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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2011Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, and ending

Name of foundation Alben F. & Clara G. Bates Foundatio		A Employer identification number 36-6081072
Number and street (or P O box number if mail is not delivered to street address) 159 Cottage Hill Road, Apt #113	Room/suite	B Telephone number (see instructions) 630-832-1070
City or town, state, and ZIP code Elmhurst IL 60126		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
G Check all that apply: <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change </div> <div> <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change </div> </div>		
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 4,171,747	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	
(Part I, column (d) must be on cash basis)		

Part I
Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	13,475	13,475		
4 Dividends and interest from securities	99,996	99,996		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	55,029			
b Gross sales price for all assets on line 6a 1,361,615				
7 Capital gain net income (from Part IV, line 2)		55,029		
Net short-term capital gain			0	
Income modifications				
10a Gross sales less returns & allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	168,500	168,500	0	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	10,780	10,780		
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) Stmt 1	1,675	1,675		
c Other professional fees (attach schedule)				
17 Interest	1	1		
18 Taxes (attach schedule) (see instructions) Stmt 2	941	941		
19 Depreciation (attach schedule) and depletion Stmt 3	435			
20 Occupancy	4,266	4,266		
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (att. sch) Stmt 4	1,316	1,316		
24 Total operating and administrative expenses.				
Add lines 13 through 23	19,414	18,979	0	0
25 Contributions, gifts, grants paid	317,500			317,500
26 Total expenses and disbursements. Add lines 24 and 25	336,914	18,979	0	317,500
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-168,414			
b Net investment income (if negative, enter -0-)		149,521		
c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2011)

P 12

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	12,587	141,579	141,579
	2	Savings and temporary cash investments	540,000	270,000	272,446
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ See Wrk 450 Less: allowance for doubtful accounts ▶ 0	938	450	450
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) See Stmt 5	713,849	672,500	1,475,862
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach sch) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule) See Statement 6	2,504,144	2,518,651	2,281,410
	14	Land, buildings, and equipment basis ▶ 4,922 Less: accumulated depreciation (attach sch) ▶ Stmt 7 1,444	3,596	3,478	
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,775,114	3,606,658	4,171,747
Liabilities	17	Accounts payable and accrued expenses	443	401	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	443	401	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	3,774,671	3,606,257	
	30	Total net assets or fund balances (see instructions)	3,774,671	3,606,257	
	31	Total liabilities and net assets/fund balances (see instructions)	3,775,114	3,606,658	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,774,671
2	Enter amount from Part I, line 27a	2	-168,414
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,606,257
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,606,257

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Worksheet				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	55,029
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	12,910

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	373,000	4,343,940	0.085867
2009	360,565	4,091,730	0.088120
2008	364,050	5,074,377	0.071743
2007	401,500	5,534,007	0.072551
2006	270,833	5,333,518	0.050779

2 Total of line 1, column (d)	2	0.369060
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.073812
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	4,397,641
5 Multiply line 4 by line 3	5	324,599
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,495
7 Add lines 5 and 6	7	326,094
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	317,500

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	2,990
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	2,990
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,990
6	Credits/Payments:		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	4,278
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	4,278
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,288
11	Enter the amount of line 10 to be Credited to 2012 estimated tax 1,288 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► Henry G. Bates 159 Cottage Hill Rd. Located at ► Elmhurst IL ZIP+4 ► 60126 Telephone no ► 630-832-1070			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? N/A	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ► 20 , 20 , 20 , 20 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A		5b
	Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b
	If "Yes" to 6b, file Form 8870			X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A		7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 8				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 See Statement 9	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	4,265,663
b	Average of monthly cash balances	1b	198,947
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	4,464,610
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	4,464,610
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	66,969
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,397,641
6	Minimum investment return. Enter 5% of line 5	6	219,882

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	219,882
2a	Tax on investment income for 2011 from Part VI, line 5	2a	2,990
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,990
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	216,892
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	216,892
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	216,892

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	317,500
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	317,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	317,500

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				216,892
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only				
b Total for prior years. 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2011:				
a From 2006				8,950
b From 2007				130,003
c From 2008				113,362
d From 2009				157,848
e From 2010				157,535
f Total of lines 3a through e	567,698			
4 Qualifying distributions for 2011 from Part XII, line 4. ► \$ 317,500				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2011 distributable amount				216,892
e Remaining amount distributed out of corpus	100,608			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	668,306			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	8,950			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	659,356			
10 Analysis of line 9				
a Excess from 2007				130,003
b Excess from 2008				113,362
c Excess from 2009				157,848
d Excess from 2010				157,535
e Excess from 2011				100,608

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling					
b	Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)	<input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1	Information Regarding Foundation Managers:
a	List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) N/A
b	List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest N/A
2	Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
	Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d
a	The name, address, and telephone number of the person to whom applications should be addressed N/A
b	The form in which applications should be submitted and information and materials they should include N/A
c	Any submission deadlines N/A
d	Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors. N/A

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Statement 10				317,500
Total			▶ 3a	317,500
b Approved for future payment N/A				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments				14	13,475	
4 Dividends and interest from securities				14	99,996	
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				14	1,794	53,235
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)			0		115,265	53,235
13 Total. Add line 12, columns (b), (d), and (e)					13	168,500

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Depreciation and Amortization
(Including Information on Listed Property)**2011**Attachment
Sequence No **179**

▶ See separate instructions.

▶ Attach to your tax return.

Name(s) shown on return

Alben F. & Clara G. Bates Foundatio

Identifying number

36-6081072

Business or activity to which this form relates

Indirect Depreciation**Part I Election To Expense Certain Property Under Section 179****Note:** If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2010 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2012. Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.**Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions)**

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	317
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2011	17	118
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here <input type="checkbox"/>		

Section B—Assets Placed in Service During 2011 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
i Nonresidential real property			27 5 yrs	MM	S/L	
			39 yrs	MM	S/L	

Section C—Assets Placed in Service During 2011 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations—see instructions	22	435
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2011

For calendar year 2011, or tax year beginning

, and ending

Name

Employer Identification Number

Alben F. & Clara G. Bates Foundation

36-6081072

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 90000 Panhandle State Bank CD	P	01/07/09	01/10/11
(2) cash in lieu - Citigroup reverse spl	P	Various	05/09/11
(3) 400 sh Schlumberger Limited	P	Various	05/05/11
(4) 5657.967 sh New Perspectives Fund	P	Various	05/02/11
(5) 500 sh Exxon Mobil	P	12/28/05	05/02/11
(6) 300 sh Marathon Oil	P	Various	05/05/11
(7) 7189.861 sh Growth Fund of America	P	Various	05/02/11
(8) 90000 Discover Bank CD	P	04/13/09	09/26/11
(9) 11805.354 sh Investment Co of America	P	Various	10/27/11
(10) cash in lieu - NiCor AGL merger	P	Various	12/15/11
(11) 58.059 sh Growth Fund of America	P	Various	05/02/11
(12) 57.613 sh New Perspectives Fund	P	Various	05/02/11
(13) 58.491 sh Amcap Fund	P	Various	06/21/11
(14) 90000 First State Bank CD	P	05/08/19	11/11/11
(15) cash - 600 sh NiCor/AGL merger	P	Various	12/15/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 90,000		90,000	
(2) 13			13
(3) 35,826		6,255	29,571
(4) 175,623		162,359	13,264
(5) 43,817		30,343	13,474
(6) 16,102		4,751	11,351
(7) 236,546		227,640	8,906
(8) 92,961		90,000	2,961
(9) 329,842		365,747	-35,905
(10) 38			38
(11) 1,910		1,838	72
(12) 1,788		1,653	135
(13) 1,117		1,134	-17
(14) 90,000		90,000	
(15) 12,720			12,720

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			
(2)			13
(3)			29,571
(4)			13,264
(5)			13,474
(6)			11,351
(7)			8,906
(8)			2,961
(9)			-35,905
(10)			38
(11)			72
(12)			135
(13)			-17
(14)			
(15)			12,720

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2011

For calendar year 2011, or tax year beginning , and ending

Name

Employer Identification Number

Alben F. & Clara G. Bates Foundatio

36-6081072

(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brck warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1) 12115.003 sh AmCap Fund	P	Various	06/21/11
(2) L-term capital gain distr.			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 231,518		234,866	-3,348
(2) 1,794			1,794
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) Or Losses (from col (h))
(1)			-3,348
(2)			1,794
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Forms 990 / 990-PF	Other Notes and Loans Receivable	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name Alben F. & Clara G. Bates Foundatio	Employer Identification Number 36-6081072
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Form 990-PF, Part II, Line 7 - Additional Information

Name of borrower	Relationship to disqualified person
(1) Accrued interest receivable	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	

Original amount borrowed	Date of loan	Maturity date	Repayment terms	Interest rate
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
(10)				

Security provided by borrower	Purpose of loan
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	

Consideration furnished by lender	Balance due at beginning of year	Balance due at end of year	Fair market value <small>(990-PF only)</small>
(1)	938	450	450
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
(10)			
Totals	938	450	450

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Accounting fees	\$ 1,675	\$ 1,675	\$	\$
Total	\$ 1,675	\$ 1,675	\$ 0	\$ 0

Statement 2 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Annual filing fee	\$ 41	\$ 41	\$	\$
Payroll taxes	900	900		
Total	\$ 941	\$ 941	\$ 0	\$ 0

Statement 3 - Form 990-PF, Part I, Line 19 - Depreciation

Date Acquired	Description	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
6/30/02	Leasehold Improvements	\$ 4,605	\$ 1,009	S/L	39	\$ 118	\$	\$
6/30/11	Computer (1/3 share)	317		200DB	5	317		
Total		\$ 4,922	\$ 1,009			\$ 435	\$ 0	\$ 0

Federal Statements

Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses				
Telephone	524	524		
Safety deposit box rental	60	60		
Office expense	304	304		
Insurance	250	250		
Postage & delivery	128	128		
Fines & penalties	50	50		
Total	1,316	1,316	0	0

Federal Statements

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Corporate stocks	\$ 713,849	\$ 672,500	Cost	\$ 1,475,862
Total	\$ 713,849	\$ 672,500		\$ 1,475,862

Statement 6 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Mutual Funds	\$ 2,504,144	\$ 2,518,651	Cost	\$ 2,281,410
Total	\$ 2,504,144	\$ 2,518,651		\$ 2,281,410

Statement 7 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
Improvements	\$ 3,596	\$ 4,922	\$ 1,444	\$
Total	\$ 3,596	\$ 4,922	\$ 1,444	\$ 0

Federal Statements

Statement 8 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
Josephine B. Prasil 159 Cottage Hill Avenue #113 Elmhurst IL 60126	VP/ Sec	10.00	10,780	0	0
Robert Wier 159 Cottage Hill Avenue #113 Elmhurst IL 60126	Director	1.00	0	0	0
Henry G. Bates 159 Cottage Hill Avenue #113 Elmhurst IL 60126	Pres / Tres	1.00	0	0	0
Christine B. Crum 159 Cottage Hill Avenue #113 Elmhurst IL 60126	VP	1.00	0	0	0
Jill E. Dickens 159 Cottage Hill Avenue #113 Elmhurst IL 60126	VP	1.00	0	0	0

Statement 9 - Form 990-PF, Part IX-A, Line 1 - Summary of Direct Charitable Activities**Description**

All income received was distributed directly to charitable organizations as listed on the attachment to this return and as shown on Part 1, line 25. No conferences, research papers, etc. were involved. All distributions were simply mailed out to recipient organizations.

Federal Statements

Statement 10 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the

Year

Name		Address		Relationship	Status	Purpose	Amount
Address							
Academy at Ocean Reef Key Largo FL 33037	none	395 S Harbor Drive	unrestricted				250
Alan Guttmacher Institute New York NY 10005	none	120 Wall Street	unrestricted				250
Alzheimers Assoc - Greater Illinois Chicago IL 60631	none	8430 W. Bryn Mawr Avenue	unrestricted				1,000
American Cancer Society Oklahoma City OK 73123	none	PO Box 22718	unrestricted				2,500
American Diabetes Association Merrifield VA 22116	none	PO Box 1834	unrestricted				2,500
American Heart Association Chicago IL 60604	none	208 S LaSalle	unrestricted				2,100
American Red Cross Washington DC 20006	none	2025 E. Street, NW	unrestricted				1,000
Ames Historical Society Ames IA 50010	none	416 S Douglas Avenue PO B	unrestricted				1,250
Animal Protection Society of Orange Mebane NC 27302	none	6311 Nicks Road	unrestricted				2,000
Anti Cruelty Society Chicago IL 60610	none	157 W. Grand Avenue	unrestricted				1,000
Art Institute of Chicago Chicago IL 60603	none	111 S Michigan Avenue	unrestricted				250
Arthritis Foundation Washington DC 20077	none	PO Box 962080	unrestricted				500
Assembly Bd of Hinsdale Ftn Hinsdale IL 60521	none	120 N Oak Street	unrestricted				500
Aurora Lakeland Medical Center Elkhorn WI 53121	none	W3985 County Road NN	unrestricted				5,000
Aurora University - Music on the La Williams Bay WI 53191	none	350 Constance Boulevard	unrestricted				1,000
Avery Coonley School Downers Grove IL 60515	none	1400 Maple Avenue	unrestricted				3,000
Baptist Hospital Foundation Miami FL 33176	none	8900 N Kendall Drive	unrestricted				10,000
Brain Research Foundation Chicago IL 60602	none	111 W Washington Suite 17	unrestricted				500
Bristol Art Museum Bristol RI 02809	none	PO Box 42	unrestricted				500

Federal Statements

Statement 10 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)

Name		Address		Relationship	Status	Purpose	Amount
Brookfield Zoo				3300 Golf Road		unrestricted	500
Brookfield IL 60513			none				
BSA Three Fires Council			none	415 N. 2nd Street		unrestricted	1,000
St Charles IL 60174			none	N8901 Army Lake Road		unrestricted	3,083
Camp Edwards			none	2160 W First Avenue		unrestricted	10,000
East Troy WI 53120			none	PO Box 1080		unrestricted	1,000
Cardinal Bernadin Cancer Center			none	PO Box 610		unrestricted	2,000
Maywood IL 60153			none	Clark Stree @ North Avenue		unrestricted	500
Central Iowa Symphony			none	565 W Adams Suite 310		unrestricted	500
Ames IA 50014			none	2300 Childrens Plaza		unrestricted	3,000
Chatam Co Animal Rescue			none	636 Morris Turnpike Suite		unrestricted	2,000
Pittsboro NC 27312			none	303 Day Hall		unrestricted	15,000
Chicago Historical Society			none	6931 Arlington Road		unrestricted	1,750
Chicago IL 60614			none	PO Box 1553		unrestricted	2,000
Chicago Kent Alumni Bd Scholarshp			none	300 S Randall Road		unrestricted	12,500
Chicago IL 60661			none	PO Box 5030		unrestricted	3,500
Children's Memorial Hospital Founda			none	301 N Washington Street		unrestricted	4,500
Chicago IL 60614			none	PO Box 1460		unrestricted	500
Christopher & Dana Reeve Foundation			none	350 Park Avenue		unrestricted	2,000
Short Hills NJ 07078			none				
Cornell University			none				
Ithaca NY 14851			none				
Cystic Fibrosis Foundation			none				
Bethesda MD 20814			none				
Defenders of Wildlife			none				
Merrifield VA 22116			none				
Delnor Community Healthcare Foundat			none				
Geneva IL 60134			none				
Doctors Without Borders			none				
Hagerstown MD 21741			none				
DuPage Childrens Museum			none				
Naperville IL 60189			none				
DuPage Historical Society			none				
Wheaton IL 60189			none				
Elgin Academy			none				
Elgin IL 60120			none				

Federal Statements

**Statement 10 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)**

Name		Address		Purpose	Amount
Address	Relationship	Status			
Elmhurst Art Museum	none	150 Cottage Hill Avenue	unrestricted	2,750	
Elmhurst IL 60126	none	190 S. Prospect Avenue	unrestricted	20,000	
Elmhurst College	none	211 W First Street	unrestricted	10,100	
Elmhurst Family YMCA	none	120 E Park	unrestricted	20,000	
Elmhurst IL 60126	none	155 E. Brush Hill Road	unrestricted	20,000	
Elmhurst Heritage Foundation	none	125 S. Prospect	unrestricted	5,000	
Elmhurst IL 60126	none	PO Box 245	unrestricted	1,000	
Elmhurst Memorial Healthcare	none	225 S. Kellogg	unrestricted	1,500	
Elmhurst IL 60126	none	116 E. Church Street	unrestricted	1,000	
Elmhurst Public Library	none	1 Briar Road	unrestricted	500	
Elmhurst IL 60126	none	PO Box 150	unrestricted	1,000	
Elmhurst Symphony Orchestra	none	1400 S. Lake Shore Drive	unrestricted	750	
Elmhurst IL 60126	none	235 S. Kenilworth	unrestricted	3,000	
Emergency Residence Project	none	166 2nd Avenue	unrestricted	1,000	
Ames IA 50010	none	4202 Carriage Road	unrestricted	1,000	
Episcopal Church of Our Savior	none	3850 Merle Hay Road #605	unrestricted	2,383	
Elmhurst IL 60126	none	PO Box 71	unrestricted	1,000	
Evans Scholarship Foundation	none	PO Box 412	unrestricted	1,000	
Golf IL 60029	none		unrestricted	1,000	
Farm Sanctuary	none				
Watkins Glen NY 14891	none				
Field Museum of Natural History	none				
Chicago IL 60605	none				
First Congregational Church	none				
Elmhurst IL 60126	none				
Fontana Public Library	none				
Fontana WI 53125	none				
Friends of Guardian Ad Litem	none				
Hillsborough NC 27278	none				
1000 Friends of Iowa	none				
Des Moines IA 50310	none				
Geneva Lake Area Foundation	none				
Lake Geneva WI 53147	none				
Geneva Lake Association	none				
Lake Geneva WI 53147	none				

Federal Statements

Statement 10 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)

Name		Address		Relationship	Status	Purpose	Amount
Geneva Lake Conservatory	Fontana WI 53125	none	PO Box 588	398 Mill Stree	unrestricted		1,000
Geneva Lake Environmental Agency	Williams Bay WI 53191	none	PO Box 914		unrestricted		2,000
Geneva Lake Environmental Education	Fontana WI 53125	none	PO Box 244		unrestricted		1,000
Geneva Lake Level Corporation	Lake Geneva WI 53147	none	PO Box 656		unrestricted		1,000
Geneva Lake Water Safety Committee	Lake Geneva WI 53147	none	PO Box 98		unrestricted		2,000
GIGI's Playhouse - Chicago	Chicago IL 60613	none	3949 N. Lincoln Avenue		unrestricted		1,000
Glenwood School for Boys and Girls	Glenwood IL 60425	none	500 W 187th Street		unrestricted		500
Goodwill Industries	Chicago IL 60605	none	819 S Wabash Avenue		unrestricted		500
Graue Mill and Museum	Oak Brook IL 60523	none	3800 S. York Road		unrestricted		1,000
Guiding Eyes for the Blind	Yorktown Heights NY 10598	none	611 Granite Springs Road		unrestricted		1,500
Habitat for Humanity	Americus GA 31709	none	121 Habitat Street		unrestricted		500
Hinsdale Community House	Hinsdale IL 60521	none	415 W Eighth Street		unrestricted		2,500
Hinsdale Historical Society	Hinsdale IL 60521	none	15 S Clay Street		unrestricted		500
Hinsdale Hospital Foundation	Hinsdale IL 60521	none	120 N Oak Street		unrestricted		2,000
Holiday Home	Williams Bay WI 53191	none	361 N. Lake Shore Drive P		unrestricted		2,000
Horticultural Hall Foundation	Lake Geneva WI 53147	none	PO Box 71		unrestricted		1,000
Humane Farming Association	San Rafael CA 94912	none	PO Box 2577		unrestricted		2,000
Keys Children Foundation	Key Largo FL 33037	none	24 Dockside Lane PMB 139		unrestricted		2,500

Federal Statements

**Statement 10 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)**

Name		Address		Relationship	Status	Purpose	Amount
KHOI-FM Radio		Ames IA 50010		410 Douglas Avenue	none	unrestricted	2,000
La Grange Memorial Hospital		LaGrange IL 60525		5101 Willow Springs Road	none	unrestricted	1,000
La Rabida Children's Hospital		Chicago IL 60649		E 65th Street	none	unrestricted	1,000
Lake Geneva Fresh Air Association		Williams Bay WI 53191		PO Box 10 361 N. Lake Sho	none	unrestricted	2,000
Lake Geneva Public Library Foundati		Lake Geneva WI 53147		918 W. Main	none	unrestricted	1,000
Lake Geneva YMCA		Lake Geneva WI 53147		203 Wells Street	none	unrestricted	1,000
League of Women Voters of US		Ames IA 50010		2130 Duff Avenue	none	unrestricted	1,500
Lincoln Park Zoological Society		Chicago IL 60614		2001 N. Clark Street	none	unrestricted	500
Living Well Cancer Research Center		Geneva IL 60134		1803 W State Street	none	unrestricted	7,084
Lizzadro Museum		Elmhurst IL 60126		220 Cottage Hill Avenue	none	unrestricted	2,500
Make a Wish Foundation of Illinois		Chicago IL 60610		640 N. LaSalle Suite 280	none	unrestricted	3,500
March of Dimes		Chicago IL 60604		111 W. Jackson Suite 2200	none	unrestricted	1,000
Mayo Foundation		Rochester MN 55905		200 First Street Sw	none	unrestricted	500
McLean Hospital		Belmont MA 02478		115 Mill Street	none	unrestricted	2,500
Mercy Home for Boys and Girls		Chicago IL 60607		1140 W. Jackson Boulevaar	none	unrestricted	1,000
Mercy Walworth Medical Center		Janesville WI 53547		1000 Mineral Point Avenue	none	unrestricted	5,000
Morton Arboretum		Lisle IL 60532		4100 Illinois Route 53	none	unrestricted	1,000
Museum of Science and Industry		Chicago IL 60637		57th Street & Lake Shore	none	unrestricted	750

Federal Statements

**Statement 10 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)**

Name		Address		Relationship	Status	Purpose	Amount
NAMI of DuPage	Wheaton IL 60187	none	2100 Manchester Rd #925		unrestricted		250
National Alopecia Areata Foundation	San Rafael CA 94903	none	14 Mitchell Boulevard		unrestricted		1,000
National Resources Defense Council	New York NY 10011	none	40 W. 20th Street		unrestricted		2,000
Nature Conservancy	Arlington VA 22203	none	4245 N. Fairfax Drive Sui		unrestricted		3,500
NC Botanical Garden Gifts	Chapel Hill NC 27599	none	CB#3375 Totten Center		unrestricted		2,000
Ocean Reef Historical Society	Key Largo FL 33037	none	200 Anchor Drive		unrestricted		500
Ocean Reef Medical Center Foundatio	Key Largo FL 33037	none	50 Barracuda Lane		unrestricted		1,000
Paralyzed Veterans of America	Washington DC 20006	none	801 Eighteenth Street NW		unrestricted		1,000
PETA	Norfolk VA 23510	none	501 Front Street		unrestricted		2,000
Planned Parenthood of Chicago	Chicago IL 60610	none	18 S Michigan Ave 6th Flo		unrestricted		15,000
Planned Parenthood of the Heartland	Des Moines IA 50305	none	PO Box 4557		unrestricted		1,500
Protestant Foundation of Ocean Reef	Key Largo FL 33037	none	23 Ocean Reef Drive		unrestricted		1,000
Ray Graham Association	Downers Grove IL 60515	none	2801 S Finley Road		unrestricted		2,500
Robert Crown Center for Health	Hinsdale IL 60521	none	21 Salt Creek Lane		unrestricted		250
Salvation Army	Oak Brook Terrace IL 6018	none	1S 415 Summit Avenue		unrestricted		1,000
Shedd Aquarium	Chicago IL 60605	none	1200 S. Lake Shore Drive		unrestricted		750
Shriners Hospital for Children	Tampa FL 33607	none	2900 Rocky Point Drive		unrestricted		2,000
Smile Train	Washington DC 20090	none	PO Box 96231		unrestricted		1,650

Federal Statements

**Statement 10 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)**

Name		Address		Relationship	Status	Purpose	Amount
Address							
Smithsonian Institute		PO Box 9016				unrestricted	500
Pittsfield MA 01202	none						
Special Kids Day		533 Spring Road				unrestricted	400
Elmhurst IL 60126	none						
St Charles Singers		617 Steeplechase Road				unrestricted	1,000
St Charles IL 60174	none						
St Johns Northwestern Military Acad		1101 Genesee Street				unrestricted	1,000
Delafield WI 53018	none						
St Jude Childrens Research Hospital		501 St. Jude Place				unrestricted	1,000
Memphis TN 38105	none						
Tri City Family Services		1120 Randall Court				unrestricted	500
Geneva IL 60134	none						
Tri City Health Partnership		318 Walnut				unrestricted	1,000
St Charles IL 60174	none						
United Comm Concerns Association		125 W. Church Street				unrestricted	1,000
Elmhurst IL 60126	none						
United Way of DuPage		PO Box 5317				unrestricted	2,000
Oak Brook IL 60522	none						
US Fund for UNICEF		125 Maiden Lane				unrestricted	5,250
New York NY 10038	none						
USO - World Headquarters		PO Box 96860				unrestricted	1,500
Washington DC 20090	none						
Vassar College		Box 14-124 Raymaond Avenue				unrestricted	3,200
Poughkeepsie NY 12604	none						
VFW		406 W 34th Street				unrestricted	1,000
Kansas City MO 64111	none						
Wellness House		131 N County Line Road				unrestricted	2,500
Hinsdale IL 60521	none						
WM Guy Forbeck Research Foundation		23 Peninsula Drive				unrestricted	1,000
Hilton Head Island SC 299	none						
World Wildlife Fund		1250 24th Street NW				unrestricted	2,000
Washington DC 20037	none						
WTTW Channel 11		5400 N St. Louis Avenue				unrestricted	750
Chicago IL 60625	none						
Younglife Service Center		18 E Fourth Street				unrestricted	250
Hinsdale IL 60521	none						

Statement 10 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)

Name		Address		Relationship	Status	Purpose	Amount
Address							
Total							317,500