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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
The Doering Family Foundation

% ROBERT E DOERING

Number and street (or P O box number if mail is not delivered to street address) Room/suite
79-100 Casa Vida Circle

City or town, state, and ZIP code
La Quinta, CA 92253

A Employer identification number
39-1805495

B Telephone number (see page 10 of the instructions)
(760) 777-8394

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 1,567,813

J Accounting method

☐ Cash

☒ Accrual

☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	32,478	32,478		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	42,136			
	b Gross sales price for all assets on line 6a _____ 975,853				
	7 Capital gain net income (from Part IV, line 2)		42,136		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	74,614	74,614		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).	210	84	0	126
	b Accounting fees (attach schedule).	12,675	5,070	0	7,605
	c Other professional fees (attach schedule)	 11,964	11,964		
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	 575			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).	 10			
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	25,434	17,118	0	7,731
	25 Contributions, gifts, grants paid.	78,000			78,000
	26 Total expenses and disbursements. Add lines 24 and 25	103,434	17,118	0	85,731
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-28,820			
	b Net investment income (if negative, enter -0-)		57,496		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	50,010	44,271	44,271
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	3,254	2,679	2,679
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	994,684	860,006	860,006
	c	Investments—corporate bonds (attach schedule).	652,386	656,681	656,681
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	3,168	4,176	4,176	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,703,502	1,567,813	1,567,813	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,703,502	1,567,813	
	30	Total net assets or fund balances (see page 17 of the instructions)	1,703,502	1,567,813	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,703,502	1,567,813	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1,703,502
2	Enter amount from Part I, line 27a	-28,820
3	Other increases not included in line 2 (itemize) ▶ _____	
4	Add lines 1, 2, and 3	1,674,682
5	Decreases not included in line 2 (itemize) ▶ _____	106,869
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	1,567,813

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE ATTACHED CLEARY GULL # 802-01440	P		
b	SEE ATTACHED CLEARY GULL # 802-01440	P		
c	SEE ATTACHED CLEARY GULL # 802 - 03167	P		
d	SEE ATTACHED CLEARY GULL # 802 - 03167	P		
e	CAPITAL GAINS DIVIDENDS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 439,736		419,425	20,311
b 441,008		434,047	6,961
c 49,058		41,757	7,301
d 38,980		38,488	492
e 7,071			7,071

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			20,311
b			6,961
c			7,301
d			492
e			7,071

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	42,136
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	90,372	1,635,622	0 055252
2009	93,815	1,532,951	0 061199
2008	98,504	1,868,438	0 05272
2007	90,662	2,111,248	0 042942
2006	83,010	2,012,323	0 041251

2 Total of line 1, column (d).	2	0 253364
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050673
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	1,669,030
5 Multiply line 4 by line 3.	5	84,575
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	575
7 Add lines 5 and 6.	7	85,150
8 Enter qualifying distributions from Part XII, line 4.	8	85,731

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	575
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	575
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	575
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	3,255	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	3,255
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	2,680
11		Enter the amount of line 10 to be Credited to 2012 estimated tax 2,680	Refunded	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☒		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes ☒	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T. ☒			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) WI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of ROBERT E DOERING Telephone no (760) 777-8394 Located at 79-100 CASA VIDA CIRCLE LAQUINTA CA ZIP+4 92253			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year.			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	15		
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	☐	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Robert E Doering	President,	0	0	0
79-100 Casa Vida Circle	Treasurer,Director			
La Quinta, CA 92253	2 0			
Margaret P Doering	Vice Pres Secretary,	0	0	0
79-100 Casa Vida Circle	Director			
La Quinta, CA 92253	2 0			
Rick R Doering	Director	0	0	0
189 Oldcastle Lane	1 0			
Alameda, CA 94502				
Jayne D Sungail	Director	0	0	0
3349 Oak Knoll	1 0			
Redwood City, CA 94062				
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,647,483
b	Average of monthly cash balances.	1b	43,292
c	Fair market value of all other assets (see page 24 of the instructions).	1c	3,672
d	Total (add lines 1a, b, and c).	1d	1,694,447
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,694,447
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	25,417
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,669,030
6	Minimum investment return. Enter 5% of line 5.	6	83,452

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	83,452
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	575
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	575
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	82,877
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	82,877
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	82,877

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	85,731
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	85,731
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	575
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	85,156
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7			
2	Undistributed income, if any, as of the end of 2011			
a	Enter amount for 2010 only. 59,257			
b	Total for prior years 2009 , 2008 , 2007			
3	Excess distributions carryover, if any, to 2011			
a	From 2006.			
b	From 2007.			
c	From 2008.			
d	From 2009.			
e	From 2010.			
f	Total of lines 3a through e.			
4	Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 85,731			
a	Applied to 2010, but not more than line 2a 59,257			
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .			
d	Applied to 2011 distributable amount. 26,474			
e	Remaining amount distributed out of corpus 0			
5	Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6	Enter the net total of each column as indicated below:			
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5 0			
b	Prior years' undistributed income Subtract line 4b from line 2b.			
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .			
e	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 56,403			
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).			
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).			
9	Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a 0			
10	Analysis of line 9			
a	Excess from 2007. . . .			
b	Excess from 2008. . . .			
c	Excess from 2009. . . .			
d	Excess from 2010. . . .			
e	Excess from 2011. . . . 0			

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ROBERT E DOERING

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

THE DOERING FAMILY FOUNDATION
79-100 CASA VIDA CIRCLE
LA QUINTA, CA 92253
(760) 777-8394

b

The form in which applications should be submitted and information and materials they should include

WRITTEN REQUEST PROVIDING INFORMATION ON ORGANIZATION AND USE OF FUNDS

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	78,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	32,478	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	42,136	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .				74,614	
13 Total. Add line 12, columns (b), (d), and (e).			13		74,614

[illegible]

Part XVII

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge				
	*****			2012-05-13	*****
	Signature of officer or trustee			Date	Title
	Paid Preparer's Use Only	Preparer's Signature  Neal R Tyson		Date	Check if self-employed ☒
Firm's name  Mowery & Schoenfeld LLC			Firm's EIN 		
Firm's address  475 Half Day Road Suite 250 Lincolnshire, IL 60069			Phone no (847) 247-8959		

May the IRS discuss this return with the preparer shown above? See instructions ☒ Yes ☐ No

Additional Data

Software ID:
Software Version:
EIN: 39-1805495
Name: The Doering Family Foundation

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
John Muir Health Foundation1400 Treat Blvd Third Floor Walnut creek,CA 94596	None	501C-3	General Purpose	5,000
Boys and Girls Clubs of Coachella Valley42-600 Cook Street Suite 120 Palm Desert,CA 92211	NONE	501C-3	General Purpose	3,500
Martha's Village and Kitchen83791 Date Avenue Indio,CA 92201	NONE	501C-3	General Purpose	3,000
Eisenhower Medical Center Foundation39000 Bob Hope Drive Rancho Mirage,CA 92270	NONE	501C-3	General Purpose	3,000
The Salvation Army30-400 Landau Blvd Cathedral City,CA 922345182	NONE	501C-3	General Purpose	2,500
Coachella Valley Rescue Mission POBox 10660 Indio,CA 92202	NONE	501C-3	General purpose	2,500
Habitat for Humanity International 121 Habitat Street Americus,GA 31709	NONE	501C-3	General purpose	2,000
Jeanne McCue - Bosnia ReliefPOBox 17438 WhiteFish Bay,WI 53217	NONE	501C-3	General purpose	2,000
The Living Desert900 Portola Avenue Palm Desert,CA 92260	NONE	501C-3	General purpose	2,000
Hidden HarvestPOBox 266 Coachella,CA 92236	NONE	5013-3	General purpose	2,000
Guide Dogs of the Desert InternationalPO Box 1692 Palm Spring,CA 92263	NONE	501C-3	General purpose	2,000
Smile TrainPOBox 96246 Washington,DC 200906246	NONE	501C-3	General purpose	2,000
FISHPOBox 116 Coachella,CA 92236	NONB	501C-3	General purpose	1,500
Mourning Star Center of VNAAIC42-600 Cook Street Ste 250A Palm Desert,CA 82211	NONE	501C-3	General purpose	1,500
Senior Gleaners Inc1951 Bell Avenue Sacramento,CA 95838	NONE	501C-3	General purpose	1,500
Galilee CenterPO Box 297 Thermal,CA 92274	NONE	501C-3	General purpose	1,000
ACMNP- A Christian Ministry in the National Parks10 Justins Way Freeport,ME 04032	NONE	501C-3	General purpose	1,000
CROP - Wisconsin4408 Winnequah Road Madison,WI 53717	NONE	501C-3	General purpose	1,000
Hass School of Business - UC Berkeley545 Student Services Berkeley,CA 94720	NONE	501C-3	General purpose	3,000
Pacific Diversified Services223 San Anselmo Avenue Ste 1 San Anselmo,CA 94960	NONE	501C-3	General purpose	3,000
Community Employment Services 1242 Market Street San Francisco,CA 94102	NONE	501C-3	General purpose	3,000
Building Futures with Women & Children1395 Bancroft Avenue San Leandro,CA 94577	NONE	501C-3	General purpose	2,000
Wardrove for Opportunity570 14th Street Suite 5 Oakland,CA 94612	NONE	501C-3	General purpose	2,000
TransCen Inc WorkLink785 Market Street Ste 670 San Francisco,CA 94103	NONE	501C-3	General purpose	1,000
Alameda Boys and Girls Club Inc POBox 1069 Alameda,CA 94501	NONE	501C-3	General purpose	1,000
Cope Family Center1340 4th Street Napa,CA 94559	NONE	501C-3	General purpose	1,000
Alameda County Community Food CenterPOBox 2599 Oakland,CA 94614	NONE	501C-3	General purpose	1,000
Alameda Meals on WheelsPOBox 2534 Alameda,CA 94501	NONE	501C-3	General purpose	1,000
Square Meals Project2607 Ellsworth Street Berkeley,CA 94704	NONE	501C-3	General purpose	1,000
If Given a ChancePOBox 2607 Napa,CA 94558	NONE	501C-3	General purpose	1,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Child Advocates of Silicon Valley115 E Gish Road Suite 252 San Jose, CA 95112	NONE	501C-3	General purpose	2,000
Second Harvest Food Bank - San Mateo County750 Curtner Avenue San Jose, CA 95125	NONE	501C-3	General purpose	2,000
Job Train1200 OBrien Drive Menlo Park, CA 94025	NONE	501C-3	General purpose	1,500
Chronicle Season of Sharing901 Mission Street San Francisco, CA 94103	NONE	501C-3	General purpose	1,500
EPAK-East Palo Alto Kids Foundation POBox 50542 Palo Alto, CA 94303	NONE	501C-3	General purpose	1,500
Lucile Packard Children's Fund400 Hamilton Avenue Suite 340 Palo Alto, CA 94301	NONE	501C-3	General purpose	1,500
Redwood City Education Foundation POBox 3046 Redwood City, CA 94064	NONE	501C-3	General purpose	1,000
Marine Science Institute500 Discovery Parkway Redwood City, CA 94063	NONE	501C-3	General purpose	1,000
Redwood City Library Foundation1044 Middlefield Road Redwood City, CA 94063	NONE	501C-3	General purpose	1,000
CuriOdyssey at Cayote Point1651 Cayote Point Drive San Mateo, CA 944011097	NONE	501C-3	Environmental education	1,000
Pacific Skyline Council - BSA1150 Chess Drive Foster City, CA 94404	NONE	501C-3	General purpose	1,000
Girls Scout of San Francisco Bay Area 7700 Edgewater Drive Oakland, CA 94621	NONE	501C-3	General purpose	1,000
North Star Academy Parents Club400 Duane Street Redwood City, CA 94062	NONE	501C-3	General purpose	1,000
My New Red Shoes111 Anza Blvd Suite 110 Burlingame, CA 94010	NONE	501C-3	General purpose	1,000
Sequoia HSEducation Foundation 1201 Brewster Avenue Redwood City, CA 94062	NONE	501C-3	General purpose	1,000
Total  3a				78,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2011 Depreciation Schedule

Name: The Doering Family Foundation

EIN: 39-1805495

TY 2011 Land, Etc. Schedule**Name:** The Doering Family Foundation**EIN:** 39-1805495

TY 2011 Other Assets Schedule

Name: The Doering Family Foundation

EIN: 39-1805495

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INTEREST RECEIVABLE	3,168	4,176	4,176

TY 2011 Other Decreases Schedule

Name: The Doering Family Foundation

EIN: 39-1805495

Description	Amount
UNREALIZED LOSS ON SECURITIES	106,869

TY 2011 Other Expenses Schedule

Name: The Doering Family Foundation

EIN: 39-1805495

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LICENSES AND FEES	10			

TY 2011 Other Professional Fees Schedule

Name: The Doering Family Foundation

EIN: 39-1805495

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	11,964	11,964		

TY 2011 Taxes Schedule

Name: The Doering Family Foundation

EIN: 39-1805495

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	575			

INVESTMENT ACCESS ACCOUNT STATEMENT WITH PRIVATE CLIENT GROUP 2011 ANNUAL STATEMENT

Account number:
802-01440
Page 21 of 23

SCHEDULE OF REALIZED GAINS AND LOSSES

	THIS YEAR
Total Realized Gain or Loss	26,928.37
Short-term	20,311.37
Long-term	6,617.00

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Short Term							
2,748.277	ASTON FDS RIV RD SELECT VALUE FUND CL I	ARIMX	10/28/10	24,798.38	01/12/11	26,896.99	2,098.61 V
23.342	ASTON FDS RIV RD SELECT VALUE FUND CL I	ARIMX	10/28/10	210.62	08/11/11	206.30	-4.32 V
67.936	ASTON FDS RIV RD SELECT VALUE FUND CL I	ARIMX	12/30/10	666.45	01/12/11	664.88	-1.57 V
43.848	ASTON FDS RIV RD SELECT VALUE FUND CL I	ARIMX	12/30/10	430.15	01/12/11	429.13	-1.02 V
707.271	FAIRHOLME FUNDS INC COM	FAIRX	07/29/10	22,977.46	01/12/11	25,666.50	2,689.04 V
22.091	FAIRHOLME FUNDS INC COM	FAIRX	12/17/10	751.97	01/12/11	801.67	49.70 V
119.251	FAIRHOLME FUNDS INC COM	FAIRX	12/17/10	4,059.31	01/12/11	4,327.56	268.25 V
5.381	FAIRHOLME FUNDS INC COM	FAIRX	01/03/11	191.45	01/12/11	195.27	3.82 V
716.253	HEARTLAND GROUP INC SELECT VALUE FD INSTL CL	HNSVX	07/29/10	17,960.55	01/12/11	21,264.00	3,303.45 V
24.488	HEARTLAND GROUP INC SELECT VALUE FD INSTL CL	HNSVX	12/30/10	715.77	01/12/11	727.00	11.23 V
1,048.951	LOOMIS SAYLES BOND FUND RETAIL CLASS	LSBRX	11/22/10	15,009.00	07/28/11	15,571.31	562.31 V
353.357	LOOMIS SAYLES BOND FUND RETAIL CLASS	LSBRX	12/30/10	5,009.00	07/28/11	5,245.46	236.46 V
20,000.000	MORGAN STANLEY NOTES	617446HR3	11/17/10	21,004.67	08/24/11	20,572.00	-432.67 V

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Short Term							
1,772.793	MUTUAL GLOBAL DISCOVERY FUND CL Z	MDISX	07/29/10	49,018.00	01/12/11	53,210.41	4,192.41 V
0.463	MUTUAL GLOBAL DISCOVERY FUND CL Z	MDISX	09/08/10	12.94	01/12/11	13.90	0.96 V
33.965	MUTUAL GLOBAL DISCOVERY FUND CL Z	MDISX	12/21/10	995.86	01/12/11	1,019.46	23.60 V
1,146.123	OAKMARK INTERNATIONAL SMALL CAP FUND CL I	OAKEX	09/02/10	14,007.82	01/12/11	16,609.98	2,602.16 V
26.291	OAKMARK INTERNATIONAL SMALL CAP FUND CL I	OAKEX	12/20/10	367.02	01/12/11	381.02	14.00 V
998.004	PNC ULTRA SHORT BOND CLASS I	PNCIX	01/12/11	10,001.80	05/11/11	9,991.00	-10.80 V
3,992.016	PNC ULTRA SHORT BOND CLASS I	PNCIX	01/12/11	40,007.20	07/26/11	39,911.16	-96.04 V
198.020	RIDGEWORTH FDS US GOVT SECS ULTRA SHORT BD FD	SIGVX	10/28/10	1,998.92	09/23/11	1,999.65	0.73 V
1,784.141	RIDGEWORTH FDS US GOVT SECS ULTRA SHORT BD FD	SIGVX	10/28/10	17,984.13	10/27/11	17,984.14	0.01 V
990.099	RIDGEWORTH FDS US GOVT SECS ULTRA SHORT BD FD	SIGVX	07/22/11	10,001.53	08/11/11	10,000.00	-1.53 V
4,851.485	RIDGEWORTH FDS US GOVT SECS ULTRA SHORT BD FD	SIGVX	07/22/11	49,007.47	09/23/11	48,991.31	-16.16 V
1,688.081	RIDGEWORTH FDS US GOVT SECS ULTRA SHORT BD FD	SIGVX	10/26/11	17,015.85	10/27/11	17,015.86	0.01 V
250.000	SPDR S&P 500 ETF TR UNIT SER I S&P DEPOSITARY	SPY	09/22/11	28,020.40	10/19/11	30,549.66	2,529.26 V
198.000	SPDR S&P 500 ETF TR UNIT SER I S&P DEPOSITARY	SPY	09/22/11	22,192.15	11/03/11	24,948.72	2,756.57 V
4,132.231	VANGUARD FIXED INC SECS FD INC SHORT TERM INVT GRADE FUND	VFSTX	10/22/10	45,009.00	01/12/11	44,541.90	-467.10 V
Short Term Subtotal				419,424.87		439,736.24	20,311.37

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INVESTMENT ACCESS ACCOUNT STATEMENT WITH PRIVATE CLIENT GROUP 2011 ANNUAL STATEMENT

Account number:
802-01440
Page 23 of 23

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
2,860.061	ASTON FDS RIV RD SELECT VALUE FUND CL I	ARIMX	03/17/08	24,242.16	08/11/11	25,278.02	1,035.86 V
91.533	ASTON FDS RIV RD SELECT VALUE FUND CL I	ARIMX	03/17/08	775.84	08/11/11	808.99	33.15 V
7.020	ASTON FDS RIV RD SELECT VALUE FUND CL I	ARIMX	12/30/08	44.86	08/11/11	62.04	17.18 V
2,269.289	ASTON FDS RIV RD SELECT VALUE FUND CL I	ARIMX	04/14/09	15,018.00	08/11/11	20,056.61	5,038.61 V
10.685	ASTON FDS RIV RD SELECT VALUE FUND CL I	ARIMX	12/30/09	87.83	08/11/11	94.44	6.61 V
50,000.000	BERKSHIRE HATHAWAY INC DEL NT	084670AS7	01/26/07	48,850.00	06/28/11	51,837.00	2,987.00
1,547.484	FAIRHOLME FUNDS INC COM	FAIRX	07/29/10	50,273.88	10/03/11	35,413.68	-14,860.20 V
146.723	FAIRHOLME FUNDS INC COM	FAIRX	07/29/10	4,766.66	10/03/11	3,357.71	-1,408.95 V
75,000.000	FEDERAL NATIONAL MTG ASSN	3136F9EM1	08/04/08	75,104.55	11/22/11	79,668.75	4,564.20 V
15,000.000	INTERNATIONAL BUSINESS MACHS CORP NT	459200GR6	11/05/09	15,005.85	03/02/11	15,306.90	301.05
1,605.652	JANUS INVT FD FORTY FD CL I	JCAPX	11/10/09	50,018.00	07/21/11	57,489.40	7,471.40 V
281.194	LOOMIS SAYLES BOND FUND RETAIL CLASS	LSBRX	10/22/08	2,881.00	07/28/11	4,174.23	1,293.23 V
1,860.465	PIMCO FDS TOTAL RETURN FD CL P	PTTPX	07/29/10	21,152.17	10/18/11	19,991.00	-1,161.17 V
50,000.000	ROYAL BANK OF CANADA US PAY GLOBAL NOTES	780085LP9	08/09/06	50,000.00	07/20/11	50,000.00	0.00 V
6,352.883	VANGUARD FIXED INC SECS FD INC SHORT TERM INVT GRADE FUND	VFSTX	08/17/09	66,340.02	01/12/11	68,478.63	2,138.61 V
401.248	WILLIAM BLAIR FUNDS INTERNATIONAL GROWTH FUND	BIGIX	10/17/05	9486.17 9,820.58	01/12/11	8,991.00	-839.58 V <495.17>
Long Term Subtotal				434,046.99		441,008.40	6,817.00 6961.41
TOTAL REALIZED GAIN OR LOSS				853,471.86		880,744.64	26,928.37 272,721.78

V - Cost basis for this tax lot was determined by specific lot identification methodology, based on instructions you provided or by your standing orders on file at the time of the trade.

THE DOERING FAMILY FOUNDATION
PCG/ARGENT

Account number
802-03167
Page 10 of 12

TAXABLE INCOME
(continued)

Dividends

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT
		Total Dividends	\$2,287.22
TOTAL TAXABLE INCOME			\$2,287.22

SCHEDULE OF REALIZED GAINS AND LOSSES

	THIS YEAR
Total Realized Gain or Loss	7,793.02
Short-term	7,300.96
Long-term	492.06

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Short Term							
160.000	BEST BUY COMPANY INC	BBY	07/23/10	5,677.15	05/26/11	4,966.93	-710.22
30.000	BIOGEN IDEC INC	BIIB	07/23/10	1,643.51	05/04/11	2,873.98	1,230.47
80.000	CISCO SYSTEMS INC	CSCO	07/23/10	1,873.96	03/02/11	1,473.57	-400.39
30.000	FACTSET RESEARCH SYSTEMS INC	FDS	07/23/10	2,223.59	07/20/11	2,835.95	612.36 V

PRIVATE CLIENT GROUP ACCOUNT STATEMENT 2011 ANNUAL STATEMENT

Account number:
802-03167
Page 11 of 12

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Short Term							
185.000	LEGG MASON INC	LM	07/23/10	5,393.82	06/02/11	6,000.63	606.81
5.000	LEGG MASON INC	LM	11/08/10	175.67	06/02/11	162.18	-13.49
55.000	STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	HOT	07/23/10	2,591.23	02/07/11	3,450.32	859.09 V
55.000	STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	HOT	07/23/10	2,591.23	04/07/11	3,100.86	509.63
60.000	STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	HOT	07/23/10	2,826.79	04/07/11	3,382.75	555.96
95.000	STATE STREET CORP	STT	07/23/10	3,795.25	01/25/11	4,448.83	653.58
65.000	TRIMBLE NAVIGATION LTD	TRMB	07/23/10	1,906.84	05/06/11	2,831.65	924.81 V
100.000	TRIMBLE NAVIGATION LTD	TRMB	07/23/10	2,933.60	06/03/11	4,205.11	1,271.51
55.000	UNITEDHEALTH GROUP INC	UNH	07/23/10	1,701.98	07/20/11	2,817.25	1,115.27 V
80.000	V F CORP	VFC	07/23/10	6,422.84	01/28/11	6,508.41	85.57
Short Term Subtotal				41757.46		49058.42	7,300.96

QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
125.000	CISCO SYSTEMS INC	CSCO	10/31/08	2,238.00	03/02/11	2,302.46	64.46
112.000	CISCO SYSTEMS INC	CSCO	12/08/08	1,950.00	03/02/11	2,063.00	113.00
37.000	CISCO SYSTEMS INC	CSCO	05/01/09	737.97	03/02/11	681.53	-56.44
66.000	CISCO SYSTEMS INC	CSCO	12/16/09	1,558.19	03/02/11	1,215.70	-342.49
60.000	FACTSET RESEARCH SYSTEMS INC	FDS	07/23/10	4,447.18	09/29/11	5,427.30	980.12 V
155.000	INGERSOLL RAND PLC	IR	07/23/10	5,709.76	12/21/11	4,770.02	-939.74 V
335.000	MAXIM INTEGRATED PRODUCTS INC	MXIM	07/23/10	6,066.52	10/04/11	7,493.46	1,426.94 V
95.000	MURPHY OIL CORP	MUR	07/23/10	5,173.84	08/03/11	5,683.00	509.16 V
155.000	ULTRA PETROLEUM CORP	UPL	07/23/10	6,831.44	09/19/11	5,095.50	-1,735.94 V

THE DOERING FAMILY FOUNDATION
PCG/ARGENT

Account number:
802-03167
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QUANTITY	DESCRIPTION	SYMBOL	OPEN DATE	NET COST	CLOSE DATE	NET PROCEEDS	REALIZED GAIN/LOSS
Long Term							
125.000	WALGREEN CO	WAG	07/23/10	3,775.30	12/06/11	4,248.29	472.99 V
Long Term Subtotal				38488.20		38980.26	492.06
TOTAL REALIZED GAIN OR LOSS				80245.66		88038.68	7,793.02

V - Cost basis for this tax lot was determined by specific lot identification methodology, based on instructions you provided or by your standing orders on file at the time of the trade.

DUPLICATE STATEMENTS

As you requested, copies of this statement have been sent to:

ARGENT CAPITAL MANAGEMENT LLC
100 S BRENTWOOD BLVD
STE 110
ST LOUIS MO 63105-1677