JV-6)	70,000.000	99 00	(69,300.00)
6/13 6/16	Purchase	CITIGROUP INC NEW @ 38 6314 965.79 BROKERAGE 0.75 MERRILL LYNCH PROF. CLEARING CORP. (ID. 172967-42-4)	25.000	38.662	(966.54)
6/13 6/16	Purchase	WELLS FARGO & CO @ 26.7305 1,336 53 BROKERAGE 1.00 ICAP CORPORATES LLC (ID 949746-10-1)	50.000	26 751	(1,337.53)
6/14 6/17	Purchase	TARGET CORP @ 47 3092 3,784.74 BROKERAGE 2.40 CITATION GROUP/BCC CLRG (ID. 87612E-10-6)	80 000	47.339	(3,787.14)
6/14 6/17	Purchase	JUNIPER NETWORKS INC @ 30 15 1,507 50 BROKERAGE 1 50 MORGAN STANLEY & CO INCORPORATED (ID 48203R-10-4)	50 000	30.18	(1,509.00)
6/15 6/20	Purchase	NOVELLUS SYSTEMS INC @ 34 0579 1,702 89 BROKERAGE 1 50 MORGAN STANLEY & CO. INCORPORATED (ID. 670008-10-1)	50 000	34.088	(1,704 39)
6/30 7/6	Purchase	AMAZON COM INC @ 204 5354 2,045 35 BROKERAGE 0.20 CREDIT SUISSE FIRST BOSTON LLC (ID. 023135-10-6)	10.000	204.555	(2,045.55)
7/11 7/14	Purchase	AT&T INC @ 30.7819 1,569 88 BROKERAGE 1 53 CSI US INSTITUTIONAL DESK (ID. 00206R-10-2)	51.000	30.812	(1,571.41)
7/11 7/14	Purchase	COLGATE PALMOLIVE CO @ 88.493 1,592 87 BROKERAGE 0 54 CREDIT SUISSE FIRST BOSTON LLC (ID 194162-10-3)	18.000	88.523	(1,593.41)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
7/12 7/15	Purchase	CAMPBELL SOUP COMPANY @ 34 7527 1,390.11 BROKERAGE 1.20 BARCLAYS CAPITAL LE (ID· 134429-10-9)	40 000	34 783	(1,391.31)
7/12 7/15	Purchase	AT&T INC @ 31.0864 1,554 32 BROKERAGE 1 00 CREDIT SUISSE FIRST BOSTON LLC (ID. 00206R-10-2)	50.000	31.106	(1,555 32)
7/13 7/18	Purchase	EMERSON ELECTRIC CO @ 56.6238 2,264 95 BROKERAGE 1.20 DEUTSCHE BANC ALEX BROWN INC (ID 291011-10-4)	40.000	56.654	(2,266.15)
7/15 7/20	Purchase	HOLLYFRONTIER CORPORATION @ 71.5461 2,504 11 BROKERAGE 1.05 BMO NESBITT BURNS CORP (ID: 436106-10-8)	35.000	71 576	(2,505 16)
7/19 7/20	Purchase	JPM INTL CURRENCY INCOME FD JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 11.68 (ID 4812A3-29-6)	3,981.164	11 68	(46,500 00)
7/15 7/20	Purchase	WILLIAMS COMPANIES INC @ 29 9704 2,397.63 BROKERAGE 2.40 GOLDMAN SACHS & CO. (ID 969457-10-0)	80.000	30.00	(2,400 03)
7/15 7/20	Purchase	CENTERPOINT ENERGY INC @ 19 3141 1,834 84 BROKERAGE 2.85 PULSE TRADING LLC (ID 15189T-10-7)	95.000	19.344	(1,837 69)
7/19 7/22	Purchase	JOHN HANCOCK II-EMG MKTS-I (ID: 47804B-19-5)	7,935.429	11.77	(93,400 00)
7/21 7/22	Purchase	DREYFUS/LAUREL FDS TR PRM EMRGN MK I (ID· 261980-49-4)	3,004 602	15.21	(45,700.00)
7/21 7/22	Purchase	ASTON OPTIMUM MID CAP FUND I (ID. 00078H-15-8)	2,697.799	33.62	(90,700.00)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
7/21 7/26	Purchase	CBS CORP CLASS B W/I @ 29.2194 2,921.94 BROKERAGE 2 00 UBS SECURITIES LLC (ID· 124857-20-2)	100.000	29 239	(2,923 94)
7/22 7/27	Purchase	CBS CORP CLASS B W/I @ 29.5142 442 71 BROKERAGE 0 30 UBS SECURITIES LLC (ID: 124857-20-2)	15.000	29.534	(443.01)
7/25 7/28	Purchase	METLIFE INC @ 41 2587 1,237.76 BROKERAGE 0 60 MORGAN STANLEY & CO INCORPORATED (ID. 59156R-10-8)	30.000	41 279	(1,238 36)
7/25 7/28	Purchase	COVIDIEN PLC NEW @ 50.1504 1,253 76 BROKERAGE 0 75 CITATION GROUP/BCC CLRG (ID· G2554F-11-3)	25.000	50.18	(1,254 51)
7/22 7/29	Purchase	CS BREN ASIA BASKET 08/08/12 10%BUFFER-2 XLEV-6.2%CAP 12.4%MAXRTRN INITIAL LEVEL-07/22/11 ASIA BASKET: 100 00 @ 99 00 JP MORGAN SECURITIES LLC F.I (ID 22546T-CC-3)	45,000 000	99.00	(44,550.00)
7/27 8/1	Purchase	MONSANTO CO @ 74 1468 2,817.58 BROKERAGE 1.14 GOLDMAN SACHS & CO. (ID: 61166W-10-1)	38.000	74.177	(2,818 72)
7/27 8/1	Purchase	MONSANTO CO @ 74 0132 74 01 BROKERAGE 0 02 CREDIT SUISSE FIRST BOSTON LLC (ID. 61166W-10-1)	1.000	74.03	(74 03)
7/27 8/1	Purchase	EMERSON ELECTRIC CO @ 50 3814 151.14 BROKERAGE 0 06 CREDIT SUISSE FIRST BOSTON LLC (ID 291011-10-4)	3.000	50.40	(151 20)
7/28 8/2	Purchase	EMERSON ELECTRIC CO @ 49.7615 845.95 BROKERAGE 0 51 GOLDMAN SACHS & CO. (ID 291011-10-4)	17.000	49.792	(846.46)
7/28 8/2	Purchase	CISCO SYSTEMS INC @ 16.2165 1,297.32 BROKERAGE 2.40 BEAL MR & COMPANY (ID: 17275R-10-2)	80 000	16 247	(1,299.72)
7/29 8/3	Purchase	EMERSON ELECTRIC CO @ 49.3424 296 05 BROKERAGE 0 18 GOLDMAN SACHS & CO (ID· 291011-10-4)	6.000	49.372	(296.23)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
8/4 8/9	Purchase	KRAFT FOODS INC CLASS A @ 34 2158 1,129.12 BROKERAGE 0.99 CREDIT SUISSE FIRST BOSTON LLC (ID: 50075N-10-4)	33 000	34.246	(1,130 11)
8/4 8/9	Purchase	KRAFT FOODS INC CLASS A @ 34 6365 762.00 BROKERAGE 0 44 CITIGROUP GLOBAL MKTS INC (ID. 50075N-10-4)	22.000	34.656	(762.44)
8/4 8/9	Purchase	KRAFT FOODS INC CLASS A @ 34.8026 313 22 BROKERAGE 0 18 UBS SECURITIES LLC (ID. 50075N-10-4)	9.000	34.822	(313.40)
8/4 8/9	Purchase	KRAFT FOODS INC CLASS A @ 34.5997 1,176 39 BROKERAGE 1 02 FIRST UNION CAPITAL MARKETS (ID: 50075N-10-4)	34 000	34 63	(1,177 41)
8/4 8/9	Purchase	CONOCOPHILLIPS @ 67 004 2,077.12 BROKERAGE 0.93 GOLDMAN SACHS & CO (ID. 20825C-10-4)	31.000	67.034	(2,078 05)
8/4 8/9	Purchase	LOWES COMPANIES INC @ 20 3817 1,487.86 BROKERAGE 2 19 GOLDMAN SACHS & CO. (ID 548661-10-7)	73 000	20 412	(1,490 05)
8/4 8/9	Purchase	LOWES COMPANIES INC @ 20.3398 366 12 BROKERAGE 0 54 OPPENHEIMER & CO. INC. (ID· 548661-10-7)	18 000	20 37	(366 66)
8/5 8/10	Purchase	OCCIDENTAL PETROLEUM CORP @ 88 315 794.84 BROKERAGE 0.18 LIQUIDNET INC (ID: 674599-10-5)	9 000	88 336	(795 02)
8/5 8/10	Purchase	E I DU PONT DE NEMOURS & CO @ 47.8438 1,339 63 BROKERAGE 0 84 BARCLAYS CAPITAL LE (ID· 263534-10-9)	28.000	47.874	(1,340 47)
8/5 8/10	Purchase	SCHLUMBERGER LTD @ 81.5355 652 28 BROKERAGE 0 16 CITIGROUP GLOBAL MKTS INC (ID: 806857-10-8)	8 000	81 555	(652.44)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
8/9 8/12	Purchase	CITIGROUP INC NEW @ 29 3567 1,614.62 BROKERAGE 1 10 MORGAN STANLEY & CO INCORPORATED (ID: 172967-42-4)	55.000	29.377	(1,615 72)
8/9 8/12	Purchase	WELLS FARGO & CO @ 23.0703 1,384 22 BROKERAGE 1 20 MORGAN STANLEY & CO INCORPORATED (ID: 949746-10-1)	60.000	23.09	(1,385.42)
8/9 8/12	Purchase	CARNIVAL CORPORATION @ 30 2948 605 90 BROKERAGE 0.60 CREDIT SUISSE FIRST BOSTON LLC (ID 143658-30-0)	20.000	30 325	(606.50)
8/9 8/12	Purchase	TARGET CORP @ 47 10 942.00 BROKERAGE 0.60 CREDIT SUISSE FIRST BOSTON LLC (ID: 87612E-10-6)	20 000	47 13	(942 60)
8/9 8/12	Purchase	E I DU PONT DE NEMOURS & CO @ 44 4172 888.34 BROKERAGE 0 40 UBS SECURITIES LLC (ID 263534-10-9)	20.000	44.437	(888.74)
8/10 8/15	Purchase	AMAZON COM INC @ 198 8687 994.34 BROKERAGE 0.15 CREDIT SUISSE FIRST BOSTON LLC (ID 023135-10-6)	5.000	198.898	(994 49)
8/10 8/15	Purchase	APPLE INC. @ 367 0678 1,835.34 BROKERAGE 0 15 CREDIT SUISSE FIRST BOSTON LLC (ID. 037833-10-0)	5 000	367 098	(1,835.49)
8/10 8/15	Purchase	PIONEER NATURAL RESOURCES CO @ 74 3838 1,859.59 BROKERAGE 0 75 GOLDMAN SACHS & CO (ID 723787-10-7)	25.000	74 414	(1,860.34)
8/10 8/15	Purchase	INTERCONTINENTAL EXCHANGE INC @ 112 3774 224 75 BROKERAGE 0.04 UBS SECURITIES LLC (ID 45865V-10-0)	2.000	112.395	(224 79)
8/10 8/15	Purchase	MONSANTO CO @ 66 5046 864.56 BROKERAGE 0 26 UBS SECURITIES LLC (ID. 61166W-10-1)	13.000	66.525	(864.82)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
8/10 8/15	Purchase	INTERCONTINENTAL EXCHANGE INC @ 111.497 1,672 46 BROKERAGE 0 30 LIQUIDNET INC (ID· 45865V-10-0)	15 000	111.517	(1,672.76)
8/10 8/15	Purchase	CAPITAL ONE FINANCIAL CORP @ 40 9441 245.66 BROKERAGE 0 18 GOLDMAN SACHS & CO (ID 14040H-10-5)	6 000	40 973	(245 84)
8/10 8/15	Purchase	CARNIVAL CORPORATION @ 30 0212 900 64 BROKERAGE 0 60 MORGAN STANLEY & CO INCORPORATED (ID 143658-30-0)	30.000	30.041	(901.24)
8/10 8/15	Purchase	CAPITAL ONE FINANCIAL CORP @ 42 438 84.88 BROKERAGE 0 04 MERRILL LYNCH PROF CLEARING CORP. (ID: 14040H-10-5)	2.000	42.46	(84 92)
8/10 8/15	Purchase	CAPITAL ONE FINANCIAL CORP @ 41 5231 124.57 BROKERAGE 0.06 UBS SECURITIES LLC (ID 14040H-10-5)	3.000	41.543	(124.63)
8/10 8/15	Purchase	CAPITAL ONE FINANCIAL CORP @ 42.966 42 97 BROKERAGE 0 02 UBS SECURITIES LLC (ID. 14040H-10-5)	1.000	42.99	(42.99)
8/10 8/15	Purchase	CAPITAL ONE FINANCIAL CORP @ 41.9903 167 96 BROKERAGE 0.08 UBS SECURITIES LLC (ID 14040H-10-5)	4 000	42 01	(168 04)
8/10 8/15	Purchase	CAPITAL ONE FINANCIAL CORP @ 41.275 41.28 BROKERAGE 0.03 GOLDMAN SACHS & CO (ID 14040H-10-5)	1.000	41.31	(41.31)
8/11 8/16	Purchase	CAPITAL ONE FINANCIAL CORP @ 43 0626 43 06 BROKERAGE 0 02 UBS SECURITIES LLC (ID 14040H-10-5)	1 000	43.08	(43.08)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
8/11 8/16	Purchase	CAPITAL ONE FINANCIAL CORP @ 43.5852 87 17 BROKERAGE 0.06 UBS SECURITIES LLC (ID· 14040H-10-5)	2.000	43.615	(87 23)
8/11 8/16	Purchase	CAPITAL ONE FINANCIAL CORP @ 44 0323 44.03 BROKERAGE 0 02 MERRILL LYNCH PROF CLEARING CORP. (ID· 14040H-10-5)	1.000	44.05	(44.05)
8/11 8/16	Purchase	CENTERPOINT ENERGY INC @ 18 4349 884 88 BROKERAGE 0 96 UBS SECURITIES LLC (ID 15189T-10-7)	48.000	18.455	(885.84)
8/11 8/16	Purchase	CAPITAL ONE FINANCIAL CORP @ 43.7874 43 79 BROKERAGE 0.03 UBS SECURITIES LLC (ID 14040H-10-5)	1 000	43.82	(43.82)
8/11 8/16	Purchase	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A @ 61.9355 1,424 52 BROKERAGE 0.46 UBS SECURITIES LLC (ID. 192446-10-2)	23.000	61.956	(1,424 98)
8/11 8/16	Purchase	MICROSOFT CORP @ 24.6324 1,502 58 BROKERAGE 1.22 UBS SECURITIES LLC (ID: 594918-10-4)	61.000	24.652	(1,503.80)
8/11 8/16	Purchase	CAPITAL ONE FINANCIAL CORP @ 44.3089 44.31 BROKERAGE 0.03 CITATION GROUP/BCC CLRG (ID 14040H-10-5)	1.000	44.34	(44.34)
8/12 8/17	Purchase	CONOCOPHILLIPS @ 66.0357 1,122 61 BROKERAGE 0.34 UBS SECURITIES LLC (ID. 20825C-10-4)	17 000	66.056	(1,122.95)
8/12 8/17	Purchase	TD AMERITRADE HOLDING CORPORATION @ 14 67 88 02 BROKERAGE 0.18 FIRST UNION CAPITAL MARKETS (ID 87236Y-10-8)	6.000	14.70	(88.20)
8/12 8/17	Purchase	TD AMERITRADE HOLDING CORPORATION @ 14 635 556.13 BROKERAGE 0.76 IVY SECURITIES, INC. (ID 87236Y-10-8)	38.000	14.655	(556.89)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
8/12 8/17	Purchase	PUBLIC SERVICE ENTERPRISE GROUP @ 31 0086 403 11 BROKERAGE 0.39 GOLDMAN SACHS & CO (ID 744573-10-6)	13.000	31.038	(403 50)
8/12 8/17	Purchase	CAPITAL ONE FINANCIAL CORP @ 43.8337 482 17 BROKERAGE 0 33 CITIGROUP GLOBAL MKTS INC (ID 14040H-10-5)	11 000	43 864	(482.50)
8/12 8/17	Purchase	PRUDENTIAL FINANCIAL INC @ 51 6206 722 69 BROKERAGE 0.28 CITIGROUP GLOBAL MKTS INC (ID 744320-10-2)	14 000	51.641	(722 97)
8/12 8/17	Purchase	CAPITAL ONE FINANCIAL CORP @ 44.9945 404.95 BROKERAGE 0.27 MERRILL LYNCH PROF. CLEARING CORP. (ID: 14040H-10-5)	9 000	45.024	(405 22)
8/12 8/17	Purchase	CAPITAL ONE FINANCIAL CORP @ 44 9505 44.95 BROKERAGE 0 03 PULSE TRADING LLC (ID. 14040H-10-5)	1 000	44.98	(44.98)
8/12 8/17	Purchase	PUBLIC SERVICE ENTERPRISE GROUP @ 31.0028 62 01 BROKERAGE 0.06 PULSE TRADING LLC (ID 744573-10-6)	2.000	31.035	(62 07)
8/12 8/17	Purchase	WELLS FARGO & CO @ 24 4983 881.94 BROKERAGE 1 08 CSI US INSTITUTIONAL DESK (ID 949746-10-1)	36 000	24 528	(883 02)
8/15 8/18	Purchase	PUBLIC SERVICE ENTERPRISE GROUP @ 31.8848 286.96 BROKERAGE 0 27 GOLDMAN SACHS & CO (ID 744573-10-6)	9.000	31.914	(287.23)
8/15 8/18	Purchase	PUBLIC SERVICE ENTERPRISE GROUP @ 32.2403 386.88 BROKERAGE 0.36 GOLDMAN SACHS & CO (ID 744573-10-6)	12.000	32 27	(387 24)
8/16 8/19	Purchase	HOLLYFRONTIER CORPORATION @ 71 8074 646 27 BROKERAGE 0 18 UBS SECURITIES LLC (ID 436106-10-8)	9 000	71.828	(646.45)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
8/16 8/19	Purchase	SOUTHWESTERN ENERGY CO @ 39 4384 1,971.92 BROKERAGE 1 00 UBS SECURITIES LLC (ID 845467-10-9)	50.000	39.458	(1,972.92)
8/16 8/19	Purchase	WILLIAMS COMPANIES INC @ 28.4862 569.72 BROKERAGE 0 40 UBS SECURITIES LLC (ID· 969457-10-0)	20.000	28 506	(570 12)
8/16 8/19	Purchase	PUBLIC SERVICE ENTERPRISE GROUP @ 32.1536 482.30 BROKERAGE 0.45 GOLDMAN SACHS & CO. (ID 744573-10-6)	15 000	32.183	(482.75)
8/16 8/19	Purchase	KRAFT FOODS INC CLASS A @ 34.2961 1,611 92 BROKERAGE 1 41 JEFFERIES & COMPANY (ID 50075N-10-4)	47.000	34.326	(1,613.33)
8/16 8/19	Purchase	BIOGEN IDEC INC @ 90 8389 1,090 07 BROKERAGE 0.36 DEUTSCHE BANC ALEX BROWN INC (ID 09062X-10-3)	12 000	90.869	(1,090.43)
8/16 8/19	Purchase	MERCK AND CO INC @ 31.9275 127.71 BROKERAGE 0 08 UBS SECURITIES LLC (ID 58933Y-10-5)	4.000	31.948	(127.79)
8/16 8/19	Purchase	MERCK AND CO INC @ 31.8975 1,148.31 BROKERAGE 1 08 LEERINK SWANN AND COMPANY (ID· 58933Y-10-5)	36 000	31.928	(1,149 39)
8/16 8/19	Purchase	COVIDIEN PLC NEW @ 49.8291 847 09 BROKERAGE 0 51 COLLINS STEWART LLC (ID G2554F-11-3)	17 000	49.859	(847.60)
8/16 8/19	Purchase	CISCO SYSTEMS INC @ 15.8969 1,001 50 BROKERAGE 1.89 ABEL NOSER CORP (ID: 17275R-10-2)	63.000	15.927	(1,003.39)
8/17 8/22	Purchase	PUBLIC SERVICE ENTERPRISE GROUP @ 32 5527 553.40 BROKERAGE 0 51 GOLDMAN SACHS & CO. (ID· 744573-10-6)	17.000	32.583	(553.91)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
8/18 8/23	Purchase	PUBLIC SERVICE ENTERPRISE GROUP @ 31 86 223 02 BROKERAGE 0.21 GOLDMAN SACHS & CO. (ID. 744573-10-6)	7 000	31.89	(223.23)
8/18 8/23	Purchase	AUTOZONE INC @ 286 3341 2,863.34 BROKERAGE 0 30 CITIGROUP GLOBAL MKTS INC (ID 053332-10-2)	10.000	286 364	(2,863 64)
8/19 8/24	Purchase	AUTOZONE INC @ 290 2633 1,161 05 BROKERAGE 0 12 PIPER JAFFRAY & CO (ID· 053332-10-2)	4.000	290 293	(1,161 17)
9/9 9/14	Purchase	KRAFT FOODS INC CLASS A @ 34.4815 2,413 70 BROKERAGE 2 10 S G COWEN & CO (ID 50075N-10-4)	70.000	34 511	(2,415 80)
9/9 9/14	Purchase	BARC 93% PPN BRIC BASKET 9/13/13 LNKED TO 3 CURRENCY BSKTS 50% 35% & 15% WEIGHT,UNCAPPED 9/9/11 @ 98.50 JP MORGAN SECURITIES LLC F I (ID· 06738K-UP-4)	30,000.000	98 50	(29,550 00)
9/12 9/15	Purchase	NOVELLUS SYSTEMS INC @ 28.4338 568.68 BROKERAGE 0 60 GOLDMAN SACHS & CO (ID: 670008-10-1)	20.000	28.464	(569.28)
9/16 9/21	Purchase	UNITEDHEALTH GROUP INC @ 49 8856 1,995.42 BROKERAGE 1 20 CITATION GROUP/BCC CLRG (ID· 91324P-10-2)	40.000	49.916	(1,996.62)
9/16 9/21	Purchase	CARDINAL HEALTH INC @ 43.1438 862.88 BROKERAGE 0.40 MORGAN STANLEY & CO INCORPORATED (ID· 14149Y-10-8)	20.000	43 164	(863 28)
9/16 9/21	Purchase	GENERAL ELECTRIC CO @ 16 2359 5,033 13 BROKERAGE 9 30 BEAL MR & COMPANY (ID 369604-10-3)	310.000	16 266	(5,042 43)
9/19 9/22	Purchase	MONSANTO CO @ 68 8134 1,376.27 BROKERAGE 0.40 UBS SECURITIES LLC (ID· 61166W-10-1)	20.000	68 834	(1,376 67)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
9/19 9/22	Purchase	THE ESTEE LAUDER COMPANIES INC CL A @ 100 748 2,014 96 BROKERAGE 0 60 JEFFERIES & COMPANY (ID· 518439-10-4)	20 000	100 778	(2,015.56)
9/19 9/22	Purchase	NOVELLUS SYSTEMS INC @ 29 6209 888 63 BROKERAGE 0 60 CREDIT SUISSE FIRST BOSTON LLC (ID. 670008-10-1)	30 000	29.641	(889 23)
9/19 9/22	Purchase	KRAFT FOODS INC CLASS A @ 34.7641 1,390 56 BROKERAGE 0 80 CITIGROUP GLOBAL MKTS INC (ID 50075N-10-4)	40 000	34 784	(1,391.36)
9/20 9/23	Purchase	NETAPP INC @ 35.6104 1,780 52 BROKERAGE 1.50 CREDIT SUISSE FIRST BOSTON LLC (ID 64110D-10-4)	50 000	35 64	(1,782.02)
9/20 9/23	Purchase	ANADARKO PETROLEUM CORP @ 76 1614 2,284.84 BROKERAGE 0 90 CITATION GROUP/BCC CLRG (ID 032511-10-7)	30.000	76.191	(2,285 74)
9/21 9/26	Purchase	METLIFE INC @ 30 8549 925 65 BROKERAGE 0.90 CITATION GROUP/BCC CLRG (ID. 59156R-10-8)	30.000	30.885	(926.55)
9/21 9/26	Purchase	INVESCO LTD @ 17.8355 1,070.13 BROKERAGE 1.20 GARDNER RICH & COMPANY (ID G491BT-10-8)	60.000	17 856	(1,071.33)
9/22 9/27	Purchase	SPECTRA ENERGY CORPORATION W/I @ 24 3425 2,434 25 BROKERAGE 3 00 CITATION GROUP/BCC CLRG (ID. 847560-10-9)	100 000	24 373	(2,437 25)
9/22 9/27	Purchase	MORGAN STANLEY @ 12.8735 901 15 BROKERAGE 2.10 SANFORD C. BERNSTEIN & CO INC.(SCB (ID 617446-44-8)	70.000	12.904	(903.25)
9/22 9/27	Purchase	ANADARKO PETROLEUM CORP @ 69 5447 1,390.89 BROKERAGE 0 40 CITIGROUP GLOBAL MKTS INC (ID 032511-10-7)	20 000	69 565	(1,391.29)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
9/22 9/27	Purchase	LOWES COMPANIES INC @ 19 0342 1,332.39 BROKERAGE 2 10 MERRILL LYNCH PROF CLEARING CORP (ID· 548661-10-7)	70 000	19 064	(1,334.49)
9/23 9/28	Purchase	DB BREN SPX 10/11/12 10%BUFFER-2 XLEV- 8.25%CAP 18 2%MAXRTRN INITIAL LEVEL-09/23/11 SPX: 1,136 43 @ 99 00 JP MORGAN SECURITIES LLC F I. (ID: 2515A1-D4-0)	50,000.000	99 00	(49,500.00)
9/28 10/3	Purchase	CISCO SYSTEMS INC @ 16 23 973 80 BROKERAGE 1 80 MORGAN STANLEY & CO INCORPORATED (ID 17275R-10-2)	60.000	16 26	(975.60)
9/28 10/3	Purchase	CITRIX SYSTEMS INC @ 58 0287 1,740.86 BROKERAGE 0 90 MORGAN STANLEY & CO INCORPORATED (ID: 177376-10-0)	30.000	58.059	(1,741 76)
9/28 10/3	Purchase	LOWES COMPANIES INC @ 20 1232 1,207.39 BROKERAGE 1.80 ISI GROUP INC. (ID 548661-10-7)	60.000	20.153	(1,209.19)
9/29 10/4	Purchase	CITRIX SYSTEMS INC @ 57.0878 856.32 BROKERAGE 0.30 SANFORD C. BERNSTEIN & CO INC (SCB (ID 177376-10-0)	15 000	57.108	(856 62)
9/29 10/4	Purchase	QUALCOMM INC @ 50 9137 1,272 84 BROKERAGE 0 50 CREDIT SUISSE FIRST BOSTON LLC (ID 747525-10-3)	25 000	50.934	(1,273 34)
9/29 10/4	Purchase	HOME DEPOT INC @ 33 7529 3,375 29 BROKERAGE 3.00 SANFORD C. BERNSTEIN & CO INC (SCB (ID: 437076-10-2)	100 000	33.783	(3,378 29)
9/29 10/4	Purchase	METLIFE INC @ 29 3245 1,466.23 BROKERAGE 1 50 RBC CAPITAL MARKETS CORPORATION (ID· 59156R-10-8)	50 000	29 355	(1,467.73)
9/30 10/5	Purchase	LENNAR CORP @ 13 7782 1,240 04 BROKERAGE 2.70 DEUTSCHE BANC ALEX BROWN INC (ID. 526057-10-4)	90.000	13.808	(1,242.74)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
9/30 10/5	Purchase	COMCAST CORP CL A @ 21 3945 1,283 67 BROKERAGE 1 80 JEFFERIES & COMPANY (ID· 20030N-10-1)	60.000	21.425	(1,285.47)
10/5 10/11	Purchase	JUNIPER NETWORKS INC @ 18 4566 1,291.96 BROKERAGE 2 10 CREDIT SUISSE FIRST BOSTON LLC (ID· 48203R-10-4)	70 000	18 487	(1,294 06)
10/5 10/11	Purchase	DARDEN RESTAURANTS INC @ 42.4495 2,334 72 BROKERAGE 1 10 GOLDMAN SACHS EXECUTION & CLEARING (ID 237194-10-5)	55 000	42.469	(2,335 82)
10/6 10/12	Purchase	OCCIDENTAL PETROLEUM CORP @ 79.2968 1,189 45 BROKERAGE 0 30 CREDIT SUISSE FIRST BOSTON LLC (ID 674599-10-5)	15.000	79 317	(1,189 75)
10/6 10/12	Purchase	ANADARKO PETROLEUM CORP @ 65 913 1,318.26 BROKERAGE 0.40 SANFORD C BERNSTEIN & CO.INC.(SCB (ID 032511-10-7)	20.000	65 933	(1,318.66)
10/6 10/12	Purchase	CBS CORP CLASS B W/I @ 21 49 1,074.50 BROKERAGE 1 50 MORGAN STANLEY & CO INCORPORATED (ID· 124857-20-2)	50 000	21.52	(1,076 00)
10/14 10/19	Purchase	DOMINION RESOURCES INC VA @ 50 3661 4,029.29 BROKERAGE 2.40 BAYPOINT TRADING LLC (ID. 25746U-10-9)	80 000	50 396	(4,031 69)
10/14 10/19	Purchase	SPECTRA ENERGY CORPORATION W/I @ 26 5227 1,326 14 BROKERAGE 1 50 BAYPOINT TRADING LLC (ID· 847560-10-9)	50.000	26.553	(1,327.64)
10/17 10/20	Purchase	GOLDMAN SACHS GROUP INC @ 96 1057 1,441.59 BROKERAGE 0.45 GOLDMAN SACHS & CO. (ID 38141G-10-4)	15.000	96 136	(1,442.04)
10/17 10/20	Purchase	CITIGROUP INC NEW @ 28.6327 1,145 31 BROKERAGE 1 20 GOLDMAN SACHS & CO. (ID. 172967-42-4)	40 000	28.663	(1,146.51)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
10/18 10/21	Purchase	OCCIDENTAL PETROLEUM CORP @ 84.5748 1,691 50 BROKERAGE 0.60 UBS SECURITIES LLC (ID: 674599-10-5)	20.000	84.605	(1,692.10)
10/19 10/24	Purchase	GS BREN EEM 11/05/12 10%BUFFER-2 XLEV-12.15%CAP 22.2%MAXRTRN INITIAL LEVEL-10/19/11 EEM :38.52 @ 99 00 JP MORGAN SECURITIES LLC F I (ID· 38143U-YK-1)	45,000.000	99 00	(44,550.00)
10/21 10/26	Purchase	LENNAR CORP @ 16 5667 1,325.34 BROKERAGE 2.40 BARCLAYS CAPITAL LE (ID. 526057-10-4)	80 000	16.597	(1,327.74)
10/27 10/28	Purchase	JPM HIGH YIELD FD - SEL JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 7.89 (ID. 4812C0-80-3)	5,640 051	7.89	(44,500 00)
10/25 10/28	Purchase	MICROS SYSTEMS INC @ 50.1495 1,755.23 BROKERAGE 1.05 UBS SECURITIES LLC (ID· 594901-10-0)	35.000	50.179	(1,756.28)
10/27 10/28	Purchase	DODGE & COX INTERNATIONAL STOCK (ID: 256206-10-3)	1,683.109	33.45	(56,300 00)
10/27 10/28	Purchase	COLUMBIA FDS SER TR II MASS ASIA PC JP R5 (ID. 19763P-57-2)	3,418 210	12 96	(44,300 00)
10/31 11/1	Purchase	MANNING & NAPIER FUND INC WORLD OPPORTUNITIES SERIES FUND (ID· 563821-54-5)	2,800.000	7.75	(21,700.00)
10/28 11/2	Purchase	LAM RESEARCH CORP @ 43.8388 1,753 55 BROKERAGE 1.20 CREDIT SUISSE FIRST BOSTON LLC (ID· 512807-10-8)	40 000	43 869	(1,754 75)
10/28 11/2	Purchase	INVESCO LTD @ 20.8113 1,456.79 BROKERAGE 1.40 GOLDMAN SACHS EXECUTION & CLEARING (ID G491BT-10-8)	70 000	20 831	(1,458.19)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
10/28 11/2	Purchase	JOHNSON CONTROLS INC @ 33 356 1,334 24 BROKERAGE 1 20 JEFFERIES & COMPANY (ID 478366-10-7)	40.000	33.386	(1,335.44)
10/28 11/2	Purchase	ANADARKO PETROLEUM CORP @ 82 5143 1,237 71 BROKERAGE 0 45 ATLANTIC EQUITIES LP (ID 032511-10-7)	15 000	82 544	(1,238.16)
10/28 11/2	Purchase	THE ESTEE LAUDER COMPANIES INC CL A @ 102.2425 1,431 40 BROKERAGE 0 42 CITATION GROUP/BCC CLRG (ID 518439-10-4)	14.000	102.273	(1,431 82)
10/31 11/3	Purchase	HUMANA INC @ 85 5454 2,994.09 BROKERAGE 0.70 GARDNER RICH & COMPANY (ID: 444859-10-2)	35 000	85.565	(2,994.79)
11/1 11/4	Purchase	XILINX CORP @ 32 9432 1,976.59 BROKERAGE 1.80 CITATION GROUP/BCC CLRG (ID: 983919-10-1)	60.000	32.973	(1,978.39)
11/7 11/10	Purchase	COCA-COLA CO @ 68.0137 5,441 10 BROKERAGE 2 40 MERRILL LYNCH PROF CLEARING CORP. (ID 191216-10-0)	80.000	68 044	(5,443.50)
11/8 11/14	Purchase	FREEPORT-MCMORAN COPPER & GOLD INC CL B @ 41.6154 1,248 46 BROKERAGE 0.90 CITATION GROUP/BCC CLRG (ID. 35671D-85-7)	30.000	41 645	(1,249.36)
11/10 11/16	Purchase	BAKER HUGHES INC @ 57.1542 3,429 25 BROKERAGE 1 80 RBC CAPITAL MARKETS CORPORATION (ID. 057224-10-7)	60 000	57 184	(3,431.05)
11/11 11/18	Purchase	GS 95% PP CMIT BASKET 11/18/13 LNKED TO CNY,MXN,IDR, TRY /USD 215% UPSIDE LEV, UNCAPPED 11/11/11 @ 98 50 JP MORGAN SECURITIES LLC F.I (ID 38143U-ZV-6)	35,000.000	98.50	(34,475.00)
12/5 12/8	Purchase	UNITED TECHNOLOGIES CORP @ 77 5473 1,938 68 BROKERAGE 0.75 GOLDMAN SACHS & CO. (ID 913017-10-9)	25.000	77.577	(1,939.43)

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
12/5 12/8	Purchase	CAPITAL ONE FINANCIAL CORP @ 46 9434 3,286 04 BROKERAGE 2.10 GOLDMAN SACHS & CO. (ID· 14040H-10-5)	70 000	46.973	(3,288.14)
12/9 12/12	Purchase	DELAWARE EMERGING MARKETS FUND (ID 245914-81-7)	3,340 944	13.14	(43,900.00)
12/9 12/14	Purchase	RS INTERNATIONAL GROWTH FUND (ID. 74972H-42-4)	11,078.864	15.85	(175,600 00)
12/12 12/15	Purchase	NEXTERA ENERGY INC @ 57 0894 4,567.15 BROKERAGE 2 40 MORGAN STANLEY & CO. INCORPORATED (ID: 65339F-10-1)	80 000	57.119	(4,569 55)
12/15 12/20	Purchase	VERTEX PHARMACEUTICALS INC @ 31.34 2,507.32 BROKERAGE 1 60 SANFORD C BERNSTEIN & CO.INC (SCB (ID: 92532F-10-0)	80.000	31 362	(2,508 92)
12/15 12/20	Purchase	CISCO SYSTEMS INC @ 18 035 1,082 10 BROKERAGE 1 80 CSI US INSTITUTIONAL DESK (ID: 17275R-10-2)	60.000	18 065	(1,083.90)
12/21 12/27	Purchase	ALLERGAN INC @ 86 1472 3,445 89 BROKERAGE 0.80 CREDIT SUISSE FIRST BOSTON LLC (ID 018490-10-2)	40 000	86 167	(3,446 69)
Total Settled Securities Purchased					**($2,534,617.57)**

COST ADJUSTMENTS

Settle Date	Type	Description	Quantity	Cost Basis Adjustments
Accretion				
9/30	OrigIssueDiscount	BARC 93% PPN BRIC BASKET 9/13/13 LNKED TO 3 CURRENCY BSKTS 50% 35% & 15% WEIGHT,UNCAPPED 9/9/11 CORPORATE BOND OID (ID 06738K-UP-4)	30,000 000	29.60
10/31	OrigIssueDiscount	BARC 93% PPN BEST OF THE GLOBE 09 SEPTEMBER 2011 CORPORATE BOND OID (ID 06738K-UP-4)	30,000.000	57 35
11/30	OrigIssueDiscount	BARC 93% PPN CURRENCY BASKET 9/13/13 LNKED TO 3 CURRENCY BSKTS 50% 35% & 15% WEIGHT,UNCAPPED 9/9/11 CORPORATE BOND OID (ID. 06738K-UP-4)	30,000.000	53.65
11/30	OrigIssueDiscount	GS 95% PP CMIT BASKET 11/18/13 LNKED TO CNY,MXN,IDR, TRY /USD 215% UPSIDE LEV, UNCAPPED 11/11/11 CORPORATE BOND OID (ID. 38143U-ZV-6)	35,000 000	28.00
12/30	OrigIssueDiscount	BARC 93% PPN CURRENCY BASKET 9/13/13 LNKED TO 3 CURRENCY BSKTS 50% 35% & 15% WEIGHT,UNCAPPED 9/9/11 CORPORATE BOND OID (ID. 06738K-UP-4)	30,000 000	57 35
12/30	OrigIssueDiscount	GS 95% PP CMIT BASKET 11/18/13 LNKED TO CNY,MXN,IDR, TRY /USD 215% UPSIDE LEV, UNCAPPED 11/11/11 CORPORATE BOND OID (ID 38143U-ZV-6)	35,000 000	72 33
Total Accretion				**$298.28**
Specialty Asset Cost Basis Adj.				
10/21	SpecAsset CostAdj	310 & 314 N Monroe Ave Green Bay, WI 54301 COMM-3RD PARTY REOG COST BASIS ADJUSTMENT DECREASE CARRYING VALUE (ID. 995R00-90-2)	50.000	(93,767 00)

***For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package.* Below are important disclosures relating to these different accounts. These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.**

IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)

Important Information about Pricing ,Valuations, Estimated Annual Income, and Estimated Yield

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client

The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset

Certain assets including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third parties who may or may not be independent of the issuer or manager Such information is reflected as of the last date provided to us, and is not independently verified

Important information regarding Auction Rate Securities (ARS) ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. Where J P. Morgan was unable to obtain a price from an outside service for a particular ARS, the price column on your statement and online will indicate "$0 00" which should not be relied on as the price at which ARS would trade.

J P Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated Such values may only be indicative

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis These valuations are derived from proprietary models based upon well-recognized financial principles and we have, when necessary to calculate the present value of future cash flows, made reasonable estimates about relevant future market conditions Valuations based on other models or different assumptions may yield different results. J P Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY)· EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment It does not reflect changes in its price, which may fluctuate

Offshore Deposits - London and Nassau

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; *amounts in such foreign accounts do not have the benefit of any domestic* preference applicable to U.S Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

Bank products and services are offered through JPMorgan Chase Bank, N A ("JPMCB") and its banking affiliates Securities are offered by J P Morgan Securities LLC ("JPMS") and, to the extent noted below, cleared through J P. Morgan Clearing Corp. ("JPMCC").

Neither JPMS, nor JPMCC is a bank and are each separate legal entities from its bank or thrift affiliates

Investment Products: Not FDIC Insured -No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

IMPORTANT INFORMATION APPLICABLE ONLY TO YOUR FIDUCIARY ACCOUNT(S) WHICH REFLECTS ASSETS HELD AT JPMORGAN CHASE BANK, N.A. OR A BANKING OR TRUST AFFILIATE

IMPORTANT ADDITIONAL INFORMATION SPECIFIC TO A TRUST OF WHICH YOU ARE A BENEFICIARY

Limitation of Action Against Trustee. State laws provide that an action against a trustee for breach of trust based on matters in a trustee's report that adequately disclose the existence of a potential claim for breach of trust may be barred unless the action is commenced within a certain time period after your receipt of such a report The limitations periods for certain states are as follows - Florida and Utah. within 6 months, Arizona, Arkansas, District of Columbia, Kansas, Maine, Michigan, Missouri, North Dakota, New Hampshire, New Mexico, Nebraska, Oregon, South Carolina, Tennessee, Vermont, West Virginia and Virginia: within 1 year; Alabama, Ohio, Oklahoma and Wyoming: within 2 years, California: within 3 years. For other state limitation periods or questions, please consult your attorney This disclosure is hereby made a part of the trustee s written report(s) (consisting of the statements relating to your trust(s)) provided to you as a trust beneficiary and is incorporated therein.

IF THIS STATEMENT RELATES TO A TRUST GOVERNED BY CALIFORNIA LAW FOR WHICH JPMorgan Chase Bank, N.A. ("JPMCB") IS A FIDUCIARY, California Probate Code Section 16060, et seq , requires us to tell you the following

- The recipient of this account may petition the court pursuant to California Probate Code Section 17200 to obtain a court review of this account and of the acts of the trustee reported here
- Claims against the Trustee for breach of trust must be made within three years of the date the beneficiary receives an account or report disclosing facts giving rise to the claim

If you have any questions regarding your statement, please call your Fiduciary Manager

PRODUCT RELATED DISCLOSURE: IMPORTANT INFORMATION ABOUT ALTERNATIVE INVESTMENTS: FOOTNOTES 1 - 4

Private Equity, Hedge Funds, Exchange Funds, Real Estate and Other Alternative Assets

1 Direct private equity investments and pooled private investments (e.g., interests in limited partnerships and limited liability companies) are generally illiquid securities. Values are estimates only and are not warranted for accuracy or completeness Values do not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

Direct private equity investments are generally valued at cost, unless there is an active secondary trading market in the securities, in which case, direct private equity investments are valued using market prices as of the close of the last business day for this statement period. Pooled private equity investments are valued according to the General Partner's or Manager's stated methodology Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each investment for the specific valuation methodology used by the General Partner or Manager for that investment or *for your Investment Management or Trust account contact your J P Morgan team. J.P. Morgan expressly disclaims any responsibility for* (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally calculate the price (the "Net Asset Value" or " NAV"), 10-15 business days following the last business day of the month For that reason, hedge fund NAVs shown will generally be the NAV of the month preceding this statement period In general, the NAV is stated net of management and incentive fees The NAV is calculated according to the General Partner's or Manager's stated methodology Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific methodology used by the General Partner or Manager for that hedge fund or for your Investment Management or Trust account contact your J.P. Morgan team J P. Morgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

These statements do not provide tax reporting on private investments. Please continue to rely upon the General Partner or Manager of the investment vehicle for this information or for your Investment Management or Trust account contact your J P. Morgan team.

2. The 'Capital Called Since Inception USD' and 'Cash/Security distributions Since Inception USD' columns are updated monthly to reflect activity (capital calls and distributions), if any

3 Hedge funds generally allow subscriptions on a monthly or quarterly basis An interest in a hedge fund or hedge fund of funds is generally as of the first business day of the subscription period Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J P. Morgan team.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted For redemptions, there is generally a notification period that can be a long interval before the actual redemption date. Redemption proceeds are generally paid 15 calendar days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

Global Access Portfolios share certain characteristics with hedge funds In particular, an investor who withdraws from a Global Access Portfolio will not receive the withdrawal proceeds in their entirety until 30-60 days after completion of the Portfolio's audit, which may be 18 months or longer after the redemption date. Interest might not be paid on any proceeds pending distribution These restrictions apply even if the investor's account at JPMorgan is closed The Confidential Private Placement Memorandum and applicable Supplements contain other important information about the Global Access Portfolios and are available upon request or for your Investment Management or Trust account contact your J P. Morgan team.

4 Alternative assets may include publicly available mutual funds that utilize non-traditional investment management strategies, for example, strategies commonly employed by hedge funds Mutual funds generally calculate the price (the "Net Asset Value" or "NAV") on a daily basis and mutual fund NAVs shown on your statement generally will be the NAV as of the close of the last business day for this statement period. Please refer to the applicable mutual fund prospectus for further details. If the applicable mutual fund is a JPMorgan Fund, please refer to disclosures on this statement concerning JPMorgan Funds for other important information

PRODUCT RELATED DISCLOSURE: THE JPMORGAN FUNDS OR THIRD PARTIES

Shares of the funds referenced above are not bank deposits and are not guaranteed by any bank, government entity, or the FDIC Return and share price will fluctuate and redemption value may be more or less than original cost. While the money market funds seek to maintain a stable net asset value of $1 00 per share, there is no assurance that they will continue to do so. The estimated annual income and dividend yield figures for mutual funds represent the funds' most recent income dividend annualized

Prospectuses and other fund information for JPMorgan funds may be obtained by calling your J P. Morgan team or JPMorgan Distribution Services, Inc at (800) 480-4111 You also may view and order materials online for JPMorgan funds at www.jpmorganfunds.com

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Assets may be reflected herein even though they may be held by a third party unaffiliated with J P Morgan. In such cases, unless J.P. Morgan otherwise agrees, J.P Morgan has no responsibility for the verification, valuation, safekeeping or management of those assets

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