Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation The Triple EEE Foundation	**A** Employer identification number 36 389 7224
Number and street (or P O box number if mail is not delivered to street address) 134 Burr Oak Ct Room/suite	**B** Telephone number (see instructions) 847 945 7576
City or town, state, and ZIP code Deerfield IL 60015	**C** If exemption application is pending, check here ▶ ☐

G Check all that apply.
- ☐ Initial return
- ☐ Initial return of a former public charity
- ☐ Final return
- ☐ Amended return
- ☐ Address change
- ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 688,314

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	23,470	23470		
	4 Dividends and interest from securities	2,219	2219		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	8996			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		8996		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) See Sch 1	28	28		
	12 **Total.** Add lines 1 through 11	34714	34714		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) See Sch 1	2258			2258
	17 Interest				
	18 Taxes (attach schedule) (see instructions) See Sch 1	1028			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	6420			6420
	22 Printing and publications				
	23 Other expenses (attach schedule) See Sch. 1.	2198			2198
	24 **Total operating and administrative expenses.** Add lines 13 through 23	11904			10876
	25 Contributions, gifts, grants paid	18,417			18417
	26 **Total expenses and disbursements.** Add lines 24 and 25	30,321			29293
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	4392			
	b **Net investment income** (if negative, enter -0-)		34714		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash—non-interest-bearing	14198	14,141	14,141
2	Savings and temporary cash investments	134296	61,099	61,099
3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	90 999	196,836	196,816
c	Investments—corporate bonds (attach schedule)	421 829	383,638	403,259
11	Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶ 231)		10,000	10,000
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	661,322	665714	688354
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	661,322	665,714	
30	**Total net assets or fund balances** (see instructions)	661,322	665,714	
31	**Total liabilities and net assets/fund balances** (see instructions)	661,322	665,714	

Part III	Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	661,322
2	Enter amount from Part I, line 27a	2	4392
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	665714
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	665714

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Sch D-1 and D-2			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — **2** 8996

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 — **3** 5205

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	29328	675565	.04341
2009	24130	633519	.03809
2008	20922	335399	.06237
2007	12921	202648	.06376
2006	11101	196351	.05654

2	**Total** of line 1, column (d)	2	.26417
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.05283
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	690,885
5	Multiply line 4 by line 3	5	36,502
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	347
7	Add lines 5 and 6	7	36,849
8	Enter qualifying distributions from Part XII, line 4	8	39,293

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	347
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	347
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	347
6	Credits/Payments:		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 1200		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	1200
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	853
11	Enter the amount of line 10 to be: **Credited to 2012 estimated tax** ▶ 853 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶

14 The books are in care of ▶ Floyd S. Keene Telephone no. ▶

Located at ▶ 134 Burr Oak Ct Deerfield IL ZIP+4 ▶ 60015

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** NA

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** NA

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Floyd S. Keene	Pres Treas Dir 20	0	0	0
Shari L. Keene	Sec Dir 1	0	0	0
Nancy B Keene	Dir 1	0	0	0
Andrew R Keene	Exec VP Dir 1	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 None	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 Lakeside Congregation	$10,000
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	10,000

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	574,438
b	Average of monthly cash balances	1b	126,968
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	701,406
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	701,406
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	10,521
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	690,885
6	**Minimum investment return.** Enter 5% of line 5	6	34,544

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	34,544
2a	Tax on investment income for 2011 from Part VI, line 5	2a	347		
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	347
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	34197
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	34197
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	34197

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	29,293
b	Program-related investments—total from Part IX-B	1b	10,000
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	39,293
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	39,293

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7					34197
2	Undistributed income, if any, as of the end of 2011:					
a	Enter amount for 2010 only					
b	Total for prior years: 20___,20___,20___					
3	Excess distributions carryover, if any, to 2011:					
a	From 2006					
b	From 2007					
c	From 2008	1880				
d	From 2009					
e	From 2010					
f	**Total** of lines 3a through e		1880			
4	Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 39293					
a	Applied to 2010, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required—see instructions)					
c	Treated as distributions out of corpus (Election required—see instructions)					
d	Applied to 2011 distributable amount					34197
e	Remaining amount distributed out of corpus		5096			
5	Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					0
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e. Subtract line 5		6,976			
b	Prior years' undistributed income. Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b. Taxable amount—see instructions					
e	Undistributed income for 2010. Subtract line 4a from line 2a Taxable amount—see instructions					
f	Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012					
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)					
9	**Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a		6,976			
10	Analysis of line 9:					
a	Excess from 2007					
b	Excess from 2008	1880				
c	Excess from 2009					
d	Excess from 2010					
e	Excess from 2011	5096				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

Floyd S Keene Andrew R. Keene

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

NA

b The form in which applications should be submitted and information and materials they should include:

NA

c Any submission deadlines.

NA

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NA

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Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Sch 3			All contributions were made for the unrestricted use of the charity.	
Total ▶ **3a**				18417
b *Approved for future payment*				
Total ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	23,470	
4 Dividends and interest from securities			14	2214	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				25684	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 25684

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

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Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Sheryl S. Keene | 6/28/12 ▶ President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

Sch 1 36 3897224

	PER BOOKS	CHAR PURPOSE
PART 1 Line 11 PRI Income	28	28
PART 1 LINE 16c		
INVESTMENT ADVISO	2258	2258
PART 1 LINE 18		
IRS	1028	
PART 1 LINE 23		
ASSOC DUES	710	710
FILING FEES	25	25
SUPPIES	1358	1358
POSTAGE	105	105
	2198	2198

Sch 2

	BK VALUE LISTED AT COST EOY 2010	BK VALUE LISTED AT COST EOY 2011	FAIR MKT VAL FAIR MKT VALUE EOY 2011
STOCKS			
BRDSF	1870	1870	8
MGAFF	5663	5663	200
TUEFF	1120	1120	108
PNPFF	6206	6206	1160
ISL	0	0	0
PRBLX	30387	30387	50407
PARNX	20262	0	0
TBT	0	0	0
VFIIX	25000	0	0
UTREF	55	55	44
TLT	436	0	0
BCS	0	9190	10390
GS	0	16052	9043
MU	0	6619	6290
MOS	0	10000	10086
POT	0	37589	35624
SPY	0	32058	34036
BCS P	0	19135	18340
BCS PC	0	20892	21080
STOCK TOTALS	90999	196836	196816
CORP BONDS			
LA SALLE	29855	29855	29979
SUNTR	66830	66830	66830
MS NOTE	35000	35000	28962
TLT	18730	0	0
CSFB	30488	0	0
GNMA	43265	43265	40188
GNMA		5130	5011
GNMA		25630	24986
GNMA		32975	33268
GNMA		49130	49868
1ST HRZN	32550	0	0
CWIDE	28279	10922	11534
CWMBS	22268	17268	21817
CITIGRP	38546	28423	47364
WELLS FARGO	6854	0	0
JP MORGAN	25687	17654	22310
GSR	43478	21556	24142
CORP BOND TOTALS	421829	383638	406259

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SCH 3

LAKESIDE CONG	1596
CHICAGO FOOD DEPOSITORY	1000
JEWISH VOCATIONAL SERVICE	1500
ASSOC. OF SMALL FOUNDATIONS	2786
YOUTH GUIDANCE	4100
RELIGIOUS ACTION CENTER	250
SO. POVERTY LAW CENTER	3000
EAST VILLAGE YOUTH PGM	1190
JEWISH UNITED FUND	1000
CHIC COALITION FOR THE HOMELESS	500
EDEN PLACE NATURE CENTER	150
MS SOCY	100
JCYS	460
ADL	785
TOTAL	18417

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	Quantity	Description 1	Symbol	CUSIP	Open Date	Unit Cost	Cost Amount	Close Date	Close Price	Close Amt	Realized	% Gain/Loss	Term
1	559.3540	PARNASSUS FUND	PARNX	701765-10-9	02/09/2010	33.9600	18,995.6500	02/10/2011	44.1900	24,717.85	5,722.20	30.12	LONG
2	2.0370	PARNASSUS FUND	PARNX	701765-10-9	12/31/2010	40.5842	82.6700	02/10/2011	44.1900	90.02	7.35	8.89	SHORT
3	383.1920	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	06/16/2008	25.3900	9,729.2400	02/15/2011	27.8500	10,671.89	942.65	9.69	LONG
4	2.6480	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	07/01/2008	24.1918	64.0600	02/15/2011	27.8500	73.74	9.68	15.11	LONG
5	2.9140	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	10/02/2008	23.9499	69.7900	02/15/2011	27.8500	81.15	11.36	16.28	LONG
6	4.5460	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	01/02/2009	19.0607	86.6500	02/15/2011	27.8500	126.60	39.95	46.11	LONG
7	5.6510	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	04/01/2009	17.1014	96.6400	02/15/2011	27.8500	157.38	60.74	62.85	LONG
8	4.9800	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	07/02/2009	20.0382	99.7900	02/15/2011	27.8500	138.69	38.90	38.98	LONG
9	4.1460	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	10/01/2009	22.4120	92.9200	02/15/2011	27.8500	115.46	22.54	24.26	LONG
10	1.4290	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	01/04/2010	24.6746	35.2600	02/15/2011	27.8500	39.79	4.53	12.85	LONG
11	416.3470	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	01/04/2010	24.7400	10,300.4400	02/15/2011	27.8500	11,595.30	1,294.86	12.57	LONG
12	392.0600	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	01/04/2010	24.7400	9,699.5600	08/22/2011	23.9100	9,374.15	-325.41	-3.35	LONG
13	824.0630	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	01/27/2010	24.2700	20,000.0000	08/22/2011	23.9100	19,703.35	-296.65	1.48	LONG
14	5.4090	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	04/01/2010	25.3319	137.0200	08/22/2011	23.9100	129.32	-7.70	-5.62	LONG
15	6.6730	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	07/02/2010	22.4592	149.8700	08/22/2011	23.9100	159.55	9.68	6.46	LONG
16	4.5450	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	10/01/2010	24.4488	111.1200	08/22/2011	23.9100	108.67	-2.45	-2.2	SHORT
17	7.7460	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	12/31/2010	26.3904	204.4200	08/22/2011	23.9100	185.21	-19.21	-9.4	SHORT
18	3.0900	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	04/01/2011	27.6214	85.3500	08/22/2011	23.9100	73.88	-11.47	-13.44	SHORT
19	4.3110	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	07/01/2011	27.3185	117.7700	08/22/2011	23.9100	103.09	-14.68	-12.46	SHORT
20	2,793.7550	RYDEX INVRS GVT LG STR C	RYJCX	783554-32-2	03/08/2011	12.1700	34,000.0000	06/03/2011	11.0700	30,648.53	-3,351.47	-9.86	SHORT

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p 1

	Quantity	Description 1	Symbol	CUSIP	Open Date	Unit Cost	Cost Amount	Close Date	Close Price	Close Amt	Realized	% Gain/Loss	Term
1	559.3540	PARNASSUS FUND	PARNX	701765-10-9	02/09/2010	33.9600	18,995.6500	02/10/2011	44 1900	24,717.85	5,722.20	30.12	LONG
2	2 0370	PARNASSUS FUND	PARNX	701765-10 9	12/31/2010	40.5842	82.6700	02/10/2011	44 1900	90.02	7.35	8.89	SHORT
3	383 1920	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	06/16/2008	25.3900	9,729.2400	02/15/2011	27 8500	10,671.89	942.65	9.69	LONG
4	2.6480	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	07/01/2008	24.1918	64.0600	02/15/2011	27.8500	73.74	9 68	15.11	LONG
5	2.9140	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	10/02/2008	23.9499	69 7900	02/15/2011	27.8500	81.15	11.36	16.28	LONG
6	4 5460	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	01/02/2009	19.0607	86.6500	02/15/2011	27.8500	126 60	39 95	46.11	LONG
7	5.6510	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	04/01/2009	17.1014	96.6400	02/15/2011	27.8500	157.38	60.74	62.85	LONG
8	4.9800	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	07/02/2009	20 0382	99.7900	02/15/2011	27.8500	138 69	38.90	38.98	LONG
9	4.1460	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	10/01/2009	22.4120	92.9200	02/15/2011	27.8500	115.46	22.54	24.26	LONG
10	1.4290	PARNASSUS INC FD EQUITY	PRBLX	701769-10 1	01/04/2010	24.6746	35 2600	02/15/2011	27.8500	39 79	4 53	12 85	LONG
11	416 3470	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	01/04/2010	24.7400	10,300.4400	02/15/2011	27 8500	11,595.30	1,294 86	12 57	LONG
12	392 0600	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	01/04/2010	24.7400	9,699.5600	08/22/2011	23.9100	9,374.15	-325 41	-3 35	LONG
13	824.0630	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	01/27/2010	24.2700	20,000.0000	08/22/2011	23.9100	19,703.35	-296 65	1 48	LONG
14	5.4090	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	04/01/2010	25.3319	137.0200	08/22/2011	23.9100	129 32	-7.70	-5.62	LONG
15	6.6730	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	07/02/2010	22.4592	149.8700	08/22/2011	23.9100	159.55	9 68	6 46	LONG
16	4.5450	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	10/01/2010	24.4488	111.1200	08/22/2011	23 9100	108.67	-2.45	-2.2	SHORT
17	7.7460	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	12/31/2010	26.3904	204.4200	08/22/2011	23.9100	185.21	-19.21	-9.4	SHORT
18	3.0900	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	04/01/2011	27.6214	85 3500	08/22/2011	23.9100	73 88	-11 47	-13.44	SHORT
19	4.3110	PARNASSUS INC FD EQUITY	PRBLX	701769-10-1	07/01/2011	27.3185	117.7700	08/22/2011	23 9100	103.09	14.68	-12.46	SHORT
20	2,793.7550	RYDEX INVRS GVT LG STR C	RYJCX	783554-32-2	03/08/2011	12.1700	34,000.0000	06/03/2011	11.0700	30,648.53	-3,351.47	-9.86	SHORT

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5/14/2012 11:39:47 AM

Account Workbook

Closed Tax Lots

Filter Set: 2011 Tax Year

Account Name: TRIPLE EEE

Username: George Friedman

Account Number: 4845-9326

	Quantity	Description 1	Symbol	CUSIP	Open Date	Unit Cost	Cost Amount	Close Date	Close Price	Close Amt	Realized	% Gain/Loss	Term
21	2,289 3770	VANGUARD GNMA INVST	VFIIX	922031-30-7	12/20/2010	10.9200	25,000.0000	04/07/2011	10.6900	24,473.44	-526 56	-2.11	SHORT
22	38.6910	VANGUARD GNMA INVST	VFIIX	922031-30-7	12/31/2010	10.7100	414 3800	04/07/2011	10.6900	413.60	-.78	-.19	SHORT
23	10.6880	VANGUARD GNMA INVST	VFIIX	922031-30-7	12/31/2010	10.7101	114.4700	04/07/2011	10.6900	114.25	-.22	-.19	SHORT
24	2.4140	VANGUARD GNMA INVST	VFIIX	922031-30-7	01/03/2011	10.7415	25.9300	04/07/2011	10.6900	25.80	-.13	-.5	SHORT
25	6.3470	VANGUARD GNMA INVST	VFIIX	922031-30-7	02/01/2011	10.7295	68.1000	04/07/2011	10.6900	67.85	-.25	-.37	SHORT
26	6.1470	VANGUARD GNMA INVST	VFIIX	922031-30-7	03/02/2011	10 7304	65.9600	04/07/2011	10.6900	65.72	-.24	-.36	SHORT
27	6.1990	VANGUARD GNMA INVST	VFIIX	922031-30-7	04/01/2011	10.7195	66.4500	04/07/2011	10 6900	66 28	-.17	-.26	SHORT
28	40,000	CSFB 05-1 1A4 5 5 022535		225458-AD-0	04/28/2010	76 2200	30,488.0000	08/29/2011	76 0000	30,395.00	-93 00	-.31	LONG
29	28,000	CWMBS 0402A4 5 25 032534		12669F-QN-6	10/20/2010	93.0000	26,040.0000	08/29/2011	95.0000	26,595.00	555.00	2.13	SHORT
30	42,000	GNMA 06-17TW 6.0 042036		38374M-U9-8	12/14/2010	103.0119	43,265.0000	07/25/2011	106.703125	44,810 31	1,545.31	3.57	SHORT
31	35,000	FHMT 0631A10 6.25 112536		32052R-AK-6	10/20/2010	93.0000	32,550.0000	08/29/2011	93.0000	32,545.00	-5.00	-.02	SHORT
32	50,000	WFMBS 06-3 AI 5.5 032536		94983Q-AA-4	05/05/2009	51.3211	[illegible]	08/29/2011	94.0000	12,127.48	3,054.92	11.91	LONG

TOTALS 271,329 279,993 +8664

All acquired by purchase

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STOCK	BOT DATE	SOLD DATE	PROCEEDS	BASIS	GAIN/LOSS
TLT	12/20/2010	3/18/11	$18,329.64	$18,730.00	($400.36)
SSO	08/03/2011	11/30/11	$13,472.83	$14,408.91	($936.08)
SSO	11/17/2011	12/21/11	$22,474.71	$21,785.00	$689.71
TBT	04/21/2011	8/9/11	$15,914.68	$18,107.55	($2,192.87)
VGT	07/29/2011	10/14/11	$31,619.39	$31,605.00	$14.39
VGT	10/21/2011	10/28/11	$32,340.42	$30,885.00	$1,455.42
C AEO 031911 16 0	03/16/2011	3/19/11	0	($249.99)	$249.99
C BCS 111911 12 BCS...	10/06/2011	11/19/11	0	($499.99)	$499.99
C BCS 121711 16 BCS...	07/27/2011	8/18/11	($125.00)	($474.99)	$349.99
C BCS 121711 16 BCS...	07/27/2011	9/15/11	($50.00)	($474.99)	$424.99
C CSCO 051311 18 CSCO...	05/11/2011	5/13/11	0	($204.99)	$204.99
C CSCO 052111 19 0	03/09/2011	4/18/11	($35.00)	($264.99)	$229.99
C CSCO 052111 20 0	04/07/2011	5/6/11	($50.00)	($179.99)	$129.99
C CSCO 082011 16 CSCO...	07/29/2011	8/20/11	0	($319.99)	$319.99
C FLS 082011 90 FLS...	08/12/2011	8/20/11	0	($359.99)	$359.99
C GS 102211 165 4104803	03/10/2011	5/12/11	($205.00)	($1,159.97)	$954.97
C INTC 102211 21 INTC...	08/31/2011	9/20/11	($2,685.00)	($914.98)	($1,770.02)
C INTC 102211 22 INTC...	08/08/2011	8/29/11	($260.00)	($709.98)	$449.98
C ISSI 071611 10 4485643	03/07/2011	6/24/11	($100.00)	($899.98)	$799.98
C MOS 012112 65 MOS...	10/31/2011	12/13/11	($30.00)	($497.99)	$467.99
C MOS 012112 67.50 MOS...	08/18/2011	9/23/11	($598.00)	($1,153.97)	$555.97
C MOS 031712 65 MOS...	10/21/2011	11/28/11	($274.00)	($879.98)	$605.98
C MOS 031712 65 MOS...	10/21/2011	11/28/11	($138.00)	($439.99)	$301.99

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C MOS 082011 67.50					
MOS...	08/15/2011	8/20/11	0	($163.99)	$163.99
C MOS 082611 67.50					
MOS...	08/22/2011	8/26/11	0	($143.99)	0
C MOS 091711 77.50					
MOS...	06/15/2011	8/10/11	($68.00)	($213.99)	$145.99
C MSFT 012112 27.50					
0	03/02/2011	6/3/11	($385.00)	($1,217.97)	$832.97
C MSFT 082011 25					
MSFT...	06/03/2011	8/20/11	0	($405.99)	$405.99
C MSFT 082011 25					
MSFT...	08/09/2011	8/20/11	0	($229.99)	$229.99
C MSFT 082611 24					
MSFT...	08/22/2011	8/26/11	0	($599.98)	0
C MU 091711 6					
MU...	08/26/2011	9/16/11	0	($399.99)	0
C MU 102211 12					
MU...	05/04/2011	6/15/11	($150.00)	($869.98)	$719.98
C MU 102211 6					
MU...	10/13/2011	10/22/11	0	($99.99)	$99.99
C NTRS 042112 35					
NTRS...	11/23/2011	12/6/11	($2,950.00)	($1,799.96)	($1,150.04)
C POT 102211 47.50					
POT...	10/10/2011	10/21/11	0	($371.99)	0
C POT 110411 47.50					
POT...	11/02/2011	11/4/11	($183.00)	($212.99)	$29.99
C RIMM 061811 42.50					
RIMM...	06/02/2011	6/18/11	0	($398.99)	$398.99
C SSO 031712 44					
SSO...	11/17/2011	12/21/11	($2,225.00)	($2,674.94)	$449.94
C SSO 082011 47					
SSO...	08/05/2011	8/20/11	0	($221.99)	$221.99
C SSO 102211 48					
SSO...	08/31/2011	9/22/11	($51.00)	($488.99)	$437.99
C SSO 111911 45					
SSO...	10/07/2011	11/9/11	($636.00)	($389.99)	($246.01)
C TLT 021911 90					
4470257	02/08/2011	2/19/11	0	($99.99)	$99.99
C TLT 031911 91					
4470203	03/10/2011	3/18/11	0	($129.99)	0

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C TLT 061811 98

4470186	12/20/2010	2/8/11	($110.00)	($435.99)	$325.99
C UNTD 121711 5					
UNTD...	08/22/2011	12/16/11	0	($1,349.97)	0
C WFC 042112 26					
WFC...	10/17/2011	12/22/11	($2,760.00)	($2,499.95)	($260.05)
P CCJ 052111 32					
CCJ...	05/02/2011	5/20/11	0	$340.00	0
P CCJ 061811 30					
CCJ...	05/27/2011	6/17/11	0	$450.00	0
P CSCO 071611 19					
0	04/01/2011	6/2/11	$2,599.95	$2,190.00	$409.95
P MOS 031712 60					
MOS...	09/30/2011	11/28/11	$3,419.93	$4,149.00	($729.07)
P MOS 091711 70					
MOS...	06/15/2011	8/8/11	$2,023.96	$1,690.00	$333.96
P TBT 082011 33					
TBT...	07/26/2011	8/9/11	0	$585.00	0
P TBT 091711 40					
4756128	04/21/2011	7/26/11	$3,624.93	$2,550.00	$1,074.93
P TC 121711 10					
TC...	05/13/2011	12/16/11	0	$1,100.00	0
AMERN EAGLE OUTFITTERS					
AEO	03/16/2011	3/22/11	$15,400.00	$15,810.00	($410.00)
BARCLAYS BK ADR 2 6.625%					
BCS	09/22/2011	10/7/11	$19,959.61	$19,046.60	$913.01
BCS	07/27/2011	8/24/11	$10,029.90	$14,570.00	($4,540.10)
CCJ	03/28/2011	5/20/11	$6,059.87	$6,091.98	($32.11)
CCJ	05/27/2011	6/17/11	$8,549.82	$8,735.97	($186.15)
CSCO	03/09/2011	6/2/11	$8,229.89	$9,049.20	($819.31)
CSCO	04/01/2011	6/2/11	$8,229.89	$8,570.00	($340.11)
CSCO	04/01/2011	8/31/11	$7,919.84	$8,570.00	($650.16)
FLS	08/08/2011	8/29/11	$8,976.03	$8,375.00	$601.03
FLS	09/22/2011	9/27/11	$16,299.68	$15,170.00	$1,129.68
ISSI	03/07/2011	6/24/11	$9,039.92	$9,810.00	($770.08)
INTC	08/08/2011	9/20/11	$22,369.86	$20,429.80	$1,940.06
INTC	08/18/2011	9/20/11	$11,184.94	$9,853.75	$1,331.19
ISCHY	12/08/2011	12/21/11	$9,769.81	$9,830.00	($60.19)
MU	05/04/2011	9/16/11	$6,399.87	$10,748.30	($4,348.43)
MSFT	03/02/2011	8/26/11	$17,149.65	$18,401.60	($1,251.95)
MSFT	08/09/2011	8/26/11	$12,249.77	$12,290.00	($40.23)

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MOS	06/15/2011	8/26/11	$13,643.73	$12,853.98	$789.75
MOS	08/18/2011	11/28/11	$10,199.80	$12,586.00	($2,386.20)
MOS	09/30/2011	11/28/11	$5,099.90	$5,000.00	$99.90
NTRS	09/06/2011	9/12/11	$10,553.85	$10,644.00	($90.15)
NTRS	11/23/2011	12/6/11	$19,614.62	$17,660.00	$1,954.62
POT	09/22/2011	10/21/11	$14,621.71	$14,280.00	$341.71
RIMM	06/02/2011	8/31/11	$9,854.90	$12,326.91	($2,472.01)
TC	05/13/2011	6/23/11	$9,539.91	$10,630.00	($1,090.09)
TC	06/10/2011	12/16/11	$8,899.80	$9,599.90	($700.10)
UNTD	08/22/2011	12/16/11	$16,349.68	$14,760.00	$1,589.68
WFC	10/17/2011	12/22/11	$27,159.97	$25,096.00	$2,063.97
TOTALS			$475,108.66	$474,256.11	$331.64

ALL SHORT TERM

All aquired by purchase