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**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

**2011**

Department of the Treasury  
Internal Revenue Service

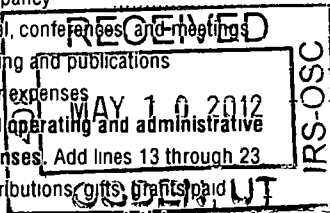
**Note** The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , and ending

|                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                         |                                                                                                                                                                                            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Name of foundation</b><br>HENRY M STALEY DECLARATION OF CHARITABLE TRUST                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                         | <b>A Employer identification number</b><br>37-6055435                                                                                                                                      |
| <b>Number and street (or P.O. box number if mail is not delivered to street address)</b><br>2700 WESTPORT RD                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                         | <b>B Telephone number</b><br>217-483-3205                                                                                                                                                  |
| <b>City or town, state, and ZIP code</b><br>SPRINGFIELD, IL 62711                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                         | <b>C</b> If exemption application is pending, check here <input type="checkbox"/>                                                                                                          |
| <b>G</b> Check all that apply: <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Initial return<br/> <input type="checkbox"/> Final return<br/> <input type="checkbox"/> Address change                 </div> <div> <input type="checkbox"/> Initial return of a former public charity<br/> <input type="checkbox"/> Amended return<br/> <input type="checkbox"/> Name change                 </div> </div> |                                                                                                                                                         | <b>D 1.</b> Foreign organizations, check here <input type="checkbox"/><br><b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| <b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                                                                                                                                                               |                                                                                                                                                         | <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                       |
| <b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16)<br>\$ 3,575,132.                                                                                                                                                                                                                                                                                                                                             | <b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ |                                                                                                                                                                                            |
| <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                         |                                                                                                                                                                                            |

| Part I Analysis of Revenue and Expenses<br><small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small> | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| <b>1</b> Contributions, gifts, grants, etc., received                                                                                                              |                                    |                           | N/A                     |                                                             |
| <b>2</b> Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B                                                              |                                    |                           |                         |                                                             |
| <b>3</b> Interest on savings and temporary cash investments                                                                                                        | 23.                                | 23.                       |                         | STATEMENT 1                                                 |
| <b>4</b> Dividends and interest from securities                                                                                                                    | 86,474.                            | 86,474.                   |                         | STATEMENT 2                                                 |
| <b>5a</b> Gross rents                                                                                                                                              |                                    |                           |                         |                                                             |
| <b>b</b> Net rental income or (loss)                                                                                                                               |                                    |                           |                         |                                                             |
| <b>6a</b> Net gain or (loss) from sale of assets not on line 10                                                                                                    | 214,722.                           |                           |                         |                                                             |
| <b>b</b> Gross sales price for all assets on line 6a                                                                                                               | 2,237,920.                         |                           |                         |                                                             |
| <b>7</b> Capital gain net income (from Part IV, line 2)                                                                                                            |                                    | 214,722.                  |                         |                                                             |
| <b>8</b> Net short-term capital gain                                                                                                                               |                                    |                           |                         |                                                             |
| <b>9</b> Income modifications                                                                                                                                      |                                    |                           |                         |                                                             |
| <b>10a</b> Gross sales less returns and allowances                                                                                                                 |                                    |                           |                         |                                                             |
| <b>b</b> Less: Cost of goods sold                                                                                                                                  |                                    |                           |                         |                                                             |
| <b>c</b> Gross profit or (loss)                                                                                                                                    |                                    |                           |                         |                                                             |
| <b>11</b> Other income                                                                                                                                             |                                    |                           |                         |                                                             |
| <b>12</b> Total. Add lines 1 through 11                                                                                                                            | 301,219.                           | 301,219.                  |                         |                                                             |
| <b>13</b> Compensation of officers, directors, trustees, etc.                                                                                                      | 0.                                 | 0.                        |                         | 0.                                                          |
| <b>14</b> Other employee salaries and wages                                                                                                                        |                                    |                           |                         |                                                             |
| <b>15</b> Pension plans, employee benefits                                                                                                                         |                                    |                           |                         |                                                             |
| <b>16a</b> Legal fees                                                                                                                                              |                                    |                           |                         |                                                             |
| <b>b</b> Accounting fees STMT 3                                                                                                                                    | 4,500.                             | 4,500.                    |                         | 0.                                                          |
| <b>c</b> Other professional fees STMT 4                                                                                                                            | 24,723.                            | 24,723.                   |                         | 0.                                                          |
| <b>17</b> Interest                                                                                                                                                 |                                    |                           |                         |                                                             |
| <b>18</b> Taxes STMT 5                                                                                                                                             | 4,178.                             | 0.                        |                         | 0.                                                          |
| <b>19</b> Depreciation and depletion                                                                                                                               |                                    |                           |                         |                                                             |
| <b>20</b> Occupancy                                                                                                                                                |                                    |                           |                         |                                                             |
| <b>21</b> Travel, conferences and meetings                                                                                                                         |                                    |                           |                         |                                                             |
| <b>22</b> Printing and publications                                                                                                                                |                                    |                           |                         |                                                             |
| <b>23</b> Other expenses                                                                                                                                           |                                    |                           |                         |                                                             |
| <b>24</b> Total operating and administrative expenses. Add lines 13 through 23                                                                                     | 33,401.                            | 29,223.                   |                         | 0.                                                          |
| <b>25</b> Contributions, gifts, grants paid                                                                                                                        | 206,550.                           |                           |                         | 206,550.                                                    |
| <b>26</b> Total expenses and disbursements. Add lines 24 and 25                                                                                                    | 239,951.                           | 29,223.                   |                         | 206,550.                                                    |
| <b>27</b> Subtract line 26 from line 12                                                                                                                            | 61,268.                            |                           |                         |                                                             |
| <b>a</b> Excess of revenue over expenses and disbursements                                                                                                         |                                    |                           |                         |                                                             |
| <b>b</b> Net investment income (if negative, enter -0-)                                                                                                            |                                    | 271,996.                  |                         |                                                             |
| <b>c</b> Adjusted net income (if negative, enter -0-)                                                                                                              |                                    |                           | N/A                     |                                                             |

SCANNED MAY 21 2012



# HENRY M STALEY DECLARATION OF CHARITABLE TRUST

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37-6055435

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## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

|                             |     |                                                                                           |        |  | Beginning of year |                | End of year           |  |
|-----------------------------|-----|-------------------------------------------------------------------------------------------|--------|--|-------------------|----------------|-----------------------|--|
|                             |     |                                                                                           |        |  | (a) Book Value    | (b) Book Value | (c) Fair Market Value |  |
| Assets                      | 1   | Cash - non-interest-bearing                                                               |        |  | 146,015.          | 457,035.       | 457,035.              |  |
|                             | 2   | Savings and temporary cash investments                                                    |        |  |                   |                |                       |  |
|                             | 3   | Accounts receivable ▶                                                                     |        |  |                   |                |                       |  |
|                             |     | Less: allowance for doubtful accounts ▶                                                   |        |  |                   |                |                       |  |
|                             | 4   | Pledges receivable ▶                                                                      |        |  |                   |                |                       |  |
|                             |     | Less: allowance for doubtful accounts ▶                                                   |        |  |                   |                |                       |  |
|                             | 5   | Grants receivable                                                                         |        |  |                   |                |                       |  |
|                             | 6   | Receivables due from officers, directors, trustees, and other disqualified persons        |        |  |                   |                |                       |  |
|                             | 7   | Other notes and loans receivable ▶                                                        |        |  |                   |                |                       |  |
|                             |     | Less: allowance for doubtful accounts ▶                                                   |        |  |                   |                |                       |  |
|                             | 8   | Inventories for sale or use                                                               |        |  |                   |                |                       |  |
|                             | 9   | Prepaid expenses and deferred charges                                                     |        |  |                   |                |                       |  |
|                             | 10a | Investments - U S and state government obligations                                        | STMT 6 |  | 133,498.          | 292,379.       | 322,275.              |  |
|                             | b   | Investments - corporate stock                                                             | STMT 7 |  | 2,788,127.        | 2,428,967.     | 2,608,040.            |  |
|                             | c   | Investments - corporate bonds                                                             | STMT 8 |  | 226,049.          | 176,636.       | 187,782.              |  |
| Liabilities                 | 11  | Investments - land, buildings, and equipment: basis ▶                                     |        |  |                   |                |                       |  |
|                             |     | Less: accumulated depreciation ▶                                                          |        |  |                   |                |                       |  |
|                             | 12  | Investments - mortgage loans                                                              |        |  |                   |                |                       |  |
|                             | 13  | Investments - other                                                                       |        |  |                   |                |                       |  |
|                             | 14  | Land, buildings, and equipment: basis ▶                                                   |        |  |                   |                |                       |  |
|                             |     | Less: accumulated depreciation ▶                                                          |        |  |                   |                |                       |  |
|                             | 15  | Other assets (describe ▶)                                                                 |        |  |                   |                |                       |  |
|                             | 16  | Total assets (to be completed by all filers)                                              |        |  | 3,293,689.        | 3,355,017.     | 3,575,132.            |  |
|                             | 17  | Accounts payable and accrued expenses                                                     |        |  |                   |                |                       |  |
|                             | 18  | Grants payable                                                                            |        |  |                   |                |                       |  |
| Net Assets or Fund Balances | 19  | Deferred revenue                                                                          |        |  |                   |                |                       |  |
|                             | 20  | Loans from officers, directors, trustees, and other disqualified persons                  |        |  |                   |                |                       |  |
|                             | 21  | Mortgages and other notes payable                                                         |        |  |                   |                |                       |  |
|                             | 22  | Other liabilities (describe ▶ EXCISE TAX PAYABLE)                                         |        |  | 460.              | 520.           |                       |  |
|                             | 23  | Total liabilities (add lines 17 through 22)                                               |        |  | 460.              | 520.           |                       |  |
|                             |     | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>                   |        |  |                   |                |                       |  |
|                             |     | and complete lines 24 through 26 and lines 30 and 31.                                     |        |  |                   |                |                       |  |
|                             | 24  | Unrestricted                                                                              |        |  |                   |                |                       |  |
|                             | 25  | Temporarily restricted                                                                    |        |  |                   |                |                       |  |
|                             | 26  | Permanently restricted                                                                    |        |  |                   |                |                       |  |
|                             |     | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> |        |  |                   |                |                       |  |
|                             |     | and complete lines 27 through 31                                                          |        |  |                   |                |                       |  |
|                             | 27  | Capital stock, trust principal, or current funds                                          |        |  | 0.                | 0.             |                       |  |
|                             | 28  | Paid-in or capital surplus, or land, bldg, and equipment fund                             |        |  | 0.                | 0.             |                       |  |
|                             | 29  | Retained earnings, accumulated income, endowment, or other funds                          |        |  | 3,293,229.        | 3,354,497.     |                       |  |
|                             | 30  | Total net assets or fund balances                                                         |        |  | 3,293,229.        | 3,354,497.     |                       |  |
|                             | 31  | Total liabilities and net assets/fund balances                                            |        |  | 3,293,689.        | 3,355,017.     |                       |  |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                               |   |            |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 3,293,229. |
| 2 | Enter amount from Part I, line 27a                                                                                                                            | 2 | 61,268.    |
| 3 | Other increases not included in line 2 (itemize) ▶                                                                                                            | 3 | 0.         |
| 4 | Add lines 1, 2, and 3                                                                                                                                         | 4 | 3,354,497. |
| 5 | Decreases not included in line 2 (itemize) ▶                                                                                                                  | 5 | 0.         |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 | 3,354,497. |

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**HENRY M STALEY DECLARATION OF CHARITABLE TRUST**

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**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                |                                                  |                                      |                                  |
| b SEE ATTACHED STATEMENT                                                                                                          |                                                  |                                      |                                  |
| c                                                                                                                                 |                                                  |                                      |                                  |
| d                                                                                                                                 |                                                  |                                      |                                  |
| e                                                                                                                                 |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     |                                            |                                                 |                                              |
| b                     |                                            |                                                 |                                              |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e 2,237,920.          |                                            | 2,023,198.                                      | 214,722.                                     |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                 | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| (i) FMV as of 12/31/69                                                                      | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                                 |
| a                                                                                           |                                      |                                                 |                                                                                                 |
| b                                                                                           |                                      |                                                 |                                                                                                 |
| c                                                                                           |                                      |                                                 |                                                                                                 |
| d                                                                                           |                                      |                                                 |                                                                                                 |
| e                                                                                           |                                      |                                                 | 214,722.                                                                                        |

|                                                                                                                                                                               |                                                                                     |   |          |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---|----------|
| 2 Capital gain net income or (net capital loss)                                                                                                                               | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } | 2 | 214,722. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c)<br>If (loss), enter -0- in Part I, line 8 | { }                                                                                 | 3 | N/A      |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|-------------------------------------------------------------------|---------------------------------------|-------------------------------------------|----------------------------------------------------------|
| 2010                                                              | 188,250.                              | 3,622,418.                                | .051968                                                  |
| 2009                                                              | 160,250.                              | 3,337,245.                                | .048019                                                  |
| 2008                                                              | 161,395.                              | 2,754,576.                                | .058592                                                  |
| 2007                                                              | 149,758.                              | 3,031,437.                                | .049402                                                  |
| 2006                                                              | 125,114.                              | 2,529,791.                                | .049456                                                  |

|                                                                                                                                                                                |   |            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Total of line 1, column (d)                                                                                                                                                  | 2 | .257437    |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .051487    |
| 4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5                                                                                                 | 4 | 3,808,779. |
| 5 Multiply line 4 by line 3                                                                                                                                                    | 5 | 196,103.   |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   | 6 | 2,720.     |
| 7 Add lines 5 and 6                                                                                                                                                            | 7 | 198,823.   |
| 8 Enter qualifying distributions from Part XII, line 4                                                                                                                         | 8 | 206,550.   |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**HENRY M STALEY DECLARATION OF CHARITABLE TRUST**

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                      |           |    |        |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions) |           | 1  | 2,720. |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                         |           |    |        |
| c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                                                                                              |           | 2  | 0.     |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                           |           | 3  | 2,720. |
| 3 Add lines 1 and 2                                                                                                                                                                                                                  |           | 4  | 0.     |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                         |           | 5  | 2,720. |
| 5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-                                                                                                                                             |           |    |        |
| 6 Credits/Payments                                                                                                                                                                                                                   |           |    |        |
| a 2011 estimated tax payments and 2010 overpayment credited to 2011                                                                                                                                                                  | 6a 2,200. | 7  | 2,200. |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                              | 6b        | 8  |        |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                | 6c        | 9  | 520.   |
| d Backup withholding erroneously withheld                                                                                                                                                                                            | 6d        | 10 |        |
| 7 Total credits and payments Add lines 6a through 6d                                                                                                                                                                                 |           | 11 |        |
| 8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                   |           |    |        |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                      |           |    |        |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                         |           |    |        |
| 11 Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>                                                                                                       |           |    |        |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                            | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                    |     | X  |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| 1c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                    |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.                                                                                                                      |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.                                                                                                                                                                      |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                             |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                               |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                             |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                         |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                      |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?        | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year?<br>If "Yes," complete Part II, col. (c), and Part XV.                                                                                                                                                                                                    | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL                                                                                                                                                                                                          |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                              | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                     |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                      |     | X  |

N/A

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**Part VII-A Statements Regarding Activities** (continued)

|                                                                                                                                                                                                                                                                                                                                     |    |     |         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|---------|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                          | 11 |     | X       |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                         | 12 |     | X       |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► N/A                                                                                                                                                                                     | 13 |     | X       |
| 14 The books are in care of ► <u>GROSS KAPLAN &amp; OSIOL, L.L.C.</u> Telephone no ► <u>847-928-9820</u><br>Located at ► <u>9450 W BRYN MAWR AVE, #310, ROSEMONT, IL</u> ZIP+4 ► <u>60018-5272</u>                                                                                                                                  |    |     |         |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here<br>and enter the amount of tax-exempt interest received or accrued during the year                                                                                                                                           | 15 | N/A |         |
| 16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ► | 16 | Yes | No<br>X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                     | Yes | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|-----|----|
| 1a During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                     |     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                      | N/A<br>► <input type="checkbox"/>                                   | 1b  |    |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?                                                                                                                                                                                                                                                                                                         |                                                                     | 1c  | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                   |                                                                     |     |    |
| a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?<br>If "Yes," list the years ►                                                                                                                                                                                                                                                                                                                 | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)                                                                                                                                                                                        | N/A                                                                 | 2b  |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here<br>►                                                                                                                                                                                                                                                                                                                                                                            |                                                                     |     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) | N/A                                                                 | 3b  |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                |                                                                     | 4a  | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?                                                                                                                                                                                                                                                               |                                                                     | 4b  | X  |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

**6b**

**X**

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1** List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address                                    | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| MARK E STALEY<br>2700 WESTPORT<br>SPRINGFIELD, IL 62711 | TRUSTEE<br><br>1.00                                       | 0.                                        | 0.                                                                    | 0.                                    |
|                                                         |                                                           |                                           |                                                                       |                                       |
|                                                         |                                                           |                                           |                                                                       |                                       |
|                                                         |                                                           |                                           |                                                                       |                                       |
|                                                         |                                                           |                                           |                                                                       |                                       |
|                                                         |                                                           |                                           |                                                                       |                                       |
|                                                         |                                                           |                                           |                                                                       |                                       |
|                                                         |                                                           |                                           |                                                                       |                                       |
|                                                         |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

**Total** number of other employees paid over \$50,000

▶ 0

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|       | Expenses |
|-------|----------|
| 1 N/A |          |
|       |          |
|       |          |
| 2     |          |
|       |          |
|       |          |
| 3     |          |
|       |          |
|       |          |
| 4     |          |
|       |          |
|       |          |

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

|                                                        | Amount |
|--------------------------------------------------------|--------|
| 1 N/A                                                  |        |
|                                                        |        |
|                                                        |        |
| 2                                                      |        |
|                                                        |        |
|                                                        |        |
| All other program-related investments See instructions |        |
| 3                                                      |        |
|                                                        |        |
|                                                        |        |

**Total.** Add lines 1 through 3

0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                            |    |            |
|---|------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes |    |            |
| a | Average monthly fair market value of securities                                                            | 1a | 3,443,375. |
| b | Average of monthly cash balances                                                                           | 1b | 423,406.   |
| c | Fair market value of all other assets                                                                      | 1c |            |
| d | Total (add lines 1a, b, and c)                                                                             | 1d | 3,866,781. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)  | 1e | 0.         |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                       | 2  | 0.         |
| 3 | Subtract line 2 from line 1d                                                                               | 3  | 3,866,781. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)  | 4  | 58,002.    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4       | 5  | 3,808,779. |
| 6 | Minimum investment return. Enter 5% of line 5                                                              | 6  | 190,439.   |

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

|    |                                                                                                    |    |          |
|----|----------------------------------------------------------------------------------------------------|----|----------|
| 1  | Minimum investment return from Part X, line 6                                                      | 1  | 190,439. |
| 2a | Tax on investment income for 2011 from Part VI, line 5                                             | 2a | 2,720.   |
| b  | Income tax for 2011 (This does not include the tax from Part VI)                                   | 2b |          |
| c  | Add lines 2a and 2b                                                                                | 2c | 2,720.   |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                              | 3  | 187,719. |
| 4  | Recoveries of amounts treated as qualifying distributions                                          | 4  | 0.       |
| 5  | Add lines 3 and 4                                                                                  | 5  | 187,719. |
| 6  | Deduction from distributable amount (see instructions)                                             | 6  | 0.       |
| 7  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 187,719. |

**Part XII** Qualifying Distributions (see instructions)

|   |                                                                                                                                   |    |          |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                         |    |          |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 206,550. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.       |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |          |
| 3 | Amounts set aside for specific charitable projects that satisfy the                                                               |    |          |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |          |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |          |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                        | 4  | 206,550. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 2,720.   |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                    | 6  | 203,830. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2010 | (c)<br>2010 | (d)<br>2011 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2011 from Part XI, line 7                                                                                                                       |               |                            |             | 187,719.    |
| 2 Undistributed income, if any, as of the end of 2011                                                                                                                      |               |                            |             |             |
| a Enter amount for 2010 only                                                                                                                                               |               |                            | 0.          |             |
| b Total for prior years                                                                                                                                                    |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2011                                                                                                                          |               |                            |             |             |
| a From 2006                                                                                                                                                                | 8,396.        |                            |             |             |
| b From 2007                                                                                                                                                                |               |                            |             |             |
| c From 2008                                                                                                                                                                | 27,476.       |                            |             |             |
| d From 2009                                                                                                                                                                |               |                            |             |             |
| e From 2010                                                                                                                                                                | 9,301.        |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 45,173.       |                            |             |             |
| 4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$                                                                                                             | 206,550.      |                            |             |             |
| a Applied to 2010, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2011 distributable amount                                                                                                                                     |               |                            |             | 187,719.    |
| e Remaining amount distributed out of corpus                                                                                                                               | 18,831.       |                            |             |             |
| 5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                   | 64,004.       |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          |               |                            |             |             |
| b Prior years' undistributed income Subtract line 4b from line 2b                                                                                                          |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b Taxable amount - see instructions                                                                                                          |               | 0.                         |             |             |
| e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr                                                                                   |               |                            | 0.          |             |
| f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012                                                                |               |                            |             | 0.          |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)                                                     | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2006 not applied on line 5 or line 7                                                                                                 | 8,396.        |                            |             |             |
| 9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a                                                                                              | 55,608.       |                            |             |             |
| 10 Analysis of line 9                                                                                                                                                      |               |                            |             |             |
| a Excess from 2007                                                                                                                                                         |               |                            |             |             |
| b Excess from 2008                                                                                                                                                         | 27,476.       |                            |             |             |
| c Excess from 2009                                                                                                                                                         |               |                            |             |             |
| d Excess from 2010                                                                                                                                                         | 9,301.        |                            |             |             |
| e Excess from 2011                                                                                                                                                         | 18,831.       |                            |             |             |

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**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶ \_\_\_\_\_

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

|                                                                                                                                                   | Tax year | Prior 3 years |          |          | (e) Total |
|---------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
|                                                                                                                                                   | (a) 2011 | (b) 2010      | (c) 2009 | (d) 2008 |           |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed                     |          |               |          |          |           |
| b 85% of line 2a                                                                                                                                  |          |               |          |          |           |
| c Qualifying distributions from Part XII, line 4 for each year listed                                                                             |          |               |          |          |           |
| d Amounts included in line 2c not used directly for active conduct of exempt activities                                                           |          |               |          |          |           |
| e Qualifying distributions made directly for active conduct of exempt activities                                                                  |          |               |          |          |           |
| Subtract line 2d from line 2e                                                                                                                     |          |               |          |          |           |
| 3 Complete 3a, b, or c for the alternative test relied upon                                                                                       |          |               |          |          |           |
| a "Assets" alternative test - enter                                                                                                               |          |               |          |          |           |
| (1) Value of all assets                                                                                                                           |          |               |          |          |           |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i)                                                                                     |          |               |          |          |           |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed                              |          |               |          |          |           |
| c "Support" alternative test - enter                                                                                                              |          |               |          |          |           |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) |          |               |          |          |           |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)                                      |          |               |          |          |           |
| (3) Largest amount of support from an exempt organization                                                                                         |          |               |          |          |           |
| (4) Gross investment income                                                                                                                       |          |               |          |          |           |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number of the person to whom applications should be addressed

**SEE STATEMENT 9**

- b The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

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|                |                                              |
|----------------|----------------------------------------------|
| <b>Part XV</b> | <b>Supplementary Information</b> (continued) |
|----------------|----------------------------------------------|

| Recipient                                                                | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount   |
|--------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|----------|
| Name and address (home or business)                                      |                                                                                                           |                                |                                  |          |
| <b>a Paid during the year</b>                                            |                                                                                                           |                                |                                  |          |
| (SEE SCHEDULE)                                                           |                                                                                                           |                                | SUPPORT                          | 206,550. |
|                                                                          |                                                                                                           |                                |                                  |          |
|                                                                          |                                                                                                           |                                |                                  |          |
|                                                                          |                                                                                                           |                                |                                  |          |
|                                                                          |                                                                                                           |                                |                                  |          |
| <b>Total</b>                                                             |                                                                                                           |                                | ► 3a                             | 206,550. |
| <b>b Approved for future payment</b>                                     |                                                                                                           |                                |                                  |          |
| COMMUNITY FOUNDATION LEADERS FUND<br>125 N WATER ST<br>DECATUR, IL 62525 |                                                                                                           |                                | SUPPORT                          | 16,000.  |
|                                                                          |                                                                                                           |                                |                                  |          |
|                                                                          |                                                                                                           |                                |                                  |          |
| <b>Total</b>                                                             |                                                                                                           |                                | ► 3b                             | 16,000.  |





**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co |                             | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo, day, yr) | (d) Date sold<br>(mo, day, yr) |
|------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------|------------------------------------|--------------------------------|
| 1a                                                                                                                                 | (SEE SCHEDULE) / 10         | P                                                | VARIOUS                            |                                |
| b                                                                                                                                  | (SEE SCHEDULE) / 4          | P                                                | VARIOUS                            |                                |
| c                                                                                                                                  | (SEE SCHEDULE) / 4          | P                                                | VARIOUS                            |                                |
| d                                                                                                                                  | (SEE SCHEDULE) / 6          | P                                                | VARIOUS                            |                                |
| e                                                                                                                                  | (SEE SCHEDULE) / 6          | P                                                | VARIOUS                            |                                |
| f                                                                                                                                  | (SEE SCHEDULE) / 9          | P                                                | VARIOUS                            |                                |
| g                                                                                                                                  | CLASS ACTION SETTLEMENT / 1 | P                                                | VARIOUS                            |                                |
| h                                                                                                                                  | (SEE SCHEDULE) / 8          | P                                                | VARIOUS                            |                                |
| i                                                                                                                                  | (SEE SCHEDULE) / 8          | P                                                | VARIOUS                            |                                |
| j                                                                                                                                  | CAPITAL GAINS DIVIDENDS     |                                                  |                                    |                                |
| k                                                                                                                                  |                             |                                                  |                                    |                                |
| l                                                                                                                                  |                             |                                                  |                                    |                                |
| m                                                                                                                                  |                             |                                                  |                                    |                                |
| n                                                                                                                                  |                             |                                                  |                                    |                                |
| o                                                                                                                                  |                             |                                                  |                                    |                                |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     | 371,820.                                   | 330,885.                                        | 40,935.                                      |
| b                     | 77,209.                                    | 67,666.                                         | 9,543.                                       |
| c                     | 228,856.                                   | 223,026.                                        | 5,830.                                       |
| d                     | 115,915.                                   | 128,429.                                        | <12,514.>                                    |
| e                     | 114,954.                                   | 92,836.                                         | 22,118.                                      |
| f                     | 46,841.                                    | 59,559.                                         | <12,718.>                                    |
| g                     | 57.                                        |                                                 | 57.                                          |
| h                     | 387,708.                                   | 362,332.                                        | 25,376.                                      |
| i                     | 893,759.                                   | 758,465.                                        | 135,294.                                     |
| j                     | 801.                                       |                                                 | 801.                                         |
| k                     |                                            |                                                 |                                              |
| l                     |                                            |                                                 |                                              |
| m                     |                                            |                                                 |                                              |
| n                     |                                            |                                                 |                                              |
| o                     |                                            |                                                 |                                              |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) FMV as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any | (l) Losses (from col (h))<br>Gains (excess of col (h) gain over col (k),<br>but not less than "-0-") |
|------------------------|--------------------------------------|-----------------------------------------------|------------------------------------------------------------------------------------------------------|
| a                      |                                      |                                               | 40,935.                                                                                              |
| b                      |                                      |                                               | 9,543.                                                                                               |
| c                      |                                      |                                               | 5,830.                                                                                               |
| d                      |                                      |                                               | <12,514.>                                                                                            |
| e                      |                                      |                                               | 22,118.                                                                                              |
| f                      |                                      |                                               | <12,718.>                                                                                            |
| g                      |                                      |                                               | 57.                                                                                                  |
| h                      |                                      |                                               | 25,376.                                                                                              |
| i                      |                                      |                                               | 135,294.                                                                                             |
| j                      |                                      |                                               | 801.                                                                                                 |
| k                      |                                      |                                               |                                                                                                      |
| l                      |                                      |                                               |                                                                                                      |
| m                      |                                      |                                               |                                                                                                      |
| n                      |                                      |                                               |                                                                                                      |
| o                      |                                      |                                               |                                                                                                      |

|                                                                                                                                                                                   |   |          |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }                                             | 2 | 214,722. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c)<br>If (loss), enter "-0-" in Part I, line 8 } | 3 | N/A      |

**HENRY M. STALEY CHARITABLE TRUST**  
**Schedule D - Capital Gains and Losses/1**  
**As of: December 31, 2011**

| Quantity | Asset                                      | Date Acquired | Date Sold | Proceeds   | Tax Basis  | Gain/Loss  |
|----------|--------------------------------------------|---------------|-----------|------------|------------|------------|
|          | <u>Short-Term Capital Gains and Losses</u> |               |           |            |            |            |
|          | Total Short-term                           |               |           | 0 00       | 0 00       | 0 00       |
|          | <u>Long-Term Capital Gains and Losses</u>  |               |           |            |            |            |
| 1,643    | S&P DEP RCP (SPDRS)/1 (432)                | Aug 5 02      | Mar 1 11  | 214,679 56 | 150,174 70 | 64,504 86  |
| 2,097    | ISHARES MSCI EAFE 2388/1 (387)             | Oct 19 06     | Mar 1 11  | 126,886 40 | 145,914 90 | -19,028 50 |
| 500      | ISHARES MSCI EAFE 500/1 (388)              | Oct 19 06     | Mar 1 11  | 30,254 27  | 34,795 30  | -4,541 03  |
|          | Total Long-term                            |               |           | 371,820 23 | 330,884 90 | 40,935 33  |
|          | TOTAL CAPITAL GAINS                        |               |           | 371,820 23 | 330,884 90 | 40,935 33  |



**HENRY M. STALEY CHARITABLE TRUST**  
**Schedule D - Capital Gains and Losses/4**  
**As of: December 31, 2011**

| Quantity | Asset                                      | Date Acquired | Date Sold | Proceeds  | Tax Basis | Gain/Loss |
|----------|--------------------------------------------|---------------|-----------|-----------|-----------|-----------|
|          | <u>Short-Term Capital Gains and Losses</u> |               |           |           |           |           |
| 100      | UNION PACIFIC 063010/4 (934)               | Jul 6 10      | Jan 24 11 | 8,721 83  | 7,074 73  | 1,647 10  |
| 100      | AMERICAN WTR WKS 100410/4_SLD#1 (1152)     | Oct 4 10      | Feb 16 11 | 2,499 95  | 2,339 51  | 160 44    |
| 300      | WESTAR ENERGY 111810/4 (1007)              | Nov 18 10     | Oct 28 11 | 8,183 84  | 7,537 53  | 646 31    |
| 1        | CALL ACE 0065 012211/4 (920)               | Jan 24 11     | Jun 23 10 | 34 99     | 0 00      | 34 99     |
| 1        | CALL CVX 0090 012211/4 (922)               | Jan 24 11     | Jun 23 10 | 69 99     | 69 99     | 0 00      |
| 1        | CALL EXC 047 5 012211/4 (921)              | Jan 24 11     | Jun 23 10 | 34 99     | 0 00      | 34 99     |
| 2        | CALL JPM 052 5 012211/4 (923)              | Jan 24 11     | Jun 23 10 | 71 99     | 0 00      | 71 99     |
| 2        | CALL TEVA 062 5 012211/4 (929)             | Jan 24 11     | Jun 23 10 | 139 99    | 0 00      | 139 99    |
| 2        | CALL BAX 0055 012211/4 (926)               | Jan 24 11     | Jun 25 10 | 59 99     | 0 00      | 59 99     |
| 1        | CALL MDT 0042 012211/4 (936)               | Jan 24 11     | Jul 1 10  | 106 99    | 0 00      | 106 99    |
| 2        | CALL MSFT 0030 012211/4 (938)              | Jan 24 11     | Jul 1 10  | 73 99     | 0 00      | 73 99     |
| 1        | CALL UNP 0085 012211/4 (937)               | Jan 24 11     | Jul 1 10  | 221 99    | 221 99    | 0 00      |
| 1        | CALL SLB 85 021911/4 (1008)                | Feb 14 11     | Nov 18 10 | 138 99    | 138 99    | 0 00      |
| 1        | CALL AWK 25 031911/4 (986)                 | Feb 16 11     | Oct 4 10  | 54 99     | 0 00      | 54 99     |
| 3        | CALL PUK 022 5 021911/4 (930)              | Feb 22 11     | Jun 23 10 | 104 99    | 0 00      | 104 99    |
| 1        | CALL ADP 46 021911/4 (987)                 | Feb 22 11     | Oct 4 10  | 49 99     | 0 00      | 49 99     |
| 2        | CALL CVS 37 021911/4 (985)                 | Feb 22 11     | Oct 4 10  | 85 99     | 0 00      | 85 99     |
| 50       | U S TREAS 750%091513/4 (1165)              | Mar 15 11     | Dec 1 11  | 50,433 59 | 49,904 00 | 529 59    |
| 2        | CALL ORCL 31 031911/4 (1004)               | Mar 18 11     | Oct 4 10  | 135 99    | 50 00     | 85 99     |
| 3        | CALL PFE 20 031911/4 (991)                 | Mar 18 11     | Oct 4 10  | 59 99     | 42 00     | 17 99     |
| 2        | CALL HBC 062 5 031911/4 (947)              | Mar 21 11     | Aug 26 10 | 99 99     | 0 00      | 99 99     |
| 3        | CALL ADM 0040 031911/4 (950)               | Mar 21 11     | Sep 17 10 | 137 99    | 0 00      | 137 99    |
| 1        | CALL MCD 85 031911/4 (979)                 | Mar 21 11     | Sep 17 10 | 42 99     | 0 00      | 42 99     |
| 2        | CALL EMR 65 031911/4 (980)                 | Mar 21 11     | Sep 24 10 | 89 99     | 0 00      | 89 99     |
| 2        | CALL SNY 40 031911/4 (981)                 | Mar 21 11     | Sep 24 10 | 59 99     | 0 00      | 59 99     |
| 1        | CALL PM 62 5 031911/4 (989)                | Mar 21 11     | Oct 4 10  | 66 99     | 0 00      | 66 99     |
| 1        | CALL SI 135 041611/4 (990)                 | Apr 14 11     | Oct 4 10  | 84 99     | 150 00    | -65 01    |
| 3        | CALL NOK 14 041611/4 (988)                 | Apr 18 11     | Oct 4 10  | 50 99     | 0 00      | 50 99     |
| 1        | CALL PEP 75 041611/4 (1001)                | Apr 18 11     | Oct 4 10  | 59 99     | 0 00      | 59 99     |
| 1        | CALL QCOM 55 041611/4 (1002)               | Apr 18 11     | Oct 4 10  | 51 99     | 0 00      | 51 99     |
| 2        | CALL WM 40 041611/4 (1003)                 | Apr 18 11     | Oct 4 10  | 79 99     | 0 00      | 79 99     |
| 1        | CALL DHR 55 061811/4 (1021)                | Jun 20 11     | Dec 21 10 | 39 99     | 0 00      | 39 99     |
| 1        | CALL HON 60 061811/4 (1016)                | Jun 20 11     | Dec 21 10 | 100 99    | 0 00      | 100 99    |
| 2        | CALL JPM 0055 061811/4 (1141)              | Jun 20 11     | Jan 31 11 | 39 99     | 0 00      | 39 99     |
| 1        | CALL TEVA 0062 061811/4 (1142)             | Jun 20 11     | Jan 31 11 | 61 99     | 0 00      | 61 99     |
| 2        | CALL DIS 45 071611 /4 (1015)               | Jul 8 11      | Dec 21 10 | 63 99     | 0 00      | 63 99     |
| 1        | CALL CB 65 071611/4 (1019)                 | Jul 18 11     | Dec 21 10 | 90 99     | 0 00      | 90 99     |
| 5        | CALL CMSA 25 071611/4 (1018)               | Jul 18 11     | Dec 21 10 | 304 99    | 0 00      | 304 99    |
| 2        | CALL ENB 30 071611/4 (1017)                | Jul 18 11     | Dec 21 10 | 39 99     | 39 99     | 0 00      |
| 1        | CALL TGT 67 5 071611/4 (1022)              | Jul 18 11     | Dec 21 10 | 102 99    | 0 00      | 102 99    |
| 1        | CALL EXC 0047 071611/4 (1147)              | Jul 18 11     | Jan 31 11 | 24 99     | 0 00      | 24 99     |
| 2        | CALL MSFT 0032 071611/4 (1144)             | Jul 18 11     | Jan 31 11 | 53 99     | 0 00      | 53 99     |
| 1        | CALL WAG 0046 071611/4 (1148)              | Jul 18 11     | Feb 15 11 | 107 99    | 0 00      | 107 99    |
| 1        | CALL GS 0185 071611/4 (1194)               | Jul 18 11     | Mar 15 11 | 127 99    | 0 00      | 127 99    |
| 1        | CALL GD 80 082011/4 (1020)                 | Aug 22 11     | Dec 21 10 | 149 99    | 0 00      | 149 99    |
| 1        | CALL ACE 0070 082011/4 (1145)              | Aug 22 11     | Jan 31 11 | 74 99     | 0 00      | 74 99     |
| 2        | CALL BAX 0057 5 082011/4 (1143)            | Aug 22 11     | Jan 31 11 | 79 99     | 0 00      | 79 99     |
| 1        | CALL MDT 0045 082011/4 (1146)              | Aug 22 11     | Jan 31 11 | 46 99     | 0 00      | 46 99     |
| 1        | CALL SLB 0105 082011/4 (1149)              | Aug 22 11     | Feb 15 11 | 243 99    | 0 00      | 243 99    |
| 1        | CALL MCD 0080 091711/4 (1203)              | Sep 16 11     | Mar 22 11 | 96 99     | 96 99     | 0 00      |
| 1        | CALL DE 0105 091711/4 (1193)               | Sep 19 11     | Mar 15 11 | 176 99    | 0 00      | 176 99    |
| 1        | CALL MGA 0060 091711/4 (1195)              | Sep 19 11     | Mar 15 11 | 129 99    | 0 00      | 129 99    |
| 1        | CALL CVX 0125 091711/4 (1201)              | Sep 19 11     | Mar 18 11 | 74 99     | 0 00      | 74 99     |
| 1        | CALL ESV 0075 091711/4 (1198)              | Sep 19 11     | Mar 18 11 | 79 99     | 0 00      | 79 99     |

**HENRY M. STALEY CHARITABLE TRUST**  
**Schedule D - Capital Gains and Losses/4**  
**As of: December 31, 2011**

| Quantity | Asset                                   | Date Acquired | Date Sold | Proceeds   | Tax Basis  | Gain/Loss  |
|----------|-----------------------------------------|---------------|-----------|------------|------------|------------|
| 3        | CALL GE 0024 091711/4 (1196)            | Sep 19 11     | Mar 18 11 | 65 99      | 0 00       | 65 99      |
| 3        | CALL ORCL 0038 091711/4 (1200)          | Sep 19 11     | Mar 18 11 | 152 99     | 0 00       | 152 99     |
| 5        | CALL PFE 0024 091711/4 (1199)           | Sep 19 11     | Mar 18 11 | 84 99      | 0 00       | 84 99      |
| 3        | CALL ADM 0041 091711/4 (1206)           | Sep 19 11     | Mar 22 11 | 269 99     | 0 00       | 269 99     |
| 2        | CALL EMR 0070 091711/4 (1205)           | Sep 19 11     | Mar 22 11 | 69 99      | 0 00       | 69 99      |
| 2        | CALL HBC 0062 5 091711/4 (1202)         | Sep 19 11     | Mar 22 11 | 75 99      | 0 00       | 75 99      |
| 1        | CALL NSC 0080 091711/4 (1204)           | Sep 19 11     | Mar 22 11 | 59 99      | 0 00       | 59 99      |
| 1        | CALL PM 0070 091711/4 (1208)            | Sep 19 11     | Mar 22 11 | 65 99      | 0 00       | 65 99      |
| 2        | CALL SNY 0040 091711/4 (1207)           | Sep 19 11     | Mar 22 11 | 49 99      | 0 00       | 49 99      |
| 2        | CALL AXP 0055 102211/4 (1191)           | Oct 24 11     | Mar 14 11 | 113 99     | 0 00       | 113 99     |
| 2        | CALL DLR 0070 102211/4 (1190)           | Oct 24 11     | Mar 14 11 | 79 99      | 0 00       | 79 99      |
| 1        | CALL NKE 0110 102211/4 (1192)           | Oct 24 11     | Mar 14 11 | 51 99      | 0 00       | 51 99      |
| 2        | CALL TXN 0041 102211/4 (1197)           | Oct 24 11     | Mar 18 11 | 113 99     | 0 00       | 113 99     |
| 1        | CALL SI 0150 102211/4 (1217)            | Oct 24 11     | Apr 14 11 | 519 99     | 0 00       | 519 99     |
| 2        | CALL NOK 0010 102211/4 (1219)           | Oct 24 11     | Apr 20 11 | 49 99      | 0 00       | 49 99      |
| 1        | CALL PEP 0075 102211/4 (1220)           | Oct 24 11     | Apr 20 11 | 28 99      | 0 00       | 28 99      |
| 1        | CALL QCOM 0062 5 102211/4 (1222)        | Oct 24 11     | Apr 20 11 | 139 99     | 0 00       | 139 99     |
| 2        | CALL WM 0042 102211/4 (1218)            | Oct 24 11     | Apr 20 11 | 49 99      | 0 00       | 49 99      |
| 1        | CALL RIO 0080 102211/4 (1249)           | Oct 24 11     | Jun 15 11 | 89 99      | 0 00       | 89 99      |
| 3        | CALL CVS 0045 111911/4 (1221)           | Nov 21 11     | Apr 20 11 | 86 99      | 0 00       | 86 99      |
| 1        | CALL CAT 0115 111911/4 (1236)           | Nov 21 11     | May 25 11 | 354 99     | 0 00       | 354 99     |
| 2        | CALL GLW 0024 111911/4 (1235)           | Nov 21 11     | May 25 11 | 59 99      | 0 00       | 59 99      |
| 1        | CALL HON 0065 121711/4 (1250)           | Dec 19 11     | Jun 15 11 | 85 99      | 0 00       | 85 99      |
| 1        | CALL DHR 0062 5 121711/4 (1251)         | Dec 19 11     | Jun 22 11 | 59 99      | 0 00       | 59 99      |
|          | Total Short-term                        |               |           | 77,209 47  | 67,665 72  | 9,543 75   |
|          | Long-Term Capital Gains and Losses      |               |           |            |            |            |
| 100      | INTL BUS MACH 012704/4 (66)             | Jan 27 04     | Apr 18 11 | 15,142 70  | 9,746 00   | 5,396 70   |
| 100      | CHEVRON CORP 400 2-15-06/4_SLD#1 (1159) | Feb 15 06     | Jan 24 11 | 9,069 82   | 5,639 00   | 3,430 82   |
| 400      | HEWLETT PCK 600 3-06/4 (252)            | Feb 15 06     | Nov 22 11 | 10,609 80  | 13,646 68  | -3,036 88  |
| 50       | EXXON MOBIL 200 2-15-06/4_SLD#1 (1338)  | Feb 15 06     | Nov 28 11 | 3,808 43   | 2,953 50   | 854 93     |
| 100      | MCDONALDS 112406/4 (369)                | Nov 24 06     | Sep 21 11 | 8,096 84   | 4,192 00   | 3,904 84   |
| 600      | ARCHER DANIELS 013107/4 (214)           | Jan 31 07     | Nov 22 11 | 17,066 07  | 18,906 00  | -1,839 93  |
| 100      | GENL DYNAMICS 013107/4_SLD#2 (1339)     | Jan 31 07     | Nov 28 11 | 6,415 88   | 7,751 00   | -1,335 12  |
| 100      | PEPSICO INC 013107/4_SLD#1 (1340)       | Jan 31 07     | Nov 28 11 | 6,312 88   | 6,452 00   | -139 12    |
| 200      | CVS CORP 013107/4_SLD#2 (1343)          | Jan 31 07     | Dec 1 11  | 7,691 25   | 6,566 00   | 1,125 25   |
| 100      | MONSANTO CO 013107/4 (226)              | Jan 31 07     | Dec 1 11  | 7,287 86   | 5,511 00   | 1,776 86   |
| 300      | WASTE MGMT 013107/4_SLD#1 (1344)        | Jan 31 07     | Dec 1 11  | 9,382 32   | 11,244 00  | -1,861 68  |
| 100      | WALGREEN CO 103007/4_SLD#2 (1153)       | Oct 30 07     | Feb 15 11 | 4,253 38   | 4,008 00   | 245 38     |
| 100      | SCHLUMBERGER 2-08/4_SLD#1 (1160)        | Feb 8 08      | Feb 14 11 | 8,638 83   | 7,704 19   | 934 64     |
| 500      | NOKIA CRP 2-08/4_SLD#1 (1335)           | Feb 8 08      | Nov 22 11 | 2,774 95   | 17,380 00  | -14,605 05 |
| 500      | COMCAST CORP 5-08/4_SLD#1 (1342)        | May 12 08     | Dec 1 11  | 11,256 13  | 10,939 95  | 316 18     |
| 25       | ABBOTT LAB 3 75%101708/4 (654)          | Oct 17 08     | Mar 15 11 | 25,000 00  | 24,500 00  | 500 00     |
| 25       | CISCO CYC 5 25%022211/4 (656)           | Oct 22 08     | Feb 22 11 | 25,000 00  | 24,912 50  | 87 50      |
| 300      | PRUDENTIAL PLC 72109/4 (697)            | Jul 21 09     | Feb 22 11 | 6,749 87   | 4,197 00   | 2,552 87   |
| 10       | US TRSRY INFLAT2 5 1-29/4 (725)         | Sep 22 09     | Dec 1 11  | 13,901 39  | 10,770 63  | 3,130 76   |
| 100      | AUTOMATIC DATA 102009/4_SLD#1 (1154)    | Oct 20 09     | Feb 22 11 | 4,599 91   | 4,027 81   | 572 10     |
| 200      | ENBRIDGE INC 050410/4_SLD#1 (1309)      | May 7 10      | Jul 18 11 | 6,039 87   | 4,811 99   | 1,227 88   |
| 200      | PAYCHEX INC 052510/4 (907)              | May 28 10     | Oct 28 11 | 5,866 61   | 5,733 30   | 133 31     |
| 10       | US TRSY INFL 2 125 021540/4 (999)       | Oct 4 10      | Dec 1 11  | 13,890 77  | 11,433 78  | 2,456 99   |
|          | Total Long-term                         |               |           | 228,855 56 | 223,026 33 | 5,829 23   |
|          | TOTAL CAPITAL GAINS                     |               |           | 306,065 03 | 290,692 05 | 15,372 98  |

**HENRY M. STALEY CHARITABLE TRUST**  
**Schedule D - Capital Gains and Losses/6**  
**As of: December 31, 2011**

| Quantity                                   | Asset                                | Date Acquired | Date Sold | Proceeds   | Tax Basis  | Gain/Loss  |
|--------------------------------------------|--------------------------------------|---------------|-----------|------------|------------|------------|
| <u>Short-Term Capital Gains and Losses</u> |                                      |               |           |            |            |            |
| 120                                        | EATON CORP 011110/6 (805)            | Jan 11 10     | Jan 3 11  | 12,257 21  | 7,600 93   | 4,656 28   |
| 30                                         | CLIFFS NTL RS 4'10/6_SLD#1 (1065)    | Apr 5 10      | Apr 5 11  | 2,985 79   | 2,223 35   | 762 44     |
| 1,300                                      | REGIONS FIN 040810/6 (818)           | Apr 8 10      | Jan 3 11  | 9,301 99   | 10,453 04  | -1,151 05  |
| 170                                        | JDS UNIPHASE 040810/6_SLD#1 (1046)   | Apr 8 10      | Apr 5 11  | 3,300 34   | 2,200 36   | 1,099 98   |
| 35                                         | PIONEER NAT 071210/6_SLD#1 (1049)    | Jul 12 10     | Apr 5 11  | 3,635 71   | 2,038 33   | 1,597 38   |
| 125                                        | GENWORTH FIN 7'10/6 (827)            | Jul 12 10     | Jul 7 11  | 1,317 62   | 1,669 80   | -352 18    |
| 25                                         | SALESFORCE COM 071210/6_SLD#1 (1061) | Jul 12 10     | Jul 7 11  | 3,976 05   | 2,242 27   | 1,733 78   |
| 205                                        | AKAMAI TECH 101210/6 (958)           | Oct 12 10     | Apr 5 11  | 7,637 13   | 9,399 99   | -1,762 86  |
| 215                                        | METROPCS 101210/6_SLD#1 (1056)       | Oct 12 10     | Jul 7 11  | 3,759 30   | 2,375 79   | 1,383 51   |
| 555                                        | MICRON TECH 101210/6 (966)           | Oct 12 10     | Jul 7 11  | 4,258 32   | 3,813 18   | 445 14     |
| 125                                        | CATEPILLAR 101210/6 (959)            | Oct 12 10     | Oct 6 11  | 9,091 96   | 9,916 14   | -824 18    |
| 215                                        | DUPONT 101210/6 (963)                | Oct 12 10     | Oct 6 11  | 8,525 55   | 9,846 70   | -1,321 15  |
| 685                                        | METROPCS 101210/6 (965)              | Oct 12 10     | Oct 6 11  | 5,799 02   | 7,569 39   | -1,770 37  |
| 45                                         | HALLIBURTON 010311/6_SLD#1 (1055)    | Jan 3 11      | Jul 7 11  | 2,416 04   | 1,853 15   | 562 89     |
| 225                                        | HALLIBURTON 010311/6 (1037)          | Jan 3 11      | Oct 6 11  | 6,718 35   | 9,265 72   | -2,547 37  |
| 50                                         | TARGET CORP 010311/6_SLD#1 (1321)    | Jan 3 11      | Oct 6 11  | 2,428 56   | 3,019 34   | -590 78    |
| 240                                        | GENWORTH FIN 4'11/6 (1045)           | Apr 5 11      | Jul 7 11  | 2,529 84   | 3,169 03   | -639 19    |
| 35                                         | BERKSHIRE HATH 4'11/6 (1043)         | Apr 5 11      | Jul 12 11 | 2,650 57   | 2,894 49   | -243 92    |
| 680                                        | TERADYNE INC 040511/6 (1052)         | Apr 5 11      | Oct 6 11  | 7,334 94   | 12,430 74  | -5,095 80  |
| 420                                        | CBS CORP 070711/6 (1054)             | Jul 7 11      | Oct 6 11  | 8,285 10   | 12,237 41  | -3,952 31  |
| 330                                        | PROLOGIS INC 070711/6 (1057)         | Jul 7 11      | Oct 6 11  | 7,705 85   | 12,210 13  | -4,504 28  |
|                                            | Total Short-term                     |               |           | 115,915 24 | 128,429 28 | -12,514 04 |
| <u>Long-Term Capital Gains and Losses</u>  |                                      |               |           |            |            |            |
| 235                                        | DIRECTV GRP 010807/6 (26)            | Jan 8 07      | Oct 6 11  | 9,814 96   | 5,750 78   | 4,064 18   |
| 60                                         | AMAZON COM 71007/6 (74)              | Jul 10 07     | Oct 6 11  | 12,972 99  | 4,256 82   | 8,716 17   |
| 615                                        | SARA LEE CORP 010609/6 (64)          | Jan 6 09      | Jan 3 11  | 10,765 02  | 6,394 40   | 4,370 62   |
| 235                                        | FORD MTR CO 100609/6_SLD#2 (1036)    | Oct 6 09      | Jan 3 11  | 4,033 26   | 1,685 07   | 2,348 19   |
| 655                                        | FORD MTR CO 100609/6 (736)           | Oct 6 09      | Jul 7 11  | 9,188 29   | 4,696 67   | 4,491 62   |
| 10                                         | APPLE INC 100609/6_SLD#1 (1319)      | Oct 6 09      | Oct 6 11  | 3,792 27   | 1,891 06   | 1,901 21   |
| 345                                        | DOW CHEM 100609/6 (735)              | Oct 6 09      | Oct 6 11  | 7,709 70   | 8,652 29   | -942 59    |
| 75                                         | MARATHON OIL 011110/6_SLD#1 (1047)   | Jan 11 10     | Apr 5 11  | 3,980 21   | 2,433 81   | 1,546 40   |
| 345                                        | MICRON TECH 011110/6_SLD#1 (1048)    | Jan 11 10     | Apr 5 11  | 3,853 85   | 3,879 01   | -25 16     |
| 545                                        | GENWORTH FIN 1'10/6 (807)            | Jan 11 10     | Jul 7 11  | 5,744 84   | 7,091 16   | -1,346 32  |
| 530                                        | MICRON TECH 011110/6 (809)           | Jan 11 10     | Jul 7 11  | 4,066 51   | 5,959 05   | -1,892 54  |
| 125                                        | MARATHON OIL 011110/6_SLD#2 (1320)   | Jan 11 10     | Oct 6 11  | 2,636 44   | 2,458 70   | 177 74     |
| 65                                         | CLIFFS NTL RS 4'10/6 (815)           | Apr 5 10      | Oct 6 11  | 3,293 30   | 4,817 27   | -1,523 97  |
| 635                                        | JDS UNIPHASE 040810/6 (817)          | Apr 8 10      | Oct 6 11  | 5,980 44   | 8,219 00   | -2,238 56  |
| 110                                        | BERKSHIRE HATH 071210/6 (823)        | Jul 7 10      | Jul 12 11 | 8,330 38   | 8,643 03   | -312 65    |
| 55                                         | CLIFFS NTL RS 7'10/6 (825)           | Jul 12 10     | Oct 6 11  | 2,786 64   | 2,583 45   | 203 19     |
| 115                                        | PIONEER NAT 071210/6 (828)           | Jul 12 10     | Oct 6 11  | 7,428 29   | 6,697 37   | 730 92     |
| 75                                         | SALESFORCE COM 071210/6 (829)        | Jul 12 10     | Oct 6 11  | 8,576 42   | 6,726 79   | 1,849 63   |
|                                            | Total Long-term                      |               |           | 114,953 81 | 92,835 73  | 22,118 08  |
|                                            | TOTAL CAPITAL GAINS                  |               |           | 230,869 05 | 221,265 01 | 9,604 04   |

Oppenheimer &amp; Co. Inc.

Account G401416479

## Proceeds from Broker and Barter Exchange Transactions

2011 1099-B\*

OMB No. 1545-0715

## 8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

## 9 - Description / CUSIP/ Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange

2 - Proceeds of # stocks, bonds, etc.

1b - Date of acquisition

3 - Cost or other basis

Gain or loss

Additional Information

AMERICA MOVIL SAB DE CV SPON ADR L SHS / CUSIP: 02364W105 / Symbol: AMX

|                |        |          |          |          |         |      |
|----------------|--------|----------|----------|----------|---------|------|
| 05/19/11       | 28.000 | 1,403.68 | 03/17/11 | 1,513.12 | -109.44 | Sale |
| 05/25/11       | 16.000 | 828.54   | 03/17/11 | 864.64   | -36.10  | Sale |
| 05/26/11       | 29.000 | 1,498.99 | 03/17/11 | 1,567.16 | -68.17  | Sale |
| Security total |        | 3,731.21 |          | 3,944.92 | -213.71 |      |

ARCELORMITTAL SA LUXEMBOURG NY REGISTRY SH / CUSIP: 03938L104 / Symbol: MT

|                                                                                       |        |          |          |          |           |                 |
|---------------------------------------------------------------------------------------|--------|----------|----------|----------|-----------|-----------------|
| 11/28/11                                                                              | 32.000 | 538.11   | 03/17/11 | 1,085.12 | -547.01   | Sale            |
| 12/01/11                                                                              | 29.000 | 551.65   | 03/17/11 | 983.39   | -431.74   | Sale            |
| 3 tax lots for 12/09/11. Total proceeds (and cost when required) reported to the IRS. |        |          |          |          |           |                 |
|                                                                                       | 29.000 | 544.87   | 03/17/11 | 983.39   | -438.52   | Sale            |
|                                                                                       | 18.000 | 338.19   | 04/01/11 | 648.96   | -310.77   | Sale            |
|                                                                                       | 5.000  | 93.94    | 05/04/11 | 181.34   | -87.39    | Sale            |
| 12/09/11                                                                              | 52.000 | 977.00   | VARIOUS  | 1,813.69 | -836.68   | Total of 3 lots |
| 12/12/11                                                                              | 15.000 | 259.22   | 05/04/11 | 544.00   | -284.78   | Sale            |
| Security total                                                                        |        | 2,325.98 |          | 4,426.20 | -2,100.21 |                 |

ARM HLDGS PLC SPONSORED ADR / CUSIP: 042068106 / Symbol: ARMH

|          |        |        |          |        |       |      |
|----------|--------|--------|----------|--------|-------|------|
| 10/11/11 | 18.000 | 497.84 | 03/17/11 | 460.26 | 37.58 | Sale |
|----------|--------|--------|----------|--------|-------|------|

BNP PARIBAS SPONSORED ADR / CUSIP: 05565A202 / Symbol: BNPQY

|                |        |          |          |          |           |      |
|----------------|--------|----------|----------|----------|-----------|------|
| 09/16/11       | 82.000 | 1,678.45 | 03/17/11 | 2,968.40 | -1,289.95 | Sale |
| 09/20/11       | 46.000 | 787.99   | 03/17/11 | 1,665.20 | -877.21   | Sale |
| 09/21/11       | 58.000 | 934.39   | 03/17/11 | 2,099.60 | -1,165.21 | Sale |
| Security total |        | 3,400.83 |          | 6,733.20 | -3,332.37 |      |

BAYER A G SPONSORED ADR / CUSIP: 072730302 / Symbol: BAYRY

|                                                                                      |        |          |          |          |           |                 |
|--------------------------------------------------------------------------------------|--------|----------|----------|----------|-----------|-----------------|
| 09/06/11                                                                             | 23.000 | 1,205.28 | 07/27/11 | 1,930.75 | -725.47   | Sale            |
| 2 tax lots for 09/15/11 Total proceeds (and cost when required) reported to the IRS. |        |          |          |          |           |                 |
|                                                                                      | 8.000  | 430.75   | 07/27/11 | 671.56   | -240.81   | Sale            |
|                                                                                      | 13.000 | 699.97   | 08/10/11 | 819.24   | -119.27   | Sale            |
| 09/15/11                                                                             | 21.000 | 1,130.72 | VARIOUS  | 1,490.80 | -360.08   | Total of 2 lots |
| Security total                                                                       |        | 2,336.00 |          | 3,421.55 | -1,085.55 |                 |

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# Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer &amp; Co. Inc.

Account G401416479

## Proceeds from Broker and Barter Exchange Transactions

2011 1099-B\*

OMB No. 1545-0715

## 8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

## 9 - Description / CUSIP/ Symbol

These columns are not reported to the IRS

| 1a - Date of Sale or exchange                                                        | Quantity | 2 - Proceeds of # stocks, bonds, etc. | 1b - Date of acquisition | 3 - Cost or other basis | Gain or loss | Additional Information |
|--------------------------------------------------------------------------------------|----------|---------------------------------------|--------------------------|-------------------------|--------------|------------------------|
| CANADIAN NATL RY CO / CUSIP: 136375102 / Symbol: CNI                                 | 19 000   | 1,297.47                              | 03/17/11                 | 1,369.71                | -72.24       | Sale                   |
| 08/10/11                                                                             |          |                                       |                          |                         |              |                        |
| CHINA MERCHANTS HLDGS INTL CO ADR / CUSIP: 1694EN103 / Symbol: CMHHY                 | 31.000   | 908.41                                | 03/17/11                 | 1,257.98                | -349.57      | Sale                   |
| 09/08/11                                                                             |          |                                       |                          |                         |              |                        |
| 09/09/11                                                                             | 27.000   | 766.90                                | 03/17/11                 | 1,095.66                | -328.76      | Sale                   |
| 09/16/11                                                                             | 20.000   | 534.85                                | 03/17/11                 | 811.60                  | -276.75      | Sale                   |
| 09/19/11                                                                             | 27.000   | 691.40                                | 03/17/11                 | 1,095.66                | -404.26      | Sale                   |
| Security total:                                                                      |          | 2,901.56                              |                          | 4,260.90                | -1,359.34    |                        |
| COCA COLA HELLENIC BTLG CO SA SPONSORED ADR / CUSIP: 1912EP104 / Symbol: CCH         | 81.000   | 1,952.21                              | 03/17/11                 | 2,210.49                | -258.28      | Sale                   |
| 05/18/11                                                                             |          |                                       |                          |                         |              |                        |
| DASSAULT SYS S A SPONSORED ADR / CUSIP: 237545108 / Symbol: DASTY                    | 4.000    | 333.50                                | 03/17/11                 | 286.36                  | 47.14        | Sale                   |
| 11/04/11                                                                             |          |                                       |                          |                         |              |                        |
| 11/08/11                                                                             | 4.000    | 333.54                                | 03/17/11                 | 286.36                  | 47.18        | Sale                   |
| 12/20/11                                                                             | 1.000    | 78.39                                 | 03/17/11                 | 71.59                   | 6.80         | Sale                   |
| 12/23/11                                                                             | 1.000    | 78.12                                 | 03/17/11                 | 71.59                   | 6.53         | Sale                   |
| 12/27/11                                                                             | 2.000    | 156.88                                | 03/17/11                 | 143.18                  | 13.70        | Sale                   |
| 12/28/11                                                                             | 3.000    | 236.13                                | 03/17/11                 | 214.77                  | 21.36        | Sale                   |
| Security total:                                                                      |          | 1,216.56                              |                          | 1,073.85                | 142.71       |                        |
| FANUC CORPORATION ADR / CUSIP: 307305102 / Symbol: FANUY                             | 28.000   | 795.42                                | 03/17/11                 | 680.12                  | 115.30       | Sale                   |
| 08/17/11                                                                             |          |                                       |                          |                         |              |                        |
| FRESENIUS MED CARE AG&CO KGAA SPONSORED ADR / CUSIP: 358029106 / Symbol: FMS         | 5.000    | 354.89                                | 03/17/11                 | 323.25                  | 31.64        | Sale                   |
| 05/16/11                                                                             |          |                                       |                          |                         |              |                        |
| 05/17/11                                                                             | 4.000    | 281.49                                | 03/17/11                 | 258.60                  | 22.89        | Sale                   |
| 07/27/11                                                                             | 11.000   | 869.87                                | 03/17/11                 | 711.15                  | 158.72       | Sale                   |
| Security total:                                                                      |          | 1,506.25                              |                          | 1,293.00                | 213.25       |                        |
| GAZPROM O A O SPON ADR / CUSIP: 368287207 / Symbol: OGZPY                            | 73.000   | 835.09                                | 03/17/11                 | 1,153.04                | -317.95      | Sale                   |
| 09/09/11                                                                             |          |                                       |                          |                         |              |                        |
| 2 tax lots for 09/12/11 Total proceeds (and cost when required) reported to the IRS. | 79.000   | 855.24                                | 03/17/11                 | 1,247.81                | -392.57      | Sale                   |

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Oppenheimer &amp; Co. Inc.

Account G401416479

# **Proceeds from Broker and Barter Exchange Transactions**

OMB No. 1545-0715

(continued)

## **8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

6 - Tax lots: COVERED

| 9 - Description / CUSIP/Symbol<br>1a - Date of Sale<br>or exchange                    |                                        |                             |                            | These columns are not reported to the IRS |                        |  |
|---------------------------------------------------------------------------------------|----------------------------------------|-----------------------------|----------------------------|-------------------------------------------|------------------------|--|
| Quantity                                                                              | 2 - Proceeds of<br>stocks, bonds, etc. | 1b - Date of<br>acquisition | 3 - Cost or<br>other basis | Gain or loss                              | Additional Information |  |
| GAZPROM O A O SPON ADR / CUSIP: 368287207 / Symbol: OGZPY (Cont'd)                    |                                        |                             |                            |                                           |                        |  |
| 152 000                                                                               | 1,645.52                               | 03/17/11                    | 2,400.84                   | -755.32                                   | Sale                   |  |
| 231.000                                                                               | 2,500.76                               | VARIOUS                     | 3,648.65                   | -1,147.89                                 | Total of 2 lots        |  |
| Security total.                                                                       | 3,335.85                               |                             | 4,801.69                   | -1,465.84                                 |                        |  |
| LVMH MOET HENNESSY LOU VUITTON ADR / CUSIP: 502441306 / Symbol: LVMUY                 |                                        |                             |                            |                                           |                        |  |
| 23.000                                                                                | 867.74                                 | 03/17/11                    | 665.39                     | 202.35                                    | Sale                   |  |
| LULULEMON ATHLETICA INC / CUSIP: 550021109 / Symbol LULU                              |                                        |                             |                            |                                           |                        |  |
| 04/20/11                                                                              | 5.000                                  | 03/17/11                    | 379.65                     | 120.44                                    | Sale                   |  |
| 05/02/11                                                                              | 6.000                                  | 03/17/11                    | 455.58                     | 123.81                                    | Sale                   |  |
| 05/03/11                                                                              | 7.000                                  | 03/17/11                    | 531.51                     | 122.74                                    | Sale                   |  |
| Security total:                                                                       | 1,733.73                               |                             | 1,366.74                   | 366.99                                    |                        |  |
| MAN GROUP PLC ADR / CUSIP: 56164U107 / Symbol: MNGPY                                  |                                        |                             |                            |                                           |                        |  |
| 08/11/11                                                                              | 324.000                                | 03/17/11                    | 1,289.52                   | -299.82                                   | Sale                   |  |
| 08/12/11                                                                              | 299.000                                | 03/17/11                    | 1,190.02                   | -249.42                                   | Sale                   |  |
| Security total.                                                                       | 1,930.30                               |                             | 2,479.54                   | -549.24                                   |                        |  |
| NESTLE S A SPONSORED ADR / CUSIP: 641069406 / Symbol: NSRGY                           |                                        |                             |                            |                                           |                        |  |
| 08/31/11                                                                              | 9.000                                  | 03/17/11                    | 496.35                     | 54.16                                     | Sale                   |  |
| NEW ORIENTAL ED & TECH GRP INC SPON ADR / CUSIP: 647581107 / Symbol: EDU              |                                        |                             |                            |                                           |                        |  |
| 07/20/11                                                                              | 5.000                                  | 03/17/11                    | 462.55                     | 173.70                                    | Sale                   |  |
| 09/13/11                                                                              | 16.000                                 | 03/17/11                    | 370.04                     | 145.37                                    | Sale                   |  |
| 09/15/11                                                                              | 10.000                                 | 03/17/11                    | 231.27                     | 101.76                                    | Sale                   |  |
| 10/17/11                                                                              | 9 000                                  | 03/17/11                    | 208.15                     | 62.94                                     | Sale                   |  |
| 11/18/11                                                                              | 28.000                                 | 03/17/11                    | 647.57                     | -8.74                                     | Sale                   |  |
| 2 tax lots for 11/21/11. Total proceeds (and cost when required) reported to the IRS. |                                        |                             |                            |                                           |                        |  |
| 7 000                                                                                 | 161.62                                 | 03/17/11                    | 161.89                     | -0.28                                     | Sale                   |  |
| 13.000                                                                                | 300.14                                 | 03/17/11                    | 300.66                     | -0.51                                     | Sale                   |  |
| 20.000                                                                                | 461.76                                 | VARIOUS                     | 462.55                     | -0.79                                     | Total of 2 lots        |  |
| 11/21/11                                                                              |                                        |                             |                            |                                           |                        |  |
| 24 000                                                                                | 558.85                                 | 03/17/11                    | 555.06                     | 3.79                                      | Sale                   |  |
| 11/23/11                                                                              | 11.000                                 | 03/17/11                    | 254.40                     | -0.16                                     | Sale                   |  |
| 11/28/11                                                                              | 10.000                                 | 03/17/11                    | 231.28                     | 7.04                                      | Sale                   |  |

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## Proceeds from Broker and Barter Exchange Transactions

2011 1099-B\*

OMB No. 1545-0715

## 8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I with Box A checked

6 - Tax lots: COVERED

| 9 - Description / CUSIP/Symbol                                                        |          |                                       |                          | These columns are not reported to the IRS |              |                        |
|---------------------------------------------------------------------------------------|----------|---------------------------------------|--------------------------|-------------------------------------------|--------------|------------------------|
| 1a - Date of Sale or exchange                                                         | Quantity | 2 - Proceeds of # stocks, bonds, etc. | 1b - Date of acquisition | 3 - Cost or other basis                   | Gain or loss | Additional Information |
| Security total                                                                        |          | 3,907.78                              |                          | 3,422.87                                  | 484.91       |                        |
| SABMiller PLC SPONSORED ADR / CUSIP: 78572M105 / Symbol: SBMR                         |          |                                       |                          |                                           |              |                        |
| 10/13/11                                                                              | 16.000   | 567.96                                | 03/17/11                 | 512.16                                    | 55.80        | Sale                   |
| 10/14/11                                                                              | 19.000   | 678.60                                | 03/17/11                 | 608.19                                    | 70.41        | Sale                   |
| Security total.                                                                       |          | 1,246.56                              |                          | 1,120.35                                  | 126.21       |                        |
| SAP AG SPON ADR / CUSIP: 803054204 / Symbol: SAP                                      |          |                                       |                          |                                           |              |                        |
| 04/18/11                                                                              | 10.000   | 632.87                                | 03/17/11                 | 558.70                                    | 74.17        | Sale                   |
| 11/21/11                                                                              | 9.000    | 520.93                                | 03/17/11                 | 502.83                                    | 18.10        | Sale                   |
| Security total.                                                                       |          | 1,153.80                              |                          | 1,061.53                                  | 92.27        |                        |
| SOUTHERN COPPER CORP / CUSIP: 84265V105 / Symbol: SCCO                                |          |                                       |                          |                                           |              |                        |
| 04/19/11                                                                              | 58.000   | 2,079.27                              | 03/17/11                 | 2,335.66                                  | -256.39      | Sale                   |
| TELEFONICA S A SPONSORED ADR / CUSIP: 879382208 / Symbol: TEF                         |          |                                       |                          |                                           |              |                        |
| 11/23/11                                                                              | 59.000   | 1,029.90                              | 03/17/11                 | 1,433.70                                  | -403.80      | Sale                   |
| TESCO PLC SPONSORED ADR / CUSIP: 881575302 / Symbol: TSCDY                            |          |                                       |                          |                                           |              |                        |
| 10/11/11                                                                              | 56.000   | 1,067.43                              | 03/17/11                 | 1,052.24                                  | 15.19        | Sale                   |
| TEVA PHARMACEUTICAL INDS LTD ADR / CUSIP: 881624209 / Symbol: TEVA                    |          |                                       |                          |                                           |              |                        |
| 07/26/11                                                                              | 13.000   | 609.47                                | 03/17/11                 | 623.35                                    | -13.88       | Sale                   |
| VESTAS WIND SYS AS UTD KINGDOM UNSP ADR / CUSIP: 925458101 / Symbol: VWDRY            |          |                                       |                          |                                           |              |                        |
| 05/11/11                                                                              | 113.000  | 1,134.04                              | 03/17/11                 | 1,407.98                                  | -273.94      | Sale                   |
| 05/12/11                                                                              | 54.000   | 531.73                                | 03/17/11                 | 672.84                                    | -141.11      | Sale                   |
| 05/13/11                                                                              | 53.000   | 528.07                                | 03/17/11                 | 660.38                                    | -132.31      | Sale                   |
| Security total.                                                                       |          | 2,193.84                              |                          | 2,741.20                                  | -547.36      |                        |
| WAL MART DE MEXICO S A B DE CV SPON ADR REP V / CUSIP: 93114W107 / Symbol: WMMVY      |          |                                       |                          |                                           |              |                        |
| 08/30/11                                                                              | 18.000   | 467.93                                | 03/17/11                 | 503.10                                    | -35.17       | Sale                   |
| YOKU INC SPONSORED ADR / CUSIP: 98742U100 / Symbol: YOKU                              |          |                                       |                          |                                           |              |                        |
| 2 tax lots for 06/15/11. Total proceeds (and cost when required) reported to the IRS. |          |                                       |                          |                                           |              |                        |
| 13.000                                                                                |          | 357.12                                | 05/13/11                 | 614.87                                    | -257.75      | Sale                   |

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Oppenheimer &amp; Co. Inc.

Account G401416479

# **Proceeds from Broker and Barter Exchange Transactions**

OMB No 1545-0715

(continued)

## **8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS**

Report on Form 8949, Part I with Box A checked 6 - Tax lots: COVERED

| 9 - Description / CUSIP/Symbol                                     |                 | 2 - Proceeds of <sup>#</sup> |        | 1b - Date of |             | 3 - Cost or |  | Gain or loss |  | Additional Information |  |
|--------------------------------------------------------------------|-----------------|------------------------------|--------|--------------|-------------|-------------|--|--------------|--|------------------------|--|
| 1a - Date of Sale or exchange                                      | Quantity        | stocks, bonds, etc.          | Symbol | acquisition  | other basis | other basis |  |              |  |                        |  |
| YOUKU INC SPONSORED ADR / CUSIP: 98742U100 / Symbol: YOKU (Cont'd) |                 |                              |        |              |             |             |  |              |  |                        |  |
|                                                                    | 16.000          | 439.54                       |        | 05/16/11     | 715.60      |             |  | -276.06      |  | Sale                   |  |
| 06/15/11                                                           | 29.000          | 796.66                       |        | VARIOUS      | 1,330.47    |             |  | -533.81      |  | Total of 2 lots        |  |
| LOGITECH INTL S A / CUSIP: H50430232 / Symbol: LOGI                |                 |                              |        |              |             |             |  |              |  |                        |  |
| 08/31/11                                                           | 18.000          | 211.52                       |        | 03/17/11     | 324.18      |             |  | -112.66      |  | Sale                   |  |
| 09/22/11                                                           | 73.000          | 565.04                       |        | 03/17/11     | 1,314.73    |             |  | -749.69      |  | Sale                   |  |
| 09/23/11                                                           | 55.000          | 424.30                       |        | 03/17/11     | 990.55      |             |  | -566.25      |  | Sale                   |  |
| 09/26/11                                                           | 51.000          | 396.10                       |        | 03/17/11     | 918.51      |             |  | -522.41      |  | Sale                   |  |
| 09/27/11                                                           | 39.000          | 312.04                       |        | 03/17/11     | 702.39      |             |  | -390.35      |  | Sale                   |  |
|                                                                    | Security total: | 1,909.00                     |        |              | 4,250.36    |             |  | -2,341.36    |  |                        |  |
|                                                                    | Totals:         | 46,841.10                    |        |              | 59,558.74   |             |  | -12,717.63   |  |                        |  |

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Account Number: C93-048897

2011 TAX and

## YEAR-END STATEMENT

Revised Statement as of 03/12/2012

Recipient's Identification  
Number 37-6055435

## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                  | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|-----------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Reported to the IRS (Covered) (continued)</b> |                        |                            |          |                |                     |                 |                       |                       |
| Description: BELLE INTERNATIONAL HLDGS LTD                                                   |                        |                            |          |                |                     |                 |                       |                       |
| 03/04/2011                                                                                   | 01/13/2011             | SELL                       | 8        | 723.02         | 726.00              |                 | (2.98)                | FI                    |
| Description: BOEING CO COM                                                                   |                        |                            |          |                |                     |                 |                       |                       |
| 03/04/2011                                                                                   | 01/12/2011             | SELL                       | 34       | 2,442.51       | 2,392.92            |                 | 49.59                 | FI                    |
| Description: BORGWARNER INC COM                                                              |                        |                            |          |                |                     |                 |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 23       | 1,784.76       | 1,600.57            |                 | 184.19                | FI                    |
| Description: BRISTOL MYERS SQUIBB CO COM                                                     |                        |                            |          |                |                     |                 |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 8        | 209.03         | 207.28              |                 | 1.75                  | FI                    |
| Description: CVS CAREMARK CORP                                                               |                        |                            |          |                |                     |                 |                       |                       |
| 03/04/2011                                                                                   | 02/03/2011             | SELL                       | 45       | 1,503.87       | 1,479.15            |                 | 24.72                 | FI                    |
| Description: CONOCOPHILLIPS COM                                                              |                        |                            |          |                |                     |                 |                       |                       |
| 03/04/2011                                                                                   | 01/28/2011             | SELL                       | 23       | 1,834.21       | 1,619.20            |                 | 215.01                | FI                    |
| Description: DISCOVERY COMMUNICATIONS INC                                                    |                        |                            |          |                |                     |                 |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 2        | 85.28          | 80.18               |                 | 5.10                  | FI                    |
| Description: DOW CHEM CO                                                                     |                        |                            |          |                |                     |                 |                       |                       |
| 03/04/2011                                                                                   | 02/04/2011             | SELL                       | 20       | 757.78         | 739.17              |                 | 18.61                 | FI                    |
| Description: EOG RES INC COM                                                                 |                        |                            |          |                |                     |                 |                       |                       |
| 03/04/2011                                                                                   | 02/24/2011             | SELL                       | 5        | 555.89         | 550.65              |                 | 5.24                  | FI                    |
|                                                                                              | 02/25/2011             | SELL                       | 8        | 889.41         | 894.93              |                 | (5.52)                | FI                    |
|                                                                                              |                        |                            | 13       | 1,445.30       | 1,445.58            |                 | (0.28)                |                       |

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**Schedule of Realized Gains and Losses**

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                  | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Reported to the IRS (Covered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| <b>Description: EBAY INC COM</b>                                                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 02/11/2011             | SELL                       | 13       | 424.57         | 445.49              |                              | (20.92)               | FI                    |
| <b>Description: FANUC CORPORATION ADR</b>                                                    |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 02/18/2011             | SELL                       | 15       | 389.84         | 392.25              |                              | (2.41)                | FI                    |
| <b>Description: FIFTH THIRD BANCORP COM</b>                                                  |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 54       | 757.61         | 779.19              |                              | (21.58)               | FI                    |
|                                                                                              | 01/21/2011             | SELL                       | 67       | 939.99         | 966.78              |                              | (26.79)               | FI                    |
|                                                                                              | 01/28/2011             | SELL                       | 54       | 757.60         | 798.10              |                              | (40.50)               | FI                    |
|                                                                                              |                        |                            | -----    | -----          | -----               |                              | -----                 |                       |
|                                                                                              |                        |                            | 175      | 2,455.20       | 2,544.07            |                              | (88.87)               |                       |
| <b>Description: FLOWERVE CORP COM</b>                                                        |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/28/2011             | SELL                       | 2        | 259.47         | 245.18              |                              | 14.29                 | FI                    |
|                                                                                              | 03/01/2011             | SELL                       | 5        | 648.69         | 613.02              |                              | 35.67                 | FI                    |
|                                                                                              |                        |                            | -----    | -----          | -----               |                              | -----                 |                       |
|                                                                                              |                        |                            | 7        | 908.16         | 858.20              |                              | 49.96                 |                       |
| <b>Description: GENERAL ELECTRIC CO COM</b>                                                  |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/27/2011             | SELL                       | 13       | 270.65         | 262.60              |                              | 8.05                  | FI                    |
|                                                                                              | 02/17/2011             | SELL                       | 63       | 1,311.63       | 1,350.02            |                              | (38.39)               | FI                    |
|                                                                                              |                        |                            | -----    | -----          | -----               |                              | -----                 |                       |
|                                                                                              |                        |                            | 76       | 1,582.28       | 1,612.62            |                              | (30.34)               |                       |
| <b>Description: GIVAUDAN SA ADR</b>                                                          |                        |                            |          |                |                     |                              |                       |                       |
| 02/09/2011                                                                                   | 01/21/2011             | SELL                       | 2        | 37.70          | 39.62               |                              | (1.92)                | FI                    |



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## Schedule of Realized Gains and Losses

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| Date of Sale<br>or Exchange | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Redeemed Gain or Loss | Disposition<br>Method |
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|

### Short-Term Transactions for Which Basis Was Reported to the IRS (Covered) (continued)

Description: GLOBAL PMTS INC COM

CUSIP: 37940X102

|            |            |      |   |        |        |  |       |    |
|------------|------------|------|---|--------|--------|--|-------|----|
| 03/04/2011 | 01/21/2011 | SELL | 9 | 436.67 | 425.99 |  | 10.68 | FI |
|------------|------------|------|---|--------|--------|--|-------|----|

Description: GOOGLE INC CL A

CUSIP: 38259P508

|            |            |      |   |        |        |                      |         |    |
|------------|------------|------|---|--------|--------|----------------------|---------|----|
| 03/04/2011 | 02/14/2011 | SELL | 1 | 607.69 | 628.43 | (20.74) <sup>3</sup> | 0.00    | FI |
| 02/24/2011 | SELL       | 1    |   | 607.68 | 627.02 |                      | (19.34) | FI |

Wash sale. 18 days added to holding period

Description: HASBRO INC COM

CUSIP: 418056107

|            |            |      |   |          |          |         |         |    |
|------------|------------|------|---|----------|----------|---------|---------|----|
| 03/04/2011 | 01/21/2011 | SELL | 2 | 1,215.37 | 1,255.45 | (20.74) | (19.34) |    |
| 03/04/2011 | 01/21/2011 | SELL | 3 | 138.45   | 134.70   |         | 3.75    | FI |

Description: HEWLETT PACKARD CO COM

CUSIP: 428236103

|            |            |      |    |          |          |  |          |    |
|------------|------------|------|----|----------|----------|--|----------|----|
| 03/04/2011 | 02/09/2011 | SELL | 34 | 1,472.17 | 1,657.09 |  | (184.92) | FI |
|------------|------------|------|----|----------|----------|--|----------|----|

Description: ILLUMINA INC COM

CUSIP: 452327109

|            |            |      |    |          |          |  |       |    |
|------------|------------|------|----|----------|----------|--|-------|----|
| 03/04/2011 | 01/12/2011 | SELL | 15 | 1,028.98 | 1,009.05 |  | 19.93 | FI |
|------------|------------|------|----|----------|----------|--|-------|----|

Description: INTERDIGITAL INC PA COM

CUSIP: 45867G101

|            |            |      |   |        |        |  |         |    |
|------------|------------|------|---|--------|--------|--|---------|----|
| 02/24/2011 | 02/17/2011 | SELL | 3 | 141.87 | 173.98 |  | (32.11) | FI |
|------------|------------|------|---|--------|--------|--|---------|----|

Description: ISRAEL CHEMICALS LTD ADR

CUSIP: 465036200

|            |            |      |    |        |          |  |          |    |
|------------|------------|------|----|--------|----------|--|----------|----|
| 03/04/2011 | 02/17/2011 | SELL | 21 | 987.19 | 1,217.88 |  | (230.69) | FI |
|------------|------------|------|----|--------|----------|--|----------|----|

Description: JP MORGAN CHASE &amp; CO COM

CUSIP: 46625H100

|            |            |      |    |          |          |  |         |    |
|------------|------------|------|----|----------|----------|--|---------|----|
| 02/24/2011 | 01/21/2011 | SELL | 28 | 439.59   | 476.00   |  | (36.41) | FI |
| 03/04/2011 | 01/21/2011 | SELL | 74 | 1,203.21 | 1,258.00 |  | (54.79) | FI |

Description: JP MORGAN CHASE &amp; CO COM

CUSIP: 46625H100

|            |            |      |    |          |          |  |       |    |
|------------|------------|------|----|----------|----------|--|-------|----|
| 03/04/2011 | 01/21/2011 | SELL | 24 | 1,102.77 | 1,078.52 |  | 24.25 | FI |
|------------|------------|------|----|----------|----------|--|-------|----|

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## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                  | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Reported to the IRS (Covered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| <b>Description:</b> KNIGHT CAP GROUP INC CL A COM                                            |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/28/2011             | SELL                       | 18       | 247.67         | 250.90              |                              | (3.23)                | FI                    |
| <b>Description:</b> KRAFT FOODS INC CL A                                                     |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/12/2011             | SELL                       | 76       | 2,419.03       | 2,405.21            |                              | 13.82                 | FI                    |
| <b>Description:</b> MF GLOBAL HLDGS LTD COM                                                  |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 52       | 455.51         | 435.60              |                              | 19.91                 | FI                    |
| <b>Description:</b> MGM RESORTS INTL COM                                                     |                        |                            |          |                |                     |                              |                       |                       |
| 02/24/2011                                                                                   | 01/21/2011             | SELL                       | 84       | 1,144.89       | 1,289.23            |                              | (144.34)              | FI                    |
| <b>Description:</b> MCDONALDS CORP                                                           |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 11       | 837.96         | 829.73              |                              | 8.23                  | FI                    |
| <b>Description:</b> MECHEL OAO SPONSORED ADR                                                 |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/13/2011             | SELL                       | 21       | 639.64         | 697.62              |                              | (57.98)               | FI                    |
| <b>Description:</b> MEDTRONIC INC                                                            |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 02/24/2011             | SELL                       | 30       | 1,189.47       | 1,179.19            |                              | 10.28                 | FI                    |
| <b>Description:</b> METLIFE INC COM                                                          |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/28/2011             | SELL                       | 14       | 636.99         | 639.66              |                              | (2.67)                | FI                    |
| <b>Description:</b> MOSAIC CO C/A EFF 05/25/2011 1 OLD                                       |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 17       | 1,469.62       | 1,257.15            |                              | 212.47                | FI                    |
| <b>Description:</b> NATIONAL OILWELL VARCO INC                                               |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 02/08/2011             | SELL                       | 23       | 1,880.20       | 1,792.00            |                              | 88.20                 | FI                    |



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**Schedule of Realized Gains and Losses**

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(Continued)

| Date of Sale<br>or Exchange                                                                  | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Reported to the IRS (Covered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| <b>Description: NVIDIA CORP COM</b>                                                          |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/07/2011             | SELL                       | 41       | 856 47         | 791 58              |                              | 64 89                 | FI                    |
| <b>Description: OCCIDENTAL PETE CORP COM</b>                                                 |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 4        | 413 55         | 388 57              |                              | 24 98                 | FI                    |
|                                                                                              | 02/02/2011             | SELL                       | 3        | 310 16         | 295 38              |                              | 14 78                 | FI                    |
|                                                                                              |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                              |                        |                            | 7        | 723 71         | 683 95              |                              | 39 76                 |                       |
| <b>Description: PEABODY ENERGY CORP COM</b>                                                  |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 2        | 138 06         | 118 78              |                              | 19 28                 | FI                    |
|                                                                                              | 02/02/2011             | SELL                       | 1        | 69 03          | 64 32               |                              | 4 71                  | FI                    |
|                                                                                              |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                              |                        |                            | 3        | 207 09         | 183 10              |                              | 23 99                 |                       |
| <b>Description: PERRIGO COMPANY</b>                                                          |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 1        | 77 34          | 71 81               |                              | 5 53                  | FI                    |
| <b>Description: PHILLIPS VAN HEUSEN CORP COM N/C EFF</b>                                     |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 2        | 122 68         | 116 40              |                              | 6 28                  | FI                    |
| <b>Description: PING AN INS GROUP CO CHINA LTD SPON ADR</b>                                  |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/13/2011             | SELL                       | 31       | 656 25         | 692 85              |                              | (36 60)               | FI                    |
| <b>Description: POTASH CORP OF SASKATCHEWAN INC</b>                                          |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/06/2011             | SELL                       | 18       | 1,115 26       | 987 24              |                              | 128 02                | FI                    |
| <b>Description: PROTECTIVE LIFE CORP</b>                                                     |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 46       | 1,312 81       | 1,303 64            |                              | 9 17                  | FI                    |

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## Schedule of Realized Gains and Losses

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(Continued)

| Date of Sale<br>or Exchange                                                                  | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Reported to the IRS (Covered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| <b>Description: ROBERT HALF INTL INC</b>                                                     |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 10       | 331 89         | 330 80              |                              | 1 09                  | FI                    |
| <b>Description: ROCKWELL AUTOMATION INC COM</b>                                              |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 02/09/2011             | SELL                       | 15       | 1,317 72       | 1,288 80            |                              | 28 92                 | FI                    |
| <b>Description: SLM CORP COM</b>                                                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 85       | 1,266 47       | 1,216 01            |                              | 50 46                 | FI                    |
|                                                                                              | 02/02/2011             | SELL                       | 17       | 253 30         | 254 12              |                              | (0 82)                | FI                    |
|                                                                                              |                        |                            | -----    | -----          | -----               |                              | -----                 |                       |
|                                                                                              |                        |                            | 102      | 1,519 77       | 1,470 13            |                              | 49 64                 |                       |
| <b>Description: SANDISK CORP</b>                                                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 02/15/2011             | SELL                       | 2        | 96 36          | 102 34              |                              | (5 98)                | FI                    |
| <b>Description: STARWOOD HOTELS &amp; RESORTS WORLDWIDE</b>                                  |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 26       | 1,561 53       | 1,598 53            |                              | (37 00)               | FI                    |
| <b>Description: SUNTRUST BKS INC COM</b>                                                     |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/28/2011             | SELL                       | 27       | 813 76         | 799 74              |                              | 14 02                 | FI                    |
| <b>Description: TECO ENERGY INC COM</b>                                                      |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/28/2011             | SELL                       | 66       | 1,203 81       | 1,205 69            |                              | (1 88)                | FI                    |
| <b>Description: TATA MTRS LTD SPON ADR</b>                                                   |                        |                            |          |                |                     |                              |                       |                       |
| 02/25/2011                                                                                   | 01/21/2011             | SELL                       | 13       | 314 59         | 344 48              |                              | (29 89)               | FI                    |
| <b>Description: TENARIS S A SPONSORED ADR</b>                                                |                        |                            |          |                |                     |                              |                       |                       |
| 02/08/2011                                                                                   | 01/21/2011             | SELL                       | 28       | 1,287 13       | 1,302 43            |                              | (15 30)               | FI                    |



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| Date of Sale<br>or Exchange                                                                  | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Reported to the IRS (Covered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| <b>Description:</b> TIME WARNER INC NEW COM NEW                                              |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/12/2011             | SELL                       | 14       | 530.45         | 473.62              |                              | 56.83                 | FI                    |
| <b>Description:</b> UNILEVER NV NEW YORK SHS NEW                                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 02/18/2011             | SELL                       | 13       | 395.71         | 389.87              |                              | 5.84                  | FI                    |
| <b>Description:</b> UNITED CONTL HLDGS INC COM                                               |                        |                            |          |                |                     |                              |                       |                       |
| 02/23/2011                                                                                   | 01/10/2011             | SELL                       | 12       | 274.84         | 313.44              |                              | (38.60)               | FI                    |
| 02/25/2011                                                                                   | 01/10/2011             | SELL                       | 50       | 1,215.97       | 1,305.99            |                              | (90.02)               | FI                    |
| <b>Description:</b> VERIFONE SYSTEMS INC COM                                                 |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 02/17/2011             | SELL                       | 28       | 1,353.21       | 1,367.30            |                              | (14.09)               | FI                    |
| <b>Description:</b> WADDELL & REED FINL INC CL A                                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 12       | 493.67         | 431.28              |                              | 62.39                 | FI                    |
| <b>Description:</b> WATERS CORP COM                                                          |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 02/09/2011             | SELL                       | 15       | 1,266.42       | 1,161.90            |                              | 104.52                | FI                    |
| <b>Description:</b> WEBMD HEALTH CORP COM                                                    |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 1        | 57.49          | 53.92               |                              | 3.57                  | FI                    |
| <b>Description:</b> WELLS FARGO & CO NEW COM                                                 |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 54       | 1,742.00       | 1,738.07            |                              | 3.93                  | FI                    |
|                                                                                              | 01/27/2011             | SELL                       | 9        | 290.33         | 291.51              |                              | (1.18)                | FI                    |
|                                                                                              |                        |                            | 63       | 2,032.33       | 2,029.58            |                              | 2.75                  |                       |

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(Continued)

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| Date of Sale<br>or Exchange                                                                  | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Reported to the IRS (Covered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: WYNN RESORTS LTD COM CUSIP: 983134107                                           |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 01/21/2011             | SELL                       | 1        | 130.52         | 116.45              |                              | 14.07                 | FI                    |
| <b>Total Short-Term Covered</b>                                                              |                        |                            |          | 65,348.49      | 64,550.28           | (20.74)                      | 818.95                |                       |

## Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered)

|                                                                         |            |      |    |          |          |                      |          |    |
|-------------------------------------------------------------------------|------------|------|----|----------|----------|----------------------|----------|----|
| Description: ASSURED GUARANTY LTD COM CUSIP: G0585R106                  |            |      |    |          |          |                      |          |    |
| 01/25/2011                                                              | 10/13/2010 | SELL | 9  | 136.71   | 178.36   | (37.02) <sup>3</sup> | (4.63)   | FI |
|                                                                         | 10/15/2010 | SELL | 26 | 394.93   | 573.69   |                      | (178.76) | FI |
|                                                                         | 10/18/2010 | SELL | 6  | 91.13    | 131.39   |                      | (40.26)  | FI |
|                                                                         |            |      |    |          |          |                      |          |    |
|                                                                         |            |      | 41 | 622.77   | 883.44   | (37.02)              | (223.65) |    |
| 01/28/2011                                                              | 10/18/2010 | SELL | 11 | 159.23   | 240.87   |                      | (81.64)  | FI |
| 01/31/2011                                                              | 10/18/2010 | SELL | 2  | 28.50    | 43.80    |                      | (15.30)  | FI |
|                                                                         | 10/21/2010 | SELL | 4  | 57.00    | 85.54    |                      | (28.54)  | FI |
|                                                                         | 10/25/2010 | SELL | 7  | 99.75    | 137.20   |                      | (37.45)  | FI |
|                                                                         |            |      |    |          |          |                      |          |    |
|                                                                         |            |      | 13 | 185.25   | 266.54   |                      | (81.29)  |    |
| Description: COVIDIEN PLC_SHS C/A EFF 3/18/11 1 OLD CUSIP: G2554F105    |            |      |    |          |          |                      |          |    |
| 03/04/2011                                                              | 04/30/2010 | SELL | 8  | 424.47   | 384.99   |                      | 39.48    | FI |
| Description: CHECK POINT SOFTWARE TECHNOLOGIES LTD SHS CUSIP: M22465104 |            |      |    |          |          |                      |          |    |
| 03/04/2011                                                              | 07/12/2010 | SELL | 22 | 1,105.26 | 680.14   |                      | 425.12   | FI |
|                                                                         | 09/30/2010 | SELL | 9  | 452.15   | 327.74   |                      | 124.41   | FI |
|                                                                         |            |      |    |          |          |                      |          |    |
|                                                                         |            |      | 31 | 1,557.41 | 1,007.88 |                      | 549.53   |    |





Account Number: C93-048897

Recipient's Identification  
Number 37-6055435

**2011 TAX and  
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**Schedule of Realized Gains and Losses**

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: AT&T INC COM CUSIP: 00206R102                                                          |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 11/09/2010             | SELL                       | 68       | 1,917.56       | 1,988.97            |                              | (71.41)               | FI                    |
| Description: ADOBE SYS INC COM CUSIP: 00724F101                                                     |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 11/11/2010             | SELL                       | 11       | 384.33         | 327.77              |                              | 56.56                 | FI                    |
| Description: ADVANCED MICRO DEVICES INC COM CUSIP: 007903107                                        |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 10/19/2010             | SELL                       | 162      | 1,514.67       | 1,143.72            |                              | 370.95                | FI                    |
| Description: AETNA INC NEW COM CUSIP: 00817Y108                                                     |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 03/24/2010             | SELL                       | 21       | 817.93         | 729.92              |                              | 88.01                 | FI                    |
| Description: AGNICO EAGLE MINES LTD COM CUSIP: 008474108                                            |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                          | 11/09/2010             | SELL                       | 3        | 206.27         | 248.62              |                              | (42.35)               | FI                    |
|                                                                                                     | 11/10/2010             | SELL                       | 2        | 137.51         | 161.59              |                              | (24.08)               | FI                    |
|                                                                                                     | 11/11/2010             | SELL                       | 1        | 68.76          | 80.67               |                              | (11.91)               | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 6        | 412.54         | 490.88              |                              | (78.34)               |                       |
| Description: ALBEMARLE CORP CUSIP: 012653101                                                        |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                          | 09/29/2010             | SELL                       | 4        | 230.03         | 184.80              |                              | 45.23                 | FI                    |
| 03/04/2011                                                                                          | 09/29/2010             | SELL                       | 21       | 1,227.00       | 970.19              |                              | 256.81                | FI                    |
|                                                                                                     | 10/07/2010             | SELL                       | 9        | 525.86         | 429.70              |                              | 96.16                 | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 30       | 1,752.86       | 1,399.89            |                              | 352.97                |                       |

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## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity  | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|-----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |           |                |                     |                              |                       |                       |
| Description: ALLEGHENY TECHNOLOGIES INC COM CUSIP: 01741R102                                        |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 05/04/2010             | SELL                       | 10        | 663.49         | 519.38              |                              | 144.11                | FI                    |
|                                                                                                     | 05/04/2010             | SELL                       | 8         | 530.79         | 415.51              |                              | 115.28                | FI                    |
|                                                                                                     | 05/07/2010             | SELL                       | 4         | 265.39         | 205.12              |                              | 60.27                 | FI                    |
|                                                                                                     | 07/13/2010             | SELL                       | 5         | 331.74         | 242.82              |                              | 88.92                 | FI                    |
|                                                                                                     | 07/21/2010             | SELL                       | 5         | 331.74         | 241.25              |                              | 90.49                 | FI                    |
|                                                                                                     | 07/22/2010             | SELL                       | 2         | 132.70         | 99.06               |                              | 33.64                 | FI                    |
|                                                                                                     | 08/12/2010             | SELL                       | 5         | 331.74         | 225.60              |                              | 106.14                | FI                    |
|                                                                                                     | 09/13/2010             | SELL                       | 5         | 331.74         | 237.79              |                              | 93.95                 | FI                    |
|                                                                                                     | 10/18/2010             | SELL                       | 9         | 597.14         | 426.12              |                              | 171.02                | FI                    |
|                                                                                                     |                        |                            |           | 3,516.47       | 2,612.65            |                              | 903.82                |                       |
| Description: ALLERGAN INC COM CUSIP: 018490102                                                      |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 11/01/2010             | SELL                       | 7         | 510.36         | 513.94              |                              | (3.58)                | FI                    |
| Description: ALLIANZ GLOBAL INVESTORS FIXED CUSIP: 01882B205                                        |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 01/25/2011             | SELL                       | 692.825   | 8,923.59       | 8,854.30            |                              | 69.29                 | FI                    |
|                                                                                                     | 02/18/2011             | SELL                       | 575.663   | 7,414.54       | 7,380.00            |                              | 34.54                 | FI                    |
|                                                                                                     |                        |                            |           | 16,338.13      | 16,234.30           |                              | 103.83                |                       |
| Description: ALLIANZ GLOBAL INVESTORS FIXED CUSIP: 01882B304                                        |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 02/18/2011             | SELL                       | 1,052.632 | 10,905.27      | 10,800.00           |                              | 105.27                | FI                    |

Seq. # (233 0000.5)

CPB-TAX

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 Accounts carried by P  
member FINRA NYSE



Account Number: C93-048897

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Recipient's Identification  
Number 37-6055435**Schedule of Realized Gains and Losses**

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|

**Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)**

Description: AMAZON COM INC

CUSIP: 023135106

|            |            |      |   |        |        |  |        |    |
|------------|------------|------|---|--------|--------|--|--------|----|
| 03/04/2011 | 09/09/2010 | SELL | 2 | 345.09 | 279.37 |  | 65.72  | FI |
|            | 09/14/2010 | SELL | 2 | 345.10 | 292.89 |  | 52.21  | FI |
|            |            |      |   |        |        |  |        |    |
|            |            |      | 4 | 690.19 | 572.26 |  | 117.93 |    |

Description: AMERICAN EXPRESS COMPANY

CUSIP: 025816109

|            |            |      |    |          |          |  |        |    |
|------------|------------|------|----|----------|----------|--|--------|----|
| 02/23/2011 | 10/19/2010 | SELL | 39 | 1,710.11 | 1,561.76 |  | 148.35 | FI |
|------------|------------|------|----|----------|----------|--|--------|----|

Description: AMERICAN TOWER CORP CL A C/A EFF 1/3/12

CUSIP: 029912201

|            |            |      |    |          |        |  |        |    |
|------------|------------|------|----|----------|--------|--|--------|----|
| 01/18/2011 | 04/14/2010 | SELL | 2  | 98.85    | 83.50  |  | 15.35  | FI |
| 02/18/2011 | 04/14/2010 | SELL | 9  | 492.56   | 375.74 |  | 116.82 | FI |
| 03/04/2011 | 04/14/2010 | SELL | 16 | 852.14   | 667.97 |  | 184.17 | FI |
|            | 06/04/2010 | SELL | 7  | 372.81   | 289.80 |  | 83.01  | FI |
|            |            |      |    |          |        |  |        |    |
|            |            |      | 23 | 1,224.95 | 957.77 |  | 267.18 |    |

Description: AMGEN INC COM

CUSIP: 031162100

|            |            |      |    |          |          |  |          |    |
|------------|------------|------|----|----------|----------|--|----------|----|
| 03/04/2011 | 06/23/2010 | SELL | 31 | 1,614.13 | 1,744.50 |  | (130.37) | FI |
|------------|------------|------|----|----------|----------|--|----------|----|

Description: APPLE INC COM

CUSIP: 037833100

|            |            |      |   |          |          |  |        |    |
|------------|------------|------|---|----------|----------|--|--------|----|
| 03/04/2011 | 04/23/2010 | SELL | 2 | 719.99   | 538.45   |  | 181.54 | FI |
|            | 07/21/2010 | SELL | 2 | 719.99   | 530.00   |  | 189.99 | FI |
|            |            |      |   |          |          |  |        |    |
|            |            |      | 4 | 1,439.98 | 1,068.45 |  | 371.53 |    |

Description: BNP PARIBAS SPONSORED ADR REPSTG

CUSIP: 05565A202

|            |            |      |   |        |        |  |        |    |
|------------|------------|------|---|--------|--------|--|--------|----|
| 03/04/2011 | 03/29/2010 | SELL | 4 | 150.20 | 157.92 |  | (7.72) | FI |
|------------|------------|------|---|--------|--------|--|--------|----|

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## Schedule of Realized Gains and Losses

(Continued)

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| Date of Sale<br>or Exchange | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|

### Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)

Description: BARCLAYS PLC ADR

CUSIP: 06738E204

|            |            |      |    |        |        |  |      |    |
|------------|------------|------|----|--------|--------|--|------|----|
| 03/04/2011 | 08/06/2010 | SELL | 15 | 309.45 | 307.80 |  | 1.65 | FI |
|------------|------------|------|----|--------|--------|--|------|----|

Description: BARRICK GOLD CORP COM

CUSIP: 067901108

|            |            |      |   |        |        |  |         |    |
|------------|------------|------|---|--------|--------|--|---------|----|
| 01/21/2011 | 11/10/2010 | SELL | 5 | 233.19 | 254.19 |  | (21.00) | FI |
|            | 11/11/2010 | SELL | 4 | 186.56 | 204.90 |  | (18.34) | FI |

|  |  |  |   |        |        |  |         |  |
|--|--|--|---|--------|--------|--|---------|--|
|  |  |  | 9 | 419.75 | 459.09 |  | (39.34) |  |
|--|--|--|---|--------|--------|--|---------|--|

Description: BOEING CO COM

CUSIP: 097023105

|            |            |      |    |          |          |  |        |    |
|------------|------------|------|----|----------|----------|--|--------|----|
| 03/04/2011 | 05/27/2010 | SELL | 10 | 718.39   | 647.74   |  | 70.65  | FI |
|            | 06/11/2010 | SELL | 12 | 862.06   | 765.17   |  | 96.89  | FI |
|            | 07/22/2010 | SELL | 5  | 359.19   | 332.65   |  | 26.54  | FI |
|            | 11/19/2010 | SELL | 7  | 502.87   | 449.54   |  | 53.33  | FI |
|            | 11/19/2010 | SELL | 20 | 1,436.77 | 1,284.40 |  | 152.37 | FI |

|  |  |  |    |          |          |  |        |  |
|--|--|--|----|----------|----------|--|--------|--|
|  |  |  | 54 | 3,879.28 | 3,479.50 |  | 399.78 |  |
|--|--|--|----|----------|----------|--|--------|--|

Description: BORGWARNER INC COM

CUSIP: 099724106

|            |            |      |    |        |        |  |        |    |
|------------|------------|------|----|--------|--------|--|--------|----|
| 03/04/2011 | 07/14/2010 | SELL | 1  | 77.60  | 42.42  |  | 35.18  | FI |
|            | 07/14/2010 | SELL | 4  | 310.39 | 169.67 |  | 140.72 | FI |
|            | 08/11/2010 | SELL | 10 | 775.98 | 454.88 |  | 321.10 | FI |
|            | 09/03/2010 | SELL | 8  | 620.79 | 389.18 |  | 231.61 | FI |
|            | 09/13/2010 | SELL | 3  | 232.80 | 143.69 |  | 89.11  | FI |

|  |  |  |    |          |          |  |        |  |
|--|--|--|----|----------|----------|--|--------|--|
|  |  |  | 26 | 2,017.56 | 1,199.84 |  | 817.72 |  |
|--|--|--|----|----------|----------|--|--------|--|



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(Continued)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: BRISTOL MYERS SQUIBB CO COM CUSIP: 110122108                                           |                        |                            |          |                |                     |                              |                       |                       |
| 03/01/2011                                                                                          | 03/11/2010             | SELL                       | 22       | 563.26         | 563.90              |                              | (0.64)                | FI                    |
| 03/04/2011                                                                                          | 03/11/2010             | SELL                       | 27       | 705.49         | 692.06              |                              | 13.43                 | FI                    |
|                                                                                                     | 06/10/2010             | SELL                       | 6        | 156.78         | 146.65              |                              | 10.13                 | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 33       | 862.27         | 838.71              |                              | 23.56                 |                       |
| Description: BROCADE COMMUNICATIONS SYS CUSIP: 111621306                                            |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 12/06/2010             | SELL                       | 182      | 1,157.49       | 968.17              |                              | 189.32                | FI                    |
| Description: CIT GROUP INC NEW COM NEW CUSIP: 125581801                                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 04/27/2010             | SELL                       | 31       | 1,360.87       | 1,277.90            |                              | 82.97                 | FI                    |
|                                                                                                     | 05/10/2010             | SELL                       | 12       | 526.79         | 449.18              |                              | 77.61                 | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 43       | 1,887.66       | 1,727.08            |                              | 160.58                |                       |
| Description: CME GROUP INC COM CUSIP: 12572Q105                                                     |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 12/01/2010             | SELL                       | 4        | 1,233.45       | 1,194.36            |                              | 39.09                 | FI                    |
| Description: CMS ENERGY CORP CUSIP: 125896100                                                       |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 06/24/2010             | SELL                       | 75       | 1,451.22       | 1,130.94            |                              | 320.28                | FI                    |
| Description: CAMERON INTL CORP COM CUSIP: 13342B105                                                 |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 08/16/2010             | SELL                       | 20       | 1,241.37       | 755.29              |                              | 486.08                | FI                    |
| Description: CANADIAN NATL RY CO COM CUSIP: 136375102                                               |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 03/16/2010             | SELL                       | 10       | 738.69         | 579.25              |                              | 159.44                | FI                    |
|                                                                                                     | 03/29/2010             | SELL                       | 5        | 369.34         | 302.95              |                              | 66.39                 | FI                    |

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**Schedule of Realized Gains and Losses**

(Continued)

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| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: CANADIAN NATL RY CO COM                                                                |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     | 04/15/2010             | SELL                       | 5        | 369 34         | 316 55              |                              | 52 79                 | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 20       | 1,477 37       | 1,198 75            |                              | 278 62                |                       |
| Description: CANADIAN NATURAL RES LTD                                                               |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     | 03/04/2011             | 10/21/2010 SELL            | 2        | 99 88          | 71 71               |                              | 28 17                 | FI                    |
| Description: CAREFUSION CORP COM                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     | 03/04/2011             | 11/02/2010 SELL            | 57       | 1,605 65       | 1,316 84            |                              | 288 81                | FI                    |
| Description: CATERPILLAR INC                                                                        |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     | 03/04/2011             | 07/27/2010 SELL            | 16       | 1,660 76       | 1,105 60            |                              | 555 16                | FI                    |
| Description: CELGENE CORP                                                                           |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     | 02/09/2011             | 05/06/2010 SELL            | 19       | 962 41         | 1,126 78            |                              | (164 37)              | FI                    |
|                                                                                                     |                        | 11/01/2010 SELL            | 5        | 253 26         | 308 01              |                              | (54 75)               | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 24       | 1,215 67       | 1,434 79            |                              | (219 12)              |                       |
| Description: CISCO SYSTEMS INC                                                                      |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     | 02/11/2011             | 03/22/2010 SELL            | 9        | 169 29         | 234 83              |                              | (65 54)               | FI                    |
|                                                                                                     |                        | 05/13/2010 SELL            | 12       | 225 73         | 306 84              |                              | (81 11)               | FI                    |
|                                                                                                     |                        | 08/10/2010 SELL            | 35       | 658 37         | 851 99              |                              | (193 62)              | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 56       | 1,053 39       | 1,393 66            |                              | (340 27)              |                       |

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(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|

**Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)**

Description: CITRIX SYSTEMS INC

CUSIP: 177376100

|            |            |      |    |          |        |  |        |    |
|------------|------------|------|----|----------|--------|--|--------|----|
| 03/04/2011 | 04/22/2010 | SELL | 7  | 493.00   | 336.89 |  | 156.11 | FI |
|            | 07/27/2010 | SELL | 8  | 563.43   | 386.00 |  | 177.43 | FI |
|            | 10/25/2010 | SELL | 3  | 211.28   | 181.54 |  | 29.74  | FI |
|            |            |      | 18 | 1,267.71 | 904.43 |  | 363.28 |    |

Description: CLEAN HBRS INC COM

CUSIP: 184496107

|            |            |      |    |          |          |  |        |    |
|------------|------------|------|----|----------|----------|--|--------|----|
| 01/21/2011 | 05/03/2010 | SELL | 2  | 167.93   | 130.51   |  | 37.42  | FI |
| 03/04/2011 | 05/03/2010 | SELL | 10 | 927.28   | 652.55   |  | 274.73 | FI |
|            | 05/10/2010 | SELL | 4  | 370.91   | 248.63   |  | 122.28 | FI |
|            | 05/24/2010 | SELL | 2  | 185.46   | 124.73   |  | 60.73  | FI |
|            | 06/09/2010 | SELL | 2  | 185.45   | 131.69   |  | 53.76  | FI |
|            |            |      | 18 | 1,669.10 | 1,157.60 |  | 511.50 |    |

Description: COCA COLA COMPANY

CUSIP: 191216100

|            |            |      |    |        |        |  |        |    |
|------------|------------|------|----|--------|--------|--|--------|----|
| 03/04/2011 | 03/05/2010 | SELL | 14 | 915.45 | 764.25 |  | 151.20 | FI |
|------------|------------|------|----|--------|--------|--|--------|----|

Description: COLGATE PALMOLIVE CO COM

CUSIP: 194162103

|            |            |      |   |        |        |  |         |    |
|------------|------------|------|---|--------|--------|--|---------|----|
| 01/06/2011 | 01/25/2010 | SELL | 4 | 313.83 | 323.99 |  | (10.16) | FI |
|------------|------------|------|---|--------|--------|--|---------|----|

Description: COMPASS MINERALS INTL INC COM

CUSIP: 20451N101

|            |            |      |   |        |        |  |       |    |
|------------|------------|------|---|--------|--------|--|-------|----|
| 03/04/2011 | 03/15/2010 | SELL | 1 | 91.13  | 80.64  |  | 10.49 | FI |
|            | 03/17/2010 | SELL | 1 | 91.13  | 82.01  |  | 9.12  | FI |
|            | 10/11/2010 | SELL | 5 | 455.64 | 398.55 |  | 57.09 | FI |

Account Number: C93-048897

# 2011 TAX and YEAR-END STATEMENT Revised Statement as of 03/12/2012

 Recipient's Identification  
Number 37-6055435

## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|

### Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)

Description: COMPASS MINERALS INTL INC COM

CUSIP: 20451N101 (continued)

|  |  |  |   |        |        |  |       |  |
|--|--|--|---|--------|--------|--|-------|--|
|  |  |  | 7 | 637 90 | 561 20 |  | 76 70 |  |
|--|--|--|---|--------|--------|--|-------|--|

Description: CORNING INC COM

CUSIP: 219350105

|            |            |      |    |        |        |  |        |    |
|------------|------------|------|----|--------|--------|--|--------|----|
| 03/04/2011 | 06/01/2010 | SELL | 28 | 640 35 | 473 76 |  | 166 59 | FI |
|            | 06/11/2010 | SELL | 30 | 686 08 | 540 98 |  | 145 10 | FI |

Description: CROWN HLDGS INC COM

CUSIP: 228368106

|            |            |      |    |        |        |  |        |    |
|------------|------------|------|----|--------|--------|--|--------|----|
| 01/21/2011 | 06/28/2010 | SELL | 7  | 231 13 | 176 26 |  | 54 87  | FI |
| 03/04/2011 | 06/28/2010 | SELL | 8  | 312 15 | 201 44 |  | 110 71 | FI |
|            | 06/29/2010 | SELL | 18 | 702 34 | 447 33 |  | 255 01 | FI |
|            | 07/13/2010 | SELL | 5  | 195 10 | 129 84 |  | 65 26  | FI |

Description: CUMMINS INC

CUSIP: 231021106

|            |            |      |   |        |        |  |        |    |
|------------|------------|------|---|--------|--------|--|--------|----|
| 03/04/2011 | 04/29/2010 | SELL | 4 | 416 39 | 304 44 |  | 111 95 | FI |
|------------|------------|------|---|--------|--------|--|--------|----|

Description: DENBURY RES INC COM NEW

CUSIP: 247916208

|            |            |      |    |        |        |  |        |    |
|------------|------------|------|----|--------|--------|--|--------|----|
| 03/04/2011 | 03/10/2010 | SELL | 20 | 486 19 | 316 94 |  | 169 25 | FI |
|------------|------------|------|----|--------|--------|--|--------|----|

Description: DISNEY WALT CO DISNEY COM

CUSIP: 254687106

|            |            |      |    |          |          |  |        |    |
|------------|------------|------|----|----------|----------|--|--------|----|
| 01/21/2011 | 03/05/2010 | SELL | 35 | 1,381 07 | 1,158 08 |  | 222 99 | FI |
|------------|------------|------|----|----------|----------|--|--------|----|

Description: DREAMWORKS ANIMATION SKG INC CL A

CUSIP: 26153C103

|            |            |      |   |        |        |  |         |    |
|------------|------------|------|---|--------|--------|--|---------|----|
| 01/21/2011 | 04/21/2010 | SELL | 4 | 113 47 | 171 36 |  | (57 89) | FI |
|------------|------------|------|---|--------|--------|--|---------|----|





Account Number: C93-048897

Recipient's Identification  
Number 37-6055435

**2011 TAX and  
YEAR-END STATEMENT**  
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# Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: DREAMWORKS ANIMATION SKG INC CL A CUSIP: 26153C103 (continued)                         |                        |                            |          |                |                     |                              |                       |                       |
| 02/04/2011                                                                                          | 04/21/2010             | SELL                       | 7        | 197.89         | 299.88              |                              | (101.99)              | FI                    |
|                                                                                                     | 09/22/2010             | SELL                       | 5        | 141.35         | 174.77              |                              | (33.42)               | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 12       | 339.24         | 474.65              |                              | (135.41)              |                       |
| Description: DU PONT E I DE NEMOURS & CO COM CUSIP: 263534109                                       |                        |                            |          |                |                     |                              |                       |                       |
| 01/12/2011                                                                                          | 02/26/2010             | SELL                       | 13       | 642.84         | 432.22              |                              | 210.62                | FI                    |
| 03/04/2011                                                                                          | 09/20/2010             | SELL                       | 24       | 1,307.97       | 1,072.40            |                              | 235.57                | FI                    |
| Description: EOG RES INC COM CUSIP: 26875P101                                                       |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 10/14/2010             | SELL                       | 8        | 889.43         | 791.65              |                              | 97.78                 | FI                    |
|                                                                                                     | 10/14/2010             | SELL                       | 4        | 444.71         | 395.83              |                              | 48.88                 | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 12       | 1,334.14       | 1,187.48            |                              | 146.66                |                       |
| Description: ETF5 PLATINUM TR SHS BEN INT CUSIP: 26922V101                                          |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 09/23/2010             | SELL                       | 4        | 727.98         | 655.32              |                              | 72.66                 | FI                    |
|                                                                                                     | 11/04/2010             | SELL                       | 2        | 363.99         | 348.44              |                              | 15.55                 | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 6        | 1,091.97       | 1,003.76            |                              | 88.21                 |                       |
| Description: ETF5 PALLADIUM TR SHS BEN INT CUSIP: 26923A106                                         |                        |                            |          |                |                     |                              |                       |                       |
| 02/25/2011                                                                                          | 09/27/2010             | SELL                       | 3        | 233.77         | 167.07              |                              | 66.70                 | FI                    |
|                                                                                                     | 09/30/2010             | SELL                       | 2        | 155.85         | 112.68              |                              | 43.17                 | FI                    |
|                                                                                                     | 10/07/2010             | SELL                       | 4        | 311.69         | 235.90              |                              | 75.79                 | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 9        | 701.31         | 515.65              |                              | 185.66                |                       |

Account Number: C93-048897

**2011 TAX and  
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# Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: ETFs PALLADIUM TR SHS BEN INT CUSIP: 26923A106 (continued)                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 10/07/2010             | SELL                       | 6        | 485 81         | 353 85              |                              | 131 96                | FI                    |
|                                                                                                     | 11/04/2010             | SELL                       | 5        | 404 84         | 335 31              |                              | 69 53                 | FI                    |
|                                                                                                     |                        |                            | -----    | -----          | -----               |                              | -----                 |                       |
|                                                                                                     |                        |                            | 11       | 890 55         | 689 16              |                              | 201 49                |                       |
| Description: EBAY INC COM CUSIP: 278642103                                                          |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                          | 03/19/2010             | SELL                       | 14       | 430 66         | 383 10              |                              | 47 56                 | FI                    |
| 03/04/2011                                                                                          | 03/19/2010             | SELL                       | 13       | 424 57         | 355 73              |                              | 68 84                 | FI                    |
|                                                                                                     | 04/14/2010             | SELL                       | 18       | 587 87         | 481 35              |                              | 106 52                | FI                    |
|                                                                                                     |                        |                            | -----    | -----          | -----               |                              | -----                 |                       |
|                                                                                                     |                        |                            | 31       | 1,012 44       | 837 08              |                              | 175 36                |                       |
| Description: EL PASO CORP COM CUSIP: 28336L109                                                      |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 07/12/2010             | SELL                       | 150      | 2,753 95       | 1,823 42            |                              | 930 53                | FI                    |
|                                                                                                     | 11/09/2010             | SELL                       | 44       | 807 82         | 612 48              |                              | 195 34                | FI                    |
|                                                                                                     |                        |                            | -----    | -----          | -----               |                              | -----                 |                       |
|                                                                                                     |                        |                            | 194      | 3,561 77       | 2,435 90            |                              | 1,125 87              |                       |
| Description: ENERGIZER HLDGS INC COM CUSIP: 29266R108                                               |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 05/21/2010             | SELL                       | 7        | 467 17         | 394 16              |                              | 73 01                 | FI                    |
| Description: ESPRIT HLDGS LTD SPONSORED ADR CUSIP: 29666V204                                        |                        |                            |          |                |                     |                              |                       |                       |
| 01/13/2011                                                                                          | 03/29/2010             | SELL                       | 12       | 114 72         | 191 53              |                              | (76 81)               | FI                    |
| Description: EXPEDITORS INTL WASH INC CUSIP: 302130109                                              |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                          | 08/05/2010             | SELL                       | 5        | 271 40         | 219 97              |                              | 51 43                 | FI                    |
| 01/31/2011                                                                                          | 08/05/2010             | SELL                       | 2        | 101 17         | 87 99               |                              | 13 18                 | FI                    |

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Accounts carried by P  
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Account Number: C93-048897

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Number 37-6055435**Schedule of Realized Gains and Losses**

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: EXPEDITORS INTL WASH INC CUSIP: 302130109 (continued)                                  |                        |                            |          |                |                     |                              |                       |                       |
| 03/01/2011                                                                                          | 08/05/2010             | SELL                       | 6        | 288.39         | 263.96              |                              | 24.43                 | FI                    |
| 03/04/2011                                                                                          | 08/05/2010             | SELL                       | 16       | 784.94         | 703.91              |                              | 81.03                 | FI                    |
| Description: EXPRESS SCRIPTS INC COM CUSIP: 302182100                                               |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 06/14/2010             | SELL                       | 17       | 968.81         | 906.95              |                              | 61.86                 | FI                    |
| Description: FMC TECHNOLOGIES INC COM CUSIP: 30249U101                                              |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 10/11/2010             | SELL                       | 8        | 774.14         | 567.86              |                              | 206.28                | FI                    |
| Description: FANUC CORPORATION ADR CUSIP: 307305102                                                 |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 09/24/2010             | SELL                       | 21       | 545.78         | 439.11              |                              | 106.67                | FI                    |
|                                                                                                     | 10/05/2010             | SELL                       | 18       | 467.81         | 399.00              |                              | 68.81                 | FI                    |
|                                                                                                     |                        |                            | 39       | 1,013.59       | 838.11              |                              | 175.48                |                       |
| Description: FEDERAL HOME LN MTG CORP PARTN CTFS CUSIP: 312945ZL5                                   |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 02/11/2011             | RPP                        | 9,000    | 13.68          | 13.77               |                              | (0.09)                |                       |
| 03/08/2011                                                                                          | 02/11/2011             | SELL                       | 9,000    | 9,129.54       | 9,045.64            |                              | 83.90                 | FI                    |
| Description: FNMA GTD MTG PASS THRU CTFS CUSIP: 3138A7FZ6                                           |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 02/09/2011             | RPP                        | 20,000   | 40.49          | 40.81               |                              | (0.32)                |                       |
| 03/08/2011                                                                                          | 02/09/2011             | SELL                       | 20,000   | 20,299.44      | 20,115.44           |                              | 184.00                | FI                    |
| Description: FEDERAL NATL MTG ASSN NOTES CUSIP: 31398A5W8                                           |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 01/11/2011             | SELL                       | 7,000    | 6,901.44       | 6,923.20            |                              | (21.76)               | SL                    |
| Description: F5 NETWORKS INC COM CUSIP: 315616102                                                   |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 08/17/2010             | SELL                       | 6        | 687.64         | 513.65              |                              | 173.99                | FI                    |



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Recipient's Identification  
Number 37-6035435

# Schedule of Realized Gains and Losses

(Continued)

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: FOREST OIL CORP COM CUSIP: 346091705                                                   |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 08/19/2010             | SELL                       | 36       | 1,234.77       | 1,000.26            |                              | 234.51                | FI                    |
| Description: FREEPORT-MCMORAN COPPER & GOLD INC CL CUSIP: 35671D857                                 |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 10/01/2010             | SELL                       | 18       | 949.66         | 795.51              |                              | 154.15                | FI                    |
|                                                                                                     | 10/26/2010             | SELL                       | 10       | 527.59         | 474.84              |                              | 52.75                 | FI                    |
|                                                                                                     | 11/01/2010             | SELL                       | 20       | 1,055.18       | 954.65              |                              | 100.53                | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 48       | 2,532.43       | 2,225.00            |                              | 307.43                |                       |
| Description: GAFISA S A SPONS ADR REPSTG 2 COM SHS CUSIP: 362607301                                 |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 04/06/2010             | SELL                       | 22       | 275.43         | 322.37              |                              | (46.94)               | FI                    |
| Description: GAZPROM O A O SPON ADR REG S CUSIP: 368287207                                          |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 04/06/2010             | SELL                       | 7        | 211.60         | 170.10              |                              | 41.50                 | FI                    |
| Description: GREENHILL & CO INC COM CUSIP: 395259104                                                |                        |                            |          |                |                     |                              |                       |                       |
| 01/31/2011                                                                                          | 04/16/2010             | SELL                       | 6        | 423.60         | 521.03              |                              | (97.43)               | FI                    |
| Description: GRUPO FINANCIERO GALICIA S A SPONS CUSIP: 399909100                                    |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                          | 11/08/2010             | SELL                       | 1        | 16.28          | 15.99               |                              | 0.29                  | FI                    |
| 02/09/2011                                                                                          | 11/08/2010             | SELL                       | 26       | 403.81         | 415.63              |                              | (11.82)               | FI                    |
| Description: GRUPO FINANCIERO BANORTE SAB DE CV CUSIP: 40052P107                                    |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 09/29/2010             | SELL                       | 41       | 926.57         | 784.40              |                              | 142.17                | FI                    |
|                                                                                                     | 10/14/2010             | SELL                       | 8        | 180.80         | 159.68              |                              | 21.12                 | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 49       | 1,107.37       | 944.08              |                              | 163.29                |                       |

Account Number: C93-048897

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**Schedule of Realized Gains and Losses**

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: HAIN CELESTIAL GROUP INC COM CUSIP: 405217100                                          |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                          | 06/03/2010             | SELL                       | 17       | 452.79         | 386.60              |                              | 66.19                 | FI                    |
| Description: HASBRO INC COM CUSIP: 418056107                                                        |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 07/21/2010             | SELL                       | 4        | 184.60         | 160.71              |                              | 23.89                 | FI                    |
| Description: HEALTH CARE REIT INC COM CUSIP: 42217K106                                              |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 12/06/2010             | SELL                       | 34       | 1,747.22       | 1,538.29            |                              | 208.93                | SL                    |
| Description: HEINZ H J COMPANY CUSIP: 423074103                                                     |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 06/29/2010             | SELL                       | 10       | 497.99         | 437.10              |                              | 60.89                 | FI                    |
| Description: ICAP PLC SPONSORED ADR REPSTG CUSIP: 450936109                                         |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 03/16/2010             | SELL                       | 29       | 499.66         | 342.20              |                              | 157.46                | FI                    |
|                                                                                                     | 03/29/2010             | SELL                       | 11       | 189.52         | 125.29              |                              | 64.23                 | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 40       | 689.18         | 467.49              |                              | 221.69                |                       |
| Description: ICICI BK LTD ADR ISIN#US45104G1040 CUSIP: 45104G104                                    |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                          | 10/11/2010             | SELL                       | 14       | 648.45         | 726.32              |                              | (77.87)               | FI                    |
| 01/31/2011                                                                                          | 10/11/2010             | SELL                       | 10       | 434.13         | 518.80              |                              | (84.67)               | FI                    |
|                                                                                                     | 10/13/2010             | SELL                       | 6        | 260.47         | 317.92              |                              | (57.45)               | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 16       | 694.60         | 836.72              |                              | (142.12)              |                       |
| Description: INDL & COMM BK CHINA ADR CUSIP: 455807107                                              |                        |                            |          |                |                     |                              |                       |                       |
| 01/04/2011                                                                                          | 11/11/2010             | CLEU                       | 500      | 0.00           | 8.90                |                              | (8.90)                | SL                    |
| 03/04/2011                                                                                          | 11/11/2010             | SELL                       | 37       | 582.73         | 658.40              |                              | (75.67)               | FI                    |

Account Number: C93-048897

# 2011 TAX and YEAR-END STATEMENT Revised Statement as of 03/12/2012

 Recipient's Identification  
Number 37-6055435

## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: ISHARES TR FTSE CHINA 25 INDEX FD CUSIP: 464287184                                     |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 03/16/2010             | SELL                       | 19       | 825 15         | 777 10              |                              | 48 05                 | FI                    |
|                                                                                                     | 04/15/2010             | SELL                       | 10       | 434 29         | 439 40              |                              | (5 11)                | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 29       | 1,259 44       | 1,216 50            |                              | 42 94                 |                       |
| <b>Description: ITC HLDGS CORP COM CUSIP: 465685105</b>                                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 09/09/2010             | SELL                       | 5        | 343 39         | 300 19              |                              | 43 20                 | FI                    |
|                                                                                                     | 09/14/2010             | SELL                       | 8        | 549 43         | 490 44              |                              | 58 99                 | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 13       | 892 82         | 790 63              |                              | 102 19                |                       |
| <b>Description: JP MORGAN CHASE &amp; CO COM CUSIP: 46625H100</b>                                   |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 04/15/2010             | SELL                       | 2        | 91 90          | 95 70               |                              | (3 80)                | FI                    |
|                                                                                                     | 04/15/2010             | SELL                       | 1        | 45 95          | 47 85               |                              | (1 90)                | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 3        | 137 85         | 143 55              |                              | (5 70)                |                       |
| <b>Description: JOHNSON CTLS INC COM CUSIP: 478366107</b>                                           |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                          | 01/25/2010             | SELL                       | 6        | 235 73         | 182 99              |                              | 52 74                 | FI                    |
| 03/04/2011                                                                                          | 04/23/2010             | SELL                       | 5        | 202 65         | 174 38              |                              | 28 27                 | FI                    |
|                                                                                                     | 07/23/2010             | SELL                       | 3        | 121 59         | 87 64               |                              | 33 95                 | FI                    |
|                                                                                                     | 07/26/2010             | SELL                       | 13       | 526 88         | 376 87              |                              | 150 01                | FI                    |
|                                                                                                     | 09/13/2010             | SELL                       | 11       | 445 81         | 321 85              |                              | 123 96                | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 32       | 1,296 93       | 960 74              |                              | 336 19                |                       |



Account Number: C93-048897

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**2011 TAX and  
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**Schedule of Realized Gains and Losses**

(Continued)

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Loss Disallowed | Redeemed Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|-----------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                 |                       |                       |
| <b>Description:</b> JUNIPER NETWORKS INC COM                                                        |                        |                            |          |                |                     |                 |                       |                       |
| 03/04/2011                                                                                          | 11/11/2010             | SELL                       | 22       | 975.46         | 755.61              |                 | 219.85                | FI                    |
| <b>Description:</b> KINGFISHER PLC SPONSORED ADR                                                    |                        |                            |          |                |                     |                 |                       |                       |
| 03/04/2011                                                                                          | 03/29/2010             | SELL                       | 86       | 686.27         | 572.40              |                 | 113.87                | FI                    |
| <b>Description:</b> KNIGHT CAP GROUP INC CL A COM                                                   |                        |                            |          |                |                     |                 |                       |                       |
| 03/04/2011                                                                                          | 05/21/2010             | SELL                       | 48       | 660.47         | 703.18              |                 | (42.71)               | FI                    |
| <b>Description:</b> KRAFT FOODS INC CL A                                                            |                        |                            |          |                |                     |                 |                       |                       |
| 01/21/2011                                                                                          | 05/10/2010             | SELL                       | 40       | 1,244.51       | 1,221.07            |                 | 23.44                 | FI                    |
|                                                                                                     | 06/02/2010             | SELL                       | 13       | 404.47         | 377.68              |                 | 26.79                 | FI                    |
|                                                                                                     | 06/09/2010             | SELL                       | 8        | 248.90         | 229.72              |                 | 19.18                 | FI                    |
|                                                                                                     | 06/23/2010             | SELL                       | 58       | 1,804.55       | 1,712.00            |                 | 92.55                 | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                 |                       |                       |
|                                                                                                     |                        |                            | 119      | 3,702.43       | 3,540.47            |                 | 161.96                |                       |
| <b>Description:</b> LABORATORY CORP AMER HLDGS COM NEW                                              |                        |                            |          |                |                     |                 |                       |                       |
| 01/18/2011                                                                                          | 05/19/2010             | SELL                       | 11       | 991.52         | 850.33              |                 | 141.19                | FI                    |
| <b>Description:</b> LAS VEGAS SANDS CORP COM                                                        |                        |                            |          |                |                     |                 |                       |                       |
| 01/21/2011                                                                                          | 10/29/2010             | SELL                       | 6        | 273.41         | 274.13              |                 | (0.72)                | FI                    |
| 01/28/2011                                                                                          | 10/29/2010             | SELL                       | 5        | 227.21         | 228.45              |                 | (1.24)                | FI                    |
|                                                                                                     | 11/01/2010             | SELL                       | 4        | 181.77         | 188.40              |                 | (6.63)                | FI                    |
|                                                                                                     | 11/02/2010             | SELL                       | 5        | 227.21         | 239.21              |                 | (12.00)               | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                 |                       |                       |
|                                                                                                     |                        |                            | 14       | 636.19         | 656.06              |                 | (19.87)               |                       |

Account Number: C93-048897

# 2011 TAX and YEAR-END STATEMENT Revised Statement as of 03/12/2012

 Recipient's Identification  
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## Schedule of Realized Gains and Losses

(Continued)

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds   | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|------------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                  |                     |                              |                       |                       |
| <b>Description:</b> LLOYDS BANKING GROUP PLC SPONS ADR                                              |                        |                            |          | CUSIP: 539439109 |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 08/05/2010             | SELL                       | 194      | 785 68           | 940 90              |                              | (155 22)              | FI                    |
| <b>Description:</b> MBIA INC                                                                        |                        |                            |          | CUSIP: 55262C100 |                     |                              |                       |                       |
| 01/21/2011                                                                                          | 10/20/2010             | SELL                       | 18       | 224 51           | 233 06              |                              | (8 55)                | FI                    |
| 01/28/2011                                                                                          | 10/20/2010             | SELL                       | 2        | 22 43            | 25 89               |                              | (3 46)                | FI                    |
| 03/03/2011                                                                                          | 10/20/2010             | SELL                       | 12       | 129 83           | 155 37              |                              | (25 54)               | FI                    |
| <b>Description:</b> MCDONALDS CORP                                                                  |                        |                            |          | CUSIP: 580135101 |                     |                              |                       |                       |
| 01/06/2011                                                                                          | 06/08/2010             | SELL                       | 5        | 373 94           | 339 99              |                              | 33 95                 | FI                    |
|                                                                                                     | 08/20/2010             | SELL                       | 4        | 299 15           | 292 17              |                              | 6 98                  | FI                    |
|                                                                                                     |                        |                            |          |                  |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 9        | 673 09           | 632 16              |                              | 40 93                 |                       |
| 01/11/2011                                                                                          | 08/20/2010             | SELL                       | 3        | 222 35           | 219 13              |                              | 3 22                  | FI                    |
| 01/12/2011                                                                                          | 08/20/2010             | SELL                       | 13       | 959 12           | 949 57              |                              | 9 55                  | FI                    |
| <b>Description:</b> MERCK & CO INC NEW COM                                                          |                        |                            |          | CUSIP: 58933Y105 |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 08/26/2010             | SELL                       | 30       | 994 48           | 1,035 60            |                              | (41 12)               | FI                    |
| <b>Description:</b> METLIFE INC COM                                                                 |                        |                            |          | CUSIP: 59156R108 |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 04/21/2010             | SELL                       | 16       | 727 98           | 738 01              |                              | (10 03)               | FI                    |
| <b>Description:</b> MICROSOFT CORP COM                                                              |                        |                            |          | CUSIP: 594918104 |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 06/25/2010             | SELL                       | 64       | 1,677 40         | 1,589 00            |                              | 88 40                 | FI                    |
| <b>Description:</b> MITSUI & CO LTD ADR                                                             |                        |                            |          | CUSIP: 606827202 |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 04/01/2010             | SELL                       | 2        | 734 76           | 694 81              |                              | 39 95                 | FI                    |
|                                                                                                     | 04/06/2010             | SELL                       | 1        | 367 38           | 349 26              |                              | 18 12                 | FI                    |

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CPB TAX

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 Accounts carried by P  
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ing LLC





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**2011 TAX and  
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# Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

| Date of Sale<br>or Exchange | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|

## Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)

Description: MITSUI &amp; CO LTD ADR

CUSIP: 606827202 (continued)

|  |  |  |   |          |          |  |       |  |
|--|--|--|---|----------|----------|--|-------|--|
|  |  |  | 3 | 1,102.14 | 1,044.07 |  | 58.07 |  |
|--|--|--|---|----------|----------|--|-------|--|

Description: MTN GROUP LTD SPONSORED ADR

CUSIP: 62474M108

|            |            |      |    |        |        |  |         |    |
|------------|------------|------|----|--------|--------|--|---------|----|
| 03/04/2011 | 10/14/2010 | SELL | 17 | 304.63 | 326.04 |  | (21.41) | FI |
|            | 11/11/2010 | SELL | 18 | 322.55 | 341.82 |  | (19.27) | FI |

Description: NYSE EURONEXT COM

CUSIP: 629491101

|            |            |      |   |        |        |  |       |    |
|------------|------------|------|---|--------|--------|--|-------|----|
| 01/21/2011 | 03/05/2010 | SELL | 5 | 162.11 | 142.10 |  | 20.01 | FI |
|            | 03/12/2010 | SELL | 3 | 97.27  | 85.65  |  | 11.62 | FI |

|            |            |      |   |        |        |  |        |    |
|------------|------------|------|---|--------|--------|--|--------|----|
| 01/31/2011 | 04/14/2010 | SELL | 8 | 259.38 | 227.75 |  | 31.63  |    |
|            |            |      | 7 | 225.47 | 228.83 |  | (3.36) | FI |

Description: NALCO HLDG CO COM C/A EFF 12/01/2011

CUSIP: 62985Q101

|            |            |      |    |        |        |  |        |    |
|------------|------------|------|----|--------|--------|--|--------|----|
| 02/25/2011 | 04/15/2010 | SELL | 3  | 75.72  | 76.22  |  | (0.50) | FI |
|            | 07/07/2010 | SELL | 7  | 176.68 | 150.02 |  | 26.66  | FI |
|            | 07/08/2010 | SELL | 14 | 353.35 | 312.80 |  | 40.55  | FI |
|            | 07/13/2010 | SELL | 4  | 100.95 | 94.08  |  | 6.87   | FI |

Description: NATIONAL FUEL GAS CO N J COM

CUSIP: 636180101

|            |            |      |    |        |        |  |        |    |
|------------|------------|------|----|--------|--------|--|--------|----|
| 03/04/2011 | 06/04/2010 | SELL | 5  | 366.14 | 238.28 |  | 127.86 | FI |
|            |            |      | 28 | 706.70 | 633.12 |  | 73.58  |    |

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**2011 TAX and  
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**Schedule of Realized Gains and Losses**

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|

**Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)**

Description: NATIONAL OILWELL VARCO INC

CUSIP: 637071101

|            |            |      |    |          |          |  |        |    |
|------------|------------|------|----|----------|----------|--|--------|----|
| 01/27/2011 | 01/27/2010 | SELL | 21 | 1,488.03 | 902.03   |  | 586.00 | FI |
| 03/04/2011 | 11/09/2010 | SELL | 11 | 899.24   | 649.32   |  | 249.92 | FI |
|            | 11/09/2010 | SELL | 6  | 490.49   | 354.17   |  | 136.32 | FI |
|            |            |      |    |          |          |  |        |    |
|            |            |      | 17 | 1,389.73 | 1,003.49 |  | 386.24 |    |

Description: NETAPP INC COM

CUSIP: 64110D104

|            |            |      |    |          |          |  |       |    |
|------------|------------|------|----|----------|----------|--|-------|----|
| 03/04/2011 | 09/15/2010 | SELL | 23 | 1,206.55 | 1,126.15 |  | 80.40 | FI |
|------------|------------|------|----|----------|----------|--|-------|----|

Description: NETFLIX INC COM

CUSIP: 64110L106

|            |            |      |    |          |          |  |        |    |
|------------|------------|------|----|----------|----------|--|--------|----|
| 02/14/2011 | 08/06/2010 | SELL | 2  | 493.13   | 228.32   |  | 264.81 | FI |
| 03/01/2011 | 08/06/2010 | SELL | 2  | 409.39   | 228.32   |  | 181.07 | FI |
| 03/04/2011 | 08/06/2010 | SELL | 3  | 611.24   | 342.49   |  | 268.75 | FI |
|            | 09/01/2010 | SELL | 3  | 611.24   | 396.69   |  | 214.55 | FI |
|            | 12/09/2010 | SELL | 5  | 1,018.72 | 954.50   |  | 64.22  | FI |
|            |            |      |    |          |          |  |        |    |
|            |            |      | 11 | 2,241.20 | 1,693.68 |  | 547.52 |    |

Description: NEWFIELD EXPL CO COMMON

CUSIP: 651290108

|            |            |      |    |          |        |  |        |    |
|------------|------------|------|----|----------|--------|--|--------|----|
| 01/27/2011 | 05/28/2010 | SELL | 13 | 895.29   | 675.04 |  | 220.25 | FI |
| 03/04/2011 | 05/28/2010 | SELL | 18 | 1,275.27 | 934.68 |  | 340.59 | FI |

Description: NEWMONT MNG CORP COM

CUSIP: 651639106

|            |            |      |   |        |        |  |         |    |
|------------|------------|------|---|--------|--------|--|---------|----|
| 01/21/2011 | 11/09/2010 | SELL | 4 | 221.76 | 250.08 |  | (28.32) | FI |
|            | 11/10/2010 | SELL | 2 | 110.88 | 123.28 |  | (12.40) | FI |
|            | 11/11/2010 | SELL | 2 | 110.87 | 124.55 |  | (13.68) | FI |

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CPB-TAX

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Accounts earned by P  
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(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

| Date of Sale<br>or Exchange | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|

### Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)

Description: NEWMONT MNG CORP COM

CUSIP: 651639106 (continued)

|  |  |  |   |        |        |  |         |  |
|--|--|--|---|--------|--------|--|---------|--|
|  |  |  | 8 | 443.51 | 497.91 |  | (54.40) |  |
|--|--|--|---|--------|--------|--|---------|--|

Description: NEXTERA ENERGY INC COM

CUSIP: 65339F101

|            |            |      |    |        |        |  |        |    |
|------------|------------|------|----|--------|--------|--|--------|----|
| 01/21/2011 | 09/09/2010 | SELL | 15 | 815.30 | 822.66 |  | (7.36) | FI |
|------------|------------|------|----|--------|--------|--|--------|----|

Description: NIDEC CORP SPONS ADR

CUSIP: 654090109

|            |            |      |   |        |        |  |      |    |
|------------|------------|------|---|--------|--------|--|------|----|
| 03/04/2011 | 10/05/2010 | SELL | 5 | 113.00 | 112.25 |  | 0.75 | FI |
|------------|------------|------|---|--------|--------|--|------|----|

Description: NORDSTROM INC

CUSIP: 655664100

|            |            |      |    |        |        |  |        |    |
|------------|------------|------|----|--------|--------|--|--------|----|
| 03/04/2011 | 09/14/2010 | SELL | 20 | 891.18 | 705.30 |  | 185.88 | FI |
|------------|------------|------|----|--------|--------|--|--------|----|

Description: NOVO NORDISK A.S. ADR FORMERLY NOVO

CUSIP: 670100205

|            |            |      |   |        |       |  |       |    |
|------------|------------|------|---|--------|-------|--|-------|----|
| 01/21/2011 | 06/15/2010 | SELL | 1 | 112.04 | 81.28 |  | 30.76 | FI |
|------------|------------|------|---|--------|-------|--|-------|----|

|            |            |      |   |          |        |  |        |    |
|------------|------------|------|---|----------|--------|--|--------|----|
| 03/04/2011 | 06/15/2010 | SELL | 8 | 1,033.82 | 650.28 |  | 383.54 | FI |
|------------|------------|------|---|----------|--------|--|--------|----|

|  |            |      |   |        |        |  |        |    |
|--|------------|------|---|--------|--------|--|--------|----|
|  | 06/21/2010 | SELL | 5 | 646.13 | 428.64 |  | 217.49 | FI |
|--|------------|------|---|--------|--------|--|--------|----|

Description: NOVOZYMES A/S SPONSORED ADR

CUSIP: 670108109

|  |  |  |    |          |          |  |        |  |
|--|--|--|----|----------|----------|--|--------|--|
|  |  |  | 13 | 1,679.95 | 1,078.92 |  | 601.03 |  |
|--|--|--|----|----------|----------|--|--------|--|

Description: OCCIDENTAL PETE CORP COM

CUSIP: 674599105

|            |            |      |   |          |          |  |        |    |
|------------|------------|------|---|----------|----------|--|--------|----|
| 03/04/2011 | 08/05/2010 | SELL | 8 | 1,187.97 | 1,060.40 |  | 127.57 | FI |
|------------|------------|------|---|----------|----------|--|--------|----|

Description: LUKOIL CO SPOND ADR SHS

CUSIP: 677862104

|            |            |      |    |          |        |  |        |    |
|------------|------------|------|----|----------|--------|--|--------|----|
| 03/04/2011 | 10/13/2010 | SELL | 10 | 1,033.88 | 848.29 |  | 185.59 | FI |
|------------|------------|------|----|----------|--------|--|--------|----|

|            |            |      |   |        |        |  |       |    |
|------------|------------|------|---|--------|--------|--|-------|----|
| 03/04/2011 | 10/14/2010 | SELL | 2 | 144.60 | 119.00 |  | 25.60 | FI |
|------------|------------|------|---|--------|--------|--|-------|----|

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# 2011 TAX and YEAR-END STATEMENT

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## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|

### Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)

Description: ORACLE CORP COM

CUSIP: 68389X105

|            |            |      |       |          |          |  |        |    |
|------------|------------|------|-------|----------|----------|--|--------|----|
| 03/04/2011 | 04/12/2010 | SELL | 43    | 1,418.97 | 1,128.27 |  | 290.70 | FI |
|            | 09/28/2010 | SELL | 18    | 593.99   | 490.49   |  | 103.50 | FI |
|            | 12/15/2010 | SELL | 3     | 99.00    | 91.56    |  | 7.44   | FI |
|            |            |      | ----- | -----    | -----    |  | -----  |    |
|            |            |      | 64    | 2,111.96 | 1,710.32 |  | 401.64 |    |

Description: PEABODY ENERGY CORP COM

CUSIP: 704549104

|            |            |      |       |          |          |  |        |    |
|------------|------------|------|-------|----------|----------|--|--------|----|
| 03/04/2011 | 09/30/2010 | SELL | 20    | 1,380.57 | 976.22   |  | 404.35 | FI |
|            | 10/04/2010 | SELL | 14    | 966.40   | 700.73   |  | 265.67 | FI |
|            | 11/04/2010 | SELL | 1     | 69.03    | 56.44    |  | 12.59  | FI |
|            | 11/15/2010 | SELL | 6     | 414.17   | 346.51   |  | 67.66  | FI |
|            | 11/19/2010 | SELL | 1     | 69.03    | 58.98    |  | 10.05  | FI |
|            |            |      | ----- | -----    | -----    |  | -----  |    |
|            |            |      | 42    | 2,899.20 | 2,138.88 |  | 760.32 |    |

Description: PFIZER INC COM

CUSIP: 717081103

|            |            |      |       |          |          |  |        |    |
|------------|------------|------|-------|----------|----------|--|--------|----|
| 03/04/2011 | 03/24/2010 | SELL | 81    | 1,601.34 | 1,425.49 |  | 175.85 | FI |
|            | 05/05/2010 | SELL | 14    | 276.77   | 240.85   |  | 35.92  | FI |
|            |            |      | ----- | -----    | -----    |  | -----  |    |
|            |            |      | 95    | 1,878.11 | 1,666.34 |  | 211.77 |    |

Description: PHILIP MORRIS INTL INC COM

CUSIP: 718172109

|            |            |      |    |        |        |  |       |    |
|------------|------------|------|----|--------|--------|--|-------|----|
| 01/21/2011 | 08/12/2010 | SELL | 15 | 847.64 | 773.35 |  | 74.29 | FI |
|            | 08/13/2010 | SELL | 10 | 565.09 | 518.83 |  | 46.26 | FI |
|            | 08/25/2010 | SELL | 5  | 282.55 | 257.03 |  | 25.52 | FI |



Account Number: C93-048897

2011 TAX and

## YEAR-END STATEMENT

Revised Statement as of 03/12/2012

Recipient's Identification  
Number 37-6055435

## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

| Date of Sale<br>or Exchange | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|

(Continued)

## Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)

Description: PHILIP MORRIS INTL INC COM

CUSIP: 718172109 (continued)

|  |  |  |    |          |          |  |        |  |
|--|--|--|----|----------|----------|--|--------|--|
|  |  |  | 30 | 1,695.28 | 1,549.21 |  | 146.07 |  |
|--|--|--|----|----------|----------|--|--------|--|

Description: PHILLIPS VAN HEUSEN CORP COM N/C EFF

CUSIP: 718592108

|            |            |      |    |        |        |  |       |    |
|------------|------------|------|----|--------|--------|--|-------|----|
| 03/01/2011 | 09/20/2010 | SELL | 12 | 726.90 | 715.67 |  | 11.23 | FI |
| 03/04/2011 | 09/20/2010 | SELL | 13 | 797.40 | 775.30 |  | 22.10 | FI |

Description: POTASH CORP OF SASKATCHEWAN INC

CUSIP: 73755L107

|            |            |      |    |        |        |  |        |    |
|------------|------------|------|----|--------|--------|--|--------|----|
| 03/04/2011 | 11/04/2010 | SELL | 15 | 929.38 | 703.33 |  | 226.05 | FI |
|            | 12/16/2010 | SELL | 6  | 371.75 | 278.34 |  | 93.41  | FI |

|  |  |  |    |          |        |  |        |  |
|--|--|--|----|----------|--------|--|--------|--|
|  |  |  | 21 | 1,301.13 | 981.67 |  | 319.46 |  |
|--|--|--|----|----------|--------|--|--------|--|

Description: PRECISION CASTPARTS CORP

CUSIP: 740189105

|            |            |      |   |        |        |  |        |    |
|------------|------------|------|---|--------|--------|--|--------|----|
| 03/04/2011 | 07/27/2010 | SELL | 6 | 847.96 | 723.25 |  | 124.71 | FI |
|            | 08/19/2010 | SELL | 3 | 423.98 | 358.98 |  | 65.00  | FI |
|            | 10/21/2010 | SELL | 2 | 282.66 | 274.66 |  | 8.00   | FI |

|  |  |  |    |          |          |  |        |  |
|--|--|--|----|----------|----------|--|--------|--|
|  |  |  | 11 | 1,554.60 | 1,356.89 |  | 197.71 |  |
|--|--|--|----|----------|----------|--|--------|--|

Description: PRICELINE COM INC COM NEW

CUSIP: 741503403

|            |            |      |   |        |        |  |        |    |
|------------|------------|------|---|--------|--------|--|--------|----|
| 02/04/2011 | 07/13/2010 | SELL | 1 | 435.83 | 212.96 |  | 222.87 | FI |
| 02/23/2011 | 07/13/2010 | SELL | 2 | 855.38 | 425.93 |  | 429.45 | FI |

Description: PROCTER &amp; GAMBLE CO COM

CUSIP: 742718109

|            |            |      |   |        |        |  |       |    |
|------------|------------|------|---|--------|--------|--|-------|----|
| 03/04/2011 | 06/29/2010 | SELL | 8 | 500.31 | 481.12 |  | 19.19 | FI |
|------------|------------|------|---|--------|--------|--|-------|----|

Account Number: C93-048897

# 2011 TAX and YEAR-END STATEMENT Revised Statement as of 03/12/2012

 Recipient's Identification  
Number 37-6055435

## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds   | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|------------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                  |                     |                              |                       |                       |
| <b>Description:</b> PROGRESSIVE CORP OH COM                                                         |                        |                            |          | CUSIP: 743315103 |                     |                              |                       |                       |
| 01/10/2011                                                                                          | 07/08/2010             | SELL                       | 85       | 1,642.27         | 1,656.99            |                              | (14.72)               | FI                    |
| <b>Description:</b> PROSHARES TR PROSHARES ULTRASHORT                                               |                        |                            |          | CUSIP: 74347R834 |                     |                              |                       |                       |
| 01/21/2011                                                                                          | 08/24/2010             | SELL                       | 3        | 37.53            | 70.28               |                              | (32.75)               | FI                    |
| <b>Description:</b> PROSHARES ULTRA SHORT QQQ R/S EFF                                               |                        |                            |          | CUSIP: 74347R875 |                     |                              |                       |                       |
| 01/21/2011                                                                                          | 08/12/2010             | SELL                       | 3        | 32.49            | 53.02               |                              | (20.53)               | FI                    |
| <b>Description:</b> PROSHARES TR PROSHARES ULTRASHORT                                               |                        |                            |          | CUSIP: 74347R883 |                     |                              |                       |                       |
| 01/21/2011                                                                                          | 07/06/2010             | SELL                       | 2        | 45.29            | 73.84               |                              | (28.55)               | FI                    |
| 03/04/2011                                                                                          | 07/06/2010             | SELL                       | 2        | 41.99            | 73.84               |                              | (31.85)               | FI                    |
| <b>Description:</b> PROSHARES TR ULTRASHORT QQQ NEW                                                 |                        |                            |          | CUSIP: 74347X237 |                     |                              |                       |                       |
| 02/22/2011                                                                                          | 08/12/2010             | CLEU                       | 800      | 41.04            | 70.69               | (29.65) <sup>3</sup>         | 0.00                  | SL                    |
| 03/07/2011                                                                                          | 02/25/2011             | SELL                       | 800      | 40.54            | 70.73               |                              | (30.19)               | SL                    |
| Wash sale 194 days added to holding period                                                          |                        |                            |          |                  |                     |                              |                       |                       |
| 02/25/2011                                                                                          | SELL                   |                            | 160,200  | 8,118.80         | 8,226.27            |                              | (107.47)              | SL                    |
|                                                                                                     |                        |                            |          | -----            | -----               |                              | -----                 |                       |
|                                                                                                     |                        |                            |          | 161              | 8,159.34            | 8,297.00                     | (137.66)              |                       |
| <b>Description:</b> PROSHARES TR ULTRASHORT                                                         |                        |                            |          | CUSIP: 74348A202 |                     |                              |                       |                       |
| 02/22/2011                                                                                          | 08/24/2010             | CLEU                       | 750      | 33.48            | 70.28               | (36.80) <sup>3</sup>         | 0.00                  | SL                    |
| 03/07/2011                                                                                          | 02/25/2011             | SELL                       | 750      | 33.28            | 70.81               |                              | (37.53)               | SL                    |
| Wash sale 182 days added to holding period                                                          |                        |                            |          |                  |                     |                              |                       |                       |
| 02/25/2011                                                                                          | SELL                   |                            | 145,250  | 6,446.08         | 6,586.23            |                              | (140.15)              | SL                    |
|                                                                                                     |                        |                            |          | -----            | -----               |                              | -----                 |                       |
|                                                                                                     |                        |                            |          | 146              | 6,479.36            | 6,657.04                     | (177.68)              |                       |

Account Number: C93-048897

**2011 TAX and  
YEAR-END STATEMENT**  
 Revised Statement as of 03/12/2012
Recipient's Identification  
Number 37-6055435**Schedule of Realized Gains and Losses**

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds   | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|------------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                  |                     |                              |                       |                       |
| Description: PUBLICIS S A NEW SPONS ADR                                                             |                        |                            |          | CUSIP: 74463M106 |                     |                              |                       |                       |
| 03/03/2011                                                                                          | 03/29/2010             | SELL                       | 4        | 113.03           | 86.59               |                              | 26.44                 | FI                    |
| 03/04/2011                                                                                          | 03/29/2010             | SELL                       | 25       | 704.98           | 541.18              |                              | 163.80                | FI                    |
| Description: QUALCOMM INC                                                                           |                        |                            |          | CUSIP: 747525103 |                     |                              |                       |                       |
| 01/10/2011                                                                                          | 03/23/2010             | SELL                       | 31       | 1,601.74         | 1,249.58            |                              | 352.16                | FI                    |
| Description: RADIOSHACK CORP COM                                                                    |                        |                            |          | CUSIP: 750438103 |                     |                              |                       |                       |
| 01/21/2011                                                                                          | 04/19/2010             | SELL                       | 30       | 527.78           | 698.56              |                              | (170.78)              | FI                    |
|                                                                                                     | 07/19/2010             | SELL                       | 14       | 246.30           | 301.33              |                              | (55.03)               | FI                    |
|                                                                                                     | 07/20/2010             | SELL                       | 8        | 140.74           | 159.28              |                              | (18.54)               | FI                    |
|                                                                                                     |                        |                            | 52       | 914.82           | 1,159.17            |                              | (244.35)              |                       |
| Description: REPUBLIC SVCS INC COM                                                                  |                        |                            |          | CUSIP: 760759100 |                     |                              |                       |                       |
| 01/12/2011                                                                                          | 09/02/2010             | SELL                       | 47       | 1,379.96         | 1,445.25            |                              | (65.29)               | FI                    |
| Description: ROBERT HALF INTL INC                                                                   |                        |                            |          | CUSIP: 770323103 |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 05/11/2010             | SELL                       | 29       | 962.49           | 781.15              |                              | 181.34                | FI                    |
|                                                                                                     | 06/10/2010             | SELL                       | 6        | 199.14           | 142.88              |                              | 56.26                 | FI                    |
|                                                                                                     |                        |                            | 35       | 1,161.63         | 924.03              |                              | 237.60                |                       |
| Description: ROCHE HLDGS LTD SPONSORED ADR                                                          |                        |                            |          | CUSIP: 771195104 |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 04/12/2010             | SELL                       | 4        | 145.20           | 163.95              |                              | (18.75)               | FI                    |



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Recipient's Identification  
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## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| <b>Description:</b> ROCKWELL COLLINS INC DEL COM STK CUSIP: 774341101                               |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 03/05/2010             | SELL                       | 13       | 840 56         | 776 81              |                              | 63 75                 | FI                    |
|                                                                                                     | 04/27/2010             | SELL                       | 10       | 646 59         | 649 85              |                              | (3 26)                | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 23       | 1,487 15       | 1,426 66            |                              | 60 49                 |                       |
| <b>Description:</b> ROPER INDUSTRIES INC NEW COM CUSIP: 776696106                                   |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 07/27/2010             | SELL                       | 5        | 436 69         | 318 26              |                              | 118 43                | FI                    |
| <b>Description:</b> SALESFORCE.COM INC COM STOCK CUSIP: 79466L302                                   |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 05/21/2010             | SELL                       | 8        | 1,052 22       | 634 07              |                              | 418 15                | FI                    |
|                                                                                                     | 11/09/2010             | SELL                       | 3        | 394 58         | 339 61              |                              | 54 97                 | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 11       | 1,446 80       | 973 68              |                              | 473 12                |                       |
| <b>Description:</b> SANDISK CORP CUSIP: 80004C101                                                   |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 12/02/2010             | SELL                       | 18       | 867 22         | 865 08              |                              | 2 14                  | FI                    |
| <b>Description:</b> SANDVIK AB ADR CUSIP: 80021Z201                                                 |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 03/16/2010             | SELL                       | 32       | 606 39         | 402 24              |                              | 204 15                | FI                    |
|                                                                                                     | 03/29/2010             | SELL                       | 45       | 852 73         | 561 69              |                              | 291 04                | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 77       | 1,459 12       | 963 93              |                              | 495 19                |                       |
| <b>Description:</b> SCHLUMBERGER LTD COM ISIN#AN8068571086 CUSIP: 806857108                         |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 06/03/2010             | SELL                       | 19       | 1,764 31       | 1,045 22            |                              | 719 09                | FI                    |
|                                                                                                     | 11/15/2010             | SELL                       | 4        | 371 42         | 297 35              |                              | 74 07                 | FI                    |





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## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|

### Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)

Description: SCHLUMBERGER LTD COM ISIN#AN8068571086

CUSIP: 806857108 (continued)

793.16

1,342.57

2,135.73

23

Description: STARBUCKS CORP COM

CUSIP: 855244109

01/06/2011 05/07/2010 SELL 3 96.29 77.36 18.93 FI

03/04/2011 05/07/2010 SELL 24 789.58 618.88 170.70 FI

05/12/2010 SELL 11 361.89 306.56 55.33 FI

09/27/2010 SELL 18 592.19 473.83 118.36 FI

344.39

1,399.27

1,743.66

53

Description: STERICYCLE INC COM

CUSIP: 858912108

03/04/2011 06/10/2010 SELL 1 86.56 62.25 24.31 FI

Description: STERILITE INDS INDIA LTD ADS

CUSIP: 859737207

03/04/2011 12/23/2010 SELL 43 641.11 687.91 (46.80) FI

Description: STMICROELECTRONICS NV SHS N Y REGISTRY

CUSIP: 861012102

03/04/2011 07/15/2010 SELL 70 919.78 611.04 308.74 FI

09/02/2010 SELL 22 289.07 155.10 133.97 FI

442.71

766.14

1,208.85

92

Description: SUMITOMO CORP SPONSORED ADR

CUSIP: 865613103

03/04/2011 04/06/2010 SELL 13 195.26 157.17 38.09 FI



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# 2011 TAX and YEAR-END STATEMENT Revised Statement as of 03/12/2012

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## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: SUNCOR ENERGY INC NEW COM CUSIP: 867224107                                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 04/09/2010             | SELL                       | 2        | 94.40          | 70.58               |                              | 23.82                 | FI                    |
|                                                                                                     | 10/14/2010             | SELL                       | 6        | 283.19         | 206.93              |                              | 76.26                 | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 8        | 377.59         | 277.51              |                              | 100.08                |                       |
| Description: SYNGENTA AG SPON ADR CUSIP: 87160A100                                                  |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 08/02/2010             | SELL                       | 6        | 401.93         | 275.07              |                              | 126.86                | FI                    |
| Description: TARGET CORP COM CUSIP: 87612E106                                                       |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 07/26/2010             | SELL                       | 7        | 365.59         | 364.72              |                              | 0.87                  | FI                    |
| Description: TATA MTRS LTD SPON ADR CUSIP: 876568502                                                |                        |                            |          |                |                     |                              |                       |                       |
| 02/08/2011                                                                                          | 09/03/2010             | SELL                       | 7        | 172.48         | 159.15              |                              | 13.33                 | FI                    |
| 02/25/2011                                                                                          | 11/15/2010             | SELL                       | 5        | 121.00         | 155.20              |                              | (34.20)               | FI                    |
| Description: TECK RES LTD CL B SUB VTG CUSIP: 878742204                                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 07/19/2010             | SELL                       | 6        | 337.25         | 188.01              |                              | 149.24                | FI                    |
|                                                                                                     | 08/02/2010             | SELL                       | 9        | 505.88         | 333.22              |                              | 172.66                | FI                    |
|                                                                                                     |                        |                            | 15       | 843.13         | 521.23              |                              | 321.90                |                       |
| Description: TEXAS INSTRUMENTS INC CUSIP: 882508104                                                 |                        |                            |          |                |                     |                              |                       |                       |
| 02/09/2011                                                                                          | 10/04/2010             | SELL                       | 27       | 950.41         | 742.07              |                              | 208.34                | FI                    |
| Description: 3M CO COM CUSIP: 88579Y101                                                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 06/11/2010             | SELL                       | 8        | 742.38         | 618.31              |                              | 124.07                | FI                    |

Seq. # (023 0000.5)

CPB TAX

 GO paperless  
Accounts carried by P  
member FINRA, NYSE

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# Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: TIME WARNER INC NEW COM NEW CUSIP: 887317303                                           |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 11/09/2010             | SELL                       | 1        | 37 89          | 31 98               |                              | 5 91                  | FI                    |
| Description: TRAVELERS COS INC COM CUSIP: 89417E109                                                 |                        |                            |          |                |                     |                              |                       |                       |
| 01/24/2011                                                                                          | 08/13/2010             | SELL                       | 21       | 1,164 42       | 1,049 58            |                              | 114 84                | FI                    |
| 03/03/2011                                                                                          | 08/13/2010             | SELL                       | 8        | 473 03         | 399 84              |                              | 73 19                 | FI                    |
|                                                                                                     | 08/20/2010             | SELL                       | 9        | 532 16         | 450 36              |                              | 81 80                 | FI                    |
|                                                                                                     | 09/20/2010             | SELL                       | 8        | 473 03         | 427 44              |                              | 45 59                 | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 25       | 1,478 22       | 1,277 64            |                              | 200 58                |                       |
| Description: TREEHOUSE FOODS INC COM CUSIP: 89469A104                                               |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                          | 06/11/2010             | SELL                       | 3        | 147 98         | 147 20              |                              | 0 78                  | FI                    |
|                                                                                                     | 06/14/2010             | SELL                       | 5        | 246 64         | 250 80              |                              | (4 16)                | FI                    |
|                                                                                                     | 06/15/2010             | SELL                       | 2        | 98 65          | 100 46              |                              | (1 81)                | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 10       | 493 27         | 498 46              |                              | (5 19)                |                       |
| Description: US BANCORP DEL COM CUSIP: 902973304                                                    |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 04/08/2010             | SELL                       | 19       | 521 16         | 517 37              |                              | 3 79                  | FI                    |
|                                                                                                     | 09/20/2010             | SELL                       | 33       | 905 17         | 759 00              |                              | 146 17                | FI                    |
|                                                                                                     | 10/22/2010             | SELL                       | 21       | 576 02         | 485 94              |                              | 90 08                 | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 73       | 2,002 35       | 1,762 31            |                              | 240 04                |                       |



Account Number: C93-048897

# 2011 TAX and YEAR-END STATEMENT

 Recipient's Identification  
Number 37-6055435

Revised Statement as of 03/12/2012

## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: UNITED CONTL HLDGS INC COM CUSIP: 910047109                                            |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                          | 03/11/2010             | SELL                       | 36 707   | 893 12         | 790 37              |                              | 102 75                | FI                    |
|                                                                                                     | 03/15/2010             | SELL                       | 10 487   | 255 18         | 227 61              |                              | 27 57                 | FI                    |
|                                                                                                     | 03/16/2010             | SELL                       | 10 487   | 255 18         | 233 21              |                              | 21 97                 | FI                    |
|                                                                                                     | 03/17/2010             | SELL                       | 3 146    | 76 55          | 67 90               |                              | 8 65                  | FI                    |
|                                                                                                     | 03/23/2010             | SELL                       | 7 341    | 178 63         | 152 75              |                              | 25 88                 | FI                    |
|                                                                                                     | 04/12/2010             | SELL                       | 10 829   | 263 48         | 234 69              |                              | 28 79                 | FI                    |
|                                                                                                     |                        |                            | 79       | 1,922 14       | 1,706 53            |                              | 215 61                |                       |
| 02/23/2011                                                                                          | 04/12/2010             | SELL                       | 707      | 16 20          | 15 33               |                              | 0 87                  | FI                    |
|                                                                                                     | 07/02/2010             | SELL                       | 18 878   | 432 36         | 379 85              |                              | 52 51                 | FI                    |
|                                                                                                     | 07/08/2010             | SELL                       | 3 146    | 72 06          | 64 94               |                              | 7 12                  | FI                    |
|                                                                                                     | 07/21/2010             | SELL                       | 13 634   | 312 26         | 310 34              |                              | 1 92                  | FI                    |
|                                                                                                     | 07/22/2010             | SELL                       | 6 292    | 144 12         | 144 71              |                              | (0 59)                | FI                    |
|                                                                                                     | 09/24/2010             | SELL                       | 7 341    | 168 15         | 166 71              |                              | 1 44                  | FI                    |
|                                                                                                     |                        |                            | 50       | 1,145 15       | 1,081 88            |                              | 63 27                 |                       |
| Description: UNITED STATES TREAS BDS TREAS INFLATION CUSIP: 912810FD5                               |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 09/29/2010             | SELL                       | 3,000    | 5,231 71       | 5,489 51            |                              | (257 80)              | SL                    |
| Description: UNITED STATES TREAS BDS TREAS INFLATION CUSIP: 912810PZ5                               |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 09/29/2010             | SELL                       | 2,000    | 2,308 15       | 2,428 00            |                              | (119 85)              | SL                    |
|                                                                                                     | 10/12/2010             | SELL                       | 1,000    | 1,154 08       | 1,240 51            |                              | (86 43)               | SL                    |
|                                                                                                     |                        |                            | 3,000    | 3,462 23       | 3,668 51            |                              | (206 28)              |                       |

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CPB TAX

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# Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                         | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: UNITED STATES TREAS NTS SER C-2012 CUSIP: 912828AF7                                    |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 03/11/2010             | SELL                       | 3,000    | 3,929 80       | 3,924 86            |                              | 4.94                  | SL                    |
| Description: UNITED STATES TREAS NTS G-2011 TREAS CUSIP: 912828FB1                                  |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 11/10/2010             | SELL                       | 2,000    | 2,227 90       | 2,237 13            |                              | (9 23)                | SL                    |
| Description: UNITED STATES TREAS NTS CUSIP: 912828LS7                                               |                        |                            |          |                |                     |                              |                       |                       |
| 02/10/2011                                                                                          | 04/08/2010             | SELL                       | 2,000    | 2,047 50       | 1,992 96            |                              | 54 54                 | FI                    |
|                                                                                                     | 04/29/2010             | SELL                       | 2,000    | 2,047 50       | 2,004 96            |                              | 42 54                 | FI                    |
|                                                                                                     | 08/31/2010             | SELL                       | 1,000    | 1,023 75       | 1,046 34            |                              | (22 59)               | FI                    |
|                                                                                                     | 09/09/2010             | SELL                       | 3,000    | 3,071 25       | 3,120 16            |                              | (48 91)               | FI                    |
|                                                                                                     | 10/14/2010             | SELL                       | 4,000    | 4,095 00       | 4,215 07            |                              | (120 07)              | FI                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 12,000   | 12,285 00      | 12,379 49           |                              | (94 49)               |                       |
| Description: UNITED STATES TREAS NTS CUSIP: 912828MQO                                               |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 03/26/2010             | SELL                       | 2,000    | 2,011 41       | 1,997 31            |                              | 14 10                 | SL                    |
|                                                                                                     | 04/13/2010             | SELL                       | 1,000    | 1,005 70       | 998 73              |                              | 6 97                  | SL                    |
|                                                                                                     | 04/29/2010             | SELL                       | 1,000    | 1,005 70       | 999 44              |                              | 6 26                  | SL                    |
|                                                                                                     | 06/29/2010             | SELL                       | 2,000    | 2,011 41       | 2,006 99            |                              | 4 42                  | SL                    |
|                                                                                                     | 07/14/2010             | SELL                       | 2,000    | 2,011 41       | 2,006 75            |                              | 4 66                  | SL                    |
|                                                                                                     | 07/29/2010             | SELL                       | 4,000    | 4,022 81       | 4,015 26            |                              | 7 55                  | SL                    |
|                                                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                     |                        |                            | 12,000   | 12,068 44      | 12,024 48           |                              | 43 96                 |                       |
| Description: UNITED STATES TREAS NTS CUSIP: 912828MT4                                               |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                          | 03/24/2010             | SELL                       | 3,000    | 3,041 02       | 2,983 68            |                              | 57 34                 | SL                    |

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# 2011 TAX and YEAR-END STATEMENT Revised Statement as of 03/12/2012

 Recipient's Identification  
Number 37-6055435

## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|

### Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)

Description: UNITED STATES TREAS NTS

CUSIP: 912828NK2

|            |            |      |       |          |          |  |          |    |
|------------|------------|------|-------|----------|----------|--|----------|----|
| 02/09/2011 | 08/19/2010 | SELL | 5,000 | 4,880.08 | 5,159.14 |  | (279.06) | FI |
|------------|------------|------|-------|----------|----------|--|----------|----|

Description: UNITED STATES TREAS NTS

CUSIP: 912828NR7

|            |            |      |        |           |           |  |            |    |
|------------|------------|------|--------|-----------|-----------|--|------------|----|
| 02/04/2011 | 08/13/2010 | SELL | 6,000  | 5,822.81  | 6,109.85  |  | (287.04)   | FI |
|            | 08/17/2010 | SELL | 6,000  | 5,822.81  | 6,119.15  |  | (296.34)   | FI |
|            | 09/29/2010 | SELL | 1,000  | 970.47    | 1,032.66  |  | (62.19)    | FI |
|            | 10/14/2010 | SELL | 2,000  | 1,940.94  | 2,074.15  |  | (133.21)   | FI |
|            | 11/10/2010 | SELL | 4,000  | 3,881.88  | 4,149.87  |  | (267.99)   | FI |
|            |            |      |        |           |           |  |            |    |
|            |            |      | 19,000 | 18,438.91 | 19,485.68 |  | (1,046.77) |    |

Description: UNITEDHEALTH GROUP INC COM

CUSIP: 91324P102

|            |            |      |    |        |        |  |        |    |
|------------|------------|------|----|--------|--------|--|--------|----|
| 03/04/2011 | 06/16/2010 | SELL | 12 | 535.67 | 377.00 |  | 158.67 | FI |
|            | 10/26/2010 | SELL | 7  | 312.47 | 262.37 |  | 50.10  | FI |
|            |            |      |    |        |        |  |        |    |
|            |            |      | 19 | 848.14 | 639.37 |  | 208.77 |    |

Description: USINAS SIDERURGICAS DE MINAS GERAIS S A

CUSIP: 917302200

|            |            |      |    |        |        |  |          |    |
|------------|------------|------|----|--------|--------|--|----------|----|
| 01/13/2011 | 04/15/2010 | SELL | 36 | 445.67 | 622.26 |  | (176.59) | FI |
|            | 07/19/2010 | SELL | 22 | 272.35 | 306.35 |  | (34.00)  | FI |
|            |            |      |    |        |        |  |          |    |
|            |            |      | 58 | 718.02 | 928.61 |  | (210.59) |    |

Description: V F CORP

CUSIP: 918204108

|            |            |      |   |        |        |  |       |    |
|------------|------------|------|---|--------|--------|--|-------|----|
| 03/04/2011 | 04/23/2010 | SELL | 4 | 383.59 | 346.12 |  | 37.47 | FI |
|            | 07/14/2010 | SELL | 5 | 479.49 | 380.42 |  | 99.07 | FI |
|            | 10/28/2010 | SELL | 2 | 191.79 | 165.34 |  | 26.45 | FI |

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**2011 TAX and  
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**Schedule of Realized Gains and Losses**

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

| Date of Sale<br>or Exchange | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|

**Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)**

Description: V F CORP

CUSIP: 918204108 (continued)

|  |  |  |    |          |        |  |        |  |
|--|--|--|----|----------|--------|--|--------|--|
|  |  |  | 11 | 1,054.87 | 891.88 |  | 162.99 |  |
|--|--|--|----|----------|--------|--|--------|--|

Description: VERISK ANALYTICS INC CL A

CUSIP: 92345Y106

|            |            |      |   |        |        |  |      |    |
|------------|------------|------|---|--------|--------|--|------|----|
| 01/21/2011 | 05/14/2010 | SELL | 3 | 100.22 | 91.66  |  | 8.56 | FI |
| 03/04/2011 | 05/14/2010 | SELL | 5 | 156.44 | 152.76 |  | 3.68 | FI |
|            | 05/17/2010 | SELL | 5 | 156.44 | 154.96 |  | 1.48 | FI |
|            | 05/18/2010 | SELL | 7 | 219.03 | 216.80 |  | 2.23 | FI |

Description: VESTAS WIND SYS A/S UTD KINGDOM

CUSIP: 925458101

|            |            |      |    |        |        |  |         |    |
|------------|------------|------|----|--------|--------|--|---------|----|
| 03/04/2011 | 09/13/2010 | SELL | 50 | 564.98 | 624.50 |  | (59.52) | FI |
|------------|------------|------|----|--------|--------|--|---------|----|

Description: VISA INC COM CL A

CUSIP: 92826C839

|            |            |      |   |        |        |  |        |    |
|------------|------------|------|---|--------|--------|--|--------|----|
| 01/11/2011 | 05/19/2010 | SELL | 2 | 143.86 | 146.33 |  | (2.47) | FI |
|------------|------------|------|---|--------|--------|--|--------|----|

Description: WALGREEN CO

CUSIP: 931422109

|            |            |      |    |        |        |  |        |    |
|------------|------------|------|----|--------|--------|--|--------|----|
| 03/04/2011 | 07/15/2010 | SELL | 23 | 975.64 | 682.07 |  | 293.57 | FI |
|------------|------------|------|----|--------|--------|--|--------|----|

Description: WASTE MGMT INC DEL COM

CUSIP: 94106L109

|            |            |      |    |          |          |  |        |    |
|------------|------------|------|----|----------|----------|--|--------|----|
| 01/21/2011 | 07/01/2010 | SELL | 1  | 37.27    | 31.42    |  | 5.85   | FI |
| 03/04/2011 | 07/01/2010 | SELL | 37 | 1,379.69 | 1,162.64 |  | 217.05 | FI |
|            | 09/17/2010 | SELL | 5  | 186.45   | 175.21   |  | 11.24  | FI |
|            |            |      | 42 | 1,566.14 | 1,337.85 |  | 228.29 |    |



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**Schedule of Realized Gains and Losses**

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(Continued)

| Date of Sale<br>or Exchange                                                                  | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued) |                        |                            |          |                |                     |                              |                       |                       |
| Description: WELLS FARGO & CO NEW COM CUSIP: 949746101                                       |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 04/08/2010             | SELL                       | 16       | 516.15         | 516.04              |                              | 0.11                  | FI                    |
| Description: WISCONSIN ENERGY CORP COM CUSIP: 976657106                                      |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 06/24/2010             | SELL                       | 56       | 1,676.04       | 1,409.39            |                              | 266.65                | FI                    |
| Description: WYNN MACAU LTD ADR CUSIP: 98313R106                                             |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                   | 10/06/2010             | SELL                       | 14       | 362.31         | 262.32              |                              | 99.99                 | FI                    |
| 02/08/2011                                                                                   | 10/06/2010             | SELL                       | 29       | 703.23         | 543.38              |                              | 159.85                | FI                    |
| Description: WYNN RESORTS LTD COM CUSIP: 983134107                                           |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 09/03/2010             | SELL                       | 9        | 1,174.75       | 783.49              |                              | 391.26                | FI                    |
|                                                                                              | 09/21/2010             | SELL                       | 1        | 130.53         | 95.32               |                              | 35.21                 | FI                    |
|                                                                                              |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                              |                        |                            | 10       | 1,305.28       | 878.81              |                              | 426.47                |                       |
| Description: XCEL ENERGY INC COM CUSIP: 983898100                                            |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 08/13/2010             | SELL                       | 54       | 1,291.11       | 1,219.57            |                              | 71.54                 | FI                    |
| Total Short-Term Noncovered                                                                  |                        |                            |          | 322,359.64     | 297,906.29          | (103.47)                     | 24,556.82             |                       |
| Total Short-Term                                                                             |                        |                            |          | 387,708.13     | 362,456.57          | (124.21)                     | 25,375.77             |                       |
| Long-Term Transactions for Which Basis Was Reported to the IRS (Covered)                     |                        |                            |          |                |                     |                              |                       |                       |
| Description: BANCO SANTANDER SA ADR CUSIP: 05964H105                                         |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                   | 02/14/2011             | SELL                       | 1        | 11.78          | 14.80               |                              | (3.02)                | FI                    |
| Wash sale: 529 days added to holding period                                                  |                        |                            |          |                |                     |                              |                       |                       |



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# 2011 TAX and YEAR-END STATEMENT

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## Schedule of Realized Gains and Losses

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| Date of Sale<br>or Exchange                                                                 | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|---------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Reported to the IRS (Covered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: GREENHILL & CO INC COM                                                         |                        |                            |          |                |                     |                              |                       |                       |
| 01/31/2011                                                                                  | 01/21/2011             | SELL                       | 3        | 211.81         | 285.17              |                              | (73.36)               | FI                    |
| Wash sale 434 days added to holding period                                                  |                        |                            |          |                |                     |                              |                       |                       |
| <b>Total Long-Term Covered</b>                                                              |                        |                            |          | 223.59         | 299.97              |                              | (76.38)               |                       |

## Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered)

|                                                     |            |      |    |          |          |  |        |    |
|-----------------------------------------------------|------------|------|----|----------|----------|--|--------|----|
| Description: CREDICORP LTD COM                      |            |      |    |          |          |  |        |    |
| 01/13/2011                                          | 09/21/2009 | SELL | 6  | 665.92   | 458.04   |  | 207.88 | FI |
| Description: COVIDIEN PLC_SHS C/A EFF 3/18/11 1 OLD |            |      |    |          |          |  |        |    |
| 03/04/2011                                          | 01/25/2010 | SELL | 4  | 212.24   | 204.77   |  | 7.47   | FI |
|                                                     | 01/29/2010 | SELL | 7  | 371.41   | 354.76   |  | 16.65  | FI |
|                                                     |            |      |    | 583.65   | 559.53   |  | 24.12  |    |
| Description: TYCO INTL LTD SHS                      |            |      |    |          |          |  |        |    |
| 01/21/2011                                          | 09/21/2009 | SELL | 4  | 177.79   | 135.63   |  | 42.16  | FI |
| 03/04/2011                                          | 09/21/2009 | SELL | 21 | 966.82   | 712.08   |  | 254.74 | FI |
| Description: ABB LTD SPONSORED ADR                  |            |      |    |          |          |  |        |    |
| 03/04/2011                                          | 09/21/2009 | SELL | 37 | 912.03   | 753.98   |  | 158.05 | FI |
| Description: AT&T INC COM                           |            |      |    |          |          |  |        |    |
| 03/04/2011                                          | 09/21/2009 | SELL | 44 | 1,240.77 | 1,183.07 |  | 57.70  | FI |
| Description: ADIDAS SALOMON AG SPONSORED ADR        |            |      |    |          |          |  |        |    |
| 03/04/2011                                          | 09/21/2009 | SELL | 35 | 1,158.47 | 925.75   |  | 232.72 | FI |

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# Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| <b>Description:</b> ADOBE SYS INC COM CUSIP: 00724F101                                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 22       | 768.66         | 725.54              |                              | 43.12                 | FI                    |
| <b>Description:</b> AETNA INC NEW COM CUSIP: 00817Y108                                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 03/02/2010             | SELL                       | 8        | 311.59         | 247.44              |                              | 64.15                 | FI                    |
| <b>Description:</b> ALLEGHENY TECHNOLOGIES INC COM CUSIP: 01741R102                                |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 11/09/2009             | SELL                       | 8        | 530.79         | 268.86              |                              | 261.93                | FI                    |
|                                                                                                    | 11/16/2009             | SELL                       | 7        | 464.44         | 242.12              |                              | 222.32                | FI                    |
|                                                                                                    | 11/19/2009             | SELL                       | 5        | 331.74         | 167.78              |                              | 163.96                | FI                    |
|                                                                                                    | 12/15/2009             | SELL                       | 8        | 530.79         | 306.13              |                              | 224.66                | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 28       | 1,857.76       | 984.89              |                              | 872.87                |                       |
| <b>Description:</b> ALLERGAN INC COM CUSIP: 018490102                                              |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 10/30/2009             | SELL                       | 2        | 145.82         | 112.51              |                              | 33.31                 | FI                    |
|                                                                                                    | 11/05/2009             | SELL                       | 6        | 437.45         | 353.99              |                              | 83.46                 | FI                    |
|                                                                                                    | 02/05/2010             | SELL                       | 4        | 291.63         | 230.24              |                              | 61.39                 | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 12       | 874.90         | 696.74              |                              | 178.16                |                       |
| <b>Description:</b> ALLIANZ GLOBAL INVESTORS FIXED CUSIP: 01882B205                                |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 3,760    | 48,428.80      | 50,835.20           |                              | (2,406.40)            | FI                    |
| <b>Description:</b> ALLIANZ GLOBAL INVESTORS FIXED CUSIP: 01882B304                                |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 5,230    | 54,182.80      | 50,887.90           |                              | 3,294.90              | FI                    |
| <b>Description:</b> AMAZON COM INC CUSIP: 023135106                                                |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 11       | 1,898.01       | 995.09              |                              | 902.92                | FI                    |

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Account Number: C93-048897

**2011 TAX and  
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Recipient's Identification  
Number 37-6055435**Schedule of Realized Gains and Losses**

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|

**Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)**

Description: AMERICA MOVIL SAB DE CV SPONSORED ADR

CUSIP: 02364W105

|            |            |      |    |        |        |  |        |    |
|------------|------------|------|----|--------|--------|--|--------|----|
| 03/04/2011 | 09/21/2009 | SELL | 16 | 905.90 | 721.10 |  | 184.80 | FI |
|------------|------------|------|----|--------|--------|--|--------|----|

Description: AMGEN INC COM

CUSIP: 031162100

|            |            |      |   |        |        |  |         |    |
|------------|------------|------|---|--------|--------|--|---------|----|
| 03/04/2011 | 09/21/2009 | SELL | 4 | 208.28 | 248.75 |  | (40.47) | FI |
|            | 09/22/2009 | SELL | 1 | 52.07  | 60.69  |  | (8.62)  | FI |
|            | 01/27/2010 | SELL | 7 | 364.48 | 402.88 |  | (38.40) | FI |
|            | 02/09/2010 | SELL | 4 | 208.28 | 228.13 |  | (19.85) | FI |

Description: APERAM N Y REGISTRY SHS

CUSIP: 03754H104

|            |            |      |     |       |       |  |         |    |
|------------|------------|------|-----|-------|-------|--|---------|----|
| 02/01/2011 | 09/21/2009 | CLEU | 450 | 0.00  | 18.35 |  | (18.35) | SL |
| 02/07/2011 | 09/21/2009 | SELL | 600 | 22.79 | 24.46 |  | (1.67)  | FI |
|            | 01/21/2010 | SELL | 400 | 15.20 | 17.68 |  | (2.48)  | FI |

Description: APPLE INC COM

CUSIP: 037833100

|            |            |      |   |          |          |  |          |    |
|------------|------------|------|---|----------|----------|--|----------|----|
| 03/04/2011 | 09/21/2009 | SELL | 8 | 2,879.95 | 1,473.57 |  | 1,406.38 | FI |
|            | 09/21/2009 | SELL | 7 | 2,519.95 | 1,289.38 |  | 1,230.57 | FI |
|            | 10/30/2009 | SELL | 2 | 719.99   | 382.07   |  | 337.92   | FI |
|            | 01/26/2010 | SELL | 2 | 719.99   | 424.99   |  | 295.00   | FI |
|            | 02/05/2010 | SELL | 2 | 719.99   | 383.39   |  | 336.60   | FI |
|            | 02/11/2010 | SELL | 4 | 1,439.97 | 797.20   |  | 642.77   | FI |

|  |  |  |    |          |          |  |          |  |
|--|--|--|----|----------|----------|--|----------|--|
|  |  |  | 25 | 8,999.84 | 4,750.60 |  | 4,249.24 |  |
|--|--|--|----|----------|----------|--|----------|--|

Account Number: C93-048897

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 Recipient's Identification  
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## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds   | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|------------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                  |                     |                              |                       |                       |
| <b>Description:</b> ARCELORMITTAL SA LUXEMBOURG                                                    |                        |                            |          | CUSIP: 03938L104 |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 21       | 777.61           | 777.84              |                              | (0.23)                | FI                    |
|                                                                                                    | 01/21/2010             | SELL                       | 8        | 296.23           | 321.41              |                              | (25.18)               | FI                    |
|                                                                                                    |                        |                            |          |                  |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 29       | 1,073.84         | 1,099.25            |                              | (25.41)               |                       |
| <b>Description:</b> BNP PARIBAS SPONSORED ADR REPSTG                                               |                        |                            |          | CUSIP: 05565A202 |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 26       | 976.27           | 1,073.28            |                              | (97.01)               | FI                    |
| <b>Description:</b> BANCO BRADESCO S A SPONSORED ADR                                               |                        |                            |          | CUSIP: 059460303 |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 35,100   | 696.37           | 537.56              |                              | 158.81                | FI                    |
|                                                                                                    | 01/22/2010             | SELL                       | 9,900    | 196.41           | 156.88              |                              | 39.53                 | FI                    |
|                                                                                                    |                        |                            |          |                  |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 45       | 892.78           | 694.44              |                              | 198.34                |                       |
| <b>Description:</b> BANCO SANTANDER SA ADR                                                         |                        |                            |          | CUSIP: 05964H105 |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 76       | 895.26           | 1,231.81            | (4.43) <sup>3</sup>          | (332.12)              | FI                    |
| <b>Description:</b> BANK AMER CORP COM                                                             |                        |                            |          | CUSIP: 060505104 |                     |                              |                       |                       |
| 01/27/2011                                                                                         | 09/21/2009             | SELL                       | 71       | 962.74           | 1,233.87            |                              | (271.13)              | FI                    |
|                                                                                                    | 10/15/2009             | SELL                       | 25       | 338.99           | 452.75              |                              | (113.76)              | FI                    |
|                                                                                                    | 12/03/2009             | SELL                       | 33       | 447.47           | 529.01              |                              | (81.54)               | FI                    |
|                                                                                                    | 01/07/2010             | SELL                       | 23       | 311.88           | 390.31              |                              | (78.43)               | FI                    |
|                                                                                                    |                        |                            |          |                  |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 152      | 2,061.08         | 2,505.94            |                              | (544.86)              |                       |
| <b>Description:</b> BANK OF NOVA SCOTIA HALIFAX COM SHS                                            |                        |                            |          | CUSIP: 064149107 |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 13       | 799.74           | 575.51              |                              | 224.23                | FI                    |

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**2011 TAX and  
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**Schedule of Realized Gains and Losses**

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: BANK YOKOHAMA LTD JAPAN ADR CUSIP: 066011206                                          |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 13       | 687.68         | 635.05              |                              | 52.63                 | FI                    |
| Description: BARCLAYS PLC ADR CUSIP: 06738E204                                                     |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 32       | 660.14         | 771.44              |                              | (111.30)              | FI                    |
|                                                                                                    | 01/29/2010             | SELL                       | 14       | 288.81         | 246.12              |                              | 42.69                 | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 46       | 948.95         | 1,017.56            |                              | (68.61)               |                       |
| Description: BAYER AG SPONSORED ADR CUSIP: 072730302                                               |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 9        | 722.32         | 629.55              |                              | 92.77                 | FI                    |
| Description: BHP BILLITON LTD SPONSORED ADR CUSIP: 088606108                                       |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 17       | 1,634.00       | 1,116.35            |                              | 517.65                | FI                    |
| Description: BORGWARNER INC COM CUSIP: 099724106                                                   |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 03/02/2010             | SELL                       | 22       | 1,707.17       | 847.61              |                              | 859.56                | FI                    |
| Description: CANADIAN NATURAL RES LTD CUSIP: 136385101                                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 36       | 1,797.80       | 1,236.42            |                              | 561.38                | FI                    |
| Description: CANON INC ADR REPSTG 5 SHS CUSIP: 138006309                                           |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 16       | 763.82         | 641.76              |                              | 122.06                | FI                    |
| Description: CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CUSIP: 143658300                              |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                         | 01/06/2010             | SELL                       | 2        | 91.87          | 65.01               |                              | 26.86                 | FI                    |
|                                                                                                    | 01/07/2010             | SELL                       | 7        | 321.54         | 225.02              |                              | 96.52                 | FI                    |
|                                                                                                    | 01/08/2010             | SELL                       | 5        | 229.67         | 165.02              |                              | 64.65                 | FI                    |
|                                                                                                    | 01/13/2010             | SELL                       | 7        | 321.54         | 236.12              |                              | 85.42                 | FI                    |



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# 2011 TAX and YEAR-END STATEMENT Revised Statement as of 03/12/2012

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## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL                                               |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    | 01/14/2010             | SELL                       | 9        | 413 39         | 311 25              |                              | 102 14                | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 30       | 1,378 01       | 1,002 42            |                              | 375 59                |                       |
| Description: CHEVRON CORP NEW COM                                                                  |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    | 03/04/2011             | 09/21/2009 SELL            | 27       | 2,811 72       | 1,953 12            |                              | 858 60                | FI                    |
| Description: CITRIX SYSTEMS INC                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    | 03/04/2011             | 10/09/2009 SELL            | 13       | 915 57         | 540 38              |                              | 375 19                | FI                    |
|                                                                                                    |                        | 10/23/2009 SELL            | 6        | 422 57         | 238 19              |                              | 184 38                | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 19       | 1,338 14       | 778 57              |                              | 559 57                |                       |
| Description: COACH INC COM                                                                         |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    | 03/04/2011             | 01/28/2010 SELL            | 6        | 332 69         | 209 30              |                              | 123 39                | FI                    |
|                                                                                                    |                        | 02/03/2010 SELL            | 30       | 1,663 47       | 1,062 72            |                              | 600 75                | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 36       | 1,996 16       | 1,272 02            |                              | 724 14                |                       |
| Description: COCA COLA COMPANY                                                                     |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    | 03/04/2011             | 01/12/2010 SELL            | 12       | 784 66         | 681 83              |                              | 102 83                | FI                    |
|                                                                                                    |                        | 01/29/2010 SELL            | 7        | 457 72         | 379 92              |                              | 77 80                 | FI                    |
|                                                                                                    |                        | 02/10/2010 SELL            | 4        | 261 55         | 215 32              |                              | 46 23                 | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 23       | 1,503 93       | 1,277 07            |                              | 226 86                |                       |
| Description: COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A                                              |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    | 01/21/2011             | 09/21/2009 SELL            | 10       | 742 90         | 388 00              |                              | 354 90                | FI                    |

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# Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| <b>Description:</b> COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A                                       |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 29       | 2,230.92       | 1,125.19            |                              | 1,105.73              | FI                    |
| <b>Description:</b> COLGATE PALMOLIVE CO COM                                                       |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 01/25/2010             | SELL                       | 6        | 468.29         | 485.98              |                              | (17.69)               | FI                    |
| <b>Description:</b> COMERICA INC COM                                                               |                        |                            |          |                |                     |                              |                       |                       |
| 01/03/2011                                                                                         | 10/01/2009             | SELL                       | 41       | 1,747.02       | 1,167.27            |                              | 579.75                | FI                    |
| <b>Description:</b> COMPANHIA ENERGETICA DE MINAS GERAIS ADR                                       |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 58       | 1,012.66       | 797.13              |                              | 215.53                | FI                    |
| <b>Description:</b> COMPASS MINERALS INTL INC COM                                                  |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                         | 09/21/2009             | SELL                       | 1        | 87.04          | 58.77               |                              | 28.27                 | FI                    |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 12       | 1,093.53       | 705.19              |                              | 388.34                | FI                    |
| <b>Description:</b> CREDIT SUISSE GROUP SPONSORED ADR                                              |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 22       | 977.88         | 1,200.94            |                              | (223.06)              | FI                    |
| <b>Description:</b> CUMMINS INC                                                                    |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 12       | 1,249.17       | 538.30              |                              | 710.87                | FI                    |
| <b>Description:</b> DBS GROUP HLDGS LTD SPONS ADR                                                  |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 23       | 1,026.93       | 847.55              |                              | 179.38                | FI                    |
| <b>Description:</b> DANAHER CORP COM                                                               |                        |                            |          |                |                     |                              |                       |                       |
| 01/27/2011                                                                                         | 09/21/2009             | SELL                       | 18       | 839.86         | 610.11              |                              | 229.75                | FI                    |

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# 2011 TAX and YEAR-END STATEMENT

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## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: DANONE SPONSORED ADR CUSIP: 23636T100                                                 |                        |                            |          |                |                     |                              |                       |                       |
| 03/03/2011                                                                                         | 09/21/2009             | SELL                       | 79       | 994.58         | 960.64              |                              | 33.94                 | FI                    |
|                                                                                                    | 12/17/2009             | SELL                       | 6        | 75.54          | 72.48               |                              | 3.06                  | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 85       | 1,070.12       | 1,033.12            |                              | 37.00                 |                       |
| Description: DISNEY WALT CO DISNEY COM CUSIP: 254687106                                            |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                         | 09/21/2009             | SELL                       | 34       | 1,341.62       | 952.55              |                              | 389.07                | FI                    |
| Description: DISCOVERY COMMUNICATIONS INC CUSIP: 25470F104                                         |                        |                            |          |                |                     |                              |                       |                       |
| 02/24/2011                                                                                         | 09/21/2009             | SELL                       | 10       | 426.39         | 294.66              |                              | 131.73                | FI                    |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 24       | 1,023.33       | 707.20              |                              | 316.13                | FI                    |
| Description: DIRECTV COM CL A CUSIP: 25490A101                                                     |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 36       | 1,664.24       | 945.95              |                              | 718.29                | FI                    |
|                                                                                                    | 09/22/2009             | SELL                       | 2        | 92.46          | 54.43               |                              | 38.03                 | FI                    |
|                                                                                                    | 09/22/2009             | SELL                       | 3        | 138.69         | 80.66               |                              | 58.03                 | FI                    |
|                                                                                                    | 10/30/2009             | SELL                       | 8        | 369.83         | 210.94              |                              | 158.89                | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 49       | 2,265.22       | 1,291.98            |                              | 973.24                |                       |
| Description: DR REDDY LABS LTD ADR CUSIP: 256135203                                                |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                         | 09/21/2009             | SELL                       | 4        | 145.99         | 72.62               |                              | 73.37                 | FI                    |
| 02/08/2011                                                                                         | 09/21/2009             | SELL                       | 16       | 542.39         | 290.46              |                              | 251.93                | FI                    |
| 02/25/2011                                                                                         | 09/21/2009             | SELL                       | 13       | 435.48         | 236.00              |                              | 199.48                | FI                    |
|                                                                                                    | 01/07/2010             | SELL                       | 3        | 100.50         | 78.00               |                              | 22.50                 | FI                    |





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(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: DR REDDY LABS LTD ADR CUSIP: 256135203 (continued)                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 16       | 535.98         | 314.00              |                              | 221.98                |                       |
| Description: DOW CHEM CO CUSIP: 260543103                                                          |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                         | 09/21/2009             | SELL                       | 13       | 450.34         | 339.01              |                              | 111.33                | FI                    |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 40       | 1,515.57       | 1,043.11            |                              | 472.46                | FI                    |
| Description: DU PONT E I DE NEMOURS & CO COM CUSIP: 263534109                                      |                        |                            |          |                |                     |                              |                       |                       |
| 01/12/2011                                                                                         | 09/21/2009             | SELL                       | 24       | 1,186.77       | 815.28              |                              | 371.49                | FI                    |
| 03/04/2011                                                                                         | 02/26/2010             | SELL                       | 1        | 54.50          | 33.25               |                              | 21.25                 | FI                    |
| Description: EMC CORP (MASS) COM CUSIP: 268648102                                                  |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 101      | 2,764.31       | 1,703.72            |                              | 1,060.59              | FI                    |
| 10/30/2009                                                                                         |                        | SELL                       | 9        | 246.33         | 149.50              |                              | 96.83                 | FI                    |
| 03/04/2011                                                                                         |                        |                            | 110      | 3,010.64       | 1,853.22            |                              | 1,157.42              |                       |
| Description: ENI SPA SPONSORED ADR CUSIP: 26874R108                                                |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 19       | 943.52         | 940.07              |                              | 3.45                  | FI                    |
| Description: EMERSON ELEC CO COM CUSIP: 291011104                                                  |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 18       | 1,085.91       | 727.20              |                              | 358.71                | FI                    |
| Description: ENERGIZER HLDGS INC COM CUSIP: 29266R108                                              |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 01/11/2010             | SELL                       | 12       | 800.86         | 773.81              |                              | 27.05                 | FI                    |
| Description: ERICSSON L M TEL CO ADR CL B SEK 10 NEW CUSIP: 294821608                              |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 01/21/2010             | SELL                       | 62       | 809.08         | 605.12              |                              | 203.96                | FI                    |

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## Schedule of Realized Gains and Losses

(Continued)

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: ESPRIT HLDGS LTD SPONSORED ADR CUSIP: 29666V204                                       |                        |                            |          |                |                     |                              |                       |                       |
| 01/13/2011                                                                                         | 01/12/2010             | SELL                       | 63       | 602.26         | 945.00              |                              | (342.74)              | FI                    |
| Description: FMC TECHNOLOGIES INC COM CUSIP: 30249U101                                             |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                         | 09/21/2009             | SELL                       | 5        | 434.14         | 273.03              |                              | 161.11                | FI                    |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 12       | 1,161.22       | 655.28              |                              | 505.94                | FI                    |
| Description: FNMA GTD MTG PASS THRU CTF5 CUSIP: 31402RFV6                                          |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 12/30/2009             | RPP                        | 1,000    | 8.58           | 8.86                |                              | (0.28)                |                       |
| 03/08/2011                                                                                         | 12/30/2009             | SELL                       | 1,000    | 418.72         | 411.37              |                              | 7.35                  | FI                    |
| Description: FNMA GTD MTG PASS THRU CTF5 CUSIP: 31403C6L0                                          |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | RPP                        | 23,000   | 234.69         | 242.17              |                              | (7.48)                |                       |
|                                                                                                    | 09/29/2009             | RPP                        | 2,000    | 20.40          | 21.17               |                              | (0.77)                |                       |
|                                                                                                    | 10/08/2009             | RPP                        | 1,000    | 10.20          | 10.62               |                              | (0.42)                |                       |
|                                                                                                    | 12/08/2009             | RPP                        | 1,000    | 10.20          | 10.69               |                              | (0.49)                |                       |
|                                                                                                    | 01/11/2010             | RPP                        | 1,000    | 10.20          | 10.54               |                              | (0.34)                |                       |
|                                                                                                    |                        |                            | -----    | -----          | -----               |                              | -----                 |                       |
|                                                                                                    |                        |                            | 28,000   | 285.69         | 295.19              |                              | (9.50)                |                       |
| 03/08/2011                                                                                         | 09/21/2009             | SELL                       | 23,000   | 10,683.48      | 10,489.65           |                              | 193.83                | FI                    |
|                                                                                                    | 09/29/2009             | SELL                       | 2,000    | 929.00         | 916.83              |                              | 12.17                 | FI                    |
|                                                                                                    | 10/08/2009             | SELL                       | 1,000    | 464.50         | 460.01              |                              | 4.49                  | FI                    |
|                                                                                                    | 12/08/2009             | SELL                       | 1,000    | 464.50         | 462.83              |                              | 1.67                  | FI                    |
|                                                                                                    | 01/11/2010             | SELL                       | 1,000    | 464.50         | 456.55              |                              | 7.95                  | FI                    |
|                                                                                                    |                        |                            | -----    | -----          | -----               |                              | -----                 |                       |
|                                                                                                    |                        |                            | 28,000   | 13,005.98      | 12,785.87           |                              | 220.11                |                       |



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(Continued)

| Date of Sale<br>or Exchange | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
|-----------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|

**Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)**

Description: FNMA GTD MTG PASS THRU CTFS

CUSIP: 31410KJY1

|            |            |      |        |           |           |  |        |    |
|------------|------------|------|--------|-----------|-----------|--|--------|----|
| 02/08/2011 | 09/21/2009 | SELL | 38,000 | 16,104.77 | 15,766.53 |  | 338.24 | FI |
| 02/10/2011 | 09/21/2009 | SELL | 28,000 | 11,886.43 | 11,617.45 |  | 268.98 | FI |

|            |            |     |        |        |        |  |        |  |
|------------|------------|-----|--------|--------|--------|--|--------|--|
| 03/04/2011 | 09/21/2009 | RPP | 9,000  | 99.03  | 104.62 |  | (5.59) |  |
|            | 09/29/2009 | RPP | 11,000 | 121.04 | 128.13 |  | (7.09) |  |
|            | 10/16/2009 | RPP | 6,000  | 66.02  | 70.17  |  | (4.15) |  |
|            | 12/31/2009 | RPP | 1,000  | 11.00  | 11.71  |  | (0.71) |  |

|            |            |      |        |          |          |  |         |    |
|------------|------------|------|--------|----------|----------|--|---------|----|
| 03/08/2011 | 09/21/2009 | SELL | 27,000 | 297.09   | 314.63   |  | (17.54) | FI |
|            | 09/29/2009 | SELL | 9,000  | 3,740.71 | 3,629.56 |  | 111.15  | FI |
|            | 10/16/2009 | SELL | 11,000 | 4,571.97 | 4,445.15 |  | 126.82  | FI |
|            | 12/31/2009 | SELL | 6,000  | 2,493.80 | 2,434.39 |  | 59.41   | FI |
|            |            |      | 1,000  | 415.64   | 406.21   |  | 9.43    | FI |

Description: FNMA GTD MTG PASS THRU CTFS

CUSIP: 31410KXK5

|            |            |      |       |        |        |  |        |    |
|------------|------------|------|-------|--------|--------|--|--------|----|
| 03/04/2011 | 10/08/2009 | RPP  | 1,000 | 12.07  | 12.70  |  | (0.63) |    |
| 03/08/2011 | 10/08/2009 | SELL | 1,000 | 469.80 | 461.36 |  | 8.44   | FI |

Description: FNMA GTD MTG PASS THRU CTFS

CUSIP: 31416BK72

|            |            |     |        |        |        |  |         |  |
|------------|------------|-----|--------|--------|--------|--|---------|--|
| 03/04/2011 | 09/21/2009 | RPP | 25,000 | 321.09 | 335.55 |  | (14.46) |  |
|            | 12/08/2009 | RPP | 1,000  | 12.84  | 13.65  |  | (0.81)  |  |
|            |            |     | 25,000 | 333.93 | 349.20 |  | (15.27) |  |

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## Schedule of Realized Gains and Losses

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(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds               | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|------------------------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                              |                     |                              |                       |                       |
| <b>Description:</b> FNMA GTD MTG PASS THRU CTF5                                                    |                        |                            |          | CUSIP: 31416BK72 (continued) |                     |                              |                       |                       |
| 03/08/2011                                                                                         | 09/21/2009             | SELL                       | 25,000   | 11,765 04                    | 11,480 09           |                              | 284 95                | FI                    |
|                                                                                                    | 12/08/2009             | SELL                       | 1,000    | 470 60                       | 466 99              |                              | 3 61                  | FI                    |
|                                                                                                    |                        |                            | -----    | -----                        | -----               |                              | -----                 |                       |
|                                                                                                    |                        |                            | 26,000   | 12,235 64                    | 11,947 08           |                              | 288 56                |                       |
| <b>Description:</b> GDF SUEZ SPON ADR                                                              |                        |                            |          | CUSIP: 361608105             |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 16       | 626 38                       | 732 80              |                              | (106 42)              | FI                    |
| <b>Description:</b> GAFISA S A SPONS ADR REPSTG 2 COM SHS                                          |                        |                            |          | CUSIP: 362607301             |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 02/11/2010             | SELL                       | 42       | 525 83                       | 602 60              |                              | (76 77)               | FI                    |
| <b>Description:</b> GAZPROM O A O SPON ADR REG S                                                   |                        |                            |          | CUSIP: 368287207             |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 34       | 1,027 80                     | 793 56              |                              | 234 24                | FI                    |
| <b>Description:</b> GENERAL ELECTRIC CO COM                                                        |                        |                            |          | CUSIP: 369604103             |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 63       | 1,311 64                     | 1,053 35            |                              | 258 29                | FI                    |
|                                                                                                    | 09/21/2009             | SELL                       | 25       | 520 49                       | 418 00              |                              | 102 49                | FI                    |
|                                                                                                    |                        |                            | -----    | -----                        | -----               |                              | -----                 |                       |
|                                                                                                    |                        |                            | 88       | 1,832 13                     | 1,471 35            |                              | 360 78                |                       |
| <b>Description:</b> GIVAUDAN SA ADR                                                                |                        |                            |          | CUSIP: 37636P108             |                     |                              |                       |                       |
| 01/31/2011                                                                                         | 09/21/2009             | SELL                       | 15       | 296 84                       | 233 85              |                              | 62 99                 | FI                    |
| 02/08/2011                                                                                         | 09/21/2009             | SELL                       | 22       | 423 93                       | 342 98              |                              | 80 95                 | FI                    |
| 02/09/2011                                                                                         | 09/21/2009             | SELL                       | 32       | 603 18                       | 498 88              |                              | 104 30                | FI                    |
| <b>Description:</b> GREENHILL & CO INC COM                                                         |                        |                            |          | CUSIP: 395259104             |                     |                              |                       |                       |
| 01/31/2011                                                                                         | 11/23/2009             | SELL                       | 4        | 282 40                       | 335 51              | (39 83) <sup>3</sup>         | (13 28)               | FI                    |

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(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: HSBC HLDGS PLC SPONS ADR NEW CUSIP: 404280406                                         |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 24       | 1,283.97       | 1,409.27            |                              | (125.30)              | FI                    |
| Description: HASBRO INC COM CUSIP: 418056107                                                       |                        |                            |          |                |                     |                              |                       |                       |
| 02/24/2011                                                                                         | 09/21/2009             | SELL                       | 7        | 308.06         | 199.91              |                              | 108.15                | FI                    |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 26       | 1,199.87       | 742.50              |                              | 457.37                | FI                    |
| 10/19/2009                                                                                         |                        | SELL                       | 8        | 369.19         | 228.74              |                              | 140.45                | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 34       | 1,569.06       | 971.24              |                              | 597.82                |                       |
| Description: HEINZ H J COMPANY CUSIP: 423074103                                                    |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 24       | 1,195.17       | 954.71              |                              | 240.46                | FI                    |
| Description: HENKEL AG & CO KGAA SPONSORED ADR REPSTG CUSIP: 42550U208                             |                        |                            |          |                |                     |                              |                       |                       |
| 02/18/2011                                                                                         | 09/21/2009             | SELL                       | 7        | 432.94         | 283.50              |                              | 149.44                | FI                    |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 9        | 582.10         | 364.50              |                              | 217.60                | FI                    |
| 09/22/2009                                                                                         |                        | SELL                       | 2        | 129.36         | 81.40               |                              | 47.96                 | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 11       | 711.46         | 445.90              |                              | 265.56                |                       |
| Description: HONEYWELL INTL INC COM CUSIP: 438516106                                               |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 32       | 1,830.68       | 1,259.78            |                              | 570.90                | FI                    |
| Description: ICAP PLC SPONSORED ADR REPSTG CUSIP: 450936109                                        |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 5        | 86.15          | 69.00               |                              | 17.15                 | FI                    |
| Description: ITRON INC COM CUSIP: 465741106                                                        |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                         | 09/21/2009             | SELL                       | 10       | 588.14         | 657.88              |                              | (69.74)               | FI                    |

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(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity  | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|-----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |           |                |                     |                              |                       |                       |
| <b>Description:</b> JP MORGAN CHASE & CO COM CUSIP: 46625H100                                      |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 22        | 1,010.88       | 982.75              |                              | 28.13                 | FI                    |
| <b>Description:</b> JSC MMC NORILSK NICKEL SPONSORED ADR CUSIP: 46626D108                          |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 58        | 1,476.65       | 704.70              |                              | 771.95                | FI                    |
| <b>Description:</b> JACK IN THE BOX INC COM CUSIP: 466367109                                       |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 10/09/2009             | SELL                       | 58        | 1,284.67       | 1,193.63            |                              | 91.04                 | FI                    |
| <b>Description:</b> JOHNSON CTLS INC COM CUSIP: 478366107                                          |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 01/25/2010             | SELL                       | 12        | 486.35         | 365.97              |                              | 120.38                | FI                    |
| <b>Description:</b> KB FINL GROUP INC SPONSORED ADR REPSTG CUSIP: 48241A105                        |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 16        | 824.14         | 804.80              |                              | 19.34                 | FI                    |
| <b>Description:</b> KINGFISHER PLC SPONSORED ADR CUSIP: 495724403                                  |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 02/11/2010             | SELL                       | 92        | 734.14         | 606.65              |                              | 127.49                | FI                    |
| <b>Description:</b> KNIGHT CAP GROUP INC CL A COM CUSIP: 499005106                                 |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 12/30/2009             | SELL                       | 64        | 880.62         | 988.80              |                              | (108.18)              | FI                    |
| <b>Description:</b> LAZARD EMERGING MARKETS PORTFOLIO CUSIP: 52106N889                             |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 1,158.029 | 24,283.87      | 19,976.00           |                              | 4,307.87              | FI                    |
| <b>Description:</b> LIBERTY INTERACTIVE CORP INTERACTIVE COM CUSIP: 53071M104                      |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 113       | 1,838.47       | 1,271.22            |                              | 567.25                | FI                    |
| <b>Description:</b> LOOMIS SAYLES BOND FUND INSTL CUSIP: 543495840                                 |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 3,026.516 | 44,005.54      | 38,921.00           |                              | 5,084.54              | FI                    |

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(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Redeemed Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: LORILLARD INC COM                                                                     |                        |                            |          |                |                     |                              |                       |                       |
| CUSIP: 544147101                                                                                   |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 13       | 1,006.43       | 968.24              |                              |                       | FI 38.19              |
|                                                                                                    | 09/22/2009             | SELL                       | 1        | 77.42          | 73.92               |                              |                       | FI 3.50               |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 14       | 1,083.85       | 1,042.16            |                              |                       | 41.69                 |
| Description: MARUI GROUP CO LTD ADR                                                                |                        |                            |          |                |                     |                              |                       |                       |
| CUSIP: 573814308                                                                                   |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 51       | 884.32         | 734.40              |                              |                       | FI 149.92             |
|                                                                                                    | 09/22/2009             | SELL                       | 4        | 69.36          | 58.72               |                              |                       | FI 10.64              |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 55       | 953.68         | 793.12              |                              |                       | 160.56                |
| Description: MEAD JOHNSON NUTRITION CO COM                                                         |                        |                            |          |                |                     |                              |                       |                       |
| CUSIP: 582839106                                                                                   |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 12/21/2009             | SELL                       | 3        | 179.52         | 128.48              |                              |                       | FI 51.04              |
|                                                                                                    | 01/06/2010             | SELL                       | 9        | 538.55         | 407.24              |                              |                       | FI 131.31             |
|                                                                                                    | 01/27/2010             | SELL                       | 7        | 418.86         | 306.93              |                              |                       | FI 111.93             |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 19       | 1,136.93       | 842.65              |                              |                       | 294.28                |
| Description: MERCK & CO INC NEW COM                                                                |                        |                            |          |                |                     |                              |                       |                       |
| CUSIP: 58933Y105                                                                                   |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 27       | 895.03         | 861.25              |                              |                       | FI 33.78              |
| Description: METLIFE INC COM                                                                       |                        |                            |          |                |                     |                              |                       |                       |
| CUSIP: 59156R108                                                                                   |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 16       | 727.98         | 617.72              |                              |                       | FI 110.26             |
| Description: MICROCHIP TECHNOLOGY INC COM                                                          |                        |                            |          |                |                     |                              |                       |                       |
| CUSIP: 595017104                                                                                   |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                         | 09/21/2009             | SELL                       | 10       | 367.93         | 273.38              |                              |                       | FI 94.55              |

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**Schedule of Realized Gains and Losses**

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(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: MICROCHIP TECHNOLOGY INC COM CUSIP: 595017104 (continued)                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 20       | 749 39         | 546 77              |                              | 202 62                | FI                    |
|                                                                                                    | 11/05/2009             | SELL                       | 20       | 749 38         | 511 43              |                              | 237 95                | FI                    |
|                                                                                                    |                        |                            | -----    | -----          | -----               |                              | -----                 |                       |
|                                                                                                    |                        |                            | 40       | 1,498 77       | 1,058 20            |                              | 440 57                |                       |
| Description: MONSTER WORLDWIDE INC COM CUSIP: 611742107                                            |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                         | 09/21/2009             | SELL                       | 21       | 458 92         | 390 77              |                              | 68 15                 | FI                    |
| 03/03/2011                                                                                         | 09/21/2009             | SELL                       | 9        | 154 19         | 167 47              |                              | (13 28)               | FI                    |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 55       | 909 13         | 1,023 45            |                              | (114 32)              | FI                    |
| Description: NALCO HLDG CO COM C/A EFF 12/01/2011 CUSIP: 62985Q101                                 |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                         | 01/05/2010             | SELL                       | 6        | 175 72         | 158 85              |                              | 16 87                 | FI                    |
|                                                                                                    | 01/06/2010             | SELL                       | 2        | 58 57          | 52 90               |                              | 5 67                  | FI                    |
|                                                                                                    |                        |                            | -----    | -----          | -----               |                              | -----                 |                       |
| 02/25/2011                                                                                         | 01/06/2010             | SELL                       | 8        | 234 29         | 211 75              |                              | 22 54                 |                       |
|                                                                                                    | 01/11/2010             | SELL                       | 7        | 176 68         | 185 17              |                              | (8 49)                | FI                    |
|                                                                                                    |                        |                            | 9        | 227 15         | 233 89              |                              | (6 74)                | FI                    |
|                                                                                                    |                        |                            | -----    | -----          | -----               |                              | -----                 |                       |
|                                                                                                    |                        |                            | 16       | 403 83         | 419 06              |                              | (15 23)               |                       |
| Description: NATIONAL FUEL GAS CO N J COM CUSIP: 636180101                                         |                        |                            |          |                |                     |                              |                       |                       |
| 01/21/2011                                                                                         | 09/21/2009             | SELL                       | 2        | 134 49         | 94 11               |                              | 40 38                 | FI                    |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 25       | 1,830 71       | 1,176 42            |                              | 654 29                | FI                    |
|                                                                                                    | 11/04/2009             | SELL                       | 3        | 219 69         | 138 97              |                              | 80 72                 | FI                    |
|                                                                                                    |                        |                            | -----    | -----          | -----               |                              | -----                 |                       |
|                                                                                                    |                        |                            | 28       | 2,050 40       | 1,315 39            |                              | 735 01                |                       |



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(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: NATIONAL OILWELL VARCO INC CUSIP: 637071101                                           |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 01/27/2010             | SELL                       | 12       | 980.98         | 515.44              |                              | 465.54                | FI                    |
| Description: NESTLE SA SPONSORED ADRS REGISTERED CUSIP: 641069406                                  |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 17       | 960.82         | 731.00              |                              | 229.82                | FI                    |
|                                                                                                    | 12/17/2009             | SELL                       | 10       | 565.19         | 474.00              |                              | 91.19                 | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 27       | 1,526.01       | 1,205.00            |                              | 321.01                |                       |
| Description: NIDEC CORP SPONS ADR CUSIP: 654090109                                                 |                        |                            |          |                |                     |                              |                       |                       |
| 02/25/2011                                                                                         | 01/22/2010             | SELL                       | 5        | 115.39         | 121.99              |                              | (6.60)                | FI                    |
| 03/04/2011                                                                                         | 01/22/2010             | SELL                       | 26       | 587.58         | 634.37              |                              | (46.79)               | FI                    |
| Description: NINTENDO LTD ADR CUSIP: 654445303                                                     |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 26       | 920.38         | 859.04              |                              | 61.34                 | FI                    |
|                                                                                                    | 01/22/2010             | SELL                       | 8        | 283.19         | 281.20              |                              | 1.99                  | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 34       | 1,203.57       | 1,140.24            |                              | 63.33                 |                       |
| Description: NOVARTIS AG SPONSORED ADR CUSIP: 66987V109                                            |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 16       | 919.98         | 776.60              |                              | 143.38                | FI                    |
| Description: LUKOIL CO SPOND ADR SHS CUSIP: 677862104                                              |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 9        | 650.68         | 481.50              |                              | 169.18                | FI                    |
|                                                                                                    | 01/21/2010             | SELL                       | 5        | 361.49         | 281.43              |                              | 80.06                 | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 14       | 1,012.17       | 762.93              |                              | 249.24                |                       |

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(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds   | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Redized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|------------------|---------------------|------------------------------|----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                  |                     |                              |                      |                       |
| Description: ORACLE CORP COM                                                                       |                        |                            |          | CUSIP: 68389X105 |                     |                              |                      |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 29       | 956 98           | 627 50              |                              | 329 48               | FI                    |
| Description: PERRIGO COMPANY                                                                       |                        |                            |          | CUSIP: 714290103 |                     |                              |                      |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 21       | 1,624 10         | 682 89              |                              | 941 21               | FI                    |
| Description: PETROCHINA CO LTD SPONS ADR                                                           |                        |                            |          | CUSIP: 71646E100 |                     |                              |                      |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 4        | 561 66           | 477 08              |                              | 84 58                | FI                    |
| Description: PETROLEO BRASILEIRO SA PETROBRAS                                                      |                        |                            |          | CUSIP: 71654V408 |                     |                              |                      |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 24       | 987 58           | 1,084 79            |                              | (97 21)              | FI                    |
| Description: PFIZER INC COM                                                                        |                        |                            |          | CUSIP: 717081103 |                     |                              |                      |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 19       | 375 62           | 317 04              |                              | 58 58                | FI                    |
|                                                                                                    | 10/01/2009             | SELL                       | 50       | 988 48           | 819 00              |                              | 169 48               | FI                    |
|                                                                                                    |                        |                            | -----    | -----            | -----               |                              | -----                |                       |
|                                                                                                    |                        |                            | 69       | 1,364 10         | 1,136 04            |                              | 228 06               |                       |
| Description: PLEXUS CORP                                                                           |                        |                            |          | CUSIP: 729132100 |                     |                              |                      |                       |
| 01/21/2011                                                                                         | 09/21/2009             | SELL                       | 5        | 145 29           | 130 57              |                              | 14 72                | FI                    |
| 01/25/2011                                                                                         | 09/21/2009             | SELL                       | 4        | 106 55           | 104 46              |                              | 2 09                 | FI                    |
| 01/31/2011                                                                                         | 09/21/2009             | SELL                       | 11       | 297 11           | 287 25              |                              | 9 86                 | FI                    |
| Description: PROCTER & GAMBLE CO COM                                                               |                        |                            |          | CUSIP: 742718109 |                     |                              |                      |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 38       | 2,376 47         | 2,169 74            |                              | 206 73               | FI                    |
| Description: QUALCOMM INC                                                                          |                        |                            |          | CUSIP: 747525103 |                     |                              |                      |                       |
| 01/10/2011                                                                                         | 01/08/2010             | SELL                       | 1        | 51 67            | 49 13               |                              | 2 54                 | FI                    |

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(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity  | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|-----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |           |                |                     |                              |                       |                       |
| Description: RANGE RES CORP COM CUSIP: 75281A109                                                   |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 11        | 554.71         | 557.15              |                              | (2.44)                | FI                    |
|                                                                                                    | 09/22/2009             | SELL                       | 1         | 50.43          | 52.30               |                              | (1.87)                | FI                    |
|                                                                                                    | 12/17/2009             | SELL                       | 2         | 100.86         | 99.63               |                              | 1.23                  | FI                    |
|                                                                                                    |                        |                            | 14        | 706.00         | 709.08              |                              | (3.08)                |                       |
| Description: RAYTHEON CO COM NEW CUSIP: 755111507                                                  |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 3         | 155.64         | 143.97              |                              | 11.67                 | FI                    |
|                                                                                                    | 11/30/2009             | SELL                       | 15        | 778.18         | 774.06              |                              | 4.12                  | FI                    |
|                                                                                                    | 01/27/2010             | SELL                       | 6         | 311.27         | 321.34              |                              | (10.07)               | FI                    |
|                                                                                                    |                        |                            | 24        | 1,245.09       | 1,239.37            |                              | 5.72                  |                       |
| Description: ROCHE HLDGS LTD SPONSORED ADR CUSIP: 771195104                                        |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 28        | 1,016.37       | 1,146.60            |                              | (130.23)              | FI                    |
| Description: ROPER INDUSTRIES INC NEW COM CUSIP: 776696106                                         |                        |                            |           |                |                     |                              |                       |                       |
| 01/21/2011                                                                                         | 09/21/2009             | SELL                       | 3         | 223.22         | 155.53              |                              | 67.69                 | FI                    |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 9         | 786.04         | 466.60              |                              | 319.44                | FI                    |
| Description: ROYCE TOTAL RETURN FUND CUSIP: 780905881                                              |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 3,816.172 | 52,357.88      | 39,879.00           |                              | 12,478.88             | FI                    |
| Description: SPDR S&P 500 ETF TR UNIT CUSIP: 78462F103                                             |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 1,126     | 150,171.73     | 120,065.27          |                              | 30,106.46             | FI                    |

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(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| <b>Description:</b> SAP AG SPONSORED ADR                                                           |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 22       | 1,367.49       | 1,111.84            |                              | 255.65                | FI                    |
| <b>Description:</b> SCHLUMBERGER LTD COM ISIN#AN8068571086                                         |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 01/11/2010             | SELL                       | 8        | 742.87         | 569.94              |                              | 172.93                | FI                    |
|                                                                                                    | 01/28/2010             | SELL                       | 2        | 185.72         | 130.12              |                              | 55.60                 | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 10       | 928.59         | 700.06              |                              | 228.53                |                       |
| <b>Description:</b> SIEMENS A G SPONSORED ADR                                                      |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 15       | 2,020.76       | 1,444.80            |                              | 575.96                | FI                    |
| <b>Description:</b> SIRIUS XM RADIO INC COM                                                        |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 02/17/2010             | SELL                       | 1,340    | 2,425.35       | 1,390.92            |                              | 1,034.43              | FI                    |
| <b>Description:</b> SOTHEBYS CL A                                                                  |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 01/12/2010             | SELL                       | 7        | 348.38         | 163.06              |                              | 185.32                | FI                    |
|                                                                                                    | 01/22/2010             | SELL                       | 9        | 447.92         | 221.39              |                              | 226.53                | FI                    |
|                                                                                                    | 01/25/2010             | SELL                       | 9        | 447.92         | 224.69              |                              | 223.23                | FI                    |
|                                                                                                    | 01/26/2010             | SELL                       | 6        | 298.62         | 151.16              |                              | 147.46                | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 31       | 1,542.84       | 760.30              |                              | 782.54                |                       |
| <b>Description:</b> SOUTHWESTERN ENERGY CO COM                                                     |                        |                            |          |                |                     |                              |                       |                       |
| 02/09/2011                                                                                         | 09/21/2009             | SELL                       | 3        | 113.97         | 130.10              |                              | (16.13)               | FI                    |
|                                                                                                    | 12/23/2009             | SELL                       | 14       | 531.84         | 694.37              |                              | (162.53)              | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 17       | 645.81         | 824.47              |                              | (178.66)              |                       |



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(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds   | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|------------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                  |                     |                              |                       |                       |
| Description: STERICYCLE INC COM                                                                    |                        |                            |          | CUSIP: 858912108 |                     |                              |                       |                       |
| 01/21/2011                                                                                         | 09/21/2009             | SELL                       | 1        | 78.31            | 48.57               |                              | 29.74                 | FI                    |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 6        | 519.41           | 291.42              |                              | 227.99                | FI                    |
|                                                                                                    | 09/22/2009             | SELL                       | 1        | 86.57            | 47.75               |                              | 38.82                 | FI                    |
|                                                                                                    |                        |                            |          |                  |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 7        | 605.98           | 339.17              |                              | 266.81                |                       |
| Description: SUBSEA 7 SA SPONS ADR                                                                 |                        |                            |          | CUSIP: 864323100 |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 38       | 989.88           | 433.20              |                              | 556.68                | FI                    |
| Description: SUMITOMO CORP SPONSORED ADR                                                           |                        |                            |          | CUSIP: 865613103 |                     |                              |                       |                       |
| 02/25/2011                                                                                         | 09/21/2009             | SELL                       | 16       | 235.83           | 167.04              |                              | 68.79                 | FI                    |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 73       | 1,096.43         | 762.12              |                              | 334.31                | FI                    |
| Description: SUMITOMO TR & BKG LTD SPON ADR *C/A*                                                  |                        |                            |          | CUSIP: 865625206 |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 140      | 890.38           | 737.80              |                              | 152.58                | FI                    |
| Description: SUNCOR ENERGY INC NEW COM                                                             |                        |                            |          | CUSIP: 867224107 |                     |                              |                       |                       |
| 02/25/2011                                                                                         | 01/29/2010             | SELL                       | 6        | 274.55           | 194.46              |                              | 80.09                 | FI                    |
| 03/04/2011                                                                                         | 01/29/2010             | SELL                       | 12       | 566.39           | 388.92              |                              | 177.47                | FI                    |
| Description: SYNGENTA AG SPON ADR                                                                  |                        |                            |          | CUSIP: 87160A100 |                     |                              |                       |                       |
| 02/18/2011                                                                                         | 09/21/2009             | SELL                       | 5        | 328.49           | 245.78              |                              | 82.71                 | FI                    |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 7        | 468.92           | 344.10              |                              | 124.82                | FI                    |
| Description: TAIWAN SEMICONDUCTOR MFG CO SPONSORED                                                 |                        |                            |          | CUSIP: 874039100 |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 75       | 930.73           | 810.68              |                              | 120.05                | FI                    |

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(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity  | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|-----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |           |                |                     |                              |                       |                       |
| Description: TARGET CORP COM CUSIP: 87612E106                                                      |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 10/09/2009             | SELL                       | 3         | 156.69         | 148.34              |                              | 8.35                  | FI                    |
|                                                                                                    | 11/18/2009             | SELL                       | 2         | 104.46         | 96.16               |                              | 8.30                  | FI                    |
|                                                                                                    | 01/22/2010             | SELL                       | 8         | 417.83         | 405.98              |                              | 11.85                 | FI                    |
|                                                                                                    |                        |                            |           |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 13        | 678.98         | 650.48              |                              | 28.50                 |                       |
| Description: TATA MTRS LTD SPON ADR CUSIP: 876568502                                               |                        |                            |           |                |                     |                              |                       |                       |
| 01/31/2011                                                                                         | 11/16/2009             | SELL                       | 12        | 290.68         | 166.56              |                              | 124.12                | FI                    |
|                                                                                                    | 01/06/2010             | SELL                       | 8         | 193.79         | 143.86              |                              | 49.93                 | FI                    |
|                                                                                                    |                        |                            |           |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 20        | 484.47         | 310.42              |                              | 174.05                |                       |
| 02/08/2011                                                                                         | 01/06/2010             | SELL                       | 1         | 24.64          | 17.98               |                              | 6.66                  | FI                    |
|                                                                                                    | 01/07/2010             | SELL                       | 9         | 221.77         | 158.31              |                              | 63.46                 | FI                    |
|                                                                                                    |                        |                            |           |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 10        | 246.41         | 176.29              |                              | 70.12                 |                       |
| Description: TECK RES LTD CL B SUB VTG CUSIP: 878742204                                            |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 01/21/2010             | SELL                       | 8         | 449.67         | 303.00              |                              | 146.67                | FI                    |
|                                                                                                    | 02/11/2010             | SELL                       | 4         | 224.84         | 135.28              |                              | 89.56                 | FI                    |
|                                                                                                    |                        |                            |           |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 12        | 674.51         | 438.28              |                              | 236.23                |                       |
| Description: TEMPLETON GLOBAL BOND FUND ADVISOR CUSIP: 880208400                                   |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 6,438.145 | 87,430.01      | 79,833.00           |                              | 7,597.01              | FI                    |
| Description: TESCO PLC SPON ADR CUSIP: 881575302                                                   |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 61        | 1,220.58       | 1,171.20            |                              | 49.38                 | FI                    |

Sec. 6 (C-33 2000-3)

CPB TAX

 GO paperless  
Accounts carried by P  
member FINRA, NYS.  
Ask about a delivery

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Account Number: C93-048897

2011 TAX and

## YEAR-END STATEMENT

Revised Statement as of 03/12/2012

Recipient's Identification  
Number 37-6055435

## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity  | Gross Proceeds   | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|-----------|------------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |           |                  |                     |                              |                       |                       |
| Description: THORNBURG INTERNATIONAL                                                               |                        |                            |           | CUSIP: 885215566 |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 3,643.872 | 109,389.04       | 89,785.00           |                              | 19,604.04             | FI                    |
| Description: 3M CO COM                                                                             |                        |                            |           | CUSIP: 88579Y101 |                     |                              |                       |                       |
| 01/28/2011                                                                                         | 12/11/2009             | SELL                       | 8         | 702.70           | 651.70              |                              | 51.00                 | FI                    |
| 03/04/2011                                                                                         | 12/15/2009             | SELL                       | 2         | 185.60           | 164.92              |                              | 20.68                 | FI                    |
| Description: TIME WARNER INC NEW COM NEW                                                           |                        |                            |           | CUSIP: 887317303 |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 65        | 2,462.80         | 1,822.04            |                              | 640.76                | FI                    |
| Description: TOTAL S A SPONSORED ADR                                                               |                        |                            |           | CUSIP: 89151E109 |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 15        | 930.73           | 920.02              |                              | 10.71                 | FI                    |
|                                                                                                    | 09/22/2009             | SELL                       | 1         | 62.05            | 62.21               |                              | (0.16)                | FI                    |
|                                                                                                    |                        |                            |           |                  |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 16        | 992.78           | 982.23              |                              | 10.55                 |                       |
| Description: TRANSDIGM GROUP INC COM                                                               |                        |                            |           | CUSIP: 893641100 |                     |                              |                       |                       |
| 01/21/2011                                                                                         | 09/21/2009             | SELL                       | 5         | 383.14           | 240.64              |                              | 142.50                | FI                    |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 11        | 913.75           | 529.42              |                              | 384.33                | FI                    |
| Description: US BANCORP DEL COM                                                                    |                        |                            |           | CUSIP: 902973304 |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 59        | 1,618.34         | 1,326.89            |                              | 291.45                | FI                    |
|                                                                                                    | 10/15/2009             | SELL                       | 10        | 274.29           | 238.20              |                              | 36.09                 | FI                    |
|                                                                                                    |                        |                            |           |                  |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 69        | 1,892.63         | 1,565.09            |                              | 327.54                |                       |
| Description: UNITED STATES TREAS NTS SER C-2012                                                    |                        |                            |           | CUSIP: 912828AF7 |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 4,000     | 5,239.74         | 5,110.16            |                              | 129.58                | SL                    |

Account Number: C93-048897

# 2011 TAX and YEAR-END STATEMENT

 Recipient's Identification  
Number 37-6055435

Revised Statement as of 03/12/2012

## Schedule of Realized Gains and Losses

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |          |                |                     |                              |                       |                       |
| Description: UNITED STATES TREAS NOTES CUSIP: 912828DM9                                            |                        |                            |          |                |                     |                              |                       |                       |
| 02/01/2011                                                                                         | 09/21/2009             | SELL                       | 6,000    | 6,587.81       | 6,319.16            |                              | 268.65                | FI                    |
|                                                                                                    | 09/29/2009             | SELL                       | 2,000    | 2,195.94       | 2,116.68            |                              | 79.26                 | FI                    |
|                                                                                                    | 10/16/2009             | SELL                       | 1,000    | 1,097.97       | 1,057.39            |                              | 40.58                 | FI                    |
|                                                                                                    | 11/12/2009             | SELL                       | 1,000    | 1,097.97       | 1,064.04            |                              | 33.93                 | FI                    |
|                                                                                                    | 12/31/2009             | SELL                       | 1,000    | 1,097.97       | 1,049.73            |                              | 48.24                 | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 11,000   | 12,077.66      | 11,607.00           |                              | 470.66                |                       |
| Description: UNITED STATES TREAS NTS G-2011 TREAS CUSIP: 912828FB1                                 |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 15,000   | 16,709.22      | 16,853.04           |                              | (143.82)              | SL                    |
| Description: UNITED TECHNOLOGIES CORP COM CUSIP: 913017109                                         |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 10       | 837.98         | 628.09              |                              | 209.89                | FI                    |
| Description: UNITEDHEALTH GROUP INC COM CUSIP: 91324P102                                           |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 12/14/2009             | SELL                       | 33       | 1,473.09       | 1,023.00            |                              | 450.09                | FI                    |
|                                                                                                    | 12/16/2009             | SELL                       | 21       | 937.42         | 677.45              |                              | 259.97                | FI                    |
|                                                                                                    | 01/21/2010             | SELL                       | 11       | 491.03         | 369.99              |                              | 121.04                | FI                    |
|                                                                                                    | 01/27/2010             | SELL                       | 13       | 580.31         | 431.83              |                              | 148.48                | FI                    |
|                                                                                                    |                        |                            |          |                |                     |                              |                       |                       |
|                                                                                                    |                        |                            | 78       | 3,481.85       | 2,502.27            |                              | 979.58                |                       |
| Description: VALE SA ADR REPSTG PFD CUSIP: 91912E204                                               |                        |                            |          |                |                     |                              |                       |                       |
| 03/07/2011                                                                                         | 09/21/2009             | SELL                       | 54       | 1,601.06       | 1,070.68            |                              | 530.38                | FI                    |
| Description: VERIZON COMMUNICATIONS COM CUSIP: 92343V104                                           |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 26       | 944.82         | 721.54              |                              | 223.28                | FI                    |





Account Number: C93-048897

Recipient's Identification  
Number: 37-6055435

**2011 TAX and  
YEAR-END STATEMENT**  
Revised Statement as of 03/12/2012

**Schedule of Realized Gains and Losses**

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity  | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|-----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered) (continued)</b> |                        |                            |           |                |                     |                              |                       |                       |
| Description: VISA INC COM CL A CUSIP: 92826C839                                                    |                        |                            |           |                |                     |                              |                       |                       |
| 01/11/2011                                                                                         | 09/21/2009             | SELL                       | 11        | 791.21         | 806.83              |                              | (15.62)               | FI                    |
| Description: VODAFONE GROUP PLC SPON ADR NEW CUSIP: 92857W209                                      |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 35        | 1,036.33       | 801.73              |                              | 234.60                | FI                    |
| Description: CRM SMALL/MID CAP VALUE FUND CUSIP: 92934R116                                         |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 3,371.006 | 53,396.74      | 39,879.00           |                              | 13,517.74             | FI                    |
| Description: WABTEC COM CUSIP: 929740108                                                           |                        |                            |           |                |                     |                              |                       |                       |
| 01/21/2011                                                                                         | 09/21/2009             | SELL                       | 21        | 1,117.93       | 809.47              |                              | 308.46                | FI                    |
| Description: WALGREEN CO CUSIP: 931422109                                                          |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 02/25/2010             | SELL                       | 7         | 296.93         | 247.08              |                              | 49.85                 | FI                    |
| Description: WEBMD HEALTH CORP COM CUSIP: 94770V102                                                |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 19        | 1,092.48       | 626.20              |                              | 466.28                | FI                    |
|                                                                                                    | 11/04/2009             | SELL                       | 2         | 115.00         | 70.96               |                              | 44.04                 | FI                    |
|                                                                                                    | 11/12/2009             | SELL                       | 4         | 230.00         | 146.65              |                              | 83.35                 | FI                    |
|                                                                                                    |                        |                            | 25        | 1,437.48       | 843.81              |                              | 593.67                |                       |
| Description: WELLS FARGO & CO NEW COM CUSIP: 949746101                                             |                        |                            |           |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 15        | 483.89         | 425.82              |                              | 58.07                 | FI                    |
|                                                                                                    | 10/12/2009             | SELL                       | 20        | 645.19         | 606.02              |                              | 39.17                 | FI                    |
|                                                                                                    | 11/11/2009             | SELL                       | 3         | 96.78          | 86.75               |                              | 10.03                 | FI                    |
|                                                                                                    |                        |                            | 38        | 1,225.86       | 1,118.59            |                              | 107.27                |                       |

Account Number: C93-048897

Recipient's Identification  
Number 37-6055435

**2011 TAX and  
YEAR-END STATEMENT**  
Revised Statement as of 03/12/2012

**Schedule of Realized Gains and Losses**

(We do not report this information to the IRS; it is used as the basis for reporting on Form 1099-B.)

(Continued)

| Date of Sale<br>or Exchange                                                                        | Date of<br>Acquisition | Disposition<br>Transaction | Quantity | Gross Proceeds | Cost or Other Basis | Wash Sale<br>Loss Disallowed | Realized Gain or Loss | Disposition<br>Method |
|----------------------------------------------------------------------------------------------------|------------------------|----------------------------|----------|----------------|---------------------|------------------------------|-----------------------|-----------------------|
| <b>Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered)</b> (continued) |                        |                            |          |                |                     |                              |                       |                       |
| Description: WESTPAC BANKING CORP ADR CUSIP: 961214301                                             |                        |                            |          |                |                     |                              |                       |                       |
| 03/04/2011                                                                                         | 09/21/2009             | SELL                       | 13       | 1,548.01       | 1,397.89            |                              | 150.12                | FI                    |
| <b>Total Long-Term Noncovered</b>                                                                  |                        |                            |          | 893,535.69     | 758,209.51          | (44.26)                      | 135,370.44            |                       |
| <b>Total Long-Term</b>                                                                             |                        |                            |          | 893,759.28     | 758,509.48          | (44.26)                      | 135,294.06            |                       |
| <b>Total Short-Term and Long-Term</b>                                                              |                        |                            |          | 1,281,467.41   | 1,120,966.05        | (168.47)                     | 160,669.83            |                       |

3 Realized loss is net of a disallowance due to a wash sale

**Tax Lot Disposition Methods:**

FI = FIRST IN FIRST OUT

SL = VERSUS PURCHASE

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to the First In, First Out (FIFO) method. Your account's selected tax lot method will be used to determine the cost basis for calculating gain or loss, unless another method was selected at the time of the security disposal, and this may affect the type of gain or loss (short-term or long-term) reported for covered security transactions on IRS Form 1099-B.

**Schedule of Realized Gains and Losses**

There are significant changes to this section due to new cost basis reporting requirements. We do not report this information to the IRS. However, it is used as the basis for what is reported on your Form 1099-B. For "Covered" securities transactions, the totals for each sale or exchange will also be displayed in the corresponding section of the 1099-B form of this tax statement. This will include the new cost basis information.

For "Noncovered" securities transactions, only the proceeds amounts (and any federal income taxes withheld from the proceeds) will be reported in the "Transactions for Which Basis Was Not Reported" section of the 1099-B form. Noncovered securities transactions are grouped on the 1099-B form regardless of holding period. Thus, this section provides valuable cost basis and holding period information to assist you with your tax preparation.

Transaction lots will be grouped and sorted by security in the following subsections:

- ◆ Short-Term Transactions for Which Basis Was Reported to the IRS (Covered)
- ◆ Short-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered)
- ◆ Long-Term Transactions for Which Basis Was Reported to the IRS (Covered)
- ◆ Long-Term Transactions for Which Basis Was Not Reported to the IRS (Noncovered)

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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| SOURCE                                         | AMOUNT |
|------------------------------------------------|--------|
| ADVANTAGE GOVT FUND/1                          | 23.    |
| TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A | 23.    |

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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| SOURCE                           | GROSS AMOUNT | CAPITAL GAINS<br>DIVIDENDS | COLUMN (A)<br>AMOUNT |
|----------------------------------|--------------|----------------------------|----------------------|
| CITI PRIVATE BANK-DIV/8          | 3,305.       | 0.                         | 3,305.               |
| CITI PRIVATE BANK-INT/8          | 1,817.       | 0.                         | 1,817.               |
| MERRILL LYNCH 626-12763/4        | 39,539.      | 0.                         | 39,539.              |
| OPPENHEIMER & CO G40-1168625/1   | 29,487.      | 0.                         | 29,487.              |
| OPPENHEIMER & CO G40-1168625/1   |              |                            |                      |
| CAP GAIN DIST                    | 720.         | 720.                       | 0.                   |
| OPPENHEIMER & CO G40-126244/6    | 4,728.       | 81.                        | 4,647.               |
| OPPENHEIMER & CO G40-1416479/9   | 4,510.       | 0.                         | 4,510.               |
| OPPENHEIMER & CO G401416487/10   | 3,169.       | 0.                         | 3,169.               |
| TOTAL TO FM 990-PF, PART I, LN 4 | 87,275.      | 801.                       | 86,474.              |

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FORM 990-PF ACCOUNTING FEES STATEMENT 3

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| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING                   | 4,500.                       | 4,500.                            |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 16B | 4,500.                       | 4,500.                            |                               | 0.                            |

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| FORM 990-PF                  | OTHER PROFESSIONAL FEES      |                                   |                               | STATEMENT                     | 4  |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|----|
| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |    |
| INVESTMENT ADVISORY FEE      | 24,648.                      | 24,648.                           |                               |                               | 0. |
| MISCELLANEOUS                | 19.                          | 19.                               |                               |                               | 0. |
| INVESTMENT EXPENSES/8        | 56.                          | 56.                               |                               |                               | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 24,723.                      | 24,723.                           |                               |                               | 0. |

| FORM 990-PF                 | TAXES                        |                                   |                               | STATEMENT                     | 5  |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|----|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |    |
| FEDERAL EXCISE TAX          | 2,720.                       | 0.                                |                               |                               | 0. |
| FOREIGN TAX WITHHELD        | 1,458.                       | 0.                                |                               |                               | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 4,178.                       | 0.                                |                               |                               | 0. |

| FORM 990-PF                                      | U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS |                |            | STATEMENT            | 6 |
|--------------------------------------------------|--------------------------------------------|----------------|------------|----------------------|---|
| DESCRIPTION                                      | U.S.<br>GOV'T                              | OTHER<br>GOV'T | BOOK VALUE | FAIR MARKET<br>VALUE |   |
| (SEE SCHEDULE) /4                                | X                                          |                | 292,379.   | 322,275.             |   |
| TOTAL U.S. GOVERNMENT OBLIGATIONS                |                                            |                | 292,379.   | 322,275.             |   |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS |                                            |                |            |                      |   |
| TOTAL TO FORM 990-PF, PART II, LINE 10A          |                                            |                | 292,379.   | 322,275.             |   |

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|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE STOCK | STATEMENT | 7 |
|-------------|-----------------|-----------|---|

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| DESCRIPTION                             | BOOK VALUE | FAIR MARKET VALUE |
|-----------------------------------------|------------|-------------------|
| (SEE SCHEDULE) /1                       | 918,208.   | 1,125,179.        |
| (SEE SCHEDULE) /4                       | 868,693.   | 873,699.          |
| (SEE SCHEDULE) /6                       | 176,676.   | 213,537.          |
| (SEE SCHEDULE) /8                       | 0.         | 0.                |
| (SEE SCHEDULE) /9                       | 231,800.   | 207,026.          |
| (SEE SCHEDULE) /10                      | 233,590.   | 188,599.          |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 2,428,967. | 2,608,040.        |

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|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE BONDS | STATEMENT | 8 |
|-------------|-----------------|-----------|---|

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| DESCRIPTION                             | BOOK VALUE | FAIR MARKET VALUE |
|-----------------------------------------|------------|-------------------|
| (SEE SCHEDULE) /4                       | 176,636.   | 187,782.          |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 176,636.   | 187,782.          |

# Mutual Funds

Open End Funds

Please note the following icon appears to the right of the stock symbol of those securities which Oppenheimer has provided research coverage within the last 12 months. If you wish to access such research you may visit the Client Access web site (www.opco.com) or speak with your Financial Advisor.

| Description                        | Account Type | Quantity   | Symbol | Unit Cost | Current Price | Total Cost Basis | Current Value | Unrealized Gain/(Loss) | EY     | EAI  | Portfolio Percent |
|------------------------------------|--------------|------------|--------|-----------|---------------|------------------|---------------|------------------------|--------|------|-------------------|
| VANGUARD SHORT TERM INV GRADE CORP | REINV        | 25,457.821 | VFSUX  | 10.5729   | 10.6400       | 269,163.30       | 270,871.21    | 1,708                  | 2.923% | 7919 | 17.33             |
| OPEN END                           |              |            |        |           |               |                  |               |                        |        |      |                   |
| SUB-TOTAL OPEN END FUNDS.....      |              |            |        |           |               |                  |               |                        |        |      |                   |
|                                    |              |            |        |           |               | 269,163.30       | 270,871.21    | 1,708                  |        | 7919 | 17.33             |

## Exchange Traded Funds

| Description                          | Account Type | Quantity | Symbol | Unit Cost | Current Price | Total Cost Basis | Current Value | Unrealized Gain/(Loss) | EY     | EAI  | Portfolio Percent |
|--------------------------------------|--------------|----------|--------|-----------|---------------|------------------|---------------|------------------------|--------|------|-------------------|
| ISHARES TR                           | CASH         | 3,989    | IJR    | 54.6101   | 68.3000       | 217,811.00       | 272,448.70    | 54,609                 | 1.024% | 2790 | 17.43             |
| S&P SMLCAP 600                       |              |          |        |           |               |                  |               |                        |        |      |                   |
| CLOSED END SBI/CBI ETF               |              |          |        |           |               |                  |               |                        |        |      |                   |
| SPDR S&P MIDCAP 400 ETF TR           | CASH         | 1,629    | MDY    | 133.5805  | 159.4900      | 217,602.61       | 259,809.21    | 42,207                 | 1.074% | 2791 | 16.62             |
| UTSER1 S&PDCRP                       |              |          |        |           |               |                  |               |                        |        |      |                   |
| UIT SBI/CBI                          |              |          |        |           |               |                  |               |                        |        |      |                   |
| SUB-TOTAL EXCHANGE TRADED FUNDS..... |              |          |        |           |               |                  |               |                        |        |      |                   |
|                                      |              |          |        |           |               | 435,442.28       | 532,257.91    | 96,816                 |        | 5581 | 34.05             |

## Closed End Funds

| Description                     | Account Type | Quantity | Symbol | Unit Cost | Current Price | Total Cost Basis | Current Value | Unrealized Gain/(Loss) | EY     | EAI   | Portfolio Percent |
|---------------------------------|--------------|----------|--------|-----------|---------------|------------------|---------------|------------------------|--------|-------|-------------------|
| FIDUCIARY CLAYMORE MLP OPP FD   | CASH         | 15,000   | FMO    | 20.0207   | 21.4700       | 213,631.29       | 322,050.00    | 21,740                 | 6.613% | 21300 | 20.59             |
| CLOSED END                      |              |          |        |           |               |                  |               |                        |        |       |                   |
| SUB-TOTAL CLOSED END FUNDS..... |              |          |        |           |               |                  |               |                        |        |       |                   |
|                                 |              |          |        |           |               |                  | 322,050.00    | 21,740                 |        | 21300 | 20.59             |
| TOTAL MUTUAL FUNDS.....         |              |          |        |           |               |                  |               |                        |        |       |                   |
|                                 |              |          |        |           |               | 918,208.20       | 1,125,179.12  | 120,264                |        | 34800 | 71.97             |

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MARK STALEY TTEE

Account Number: 626-12763

**YOUR CMA FOR TRUST ASSETS**

December 01, 2011 - December 30, 2011

| GOVERNMENT AND AGENCY SECURITIES <sup>1</sup>                                                                                                             |          |                    |                           |                        |                        |                        |                            |                         |                |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--------------------|---------------------------|------------------------|------------------------|------------------------|----------------------------|-------------------------|----------------|
| Description                                                                                                                                               | Acquired | Quantity           | Adjusted/Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Accrued Interest | Estimated Annual Income | Current Yield% |
| U.S. TREASURY NOTE<br>1.250% MAR 15 2014 01.250% MAR 15 2014<br>MOODY'S: AAA S&P: *** CUSIP: 912828PZ7<br>ORIGINAL UNIT/TOTAL COST: 100.2031/60,121.88    | 03/24/11 | 60,000 ✓           | 60,090.84 ✓               | 102.1170               | 61,270.20              | 1,179.36               | 218.41                     | 750                     | 1.22           |
| U.S. TREASURY NOTE<br>2.375% FEB 28 2015 02.375% FEB 28 2015<br>MOODY'S: AAA S&P: *** CUSIP: 912828MR8                                                    | 03/23/10 | 7,000 ✓            | 6,993.16 ✓                | 106.1020               | 7,427.14               | 433.98                 | 55.26                      | 167                     | 2.23           |
| U.S. TREASURY NOTE<br>Subtotal                                                                                                                            | 04/15/10 | 10,000 ✓<br>17,000 | 9,932.81 ✓<br>16,925.97   | 106.1020               | 10,610.20<br>18,037.34 | 677.39<br>1,111.37     | 78.95<br>134.21            | 238<br>405              | 2.23<br>2.23   |
| U.S. TREASURY NOTE<br>1.750% JUL 31 2015 01.750% JUL 31 2015<br>MOODY'S: AAA S&P: *** CUSIP: 912828NP1                                                    | 12/21/10 | 15,000 ✓           | 14,960.70 ✓               | 104.3750               | 15,656.25              | 695.55                 | 108.42                     | 263                     | 1.67           |
| U.S. TREASURY NOTE<br>2.625% FEB 29 2016 02.625% FEB 29 2016<br>MOODY'S: AAA S&P: *** CUSIP: 912828KS8<br>ORIGINAL UNIT/TOTAL COST: 100.5781/25,144.53    | 03/18/09 | 25,000 ✓           | 25,089.61 ✓               | 108.0940               | 27,023.50              | 1,933.89               | 218.15                     | 657                     | 2.42           |
| U.S. TREASURY NOTE<br>2.375% JUL 31 2017 02.375% JUL 31 2017<br>MOODY'S: AAA S&P: *** CUSIP: 912828NR7                                                    | 03/18/11 | 50,000 ✓           | 49,779.30 ✓               | 107.4770               | 53,738.50              | 3,959.20               | 490.49                     | 1,188                   | 2.20           |
| U.S. TRSY INFLATION NTE<br>1.375% JUL 15 2018 INFL ADJ PRIN 15,752<br>MOODY'S AAA S&P: *** CUSIP 912828JE1<br>ORIGINAL UNIT/TOTAL COST: 97.4522/14,617.84 | 08/26/09 | 15,000 ✓           | 15,385.75 ✓               | 113.2190               | 17,834.37              | 2,448.62               | 94.16                      | 217                     | 1.21           |
| U.S. TREASURY NOTE<br>3.125% MAY 15 2019 03.125% MAY 15 2019<br>MOODY'S: AAA S&P: *** CUSIP: 912828KQ2                                                    | 05/21/09 | 20,000 ✓           | 19,934.38 ✓               | 112.1250               | 22,425.00              | 2,490.62               | 77.27                      | 625                     | 2.78           |

MARK STALEY TTEE

Account Number: 626-12763

24-Hour Assistance: (800) MERRILL

## YOUR CMA FOR TRUST ASSETS

December 01, 2011 - December 30, 2011

| GOVERNMENT AND AGENCY SECURITIES <sup>1</sup> (continued)                                                                                                |                  |          |                              |                           |                           |                           |                               |                                    |        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|----------|------------------------------|---------------------------|---------------------------|---------------------------|-------------------------------|------------------------------------|--------|
| Description                                                                                                                                              | Acquired         | Quantity | Adjusted/Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Estimated<br>Accrued Interest | Estimated Current<br>Annual Income | Yield% |
| Δ U.S. TREASURY NOTE<br>3.500% MAY 15 2020 03.500% MAY 15 2020<br>MOODY'S: AAA S&P: *** CUSIP: 912828ND8<br>ORIGINAL UNIT/TOTAL COST: 102.1900/40.876.00 | 03/22/11         | 40,000 ✓ | 40,811.36 ✓                  | 115.0390                  | 46,015.60                 | 5,204.24                  | 173.08                        | 1,400                              | 3.04   |
| U.S. TREASURY NOTE<br>3.625% FEB 15 2021 03.625% FEB 15 2021 (11/25)<br>MOODY'S: AAA S&P: *** CUSIP: 912828PX2                                           | 02/15/11         | 10,000 ✓ | 9,992.50 ✓                   | 116.1020                  | 11,610.20                 | 1,617.70                  | 134.95                        | 363                                | 3.12   |
| Δ U.S. TREASURY NOTE<br>ORIGINAL UNIT/TOTAL COST: 101.5820/20,316.40                                                                                     | 02/23/11 (11/25) | 20,000 ✓ | 20,293.40 ✓                  | 116.1020                  | 23,220.40                 | 2,927.00                  | 269.90                        | 725                                | 3.12   |
| Subtotal                                                                                                                                                 |                  | 30,000   | 30,285.90                    |                           | 34,830.60                 | 4,544.70                  | 404.85                        | 1,088                              | 3.12   |
| U.S. TREASURY BOND<br>4.250% MAY 15 2039 04.250% MAY 15 2039<br>MOODY'S: AAA S&P: *** CUSIP: 912810QB7                                                   | 11/24/09         | 5,000 ✓  | 4,976.56 ✓                   | 127.2190                  | 6,360.95                  | 1,384.39                  | 26.27                         | 213                                | 3.34   |
| U.S. TREASURY BOND<br>ORIGINAL UNIT/TOTAL COST: 101.5820/20,316.40                                                                                       | 03/23/10 (11/25) | 5,000 ✓  | 4,725.98 ✓                   | 127.2190                  | 6,360.95                  | 1,634.97                  | 26.27                         | 213                                | 3.34   |
| U.S. TREASURY BOND                                                                                                                                       | 11/18/10         | 10,000 ✓ | 9,928.91 ✓                   | 127.2190                  | 12,721.90                 | 2,792.99                  | 52.54                         | 425                                | 3.34   |
| Subtotal                                                                                                                                                 |                  | 20,000   | 19,631.45                    |                           | 25,443.80                 | 5,812.35                  | 105.08                        | 851                                | 3.34   |
| <b>TOTAL</b>                                                                                                                                             |                  | 292,000  | 292,895.26                   |                           | 322,275.16                | 29,379.90                 | 2,024.12                      | 7,444                              | 2.31   |
| CORPORATE BONDS                                                                                                                                          |                  |          |                              |                           |                           |                           |                               |                                    |        |
| Description                                                                                                                                              | Acquired         | Quantity | Adjusted/Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Estimated<br>Accrued Interest | Estimated Current<br>Annual Income | Yield% |
| HEWLETT-PACKARD CO<br>GLB 05.250% MAR 01.2012<br>MOODY'S: A2 S&P: BBB+ CUSIP: 428236AL7                                                                  | 10/17/08         | 25,000 ✓ | 24,718.75 ✓                  | 100.6560                  | 25,164.00                 | 445.25                    | 433.85                        | 1,313                              | 5.21   |
| Δ PEPSICO INC<br>05.150% MAY 15 2012<br>MOODY'S: AA3 S&P: A- CUSIP: 713448BF4<br>ORIGINAL UNIT/TOTAL COST: 100.5000/25.125.00                            | 10/17/08         | 25,000 ✓ | 25,014.07 ✓                  | 101.6870                  | 25,421.75                 | 407.68                    | 160.94                        | 1,288                              | 5.06   |



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MARK STALEY TTEE

Account Number: 626-12763

## YOUR CMA FOR TRUST ASSETS

December 01, 2011 - December 30, 2011

| <b>CORPORATE BONDS</b> (continued)                                                                                                                         | Acquired | Quantity | Adjusted/Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Estimated<br>Accrued Interest | Estimated Current<br>Annual Income | Yield% |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|------------------------------|---------------------------|---------------------------|---------------------------|-------------------------------|------------------------------------|--------|
| PITNEY BOWES INC<br>04.625% OCT 01 2012<br>MOODY'S: A2 S&P: BBB+ CUSIP: 724479AF7                                                                          | 10/17/08 | 25,000 ✓ | 24,824.75 ✓                  | 102.5270                  | 25,631.75                 | 807.00                    | 285.85                        | 1,157                              | 4.51   |
| KELLOGG CO<br>GLB 04.250% MAR 06 2013<br>MOODY'S: A3 S&P: BBB+ CUSIP: 487836BA5                                                                            | 12/19/08 | 25,000 ✓ | 24,755.25 ✓                  | 103.8350                  | 25,958.75                 | 1,203.50                  | 336.46                        | 1,063                              | 4.09   |
| Δ GENERAL DYNAMICS CORP<br>COMPANY GUARNT GLB 04.250% MAY 15 2013<br>MOODY'S A2 S&P: A CUSIP: 369550AK4<br>ORIGINAL UNIT/TOTAL COST: 104,0000/26,000.00    | 04/24/09 | 25,000 ✓ | 25,353.50                    | 104.8780                  | 26,219.50                 | 866.00                    | 132.81                        | 1,063                              | 4.05   |
| Δ WALGREEN CO<br>GLB 05.250% JAN 15 2019<br>MOODY'S: A2 S&P: A CUSIP: 931422AE9<br>ORIGINAL UNIT/TOTAL COST: 101 7500/25,437.50                            | 01/21/09 | 25,000 ✓ | 25,330.48                    | 118.8840                  | 29,721.00                 | 4,390.52                  | 601.56                        | 1,313                              | 4.41   |
| Δ MCDONALD'S CORP<br>SER MTN 05.000% FEB 01 2019<br>MOODY'S: A2 S&P: A CUSIP: 58013MEG5<br>ORIGINAL UNIT/TOTAL COST: 103.0992/25,774.82                    | 01/21/09 | 25,000 ✓ | 25,583.34                    | 118.6610                  | 29,665.25                 | 4,081.91                  | 517.36                        | 1,250                              | 4.21   |
| <b>TOTAL</b>                                                                                                                                               |          | 175,000  | 175,580.14                   |                           | 187,782.00 ✓              | 12,201.86                 | 2 468.83                      | 8,447                              | 4.50   |
| <b>PREFERRED STOCKS</b>                                                                                                                                    | Acquired | Quantity | Adjusted/Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Estimated<br>Accrued Interest | Estimated Current<br>Annual Income | Yield% |
| GEORGIA POWER COMPANY<br>NEW MONEY SER 07-A 06.500% PERPETUAL<br>MOODY'S: BAA2 S&P: BBB+ CUSIP: 373334119<br>PAR CALL DATE: 10/01/17 PAR CALL PRICE 100.00 | 12/21/10 | 75 ✓     | 7,912.50 ✓                   | 109.6000                  | 8,220.00                  | 307.50                    |                               | 488                                | 5.93   |
| <b>TOTAL</b>                                                                                                                                               |          | 75       | 7,912.50                     |                           | 8,220.00                  | 307.50                    |                               | 488                                | 5.94   |

MARK STALEY TTEE

Account Number: 626-12763

24-Hour Assistance: (800) MERRILL

## YOUR CMA FOR TRUST ASSETS

December 01, 2011 - December 30, 2011

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) Annual | Estimated Current<br>Income Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|------------------------------------|
| GENERAL ELECTRIC                    | GE     | 01/26/07 | 400      | 36.0200            | 14,408.00           | 17.9100                   | 7,164.00                  | (7,244.00)                       | 272 3.79                           |
|                                     |        | 03/18/11 | 300      | 19.7490            | 5,924.70            | 17.9100                   | 5,373.00                  | (551.70)                         | 204 3.79                           |
|                                     |        | 08/10/11 | 300      | 15.3145            | 4,594.35            | 17.9100                   | 5,373.00                  | 778.65                           | 204 3.79                           |
| Subtotal                            |        |          | 1,000    | ✓                  | 24,927.05           | ✓                         | 17,910.00                 | (7,017.05)                       | 680 3.79                           |
| GENL DYNAMICS CORP COM              | GD     | 01/26/07 | 100      | 77.5100            | 7,751.00            | 66.4100                   | 6,641.00                  | (1,110.00)                       | 188 2.83                           |
|                                     |        | 08/24/11 | 50       | 60.1900            | 3,009.50            | 66.4100                   | 3,320.50                  | 311.00                           | 94 2.83                            |
| Subtotal                            |        |          | 150      | ✓                  | 10,760.50           | ✓                         | 9,961.50                  | (799.00)                         | 282 2.83                           |
| GOLDMAN SACHS GROUP INC             | GS     | 03/15/11 | 100      | 155.2900           | 15,529.00           | 90.4300                   | 9,043.00                  | (6,486.00)                       | 140 1.54                           |
|                                     |        | 07/19/11 | 50       | 126.2900           | 6,314.50            | 90.4300                   | 4,521.50                  | (1,793.00)                       | 70 1.54                            |
| Subtotal                            |        |          | 150      | ✓                  | 21,843.50           | ✓                         | 13,564.50                 | (8,279.00)                       | 210 1.54                           |
| GOOGLE INC CL A                     | GOOG   | 04/18/11 | 25       | ✓                  | 526.2000            | ✓                         | 645.9000                  | 2,992.50                         |                                    |
| HONEYWELL INTL INC DEL              | HON    | 02/11/10 | 100      | 38.0673            | 3,806.73            | 54.3500                   | 5,435.00                  | 1,628.27                         | 149 2.74                           |
|                                     |        | 06/15/11 | 100      | 56.2864            | 5,628.64            | 54.3500                   | 5,435.00                  | (193.64)                         | 149 2.74                           |
|                                     |        | 08/02/11 | 50       | 51.6300            | 2,581.50            | 54.3500                   | 2,717.50                  | 136.00                           | 75 2.74                            |
| Subtotal                            |        |          | 250      | ✓                  | 12,016.87           | ✓                         | 13,587.50                 | 1,570.63                         | 373 2.74                           |
| HSBC HLDG PLC SP ADR                | HBC    | 08/25/10 | 200      | ✓                  | 48.7772             | ✓                         | 38.1000                   | (2,135.44)                       | 390 5.11                           |
| INTL BUSINESS MACHINES<br>CORP IBM  | IBM    | 03/10/11 | 100      | ✓                  | 162.7300            | ✓                         | 183.8800                  | 2,115.00                         | 300 1.63                           |
| JOHNSON AND JOHNSON COM             | JNJ    | 07/26/06 | 200      | ✓                  | 61.9900             | ✓                         | 65.5800                   | 718.00                           | 456 3.47                           |
| JOHNSON CONTROLS INC                | JCI    | 06/03/11 | 200      | 37.6656            | 7,533.12            | 31.2600                   | 6,252.00                  | (1,281.12)                       | 144 2.30                           |
|                                     |        | 08/10/11 | 100      | 31.0020            | 3,100.20            | 31.2600                   | 3,126.00                  | 25.80                            | 72 2.30                            |
| Subtotal                            |        |          | 300      | ✓                  | 10,633.32           | ✓                         | 9,378.00                  | (1,255.32)                       | 216 2.30                           |
| JPMORGAN CHASE & CO                 | JPM    | 01/26/07 | 200      | 49.5800            | 9,916.00            | 33.2500                   | 6,650.00                  | (3,266.00)                       | 200 3.00                           |
|                                     |        | 01/25/08 | 100      | 44.0900            | 4,409.00            | 33.2500                   | 3,325.00                  | (1,084.00)                       | 100 3.00                           |
|                                     |        | 06/15/11 | 50       | 40.7200            | 2,036.00            | 33.2500                   | 1,662.50                  | (373.50)                         | 50 3.00                            |
| Subtotal                            |        |          | 350      | ✓                  | 16,361.00           | ✓                         | 11,637.50                 | (4,723.50)                       | 350 3.00                           |
| KIMBERLY CLARK                      | KMB    | 04/26/11 | 100      | ✓                  | 65.1400             | ✓                         | 73.5600                   | 842.00                           | 280 3.80                           |



MARK STALEY TTEE

Account Number: 626-12763

# YOUR CMA FOR TRUST ASSETS

December 01, 2011 - December 30, 2011

| EQUITIES (continued)<br>Description | Symbol | Acquired                         | Quantity                   | Unit<br>Cost Basis            | Total<br>Cost Basis                             | Estimated<br>Market Price     | Estimated<br>Market Value                     | Unrealized<br>Gain/(Loss)                          | Annual Income           | Estimated Current<br>Yield%  |
|-------------------------------------|--------|----------------------------------|----------------------------|-------------------------------|-------------------------------------------------|-------------------------------|-----------------------------------------------|----------------------------------------------------|-------------------------|------------------------------|
| MAGNA INTL INC CL A VTG             | MGA    | 02/23/11<br>03/15/11<br>08/02/11 | 100<br>100<br>50<br>250 ✓  | 55.1845<br>48.5754<br>46.0500 | 5,518.45<br>4,857.54<br>2,302.50<br>12,678.49 ✓ | 33.3100<br>33.3100<br>33.3100 | 3,331.00<br>3,331.00<br>1,665.50<br>8,327.50  | (2,187.45)<br>(1,526.54)<br>(637.00)<br>(4,350.99) | 100<br>100<br>50<br>250 | 3.00<br>3.00<br>3.00<br>3.00 |
| <b>Subtotal</b>                     |        |                                  |                            |                               |                                                 |                               |                                               |                                                    |                         |                              |
| MCDONALDS CORP COM                  | MCD    | 03/22/11                         | 100 ✓                      | 73.6423                       | 7,364.23 ✓                                      | 100.3300                      | 10,033.00                                     | 2,668.77                                           | 280                     | 2.79                         |
| MEDCO HEALTH SOLUTIONS I            | MHS    | 01/26/07                         | 100 ✓                      | 28.9850                       | 2,898.50                                        | 55.9000                       | 5,590.00                                      | 2,691.50                                           |                         |                              |
| MEDTRONIC INC COM                   | MDT    | 06/30/10<br>03/11/11<br>08/18/11 | 100<br>100<br>100<br>300 ✓ | 36.4000<br>38.2955<br>31.1442 | 3,640.00<br>3,829.55<br>3,114.42<br>10,583.97 ✓ | 38.2500<br>38.2500<br>38.2500 | 3,825.00<br>3,825.00<br>3,825.00<br>11,475.00 | 185.00<br>(4.55)<br>710.58<br>891.03               | 97<br>97<br>97<br>291   | 2.53<br>2.53<br>2.53<br>2.53 |
| <b>Subtotal</b>                     |        |                                  |                            |                               |                                                 |                               |                                               |                                                    |                         |                              |
| MERCK AND CO INC SHS                | MRK    | 03/11/11                         | 400 ✓                      | 32.7000                       | 13,080.00 ✓                                     | 37.7000                       | 15,080.00                                     | 2,000.00                                           | 672                     | 4.45                         |
| MICROSOFT CORP                      | MSFT   | 04/09/02<br>02/04/10<br>06/30/10 | 200<br>100<br>200<br>500 ✓ | 27.9900<br>27.8973<br>23.5965 | 5,598.00<br>2,789.73<br>4,719.30<br>13,107.03 ✓ | 25.9600<br>25.9600<br>25.9600 | 5,192.00<br>2,596.00<br>5,192.00<br>12,980.00 | (406.00)<br>(193.73)<br>472.70<br>(127.03)         | 160<br>80<br>160<br>400 | 3.08<br>3.08<br>3.08<br>3.08 |
| <b>Subtotal</b>                     |        |                                  |                            |                               |                                                 |                               |                                               |                                                    |                         |                              |
| NEXTERA ENERGY INC SHS              | NEE    | 08/24/06                         | 200 ✓                      | 43.7400                       | 8,748.00 ✓                                      | 60.8800                       | 12,176.00                                     | 3,428.00                                           | 440                     | 3.61                         |
| NIKE INC CL B                       | NKE    | 03/14/11                         | 150 ✓                      | 85.7310                       | 12,859.65 ✓                                     | 96.3700                       | 14,455.50                                     | 1,595.85                                           | 216                     | 1.49                         |
| NOKIA CORP SPON ADR                 | NOK    | 02/05/08<br>02/11/10<br>04/20/11 | 100<br>200<br>200<br>500 ✓ | 34.7600<br>13.0194<br>8.5964  | 3,476.00<br>2,603.88<br>1,719.28<br>7,799.16 ✓  | 4.8200<br>4.8200<br>4.8200    | 482.00<br>964.00<br>964.00<br>2,410.00        | (2,994.00)<br>(1,639.88)<br>(755.28)<br>(5,389.16) | 42<br>83<br>83<br>208   | 8.52<br>8.52<br>8.52<br>8.52 |
| <b>Subtotal</b>                     |        |                                  |                            |                               |                                                 |                               |                                               |                                                    |                         |                              |
| NORFOLK SOUTHERN CORP               | NSC    | 03/22/11<br>08/05/11             | 100<br>50<br>150 ✓         | 67.5426<br>69.3900            | 6,754.26<br>3,469.50<br>10,223.76 ✓             | 72.8600<br>72.8600            | 7,286.00<br>3,643.00<br>10,929.00             | 531.74<br>173.50<br>705.24                         | 172<br>86<br>258        | 2.36<br>2.36<br>2.36         |
| <b>Subtotal</b>                     |        |                                  |                            |                               |                                                 |                               |                                               |                                                    |                         |                              |
| NOVARTIS ADR                        | NVS    | 05/06/10                         | 200 ✓                      | 48.9574                       | 9,791.48 ✓                                      | 57.1700                       | 11,434.00                                     | 1,642.52                                           | 401                     | 3.50                         |
| OCCIDENTAL PETE CORP CAL            | OXY    | 04/18/11<br>08/05/11             | 100<br>50<br>150 ✓         | 97.0564<br>88.3700            | 9,705.64<br>4,418.50<br>14,124.14 ✓             | 93.7000<br>93.7000            | 9,370.00<br>4,685.00<br>14,055.00             | (335.64)<br>266.50<br>(69.14)                      | 184<br>92<br>276        | 1.96<br>1.96<br>1.96         |
| <b>Subtotal</b>                     |        |                                  |                            |                               |                                                 |                               |                                               |                                                    |                         |                              |

MARK STALEY TTEE

Account Number: 626-12763

24-Hour Assistance: (800) MERRILL

## YOUR CMA FOR TRUST ASSETS

December 01, 2011 - December 30, 2011

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit       |           | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Annual Income | Estimated Current<br>Yield% |
|-------------------------------------|--------|----------|----------|------------|-----------|---------------------|---------------------------|---------------------------|---------------------------|---------------|-----------------------------|
|                                     |        |          |          | Cost Basis | Subtotal  |                     |                           |                           |                           |               |                             |
| ORACLE CORP \$0.01 DEL              | ORCL   | 11/24/09 | 200      | 22.2700    | 4,454.00  | 25.6500             | 5,130.00                  | 676.00                    |                           | 48            | .93                         |
|                                     |        | 11/24/09 | 300      | 22.2699    | 6,680.97  | 25.6500             | 7,695.00                  | 1,014.03                  |                           | 72            | .93                         |
| Subtotal                            |        |          | 500      | ✓          | 11,134.97 | ✓                   | 12,825.00                 | 1,690.03                  |                           | 120           | .93                         |
| PEPSICO INC                         | PEP    | 01/26/07 | 200      | ✓ 64.5200  | 12,904.00 | ✓ 66.3500           | 13,270.00                 | 366.00                    |                           | 412           | 3.10                        |
| PFIZER INC                          | PFE    | 04/09/02 | 800      | ✓ 38.7700  | 31,016.00 | ✓ 21.6400           | 17,312.00                 | (13,704.00)               |                           | 704           | 4.06                        |
| PHILIP MORRIS INTL INC              | PM     | 01/26/07 | 200      | ✓ 46.5212  | 9,304.24  | ✓ 78.4800           | 15,696.00                 | 6,391.76                  |                           | 617           | 3.92                        |
| PROCTER & GAMBLE CO                 | PG     | 01/26/07 | 300      | ✓ 64.5867  | 19,376.01 | ✓ 66.7100           | 20,013.00                 | 636.99                    |                           | 631           | 3.14                        |
| QUALCOMM INC                        | QCOM   | 01/26/07 | 200      | 37.6000    | 7,520.00  | 54.7000             | 10,940.00                 | 3,420.00                  |                           | 172           | 1.57                        |
|                                     |        | 08/10/11 | 50       | 47.6700    | 2,383.50  | 54.7000             | 2,735.00                  | 351.50                    |                           | 43            | 1.57                        |
| Subtotal                            |        |          | 250      | ✓          | 9,903.50  | ✓                   | 13,675.00                 | 3,771.50                  |                           | 215           | 1.57                        |
| RIO TINTO PLC SPNSRD ADR            | RIO    | 06/15/11 | 100      | ✓ 66.1527  | 6,615.27  | ✓ 48.9200           | 4,892.00                  | (1,723.27)                |                           | 117           | 2.38                        |
| ROYAL DUTCH SHELL PLC               | RDSB   | 05/25/10 | 100      | 49.3300    | 4,933.00  | 76.0100             | 7,601.00                  | 2,668.00                  |                           | 336           | 4.42                        |
| SPONS ADR B                         |        | 08/05/11 | 50       | 64.8800    | 3,244.00  | 76.0100             | 3,800.50                  | 556.50                    |                           | 168           | 4.42                        |
| Subtotal                            |        |          | 150      | ✓          | 8,177.00  | ✓                   | 11,401.50                 | 3,224.50                  |                           | 504           | 4.42                        |
| SANOFI ADR                          | SNY    | 02/11/10 | 100      | 35.9199    | 3,591.99  | 36.5400             | 3,654.00                  | 62.01                     |                           | 133           | 3.61                        |
|                                     |        | 05/25/10 | 100      | 28.6385    | 2,863.85  | 36.5400             | 3,654.00                  | 790.15                    |                           | 133           | 3.61                        |
|                                     |        | 03/22/11 | 100      | 33.6664    | 3,366.64  | 36.5400             | 3,654.00                  | 287.36                    |                           | 133           | 3.61                        |
| Subtotal                            |        |          | 300      | ✓          | 9,822.48  | ✓                   | 10,962.00                 | 1,139.52                  |                           | 399           | 3.61                        |
| SCHLUMBERGER LTD                    | SLB    | 02/05/08 | 200      | ✓ 77.0419  | 15,408.38 | ✓ 68.3100           | 13,662.00                 | (1,746.38)                |                           | 200           | 1.46                        |
| SIEMENS AG ADR                      | SI     | 07/21/09 | 100      | ✓ 76.5664  | 7,656.64  | ✓ 95.6100           | 9,561.00                  | 1,904.36                  |                           | 296           | 3.08                        |
| SOUTHERN COMPANY                    | SO     | 03/11/11 | 400      | ✓ 38.2555  | 15,302.20 | 46.2900             | 18,516.00                 | 3,213.80                  |                           | 757           | 4.08                        |
| TARGET CORP COM                     | TGT    | 01/22/04 | 200      | 38.3000    | 7,660.00  | 51.2200             | 10,244.00                 | 2,584.00                  |                           | 240           | 2.34                        |
|                                     |        | 06/03/11 | 50       | 47.5100    | 2,375.50  | 51.2200             | 2,561.00                  | 185.50                    |                           | 60            | 2.34                        |
|                                     |        | 07/19/11 | 50       | 50.9900    | 2,549.50  | 51.2200             | 2,561.00                  | 11.50                     |                           | 60            | 2.34                        |
| Subtotal                            |        |          | 300      | ✓          | 12,585.00 | ✓                   | 15,366.00                 | 2,781.00                  |                           | 360           | 2.34                        |
| TELEFONICA SA SPAIN ADR             | TEF    | 05/11/11 | 100      | 24.4146    | 2,441.46  | 17.1900             | 1,719.00                  | (722.46)                  |                           | 172           | 9.95                        |
|                                     |        | 05/11/11 | 200      | ✓ 24.4200  | 4,884.00  | 17.1900             | 3,438.00                  | (1,446.00)                |                           | 343           | 9.95                        |
| Subtotal                            |        |          | 300      | ✓          | 7,325.46  | ✓                   | 5,157.00                  | (2,168.46)                |                           | 515           | 9.95                        |



MARK STALEY TTEE

Account Number: 626-12763

## YOUR CMA FOR TRUST ASSETS

December 01, 2011 - December 30, 2011

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis           | Total<br>Cost Basis       | Estimated<br>Market Price | Estimated<br>Market Value     | Unrealized<br>Gain/(Loss)  | Annual Income     | Estimated Current<br>Yield% |
|-------------------------------------|--------|----------|----------|------------------------------|---------------------------|---------------------------|-------------------------------|----------------------------|-------------------|-----------------------------|
| TEVA PHARMACEUTICALS ADR            | TEVA   | 01/26/07 | 200 ✓    | 34.6699                      | 6,933.98 ✓                | 40.3600                   | 8,072.00                      | 1,138.02                   | 157               | 1.94                        |
| TEXAS INSTRUMENTS                   | TXN    | 03/18/11 | 300      | 33.5344                      | 10,060.32                 | 29.1100                   | 8,733.00                      | (1,327.32)                 | 204               | 2.33                        |
|                                     |        | 08/10/11 | 100      | 26.4245                      | 2,642.45                  | 29.1100                   | 2,911.00                      | 268.55                     | 68                | 2.33                        |
| Subtotal                            |        |          | 400 ✓    | 12,702.77 ✓                  |                           |                           | 11,644.00                     | (1,058.77)                 | 272               | 2.33                        |
| TORCHMARK CORP COM                  | TMK    | 07/21/09 | 300 ✓    | 26.8510                      | 8,055.30 ✓                | 43.3900                   | 13,017.00                     | 4,961.70                   | 144               | 1.10                        |
| TORONTO DOMINION BANK               | TD     | 02/23/11 | 100      | 79.9390                      | 7,993.90 ✓                | 74.8100                   | 7,481.00                      | (512.90)                   | 267               | 3.56                        |
| UNITED TECHS CORP COM               | UTX    | 03/11/11 | 200 ✓    | 80.9900                      | 16,198.00 ✓               | 73.0900                   | 14,618.00                     | (1,580.00)                 | 384               | 2.62                        |
| VERIZON COMMUNICATIONS COM          | VZ     | 01/26/07 | 200 ✓    | 34.8903                      | 6,978.07 ✓                | 40.1200                   | 8,024.00                      | 1,045.93                   | 400               | 4.98                        |
| WAL-MART STORES INC                 | WMT    | 03/10/11 | 200 ✓    | 52.5100                      | 10,502.00 ✓               | 59.7600                   | 11,952.00                     | 1,450.00                   | 292               | 2.44                        |
| WALGREEN CO                         | WAG    | 10/26/07 | 100      | 40.0800                      | 4,008.00                  | 33.0600                   | 3,306.00                      | (702.00)                   | 90                | 2.72                        |
|                                     |        | 01/20/10 | 100      | 36.9400                      | 3,694.00                  | 33.0600                   | 3,306.00                      | (388.00)                   | 90                | 2.72                        |
|                                     |        | 08/18/11 | 100      | 33.9045                      | 3,390.45                  | 33.0600                   | 3,306.00                      | (84.45)                    | 90                | 2.72                        |
| Subtotal                            |        |          | 300 ✓    | 11,092.45 ✓                  |                           |                           | 9,918.00                      | (1,174.45)                 | 270               | 2.72                        |
| WASTE MANAGEMENT INC NEW            | WM     | 01/26/07 | 200 ✓    | 37.4800                      | 7,496.00 ✓                | 32.7100                   | 6,542.00                      | (954.00)                   | 272               | 4.15                        |
| TOTAL                               |        |          |          |                              | 863,044.36                |                           | 875,129.00                    | 12,084.64                  | 24,508            | 2.80                        |
| <b>LONG PORTFOLIO</b>               |        |          |          |                              |                           |                           |                               |                            |                   |                             |
|                                     |        |          |          | Adjusted/Total<br>Cost Basis | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Estimated<br>Accrued Interest | Estimated<br>Annual Income | Current<br>Yield% |                             |
| TOTAL                               |        |          |          | 1,374,464.71                 | 1,428,438.61              | 53,973.90                 | 4,492.95                      | 40,939                     | 2.87              |                             |

### Notes

△ Debt Instruments purchased at a premium show amortization      θ Debt Instruments purchased at a discount show accretion  
 \* Some agency securities are not backed by the full faith and credit of the United States government.  
 For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

Ⓐ 875,129.00  
 < 1,430.00  
 873,699.00

MARK STALEY TTEE

Account Number: 626-12763

24-Hour Assistance: (800) MERRILL

## YOUR CMA FOR TRUST LIABILITIES

December 01, 2011- December 30, 2011

| SHORTS<br>Description                                       | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Estimated<br>Annual Income | Current<br>Yield% |
|-------------------------------------------------------------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|---------------------------|----------------------------|-------------------|
| CALL HBC 00048.000<br>HSBC HLDG PLC SP ADR EXP 06-16-2012   | 12/08/11 | 2 ✓      | 0.6799             | 135.99 ✓            | 0.3050                    | 61.00                     | 74.99                     |                            |                   |
| CALL AXP 00060.000<br>AMER EXPRESS COMPANY EXP 04-21-2012   | 10/28/11 | 1 ✓      | 1.2499             | 124.99 ✓            | 0.0700                    | 7.00                      | 117.99                    |                            |                   |
| CALL QCOM 00065.000<br>QUALCOMM INC EXP 04-21-2012          | 10/28/11 | 1 ✓      | 0.7299             | 72.99 ✓             | 0.4700                    | 47.00                     | 25.99                     |                            |                   |
| CALL TD 00080.000<br>TORONTO DOMINION BANK EXP 04-21-2012   | 12/08/11 | 1 ✓      | 1.0499             | 104.99 ✓            | 1.2500                    | 125.00                    | (20.01)                   |                            |                   |
| CALL NOK 00010.000<br>NOKIA CORP SPON ADR EXP 04-21-2012    | 10/28/11 | 5 ✓      | 0.1699             | 84.99 ✓             | 0.0500                    | 25.00                     | 59.99                     |                            |                   |
| CALL PEP 00070.000<br>PEPSICO INC EXP 04-21-2012            | 10/28/11 | 1 ✓      | 0.4999             | 49.99 ✓             | 0.8000                    | 80.00                     | (30.01)                   |                            |                   |
| CALL ACE 00075.000<br>ACE LIMITED EXP 02-18-2012            | 08/24/11 | 1 ✓      | 0.7999             | 79.99 ✓             | 0.4500                    | 45.00                     | 34.99                     |                            |                   |
| CALL SI 00140.000<br>SIEMENS AG ADR EXP 01-21-2012          | 10/28/11 | 1 ✓      | 0.3999             | 39.99 ✓             | 0.1000                    | 10.00                     | 29.99                     |                            |                   |
| CALL DLR 00075.000<br>DIGITAL RLTY TR INC EXP 04-21-2012    | 11/07/11 | 2 ✓      | 0.7499             | 149.99 ✓            | 0.5000                    | 100.00                    | 49.99                     |                            |                   |
| CALL GS 00170.000<br>GOLDMAN SACHS GROUP INC EXP 01-21-2012 | 07/19/11 | 1 ✓      | 0.5799             | 57.99 ✓             | 0.0100                    | 1.00                      | 56.99                     |                            |                   |
| CALL ALL 00030.000<br>ALLSTATE CORP DEL COM EXP 04-21-2012  | 09/16/11 | 1 ✓      | 0.7499             | 74.99 ✓             | 0.5300                    | 53.00                     | 21.99                     |                            |                   |
| CALL JPM 00040.000<br>JPMORGAN CHASE & CO EXP 03-17-2012    | 12/08/11 | 2 ✓      | 0.5499             | 109.99 ✓            | 0.2500                    | 50.00                     | 59.99                     |                            |                   |
| CALL TGT 00062.500<br>TARGET CORP COM EXP 01-21-2012        | 07/19/11 | 2 ✓      | 0.2999             | 59.99 ✓             | 0.0200                    | 4.00                      | 55.99                     |                            |                   |
| CALL WAG 00050.000<br>WALGREEN CO EXP 01-21-2012            | 07/19/11 | 2 ✓      | 0.3999             | 79.99 ✓             | 0.0100                    | 2.00                      | 77.99                     |                            |                   |



MARK STALEY TTEE

Account Number: 626-12763

## YOUR CMA FOR TRUST LIABILITIES

December 01, 2011 - December 30, 2011

| SHORTS (continued) | Description                             | Acquired | Quantity | Unit | Cost Basis | Total    | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Current Yield% |
|--------------------|-----------------------------------------|----------|----------|------|------------|----------|------------------------|------------------------|------------------------|-------------------------|----------------|
| CALL ECA           | 00038.000                               | 07/19/11 | 3        | ✓    | 0.2999     | 89.99 ✓  | 0.0500                 | 15.00                  | 74.99                  |                         |                |
|                    | ENCANA CORP EXP 01-21-2012              |          |          |      |            |          |                        |                        |                        |                         |                |
| CALL DIS           | 00047.000                               | 07/19/11 | 2        | ✓    | 0.3799     | 75.99 ✓  | 0.0500                 | 10.00                  | 65.99                  |                         |                |
|                    | DISNEY (WALT) CO COM STK EXP 01-21-2012 |          |          |      |            |          |                        |                        |                        |                         |                |
| CALL GD            | 00077.500                               | 08/24/11 | 1        | ✓    | 0.4999     | 49.99 ✓  | 0.1000                 | 10.00                  | 39.99                  |                         |                |
|                    | GENL DYNAMICS CORP COM EXP 02-18-2012   |          |          |      |            |          |                        |                        |                        |                         |                |
| CALL MHS           | 00057.500                               | 09/16/11 | 1        | ✓    | 1.2499     | 124.99 ✓ | 0.8500                 | 85.00                  | 39.99                  |                         |                |
|                    | MEDCO HEALTH SOLUTIONS I EXP 01-21-2012 |          |          |      |            |          |                        |                        |                        |                         |                |
| CALL TXN           | 00036.000                               | 10/28/11 | 2        | ✓    | 0.7499     | 149.99 ✓ | 0.1000                 | 20.00                  | 129.99                 |                         |                |
|                    | TEXAS INSTRUMENTS EXP 04-21-2012        |          |          |      |            |          |                        |                        |                        |                         |                |
| CALL EXC           | 00047.500                               | 07/19/11 | 1        | ✓    | 0.3999     | 39.99 ✓  | 0.0500                 | 5.00                   | 34.99                  |                         |                |
|                    | EXELON CORPORATION EXP 01-21-2012       |          |          |      |            |          |                        |                        |                        |                         |                |
| CALL CB            | 00070.000                               | 07/19/11 | 1        | ✓    | 0.3599     | 35.99 ✓  | 1.0000                 | 100.00                 | (64.01)                |                         |                |
|                    | CHUBB CORP EXP 01-21-2012               |          |          |      |            |          |                        |                        |                        |                         |                |
| CALL WM            | 00040.000                               | 10/28/11 | 2        | ✓    | 0.2999     | 59.99 ✓  | 0.0500                 | 10.00                  | 49.99                  |                         |                |
|                    | WASTE MANAGEMENT INC NEW EXP 04-21-2012 |          |          |      |            |          |                        |                        |                        |                         |                |
| CALL NKE           | 00120.000                               | 10/28/11 | 1        | ✓    | 0.6999     | 69.99 ✓  | 0.2000                 | 20.00                  | 49.99                  |                         |                |
|                    | NIKE INC CL B EXP 04-21-2012            |          |          |      |            |          |                        |                        |                        |                         |                |
| CALL RIO           | 00080.000                               | 10/28/11 | 1        |      | 0.5999     | 59.99    | 0.1500                 | 15.00                  | 44.99                  |                         |                |
|                    | RIO TINTO PLC SPNSRD ADR EXP 04-21-2012 |          |          |      |            |          |                        |                        |                        |                         |                |
| CALL CMCSA         | 00029.000                               | 07/19/11 | 5        | ✓    | 0.3199     | 159.99 ✓ | 0.0200                 | 10.00                  | 149.99                 |                         |                |
|                    | COMCAST CORP NEW CL A EXP 01-21-2012    |          |          |      |            |          |                        |                        |                        |                         |                |
| CALL ENB           | 00035.000                               | 07/19/11 | 2        | ✓    | 0.5999     | 119.99 ✓ | 2.6000                 | 520.00                 | (400.01)               |                         |                |
|                    | ENBRIDGE INC COM EXP 01-21-2012         |          |          |      |            |          |                        |                        |                        |                         |                |
| <b>TOTAL</b>       |                                         |          |          |      |            | 2 263 74 |                        | 1,430.00               | 833 74                 |                         |                |

# Equities

Common Stock

| Description                  | Account Type | Quantity | Symbol | Unit Cost | Current Price | Total Cost Basis | Current Value | Unrealized Gain/(Loss) | EY     | EAI  | Portfolio Percent |
|------------------------------|--------------|----------|--------|-----------|---------------|------------------|---------------|------------------------|--------|------|-------------------|
| APPLE INC                    | (Q)CASH      | 25 ✓     | AAPL   | 189.1060  | 405.0000      | 4,727.65 ✓       | 10,125.00     | 5,397                  |        |      | 3.34              |
| 3AXTER INTL INC              | (L)CASH      | 195 ✓    | BAX    | 60.6055   | 49.4800       | 11,818.07 ✓      | 9,648.60      | (2,169)                | 2.708% | 261  | 3.18              |
| 3EMIS INC                    | (F)CASH      | 325 ✓    | BMS    | 25.9187   | 30.0800       | 8,423.58 ✓       | 9,776.00      | 1,352                  | 3.191% | 312  | 3.22              |
| 3OEING CO                    | (S)CASH      | 140 ✓    | BA     | 46.3433   | 73.3500       | 6,488.06 ✓       | 10,269.00     | 3,781                  | 2.399% | 246  | 3.38              |
| BRISTOL MYERS SQUIBB CO      | (L)CASH      | 310 ✓    | BMJ    | 31.6554   | 35.2400       | 9,813.17 ✓       | 10,924.40     | 1,111                  | 3.859% | 421  | 3.60              |
| CARDINAL HEALTH INC          | (L)CASH      | 255      | CAH    | 26.1806   | 40.6100       | 6,676.05         | 10,355.55     | 3,680                  | 2.117% | 219  | 3.41              |
| CONOCOPHILLIPS               | (G)CASH      | 185 ✓    | COP    | 52.7584   | 72.8700       | 9,760.30 ✓       | 13,480.95     | 3,721                  | 3.622% | 488  | 4.44              |
| COSTCO WHSL CORP NEW         | (P)CASH      | 120 ✓    | COST   | 82.8307   | 83.3200       | 9,939.68 ✓       | 9,998.40      | 59                     | 1.152% | 115  | 3.29              |
| E M C CORP MASS              | (Q)CASH      | 475 ✓    | EMC    | 12.5012   | 21.5400       | 5,938.07 ✓       | 10,231.50     | 4,293                  |        |      | 3.37              |
| GENERAL DYNAMICS CORP        | (E)CASH      | 155 ✓    | GD     | 71.1649   | 66.4100       | 11,030.56 ✓      | 10,293.55     | (737)                  | 2.830% | 291  | 3.39              |
| HOME DEPOT INC               | (E)CASH      | 295 ✓    | HD     | 24.9635   | 42.0400       | 7,364.23 ✓       | 12,401.80     | 5,038                  | 2.759% | 342  | 4.09              |
| INTERNATIONAL BUSINESS MACHS | (Q)CASH      | 55 ✓     | IBM    | 175.5631  | 183.8800      | 9,655.97 ✓       | 10,113.40     | 457                    | 1.631% | 165  | 3.33              |
| MARATHON OIL CORP            | (G)CASH      | 105 ✓    | MRO    | 19.6696   | 29.2700       | 2,065.31 ✓       | 3,073.35      | 1,008                  | 2.049% | 63   | 1.01              |
| MARATHON PETE CORP           | (G)CASH      | 140 ✓    | MFC    | 25.8705   | 33.2900       | 3,621.87 ✓       | 4,660.60      | 1,039                  | 3.003% | 140  | 1.54              |
| MCDONALDS CORP               | (J)CASH      | 110 ✓    | MCD    | 87.2509   | 100.3300      | 9,597.60 ✓       | 11,036.30     | 1,439                  | 2.790% | 308  | 3.64              |
| ORACLE CORP                  | (Q)CASH      | 390 ✓    | ORCL   | 18.6996   | 25.6500       | 7,292.85 ✓       | 10,003.50     | 2,711                  | 0.935% | 93   | 3.30              |
| SYSCO CORP                   | (J)CASH      | 435 ✓    | SYI    | 25.2999   | 29.3300       | 11,005.46 ✓      | 12,758.55     | 1,753                  | 3.682% | 469  | 4.20              |
| TARGET CORP                  | (P)CASH      | 195 ✓    | TGT    | 57.1338   | 51.2200       | 11,141.09 ✓      | 9,987.90      | (1,153)                | 2.342% | 234  | 3.29              |
| UNITEDHEALTH GROUP INC       | (I)CASH      | 225      | UNH    | 51.2841   | 50.6800       | 11,538.92 ✓      | 11,403.00     | (136)                  | 1.282% | 146  | 3.76              |
| WAL MART STORES INC          | (P)CASH      | 205 ✓    | WMT    | 49.3266   | 59.7600       | 10,111.96 ✓      | 12,250.80     | 2,139                  | 2.443% | 299  | 4.04              |
| WALGREEN CO                  | (L)CASH      | 325 ✓    | WAG    | 26.7730   | 33.0600       | 8,701.21 ✓       | 10,744.50     | 2,043                  | 2.722% | 292  | 3.53              |
| SUB-TOTAL COMMON STOCK.....  |              |          |        |           |               | 176,711.66       | 213,536.65    | 36,826                 |        | 4909 | 70.35             |
| TOTAL EQUITIES.....          |              |          |        |           |               | 176,711.66       | 213,536.65    | 36,826                 |        | 4909 | 70.35             |

16



19



# Equities

Common Stock

| Description                                 | Account Type | Quantity | Symbol | Unit Cost | Current Price | Total Cost Basis | Current Value | Unrealized Gain/(Loss) | EY     | EAI | Portfolio Percent |
|---------------------------------------------|--------------|----------|--------|-----------|---------------|------------------|---------------|------------------------|--------|-----|-------------------|
| CHECK POINT SOFTWARE TECH LTD(Q)CASH<br>ORD |              | 40       | CHKP   | 54.9535   | 52.5400       | 2,198.14         | 2,101.60      | (97)                   |        |     | 0.98              |
| YANDEX N V<br>SHS CLASS A                   | (N)CASH      | 83       | YNDX   | 31.6611   | 19.7000       | 2,627.87         | 1,635.10      | (993)                  |        |     | 0.76              |
| ADIDAS AG<br>ADR                            | (B)CASH      | 108      | ADDYY  | 36.7883   | 32.6220       | 3,973.14         | 3,523.17      | (450)                  | 1.224% | 43  | 1.64              |
| ARM HLDGS PLC<br>SPONSORED ADR              | (Q)CASH      | 77       | ARMH   | 25.5700   | 27.6700       | 1,968.89         | 2,130.59      | 162                    | 0.471% | 10  | 0.99              |
| ASSA ABLOY AB<br>ADR                        | (C)CASH      | 402      | ASAZY  | 12.7878   | 12.5890       | 5,140.71         | 5,060.77      | (80)                   | 1.952% | 98  | 2.36              |
| BG GROUP PLC<br>ADR FIN INST N              | (G)CASH      | 47       | BRGY   | 122.9400  | 106.9610      | 5,778.18         | 5,027.16      | (751)                  | 1.055% | 53  | 2.35              |
| CNOOC LTD<br>SPONSORED ADR                  | (G)CASH      | 27       | CEO    | 216.7693  | 174.6800      | 5,852.77         | 4,716.36      | (1,136)                | 3.308% | 156 | 2.20              |

Common Stock

| Description                                        | Account Type | Quantity | Symbol | Unit Cost | Current Price | Total Cost Basis | Current Value | Unrealized Gain/Loss | EY     | EAI | Portfolio Percent |
|----------------------------------------------------|--------------|----------|--------|-----------|---------------|------------------|---------------|----------------------|--------|-----|-------------------|
| CANADIAN NATL RY CO                                | (S)CASH      | 64       | CNI    | 72.0900   | 78.5600       | 4,613.76         | 5,027.84      | 414                  | 1.625% | 81  | 2.35              |
| CANADIAN NAT RES LTD                               | (G)CASH      | 74       | CNQ    | 48.2900   | 37.3700       | 3,573.46         | 2,765.38      | (808)                | 0.956% | 26  | 1.29              |
| CANDON INC ADR                                     | (F)CASH      | 121      | CAJ    | 43.6500   | 44.0400       | 5,281.65         | 5,328.84      | 47                   | 3.285% | 175 | 2.49              |
| CARNIVAL CORP PAIRED CTF                           | (S)CASH      | 171      | CCL    | 39.1900   | 32.6400       | 6,701.49         | 5,581.44      | (1,120)              | 3.063% | 171 | 2.61              |
| CREDIT SUISSE GROUP SPONSORED ADR                  | (I)CASH      | 172      | CS     | 38.8412   | 23.4800       | 6,680.68         | 4,038.56      | (2,642)              | 6.299% | 254 | 1.89              |
| DASSAULT SYS S A SPONSORED ADR                     | (Q)CASH      | 30       | DASTY  | 71.5900   | 80.3940       | 2,147.70         | 2,411.82      | 264                  | 0.710% | 17  | 1.13              |
| EMBRAER S A SP ADR REP 4 COM                       | (S)CASH      | 161      | ERJ    | 31.0788   | 25.2200       | 5,003.68         | 4,060.42      | (943)                |        |     | 1.90              |
| FANUC CORPORATION ADR                              | (E)CASH      | 159      | FANUY  | 24.2900   | 25.5180       | 3,862.11         | 4,057.36      | 195                  | 1.457% | 59  | 1.89              |
| FLSMIDTH & CO A S SPONSORED ADR                    | (P)CASH      | 391      | FLIDY  | 8.1861    | 5.8950        | 3,200.75         | 2,304.94      | (896)                | 1.929% | 44  | 1.08              |
| FRESENIUS MED CARE AG&CO KGAA(L)CASH SPONSORED ADR | (L)CASH      | 74       | FMS    | 64.6500   | 67.9800       | 4,784.10         | 5,030.52      | 246                  | 0.959% | 48  | 2.35              |
| HANG LUNG PPTYS LTD SPONSORED ADR                  | (O)CASH      | 141      | HLPPY  | 20.5300   | 14.2280       | 2,894.73         | 2,006.14      | (889)                | 2.977% | 59  | 0.94              |
| HENNES & MAURITZ AB ADR                            | (P)CASH      | 884      | HNNMY  | 6.2900    | 6.4560        | 5,560.36         | 5,707.10      | 147                  | 3.658% | 208 | 2.66              |
| HONG KONG EXCHANGES & CLEARING(C)CASH ADR          | (I)CASH      | 184      | HKXCY  | 20.4500   | 15.9790       | 3,762.80         | 2,940.13      | (823)                | 3.244% | 95  | 1.37              |
| INDUSTRIAL & COML BK CHINA ADR                     | (I)CASH      | 301      | IDCBY  | 15.4300   | 11.8710       | 4,644.43         | 3,573.17      | (1,071)              | 3.878% | 138 | 1.67              |

Oppenheimer & Co. Inc.  
125 Broad Street  
New York, NY 10004  
(212) 668-8000  
Transacts Business on All Principal Exchanges

# STATEMENT OF ACCOUNT



LYNNE KAPLAN  
GROSS KAPLAN & OSIOL LLC  
9450 W BRYN MAWR AVE #310

DUPLICATE FOR:  
HENRY M STALEY CHARITABLE TR  
DTD 12/17/65 MARK E STALEY

Page 4 of 11  
Account Number G40-1416479  
Financial Advisor SLANSKY, JERRY - MY4  
Period Ending 12/31/11

## Common Stock

| Description                                    | Account Type | Quantity | Symbol | Unit Cost | Current Price | Cost Basis | Current Value | Unrealized Gain/(Loss) | EY     | EAI | Portfolio Percent |
|------------------------------------------------|--------------|----------|--------|-----------|---------------|------------|---------------|------------------------|--------|-----|-------------------|
| NG GROEP N V<br>PONSORED ADR                   | (I)CASH      | 448      | ING    | 8.0820    | 7.1700        | 3,620.75   | 3,212.16      | (409)                  |        |     | 1.50              |
| TAU UNITBANCO HLDG SA<br>PON ADR REP PFD       | (I)CASH      | 274      | ITUB   | 20.9092   | 18.5600       | 5,729.13   | 5,085.44      | (644)                  | 0.460% | 23  | 2.37              |
| DDI CORP<br>ADR                                | (R)CASH      | 152      | KDDIY  | 17.1369   | 16.0840       | 2,604.81   | 2,444.76      | (160)                  | 2.530% | 61  | 1.14              |
| INGFISHER PLC<br>PON ADR PAR                   | (P)CASH      | 645      | KGFHY  | 7.6400    | 7.7920        | 4,927.80   | 5,025.84      | 98                     | 2.767% | 139 | 2.35              |
| OMATSU LTD<br>PON ADR NEW                      | (E)CASH      | 188      | KMTUY  | 32.5000   | 23.3820       | 6,110.00   | 4,395.81      | (1,714)                | 1.858% | 81  | 2.05              |
| I & FUNG LTD<br>ADR                            | (B)CASH      | 925      | LFUGY  | 3.6702    | 3.7030        | 3,394.96   | 3,425.27      | 30                     | 2.501% | 85  | 1.60              |
| LVNH MOET HENNESSY LOU VUITTON<br>ADR          | (U)CASH      | 230      | LVMUY  | 28.9226   | 28.4030       | 6,652.20   | 6,532.69      | (120)                  | 1.588% | 103 | 3.05              |
| LAS VEGAS SANDS CORP                           | (K)CASH      | 26       | LVS    | 42.0950   | 42.7300       | 1,094.47   | 1,110.98      | 17                     |        |     | 0.52              |
| MERCADOLIBRE INC                               | (P)CASH      | 33       | MELI   | 63.1503   | 79.5400       | 2,083.96   | 2,624.82      | 541                    | 0.402% | 10  | 1.23              |
| MITSUBISHI UFJ FINL GROUP INC<br>SPONSORED ADR | (I)CASH      | 803      | MTU    | 4.6400    | 4.1900        | 3,725.92   | 3,364.57      | (361)                  | 3.312% | 111 | 1.57              |
| NESTLE S A<br>SPONSORED ADR                    | (J)CASH      | 84       | NSRGY  | 55.1500   | 57.7480       | 4,632.60   | 4,850.83      | 218                    | 3.059% | 148 | 2.26              |
| NOVARTIS A G<br>SPONSORED ADR                  | (L)CASH      | 109      | NVS    | 53.7600   | 57.1700       | 5,859.84   | 6,231.53      | 372                    | 3.507% | 218 | 2.91              |
| NOVO-NORDISK A S<br>ADR                        | (L)CASH      | 50       | NVO    | 120.2700  | 115.2600      | 6,013.50   | 5,763.00      | (251)                  | 1.178% | 67  | 2.69              |
| POTASH CORP SASK INC                           | (C)CASH      | 98       | POT    | 54.0495   | 41.2800       | 5,296.85   | 4,045.44      | (1,251)                | 0.678% | 27  | 1.89              |
| PUBLICIS S A NEW<br>SPONSORED ADR              | (M)CASH      | 148      | PUBGY  | 25.8900   | 23.0710       | 3,831.72   | 3,414.50      | (417)                  | 1.738% | 59  | 1.59              |

LYNNE KAPLAN  
GROSS KAPLAN & OSIOI LLC  
9450 W BRYN MAWR AVE #310

DUPLICATE FOR:  
HENRY M STALEY CHARITABLE TR  
DTD 12/17/65 MARK E STALEY

Page 5 of 11 Account Number G40-1416479 Financial Advisor SLANSKY, JERRY - MY4 Period Ending 12/31/11

Common Stock

| Description                              | Account Type | Quantity | Symbol | Unit Cost | Current Price | Cost Basis | Current Value | Unrealized Gain/(Loss) | EY     | EAL | Portfolio Percent |
|------------------------------------------|--------------|----------|--------|-----------|---------------|------------|---------------|------------------------|--------|-----|-------------------|
| RECKITT BENCKISER GROUP PLC ADR          | (L)CASH      | 608      | RBGPY  | 9.8200    | 9.8840        | 5,970.56   | 6,009.47      | 39                     | 3.523% | 211 | 2.81              |
| SABMILLER PLC SPONSORED ADR              | (J)CASH      | 61       | SBMRV  | 32.0100   | 35.2240       | 1,952.61   | 2,148.66      | 196                    | 2.246% | 48  | 1.00              |
| SAP AG SPON ADR                          | (O)CASH      | 112      | SAP    | 55.8700   | 52.9500       | 6,257.44   | 5,930.40      | (327)                  | 1.936% | 114 | 2.77              |
| SBERBANK RUSSIA SPONSORED ADR            | (I)CASH      | 306      | SBRCY  | 10.8074   | 9.8200        | 3,307.07   | 3,004.92      | (302)                  | 1.198% | 36  | 1.40              |
| SCHLUMBERGER LTD                         | (G)CASH      | 84       | SLB    | 83.7320   | 68.3100       | 7,033.49   | 5,738.04      | (1,295)                | 1.463% | 84  | 2.68              |
| SIEMENS A G SPONSORED ADR                | (T)CASH      | 50       | SI     | 119.4008  | 95.6100       | 5,970.04   | 4,780.50      | (1,190)                | 3.089% | 147 | 2.23              |
| SOUTHERN COPPER CORP                     | (C)CASH      | 35       | SCCO   | 31.4906   | 30.1800       | 1,102.17   | 1,056.30      | (46)                   | 9.277% | 98  | 0.49              |
| SWATCH GROUP AG ADR                      | (C)CASH      | 135      | SWGAY  | 18.9157   | 18.7950       | 2,553.62   | 2,537.32      | (16)                   | 0.928% | 23  | 1.18              |
| TECK RESOURCES LTD CL B                  | (C)CASH      | 67       | TCK    | 49.1888   | 35.1900       | 3,295.65   | 2,357.73      | (938)                  | 2.294% | 54  | 1.10              |
| TELEFONICA S A SPONSORED ADR             | (R)CASH      | 164      | TEF    | 24.3000   | 17.1900       | 3,985.20   | 2,819.16      | (1,166)                | 9.961% | 280 | 1.32              |
| TENCENT HLDGS LTD ADR                    | (R)CASH      | 186      | TCEHY  | 24.3377   | 20.0990       | 4,526.81   | 3,738.41      | (788)                  | 0.301% | 11  | 1.75              |
| TESCO PLC SPONSORED ADR                  | (J)CASH      | 280      | TSCDY  | 18.7900   | 18.8100       | 5,261.20   | 5,266.80      | 6                      | 3.525% | 185 | 2.46              |
| TEVA PHARMACEUTICAL INDS LTD (L)CASH ADR | (L)CASH      | 140      | TEVA   | 47.9499   | 40.3600       | 6,712.98   | 5,650.40      | (1,063)                | 1.944% | 109 | 2.64              |
| TOYOTA MOTOR CORP SP ADR REP2COM         | (S)CASH      | 76       | TM     | 81.6600   | 66.1300       | 6,206.16   | 5,025.88      | (1,180)                | 1.759% | 88  | 2.35              |

Oppenheimer & Co. Inc.  
125 Broad Street  
New York, NY 10004  
(212) 668-8000  
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STATEMENT OF  
ACCOUNT



OPPENHEIMER

LYNNE KAPLAN  
GROSS KAPLAN & OSIOL LLC  
9450 W BRYN MAWR AVE #310

DUPLICATE FOR:  
HENRY M STALEY CHARITABLE TR  
DTD 12/17/65 MARK E STALEY

Page 6 of 11  
Account Number G40-1416479  
Financial Advisor SLANSKY, JERRY - MY4  
Period Ending 12/31/11

Common Stock

| Description                                         | Account Type | Quantity | Symbol | Unit Cost | Current Price | Total Cost Basis | Current Value | Unrealized Gain/(Loss) | EY     | Portfolio Percent |
|-----------------------------------------------------|--------------|----------|--------|-----------|---------------|------------------|---------------|------------------------|--------|-------------------|
| TURKIYE GARANTI BANKASI A S SPONSORED ADR           | (I)CASH      | 897      | TKGBY  | 4.7300    | 3.1240        | 4,242.81         | 2,802.22      | (1,441)                | 2.102% | 58 1.31           |
| VODAFONE GROUP PLC NEW SPONS ADR NEW                | (R)CASH      | 40       | VOD    | 26.1520   | 28.0300       | 1,046.08         | 1,121.20      | 75                     | 5.147% | 57 0.52           |
| VOLKSWAGEN AG SPON ADR PREF                         | (S)CASH      | 97       | VLKPY  | 30.0300   | 30.0520       | 2,912.91         | 2,915.04      | 2                      | 1.644% | 47 1.36           |
| WAL MART DE MEXICO S A B DE C(U)CASH SPON ADR REP V | (U)CASH      | 130      | WMWVY  | 27.9500   | 27.4450       | 3,633.50         | 3,567.85      | (66)                   | 1.198% | 42 1.67           |
| SUB-TOTAL COMMON STOCK                              |              |          |        |           |               | 231,800.21       | 207,026.35    | (24,775)               |        | 4582 96.66        |
| TOTAL EQUITIES                                      |              |          |        |           |               | 231,800.21       | 207,026.35    | (24,775)               |        | 4582 96.66        |

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

|                              |                          |                              |                                |                         |
|------------------------------|--------------------------|------------------------------|--------------------------------|-------------------------|
| (Q) 6% TECHNOLOGY            | (N) .70% PUBLIC SERVICES | (B) 3% APPAREL & ACCESSORIES | (C) 7% BASIC INDUSTRY          | (G) 8% ENERGY           |
| (S) 10% TRANSPORTATION       | (F) 2% CONSUMER GOODS    | (I) 13% FINANCIAL            | (E) 4% CONSTRUCTION & BUILDING | (P) 7% RETAIL SERVICES  |
| (L) 13% HEALTHCARE           | (O) .90% REAL ESTATE     | (R) 4% TELECOMMUNICATIONS    | (J) 10% FOOD & BEVERAGES       | (K) .50% GAMING/LODGING |
| (M) 1% MEDIA & COMMUNICATION | (T) 3% UTILITIES         |                              |                                |                         |

10

# Equities

Common Stock

| Description                                  | Account Type | Quantity | Symbol | Unit Cost | Current Price | Total Cost Basis         | Current Value | Unrealized Gain/(Loss) | EY     | EAI | Portfolio Percent |
|----------------------------------------------|--------------|----------|--------|-----------|---------------|--------------------------|---------------|------------------------|--------|-----|-------------------|
| COOPER INDUSTRIES PLC                        | (Q)CASH      | 125 ✓    | CBE    | 61.8599   | 54.1500       | 7,732.49 ✓               | 6,768.75      | (964)                  | 2.142% | 145 | 3.29              |
| INGERSOLL-RAND PLC                           | (Q)CASH      | 150 ✓    | IR     | 46.2399   | 30.4700       | 6,935.99 ✓               | 4,570.50      | (2,365)                | 2.100% | 96  | 2.22              |
| VABORS INDUSTRIES LTD                        | (G)CASH      | 490 ✓    | NBR    | 27.3100   | 17.3400       | 13,381.90 ✓              | 8,496.60      | (4,885)                |        |     | 4.13              |
| PARTNERRE LTD                                | (I)CASH      | 35 ✓     | PRE    | 72.7200   | 64.2100       | 2,545.20 ✓               | 2,247.35      | (298)                  | 3.737% | 84  | 1.09              |
| WEATHERFORD INTERNATIONAL LTD(G)CASH REG SHS | (G)CASH      | 610 ✓    | WFT    | 20.3899   | 14.6400       | 12,437.84 ✓              | 8,930.40      | (3,507)                |        |     | 4.34              |
| JOBLE CORPORATION BAAR JAMEN -AKT            | (G)CASH      | 290 ✓    | NE     | 43.1749   | 30.2200       | 12,520.71 ✓<br>12,516.97 | 8,763.80      | (3,757)                | 1.960% | 171 | 4.26              |
| TRANSOCEAN LTD REG SHS                       | (G)CASH      | 160 ✓    | RIG    | 78.5999   | 38.3900       | 12,575.98 ✓              | 6,142.40      | (6,434)                | 8.231% | 505 | 2.98              |
| JBS AG SHS NEW                               | (I)CASH      | 290 ✓    | UBS    | 18.3100   | 11.8300       | 5,309.90 ✓               | 3,430.70      | (1,879)                |        |     | 1.67              |



LYNNE KAPLAN  
GROSS KAPLAN & OSIOL LLC  
9450 W BRYN MAWR AVE #310

DUPLICATE FOR:  
HENRY M STALEY CHARITABLE TR  
DTD 12/17/65 MARK E STALEY

Page 3 of 6 Account Number 640-1416487 Financial Advisor SLANSKY, JERRY - MY4 Period Ending 12/31/11

Common Stock

| Description                               | Account Type | Quantity | Symbol | Unit Cost | Current Price | Total Cost Basis | Current Value | Unrealized Gain/Loss | EY     | EAI | Portfolio Percent |
|-------------------------------------------|--------------|----------|--------|-----------|---------------|------------------|---------------|----------------------|--------|-----|-------------------|
| ORE LABORATORIES N V                      | (G)CASH      | 30 ✓     | CLB    | 98.1700   | 113.9500      | 2,945.10 ✓       | 3,418.50      | 473                  | 0.877% | 30  | 1.66              |
| AXA SA<br>PONSORED ADR                    | (I)CASH      | 130 ✓    | AXAHY  | 19.4600   | 13.0400       | 2,529.80 ✓       | 1,695.20      | (835)                | 6.428% | 108 | 0.82              |
| ASF SE<br>PONSORED ADR                    | (C)CASH      | 65 ✓     | BASFY  | 77.4400   | 69.9570       | 5,033.60 ✓       | 4,547.20      | (486)                | 3.277% | 149 | 2.21              |
| HP BILLITON LTD<br>PONSORED ADR           | (C)CASH      | 140 ✓    | BHP    | 87.3700   | 70.6300       | 12,231.80 ✓      | 9,888.20      | (2,344)              | 2.859% | 282 | 4.80              |
| BRITISH AMERN TOB PLC<br>PONSORED ADR     | (C)CASH      | 75 ✓     | BTI    | 75.6200   | 94.8800       | 5,671.50 ✓       | 7,116.00      | 1,445                | 4.049% | 288 | 3.46              |
| ROCKFIELD ASSET MGMT INC<br>L A LTD VT SH | (O)CASH      | 80 ✓     | BAM    | 30.8400   | 27.4800       | 2,467.20 ✓       | 2,198.40      | (269)                | 1.892% | 41  | 1.07              |
| ANADIAN NATL RY CO                        | (S)CASH      | 90 ✓     | CNI    | 72.4400   | 78.5600       | 6,519.60 ✓       | 7,070.40      | 551                  | 1.625% | 114 | 3.44              |
| ANADIAN NAT RES LTD                       | (G)CASH      | 195 ✓    | CNQ    | 48.8399   | 37.3700       | 9,523.78 ✓       | 7,287.15      | (2,237)              | 0.956% | 69  | 3.54              |
| ANADIAN PAC RY LTD                        | (S)CASH      | 140 ✓    | CP     | 63.8900   | 67.6700       | 8,944.60 ✓       | 9,473.80      | 529                  | 1.727% | 163 | 4.60              |
| IAGEO P L C<br>PON ADR NEW                | (J)CASH      | 85 ✓     | DEO    | 73.2500   | 87.4200       | 6,226.25 ✓       | 7,430.70      | 1,204                | 2.968% | 220 | 3.61              |
| ANULIFE FINL CORP                         | (I)CASH      | 150 ✓    | MFC    | 16.6500   | 10.6200       | 2,497.50 ✓       | 1,593.00      | (905)                | 4.716% | 75  | 0.77              |
| ESTLE S A<br>PONSORED ADR                 | (J)CASH      | 190 ✓    | NSRGY  | 55.3200   | 57.7480       | 10,510.80 ✓      | 10,972.12     | 461                  | 3.059% | 335 | 5.33              |
| OVARTIS A G<br>PONSORED ADR               | (L)CASH      | 90 ✓     | NVS    | 53.7700   | 57.1700       | 4,839.30 ✓       | 5,145.30      | 306                  | 3.507% | 180 | 2.50              |
| OTASH CORP SASK INC                       | (C)CASH      | 205 ✓    | POT    | 55.2799   | 41.2800       | 11,332.38 ✓      | 8,462.40      | (2,870)              | 0.678% | 57  | 4.11              |
| IO TINTO PLC<br>PONSORED ADR              | (C)CASH      | 200 ✓    | RIO    | 64.6000   | 48.9200       | 12,920.00 ✓      | 9,784.00      | (3,136)              | 2.387% | 233 | 4.75              |
| CHLUMBERGER LTD                           | (G)CASH      | 150 ✓    | SLB    | 87.1099   | 68.3100       | 13,066.49 ✓      | 10,246.50     | (2,820)              | 1.463% | 150 | 4.98              |

Oppenheimer & Co. Inc.  
125 Broad Street  
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# STATEMENT OF ACCOUNT



LYNNE KAPLAN  
GROSS KAPLAN & OSIOL LLC  
9450 W BRYN MAWR AVE #310

DUPLICATE FOR:  
HENRY M STALEY CHARITABLE TR  
DTD 12/17/65 MARK E STALEY

Page 4 of 6  
Account Number G40-1416487  
Financial Advisor SLANSKY, JERRY - MY4  
Period Ending 12/31/11

## Common Stock

| Description                    | Account Type | Quantity | Symbol | Unit Cost | Current Price | Total Cost Basis | Current Value | Unrealized Gain/(Loss) | EY     | EAL  | Portfolio Percent |
|--------------------------------|--------------|----------|--------|-----------|---------------|------------------|---------------|------------------------|--------|------|-------------------|
| SUNCOR ENERGY INC NEW          | (G)CASH      | 290 ✓    | SU     | 44.4966   | 28.8300       | 12,904.00 ✓      | 8,360.70      | (4,543)                | 1.496% | 125  | 4.06              |
| TALISMAN ENERGY INC            | (G)CASH      | 115 ✓    | TLM    | 23.6000   | 12.7500       | 2,714.00 ✓       | 1,466.25      | (1,248)                | 2.117% | 31   | 0.71              |
| TENARIS S A<br>SPONSORED ADR   | (C)CASH      | 240 ✓    | TS     | 44.8800   | 37.1800       | 10,771.20 ✓      | 8,923.20      | (1,848)                | 1.828% | 163  | 4.34              |
| UNILEVER N V<br>N Y SHS NEW    | (J)CASH      | 195 ✓    | UN     | 29.5099   | 34.3700       | 5,754.43 ✓       | 6,702.15      | 948                    | 3.067% | 205  | 3.26              |
| VALE S A<br>ADR                | (C)CASH      | 320 ✓    | VALE   | 31.5599   | 21.4500       | 10,099.17 ✓      | 6,864.00      | (3,235)                | 0.132% | 9    | 3.33              |
| YARA INTL ASA<br>SPONSORED ADR | (A)CASH      | 15 ✓     | YARIY  | 46.7900   | 40.2150       | 701.85 ✓         | 603.22        | (99)                   | 2.074% | 12   | 0.30              |
| SUB-TOTAL COMMON STOCK.....    |              |          |        |           |               | 233,644.36       | 188,598.89    | (45,047)               |        | 4050 | 91.63             |
| TOTAL EQUITIES.....            |              |          |        |           |               | 233,644.36       | 188,598.89    | (45,047)               |        | 4050 | 91.63             |

## COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

|                          |                    |                       |
|--------------------------|--------------------|-----------------------|
| (Q) 6% TECHNOLOGY        | (G) 33% ENERGY     | (I) 4% FINANCIAL      |
| (C) 29% BASIC INDUSTRY   | (O) 1% REAL ESTATE | (S) 8% TRANSPORTATION |
| (J) 13% FOOD & BEVERAGES | (L) 2% HEALTHCARE  | (A) 70% AGRICULTURE   |



FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 9

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTEDMARK E STALEY, TRUSTEE  
2700 WESTPORT  
SPRINGFIELD, IL 62711TELEPHONE NUMBERFORM AND CONTENT OF APPLICATIONSSTATEMENT OF PURPOSE, SPECIFIC NEEDS, SPECIFIC BUDGET, PROJECTED BENEFITS &  
DETAILED PROGRAM.ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDSLIMITED TO PUBLIC CHARITABLE ORGANIZATIONS FOR THE FURTHERANCE OF THEIR  
SPECIFIED PURPOSES AND THAT, WHERE APPLICABLE, MEETS THE STANDARDS OF CBBS  
AND/OR NCIB.

HENRY M STALEY DECLARATION OF CHARITABLE TRUST  
 I\data\8957\charity  
 2011

CONTRIBUTIONS PAID DURING THE YEAR

| <u>Name and address</u>                                                                       | <u>Amount<br/>Support</u> |
|-----------------------------------------------------------------------------------------------|---------------------------|
| Alzheimer's Assoc<br>PO Box 806457<br>Chicago, IL 60680-4125                                  | 4,000                     |
| American Cancer Society<br>Macon County, Illinois                                             | 7,000                     |
| American Diabetes Assn<br>1701 N Beauregard St<br>Alexandra, VA 22311                         | 1,000                     |
| Arthritis Foundation<br>2621 N Knoxville<br>Peoria, IL 61604-3623                             | 700                       |
| Ashoka Innovators for the Public<br>1700 N Monroe St., Suite 2000<br>Arlington, VA 22209-9821 | 2,500                     |
| BBB Wise Giving Alliance<br>4200 Wilson Blvd #800<br>Arlington, VA 22203                      | 1,000                     |
| CARE<br>PO Box 1870<br>Merrifield, VA 22116-8070                                              | 1,000                     |
| Community Foundation Leaders Fund<br>125 N Water St<br>Decatur, IL 62525                      | 51,000                    |
| Cumberland College<br>6178 College Station Dr<br>Williamsburg, KY 40769                       | 1,000                     |
| Decatur Choral Society<br>447 N Oakcrest<br>Decatur, IL 62522                                 | 500                       |
| Decatur Macon County Animal Shelter<br>PO Box 633<br>Decatur, IL 62525                        | 1,000                     |
| Decatur Memorial Hospital Foundation<br>2300 N Edward<br>Decatur, IL 62526                    | 7,000                     |

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| Decatur Score Chap 296<br>1184 W Main St<br>Decatur, IL 62522                        | 500   |
| Delta Waterfowl<br>P.O. Box 3128<br>Bismark, ND 58502                                | 1,000 |
| Doctors Without Borders<br>333 Seventh Ave., 2nd fl<br>New York, NY 10001-5004       | 1,000 |
| Dove Inc<br>788 E Clay St<br>Decatur, IL 62521-2694                                  | 1,500 |
| Ducks Unlimited<br>One Waterfowl Way<br>Memphis, TN 38120-2381                       | 2,000 |
| Easter Seal Society<br>2715 N 27th<br>Decatur, IL 62526                              | 2,000 |
| FAIR<br>P O Box 96452<br>Washington, DC 20077-7251                                   | 500   |
| Good Samaritan Inn<br>902 N. Union St<br>Decatur, IL 62522                           | 1,000 |
| Governor's Academy<br>1 Elm Street, Suite 301<br>Decatur, IL 62523                   | 1,000 |
| Hieronymus Mueller Family Foundation<br>221 Southmoreland Place<br>Decatur, IL 62521 | 3,000 |
| Hillsdale College<br>33 E. College St<br>Hillsdale, MI 49242-9989                    | 500   |
| Hoogland Center of the Arts<br>420 S 6th St<br>Springfield, IL 62701                 | 500   |
| I Fund - Chicago<br>200 S Wacker Dr<br>Chicago, IL 60606                             | 3,000 |
| Independent Institute<br>100 Swan Way<br>Oakland, CA 94621-1459                      | 1,000 |

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|                                                                                            | 2011   |
| Junior Achievement<br>310 W William<br>Decatur, IL 62522                                   | 1,500  |
| Kemmerer Village<br>941 N 2500 E. Rd.<br>Assumption, IL 62510                              | 1,000  |
| Land of Lincoln Goodwill Industries Inc<br>PO Box 8528<br>Springfield, IL 62702            | 3,000  |
| Leadership Institute<br>1101 Highland St<br>Arlington, VA 22201                            | 300    |
| Lincoln Square Theatre<br>141 N. Main<br>Decatur, IL 62523                                 | 1,000  |
| Little Theatre on the Square<br>16 E Harrison St<br>Sullivan, IL 61951-1905                | 300    |
| Lutheran School Assn<br>2001 E Mound Rd.<br>Decatur, IL 62526-9305                         | 500    |
| Lyric Opera of Chicago<br>20 N Wacker Dr<br>Chicago, IL 60606                              | 500    |
| Macon County Heart Association<br>2524 Farragut Dr , Suite A<br>Springfield, IL 62704      | 12,000 |
| Macon County Conservation Fdn<br>3939 Nearing Lane<br>Decatur, IL 62521                    | 2,000  |
| Meals On Wheels<br>1430 N. 22nd St<br>Decatur, IL 62576                                    | 2,000  |
| Mercatus Center, George Mason Univ<br>3301 N Fairfax Dr , #450<br>Arlington, VA 22201-9787 | 5,000  |
| Millikin University<br>1184 W Main<br>Decatur, IL 62522                                    | 7,000  |
| National Federation of the Blind<br>1800 Johnson St<br>Baltimore, MD 21230-9949            | 500    |

2011

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| Nature Conservancy<br>4245 N Fairfax Dr., Suite 100<br>Arlington, VA 22203-1606   | 500    |
| Northwestern Univ Annual Fund<br>2020 Ridge Ave.<br>Evanston, IL 60201-9836       | 3,750  |
| Our Great Hour of Sharing<br>1360 W Main St<br>Decatur, IL 62522                  | 1,000  |
| Our Saviours Lutheran Church<br>2645 Old Jacksonville Rd<br>Springfield, IL 62704 | 3,000  |
| Pulmonary Fibrosis Foundation<br>1332 N Halsted St Suite 201<br>Chicago, IL 60622 | 22,000 |
| Richland Community College<br>1 College Park<br>Decatur, IL 62526                 | 4,000  |
| Salvation Army<br>229 W. Main<br>Decatur, IL 62523                                | 1,000  |
| Ronald McDonald House Charities of IL<br>610 N 7th St<br>Springfield, IL 62702    | 2,500  |
| Sanfilippo Research Foundation<br>PO Box 564<br>Belmont, MA                       | 500    |
| Springfield Angel of Hope, NFP<br>PO Box 9714<br>Springfield, IL 62794-9714       | 1,000  |
| St. Jude Children's Hospital<br>501 St Jude Place<br>Memphis, TN 38105            | 2,000  |
| St Paul's Lutheran Church<br>352 W Wood St<br>Decatur, IL 62522-3197              | 1,500  |
| Theatre 7<br>P O. Box 972<br>Decatur, IL 62525                                    | 500    |
| UCP Land of Lincoln<br>101 N 16th St<br>Springfield, IL 62703                     | 3,000  |

2011

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| UIF/Friends of Will<br>PO Box 3429<br>Champaign, IL 61826-3429                     | 500            |
| UIF/UIS Dept of Athletics<br>1 University Plaza<br>Springfield, IL 62703           | 2,000          |
| United Way of Decatur & Macon County<br>202 E Eldorado, Ste B<br>Decatur, IL 62523 | 10,000         |
| US Emergency Chaplains<br>P O Box 1183<br>Decatur, IL 62525-1183                   | 1,000          |
| U.S Term Limits Foundation<br>9900 Main St , Suite 303<br>Fairfax, VA 22031        | 1,000          |
| Westminster Presbyterian Church<br>1360 W Main<br>Decatur, IL 62522                | 13,000         |
| TOTAL                                                                              | <u>206,550</u> |