Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , and ending

Name of foundation: Caterpillar Foundation

A Employer identification number: 37-6022314

Number and street (or P O box number if mail is not delivered to street address): 100 N E. Adams St.

Room/suite:

B Telephone number (see instructions): (309) 675-4232

City or town, state, and ZIP code: Peoria, IL 61629-4295

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 33,035,371

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	49,885,000			
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	17,474	17,474	17,474	
	4	Dividends and interest from securities	948,141	948,141	948,141	
	5 a	Gross rents	6,930	6,930	6,930	
	b	Net rental income or (loss) 6,654				
	6 a	Net gain or (loss) from sale of assets not on line 10	0			
	b	Gross sales price for all assets on line 6a 0				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10 a	Gross sales less returns and allowances 0				
	b	Less: Cost of goods sold 0				
	c	Gross profit or (loss) (attach schedule)	0			
	11	Other income (attach schedule)	0			
	12	**Total.** Add lines 1 through 11	50,857,545	972,545	972,545	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16 a	Legal fees (attach schedule)	0	0	0	0
	b	Accounting fees (attach schedule)	0	0	0	0
	c	Other professional fees (attach schedule)	0	0	0	0
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	276	276	276	0
	19	Depreciation (attach schedule) and depletion	0	0	0	
	20	Occupancy				
	21	Travel, conferences, and meetings	38,561			38,561
	22	Printing and publications	5,750			5,750
	23	Other expenses (attach schedule)	124,857	242	242	124,857
	24	**Total operating and administrative expenses.** Add lines 13 through 23	169,444	518	518	169,168
	25	Contributions, gifts, grants paid	49,789,926			49,789,926
	26	**Total expenses and disbursements.** Add lines 24 and 25	49,959,370	518	518	49,959,094
	27	Subtract line 26 from line 12.				
	a	**Excess of revenue over expenses and disbursements**	898,175			
	b	**Net investment income** (if negative, enter -0-)		972,027		
	c	**Adjusted net income** (if negative, enter -0-)			972,027	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	2,725,262	5,153,350	5,153,350
	3	Accounts receivable ▶ 10,740,653			
		Less· allowance for doubtful accounts ▶ 0	10,840,128	10,740,653	10,740,653
	4	Pledges receivable ▶ 0			
		Less· allowance for doubtful accounts ▶ 0	0	0	0
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	0	0	0
	7	Other notes and loans receivable (attach schedule) ▶ 17,033,368			
		Less allowance for doubtful accounts ▶ 0	18,394,806	17,033,368	17,033,368
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments—U S and state government obligations (attach schedule)	0	0	0
	b	Investments—corporate stock (attach schedule)	0	0	0
	c	Investments—corporate bonds (attach schedule)	0	0	0
	11	Investments—land, buildings, and equipment basis ▶ 108,000			
		Less accumulated depreciation (attach schedule) ▶ 0	177,000	108,000	108,000
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	0	0	0
	14	Land, buildings, and equipment· basis ▶ 0			
		Less accumulated depreciation (attach schedule) ▶ 0	0	0	0
	15	Other assets (describe ▶)	0	0	0
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	32,137,196	33,035,371	33,035,371
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21	Mortgages and other notes payable (attach schedule)	0	0	
	22	Other liabilities (describe ▶)	0	0	
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	32,137,196	33,035,371	
	30	**Total net assets or fund balances** (see instructions)	32,137,196	33,035,371	
	31	**Total liabilities and net assets/fund balances** (see instructions)	32,137,196	33,035,371	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	32,137,196
2	Enter amount from Part I, line 27a	2	898,175
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	33,035,371
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	33,035,371

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	0	0	0	0
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	0	0	0	0
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	0
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	35,992,502	31,633,934	1 137781
2009	35,995,143	45,545,195	0 790317
2008	38,781,246	48,869,482	0.793568
2007	35,943,113	46,810,820	0.767838
2006	29,003,125	41,934,392	0.691631

2 **Total** of line 1, column (d)	2	4.181135
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.836227
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	30,754,710
5 Multiply line 4 by line 3	5	25,717,919
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,720
7 Add lines 5 and 6	7	25,727,639
8 Enter qualifying distributions from Part XII, line 4	8	48,959,094

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	9,720
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	9,720
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	9,720
6	Credits/Payments.		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 — 6a 13,598		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c 0		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	13,598
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	3,878
11	Enter the amount of line 10 to be: **Credited to 2012 estimated tax** ▶ 3,878 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

		Line	Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either. • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶			
14	The books are in care of ▶ Michael J. Chittick Telephone no ▶ (309) 675-4232 Located at ▶ 100 N. E. Adams St. Peoria, IL ZIP+4 ▶ 61629-4295			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☒			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20_____ , 20_____ , 20_____ , 20_____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20_____ , 20_____ , 20_____ , 20_____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**	N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*		**6b**	X (No)
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement.	.00	0	0	0
	.00	0	0	0
	.00	0	0	0
	.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 Health & Human Services	19,745,086
2 Education	16,598,018
3 Environmental	6,232,351
4 Cultural & Art	4,414,169

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	0
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	3,341,035
c	Fair market value of all other assets (see instructions)	1c	27,882,021
d	**Total** (add lines 1a, b, and c)	1d	31,223,056
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	31,223,056
4	Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	468,346
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	30,754,710
6	**Minimum investment return.** Enter 5% of line 5	6	1,537,736

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,537,736
2a	Tax on investment income for 2011 from Part VI, line 5	2a	9,720		
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	0		
c	Add lines 2a and 2b			2c	9,720
3	Distributable amount before adjustments Subtract line 2c from line 1			3	1,528,016
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	1,528,016
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	1,528,016

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	48,959,094
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	48,959,094
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	9,720
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	48,949,374

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7				1,528,016
2	Undistributed income, if any, as of the end of 2011:				
a	Enter amount for 2010 only			0	
b	Total for prior years. 20____, 20____, 20____		0		
3	Excess distributions carryover, if any, to 2011:				
a	From 2006 — 26,930,859				
b	From 2007 — 33,677,006				
c	From 2008 — 36,349,344				
d	From 2009 — 29,405,239				
e	From 2010 — 34,411,671				
f	Total of lines 3a through e	160,774,119			
4	Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 48,959,094				
a	Applied to 2010, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see instructions)		0		
c	Treated as distributions out of corpus (Election required—see instructions)	0			
d	Applied to 2011 distributable amount				1,528,016
e	Remaining amount distributed out of corpus	47,431,078			
5	Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e Subtract line 5	208,205,197			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see instructions		0		
e	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	26,930,859			
9	**Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	181,274,338			
10	Analysis of line 9.				
a	Excess from 2007 — 33,677,006				
b	Excess from 2008 — 36,349,344				
c	Excess from 2009 — 29,405,239				
d	Excess from 2010 — 34,411,671				
e	Excess from 2011 — 47,431,078				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0				0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	0				0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter:					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0				0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

None.

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

Ms Jennifer Zammuto 100 N E. Adams St. Peoria IL 61629-1480 (309) 675-4464

b The form in which applications should be submitted and information and materials they should include

See Attached.

c Any submission deadlines

None.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors·

Yes.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See attached list.			For Charitable Purposes Only.	49,789,926
Total ▶ 3a				49,789,926
b *Approved for future payment*				
Total ▶ 3b				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
f		0		0	0
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments		17,474			
4 Dividends and interest from securities		948,141			
5 Net rental income or (loss) from real estate.					
a Debt-financed property		6,930			
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				0	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
12 Subtotal. Add columns (b), (d), and (e)		972,545		0	0

13 Total. Add line 12, columns (b), (d), and (e) 13 972,545

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
	Not Applicable.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee	Date	Title
	8/8/2012	Vice President

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ►			Firm's EIN ►	
Firm's address ►			Phone no	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

2011

Name of the organization

Caterpillar Foundation

Employer identification number

37-6022314

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ____________________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
Caterpillar Foundation	37-6022314

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Caterpillar Inc. 100 N. E Adams St. Peoria IL 61629-4295 Foreign State or Province Foreign Country	$ 49,885,000	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
2	Foreign State or Province Foreign Country	$ 0	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
3	Foreign State or Province Foreign Country	$ 0	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
4	Foreign State or Province Foreign Country	$ 0	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
5	Foreign State or Province Foreign Country	$ 0	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
6	Foreign State or Province Foreign Country.	$ 0	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		276	276	276	0
1	Real estate tax not included in line 20	276	276	276	
2	Tax on investment income				
3	Income tax				
4					
5					
6					
7					
8					
9					
10					

Line 23 (990-PF) - Other Expenses

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		124,857	242	242	124,857
1	Computer Support	35,000			35,000
2	Consultants	79,505			79,505
3	Memberships	6,000			6,000
4	Miscellaneous	4,110			4,110
5	Maintenance	242	242	242	242
6					
7					
8					
9					

Part II, Line 7 (990-PF) - Other Notes

	Borrower's Name	Check "X" if Business	Check "X" if 501(c)3 Org.	Original Amount	Net Balance Due Beginning of Year	Balance Due End of Year	Allowance for Doubtful Accts End of Year	FMV of Other Notes
				0	18,394,806	17,033,368	0	17,033,368
1	DMB White Tank, LLC	X			18,394,806	17,033,368	0	17,033,368
2					0			
3					0			
4					0			
5					0			
6					0			
7					0			
8					0			
9					0			
10					0			

Part II, Line 11 (990-PF) - Investments - Land, Buildings, and Equipment

		108,000	0	177,000	108,000	108,000
	Item or Category	Cost or Other Basis	Accumulated Depreciation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1	Land in East Peoria, Illinois	108,000		177,000	108,000	108,000
2					0	
3					0	
4					0	
5					0	
6					0	
7					0	
8					0	
9					0	
10					0	

Part II, Line 3 (990-PF) - Accounts Receivable

				Accounts Receivable	Allowance for Doubtful Accounts	Fair Market Value
1	City of East Peoria, Illinois	Beginning	1	10,840,128		
		Ending		10,740,653		10,740,653
2		Beginning	2			
		Ending				
3		Beginning	3			
		Ending				
4		Beginning	4			
		Ending				
5		Beginning	5			
		Ending				
6		Beginning	6			
		Ending				
7		Beginning	7			
		Ending				
8		Beginning	8			
		Ending				
9		Beginning	9			
		Ending				
10		Beginning	10			
		Ending				
11	Total beginning year amounts		11	10,840,128	0	
12	Total end of year amounts		12	10,740,653	0	
13	Total fair market value				13	10,740,653

Part VI, Line 6a (990-PF) - Estimated Tax Payments

		Date		Amount
1	Credit from prior year return	8/15/2011	1	598
2	First quarter estimated tax payment		2	
3	Second quarter estimated tax payment		3	
4	Third quarter estimated tax payment		4	
5	Fourth quarter estimated tax payment	1/31/2012	5	13,000
6	Other payments		6	
7	Total		7	13,598

CATERPILLAR FOUNDATION

DIRECTORS

D. R. Oberhelman

J. A. Baumgartner

E. J. Rapp

OFFICERS

D. R. Oberhelman, President

E. J. Rapp, Executive Vice President

J. A. Baumgartner, Vice President

M. H. Collier, Vice President

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J. L. Zammuto, Vice President

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R. D. Beran, Treasurer

J. J. Funk, Assistant Secretary

CATERPILLAR FOUNDATION

Making Sustainable Progress Possible in our Communities

GIVING BACK

Caterpillar supports the philanthropic efforts of the Caterpillar Foundation Founded in 1952, the Caterpillar Foundation has contributed more than $500 million to help make sustainable progress possible around the world by providing program support in the areas of environmental sustainability, access to education and basic human needs

Our Work

We believe in helping neighbors and giving back to people, to communities, to the world Here are a few of our stories

»Learn More

Thanks For Voting

Thank you to the many employees, retirees, dealers, customers and friends who voted for Caterpillar's entry in the 2012 Boston College Center for Corporate Citizenship Film Festival It was an honor to be selected as a top 10 finalist To view Caterpillar's video and the remaining top 10, please click below

»Go to Web Site

Foundation Contributions 2011

Organization Type Parent	Organization Legal Name	Payment Amount
ARTS & CULTURAL - CUL		
	The Cousteau Society	$100 00
	DAKOTA INDIAN FOUNDATION INC	$100 00
	Fnends Of The Wake County Publıc Lıbrary Inc	$100 00
	Lakevıew Museum of Arts & Sciences	$1,500,000 00
	AMERICAN-RUSSIAN CULTURAL COOPERATION FOUNDATION	$19,200.00
	Abraham Lıncoln Presidentıal Lıbrary Foundatıon	$100,000.00
	Lakevıew Museum of Arts & Scıences	$1,500,000 00
	Friends of the Smıthsonıan	$250,000 00
	Abraham Lincoln Presidentıal Lıbrary Foundatıon	$140,000.00
	Peoria Hıstorıcal Socıety	$20,000.00
	SciWorks	$10,000 00
	Peona Rıverfront Museum	$25,000 00
	SMITHSONIAN INSTITUTION OFFICE OF THE COMPTROLLER	$100,000 00
	Sun Foundatıon for Advancement ın the Envıronmental Scıences and Arts	$5,000 00
	DuPage Chıldren's Museum	$5,000.00
	Young Audıences of Vırgınıa	$2,000 00
	Young Napervılle Sıngers	$100 00
	Mclean County Arts Association	$125 00
	McLean County Hıstorıcal Socıety	$2,115 00
	Metamora Assocıatıon for Hıstonc Preservatıon	$1,300 00
	Metropolıtan Museum of Art	$725 00
	Metropolıtan Opera Guıld	$270 00
	MINNEAPOLIS SOCIETY OF FINE ARTS	$60 00
	Mınnesota Orchestral Assocıatıon	$250 00
	Mınnesota Publıc Radıo	$290 00
	Mıssouri Botanical Garden	$900 00
	Morton Publıc Lıbrary	$2,350 00
	MOUNT VERNON LADIES ASSOCIATION OF THE UNION	$75 00
	MUSEUM OF ARTS AND DESIGN	$250 00
	MUSEUM OF CONTEMPORARY ART	$125 00
	Museum Of Modern Art	$236 00
	Museum of New Mexıco Foundation	$75 00
	Museum of North Idaho	$100.00
	Museum of the Confederacy	$500 00
	Musıcal Arts Assocıatıon	$300 00
	Nashvılle Ballet	$350 00
	Nashvılle Publıc Radıo (WPLN)	$1,200 00
	Nashvılle Symphony	$6,825 00
	Natıonal Museum of Women ın the Arts	$400 00
	Natıonal Trust for Historıc Preservatıon	$375 00
	Natıonal World War II Museum Inc	$100 00
	New Salem Lıncoln League	$50 00
	Downstate Afro Amerıcan Hall of Fame Inc	$550 00
	Tazewell County Genealogıcal and Hıstorıcal Socıety	$100 00
	GEORGE W BUSH FOUNDATION	$2,000 00
	San Dıego Museum of Art	$180 00
	Utah Zoologıcal Socıety	$1,850 00
	Vesterheım Norwegıan American Museum	$50 00
	Vırgınia Foundatıon for the Humanıtıes and Public Polıcy	$1,000 00
	Vırgınıa Hıstorıcal Socıety	$2,000 00
	Vırgınıa Museum of Fıne Arts Foundatıon	$2,000 00
	Hermıtage Foundatıon Museum, The	$500 00
	Houston Ballet Foundation	$2,000 00
	Houston Symphony Society	$2,000 00
	Illınoıs Audubon Society	$1,435 00
	Illınoıs Lıbrary System Directors Organızatıon	$750 00

Illinois Mennonite Historical and Genealogical Society	$1,650 00
Illinois State Historical Society	$50 00
Illinois State Museum Society	$1,050 00
Indian River Symphonic Association	$1,000 00
Intuit The Center for Intuitive and Outsider Art	$100 00
Iowa Public Radio Inc	$100 00
Iron County Historical and Museum Society	$1,250 00
James Millikin Homestead	$50 00
Jamestown Yorktown Foundation	$100 00
John F Kennedy Center for the Performing Arts	$60 00
John G Shedd Aquarium Society	$70 00
KAET/Channel 8	$375 00
Virginia Opera	$2,500 00
Virginia Stage Company	$2,000 00
Virginia Symphony	$4,000 00
VISTA GRANDE PUBLIC LIBRARY	$500 00
Washington Historical Society	$700 00
WBEZ Alliance, Inc.	$440 00
WCBU - FM Bradley University	$47,002 00
WCPE - Educational Information Corporation	$575 00
West Central Illinois Educational Telecommunications Corp	$475 00
Westchester Philharmonic	$100.00
Western Avenue Greenway Project	$100 00
WETA/FM 91	$150 00
WFMT Fine Arts Circle (Window to the World Communications)	$150 00
WFYI - Metropolitan Indianapolis Television Association	$50 00
WHRO - Hampton Rds Educ Telecommunications	$2,000 00
Wisconsin Public Television	$50 00
WITF - Central Educational Broadcasting Council	$325 00
WJCT Foundation	$200 00
WKSU - Kent State University	$160 00
Wolf Trap Foundation for the Performing Arts	$300 00
WTTW - Chicago Educational Television Assoc (Channel 11)	$1,215 00
WTVP - Illinois Valley Public Telecommunications Corporation	$116,227 00
KETC/Channel Nine	$250 00
Key West Art and Historical Society	$750 00
Knox-Galesburg Symphony	$650 00
KPBS	$746.00
Lacon Public Library	$1,120 00
Lafayette Chamber Singers Inc	$150 00
Lafayette Citizens Band, Inc	$125.00
Lafayette Symphony, Inc	$375.00
Lakeview Museum of Arts & Sciences	$287,785.00
Lamb's Players Theatre, Inc.	$100 00
Lexington Ballet Company Inc	$500 00
Light Opera Works Inc	$1,000 00
LINCOLN CHILDRENS ZOO	$2,000.00
Long Center, Inc	$50.00
Macon County Historical Society	$125 00
MARINE CORPS HERITAGE FOUNDATION	$100 00
Mason-Dixon Public Library	$150 00
WUNC-FM Radio	$250 00
WVIZ - Educational TV Association of Metropolitan Cleveland	$275 00
York Symphony Association	$750 00
Tazewell County Genealogical and Historical Society	$2,127 00
Tazewell County Historic Places Society	$1,085 00
Tazwood Dance Company	$50 00
Technology Broadcasting Corporation	$70 00
The Heritage Ensemble	$250 00
The Library Foundation	$1,200 00
The Museums At Prophetstown, Inc.	$160 00

The Penguin Project Foundation Inc	$50 00
The Peoria Ballet Company	$7,700 00
Theatre 7	$500 00
Tippecanoe Arts Federation	$400 00
Tippecanoe County Historical Association	$2,150.00
Toulon Public Library District	$1,000 00
TRANSPORT MUSEUM ASSOCIATION	$200 00
Denver Art Museum	$78 00
Denver Museum of Nature & Science	$200 00
Downstate Afro American Hall of Fame Inc	$2,000 00
Drum Corps International	$1,250 00
Eastlight Theatre	$2,330 00
ETV Endowment of South Carolina, Inc	$700 00
Fairmont Opera House Inc	$1,000 00
Festival at Sandpoint	$150 00
Field Museum of Natural History	$1,250 00
Fine Arts Society	$200 00
FLIGHTS OF FANTASY MEDIA COMPANY	$100 00
Florida Gulf Coast University (WGCU Public Media)	$100 00
Florida West Coast Public Broadcasting, Inc. (WEDU)	$450 00
Folklore Village Farm Inc	$500 00
Forest Park Nature Center	$1,675 00
Fort Crevecoeur, Inc	$7,200 00
Friends of Iowa Public Television (IPTV)	$50 00
Friends of Palmer Public Library	$500 00
Friends of Public Radio Arizona	$150 00
FRIENDS OF SOUTH DAKOTA PUBLIC BROADCASTING	$60 00
Tucson Museum of Art	$100 00
Tucson Symphony Orchestra	$200 00
Tulsa Ballet Theatre	$2,000.00
Tulsa Opera, Inc	$2,000 00
Tulsa Town Hall, Inc	$2,000 00
Twin Cities Public Television (KTCA, KTCI)	$175 00
UNITED STATES HOLOCAUST MEMORIAL COUNCIL	$1,200 00
University of North Carolina Center for Public Television	$700 00
FRIENDS OF THE LAWRENCE PUBLIC LIBRARY INC	$100 00
Friends Of The Library Of Rio Rancho Inc	$50 00
Gettysburg Foundation	$250 00
FRIENDS OF THE NORFOLK PUBLIC LIBRARY	$100 00
Friends of the Peoria Public Library, Inc	$150 00
Friends of the Smithsonian	$1,375 00
Friends of the Tippecanoe County Public Library	$50 00
Frist Center For The Visual Arts	$120 00
Galena Festival Of The Performing Arts Inc	$500 00
Galena Foundation Inc	$150 00
Galena/Jo Daviess County Historical Society	$1,600 00
Gallery 510 Arts Guild Ltd	$50 00
Galva Historical Society	$50 00
George Bush Presidential Library Foundation	$2,000 00
George C. Marshall Foundation	$50 00
Gettysburg Foundation	$300 00
Glen Ellyn Public Library	$100 00
Gloucester Lyceum & Sawyer Free Library	$400 00
Grand Rapids Symphony	$1,250 00
GREATER ORO VALLEY ARTS COUNCIL	$100 00
Heartland Festival Orchestra	$10,895 00
University Radio Foundation Inc (WFAE)	$100 00
Prairie Aviation Museum	$250 00
Prairie Players Civic Theatre	$100 00
Princeton Theater Group	$2,000 00
Professional Flair	$2,000 00

Public Broadcasting of Colorado, Inc	$1,370 00
Ransom Memorial Public Library	$250 00
RAYMOND F KRAVIS CENTER FOR THE PERFORMING ARTS INC	$75 00
Rialto Square Theatre Corporation	$125 00
Rockport Chamber Music Festival	$500 00
Central Illinois Jazz Society	$790 00
Central Illinois Landmark Foundation	$700 00
Central Illinois Youth Symphony	$5,300.00
Channel 10/36 Friends, Inc	$225 00
Charlotte Symphony Orchestra, Inc	$100.00
Cheekwood - Tennessee Botanical Garden and Fine Art Center	$75.00
CHICAGO SHAKESPEARE THEATER	$200 00
Chicago Symphony Orchestra	$2,200 00
Children's Museum of Indianapolis	$300 00
Childrens Museum of Illinois	$200.00
Chillicothe Historical Society	$2,765 00
Choral Dynamics Of Galesburg Inc	$100 00
Chrysler Museum of Art	$2,000 00
Civic Theatre of Greater Lafayette Inc	$150 00
Civil War Preservation Trust	$200 00
Cleveland Museum of Art	$100 00
Cleveland Museum of Natural History	$205 00
Clinton County Historical Association	$500 00
Coeur D'Alene Symphony Orchestra	$1,000 00
Saint Mary's College of California	$250 00
San Diego Botanic Gardens	$2,000 00
San Diego Museum of Art	$180 00
San Diego Public Library	$100 00
SAN DIEGO YOUTH SYMPHONY	$2,000 00
San Francisco Symphony	$550 00
Sarasota Orchestra	$250 00
Shakertown at Pleasant Hill Kentucky Inc	$150.00
Shenandoah Valley Educational Television Corp. (WVPT)	$150 00
SOUTHWEST CHAMBER MUSIC SOCIETY	$2,000 00
Southwest Roots Music Inc	$125 00
Spokane Symphony Society	$150 00
Springdale Historic Preservation Foundation	$3,460 00
St Louis Art Museum Foundation	$450 00
Stanton Historical Society	$500 00
STATUE OF LIBERTY ELLIS ISLAND FOUNDATION INC	$100 00
Colonial Williamsburg Foundation	$3,425 00
Colorado Symphony Association	$2,600 00
Columbian Theatre Foundation	$250 00
Community Concert Association of Canton, Illinois, Ltd	$460 00
Community Radio for Northern Colorado	$110 00
Contemporary Art Center of Peoria	$2,300 00
Contemporary Arts Center	$250 00
Corn Stock Theatre	$600 00
Crazy Horse Foundation	$250.00
Credo Chamber Music Association	$1,200 00
CRYSTAL LAKE ART CENTER	$2,000 00
Decatur Area Arts Council	$1,710 00
Decatur Choral Society	$355 00
Decatur Public Library Foundation	$150 00
Delphi Preservation Society Inc	$50 00
Strand-Capitol Performing Arts Center	$1,800 00
Stratford Shakespeare Festival	$250 00
Sun Foundation for Advancement in the Environmental Sciences and Arts	$8,740 00
Symphony Orchestra Guild	$2,910 00
Taubman Museum of Art	$750 00
Abraham Lincoln Memorial Garden Foundation, Inc	$350 00

Abraham Lincoln Presidential Library Foundation	$585 00
Academy of Music, The	$150 00
ADIRONDACK CAROUSEL INC	$50 00
American Air Museum in Britain	$100 00
American Folk Art Museum	$200 00
American Swedish Institute	$745 00
Americans for the Arts	$2,000 00
AMP Concerts	$375 00
Arizona Theatre Company	$300 00
Arizona-Sonora Desert Museum, Inc	$2,000.00
Arkansas City Area Arts Council Inc	$1,000 00
Army Engineer Association	$500 00
Army Historical Foundation Inc	$425 00
Art Institute of Chicago	$798 00
Art Museum of Greater Lafayette	$125.00
Arts Council of White Lake	$200 00
Atlanta Educational Telecommunications Collaborative	$60 00
Atlanta Public Library	$4,590 00
AURICLE COMMUNICATIONS	$50 00
Aurora Theatre Company	$500 00
Bach Chorale Singers, Inc	$500.00
Ballet Arizona	$500 00
NORFOLK & WESTERN HISTORICAL SOCIETY INC	$100 00
Norfolk Botanical Garden Society	$300.00
NORRIS CITY COMMUNITY MUSEUM ASSOCIATION	$200 00
North Carolina Museum of Art Foundation Inc	$100 00
North Carolina Symphony	$350 00
Northeast Indiana Public Radio Inc	$60 00
Northeastern Educational Television of Ohio	$50 00
NORTHERN CALIFORNIA PUBLIC BROADCASTING INC	$1,500 00
Northern Public Radio	$385 00
Nova Singers	$100 00
O Winston Link Museum	$2,000 00
Opera Grand Rapids	$150 00
Orpheum Theatre The	$100 00
Owls Head Transportation Museum	$50 00
Paramount Arts Center	$100 00
Peninsula Music Festival	$200 00
BARRY TELECOMMUNICATIONS INC	$355 00
Bayfield Regional Conservancy Inc	$200 00
Birch Creek Music Center	$700 00
Blue Lake Fine Arts Camp	$100 00
Blue Stars Performing Arts For Youth Inc	$2,300 00
Brimfield Public Library	$700 00
Buffalo Bill Memorial Association	$2,000 00
California Shakespeare Festival	$1,250 00
California State Parks Foundation	$180 00
Cape Ann Historical Association	$600 00
CAROLINA CROWN INC	$208 00
Carroll County Wabash & Erie Canal, Inc	$1,000 00
Peoria Area Civic Chorale	$5,165 00
Peoria Art Guild	$11,155 00
Peoria Astronomical Society, Inc	$100 00
Peoria Historical Society	$11,205 00
Peoria Players Theatre	$825 00
Peoria Regional Museum Society	$150 00
Peoria Symphony Orchestra	$25,295 00
Performing Arts Club Of Chinese American In Greater Chicago	$2,000 00
Phoenix Symphony Association	$450 00
Pittsburgh Community Broadcasting Corporation	$342 00

		$4,414,169.00
CIVIC & COMMUNITY - CCA		
	CEC Seabee Historical Foundation Inc	$41,998 00
	Sumter Chamber of Commerce Foundation	$5,000.00
	Acorn Equality Fund	$13,000 00
	Winston-Salem Sustainability Resource Center	$10,000 00
	USINDO	$100,000 00
	LOCAL INITIATIVES SUPPORT CORPORATION	$10,000.00
	Heartland Commerce and Economic Development Foundation	$1,200,000 00
	Water Partners International	$1,177,375 00
	Hill Memorial Center	$10,000 00
	Prairie State Legal Services, Inc.	$25,000 00
	Community Foundation of Decatur/Macon County	$50,000 00
	Public Communicators	$350 00
	Peoria Area World Affairs Council	$7,500 00
		$2,650,223.00
EDUCATIONAL - EDU		
	Norfolk Academy	$350 00
	Cambrian College of Applied Arts and Technology	$5,000 00
	THE GEORGIAN COLLEGE FOUNDATION	$4,000 00
	Hedwig and Robert Samuel Foundation	$9,000 00
	Conestoga College Institute of Technology & Advanced Learning	$2,500 00
	Centennial College Foundation	$5,000 00
	Sir Sandford Fleming College	$15,000 00
	Saskatchewan Institute of Applied Science and Technology	$5,000.00
	Red River College	$5,000.00
	North Dakota State College of Science	$25,000 00
	South Georgia Technical College	$12,500 00
	Pulaski Technical College	$5,000 00
	Linn State Technical College	$25,000 00
	Montana State University – Northern	$10,000 00
	Southern Indiana Career and Technical Center	$500 00
	Centralia College	$10,000 00
	CENTRAL PIEDMONT COMMUNITY COLLEGE FOUNDATION INC	$10,000 00
	Dakota County Technical College	$10,000 00
	Lake Area Technical institute	$25,000 00
	Boone County Area Technical Center	$500 00
	Letcher County Area Technology Center	$500 00
	Monette R-1 Schools	$1,000 00
	The Immokalee Foundation Inc	$25,000 00
	Pennsylvania College of Technology Foundation, Inc.	$25,000 00
	Hawaii Community College Diesel Mechanics Program	$5,000 00
	Fairdale High School	$500.00
	Southside Virginia Community College	$5,000 00
	Palomar Community College District	$5,000 00
	SUNY AGRICULTURE & TECH COLLEGE FOUND INC FACULTY STUD	$5,000 00
	Des Moines Area Community College	$7,000 00
	Pennsylvania College of Technology Foundation, Inc	$10,000 00
	Vermont Technical College	$5,000 00
	White Mountains Community College	$8,000 00
	Morrisville Community College Foundation - College of Agriculture & Techn	$7,500 00
	Florence-Darlington Technical College	$7,500 00
	Central Community College	$25,000 00
	Casper College Foundation	$5,000 00
	Prosser School of Technology	$500 00
	Eastside Technical Center	$500 00
	Pennsylvania College of Technology Foundation, Inc.	$21,000 00
	Alexandria Technical College	$12,500 00

Bridgemont Community & Technical College	$7,500 00
Southeastern Illinois College	$2,000.00
Western PA Operating Engineers Joint Apprentice & Training Program	$25,000 00
Gillette College - Campbell County Higher Education	$5,000 00
Reedley College Foundation	$5,000 00
San Diego Miramar College	$5,000 00
Belfry Area Technology Center	$500 00
Prosser School of Technology	$500 00
East Carolina University	$50,000.00
Wheaton College	$16,000 00
Vidya Poshak	$22,200 00
North Carolina State University Foundation	$175,000 00
North Carolina State University Foundation	$100,000 00
University of Alberta Foundation USA Inc	$150,000 00
Northern Illinois University Foundation	$18,000 00
University of Iowa	$20,000 00
Knox College	$3,000 00
Millikin University	$500,000 00
University of South Carolina - Sumter	$4,000 00
Georgia Institute of Technology	$9,000 00
Valparaiso University	$2,000 00
Pittsburg State University	$5,000 00
University of Mississippi Foundation	$2,500 00
Associated Colleges of Illinois	$10,000 00
Missouri University of Science & Technology	$18,000 00
UNIVERSITY OF ILLINOIS FOUNDATION	$18,000 00
Georgia Institute of Technology	$9,000 00
Eureka College	$50,000 00
Valparaiso University	$152,230 00
Massachusetts Institute of Technology	$75,000 00
Northern Alberta Institute of Technology	$200,000 00
Texas A&M Foundation	$18,000 00
South Dakota School of Mines & Technology	$12,500 00
Valparaiso University	$152,230 00
Southern Illinois University - Carbondale	$17,500 00
Colorado School of Mines Foundation, Inc	$6,000 00
Princeton Public Schools Foundation	$25,000 00
Northeast Mississippi Community College	$10,000 00
China Youth Development Foundation	$117,750 00
International Youth Foundation	$3,711,857 00
US Foundation for the Inspiration & Recognition of Science & Technology	$18,050 00
International Youth Foundation	$280,019.00
Build Change	$233,785 00
Council for Economic Education	$50,000 00
Center For Excellence In Education	$25,000 00
Room To Read	$100,000 00
International Youth Foundation	$231,632 00
Anoka-Hennepin Technical College	$9,500 00
Ivy Tech Foundation, Inc.	$4,000 00
US Foundation for the Inspiration & Recognition of Science & Technology	$166,950 00
Richland Community College	$20,000 00
SAE International	$150,000 00
The AED Foundation	$5,000 00
Literacy Services of Wisconsin	$5,000 00
Junior Achievement of Upstate South Carolina	$5,000 00
UNCF	$50,000 00
Skills Usa Inc	$2,000 00
Aurora-Joliet Associates Incorporated	$5,000 00
Theta Xi Graduate Chapter	$2,000 00
Sunderland AFC Foundation	$53,333 00
Engineers Without Borders-USA Inc	$78,000 00

Peoria Public School District 150 Foundation	$5,000.00
Quad County Urban League	$7,000 00
Peoria Charter School Initiative Co	$45,874 00
Junior Achievement Worldwide	$300,000 00
Pengcheng Special Education School for the Mentally Challenged, Xuzhou	$60,000 00
Stanford University	$60,000 00
Room To Read	$250,000 00
Usina do Oficio	$80,000 00
ROCKETSHIP EDUCATION	$100,000 00
Junior Achievement	$25,000.00
Peoria Public Schools District 150 Foundation	$10,000.00
Junior Achievement	$15,000.00
Junior Achievement of Central Illinois	$20,000 00
Peoria Public Schools District 150 Foundation	$50,000.00
Junior Achievement	$10,000 00
Illinois Council on Economic Education	$10,000 00
Junior Achievement of East Central Illinois	$5,000 00
SciEnTeK-12 Foundation	$3,000.00
SAE-CIS	$1,500 00
Valparaiso University	$200,000.00
Valparaiso University	$200,000 00
UNIVERSITY OF ILLINOIS FOUNDATION	$547,000 00
University of Illinois at Chicago	$126,000 00
Florida International University Foundation, Inc	$40,000 00
Shanghai Jiaotong University	$47,200 00
China University of Mining and Technology	$37,000 00
Catholic University of Chile	$50,000 00
Tianjin University	$29,940 00
Peking University	$87,800 00
Great Lakes Institute of Management	$30,000 00
Instituto Tecnológico y de Estudios Superiores de Monterrey	$30,000 00
Purdue University	$87,000 00
University of Illinois at Chicago	$50,000 00
Bradley University	$1,696,979 38
ILLINOIS STATE UNIVERSITY FOUNDATION	$80,000 00
Georgia Institute of Technology	$87,000 00
UNIVERSITY OF ILLINOIS FOUNDATION	$702,797 00
Bradley University	$1,426,426 38
University of Texas at Austin	$78,500 00
Iowa State University Foundation	$65,000 00
Bradley University	$305,800 00
North Hennepin Community College	$8,000 00
Peoria Promise Foundation	$100,000 00
Western Illinois University	$91,500 00
Eureka College	$75,000 00
Virginia State University	$84,000 00
Albright College	$150 00
Alice Lloyd College	$1,000 00
Allegheny College	$50 00
Amherst College	$120 00
Andrews University	$100 00
Antioch University	$250 00
Appalachian State University	$100 00
Aquinas College Michigan	$100 00
Arizona State University	$250 00
Arkansas State University	$500 00
Asbury Theological Seminary	$2,200 00
Associated Mennonite Biblical Seminary	$1,000 00
Auburn University	$1,945 00
Augustana College - Rock Island	$2,075 00
Augustana College - Sioux Falls	$250 00

Aurora University	$3,550 00
Ball State University	$950 00
New York University	$250 00
Niagara University	$200 00
North Carolina State University Foundation	$2,380 00
North Central College	$750.00
North Dakota State University Development Foundation	$3,100 00
North Iowa Area Community College	$50 00
Northern Illinois University	$1,150.00
Northland College	$300.00
Northwest Missouri State University	$150.00
Northwestern College - St Paul	$1,862 00
Northwestern University	$2,950 00
O'More College of Design	$1,000 00
Oberlin College	$200 00
Occidental College	$125 00
Oglala Lakota College	$367 00
Ohio Northern University	$150 00
Olivet Nazarene College	$7,425 00
Oregon State University Foundation	$200 00
Ouachita Baptist University	$300 00
PARKLAND COLLEGE FOUNDATION JR COLLEGE DIST N 505	$150 00
Penn State University	$6,425.00
BARNARD COLLEGE	$100 00
Baylor University	$500 00
Belmont University	$300.00
Beloit College	$50.00
Benedictine College	$200 00
Benedictine University	$50 00
Bentley College	$100 00
Berea College	$100 00
BERKLEE COLLEGE OF MUSIC INC	$50 00
Bethany Theological Seminary	$50 00
Bethel University	$1,862 00
Bismarck State College	$50 00
Bloomsburg University of Pennsylvania	$100 00
Blue Ridge Community College	$500 00
Bluffton University	$1,500 00
Boston University	$75 00
Bradley University	$779,344 00
Brigham Young University	$2,800 00
Brown University	$100 00
Bucknell University	$200 00
Butler University	$1,725 00
California Polytechnic State University	$300 00
California State University - Chico	$100.00
Calvin College	$375.00
Canisius College	$500 00
Carl Sandburg College	$250 00
Carleton College	$2,550 00
Carnegie Mellon University	$407 00
Case Western Reserve University	$350 00
Castleton State College	$2,000 00
Catawba College	$400 00
Cayuga County Community College	$250 00
Peru State College	$1,000 00
Pittsburg State University	$1,770 00
Point Loma Nazarene College	$100 00
Presentation College	$350 00
Princeton University	$2,700 00
Purdue University	$30,305 00

Quincy University	$2,610 00
Randolph-Macon College	$1,250 00
Regents of the University of Michigan	$1,730 00
Regis College - Denver	$2,000 00
Rensselaer Polytechnic Institute	$2,250 00
Richland Community College	$2,050 00
Ripon College	$250 00
Roanoke College	$3,000 00
Rockford College	$300 00
Rollins College	$361 00
Rose-Hulman Institute of Technology	$8,630 00
Rosemont College	$250 00
Cedarville University	$2,075 00
Centenary College of Louisiana	$200 00
Central College	$2,050 00
Chestnut Hill College	$250.00
Christian Brothers University	$250 00
Clarke College	$180 00
Clarkson University	$1,075 00
Clemson University	$560 00
Cleveland Institute of Music	$50 00
Cleveland State University	$250 00
Rush University	$50 00
Rutgers University	$300 00
Saint Joseph's College	$125.00
San Diego City College	$500 00
San Diego State University	$3,250 00
Seattle University	$200 00
Shippensburg University of Pennsylvania	$950 00
Simpson College	$500 00
Smith College	$2,000 00
South Dakota School of Mines & Technology	$11,935 00
South Dakota State University	$3,600 00
Southeast Missouri State University	$100 00
Southern Illinois University - Carbondale	$3,300 00
Southern Illinois University Edwardsville	$200 00
Southern Methodist University	$2,100 00
Southwest Baptist University	$90 00
Spoon River College Foundation	$550 00
St Louis Roman Catholic Theological Seminary	$2,000 00
St Ambrose University	$5,550 00
St Catherine University	$100 00
St Francis College	$100 00
St Francis Medical Center - College of Nursing	$1,400.00
St Louis University	$4,000 00
St Mary's College	$1,075 00
St Mary's College of Minnesota	$300 00
St Mary-of-the-Woods College	$420 00
St Olaf College	$1,200 00
St Xavier University	$100 00
Stanford University	$3,650 00
State University of New York College of Environmental Science and Fry	$100 00
Sterling College	$500 00
Stonehill College	$50 00
Stony Brook Foundation Inc	$130 00
Northern Michigan University	$100 00
COLBY COLLEGE	$2,000 00
Colgate University	$1,250 00
College of DuPage Foundation	$100 00
College of Mount St. Vincent	$50.00
College of the Ozarks	$2,000 00

College of William & Mary, The	$150 00
Colorado School of Mines Foundation, Inc	$200 00
Columbia University	$150 00
Conception Seminary College	$1,415 00
Concordia Seminary	$757 00
Concordia Theological Seminary	$2,100 00
Concordia University - River Forest	$5,157 00
Concordia University - Seward	$350 00
Connecticut College	$2,000.00
Cornell College	$300 00
Cornell University	$920 00
Cottey College	$500 00
Creighton University	$700 00
Culver-Stockton College	$200 00
Dartmouth College	$2,000 00
Davidson College	$1,000 00
Delaware State University	$1,000 00
Denison University	$200 00
Swarthmore College	$1,000 00
Sweet Briar College	$100 00
Syracuse University	$310.00
Taylor University - Upland	$2,900 00
Temple University	$250 00
Tennessee Technological University	$200 00
Texas A & M University	$2,650 00
Texas Christian University	$100 00
The Citadel	$650 00
The Ohio State University Foundation	$2,835 00
Thomas A Edison State College	$100 00
Thomas Aquinas College	$500 00
Thunderbird School of Global Management	$600 00
Trine University	$50 00
Trinity Christian College	$500 00
Trinity College - Deerfield	$100 00
Trinity College - Hartford	$1,000 00
DePauw University	$150 00
Doane College	$400 00
Dominican University	$400 00
Drake University	$475 00
Drury University	$125 00
Duke University	$2,150 00
Eastern Illinois University	$1,150 00
Eastern Kentucky University Foundation	$100 00
Elizabethtown College	$500 00
EMBRY-RIDDLE AERONAUTICAL UNIVERSITY INC	$250 00
Emmanuel College	$1,250 00
Endicott College	$100 00
Eureka College	$12,284 00
Evangel College	$1,000 00
Fayetteville State University	$250 00
Ferris State University	$250 00
Fisk University	$2,000 00
FLORIDA COLLEGE	$331 00
Florida State University	$100 00
Franciscan University of Steubenville	$250 00
Franklin and Marshall College	$2,000 00
Freed-Hardeman University	$250 00
Fresno Pacific College	$2,000 00
Trinity College - Washington DC	$125 00
Truman State University	$200 00
United States Air Force Academy	$600 00

United States Merchant Marine Academy Foundation	$250 00
United States Military Academy	$2,944 00
United States Naval Academy	$400.00
University of Akron	$100 00
University of Alabama - Tuscaloosa	$265 00
University of Alabama in Huntsville	$75.00
University of Arizona Foundation	$450 00
University of Arkansas - Fayetteville	$125 00
University of California - Berkeley	$2,550 00
University of California - Davis	$300 00
University of California - Los Angeles (UCLA)	$100 00
University of California - Santa Barbara	$100 00
University of California - Santa Cruz	$200 00
University of California San Diego	$1,300 00
University of Chicago	$3,100 00
University of Cincinnati	$2,500 00
University of Colorado Foundation	$350 00
University of Dallas	$50 00
University of Dayton	$550 00
University of Detroit Mercy	$1,000 00
University of Evansville	$2,075 00
UNIVERSITY OF ILLINOIS FOUNDATION	$52,228.00
University of Iowa	$4,500 00
University of Kansas	$1,865 00
University of Kentucky	$980 00
University of Maine at Orono	$50 00
University of Maryland	$1,000 00
University of Maryland, College Park	$2,000 00
University of Minnesota	$4,880 00
University of Missouri - Columbia	$5,100 00
University of Missouri - St. Louis	$350 00
University of Montana	$100 00
University of Nebraska Foundation	$3,300 00
University of New Mexico	$100 00
University of North Carolina - Wilmington	$462 00
University of North Carolina at Chapel Hill	$1,000 00
University of North Carolina at Charlotte	$600 00
Friends University	$600 00
Fuller Theological Seminary	$100 00
George Washington University	$1,350 00
Georgetown University	$2,000 00
Georgia State University	$2,100 00
Georgia Institute of Technology	$2,600 00
Gettysburg College	$600 00
God's Bible School & College	$4,000 00
Goshen College	$1,050 00
Grace University	$50.00
Graceland College	$250 00
Graham Hospital Foundation - School of Nursing	$2,000 00
Grambling State University	$1,000 00
Greenville College	$1,050 00
Grove City College	$600 00
Hamilton College	$350 00
Harding University	$1,000 00
Hastings College	$2,000 00
Hawkeye Community College	$1,000 00
Heartland Community College	$1,500 00
Heidelberg University	$300 00
Heritage College	$200 00
University of North Dakota	$500 00
University of Notre Dame	$12,275.00

University of Oklahoma Foundation	$250 00
University of Pennsylvania	$1,240 00
University of Pittsburgh	$350 00
University of Portland	$250 00
University of Richmond	$2,000 00
University of Rochester	$700.00
University of San Diego	$225 00
University of South Carolina - Columbia	$100 00
University of South Dakota	$50 00
University of South Florida	$1,100 00
University of Southern Indiana	$250 00
University of St Francis	$700 00
University of St Mary's of the Lake	$750 00
University of St. Thomas	$500 00
University of Tampa	$150 00
University of Tennessee	$250 00
University of Texas at Arlington	$100 00
University of Texas at Austin	$2,000 00
University of Texas, MD Anderson Cancer Center	$600 00
University of the Cumberlands	$100 00
University of the South	$50.00
University of Tulsa	$2,000 00
University of Virginia	$1,450 00
University of Wisconsin - Eau Claire	$50 00
University of Wisconsin - Madison	$8,325.00
University of Wisconsin - Platteville	$825 00
University of Wisconsin -Milwaukee	$225 00
University of Wisconsin -Whitewater Foundation, Inc.	$110 00
University Of Wisconsin River Falls Foundation	$250 00
University of Wyoming	$1,250 00
Upper Iowa University	$200 00
Valparaiso University	$10,750 00
Vanderbilt University	$780 00
Villanova University	$250 00
Vincennes University	$100 00
Virginia Military Institute	$300 00
Hesston College	$1,410 00
Hillsdale College	$1,475 00
Hiram College	$100 00
Hollins University	$2,000 00
Holy Cross Greek Orthodox Theological Sch	$50 00
Holyoke Community College	$700.00
Hope College	$275 00
Howard University	$100 00
Humboldt State University	$100 00
Huntington University	$2,100 00
Illinois Central College Educational Foundation	$36,761 00
Illinois College	$2,375 00
Illinois Institute of Technology	$400 00
ILLINOIS STATE UNIVERSITY FOUNDATION	$35,159 00
Illinois Wesleyan University	$5,350 00
Indiana Institute of Technology	$250 00
Indiana University Foundation	$6,350 00
Indiana Wesleyan University	$300 00
Iowa State University Foundation	$23,005 00
Ithaca College	$250 00
JACKSONVILLE STATE UNIVERSITY FOUNDATION	$50 00
James Madison University	$90 00
John Carroll University	$100 00
Johns Hopkins University	$65.00
Johnson University	$1,700 00

Joliet Junior College	$75 00
Judson College	$4,000 00
Juniata College	$1,500 00
KALAMAZOO COLLEGE	$50 00
Kansas State University Foundation	$4,475 00
Kean University	$150 00
Virginia Polytechnic Institute and State University	$750 00
Virginia Wesleyan College	$2,000 00
Wabash College	$500 00
Wake Forest University	$600 00
Wartburg College	$100 00
Washburn University of Topeka	$1,300.00
Washington & Lee University	$250 00
Washington and Jefferson College	$2,000 00
Washington State University	$400.00
Washington University	$775 00
Waubonsee Community College	$2,000 00
Wayne State University	$1,100 00
WBNH -- Central Illinois Radio	$100 00
Webb Institute	$250 00
West Virginia University	$50 00
West Virginia Wesleyan College	$1,450 00
Western Illinois University	$1,900 00
Wheaton College	$6,000 00
Wichita State University	$100 00
William Marsh Rice University	$150 00
WILLIAMS COLLEGE	$1,000 00
Wilson College	$250 00
Wright State University	$150 00
Kent State University	$500 00
Kentucky Mountain Bible College	$2,000 00
Kettering University	$1,000 00
King's College	$1,000 00
Knox College	$5,350.00
Lake Forest College	$1,300 00
Lawrence University	$1,355 00
Lehigh University	$500 00
Letourneau University	$2,000 00
Lewis University	$200 00
Liberty University	$220.00
Lincoln Christian College & Seminary	$2,700 00
Lincoln College	$1,150 00
Lipscomb University	$1,250 00
Loras College	$300 00
Loyola University of Chicago	$300 00
Luther College	$300 00
MacMurray College	$50 00
Madison Area Technical College	$2,000 00
Manchester College	$50 00
Manhattan Christian College Inc	$1,320 00
Marian College	$2,000 00
Marquette University	$2,050 00
Massachusetts Institute of Technology	$4,650 00
Massachusetts Maritime Academy	$1,000 00
MAYO CLINIC ROCHESTER - College of Nursing	$2,935 00
McGill University	$50 00
McKendree College	$100 00
Xavier University	$325 00
Yeshiva Ohr Elchonon - Chabad	$1,800 00
York College of Pennsylvania	$450 00
McPherson College	$75 00

Mercyhurst College	$100 00
Methodist College of Nursing	$1,000 00
Miami University Foundation Inc	$500 00
Michigan State University	$1,065 00
Michigan Technological University	$8,931 00
Middle Tennessee State University	$50 00
Millikin University	$136,883 00
Milwaukee School of Engineering	$1,500 00
Misericordia University	$750 00
Missouri State University	$200 00
Missouri University of Science & Technology	$15,045 00
Missouri Western State University	$2,000 00
Monmouth College	$3,200 00
Montana State University Foundation	$2,300 00
Moody Bible Institute	$5,250 00
Mount Holyoke College	$400 00
Mount Mary College	$50 00
Mount Saint Mary College	$100 00
Murray State University	$100 00
National Defense University Foundation, Inc	$100 00
New Jersey Institute of Technology	$100 00
Iowa State University Foundation	$75 00
North Dakota State University Development Foundation	$50 00
University of Notre Dame	$12,275 00
	$16,598,017.76

ENVIRONMENTAL - ENV

The Peoria Zoological Society	$91,516 38
The Nature Conservancy	$88,782 50
The Nature Conservancy	$100,000 00
Ducks Unlimited	$25,000 00
Nature Conservation Society of Japan	$100,000 00
Tropical Forest Foundation	$50,000 00
The Wildlife Trust for Bedfordshire, Cambridgeshire and Northamptonshire	$32,088 00
Tropical Forest Foundation	$85,000 00
Heartland Water Resources Council of Central Illinois	$34,425 00
Living Lands & Waters Restoration Organization	$26,000 00
Keep Peoria Beautiful	$2,000 00
China Environmental Protection Foundation	$300,630 00
The Nature Conservancy	$800,000 00
World Resources Institute	$4,000,000.00
Sun Foundation	$15,000 00
The Nature Conservancy	$200,000 00
Land Trust Alliance, Inc	$50,000 00
Pottawatomie Recycling	$5,000 00
Sun Foundation	$10,000 00
Provider Pals	$25,000 00
Living Lands & Waters Restoration Organization	$50,000 00
Land Trust Alliance, Inc.	$50,000 00
Foundry Education Foundation	$6,000 00
Zoological Society of San Diego	$4,709 00
Monteverde Conservation League US Inc	$50 00
National Arbor Day Foundation	$250.00
National Park Foundation	$225 00
National Parks & Conservation Association	$825 00
National Wildlife Federation	$400 00
Natural Resources Defense Council	$50 00
Conservation International Foundation	$60 00
THE CONSERVATION FUND	$1,500 00
Access Fund	$460.00

African Wildlife Foundation	$200 00
AMERICAN BIRD CONSERVANCY	$252 00
Anza-Borrego Foundation	$100 00
Appalachian Trail Conservancy	$150.00
Niches Land Trust	$200 00
North Carolina Zoological Society	$50 00
Ocean Conservancy The	$400 00
Otter Project Inc	$100 00
Pacific Crest Trail Association	$100 00
BROOKGREEN GARDENS	$250.00
CATAWBA LANDS CONSERVANCY	$100 00
PEREGRINE FUND INC	$50 00
PRAIRIE RIVERS NETWORK	$50 00
Rails To Trails Conservancy	$410 00
River Alliance of Wisconsin	$200 00
Rocky Mountain Elk Foundation	$2,835 00
Rocky Mountain Nature Association Foundation	$50 00
Center For Biological Diversity Inc	$65 00
Chesapeake Bay Foundation	$2,000 00
Chicago Zoological Society	$108 00
Sanibel - Captiva Conservation Foundation	$75 00
SAVE ELLIS ISLAND INC	$100.00
Schuetzenpark Gilde	$50 00
SCOTT COUNTY BEAUTIFICATION FOUNDATION	$50 00
CONSERVATION FOUNDATION	$50.00
Decatur Parks Foundation	$175 00
Defenders of Wildlife	$215 00
Delta Waterfowl Foundation - Middle Neuse River	$3,470 00
Student Conservation Association	$175 00
Sugar Grove Foundation	$50 00
THE INTERNATIONAL DARK-SKY ASSOCIATION INC	$100 00
The Nature Conservancy - Illinois Chapter	$14,455 00
The Peoria Zoological Society	$24,040 00
The Trust for Public Land	$50 00
The Ward Burton Wildlife Foundation	$250 00
The Wetlands Initiative	$250 00
DING DARLING WILDLIFE SOCIETY INC	$50 00
Ducks Unlimited	$4,030 00
Earthjustice	$100 00
Environmental Defense Inc	$250 00
FRIENDS OF LEDGE VIEW NATURE CENTER INC	$50 00
FRIENDS OF OXBOW	$250 00
Trout Unlimited	$250 00
Tulsa Zoo Friends, Inc	$2,000 00
FRIENDS OF THE EARTH	$50 00
Friends of Wildlife Prairie State Park	$14,785 00
Ice Age Trail Alliance	$250 00
Indianapolis Zoological Society, Inc	$1,400 00
International Crane Foundation	$50 00
Western Reserve Land Conservancy	$100 00
Western Virginia Land Trust Foundation	$250 00
Wilderness Society The	$50 00
Wildlife Conservation Society	$60 00
World Wildlife Fund	$970 00
Keep Peoria Beautiful	$760 00
Lackawanna River Corridor Association	$100 00
Living Lands & Waters Restoration Organization	$300 00
Madeline Island Wilderness Preserve	$50 00
	$6,232,350.88

FOUNDATION EXPENSES - EXP

	Chase Card Services	$92 00
	Chase Card Services	$4,980 48
	The Association of Corporate Contributions Professionals	$6,000 00
	Chase Card Services	$489 46
	Chase Card Services	$2,870 60
	Chase Card Services	$800 63
	Chase Card Services	$1,249 29
	Chase Card Services	$2,215 49
	CyberGrants Inc.	$17,500 00
	Chase Card Services	$3,860 39
	OPT1MUS	$20,000 00
	OPT1MUS	$10,000 00
	The Consulting Network	$1,500 00
	Chase Card Services	$2,554 47
	Chase Card Services	$1,359 52
	OPT1MUS	$249 18
	Illinois Secretary of State	$10 00
	Chase Card Services	$3,831 57
	Thomas L. May	$2,803 00
	Chase Card Services	$431 65
	CyberGrants Inc	$17,500 00
	Illinois Charity Bureau Fund	$15 00
	Image Alliance Inc	$29,500 00
	Chase Card Services	$126 31
	GuideStar	$5,750 00
	CT Corporation	$141 94
	Chase Card Services	$2,331 33
	Chase Card Services	$2,575 18
	Thomas L May	$7,095 90
	Chase Card Services	$2,890 79
	Thomas L May	$3,948 10
	Chase Card Services	$772 52
	Chase Card Services	$3,391 85
	Chase Card Services	$1,295 00
	CT Corporation	$100 02
	Chase Card Services	$442 80
	Thomas L May	$4,409 00
	Miscellaneous	$4,083 00
		$169,166.47

FOUNDATION EXPENSES - EXP

	Tazewell County Treasurer	$276 02
		$276.02

HEALTH & HUMAN SERVICES - HHS

	Give2Asia	$200,000 00
	American Red Cross Central Illinois Chapter	$21,470.00
	American Red Cross Central Illinois Chapter	$200,000 00
	American Red Cross Central Illinois Chapter	$2,808 96
	American Red Cross Central Illinois Chapter	$400,000 00
	Pan American Development Foundation	$16,200 00
	American Red Cross Central Illinois Chapter	$50,000 00
	American Red Cross Central Illinois Chapter	$1,000,000 00
	Give2Asia	$5,185 00
	Pan American Development Foundation	$100,000 00
	Give2Asia	$22,595 57
	Give2Asia	$100,000 00

American Red Cross Central Illinois Chapter	$18,300 92
American Red Cross Central Illinois Chapter	$307,173 88
Give2Asia	$21,274 13
Pan American Development Foundation	$1,000,000 00
Give2Asia	$3,383.00
American Red Cross Central Illinois Chapter	$3,951 00
First Response Team of America Corp	$100,000 00
MidIllinois Chapter of the American Red Cross	$5,000 00
American Red Cross Central Illinois Chapter	$100,000 00
American Red Cross Central Illinois Chapter	$32,459 00
First Response Team of America Corp	$150,000 00
American Red Cross Central Illinois Chapter	$9,460 00
American Red Cross Central Illinois Chapter	$250,000 00
American National Red Cross	$2,650 00
American Red Cross Central Illinois Chapter	$100,000 00
American Red Cross Central Illinois Chapter	$50,000.00
American Red Cross Central Illinois Chapter	$32,922.00
Give2Asia	$7,390 80
Will County Habitat for Humanity ReStore	$12,500.00
Give2Asia	$50,000 00
CHILDFUND INTERNATIONAL USA	$189,416 00
Friends of the Princes Trust Inc	$97,710 00
Susan G Komen Breast Cancer Foundation	$1,000,000 00
Opportunity International, Inc.	$1,000,000 00
Opportunity International, Inc	$1,000,000 00
Opportunity International, Inc.	$1,000,000 00
Goodwill Panama	$30,000 00
United Way Worldwide	$260,000 00
Friends of the World Food Program	$1,000,000 00
Habitat for Humanity Singapore	$5,500 00
Lifesong for Orphans	$50,000 00
Goodwill Industries International, Inc.	$241,470 00
Rural Education and Development, Inc.	$133,400 00
United Way Worldwide	$2,311 60
The Home from Home Trust	$59,107 00
Le Château Vert	$25,000 00
MALAWI CONNECTION	$12,500 00
U S Fund for UNICEF	$1,000,000 00
Interplast Australia and New Zealand	$30,000 00
High Atlas Foundation	$26,000 00
Mano a Mano International Partners	$162,091.50
TABLE FOR TWO USA	$200,000.00
Interplast Australia and New Zealand	$30,000.00
The Akshaya Patra Foundation	$441,264 00
Heart of Illinois United Way Inc.	$65,370 00
Peoria Citizens Committee for Economic Opportunity Inc	$125,000 00
Boys & Girls Clubs of Sanford/Lee County, Inc	$30,000 00
The Salvation Army	$100,000 00
W D. Boyce Council, Boy Scouts of America	$25,000 00
Boys & Girls Clubs of Northeast Mississippi	$3,000 00
Easter Seals-UCP	$32,500 00
Children's Home Association of Illinois	$1,300 00
YMCA of the Triangle	$10,000 00
Central ILL. MS Clinic Fund	$10,000 00
Humanitarian Service Project	$8,000 00
UNITED WAY OF GREATER MILWAUKEE INC	$250,000 00
Big Brothers Big Sisters of Will and Grundy County	$10,000 00
Lutheran Social Services of Illinois	$25,000 00
The Center for Prevention of Abuse	$50,000.00
Central Missouri Community Action	$2,500 00
Girl Scouts of Great Chicago and Northwest Indiana	$5,000 00

American Red Cross Central Illinois Chapter	$48,064.00
Heart of Illinois Big Brothers Big Sisters	$30,000 00
Heart of Illinois Harvest	$10,000 00
Friends of the Tazewell County Children's Advocacy Center	$8,000 00
Heart of Illinois United Way Inc	$4,000,000 00
Wabash Center Inc	$5,000 00
Fayette County Council On Domestic Violence Inc	$5,000 00
Easter Seals Central Illinois	$7,500 00
Big Brothers Big Sisters of WNC	$5,000 00
Northern Illinois Food Bank	$10,000.00
SOUTH SIDE MISSION OF PEORIA	$35,000 00
Central City YMCA	$10,000 00
VALLEY ASSISTANCE SERVICES INC	$2,000 00
Rebuild Resources	$6,000 00
Community Food Bank of Southern Arizona	$7,000.00
Casa de Esperanza Inc	$3,000 00
Cornerstone Services	$10,000 00
Sahuaro Girl Scout Council, Inc	$1,000 00
Habitat for Humanity Tucson	$2,500 00
Hands of a Friend (Manos Amigas) Inc	$3,000 00
Peoria YMCA	$15,000 00
Heart of Illinois United Way Inc	$100,000 00
Food Bank of Central & Eastern North Carolina, Inc	$15,000.00
Boys and Girls Clubs of Greater Peoria, Inc	$30,000 00
Midwest Food Bank, NFP	$150,000 00
Easter Seals Joliet Region	$20,000 00
Family Focus	$2,500 00
LIGHTHOUSE FOUNDATION OF CORINTH	$10,000 00
Youth On Their Own	$2,500 00
Boys & Girls Clubs of Northeast Mississippi	$10,000 00
Family Counseling Service of Aurora	$10,000 00
ANGEL FLIGHT-CENTRAL	$25,000 00
EXCHANGE CLUB-CARL PERKINS CENTER FOR THE PREVENTION (	$2,500 00
Central Illinois Memorial Kidney Fund	$7,500 00
WOMENS RESOURCE & RAPE ASSISTANCE PROGRAM	$2,500 00
University of Illinois College of Medicine at Peoria/PRC	$10,000 00
Big Brothers Big Sisters of Central Illinois	$15,000 00
Hanna Community Council, Inc	$5,000.00
Hult Center for Health Education/Cancer Center for Healthy Living	$45,000 00
Habitat for Humanity of Forsyth County	$5,000 00
Heart of Illinois United Way Inc	$321,259 00
Peoria Rescue Ministries	$25,000 00
Sumter County Disabilities & Special Needs Board	$1,000 00
Macon County CASA	$7,500 00
American Red Cross	$5,000 00
Rebuilding Together	$10,000.00
Crittenton Centers	$5,000 00
Girl Scouts of Central Illinois	$5,000.00
Easter Seals - UCP	$30,000 00
St. Jude Children's Research Hospital	$5,000 00
Children's Hospital of Illinois Foundation	$1,238,949 00
Rebuilding Together Aurora	$4,000 00
Mutual Ground, Inc	$7,500.00
CASA Kane County	$12,500 00
Resource Inc. (MRC)	$4,000 00
Make-A-Wish Foundation of Illinois	$10,000 00
YMCA of Metropolitan Chicago (Aurora Family YMCA)	$5,000.00
The Susan G. Komen Breast Cancer Foundation	$30,000 00
Public Action to Deliver Shelter, Inc. (aka Hesed House)	$10,000.00
Hope For Tomorrow Inc	$10,000 00
St. Coletta's of Illinois	$10,000 00

Catholic Charities	$15,000 00
Crittenton Centers	$15,000 00
Store to Door	$4,000 00
Peoria Friendship House of Christian Service	$400 00
Special Olympics Illinois	$15,000.00
Hartford Area Habitat for Humanity	$3,000 00
Heart of Illinois United Way Inc	$2,000 00
Children's Home Association of Illinois	$21,750.00
HABITAT FOR HUMANITY INTERNATIONAL INC	$2,500 00
Habitat for Humanity	$12,500 00
Chatham Habitat for Humanity	$10,000 00
Senior Services Center of Will County	$10,000.00
Heart of Illinois United Way Inc.	$5,000 00
CASA Peoria County	$15,000 00
Central Illinois Clinical Oncology Foundation	$25,000 00
Promise Place	$3,500 00
Decatur Family YMCA	$10,000 00
American National Red Cross	$5,000 00
Land Donation to Habitat For Humanity	$69,000 00
	$19,814,086.36

PUBLIC POLICY - PPL

The Conference Board	$8,250 00
The Conference Board	$15,000 00
The Conference Board	$5,400 00
The Brookings Institution	$10,000.00
Center For Global Development	$25,000 00
Cato Institute	$15,000 00
Cato Institute	$700 00
The Carter Center	$450 00
Clare Boothe Luce Policy Institute	$100 00
Illinois Policy Institute	$1,000 00
Heritage Foundation, The	$180 00
	$81,080.00

Total 2011 Payments	**$49,959,369.49**

Form **8868**
(Rev January 2011)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No 1545-1709

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☑
• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).
Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (*e-file*). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization	Employer identification number
	Caterpillar Foundation	37-6022314
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions	
	100 N. E. Adams St.	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	Peoria, IL 61629-4295	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ▶ Mike Chittick

Telephone No. ▶ 309-675-4232 FAX No. ▶ 309-675-1753

• If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . . . . ▶ ☐ . If it is for part of the group, check this box . . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until August 15 , 20 12 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ ☑ calendar year 20 11 or
▶ ☐ tax year beginning ________ , 20 ___ , and ending ________ , 20 ___ .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 8,500
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 9,598
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ ☐

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print	Name of exempt organization	**Employer identification number**
File by the extended due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions.	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . ☐☐

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• *The books are in the care of* ▶ ____________________

Telephone No. ▶ ____________________ FAX No. ▶ ____________________

• If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . . . . ▶ ☐ . If it is for part of the group, check this box . . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until ____________________ , 20 ____ .

5 For calendar year ______ , or other tax year beginning ____________ , 20 ____ , and ending ____________ , 20 ____ .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension ____________________

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$
c	**Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ [illegible] Title ▶ Vice President Date ▶ 3-20-12