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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

2011

Department of the Treasury  
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning , 2011, and ending

Name of foundation <b>MELVIN R BAUM PRIVATE FOUNDATION</b>		<b>A</b> Employer identification number <b>37-1379627</b>
Number and street (or P O box number if mail is not delivered to street address) <b>866 N MAIN STREET</b>		<b>B</b> Telephone number (see the instructions) <b>(309) 263-0808</b>
City or town <b>MORTON</b>	State ZIP code <b>IL 61550</b>	<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial Return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D</b> 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ <b>2,703,819.</b>	<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch)	132,598.			
	2 Ck <input type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	9,869.	9,869.		
	4 Dividends and interest from securities	72,930.	72,930.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain			0.	
	9 Income modifications				
ADMINISTRATIVE AND OPERATING EXPENSES	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	215,397.	82,799.	0.	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch) L-16c Stmt	24,675.	24,675.		
17 Interest					
18 Taxes (attach schedule) (see instrs) See Line 18 Stmt	993.				
19 Depreciation (attach sch) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)					
24 Total operating and administrative expenses. Add lines 13 through 23	25,668.	24,675.			
25 Contributions, gifts, grants paid	131,750.			131,750.	
26 Total expenses and disbursements. Add lines 24 and 25	157,418.	24,675.		131,750.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	57,979.				
b Net investment income (if negative, enter -0-)		58,124.			
c Adjusted net income (if negative, enter -0-)			0.		

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>ASSETS</b>	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	79,194.	82,603.	82,603.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)	2,375,897.	2,428,915.	2,621,216.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments – mortgage loans				
13 Investments – other (attach schedule)				
14 Land, buildings, and equipment basis				
Less accumulated depreciation (attach schedule)				
15 Other assets (describe )				
16 <b>Total assets</b> (to be completed by all filers – see the instructions. Also, see page 1, item i)	2,455,091.	2,511,518.	2,703,819.	
<b>LIABILITIES</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe )			
	23 <b>Total liabilities</b> (add lines 17 through 22)			
<b>NET ASSETS OR FUND BALANCES</b>	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b>	<input type="checkbox"/>		
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b>	<input checked="" type="checkbox"/>		
	27 Capital stock, trust principal, or current funds	2,455,091.	2,511,518.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 <b>Total net assets or fund balances</b> (see instructions)	2,455,091.	2,511,518.	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions)	2,455,091.	2,511,518.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,455,091.
2 Enter amount from Part I, line 27a	2	57,979.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	2,513,070.
5 Decreases not included in line 2 (itemize) <b>NET CAPITAL LOSS NOT DEDUCTIBLE IN 2011</b>	5	1,552.
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	2,511,518.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
<b>1a 1422 SH EATON VANCE GOVT OBLIGATIONS</b>	P	04/01/10	03/15/11
<b>b 33914 SH EATON VANCE GOVT OBLIGATIONS</b>	P	09/03/09	03/15/11
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 10,623.</b>	<b>0.</b>	<b>10,727.</b>	<b>-104.</b>
<b>b 253,340.</b>	<b>0.</b>	<b>254,788.</b>	<b>-1,448.</b>
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
<b>a</b>			<b>-104.</b>
<b>b</b>			<b>-1,448.</b>
c			
d			
e			

<b>2</b> Capital gain net income or (net capital loss)	— [ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]	<b>2</b>	<b>-1,552.</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	— [ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 ]	<b>3</b>	<b>-104.</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

<b>1</b> Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	141,897.	0.	0.000000
2009	144,700.	0.	0.000000
2008	88,469.	0.	0.000000
2007	64,774.		
2006	44,833.	0.	0.000000

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>0.000000</b>
<b>3</b> Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>0.000000</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	<b>4</b>	<b>0.</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>0.</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>581.</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>581.</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	<b>131,750.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	581.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	581.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	581.
6	Credits/Payments		
a	2011 estimated tax pmts and 2010 overpayment credited to 2011	6a	
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	14.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	595.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0.
11	Enter the amount of line 10 to be <b>Credited to 2012 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1 b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
1 c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>IL - Illinois</u>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>MELVIN BAUM</u> Telephone no <u>(309) 263-0808</u> Located at <u>866 N MAIN STREET, MORTON, IL</u> ZIP + 4 <u>61550</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country			X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If 'Yes' to 6b, file Form 8870

X

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No

7b

**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MELVIN BAUM 607 N OREGON MORTON IL 61550	PRES/DIR 1.00	0.	0.	0.
GREGORY HUNZIKER 416 MAIN ST PEORIA IL 61602	SEC/DIR 1.00	0.	0.	0.
LINDA BAUM 607 N OREGON MORTON IL 61550	TREAS/DIR 1.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
0				
0				
0				
0				

Total number of other employees paid over \$50,000

▶

None

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		None

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 THE FOUNDATION MADE CHARITABLE CONTRIBUTIONS TO 16 RECOGNIZED CHARITIES DURING THE YEAR ENDED 12/31/11	131,750.
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0.
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	None

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	
a	Average monthly fair market value of securities	1a
b	Average of monthly cash balances	1b
c	Fair market value of all other assets (see instructions)	1c
d	Total (add lines 1a, b, and c)	1d
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e
2	Acquisition indebtedness applicable to line 1 assets	2
3	Subtract line 2 from line 1d	3 0.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4 0.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5 0.
6	Minimum investment return. Enter 5% of line 5	6 0.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	0.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	581.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	581.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	0.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	0.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	0.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	1a	131,750.
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1b	0.
b	Program-related investments — total from Part IX-B	2	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes		
3	Amounts set aside for specific charitable projects that satisfy the	3a	
a	Suitability test (prior IRS approval required)	3b	
b	Cash distribution test (attach the required schedule)	4	131,750.
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	5	581.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	6	131,169.
6	Adjusted qualifying distributions. Subtract line 5 from line 4		

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

BAA

Form 990-PF (2011)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				0.
<b>2</b> Undistributed income, if any, as of the end of 2011				
<b>a</b> Enter amount for 2010 only			0.	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2011				
<b>a</b> From 2006	45,100.			
<b>b</b> From 2007	65,200.			
<b>c</b> From 2008	89,700.			
<b>d</b> From 2009	144,700.			
<b>e</b> From 2010	142,500.			
<b>f</b> Total of lines 3a through e	487,200.			
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 131,750.				
<b>a</b> Applied to 2010, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required – see instructions)				
<b>d</b> Applied to 2011 distributable amount				
<b>e</b> Remaining amount distributed out of corpus	131,750.			
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	618,950.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount – see instructions		0.		
<b>e</b> Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
<b>f</b> Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	45,100.			
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	573,850.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2007	65,200.			
<b>b</b> Excess from 2008	89,700.			
<b>c</b> Excess from 2009	144,700.			
<b>d</b> Excess from 2010	142,500.			
<b>e</b> Excess from 2011	131,750.			

<b>Part XIV</b>	<b>Private Operating Foundations</b> (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

[illegible]

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,  
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities  
Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon

- a 'Assets' alternative test – enter

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c 'Support' alternative test – enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income**

<b>Part XV</b>	<b>Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)</b>
----------------	--

### 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
MIDWEST FOOD BANK 1703 S VETERANS PARKWAY BLOOMINGTON IL 61701		EXEMPT	CHARITABLE	9,850.
SALVATION ARMY 401 NE ADAMS STREET PEORIA IL 61603		EXEMPT	CHARITABLE	5,000.
APOSTOLIC CHRISTIAN CHURCH 2357 NORTH 600 WEST WOLCOTT IN 47995		EXEMPT	CHARITABLE	1,200.
BEREAN PRISON MINISTRY P.O. BOX 761 PEORIA IL 61652		EXEMPT	CHARITABLE	200.
OSF MEDICAL CENTER FOUNDATION 530 NE GLEN OAK AVENUE PEORIA IL 61637		EXEMPT	CHARITABLE	1,000.
APOSTOLIC CHRIST. CHURCH 225 E JEFFERSON MORTON IL 61550		EXEMPT	CHARITABLE	23,500.
APOSTOLIC CHURCH HOME FOR HANDICAPPED 2125 VETERANS ROAD MORTON IL 61550		EXEMPT	CHARITABLE	40,000.
APOSTOLIC CHRISTIAN RESTMOR 1500 PARKSIDE AVENUE MORTON IL 61550		EXEMPT	CHARITABLE	1,000.
LOVING SHEPARD MINISTRIES P.O. BOX 375 BLUFFTON IN 46714		EXEMPT	CHARITABLE	20,000.
See Line 3a statement				30,000.
<b>Total</b>			<b>3a</b>	<b>131,750.</b>
<b>b Approved for future payment</b>				
<b>Total</b>			<b>3b</b>	

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	9,869.	
4	Dividends and interest from securities			14	72,930.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				82,799.	
13	<b>Total.</b> Add line 12, columns (b), (d), and (e)					82,799.

(See worksheet in line 13 instructions to verify calculations)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Schedule B**  
**(Form 990, 990-EZ,**  
**or 990-PF)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF**

OMB No 1545-0047

**2011**

Name of the organization

**MELVIN R BAUM PRIVATE FOUNDATION**

Employer identification number

**37-1379627**

**Organization type** (check one)

**Filers of:**

Form 990 or 990-EZ

**Section:**

- ☐ 501(c)(\_\_\_\_) (enter number) organization  
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation  
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation  
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.**

Schedule **B** (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

Employer identification number

MELVIN R BAUM PRIVATE FOUNDATION

37-1379627

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MELVIN R BAUM 607 N OREGON MORTON IL 61550	\$ 132,598.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)



Form 990-PF, Page 1, Part I, Line 18

**Line 18 Stmt**

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
<b>FEDERAL INVEST. TAX</b>	<b>613.</b>			
<b>FOREIGN TAX PAID</b>	<b>380.</b>			
<b>Total</b>	<b>993.</b>			

Form 990-PF, Page 11, Part XV, line 3a

**Line 3a statement**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox  Amount
<b>a Paid during the year</b>				
JUBILEE MINISTRIES 1215 N SHERIDAN ROAD PEORIA IL 61606		EXEMPT	CHARITABLE	Person or Business <input checked="" type="checkbox"/> 1,000.
GATEWAY WOODS BOX 151 LEO IN 46765		EXEMPT	CHARITABLE	Person or Business <input checked="" type="checkbox"/> 5,000.
WORLD RELIEF 16448 S HWY 231 REMINGTON IN 47977		EXEMPT	CHARITABLE	Person or Business <input checked="" type="checkbox"/> 9,000.
FEED THE CHILDREN P.O. BOX 36 OKLAHOMA CITY OK 73101		EXEMPT	CHARITABLE	Person or Business <input checked="" type="checkbox"/> 300.
APOSTOLIC CHRISTIAN SERVICES 2125 VETERANS ROAD MORTON IL 61550		EXEMPT	CHARITABLE	Person or Business <input checked="" type="checkbox"/> 10,000.
LIFESONG FOR ORPHANS P.O. BOX 40 GRIDLEY IL 61744		EXEMPT	CHARITABLE	Person or Business <input checked="" type="checkbox"/> 200.
APOSTOLIC CHRISTIAN CHURCH 2801 RUSTIC LANE NORTH FORT MYERS FL 33903		EXEMPT	CHARITABLE	Person or Business <input checked="" type="checkbox"/> 4,500.

Total

30,000.

Form 990-PF, Page 1, Part I

**Line 16c - Other Professional Fees**

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WELLS FARGO	INVESTMENT FEES	24,675.	24,675.		

Total

24,675. 24,675.

WELLS FARGO

ADVISORS

# SNAPSHOT

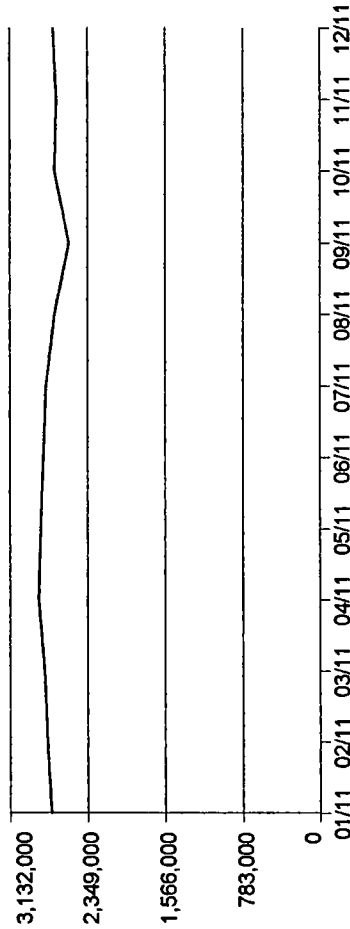
MELVIN R BAUM PRIVATE  
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2011  
ACCOUNT NUMBER: 5237-8360

## Progress summary

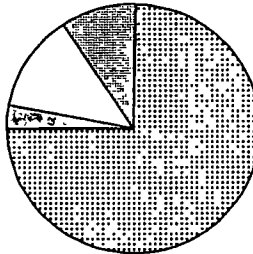
	THIS PERIOD	THIS YEAR
Opening value	\$2,664,944.43	\$2,703,398.28
Cash deposited	132,597.65	132,597.65
Securities deposited	0.00	0.00
Cash withdrawn	-98,500.00	-156,807.20
Securities withdrawn	0.00	0.00
Income earned	18,942.44	82,790.20
Change in value	-14,164.99	-58,159.40
Closing value	\$2,703,819.53	\$2,703,819.53

## Value over time



## Portfolio summary

CURRENT



ASSETS	ASSET TYPE	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN. INCOME
Cash and sweep balances		82,603.30	1.82	82,603.30	3.06	66
Stocks, options & ETFs		313,683.07	13.19	342,462.77	12.67	3,607
Fixed income securities		228,698.17	9.74	260,972.37	9.65	9,806
Mutual funds		1,886,532.50	75.25	2,017,781.09	74.63	65,742
Asset value		2,511,518.01	100%	\$2,703,819.53	100%	\$79,221

**MELVIN R BAUM PRIVATE  
FOUNDATION INC**

DECEMBER 1 - DECEMBER 31, 2011  
ACCOUNT NUMBER: 5237-8360

### Additional information

Gross proceeds      THIS PERIOD      THIS YEAR  
0.00      263,963.47

### Portfolio detail

#### Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	3.06	0.08	82,603.30	66.08
Interest Period 12/01/11 - 12/31/11				
<b>Total Cash and Sweep Balances</b>	<b>3.06</b>		<b>\$82,603.30</b>	<b>\$66.08</b>

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

#### Stocks, options & ETFs

##### Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
APACHE CORP COMMON APA									
Acquired 12/27/10 L nc	0.82	246	118.31	29,104.26	90.5800	22,282.68	-6,821.58	147.60	0.66
APPLE INC AAPL									
Acquired 12/27/10 L nc	2.80	187	324.68	60,715.16	405.0000	75,735.00	15,019.84	N/A	N/A
CATERPILLAR INC CAT									
Acquired 01/27/10 L nc		500	52.28	26,142.50		45,300.00	19,157.50		
Acquired 01/27/10 L nc		300	52.30	15,690.00		27,180.00	11,490.00		
Acquired 01/27/10 L nc		200	52.29	10,459.30		18,120.00	7,660.70		
<b>Total</b>	<b>3.35</b>	<b>1,000</b>		<b>\$52,291.80</b>	<b>90.6000</b>	<b>\$90,600.00</b>	<b>\$38,308.20</b>	<b>\$1,840.00</b>	<b>2.03</b>



ASSET ADVISOR



MELVIN R BAUM PRIVATE  
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2011  
ACCOUNT NUMBER: 5237-8360

## Stocks, options & ETFs

### Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
DANAHER CORP									
DHR									
Acquired 12/27/10 L nc	1.08	620	47.20	29,264.00	47.0400	29,164.80	-99.20	62.00	0.21
DEERE & CO									
DE									
Acquired 04/09/07 L nc		56	54.52	3,053.33		4,331.60	1,278.27		
Acquired 05/02/07 L nc		44	56.38	2,480.72		3,403.40	922.68		
Acquired 07/27/07 L nc		28	59.96	1,679.02		2,165.80	486.78		
Acquired 08/06/07 L nc		8	59.32	474.56		618.80	144.24		
Acquired 12/27/10 L nc		258	83.57	21,561.06		19,956.30	-1,604.76		
<b>Total</b>	<b>1.13</b>	<b>394</b>		<b>\$29,248.69</b>	<b>77.3500</b>	<b>\$30,475.90</b>	<b>\$1,227.21</b>	<b>\$646.16</b>	<b>2.12</b>
DOLBY LABORATORIES INC									
CL A									
DLB									
Acquired 12/27/10 L nc	0.37	327	66.78	21,837.06	30.5100	9,976.77	-11,860.29	N/A	N/A
EXPRESS SCRIPTS INC									
CL A									
ESRX									
Acquired 12/27/10 L nc	0.61	370	53.99	19,976.30	44.6900	16,535.30	-3,441.00	N/A	N/A
GRACO INCORPORATED COM									
GGG									
Acquired 12/27/10 L nc	0.60	400	39.96	15,984.00	40.8900	16,356.00	372.00	360.00	2.20
TIFFANY & CO NEW									
TIF									
Acquired 12/27/10 L nc	1.17	476	63.84	30,387.84	66.2600	31,539.76	1,151.92	552.16	1.75
WHITING PETROLEUM CORP									
WLL									
Acquired 12/27/10 L nc	0.73	424	58.66	24,873.96	46.6900	19,796.56	-5,077.40	N/A	N/A
<b>Total Stocks and ETFs</b>	<b>12.67</b>			<b>\$313,683.07</b>		<b>\$342,462.77</b>	<b>\$28,779.70</b>	<b>\$3,607.92</b>	<b>1.05</b>
<b>Total Stocks, options &amp; ETFs</b>	<b>12.67</b>			<b>\$313,683.07</b>		<b>\$342,462.77</b>	<b>\$28,779.70</b>	<b>\$3,607.92</b>	<b>1.05</b>

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

**MELVIN R BAUM PRIVATE  
FOUNDATION INC**

DECEMBER 1 - DECEMBER 31, 2011  
ACCOUNT NUMBER: 5237-8360

### Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

#### Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
WALGREEN CO NOTES Moody A2, S&P A CUSIP 931422AD1 Acquired 09/15/08 L nc	1.70	43,000	102.42	44,042.32	106.8040	45,925.72	1,883.40	867.62	2,096.25	4.56
COSTCO WHOLESALE CORP SENIOR NOTES CALLABLE CPN 5.500% DUE 03/15/17 DTD 02/20/07 FC 09/15/07 Moody A2, S&P A+ CUSIP 22160KAC9 Acquired 01/30/08 L nc	0.92	21,000	103.47	21,728.93	118.9710	24,983.91	3,254.98	336.88	1,155.00	4.62
<b>Total Corporate Bonds</b>	<b>2.62</b>	<b>64,000</b>		<b>\$65,771.25</b>		<b>\$70,909.63</b>	<b>\$5,138.38</b>	<b>\$1,204.50</b>	<b>\$3,251.25</b>	<b>4.59</b>

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

#### Government Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
US TREASURY NOTES CPN 4.250% DUE 11/15/17 DTD 11/15/07 FC 05/15/08 Moody AAA, S&P NR CUSIP 912828HH6 Acquired 12/26/07 L nc Acquired 02/06/08 L nc Acquired 03/03/08 L nc		67,000 6,000 1,000	100.35 105.31 105.46	67,240.73 6,318.75 1,054.60		79,143.75 7,087.50 1,181.25	11,903.02 768.75 126.65			
<b>Total</b>	<b>3.23</b>	<b>74,000</b>		<b>\$74,614.08</b>	<b>118.1250</b>	<b>\$87,412.50</b>	<b>\$12,798.42</b>	<b>\$388.81</b>	<b>\$3,145.00</b>	<b>3.60</b>



ASSET ADVISOR

001 A375 37A4

0000 000909 (Rev 03)



MELVIN R BAUM PRIVATE  
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2011  
ACCOUNT NUMBER: 5237-8360

## Fixed Income Securities

### Government Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
US TREASURY NOTES WI CPN 3.875% DUE 05/15/18 DTD 05/15/08 FC 11/15/08 Moody AAA, S&P NR CUSIP 912828HZ6 Acquired 07/15/08 L nc	3.80	88,000	100.35	88,312.81	116.6480	102,650.24	14,337.43	421.57	3,410.00	3.32
<b>Total Government Bonds</b>	<b>7.03</b>	<b>162,000</b>		<b>\$162,926.89</b>		<b>\$190,062.74</b>	<b>\$27,135.85</b>	<b>\$810.38</b>	<b>\$6,555.00</b>	<b>3.45</b>
<b>Total Fixed Income Securities</b>	<b>9.65</b>			<b>\$228,698.14</b>		<b>\$260,972.37</b>	<b>\$32,274.23</b>	<b>\$2,014.88</b>	<b>\$9,806.25</b>	<b>3.76</b>

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS

## Mutual Funds

Mutual fund shares are priced at net asset value Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return. If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

### Open End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
COHEN & STEERS RLTY SHARES INC CSRSX On Reinvestment Acquired 08/19/09 L nc Reinvestments L nc Reinvestments S nc		412 06300 15 83300 8 74900	37.73 49.53 58.72	15,547.14 784.33 513.75		25,065.78 963.12 532.21	9,518.64 178.79 18.46		
<b>Total</b>	<b>0.98</b>	<b>436.64500</b>		<b>\$16,845.22</b>	<b>60.8300</b>	<b>\$26,561.11</b>	<b>\$9,715.89</b>	<b>\$488.16</b>	<b>1.84</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$15,547.14			
						\$11,013.97			
DODGE & COX STK FD DODGX On Reinvestment Acquired 04/22/09 L nc Acquired 07/06/09 L nc Acquired 01/12/10 L nc		371.86400 270 53200 1,014 81600	68.70 74.75 98.54	25,547.05 20,222.26 100,000.00		37,796.25 27,496.87 103,145.90	12,249.20 7,274.61 3,145.90		

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MELVIN R BAUM PRIVATE  
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2011  
ACCOUNT NUMBER: 5237-8360

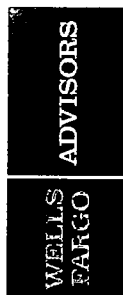
## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments L nc		25 91600	96.55	2,502.41		2,634.11	131.70		
Reinvestments S nc		28.49600	104.22	2,970.09		2,896.33	-73.76		
<b>Total</b>	<b>6.43</b>	<b>1,711.62400</b>		<b>\$151,241.81</b>	<b>101.6400</b>	<b>\$173,969.46</b>	<b>\$22,727.65</b>	<b>\$3,002.18</b>	<b>1.73</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$145,769.31			
						\$28,200.15			
DWS INVT TR									
SHORT DURATION PLUS FD									
CL S									
DBPIX									
On Reinvestment		26,511.13500	9.43	250,000.00		243,902.18	-6,097.82		
Acquired 10/09/09 L nc		1,266.54800	9.52	12,058.71		11,652.39	-406.32		
Reinvestments L nc		1,059.68900	9.39	9,951.53		9,749.25	-202.28		
Reinvestments S nc									
<b>Total</b>	<b>9.81</b>	<b>28,837.37200</b>		<b>\$272,010.24</b>	<b>9.2000</b>	<b>\$265,303.82</b>	<b>-\$6,706.42</b>	<b>\$10,150.75</b>	<b>3.83</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$250,000.00			
						\$15,303.82			
EUROPACIFIC GROWTH FD									
CL F-1									
AEGFX									
On Reinvestment		225.21200	34.26	7,715.76		7,877.91	162.15		
Acquired 08/18/09 L nc		676.26600	34.57	23,378.52		23,655.78	277.26		
Acquired 08/19/09 L nc		2,562.78800	39.02	100,000.00		89,646.32	-10,353.68		
Acquired 01/12/10 L nc		63.02100	40.15	2,530.54		2,204.48	-326.06		
Reinvestments L nc		58.83800	34.98	2,058.17		2,058.16	-0.01		
Reinvestments S nc									
<b>Total</b>	<b>4.64</b>	<b>3,586.12500</b>		<b>\$135,682.99</b>	<b>34.9800</b>	<b>\$125,442.65</b>	<b>-\$10,240.34</b>	<b>\$2,090.71</b>	<b>1.67</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$131,094.28			
						-\$5,651.63			
JOHN HANCOCK FDS III									
GLOBAL SHAREHOLDER YLD									
CLASS I									
JGYIX									
On Reinvestment		2,576.49700	6.41	16,515.34		24,141.75	7,626.41		
Acquired 04/22/09 L nc		2,599.47900	7.04	18,300.33		24,357.12	6,056.79		
Acquired 07/06/09 L nc		337.80700	8.03	2,713.64		3,165.27	451.63		
Reinvestments L nc									

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MELVIN R BAUM PRIVATE  
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2011  
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## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments S nc		170.76700	9.24	1,579.56		1,600.09	20.53		
<b>Total</b>	<b>1.97</b>	<b>5,684.55000</b>		<b>\$39,108.87</b>	<b>9.3700</b>	<b>\$53,264.23</b>	<b>\$14,155.36</b>	<b>\$1,608.72</b>	<b>3.02</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
IVY FDS INC									
LTD-TERM BD FD CL C									
WLBCX									
On Reinvestment									
Acquired 06/10/09 L nc		23.299.16100	10.73	250,000.00		259,319.43	9,319.43		
Reinvestments L nc		794.22800	11.10	8,818.62		8,839.90	21.28		
Reinvestments S nc		455.38100	11.12	5,066.56		5,068.48	1.92		
<b>Total</b>	<b>10.11</b>	<b>24,548.77000</b>		<b>\$263,885.18</b>	<b>11.1300</b>	<b>\$273,227.81</b>	<b>\$9,342.63</b>	<b>\$3,927.80</b>	<b>1.44</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
ARTIO GLOBAL INVT FDS									
TOTAL RETURN BD FD CL I									
JBGIX - HELD IN MARGIN									
On Reinvestment									
Acquired 12/16/05 L nc		2,522.24299	13.47	33,974.59		34,176.37	201.78		
Acquired 07/06/09 L nc		1,601.00900	12.88	20,620.99		21,693.66	1,072.67		
Acquired 08/19/09 L nc		155.58000	13.20	2,053.65		2,108.11	54.46		
Reinvestments L nc		1,552.79501	13.19	20,489.62		21,040.38	550.76		
Reinvestments S nc		407.25500	13.58	5,531.90		5,518.32	-13.58		
<b>Total</b>	<b>3.13</b>	<b>6,238.88200</b>		<b>\$82,670.75</b>	<b>13.5500</b>	<b>\$84,536.84</b>	<b>\$1,866.09</b>	<b>\$4,173.80</b>	<b>4.94</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
MAINSTAY FD HIGH YIELD									
CORPORATE BD FD CL I									
MHYIX									
On Reinvestment									
Acquired 04/22/09 L nc		3,796.00500	4.63	17,605.33		22,016.79	4,411.46		
Acquired 07/06/09 L nc		1,887.35400	4.65	17,651.42		10,946.67	1,381.80		
Reinvestments L nc		805.57500	5.06	9,564.87		4,672.35	181.18		
Reinvestments S nc			5.08	9,587.76					
			5.57	4,491.17					
			5.58	4,496.42					

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FOUNDATION INC

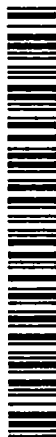
DECEMBER 1 - DECEMBER 31, 2011  
ACCOUNT NUMBER: 5237-8360

## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments S nc		497.95800	5.87	2,923.64		2,888.16	-35.48		
<b>Total</b>	<b>1.50</b>	<b>6,986.89200</b>		<b>\$34,585.01</b> <b>\$34,659.24</b>	<b>5.8000</b>	<b>\$40,523.97</b>	<b>\$5,938.96</b>	<b>\$3,046.28</b>	<b>7.52</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$27,239.18			
						\$13,353.77			
MANAGERS FUNDS BOND									
FUND									
MGFIX									
On Reinvestment									
Acquired 04/22/09 L nc		1,568.35200	19.74	30,959.26		40,730.09	9,770.83		
Acquired 07/06/09 L nc		735.96300	22.07	16,242.70		19,112.96	2,870.26		
Acquired 08/19/09 L nc		674.77500	23.26	15,695.26		17,523.91	1,828.65		
Reinvestments L nc		249.67200	24.36	6,083.21		6,483.98	400.77		
Reinvestments S nc		148.30700	26.04	3,862.84		3,851.54	-11.30		
<b>Total</b>	<b>3.24</b>	<b>3,377.06900</b>		<b>\$72,843.27</b>	<b>25.9700</b>	<b>\$87,702.48</b>	<b>\$14,859.21</b>	<b>\$3,957.92</b>	<b>4.51</b>
Client Investment (Excluding Reinvestments)						\$62,897.22			
Gain/Loss on Client Investment (Including Reinvestments)						\$24,805.26			
METROPOLITAN WEST FDS									
TOTAL RETURN BD FD CL I									
MWTIX									
On Reinvestment									
Acquired 04/22/09 L nc		2,968.29000	8.94	26,536.51		30,781.14	4,244.63		
Acquired 07/06/09 L nc		1,792.86400	9.19	16,476.42		18,592.00	2,115.58		
Acquired 08/19/09 L nc		1,697.82200	9.55	16,214.20		17,606.41	1,392.21		
Reinvestments L nc		637.47100	10.13	6,462.37		6,610.60	148.23		
Reinvestments S nc		392.15400	10.43	4,092.01		4,066.64	-25.37		
<b>Total</b>	<b>2.87</b>	<b>7,488.60100</b>		<b>\$69,781.51</b>	<b>10.3700</b>	<b>\$77,656.79</b>	<b>\$7,875.28</b>	<b>\$3,594.52</b>	<b>4.63</b>
Client Investment (Excluding Reinvestments)						\$59,227.13			
Gain/Loss on Client Investment (Including Reinvestments)						\$18,429.66			
NUVEEN INVT TRUST									
TRADEWINDS VALUE OPPTYS									
FUND-CLASS I									
NVORX									
On Reinvestment									
Acquired 06/03/09 L nc		379.30800	24.72	9,376.48		11,546.13	2,169.65		
Acquired 07/06/09 L nc		230.26000	23.63	5,441.04		7,009.11	1,568.07		

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MELVIN R BAUM PRIVATE  
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DECEMBER 1 - DECEMBER 31, 2011  
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## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments L nc		20,09500	35.16	706.73		611.70	-95.03		
Reinvestments S nc		71.60100	31.24	2,237.38		2,179.53	-57.85		
<b>Total</b>	<b>0.79</b>	<b>701.26400</b>		<b>\$17,761.63</b>	<b>30.4400</b>	<b>\$21,346.47</b>	<b>\$3,584.84</b>	<b>\$591.16</b>	<b>2.77</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$14,817.52			
						\$6,528.95			
ALGER SMALLCAP AND MIDCAP GROW CL I ASIMX									
On Reinvestment									
Acquired 08/19/09 L nc	0.78	1,380.51600	11.26	15,547.14	15.2500	21,052.86	5,505.72	N/A	N/A
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL PTTRX									
On Reinvestment									
Acquired 04/22/09 L nc		5,218.58500	10.17	53,073.01		56,725.97	3,652.96		
Acquired 07/06/09 L nc		3,127.91600	10.50	32,843.12		34,000.45	1,157.33		
Acquired 08/19/09 L nc		287.08000	10.71	3,074.63		3,120.57	45.94		
Reinvestments L nc		1,087.88200	10.91	11,872.80		11,825.29	-47.51		
Reinvestments S nc		389.06200	10.90	4,244.47		4,229.12	-15.35		
<b>Total</b>	<b>4.06</b>	<b>10,110.52500</b>		<b>\$105,108.03</b>	<b>10.8700</b>	<b>\$109,901.40</b>	<b>\$4,793.37</b>	<b>\$3,629.67</b>	<b>3.30</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$88,990.76			
						\$20,910.64			
PIMCO FDS PAC INVT MGMT SER EMERGING MKTS BD FD INSTL CL PEBIX									
On Reinvestment									
Acquired 04/22/09 L nc		1,237.77200	8.71	10,786.06		13,924.92	3,138.86		
Acquired 07/06/09 L nc		1,092.05100	8.82	10,917.15		12,285.57	2,135.94		
Reinvestments L nc		217.63000	9.29	10,149.63		2,448.36	196.78		
Reinvestments S nc		137.12500	9.40	10,265.28		1,542.65	12.14		
			10.34	2,251.58					
			10.40	2,263.65					
			11.16	1,530.51					

ASSET ADVISOR

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MELVIN R BAUM PRIVATE  
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DECEMBER 1 - DECEMBER 31, 2011  
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## Mutual Funds

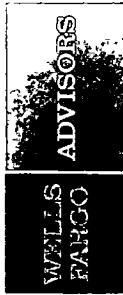
### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
<b>Total</b>	<b>1.12</b>	<b>2,684.57800</b>		<b>\$24,717.78</b> <b>\$24,976.59</b>	<b>11.2500</b>	<b>\$30,201.50</b>	<b>\$5,483.72</b>	<b>\$1,573.16</b>	<b>5.21</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$21,182.43			
						\$9,265.81			
PIONEER FUND CL-Y									
PYODX									
On Reinvestment									
Acquired 08/18/09 L nc		1,228.40300	31.53	38,731.54		47,600.60	8,869.06		
Reinvestments L nc		24.82700	36.14	897.29		962.05	64.76		
Reinvestments S nc		19.63800	38.80	762.07		760.98	-1.09		
<b>Total</b>	<b>1.82</b>	<b>1,272.86800</b>		<b>\$40,390.90</b>	<b>38.7500</b>	<b>\$49,323.63</b>	<b>\$8,932.73</b>	<b>\$672.07</b>	<b>1.36</b>
Client Investment (Excluding Reinvestments)						\$38,731.54			
Gain/Loss on Client Investment (Including Reinvestments)						\$10,592.09			
TCW FDS INC									
TOTAL RETURN BD FD CL I									
SHS									
TGLMX									
On Reinvestment									
Acquired 04/22/09 L nc		2,890.68700	9.18	26,536.51		27,866.19	1,329.68		
Acquired 07/06/09 L nc		1,659.73600	9.54	15,833.88		15,999.86	165.98		
Acquired 08/19/09 L nc		1,576.93100	9.99	15,753.54		15,201.61	-551.93		
Reinvestments L nc		1,063.14700	10.01	10,645.96		10,248.74	-397.22		
Reinvestments S nc		452.67100	9.88	4,472.88		4,363.77	-109.11		
<b>Total</b>	<b>2.73</b>	<b>7,643.17200</b>		<b>\$73,242.77</b>	<b>9.6400</b>	<b>\$73,680.17</b>	<b>\$437.40</b>	<b>\$5,120.92</b>	<b>6.95</b>
Client Investment (Excluding Reinvestments)						\$58,123.93			
Gain/Loss on Client Investment (Including Reinvestments)						\$15,556.24			
T ROWE PRICE BLUE CHIP									
GROWTH FUND									
TRBCX									
On Reinvestment									
Acquired 04/22/09 L nc		914.54700	24.18	22,113.75		35,347.23	13,233.48		
Acquired 07/06/09 L nc		492.10000	26.11	12,848.74		19,019.67	6,170.93		
Acquired 08/19/09 L nc		1,055.56800	28.50	30,083.69		40,797.69	10,714.00		
Acquired 01/12/10 L nc		3,039.51400	32.90	100,000.00		117,477.22	17,477.22		
Reinvestments L nc		5.54400	35.40	196.29		214.28	17.99		
Reinvestments S nc		5.15800	37.36	192.75		199.36	6.61		

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MELVIN R BAUM PRIVATE  
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DECEMBER 1 - DECEMBER 31, 2011  
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## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG CCST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
<b>Total</b>	<b>7.88</b>	<b>5,512.43100</b>		<b>\$165,435.22</b>	<b>38.6500</b>	<b>\$213,055.45</b>	<b>\$47,620.23</b>	<b>N/A</b>	<b>N/A</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$165,046.18			
						\$48,009.27			
THORNBURG INVT TR									
INVT INCOME BUILDER FD									
CL I									
TIBIX									
On Reinvestment									
Acquired 03/15/11 S nc			19.16	250,000.00		235,647.06	-14,352.94		
Reinvestments S nc			18.14	13,839.65		13,778.71	-60.94		
<b>Total</b>	<b>9.22</b>	<b>13,810.95100</b>		<b>\$263,839.65</b>	<b>18.0600</b>	<b>\$249,425.77</b>	<b>-\$14,413.88</b>	<b>\$17,084.14</b>	<b>6.85</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$250,000.00			
						-\$574.23			
WELLS FARGO FUNDS TR									
ADVANTAGE SHORT DURATION									
GOVT BD FD CLASS I									
WSGIX									
On Reinvestment									
Acquired 04/22/09 L nc			10.26	22,113.75		22,264.86	151.11		
Acquired 07/06/09 L nc			10.35	14,304.06		14,276.59	-27.47		
Acquired 08/19/09 L nc			10.37	1,995.30		1,987.63	-7.67		
Reinvestments L nc			10.41	2,064.33		2,050.03	-14.30		
Reinvestments S nc			10.33	1,025.05		1,025.57	0.52		
<b>Total</b>	<b>1.54</b>	<b>4,023.66400</b>		<b>\$41,502.49</b>	<b>10.3400</b>	<b>\$41,604.68</b>	<b>\$102.19</b>	<b>\$1,030.05</b>	<b>2.48</b>
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$38,413.11			
						\$3,191.57			
<b>Total Open End Mutual Funds</b>	<b>74.63</b>			<b>\$1,886,200.46</b>		<b>\$2,017,781.09</b>	<b>\$131,580.63</b>	<b>\$65,742.01</b>	<b>3.26</b>
						\$1,886,533.50			
<b>Total Mutual Funds</b>	<b>74.63</b>			<b>\$1,886,200.46</b>		<b>\$2,017,781.09</b>	<b>\$131,580.63</b>	<b>\$65,742.01</b>	<b>3.26</b>
						\$1,886,533.50			

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

Department of the Treasury  
Internal Revenue Service► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns***Enter filer's identifying number, see instructions**

<b>Type or print</b>  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	<b>MELVIN R BAUM PRIVATE FOUNDATION</b>	<input checked="" type="checkbox"/> <b>37-1379627</b>
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	<b>866 N MAIN STREET</b>	<input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	<b>MORTON IL 61550</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► MELVIN BAUM

Telephone No ► (309) 263-0808FAX No ► (309) 263-8004

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Aug 15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ☒ calendar year 20 11 or
- ☐ tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_

**2** If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	0.
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	0.

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions