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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2011

For calendar year 2011 or tax year beginning

, and ending

Name of foundation FRANCIS R. & RUTH E. OBERREICH FOUNDATION CORPORATION		A Employer identification number 39-1941148
Number and street (or P O box number if mail is not delivered to street address) PO BOX 400		B Telephone number 920-398-2371
City or town, state, and ZIP code MARKESAN, WI 53946-0400		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input checked="" type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,467,178. (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		14,852.	14,852.		STATEMENT 1
4 Dividends and interest from securities		58,036.	58,036.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		52,531.			
b Gross sales price for all assets on line 6a		1,954,335.			
7 Capital gain net income (from Part IV, line 2)			52,531.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		125,419.	125,419.		
13 Compensation of officers, directors, trustees, etc		5,080.	0.		5,080.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		13,600.	6,800.		6,800.
c Other professional fees		8,980.	8,980.		0.
17 Interest		1,867.	1,867.		0.
18 Taxes		1,111.	600.		0.
19 Depreciation and depletion		346.	0.		
20 Occupancy					
21 Travel, conferences, and meetings		1,180.	0.		1,180.
22 Printing and publications					
23 Other expenses		5,432.	17.		5,400.
24 Total operating and administrative expenses. Add lines 13 through 23		37,596.	18,264.		18,460.
25 Contributions, gifts, grants paid		101,833.			101,833.
26 Total expenses and disbursements. Add lines 24 and 25		139,429.	18,264.		120,293.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<14,010.>			
b Net investment income (if negative, enter -0-)			107,155.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	110,983.	79,481.	79,481.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 8	214,923.	0.	0.
		b Investments - corporate stock STMT 9	14,927.	14,927.	29,929.
		c Investments - corporate bonds STMT 10	329,017.	0.	0.
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 11	1,878,703.	2,429,980.	2,353,964.
	14	Land, buildings, and equipment: basis ▶ 6,745.			
		Less: accumulated depreciation STMT 12 ▶ 2,941.	0.	3,804.	3,804.
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers)	2,548,553.	2,528,192.	2,467,178.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	2,548,553.	2,528,192.	
	30	Total net assets or fund balances	2,548,553.	2,528,192.	
	31	Total liabilities and net assets/fund balances	2,548,553.	2,528,192.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,548,553.
2	Enter amount from Part I, line 27a	2	<14,010.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,534,543.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	6,351.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,528,192.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 1,954,335.		1,901,804.	52,531.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			52,531.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	52,531.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010			
2009			
2008			
2007			
2006			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	2,143.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,143.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,143.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		47.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		2,190.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>WI</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of RICHARD SLATE Telephone no. 920-398-2371 Located at 33 NO. BRIDGE STREET, P.O. BOX 400, MARKESAN, WI ZIP+4 53946-0400			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		5,080.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]**Total number of others receiving over \$50,000 for professional services**

5

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,469,630.
b	Average of monthly cash balances	1b	35,628.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,505,258.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,505,258.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	37,579.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,467,679.
6	Minimum investment return. Enter 5% of line 5	6	123,384.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	123,384.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	2,143.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,143.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	121,241.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	121,241.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	121,241.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	120,293.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	120,293.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	120,293.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				121,241.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			81,935.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011.				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ 120,293.				
a Applied to 2010, but not more than line 2a			81,935.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				38,358.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				82,883.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

N/A

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	4942(i)(3) or		4942(i)(5)
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Tax year	Prior 3 years			
(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total

(4) Gross investment income

Part XV

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

RICHARD SLATE, 920-398-2371

33 NO. BRIDGE STREET, PO BOX 400, MARKESAN, WI 53946

PUBLIC CHARITIES SUBMITTING LETTER OF REQUEST.

NO SPECIFIC DEADLINE

PUBLIC CHARITIES ONLY

FRANCIS R. & RUTH E. OBERREICH

Form 990-PF (2011)

FOUNDATION CORPORATION

39-1941148

Page 11

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICAN CANCER SOCIETY 250 WILLIAMS STREET ATLANTA, GA 30303	NONE	PUBLIC CHARITY	RESEARCH	1,500.
AMERICAN LEGION PO BOX 1055 INDIANAPOLIS, IN 46206	NONE	PUBLIC CHARITY	COMMUNITY SUPPORT	9,010.
BLACK HAWK FOLK FESTIVAL PO BOX 1093 WAUTOMA, WI 54982	NONE	PUBLIC CHARITY	CHILDREN'S EDUCATION	1,000.
BOY SCOUTS OF AMERICA 2555 NORTHERN ROAD APPLETON, WI 54912	NONE	PUBLIC CHARITY	GENERAL DONATION	1,500.
FDL COUNTY SHERIFF'S SHOP WITH A COP 180 S. MACY STREET FOND DU LAC, WI 54935	NONE	PUBLIC CHARITY	SHOP WITH A COP PROGRAM	5,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				101,833.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Enter gross amounts unless otherwise indicated.

FRANCIS R. & RUTH E. OBERREICH
FOUNDATION CORPORATION

39-1941148

Part XV. Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
FDL SYMPHONIC BAND PO BOX 1483 FOND DU LAC, WI 54935	NONE	PUBLIC CHARITY	CONCERT SERIES IN PARK	5,000.
FOND DU LAC POLICE DEPARTMENT 126 N. MAIN STREET FOND DU LAC, WI 54935	NONE	PUBLIC CHARITY	COMMUNITY SAFETY	26,380.
GFWC WI WOMEN'S CLUB 1734 N STREET NW WASHINGTON, DC 20036	NONE	PUBLIC CHARITY	CEREBRAL PALSY RESEARCH	500.
MARKESAN BOOSTER CLUB PO BOX 270 MARKESAN, WI 53946	NONE	PUBLIC CHARITY	CHILDREN'S PROGRAMS	500.
PRINCETON BOOSTER CLUB N6901 CITY RD Y PRINCETON, WI 54968	NONE	PUBLIC CHARITY	CHILDREN'S EDUCATION	500.
SALVATION ARMY PO BOX 1361 FOND DU LAC, WI 54936	NONE	PUBLIC CHARITY	COMMUNITY PROGRAM	1,500.
TRI COUNTY BOYS AND GIRLS CLUB PO BOX 254 BERLIN, WI 54923	NONE	PUBLIC CHARITY	CAPITAL IMPROVEMENTS	1,500.
CITY OF MARKESAN PO BOX 32 MARKESAN, WI 53946	NONE	PUBLIC CHARITY	COMMUNITY YOUTH PROGRAM	1,500.
COUNCIL ON FOUNDATIONS 2121 CRYSTAL DRIVE, SUITE 700 ARLINGTON, VA 22202	NONE	PUBLIC CHARITY	EDUCATION	1,275.
FAMILY PROMISE OF WASHINGTON COUNTY 143 N 8TH AVENUE WEST BEND, WI 53095	NONE	PUBLIC CHARITY	CHILDREN'S PROGRAMS	2,500.
Total from continuation sheets				83,823.

FRANCIS R. & RUTH E. OBERREICH
FOUNDATION CORPORATION

39-1941148

Part XV . Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FOND DU LAC ADVOCAP 19 W. FIRST STREET FOND DU LAC, WI 54935	NONE	PUBLIC CHARITY	COMMUNITY PROGRAMS	1,000.
GRACE LUTHERAN PRE-SCHOOL 430 W. GRISWOLD STREET RIPON, WI 54971	NONE	PUBLIC CHARITY	CANCER AWARENESS	250.
GREEN LAKE COUNTY AREA COMMUNITY FOUNDATION 230 OHIO STREET #100 OSHKOSH, WI 54902	NONE	PUBLIC CHARITY	COMMUNITY YOUTH PROGRAMS	500.
GREEN LAKE COUNTY CRIME STOPPERS 486 HILL STREET GREEN LAKE, WI 54941	NONE	PUBLIC CHARITY	COMMUNITY SAFETY	2,500.
GREEN LAKE DISTRICT SCHOOLS 612 MILL STREET GREEN LAKE, WI 54941	NONE	PUBLIC CHARITY	CHILDREN'S HEALTH PROGRAMS	200.
KINGSTON HISTORICAL SOCIETY PO BOX 72 KINGSTON, WI 53926	NONE	PUBLIC CHARITY	HISTORICAL PRESERVATION	15,500.
LIFEHOUSE CHRISTIAN CHURCH 401 S. NATIONAL AVENUE FOND DU LAC, WI 54935	NONE	PUBLIC CHARITY	CHILDREN'S EDUCATION	2,500.
LITTLE GREEN LAKE REHAB DISTRICT 150 S. BRIDGE STREET MARKESAN, WI 53946	NONE	PUBLIC CHARITY	CHILDREN'S PROGRAMS	150.
MARIAN COLLEGE 45 S. NATIONAL AVENUE FOND DU LAC, WI 54935	NONE	PUBLIC CHARITY	BUILDING FUND	3,500.
MARKESAN SCHOOL DISTRICT 100 E. VISTA BLVD. MARKESAN, WI 53946	NONE	PUBLIC CHARITY	COMMUNITY OUTREACH	1,350.
Total from continuation sheets				

FRANCIS R. & RUTH E. OBERREICH
FOUNDATION CORPORATION

39-1941148

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GRAND RIVER FIRE DISTRICT PO BOX 352 MARKESAN, WI 53946	NONE	PUBLIC CHARITY	COMMUNITY SAFETY EQUIPMENT	150.
OUR SAVIOR'S UNITED METHODIST CHURCH 343 SCOTT STREET RIPON, WI 54971	NONE	PUBLIC CHARITY	CHILDREN'S PROGRAMS	3,500.
RIPON COLLEGE 300 SEWARD STREET RIPON, WI 54971	NONE	PUBLIC CHARITY	CHILDREN'S EDUCATION	1,000.
SOUTHERN GREEN LAKE COUNTY AMBULANCE SERVICE PO BOX 352 MARKESAN, WI 53946	NONE	PUBLIC CHARITY	COMMUNITY SAFETY	1,218.
ST. JOHN THE BAPTIST CATHOLIC CHURCH 125 CHURCH STREET PRINCETON, WI 54968	NONE	PUBLIC CHARITY	COMMUNITY PROGRAMS	5,000.
ST. MARY'S ELEMENTARY SCHOOL 63 EAST MERRILL AVENUE FOND DU LAC, WI 54935	NONE	PUBLIC CHARITY	CHILDREN'S PROGRAM	1,500.
SUNSHINE NURSERY SCHOOL 75 N BRIDGE STREET MARKESAN, WI 53946	NONE	PUBLIC CHARITY	CHILDREN'S PROGRAMS	250.
VILLAGE OF MARQUETTE FRONT STREET MARQUETTE, WI 53945	NONE	PUBLIC CHARITY	VETERAN'S PROGRAMS	500.
FREEMASONS OF WISCONSIN 36275 SUNSET DRIVE DOUSMAN, WI 53118	NONE	PUBLIC CHARITY	CHILDREN'S PROGRAMS	1,000.
WI FOOTBALL COACHES ASSOCIATION PO BOX 807 POYNETTE, WI 53955	NONE	PUBLIC CHARITY	CHILDREN'S HOSPITAL FUND	1,000.
Total from continuation sheets				

39-1941148

3 Grants and Contributions Paid During the Year (Continuation)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ING A/C 1253 FINANCIAL PARTNERS - SEE ATTACHED	P		07/01/11
b	ING A/C 1253 FINANCIAL PARTNERS - SEE ATTACHED	P		07/01/11
c	AMERICAN FUNDS - SEE ATTACHED	P		07/01/11
d	AMERICAN FUNDS - SEE ATTACHED	P		07/01/11
e	CAPITAL GAINS DIVIDENDS			
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 659,432.		655,669.	3,763.
b 282,837.		269,603.	13,234.
c 30,507.		30,221.	286.
d 975,761.		946,311.	29,450.
e 5,798.			5,798.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			3,763.
b			13,234.
c			286.
d			29,450.
e			5,798.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	52,531.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

2011 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	COMPUTER	100804	SL	5.00	16	2,595.			2,595.	2,595.		0.
2	COMPUTERS	081211	SL	5.00	16	4,150.			4,150.			346.
	* TOTAL 990-PF PG 1					6,745.		0.	6,745.	2,595.	0.	346.
	DEPR											

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
LOCUST STREET SECURITIES	14,708.
MARKESAN STATE BANK	144.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	14,852.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
AMERICAN BALANCED FUND	1,721.	0.	1,721.
AMERICAN HIGH-INCOME TRUST - A	7,152.	0.	7,152.
CAPITAL INCOME BUILDER - A	2,127.	0.	2,127.
CAPITAL WORLD BOND FUND - A	1,525.	0.	1,525.
CAPITAL WORLD GROWTH AND INCOME FUND - A	60.	0.	60.
EUROPACIFIC GROWTH FUND	2,338.	0.	2,338.
ING FINANCIAL PARTNERS	20,154.	5,798.	14,356.
SMALLCAP WORLD FUND - A	143.	0.	143.
THE BOND FUND OF AMERICA - A	15,411.	0.	15,411.
THE GROWTH FUND OF AMERICA - A	794.	0.	794.
THE INCOME FUND OF AMERICA	2,199.	0.	2,199.
THE INVESTMENT COMPANY OF AMERICA - A	6,202.	0.	6,202.
US GOVERNMENT SECURITIES FUND - A	4,008.	0.	4,008.
TOTAL TO FM 990-PF, PART I, LN 4	63,834.	5,798.	58,036.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND LEGAL FEES	13,600.	6,800.		6,800.
TO FORM 990-PF, PG 1, LN 16B	13,600.	6,800.		6,800.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	8,980.	8,980.		0.
TO FORM 990-PF, PG 1, LN 16C	8,980.	8,980.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	600.	600.		0.
FEDERAL TAX	511.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,111.	600.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUTO	727.	0.		727.
BANK CHARGES	17.	17.		0.
OFFICE EXPENSE	1,763.	0.		1,763.
PENALTY	15.	0.		0.
EDUCATION	2,760.	0.		2,760.
SUBSCRIPTIONS	110.	0.		110.
FILING FEES	40.	0.		40.
TO FORM 990-PF, PG 1, LN 23	5,432.	17.		5,400.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 7

DESCRIPTION	AMOUNT
PRIOR PERIOD ADJUSTMENT DUE TO OVERSTATEMENT OF BASIS IN INVESTMENTS	6,351.
TOTAL TO FORM 990-PF, PART III, LINE 5	6,351.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
UNITED STATES TREAS NOTES 3.75% 6/30/13	X		0.	0.
FED HOME NATL MTG ASSN 1.75% 8/10/12	X		0.	0.
UNITED STATES TREAS NOTES 1.375% DTD 1/15/13	X		0.	0.
UNITED STATES TREAS NOTES 1.75% DTD 7/31/15	X		0.	0.
UNITED STATES TREAS NOTES 1.875% DTD 8/31/17	X		0.	0.
UNITED STATES TREAS NOTES 2.625% DTD 8/15/20	X		0.	0.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			0.	0.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FORD MOTOR CO COMMON STOCK	14,927.	29,929.
TOTAL TO FORM 990-PF, PART II, LINE 10B	14,927.	29,929.

FORM 990-PF	CORPORATE BONDS	STATEMENT 10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CAPITAL ONE FINANCIAL CORP 5.7% 9/15/11	0.	0.
XEROX CORP 4.250% 2/15/15	0.	0.
METLIFE INC SEMI ANNUAL	0.	0.
MCDONALDS CORP 5% 2/1/19	0.	0.
CISCO SYSTEMS 4.950% 2/15/19	0.	0.
TIME WARNER 5% 2/1/20	0.	0.
DEUTSCH BANK AG 2.375%	0.	0.
SHELL INTERNATIONAL 1.875% SEMI ANNUAL	0.	0.
BARCLAYS BANK	0.	0.
VERIZON WIRELESS 5.5% SEMI ANNUAL	0.	0.
JP MORGAN CHASE & CO 5.125% SEMI ANNUAL	0.	0.
GOLDMAN SACHS GROUP 1.201% 9/29/14	0.	0.
NOVARTIS SEMI ANNUAL	0.	0.
AT&T INC GLOBAL NOTES 2.5%	0.	0.
WALMART STORES INC 1.5% DTD 10/25/15	0.	0.
KRAFT FOODS INC 4.125% SEMI ANNUAL	0.	0.
STATOIL HYDRO 3.125% DTD 8/17/17	0.	0.
CREDIT SUISSE MEDIUM TERM 5.4% DTD 1/14/20	0.	0.
GENERAL ELECTRIC 4.375% DTD 9/16/20	0.	0.
COCA COLA 3.15% DTD 11/15/20	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10C	0.	0.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 11
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT IN REGISTERED INVESTMENT COMPANIES	COST	1,840,225.	1,812,567.
INVESTMENT IN REGISTERED INVESTMENT COMPANIES	COST	589,755.	541,397.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,429,980.	2,353,964.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 12

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	2,595.	2,595.	0.
COMPUTERS	4,150.	346.	3,804.
TOTAL TO FM 990-PF, PART II, LN 14	6,745.	2,941.	3,804.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM R. SLATE P.O. BOX 400 MARKESAN, WI 539460400	PRESIDENT 10.00	720.	0.	0.
CRAIG SMESTAD P.O. BOX 400 MARKESAN, WI 539460400	VICE PRESIDENT 10.00	720.	0.	0.
JOAN R. SLATE P.O. BOX 400 MARKESAN, WI 539460400	TREASURER 10.00	840.	0.	0.
ROGER ZULEGER P.O. BOX 400 MARKESAN, WI 539460400	SECRETARY 10.00	640.	0.	0.
RICHARD SLATE P.O. BOX 400 MARKESAN, WI 539460400	CORRESPONDING SECRETARY 10.00	2,160.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		5,080.	0.	0.

Francis R & Ruth E. Oberreich Foundation
 39-1941148
 Stock Sales
 12/31/11

	Name	QTY	Date of Purchase	Date of Sale	Proceeds	Basis	Gain/(Loss)
ING Financial Acct 1253	AT&T	17,000	7/27/2010	6/7/2011	17,259.59	16,956.31	303.28 ST
	American International Group	11,000	2/9/2011	6/8/2011	11,603.57	11,465.37	138.20 ST
	Anheuser Bush	10,000	1/24/2011	6/8/2011	10,271.90	9,982.92	288.98 ST
	BNP Paribas	17,000	2/17/2011	6/9/2011	17,370.94	16,983.27	387.67 ST
	Barclays BK	17,000	11/17/2010	6/7/2011	16,971.10	17,000.00	(28.90) ST
	Catepillar Inc	12,000	5/24/2011	6/7/2011	12,062.04	11,990.96	71.08 ST
	Coca Cola	18,000	11/4/2010	6/7/2011	17,487.54	17,947.58	(460.04) ST
	Deutsch Bank	17,000	1/5/2010	1/4/2011	17,295.97	16,988.65	307.32 ST
	Deutsch Bank	17,000	1/4/2011	6/9/2011	17,368.05	16,985.55	382.50 ST
	DirecTV	11,000	1/11/2011	6/7/2011	11,542.74	11,214.41	328.33 ST
	Dow Chem	10,000	1/24/2011	6/7/2011	11,324.00	11,000.70	323.30 ST
	Federal National Mortgage	45,000	1/14/2011	6/7/2011	45,000.00	44,140.77	859.23 ST
	General Electric	29,000	9/13/2010	6/7/2011	28,884.58	28,786.20	98.38 ST
	Goldman Sachs	12,000	12/1/2010	6/8/2011	11,820.00	11,730.10	89.90 ST
	JP Morgan Chase	26,000	7/15/2010	6/7/2011	28,170.48	27,637.32	533.16 ST
	Kraft Foods Inc	16,000	4/7/2010	3/15/2011	16,815.04	16,130.81	684.23 ST
	Royal Bank of Scotland	17,000	3/15/2011	6/8/2011	17,296.48	16,965.89	330.59 ST
	Shell	17,000	9/16/2010	3/11/2011	17,329.46	17,337.79	(8.33) ST
	Statoil	12,000	8/10/2010	6/7/2011	12,179.52	11,976.56	202.96 ST
	Telefonica	11,000	2/7/2011	6/7/2011	11,279.84	11,000.00	279.84 ST
	Thermo Fisher Scientific	11,000	2/14/2011	6/9/2011	11,393.14	10,993.65	399.49 ST
	Transocean Inc	11,000	3/22/2011	6/7/2011	11,857.45	11,706.81	150.64 ST
	US Treasury Bond	49,000	5/11/2011	6/7/2011	51,940.00	51,886.94	53.06 ST
	US Treasury Bond	11,000	8/19/2010	6/7/2011	11,199.38	11,190.78	8.60 ST
	US Treasury Bond	29,000	10/6/2010	1/4/2011	27,477.50	29,598.25	(2,120.75) ST
	US Treasury Bond	17,000	9/22/2010	2/7/2011	15,958.09	16,960.16	(1,002.07) ST
	US Treasury Bond	18,000	1/20/2011	5/2/2011	17,376.33	17,163.64	212.69 ST
	US Treasury Bond	51,000	1/4/2011	1/18/2011	51,416.37	51,392.17	24.20 ST
	US Treasury Bond	46,000	2/23/2011	5/11/2011	46,515.70	45,718.85	796.85 ST
	US Treasury Bond	13,000	5/18/2011	6/3/2011	13,185.35	12,981.27	204.08 ST
	US Treasury Bond	13,000	5/18/2011	6/7/2011	13,081.25	12,981.29	99.96 ST
	US Treasury Bond	10,000	3/11/2011	6/8/2011	11,449.10	11,128.98	320.12 ST
	Valero Energy	10,000	4/28/2010	1/11/2011	11,096.30	10,832.91	263.39 ST
	Venzon Wireless						

Walmart	17,000	10/18/2010	2/14/2011	16,153.23	16,912.70	(759.47) ST
Capital One	10,000	11/24/2009	1/4/2011	10,331.30	10,231.87	99.43 LT
Cisco	26,000	2/9/2009	6/7/2011	28,348.58	25,952.33	2,396.25 LT
Credit Suisse	12,000	1/11/2010	6/7/2011	12,564.48	11,973.92	590.56 LT
Federal National Mtg	12,000	10/1/2009	1/4/2011	12,229.67	12,048.87	180.80 LT
Federal National Mtg	11,000	10/1/2009	2/9/2011	11,179.52	11,042.10	137.42 LT
Federal National Mtg	33,000	10/1/2009	6/7/2011	33,495.00	33,099.01	395.99 LT
McDonalds	9,000	1/13/2009	1/24/2011	9,787.86	8,997.44	790.42 LT
Metlife	22,000	5/26/2009	1/24/2011	25,554.10	21,958.03	3,596.07 LT
Novartis	17,000	3/9/2010	3/22/2011	17,483.31	16,933.94	549.37 LT
Time Warner	11,000	12/8/2009	6/7/2011	11,451.11	10,797.66	653.45 LT
US Treasury Bond	23,000	8/19/2008	5/2/2011	24,399.77	23,153.64	1,246.13 LT
US Treasury Bond	1,000	9/10/2008	5/2/2011	1,060.86	1,013.87	46.99 LT
US Treasury Bond	27,000	9/10/2008	6/7/2011	28,620.00	27,374.50	1,245.50 LT
US Treasury Bond	44,000	9/10/2008	6/7/2011	44,565.47	43,968.89	596.58 LT
Xerox	11,000	12/4/2009	6/7/2011	11,765.82	11,056.85	708.97 LT

942,268.88	925,272.48	16,996.40
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ING Total Short-term gain/(loss)

659,432.03	655,669.56	3,762.47
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ING Total Long-term gain/(loss)

282,836.85	269,602.92	13,233.93
942,268.88	925,272.48	16,996.40

American Funds	The Growth Fund of America - A	6/21/2005	Various	115,828.99	120,878.33	(5,049.34) LT
Acct 2789	New World Fund - A	Various	Various	137,012.17	109,731.41	27,280.76 LT
	Smallcap World Fund - A	Various	Various	93,376.19	84,908.30	8,467.89 LT
	Capital World Growth & Income Fund - A	Various	Various	3,132.82	3,672.95	(540.13) LT
	The Investment Company of America - A	6/21/2005	Various	47,877.58	55,477.22	(7,599.64) LT
	Capital Income Builder - A	Various	Various	107,679.60	115,429.84	(7,750.24) LT
	The Income Fund of America - A	Various	Various	103,144.52	114,411.51	(11,266.99) LT
	American High-Income Trust - A	Various	7/11/2011	7,500.00	8,575.50	(1,075.50) LT
	The Bond Fund of America - A	9/9/1999	7/11/2011	7,500.00	7,862.61	(362.61) LT
	Capital World Bond Fund - A	Various	Various	86,562.81	78,052.47	8,510.34 LT
	U.S. Government Securities Fund - A	Various	Various	266,146.81	247,311.11	18,835.70 LT
	Total LT Capital Gain			975,761.49	946,311.25	29,450.24 LT
	New World Fund - A	12/27/2010	9/13/2011	1,954.15	2,182.79	(228.64) ST
				40.44		

Capital World Growth & Income Fund -A	2.57	Various	9/13/2011	80 64	90.78	(10.14)	ST
Capital Income Builder - A	97.69	Various	9/13/2011	4,688 88	4,826.73	(137.85)	ST
The Income Fund of America - A	307.92	Various	9/13/2011	4,945 13	5,066.67	(121 54)	ST
Capital World Bond Fund - A	168 06	Various	9/13/2011	3,552.73	3,463 00	89.73	ST
U.S. Government Securities Fund - A	1,047.66	Various	9/13/2011	15,285 36	14,591 11	694 25	ST
				30,506 89	30,221.08	285.81	ST

AMERICAN FUNDS TOTAL

1,006,268.38 976,532.33 29,736.05

American Funds - LT
American Funds - ST

975,761 49 946,311 25 29,450 24
30,506 89 30,221 08 285 81

ING A/C 5291 Totals

5,798.44 - 5,798.44 LT

Total Short Term Gain
Total Long Term Gain
Total Gain/(Loss)

689,938 92 685,890 64 4,048 28
1,264,396 78 1,215,914 17 48,482 61
1,954,335 70 1,901,804 81 52,530 89

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. FRANCIS R. & RUTH E. OBERREICH FOUNDATION CORPORATION	Employer identification number (EIN) or <input checked="" type="checkbox"/> 39-1941148
	Number, street, and room or suite no. If a P.O. box, see instructions. PO BOX 400	Social security number (SSN) <input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. MARKESAN, WI 53946-0400	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

RICHARD SLATE - 33 NO. BRIDGE STREET, P.O. BOX 400 -

- The books are in the care of ► **MARKESAN, WI 53946-0400**

Telephone No. ► **920-398-2371**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2012**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2011** or
► ☐ tax year beginning _____, and ending _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2012)

• If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ☒ **X**

Note: Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions	
Type or print Name of exempt organization or other filer, see instructions FRANCIS R. & RUTH E. OBERREICH FOUNDATION CORPORATION	Employer identification number (EIN) or <input checked="" type="checkbox"/> 39-1941148
File by the due date for filing your return. See instructions. Number, street, and room or suite no. If a P.O. box, see instructions. PO BOX 400	Social security number (SSN) <input type="checkbox"/>
City, town or post office, state, and ZIP code. For a foreign address, see instructions. MARKESAN, WI 53946-0400	

Enter the Return code for the return that this application is for (file a separate application for each return) **0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

RICHARD SLATE - 33 NO. BRIDGE STREET, P.O. BOX 400 -

• The books are in the care of **MARKESAN, WI 53946-0400**

Telephone No. **920-398-2371**

FAX No. ☐

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2012.**

5 For calendar year **2011**, or other tax year beginning ☐ , and ending ☐.

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NEEDED TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Title **CPA**

Date **8/14/2012**

Form 8868 (Rev. 1-2012)