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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2011

For calendar year 2011 or tax year beginning

, and ending

Name of foundation <b>MALOTT FAMILY FOUNDATION</b>		A Employer identification number <b>36-3680666</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>200 EAST RANDOLPH DRIVE</b>		B Telephone number <b>312-861-6061</b>
City or town, state, and ZIP code <b>CHICAGO, IL 60601</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <b>37,669,953.</b>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	2,203,278.		N/A	
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	160.	160.		STATEMENT 1
4	Dividends and interest from securities	28,737.	28,737.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	1,222,743.			
b	Gross sales price for all assets on line 6a	3,197,070.			
7	Capital gain net income (from Part IV, line 2)		1,222,743.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	1,922,718.	1,922,718.		STATEMENT 3
12	Total. Add lines 1 through 11	5,377,636.	3,174,358.		
13	Compensation of officers, directors, trustees, etc.	97,500.	0.		97,500.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees				
c	Other professional fees				
17	Interest	258.	258.		0.
18	Taxes				
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	102,268.	81,368.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	200,026.	81,626.		97,500.
25	Contributions, gifts, grants paid	1,594,711.			1,594,711.
26	Total expenses and disbursements. Add lines 24 and 25	1,794,737.	81,626.		1,692,211.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	3,582,899.			
b	Net investment income (if negative, enter -0-)		3,092,732.		
c	Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		227,898.	490,616.	490,616.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 6		5,481,165.	4,708,007.	12,110,692.
	c	Investments - corporate bonds				
Liabilities	11	Investments - land, buildings, and equipment: basis ▶				
		Less: accumulated depreciation ▶				
	12	Investments - mortgage loans				
	13	Investments - other				
	14	Land, buildings, and equipment: basis ▶				
		Less: accumulated depreciation ▶				
	15	Other assets (describe STATEMENT 7 )		22,505,478.	25,075,760.	25,068,645.
	16	Total assets (to be completed by all filers)		28,214,541.	30,274,383.	37,669,953.
	17	Accounts payable and accrued expenses				
	18	Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶ )				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		28,214,541.	30,274,383.	
	30	Total net assets or fund balances		28,214,541.	30,274,383.	
	31	Total liabilities and net assets/fund balances		28,214,541.	30,274,383.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	28,214,541.
2	Enter amount from Part I, line 27a	2	3,582,899.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	31,797,440.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	1,523,057.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	30,274,383.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 3,197,070.		2,028,506.	1,222,743.
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			1,222,743.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,222,743.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	1,733,331.	30,904,829.	.056086
2009	2,498,870.	31,137,329.	.080253
2008	2,006,270.	35,234,674.	.056940
2007	1,813,692.	38,223,510.	.047450
2006	1,250,915.	33,000,518.	.037906

2 Total of line 1, column (d)	2	.278635
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.055727
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	34,606,315.
5 Multiply line 4 by line 3	5	1,928,506.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	30,927.
7 Add lines 5 and 6	7	1,959,433.
8 Enter qualifying distributions from Part XII, line 4	8	1,692,211.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	61,855.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	61,855.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	61,855.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	14,128.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	14,128.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	1,019.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed SEE STATEMENT 8	9	48,746.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax Refunded	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 9	X	

N/A

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>ROBERT H. MALOTT</u> Telephone no. ► <u>312-861-6061</u> Located at ► <u>200 E. RANDOLPH, CHICAGO, IL</u> ZIP+4 ► <u>60601</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 <u>N/A</u>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u>N/A</u> ► <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) <u>N/A</u>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT H. MALOTT	PRESIDENT			
200 E. RANDOLPH STREET				
CHICAGO, IL 60601	1.00	0.	0.	0.
ROBERT DEANE MALOTT	DIRECTOR			
200 E. RANDOLPH STREET				
CHICAGO, IL 60601	1.00	0.	0.	0.
ELIZABETH MALOTT POHLE	DIRECTOR			
200 E. RANDOLPH STREET				
CHICAGO, IL 60601	20.00	0.	0.	0.
BARBARA H. MALOTT KIZZIAH	SECRETARY			
200 E. RANDOLPH STREET				
CHICAGO, IL 60601	20.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2011)





**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	34,774,058.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	359,257.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	35,133,315.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	35,133,315.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	527,000.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	34,606,315.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	1,730,316.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	1,730,316.
<b>2a</b>	Tax on investment income for 2011 from Part VI, line 5	<b>2a</b>	61,855.
<b>b</b>	Income tax for 2011. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	61,855.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	1,668,461.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	1,668,461.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	1,668,461.

**Part XII** Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	1,692,211.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,692,211.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	1,692,211.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				1,668,461.
<b>2</b> Undistributed income, if any, as of the end of 2011				
<b>a</b> Enter amount for 2010 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2011:				
<b>a</b> From 2006				
<b>b</b> From 2007				
<b>c</b> From 2008	167,587.			
<b>d</b> From 2009	1,042,004.			
<b>e</b> From 2010	225,712.			
<b>f</b> Total of lines 3a through e	1,435,303.			
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ 1,692,211.				
<b>a</b> Applied to 2010, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2011 distributable amount				1,668,461.
<b>e</b> Remaining amount distributed out of corpus	23,750.			
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,459,053.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	1,459,053.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2007				
<b>b</b> Excess from 2008	167,587.			
<b>c</b> Excess from 2009	1,042,004.			
<b>d</b> Excess from 2010	225,712.			
<b>e</b> Excess from 2011	23,750.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2	a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
			(a) 2011	(b) 2010	(c) 2009	(d) 2008	
	b	85% of line 2a					
	c	Qualifying distributions from Part XII, line 4 for each year listed					
	d	Amounts included in line 2c not used directly for active conduct of exempt activities					
	e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:						
	a	"Assets" alternative test - enter:					
		(1) Value of all assets					
		(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
	b	"Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
	c	"Support" alternative test - enter:					
		(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
		(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
		(3) Largest amount of support from an exempt organization					
		(4) Gross investment income					

<b>Part XV</b>	<b>Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)</b>
----------------	--

### 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ROBERT H. MALOTT

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:**

- b** The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:**

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
SEE ATTACHED DETAIL		PUBLIC CHARITY	COMMUNITY	1,594,711.
<b>Total</b>			<b>▶ 3a</b>	1,594,711.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>▶ 3b</b>	0.





**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

**2011**

Name of the organization

**MALOTT FAMILY FOUNDATION**

Employer identification number

**36-3680666**

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)( ) (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**LHA** For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

Employer identification number

**MALOTT FAMILY FOUNDATION****36-3680666****Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<b>1</b>	<b>ROBERT H. MALOTT</b> <b>200 E. RANDOLPH DRIVE</b> <b>CHICAGO, IL 60601</b>	\$ <b>2,203,278.</b>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)



Employer identification number

36-3680666

[illegible]

Name of organization

Employer identification number

**MALOTT FAMILY FOUNDATION****36-3680666****Part III**

*Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ► \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**MALOTT FAMILY FOUNDATION**

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BERKSHIRE HATHAWAY INC	D	01/01/51	07/28/11
b	BERKSHIRE HATHAWAY INC	D	01/01/51	10/05/11
c	BERKSHIRE HATHAWAY INC	D	01/01/04	10/05/11
d	US TRUST (SHORT-TERM CAPITAL GAIN)			
e	US TRUST (LONG-TERM CAPITAL GAIN)			
f	GRAHAM GLOBAL INVESTMENT FUND II	P	12/31/10	01/31/11
g	GRAHAM GLOBAL INVESTMENT FUND II	P	01/31/11	03/31/11
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 563,933.		180.	563,753.
b 109,998.		36.	109,962.
c 439,991.		4.	439,987.
d			7,545.
e			46,634.
f 1,028,286.		1,000,000.	28,286.
g 1,054,862.		1,028,286.	26,576.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			563,753.
b			109,962.
c			439,987.
d			7,545.
e			46,634.
f			28,286.
g			26,576.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,222,743.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
U.S. TRUST CUSTODY	127.
U.S. TRUST FORWARD UNIPLAN	33.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	160.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
U.S. TRUST CUSTODY	21,328.	0.	21,328.
U.S. TRUST FORWARD UNIPLAN	4,285.	0.	4,285.
WINDOWSILL	3,124.	0.	3,124.
TOTAL TO FM 990-PF, PART I, LN 4	28,737.	0.	28,737.

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FORM 990-PF OTHER INCOME STATEMENT 3

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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
AEA MIDDLE MARKET DEBT FUND (I) LP	858,801.	858,801.	
CHURCHILL CAPITAL PARTNERS IV	<281.>	<281.>	
EQT MEZZANINE (CAP I) LP	109,117.	109,117.	
LEGACY VENTURE IV LLC	<33,985.>	<33,985.>	
MD SASS/ENTERPRISE PARTNERS	<1,010,550.>	<1,010,550.>	
SIGULER GUFF CAPITAL PARTNERS VLLI	4,430.	4,430.	
DE SHAW COMPOSITE	123,287.	123,287.	
DE SHAW OCULUS	1,837,745.	1,837,745.	
EQT EXPANSION II	32,799.	32,799.	
AEA MIDDLE MARKET DEBT FUND II LP	1,355.	1,355.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,922,718.	1,922,718.	

FORM 990-PF	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT EXPENSES	81,368.	81,368.		0.
OFFICE	10.	0.		0.
PAYROLL TAXES	7,769.	0.		0.
ADMINISTRATIVE EXPENSES	13,121.	0.		0.
TO FORM 990-PF, PG 1, LN 23	102,268.	81,368.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
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DESCRIPTION	AMOUNT
BOOK TO TAX DIFFERENCE FROM PASSTHROUGH K-1S	1,523,057.
TOTAL TO FORM 990-PF, PART III, LINE 5	1,523,057.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
US TRUST	3,651,338.	8,055,258.
BERKSHIRE HATHAWAY CORPORATE STOCK	1,807.	2,983,630.
GRAHAM GLOBAL FUND	1,054,862.	1,071,804.
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,708,007.	12,110,692.

FORM 990-PF	OTHER ASSETS	STATEMENT	7
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
AEA MIDDLE MARKET DEBT FUND	7,131,730.	8,103,894.	8,103,894.
CHURCHILL CAPITAL PARTNERS IV	129,790.	9,649.	9,649.
WINDOWSILL LOAN RECEIVABLE	124,000.	0.	0.
EQT EXPANSION CAPITAL II	1,287,086.	2,187,620.	2,187,620.
LEGACY VENTURE IV LLC	770,051.	1,003,265.	1,003,265.
MD SASS/ENTERPRISE PARTNERS	345,193.	39,742.	18,000.

**Standard Realized Capital Gains**

Account Number: 5828818

From: 01-01-2011 to 12-31-2011

Account Name: MALOTT FAM FDN FWD UNI CUST

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
<b>AMERICAN GREETINGS CORP</b>							
	10-25-2010	09-16-2011	(550.00)	(10,926.03)	11,471.79	545.76	0.00
<b>ATRION CORP</b>							
	01-27-2010	09-16-2011	(150.00)	(22,056.00)	31,102.44	0.00	9,046.44
	05-19-2010	09-16-2011	(20.00)	(2,973.60)	4,146.99	0.00	1,173.39
<b>Total ATRION CORP</b>			(170.00)	(25,029.60)	35,249.43	0.00	10,219.83
<b>AZZ INC</b>							
	01-29-2010	09-16-2011	(250.00)	(7,570.18)	11,075.29	0.00	3,505.11
<b>BANCO LATINOAMERICANO DE</b>							
	03-23-2010	09-16-2011	(300.00)	(4,491.75)	5,040.89	0.00	549.14
	03-26-2010	09-19-2011	(600.00)	(8,766.72)	9,905.33	0.00	1,138.61
<b>Total BANCO LATINOAMERICANO DE</b>			(900.00)	(13,258.47)	14,946.22	0.00	1,687.75
<b>BIO-REFERENCE LABS INC</b>							
	08-12-2010	09-16-2011	(500.00)	(9,810.00)	9,596.82	0.00	(213.18)
<b>BOLT TECH CORP</b>							
	01-26-2010	09-16-2011	(250.00)	(2,823.93)	3,065.94	0.00	242.01

**Standard Realized Capital Gains**

Account Number: 5828818

From: 01-01-2011 to 12-31-2011

Account Name: MALOTT FAM FDN FWD UNI CUST

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short-Term Gain/(Loss)	Long-Term Gain/(Loss)
	01-26-2010	09-19-2011	(450.00)	(5,083.06)	5,234.80	0.00	151.74
<b>Total BOLT TECH CORP</b>			(700.00)	(7,906.99)	8,300.74	0.00	393.75
<b>BUCKEYE TECHNOLOGIES INC</b>							
	05-19-2010	09-16-2011	(550.00)	(7,107.49)	14,859.23	0.00	7,751.74
<b>CERADYNE INC</b>							
	09-16-2010	07-01-2011	(200.00)	(4,809.38)	7,583.91	2,774.53	0.00
	10-25-2010	07-01-2011	(250.00)	(6,059.50)	9,479.89	3,420.39	0.00
	12-09-2010	07-01-2011	(220.00)	(6,569.20)	8,342.31	1,773.11	0.00
<b>Total CERADYNE INC</b>			(670.00)	(17,438.08)	25,406.11	7,968.03	0.00
<b>CERAGON NETWORKS LTD</b>							
	01-29-2010	09-16-2011	(450.00)	(5,292.00)	4,585.41	0.00	(706.59)
	01-29-2010	09-19-2011	(150.00)	(1,764.00)	1,504.38	0.00	(259.62)
	02-16-2010	09-19-2011	(750.00)	(8,928.15)	7,521.91	0.00	(1,406.24)
<b>Total CERAGON NETWORKS LTD</b>			(1,350.00)	(15,984.15)	13,611.70	0.00	(2,372.45)
<b>CEVA INC</b>							
	02-16-2010	09-16-2011	(340.00)	(3,947.40)	9,665.54	0.00	5,718.14
	03-23-2010	09-16-2011	(10.00)	(126.30)	284.28	0.00	157.98

**Standard Realized Capital Gains**

Account Number: 5828818

Account Name: MALOTT FAM FDN FWD UNI CUST

From: 01-01-2011 to 12-31-2011

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
	03-23-2010	09-19-2011	(320.00)	(4,041.60)	9,244.62	0.00	5,203.02
<b>Total CEVA INC</b>			(670.00)	(8,115.30)	19,194.44	0.00	11,079.14
<b>CHINAMED TECHNOLOGIES INC</b>							
	01-27-2010	07-01-2011	(550.00)	(7,326.00)	4,466.90	0.00	(2,859.10)
<b>CITY TELECOM H.K. LTD</b>							
	05-19-2010	07-01-2011	(875.00)	(11,267.20)	10,368.55	0.00	(898.65)
<b>DXP ENTERPRISES INC</b>							
	01-29-2010	09-16-2011	(300.00)	(3,939.00)	6,719.99	0.00	2,780.99
	01-29-2010	09-19-2011	(290.00)	(3,807.70)	6,266.17	0.00	2,458.47
<b>Total DXP ENTERPRISES INC</b>			(590.00)	(7,746.70)	12,986.16	0.00	5,239.46
<b>EMS TECHNOLOGIES INC</b>							
	01-28-2010	08-24-2011	(340.00)	(4,386.00)	11,220.00	0.00	6,834.00
<b>ENTERPRISE FINL SVCS CORP</b>							
	04-26-2010	09-16-2011	(300.00)	(3,241.47)	4,274.89	0.00	1,033.42
	04-26-2010	09-19-2011	(220.00)	(2,377.08)	3,015.28	0.00	638.20
	07-01-2011	09-19-2011	(450.00)	(6,110.51)	6,167.63	57.12	0.00



**Standard/Realized Capital Gains**

Account Number: 5828818

From: 01-01-2011 to 12-31-2011

Account Name: MALOTT FAM FDN FWD UNI CUST

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
<b>Total ENTERPRISE FINL SVCS CORP</b>			(970.00)	(11,729.06)	13,457.80	57.12	1,671.62
<b>EXAR CORP</b>							
	10-25-2010	09-16-2011	(500.00)	(3,096.94)	3,096.94	0.00	0.00
	10-24-2010	09-19-2011	(500.00)	(3,391.26)	3,060.74	(330.52)	0.00
	10-25-2010	09-19-2011	(350.00)	(2,358.90)	2,142.52	(216.38)	0.00
	09-15-2011	09-19-2011	(520.00)	(3,243.08)	3,183.17	(59.91)	0.00
<b>Total EXAR CORP</b>			(1,870.00)	(12,090.18)	11,483.37	(606.81)	0.00
<b>GLATFELTER</b>							
	01-28-2010	09-16-2011	(760.00)	(10,200.80)	10,249.70	0.00	48.90
	09-15-2011	09-16-2011	(140.00)	(1,895.64)	1,888.10	(7.54)	0.00
	09-15-2011	09-19-2011	(450.00)	(6,093.14)	5,997.39	(95.75)	0.00
<b>Total GLATFELTER</b>			(1,350.00)	(18,189.58)	18,135.19	(103.29)	48.90
<b>GORMAN RUPP CO</b>							
	01-26-2010	06-22-2011	(0.50)	(10.62)	15.81	0.00	5.19
	01-26-2010	09-16-2011	(312.00)	(6,629.38)	8,991.05	0.00	2,361.67
<b>Total GORMAN RUPP CO</b>			(312.50)	(6,640.00)	9,006.86	0.00	2,366.86

# U.S. TRUST

Bank of America Private Wealth Management

## Standard/Realized Capital Gains

Account Number: 5828818

Account Name: MALOTT FAM FDN FWD UNI CUST

From: 01-01-2011 to 12-31-2011

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
<b>ICUMED INC</b>							
	04-26-2010	09-16-2011	(300.00)	(10,476.00)	11,942.56	0.00	1,466.56
<b>INCREMAIL LTD</b>							
	09-16-2010	09-16-2011	(250.00)	(1,432.95)	1,321.97	(110.98)	0.00
	09-16-2010	09-19-2011	(600.00)	(3,439.08)	3,108.18	0.00	(330.90)
<b>Total INCREMAIL LTD</b>			(850.00)	(4,872.03)	4,430.15	(110.98)	(330.90)
<b>INVENTURE FOODS INC</b>							
	01-27-2010	09-16-2011	(1,000.00)	(2,330.00)	3,925.42	0.00	1,595.42
	01-29-2010	09-19-2011	(2,000.00)	(4,919.40)	7,723.05	0.00	2,803.65
	03-24-2010	09-19-2011	(600.00)	(1,756.50)	2,316.92	0.00	560.42
	03-26-2010	09-19-2011	(650.00)	(1,804.60)	2,509.99	0.00	705.39
<b>Total INVENTURE FOODS INC</b>			(4,250.00)	(10,810.50)	16,475.38	0.00	5,664.88
<b>KNOLOGY INC</b>							
	01-26-2010	09-16-2011	(500.00)	(5,610.00)	6,938.87	0.00	1,328.87
	01-28-2010	09-19-2011	(800.00)	(8,896.00)	11,012.27	0.00	2,116.27
	04-26-2010	09-19-2011	(230.00)	(3,133.41)	3,166.03	0.00	32.62
<b>Total KNOLOGY INC</b>			(1,530.00)	(17,639.41)	21,117.17	0.00	3,477.76

## Standard Realized Capital Gains

Account Number: 5828818

Account Name: MALOTT FAM FDN FWD UNI CUST

From: 01-01-2011 to 12-31-2011

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short-Term Gain/(Loss)	Long Term Gain/(Loss)
KRATOS DEFENSE & SECURITY	07-01-2011	09-16-2011	(1,100.00)	(13,365.00)	9,479.84	(3,885.16)	0.00
LAKELAND INDS INC	01-27-2010	09-16-2011	(600.00)	(5,087.10)	4,298.86	0.00	(788.24)
LTC PPTYS INC	04-26-2010	09-16-2011	(380.00)	(10,770.83)	10,419.25	0.00	(351.58)
	09-15-2011	09-16-2011	(60.00)	(1,653.60)	1,645.14	(8.46)	0.00
<b>Total LTC PPTYS INC</b>			(440.00)	(12,424.43)	12,064.39	(8.46)	(351.58)
LTX-CREDENCE CORP	01-29-2010	09-16-2011	(500.00)	(3,870.00)	2,954.84	0.00	(915.16)
	02-16-2010	09-16-2011	(525.00)	(4,045.55)	3,102.59	0.00	(942.96)
	07-01-2011	09-16-2011	(225.00)	(2,044.28)	1,329.68	(714.60)	0.00
	07-01-2011	09-19-2011	(475.00)	(4,315.71)	2,712.20	(1,603.51)	0.00
<b>Total LTX-CREDENCE CORP</b>			(1,725.00)	(14,275.54)	10,099.31	(2,318.11)	(1,858.12)
MAGNUM HUNTER RES CORP DEL	09-16-2010	09-16-2011	(2,500.00)	(10,341.50)	11,212.28	870.78	0.00
MATRIX SVC CO							

**Standardized Realized Capital Gains**

Account Number: 5828818

Account Name: MALOTT FAM FDN FWD UNI CUST

From: 01-01-2011 to 12-31-2011

Asset/Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
	01-28-2010	09-16-2011	(400.00)	(4,140.00)	3,824.33	0.00	(315.67)
	01-28-2010	09-19-2011	(100.00)	(1,035.00)	964.98	0.00	(70.02)
	01-29-2010	09-19-2011	(675.00)	(6,936.98)	6,513.63	0.00	(423.35)
<b>Total MATRIX SVC CO</b>			(1,175.00)	(12,111.98)	11,302.94	0.00	(809.04)
<b>MEDICAL ACTION INDS INC</b>							
	01-26-2010	09-16-2011	(350.00)	(5,058.83)	2,146.97	0.00	(2,911.86)
	01-26-2010	09-19-2011	(380.00)	(5,492.44)	2,256.13	0.00	(3,236.31)
	09-16-2010	09-19-2011	(370.00)	(3,317.49)	2,196.76	0.00	(1,120.73)
<b>Total MEDICAL ACTION INDS INC</b>			(1,100.00)	(13,868.76)	6,599.86	0.00	(7,268.90)
<b>MERGE HEALTHCARE INC</b>							
	08-04-2010	09-16-2011	(1,600.00)	(5,344.00)	11,384.10	0.00	6,040.10
	08-04-2010	09-19-2011	(700.00)	(2,338.00)	4,815.91	0.00	2,477.91
	09-16-2010	09-19-2011	(1,275.00)	(3,570.00)	8,771.83	0.00	5,201.83
	12-09-2010	09-19-2011	(1,525.00)	(5,968.24)	10,491.79	4,523.55	0.00
<b>Total MERGE HEALTHCARE INC</b>			(5,100.00)	(17,220.24)	35,463.63	4,523.55	13,719.84
<b>MET PRO CORP</b>							
	01-28-2010	09-16-2011	(550.00)	(5,300.63)	4,904.92	0.00	(395.71)
	01-28-2010	09-19-2011	(250.00)	(2,409.37)	2,216.36	0.00	(193.01)
	02-16-2010	09-19-2011	(400.00)	(3,864.00)	3,546.17	0.00	(317.83)
	03-23-2010	09-19-2011	(430.00)	(4,319.78)	3,812.14	0.00	(507.64)

**Standard/Realized Capital Gains**

Account Number: 5828818

From: 01-01-2011 to 12-31-2011

Account Name: MALOTT FAM FDN FWD UNI CUST

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
<b>Total MET PRO CORP</b>							
			(1,630.00)	(15,893.78)	14,479.59	0.00	(1,414.19)
<b>NEXSTAR BROADCASTING GROUP INC</b>							
	09-15-2011	09-16-2011	(600.00)	(3,791.52)	3,809.93	18.41	0.00
	09-15-2011	09-19-2011	(1,760.00)	(11,121.79)	11,075.47	(46.32)	0.00
<b>Total NEXSTAR BROADCASTING GROUP INC</b>							
			(2,360.00)	(14,913.31)	14,885.40	(27.91)	0.00
<b>ORCHIDS PAPER PRODS CO DEL</b>							
	01-26-2010	09-16-2011	(300.00)	(5,262.00)	3,808.43	0.00	(1,453.57)
	01-26-2010	09-19-2011	(275.00)	(4,823.50)	3,481.43	0.00	(1,342.07)
<b>Total ORCHIDS PAPER PRODS CO DEL</b>							
			(575.00)	(10,085.50)	7,289.86	0.00	(2,795.64)
<b>PLX TECHNOLOGY INC</b>							
	09-16-2010	07-01-2011	(1,300.00)	(4,784.00)	4,614.91	(169.09)	0.00
<b>ROBBINS &amp; MYERS INC</b>							
	01-27-2010	01-25-2011	(280.00)	(6,524.00)	10,949.69	4,425.69	0.00
	01-27-2010	01-25-2011	(280.00)	(268.24)	10,949.69	10,681.45	0.00
	01-27-2010	01-25-2011	280.00	268.24	(10,949.69)	(10,681.45)	0.00
	01-27-2010	01-27-2011	(0.18)	(0.17)	7.13	6.96	0.00
	01-27-2010	01-27-2011	(0.18)	(4.19)	7.13	2.94	0.00

# U.S. TRUST

Bank of America Private Wealth Management

## Standard Realized Capital Gains

Account Number: 5828818

Account Name: MALOTT FAM FDN FWD UNI CUST

From: 01-01-2011 to 12-31-2011

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
	01-27-2010	01-27-2011	0.18	0.17	(7.13)	(6.96)	0.00
	01-27-2010	09-16-2011	(420.18)	(10,783.92)	19,702.15	0.00	8,918.23
	01-27-2010	09-16-2011	(9.82)	(228.81)	460.46	0.00	231.65
<b>Total ROBBINS &amp; MYERS INC</b>			(710.18)	(17,540.92)	31,119.43	4,428.63	9,149.88
<b>RODMAN &amp; RENSHAW CAP GROUP INC N</b>							
	09-15-2011	09-16-2011	(1,000.00)	(1,316.10)	1,193.98	(122.12)	0.00
	09-15-2011	09-19-2011	(4,710.00)	(6,198.83)	5,550.63	(648.20)	0.00
<b>Total RODMAN &amp; RENSHAW CAP GROUP INC N</b>			(5,710.00)	(7,514.93)	6,744.61	(770.32)	0.00
<b>RRSAT GLOBAL COMMUNICATIONS</b>							
	01-29-2010	09-16-2011	(300.00)	(3,475.26)	1,653.48	0.00	(1,821.78)
	01-29-2010	09-19-2011	(300.00)	(3,475.26)	1,579.32	0.00	(1,895.94)
	02-16-2010	09-19-2011	(600.00)	(6,025.98)	3,158.64	0.00	(2,867.34)
<b>Total RRSAT GLOBAL COMMUNICATIONS</b>			(1,200.00)	(12,976.50)	6,391.44	0.00	(6,585.06)
<b>SCHULMAN A INC</b>							
	05-19-2010	09-16-2011	(400.00)	(9,253.28)	7,351.86	0.00	(1,901.42)
	05-19-2010	09-19-2011	(150.00)	(3,469.98)	2,704.45	0.00	(765.53)
	12-09-2010	09-19-2011	(200.00)	(4,562.24)	3,605.93	(956.31)	0.00
<b>Total SCHULMAN A INC</b>			(750.00)	(17,285.50)	13,662.24	(956.31)	(2,666.95)

**Standard Realized Capital Gains**

Account Number: 5828818

From: 01-01-2011 to 12-31-2011

Account Name: MALOTT FAM FDN FWD UNI CUST

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
<b>SHENGDATECH INC</b>							
	01-29-2010	09-19-2011	(2,125.00)	(12,290.58)	23.37	0.00	(12,267.21)
<b>SIFCO INDUSTRIES INC</b>							
	01-27-2010	09-16-2011	(250.00)	(3,164.50)	4,062.42	0.00	897.92
	01-27-2010	09-19-2011	(250.00)	(3,164.50)	4,050.67	0.00	886.17
	04-26-2010	09-19-2011	(750.00)	(11,110.73)	12,152.02	0.00	1,041.29
<b>Total SIFCO INDUSTRIES INC</b>			(1,250.00)	(17,439.73)	20,265.11	0.00	2,825.38
<b>SPECTRUM CONTROL INC/COM</b>							
	01-28-2010	06-02-2011	(825.00)	(8,374.58)	16,500.00	0.00	8,125.42
<b>SUN HYDRAULICS CORP</b>							
	01-26-2010	09-16-2011	(250.00)	(3,968.45)	6,260.88	0.00	2,292.43
	01-26-2010	09-19-2011	(260.00)	(4,127.19)	6,433.19	0.00	2,306.00
<b>Total SUN HYDRAULICS CORP</b>			(510.00)	(8,095.64)	12,694.07	0.00	4,598.43
<b>T-3 ENERGY SVCS INC</b>							
	01-27-2010	01-11-2011	(470.00)	(17,263.10)	20,999.60	3,736.50	0.00
	01-27-2010	01-11-2011	(470.00)	(17,303.01)	21,039.51	3,736.50	0.00
	01-27-2010	01-11-2011	470.00	17,263.10	(20,999.60)	(3,736.50)	0.00

**Standard Realized Capital Gains**

Account Number: 5828818

From: 01-01-2011 to 12-31-2011

Account Name: MALOTT FAM FDN FWD UNI CUST

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short Term Gain/(Loss)	Long Term Gain/(Loss)
<b>Total T-3 ENERGY SVCS INC</b>							
			(470.00)	(17,303.01)	21,039.51	3,736.50	0.00
<b>TELULAR CORP NEW</b>							
	07-01-2011	09-16-2011	(500.00)	(2,904.44)	2,904.44	0.00	0.00
	06-30-2011	09-19-2011	(500.00)	(3,198.61)	2,865.69	(332.92)	0.00
	07-01-2011	09-19-2011	(250.00)	(1,542.33)	1,432.85	(109.48)	0.00
	09-15-2011	09-19-2011	(2,150.00)	(12,979.12)	12,322.49	(656.63)	0.00
<b>Total TELULAR CORP NEW</b>							
			(3,400.00)	(20,624.50)	19,525.47	(1,099.03)	0.00
<b>U S ENERGY CORP WYO</b>							
	01-25-2011	09-16-2011	(1,000.00)	(2,669.95)	2,669.95	0.00	0.00
	07-01-2011	09-16-2011	(450.00)	(1,949.62)	1,201.47	(748.15)	0.00
	07-01-2011	09-16-2011	(50.00)	(133.50)	133.50	0.00	0.00
	01-24-2011	09-19-2011	(1,000.00)	(5,757.35)	2,590.05	(3,167.30)	0.00
	06-30-2011	09-19-2011	(50.00)	(221.70)	129.50	(92.20)	0.00
	07-01-2011	09-19-2011	(300.00)	(1,299.75)	777.02	(522.73)	0.00
<b>Total U S ENERGY CORP WYO</b>							
			(2,850.00)	(12,031.87)	7,501.49	(4,530.38)	0.00
<b>VASCULAR SOLUTIONS INC</b>							
	04-26-2010	09-16-2011	(400.00)	(3,988.00)	4,770.43	0.00	782.43
	04-26-2010	09-19-2011	(750.00)	(7,477.50)	8,803.56	0.00	1,326.06





Bank of America Private Wealth Management

## Standard Realized Capital Gains

Account Number: 5828818

Account Name: MALOTT FAM FDN FWD UNI CUST

From: 01-01-2011 to 12-31-2011

Asset Description	Acquisition Date	Date Sold	Units	Tax Cost	Sale Proceeds	Short-Term Gain/(Loss)	Long-Term Gain/(Loss)
Total VASCULAR SOLUTIONS INC			(1,150.00)	(11,465.50)	13,573.99	0.00	2,108.49
WONDER AUTO TECHNOLOGY INC	01-26-2010	09-16-2011	(1,350.00)	(13,836.56)	2,014.97	0.00	(11,821.59)
Total						7,544.52	46,634.00

Please do not rely on reports generated from this website to prepare tax returns. This information may change after audits or corrections by Bank of America. Portions of the estimated annual income may not reflect actual income received or income for tax reporting purposes. Income and cost-basis information should not be used to make investment decisions without first consulting your relationship manager, personal tax or legal advisor. This information is not intended as an offer to provide trust or investment services in states where Bank of America is not authorized to do so.

### Assets for which Bank of America Has Limited or No Responsibility

This statement may include assets that are not held and not managed by Bank of America. Assets that are not held and not managed by Bank of America are listed solely for the convenience of the client. Bank of America has no responsibility to manage, maintain, safekeep, monitor or value such assets. This statement may also include other assets for which Bank of America has limited or no management responsibility and/or no valuation responsibility pursuant to the terms of the governing document, or client agreements or directions.

### Oil, Gas and Mineral Interests

To holders of Oil, Gas and Mineral properties: Market value for Oil, Gas and Mineral properties represents an estimate only, calculated from the most recent 12 months net income from producing properties and includes nominal value applied to non-producing properties.

Run Date : 11-07-2012 03:55 PM, EST

# MALOTT FAMILY FOUNDATION CHARITABLE CONTRIBUTIONS

January through December 2011

Date	Name	Amount
12/09/2011	Lincoln Academy of Illinois	15,000.00
12/13/2011	Executive Service Corps	2,500.00
05/16/2011	Museum of Science & Industry	50,000.00
05/16/2011	Chicago Shakespeare Theater	25,000.00
05/16/2011	Field Museum	10,000.00
07/15/2011	Art Institute - Textile Society	7,500.00
07/15/2011	National Museum of Dentistry	5,000.00
07/15/2011	National Museum of Dentistry	100,000.00
07/20/2011	Woman's Board Of R.U.M.C.	2,500.00
08/21/2011	Chicago Botanic Garden	2,750.00
09/06/2011	Chicago Botanic Garden	89,770.00
12/12/2011	Joffrey Ballet of Chicago	1,000.00
12/13/2011	Museum of Science & Industry	5,000.00
01/10/2011	Chicago City Day School	1,500.00
05/04/2011	Scripps College	9,654.00
05/11/2011	Scripps College	51,785.00
05/16/2011	Lincoln Park Zoo	25,000.00
06/19/2011	Lincoln Park Zoo	4,750.00
07/12/2011	Chicago Foundation for Education	20,000.00
07/15/2011	American Enterprise Institute	375,000.00
07/15/2011	American Enterprise Institute	20,000.00
08/15/2011	Teach For America	50,000.00
09/12/2011	Illinois Math & Science Academy	14,304.00
09/29/2011	Ravinia	10,000.00
10/24/2011	Colorado College	2,250.00
10/24/2011	National Outdoor Leadership School	2,500.00
10/24/2011	Harvard Business School	2,000.00
10/24/2011	Phillips Brooks School	5,000.00
12/09/2011	Scripps College	35,000.00
12/13/2011	Kohl Children's Museum	10,000.00
07/15/2011	Aspen Center for Environmental Studies	30,000.00
07/15/2011	Aspen Center for Environmental Studies	100,000.00
10/24/2011	Lucile Packard Fdtn for Children's Health	250.00
10/24/2011	Fit Kids Foundation	250.00
12/09/2011	Rehabilitation Institute of Chicago	2,500.00
06/05/2011	Americares	25,000.00
12/09/2011	United Way	7,500.00
07/15/2011	University of Chicago Osteoporosis	100,000.00
12/09/2011	University of Chicago Osteoporosis	15,000.00
07/15/2011	Snowmass Chapel & Community Center	1,000.00
09/26/2011	Chicago Theological Seminary	2,500.00
12/12/2011	Congregational Church	2,500.00
10/24/2011	Sequoia YMCA	500.00
10/24/2011	My New Red Shoes	250.00
05/19/2011	Evan Armstrong North Memorial Fund	1,000.00
09/15/2011	National Geographic Society	500.00
03/08/2011	Music Institute Of Chicago	14,000.00
04/15/2011	Lyric Opera	25,000.00
05/10/2011	Adler Planetarium	1,000.00

# MALOTT FAMILY FOUNDATION CHARITABLE CONTRIBUTIONS

January through December 2011

Date	Name	Amount
05/19/2011	The Trinity Forum Society	250.00
05/27/2011	WFMT 98.7	500.00
06/21/2011	Art Institute - Sustaining	2,500.00
07/18/2011	Chicago Botanic Garden	35,000.00
08/04/2011	Music Institute Of Chicago	5,000.00
09/15/2011	Jordan Schnitzer Museum of Art	5,000.00
09/15/2011	National Tropical Botanical Garden	3,000.00
09/29/2011	Art Institute - Textile Society	0.00
09/30/2011	Chicago Art Institute	150.00
10/15/2011	ChiArts	10,000.00
11/11/2011	Chicago Botanic Garden	10,000.00
11/21/2011	National Tropical Botanical Garden	50,000.00
11/21/2011	Lyric Opera	49,798.00
12/14/2011	Chicago Symphony Orchestra	3,000.00
03/08/2011	Hoover Institution	50,000.00
04/05/2011	Holy Trinity High School	500.00
06/01/2011	Kansas University Endowment Association	28,500.00
09/15/2011	Kansas University Endowment Association	5,000.00
09/15/2011	Knight Library - Kesey Collection	5,000.00
09/15/2011	University of Chicago - Harris School	3,000.00
09/15/2011	University of Oregon- President's Circle	5,000.00
09/15/2011	WTTW Broadcasting Fund	3,000.00
10/12/2011	Kansas University Endowment Association	11,500.00
12/14/2011	Aspen Institute	10,000.00
12/14/2011	University of Chicago - Women's Board	1,000.00
09/15/2011	Horizon Hospice and Palliative Care	500.00
12/14/2011	Cure Alzheimer's Fund	5,000.00
12/14/2011	Foundation for Fighting Blindness	1,000.00
05/19/2011	ACTA	1,000.00
05/23/2011	Center for Ethics in Health Care	1,000.00
09/15/2011	LUMA	500.00
12/14/2011	Kenilworth Union Church	2,500.00
08/08/2011	Twin Cities RISE	500.00
11/03/2011	A Just Harvest	2,500.00
		<u>1,594,711.00</u>

**Application for Extension of Time to File an  
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on **e-file for Charities & Nonprofits**.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.  <b>MALOTT FAMILY FOUNDATION</b>	Employer identification number (EIN) or  <input checked="" type="checkbox"/> <b>36-3680666</b>
	Number, street, and room or suite no. If a P.O. box, see instructions. <b>200 EAST RANDOLPH DRIVE</b>	Social security number (SSN) <input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions <b>CHICAGO, IL 60601</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**ROBERT H. MALOTT**

- The books are in the care of ► **200 E. RANDOLPH - CHICAGO, IL 60601**

Telephone No. ► **312-861-6061**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2012**, to file the exempt organization return for the organization named above. The extension is for the organization's return for  
 ► ☒ calendar year **2011** or  
 ► ☐ tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	<b>2,832.</b>
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	<b>14,128.</b>
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	3c	\$	<b>0.</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2012)