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990-PF

Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning

, and ending

Name of foundation

THE GENE AND MAXINE ROSENFELD
FAMILY FOUNDATION

A Employer identification number

38-3710097

Number and street (or P O box number if mail is not delivered to street address)

1100 GLENDON AVENUE

Room/suite

1140

B Telephone number

(310) 824-1661

City or town, state, and ZIP code

LOS ANGELES, CA 90024

C If exemption application is pending, check here ☐

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test,
check here and attach computation ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated
under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ 3,133,811. (Part I, column (d) must be on cash basis)

J Accounting method

☒ Cash☐ Accrual☐ Other (specify)F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses**
(The total of amounts in columns (b), (c), and (d) may not
necessarily equal the amounts in column (a).)(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received

330,000.

N/A

2 Check ☐ if the foundation is not required to attach Sch. B3 Interest on savings and temporary
cash investments

1,429.

1,429.

STATEMENT 1

4 Dividends and interest from securities

36,164.

36,164.

STATEMENT 2

5a Gross rents

b Net rental income or (loss)

38,936.

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all
assets on line 6a

284,471.

38,936.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns
and allowances

b Less Cost of goods sold

c Gross profit or (loss)

STATEMENT 3

11 Other income

78,079.

78,079.

12 Total. Add lines 1 through 11

484,608.

154,608.

13 Compensation of officers, directors, trustees, etc

0.

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

STMT 4

5,821.

5,821.

0.

c Other professional fees

STMT 5

13,356.

13,356.

0.

17 Interest

18 Taxes

STMT 6

6,610.

6,610.

0.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

STMT 7

160.

160.

0.

24 Total operating and administrative
expenses. Add lines 13 through 23

25,947.

25,947.

0.

25 Contributions, gifts, grants paid

486,496.

486,496.

26 Total expenses and disbursements.

Add lines 24 and 25

512,443.

25,947.

486,496.

27 Subtract line 26 from line 12.

-27,835.

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

128,661.

c Adjusted net income (if negative, enter -0-)

N/A

123501
12-02-11

LHA For Paperwork Reduction Act Notice, see Instructions.

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	1,263,817.	1,165,800.	1,165,800.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 8	1,122,458.	1,177,635.	1,557,900.
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 9	476,627.	491,632.	410,111.	
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	2,862,902.	2,835,067.	3,133,811.	
Liabilities	17 Accounts payable and accrued expenses	20.	20.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	20.	20.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,862,882.	2,835,047.	
	30 Total net assets or fund balances	2,862,882.	2,835,047.	
31 Total liabilities and net assets/fund balances	2,862,902.	2,835,067.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,862,882.
2 Enter amount from Part I, line 27a	2	-27,835.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	2,835,047.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,835,047.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 284,471.		245,535.	38,936.

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			38,936.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	38,936.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	644,926.	2,391,463.	.269678
2009	792,509.	2,669,952.	.296825
2008	834,707.	1,866,306.	.447251
2007	599,816.	3,922,586.	.152913
2006	520,650.	3,410,812.	.152647

2 Total of line 1, column (d)	2	1.319314
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.263863
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	2,894,675.
5 Multiply line 4 by line 3	5	763,798.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,287.
7 Add lines 5 and 6	7	765,085.
8 Enter qualifying distributions from Part XII, line 4	8	486,496.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	2,573.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,573.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,573.
6 Credits/Payments.			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	2,059.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,059.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	2.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	516.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14 The books are in care of ► <u>MAXINE ROSENFELD</u> Telephone no ► <u>(310) 824-1661</u> Located at ► <u>1100 GLENDON AVENUE, SUITE 1140, LOS ANGELES, CA</u> ZIP+4 ► <u>90024</u>			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A ► ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ►	☐ Yes ☒ No		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EUGENE S. ROSENFELD 1100 GLENDON AVENUE, SUITE 1140 LOS ANGELES, CA 90024	DIRECTOR, PRESIDENT, CEO 0.00	0.	0.	0.
MAXINE ROSENFELD 1100 GLENDON AVENUE, SUITE 1140 LOS ANGELES, CA 90024	DIRECTOR, SECRETARY, CFO 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII
Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,607,231.
b	Average of monthly cash balances	1b	921,414.
c	Fair market value of all other assets	1c	410,111.
d	Total (add lines 1a, b, and c)	1d	2,938,756.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,938,756.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	44,081.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,894,675.
6	Minimum investment return. Enter 5% of line 5	6	144,734.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	144,734.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	2,573.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,573.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	142,161.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	142,161.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	142,161.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	486,496.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	486,496.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	486,496.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				142,161.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006	352,307.			
b From 2007	412,363.			
c From 2008	742,298.			
d From 2009	661,883.			
e From 2010	527,560.			
f Total of lines 3a through e	2,696,411.			
4 Qualifying distributions for 2011 from Part XII, line 4: $\blacktriangleright$ \$	486,496.			
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				142,161.
e Remaining amount distributed out of corpus	344,335.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	3,040,746.			
b Prior years' undistributed income: Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	352,307.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	2,688,439.			
10 Analysis of line 9				
a Excess from 2007	412,363.			
b Excess from 2008	742,298.			
c Excess from 2009	661,883.			
d Excess from 2010	527,560.			
e Excess from 2011	344,335.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

[illegible]

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

SEE STATEMENT 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THE GENE AND MAXINE ROSENFELD
FAMILY FOUNDATION

Form 990-PF (2011)

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ARMAND HAMMER MUSEUM OF ART AND CULTURAL CENTER, AT UCLA 10899 WILSHIRE BOULEVARD LOS ANGELES, CA 90024	NO RELATIONSHIP	PUBLIC	TO PROVIDE FUNDING FOR THE ARTS	75.
ASSISTANCE LEAGUE OF SOUTHERN CALIFORNIA 1370 NORTH ST. ANDREWS PLACE HOLLYWOOD, CA 90028	NO RELATIONSHIP	PUBLIC	TO PROVIDE FUNDING FOR CLOTHING FOSTER CHILDREN	1,000.
PBS FOUNDATION 2100 CRYSTAL DRIVE, THIRD FLOOR ARLINGTON, VA 22202	NO RELATIONSHIP	PUBLIC	TO SUPPORT, MAINTAIN AND ENHANCE PROGRAMS & SERVICES	195.
KEHILLAT ISRAEL 16019 SUNSET BLVD PACIFIC PALISADES, CA 90272	NO RELATIONSHIP	PUBLIC	TO FUND RELIGIOUS PROGRAMS	5,200.
THE HELP GROUP 13130 BURBANK BOULEVARD SHERMAN OAKS, CA 91401	NO RELATIONSHIP	PUBLIC	TO PROVIDE EDUCATION FUNDING FOR AUTISTIC AND OTHER SPECIAL NEEDS CHILDREN	10,000.
Total SEE CONTINUATION SHEET(S)			3a	486,496.
b Approved for future payment				
NONE				
Total			3b	0.

Form 990-PF (2011)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|---|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

► DIRECTOR

Title

May the IRS discuss this return with the preparer shown below (see instr. 2)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

DANIEL N. MILLIKEN

Preparer's signature

Daniel Mitchell

Date

5-10-12

Check ☒ if
self-employed

PTIN	
------	--

P01440416

Firm's name ▶ DANIEL N. MILLIKEN, CPA

Firm's EIN ► 33-0623216

Firm's address ► 1679 EAST MAIN STREET, SUITE 105
EL CAJON, CA 92021

Phone no (619) 442-8989

Form **990-PF** (2011)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

THE GENE AND MAXINE ROSENFELD
FAMILY FOUNDATION

Employer identification number

38-3710097

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

THE GENE AND MAXINE ROSENFELD
FAMILY FOUNDATION

Employer identification number

38-3710097

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	EUGENE & MAXINE ROSENFELD 10601 WILSHIRE BLVD, 20 EAST LOS ANGELES, CA 90024	\$ 330,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

THE GENE AND MAXINE ROSENFELD
FAMILY FOUNDATION

Employer identification number

38-3710097

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

THE GENE AND MAXINE ROSENFELD
FAMILY FOUNDATION

Employer identification number

38-3710097

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once.) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

THE GENE AND MAXINE ROSENFELD
FAMILY FOUNDATION

38-3710097

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LACMA 5905 WILSHIRE BOULEVARD LOS ANGELES, CA 90036	NO RELATIONSHIP	PUBLIC	TO FUND ARTS PROGRAMS	4,930.
UNICEF 10351 SANTA MONICA BLVD, SUITE 402 LOS ANGELES, CA 90025	NO RELATIONSHIP	PUBLIC	TO PROVIDE FUNDING FOR CHILDREN SUPPORT IN THIRD WORLD COUNTRIES	100.
UNITED CEREBRAL PALSY OF NYC 10038 MAIDEN LANE, 8TH FLOOR NEW YORK, NY 10038	NO RELATIONSHIP	PUBLIC	TO HELP CREATE OPPORTUNITIES FOR PEOPLE WITH DISABILITIES	2,200.
VISTA DEL MAR 3200 MOTOR AVENUE LOS ANGELES, CA 90034	NO RELATIONSHIP	PUBLIC	TO PROVIDE FUNDING FOR SOCIAL, EDUCATIONAL AND HEALTH SERVICES FOR CHILDREN AND FAMILIES.	150.
THE PAINTED TURTLE 1300 4TH STREET, SUITE 300 SANTA MONICA, CA 90401	NO RELATIONSHIP	PRIVATE	TO FUND CAMP AND PROGRAMS FOR DISABLED CHILDREN	20,000.
BROADWAY CARES EQUITY 165 WEST 46TH STREET, SUITE 1300 NEW YORK, NY 10036	NO RELATIONSHIP	PUBLIC	TO PROVIDE FUNDING FOR AIDS/HIV SUPPORT AND RESEARCH	274.
JEWISH GRADUATE STUDENT INITIATIVE 9301 WILSHIRE BLVD, SUITE 507 BEVERLY HILLS, CA 90210	NO RELATIONSHIP	PUBLIC	TO PROVIDE SUPPORT FOR JEWISH GRADUATE STUDENTS IN EXPLORING THEIR HERITAGE	25,000.
UCLA FOUNDATION 10920 WILSHIRE BOULEVARD, SUITE 900 LOS ANGELES, CA 90024	NO RELATIONSHIP	PUBLIC	TO PROVIDE SUPPORT FOR VARIOUS SCHOLARSHIPS AND EDUCATIONAL SUPPORT PROGRAMS	390,122.
WESTSIDE FOOD BANK 1710 22ND STREET SANTA MONICA, CA 90404	NO RELATIONSHIP	PUBLIC	TO PROVIDE FOOD FOR LOW INCOME FAMILIES	1,000.
ASPEN COMMUNITY THEATER P.O. BOX 743 ASPEN, CO 81612	NO RELATIONSHIP	PUBLIC	TO PROVIDE EDUCATION IN THE PERFORMING ARTS EXPERIENCE	250.
Total from continuation sheets				470,026.

THE GENE AND MAXINE ROSENFELD
FAMILY FOUNDATION

38-3710097

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EXCEPTIONAL CHILDREN'S FOUNDATION 8740 WASHINGTON BOULEVARD CULVER CITY, CA 90232	NO RELATIONSHIP	PUBLIC	TO PROVIDE FUNDING FOR CHILDREN AND ADULTS WITH DISABILITIES	1,000.
JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL 800 WEST SIXTH STREET, SUITE 450 LOS ANGELES, CA 90017	NO RELATIONSHIP	PUBLIC	TO PROVIDE FUNDING FOR DIABETES RESEARCH	10,000.
KOCE-TV FOUNDATION P.O. BOX 25133 SANTA ANA, CA 92799	NO RELATIONSHIP	PUBLIC	TO PROVIDE FUNDING FOR PUBLIC TELEVISION PROGRAMMING	5,000.
CONGREGATION OF TIKVAT JACOB 1829 N. SEPULVEDA BLVD MANHATTAN BEACH, CA 90266	NO RELATIONSHIP	PRIVATE	TO PROVIDE FINANCIAL SUPPORT FOR CONGREGATION	10,000.
Total from continuation sheets				

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	GOLDMAN SACHS	32618-0	SEE STMT #11	P	VARIOUS	VARIOUS
b	GOLDMAN SACHS	32618-0	SEE STMT #11	P	VARIOUS	VARIOUS
c	GOLDMAN SACHS	32618-0	SEE STMT #11	P	VARIOUS	VARIOUS
d	GOLDMAN SACHS	32618-0	WASH LOSS SEE STMT #11	P	VARIOUS	VARIOUS
e	GOLDMAN SACHS	32628-9	SEE STMT #12	P	VARIOUS	VARIOUS
f	GOLDMAN SACHS	32628-9	SEE STMT #12	P	VARIOUS	VARIOUS
g	GOLDMAN SACHS	32628-9	SEE STMT #12	P	VARIOUS	VARIOUS
h	GOLDMAN SACHS	32628-9	WASH LOSS SEE STMT #12	P	VARIOUS	VARIOUS
i	GOLDMAN SACHS	32619-8	SEE STMT #13	P	VARIOUS	VARIOUS
j	GOLDMAN SACHS	32619-8	SEE STMT #13	P	VARIOUS	VARIOUS
k	GOLDMAN SACHS	32619-8	SEE STMT #13	P	VARIOUS	VARIOUS
l	GS MEZZANINE PARTNERS V OFFSHORE, L.P.			P	VARIOUS	VARIOUS
m						
n						
o						

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 28,744.		33,528.	-4,784.
b 27,563.		21,521.	6,042.
c 77,947.		65,511.	12,436.
d 393.			393.
e 35,815.		42,159.	-6,344.
f 34,389.		30,380.	4,009.
g 42,349.		34,029.	8,320.
h 422.			422.
i 774.		940.	-166.
j 1,806.		1,147.	659.
k 20,366.		16,320.	4,046.
l 13,903.			13,903.
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-4,784.
b			6,042.
c			12,436.
d			393.
e			-6,344.
f			4,009.
g			8,320.
h			422.
i			-166.
j			659.
k			4,046.
l			13,903.
m			
n			
o			

2 Capital gain net income or (net capital loss) (If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7)	2	38,936.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
CALIFORNIA BANK AND TRUST	368.
GOLDMAN SACHS	878.
GOLDMAN SACHS DELAWARE	3.
GOLDMAN SACHS SOUND SHORE	13.
GOLDMAN SACHS WESTFIELD	3.
NORTHERN TRUST	164.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	1,429.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
GOLDMAN SACHS	30,656.	0.	30,656.
GOLDMAN SACHS DELAWARE	798.	0.	798.
GOLDMAN SACHS SOUND SHORE	3,587.	0.	3,587.
GOLDMAN SACHS WESTFIELD	1,123.	0.	1,123.
TOTAL TO FM 990-PF, PART I, LN 4	36,164.	0.	36,164.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GS MEZZANINE PARTNERS V OFFSHORE, L.P.	76,020.	76,020.	
TAX REFUND	2,059.	2,059.	
TOTAL TO FORM 990-PF, PART I, LINE 11	78,079.	78,079.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
DANIEL N. MILLIKEN FOR TAX PREPARATION AND BOOKKEEPING SERVICES	5,821.	5,821.		0.	
TO FORM 990-PF, PG 1, LN 16B	5,821.	5,821.		0.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
GOLDMAN SACHS INVESTMENT FEES	3,695.	3,695.		0.	
GS MEZZANINE PARTNERS V OFFSHORE L.P. INVESTMENT FEES	9,661.	9,661.		0.	
TO FORM 990-PF, PG 1, LN 16C	13,356.	13,356.		0.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TAX ON INVESTMENT INCOME	944.	944.		0.	
TAX ON INVESTMENT INCOME	5,659.	5,659.		0.	
TAX ON INVESTMENT INCOME	7.	7.		0.	
TO FORM 990-PF, PG 1, LN 18	6,610.	6,610.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FORM 199 FILING FEE	10.	10.		0.	
LICENSE	150.	150.		0.	
TO FORM 990-PF, PG 1, LN 23	160.	160.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
MISCELLANEOUS STOCKS IN GOLDMAN SACHS ACCOUNTS	1,177,635.	1,557,900.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,177,635.	1,557,900.		

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
GS MEZZANINE PARTNERS V, LP	COST	491,632.	410,111.	
TOTAL TO FORM 990-PF, PART II, LINE 13		491,632.	410,111.	

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	10
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NAME OF MANAGER

EUGENE S. ROSENFELD
MAXINE ROSENFELD

Tax Year Account No. Legal Name
2011 027-32618-0 THE GENE AND MAXINE ROSENFELD

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	(4,390.91)	Net Covered Long-Term Gains (Losses)	0.00	Net Ordinary Gains (Losses)	0.00
Net NonCovered Short-Term Gains (Losses)	6,042.10	Net NonCovered Long-Term Gains (Losses)	12,436.22		
Net 1099B Non Reportable Short-Term Gains (Losses)	0.00	Net 1099B Non Reportable Long-Term Gains (Losses)	0.00		
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)	0.00	Net Miscellaneous Ordinary Gains (Losses)	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long-Term Gains (Losses)	0.00		
Total Short-Term Gains (Losses)	1,651.19	Total Long-Term Gains (Losses)	12,436.22	Total Ordinary Gains (Losses)	0.00

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
DELTA AIR LINES, INC. CMN (247361702)	01/20/2011	03/17/2011	28.00	280.10	0.00	323.44	(43.34)
DELTA AIR LINES, INC. CMN (247361702) Disallowed Loss							(43.34)
DELTA AIR LINES, INC. CMN (247361702)	01/21/2011	03/17/2011	36.00	360.12	0.00	415.39	(55.27)
DELTA AIR LINES, INC. CMN (247361702) Disallowed Loss							(49.13)
DELTA AIR LINES, INC. CMN (247361702)	01/20/2011	03/18/2011	4.00	40.92	0.00	49.21	(8.29)
DELTA AIR LINES, INC. CMN (247361702)	01/20/2011	03/21/2011	23.00	232.78	0.00	282.93	(50.15)
DELTA AIR LINES, INC. CMN (247361702)	01/20/2011	03/22/2011	1.00	10.06	0.00	12.30	(2.24)
DELTA AIR LINES, INC. CMN (247361702)	01/21/2011	03/22/2011	20.00	201.18	0.00	230.77	(29.59)
CITIGROUP INC. CMN (172967424)	04/19/2011	05/09/2011	0.30	13.32	0.00	0.00	13.32
BUNGE LIMITED. ORD CMN (G16962105)	03/22/2011	06/17/2011	1.00	65.93	0.00	69.34	(3.41)
BUNGE LIMITED. ORD CMN (G16962105)	03/22/2011	06/20/2011	5.00	327.32	0.00	346.70	(19.38)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/07/2011	07/19/2011	4.00	112.32	0.00	126.91	(14.59)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/07/2011	07/20/2011	7.00	198.26	0.00	222.10	(23.84)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/08/2011	07/21/2011	4.00	114.87	0.00	129.26	(14.38)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/07/2011	07/21/2011	9.00	258.47	0.00	285.56	(27.09)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/08/2011	07/26/2011	9.00	254.76	0.00	290.83	(36.07)

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds*	Total Accretion (Amortization)	Cost Basis*	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/08/2011	07/27/2011	8.00	223.43	0.00	258.51	(35.08)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/08/2011	08/02/2011	5.00	139.23	0.00	161.57	(22.34)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/08/2011	08/03/2011	8.00	219.43	0.00	258.51	(39.08)
SEAGATE TECHNOLOGY PLC CMN (G7945M107)	07/19/2011	08/05/2011	24.00	294.23	0.00	405.63	(111.40)
SEAGATE TECHNOLOGY PLC CMN (G7945M107) Disallowed Loss							(111.40)
SEAGATE TECHNOLOGY PLC CMN (G7945M107)	07/19/2011	08/09/2011	5.00	56.73	0.00	107.82	(51.09)
SEAGATE TECHNOLOGY PLC CMN (G7945M107)	07/19/2011	08/09/2011	20.00	226.90	0.00	338.03	(111.12)
SEAGATE TECHNOLOGY PLC CMN (G7945M107) Disallowed Loss							(111.12)
SEAGATE TECHNOLOGY PLC CMN (G7945M107)	07/19/2011	08/09/2011	7.00	76.66	0.00	150.95	(74.29)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/09/2011	08/10/2011	14.00	348.84	0.00	454.65	(105.81)
SEAGATE TECHNOLOGY PLC CMN (G7945M107)	07/19/2011	08/10/2011	12.00	133.68	0.00	258.77	(125.09)
SEAGATE TECHNOLOGY PLC CMN (G7945M107)	07/19/2011	08/10/2011	12.00	133.68	0.00	269.74	(136.06)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/09/2011	08/11/2011	3.00	75.68	0.00	97.42	(21.75)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/10/2011	08/11/2011	15.00	378.39	0.00	467.07	(88.68)
SEAGATE TECHNOLOGY PLC CMN (G7945M107)	07/19/2011	08/11/2011	8.00	90.29	0.00	179.83	(89.53)
SEAGATE TECHNOLOGY PLC CMN (G7945M107)	07/20/2011	08/11/2011	44.00	496.62	0.00	744.57	(247.95)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/10/2011	08/12/2011	19.00	481.25	0.00	591.63	(110.38)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/14/2011	08/15/2011	1.00	25.76	0.00	31.04	(5.29)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/10/2011	08/15/2011	7.00	180.29	0.00	217.97	(37.68)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/11/2011	08/15/2011	10.00	257.55	0.00	313.66	(56.11)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/22/2011	08/16/2011	5.00	127.94	0.00	159.32	(31.38)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/14/2011	08/16/2011	6.00	153.53	0.00	186.24	(32.72)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/18/2011	08/16/2011	9.00	230.29	0.00	286.36	(56.07)
VALERO ENERGY CORPORATION CMN (91913Y100)	06/02/2011	08/16/2011	8.00	167.14	0.00	214.06	(46.92)
ALLSTATE CORPORATION COMMON STOCK (020002101)	02/22/2011	08/17/2011	5.00	127.67	0.00	159.32	(31.64)

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ALLSTATE CORPORATION COMMON STOCK (020002101)	03/10/2011	08/17/2011	6.00	153.21	0.00	190.79	(37.58)
ALLSTATE CORPORATION COMMON STOCK (020002101)	03/09/2011	08/17/2011	8.00	204.28	0.00	256.28	(52.00)
VALERO ENERGY CORPORATION CMN (91913Y100)	06/02/2011	08/17/2011	2.00	42.14	0.00	53.52	(11.38)
VALERO ENERGY CORPORATION CMN (91913Y100)	06/07/2011	08/17/2011	20.00	421.37	0.00	513.88	(92.51)
ALLSTATE CORPORATION COMMON STOCK (020002101)	04/28/2011	08/18/2011	5.00	121.93	0.00	168.15	(46.22)
ALLSTATE CORPORATION COMMON STOCK (020002101)	05/24/2011	08/18/2011	6.00	146.32	0.00	188.27	(41.96)
ALLSTATE CORPORATION COMMON STOCK (020002101)	04/29/2011	08/18/2011	8.00	195.09	0.00	271.53	(76.44)
VALERO ENERGY CORPORATION CMN (91913Y100)	06/14/2011	08/18/2011	4.00	77.09	0.00	100.70	(23.61)
VALERO ENERGY CORPORATION CMN (91913Y100)	06/15/2011	08/18/2011	4.00	77.09	0.00	98.45	(21.36)
VALERO ENERGY CORPORATION CMN (91913Y100)	06/17/2011	08/18/2011	16.00	308.37	0.00	388.48	(80.11)
SANOI SPONSORED ADR CMN (80105N105)	07/19/2011	09/09/2011	9.00	307.17	0.00	348.07	(40.90)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	03/18/2011	09/09/2011	4.00	248.41	0.00	303.88	(55.47)
OWENS-ILLINOIS INC CMN (690768403)	05/06/2011	09/09/2011	7.00	116.66	0.00	223.00	(106.34)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	03/18/2011	09/13/2011	3.00	186.90	0.00	227.91	(41.01)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	03/18/2011	09/14/2011	8.00	506.54	0.00	607.76	(101.22)
DELTA AIR LINES, INC. CMN (247361702)	01/21/2011	09/15/2011	36.00	304.63	0.00	415.39	(110.76)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	03/21/2011	09/15/2011	9.00	573.86	0.00	700.33	(126.47)
OWENS-ILLINOIS INC CMN (690768403)	05/09/2011	09/15/2011	2.00	36.93	0.00	64.10	(27.18)
OWENS-ILLINOIS INC CMN (690768403)	05/06/2011	09/15/2011	12.00	221.56	0.00	382.28	(160.72)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	03/22/2011	09/16/2011	1.00	63.41	0.00	78.37	(14.96)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	03/21/2011	09/16/2011	6.00	380.48	0.00	466.88	(86.41)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	03/22/2011	09/19/2011	2.00	124.50	0.00	156.74	(32.24)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	03/23/2011	09/19/2011	5.00	311.24	0.00	388.28	(77.04)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	03/23/2011	09/20/2011	1.00	63.20	0.00	77.66	(14.46)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	03/25/2011	09/20/2011	2.00	126.39	0.00	157.24	(30.85)

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	03/24/2011	09/20/2011	5.00	315.98	0.00	389.44	(73.46)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/01/2011	09/20/2011	7.00	237.10	0.00	297.07	(59.97)
OWENS-ILLINOIS INC CMN (690768403)	05/09/2011	09/20/2011	9.00	160.86	0.00	288.47	(127.61)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/01/2011	09/21/2011	3.00	102.44	0.00	127.32	(24.88)
OWENS-ILLINOIS INC CMN (690768403)	05/09/2011	09/27/2011	2.00	34.23	0.00	64.10	(29.87)
WESTERN DIGITAL CORP CMN (958102105)	08/08/2011	09/28/2011	7.00	191.35	0.00	203.85	(12.50)
WESTERN DIGITAL CORP CMN (958102105)	08/05/2011	09/28/2011	10.00	273.36	0.00	309.17	(35.81)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	03/29/2011	09/29/2011	1.00	56.44	0.00	78.61	(22.17)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	03/28/2011	09/29/2011	2.00	112.87	0.00	155.77	(42.90)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	03/25/2011	09/29/2011	3.00	169.31	0.00	235.86	(66.56)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/01/2011	09/29/2011	1.00	28.40	0.00	42.44	(14.04)
WESTERN DIGITAL CORP CMN (958102105)	08/08/2011	09/29/2011	10.00	268.39	0.00	291.21	(22.82)
CONOCOPHILLIPS CMN (20825C104)	09/20/2011	10/03/2011	3.00	185.44	0.00	203.09	(17.66)
CONOCOPHILLIPS CMN (20825C104) Disallowed Loss							(17.66)
CONOCOPHILLIPS CMN (20825C104)	09/16/2011	10/03/2011	5.00	309.06	0.00	335.56	(26.50)
CONOCOPHILLIPS CMN (20825C104) Disallowed Loss							(26.50)
CONOCOPHILLIPS CMN (20825C104)	09/15/2011	10/03/2011	7.00	432.68	0.00	466.87	(34.19)
CONOCOPHILLIPS CMN (20825C104) Disallowed Loss							(34.19)
WESTERN DIGITAL CORP CMN (958102105)	08/08/2011	10/03/2011	6.00	150.09	0.00	174.73	(24.64)
WESTERN DIGITAL CORP CMN (958102105)	08/09/2011	10/03/2011	10.00	250.15	0.00	295.62	(45.47)
CONOCOPHILLIPS CMN (20825C104)	09/20/2011	10/04/2011	3.00	179.57	0.00	209.30	(29.73)
CONOCOPHILLIPS CMN (20825C104)	09/16/2011	10/04/2011	5.00	299.29	0.00	345.90	(46.61)
CONOCOPHILLIPS CMN (20825C104)	09/15/2011	10/04/2011	7.00	419.00	0.00	508.07	(89.07)
WESTERN DIGITAL CORP CMN (958102105)	08/11/2011	10/04/2011	2.00	49.75	0.00	60.72	(10.98)
WESTERN DIGITAL CORP CMN (958102105)	08/09/2011	10/04/2011	5.00	124.37	0.00	147.81	(23.44)

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
WESTERN DIGITAL CORP CMN (958102105)	08/10/2011	10/04/2011	10.00	248.74	0.00	293.54	(44.81)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/01/2011	10/05/2011	2.00	62.70	0.00	84.88	(22.18)
WESTERN DIGITAL CORP CMN (958102105)	08/11/2011	10/05/2011	4.00	106.28	0.00	121.45	(15.17)
WESTERN DIGITAL CORP CMN (958102105)	08/15/2011	10/05/2011	6.00	159.42	0.00	187.19	(27.77)
WESTERN DIGITAL CORP CMN (958102105)	08/12/2011	10/05/2011	9.00	239.14	0.00	277.35	(38.22)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	04/01/2011	10/10/2011	2.00	115.70	0.00	156.07	(40.38)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	03/29/2011	10/10/2011	7.00	404.93	0.00	550.26	(145.33)
EL PASO CORP CMN (28336L109)	03/17/2011	10/10/2011	4.00	76.06	0.00	69.33	6.73
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	04/15/2011	10/11/2011	2.00	116.82	0.00	150.59	(33.77)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	04/01/2011	10/11/2011	3.00	175.23	0.00	234.11	(58.88)
EL PASO CORP CMN (28336L109)	03/17/2011	10/11/2011	13.00	248.28	0.00	225.32	22.96
EL PASO CORP CMN (28336L109)	03/18/2011	10/11/2011	14.00	267.38	0.00	245.05	22.33
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	04/15/2011	10/12/2011	2.00	118.45	0.00	150.59	(32.14)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	05/09/2011	10/12/2011	2.00	118.45	0.00	142.13	(23.68)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	05/06/2011	10/12/2011	3.00	177.68	0.00	210.51	(32.83)
EL PASO CORP CMN (28336L109)	03/18/2011	10/12/2011	1.00	19.15	0.00	17.50	1.65
EL PASO CORP CMN (28336L109)	03/21/2011	10/12/2011	11.00	210.65	0.00	196.29	14.36
OWENS-ILLINOIS INC CMN (690768403)	05/09/2011	10/12/2011	1.00	16.73	0.00	32.05	(15.32)
OWENS-ILLINOIS INC CMN (690768403)	05/10/2011	10/12/2011	8.00	133.84	0.00	261.58	(127.74)
MOLSON COORS BREWING CO CMN CLASS B (60871R209)	10/06/2011	10/17/2011	3.00	123.74	0.00	122.11	1.63
MOLSON COORS BREWING CO CMN CLASS B (60871R209)	10/06/2011	10/19/2011	6.00	249.40	0.00	244.22	5.18
MOLSON COORS BREWING CO CMN CLASS B (60871R209)	10/06/2011	10/20/2011	8.00	328.29	0.00	325.63	2.66
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	05/09/2011	10/25/2011	2.00	129.10	0.00	142.13	(13.03)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	06/30/2011	10/25/2011	3.00	193.65	0.00	211.05	(17.40)
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	06/30/2011	10/26/2011	3.00	194.50	0.00	211.05	(16.55)

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
DIAMOND OFFSHORE DRILLING, INC CMN (25271C102)	08/09/2011	10/26/2011	5.00	324.17	0.00	293.72	30.45
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/01/2011	10/26/2011	6.00	202.97	0.00	254.63	(51.66)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/07/2011	10/28/2011	3.00	111.68	0.00	126.52	(14.83)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/01/2011	10/28/2011	3.00	111.68	0.00	127.32	(15.63)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/06/2011	10/28/2011	7.00	260.59	0.00	293.46	(32.87)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/07/2011	10/31/2011	6.00	215.97	0.00	253.03	(37.06)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/07/2011	11/01/2011	2.00	71.89	0.00	84.34	(12.45)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/07/2011	11/02/2011	1.00	37.62	0.00	42.17	(4.55)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/08/2011	11/02/2011	6.00	225.74	0.00	249.97	(24.24)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/08/2011	11/03/2011	3.00	116.69	0.00	124.99	(8.30)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/13/2011	11/03/2011	7.00	272.27	0.00	285.10	(12.83)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	08/09/2011	11/04/2011	1.00	38.24	0.00	34.47	3.78
MARATHON PETROLEUM CORPORATION CMN (56585A102)	07/13/2011	11/04/2011	7.00	267.70	0.00	285.10	(17.40)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	08/09/2011	11/07/2011	8.00	310.40	0.00	275.72	34.68
MARATHON PETROLEUM CORPORATION CMN (56585A102)	08/09/2011	11/15/2011	8.00	294.55	0.00	275.72	18.83
EBAY INC. CMN (278642103)	06/10/2011	11/16/2011	2.00	61.99	0.00	60.94	1.05
EBAY INC. CMN (278642103)	06/09/2011	11/16/2011	9.00	278.94	0.00	277.59	1.35
NEWMONT MINING CORPORATION CMN (651639106)	01/11/2011	11/16/2011	7.00	479.74	0.00	406.78	72.96
EBAY INC. CMN (278642103)	06/21/2011	11/17/2011	6.00	177.60	0.00	176.55	1.05
EBAY INC. CMN (278642103)	06/10/2011	11/17/2011	10.00	296.01	0.00	304.68	(8.67)
NEWMONT MINING CORPORATION CMN (651639106)	05/31/2011	11/17/2011	3.00	199.10	0.00	168.45	30.65
NEWMONT MINING CORPORATION CMN (651639106)	01/18/2011	11/17/2011	6.00	398.19	0.00	339.35	58.84
NEWMONT MINING CORPORATION CMN (651639106)	03/14/2011	11/17/2011	6.00	398.19	0.00	313.16	85.03
PUBLIC-SVC ENTERPRISE GROUP HOLDING CO (744573106)	08/11/2011	11/17/2011	17.00	552.94	0.00	515.96	36.99
PUBLIC-SVC ENTERPRISE GROUP HOLDING CO (744573106)	08/11/2011	11/18/2011	8.00	261.24	0.00	242.80	18.44

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Tax Year Account No. Legal Name
2011 027-32618-0 THE GENE AND MAXINE ROSENFELD

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
EQT CORPORATION CMN (26884L109)	03/15/2011	11/29/2011	2.00	116.74	0.00	89.04	27.70
EQT CORPORATION CMN (26884L109)	03/15/2011	11/30/2011	5.00	306.33	0.00	222.59	83.74
PUBLIC-SVC ENTERPRISE GROUP HOLDING CO (744573106)	08/11/2011	12/07/2011	13.00	415.51	0.00	394.55	20.96
BAXTER INTERNATIONAL INC CMN (071813109)	01/14/2011	12/14/2011	9.00	438.33	0.00	452.78	(14.46)
BAXTER INTERNATIONAL INC CMN (071813109)	01/14/2011	12/16/2011	2.00	96.44	0.00	100.62	(4.18)
BAXTER INTERNATIONAL INC CMN (071813109)	10/26/2011	12/16/2011	8.00	385.76	0.00	437.82	(52.06)
Net Covered Short-Term Disallowed Losses							(393.34)
Net Covered Short-Term Gains (Losses)							(4,390.91)

NonCovered Short-Term Gains (Losses)

APPLIED MATERIALS INC CMN (038222105)	02/16/2010	01/04/2011	12.00	167.49	0.00	154.72	12.78
APPLIED MATERIALS INC CMN (038222105)	02/16/2010	01/05/2011	22.00	303.63	0.00	283.65	19.99
APPLIED MATERIALS INC CMN (038222105)	02/16/2010	01/06/2011	3.00	41.86	0.00	38.68	3.18
APPLIED MATERIALS INC CMN (038222105)	02/17/2010	01/06/2011	4.00	55.81	0.00	51.68	4.13
SUNOCO INC CMN (86764P109)	04/01/2010	01/06/2011	1.00	40.69	0.00	30.64	10.06
SUNOCO INC CMN (86764P109)	03/31/2010	01/05/2011	2.00	81.38	0.00	58.61	22.77
SUNOCO INC CMN (86764P109)	04/01/2010	01/07/2011	8.00	319.12	0.00	245.08	74.04
APPLIED MATERIALS INC CMN (038222105)	02/17/2010	01/10/2011	22.00	302.49	0.00	284.22	18.27
SUNOCO INC CMN (86764P109)	04/01/2010	01/10/2011	1.00	38.93	0.00	30.64	8.30
BUNGE LIMITED. ORD CMN (G16962105)	07/21/2010	01/14/2011	6.00	422.16	0.00	322.22	99.94
MARATHON OIL CORPORATION CMN (565849106)	03/05/2010	01/14/2011	6.00	256.61	0.00	182.74	73.88
SUNOCO INC CMN (86764P109)	04/01/2010	01/14/2011	4.00	159.93	0.00	122.54	37.39
BUNGE LIMITED. ORD CMN (G16962105)	07/21/2010	01/18/2011	4.00	281.96	0.00	214.82	67.14
MARATHON OIL CORPORATION CMN (565849106)	03/05/2010	01/18/2011	5.00	214.11	0.00	152.28	61.83
MARATHON OIL CORPORATION CMN (565849106)	03/05/2010	01/19/2011	5.00	211.12	0.00	152.28	58.84

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
BUNGE LIMITED. ORD CMN (G16962105)	07/21/2010	01/20/2011	2.00	139.11	0.00	107.41	31.70
BUNGE LIMITED. ORD CMN (G16962105)	07/21/2010	01/25/2011	3.00	205.67	0.00	161.11	44.56
BUNGE LIMITED. ORD CMN (G16962105)	07/22/2010	01/27/2011	3.00	204.93	0.00	163.03	41.90
MARATHON OIL CORPORATION CMN (565849106)	03/05/2010	02/02/2011	6.00	279.07	0.00	182.74	96.34
MARATHON OIL CORPORATION CMN (565849106)	03/08/2010	02/02/2011	6.00	279.07	0.00	184.89	94.18
MARATHON OIL CORPORATION CMN (565849106)	03/08/2010	02/08/2011	6.00	276.42	0.00	184.89	91.53
MARATHON OIL CORPORATION CMN (565849106)	03/08/2010	02/10/2011	5.00	230.48	0.00	154.08	76.40
APPLIED MATERIALS INC CMN (038222105)	02/17/2010	02/11/2011	21.00	336.29	0.00	271.30	64.99
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/19/2010	02/11/2011	2.00	73.94	0.00	53.14	20.80
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/19/2010	02/14/2011	7.00	260.26	0.00	185.98	74.28
TIME WARNER INC. CMN (887317303)	10/08/2010	02/25/2011	10.00	378.44	0.00	311.98	66.46
TIME WARNER INC. CMN (887317303)	10/08/2010	02/28/2011	9.00	343.01	0.00	280.78	62.23
BAKER HUGHES INC CMN (057224107)	05/07/2010	03/02/2011	5.00	344.35	0.00	226.04	118.31
MARATHON OIL CORPORATION CMN (565849106)	03/08/2010	03/02/2011	10.00	508.23	0.00	308.16	200.07
BAKER HUGHES INC CMN (057224107)	08/05/2010	03/03/2011	1.00	69.27	0.00	42.85	26.42
BAKER HUGHES INC CMN (057224107)	06/03/2010	03/03/2011	1.00	69.27	0.00	38.85	30.42
BAKER HUGHES INC CMN (057224107)	05/07/2010	03/03/2011	3.00	207.81	0.00	135.63	72.19
BAKER HUGHES INC CMN (057224107)	05/21/2010	03/03/2011	5.00	346.35	0.00	208.45	137.90
BAKER HUGHES INC CMN (057224107)	05/26/2010	03/03/2011	7.00	484.89	0.00	290.29	194.60
BAKER HUGHES INC CMN (057224107)	08/17/2010	03/04/2011	3.00	209.11	0.00	123.75	85.35
BAKER HUGHES INC CMN (057224107)	08/05/2010	03/04/2011	5.00	348.51	0.00	214.23	134.28
BAKER HUGHES INC CMN (057224107)	08/17/2010	03/07/2011	8.00	556.70	0.00	330.01	226.69
BAKER HUGHES INC CMN (057224107)	09/21/2010	03/08/2011	2.00	137.56	0.00	80.91	56.65
BAKER HUGHES INC CMN (057224107)	09/22/2010	03/09/2011	3.00	205.53	0.00	120.55	84.98
BAKER HUGHES INC CMN (057224107)	09/21/2010	03/09/2011	5.00	342.55	0.00	202.29	140.26

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds*	Total Accretion (Amortization)	Cost Basis*	Gain (Loss)
NonCovered Short-Term Gains (Losses)							
BAKER HUGHES INC CMN (057224107)	09/22/2010	03/10/2011	2.00	134.22	0.00	80.37	53.85
BAKER HUGHES INC CMN (057224107)	09/23/2010	03/10/2011	5.00	335.55	0.00	196.48	139.07
MORGAN STANLEY CMN (617446448)	06/25/2010	03/16/2011	6.00	163.55	0.00	148.90	14.65
MORGAN STANLEY CMN (617446448)	07/13/2010	03/17/2011	2.00	54.75	0.00	50.72	4.03
MORGAN STANLEY CMN (617446448)	06/25/2010	03/17/2011	6.00	164.24	0.00	148.90	15.35
MORGAN STANLEY CMN (617446448)	07/13/2010	03/18/2011	3.00	82.57	0.00	76.08	6.49
MORGAN STANLEY CMN (617446448)	10/07/2010	03/18/2011	17.00	467.88	0.00	427.36	40.52
SUNOCO INC CMN (86764P109)	04/01/2010	03/29/2011	7.00	319.52	0.00	214.45	105.07
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/19/2010	04/12/2011	5.00	206.46	0.00	132.85	73.61
COCA-COLA COMPANY (THE) CMN (191216100)	04/28/2010	04/15/2011	5.00	340.51	0.00	266.68	73.83
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/19/2010	04/19/2011	3.00	122.78	0.00	79.71	43.07
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/19/2010	04/21/2011	2.00	84.97	0.00	53.14	31.83
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/19/2010	04/26/2011	8.00	348.55	0.00	212.55	136.00
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/19/2010	04/27/2011	6.00	262.04	0.00	159.41	102.63
BUNGE LIMITED. ORD CMN (G16962105)	07/26/2010	05/05/2011	1.00	73.54	0.00	55.65	17.89
BUNGE LIMITED. ORD CMN (G16962105)	07/22/2010	05/05/2011	2.00	147.08	0.00	108.69	38.40
BUNGE LIMITED. ORD CMN (G16962105)	07/23/2010	05/05/2011	3.00	220.63	0.00	165.10	55.53
APOLLO GROUP CLASS A COMMON STOCK (037604105)	05/10/2010	05/06/2011	3.00	120.22	0.00	165.26	(45.05)
BUNGE LIMITED. ORD CMN (G16962105)	07/26/2010	05/06/2011	2.00	146.92	0.00	111.30	35.62
APOLLO GROUP CLASS A COMMON STOCK (037604105)	05/12/2010	05/09/2011	2.00	81.22	0.00	110.77	(29.55)
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/19/2010	05/11/2011	9.00	382.29	0.00	239.12	143.17
APOLLO GROUP CLASS A COMMON STOCK (037604105)	07/01/2010	05/12/2011	1.00	42.68	0.00	43.82	(1.14)
APOLLO GROUP CLASS A COMMON STOCK (037604105)	06/10/2010	05/12/2011	4.00	170.71	0.00	201.37	(30.66)
BUNGE LIMITED. ORD CMN (G16962105)	07/27/2010	05/12/2011	3.00	216.05	0.00	166.52	49.53
APOLLO GROUP CLASS A COMMON STOCK (037604105)	07/01/2010	05/13/2011	1.00	42.73	0.00	43.82	(1.09)

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
BUNGE LIMITED, ORD CMN (G16962105)	07/27/2010	05/13/2011	2.00	145.74	0.00	111.01	34.73
APOLLO GROUP CLASS A COMMON STOCK (037604105)	07/01/2010	05/16/2011	5.00	210.54	0.00	219.08	(8.54)
BUNGE LIMITED, ORD CMN (G16962105)	07/28/2010	05/16/2011	3.00	221.32	0.00	164.67	56.65
APOLLO GROUP CLASS A COMMON STOCK (037604105)	07/01/2010	05/17/2011	1.00	41.52	0.00	43.82	(2.30)
APOLLO GROUP CLASS A COMMON STOCK (037604105)	07/07/2010	05/17/2011	3.00	124.55	0.00	126.57	(2.02)
APOLLO GROUP CLASS A COMMON STOCK (037604105)	07/06/2010	05/17/2011	3.00	124.55	0.00	127.56	(3.01)
APOLLO GROUP CLASS A COMMON STOCK (037604105)	07/07/2010	05/18/2011	1.00	41.17	0.00	42.19	(1.02)
APOLLO GROUP CLASS A COMMON STOCK (037604105)	08/17/2010	05/18/2011	1.00	41.17	0.00	40.49	0.68
APOLLO GROUP CLASS A COMMON STOCK (037604105)	07/14/2010	05/18/2011	3.00	123.52	0.00	133.70	(10.18)
APOLLO GROUP CLASS A COMMON STOCK (037604105)	08/17/2010	05/19/2011	5.00	205.53	0.00	202.47	3.06
BUNGE LIMITED, ORD CMN (G16962105)	07/28/2010	05/19/2011	1.00	73.16	0.00	54.89	18.27
BUNGE LIMITED, ORD CMN (G16962105)	07/30/2010	05/19/2011	3.00	219.47	0.00	147.76	71.70
APOLLO GROUP CLASS A COMMON STOCK (037604105)	08/17/2010	05/20/2011	8.00	327.72	0.00	323.95	3.78
APOLLO GROUP CLASS A COMMON STOCK (037604105)	08/17/2010	05/23/2011	2.00	80.43	0.00	80.99	(0.56)
APOLLO GROUP CLASS A COMMON STOCK (037604105)	10/19/2010	05/23/2011	4.00	160.86	0.00	148.92	11.94
BUNGE LIMITED, ORD CMN (G16962105)	07/30/2010	05/23/2011	4.00	289.09	0.00	197.02	92.07
BUNGE LIMITED, ORD CMN (G16962105)	08/02/2010	05/24/2011	1.00	72.13	0.00	51.06	21.08
BUNGE LIMITED, ORD CMN (G16962105)	07/30/2010	05/24/2011	3.00	216.40	0.00	147.76	68.64
APOLLO GROUP CLASS A COMMON STOCK (037604105)	10/19/2010	05/25/2011	3.00	120.63	0.00	111.69	8.94
CVS CAREMARK CORPORATION CMN (126650100)	10/21/2010	05/25/2011	15.00	572.42	0.00	466.35	106.07
APOLLO GROUP CLASS A COMMON STOCK (037604105)	10/19/2010	05/26/2011	5.00	199.41	0.00	186.15	13.26
BUNGE LIMITED, ORD CMN (G16962105)	08/03/2010	05/26/2011	1.00	71.93	0.00	51.61	20.32
BUNGE LIMITED, ORD CMN (G16962105)	08/02/2010	05/26/2011	3.00	215.78	0.00	153.17	62.62
APOLLO GROUP CLASS A COMMON STOCK (037604105)	10/19/2010	05/27/2011	3.00	120.28	0.00	111.69	8.59
APOLLO GROUP CLASS A COMMON STOCK (037604105)	10/26/2010	05/31/2011	2.00	81.33	0.00	73.98	7.35

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ^s	Total Accretion (Amortization)	Cost Basis ^a	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
APOLLO GROUP CLASS A COMMON STOCK (037604105)	10/19/2010	05/31/2011	4.00	162.67	0.00	148.92	13.75
APOLLO GROUP CLASS A COMMON STOCK (037604105)	10/26/2010	06/01/2011	7.00	292.06	0.00	258.93	33.13
APOLLO GROUP CLASS A COMMON STOCK (037604105)	10/26/2010	06/02/2011	7.00	328.14	0.00	258.93	69.22
BUNGE LIMITED. ORD CMN (G16962105)	08/05/2010	06/07/2011	2.00	140.28	0.00	104.64	35.65
BUNGE LIMITED. ORD CMN (G16962105)	08/03/2010	06/07/2011	3.00	210.43	0.00	154.83	55.60
BUNGE LIMITED. ORD CMN (G16962105)	08/05/2010	06/08/2011	3.00	205.88	0.00	156.96	48.92
BUNGE LIMITED. ORD CMN (G16962105)	09/01/2010	06/09/2011	1.00	68.76	0.00	53.95	14.81
BUNGE LIMITED. ORD CMN (G16962105)	08/05/2010	06/09/2011	2.00	137.52	0.00	104.64	32.88
BUNGE LIMITED. ORD CMN (G16962105)	09/01/2010	06/10/2011	3.00	203.38	0.00	161.86	41.52
BUNGE LIMITED. ORD CMN (G16962105)	09/08/2010	06/14/2011	3.00	201.94	0.00	167.57	34.37
BUNGE LIMITED. ORD CMN (G16962105)	09/08/2010	06/15/2011	1.00	66.30	0.00	55.86	10.45
BUNGE LIMITED. ORD CMN (G16962105)	11/18/2010	06/15/2011	2.00	132.61	0.00	122.93	9.68
BUNGE LIMITED. ORD CMN (G16962105)	11/19/2010	06/16/2011	1.00	65.73	0.00	61.23	4.50
BUNGE LIMITED. ORD CMN (G16962105)	11/18/2010	06/16/2011	3.00	197.19	0.00	184.40	12.79
BUNGE LIMITED. ORD CMN (G16962105)	11/22/2010	06/17/2011	2.00	131.87	0.00	121.88	9.99
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	07/13/2010	06/20/2011	2.00	153.02	0.00	127.39	25.63
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	07/14/2010	06/20/2011	4.00	306.03	0.00	253.80	52.23
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	09/28/2010	06/21/2011	3.00	234.50	0.00	191.27	43.23
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	09/20/2010	06/21/2011	4.00	312.67	0.00	251.30	61.37
MARATHON OIL CORPORATION CMN (565849106)	09/28/2010	07/06/2011	7.00	233.95	0.00	136.85	97.10
MARATHON OIL CORPORATION CMN (565849106)	09/21/2010	07/06/2011	10.00	334.21	0.00	196.19	138.02
MARATHON OIL CORPORATION CMN (565849106)	09/28/2010	07/07/2011	3.00	98.61	0.00	58.65	39.96
MARATHON OIL CORPORATION CMN (565849106)	09/29/2010	07/07/2011	5.00	164.35	0.00	99.78	64.57
MARATHON OIL CORPORATION CMN (565849106)	12/01/2010	07/07/2011	9.00	295.83	0.00	186.22	109.62
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/19/2010	08/02/2011	9.00	349.57	0.00	239.12	110.45

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^s Sale Proceeds may have been adjusted by an option premium due to an option assignment.



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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total Accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
LYONDELBASSELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/19/2010	08/04/2011	4.00	139.68	0.00	106.28	33.40
INTL GAME TECHNOLOGY CMN (459902102)	09/28/2010	09/07/2011	4.00	58.98	0.00	56.96	2.02
INTL GAME TECHNOLOGY CMN (459902102)	09/27/2010	09/07/2011	15.00	221.16	0.00	212.51	8.65
INTL GAME TECHNOLOGY CMN (459902102)	10/07/2010	09/14/2011	4.00	59.54	0.00	56.89	2.65
INTL GAME TECHNOLOGY CMN (459902102)	09/28/2010	09/14/2011	14.00	208.37	0.00	199.34	9.03
INTL GAME TECHNOLOGY CMN (459902102)	10/07/2010	09/15/2011	10.00	151.47	0.00	142.22	9.25
INTL GAME TECHNOLOGY CMN (459902102)	10/11/2010	09/15/2011	10.00	151.47	0.00	147.71	3.76
MARATHON PETROLEUM CORPORATION CMN (56585A102)	09/21/2010	09/16/2011	5.00	175.83	0.00	127.48	48.35
MARATHON PETROLEUM CORPORATION CMN (56585A102)	09/28/2010	09/16/2011	5.00	175.83	0.00	127.03	48.80
MARATHON PETROLEUM CORPORATION CMN (56585A102)	09/29/2010	09/20/2011	3.00	101.61	0.00	64.84	36.78
MARATHON PETROLEUM CORPORATION CMN (56585A102)	12/01/2010	09/20/2011	4.00	135.48	0.00	121.00	14.48
NEWMONT MINING CORPORATION CMN (651639106)	11/30/2010	09/26/2011	7.00	440.45	0.00	413.33	27.12
NEWMONT MINING CORPORATION CMN (651639106)	11/30/2010	09/27/2011	2.00	130.94	0.00	118.09	12.85
NEWMONT MINING CORPORATION CMN (651639106)	11/30/2010	10/05/2011	1.00	62.14	0.00	59.05	3.10
NEWMONT MINING CORPORATION CMN (651639106)	12/01/2010	10/05/2011	4.00	248.58	0.00	236.51	12.06
NEWMONT MINING CORPORATION CMN (651639106)	12/20/2010	10/25/2011	2.00	129.00	0.00	119.54	9.46
NEWMONT MINING CORPORATION CMN (651639106)	12/02/2010	10/25/2011	2.00	129.00	0.00	120.57	8.43
NEWMONT MINING CORPORATION CMN (651639106)	12/01/2010	10/25/2011	3.00	193.49	0.00	177.39	16.11
NEWMONT MINING CORPORATION CMN (651639106)	12/07/2010	10/25/2011	10.00	644.98	0.00	631.42	13.56
NEWMONT MINING CORPORATION CMN (651639106)	12/20/2010	11/16/2011	3.00	205.60	0.00	179.31	26.29
NEWMONT MINING CORPORATION CMN (651639106)	12/21/2010	11/16/2011	3.00	205.60	0.00	179.89	25.71
Net NonCovered Short-Term Gains (Losses)				27,563.12	0.00	21,521.17	6,042.10

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⁵ Sale Proceeds may have been adjusted by an option premium due to an option assignment



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Tax Year Account No. Legal Name
2011 027-32618-0 THE GENE AND MAXINE ROSENFELD

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds*	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
CHARLES SCHWAB CORPORATION CMN (808513105)	05/28/2009	01/04/2011	16.00	274.66	0.00	271.24	3.42
BANK OF AMERICA CORP CMN (060505104)	12/07/2009	01/05/2011	5.00	72.11	0.00	80.55	(8.44)
BANK OF AMERICA CORP CMN (060505104)	12/04/2009	01/05/2011	26.00	403.79	0.00	448.85	(45.05)
FLEXTRONICS INTERNATIONAL LTD CMN (Y2573F102)	08/28/2008	01/05/2011	23.00	181.11	0.00	209.07	(27.96)
FLEXTRONICS INTERNATIONAL LTD CMN (Y2573F102)	08/28/2008	01/10/2011	11.00	88.58	0.00	99.99	(11.41)
FLEXTRONICS INTERNATIONAL LTD CMN (Y2573F102)	09/08/2008	01/10/2011	14.00	112.73	0.00	118.80	(6.07)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	04/02/2009	01/13/2011	1.00	81.56	0.00	48.98	32.58
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	03/03/2009	01/13/2011	3.00	244.68	0.00	122.49	122.19
VALERO ENERGY CORPORATION CMN (91913Y100)	10/01/2009	01/14/2011	3.00	74.10	0.00	58.05	16.05
VALERO ENERGY CORPORATION CMN (91913Y100)	10/05/2009	01/14/2011	4.00	98.81	0.00	75.68	23.12
BANK OF AMERICA CORP CMN (060505104)	12/07/2009	01/18/2011	32.00	478.49	0.00	515.50	(37.01)
MORGAN STANLEY CMN (617446448)	05/08/2009	01/19/2011	6.00	167.68	0.00	160.26	7.43
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	04/02/2009	01/20/2011	3.00	245.30	0.00	146.93	98.37
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	04/02/2009	01/21/2011	2.00	166.16	0.00	97.95	68.20
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	07/01/2009	01/21/2011	2.00	166.16	0.00	111.31	54.84
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	09/18/2008	01/25/2011	2.00	91.45	0.00	89.47	1.98
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	08/28/2008	01/25/2011	4.00	182.90	0.00	186.34	(3.44)
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	12/08/2008	01/25/2011	4.00	182.90	0.00	111.94	70.95
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	07/09/2009	01/25/2011	2.00	167.81	0.00	102.15	65.66
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	07/01/2009	01/25/2011	3.00	251.71	0.00	166.97	84.74
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	01/15/2009	01/26/2011	2.00	90.84	0.00	46.09	44.74
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	12/08/2008	01/26/2011	3.00	136.25	0.00	83.96	52.30
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	07/09/2009	01/26/2011	3.00	256.93	0.00	153.22	103.71
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	02/06/2009	01/27/2011	1.00	45.63	0.00	28.40	17.23
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	01/15/2009	01/27/2011	4.00	182.50	0.00	92.19	90.32

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	02/06/2009	01/28/2011	6.00	273.97	0.00	170.40	103.58
WAL MART STORES INC CMN (931142103)	02/11/2009	02/01/2011	3.00	168.58	0.00	144.62	23.97
WAL MART STORES INC CMN (931142103)	02/10/2009	02/01/2011	4.00	224.78	0.00	191.07	33.71
WAL MART STORES INC CMN (931142103)	02/11/2009	02/02/2011	9.00	501.99	0.00	433.86	68.14
BAKER HUGHES INC CMN (057224107)	10/15/2009	02/04/2011	3.00	202.45	0.00	142.04	60.41
BAKER HUGHES INC CMN (057224107)	11/04/2009	02/04/2011	3.00	202.45	0.00	124.08	78.36
BAKER HUGHES INC CMN (057224107)	11/04/2009	02/08/2011	2.00	134.39	0.00	82.72	51.67
BAKER HUGHES INC CMN (057224107)	12/09/2009	02/08/2011	2.00	134.39	0.00	76.08	58.31
EQT CORPORATION CMN (26884L109)	09/03/2009	02/08/2011	4.00	191.47	0.00	155.59	35.88
EQT CORPORATION CMN (26884L109)	09/08/2009	02/08/2011	4.00	191.47	0.00	161.49	29.98
VALERO ENERGY CORPORATION CMN (91913Y100)	10/06/2009	02/08/2011	1.00	27.54	0.00	19.22	8.32
VALERO ENERGY CORPORATION CMN (91913Y100)	10/05/2009	02/08/2011	10.00	275.39	0.00	189.21	86.18
CHARLES SCHWAB CORPORATION CMN (808513105)	05/28/2009	02/09/2011	14.00	261.17	0.00	237.34	23.83
EQT CORPORATION CMN (26884L109)	09/08/2009	02/09/2011	2.00	94.39	0.00	80.75	13.64
EQT CORPORATION CMN (26884L109)	09/09/2009	02/09/2011	2.00	94.39	0.00	82.84	11.55
EQT CORPORATION CMN (26884L109)	09/09/2009	02/10/2011	1.00	48.32	0.00	41.42	6.90
EL PASO CORP CMN (28336L109)	09/16/2009	02/11/2011	11.00	183.55	0.00	116.10	67.45
EQT CORPORATION CMN (26884L109)	09/09/2009	02/11/2011	3.00	144.92	0.00	124.26	20.67
EQT CORPORATION CMN (26884L109)	09/10/2009	02/11/2011	5.00	241.54	0.00	209.93	31.61
FLEXTRONICS INTERNATIONAL LTD CMN (Y2573F102)	10/07/2008	02/11/2011	18.00	142.82	0.00	99.72	43.10
FLEXTRONICS INTERNATIONAL LTD CMN (Y2573F102)	09/08/2008	02/11/2011	33.00	261.84	0.00	280.03	(18.19)
WAL MART STORES INC CMN (931142103)	02/12/2009	02/11/2011	2.00	111.38	0.00	95.13	16.25
WAL MART STORES INC CMN (931142103)	02/11/2009	02/11/2011	6.00	334.14	0.00	289.24	44.90
EQT CORPORATION CMN (26884L109)	09/16/2009	02/14/2011	6.00	289.51	0.00	251.40	38.12
BAKER HUGHES INC CMN (057224107)	12/09/2009	02/22/2011	9.00	626.70	0.00	342.34	284.36

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds*	Total Accretion (Amortization)	Cost Basis*	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
COMCAST CORPORATION CMN CLASS A VOTING (20030N101)	08/28/2008	02/22/2011	9.00	227.10	0.00	193.68	33.42
VALERO ENERGY CORPORATION CMN (91913Y100)	10/15/2009	02/22/2011	2.00	57.38	0.00	39.88	17.50
VALERO ENERGY CORPORATION CMN (91913Y100)	10/06/2009	02/22/2011	13.00	372.97	0.00	249.83	123.14
COMCAST CORPORATION CMN CLASS A VOTING (20030N101)	08/28/2008	02/23/2011	10.00	249.22	0.00	215.20	34.02
BAKER HUGHES INC CMN (057224107)	12/09/2009	02/24/2011	2.00	138.48	0.00	76.07	62.41
CHARLES SCHWAB CORPORATION CMN (808513105)	05/29/2009	02/24/2011	9.00	167.27	0.00	154.13	13.14
CHARLES SCHWAB CORPORATION CMN (808513105)	06/05/2009	02/24/2011	13.00	241.62	0.00	237.23	4.39
MORGAN STANLEY CMN (617446448)	05/08/2009	02/28/2011	10.00	296.21	0.00	267.09	29.12
MORGAN STANLEY CMN (617446448)	05/08/2009	03/01/2011	6.00	175.49	0.00	160.26	15.24
BAKER HUGHES INC CMN (057224107)	12/09/2009	03/02/2011	2.00	137.74	0.00	76.08	61.67
CHARLES SCHWAB CORPORATION CMN (808513105)	06/10/2009	03/02/2011	13.00	241.82	0.00	232.51	9.31
CHARLES SCHWAB CORPORATION CMN (808513105)	07/07/2009	03/02/2011	17.00	316.22	0.00	284.61	31.61
MORGAN STANLEY CMN (617446448)	05/08/2009	03/02/2011	17.00	493.76	0.00	454.06	39.71
MORGAN STANLEY CMN (617446448)	05/08/2009	03/03/2011	7.00	205.52	0.00	186.96	18.56
MORGAN STANLEY CMN (617446448)	05/12/2009	03/03/2011	13.00	381.68	0.00	340.73	40.95
MORGAN STANLEY CMN (617446448)	05/12/2009	03/04/2011	2.00	57.22	0.00	52.42	4.80
MORGAN STANLEY CMN (617446448)	05/14/2009	03/04/2011	8.00	228.86	0.00	208.83	20.03
MORGAN STANLEY CMN (617446448)	05/13/2009	03/04/2011	14.00	400.51	0.00	355.78	44.73
MORGAN STANLEY CMN (617446448)	05/14/2009	03/08/2011	6.00	172.38	0.00	156.63	15.75
MORGAN STANLEY CMN (617446448)	06/02/2009	03/08/2011	8.00	229.83	0.00	232.88	(3.04)
MORGAN STANLEY CMN (617446448)	06/18/2009	03/09/2011	5.00	143.23	0.00	139.57	3.66
MORGAN STANLEY CMN (617446448)	06/02/2009	03/09/2011	7.00	200.53	0.00	203.77	(3.24)
MORGAN STANLEY CMN (617446448)	09/10/2009	03/10/2011	4.00	112.56	0.00	114.26	(1.69)
MORGAN STANLEY CMN (617446448)	07/09/2009	03/10/2011	8.00	225.13	0.00	209.37	15.76
MORGAN STANLEY CMN (617446448)	06/18/2009	03/10/2011	9.00	253.27	0.00	251.24	2.03

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Tax Year Account No. Legal Name
2011 027-32618-0 THE GENE AND MAXINE ROSENFELD

REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁴	Total Accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
MORGAN STANLEY CMN (617446448)	09/10/2009	03/11/2011	7.00	198.16	0.00	199.95	(1.79)
MORGAN STANLEY CMN (617446448)	09/10/2009	03/14/2011	5.00	139.51	0.00	142.82	(3.31)
MORGAN STANLEY CMN (617446448)	09/11/2009	03/15/2011	2.00	55.59	0.00	57.97	(2.38)
MORGAN STANLEY CMN (617446448)	09/10/2009	03/15/2011	9.00	250.14	0.00	257.08	(6.94)
MORGAN STANLEY CMN (617446448)	02/17/2010	03/16/2011	4.00	109.03	0.00	110.24	(1.21)
MORGAN STANLEY CMN (617446448)	09/11/2009	03/16/2011	7.00	190.80	0.00	202.88	(12.08)
PFIZER INC. CMN (717081103)	08/28/2008	03/22/2011	19.00	378.54	0.00	365.75	12.79
VALERO ENERGY CORPORATION CMN (91913Y100)	10/15/2009	03/23/2011	8.00	228.81	0.00	159.52	69.29
COCA-COLA COMPANY (THE) CMN (191216100)	01/15/2009	03/28/2011	2.00	130.67	0.00	85.67	45.00
COCA-COLA COMPANY (THE) CMN (191216100)	04/30/2009	03/28/2011	2.00	130.67	0.00	86.48	44.20
PFIZER INC. CMN (717081103)	08/28/2008	03/29/2011	15.00	304.02	0.00	288.75	15.27
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	08/03/2009	03/30/2011	1.00	91.91	0.00	60.72	31.19
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	07/23/2009	03/30/2011	4.00	367.66	0.00	230.93	136.73
PFIZER INC. CMN (717081103)	08/28/2008	03/30/2011	17.00	347.93	0.00	327.25	20.68
VALERO ENERGY CORPORATION CMN (91913Y100)	10/15/2009	03/30/2011	12.00	368.09	0.00	239.28	128.81
COCA-COLA COMPANY (THE) CMN (191216100)	04/30/2009	04/04/2011	5.00	338.20	0.00	216.19	122.01
COCA-COLA COMPANY (THE) CMN (191216100)	04/30/2009	04/05/2011	6.00	404.72	0.00	259.43	145.29
COCA-COLA COMPANY (THE) CMN (191216100)	03/02/2010	04/06/2011	1.00	67.49	0.00	53.46	14.03
COCA-COLA COMPANY (THE) CMN (191216100)	04/30/2009	04/06/2011	3.00	202.47	0.00	129.71	72.76
COCA-COLA COMPANY (THE) CMN (191216100)	05/07/2009	04/06/2011	4.00	269.96	0.00	171.83	98.13
APOLLO GROUP CLASS A COMMON STOCK (037604105)	12/18/2009	04/07/2011	1.00	42.06	0.00	57.79	(15.74)
APOLLO GROUP CLASS A COMMON STOCK (037604105)	12/02/2009	04/07/2011	3.00	126.17	0.00	168.65	(42.48)
APOLLO GROUP CLASS A COMMON STOCK (037604105)	11/30/2009	04/07/2011	4.00	168.23	0.00	229.47	(61.24)
COCA-COLA COMPANY (THE) CMN (191216100)	03/02/2010	04/07/2011	8.00	538.16	0.00	427.69	110.47
APOLLO GROUP CLASS A COMMON STOCK (037604105)	03/22/2010	04/08/2011	2.00	84.31	0.00	129.11	(44.80)

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ¹	Total Accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
APOLLO GROUP CLASS A COMMON STOCK (037604105)	12/18/2009	04/08/2011	6.00	252.93	0.00	346.77	(93.84)
COCA-COLA COMPANY (THE) CMN (191216100)	03/02/2010	04/08/2011	3.00	201.74	0.00	160.39	41.35
COCA-COLA COMPANY (THE) CMN (191216100)	03/03/2010	04/08/2011	6.00	403.47	0.00	321.74	81.73
COCA-COLA COMPANY (THE) CMN (191216100)	03/03/2010	04/11/2011	4.00	270.64	0.00	214.50	56.15
COCA-COLA COMPANY (THE) CMN (191216100)	03/04/2010	04/11/2011	5.00	338.31	0.00	273.09	65.22
VALERO ENERGY CORPORATION CMN (91913Y100)	10/21/2009	04/11/2011	1.00	27.91	0.00	20.62	7.29
VALERO ENERGY CORPORATION CMN (91913Y100)	10/15/2009	04/11/2011	9.00	251.15	0.00	179.46	71.69
EQT CORPORATION CMN (26884L109)	09/16/2009	04/12/2011	2.00	94.59	0.00	83.80	10.79
COCA-COLA COMPANY (THE) CMN (191216100)	03/04/2010	04/14/2011	3.00	204.04	0.00	163.85	40.19
COCA-COLA COMPANY (THE) CMN (191216100)	03/05/2010	04/14/2011	6.00	408.07	0.00	327.60	80.47
COCA-COLA COMPANY (THE) CMN (191216100)	03/05/2010	04/15/2011	3.00	204.30	0.00	163.80	40.50
APOLLO GROUP CLASS A COMMON STOCK (037604105)	03/23/2010	04/19/2011	2.00	78.94	0.00	128.01	(49.07)
APOLLO GROUP CLASS A COMMON STOCK (037604105)	03/22/2010	04/19/2011	6.00	236.83	0.00	387.32	(150.50)
APOLLO GROUP CLASS A COMMON STOCK (037604105)	03/23/2010	05/02/2011	1.00	40.53	0.00	64.01	(23.48)
APOLLO GROUP CLASS A COMMON STOCK (037604105)	03/30/2010	05/03/2011	3.00	121.53	0.00	187.81	(66.29)
APOLLO GROUP CLASS A COMMON STOCK (037604105)	03/23/2010	05/03/2011	5.00	202.54	0.00	320.02	(117.48)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	08/03/2009	05/03/2011	3.00	261.96	0.00	182.17	79.79
APOLLO GROUP CLASS A COMMON STOCK (037604105)	03/30/2010	05/04/2011	6.00	242.00	0.00	375.63	(133.63)
APOLLO GROUP CLASS A COMMON STOCK (037604105)	04/21/2010	05/05/2011	6.00	240.56	0.00	392.61	(152.05)
EQT CORPORATION CMN (26884L109)	09/16/2009	05/05/2011	4.00	202.12	0.00	167.60	34.52
MARATHON OIL CORPORATION CMN (565849106)	03/10/2010	05/05/2011	1.00	50.28	0.00	31.21	19.07
MARATHON OIL CORPORATION CMN (565849106)	03/09/2010	05/05/2011	5.00	251.40	0.00	154.58	96.82
MARATHON OIL CORPORATION CMN (565849106)	03/08/2010	05/05/2011	5.00	251.41	0.00	154.08	97.33
APOLLO GROUP CLASS A COMMON STOCK (037604105)	04/21/2010	05/06/2011	3.00	120.22	0.00	196.31	(76.09)
EQT CORPORATION CMN (26884L109)	09/22/2009	05/10/2011	5.00	259.68	0.00	212.70	46.98

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Tax Year Account No. Legal Name
2011 027-32618-0 THE GENE AND MAXINE ROSENFELD

REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
EQT CORPORATION CMN (26884L109)	09/22/2009	05/11/2011	1.00	50.65	0.00	42.54	8.11
EQT CORPORATION CMN (26884L109)	09/22/2009	05/12/2011	3.00	150.37	0.00	127.62	22.75
EQT CORPORATION CMN (26884L109)	03/11/2010	05/16/2011	2.00	99.24	0.00	88.03	11.21
EQT CORPORATION CMN (26884L109)	09/22/2009	05/16/2011	4.00	198.49	0.00	170.16	28.33
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	08/03/2009	05/19/2011	4.00	329.10	0.00	242.89	86.21
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	08/03/2009	05/20/2011	1.00	82.43	0.00	60.72	21.70
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	12/11/2009	05/20/2011	3.00	247.28	0.00	191.74	55.53
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	12/11/2009	05/23/2011	2.00	161.73	0.00	127.83	33.90
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	01/29/2009	05/23/2011	11.00	665.72	0.00	454.97	210.75
BAXTER INTERNATIONAL INC CMN (071813109)	08/26/2009	05/25/2011	4.00	236.31	0.00	228.36	7.95
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	12/11/2009	05/25/2011	1.00	82.70	0.00	63.92	18.79
MARATHON OIL CORPORATION CMN (565849106)	03/10/2010	05/25/2011	5.00	264.15	0.00	156.06	108.09
BAXTER INTERNATIONAL INC CMN (071813109)	08/26/2009	05/26/2011	1.00	59.15	0.00	57.09	2.05
BAXTER INTERNATIONAL INC CMN (071813109)	09/17/2009	05/26/2011	1.00	59.15	0.00	58.39	0.76
EL PASO CORP CMN (28336L109)	10/15/2009	05/26/2011	2.00	41.36	0.00	22.05	19.31
EL PASO CORP CMN (28336L109)	09/16/2009	05/26/2011	10.00	206.80	0.00	105.55	101.25
EL PASO CORP CMN (28336L109)	10/05/2009	05/26/2011	25.00	516.99	0.00	246.21	270.78
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	01/29/2009	06/01/2011	1.00	64.08	0.00	41.36	22.71
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	01/30/2009	06/01/2011	3.00	192.23	0.00	124.10	68.13
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	12/11/2009	06/07/2011	3.00	244.81	0.00	191.74	53.07
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	03/30/2010	06/07/2011	5.00	408.02	0.00	321.39	86.63
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	03/30/2010	06/08/2011	1.00	80.76	0.00	64.28	16.48
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	04/01/2010	06/08/2011	1.00	80.76	0.00	65.62	15.14
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	01/30/2009	06/08/2011	4.00	250.08	0.00	165.47	84.61
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	04/01/2010	06/09/2011	4.00	327.07	0.00	262.46	64.61

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accrual (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	02/02/2009	06/09/2011	1.00	61.79	0.00	41.05	20.74
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	01/30/2009	06/09/2011	2.00	123.58	0.00	82.73	40.85
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	02/02/2009	06/10/2011	2.00	121.75	0.00	82.11	39.64
PFIZER INC. CMN (717081103)	08/28/2008	06/14/2011	14.00	288.86	0.00	269.50	19.36
EQT CORPORATION CMN (26884L109)	03/11/2010	06/15/2011	3.00	151.77	0.00	132.05	19.72
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	04/06/2010	06/16/2011	1.00	77.23	0.00	66.68	10.55
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	04/01/2010	06/16/2011	2.00	154.46	0.00	131.23	23.23
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	04/05/2010	06/16/2011	4.00	308.93	0.00	267.49	41.44
EQT CORPORATION CMN (26884L109)	03/11/2010	06/16/2011	5.00	251.34	0.00	220.08	31.26
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	04/06/2010	06/17/2011	2.00	153.33	0.00	133.37	19.96
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	05/27/2010	06/17/2011	5.00	383.33	0.00	310.82	72.51
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	05/27/2010	06/20/2011	1.00	76.51	0.00	62.16	14.35
MARATHON OIL CORPORATION CMN (565849106)	03/10/2010	06/24/2011	7.00	348.45	0.00	218.49	129.96
MARATHON OIL CORPORATION CMN (565849106)	03/12/2010	06/24/2011	8.00	398.22	0.00	252.31	145.91
MARATHON OIL CORPORATION CMN (565849106)	03/17/2010	06/27/2011	2.00	98.02	0.00	64.33	33.69
MARATHON OIL CORPORATION CMN (565849106)	03/12/2010	06/27/2011	3.00	147.04	0.00	94.62	52.42
BAXTER INTERNATIONAL INC CMN (071813109)	09/17/2009	06/28/2011	9.00	529.13	0.00	525.49	3.64
PFIZER INC. CMN (717081103)	08/28/2008	06/30/2011	23.00	473.52	0.00	442.75	30.77
VISA INC. CMN CLASS A (92826C839)	05/19/2010	06/30/2011	4.00	341.18	0.00	292.86	48.32
VISA INC. CMN CLASS A (92826C839)	05/18/2010	06/30/2011	8.00	682.35	0.00	552.96	129.39
MARATHON OIL CORPORATION CMN (565849106)	03/18/2010	07/01/2011	4.00	130.43	0.00	77.86	52.58
MARATHON OIL CORPORATION CMN (565849106)	04/14/2010	07/01/2011	6.00	195.65	0.00	118.61	77.04
MARATHON OIL CORPORATION CMN (565849106)	03/17/2010	07/01/2011	9.00	293.48	0.00	175.48	118.00
PFIZER INC. CMN (717081103)	08/28/2008	07/01/2011	24.00	494.26	0.00	462.00	32.26
MARATHON OIL CORPORATION CMN (565849106)	04/14/2010	07/05/2011	12.00	407.04	0.00	237.22	169.82

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
MARATHON OIL CORPORATION CMN (565849106)	04/14/2010	07/06/2011	1.00	33.42	0.00	19.77	13.65
PFIZER INC. CMN (717081103)	08/28/2008	07/07/2011	28.00	569.11	0.00	539.00	30.11
STATE STREET CORPORATION (NEW) CMN (857477103)	11/18/2009	07/08/2011	4.00	183.96	0.00	170.74	13.22
STATE STREET CORPORATION (NEW) CMN (857477103)	11/19/2009	07/08/2011	4.00	183.96	0.00	167.05	16.91
INTL GAME TECHNOLOGY CMN (459902102)	04/20/2010	07/11/2011	20.00	355.51	0.00	382.86	(27.35)
STATE STREET CORPORATION (NEW) CMN (857477103)	11/19/2009	07/11/2011	4.00	179.61	0.00	167.05	12.56
STATE STREET CORPORATION (NEW) CMN (857477103)	11/19/2009	07/12/2011	1.00	44.91	0.00	41.76	3.15
STATE STREET CORPORATION (NEW) CMN (857477103)	11/20/2009	07/12/2011	5.00	224.55	0.00	204.37	20.18
EOT CORPORATION CMN (268841109)	03/11/2010	07/13/2011	3.00	164.44	0.00	132.05	32.39
INTL GAME TECHNOLOGY CMN (459902102)	04/20/2010	07/13/2011	6.00	110.23	0.00	114.86	(4.63)
PFIZER INC. CMN (717081103)	08/28/2008	07/13/2011	6.00	120.64	0.00	115.50	5.14
PFIZER INC. CMN (717081103)	01/27/2009	07/13/2011	18.00	361.91	0.00	285.86	76.04
EOT CORPORATION CMN (268841109)	03/11/2010	07/18/2011	5.00	288.49	0.00	220.08	68.41
INTL GAME TECHNOLOGY CMN (459902102)	04/20/2010	07/18/2011	18.00	317.15	0.00	344.58	(27.43)
INTL GAME TECHNOLOGY CMN (459902102)	04/21/2010	07/20/2011	1.00	18.07	0.00	19.34	(1.27)
INTL GAME TECHNOLOGY CMN (459902102)	04/20/2010	07/20/2011	12.00	216.85	0.00	229.72	(12.87)
MICROSOFT CORPORATION CMN (594918104)	07/14/2009	07/20/2011	12.00	325.21	0.00	276.36	48.85
PFIZER INC. CMN (717081103)	01/29/2009	07/20/2011	12.00	238.78	0.00	182.58	56.20
PFIZER INC. CMN (717081103)	01/27/2009	07/20/2011	16.00	318.37	0.00	254.10	64.27
WAL MART STORES INC CMN (931142103)	02/12/2009	07/20/2011	7.00	376.98	0.00	332.95	44.03
WAL MART STORES INC CMN (931142103)	02/13/2009	07/20/2011	10.00	538.55	0.00	466.64	71.91
MICROSOFT CORPORATION CMN (594918104)	07/14/2009	07/21/2011	3.00	81.33	0.00	69.09	12.24
MICROSOFT CORPORATION CMN (594918104)	07/15/2009	07/21/2011	16.00	433.75	0.00	381.77	51.99
SYMANTEC CORP CMN (871503108)	05/12/2009	07/21/2011	13.00	247.57	0.00	196.70	50.87
SYMANTEC CORP CMN (871503108)	05/18/2009	07/22/2011	4.00	76.00	0.00	59.57	16.43

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁴	Total Accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
SYMANTEC CORP CMN (871503108)	05/12/2009	07/22/2011	11.00	208.99	0.00	166.44	42.55
EOT CORPORATION CMN (26884L109)	03/11/2010	07/25/2011	6.00	376.30	0.00	264.10	112.20
PRIZER INC. CMN (717081103)	01/29/2009	07/26/2011	10.00	196.91	0.00	152.15	44.76
PRIZER INC. CMN (717081103)	02/03/2009	07/26/2011	15.00	295.37	0.00	226.82	68.55
EOT CORPORATION CMN (26884L109)	03/11/2010	07/29/2011	3.00	190.17	0.00	132.05	58.12
SYMANTEC CORP CMN (871503108)	05/18/2009	08/01/2011	11.00	208.16	0.00	163.81	44.35
EOT CORPORATION CMN (26884L109)	03/11/2010	08/02/2011	6.00	376.97	0.00	264.10	112.87
INTL GAME TECHNOLOGY CMN (459902102)	04/21/2010	08/02/2011	12.00	216.19	0.00	232.05	(15.86)
EOT CORPORATION CMN (26884L109)	03/11/2010	08/03/2011	4.00	241.37	0.00	176.07	65.31
INTL GAME TECHNOLOGY CMN (459902102)	04/21/2010	08/03/2011	7.00	122.73	0.00	135.36	(12.63)
EOT CORPORATION CMN (26884L109)	03/25/2010	08/04/2011	1.00	57.27	0.00	41.56	15.71
EOT CORPORATION CMN (26884L109)	03/24/2010	08/04/2011	2.00	114.54	0.00	83.14	31.40
EOT CORPORATION CMN (26884L109)	03/16/2010	08/04/2011	3.00	171.81	0.00	130.41	41.40
INTL GAME TECHNOLOGY CMN (459902102)	04/21/2010	08/04/2011	12.00	206.83	0.00	232.05	(25.22)
INTL GAME TECHNOLOGY CMN (459902102)	04/21/2010	08/08/2011	9.00	135.94	0.00	174.04	(38.10)
INTL GAME TECHNOLOGY CMN (459902102)	04/21/2010	08/10/2011	11.00	164.28	0.00	212.71	(48.43)
VALERO ENERGY CORPORATION CMN (91913Y100)	10/27/2009	08/10/2011	4.00	78.99	0.00	77.77	1.22
VALERO ENERGY CORPORATION CMN (91913Y100)	10/21/2009	08/10/2011	8.00	157.99	0.00	164.97	(6.98)
INTL GAME TECHNOLOGY CMN (459902102)	04/21/2010	08/11/2011	2.00	30.85	0.00	38.67	(7.83)
INTL GAME TECHNOLOGY CMN (459902102)	04/23/2010	08/11/2011	10.00	154.23	0.00	211.32	(57.08)
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	02/02/2009	08/11/2011	6.00	326.15	0.00	246.33	79.82
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	02/03/2009	08/11/2011	7.00	380.51	0.00	296.89	83.62
VALERO ENERGY CORPORATION CMN (91913Y100)	11/02/2009	08/11/2011	9.00	185.10	0.00	158.59	26.51
VALERO ENERGY CORPORATION CMN (91913Y100)	10/27/2009	08/11/2011	16.00	329.07	0.00	311.08	17.99
INTL GAME TECHNOLOGY CMN (459902102)	04/27/2010	08/12/2011	12.00	185.18	0.00	250.14	(64.96)

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Tax Year Account No Legal Name
2011 027-32618-0 THE GENE AND MAXINE ROSENFELD

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
INTL GAME TECHNOLOGY CMN (459902102)	04/23/2010	08/12/2011	17.00	262.34	0.00	359.24	(96.90)
VALERO ENERGY CORPORATION CMN (91913Y100)	11/23/2009	08/12/2011	2.00	41.85	0.00	32.68	9.16
VALERO ENERGY CORPORATION CMN (91913Y100)	11/02/2009	08/12/2011	10.00	209.23	0.00	176.21	33.02
VALERO ENERGY CORPORATION CMN (91913Y100)	11/12/2009	08/12/2011	14.00	292.92	0.00	241.53	51.39
INTL GAME TECHNOLOGY CMN (459902102)	04/29/2010	08/15/2011	1.00	15.79	0.00	21.54	(5.76)
INTL GAME TECHNOLOGY CMN (459902102)	04/27/2010	08/15/2011	2.00	31.57	0.00	41.69	(10.12)
INTL GAME TECHNOLOGY CMN (459902102)	04/28/2010	08/15/2011	14.00	220.99	0.00	288.71	(67.72)
VALERO ENERGY CORPORATION CMN (91913Y100)	11/23/2009	08/15/2011	10.00	214.00	0.00	163.41	50.59
VALERO ENERGY CORPORATION CMN (91913Y100)	12/22/2009	08/15/2011	14.00	299.59	0.00	238.71	60.88
EOT CORPORATION CMN (26884L109)	03/25/2010	08/16/2011	1.00	56.36	0.00	41.56	14.80
INTL GAME TECHNOLOGY CMN (459902102)	05/03/2010	08/16/2011	4.00	62.80	0.00	86.75	(23.95)
INTL GAME TECHNOLOGY CMN (459902102)	04/29/2010	08/16/2011	9.00	141.30	0.00	193.89	(52.59)
SYMANTEC CORP CMN (871503108)	05/18/2009	08/16/2011	2.00	33.53	0.00	29.78	3.75
SYMANTEC CORP CMN (871503108)	05/26/2009	08/16/2011	10.00	167.67	0.00	145.66	22.01
VALERO ENERGY CORPORATION CMN (91913Y100)	12/22/2009	08/16/2011	16.00	334.28	0.00	272.82	61.46
INTL GAME TECHNOLOGY CMN (459902102)	05/13/2010	08/17/2011	4.00	62.53	0.00	85.58	(23.05)
INTL GAME TECHNOLOGY CMN (459902102)	05/07/2010	08/17/2011	6.00	93.80	0.00	121.11	(27.31)
INTL GAME TECHNOLOGY CMN (459902102)	05/03/2010	08/17/2011	8.00	125.06	0.00	173.51	(48.45)
SYMANTEC CORP CMN (871503108)	05/27/2009	08/17/2011	2.00	33.57	0.00	29.80	3.77
SYMANTEC CORP CMN (871503108)	05/26/2009	08/17/2011	11.00	184.62	0.00	160.23	24.39
INTL GAME TECHNOLOGY CMN (459902102)	07/06/2010	08/18/2011	14.00	204.07	0.00	219.51	(15.44)
INTL GAME TECHNOLOGY CMN (459902102)	05/13/2010	08/18/2011	16.00	233.23	0.00	342.31	(109.09)
EXELON CORPORATION CMN (30161N101)	10/15/2008	08/24/2011	6.00	257.16	0.00	304.10	(46.94)
SUNOCO INC CMN (86764P109)	04/01/2010	08/24/2011	1.00	35.88	0.00	30.64	5.25
SUNOCO INC CMN (86764P109)	04/05/2010	08/24/2011	1.00	35.88	0.00	31.31	4.57

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
SUNOCO INC CMN (86764P109)	04/09/2010	08/24/2011	4.00	143.53	0.00	122.11	21.42
EXELON CORPORATION CMN (30161N101)	10/15/2008	08/25/2011	1.00	42.40	0.00	50.68	(8.28)
EXELON CORPORATION CMN (30161N101)	10/28/2008	08/25/2011	2.00	84.81	0.00	98.59	(13.79)
EXELON CORPORATION CMN (30161N101)	10/16/2008	08/25/2011	11.00	466.45	0.00	542.89	(76.44)
ABBOTT LABORATORIES CMN (002824100)	04/14/2009	08/30/2011	5.00	255.17	0.00	222.66	32.51
INTL GAME TECHNOLOGY CMN (459902102)	07/06/2010	08/30/2011	3.00	46.15	0.00	47.04	(0.89)
INTL GAME TECHNOLOGY CMN (459902102)	07/13/2010	08/30/2011	8.00	123.06	0.00	128.42	(5.36)
ABBOTT LABORATORIES CMN (002824100)	04/15/2009	08/31/2011	7.00	365.29	0.00	298.61	66.68
INTL GAME TECHNOLOGY CMN (459902102)	07/14/2010	08/31/2011	2.00	30.87	0.00	32.20	(1.33)
INTL GAME TECHNOLOGY CMN (459902102)	07/15/2010	08/31/2011	4.00	61.75	0.00	63.15	(1.40)
INTL GAME TECHNOLOGY CMN (459902102)	07/21/2010	08/31/2011	5.00	77.18	0.00	76.43	0.75
INTL GAME TECHNOLOGY CMN (459902102)	07/13/2010	08/31/2011	9.00	138.93	0.00	144.47	(5.54)
INTL GAME TECHNOLOGY CMN (459902102)	07/21/2010	09/01/2011	11.00	167.95	0.00	168.16	(0.21)
INTL GAME TECHNOLOGY CMN (459902102)	07/21/2010	09/07/2011	9.00	132.70	0.00	137.58	(4.89)
NEWMONT MINING CORPORATION CMN (651639106)	10/06/2009	09/08/2011	1.00	65.72	0.00	45.86	19.86
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	04/30/2009	09/08/2011	2.00	112.20	0.00	76.24	35.96
NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)	02/03/2009	09/08/2011	5.00	280.51	0.00	212.07	68.44
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	02/11/2009	09/09/2011	2.00	46.28	0.00	53.46	(7.18)
CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)	02/06/2009	09/09/2011	4.00	92.55	0.00	113.60	(21.04)
EBAY INC. CMN (278642103)	01/08/2010	09/12/2011	5.00	144.21	0.00	117.34	26.87
EL PASO CORP CMN (28336L109)	10/15/2009	09/12/2011	8.00	145.56	0.00	88.18	57.38
EXELON CORPORATION CMN (30161N101)	10/28/2008	09/12/2011	5.00	209.69	0.00	246.49	(36.80)
NEWMONT MINING CORPORATION CMN (651639106)	10/14/2009	09/12/2011	1.00	62.77	0.00	47.78	14.99
NEWMONT MINING CORPORATION CMN (651639106)	10/15/2009	09/12/2011	3.00	188.30	0.00	139.92	48.38
NEWMONT MINING CORPORATION CMN (651639106)	10/06/2009	09/12/2011	5.00	313.83	0.00	229.29	84.54

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁴	Total Accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
NEWMONT MINING CORPORATION CMN (651639106)	02/09/2010	09/13/2011	1.00	64.06	0.00	45.67	18.40
NEWMONT MINING CORPORATION CMN (651639106)	10/15/2009	09/13/2011	4.00	256.24	0.00	186.57	69.67
EXELON CORPORATION CMN (30161N101)	02/24/2009	09/14/2011	3.00	127.39	0.00	142.67	(15.28)
EXELON CORPORATION CMN (30161N101)	02/24/2009	09/15/2011	5.00	214.60	0.00	237.78	(23.18)
MARATHON PETROLEUM CORPORATION CMN (56585A102)	03/18/2010	09/15/2011	2.00	71.45	0.00	50.59	20.86
MARATHON PETROLEUM CORPORATION CMN (56585A102)	04/14/2010	09/15/2011	2.00	71.45	0.00	54.24	17.22
MARATHON PETROLEUM CORPORATION CMN (56585A102)	03/17/2010	09/15/2011	5.00	178.63	0.00	114.02	64.61
EL PASO CORP CMN (28336L109)	12/11/2009	09/16/2011	7.00	135.75	0.00	66.75	69.00
EL PASO CORP CMN (28336L109)	10/15/2009	09/16/2011	14.00	271.49	0.00	154.32	117.18
MARATHON PETROLEUM CORPORATION CMN (56585A102)	04/14/2010	09/16/2011	7.00	246.16	0.00	189.82	56.34
NEWMONT MINING CORPORATION CMN (651639106)	02/09/2010	09/16/2011	1.00	65.00	0.00	45.67	19.33
NEWMONT MINING CORPORATION CMN (651639106)	08/12/2010	09/16/2011	10.00	649.96	0.00	578.74	71.23
EBAY INC. CMN (278642103)	01/08/2010	09/19/2011	4.00	131.13	0.00	93.88	37.25
EBAY INC. CMN (278642103)	01/11/2010	09/19/2011	17.00	557.30	0.00	397.57	159.74
EL PASO CORP CMN (28336L109)	12/11/2009	09/19/2011	5.00	94.97	0.00	47.68	47.29
EL PASO CORP CMN (28336L109)	12/16/2009	09/20/2011	17.00	329.94	0.00	168.71	161.23
EL PASO CORP CMN (28336L109)	12/11/2009	09/20/2011	37.00	718.11	0.00	352.83	365.28
EL PASO CORP CMN (28336L109)	12/16/2009	09/23/2011	9.00	155.11	0.00	89.32	65.79
EL PASO CORP CMN (28336L109)	12/17/2009	09/23/2011	13.00	224.05	0.00	127.93	96.12
NEWMONT MINING CORPORATION CMN (651639106)	08/12/2010	09/23/2011	11.00	690.48	0.00	636.61	53.87
NEWMONT MINING CORPORATION CMN (651639106)	08/13/2010	09/26/2011	6.00	377.53	0.00	341.46	36.07
EQT CORPORATION CMN (26884L109)	03/25/2010	09/29/2011	3.00	170.25	0.00	124.69	45.56
EL PASO CORP CMN (28336L109)	05/26/2010	10/03/2011	12.00	207.09	0.00	132.69	74.41
EL PASO CORP CMN (28336L109)	12/17/2009	10/03/2011	16.00	276.13	0.00	157.46	118.67
APPLIED MATERIALS INC CMN (038222105)	02/17/2010	10/06/2011	37.00	394.74	0.00	478.01	(83.27)

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2011 027-32618-0 THE GENE AND MAXINE ROSENFELD

REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
EL PASO CORP CMN (28336L109)	05/26/2010	10/06/2011	27.00	492.53	0.00	298.54	193.99
EL PASO CORP CMN (28336L109)	05/26/2010	10/10/2011	9.00	171.14	0.00	99.51	71.62
EQT CORPORATION CMN (26894L109)	09/13/2010	10/26/2011	6.00	402.91	0.00	207.96	194.95
INVESCO LTD. CMN (G491BT108)	05/26/2009	10/27/2011	13.00	273.00	0.00	197.83	75.17
INVESCO LTD. CMN (G491BT108)	05/20/2009	10/27/2011	16.00	336.01	0.00	234.50	101.50
EBAY INC. CMN (278642103)	01/11/2010	11/01/2011	4.00	124.68	0.00	93.55	31.13
EBAY INC. CMN (278642103)	01/12/2010	11/01/2011	14.00	436.38	0.00	324.29	112.09
EBAY INC. CMN (278642103)	01/13/2010	11/01/2011	15.00	467.55	0.00	349.72	117.82
EBAY INC. CMN (278642103)	01/13/2010	11/02/2011	6.00	189.36	0.00	139.89	49.47
EBAY INC. CMN (278642103)	01/14/2010	11/02/2011	10.00	315.59	0.00	231.21	84.39
EBAY INC. CMN (278642103)	01/14/2010	11/03/2011	2.00	65.19	0.00	46.24	18.95
EBAY INC. CMN (278642103)	01/26/2010	11/03/2011	13.00	423.76	0.00	312.26	111.50
EBAY INC. CMN (278642103)	01/25/2010	11/03/2011	19.00	619.34	0.00	448.22	171.12
EBAY INC. CMN (278642103)	10/07/2010	11/04/2011	7.00	227.46	0.00	172.81	54.65
EBAY INC. CMN (278642103)	05/12/2010	11/04/2011	8.00	259.95	0.00	179.45	80.50
EBAY INC. CMN (278642103)	05/10/2010	11/04/2011	19.00	617.38	0.00	423.80	193.58
EBAY INC. CMN (278642103)	10/07/2010	11/08/2011	15.00	490.31	0.00	370.31	120.00
EQT CORPORATION CMN (26894L109)	09/13/2010	11/15/2011	10.00	629.11	0.00	346.60	282.51
EBAY INC. CMN (278642103)	10/07/2010	11/16/2011	6.00	185.96	0.00	148.12	37.84
EBAY INC. CMN (278642103)	10/13/2010	11/16/2011	15.00	464.90	0.00	373.01	91.89
MICROSOFT CORPORATION CMN (594918104)	08/06/2009	11/17/2011	2.00	51.42	0.00	47.10	4.32
MICROSOFT CORPORATION CMN (594918104)	07/15/2009	11/17/2011	6.00	154.26	0.00	143.16	11.09
MICROSOFT CORPORATION CMN (594918104)	07/30/2009	11/17/2011	10.00	257.09	0.00	240.48	16.61
MICROSOFT CORPORATION CMN (594918104)	07/29/2009	11/17/2011	16.00	411.35	0.00	377.01	34.34
EQT CORPORATION CMN (26894L109)	09/13/2010	11/22/2011	4.00	229.96	0.00	138.64	91.32

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
EQT CORPORATION CMN (26884L109)	09/13/2010	11/23/2011	3.00	167.88	0.00	103.98	63.90
EQT CORPORATION CMN (26884L109)	09/28/2010	11/23/2011	9.00	503.65	0.00	307.44	196.21
EQT CORPORATION CMN (26884L109)	09/28/2010	11/28/2011	3.00	171.14	0.00	102.48	68.66
EQT CORPORATION CMN (26884L109)	10/13/2010	11/28/2011	5.00	285.24	0.00	190.35	94.89
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/19/2010	11/28/2011	2.00	59.90	0.00	53.14	6.76
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/20/2010	11/28/2011	9.00	269.52	0.00	242.00	27.53
EQT CORPORATION CMN (26884L109)	10/13/2010	11/29/2011	1.00	58.37	0.00	38.07	20.30
EQT CORPORATION CMN (26884L109)	11/02/2010	11/29/2011	1.00	58.37	0.00	37.70	20.67
BAXTER INTERNATIONAL INC CMN (071813109)	04/23/2010	12/07/2011	1.00	50.74	0.00	49.81	0.94
BAXTER INTERNATIONAL INC CMN (071813109)	04/22/2010	12/07/2011	2.00	101.48	0.00	100.10	1.38
BAXTER INTERNATIONAL INC CMN (071813109)	02/25/2010	12/07/2011	16.00	811.87	0.00	910.62	(98.75)
BAXTER INTERNATIONAL INC CMN (071813109)	05/04/2010	12/08/2011	6.00	299.80	0.00	272.33	27.47
BAXTER INTERNATIONAL INC CMN (071813109)	04/23/2010	12/08/2011	11.00	549.63	0.00	547.86	1.77
BAXTER INTERNATIONAL INC CMN (071813109)	05/05/2010	12/09/2011	3.00	150.75	0.00	136.12	14.64
BAXTER INTERNATIONAL INC CMN (071813109)	05/04/2010	12/09/2011	3.00	150.75	0.00	136.16	14.59
BAXTER INTERNATIONAL INC CMN (071813109)	05/18/2010	12/12/2011	7.00	348.25	0.00	303.81	44.45
BAXTER INTERNATIONAL INC CMN (071813109)	05/05/2010	12/12/2011	8.00	398.00	0.00	362.98	35.03
BAXTER INTERNATIONAL INC CMN (071813109)	05/18/2010	12/13/2011	6.00	298.37	0.00	260.40	37.97
BAXTER INTERNATIONAL INC CMN (071813109)	05/18/2010	12/14/2011	1.00	48.70	0.00	43.40	5.30
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/25/2010	12/14/2011	8.00	246.68	0.00	223.96	22.72
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/20/2010	12/14/2011	10.00	308.35	0.00	268.88	39.47
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/21/2010	12/14/2011	10.00	308.35	0.00	275.15	33.20
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/27/2010	12/15/2011	1.00	30.77	0.00	27.15	3.62
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/25/2010	12/15/2011	5.00	153.84	0.00	139.98	13.86
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/26/2010	12/15/2011	10.00	307.68	0.00	277.94	29.74

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
LYONDELBASELL INDUSTRIES N.V. CMN CLASS A (N53745100)	10/27/2010	12/16/2011	6.00	187.89	0.00	162.91	24.98
Net NonCovered Long-Term Gains (Losses)				77,946.61	0.00	65,510.51	12,436.22

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5 Sale Proceeds may have been adjusted by an option premium due to an option assignment

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REALIZED GAINS AND LOSSES

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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	(5,921.99)	Net Covered Long-Term Gains (Losses)	0.00	Net Ordinary Gains (Losses)	0.00
Net NonCovered Short-Term Gains (Losses)	4,009.63	Net NonCovered Long-Term Gains (Losses)	8,320.07		
Net 1099B Non Reportable Short-Term Gains (Losses)	0.00	Net 1099B Non Reportable Long-Term Gains (Losses)	0.00		
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)	0.00	Net Miscellaneous Ordinary Gains (Losses)	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long-Term Gains (Losses)	0.00		
Total Short-Term Gains (Losses)	(1,912.36)	Total Long-Term Gains (Losses)	8,320.07	Total Ordinary Gains (Losses)	0.00

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
MICROSOFT CORPORATION CMN (594918104)	01/24/2011	03/04/2011	9.00	233.22	0.00	256.45	(23.24)
MICROSOFT CORPORATION CMN (594918104)	01/04/2011	03/04/2011	41.00	1,062.42	0.00	1,150.78	(88.36)
MICROSOFT CORPORATION CMN (594918104)	01/04/2011	03/04/2011	42.00	1,088.33	0.00	1,175.08	(86.75)
INTEL CORPORATION CMN (458140100)	01/14/2011	04/01/2011	2.00	39.43	0.00	42.33	(2.90)
INTEL CORPORATION CMN (458140100)	01/25/2011	04/01/2011	3.00	59.14	0.00	64.48	(5.33)
INTEL CORPORATION CMN (458140100)	01/14/2011	04/01/2011	6.00	117.89	0.00	126.98	(9.08)
INTEL CORPORATION CMN (458140100)	01/14/2011	04/01/2011	20.00	394.30	0.00	422.93	(28.63)
INTEL CORPORATION CMN (458140100)	01/25/2011	04/04/2011	5.00	97.31	0.00	107.46	(10.15)
INTEL CORPORATION CMN (458140100)	01/25/2011	04/04/2011	25.00	486.51	0.00	537.30	(50.79)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	04/07/2011	04/27/2011	4.00	62.60	0.00	65.19	(2.59)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105) Disallowed Loss							(2.59)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	04/07/2011	04/28/2011	1.00	15.68	0.00	16.30	(0.62)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	04/07/2011	04/28/2011	17.00	266.48	0.00	277.06	(10.58)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105) Disallowed Loss							(7.47)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	04/07/2011	04/29/2011	4.00	61.98	0.00	67.68	(5.70)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	04/07/2011	04/29/2011	4.00	61.98	0.00	67.78	(5.80)

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	04/07/2011	04/29/2011	8.00	123.53	0.00	135.36	(11.83)
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	01/28/2011	05/26/2011	15.00	712.79	0.00	616.81	95.98
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	01/27/2011	05/26/2011	28.00	1,330.55	0.00	1,184.02	146.53
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F113)	04/05/2011	05/31/2011	14.00	769.32	0.00	734.52	34.80
YAHOO INC CMN (984332106)	05/06/2011	06/01/2011	14.00	223.03	0.00	261.77	(38.74)
YAHOO INC CMN (984332106)	05/05/2011	06/01/2011	84.00	1,338.15	0.00	1,543.94	(205.79)
THE BANK OF NY MELLON CORP CMN (064058100)	02/18/2011	06/28/2011	5.00	121.75	0.00	158.75	(37.00)
THE BANK OF NY MELLON CORP CMN (064058100)	02/22/2011	06/28/2011	5.00	121.76	0.00	154.65	(32.90)
THE BANK OF NY MELLON CORP CMN (064058100)	02/22/2011	06/28/2011	9.00	219.16	0.00	279.75	(60.59)
ARCHER DANIELS MIDLAND CO CMN (039483102)	01/10/2011	07/07/2011	5.00	152.67	0.00	160.49	(7.82)
ARCHER DANIELS MIDLAND CO CMN (039483102)	01/07/2011	07/07/2011	9.00	274.82	0.00	285.66	(10.85)
ARCHER DANIELS MIDLAND CO CMN (039483102)	01/07/2011	07/07/2011	9.00	274.82	0.00	287.55	(12.74)
ARCHER DANIELS MIDLAND CO CMN (039483102)	01/10/2011	07/08/2011	3.00	92.42	0.00	96.29	(3.87)
ARCHER DANIELS MIDLAND CO CMN (039483102)	04/06/2011	07/08/2011	7.00	215.65	0.00	256.43	(40.78)
ARCHER DANIELS MIDLAND CO CMN (039483102)	04/05/2011	07/08/2011	9.00	277.27	0.00	333.37	(56.10)
ARCHER DANIELS MIDLAND CO CMN (039483102)	01/10/2011	07/08/2011	10.00	308.08	0.00	319.93	(11.85)
ARCHER DANIELS MIDLAND CO CMN (039483102)	04/06/2011	07/08/2011	15.00	462.12	0.00	549.49	(87.37)
TYCO INTERNATIONAL LTD CMN (H89128104)	04/20/2011	07/21/2011	1.00	47.52	0.00	49.30	(1.78)
TYCO INTERNATIONAL LTD CMN (H89128104)	04/18/2011	07/21/2011	8.00	380.15	0.00	410.94	(30.79)
TYCO INTERNATIONAL LTD CMN (H89128104)	04/20/2011	07/22/2011	14.00	662.63	0.00	690.24	(27.61)
HCA HOLDINGS, INC. CMN (40412C101)	03/10/2011	07/25/2011	3.00	83.63	0.00	93.40	(9.77)
HCA HOLDINGS, INC. CMN (40412C101)	03/10/2011	07/25/2011	18.00	501.77	0.00	561.06	(59.29)
FORD MOTOR COMPANY CMN (345370860)	04/05/2011	07/27/2011	54.00	670.20	0.00	850.52	(180.32)
FORD MOTOR COMPANY CMN (345370860) Disallowed Loss							(53.43)
FORD MOTOR COMPANY CMN (345370860)	04/05/2011	07/28/2011	9.00	111.69	0.00	141.75	(30.06)

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
FORD MOTOR COMPANY CMN (345370860)	04/05/2011	08/03/2011	16.00	185.95	0.00	266.74	(80.79)
FORD MOTOR COMPANY CMN (345370860)	04/05/2011	08/03/2011	93.00	1,080.85	0.00	1,464.79	(383.94)
HCA HOLDINGS, INC. CMN (40412C101)	03/10/2011	08/05/2011	5.00	110.17	0.00	155.66	(45.49)
DANAHER CORPORATION CMN (235851102)	04/11/2011	08/08/2011	3.00	125.85	0.00	154.85	(29.00)
DANAHER CORPORATION CMN (235851102)	04/11/2011	08/08/2011	5.00	209.75	0.00	258.09	(48.34)
DANAHER CORPORATION CMN (235851102)	04/12/2011	08/08/2011	8.00	335.60	0.00	412.33	(76.74)
HCA HOLDINGS, INC. CMN (40412C101)	03/11/2011	08/08/2011	11.00	205.01	0.00	342.25	(137.24)
HCA HOLDINGS, INC. CMN (40412C101)	03/10/2011	08/08/2011	13.00	242.28	0.00	404.72	(162.44)
HCA HOLDINGS, INC. CMN (40412C101)	03/11/2011	08/09/2011	7.00	126.89	0.00	217.79	(90.90)
HCA HOLDINGS, INC. CMN (40412C101)	04/05/2011	08/09/2011	7.00	126.89	0.00	237.52	(110.63)
HCA HOLDINGS, INC. CMN (40412C101)	04/06/2011	08/09/2011	8.00	145.02	0.00	271.07	(126.05)
WALT DISNEY COMPANY (THE) CMN (254687106)	05/16/2011	08/10/2011	2.00	63.82	0.00	82.02	(18.20)
WALT DISNEY COMPANY (THE) CMN (254687106)	05/16/2011	08/10/2011	5.00	159.55	0.00	205.37	(45.82)
WALT DISNEY COMPANY (THE) CMN (254687106)	05/17/2011	08/10/2011	7.00	223.37	0.00	286.15	(62.78)
BOEING COMPANY CMN (097023105)	04/04/2011	08/22/2011	6.00	351.69	0.00	442.87	(91.18)
CSX CORPORATION CMN (126408103)	07/07/2011	08/22/2011	4.00	80.99	0.00	107.83	(26.84)
CSX CORPORATION CMN (126408103)	07/08/2011	08/22/2011	12.00	242.97	0.00	318.71	(75.74)
CSX CORPORATION CMN (126408103)	07/07/2011	08/22/2011	19.00	384.70	0.00	512.20	(127.50)
PEABODY ENERGY CORPORATION CMN (704549104)	05/26/2011	08/22/2011	10.00	419.52	0.00	605.67	(186.15)
CSX CORPORATION CMN (126408103)	07/11/2011	08/23/2011	7.00	142.15	0.00	182.05	(39.90)
CSX CORPORATION CMN (126408103)	07/08/2011	08/23/2011	9.00	182.76	0.00	239.03	(56.27)
CSX CORPORATION CMN (126408103)	07/08/2011	08/23/2011	21.00	426.45	0.00	557.74	(131.29)
METLIFE, INC. CMN (59156R108)	03/04/2011	08/23/2011	4.00	125.13	0.00	179.48	(54.35)
METLIFE, INC. CMN (59156R108)	04/04/2011	08/23/2011	5.00	156.41	0.00	228.27	(71.86)
METLIFE, INC. CMN (59156R108)	06/17/2011	08/23/2011	7.00	218.98	0.00	282.37	(63.39)

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
METLIFE, INC. CMN (59156R108)	04/04/2011	08/23/2011	9.00	281.54	0.00	410.89	(129.35)
METLIFE, INC. CMN (59156R108)	03/03/2011	08/23/2011	11.00	344.11	0.00	486.75	(142.64)
METLIFE, INC. CMN (59156R108)	03/07/2011	08/23/2011	12.00	375.39	0.00	545.18	(169.79)
PEABODY ENERGY CORPORATION CMN (704549104)	05/27/2011	08/23/2011	3.00	126.65	0.00	184.63	(57.98)
PEABODY ENERGY CORPORATION CMN (704549104)	05/26/2011	08/23/2011	10.00	422.17	0.00	605.67	(183.50)
CSX CORPORATION CMN (126408103)	07/11/2011	08/24/2011	10.00	205.65	0.00	260.07	(54.42)
PEABODY ENERGY CORPORATION CMN (704549104)	05/31/2011	08/24/2011	1.00	43.57	0.00	61.28	(17.71)
PEABODY ENERGY CORPORATION CMN (704549104)	05/27/2011	08/24/2011	15.00	653.57	0.00	923.13	(269.56)
GENERAL MILLS INC CMN (370334104)	04/19/2011	09/14/2011	9.00	335.68	0.00	335.38	0.30
GENERAL MILLS INC CMN (370334104)	04/20/2011	09/15/2011	1.00	37.46	0.00	38.02	(0.56)
GENERAL MILLS INC CMN (370334104)	04/19/2011	09/15/2011	4.00	149.84	0.00	149.06	0.78
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	05/26/2011	09/16/2011	3.00	1,027.18	0.00	845.09	182.09
FREEMONT-MCMORAN COPPER & GOLD CMN (35671D857)	02/02/2011	09/20/2011	3.00	115.67	0.00	169.63	(53.96)
FREEMONT-MCMORAN COPPER & GOLD CMN (35671D857) Disallowed Loss							(53.96)
FREEMONT-MCMORAN COPPER & GOLD CMN (35671D857)	02/01/2011	09/20/2011	4.00	154.23	0.00	226.51	(72.28)
FREEMONT-MCMORAN COPPER & GOLD CMN (35671D857) Disallowed Loss							(72.28)
FREEMONT-MCMORAN COPPER & GOLD CMN (35671D857)	02/02/2011	09/21/2011	7.00	259.05	0.00	395.81	(136.76)
FREEMONT-MCMORAN COPPER & GOLD CMN (35671D857) Disallowed Loss							(78.15)
FREEMONT-MCMORAN COPPER & GOLD CMN (35671D857)	02/02/2011	09/21/2011	8.00	296.05	0.00	449.92	(153.87)
FREEMONT-MCMORAN COPPER & GOLD CMN (35671D857) Disallowed Loss							(153.87)
FREEMONT-MCMORAN COPPER & GOLD CMN (35671D857)	03/15/2011	09/21/2011	8.00	296.05	0.00	409.19	(113.14)
STATE STREET CORPORATION (NEW) CMN (857477103)	06/23/2011	10/06/2011	4.00	129.16	0.00	175.13	(45.97)

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
STATE STREET CORPORATION (NEW) CMN (857477103)	06/22/2011	10/06/2011	5.00	161.45	0.00	220.80	(59.35)
STATE STREET CORPORATION (NEW) CMN (857477103)	06/23/2011	10/06/2011	6.00	193.75	0.00	262.70	(68.96)
STATE STREET CORPORATION (NEW) CMN (857477103)	06/24/2011	10/06/2011	11.00	355.20	0.00	475.59	(120.39)
AETNA INC CMN (00817Y108)	01/19/2011	10/07/2011	7.00	246.83	0.00	234.09	12.74
AETNA INC CMN (00817Y108)	01/18/2011	10/07/2011	8.00	282.09	0.00	271.86	10.23
FREEMONT-MCMORAN COPPER & GOLD CMN (35671D857)	02/01/2011	10/11/2011	4.00	139.87	0.00	207.06	(67.19)
FREEMONT-MCMORAN COPPER & GOLD CMN (35671D857)	02/02/2011	10/11/2011	4.00	139.87	0.00	211.72	(71.85)
STATE STREET CORPORATION (NEW) CMN (857477103)	06/24/2011	10/11/2011	7.00	231.15	0.00	302.65	(71.50)
STATE STREET CORPORATION (NEW) CMN (857477103)	06/27/2011	10/11/2011	9.00	297.19	0.00	398.78	(101.58)
FREEMONT-MCMORAN COPPER & GOLD CMN (35671D857)	02/02/2011	10/12/2011	3.00	110.75	0.00	155.05	(44.30)
FREEMONT-MCMORAN COPPER & GOLD CMN (35671D857)	02/02/2011	10/12/2011	4.00	147.67	0.00	211.72	(64.05)
FREEMONT-MCMORAN COPPER & GOLD CMN (35671D857)	02/02/2011	10/12/2011	4.00	147.67	0.00	212.94	(65.27)
STATE STREET CORPORATION (NEW) CMN (857477103)	06/27/2011	10/12/2011	3.00	101.30	0.00	132.93	(31.63)
STATE STREET CORPORATION (NEW) CMN (857477103)	06/28/2011	10/12/2011	7.00	236.36	0.00	307.93	(71.57)
ALTERA CORP CMN (021441100)	08/15/2011	10/26/2011	7.00	266.45	0.00	261.26	5.19
CITRIX SYSTEMS INC CMN (177376100)	03/04/2011	10/26/2011	1.00	63.96	0.00	71.79	(7.83)
CITRIX SYSTEMS INC CMN (177376100)	03/07/2011	10/26/2011	1.00	63.96	0.00	71.09	(7.13)
CITRIX SYSTEMS INC CMN (177376100)	03/07/2011	10/26/2011	6.00	383.78	0.00	426.57	(42.79)
ALTERA CORP CMN (021441100)	08/15/2011	10/27/2011	1.00	39.23	0.00	37.32	1.91
ALTERA CORP CMN (021441100)	08/17/2011	10/27/2011	2.00	80.11	0.00	73.23	6.88
ALTERA CORP CMN (021441100)	08/16/2011	10/27/2011	3.00	117.68	0.00	110.39	7.30
ALTERA CORP CMN (021441100)	08/16/2011	10/27/2011	3.00	120.17	0.00	110.39	9.78
ALTERA CORP CMN (021441100)	08/17/2011	10/28/2011	4.00	160.08	0.00	146.46	13.62
ALTERA CORP CMN (021441100)	08/17/2011	11/01/2011	4.00	149.63	0.00	146.46	3.17
ALTERA CORP CMN (021441100)	09/06/2011	11/07/2011	3.00	114.61	0.00	104.09	10.52

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ^a	Total Accretion (Amortization)	Cost Basis ^a	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ALTERA CORP CMN (021441100)	09/06/2011	11/08/2011	1.00	38.31	0.00	34.70	3.61
ALTERA CORP CMN (021441100)	09/08/2011	11/08/2011	1.00	38.31	0.00	35.89	2.42
ALTERA CORP CMN (021441100)	09/26/2011	11/10/2011	1.00	36.49	0.00	34.94	1.55
CITIGROUP INC. CMN (172967424)	07/22/2011	11/21/2011	4.00	100.26	0.00	160.88	(60.62)
CITIGROUP INC. CMN (172967424)	07/25/2011	11/21/2011	7.00	175.46	0.00	278.16	(102.70)
CITIGROUP INC. CMN (172967424)	07/25/2011	11/21/2011	14.00	350.92	0.00	559.17	(208.25)
CITIGROUP INC. CMN (172967424)	07/21/2011	11/21/2011	16.00	398.88	0.00	640.37	(241.49)
CITIGROUP INC. CMN (172967424)	07/21/2011	11/21/2011	22.00	551.44	0.00	880.51	(329.07)
GILEAD SCIENCES CMN (375558103)	09/26/2011	11/21/2011	5.00	180.47	0.00	198.02	(17.55)
GILEAD SCIENCES CMN (375558103)	09/27/2011	11/21/2011	8.00	288.75	0.00	320.99	(32.24)
VALERO ENERGY CORPORATION CMN (91913Y100)	01/10/2011	11/21/2011	12.00	252.48	0.00	283.75	(31.28)
VALERO ENERGY CORPORATION CMN (91913Y100)	01/11/2011	11/21/2011	12.00	252.48	0.00	288.83	(36.35)
STARWOOD HOTELS & RESORTS CMN (85590A401)	08/29/2011	11/22/2011	1.00	45.17	0.00	43.01	2.16
STARWOOD HOTELS & RESORTS CMN (85590A401)	09/02/2011	11/22/2011	2.00	90.34	0.00	84.22	6.12
STARWOOD HOTELS & RESORTS CMN (85590A401)	09/06/2011	11/30/2011	2.00	94.75	0.00	81.17	13.58
STARWOOD HOTELS & RESORTS CMN (85590A401)	09/02/2011	11/30/2011	3.00	142.13	0.00	126.34	15.79
STARWOOD HOTELS & RESORTS CMN (85590A401)	09/02/2011	11/30/2011	5.00	236.88	0.00	210.56	26.32
STARWOOD HOTELS & RESORTS CMN (85590A401)	09/12/2011	12/01/2011	1.00	47.18	0.00	40.03	7.15
STARWOOD HOTELS & RESORTS CMN (85590A401)	09/09/2011	12/01/2011	5.00	235.88	0.00	199.06	36.82
STARWOOD HOTELS & RESORTS CMN (85590A401)	09/06/2011	12/01/2011	8.00	377.41	0.00	324.68	52.73
STARWOOD HOTELS & RESORTS CMN (85590A401)	09/12/2011	12/02/2011	4.00	194.52	0.00	160.11	34.41
STARWOOD HOTELS & RESORTS CMN (85590A401)	09/12/2011	12/05/2011	7.00	347.17	0.00	280.20	66.97
AMAZON.COM INC CMN (023135106)	12/02/2011	12/14/2011	1.00	172.80	0.00	198.16	(25.36)
PRICELINE.COM INC CMN (741503403)	01/03/2011	12/14/2011	2.00	890.99	0.00	828.43	62.56
FEDEX CORP CMN (31428X106)	10/07/2011	12/15/2011	2.00	165.60	0.00	142.86	22.74

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ^a	Total Accretion (Amortization)	Cost Basis ^a	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
FEDEX CORP CMN (31428X106)	10/06/2011	12/15/2011	4.00	331.20	0.00	286.72	44.48
FEDEX CORP CMN (31428X106)	03/28/2011	12/15/2011	7.00	579.59	0.00	651.57	(71.98)
Net Covered Short-Term Disallowed Losses							
Net Covered Short-Term Gains (Losses)							
				35,815.19	0.00	42,158.90	(421.75)
							(5,921.99)
NonCovered Short-Term Gains (Losses)							
PEABODY ENERGY CORPORATION CMN (704549104)	04/28/2010	01/04/2011	1.00	62.36	0.00	48.11	14.25
PEABODY ENERGY CORPORATION CMN (704549104)	04/28/2010	01/04/2011	3.00	187.07	0.00	145.68	41.39
ALCON, INC. CMN SERIES (H01301102)	06/18/2010	01/07/2011	1.00	162.95	0.00	150.15	12.80
ALCON, INC. CMN SERIES (H01301102)	06/21/2010	01/07/2011	1.00	162.95	0.00	150.81	12.14
ALCON, INC. CMN SERIES (H01301102)	06/21/2010	01/07/2011	1.00	163.00	0.00	150.81	12.19
ALCON, INC. CMN SERIES (H01301102)	06/21/2010	01/10/2011	1.00	162.43	0.00	150.81	11.62
ALCON, INC. CMN SERIES (H01301102)	06/22/2010	01/10/2011	1.00	162.43	0.00	151.81	-10.62
ALCON, INC. CMN SERIES (H01301102)	06/22/2010	01/10/2011	1.00	162.66	0.00	150.96	11.70
ALCON, INC. CMN SERIES (H01301102)	06/28/2010	01/10/2011	1.00	162.66	0.00	152.07	10.59
ALCON, INC. CMN SERIES (H01301102)	06/29/2010	01/11/2011	1.00	162.39	0.00	149.56	12.83
ALCON, INC. CMN SERIES (H01301102)	06/28/2010	01/11/2011	2.00	324.61	0.00	303.86	20.75
ALCON, INC. CMN SERIES (H01301102)	06/29/2010	01/11/2011	2.00	324.78	0.00	299.11	25.67
BOEING COMPANY CMN (097023105)	07/23/2010	01/14/2011	3.00	209.95	0.00	202.18	7.77
BOEING COMPANY CMN (097023105)	07/22/2010	01/14/2011	6.00	419.90	0.00	401.44	18.46
GOLDCORP INC CMN (380956409)	08/26/2010	01/14/2011	7.00	284.99	0.00	302.76	(17.77)
GOLDCORP INC CMN (380956409)	08/26/2010	01/14/2011	11.00	447.84	0.00	471.75	(23.91)
GOLDCORP INC CMN (380956409)	08/27/2010	01/18/2011	11.00	450.35	0.00	484.84	(34.49)
GOLDCORP INC CMN (380956409)	08/27/2010	01/18/2011	12.00	491.29	0.00	527.48	(36.20)
QUALCOMM INC CMN (747525103)	04/23/2010	01/25/2011	1.00	50.87	0.00	38.49	12.38

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ^a	Total Accretion (Amortization)	Cost Basis ^a	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
DELL INC CMN (24702R101)	11/18/2010	01/27/2011	12.00	162.16	0.00	164.54	(2.38)
DELL INC CMN (24702R101)	11/18/2010	01/27/2011	17.00	229.73	0.00	232.78	(3.05)
DELL INC CMN (24702R101)	11/18/2010	01/27/2011	20.00	270.27	0.00	274.86	(4.59)
FEDEX CORP CMN (31428X106)	06/16/2010	01/27/2011	3.00	282.10	0.00	243.45	38.65
DELL INC CMN (24702R101)	11/18/2010	01/28/2011	2.00	26.38	0.00	27.39	(1.00)
DELL INC CMN (24702R101)	11/19/2010	01/28/2011	13.00	171.49	0.00	177.40	(5.91)
DELL INC CMN (24702R101)	11/19/2010	01/28/2011	16.00	211.07	0.00	222.47	(11.40)
GENERAL MOTORS COMPANY CMN (37045V100)	11/18/2010	02/01/2011	9.00	330.26	0.00	316.82	13.44
GENERAL MOTORS COMPANY CMN (37045V100)	11/18/2010	02/01/2011	10.00	366.95	0.00	353.69	13.26
GENERAL MOTORS COMPANY CMN (37045V100)	11/18/2010	02/01/2011	11.00	403.65	0.00	384.41	19.24
MANULIFE FINANCIAL CORP CMN (56501R106)	08/02/2010	02/18/2011	1.00	19.10	0.00	16.27	2.83
MANULIFE FINANCIAL CORP CMN (56501R106)	08/02/2010	02/22/2011	5.00	91.70	0.00	81.33	10.36
MANULIFE FINANCIAL CORP CMN (56501R106)	08/03/2010	02/22/2011	6.00	110.04	0.00	96.33	13.71
MANULIFE FINANCIAL CORP CMN (56501R106)	08/03/2010	02/23/2011	3.00	54.24	0.00	48.16	6.08
MANULIFE FINANCIAL CORP CMN (56501R106)	08/04/2010	02/23/2011	6.00	108.48	0.00	95.15	13.33
MANULIFE FINANCIAL CORP CMN (56501R106)	08/04/2010	02/24/2011	1.00	18.42	0.00	15.81	2.61
MANULIFE FINANCIAL CORP CMN (56501R106)	08/04/2010	02/24/2011	3.00	55.25	0.00	47.58	7.68
INTEL CORPORATION CMN (458140100)	11/04/2010	02/28/2011	26.00	557.73	0.00	545.95	11.78
MANULIFE FINANCIAL CORP CMN (56501R106)	08/10/2010	03/07/2011	4.00	74.16	0.00	54.47	19.68
MANULIFE FINANCIAL CORP CMN (56501R106)	08/04/2010	03/07/2011	15.00	278.08	0.00	237.13	40.95
MANULIFE FINANCIAL CORP CMN (56501R106)	08/10/2010	03/08/2011	3.00	55.77	0.00	40.86	14.91
MANULIFE FINANCIAL CORP CMN (56501R106)	08/12/2010	03/08/2011	11.00	204.48	0.00	135.63	68.85
MANULIFE FINANCIAL CORP CMN (56501R106)	08/11/2010	03/08/2011	17.00	316.02	0.00	219.50	96.52
MANULIFE FINANCIAL CORP CMN (56501R106)	08/12/2010	03/09/2011	1.00	18.41	0.00	12.33	6.08
MANULIFE FINANCIAL CORP CMN (56501R106)	08/19/2010	03/09/2011	1.00	18.41	0.00	11.89	6.52

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
MANULIFE FINANCIAL CORP CMN (56501R106)	08/19/2010	03/09/2011	6.00	110.46	0.00	71.34	39.12
MANULIFE FINANCIAL CORP CMN (56501R106)	08/19/2010	03/09/2011	7.00	128.87	0.00	83.23	45.64
MANULIFE FINANCIAL CORP CMN (56501R106)	11/04/2010	03/09/2011	8.00	147.28	0.00	111.62	35.66
MANULIFE FINANCIAL CORP CMN (56501R106)	08/17/2010	03/09/2011	12.00	220.92	0.00	147.97	72.95
MANULIFE FINANCIAL CORP CMN (56501R106)	08/18/2010	03/09/2011	14.00	257.74	0.00	169.76	87.98
PEABODY ENERGY CORPORATION CMN (704549104)	05/25/2010	03/16/2011	1.00	67.72	0.00	37.06	30.65
PEABODY ENERGY CORPORATION CMN (704549104)	04/28/2010	03/16/2011	4.00	270.86	0.00	192.44	78.42
INTEL CORPORATION CMN (458140100)	11/04/2010	03/18/2011	26.00	518.66	0.00	545.24	(26.58)
PEABODY ENERGY CORPORATION CMN (704549104)	05/25/2010	03/18/2011	4.00	280.87	0.00	148.25	132.62
LAS VEGAS SANDS CORP. CMN (517834107)	08/04/2010	03/21/2011	2.00	77.20	0.00	57.75	19.45
LAS VEGAS SANDS CORP. CMN (517834107)	08/03/2010	03/21/2011	12.00	463.19	0.00	338.49	124.70
LAS VEGAS SANDS CORP. CMN (517834107)	08/04/2010	03/22/2011	15.00	578.48	0.00	433.13	145.35
LAS VEGAS SANDS CORP. CMN (517834107)	08/04/2010	03/22/2011	24.00	925.56	0.00	691.98	233.58
INTEL CORPORATION CMN (458140100)	11/04/2010	04/01/2011	26.00	510.88	0.00	542.40	(31.52)
WELLS FARGO & CO (NEW) CMN (949746101)	10/22/2010	04/04/2011	10.00	317.94	0.00	259.99	57.95
WELLS FARGO & CO (NEW) CMN (949746101)	10/21/2010	04/04/2011	11.00	349.73	0.00	285.48	64.25
WELLS FARGO & CO (NEW) CMN (949746101)	10/25/2010	04/04/2011	11.00	349.73	0.00	285.28	64.45
WELLS FARGO & CO (NEW) CMN (949746101)	10/20/2010	04/04/2011	12.00	381.52	0.00	308.67	72.85
WELLS FARGO & CO (NEW) CMN (949746101)	10/20/2010	04/04/2011	18.00	572.28	0.00	465.56	106.72
BORGWARNER INC. CMN (099724106)	08/02/2010	04/05/2011	4.00	319.48	0.00	182.62	136.86
BORGWARNER INC. CMN (099724106)	08/02/2010	04/06/2011	1.00	77.57	0.00	45.65	31.92
BORGWARNER INC. CMN (099724106)	08/03/2010	04/06/2011	6.00	465.44	0.00	273.87	191.57
PEABODY ENERGY CORPORATION CMN (704549104)	05/26/2010	04/06/2011	1.00	69.71	0.00	39.10	30.61
PEABODY ENERGY CORPORATION CMN (704549104)	05/25/2010	04/06/2011	7.00	487.98	0.00	259.44	228.54
PEABODY ENERGY CORPORATION CMN (704549104)	05/26/2010	04/07/2011	1.00	68.24	0.00	38.42	29.82

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
PEABODY ENERGY CORPORATION CMN (704549104)	08/11/2010	04/07/2011	2.00	136.48	0.00	91.27	45.21
PEABODY ENERGY CORPORATION CMN (704549104)	05/26/2010	04/07/2011	5.00	341.20	0.00	192.11	149.09
PEABODY ENERGY CORPORATION CMN (704549104)	05/26/2010	04/07/2011	9.00	614.16	0.00	351.92	262.24
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	06/01/2010	04/13/2011	3.00	47.10	0.00	57.67	(10.57)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	06/02/2010	04/13/2011	8.00	125.61	0.00	155.73	(30.12)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	06/17/2010	04/13/2011	10.00	157.01	0.00	188.81	(31.80)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	06/02/2010	04/13/2011	14.00	219.82	0.00	268.43	(48.61)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	08/12/2010	04/18/2011	3.00	45.20	0.00	43.40	1.80
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	06/17/2010	04/18/2011	8.00	120.54	0.00	151.05	(30.51)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	06/18/2010	04/18/2011	8.00	120.54	0.00	152.21	(31.67)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	08/12/2010	04/18/2011	16.00	241.09	0.00	233.82	7.27
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	12/02/2010	04/19/2011	4.00	59.95	0.00	81.51	-(21.57)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	08/12/2010	04/19/2011	15.00	224.80	0.00	217.01	7.79
NIKE CLASS-B CMN CLASS B (654106103)	05/11/2010	04/19/2011	1.00	78.87	0.00	76.66	2.21
NIKE CLASS-B CMN CLASS B (654106103)	05/10/2010	04/19/2011	10.00	788.71	0.00	758.24	30.47
CSX CORPORATION CMN (126408103)	07/14/2010	04/20/2011	1.00	73.90	0.00	52.09	21.81
CSX CORPORATION CMN (126408103)	07/26/2010	04/20/2011	2.00	147.79	0.00	107.35	40.44
CSX CORPORATION CMN (126408103)	07/15/2010	04/20/2011	3.00	221.68	0.00	154.10	67.59
CSX CORPORATION CMN (126408103)	07/26/2010	04/20/2011	3.00	221.68	0.00	161.92	59.76
CSX CORPORATION CMN (126408103)	07/13/2010	04/20/2011	4.00	295.58	0.00	207.64	87.94
CSX CORPORATION CMN (126408103)	07/15/2010	04/20/2011	5.00	369.48	0.00	256.83	112.65
CSX CORPORATION CMN (126408103)	07/14/2010	04/20/2011	6.00	443.36	0.00	312.53	130.83
NIKE CLASS-B CMN CLASS B (654106103)	05/11/2010	04/20/2011	7.00	560.28	0.00	536.60	23.68
CSX CORPORATION CMN (126408103)	07/27/2010	04/21/2011	1.00	75.01	0.00	53.03	21.99
CSX CORPORATION CMN (126408103)	07/26/2010	04/21/2011	3.00	225.04	0.00	161.03	64.01

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds*	Total Accretion (Amortization)	Cost Basis*	Total Gain (Loss)
Non-Covered Short-Term Gains (Losses)							
CSX CORPORATION CMN (126408103)	07/27/2010	04/21/2011	5.00	375.06	0.00	266.49	108.57
NIKE CLASS-B CMN CLASS B (654106103)	05/12/2010	04/21/2011	3.00	241.07	0.00	229.91	11.16
NIKE CLASS-B CMN CLASS B (654106103)	05/11/2010	04/21/2011	4.00	321.42	0.00	305.94	15.48
NIKE CLASS-B CMN CLASS B (654106103)	06/09/2010	04/21/2011	4.00	321.42	0.00	281.43	39.99
NIKE CLASS-B CMN CLASS B (654106103)	06/09/2010	04/21/2011	4.00	321.42	0.00	279.29	42.13
CSX CORPORATION CMN (126408103)	07/27/2010	04/25/2011	2.00	149.72	0.00	106.05	43.67
CSX CORPORATION CMN (126408103)	07/27/2010	04/26/2011	1.00	75.73	0.00	53.03	22.71
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	12/02/2010	04/26/2011	6.00	94.73	0.00	122.27	(27.54)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	12/02/2010	04/26/2011	10.00	157.88	0.00	201.43	(43.55)
MARVELL TECHNOLOGY GROUP LTD. CMN (G5876H105)	12/02/2010	04/27/2011	5.00	78.26	0.00	100.72	(22.46)
HEWLETT-PACKARD CO. CMN (428236103)	09/14/2010	05/02/2011	24.00	961.42	0.00	957.62	3.80
HEWLETT-PACKARD CO. CMN (428236103)	09/14/2010	05/03/2011	1.00	40.12	0.00	39.90	0.22
HEWLETT-PACKARD CO. CMN (428236103)	09/14/2010	05/03/2011	12.00	481.40	0.00	477.78	3.62
HEWLETT-PACKARD CO. CMN (428236103)	09/16/2010	05/03/2011	12.00	481.40	0.00	480.34	1.06
PRICELINE.COM INC CMN (741503403)	11/12/2010	05/12/2011	1.00	523.20	0.00	412.26	110.94
INTL BUSINESS MACHINES CORP CMN (459200101)	10/07/2010	05/26/2011	1.00	166.89	0.00	137.92	28.97
INTL BUSINESS MACHINES CORP CMN (459200101)	10/07/2010	05/26/2011	4.00	667.56	0.00	554.16	113.40
INTL BUSINESS MACHINES CORP CMN (459200101)	10/07/2010	05/26/2011	6.00	1,001.34	0.00	829.12	172.22
FREEMPORT-MCMORAN COPPER & GOLD CMN (35671D857)	09/20/2010	05/27/2011	1.00	51.74	0.00	41.83	9.91
THE BANK OF NY MELLON CORP CMN (064058100)	08/17/2010	06/24/2011	8.00	198.54	0.00	202.66	(4.12)
THE BANK OF NY MELLON CORP CMN (064058100)	08/18/2010	06/27/2011	4.00	99.56	0.00	100.63	(1.06)
THE BANK OF NY MELLON CORP CMN (064058100)	08/17/2010	06/27/2011	10.00	248.91	0.00	251.59	(2.68)
THE BANK OF NY MELLON CORP CMN (064058100)	08/18/2010	06/28/2011	4.00	97.40	0.00	100.63	(3.22)
BOEING COMPANY CMN (097023105)	09/30/2010	08/22/2011	4.00	234.46	0.00	266.11	(31.65)
BOEING COMPANY CMN (097023105)	09/30/2010	08/22/2011	4.00	234.46	0.00	267.78	(33.32)

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Tax Year Account No Legal Name
2011 027-32628-9 THE GENE AND MAXINE ROSENFELD

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Short-Term Gains (Losses)							
CONSOL ENERGY INC. CMN (20854P109)	11/11/2010	09/13/2011	1.00	42.56	0.00	42.87	(0.31)
CONSOL ENERGY INC. CMN (20854P109)	11/10/2010	09/13/2011	3.00	127.67	0.00	126.32	1.35
CONSOL ENERGY INC. CMN (20854P109)	11/11/2010	09/13/2011	9.00	383.01	0.00	393.47	(10.46)
CONSOL ENERGY INC. CMN (20854P109)	11/11/2010	09/14/2011	4.00	169.54	0.00	171.47	(1.94)
CONSOL ENERGY INC. CMN (20854P109)	11/12/2010	09/14/2011	4.00	169.54	0.00	170.52	(0.98)
CONSOL ENERGY INC. CMN (20854P109)	11/12/2010	09/14/2011	9.00	381.46	0.00	385.65	(4.19)
COMCAST CORPORATION CMN CLASS A VOTING (20030N101)	12/20/2010	09/15/2011	5.00	111.79	0.00	109.79	2.00
CONSOL ENERGY INC. CMN (20854P109)	11/12/2010	09/15/2011	2.00	85.82	0.00	85.26	0.56
CONSOL ENERGY INC. CMN (20854P109)	11/22/2010	09/15/2011	4.00	171.63	0.00	168.98	2.65
CONSOL ENERGY INC. CMN (20854P109)	11/23/2010	09/15/2011	4.00	171.63	0.00	165.52	6.11
CONSOL ENERGY INC. CMN (20854P109)	11/15/2010	09/15/2011	6.00	257.45	0.00	253.75	3.70
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	09/23/2010	09/20/2011	2.00	77.12	0.00	84.85	(7.73)
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	09/23/2010	09/20/2011	4.00	154.23	0.00	169.69	(15.46)
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	09/20/2010	09/20/2011	5.00	192.79	0.00	209.14	(16.35)
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	09/20/2010	09/20/2011	6.00	231.35	0.00	249.95	(18.60)
PRICELINE.COM INC CMN (741503403)	11/15/2010	09/23/2011	1.00	506.37	0.00	410.49	95.89
GENERAL ELECTRIC CO CMN (369604103)	12/06/2010	12/02/2011	2.00	32.41	0.00	33.55	(1.14)
GENERAL ELECTRIC CO CMN (369604103)	12/06/2010	12/02/2011	33.00	534.75	0.00	554.90	(20.15)
Net NonCovered Short-Term Gains (Losses)				34,389.25	0.00	30,379.64	4,009.63

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
NATIONAL OILWELL VARCO, INC. COMMON STOCK CMN (637071101)	08/28/2008	01/04/2011	5.00	330.59	0.00	376.37	(45.78)

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
WEATHERFORD INTERNATIONAL LTD CMN (H27013103)	02/25/2009	01/04/2011	4.00	88.13	0.00	38.71	49.42
WEATHERFORD INTERNATIONAL LTD CMN (H27013103)	02/25/2009	01/04/2011	8.00	176.26	0.00	77.05	99.21
QUALCOMM INC CMN (747525103)	11/02/2009	01/25/2011	5.00	253.85	0.00	209.06	44.79
QUALCOMM INC CMN (747525103)	11/02/2009	01/25/2011	6.00	305.20	0.00	250.88	54.33
EMERSON ELECTRIC CO. CMN (291011104)	08/31/2009	01/27/2011	6.00	351.93	0.00	220.66	131.27
GOODRICH CORPORATION CMN (382388106)	07/08/2009	01/28/2011	1.00	89.99	0.00	48.58	41.41
GOODRICH CORPORATION CMN (382388106)	07/09/2009	01/28/2011	2.00	179.98	0.00	98.03	81.95
GOODRICH CORPORATION CMN (382388106)	07/10/2009	01/31/2011	2.00	181.35	0.00	98.78	82.57
WALT DISNEY COMPANY (THE) CMN (254687106)	10/16/2009	02/09/2011	1.00	43.25	0.00	29.40	13.85
WALT DISNEY COMPANY (THE) CMN (254687106)	10/16/2009	02/09/2011	1.00	43.33	0.00	29.04	14.29
WALT DISNEY COMPANY (THE) CMN (254687106)	10/16/2009	02/09/2011	4.00	173.01	0.00	117.11	55.90
WALT DISNEY COMPANY (THE) CMN (254687106)	10/16/2009	02/09/2011	6.00	259.96	0.00	175.66	84.30
SUNCOR ENERGY INC. CMN (867224107)	03/10/2009	02/18/2011	6.00	271.99	0.00	143.14	128.85
TARGET CORPORATION CMN (87612E106)	04/09/2009	02/18/2011	8.00	415.16	0.00	316.53	98.63
TARGET CORPORATION CMN (87612E106)	04/08/2009	02/18/2011	9.00	467.06	0.00	334.94	132.12
TARGET CORPORATION CMN (87612E106)	11/21/2008	02/18/2011	29.00	1,504.97	0.00	803.31	701.66
SUNCOR ENERGY INC. CMN (867224107)	04/09/2009	03/03/2011	1.00	46.70	0.00	25.87	20.82
SUNCOR ENERGY INC. CMN (867224107)	03/10/2009	03/03/2011	5.00	233.48	0.00	119.29	114.19
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F105)	12/15/2008	03/04/2011	4.00	210.89	0.00	138.20	72.69
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F105)	01/21/2009	03/04/2011	8.00	421.79	0.00	293.27	128.52
UNITED TECHNOLOGIES CORP CMN (913017109)	02/24/2010	03/04/2011	1.00	82.59	0.00	68.45	14.13
UNITED TECHNOLOGIES CORP CMN (913017109)	02/24/2010	03/04/2011	6.00	495.52	0.00	411.16	84.36
AIR PRODUCTS & CHEMICALS INC CMN (009158106)	02/24/2010	03/11/2011	1.00	87.03	0.00	69.96	17.07
AIR PRODUCTS & CHEMICALS INC CMN (009158106)	02/23/2010	03/11/2011	3.00	261.09	0.00	210.48	50.61
AIR PRODUCTS & CHEMICALS INC CMN (009158106)	02/23/2010	03/11/2011	3.00	261.10	0.00	209.80	51.30

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
AIR PRODUCTS & CHEMICALS INC CMN (009158106)	02/22/2010	03/11/2011	4.00	348.13	0.00	278.91	69.22
AIR PRODUCTS & CHEMICALS INC CMN (009158106)	02/22/2010	03/11/2011	4.00	348.13	0.00	279.14	68.99
AIR PRODUCTS & CHEMICALS INC CMN (009158106)	02/24/2010	03/14/2011	1.00	86.50	0.00	69.88	16.62
AIR PRODUCTS & CHEMICALS INC CMN (009158106)	02/24/2010	03/14/2011	3.00	259.50	0.00	209.65	49.85
AIR PRODUCTS & CHEMICALS INC CMN (009158106)	02/24/2010	03/14/2011	4.00	346.01	0.00	279.83	66.18
AIR PRODUCTS & CHEMICALS INC CMN (009158106)	02/24/2010	03/14/2011	5.00	432.51	0.00	349.81	82.70
HALLIBURTON COMPANY CMN (406216101)	08/28/2008	04/06/2011	7.00	338.20	0.00	311.43	26.78
NATIONAL OILWELL VARCO, INC. COMMON STOCK CMN (637071101)	08/28/2008	04/06/2011	5.00	392.88	0.00	376.37	16.51
EMC CORPORATION MASS CMN (268648102)	02/11/2010	04/18/2011	2.00	52.65	0.00	34.32	18.33
EMC CORPORATION MASS CMN (268648102)	02/12/2010	04/18/2011	4.00	105.61	0.00	68.56	37.05
EMC CORPORATION MASS CMN (268648102)	02/11/2010	04/18/2011	5.00	131.63	0.00	85.50	46.13
EMC CORPORATION MASS CMN (268648102)	02/12/2010	04/18/2011	12.00	315.90	0.00	205.68	110.23
OCCIDENTAL PETROLEUM CORP CMN (674599105)	08/28/2008	05/02/2011	3.00	347.40	0.00	243.18	104.22
VIACOM INC CMN CLASS B (92553P201)	05/21/2009	05/16/2011	1.00	49.37	0.00	22.82	26.56
VIACOM INC CMN CLASS B (92553P201)	05/26/2009	05/16/2011	2.00	98.75	0.00	44.80	53.95
VIACOM INC CMN CLASS B (92553P201)	05/21/2009	05/16/2011	3.00	148.12	0.00	68.45	79.67
VIACOM INC CMN CLASS B (92553P201)	05/21/2009	05/17/2011	5.00	246.84	0.00	114.09	132.76
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F113)	01/22/2009	05/26/2011	5.00	273.47	0.00	185.26	88.21
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F113)	03/03/2009	05/26/2011	7.00	382.85	0.00	215.08	167.78
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F113)	03/03/2009	05/27/2011	4.00	218.22	0.00	122.90	95.31
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F113)	05/24/2010	05/27/2011	6.00	327.32	0.00	249.00	78.32
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F113)	05/21/2010	05/27/2011	6.00	327.32	0.00	248.30	79.02
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F113)	05/24/2010	05/31/2011	5.00	274.76	0.00	207.50	67.26
EMERSON ELECTRIC CO. CMN (291011104)	08/31/2009	06/01/2011	5.00	261.76	0.00	183.89	77.88
EMERSON ELECTRIC CO. CMN (291011104)	09/01/2009	06/01/2011	5.00	261.76	0.00	184.33	77.43

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
EMERSON ELECTRIC CO. CMN (291011104)	09/01/2009	06/02/2011	1.00	51.92	0.00	36.30	15.61
EMERSON ELECTRIC CO. CMN (291011104)	09/01/2009	06/02/2011	3.00	155.01	0.00	110.60	44.41
EMERSON ELECTRIC CO. CMN (291011104)	09/11/2009	06/02/2011	4.00	207.66	0.00	159.25	48.42
EMERSON ELECTRIC CO. CMN (291011104)	09/10/2009	06/02/2011	5.00	259.58	0.00	197.12	62.46
EMERSON ELECTRIC CO. CMN (291011104)	09/01/2009	06/02/2011	6.00	310.02	0.00	217.83	92.19
EMERSON ELECTRIC CO. CMN (291011104)	09/01/2009	06/02/2011	8.00	413.36	0.00	291.83	121.53
EMERSON ELECTRIC CO. CMN (291011104)	09/11/2009	06/03/2011	3.00	154.27	0.00	119.43	34.84
EMERSON ELECTRIC CO. CMN (291011104)	11/16/2009	06/03/2011	5.00	257.12	0.00	214.16	42.96
EMERSON ELECTRIC CO. CMN (291011104)	11/16/2009	06/03/2011	7.00	359.97	0.00	298.18	61.79
CISCO SYSTEMS, INC. CMN (17275R102)	08/27/2009	06/07/2011	3.00	47.13	0.00	64.72	(17.59)
CISCO SYSTEMS, INC. CMN (17275R102)	08/27/2009	06/07/2011	10.00	155.52	0.00	215.73	(60.21)
CISCO SYSTEMS, INC. CMN (17275R102)	09/10/2009	06/07/2011	11.00	172.81	0.00	250.14	(77.33)
CISCO SYSTEMS, INC. CMN (17275R102)	09/10/2009	06/07/2011	11.00	172.81	0.00	251.90	(79.10)
CISCO SYSTEMS, INC. CMN (17275R102)	08/27/2009	06/07/2011	12.00	186.62	0.00	261.35	(74.73)
CISCO SYSTEMS, INC. CMN (17275R102)	08/26/2009	06/07/2011	13.00	202.17	0.00	284.73	(82.55)
CISCO SYSTEMS, INC. CMN (17275R102)	01/08/2010	06/07/2011	19.00	298.48	0.00	467.37	(168.89)
THE BANK OF NY MELLON CORP CMN (064058100)	03/08/2010	06/22/2011	6.00	156.81	0.00	177.87	(21.06)
THE BANK OF NY MELLON CORP CMN (064058100)	03/09/2010	06/23/2011	2.00	50.84	0.00	59.54	(8.70)
THE BANK OF NY MELLON CORP CMN (064058100)	03/08/2010	06/23/2011	3.00	76.27	0.00	88.94	(12.67)
THE BANK OF NY MELLON CORP CMN (064058100)	03/09/2010	06/23/2011	4.00	101.70	0.00	118.36	(16.67)
THE BANK OF NY MELLON CORP CMN (064058100)	03/09/2010	06/23/2011	12.00	305.08	0.00	357.24	(52.16)
THE BANK OF NY MELLON CORP CMN (064058100)	03/09/2010	06/24/2011	7.00	173.72	0.00	207.14	(33.42)
THE BANK OF NY MELLON CORP CMN (064058100)	05/26/2010	06/24/2011	16.00	397.07	0.00	445.67	(48.60)
ILLUMINA INC CMN (452327109)	09/18/2008	07/19/2011	1.00	71.53	0.00	39.02	32.51
AETNA INC CMN (00817Y108)	03/03/2010	07/21/2011	3.00	130.18	0.00	94.19	35.99

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
AETNA INC CMN (00817Y108)	03/02/2010	07/21/2011	4.00	173.57	0.00	124.16	49.42
AETNA INC CMN (00817Y108)	03/02/2010	07/21/2011	12.00	520.73	0.00	372.47	148.26
APPLE, INC. CMN (037833100)	08/28/2008	07/21/2011	2.00	775.58	0.00	348.84	426.74
WALT DISNEY COMPANY (THE) CMN (254687106)	11/03/2009	08/10/2011	1.00	30.96	0.00	27.58	3.38
WALT DISNEY COMPANY (THE) CMN (254687106)	11/03/2009	08/10/2011	2.00	61.91	0.00	55.06	6.85
WALT DISNEY COMPANY (THE) CMN (254687106)	10/30/2009	08/10/2011	3.00	92.87	0.00	82.66	10.21
WALT DISNEY COMPANY (THE) CMN (254687106)	10/29/2009	08/10/2011	7.00	216.69	0.00	195.96	20.73
WALT DISNEY COMPANY (THE) CMN (254687106)	02/08/2010	08/10/2011	7.00	216.69	0.00	209.28	7.41
WALT DISNEY COMPANY (THE) CMN (254687106)	02/08/2010	08/10/2011	8.00	255.28	0.00	239.17	16.11
WALT DISNEY COMPANY (THE) CMN (254687106)	10/16/2009	08/10/2011	9.00	278.60	0.00	261.35	17.25
WALT DISNEY COMPANY (THE) CMN (254687106)	10/19/2009	08/10/2011	9.00	278.60	0.00	264.38	14.22
WALT DISNEY COMPANY (THE) CMN (254687106)	05/26/2010	08/10/2011	12.00	382.92	0.00	396.39	(13.47)
HONEYWELL INTL INC CMN (438516106)	01/04/2010	08/15/2011	2.00	94.92	0.00	80.66	14.26
HONEYWELL INTL INC CMN (438516106)	01/04/2010	08/15/2011	10.00	474.61	0.00	402.79	71.82
HONEYWELL INTL INC CMN (438516106)	01/04/2010	08/15/2011	13.00	611.70	0.00	524.30	87.40
HONEYWELL INTL INC CMN (438516106)	01/04/2010	08/16/2011	5.00	230.97	0.00	201.40	29.57
HONEYWELL INTL INC CMN (438516106)	01/05/2010	08/16/2011	6.00	277.16	0.00	241.92	35.24
HONEYWELL INTL INC CMN (438516106)	01/06/2010	08/16/2011	7.00	323.35	0.00	282.66	40.69
HONEYWELL INTL INC CMN (438516106)	01/06/2010	08/17/2011	2.00	92.89	0.00	80.76	12.13
HONEYWELL INTL INC CMN (438516106)	01/29/2010	08/17/2011	4.00	185.77	0.00	154.69	31.08
BOEING COMPANY CMN (097023105)	07/28/2010	08/19/2011	1.00	57.68	0.00	67.62	(9.94)
BOEING COMPANY CMN (097023105)	07/26/2010	08/19/2011	3.00	173.04	0.00	205.59	(32.55)
BOEING COMPANY CMN (097023105)	07/23/2010	08/19/2011	4.00	230.72	0.00	269.57	(38.86)
BOEING COMPANY CMN (097023105)	07/28/2010	08/22/2011	1.00	58.62	0.00	67.62	(9.01)
BOEING COMPANY CMN (097023105)	07/30/2010	08/22/2011	2.00	117.23	0.00	136.60	(19.37)

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
CISCO SYSTEMS, INC. CMN (17275R102)	06/29/2010	09/14/2011	1.00	16.42	0.00	21.71	(5.28)
CISCO SYSTEMS, INC. CMN (17275R102)	01/08/2010	09/14/2011	4.00	65.69	0.00	98.39	(32.70)
CISCO SYSTEMS, INC. CMN (17275R102)	06/09/2010	09/14/2011	7.00	114.96	0.00	163.01	(48.05)
CISCO SYSTEMS, INC. CMN (17275R102)	06/09/2010	09/14/2011	10.00	164.23	0.00	231.37	(67.14)
CISCO SYSTEMS, INC. CMN (17275R102)	06/04/2010	09/14/2011	18.00	295.62	0.00	420.12	(124.50)
AMAZON.COM INC CMN (023135106)	07/31/2009	09/20/2011	1.00	235.98	0.00	86.07	149.91
AMAZON.COM INC CMN (023135106)	07/31/2009	09/20/2011	5.00	1,179.89	0.00	432.46	747.43
ILLUMINA INC CMN (452327109)	09/18/2008	09/20/2011	4.00	179.09	0.00	156.07	23.02
GOODRICH CORPORATION CMN (382388106)	07/23/2009	09/29/2011	1.00	121.11	0.00	49.63	71.48
AETNA INC CMN (00817Y108)	03/03/2010	10/05/2011	21.00	738.11	0.00	659.30	78.81
AETNA INC CMN (00817Y108)	03/04/2010	10/06/2011	2.00	70.58	0.00	61.49	9.09
AETNA INC CMN (00817Y108)	03/04/2010	10/06/2011	5.00	176.99	0.00	153.72	23.27
AETNA INC CMN (00817Y108)	03/04/2010	10/06/2011	11.00	388.18	0.00	340.30	47.88
AETNA INC CMN (00817Y108)	03/04/2010	10/07/2011	8.00	282.09	0.00	245.95	36.15
ILLUMINA INC CMN (452327109)	09/19/2008	10/07/2011	5.00	136.24	0.00	214.41	(78.17)
ILLUMINA INC CMN (452327109)	09/18/2008	10/07/2011	6.00	163.49	0.00	234.11	(70.62)
ILLUMINA INC CMN (452327109)	09/19/2008	10/11/2011	5.00	131.57	0.00	214.41	(82.84)
ILLUMINA INC CMN (452327109)	09/19/2008	10/12/2011	1.00	26.45	0.00	42.88	(16.43)
ILLUMINA INC CMN (452327109)	09/19/2008	10/13/2011	5.00	133.41	0.00	214.41	(81.00)
GOODRICH CORPORATION CMN (382388106)	09/29/2009	10/24/2011	2.00	244.47	0.00	109.06	135.41
GOODRICH CORPORATION CMN (382388106)	07/23/2009	10/24/2011	3.00	366.71	0.00	148.89	217.82
GOODRICH CORPORATION CMN (382388106)	07/23/2009	10/24/2011	3.00	366.71	0.00	145.05	221.66
GOODRICH CORPORATION CMN (382388106)	09/29/2009	10/25/2011	1.00	122.16	0.00	54.01	68.15
GOODRICH CORPORATION CMN (382388106)	09/29/2009	10/25/2011	4.00	488.63	0.00	218.11	270.52
BORGWARNER INC CMN (099724106)	08/05/2010	11/08/2011	1.00	70.97	0.00	46.88	24.08

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
BORGWARNER INC. CMN (099724106)	08/04/2010	11/08/2011	3.00	208.69	0.00	138.12	70.57
BORGWARNER INC. CMN (099724106)	08/04/2010	11/08/2011	7.00	496.76	0.00	322.27	174.49
BORGWARNER INC. CMN (099724106)	08/06/2010	11/09/2011	3.00	203.69	0.00	140.17	63.51
BORGWARNER INC. CMN (099724106)	08/05/2010	11/09/2011	5.00	339.48	0.00	234.41	105.07
BORGWARNER INC. CMN (099724106)	08/06/2010	11/11/2011	2.00	135.64	0.00	93.38	42.26
BORGWARNER INC. CMN (099724106)	08/06/2010	11/11/2011	2.00	135.64	0.00	93.45	42.19
BORGWARNER INC. CMN (099724106)	08/06/2010	11/16/2011	2.00	134.00	0.00	93.38	40.62
GILEAD SCIENCES CMN (375558103)	08/06/2010	11/21/2011	3.00	108.28	0.00	106.30	1.98
GILEAD SCIENCES CMN (375558103)	08/24/2010	11/21/2011	5.00	180.47	0.00	161.79	18.68
GILEAD SCIENCES CMN (375558103)	08/11/2010	11/21/2011	6.00	216.56	0.00	209.42	7.14
GILEAD SCIENCES CMN (375558103)	08/05/2010	11/21/2011	7.00	248.29	0.00	249.10	(0.81)
GILEAD SCIENCES CMN (375558103)	08/10/2010	11/21/2011	7.00	252.65	0.00	248.44	4.21
GILEAD SCIENCES CMN (375558103)	08/24/2010	11/21/2011	10.00	360.93	0.00	323.48	37.45
GILEAD SCIENCES CMN (375558103)	08/06/2010	11/21/2011	11.00	390.16	0.00	389.77	0.40
WEATHERFORD INTERNATIONAL LTD CMN (H27013103)	10/13/2009	11/21/2011	3.00	42.38	0.00	57.77	(15.40)
WEATHERFORD INTERNATIONAL LTD CMN (H27013103)	02/25/2009	11/21/2011	14.00	197.75	0.00	134.84	62.91
WEATHERFORD INTERNATIONAL LTD CMN (H27013103)	10/13/2009	11/21/2011	18.00	254.25	0.00	347.36	(93.11)
BORGWARNER INC. CMN (099724106)	08/10/2010	11/30/2011	1.00	65.69	0.00	45.06	20.63
BORGWARNER INC. CMN (099724106)	08/09/2010	11/30/2011	2.00	131.37	0.00	94.89	36.48
BORGWARNER INC. CMN (099724106)	08/06/2010	11/30/2011	3.00	197.06	0.00	140.07	56.99
FEDEX CORP CMN (31428X106)	06/16/2010	12/02/2011	4.00	331.01	0.00	324.60	6.41
FEDEX CORP CMN (31428X106)	06/16/2010	12/02/2011	5.00	410.91	0.00	405.75	5.16
GOODRICH CORPORATION CMN (382388106)	09/30/2009	12/02/2011	1.00	122.49	0.00	53.55	68.95
GOODRICH CORPORATION CMN (382388106)	09/29/2009	12/02/2011	2.00	244.99	0.00	108.03	136.96
GOODRICH CORPORATION CMN (382388106)	10/30/2009	12/05/2011	1.00	122.32	0.00	54.40	67.92

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GOODRICH CORPORATION CMN (382388106)	10/30/2009	12/05/2011	1.00	122.32	0.00	54.40	67.93
GOODRICH CORPORATION CMN (382388106)	11/02/2009	12/05/2011	1.00	122.32	0.00	55.03	67.29
GOODRICH CORPORATION CMN (382388106)	11/03/2009	12/05/2011	1.00	122.32	0.00	54.66	67.66
GOODRICH CORPORATION CMN (382388106)	09/30/2009	12/05/2011	2.00	244.64	0.00	107.09	137.54
GOODRICH CORPORATION CMN (382388106)	10/29/2009	12/05/2011	3.00	366.96	0.00	166.92	200.04
FEDEX CORP CMN (31428X106)	06/16/2010	12/12/2011	3.00	244.41	0.00	238.76	5.65
SCHLUMBERGER LTD CMN (806857108)	11/30/2010	12/12/2011	7.00	499.02	0.00	540.47	(41.45)
FEDEX CORP CMN (31428X106)	06/16/2010	12/13/2011	6.00	480.09	0.00	477.52	2.57
AMAZON.COM INC CMN (023135106)	12/28/2009	12/14/2011	1.00	172.80	0.00	141.12	31.68
AMAZON.COM INC CMN (023135106)	07/31/2009	12/14/2011	2.00	344.32	0.00	172.15	172.17
AMAZON.COM INC CMN (023135106)	12/28/2009	12/14/2011	2.00	344.32	0.00	282.25	62.07
AMAZON.COM INC CMN (023135106)	07/29/2010	12/14/2011	2.00	345.60	0.00	234.61	110.99
FEDEX CORP CMN (31428X106)	08/18/2010	12/14/2011	2.00	154.79	0.00	166.58	(11.79)
FEDEX CORP CMN (31428X106)	06/16/2010	12/14/2011	3.00	232.18	0.00	238.76	(6.58)
FEDEX CORP CMN (31428X106)	08/17/2010	12/14/2011	3.00	232.18	0.00	252.89	(20.71)
PRICELINE.COM INC CMN (741503403)	11/15/2010	12/14/2011	1.00	444.60	0.00	410.49	34.12
FEDEX CORP CMN (31428X106)	08/18/2010	12/15/2011	2.00	165.60	0.00	166.58	(0.98)
QUALCOMM INC CMN (747525103)	04/23/2010	12/16/2011	4.00	210.64	0.00	153.95	56.70
QUALCOMM INC CMN (747525103)	04/23/2010	12/16/2011	5.00	262.82	0.00	192.43	70.39
GENERAL ELECTRIC CO CMN (369604103)	12/07/2010	12/22/2011	1.00	18.09	0.00	17.26	0.83
GENERAL ELECTRIC CO CMN (369604103)	12/06/2010	12/22/2011	32.00	578.88	0.00	536.84	42.04
SCHLUMBERGER LTD CMN (806857108)	12/01/2010	12/22/2011	2.00	136.20	0.00	161.92	(25.72)
Net NonCovered Long-Term Gains (Losses)				42,348.81	0.00	34,028.79	8,320.07

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Tax Year Account No Legal Name
2011 027-32619-8 THE GENE AND MAXINE ROSENFELD

REALIZED GAINS AND LOSSES

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REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	(165.26)	Net Covered Long-Term Gains (Losses)	0.00	Net Ordinary Gains (Losses)	0.00
Net NonCovered Short-Term Gains (Losses)	659.71	Net NonCovered Long-Term Gains (Losses)	4,045.49		
Net 1099B Non Reportable Short-Term Gains (Losses)	0.00	Net 1099B Non Reportable Long-Term Gains (Losses)	0.00		
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)	0.00	Net Miscellaneous Ordinary Gains (Losses)	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long-Term Gains (Losses)	0.00		
Total Short-Term Gains (Losses)	494.45	Total Long-Term Gains (Losses)	4,045.49	Total Ordinary Gains (Losses)	0.00

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁴	Total Accretion (Amortization)	Total Gain (Loss)
Covered Short-Term Gains (Losses)						
THE BANK OF NY MELLON CORP CMN (064058100)	01/06/2011	08/24/2011	8.00	158.27	0.00	(93.05)
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	05/09/2011	10/26/2011	3.00	153.99	0.00	(35.56)
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	04/13/2011	10/26/2011	3.00	154.00	0.00	(11.55)
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	04/12/2011	10/26/2011	6.00	307.99	0.00	(25.10)
Net Covered Short-Term Gains (Losses)				774.25	0.00	(165.26)

NonCovered Short-Term Gains (Losses)

PERRIGO COMPANY CMN (714290103)	09/07/2010	04/12/2011	2.00	170.11	0.00	54.33
POLYCOM INC CMN (73172K104)	09/07/2010	04/13/2011	6.00	290.89	0.00	126.52
POLYCOM INC CMN (73172K104)	09/07/2010	04/26/2011	8.00	468.50	0.00	249.33
POLYCOM INC CMN (73172K104)	09/07/2010	05/06/2011	5.00	288.48	0.00	151.50
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	09/27/2010	08/10/2011	2.00	106.96	0.00	4.57
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	09/07/2010	08/10/2011	9.00	481.30	0.00	73.46
Net NonCovered Short-Term Gains (Losses)				1,806.24	0.00	659.71

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁴	Total Accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
VERISIGN INC CMN (92343E102)	09/15/2008	01/03/2011	8.00	266.93	0.00	202.05	64.88
VERISIGN INC CMN (92343E102)	08/28/2008	01/03/2011	10.00	333.67	0.00	326.98	6.68
EXPEDITORS INTL WASH INC CMN (302130109)	08/28/2008	01/06/2011	5.00	272.73	0.00	185.65	87.08
INTUIT INC CMN (461202103)	08/28/2008	01/11/2011	7.00	333.74	0.00	216.72	117.02
NIKE CLASS-B CMN CLASS B (654106103)	04/09/2009	01/14/2011	1.00	83.14	0.00	52.60	30.55
NIKE CLASS-B CMN CLASS B (654106103)	04/01/2009	01/14/2011	4.00	332.58	0.00	187.96	144.62
PRICELINE.COM INC CMN (741503403)	09/24/2009	01/18/2011	1.00	439.12	0.00	159.66	279.46
PRICELINE.COM INC CMN (741503403)	09/30/2009	01/18/2011	1.00	439.12	0.00	163.46	275.66
INTUIT INC CMN (461202103)	08/28/2008	01/19/2011	10.00	473.55	0.00	309.60	163.95
NOVO-NORDISK A/S ADR CMN (670100205)	03/04/2009	01/19/2011	4.00	455.33	0.00	186.45	268.88
TERADATA CORPORATION CMN (88076W103)	08/28/2008	01/20/2011	7.00	319.26	0.00	173.47	145.79
VERISIGN INC CMN (92343E102)	09/15/2008	01/20/2011	8.00	260.18	0.00	202.05	58.13
TERADATA CORPORATION CMN (88076W103)	08/28/2008	02/23/2011	8.00	373.78	0.00	198.26	175.53
VERISIGN INC CMN (92343E102)	09/15/2008	02/24/2011	16.00	563.96	0.00	404.10	159.86
PRICELINE.COM INC CMN (741503403)	09/30/2009	03/15/2011	1.00	452.95	0.00	163.46	289.49
INTUIT INC CMN (461202103)	08/28/2008	04/19/2011	3.00	162.88	0.00	92.88	70.00
NOVO-NORDISK A/S ADR CMN (670100205)	03/04/2009	04/20/2011	1.00	129.75	0.00	46.61	83.14
INTUIT INC CMN (461202103)	08/28/2008	05/09/2011	11.00	596.33	0.00	340.56	255.77
SYNGENTA AG SPONSORED ADR CMN (87160A100)	03/03/2009	05/09/2011	1.00	69.97	0.00	38.90	31.08
SYNGENTA AG SPONSORED ADR CMN (87160A100)	02/27/2009	05/09/2011	3.00	209.93	0.00	123.97	85.95
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	03/02/2009	05/11/2011	4.00	263.67	0.00	189.93	73.74
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	08/28/2008	05/11/2011	37.00	2,438.91	0.00	2,628.55	(189.65)
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	03/02/2009	07/21/2011	3.00	190.55	0.00	113.95	76.60
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	02/05/2009	07/21/2011	8.00	508.14	0.00	385.88	122.26
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	01/30/2009	07/21/2011	24.00	1,524.43	0.00	1,085.69	438.75

⁴ Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

⁵ Sale Proceeds may have been adjusted by an option premium due to an option assignment.



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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total Accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	02/12/2010	07/25/2011	2.00	131.37	0.00	123.64	7.73
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	03/02/2009	07/25/2011	7.00	459.80	0.00	265.89	193.91
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	03/30/2009	07/25/2011	7.00	459.80	0.00	275.99	183.81
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	02/12/2010	08/08/2011	3.00	153.62	0.00	185.45	(31.84)
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	05/03/2010	08/08/2011	3.00	153.62	0.00	173.66	(20.04)
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	05/03/2010	08/10/2011	1.00	53.48	0.00	57.89	(4.41)
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	07/23/2010	08/10/2011	3.00	160.43	0.00	149.63	10.80
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	07/22/2010	08/10/2011	7.00	374.34	0.00	346.29	28.05
THE BANK OF NY MELLON CORP CMN (064058100)	10/15/2008	08/19/2011	26.00	505.39	0.00	813.44	(308.05)
THE BANK OF NY MELLON CORP CMN (064058100)	11/24/2008	08/24/2011	8.00	158.27	0.00	243.33	(85.06)
THE BANK OF NY MELLON CORP CMN (064058100)	02/05/2009	08/24/2011	12.00	237.41	0.00	335.59	.(98.18)
THE BANK OF NY MELLON CORP CMN (064058100)	10/15/2008	08/24/2011	13.00	257.19	0.00	406.72	(149.53)
THE BANK OF NY MELLON CORP CMN (064058100)	03/02/2009	08/24/2011	19.00	375.90	0.00	409.85	(33.96)
THE BANK OF NY MELLON CORP CMN (064058100)	01/29/2009	08/24/2011	23.00	455.03	0.00	623.03	(168.00)
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	08/28/2008	10/06/2011	17.00	695.86	0.00	645.64	50.22
TERADATA CORPORATION CMN (98076W103)	08/28/2008	10/06/2011	6.00	323.26	0.00	148.69	174.57
ADOBE SYSTEMS INC CMN (00724F101)	05/01/2009	10/20/2011	11.00	287.14	0.00	302.14	(15.00)
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	08/28/2008	10/20/2011	2.00	649.15	0.00	480.31	168.84
VISA INC. CMN CLASS A (92826C839)	08/28/2008	10/20/2011	6.00	547.98	0.00	451.20	96.78
PERRIGO COMPANY CMN (714290103)	09/07/2010	10/24/2011	3.00	303.24	0.00	173.67	129.57
PRICELINE.COM INC CMN (741503403)	10/20/2009	10/24/2011	1.00	515.56	0.00	175.75	339.81
MEDCO HEALTH SOLUTIONS, INC. CMN (58405U102)	09/27/2010	10/26/2011	8.00	410.65	0.00	409.56	1.09
PRICELINE.COM INC CMN (741503403)	10/21/2009	10/27/2011	1.00	520.05	0.00	176.37	343.68

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2011 027-32619-8 THE GENE AND MAXINE ROSENFELD

REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total Accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
WALGREEN CO. CMN (931422109)	08/28/2008	11/08/2011	21.00	683.19	0.00	766.71	(83.52)
Net NonCovered Long-Term Gains (Losses)				20,366.33	0.00	16,319.83	4,046.49

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