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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
Hattie A & Marie V Fatz Foundation

% Kenneth F Theisen

Number and street (or P O box number if mail is not delivered to street address)
1 N LaSalle Street
Suite 3000

City or town, state, and ZIP code
Chicago, IL 606024003

A Employer identification number
36-3818697

B Telephone number (see page 10 of the instructions)
(312) 782-7320

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 1,028,042

J Accounting method
☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,958	1,958		
	4 Dividends and interest from securities.	52,112	52,112		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-27,360			
	b Gross sales price for all assets on line 6a _____ 199,985				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain			2,035	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	26,710	54,070	2,035	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).	7,500	7,500		
	b Accounting fees (attach schedule).	5,500	5,500		
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	235	235		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).	225	225		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	13,460	13,460		0
	25 Contributions, gifts, grants paid	60,000			60,000
	26 Total expenses and disbursements. Add lines 24 and 25	73,460	13,460		60,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-46,750			
	b Net investment income (if negative, enter -0-)		40,610		
	c Adjusted net income (if negative, enter -0-)			2,035	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	12,897	19,619	19,619
	2	Savings and temporary cash investments	2,770	4,978	4,978
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	2,323	2,286	1,441
	b	Investments—corporate stock (attach schedule)	1,259,012	1,203,367	1,002,004
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,277,002	1,230,250	1,028,042	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	1,277,002	1,230,250	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	1,277,002	1,230,250	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,277,002	1,230,250	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,277,002
2	Enter amount from Part I, line 27a	2	-46,750
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,230,252
5	Decreases not included in line 2 (itemize) ▶ _____	5	2
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,230,250

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-27,360
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	2,035

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	60,000	1,066,853	000 056240
2009	69,376	906,716	000 076513
2008	70,000	1,190,268	000 058810
2007	148,643	1,540,300	000 096503
2006	71,873	1,412,406	000 050887

2	Total of line 1, column (d).	2	000 338953
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	000 067791
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	1,076,011
5	Multiply line 4 by line 3.	5	72,944
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	406
7	Add lines 5 and 6.	7	73,350
8	Enter qualifying distributions from Part XII, line 4.	8	60,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	812
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	812
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	812
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	1,000	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	1,000
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	188
11		Enter the amount of line 10 to be Credited to 2012 estimated tax 188	Refunded	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b		
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9	Yes	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of Located at 1 N LaSalle St Suite 3000 Chicago IL Telephone no (312) 782-7320 ZIP +4 606024003			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year.			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	☒	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☐ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b	
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Kenneth F Theisen	Trustee	0		
1 N LaSalle Ste 3000 Chicago, IL 60602	001 00			
Herbert J Theisen	Trustee	0		
1 N LaSalle Ste 3000 Chicago, IL 60602	001 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000

(b) Type of service

(c) Compensation

Total number of others receiving over \$50,000 for professional services.

Part IX-A

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 None

0

2

3

4

Part IX-B

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 N/A

2

All other program-related investments See page 24 of the instructions

3

Total. Add lines 1 through 3.

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,072,621
b	Average of monthly cash balances.	1b	19,776
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,092,397
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,092,397
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	16,386
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,076,011
6	Minimum investment return. Enter 5% of line 5.	6	53,801

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	53,801
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	812
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	812
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	52,989
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	52,989
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	52,989

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	60,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	60,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	60,000
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				52,989
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2011				
a From 2006.				3,507
b From 2007.				74,342
c From 2008.				11,769
d From 2009.				25,288
e From 2010.				7,567
f Total of lines 3a through e.	122,473			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 60,000				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .				
d Applied to 2011 distributable amount.				52,989
e Remaining amount distributed out of corpus	7,011			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	129,484			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).	3,507			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	125,977			
10 Analysis of line 9				
a Excess from 2007. . . .				74,342
b Excess from 2008. . . .				11,769
c Excess from 2009. . . .				25,288
d Excess from 2010. . . .				7,567
e Excess from 2011. . . .				7,011

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
		2,035	53,343	2,556	752	58,686
b	85% of line 2a	1,730	45,342	2,173	639	49,884
c	Qualifying distributions from Part XII, line 4 for each year listed.	60,000	60,000	70,000	70,000	260,000
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.	60,000	60,000	70,000	70,000	260,000
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.	35,867	35,562	30,224	39,675	141,328
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

Kenneth F Theisen
1 N LaSalle St Ste 3000
Chicago, IL 60602
(312) 782-7320

b

The form in which applications should be submitted and information and materials they should include

Letter Including program information and copy of IRS not for profit status

c

Any submission deadlines

November 30

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

None

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	60,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments			14	1,958	
4	Dividends and interest from securities. . . .			14	52,112	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.			14		
8	Gain or (loss) from sales of assets other than inventory			18	-27,360	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a Partnership Income			14		
	a Distributions _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e). .				26,710	
13	Total. Add line 12, columns (b), (d), and (e).			13		26,710

(See worksheet in line 13 instructions on page 28 to verify calculations)

[illegible]

Part XVII

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge				
	*****			2012-06-26	*****
	Signature of officer or trustee			Date	Title
	Paid Preparer's Use Only	Preparer's Signature ▶ Thomas K Sandor		Date 2012-06-28	Check if self-employed ▶ ☒
		Firm's name ▶ Sandor Accounting Service			Firm's EIN ▶
164 Division St Suite 206					
Firm's address ▶ Elgin, IL 60120			Phone no (847) 530-5670		

May the IRS discuss this return with the preparer shown above? See instructions ☒ Yes ☐ No

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Chicago Dancing Company21W241 Briar Cliff Rd Lombard,IL 60148	None	501(c)(3)	Dance Education	10,000
Trips with a MissionPO Box 178 Sedalia,CO 80135	None	501(c)(3)	Child Welfare and Education	3,000
School of the Joffery Ballet of Chi70 E Lake St Ste 1300 Chicago,IL 60601	None	501(c)(3)	Dance Education	5,000
Redtwist Theatre1044 Bryn Mawr Ave Chicago,IL 60660	None	501(c)(3)	Performing Arts Education	2,000
Thomas More Society29 S LaSalle St Ste 440 Chicago,IL 60603	None	501(c)(3)	Legal Education	2,000
Childrens Memorial Foundation2300 Childrens Plaza Chicago,IL 60614	None	501(c)(3)	Medical Research	10,000
St Jude's Childrens Research Hospit501 St Judes Pl Memphis,TN 38105	None	501(c)(3)	Medical Research	15,000
Friends of Lemont Library50 W Wend St Lemont,IL 60439	None	501(c)(3)	Literacy Education	5,000
Grant Park Orchestral Association205 E Randolph Chicago,IL 60601	None	501(c)(3)	Public Music Programs	5,000
Glenview Public Library Foundation1930 Glenview Rd Glenview,IL 60025	None	501 (c)(3)	Support of Glenview IL Public Library	3,000
Total  3a				60,000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,692
			1,024
			430
			2,192
			-3,742
			-6,862
			-740
			-218
			-5,778
			-2,761
			2,958
			1,888
			602
			2,322
			-7,857
			-3,777
			-585
			-5,599
			279
			-1,058
			-4,241
			-1,643
			55
			26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,792		10,484	-1,692
7,834		6,810	1,024
7,304		6,874	430
7,348		5,156	2,192
4,951		8,693	-3,742
7,890		14,752	-6,862
11,760		12,500	-740
5,659		5,877	-218
4,374		10,152	-5,778
6,461		9,222	-2,761
7,592		4,634	2,958
2,430		542	1,888
5,130		4,528	602
7,175		4,853	2,322
12,143		20,000	-7,857
6,223		10,000	-3,777
7,348		7,933	-585
13,137		18,736	-5,599
6,160		5,881	279
23,735		24,793	-1,058
2,859		7,100	-4,241
26,163		27,806	-1,643
55			55
26			26
19		19	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Archer Daniel Midlands	P	2011-01-19	2011-12-02
Silver Wheaton Corp	P	2011-01-19	2011-03-18
Silver Wheaton Corp	P	2011-01-31	2011-08-25
Monsanto Co	P	2010-06-22	2011-02-08
Blackrock Sr High Inc Fd	P	2001-04-11	2011-08-25
Calamos Strategic Total Return	P	2004-03-25	2011-10-11
Citigroup Cap XV 6 5	P	2006-09-08	2011-07-20
Claymore Delta Global Agric	P	2009-12-18	2011-10-19
Claymore Renewable Alt Energy	P	2009-10-15	2011-10-25
Dow 30 Premium	P	2005-04-26	2011-12-02
FEI Company	P	2010-04-27	2011-05-17
Franklin Gold	P	1999-12-15	2011-06-21
Gamestop Corp	P	2010-03-26	2011-04-21
Ishares SP US Prefd	P	2009-03-24	2011-11-16
LMP Cap Income Fd	P	2004-02-24	2011-01-19
Macquire Global Infrastructure	P	2005-08-25	2011-11-16
Monsanto Co	P	2009-01-13	2011-02-08
Nasdaq Premium Income	P	2007-01-25	2011-12-02
Owens IL	P	2010-02-24	2011-05-17
Pimco Corp Income Opp Fd	P	2004-09-24	2011-12-02
The St Joe Co	P	2008-01-02	2011-12-02
Western Assets Emerging Mkts	P	2003-12-01	2011-12-02
General Motors	P	2011-10-28	2011-10-28
General Mts Corp Wts	P	2011-10-28	2011-10-28
General Mtrs Co Wts	P	2011-10-28	2011-10-28

TY 2011 Accounting Fees Schedule**Name:** Hattie A & Marie V Fatz Foundation**EIN:** 36-3818697**Software ID:** 11000218**Software Version:** 2011.0.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Sandor Accounting Services	5,500	5,500		

TY 2011 General Explanation Attachment

Name: Hattie A & Marie V Fatz Foundation

EIN: 36-3818697

Software ID: 11000218

Software Version: 2011.0.0

Identifier	Return Reference	Explanation
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TY 2011 Investments Corporate

Stock Schedule

Name: Hattie A & Marie V Fatz Foundation

EIN: 36-3818697

Software ID: 11000218

Software Version: 2011.0.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
100 shares of Air Products Chemicals	7,105	8,519
600 shares of Apollo Investment Corp	14,159	3,864
1000 shares of Bank of America	25,000	22,470
200 shares of Ecolab	10,091	11,562
500 shares of Tortoise Power Energy Infrastructure Fd	10,000	12,495
200 shares of Mosaic Co	14,267	10,086
100 shares of Polypore International	5,884	4,399
200 shares of Couer d Alene Mines Corp	3,499	4,828
100 shares of DuPont	23,588	4,578
500 shares of Energy Income Growth Fund	10,000	14,125
300 shares of Chesapeake Energy Corp	10,355	6,687
160 shares of Exxon	5,490	13,562
1148 shares of Frontier Communications	9,606	5,912
200 shares of Illinois Tool Works	9,517	9,342
700 shares of General Electric	20,826	12,537
200 shares of Hospitality Properties Trust	4,180	4,596
100 shares of IBM	5,072	18,388
200 shares of Macquire Infrastructure CO LLC	6,208	5,590
80 shares of Impac Mortgage Holding REIT	18,545	161
500 shares of Manitowoc Co, Inc.	12,257	4,595
100 shares of International Paper	8,818	8,880
200 shares of Oceaneering Intl Inc.	5,508	9,226
200 shares of Consolidated Energy	8,036	7,340
700 shares of AGIC Equity Con	16,394	10,920
1000 shares of Nuveen Floating Rate	10,575	
400 shares of Pfizer	34,722	8,656
500 shares of Altria Group	7,738	11,860
200 shares of Proctor Gamble	5,730	13,342
1400 shares of Realty Income Corp	16,363	48,944
400 shares of ATT	26,644	12,096

Name of Stock	End of Year Book Value	End of Year Fair Market Value
200 shares of Sempra Energy	11,345	11,000
90 shares of Thornburg Mortgage	23,508	
300 shares of Chicago Bridge Iron	3,770	11,340
200 shares of United Technologies	4,514	14,618
300 shares of Petroleo Brasileiro ADR	10,576	7,455
200 shares of Verizon	9,585	8,024
1000 shares of DWS Hign Income Trust	15,936	10,230
1291 shares of Franklin Gold Precious Metals	22,553	47,093
3115 shares of Fundamental Investors	94,322	110,240
1700 shares of Gabelli Convertible Securities	14,862	8,687
2200 shares of Gabelli Equity Trust	21,121	10,978
1400 shares of Western Global Partners Income Fund	20,030	18,368
1000 shares of Prospect Capital Corp	11,109	9,290
2700 shares of Helios Total Return	23,941	15,444
300 shares of Vale SA ADR	10,551	6,435
2136 shares of John Hancock Premium Dividend Fd II	22,771	28,708
5035 shares of John Hancock Strategic Income	46,522	32,224
400 shares of Veolia Environmental	16,351	4,420
680 shares of New America High Income	11,451	6,943
1000 shares of Nuveen Multistrategy Income Growth	12,764	8,010
100 shares of 3M Corp	7,276	8,173
4030 shares of Zweig Total Return Fund	34,010	12,211
500 shares of Weyerhaeuser Co	9,102	9,335
559 shares of Claymore Sec Delta Global Agriculture	18,306	
600 shares of Guggenheim Build America Bds	12,000	12,810
1000 shares of First Trust Four Corners	9,329	
500 shares of General Motors 7.25	12,500	
300 shares of Consolidated Water Co	4,555	2,574
100 shares of Cliffs Natural Resources	6,702	6,235
400 shares of Alcoa	13,661	5,190

Name of Stock	End of Year Book Value	End of Year Fair Market Value
48 shares of General Motors		973
88 shares of General Mtr Wts		860
1000 shares of ING Global Equity Dividend	20,000	8,640
200 shares of JP Morgan Chase	7,286	6,650
400 shares of Macquarie Global Infrastructure	10,000	6,796
1000 shares of Nuveen Equity Premium Opportunity Fund	20,000	11,420
600 shares of Pimco Global Stockplus	15,000	11,250
400 shares of Tortoise Energy Capital	9,850	10,732
300 shares of Avon Products Inc	6,943	3,494
200 shares of Bunge Ltd	12,191	11,440
400 shares of Conoco Phillips	10,920	29,148
100 shares of Schlumberger	5,965	6,831
300 shares of Freeport-McMoran Copper Gold	11,325	11,037
200 shares of Unitedhealth Group	7,473	10,136
875 shares of Blackrock Global Opportunities	25,470	11,559
1400 shares of Blackrock Real Asset Equity	20,767	14,938
2400 shares of Blackrock Sr High Inc	15,057	9,240
200 shares of Honeywell Intl	10,418	10,870
200 shares of Nasdaq OMX Group	5,328	4,902
100 shares of NII Holdings Inc Cl B	2,458	2,130
400 shares of Tortoise MLP Fd Inc	10,000	10,308
1741 shares of First Trust Global Agriculture	18,623	23,051
200 shares of Dover Corp	11,379	11,610
200 shares of Potash Corp Saskatchewan	9,750	8,256
200 shares of Raytheon Co	9,956	9,676
300 shares of Sensata Technologies	9,788	7,884
300 shares of Tahoe Resources	5,775	5,258
500 shares of Weatherford Intl	10,445	7,320

TY 2011 Legal Fees Schedule

Name: Hattie A & Marie V Fatz Foundation

EIN: 36-3818697

Software ID: 11000218

Software Version: 2011.0.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Tews,Theisen Theisen	7,500	7,500		

TY 2011 Other Decreases Schedule

Name: Hattie A & Marie V Fatz Foundation

EIN: 36-3818697

Software ID: 11000218

Software Version: 2011.0.0

Description	Amount
Rounding	2

TY 2011 Other Expenses Schedule**Name:** Hattie A & Marie V Fatz Foundation**EIN:** 36-3818697**Software ID:** 11000218**Software Version:** 2011.0.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Filing Fees	25	25		
Bank Service Charge	200	200		

TY 2011 Taxes Schedule**Name:** Hattie A & Marie V Fatz Foundation**EIN:** 36-3818697**Software ID:** 11000218**Software Version:** 2011.0.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Income tax	235	235	0	0

Additional Data

Software ID: 11000218
Software Version: 2011.0.0
EIN: 36-3818697
Name: Hattie A & Marie V Fatz Foundation

Part VI Line 7 - Tax Paid Original Return: 1000

Form 990PF - Special Condition Description:

Special Condition Description
