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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Name of foundation
THE VATTIKUTI FOUNDATION

A Employer identification number
38-3380162

Number and street (or P O box number if mail is not delivered to street address)
1000 TOWN CENTER NO 700

Room/suite

B Telephone number (see page 10 of the instructions)
(248) 380-5653

City or town, state, and ZIP code
SOUTHFIELD, MI 48075

C If exemption application is pending, check here ☐
D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 29,277,253

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	3,920,735			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	771,500	771,500		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	1,415,377			
	b	Gross sales price for all assets on line 6a 22,390,111				
	7	Capital gain net income (from Part IV, line 2)		1,415,377		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	61,186	2,095		
	12	**Total.** Add lines 1 through 11	6,168,798	2,188,972		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages	60,293	0		60,293
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	6,750	3,375		3,375
	c	Other professional fees (attach schedule)	300	150		150
	17	Interest	991	991		0
	18	Taxes (attach schedule) (see page 14 of the instructions)	63,307	9,466		25,702
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	329,912	0		329,912
	22	Printing and publications				
	23	Other expenses (attach schedule)	600,829	143,017		457,812
	24	**Total operating and administrative expenses.** Add lines 13 through 23	1,062,382	156,999		877,244
	25	Contributions, gifts, grants paid	238,913			238,913
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,301,295	156,999		1,116,157
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	4,867,503			
	b	**Net investment income** (if negative, enter -0-)		2,031,973		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	670,219	1,152,629	1,152,629
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	670,956	729,762	729,762
	b Investments—corporate stock (attach schedule)	11,899,856	6,752,721	6,752,721
	c Investments—corporate bonds (attach schedule)	9,521,029	7,148,287	7,148,287
	11 Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	5,291,827	13,385,431	13,385,431
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)	0	108,423	108,423
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	28,053,887	29,277,253	29,277,253
Liabilities	17 Accounts payable and accrued expenses	4,619	3,566	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	4,619	3,566	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	28,049,268	29,273,687	
	30 **Total net assets or fund balances** (see page 17 of the instructions)	28,049,268	29,273,687	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	28,053,887	29,277,253	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	28,049,268
2	Enter amount from Part I, line 27a	2	4,867,503
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	32,916,771
5	Decreases not included in line 2 (itemize) ▶	5	3,643,084
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	29,273,687

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,415,377
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	215,500	26,662,248	0 008083
2009	27,019	29,087,626	0 000929
2008	1,390,908	25,580,257	0 054374
2007	5,833,469	19,557,827	0 298268
2006	26,839	5,931,136	0 004525

2	**Total** of line 1, column (d).	2	0 366179
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 073236
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	29,098,497
5	Multiply line 4 by line 3.	5	2,131,058
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	20,320
7	Add lines 5 and 6.	7	2,151,378
8	Enter qualifying distributions from Part XII, line 4.	8	1,116,157

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1			
	Date of ruling or determination letter ________ (attach copy of letter if necessary–see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	40,639
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	40,639
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	40,639
6	Credits/Payments			
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a 20,320		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 45,000		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	65,320
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	24,681
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 24,681	**Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ MI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶RAJ VATTIKUTI Telephone no ▶(248) 380-5653

Located at ▶1000 TOWN CENTER SUITE 700 SOUTHFIELD MI ZIP+4 ▶48075

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 | |

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. . . ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required ***(continued)***

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ **Yes** ☑ **No**			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ **Yes** ☑ **No**			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ **Yes** ☐ **No**			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ **Yes** ☑ **No**			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
RAJ VATTIKUTI 1000 TOWN CENTER SUITE 700 SOUTHFIELD, MI 48075	PRESIDENT 4 00	0	0	0
PADMA VATTIKUTI 1000 TOWN CENTER SUITE 700 SOUTHFIELD, MI 48075	VICE PRESIDENT 3 00	0	0	0
NICHOLAS STASEVICH 150 W JEFFERSON SUITE 900 DETROIT, MI 48226	SECRETARY 2 00	0	0	0
TIMOTHY MANNEY 1000 TOWN CENTER SUITE 700 SOUTHFIELD, MI 48075	TREASURER 2 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3. . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	26,246,009
b	Average of monthly cash balances	1b	1,268,934
c	Fair market value of all other assets (see page 24 of the instructions)	1c	2,026,678
d	**Total** (add lines 1a, b, and c)	1d	29,541,621
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	29,541,621
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	443,124
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	29,098,497
6	**Minimum investment return.** Enter 5% of line 5	6	1,454,925

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,454,925
2a	Tax on investment income for 2011 from Part VI, line 5 — 2a: 40,639		
b	Income tax for 2011 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	40,639
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,414,286
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	1,414,286
6	Deduction from distributable amount (see page 25 of the instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	1,414,286

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	1,116,157
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,116,157
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,116,157

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				1,414,286
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2011				
a From 2006.				
b From 2007. 3,921,347				
c From 2008. 124,227				
d From 2009.				
e From 2010.				
f **Total** of lines 3a through e.	4,045,574			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 1,116,157				
a Applied to 2010, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2011 distributable amount.				1,116,157
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	298,129			298,129
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,747,445			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	0			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	3,747,445			
10 Analysis of line 9				
a Excess from 2007. . . . 3,623,218				
b Excess from 2008. . . . 124,227				
c Excess from 2009. . . .				
d Excess from 2010. . . .				
e Excess from 2011. . . .				

Part XIV **Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	**(b)** 2010	**(c)** 2009	**(d)** 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

RAJ VATTIKUTI

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				238,913
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See page 28 of the instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	771,500	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,415,377	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a PARTNERSHIP INCOME	900099	-8,340	14	1,840	8,340
b PARTNERSHIP INCOME TAXABLE					2,095
c TAX EXEMPT INTEREST AND DIVIDENDS			14	57,251	
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		-8,340		2,245,968	10,435

13 Total. Add line 12, columns (b), (d), and (e). **13** 2,248,063

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)
11A	INCOME FROM PARTNERSHIP INVESTMENTS
11B	INCOME FROM PARTNERSHIP INVESTMENTS - TAXABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2012-09-04	******
Signature of officer or trustee	Date	Title

Paid Preparer's Use Only

Preparer's Signature SEAN C SANT	Date	Check if self-employed ☐	PTIN P00748116
Firm's name ▶ BAKER TILLY VIRCHOW KRAUSE LLP		Firm's EIN ▶ 39-0859910	
Firm's address ▶ ONE TOWNE SQUARE SUITE 600 SOUTHFIELD, MI 48076		Phone no (248) 372-7300	

May the IRS discuss this return with the preparer shown above? See instructions ▶ ☐ Yes ☐ No

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491257001072

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2011

Name of organization	Employer identification number
THE VATTIKUTI FOUNDATION	38-3380162

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule—

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the $33\frac{1}{3}$% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE VATTIKUTI FOUNDATION

Employer identification number
38-3380162

Part I **Contributors** (see Instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	RAJ VATTIKUTI 1000 TOWN CENTER SUITE 700 SOUTHFIELD, MI 48075	$ 1,916,315	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II if there is a noncash contribution)
2	RAJ VATTIKUTI 1000 TOWN CENTER SUITE 700 SOUTHFIELD, MI 48075	$ 1,954,420	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
3	INTUITIVE SURGICAL 1266 KIFER ROAD BUILDING 101 SUNNYVALE, CA 94086	$ 50,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization
THE VATTIKUTI FOUNDATION

Employer identification number
38-3380162

Part II **Noncash Property** (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	PARTNERSHIP INTEREST	$ 1,916,315	2011-11-21

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
THE VATTIKUTI FOUNDATION

Employer identification number
38-3380162

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year.** (Complete columns **(a)** through **(e) and** the following line entry)
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Software ID:
Software Version:
EIN: 38-3380162
Name: THE VATTIKUTI FOUNDATION

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
ARCHER DANIELS MIDLAND CO L COM	P	2011-01-19	2011-05-24
BANK AMER CORP COM	P	2011-02-15	2011-08-09
BANK AMER CORP COM	P	2011-04-01	2011-08-09
BANK AMER CORP COM	P	2011-04-08	2011-08-09
CIT GROUP INC NEW COM NEW	P	2011-02-15	2011-08-10
CIT GROUP INC NEW COM NEW	P	2011-02-15	2011-08-10
CIT GROUP INC NEW COM NEW	P	2011-02-15	2011-11-03
CIT GROUP INC NEW COM NEW	P	2011-04-08	2011-11-03
COCA COLA COMPANY	P	2011-11-03	2011-12-06
GENERAL ELECTRIC CO COM	P	2011-08-10	2011-11-03
GENERAL GROWTH PPTYS INC NEW COM	P	2011-08-09	2011-11-03
GREENHILL & CO INC COM	P	2011-01-27	2011-04-01
GREENHILL & CO INC COM	P	2011-01-31	2011-04-01
INTEL CORP COM	P	2011-05-24	2011-11-03
INTERNATIONAL GAME TECHNOLOGY COM	P	2011-01-12	2011-05-24
INTERNATIONAL GAME TECHNOLOGY COM	P	2011-04-25	2011-05-24
INTERNATIONAL GAME TECHNOLOGY COM	P	2011-04-25	2011-05-24
INTERNATIONAL GAME TECHNOLOGY COM	P	2011-04-25	2011-05-25
MCDONALDS CORP	P	2011-01-05	2011-05-24
MCDONALDS CORP	P	2011-01-05	2011-11-03
NEXTERA ENERGY INC COM	P	2011-01-12	2011-05-24
PG & E CORP	P	2011-04-18	2011-05-24
PG & E CORP	P	2011-04-19	2011-05-24
PG & E CORP	P	2011-04-19	2011-11-03
PROCTER & GAMBLE CO COM	P	2011-01-24	2011-11-03
PROCTER & GAMBLE CO COM	P	2011-01-31	2011-11-03
QUALCOMM INC	P	2011-01-18	2011-05-24
QUALCOMM INC	P	2011-02-10	2011-05-24
QUALCOMM INC	P	2011-02-10	2011-11-03
ROYAL DUTCH SHELL PLC SPONSORED ADR	P	2011-05-24	2011-11-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
STARBUCKS CORP COM	P	2011-01-18	2011-08-25
STARBUCKS CORP COM	P	2011-01-27	2011-08-25
STILLWATER MNG CO COM	P	2011-01-13	2011-05-24
STILLWATER MNG CO COM	P	2011-01-24	2011-05-24
STILLWATER MNG CO COM	P	2011-02-03	2011-05-24
STILLWATER MNG CO COM	P	2011-02-03	2011-07-14
STILLWATER MNG CO COM	P	2011-04-19	2011-07-14
UNITED TECHNOLOGIES CORP COM	P	2011-05-24	2011-11-03
WELLS FARGO & CO NEW COM	P	2011-02-15	2011-05-24
WELLS FARGO & CO NEW COM	P	2011-04-08	2011-05-24
WILLIAMS COS INC COM	P	2011-02-16	2011-05-24
APOLLO COML REAL ESTATE FIN INC COM	P	2010-09-13	2011-05-24
ARCHER DANIELS MIDLAND CO L COM	P	2010-08-19	2011-05-24
ARCHER DANIELS MIDLAND CO L COM	P	2010-08-20	2011-05-24
ARCHER DANIELS MIDLAND CO L COM	P	2010-10-21	2011-05-24
AUTOMATIC DATA PROCESSING INC COM	P	2010-09-24	2011-08-25
BARCLAYS BK PLC IPATH EXCHG TRADED	P	2010-03-30	2011-01-18
BARCLAYS BK PLC IPATH EXCHG TRADED	P	2010-04-16	2011-01-18
BARCLAYS BK PLC IPATH EXCHG TRADED	P	2010-08-19	2011-01-18
BARCLAYS BK PLC IPATH S&P 500 VIX	P	2010-06-04	2011-02-01
BARCLAYS BK PLC IPATH S&P 500 VIX	P	2010-08-11	2011-05-23
BARCLAYS BK PLC IPATH S&P 500 VIX	P	2010-08-25	2011-05-23
BLACKROCK INC COM	P	2010-09-20	2011-05-24
BLACKROCK INC COM	P	2010-09-30	2011-05-24
BLACKROCK INC COM	P	2010-09-30	2011-08-25
BLACKROCK INC COM	P	2010-12-17	2011-08-25
BLACKSTONE / GSO SR FLOATING RATE TERM	P	2010-06-02	2011-02-15
BLACKSTONE / GSO SR FLOATING RATE TERM	P	2010-09-29	2011-02-15
BLACKSTONE / GSO SR FLOATING RATE TERM	P	2010-10-20	2011-02-15
CIT GROUP INC NEW SECD NT SER A CUSIP	P	2010-10-04	2011-05-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
CHEVRON CORP NEW COM	P	2010-07-28	2011-05-24
COCA COLA COMPANY	P	2010-07-20	2011-05-24
COCA COLA COMPANY	P	2010-10-20	2011-05-24
DANONE SPONSORED ADR	P	2010-11-04	2011-05-24
DANONE SPONSORED ADR	P	2010-12-02	2011-05-24
DIAGEO PLC SPONSORED ADR NEW	P	2010-10-01	2011-05-24
ETFS PLATINUM TR SHS BEN INT	P	2010-05-10	2011-01-20
ETFS PLATINUM TR SHS BEN INT	P	2010-05-10	2011-04-19
ETFS PLATINUM TR SHS BEN INT	P	2010-05-12	2011-04-19
ETFS PLATINUM TR SHS BEN INT	P	2010-07-23	2011-05-24
ETFS PLATINUM TR SHS BEN INT	P	2010-09-15	2011-05-24
ETFS PLATINUM TR SHS BEN INT	P	2010-10-06	2011-05-24
EDISON INTERNATIONAL	P	2010-08-13	2011-05-24
ELECTRONIC ARTS INC COM	P	2010-07-26	2011-05-24
ERICSSON L M TEL CO ADR CL B SEK 10 NEW	P	2010-04-20	2011-01-31
ERICSSON L M TEL CO ADR CL B SEK 10 NEW	P	2010-06-18	2011-01-31
ERICSSON L M TEL CO ADR CL B SEK 10 NEW	P	2010-06-30	2011-01-31
ERICSSON L M TEL CO ADR CL B SEK 10 NEW	P	2010-07-19	2011-02-15
EXXON MOBIL CORP COM	P	2010-06-09	2011-05-24
GLOBAL X FDS GLOBAL X FTSE ARGENTINA 20	P	2011-05-25	2011-09-22
GLOBAL X FDS GLOBAL X URANIUM ETF	P	2011-05-27	2011-09-22
HARRY WINSTON DIAMOND CORP COM	P	2010-11-09	2011-05-24
HASBRO INC COM	P	2010-03-16	2011-01-31
HASBRO INC COM	P	2010-03-22	2011-01-31
HASBRO INC COM	P	2010-04-16	2011-01-31
HASBRO INC COM	P	2010-04-19	2011-01-31
HASBRO INC COM	P	2010-05-06	2011-01-31
INTEL CORP JR SUB DEB CONV	P	2010-10-22	2011-05-23
INTEL CORP COM	P	2010-04-16	2011-04-08
INTERNATIONAL GAME TECHNOLOGY COM	P	2010-12-21	2011-05-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
INTERNATIONAL GAME TECHNOLOGY COM	P	2010-12-22	2011-05-24
ISHARES INC MSCI BRAZIL FREE INDEX FD	P	2011-05-23	2011-05-25
ISHARES INC MSCI SOUTH AFRICA INDEX	P	2010-07-26	2011-05-23
ISHARES INC MSCI GERMANY INDEX FD	P	2011-08-25	2011-11-03
ISHARES INC MSCI JAPAN INDEX FD	P	2011-03-15	2011-11-03
ISHARES INC MSCI JAPAN INDEX FD	P	2011-05-23	2011-11-03
ISHARES TR MSCI EMERGING MKTS INDEX	P	2010-08-04	2011-05-24
MVC CAP INC COM	P	2010-04-12	2011-04-12
MVC CAP INC COM	P	2010-04-12	2011-04-12
MADISON SQUARE GARDEN CO CL A	P	2010-06-10	2011-02-18
MADISON SQUARE GARDEN CO CL A	P	2010-06-10	2011-03-25
MADISON SQUARE GARDEN CO CL A	P	2010-06-17	2011-03-25
MADISON SQUARE GARDEN CO CL A	P	2010-09-29	2011-03-25
MARKET VECTORS RUSSIA ETF TR SHS	P	2010-11-24	2011-05-25
MARKET VECTORS RUSSIA ETF TR SHS	P	2010-12-09	2011-05-25
MARKET VECTORS RUSSIA ETF TR SHS	P	2010-12-22	2011-05-25
MARKET VECTORS RUSSIA ETF TR SHS	P	2011-02-04	2011-05-25
MCDONALDS CORP	P	2010-08-27	2011-05-24
MEDTRONIC INC	P	2010-09-13	2011-05-24
MEDTRONIC INC	P	2010-09-24	2011-05-24
MEDTRONIC INC	P	2010-10-20	2011-05-24
NEXTERA ENERGY INC COM	P	2010-08-24	2011-05-24
NEXTERA ENERGY INC COM	P	2010-10-13	2011-05-24
NORTHERN TR CORP COM	P	2010-09-13	2011-05-24
NORTHERN TR CORP COM	P	2010-09-24	2011-05-24
NORTHERN TR CORP COM	P	2010-09-29	2011-05-24
NORTHERN TR CORP COM	P	2010-12-09	2011-05-24
NORTHERN TR CORP COM	P	2010-12-09	2011-11-03
NOVARTIS AG SPONSORED ADR	P	2010-08-18	2011-05-24
PG & E CORP	P	2010-08-27	2011-05-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
PEPSICO INC COM	P	2010-08-23	2011-05-24
PFIZER INC COM	P	2010-08-03	2011-05-24
PFIZER INC COM	P	2010-09-29	2011-05-24
POWERSHARES DB MULTI SECTOR COMMODITY TR	P	2010-07-08	2011-05-24
POWERSHARES DB MULTI SECTOR COMMODITY TR	P	2010-07-30	2011-05-24
POWERSHARES DB MULTI SECTOR COMMODITY TR	P	2010-11-08	2011-05-24
PROCTER & GAMBLE CO COM	P	2010-11-04	2011-11-03
PROSHARES TR SHORT 20+ YR TREAS	P	2010-03-25	2011-03-16
PROSHARES TR SHORT 20+ YR TREAS	P	2010-05-11	2011-03-16
PROSHARES TR SHORT 20+ YR TREAS	P	2010-09-09	2011-03-16
PROSHARES TR SHORT 20+ YR TREAS	P	2010-11-10	2011-03-16
PROSHARES TR SHORT 20+ YR TREAS	P	2010-11-10	2011-05-27
PROSHARES TR SHORT 20+ YR TREAS	P	2010-11-10	2011-05-27
PROSHARES TR SHORT 20+ YR TREAS	P	2011-02-04	2011-05-27
QUALCOMM INC	P	2010-11-22	2011-05-24
QUALCOMM INC	P	2010-12-10	2011-05-24
QUALCOMM INC	P	2010-12-13	2011-05-24
QUALCOMM INC	P	2010-12-21	2011-05-24
REGAL ENTMT GROUP CL A	P	2010-02-03	2011-01-31
REGAL ENTMT GROUP CL A	P	2010-04-16	2011-01-31
REGIS CORP MINN COM	P	2010-03-15	2011-02-15
REGIS CORP MINN COM	P	2010-04-29	2011-02-15
REGIS CORP MINN COM	P	2010-07-09	2011-02-15
ROCHE HLDGS LTD SPONSORED ADR	P	2010-02-16	2011-02-15
ROCHE HLDGS LTD SPONSORED ADR	P	2010-04-16	2011-02-15
ROCHE HLDGS LTD SPONSORED ADR	P	2010-10-06	2011-02-15
ROCHE HLDGS LTD SPONSORED ADR	P	2010-10-20	2011-02-15
SAIC INC COM	P	2010-04-09	2011-01-31
SAIC INC COM	P	2010-04-16	2011-01-31
SIEMENS A G SPONSORED ADR	P	2010-09-24	2011-05-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SIEMENS A G SPONSORED ADR	P	2010-09-24	2011-08-25
SONY CORP ADR AMERN SH NEW	P	2010-07-14	2011-04-08
SONY CORP ADR AMERN SH NEW	P	2010-07-19	2011-04-08
STARBUCKS CORP COM	P	2010-10-20	2011-05-24
STARBUCKS CORP COM	P	2010-10-21	2011-05-24
STARBUCKS CORP COM	P	2010-11-22	2011-05-24
STARBUCKS CORP COM	P	2010-12-01	2011-05-24
STARBUCKS CORP COM	P	2010-12-22	2011-05-24
STARBUCKS CORP COM	P	2010-12-22	2011-08-25
TOTAL S A SPONSORED ADR	P	2010-04-20	2011-04-19
TOYOTA MTR CO SPON ADR	P	2010-07-14	2011-04-19
TOYOTA MTR CO SPON ADR	P	2010-07-19	2011-05-24
TOYOTA MTR CO SPON ADR	P	2010-10-21	2011-05-24
TOYOTA MTR CO SPON ADR	P	2010-11-17	2011-05-24
TRANSCANADA CORP COM ISIN#CA89353D1078	P	2010-12-23	2011-05-24
UNILEVER PLC SPON ADR NEW	P	2010-12-06	2011-05-24
UNILEVER PLC SPON ADR NEW	P	2010-12-22	2011-05-24
VAIL RESORTS INC COM	P	2010-02-17	2011-01-24
VAIL RESORTS INC COM	P	2010-09-13	2011-05-24
VAIL RESORTS INC COM	P	2010-09-24	2011-05-24
WISDOMTREE TR DREYFUS CHINESE YUAN	P	2010-09-24	2011-01-27
INTEL CORP	P	2010-05-27	2011-01-22
ERICSSON L M TE	P	2010-07-19	2011-01-22
JOHNSON & JOHNS	P	2010-09-09	2011-01-22
KELLOGG CO	P	2010-03-26	2011-01-22
KELLOGG CO	P	2010-04-29	2011-01-22
MERCK & CO INC	P	2010-09-24	2011-01-22
MICROSOFT CORP	P	2010-09-14	2011-01-06
NOVARTIS A G	P	2010-09-14	2011-01-22
NOVARTIS A G	P	2010-09-20	2011-01-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
PEPSICO INC N C	P	2010-08-23	2011-01-22
PROCTER & GAMBL	P	2010-12-02	2011-01-18
SONY CORP ADR	P	2010-08-03	2011-01-18
TYSON FOODS INC	P	2010-04-14	2011-01-22
MEAD JOHNSON	P	2010-09-28	2011-04-28
SIEMENS A G	P	2010-11-08	2011-01-22
AUTOMATIC DATA	P	2010-12-02	2011-01-14
HARRY WINSTON	P	2010-09-13	2011-02-19
GENERAL MILLS I	P	2010-09-22	2011-01-22
NEXTERA ENERGY	P	2010-10-20	2011-03-19
OCH ZIFF CAP MG	P	2010-12-02	2011-01-27
PG&E CORP COM	P	2010-10-05	2011-03-19
REGIS CORP	P	2010-12-21	2011-02-15
TEVA PHARMACEUT	P	2010-12-23	2011-01-07
TEVA PHARMACEUT	P	2010-12-09	2011-03-19
ARCHER DANIELS	P	2011-01-13	2011-02-01
ARCHER DANIELS	P	2011-01-13	2011-02-04
MCDONALDS CORP	P	2010-12-03	2011-03-19
CHEVRON CORP	P	2010-12-21	2011-01-31
BLACKROCK INC	P	2010-12-21	2011-01-06
ERICSSON L M TE	P	2010-10-22	2011-02-15
NORTHERN TR COR	P	2011-01-06	2011-04-16
NORTHERN TR COR	P	2011-01-06	2011-04-16
PEPSICO INC N C	P	2010-12-02	2011-04-16
QUALCOMM INC	P	2011-01-05	2011-02-02
DISNEY WALT	P	2010-12-02	2011-01-05
TOYOTA MTR CO	P	2010-12-02	2011-01-18
QUALCOMM INC	P	2011-01-27	2011-02-25
POWERSHARES DB	P	2010-12-03	2011-01-20
POWERSHARES DB	P	2010-12-03	2011-01-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MCDONALDS CORP	P	2011-04-14	2011-05-13
ARCHER DANIELS	P	2011-04-14	2011-05-23
COCA COLA CO	P	2010-12-01	2011-05-21
STARBUCKS CORP	P	2010-11-05	2011-01-20
INTEL CORP	P	2010-11-08	2011-02-19
MICROSOFT CORP	P	2011-01-06	2011-05-23
AUTOMATIC DATA	P	2011-01-20	2011-03-01
DISNEY WALT	P	2011-01-05	2011-02-09
VAIL RESORTS IN	P	2010-12-09	2011-04-16
CHEVRON CORP	P	2011-04-14	2011-05-23
DIAGEO PLC	P	2011-01-18	2011-04-16
BLACKROCK INC	P	2011-03-30	2011-05-23
DIAGEO PLC	P	2011-05-06	2011-05-23
TYSON FOODS INC	P	2011-04-14	2011-05-23
DISNEY WALT	P	2011-02-09	2011-05-23
EXXON MOBIL COR	P	2011-01-31	2011-02-25
EXXON MOBIL COR	P	2011-04-14	2011-05-23
STARBUCKS CORP	P	2011-03-10	2011-05-23
POWERSHARES DB	P	2011-04-14	2011-05-23
TOYOTA MTR CO	P	2011-02-08	2011-05-23
REGAL ENTMT GRO	P	2010-12-16	2011-01-31
NOVARTIS A G	P	2011-04-14	2011-05-03
BLACKROCK INC	P	2011-01-25	2011-02-19
MEAD JOHNSON	P	2011-04-28	2011-05-23
AUTOMATIC DATA	P	2011-04-14	2011-05-23
COCA COLA CO	P	2011-04-14	2011-05-23
SIEMENS A G	P	2011-04-14	2011-05-23
MARKET VECTORS	P	2011-04-14	2011-05-23
MCDONALDS CORP	P	2011-05-13	2011-05-23
QUALCOMM INC	P	2011-04-27	2011-05-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
ELECTRONIC ARTS	P	2011-05-05	2011-05-13
MEDTRONIC INC	P	2011-04-14	2011-05-23
WILLIAMS COS IN	P	2011-04-14	2011-05-23
INTL GAME TECH	P	2011-04-25	2011-05-23
PROCTER & GAMBL	P	2011-04-29	2011-05-23
STARBUCKS CORP	P	2011-03-10	2011-05-23
PEPSICO INC N C	P	2011-05-06	2011-05-23
QUALCOMM INC	P	2011-04-19	2011-04-27
APOLLO COML REAL ESTATE FIN INC CO	P	2010-01-11	2011-05-24
APOLLO COML REAL ESTATE FIN INC CO	P	2010-02-11	2011-05-24
APOLLO COML REAL ESTATE FIN INC CO	P	2010-02-16	2011-05-24
APOLLO COML REAL ESTATE FIN INC CO	P	2010-04-16	2011-05-24
APOLLO COML REAL ESTATE FIN INC CO	P	2010-05-06	2011-05-24
AUTOMATIC DATA PROCESSING INC COM	P	2010-02-17	2011-05-24
AUTOMATIC DATA PROCESSING INC COM	P	2010-02-25	2011-05-24
AUTOMATIC DATA PROCESSING INC COM	P	2010-04-16	2011-05-24
AUTOMATIC DATA PROCESSING INC COM	P	2010-05-06	2011-05-24
AUTOMATIC DATA PROCESSING INC COM	P	2010-05-06	2011-08-25
BOARDWALK PIPELINE PARTNERS LP COM UNIT	P	2009-10-21	2011-05-24
BOARDWALK PIPELINE PARTNERS LP COM UNIT	P	2009-10-26	2011-05-24
BOARDWALK PIPELINE PARTNERS LP COM UNIT	P	2010-02-18	2011-05-24
BOARDWALK PIPELINE PARTNERS LP COM UNIT	P	2010-04-16	2011-05-24
CHEVRON CORP NEW COM	P	2009-04-24	2011-05-24
CHEVRON CORP NEW COM	P	2009-05-20	2011-05-24
CHEVRON CORP NEW COM	P	2009-09-22	2011-05-24
CHEVRON CORP NEW COM	P	2009-10-09	2011-05-24
CHEVRON CORP NEW COM	P	2010-04-16	2011-05-24
CHEVRON CORP NEW COM	P	2010-05-03	2011-05-24
COCA COLA COMPANY	P	2010-05-05	2011-05-24
COCA COLA COMPANY	P	2010-05-06	2011-05-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
CORNING INC NT 6 625% 05/15/19 B/E	P	2009-05-08	2011-02-03
DIAGEO PLC SPONSORED ADR NEW	P	2009-05-18	2011-05-24
DIAGEO PLC SPONSORED ADR NEW	P	2009-05-22	2011-05-24
DIAGEO PLC SPONSORED ADR NEW	P	2010-02-19	2011-05-24
DIAGEO PLC SPONSORED ADR NEW	P	2010-02-25	2011-05-24
DIAGEO PLC SPONSORED ADR NEW	P	2010-04-16	2011-05-24
DISNEY WALT CO DISNEY COM	P	2009-05-04	2011-05-24
DISNEY WALT CO DISNEY COM	P	2009-09-10	2011-05-24
DISNEY WALT CO DISNEY COM	P	2009-10-29	2011-05-24
DISNEY WALT CO DISNEY COM	P	2010-04-08	2011-05-24
ETFS PLATINUM TR SHS BEN INT	P	2010-05-12	2011-05-24
ETFS PLATINUM TR SHS BEN INT	P	2010-05-20	2011-05-24
EDISON INTERNATIONAL	P	2009-08-24	2011-05-24
EDISON INTERNATIONAL	P	2010-02-25	2011-05-24
EDISON INTERNATIONAL	P	2010-04-16	2011-05-24
ELECTRONIC ARTS INC COM	P	2010-05-12	2011-05-24
EXXON MOBIL CORP COM	P	2009-04-29	2011-05-24
EXXON MOBIL CORP COM	P	2009-10-29	2011-05-24
EXXON MOBIL CORP COM	P	2010-04-16	2011-05-24
EXXON MOBIL CORP COM	P	2010-04-28	2011-05-24
EXXON MOBIL CORP COM	P	2010-05-03	2011-05-24
FREEPORT MCMORAN COPPER & GOLDN RES	P	2009-09-11	2011-04-01
GENERAL ELEC CO NT 5 250% 12/06/17 B/E	P	2009-04-28	2011-06-02
GENERAL MILLS INC COM	P	2009-04-27	2011-05-24
GENERAL MILLS INC COM	P	2009-10-06	2011-05-24
GENERAL MILLS INC COM	P	2010-02-25	2011-05-24
GENERAL MILLS INC COM	P	2010-04-16	2011-05-24
HALLIBURTON CO SR NT 5 900% 09/15/18 B/E	P	2009-05-18	2011-06-02
HARRY WINSTON DIAMOND CORP COM	P	2010-01-06	2011-05-04
HARRY WINSTON DIAMOND CORP COM	P	2010-02-09	2011-05-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
HARRY WINSTON DIAMOND CORP COM	P	2010-02-09	2011-05-24
HARRY WINSTON DIAMOND CORP COM	P	2010-03-30	2011-05-24
HARRY WINSTON DIAMOND CORP COM	P	2010-04-12	2011-05-24
HARRY WINSTON DIAMOND CORP COM	P	2010-04-16	2011-05-24
HARRY WINSTON DIAMOND CORP COM	P	2010-05-06	2011-05-24
HOME DEPOT INC SR NT	P	2009-04-28	2011-01-24
INTEL CORP COM	P	2009-05-07	2011-04-08
INTEL CORP COM	P	2009-08-28	2011-04-08
INTEL CORP COM	P	2009-12-04	2011-04-08
INTEL CORP COM	P	2010-02-25	2011-04-08
INTEL CORP COM	P	2010-03-16	2011-04-08
INTERNATIONAL BUSINESS MACHS CORP	P	2009-04-28	2011-06-02
ISHARES INC MSCI BRAZIL FREE INDEX FD	P	2009-04-13	2011-05-25
ISHARES INC MSCI BRAZIL FREE INDEX FD	P	2010-04-16	2011-05-25
ISHARES INC MSCI BRAZIL FREE INDEX FD	P	2011-05-23	2011-05-25
ISHARES INC MSCI SOUTH AFRICA INDEX	P	2009-10-19	2011-05-23
ISHARES INC MSCI SOUTH AFRICA INDEX	P	2009-11-09	2011-05-23
ISHARES INC MSCI SOUTH AFRICA INDEX	P	2010-03-29	2011-05-23
ISHARES INC MSCI SOUTH AFRICA INDEX	P	2010-04-16	2011-05-23
ISHARES TR MSCI EMERGING MKTS INDEX	P	2009-04-13	2011-05-24
ISHARES TR MSCI EMERGING MKTS INDEX	P	2010-04-16	2011-05-24
ISHARES TR MSCI EAFE INDEX FD	P	2009-04-08	2011-05-23
ISHARES TR MSCI EAFE INDEX FD	P	2010-04-16	2011-05-23
JOHNSON & JOHNSON COM	P	2009-05-04	2011-05-24
JOHNSON & JOHNSON COM	P	2009-05-18	2011-05-24
JOHNSON & JOHNSON COM	P	2009-05-18	2011-11-03
JOHNSON & JOHNSON COM	P	2009-05-22	2011-11-03
JOHNSON & JOHNSON COM	P	2010-02-25	2011-11-03
KELLOGG CO	P	2009-04-24	2011-05-24
KELLOGG CO	P	2009-04-24	2011-11-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
KELLOGG CO	P	2009-05-20	2011-11-03
KELLOGG CO	P	2009-10-19	2011-11-03
KELLOGG CO	P	2009-11-13	2011-11-03
KELLOGG CO	P	2010-04-16	2011-11-03
KRAFT FOODS INC CL A	P	2009-08-21	2011-05-24
KRAFT FOODS INC CL A	P	2009-08-25	2011-05-24
KRAFT FOODS INC CL A	P	2009-10-12	2011-05-24
KRAFT FOODS INC CL A	P	2009-10-12	2011-11-03
MVC CAP INC COM	P	2009-11-20	2011-03-01
MVC CAP INC COM	P	2009-11-23	2011-04-08
MVC CAP INC COM	P	2009-12-14	2011-04-08
MVC CAP INC COM	P	2009-12-14	2011-04-11
MVC CAP INC COM	P	2009-12-14	2011-04-12
MVC CAP INC COM	P	2010-04-12	2011-04-14
MVC CAP INC COM	P	2010-04-12	2011-04-18
MEAD JOHNSON NUTRITION CO COM	P	2009-09-08	2011-05-24
MEAD JOHNSON NUTRITION CO COM	P	2009-09-17	2011-05-24
MEAD JOHNSON NUTRITION CO COM	P	2009-12-18	2011-05-24
MEAD JOHNSON NUTRITION CO COM	P	2010-04-16	2011-05-24
MEAD JOHNSON NUTRITION CO COM	P	2010-05-06	2011-05-24
MEDTRONIC INC	P	2010-03-22	2011-05-24
MEDTRONIC INC	P	2010-04-16	2011-05-24
MEDTRONIC INC	P	2010-04-29	2011-05-24
MEDTRONIC INC	P	2010-05-06	2011-05-24
MERCK & CO INC NEW COM	P	2010-01-13	2011-05-24
MERCK & CO INC NEW COM	P	2010-01-13	2011-11-03
MERCK & CO INC NEW COM	P	2010-02-16	2011-11-03
MERCK & CO INC NEW COM	P	2010-02-17	2011-11-03
MICROSOFT CORP COM	P	2009-05-22	2011-05-24
MICROSOFT CORP COM	P	2009-05-28	2011-05-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
NESTLE SA SPONSORED ADRS REGISTERED	P	2009-08-19	2011-05-24
NESTLE SA SPONSORED ADRS REGISTERED	P	2009-09-10	2011-05-24
NESTLE SA SPONSORED ADRS REGISTERED	P	2009-09-10	2011-11-03
NEXTERA ENERGY INC COM	P	2009-12-04	2011-05-24
NEXTERA ENERGY INC COM	P	2010-04-16	2011-05-24
NEXTERA ENERGY INC COM	P	2010-04-20	2011-05-24
NORTHERN TR CORP COM	P	2010-04-20	2011-05-24
NORTHERN TR CORP COM	P	2010-04-30	2011-05-24
NOVARTIS AG SPONSORED ADR	P	2009-04-24	2011-05-24
NOVARTIS AG SPONSORED ADR	P	2009-08-25	2011-05-24
NOVARTIS AG SPONSORED ADR	P	2010-02-25	2011-05-24
NOVARTIS AG SPONSORED ADR	P	2010-04-16	2011-05-24
OCH ZIFF CAP MGMT GROUP CL A	P	2010-02-22	2011-05-24
OCH ZIFF CAP MGMT GROUP CL A	P	2010-02-25	2011-05-24
OCH ZIFF CAP MGMT GROUP CL A	P	2010-05-06	2011-05-24
OCH ZIFF CAP MGMT GROUP CL A	P	2010-05-06	2011-08-25
PG & E CORP	P	2009-04-27	2011-05-24
PG & E CORP	P	2009-09-14	2011-05-24
PG & E CORP	P	2009-12-15	2011-05-24
PG & E CORP	P	2009-12-16	2011-05-24
PG & E CORP	P	2010-04-16	2011-05-24
PEPSICO INC COM	P	2009-05-28	2011-05-24
PEPSICO INC COM	P	2009-08-26	2011-05-24
PEPSICO INC COM	P	2009-10-08	2011-05-24
PEPSICO INC COM	P	2009-12-09	2011-05-24
PEPSICO INC COM	P	2010-04-16	2011-05-24
PFIZER INC COM	P	2009-05-04	2011-05-24
PFIZER INC COM	P	2009-05-13	2011-05-24
PFIZER INC COM	P	2009-10-15	2011-05-24
PFIZER INC COM	P	2010-01-13	2011-05-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PFIZER INC COM	P	2010-04-16	2011-05-24
PFIZER INC COM	P	2010-09-29	2011-11-03
POWERSHARES DB MULTI SECTOR COMMODITY TR	P	2009-05-18	2011-04-21
POWERSHARES DB MULTI SECTOR COMMODITY TR	P	2009-05-28	2011-04-21
POWERSHARES DB MULTI SECTOR COMMODITY TR	P	2009-10-12	2011-04-21
POWERSHARES DB MULTI SECTOR COMMODITY TR	P	2009-10-12	2011-05-24
POWERSHARES DB MULTI SECTOR COMMODITY TR	P	2010-04-21	2011-05-24
PROCTER & GAMBLE CO COM	P	2009-05-04	2011-05-25
PROCTER & GAMBLE CO COM	P	2010-02-16	2011-05-25
PROCTER & GAMBLE CO COM	P	2010-02-19	2011-05-25
PROCTER & GAMBLE CO COM	P	2010-04-16	2011-05-25
PROCTER & GAMBLE CO COM	P	2010-04-20	2011-05-25
PROCTER & GAMBLE CO COM	P	2010-04-20	2011-11-03
PROCTER & GAMBLE CO COM	P	2010-05-06	2011-11-03
PROSHARES TR SHORT 20+ YR TREAS	P	2009-12-04	2011-03-16
REGAL ENTMT GROUP CL A	P	2009-12-18	2011-01-31
REGAL ENTMT GROUP CL A	P	2010-01-11	2011-01-31
REGIS CORP MINN COM	P	2009-08-11	2011-02-15
REGIS CORP MINN COM	P	2009-08-12	2011-02-15
REGIS CORP MINN COM	P	2009-10-19	2011-02-15
ROCHE HLDGS LTD SPONSORED ADR	P	2010-01-13	2011-02-15
SAIC INC COM	P	2009-12-04	2011-01-31
SAIC INC COM	P	2009-12-14	2011-01-31
SPDR S&P 500 ETF TR TR UNIT	P	2009-04-13	2011-05-23
SPDR S&P 500 ETF TR TR UNIT	P	2010-04-16	2011-05-23
SIEMENS A G SPONSORED ADR	P	2010-03-01	2011-05-24
SIEMENS A G SPONSORED ADR	P	2010-03-03	2011-05-24
SIEMENS A G SPONSORED ADR	P	2010-03-17	2011-05-24
SIEMENS A G SPONSORED ADR	P	2010-04-16	2011-05-24
STANFORD UNIV BDS 4 750% 05/01/19 B/E	P	2009-05-07	2011-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	P	2009-05-22	2011-05-24
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	P	2009-06-26	2011-05-24
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	P	2009-10-08	2011-05-24
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	P	2009-12-22	2011-05-24
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	P	2009-12-22	2011-11-03
TOTAL S A SPONSORED ADR	P	2009-05-20	2011-04-19
TOTAL S A SPONSORED ADR	P	2009-09-22	2011-04-19
TOTAL S A SPONSORED ADR	P	2010-04-16	2011-04-19
TRANSCANADA CORP COM ISIN#CA89353D1078	P	2009-05-18	2011-05-24
TRANSCANADA CORP COM ISIN#CA89353D1079	P	2009-05-28	2011-05-24
TRANSCANADA CORP COM ISIN#CA89353D1080	P	2010-04-16	2011-05-24
TYSON FOODS INC CL A	P	2010-02-11	2011-05-24
TYSON FOODS INC CL A	P	2010-02-17	2011-05-24
TYSON FOODS INC CL A	P	2010-04-16	2011-05-24
TYSON FOODS INC CL A	P	2010-05-06	2011-05-24
VAIL RESORTS INC COM	P	2010-02-17	2011-05-24
VAIL RESORTS INC COM	P	2010-02-22	2011-05-24
VAIL RESORTS INC COM	P	2010-04-16	2011-05-24
WILLIAMS COS INC COM	P	2009-08-25	2011-05-24
WILLIAMS COS INC COM	P	2009-09-10	2011-05-24
WILLIAMS COS INC COM	P	2009-10-08	2011-05-24
WILLIAMS COS INC COM	P	2009-12-15	2011-05-24
WILLIAMS COS INC COM	P	2010-04-16	2011-05-24
PFIZER INC	P	2009-11-23	2011-01-22
PROSHARES TR	P	2009-10-08	2011-01-22
WASH SALE DISALLOWED LOSS	P	2010-12-31	2011-12-31
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
62,240		68,640	-6,400
68,365		148,500	-80,135
34,183		67,778	-33,595
100,497		199,328	-98,831
67,101		92,577	-25,476
134,202		185,207	-51,005
34,655		46,302	-11,647
166,342		198,871	-32,529
102,380		105,033	-2,653
214,630		198,510	16,120
99,176		87,635	11,541
63,591		74,236	-10,645
127,181		138,998	-11,817
121,187		117,140	4,047
35,108		37,657	-2,549
35,108		38,165	-3,057
17,554		17,808	-254
17,512		17,808	-296
1,405		1,270	135
66,744		53,702	13,042
97,631		91,135	6,496
85,816		89,379	-3,563
386		403	-17
29,018		31,554	-2,536
63,003		66,069	-3,066
63,003		63,619	-616
56,154		52,829	3,325
84,793		85,978	-1,185
55,391		56,369	-978
125,000		122,797	2,203

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
54,828		49,678	5,150
36,552		32,370	4,182
36,847		45,700	-8,853
36,847		42,978	-6,131
50,259		65,038	-14,779
22,064		30,326	-8,262
34,692		39,998	-5,306
136,828		151,536	-14,708
82,920		102,297	-19,377
172,750		200,225	-27,475
92,460		83,397	9,063
51,436		55,772	-4,336
62,240		61,360	880
62,240		61,177	1,063
62,240		67,276	-5,036
48,220		42,409	5,811
46,875		44,802	2,073
1,250		1,221	29
31,250		30,999	251
56,016		91,261	-35,245
26,535		43,155	-16,620
53,070		95,200	-42,130
192,329		170,982	21,347
9,616		8,544	1,072
72,379		76,897	-4,518
48,253		56,363	-8,110
61,804		60,117	1,687
61,804		57,600	4,204
82,406		78,764	3,642
160,563		160,563	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,564		1,891	673
100,950		79,468	21,482
134,600		122,417	12,183
69,650		66,250	3,400
69,650		62,299	7,351
40,991		35,040	5,951
54,066		50,694	3,372
8,779		8,449	330
79,007		78,102	905
87,541		76,753	10,788
87,541		80,212	7,329
87,541		85,130	2,411
58,996		50,983	8,013
57,894		40,823	17,071
61,917		55,300	6,617
61,917		57,391	4,526
30,953		28,145	2,808
63,354		58,446	4,908
23,443		17,508	5,935
213,326		306,195	-92,869
185,959		309,760	-123,801
81,373		68,835	12,538
88,219		75,996	12,223
44,110		37,988	6,122
3,970		3,601	369
44,110		40,338	3,772
22,055		19,455	2,600
212,500		202,200	10,300
5,809		6,942	-1,133
131,654		131,224	430

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
43,885		43,522	363
361,301		353,970	7,331
33,983		30,414	3,569
337,081		320,055	17,026
233,750		236,498	-2,748
140,250		148,046	-7,796
46,542		42,269	4,273
2,680		2,800	-120
8,040		8,412	-372
2,580		1,990	590
106,229		77,609	28,620
50,091		39,060	11,031
81,714		63,269	18,445
92,606		86,522	6,084
92,606		91,425	1,181
92,606		93,897	-1,291
74,085		80,118	-6,033
123,963		110,826	13,137
80,620		67,037	13,583
40,310		33,899	6,411
120,930		103,346	17,584
57,430		54,329	3,101
57,430		55,544	1,886
47,874		48,688	-814
47,874		48,209	-335
95,748		95,516	232
34,038		37,988	-3,950
51,418		68,870	-17,452
60,900		51,189	9,711
64,362		71,430	-7,068

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
70,830		65,219	5,611
61,471		48,146	13,325
16,638		14,177	2,461
64,266		49,198	15,068
64,266		51,640	12,626
96,399		91,978	4,421
63,003		64,989	-1,986
131,400		151,390	-19,990
21,900		23,424	-1,524
109,500		103,107	6,393
153,300		153,019	281
62,835		65,580	-2,745
209,450		220,400	-10,950
167,560		185,120	-17,560
112,309		95,790	16,519
112,309		98,657	13,652
56,154		49,679	6,475
84,231		74,923	9,308
30,700		38,398	-7,698
1,719		2,390	-671
42,645		42,586	59
59,703		66,495	-6,792
42,645		35,548	7,097
21,606		25,182	-3,576
2,161		2,473	-312
36,010		35,830	180
72,020		74,900	-2,880
41,559		43,571	-2,012
3,657		3,907	-250
11,774		9,777	1,997

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
40,833		43,358	-2,525
61,259		58,018	3,241
91,888		81,208	10,680
145,258		109,994	35,264
108,944		83,274	25,670
54,472		46,331	8,141
72,629		63,237	9,392
24,658		22,467	2,191
84,837		76,798	8,039
87,647		88,335	-688
76,132		72,996	3,136
80,094		71,099	8,995
80,094		71,913	8,181
80,094		76,014	4,080
43,447		37,999	5,448
157,900		147,096	10,804
78,950		76,447	2,503
48,952		35,930	13,022
92,000		73,749	18,251
69,000		53,904	15,096
76,173		75,987	186
2,250			2,250
2,250			2,250
1,040			1,040
760			760
1,050			1,050
2,480			2,480
2,014		4,025	-2,011
2,675			2,675
1,180			1,180

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,000			1,000
990		1,710	-720
4,200		3,150	1,050
4,375			4,375
7,200		9,700	-2,500
8,000			8,000
1,575		2,775	-1,200
2,500			2,500
3,045			3,045
3,200			3,200
4,214		8,145	-3,931
5,520			5,520
3,350		2,400	950
1,050		1,830	-780
1,020			1,020
2,400		3,480	-1,080
2,400		4,960	-2,560
1,110			1,110
2,525		5,175	-2,650
8,248		9,200	-952
3,950		4,950	-1,000
7,020			7,020
1,080			1,080
1,420			1,420
5,280		7,320	-2,040
2,940		5,400	-2,460
3,900		6,800	-2,900
1,620		4,980	-3,360
2,700		4,800	-2,100
2,700		5,940	-3,240

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,380		5,215	-2,835
2,600		150	2,450
2,400			2,400
938		1,323	-385
1,380			1,380
5,580		120	5,460
4,515		5,250	-735
3,640		8,520	-4,880
5,440			5,440
2,850		200	2,650
2,550			2,550
9,000		3,266	5,734
2,500		2,100	400
4,330		1,650	2,680
9,561		1,020	8,541
2,440		9,000	-6,560
3,273		440	2,833
12,800		2,400	10,400
6,120		1,328	4,792
4,260		180	4,080
		1,000	-1,000
3,425		8,375	-4,950
1,530			1,530
2,772		5,572	-2,800
3,080		3,465	-385
1,400		580	820
5,175		950	4,225
7,500		1,000	6,500
3,045		4,970	-1,925
10,050		7,650	2,400

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
7,050		14,400	-7,350
4,300		3,600	700
4,350		3,300	1,050
5,700		5,280	420
3,220		7,560	-4,340
8,960		2,800	6,160
2,800		3,706	-906
5,475		13,875	-8,400
32,148		35,909	-3,761
48,222		52,666	-4,444
32,148		35,694	-3,546
3,215		3,641	-426
16,074		17,724	-1,650
53,241		41,428	11,813
53,241		41,188	12,053
4,792		4,001	791
13,949		10,697	3,252
11,476		9,717	1,759
29,545		26,781	2,764
29,545		26,170	3,375
59,090		59,456	-366
3,250		3,215	35
102,544		67,076	35,468
51,272		33,250	18,022
76,908		54,561	22,347
51,272		35,854	15,418
8,204		6,445	1,759
51,272		40,909	10,363
67,300		53,778	13,522
33,650		26,167	7,483

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
342,600		302,486	40,114
81,983		53,434	28,549
40,991		27,197	13,794
40,991		33,199	7,792
49,190		38,783	10,407
6,559		5,658	901
61,456		34,185	27,271
20,485		13,919	6,566
61,456		41,952	19,504
32,776		28,574	4,202
8,754		8,678	76
43,770		37,956	5,814
39,331		34,097	5,234
47,197		39,022	8,175
2,360		2,036	324
115,789		88,241	27,548
81,682		68,610	13,072
81,682		73,488	8,194
4,901		4,085	816
61,262		52,072	9,190
40,841		34,034	6,807
156,188		156,187	1
336,873		289,633	47,240
79,040		49,689	29,351
59,280		48,719	10,561
39,520		35,504	4,016
5,533		4,902	631
347,862		311,922	35,940
33,957		22,209	11,748
29,219		18,214	11,005

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
26,853		16,245	10,608
40,686		25,309	15,377
40,686		25,673	15,013
5,696		3,503	2,193
16,275		10,703	5,572
335,499		292,232	43,267
40,061		32,560	7,501
40,061		40,500	-439
40,061		40,980	-919
38,058		38,621	-563
42,064		45,990	-3,926
350,331		317,795	32,536
39,903		24,793	15,110
1,451		1,465	-14
1,451		1,436	15
16,991		14,406	2,585
50,974		41,652	9,322
33,983		29,689	4,294
2,719		2,379	340
83,775		50,562	33,213
2,327		2,123	204
177,425		117,585	59,840
5,323		5,099	224
65,742		53,388	12,354
20,183		17,066	3,117
12,350		10,729	1,621
31,996		27,654	4,342
21,501		21,104	397
33,964		23,462	10,502
19,818		15,576	4,242

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
37,252		33,075	4,177
24,835		25,305	-470
49,670		53,268	-3,598
4,967		5,363	-396
34,903		28,798	6,105
34,903		28,736	6,167
11,064		8,317	2,747
57,484		42,060	15,424
70,802		49,767	21,035
68,842		50,804	18,038
17,899		14,717	3,182
48,071		39,623	8,448
2,680		2,264	416
20,176		21,030	-854
35,897		37,854	-1,957
15,033		9,165	5,868
66,223		41,000	25,223
66,223		41,949	24,274
5,960		4,629	1,331
33,111		24,178	8,933
40,310		45,748	-5,438
1,209		1,347	-138
40,310		44,188	-3,878
20,155		21,115	-960
48,317		50,953	-2,636
10,103		11,405	-1,302
20,688		22,685	-1,997
27,550		29,865	-2,315
36,195		29,848	6,347
23,478		19,974	3,504

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
62,023		39,970	22,053
8,621		5,803	2,818
24,185		17,619	6,566
57,430		53,439	3,991
1,723		1,445	278
57,430		49,868	7,562
47,874		55,128	-7,254
47,874		55,489	-7,615
121,800		73,776	48,024
30,450		23,042	7,408
42,630		38,142	4,488
5,481		4,799	682
72,858		64,201	8,657
29,143		25,958	3,185
13,450		16,091	-2,641
22,186		36,209	-14,023
42,908		37,520	5,388
21,454		20,020	1,434
32,181		33,554	-1,373
42,908		45,158	-2,250
4,291		4,260	31
70,830		50,924	19,906
70,830		57,996	12,834
35,415		30,084	5,331
35,415		30,534	4,881
6,375		5,945	430
20,490		13,958	6,532
40,981		30,115	10,866
40,981		35,320	5,661
51,226		48,125	3,101

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
7,377		6,058	1,319
5,122		4,539	583
17,186		13,398	3,788
34,372		27,759	6,613
17,186		12,803	4,383
16,067		12,803	3,264
80,333		62,489	17,844
49,726		38,078	11,648
66,302		62,609	3,693
82,877		79,435	3,442
7,956		7,526	430
42,102		40,278	1,824
7,245		7,294	-49
31,502		30,420	1,082
21,900		24,524	-2,624
6,140		6,905	-765
24,560		30,100	-5,540
34,116		30,271	3,845
25,587		22,804	2,783
25,587		25,292	295
50,666		62,265	-11,599
16,624		17,836	-1,212
16,624		18,928	-2,304
264,520		170,560	93,960
7,936		7,153	783
95,985		65,781	30,204
95,985		68,507	27,478
63,990		49,340	14,650
7,679		5,769	1,910
328,770		299,916	28,854

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
49,472		45,420	4,052
24,736		24,304	432
49,472		51,908	-2,436
8,954		10,099	-1,145
11,216		15,289	-4,073
29,216		28,055	1,161
29,216		31,140	-1,924
4,090		4,066	24
65,170		39,656	25,514
54,308		36,170	18,138
3,476		2,950	526
93,509		78,792	14,717
46,755		41,571	5,184
4,114		4,360	-246
18,702		18,488	214
46,000		35,930	10,070
46,000		37,098	8,902
4,140		3,961	179
61,640		35,100	26,540
46,230		25,875	20,355
30,820		18,948	11,872
30,820		20,468	10,352
4,931		3,758	1,173
2,817			2,817
		6,000	-6,000
39,122		36,559	2,563
35,122			35,122

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-6,400
			-80,135
			-33,595
			-98,831
			-25,476
			-51,005
			-11,647
			-32,529
			-2,653
			16,120
			11,541
			-10,645
			-11,817
			4,047
			-2,549
			-3,057
			-254
			-296
			135
			13,042
			6,496
			-3,563
			-17
			-2,536
			-3,066
			-616
			3,325
			-1,185
			-978
			2,203

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			5,150
			4,182
			-8,853
			-6,131
			-14,779
			-8,262
			-5,306
			-14,708
			-19,377
			-27,475
			9,063
			-4,336
			880
			1,063
			-5,036
			5,811
			2,073
			29
			251
			-35,245
			-16,620
			-42,130
			21,347
			1,072
			-4,518
			-8,110
			1,687
			4,204
			3,642
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			673
			21,482
			12,183
			3,400
			7,351
			5,951
			3,372
			330
			905
			10,788
			7,329
			2,411
			8,013
			17,071
			6,617
			4,526
			2,808
			4,908
			5,935
			-92,869
			-123,801
			12,538
			12,223
			6,122
			369
			3,772
			2,600
			10,300
			-1,133
			430

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			363
			7,331
			3,569
			17,026
			-2,748
			-7,796
			4,273
			-120
			-372
			590
			28,620
			11,031
			18,445
			6,084
			1,181
			-1,291
			-6,033
			13,137
			13,583
			6,411
			17,584
			3,101
			1,886
			-814
			-335
			232
			-3,950
			-17,452
			9,711
			-7,068

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			5,611
			13,325
			2,461
			15,068
			12,626
			4,421
			-1,986
			-19,990
			-1,524
			6,393
			281
			-2,745
			-10,950
			-17,560
			16,519
			13,652
			6,475
			9,308
			-7,698
			-671
			59
			-6,792
			7,097
			-3,576
			-312
			180
			-2,880
			-2,012
			-250
			1,997

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,525
			3,241
			10,680
			35,264
			25,670
			8,141
			9,392
			2,191
			8,039
			-688
			3,136
			8,995
			8,181
			4,080
			5,448
			10,804
			2,503
			13,022
			18,251
			15,096
			186
			2,250
			2,250
			1,040
			760
			1,050
			2,480
			-2,011
			2,675
			1,180

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			1,000
			-720
			1,050
			4,375
			-2,500
			8,000
			-1,200
			2,500
			3,045
			3,200
			-3,931
			5,520
			950
			-780
			1,020
			-1,080
			-2,560
			1,110
			-2,650
			-952
			-1,000
			7,020
			1,080
			1,420
			-2,040
			-2,460
			-2,900
			-3,360
			-2,100
			-3,240

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-2,835
			2,450
			2,400
			-385
			1,380
			5,460
			-735
			-4,880
			5,440
			2,650
			2,550
			5,734
			400
			2,680
			8,541
			-6,560
			2,833
			10,400
			4,792
			4,080
			-1,000
			-4,950
			1,530
			-2,800
			-385
			820
			4,225
			6,500
			-1,925
			2,400

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-7,350
			700
			1,050
			420
			-4,340
			6,160
			-906
			-8,400
			-3,761
			-4,444
			-3,546
			-426
			-1,650
			11,813
			12,053
			791
			3,252
			1,759
			2,764
			3,375
			-366
			35
			35,468
			18,022
			22,347
			15,418
			1,759
			10,363
			13,522
			7,483

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			40,114
			28,549
			13,794
			7,792
			10,407
			901
			27,271
			6,566
			19,504
			4,202
			76
			5,814
			5,234
			8,175
			324
			27,548
			13,072
			8,194
			816
			9,190
			6,807
			1
			47,240
			29,351
			10,561
			4,016
			631
			35,940
			11,748
			11,005

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			10,608
			15,377
			15,013
			2,193
			5,572
			43,267
			7,501
			-439
			-919
			-563
			-3,926
			32,536
			15,110
			-14
			15
			2,585
			9,322
			4,294
			340
			33,213
			204
			59,840
			224
			12,354
			3,117
			1,621
			4,342
			397
			10,502
			4,242

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			4,177
			-470
			-3,598
			-396
			6,105
			6,167
			2,747
			15,424
			21,035
			18,038
			3,182
			8,448
			416
			-854
			-1,957
			5,868
			25,223
			24,274
			1,331
			8,933
			-5,438
			-138
			-3,878
			-960
			-2,636
			-1,302
			-1,997
			-2,315
			6,347
			3,504

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			22,053
			2,818
			6,566
			3,991
			278
			7,562
			-7,254
			-7,615
			48,024
			7,408
			4,488
			682
			8,657
			3,185
			-2,641
			-14,023
			5,388
			1,434
			-1,373
			-2,250
			31
			19,906
			12,834
			5,331
			4,881
			430
			6,532
			10,866
			5,661
			3,101

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			1,319
			583
			3,788
			6,613
			4,383
			3,264
			17,844
			11,648
			3,693
			3,442
			430
			1,824
			-49
			1,082
			-2,624
			-765
			-5,540
			3,845
			2,783
			295
			-11,599
			-1,212
			-2,304
			93,960
			783
			30,204
			27,478
			14,650
			1,910
			28,854

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			4,052
			432
			-2,436
			-1,145
			-4,073
			1,161
			-1,924
			24
			25,514
			18,138
			526
			14,717
			5,184
			-246
			214
			10,070
			8,902
			179
			26,540
			20,355
			11,872
			10,352
			1,173
			2,817
			-6,000
			2,563
			35,122

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN HEART ASSOCIATION 24445 NORTHWESTERN HIGHWAY 100 SOUTHFIELD, MI 48075	NONE	PUBLIC	TO SUPPORT MEDICAL RESEARCH	1,000
HENRY FORD HEALTH SYSTEM1 FORD PLACE 5B DETROIT, MI 48202	NONE	PUBLIC	TO SUPPORT MEDICAL RESEARCH	5,000
ISHA FOUNDATION951 ISHA LANE MCMINNVILLE, TN 37110	NONE	PUBLIC	TO PROMOTE HUMAN WELLBEING	207,913
MIAMI ART MUSEUM101 WEST FLAGLER STREET MIAMI, FL 33130	NONE	PUBLIC	TO SUPPORT THE ARTS	10,000
MIAMI CHILDREN'S HOSPITAL FOUNDATION3100 SOUTHWEST 62ND AVENUE MIAMI, FL 33155	NONE	PUBLIC	TO SUPPORT MEDICAL RESEARCH	5,000
SAN DIEGO AMERICAN INDIAN SOCIETY8727 VERLENE DRIVE SAN DIEGO, CA 92119	NONE	PUBLIC	TO SUPPORT THE COMMUNITY	10,000
Total . . . . ▶ **3a**				238,913

TY 2011 Accounting Fees Schedule

Name: THE VATTIKUTI FOUNDATION

EIN: 38-3380162

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	6,750	3,375		3,375

TY 2011 Investments Corporate Bonds Schedule

Name: THE VATTIKUTI FOUNDATION

EIN: 38-3380162

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CREDIT SUISSE - CORPORATE BONDS	7,148,287	7,148,287

TY 2011 Investments Corporate Stock Schedule

Name: THE VATTIKUTI FOUNDATION

EIN: 38-3380162

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CREDIT SUISSE - EQUITIES	6,752,721	6,752,721

TY 2011 Investments Government Obligations Schedule

Name:	THE VATTIKUTI FOUNDATION
EIN:	38-3380162
US Government Securities - End of Year Book Value:	729,762
US Government Securities - End of Year Fair Market Value:	729,762
State & Local Government Securities - End of Year Book Value:	0
State & Local Government Securities - End of Year Fair Market Value:	0

TY 2011 Investments - Other Schedule

Name: THE VATTIKUTI FOUNDATION

EIN: 38-3380162

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CREDIT SUISSE - REAL ESTATE INVESTMENT TRUSTS	FMV	390,293	390,293
CREDIT SUISSE - MUTUAL FUNDS	FMV	9,386,201	9,386,201
BOARDWALK PIPELINE LIMITED PARTNERS	FMV	0	0
CREDIT SUISSE - EXCHANGE TRADED PRODUCTS	FMV	105,883	105,883
POWERSHARES DB AGRICULTURAL FUND	FMV	0	0
OCH-ZIFF CAPITAL MANAGEMENT	FMV	0	0
JP MORGAN SAPIC GLOBAL MACRO	FMV	983,448	983,448
CITIBANK PIP HOLDING	FMV	445,736	445,736
VATTIKUTI INVESTMENTS III	FMV	1,918,255	1,918,255
ENERGY TRANSFER PARTNERS	FMV	155,615	155,615

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TY 2011 Other Assets Schedule

Name: THE VATTIKUTI FOUNDATION

EIN: 38-3380162

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DEPOSITS IN TRANSIT		108,423	108,423

TY 2011 Other Decreases Schedule

Name: THE VATTIKUTI FOUNDATION

EIN: 38-3380162

Description	Amount
UNREALIZED LOSSES ON INVESTMENTS	3,643,084

TY 2011 Other Expenses Schedule

Name: THE VATTIKUTI FOUNDATION

EIN: 38-3380162

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE EXPENSE	3,818	1,909		1,909
INVESTMENT FEES	141,108	141,108		0
OFFICE EXPENSES	279,349	0		279,349
BANK FEES	1,794	0		1,794
HONORARIUMS FOR TRAINING IN INDIA	174,760	0		174,760

TY 2011 Other Income Schedule

Name: THE VATTIKUTI FOUNDATION

EIN: 38-3380162

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PARTNERSHIP INCOME	1,840		1,840
PARTNERSHIP INCOME TAXABLE	2,095	2,095	2,095
TAX EXEMPT INTEREST AND DIVIDENDS	57,251		57,251

TY 2011 Other Professional Fees Schedule

Name: THE VATTIKUTI FOUNDATION

EIN: 38-3380162

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER PROFESSIONAL FEES	300	150		150

TY 2011 Taxes Schedule

Name: THE VATTIKUTI FOUNDATION

EIN: 38-3380162

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	9,466	9,466		0
EMPLOYMENT TAXES	25,702	0		25,702
FEDERAL EXCISE TAX	28,139	0		0

TY 2011 Tax Under Section 511 Statement

Name: THE VATTIKUTI FOUNDATION

EIN: 38-3380162

Statement: UNRELATED BUSINESS TAXABLE INCOME = $-8340.