Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation Henry Uihlein II and Mildred A. Uihlein Foundation	**A Employer identification number** 39-1322495
Number and street (or P O box number if mail is not delivered to street address) / Room/suite Heaven Hill Farm 302 Bear Cub Lane	**B** Telephone number (see instructions) 518-523-3061
City or town, state, and ZIP code Lake Placid NY 12946	**C** If exemption application is pending, check here ▶ ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change	**D** 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 20,276,032 **J** Accounting method: ☐ Cash ☐ Accrual ☒ Other (specify) Modified cash *(Part I, column (d) must be on cash basis.)*	**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	363,527	363,527		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	468,026			
	b	Gross sales price for all assets on line 6a 7,683,845				
	7	Capital gain net income (from Part IV, line 2)		468,026		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)	0			
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	831,553	831,553	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	134,500	6,725		127,775
	14	Other employee salaries and wages	41,349			41,349
	15	Pension plans, employee benefits	19,585			19,585
	16a	Legal fees (attach schedule)	15,627			15,627
	b	Accounting fees (attach schedule)	31,625	1,500		30,125
	c	Other professional fees (attach schedule)	88,832	46,083		42,749
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	87,266	3,229		84,037
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	10,038	502		9,535
	22	Printing and publications				
	23	Other expenses (attach schedule)	163,526	21		163,505
	24	**Total operating and administrative expenses.** Add lines 13 through 23 *	592,348	58,060	0	534,287
	25	Contributions, gifts, grants paid	368,700			368,700
	26	**Total expenses and disbursements.** Add lines 24 and 25	961,048	58,060	0	902,987
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	(129,495)			
	b	**Net investment income** (if negative, enter -0-)		773,493		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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ISA **For Paperwork Reduction Act Notice, see instructions.** * See statement to Part IX-A

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	217,258		
	2	Savings and temporary cash investments	304,598	291,237	291,237
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)	2,920,686	3,421,110	3,449,890
	b	Investments—corporate stock (attach schedule)	2,617,080	404,084	418,799
	c	Investments—corporate bonds (attach schedule)	1,127,717	980,946	1,032,664
	11	Investments—land, buildings, and equipment: basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	6,372,314	8,281,189	8,125,500
	14	Land, buildings, and equipment. basis ▶			
		Less: accumulated depreciation (attach schedule) ▶	3,829,505	3,881,091	6,957,942
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	17,389,158	17,259,657	20,276,032
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	17,389,158	17,259,657	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	17,389,158	17,259,657	
	31	**Total liabilities and net assets/fund balances** (see instructions)	17,389,158	17,259,657	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,389,158
2	Enter amount from Part I, line 27a	2	(129,495)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	17,259,663
5	Decreases not included in line 2 (itemize) ▶ Rounding	5	6
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	17,259,657

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	Publicly traded securities			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				0
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				468,026
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	468,026
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	885,427	14,100,428	0.0628
2009	732,343	14,524,767	0.0504
2008	967,627	15,772,404	0.0613
2007	3,152,802	17,890,180	0.1762
2006	529,670	18,687,286	0.0283

2	Total of line 1, column (d)	2	0.3790
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.0758
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	14,658,808
5	Multiply line 4 by line 3	5	1,111,138
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	7,735
7	Add lines 5 and 6	7	1,118,873
8	Enter qualifying distributions from Part XII, line 4	8	902,987

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	15,470
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	15,470
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	15,470
6	Credits/Payments:		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 — 6a: 23,600		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	23,600
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	8,130
11	Enter the amount of line 10 to be: **Credited to 2012 estimated tax** ▶ 8,130 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		na
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Wisconsin, New York			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		x
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		x
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	x	

Website address ▶ None

14 The books are in care of ▶ Uihlein Financial, Inc. Telephone no. ▶ 414-271-2017
Located at ▶ 648 N. Plankinton Ave. Ste 260, Milwaukee WI 53203 ZIP+4 ▶ 53203

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		x
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		x
	Organizations relying on a current notice regarding disaster assistance check here ▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		x
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		n/a
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		n/a
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		x
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		x

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**	n/a
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No n/a		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*		**6b**	X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	n/a

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See schedule attached				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				
Total number of other employees paid over $50,000 ▶				

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 See statement attached	283,777
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	14,360,750
b	Average of monthly cash balances	1b	298,058
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	14,658,808
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	14,658,808
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	14,658,808
6	**Minimum investment return.** Enter 5% of line 5	6	732,940

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	732,940
2a	Tax on investment income for 2011 from Part VI, line 5	2a	15,469		
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	15,469
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	717,471
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	717,471
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	717,471

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	902,987
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	902,987
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	7,735
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	895,252

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7				717,471
2	Undistributed income, if any, as of the end of 2011:				
a	Enter amount for 2010 only				
b	Total for prior years: 20___, 20___, 20___				
3	Excess distributions carryover, if any, to 2011:				
a	From 2006				
b	From 2007 2,256,433				
c	From 2008 214,603				
d	From 2009 15,649				
e	From 2010 196,197				
f	**Total** of lines 3a through e	2,682,882			
4	Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 902,987				
a	Applied to 2010, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2011 distributable amount				717,471
e	Remaining amount distributed out of corpus	185,516			
5	Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2,868,398			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e	Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f	Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	2,868,398			
10	Analysis of line 9:				
a	Excess from 2007 2,256,433				
b	Excess from 2008 214,603				
c	Excess from 2009 15,649				
d	Excess from 2010 196,197				
e	Excess from 2011 185,516				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶ N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	N/A				0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See schedule attached				
Total ▶ **3a**				368,700
b *Approved for future payment*				
Cornell University College of Agriculture and Life Sciences, Ithica, NY Endowment for Director of Maple Sugar Research Station Total Pledged: $1,050,000 Paid thru 2011: $875,000				175,000
Total ▶ **3b**				175,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	363,527	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	468,026	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0		831,553	0

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 831,553

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee	Date	Title
[signature]	8/31/12	Chairman

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

Form **8868**
(Rev. January 2012)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only** Part I and check this box . . . . ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing ***(e-file)***. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return. See instructions.

Name of exempt organization or other filer, see instructions.
HENRY UIHLEIN II & MILDRED A. UIHLEIN FOUNDATION

Employer identification number (EIN) or
[X] 39-1322495

Number, street, and room or suite no. If a P.O. box, see instructions.
648 N. PLANKINTON AVE. SUITE 260

Social security number (SSN)
[ ]

City, town or post office, state, and ZIP code. For a foreign address, see instructions.
MILWAUKEE, WI 53203

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (co[illegible]	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

1st Extension

- The books are in the care of ▶ Uihlein Financial Inc

Telephone No. ▶ (414)271-2017 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . . . . . . ▶ [ ] . If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15 , 20 12 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ [X] calendar year 2011 or

▶ [ ] tax year beginning ________, 20 ____, and ending ________, 20 ____.

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 16,775.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 23,600.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions. Form **8868** (Rev 1-2012)

- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ▶ ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
File by the due date for filing your return See instructions	HENRY UIHLEIN II AND MILDRED A FOUNDATION	☒ 39-1322495
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	c/o Uihlein Financial, 648 N. Plankinton #260	☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	Milwaukee, WI 53203	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

2nd Extension

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

COPY

- The books are in the care of ▶ ,

Telephone No. ▶ FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box ▶ ☐. If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11-15, 20 12.

5 For calendar year 2011, or other tax year beginning ______, 20__ and ending ______, 20__.

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

An attempt to obtain information necessary for filing a return was requested in a timely fashion, but the information was not furnished in sufficient time to permit the timely filing of the return.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$	16,775
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$	23,600
c *Balance due.* Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$	0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ Chairman Date ▶ 5/29/12

Henry Uihlein II and Mildred A. Uihlein Foundation
EIN 39-1322495

Part I

Line 4 - Divs & Interest			
	Interest	18 515	
	US Govt	69,428	
	Int. out-of-state muni	1,556	
	Corporate bonds	40,094	
	Accrued int. on sales	13,530	
	Accrued int. on gov't sales	25,406	
	Accrued int on purchases	(2,823)	
	Accrued int on gov't. purchases	(12,856)	
		152 850	
	Dividends	186,356	
	Foreign dividends	23,964	
	Non-div distributions	356	
		210,676	363,526
Line 6a - Net Gain (Loss)			
	Old Westbury	106,382	
	Long Term	507,537	
	Short Term	(148,923)	
	Securities litigation proceeds	3,029	
			468,025
Line 16a - Legal fees			
	Legal Counsel - James Brooks		15,627
Line 16b - Accounting Fees			
	Uihlein Financial, Inc - bookkeeping	30,000	
	Meister Accounting Services - payroll	1,625	
			31,625
Line 16c - Other Professional Fees			
	Bessemer Trust - Investment management	46,083	
	Crowe Horwath LLP, auditor	40,750	
	Edgecomb Design Group	1,999	
			88,832
Line 18 - Taxes			
	Real estate tax - Essex county and LP school district	84,037	
	Foreign tax w/held at source	229	
	Estimated tax paid	3,000	
			87,266
Line 21 - Travel, conferences & meetings			
	Travel expenses, trustees meetings May and October for JDL & EW	8,890	
	Catering for Board meetings	746	
	Tax seminar - Chicago	402	
			10,038
Line 23 - Other expenses			
	Postage/UPS	323	
	NYS Dept of Law filing fee	750	
	Meeting minutes	2,374	
	Insurance	20,036	
	Farmhouse and grounds upkeep	5,281	
	Conference Center event labor	9,347	
	Heaven Hill repair and restoration	52,558	
	Heaven Hill conference center equipment & supplies	2,266	
	Heaven Hill upkeep expense	3,958	
	Supplies	8,757	
	Gas & repairs	8,113	
	Heaven Hill utilities	5,474	
	Heaven Hill heating oil	14,229	
	Miscellaneous	913	
	Henry's Woods maintenance, maps, signs	15,218	
	Sugar House Maple program	13,213	
	M&I wire transfer fee	21	
	AFS membership	695 00	
			163,526

Part II

	Book Value	Market Value
Line 2 Savings and Tempoarary Cash Investments		
M&I Interest bearing account	291,237	291,237
	291,237	291,237
Line 10a - Investments - US & State Obligations (See schedule attached)		
US Treas. Notes and Bonds	2,491,224	2,518,341
US TBills	849,886	849,886
Municipal Bond	80,000	81,663
	3,421,110	3,449,890
Line 13 Investments - Other - Mutual Funds (See schedule attached)		
Equity mutual funds	6,439,323	6,253,611
Foreign stock	634,037	659,278
Money market funds	1,107,875	1,107,875
Ontario Canada bond	99,954	104,736
	8,281,189	8,125,500
Line 14 - Land, Buildings, and Equipment		
Heaven Hill Farm	1,399,072	4,256,161
Heaven Hill caretaker's residence	133,882	133,882
Heaven Hill office	46,933	46,933
Old Military Road tract - Henry's Woods	318,638	538,400
"Backwoods" - former Mills property	1,857,729	1,857,729
Rhino ATV	8,699	8,699
GMC truck	32,098	32,098
Tractor and loader	25,910	25,910
Goldoni Transcar - utility hauler	12,820	12,820
Kobelco excavator	38,000	38,000
Hydraulic utility trailer	7,310	7,310
	3,881,091	6,957,942

Statement of Assets

Account: HUFOUND

Henry Uihlein II & Mildred A. Uihlein Foundation

As of 12/31/2011

Symbol	Asset Description	Maturity Date	Units/Shares or Face Value	Total Cost	Market Price	Market Value
010 Common Stock						
CVS	CVS Caremark Corp		550.0000	$20,806 07	$40.78	$22,429.00
CCL	Carnival Corporation		800.0000	$25,288 00	$32 64	$26,112.00
CTL	Centurylink Inc		325.0000	$12,107.71	$37.20	$12,090.00
CMCSA	Comcast Corp. Cl A		1,000.0000	$21,259.94	$23.71	$23,710.00
COP	Conocophillips		125 0000	$8,546.55	$72.87	$9,108.75
DTE	Detroit Energy Co		250.0000	$12,611.99	$54.45	$13,612.50
EXC	Exelon Corporation		375.0000	$16,189 34	$43.37	$16,263.75
FE	Firstenergy Corp		375.0000	$16,237 19	$44.30	$16,612.50
FCX	Freeport-McMoran Copper & Gold		450.0000	$16,973 64	$36.79	$16,555.50
GD	General Dynamics Corp		175.0000	$10,944 17	$66.41	$11,621 75
IBM	International Business Machines		90 0000	$16,281.16	$183.88	$16,549.20
JNJ	Johnson & Johnson		295.0000	$18,619.90	$65.58	$19,346.10
M	Macy's		200.0000	$6,063.52	$32.18	$6,436.00
MRO	Marathon Oil Corp		550.0000	$14,228.06	$29.27	$16,098.50
MHP	McGraw Hill Companies		300 0000	$12,589.93	$44.97	$13,491.00
MSFT	Microsoft Corp		1,925 0000	$50,833.47	$25.96	$49,973.00
NEM	Newmont Mining Corp		575.0000	$36,503 41	$60 01	$34,505.75
TGT	Target Corp		400.0000	$20,894.96	$51.22	$20,488.00
UNH	Unitedhealth Group Inc.		375 0000	$16,649.55	$50.68	$19,005 00
WU	Western Union Company		900 0000	$14,709.49	$18.26	$16,434.00
YUM	Yum Brands Inc		650.0000	$35,745.60	$59 01	$38,356.50
			Total.	$404,083 65		$418,798.80
030 Foreign Stock						
ABRT	Abertis Infraestr		800.0000	$5,911.14	$8.01	$6,408 00
AJIN	Ajinomoto Inc		105.0000	$12,290.66	$120.09	$12,609.87
AKZO	Akzo Nobel NV		150.0000	$6,626.91	$48.50	$7,274.86
AZSE	Allianz Aktienges		850.0000	$8,087.11	$9.60	$8,155.75
ASBF	AB Foods Limited		1,500 0000	$25,962.32	$17.20	$25,806.00
BBL	BHP Billiton		375 0000	$21,109.42	$58.39	$21,896.25

* All or part of value is unknown.

Statement of Assets

Account: HUFOUND

Henry Uihlein II & Mildred A. Uihlein Foundation

As of 12/31/2011

Symbol	Asset Description	Maturity Date	Units/Shares or Face Value	Total Cost	Market Price	Market Value
BCS	Barclays Plc		1,200.0000	$12,092 15	$10.99	$13,188.00
ABX	Barrick Gold Corporation		425 0000	$20,345.96	$45.25	$19,231.25
CICH	China Construction		1,800.0000	$25,162.92	$13.96	$25,122.60
SNP	Sinopec China Petroleum & Chemical		185 0000	$19,036.33	$105.05	$19,434.25
CHA	China Telecom Corp Limited		635 0000	$37,884 44	$57.13	$36,277.55
DPSG	Deutsche Post AG		1,375.0000	$20,442.21	$15.42	$21,205.25
E	ENI SPA		800.0000	$33,157 63	$41.27	$33,016.00
EJPR	East Japan Rail		3,800.0000	$38,737 37	$10.61	$40,333.20
GDFZ	GDF Suez		250.0000	$6,327.76	$27.42	$6,854.25
ITYB	Imperial Tobacco Limited		290.0000	$20,437 24	$75.69	$21,948.66
ITOC	Itochu Corp		650.0000	$12,574 05	$20.33	$13,212.55
AHON	Kon Ahold		1,300.0000	$16,424 27	$13.51	$17,559.10
PHG	Koninklijke Philips Electric		325.0000	$5,994.79	$20.95	$6,808.75
MGA	Magna International Inc		300 0000	$10,032.11	$33.31	$9,993.00
MKGA	Merck KGAA		275.0000	$8,599.63	$33.33	$9,166 30
MURG	Munich RE Group		1,550 0000	$18,204 45	$12.30	$19,071.20
NRBA	Nordea Bank Sweden AB		2,600.0000	$19,842.66	$7.77	$20,196.80
SKM	SK Telecom Ltd		900.0000	$12,826.35	$13 61	$12,249.00
SSEZ	SSE Plc		300 0000	$6,004.98	$20.06	$6,018 90
SNY	Sanofi		550 0000	$18,123.11	$36 54	$20,097 00
SMFG	Sumitomo Mitsui Financial Group Inc		2,250 0000	$12,132.90	$5.51	$12,397.50
SNMY	Suncorp Group		1,050 0000	$8,566.06	$8.59	$9,020.55
TLTZ	Tele2 AB		1,350 0000	$12,801.44	$9.77	$13,184.10
TEVA	Teva Pharmaceutical Industries		550 0000	$21,155.57	$40.36	$22,198.00
TKGS	Tokyo Gas Limited		425 0000	$18,042.35	$46.01	$19,554.25
TOT	Total SA		425 0000	$20,788.28	$51.11	$21,721 75
UN	Unilever NV		550.0000	$17,826.97	$34 37	$18,903 50
WARF	Wharf Holdings		1,750 0000	$16,975.12	$9.04	$15,818 25
ACN	Accenture Plc		325 0000	$17,767.85	$53.23	$17,299 75

* All or part of value is unknown.

Statement of Assets

Account: HUFOUND

Henry Uihlein II & Mildred A. Uihlein Foundation

As of 12/31/2011

Symbol	Asset Description	Maturity Date	Units/Shares or Face Value	Total Cost	Market Price	Market Value
ACE	Ace Limited		670.0000	$35,355.49	$70.12	$46,980.40
NE	Noble Corp.		300.0000	$10,387.34	$30.22	$9,066.00
			Total:	$634,037.34		$659,278 39
040 Equity Mutual Funds						
OWLSX	Old Westbury Large Cap Strategies Fund		167,998 4430	$1,645,920.14	$8.77	$1,473,348.35
OWSMX	Old Westbury Global Small & MidCap Fund		149,182.6050	$1,560,809.96	$13.47	$2,009,489.69
OWRRX	Old Westbury Real Return Fund		105,500.1630	$1,235,713.64	$9 30	$981,151.51
OWGOX	Old Westbury Global Opportunities Fund		263,956.2490	$1,996,880.00	$6.78	$1,789,623 38
			Total:	$6,439,323.74		$6,253,610.91
200 Corporate Bonds						
AXP732013	American Express Credit Co 7.30% 8/20/2013	8/20/2013	105,000.0000	$104,826.75	$108 53	$113.952.30
BKH3215	Berkshire Hathaway Inc 3.20% 2/11/2015	2/11/2015	125,000.0000	$124,942.50	$106.02	$132,520.00
EKS237516	Eksportfinans Asa 2 375% 05/25/2016	5/25/2016	75,000.0000	$74,996.25	$80.99	$60,744.75
GE52512	General Electric Cap Corp 5.25% 10/19/2012	10/19/2012	50,000.0000	$52,289.50	$103.50	$51,748.50
JPMC3715	JP Morgan Chase & Co 3.70% 1/20/2015	1/20/2015	125,000.0000	$124,735.00	$103.68	$129,600.00
NVS412514	Novartis Capital Corp 4.125% 02/10/2014	2/10/2014	125,000.0000	$124,871.25	$106 99	$133,740.00
PFE53515	Pfizer Inc 5.350% 03/15/2015	3/15/2015	125,000.0000	$124,843.75	$113.12	$141,398.75
PG35015	Procter & Gamble Co 3.50% 02/15/2015	2/15/2015	125,000.0000	$124,475.00	$107.68	$134,605.00
SHELL414	Shell International Financial 4.00% 03/21/2014	3/21/2014	125,000.0000	$124,966.25	$107.48	$134,355.00
			Total:	$980,946.25		$1,032,664.30
220 Municipal Bonds						
NJED3169	New Jersey Econ Dev Auth 3.169% 09/01/2014	9/1/2014	80,000.0000	$80,000.00	$102 08	$81,663.20
			Total	$80,000.00		$81,663 20
400 U.S. Treasury Bills						
USTB5312	US Treasury Bill 05/03/2012	5/3/2012	850,000.0000	$849,885.84	$0 00	$849,885.84
			Total:	$849,885.84		$849,885.84
410 U.S. Treasury Notes & Bonds						
FHLB27515	Federal Home Loan Bank 2.75% 03/13/2015	3/13/2015	440,000.0000	$462,858 00	$106.44	$468,353 60
UST27517	US Treasury Note 2 750% 12/31/2017	12/31/2017	1,870,000.0000	$2,028,365 60	$109 63	$2,049,987.50
			Total	$2,491,223 60		$2,518,341 10
476 Ontario Savings Bonds						

* All or part of value is unknown.

Statement of Assets

Account: HUFOUND

Henry Uihlein II & Mildred A. Uihlein Foundation

As of 12/31/2011

Symbol	Asset Description	Maturity Date	Units/Shares/Face Value	Total Cost	Market Price	Market Value
CAONT2715	Canada Ontario CD 2.7% 6/16/2015	6/16/2015	100,000.0000	$99,954.00	$104.74	$104,736.00
			Total:	$99,954.00		$104,736.00
500 Money Market Funds						
FEDOBLFD	Federal Govt Obligatory Fund		718,255.00	718,255.00	$1.00	718,255.00
HKDOLLAR	HK Dollar Depsoit		0.0000	$160,000.00	$0.00	$160,000.00
NOKRONE	NO Krone Deposit		0.0000	$80,000.00	$0 00	$80,000.00
SGDOLLAR	SG Dollar Deposit		0.0000	$100,000.00	$0.00	$100,000.00
USDD	US Dollar Depsoit		0.0000	$49,620.25	$0 00	$49,620.25
			Total:	1,107,875.25		1,107,875.25
560 Interest Bearing Acct.-Other Inst.						
MIBANK	Marshall & Ilsley Bank		291,237.00	291,237.00	$1 00	291,237.00
			Total:	291,237.00		291,237.00
600 Real Estate						
MILLS	Mills Property		0.0000	$1,857,729.00	$0.00	$1,857,729.00
			Total:	$1,857,729.00		$1,857,729.00
620 Farm Real Estate						
HEAVENHILL	Heaven Hill Farm - 604 Acres		604.0000	1,399,072.00	$7,046.62	$4,256,161.00
HHCR	Heaven Hill Caretakers Residence		0.0000	$133,882.00	$0.00	$133,882.00
HHOFF	Heaven Hill Office		0.0000	$46,933.42	$0.00	$46,933.42
HWOODS	Henry's Woods - 212 Acres		212.0000	$318,638.00	$2,539.62	$538,400.00
			Total:	1,898,525.42		$4,975,376.42
660 Equipment & Leases						
ATV	Rhino ATV		0 0000	$8,699.00	$0.00	$8,699.00
EXCAVATOR	2007 Kobelco Excavator #35SR		0.0000	$38,000.00	$0.00	$38,000.00
GMCTRUCK	GMC Truck		0.0000	$32,097.50	$0.00	$32,097.50
GOLDONI	Goldoni Transcar		0.0000	$12,820.00	$0.00	$12,820.00
TRACTOR	Tractor w/loader		0.0000	$25,910.00	$0.00	$25,910.00
UTRAILOR	Hydrolic Utility Trailor		0.0000	$7,310.00	$0.00	$7,310.00
			Total:	$124,836.50		$124,836.50
Cash						
	Cash					
Grand Total:				17,259,657.59		20,276,032.71

' *All or part of value is unknown*

2011 Form 990-PF

Henry Uihlein II & Mildred A. Uihlein Foundation
EIN: 39-1322495

Part VIII
Line 1 -

(a)	(b)	(c)	(d)	(e)
John D. Leekley, Jr. c/o Heaven Hill Farm 302 Bear Cub Lane Lake Placid NY 12946	Chairman - 6hrs	$ 50,000.00	None	None
James McKenna. c/o Heaven Hill Farm 302 Bear Cub Lane Lake Placid NY 12946	Trustee - 10hrs	$ 42,250.00	None	None
Eleonore Wotherspoon c/o Heaven Hill Farm 302 Bear Cub Lane Lake Placid NY 12946	Trustee - 6hrs	$ 42,250.00	None	None

K \Work\HU Foundation\990PFtrustees2011 wpd

2011 Form 990-PF

Henry Uihlein II & Mildred A. Uihlein Foundation
EIN: 39-1322495

Part IX-A Summary of Direct Charitable Activities

Line 1 -

<u>Heaven Hill Farm: Free Venue for Local Charities</u>

When Henry Uihlein left his farm of approximately 600 acres, which is located just outside of the Village of Lake Placid, New York, to the Foundation, he did so with the admonition to prevent, if possible, the commercial or residential development of the property. The property is extremely beautiful, and the view of the Adirondack Mountains from the farmhouse, particularly in the Fall, is spectacular.

With the help of a consultant, after considerable deliberation, and having in mind the Quaker adage "Proceed as the way becomes clear", the Foundation has determined that it can make a significant contribution to the community by making the farmhouse available to local not-for-profit organizations for meetings, fund-raisers, seminars, and the like.

In recent years, the Foundation has devoted considerable time and resources to refurbishing the farmhouse and the surrounding landscape (which had become quite shabby over the past two decades) with an eye to making the facility suitable for a wide range of gatherings by not-for profit organizations at no charge. In 2011, without any form of advertising or solicitation (only word-of-mouth referrals), ninty-nine events were held at Heaven Hill (Mr. Uihlein's name for his farm). Almost twenty-two hundred people attended these events and the facility was in use for 194 days during the year. Usage has more than tripled over the six years that records have been kept (Please see schedules attached.)

Reported here are the operating expenses of the farm and primarily the farmhouse where these events are held.

$ 151,950

Line 2

Heaven Hill Farm: Rent-Free Office Space for Adirondack Community Trust

The year 2011 saw the second full year of occupancy by the Adirondack Community Trust (ACT) of the separate building at Heaven Hill that the Grantor, Henry Uihlein once used as his office when he operated a prize-winning Jersey dairy operation. It had been unused for over two decades (three small rooms and an unheated garage behind) and was in disrepair. In the Spring of 2009, leadership of the Adirondack Community Trust (a 501(c)(3) community trust with about $26 million in assets) asked if they could use the building rent-free to house their staff of three. At the time, they were located in rented office space in the Village of Lake Placid. The Foundation agreed to allow them to use the facility if they would refurbish the inside and the Foundation refurbished the outside. Those repairs were completed in 2009. It is estimated that ACT is saving about $20,000 annually in rent; the funds saved are used for grants in the Lake Placid area. Other than routine grounds-keeping maintenance and snow-plowing, the Foundation had no direct, out-of-pocket expenditures in 2011 in connection with this activity.

$10,933

Line 3

A Community Preserve - Henry's Woods - A Public Trail System

The Foundation also owns approximately 212 acres of undeveloped land adjacent to, but not directly adjoining, the Heaven Hill property. The Foundation reviewed a range of possible uses including outright sale, residential development, and doing nothing. It was determined in 2006 that the use to which it was currently being put on an informal basis by local residents - as a place to run, hike and bike (and ski and snowshoe in the winter) - should be confirmed and improved. This would not only preserve green space but would also protect adjacent property owned by Cornell University (donated to Cornell over thirty years ago by Henry Uihlein) which is used for maple sugar research by the Uihlein-Cornell Maple Sugar Research Field Station and would offer training opportunities to Olympic athletes at the adjacent Olympic Training Center. This community preserve has been named "Henry's Woods" to honor the Foundation's grantor. The main trail of 2.5 miles and the trailhead access, entrance kiosk, and signage on the access trail to Bear Cub Lane have been open for two years. In 2010, funds were expended to prepare an additional 2.1 miles of trails that are more 'technical' (difficult) in nature. This project approximately doubled the total improved trail mileage at Henry's Woods and included three new trails: 1. The Plateau Trail (app. .9 of a mile) offers beautiful and never before seen views of the Wilmington Notch and the Sentinel Mountain range. 2. The Switchback trail is .25 of a mile and connects the main loop with the Plateau trail. 3. The Rocky Knob trail is one mile in length and leads to the highest point in Henry's Woods. It offers exceptional views of Lake Placid Village and the Great Range of the Adirondacks.

The year 2011 saw the preparation of professional maps and trailside signage. There was also some repair work required due to heavy Spring rains and doggie waste stations were installed and provisioned.

In line with the Foundation's interest in sustainable use, several large, older maple trees that were felled for other reasons were recycled into nine new benches which were installed at various rest points and lookouts along the trails.

The Winter of 2011 saw the continuation of a program in cooperation with the Thomas Shipman Youth Center wherein the Foundation purchased several bird feeders and seed and the youth from the Center undertook to install the feeders and refill them on a weekly schedule until the snow melted. It is hoped that this program will result in an educational program that promotes nature awareness and conservation among the Center's youth and others. The out-of-pocket expenditure for this project was not recorded separately but did not amount to over two hundred dollars.

$ 82,464

Line 4.

Heaven Hill Farm: Maple Sugar Education Program

About one hundred and fifty yards up the hill behind the Heaven Hill farmhouse is a log cabin building that archival pictures show was used by the Grantor and his wife (Henry and Mildred Uihlein) to boil maple sap from the farm's extensive stand of maple trees (called a "sugarbush"). Much of the sugarbush on the other side of Heaven Hill was deeded several decades ago to Cornell University for use by its College of Agriculture and Life Sciences to develop a Sugar Maple Research Station (see Part XV 3 b.). Significant stands of sugar maple remain on the Heaven Hill property, however. In 2007 the Director of the Research Station suggested setting up a cooperative effort with local schools to develop a curriculum involving maple trees and maple sugar production utilizing the maple trees and the "sugar shack" at Heaven Hill Farm. In support of this effort, the Foundation installed water and electrical service and purchased a modern (but wood-fired) boiler to replace the ancient and dangerous boiler that had remained unused for several decades. The Winter/Spring of 2008 saw the collection of the first harvest of sap and the production of syrup. The Winter/Spring 2009 season saw a very successful program and also some preliminary discussions with other schools to expand the program. The Spring of 2010 harvest was poor due to poor weather but there was increased interest in both youth and adults. Later in 2010, the Foundation engaged the services of a local resident who does his own maple production to oversee and coordinate the project. Also during the year, a new main sap line and 125 new taps were installed. The Spring of 2011 was a very good year with a total of 339 taps producing sap. The total syrup produced was 86 gallons, and about 200 members of the public, including 91 students from local schools visited the sugaring operation - including students from Northwood school who actually participated in the tapping and boiling process, and students from St. Agnes School and Ausable forks Head Start program and board members from a school in Africa. Later in the year, in order to attempt to satisfy the needs of the "now" generation, the Foundation purchased a 'reverse osmosis machine' to expedite the reduction of sap to syrup. It cuts the boiling time by two thirds: from eight hours to three.

$ 30,025

Line 5.

<u>Heaven Hill Farm: Beekeeping Demonstration/Experiment</u>

At the behest of the Senior Apiary Inspector of the NYS Department of Agriculture and Markets, in the Fall of 2010, the Foundation purchased a few hives, honey bees, and electric fence materials (to keep out the bears!), for the purpose of establishing an experimental beehive on the farm. The Inspector was unsure if the circumstances on the farm would support a flourishing bee colony. If a colony can be established, his hope is to develop it and use it to educate the public and to promote beekeeping in the Adirondacks. Sadly, the 2010/2011 winter was extremely long and cold and the colony failed to survive. The 2011/2012 winter was milder and the colony survived and is prospering.

$8,406

K \Work\HU Foundation\990PFIXAdirectactivities2011 wpd

Henry II & Mildred A. Uihlein Foundation Trust
Charitable Events Held at Heaven Hill Farm
Annual Attendance: 2006 - 2011

Year	Total Attendance	% change from prior year	Total Events
2006	603		11
2007	768	27%	23
2008	1,364	78%	37
2009	1,317	-3%	44
2010	1,855	41%	72
2011	2,191	18%	99
Cumulative Totals	**8,098**		286

Compiled by: Eleonore Wotherspoon
Last updated: February 29, 2012

Henry II & Mildred A. Uihlein Foundation Trust
Charitable Events Held at Heaven Hill Farm

Year: **2011**

	Date	Charitable Sponsor(s)	Description of Event	Approx. Number Attending	Use Days*	Eval Rec'd
1	January 21, 2011	Adirondack Community Trust (ACT)	ACT Senior Housing meeting	6	1	Y
1	January 27, 2011	Adirondack Community Trust (ACT)	ACT/ANN meeting	4	1	Y
1	January 28, 2011	Adirondack Community Trust (ACT)	ACT meeting	5	1	Y
1	February 3, 2011	Adirondack Community Trust (ACT)	ACT/Lake Placid Education Foundation meeting	5	1	Y
1	February 9, 2011	LPEC Quality Destination, Inc	Board Retreat (Day 1)	16	2	Y
1	February 10, 2011	LPEC Quality Destination, Inc	Board Retreat (Day 2)	16	1	Y
1	February 15, 2011	Adirondack Community Trust (ACT)	Planned Giving Seminar	42	3	Y
1	February 21, 2011	Adirondack Community Trust (ACT)	ACT Board meeting	11	3	Y
1	February 28, 2011	Adirondack Experience	Qtrly Board meeting & Staff Appreciation Dinner	18	3	Y
1	March 4, 2011	Adirondack Community Housing Trust	Board Meeting	12	3	Y
1	March 4, 2011	Adirondack Community Trust (ACT)	Adirondack Planned Giving Society meeting	3	1	Y
1	March 10, 2011	Adirondack Community Trust (ACT)	ACT - Lake Placid parents meeting	7	1	Y
1	March 11, 2011	Cornell University - LEAD	LEADNY Program· Welcome Dinner by Shipman Center	28	1 5	Y
1	March 12, 2011	Cornell University - LEAD	LEADNY Program 1/2-day Training Session	26	1 5	Y
1	March 17, 2011	St Joseph's Addiction Treatment & Recovery Centers	Board Meeting	20	2	Y
1	March 19, 2011	Maple Weekend	Visitors to HHF Sugar House for public demos (incl setup on 3-18)	42	2	Y
1	March 21, 2011	St Joseph's Addiction Treatment & Recovery Centers	Talent Management Institute	15	1 5	Y
1	March 22, 2011	St Joseph's Addiction Treatment & Recovery Centers	Talent Management Institute	15	1 5	Y
1	March 25, 2011	Lake Placid Rotary Club	Maple sugaring demonstration & evening social	47	1	Y
1	March 27, 2011	Cornell Coop Extension - Essex County	Master Gardener Program 1st in series Zone Creep Changing Climate	16	2	Y
1	March 28, 2011	St Joseph's Addiction Treatment & Recovery Centers	Talent Management Institute	28	1 5	Y
1	April 6, 2011	AuSable Forks Head Start Program	Students visited HHF Sugar House to learn maple sugar production	38	1	Y
1	April 8, 2011	St Agnes School	Students visited HHF Sugar House to learn maple sugar production	41	1	Y
1	April 18, 2011	Jumbo Jippia School, Mintwapa, Africa	Board members visited HHF Sugar House for demonstration	12	1	Y
	n/a	Heaven Hill Farm Sugar House	Add'l students/visitors in Mar/Apr to learn maple sugar production	74	n/a	Y
1	May 1, 2011	Cornell Coop Extension - Essex County	Master Gardener Program 2nd in series· Spring Garden Educ	24	3	Y
1	May 2, 2011	Adirondack Community Trust (ACT)	ACT Community Funds & Nonprofit Endowment Seminars	47	3	Y
1	May 6, 2011	Adirondack Community Housing Trust	Annual Board Meeting	10	3	Y
1	May 10, 2011	St Joseph's Addiction Treatment & Recovery Centers	Training session	20	3	Y
1	May 13, 2011	Mountain Lake Academy	Board meetings & training for Mt Lake Acad & Lake Grove School	15	1 5	Y
1	May 14, 2011	Mountain Lake Academy	Board meetings & training for Mt Lake Acad & Lake Grove School	20	1.5	Y
1	May 20, 2011	Essex County Community Services Board	Board Retreat & Training	13	3	Y
1	May 23, 2011	Adirondack Community Trust (ACT)	ACT Board & A Council Meeting	24	3	Y
1	May 24, 2011	Arts Council for the Northern Adirondacks	Board Meeting in prep for fundraiser on June 5	4	1	Y
1	May 27, 2011	ACT/Adirondack Planned Giving Society	Adirondack Planned Giving Society Donor Panel & Lunch	5	1	Y
1	June 5, 2011	Arts Council for the Northern Adirondacks	Afternoon Art Affair Fundraiser w/Crackin' Foxy & Scott Renderer	75	3	Y
1	June 7, 2011	Adirondack Experience	Quarterly/Annual Board Meetings	7	1	Y
1	June 7, 2011	St. Joseph's Addiction Treatment & Recovery Centers	Executive Team Meeting	12	3	Y
1	June 9, 2011	Adirondack Community Trust (ACT)	ACT Endowment Challenge Seminar	25	3	Y
1	June 9, 2011	Adirondack Community Trust (ACT)	Hospice Meeting	14	3	Y
1	June 10, 2011	ACT/Lake Placid Central School District	LPCSD Community Engagement Meeting	27	3	Y
1	June 16, 2011	St Joseph's Addiction Treatment & Recovery Centers	Quarterly Board Meeting	20	3	Y

Henry II & Mildred A. Uihlein Foundation Trust
Charitable Events Held at Heaven Hill Farm

Year: **2011**

	Date	Charitable Sponsor(s)	Description of Event	Approx. Number Attending	Use Days*	Eval Rec'd
1	June 17, 2011	Homeward Bound Adirondacks (formerly Patriot Hills)	Veteran Retreat	22	2	Y
1	June 23, 2011	Mountain Lake Academy	HS Graduation ceremony for foster care/therapeutic school students	35	3	Y
1	June 24, 2011	Adirondack Community Trust (ACT)	ACT Co-Chair meeting	3	1	Y
1	June 25, 2011	Cornell Cooperative Extension - Franklin County	4-H "Truly Wild" Edibles & Living History Program	22	2	Y
1	June 26, 2011	Cornell Cooperative Extension - Essex County	Gardener Roundtable Discussion with Master Gardeners	5	2	Y
1	July 5, 2011	Friends of Henry's Woods ("FAST") for Uihlein Foundation	Neighborhood meeting to discuss Henry's Woods community preserve	10	3	Y
1	July 7, 2011	John Brown Lives!	Meeting to discuss JBL!'s new initiative· cultural exchange w/Timbuktoo	8	2	Y
1	July 9, 2011	Cornell Cooperative Extension - Franklin County	4-H "Truly Wild" Edibles & Living History Program	18	2	Y
1	July 10, 2011	John Brown Lives!	Reception for JBL! Supporters following visit to Timbuktoo archaeol Dig	14	2	Y
1	July 11, 2011	Essex County Adirondack Garden Club	Planning meeting for July 19 & 20 Flower Show	12	1	Y
1	July 15, 2011	Adirondack Film Society	Monthly Board Meeting	8	1	Y
1	July 18, 2011	Essex County Adirondack Garden Club	Dinner Meeting of ECAGC before Flower Show	50	2	Y
1	July 19, 2011	Essex County Adirondack Garden Club	GCA Judging of Flower Show and ECAGC Meeting & Luncheon	60	2	Y
1	July 20, 2011	Essex County Adirondack Garden Club	ECAGC Flower Show open to general public	80	2	Y
1	July 21, 2011	ACT/Ironman Foundation	Meeting to discuss Uihlein-Ironman Sports Fund	4	1	Y
1	July 25, 2011	Adirondack Community Trust (ACT)	ACT Board Meeting	15	2	Y
1	July 30, 2011	Thomas Shipman Youth Center	Shipman Youth Center Volunteer Appreciation Day	45	3	Y
1	August 1, 2011	St Joseph's Addiction Treatment & Recovery Centers	Talent Management Institute	20	3	Y
1	August 3, 2011	Adirondack Community Trust (ACT)	Donor meeting	2	1	Y
1	Aug. 8-10, 2011	Adirondack Community Trust (ACT)	Auditors for ACT's annual audit (2 per day for 3 days)	6	3	Y
1	August 10, 2011	Garden Club of Lake Placid	Meeting and program session	15	3	Y
1	August 10, 2011	Adirondack Community Trust (ACT)	Knight Foundation meeting	5	1	Y
1	August 11, 2011	Adirondack Community Trust (ACT)	Donor meeting and lunch	3	1	Y
1	August 13, 2011	The Adirondack Nature Conservancy	Annual meeting and cocktail reception	125	3	Y
1	August 15, 2011	Adirondack Community Trust (ACT)	Client meeting	4	1	Y
1	August 19, 2011	Pendragon Theater	Annual summer fundraising event	150	1	Y
1	August 20, 2011	Reason2Smile	Board meeting	8	2	Y
1	August 24, 2011	Adirondack Community Housing Trust	Board meeting	15	3	Y
1	August 26, 2011	Adirondack Film Society	Board meeting (with Skype hookup)	11	2	Y
1	August 27, 2011	Cornell Cooperative Extension - Franklin County	4-H "Truly Wild" Edibles & Living History Program	8	1	Y
1	August 29, 2011	Adirondack Community Trust (ACT)	Uihlein-Ironman Sports Fund Advisory Committee meeting	5	1	Y
1	August 31, 2011	Northwood School	Office retreat	5	1	Y
1	September 1, 2011	Adirondack Community Trust (ACT)	Photo session for ACT staff and board	12	1	Y
1	September 7, 2011	Adirondack Community Trust (ACT)	ACT meeting	3	1	Y
1	September 15, 2011	St Joseph's Addiction Treatment & Recovery Centers	Board meeting	26	3	Y
1	September 17, 2011	Adirondack Artists Guild	Regular meeting of Saturday Plein Air Painters	6	1	Y
1	September 23, 2011	Mountain Lake Academy	Staff meeting	15	3	Y
1	September 23, 2011	Mountain Lake Academy	Board meeting	12	1	Y
1	September 25, 2011	Cornell Cooperative Extension - Essex County	Master Gardener Roundtable discussion with local gardeners	20	3	Y
1	September 29, 2011	North Country Regional Economic Development Council	Tourism working group meeting	18	3	Y
1	October 2, 2011	Uihlein-Ironman Sports Fund & ACT	Annual grant awards ceremony	45	3	Y
1	October 6, 2011	NCREDC Planning Committee	Small working group	6	1	Y

Henry II & Mildred A. Uihlein Foundation Trust
Charitable Events Held at Heaven Hill Farm

Year: **2011**

	Date	Charitable Sponsor(s)	Description of Event	Approx. Number Attending	Use Days*	Eval Rec'd
1	October 8, 2011	USA Luge	15th Annual Executive Summit	18	3	Y
1	October 12, 2011	Garden Club of Lake Placid	Business meeting and lunch	22	2	Y
1	October 13, 2011	Northern Forest Center	Reception for Adirondack supporters	18	2	Y
1	October 14, 2011	Adirondack Community Trust (ACT)	Adirondack Nonprofit Network (ANN)	26	3	Y
1	October 16, 2011	Cornell Cooperative Extension - Essex County	Master Gardener Program "Worms Eat My Garbage"	18	2	Y
1	October 17, 2011	Adirondack Community Trust (ACT)	ACT Audit Committee conference call	6	1	Y
1	October 21, 2011	Adirondack Community Trust (ACT)	ACT Board meeting	15	1	Y
1	October 22, 2011	John Brown Lives!	Board meeting	8	1	Y
1	October 23, 2011	Adirondack Film Society	Board strategic planning conference	10	2	Y
1	October 25, 2011	Adirondack Experience	Quarterly board meeting	10	2	Y
1	October 26, 2011	Connecting Youth to Communities	Annual board meeting	19	3	Y
1	December 3, 2011	John Brown Lives!	Board meeting and public film screening	30	3	Y
1	December 8, 2011	St Joseph's Addiction Treatment & Recovery Centers	Board meeting	20	2	Y
1	December 9, 2011	Cornell Cooperative Extension-Essex/Clinton/Franklin Co	Annual meeting - Master Gardener Volunteers	57	3	Y
1	December 9, 2011	Friends of Henry's Woods ("FAST") for Uihlein Foundation	Neighborhood meeting to discuss Henry's Woods community preserve	19	1	N
1	December 15, 2011	Ausable Valley Garden Club	Christmas luncheon and meeting	30	3	Y

99 Total Events Held

Total Use Days **194.5**
Total Attendance **2191**

Heaven Hill Charitable Events 2011 xls
Compiled by Eleonore Wotherspoon
Last updated February 1, 2012

Henry Uihlein II & Mildred A. Uihlein Foundation
EIN 39-1322495

Part XV - Line 3A Grants And Contributions Paid	Fdn. Status	Purpose	Amount
Adirondack Community Trust PO Box 288 Lake Placid, NY 12946 16-1535724	Public	North Elba Christmas Fund Paul White Bandshell Fund	10,000 10,000
Adirondack Council PO Box D-2 Elizabethtown NY 12932- 14-1594386	Public	Clarence Petty Intern	5,000
Adirondack Experience 162 Adirondack Loj Road Lake Placid, NY 12946	Public	Scholarships	3,200
Adirondack Ski Touring Council PO Box 843 Lake Placid, NY 12946 14-1690270	Public	Program	500
Cornell University 130 East Seneca St. Ste 400 Ithaca NY 14850	Public	Endowment	175,000
Essex County Historical Society PO Box 428 Elizabethtown NY 12932- 14-1414460	Public	Education program	10,000
Lake Placid Volunteer Ambulance Service, Inc PO Box 107 Lake Placid, NY 12946 51-0238413	Public	Building fund Building fund Operations	25,000 12,500 5,000
Lake Placid Center for Arts 17 Algonquin Drive Lake Placid, NY 12946	Public	Endowment Building Fund	10,000 10,000
Lake Placid Central School 34 School Street Lake Placid, NY 12946	Gov't.	For Lake Placid Outing Clut	2,500
Lake Placid Community Beautification Assn., Inc 177 John Brown Road Lake Placid, NY 12946 22-3799091	Public	Operations	10,000
Lake Placid - North Elba Historical Society PO Box 189 Lake Placid, NY 12946 14-6032009	Public	Operations	5,000

Lake Placid Public Library 67 Main Street Lake Placid, NY 12946	Gov't.	Operations	5,000
Lake Placid Sinfonietta, Inc. PO Box 1303 Lake Placid, NY 12946 11-2608012	Public	Operations	5,000
Lake Placid Volunteer Fire Dept., Inc PO Box 569 Lake Placid, NY 12946 14-6020589	Public	Training center constr. fund.	10,000
National Sports Academy 821 Mirror Lake Drive Lake Placid, NY 12946	Public	Endowment fund	5,000
New York Educational Ski Foundation Whiteface Mountain Wilmington NY 12997	Public	Van purchase	5,000
Northwood School PO Box 1070 Lake Placid, NY 12946 14-1401103	Public	Endowment fund	10,000
Songs At Mirror Lake PO Box 1450 Lake Placid NY 12946 36-4635750	Public	Operations	5,000
Thomas Shipman Sr. Memorial Youth Center, In 8 Cummins Road PO Box 1122 Lake Placid, NY 12946 14-1794204	Public	Unrestricted Seed money for fundraiser	10,000 10,000
Tri-Lakes Humane Society 11 Mills Road Saranac Lake, NY 12983 23-7394117	Public	Operations	5,000
Wells Memorial Library Assn. PO Box 57 Upper Jay, NY 12987 14-1430387	Public	Recover from flood	5,000
TOTAL		Total:	368,700

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