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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, and ending

Name of foundation CARIDAD CORPORATION		A Employer identification number 36-3505813
Number and street (or P O box number if mail is not delivered to street address) 2630 W LAFAYETTE RD		B Telephone number (952) 470-3600
City or town, state, and ZIP code EXCELSIOR, MN 55331		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ Foreign organizations meeting the 85% test, 2. check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,148,339.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		3,449.	3,449.		STATEMENT 1
4 Dividends and interest from securities		19,553.	19,553.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss) <769.>					STATEMENT 3
6a Net gain or (loss) from sale of assets not on line 10		76,070.			
b Gross sales price for all assets on line 6a 1,138,479.					
7 Capital gain net income (from Part IV, line 2)			76,070.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales, less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		99,072.	99,072.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		769.	769.		0.
b Accounting fees					
c Other professional fees					
17 Interest		1,341.	1,341.		0.
18 Taxes STMT 5		638.	613.		25.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		41,855.	41,855.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		44,603.	44,578.		25.
25 Contributions, gifts, grants paid		92,850.			92,850.
26 Total expenses and disbursements. Add lines 24 and 25		137,453.	44,578.		92,875.
27 Subtract line 26 from line 12		<38,381.>			
a Excess of revenue over expenses and disbursements			54,494.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets				
1	Cash - non-interest-bearing	32,373.	55,254.	55,254.
2	Savings and temporary cash investments	514,499.	856,418.	856,418.
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons			
7	Other notes and loans receivable ▶ 50,325.			
	Less: allowance for doubtful accounts ▶ 0.	50,325.	50,325.	50,325.
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	344,859.	144,565.	135,037.
	c Investments - corporate bonds STMT 8	24,430.	24,430.	0.
11	Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
12	Investments - mortgage loans			
13	Investments - other STMT 9	38,792.	10,905.	51,305.
14	Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
15	Other assets (describe ▶ LYMAN DEBENTURE)	175,000.	0.	0.
16	Total assets (to be completed by all filers)	1,180,278.	1,141,897.	1,148,339.
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable			
22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	1,180,278.	1,141,897.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30	Total net assets or fund balances	1,180,278.	1,141,897.	
31	Total liabilities and net assets/fund balances	1,180,278.	1,141,897.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,180,278.
2	Enter amount from Part I, line 27a	2	<38,381.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,141,897.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,141,897.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 1,138,479.		1,062,409.	76,070.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			76,070.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	76,070.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	185,900.	883,615.	.210386
2009	137,800.	868,748.	.158619
2008	362,999.	1,826,788.	.198709
2007	738,468.	2,140,616.	.344979
2006	879,893.	2,855,313.	.308160

2 Total of line 1, column (d)	2	1.220853
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.244171
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	1,065,684.
5 Multiply line 4 by line 3	5	260,209.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	545.
7 Add lines 5 and 6	7	260,754.
8 Enter qualifying distributions from Part XII, line 4	8	92,875.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	1,090.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,090.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,090.
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	400.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	400.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	14.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	704.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2012 estimated tax	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13		
14	The books are in care of ► CARIDAD CORP. / THOMAS LOWE III Telephone no ► 952-471-0499 Located at ► 2630 W LAFAYETTE RD, EXCELSIOR, MN ZIP+4 ► 55331			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? 1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ► ☐ Yes ☒ No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? 4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THOMAS P. LOWE	PRESIDENT			
2630 W. LAFAYETTE RD				
EXCELSIOR, MN 55331	0.00	0.	0.	0.
MAGARET L. LOWE	VICE PRESIDENT			
2630 W. LAFAYETTE RD				
EXCELSIOR, MN 55331	0.00	0.	0.	0.
THOMAS P. LOWE III	TREASURER			
3295 CARMAN RD				
EXCELSIOR, MN 55331	20.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	291,609.
b	Average of monthly cash balances	1b	790,304.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,081,913.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,081,913.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	16,229.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,065,684.
6	Minimum investment return. Enter 5% of line 5	6	53,284.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	53,284.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	1,090.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,090.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	52,194.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	52,194.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	52,194.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	92,875.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	92,875.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	92,875.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				52,194.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006	744,621.			
b From 2007	638,776.			
c From 2008	271,781.			
d From 2009	94,756.			
e From 2010	141,719.			
f Total of lines 3a through e	1,891,653.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$	92,875.			
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				52,194.
e Remaining amount distributed out of corpus	40,681.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	1,932,334.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	744,621.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	1,187,713.			
10 Analysis of line 9				
a Excess from 2007	638,776.			
b Excess from 2008	271,781.			
c Excess from 2009	94,756.			
d Excess from 2010	141,719.			
e Excess from 2011	40,681.			

N/A

☐ 4942(j)(3) or ☐ 4942(j)(5)

(4) Gross investment income

[illegible]

NONE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 12				92,850.
Total			3a	92,850.
b Approved for future payment				
NONE				
Total			3b	0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 1604 BROOKDALE BUILDING	P	VARIOUS	08/15/11
b LITIGATION SETTLEMENTS	P	VARIOUS	12/31/11
c TD AMERITRADE STOCK SALES - SEE ATTACHED	P	VARIOUS	VARIOUS
d TD AMERITRADE STOCK SALES - SEE ATTACHED	P	VARIOUS	VARIOUS
e CANADIAN OIL SANDS LTD	P	09/28/07	03/21/11
f BERKSHIRE HATHAWAY INC CL B	P	05/01/02	03/21/11
g BAYTEX ENERGY TRUST UNITS	P	12/04/06	03/21/11
h ENERPLUS RESOURCES FUND	P	VARIOUS	10/28/11
i EATON VANCE TAX ADV	P	08/25/10	03/21/11
j FX ENERGY INC	P	06/06/05	VARIOUS
k PAN AMERICAN SILVER CORP	P	10/11/94	VARIOUS
l PENGROWTH ENERGY CORP	P	12/04/06	05/10/11
m PENN WEST PETROLEUM TRUST	P	VARIOUS	05/10/11
n REGENCY ENERGY PARTNERS LP	P	07/21/09	10/28/11
o CANADIAN DOLLARS	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 128,369.			128,369.
b 11.			11.
c 292,167.		256,454.	35,713.
d 438,250.		384,681.	53,569.
e 16,324.		16,773.	<449.>
f 42,049.		24,615.	17,434.
g 28,449.		9,926.	18,523.
h 28,186.		46,792.	<18,606.>
i 16,907.		19,950.	<3,043.>
j 35,481.		38,385.	<2,904.>
k 51,986.		25,545.	26,441.
l 13,181.		16,980.	<3,799.>
m 25,794.		31,195.	<5,401.>
n 21,030.		15,807.	5,223.
o 295.		306.	<11.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			128,369.
b			11.
c			35,713.
d			53,569.
e			<449.>
f			17,434.
g			18,523.
h			<18,606.>
i			<3,043.>
j			<2,904.>
k			26,441.
l			<3,799.>
m			<5,401.>
n			5,223.
o			<11.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3



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Realized Capital Gain/Loss Report

LOWE THOMAS 862302528

01/01/2011 -- 12/31/2011

Created: 2/18/2012 4:07 pm

Entire Period		
Short-term gain	246,295.77	369,443.93
Short-term loss	-210,583.26	-315,874.66
Short-term net	35,712.51	53,569.27
Short-term proceeds	292,166.82	438,250.47
Short-term cost	256,454.30	384,681.21
Section 1256 Gain/Loss (included above)		
Gain	615,739.70	-526,457.92
Short-term gain or loss(40%)	35,712.51	
Section 1256 sales	730,417.29	
Section 1256 cost	641,135.51	
		53,569.27

Description	Trans type	Qty	Open date	Adj cost date	Close date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
RUT Apr 16 2011 700.0 Put*	Buy to Close FIFO	500	03/10/2011	178.75	03/30/2011	2,531.25	941.00	1,411.50
RUT Apr 16 2011 700.0 Put*	Buy to Close FIFO	500	03/10/2011	178.75	03/30/2011	2,541.25	945.00	1,417.50
RUT Apr 16 2011 680.0 Put*	Sell to Close FIFO	500	03/10/2011	1,793.74	03/30/2011	91.26	-680.99	-1,021.49
RUT Apr 16 2011 680.0 Put*	Sell to Close FIFO	500	03/10/2011	1,788.74	03/30/2011	91.25	-679.00	-1,018.49
RUT Dec 17 2011 550.0 Put*	Expire Long	500	11/23/2011	880.06	12/19/2011		-352.02	-528.04
RUT Dec 17 2011 550.0 Put*	Expire Long	500	11/23/2011	875.06	12/19/2011		-350.02	-525.04
RUT Dec 17 2011 550.0 Put*	Expire Long	500	11/23/2011	885.06	12/19/2011		-354.02	-531.04
RUT Dec 17 2011 550.0 Put*	Expire Long	500	11/23/2011	950.06	12/19/2011		-380.02	-570.04
RUT Dec 17 2011 800.0 Call*	Expire Long	2,000	11/28/2011	820.24	12/19/2011		-328.10	-492.14
RUT Dec 17 2011 560.0 Put*	Expire Short	500	11/23/2011	0.00	12/19/2011	1,369.94	547.98	821.96
RUT Dec 17 2011 560.0 Put*	Expire Short	500	11/23/2011	0.00	12/19/2011	1,364.94	545.98	818.96
RUT Dec 17 2011 570.0 Put*	Expire Short	500	11/23/2011	0.00	12/19/2011	1,364.94	545.98	818.96
RUT Dec 17 2011 570.0 Put*	Expire Short	500	11/23/2011	0.00	12/19/2011	1,359.94	543.98	815.96
RUT Dec 17 2011 570.0 Put*	Expire Short	500	11/23/2011	0.00	12/19/2011	1,394.94	557.98	836.96
RUT Dec 17 2011 570.0 Put*	Expire Short	500	11/23/2011	0.00	12/19/2011	1,474.94	589.98	884.96
RUT Dec 17 2011 780.0 Call*	Expire Short	2,000	11/28/2011	0.00	12/19/2011	1,959.76	783.90	1,175.86
RUT Dec 17 2011 540.0 Put*	Expire Long	500	11/23/2011	885.06	12/19/2011		-354.02	-531.04
RUT Dec 17 2011 540.0 Put*	Expire Long	500	11/23/2011	880.06	12/19/2011		-352.02	-528.04
RUT Feb 19 2011 700.0 Put*	Expire Long	5,000	01/27/2011	6,837.50	02/22/2011	1,162.50	-2,735.00	-4,102.50
RUT Feb 19 2011 880.0 Call*	Expire Short	5,000	01/27/2011	0.00	02/22/2011		465.00	697.50
RUT Feb 19 2011 720.0 Put*	Expire Long	5,000	01/27/2011	637.50	02/22/2011		-255.00	-382.50
RUT Feb 19 2011 720.0 Put*	Expire Short	5,000	01/27/2011	0.00	02/22/2011	11,162.50	4,465.00	6,697.50
RUT Jul 16 2011 690.0 Put*	Expire Long	500	06/23/2011	873.74	07/18/2011		-349.50	-524.24
RUT Jul 16 2011 690.0 Put*	Expire Long	500	06/23/2011	838.74	07/18/2011		-335.50	-503.24
RUT Jul 16 2011 690.0 Put*	Expire Long	400	06/23/2011	692.99	07/18/2011		-277.20	-415.79
RUT Jul 16 2011 690.0 Put*	Expire Long	500	06/23/2011	853.74	07/18/2011		-341.50	-512.24
RUT Jul 16 2011 690.0 Put*	Expire Long	100	06/23/2011	189.74	07/18/2011		-75.90	-113.84
RUT Jul 16 2011 710.0 Put*	Expire Short	500	06/23/2011	0.00	07/18/2011	1,471.25	588.50	882.75
RUT Jul 16 2011 710.0 Put*	Expire Short	500	06/23/2011	0.00	07/18/2011	1,436.25	574.50	861.75
RUT Jul 16 2011 710.0 Put*	Expire Short	400	06/23/2011	0.00	07/18/2011	1,161.00	464.40	696.60
RUT Jul 16 2011 710.0 Put*	Expire Short	500	06/23/2011	0.00	07/18/2011	1,461.25	584.50	876.75
RUT Jul 16 2011 710.0 Put*	Expire Short	100	06/23/2011	0.00	07/18/2011	313.25	125.30	187.95
RUT May 21 2011 900.0 Call*	Expire Short	1,000	04/26/2011	0.00	05/23/2011	842.50	337.00	505.50
RUT May 21 2011 920.0 Call*	Expire Long	1,000	04/26/2011	267.49	05/23/2011		-107.00	-160.49
RUT May 21 2011 720.0 Put*	Sell to Close FIFO	500	04/12/2011	1,793.74	04/26/2011	236.26	-622.99	-934.49
RUT May 21 2011 720.0 Put*	Sell to Close FIFO	500	04/12/2011	1,793.74	04/26/2011	236.25	-623.00	-934.49
RUT May 21 2011 740.0 Put*	Buy to Close FIFO	500	04/12/2011	373.75	04/26/2011	2,616.25	897.00	1,345.50
RUT May 21 2011 740.0 Put*	Buy to Close FIFO	500	04/12/2011	373.75	04/26/2011	2,611.25	895.00	1,342.50
RUT May 21 2011 770.0 Put*	Expire Long	1,000	05/04/2011	1,517.49	05/23/2011		-607.00	-910.49
RUT May 21 2011 770.0 Put*	Expire Long	1,000	05/04/2011	1,647.49	05/23/2011		-659.00	-988.49
RUT May 21 2011 790.0 Put*	Expire Short	1,000	05/04/2011	0.00	05/23/2011	2,732.50	1,093.00	1,639.50
RUT May 21 2011 790.0 Put*	Expire Short	1,000	05/04/2011	0.00	05/23/2011	2,922.50	1,169.00	1,753.50
RUT Oct 22 2011 490.0 Put*	Expire Long	500	10/03/2011	1,313.81	10/24/2011		-525.52	-788.29
RUT Oct 22 2011 490.0 Put*	Expire Long	100	10/03/2011	288.77	10/24/2011		-115.51	-173.26
RUT Oct 22 2011 500.0 Put*	Expire Long	500	09/22/2011	2,463.81	10/24/2011		-985.52	-1,478.29
RUT Oct 22 2011 500.0 Put*	Expire Long	500	09/22/2011	2,508.81	10/24/2011		-1,003.52	-1,505.29
RUT Oct 22 2011 500.0 Put*	Expire Long	500	10/03/2011	1,673.81	10/24/2011		-669.52	-1,004.29
RUT Oct 22 2011 500.0 Put*	Expire Long	500	10/03/2011	1,663.81	10/24/2011		-665.52	-998.29
RUT Oct 22 2011 510.0 Put*	Expire Short	500	10/03/2011	0.00	10/24/2011	2,096.20	838.48	1,257.72
RUT Oct 22 2011 510.0 Put*	Expire Short	100	10/03/2011	0.00	10/24/2011	447.24	178.90	268.34
RUT Oct 22 2011 520.0 Put*	Expire Short	500	09/22/2011	0.00	10/24/2011	3,321.20	1,328.48	1,992.72
RUT Oct 22 2011 520.0 Put*	Expire Short	500	09/22/2011	0.00	10/24/2011	3,366.20	1,346.48	2,019.72
RUT Oct 22 2011 520.0 Put*	Expire Short	500	10/03/2011	0.00	10/24/2011	2,531.20	1,012.48	1,518.72
RUT Oct 22 2011 520.0 Put*	Expire Short	500	10/03/2011	0.00	10/24/2011	2,546.20	1,018.48	1,527.72
RUT Oct 22 2011 530.0 Put*	Expire Long	500	09/21/2011	1,763.75	10/24/2011		-705.50	-1,058.25

Description	Trans type	Open Qty date	Close Qty date	Adj cost	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
RUT Oct 22 2011 530.0 Put*	Expire Long	500 09/21/2011	1,798.75 10/24/2011			-719.50	-1,079.25
RUT Oct 22 2011 530.0 Put*	Expire Long	100 09/21/2011	389.75 10/24/2011			-155.90	-233.85
RUT Oct 22 2011 530.0 Put*	Expire Long	500 09/21/2011	1,923.75 10/24/2011			-769.50	-1,154.25
RUT Oct 22 2011 530.0 Put*	Expire Long	500 09/21/2011	1,933.75 10/24/2011			-773.50	-1,160.25
RUT Oct 22 2011 530.0 Put*	Expire Long	400 09/21/2011	1,531.00 10/24/2011			-612.40	-918.60
RUT Oct 22 2011 540.0 Put*	Expire Long	500 09/21/2011	1,853.75 10/24/2011			-741.50	-1,112.25
RUT Oct 22 2011 540.0 Put*	Expire Long	500 09/21/2011	1,883.75 10/24/2011			-753.50	-1,130.25
RUT Oct 22 2011 540.0 Put*	Expire Long	500 09/21/2011	1,883.75 10/24/2011			-753.50	-1,130.25
RUT Oct 22 2011 540.0 Put*	Expire Long	500 09/21/2011	1,878.75 10/24/2011			-751.50	-1,127.25
RUT Oct 22 2011 540.0 Put*	Expire Long	500 09/21/2011	1,948.75 10/24/2011			-779.50	-1,169.25
RUT Oct 22 2011 540.0 Put*	Expire Long	500 09/21/2011	1,938.75 10/24/2011			-775.50	-1,163.25
RUT Oct 22 2011 550.0 Put*	Expire Short	500 09/21/2011	0.00 10/24/2011		2,521.26	1,008.50	1,512.76
RUT Oct 22 2011 550.0 Put*	Expire Short	500 09/21/2011	0.00 10/24/2011		2,556.26	1,022.50	1,533.76
RUT Oct 22 2011 550.0 Put*	Expire Short	100 09/21/2011	0.00 10/24/2011		523.26	209.30	313.96
RUT Oct 22 2011 550.0 Put*	Expire Short	500 09/21/2011	0.00 10/24/2011		2,681.26	1,072.50	1,608.76
RUT Oct 22 2011 550.0 Put*	Expire Short	500 09/21/2011	0.00 10/24/2011		2,666.26	1,066.50	1,599.76
RUT Oct 22 2011 550.0 Put*	Expire Short	400 09/21/2011	0.00 10/24/2011		2,155.01	862.00	1,293.01
RUT Oct 22 2011 560.0 Put*	Expire Short	500 09/21/2011	0.00 10/24/2011		2,636.26	1,054.50	1,581.76
RUT Oct 22 2011 560.0 Put*	Expire Short	500 09/21/2011	0.00 10/24/2011		2,656.26	1,062.50	1,593.76
RUT Oct 22 2011 560.0 Put*	Expire Short	500 09/21/2011	0.00 10/24/2011		2,656.26	1,062.50	1,593.76
RUT Oct 22 2011 560.0 Put*	Expire Short	500 09/21/2011	0.00 10/24/2011		2,661.26	1,064.50	1,596.76
RUT Oct 22 2011 560.0 Put*	Expire Short	500 09/21/2011	0.00 10/24/2011		2,756.26	1,102.50	1,653.76
RUT Oct 22 2011 560.0 Put*	Expire Short	500 09/21/2011	0.00 10/24/2011		2,771.26	1,108.50	1,662.76
RUT Oct 22 2011 750.0 Call*	Expire Short	500 10/06/2011	0.00 10/24/2011		636.20	254.48	381.72
RUT Oct 22 2011 750.0 Call*	Expire Short	500 10/06/2011	0.00 10/24/2011		686.20	274.48	411.72
RUT Oct 22 2011 750.0 Call*	Expire Short	500 10/06/2011	0.00 10/24/2011		686.20	274.48	411.72
RUT Oct 22 2011 750.0 Call*	Expire Short	500 10/06/2011	0.00 10/24/2011		686.20	274.48	411.72
RUT Oct 22 2011 750.0 Call*	Expire Short	500 10/06/2011	0.00 10/24/2011		666.20	266.48	399.72
RUT Oct 22 2011 770.0 Call*	Expire Long	500 10/06/2011	288.81 10/24/2011			-115.52	-173.29
RUT Oct 22 2011 770.0 Call*	Expire Long	500 10/06/2011	328.81 10/24/2011			-131.52	-197.29
RUT Oct 22 2011 770.0 Call*	Expire Long	500 10/06/2011	328.81 10/24/2011			-131.52	-197.29
RUT Oct 22 2011 770.0 Call*	Expire Long	500 10/06/2011	328.81 10/24/2011			-131.52	-197.29
RUT Oct 22 2011 770.0 Call*	Expire Long	500 10/06/2011	308.81 10/24/2011			-123.52	-185.29
SPX Apr 16 2011 1200.0 Put*	Expire Short	500 03/21/2011	0.00 04/18/2011		2,721.25	1,088.50	1,632.75
SPX Apr 16 2011 1200.0 Put*	Expire Short	500 03/21/2011	0.00 04/18/2011		2,721.25	1,088.50	1,632.75
SPX Apr 16 2011 1200.0 Put*	Expire Short	500 03/21/2011	0.00 04/18/2011		2,746.25	1,098.50	1,647.75
SPX Apr 16 2011 1200.0 Put*	Expire Short	500 03/21/2011	0.00 04/18/2011		2,721.25	1,088.50	1,632.75
SPX Apr 16 2011 1200.0 Put*	Expire Short	500 03/21/2011	0.00 04/18/2011		2,721.25	1,088.50	1,632.75
SPX Apr 16 2011 1180.0 Put*	Expire Long	500 03/21/2011	2,053.75 04/18/2011			-821.50	-1,232.25
SPX Apr 16 2011 1180.0 Put*	Expire Long	500 03/21/2011	2,058.75 04/18/2011			-823.50	-1,235.25
SPX Apr 16 2011 1180.0 Put*	Expire Long	500 03/21/2011	2,078.75 04/18/2011			-831.50	-1,247.25
SPX Apr 16 2011 1180.0 Put*	Expire Long	500 03/21/2011	2,053.75 04/18/2011			-821.50	-1,232.25
SPX Apr 16 2011 1180.0 Put*	Expire Long	500 03/21/2011	2,053.75 04/18/2011			-821.50	-1,232.25
SPX Apr 16 2011 1390.0 Call*	Expire Long	500 03/21/2011	128.75 04/18/2011			-51.50	-77.25
SPX Apr 16 2011 1390.0 Call*	Expire Long	500 03/21/2011	123.75 04/18/2011			-49.50	-74.25
SPX Apr 16 2011 1390.0 Call*	Expire Long	500 03/21/2011	103.75 04/18/2011			-41.50	-62.25
SPX Apr 16 2011 1390.0 Call*	Expire Long	500 03/21/2011	103.75 04/18/2011			-41.50	-62.25
SPX Apr 16 2011 1390.0 Call*	Expire Long	500 03/21/2011	103.75 04/18/2011			-41.50	-62.25
SPX Apr 16 2011 1390.0 Call*	Expire Long	500 03/29/2011	133.75 04/18/2011			-53.50	-80.25
SPX Apr 16 2011 1390.0 Call*	Expire Long	500 03/29/2011	128.75 04/18/2011			-51.50	-77.25
SPX Apr 16 2011 1370.0 Call*	Expire Short	500 03/21/2011	128.75 04/18/2011		286.26	114.50	171.76
SPX Apr 16 2011 1370.0 Call*	Expire Short	500 03/21/2011	0.00 04/18/2011		286.26	114.50	171.76
SPX Apr 16 2011 1370.0 Call*	Expire Short	500 03/21/2011	0.00 04/18/2011		286.26	114.50	171.76

Description	Trans type	Open Qty date	Adj cost date	Close	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
SPX Apr 16 2011 1370.0 Call*	Expire Short	500 03/21/2011	0.00 04/18/2011		286.26	114.50	171.76
SPX Apr 16 2011 1370.0 Call*	Expire Short	500 03/21/2011	0.00 04/18/2011		286.26	114.50	171.76
SPX Apr 16 2011 1370.0 Call*	Expire Short	500 03/29/2011	0.00 04/18/2011		376.26	150.50	225.76
SPX Apr 16 2011 1370.0 Call*	Expire Short	500 03/29/2011	0.00 04/18/2011		376.26	150.50	225.76
SPX Apr 16 2011 1370.0 Call*	Expire Short	500 03/29/2011	0.00 04/18/2011		386.26	154.50	231.76
SPX Apr 16 2011 1370.0 Call*	Expire Short	500 03/29/2011	0.00 04/18/2011		386.26	154.50	231.76
SPX Apr 16 2011 1210.0 Put*	Expire Long	500 03/29/2011	913.75 04/18/2011			-365.50	-548.25
SPX Apr 16 2011 1210.0 Put*	Expire Long	500 03/29/2011	913.75 04/18/2011			-365.50	-548.25
SPX Apr 16 2011 1210.0 Put*	Expire Long	500 03/29/2011	903.75 04/18/2011			-361.50	-542.25
SPX Apr 16 2011 1210.0 Put*	Expire Long	500 03/29/2011	903.75 04/18/2011			-361.50	-542.25
SPX Apr 16 2011 1230.0 Put*	Expire Short	500 03/29/2011	0.00 04/18/2011		1,321.25	528.50	792.75
SPX Apr 16 2011 1230.0 Put*	Expire Short	500 03/29/2011	0.00 04/18/2011		1,321.25	528.50	792.75
SPX Apr 16 2011 1230.0 Put*	Expire Short	500 03/29/2011	0.00 04/18/2011		1,321.25	528.50	792.75
SPX Apr 16 2011 1230.0 Put*	Expire Short	500 03/29/2011	0.00 04/18/2011		1,321.25	528.50	792.75
SPX Aug 20 2011 1100.0 Put*	Expire Long	500 08/04/2011	1,653.75 08/22/2011			-661.50	-992.25
SPX Aug 20 2011 1100.0 Put*	Expire Long	500 08/04/2011	1,628.75 08/22/2011			-651.50	-977.25
SPX Aug 20 2011 1100.0 Put*	Expire Long	500 08/04/2011	1,878.75 08/22/2011			-751.50	-1,127.25
SPX Aug 20 2011 1100.0 Put*	Expire Long	500 08/04/2011	1,893.75 08/22/2011			-757.50	-1,136.25
SPX Aug 20 2011 1150.0 Put*	Buy to Close FIFO	500 08/02/2011	738.74 08/17/2011		1,836.26	439.01	658.51
SPX Aug 20 2011 1150.0 Put*	Buy to Close FIFO	500 08/02/2011	813.74 08/17/2011		1,821.26	403.01	604.51
SPX Aug 20 2011 1150.0 Put*	Buy to Close FIFO	500 08/02/2011	813.74 08/17/2011		2,140.01	530.51	795.76
SPX Aug 20 2011 1150.0 Put*	Buy to Close FIFO	300 08/02/2011	488.24 08/17/2011		1,284.00	318.30	477.46
SPX Aug 20 2011 1150.0 Put*	Buy to Close FIFO	200 08/02/2011	325.50 08/17/2011		825.40	199.96	299.94
SPX Aug 20 2011 1150.0 Put*	Buy to Close FIFO	300 08/02/2011	473.24 08/17/2011		1,238.11	305.95	458.92
SPX Aug 20 2011 1150.0 Put*	Buy to Close FIFO	200 08/04/2011	315.50 08/17/2011		1,606.51	516.40	774.61
SPX Aug 20 2011 1050.0 Put*	Expire Long	1,000 08/05/2011	7,407.50 08/22/2011			-2,963.00	-4,444.50
SPX Aug 20 2011 1140.0 Put*	Sell to Close FIFO	500 08/02/2011	1,378.75 08/05/2011		9,596.25	3,287.00	4,930.50
SPX Aug 20 2011 1140.0 Put*	Sell to Close FIFO	500 08/02/2011	1,428.75 08/05/2011		9,196.25	3,107.00	4,860.50
SPX Aug 20 2011 1140.0 Put*	Sell to Close FIFO	500 08/02/2011	1,478.75 08/05/2011		8,836.25	2,943.00	4,414.50
SPX Aug 20 2011 1140.0 Put*	Sell to Close FIFO	500 08/02/2011	1,628.75 08/05/2011		8,341.25	2,685.00	4,027.50
SPX Aug 20 2011 1160.0 Put*	Buy to Close FIFO	500 08/02/2011	12,413.74 08/05/2011		1,911.26	-4,200.99	-6,301.49
SPX Aug 20 2011 1160.0 Put*	Buy to Close FIFO	500 08/02/2011	11,863.74 08/05/2011		1,986.26	-3,950.99	-5,926.49
SPX Aug 20 2011 1160.0 Put*	Buy to Close FIFO	500 08/02/2011	11,383.74 08/05/2011		2,061.26	-3,728.99	-5,593.49
SPX Aug 20 2011 1160.0 Put*	Buy to Close FIFO	500 08/02/2011	10,823.74 08/05/2011		2,236.26	-3,434.99	-5,152.49
SPX Aug 20 2011 1120.0 Put*	Expire Short	500 08/04/2011	0.00 08/22/2011		2,286.26	914.50	1,371.76
SPX Aug 20 2011 1120.0 Put*	Expire Short	500 08/04/2011	0.00 08/22/2011		2,261.26	904.50	1,356.76
SPX Aug 20 2011 1120.0 Put*	Expire Short	500 08/04/2011	0.00 08/22/2011		2,561.26	1,024.50	1,536.76
SPX Aug 20 2011 1120.0 Put*	Expire Short	500 08/04/2011	0.00 08/22/2011		2,576.26	1,030.50	1,545.76
SPX Aug 20 2011 1070.0 Put*	Expire Short	1,000 08/05/2011	0.00 08/22/2011		9,282.51	3,713.00	5,569.51
SPX Aug 20 2011 1090.0 Put*	Expire Long	500 08/04/2011	1,928.75 08/22/2011			-771.50	-1,157.25
SPX Aug 20 2011 1090.0 Put*	Expire Long	500 08/04/2011	1,903.75 08/22/2011			-761.50	-1,142.25
SPX Aug 20 2011 1090.0 Put*	Expire Long	500 08/04/2011	1,853.75 08/22/2011			-741.50	-1,112.25
SPX Aug 20 2011 1090.0 Put*	Expire Long	500 08/04/2011	1,928.75 08/22/2011			-771.50	-1,157.25
SPX Aug 20 2011 1110.0 Put*	Expire Short	500 08/04/2011	0.00 08/22/2011		2,561.26	1,024.50	1,536.76
SPX Aug 20 2011 1110.0 Put*	Expire Short	500 08/04/2011	0.00 08/22/2011		2,586.26	1,034.50	1,551.76
SPX Aug 20 2011 1110.0 Put*	Expire Short	500 08/04/2011	0.00 08/22/2011		2,536.26	1,014.50	1,521.76
SPX Aug 20 2011 1110.0 Put*	Expire Short	500 08/04/2011	0.00 08/22/2011		2,636.26	1,054.50	1,581.76
SPX Aug 20 2011 1130.0 Put*	Sell to Close FIFO	500 08/02/2011	1,328.75 08/17/2011		296.25	-413.00	-619.50
SPX Aug 20 2011 1130.0 Put*	Sell to Close FIFO	500 08/02/2011	1,313.75 08/17/2011		321.25	-397.00	-595.50
SPX Aug 20 2011 1130.0 Put*	Sell to Close FIFO	500 08/02/2011	1,553.75 08/17/2011		321.25	-493.00	-739.50
SPX Aug 20 2011 1130.0 Put*	Sell to Close FIFO	300 08/02/2011	932.25 08/17/2011		192.75	-295.80	-443.70
SPX Aug 20 2011 1130.0 Put*	Sell to Close FIFO	200 08/02/2011	590.90 08/17/2011		128.50	-184.96	-277.44
SPX Aug 20 2011 1130.0 Put*	Sell to Close FIFO	300 08/02/2011	886.35 08/17/2011		177.75	-283.44	-425.16
SPX Aug 20 2011 1130.0 Put*	Sell to Close FIFO	200 08/04/2011	1,169.50 08/17/2011		118.50	-420.40	-630.60
SPX Aug 20 2011 1115.0 Put*	Sell to Close FIFO	200 08/04/2011	552.25 08/18/2011		468.50	-33.50	-50.25

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
SPX Aug 20 2011 1115.0 Put*	Sell to Close FIFO	300 08/04/2011	810.00 08/18/2011	702.75	-42.90	-64.35
SPX Aug 20 2011 1115.0 Put*	Sell to Close FIFO	500 08/05/2011	7,103.75 08/18/2011	1,171.25	-2,373.00	-3,559.50
SPX Aug 20 2011 1115.0 Put*	Sell to Close FIFO	500 08/05/2011	6,803.75 08/18/2011	1,171.25	-2,253.00	-3,379.50
SPX Aug 20 2011 1115.0 Put*	Sell to Close FIFO	500 08/05/2011	6,513.75 08/18/2011	1,171.25	-2,137.00	-3,205.50
SPX Aug 20 2011 1115.0 Put*	Sell to Close FIFO	500 08/05/2011	6,108.75 08/18/2011	1,096.25	-2,005.00	-3,007.50
SPX Aug 20 2011 1115.0 Put*	Sell to Close FIFO	500 08/17/2011	303.75 08/18/2011	1,096.25	317.00	475.50
SPX Aug 20 2011 1115.0 Put*	Sell to Close FIFO	500 08/17/2011	303.75 08/18/2011	1,096.25	317.00	475.50
SPX Aug 20 2011 1115.0 Put*	Sell to Close FIFO	500 08/17/2011	303.75 08/18/2011	1,096.25	317.00	475.50
SPX Aug 20 2011 1115.0 Put*	Sell to Close FIFO	500 08/17/2011	303.75 08/18/2011	946.25	257.00	385.50
SPX Aug 20 2011 1115.0 Put*	Sell to Close FIFO	500 08/17/2011	303.75 08/18/2011	946.25	257.00	385.50
SPX Aug 20 2011 1115.0 Put*	Buy to Close FIFO	200 08/04/2011	1,351.50 08/18/2011	767.76	-233.50	-350.24
SPX Aug 20 2011 1135.0 Put*	Buy to Close FIFO	300 08/04/2011	2,027.25 08/18/2011	1,155.00	-348.90	-523.35
SPX Aug 20 2011 1135.0 Put*	Buy to Close FIFO	500 08/05/2011	3,378.75 08/18/2011	9,096.25	2,287.00	3,430.50
SPX Aug 20 2011 1135.0 Put*	Buy to Close FIFO	500 08/05/2011	3,378.75 08/18/2011	8,696.25	2,127.00	3,190.50
SPX Aug 20 2011 1135.0 Put*	Buy to Close FIFO	500 08/05/2011	3,378.75 08/18/2011	8,286.25	1,963.00	2,944.50
SPX Aug 20 2011 1135.0 Put*	Buy to Close FIFO	500 08/05/2011	3,603.75 08/18/2011	7,841.25	1,695.00	2,542.50
SPX Aug 20 2011 1135.0 Put*	Buy to Close FIFO	500 08/17/2011	3,603.75 08/18/2011	496.25	-1,243.00	-1,864.50
SPX Aug 20 2011 1135.0 Put*	Buy to Close FIFO	500 08/17/2011	3,603.75 08/18/2011	521.25	-1,233.00	-1,849.50
SPX Aug 20 2011 1135.0 Put*	Buy to Close FIFO	500 08/17/2011	3,603.75 08/18/2011	546.25	-1,223.00	-1,834.50
SPX Aug 20 2011 1135.0 Put*	Buy to Close FIFO	500 08/17/2011	3,003.75 08/18/2011	521.25	-993.00	-1,489.50
SPX Aug 20 2011 1135.0 Put*	Buy to Close FIFO	500 08/17/2011	3,003.75 08/18/2011	521.25	-993.00	-1,489.50
SPX Dec 17 2011 1025.0 Put*	Expire Long	500 11/16/2011	2,175.06 12/19/2011		-870.02	-1,305.04
SPX Dec 17 2011 1025.0 Put*	Expire Long	200 11/16/2011	910.03 12/19/2011		-364.01	-546.02
SPX Dec 17 2011 1025.0 Put*	Expire Long	300 11/16/2011	1,305.04 12/19/2011		-522.02	-783.02
SPX Dec 17 2011 1025.0 Put*	Expire Long	300 11/16/2011	1,389.04 12/19/2011		-555.62	-833.42
SPX Dec 17 2011 1025.0 Put*	Expire Long	500 11/16/2011	2,300.06 12/19/2011		-920.02	-1,380.04
SPX Dec 17 2011 1025.0 Put*	Expire Long	200 11/16/2011	960.03 12/19/2011		-384.01	-576.02
SPX Dec 17 2011 1050.0 Put*	Sell to Close FIFO	500 11/09/2011	3,543.81 11/25/2011	3,919.94	150.45	225.68
SPX Dec 17 2011 1050.0 Put*	Sell to Close FIFO	500 11/09/2011	3,543.81 11/25/2011	3,959.94	166.45	249.68
SPX Dec 17 2011 1050.0 Put*	Sell to Close FIFO	500 11/09/2011	3,543.81 11/25/2011	3,959.94	166.45	249.68
SPX Dec 17 2011 1050.0 Put*	Sell to Close FIFO	500 11/09/2011	3,543.81 11/25/2011	3,959.94	166.45	249.68
SPX Dec 17 2011 1400.0 Call*	Sell to Close FIFO	500 11/08/2011	553.81 11/21/2011	74.94	-191.55	-287.32
SPX Dec 17 2011 1400.0 Call*	Sell to Close FIFO	500 11/08/2011	553.81 11/21/2011	74.94	-191.55	-287.32
SPX Dec 17 2011 1400.0 Call*	Sell to Close FIFO	500 11/08/2011	553.81 11/21/2011	74.94	-191.55	-287.32
SPX Dec 17 2011 1400.0 Call*	Sell to Close FIFO	500 11/07/2011	240.06 11/25/2011	1,101.20	344.46	516.68
SPX Dec 17 2011 1375.0 Call*	Buy to Close FIFO	500 11/07/2011	240.06 11/25/2011	1,101.20	344.46	516.68
SPX Dec 17 2011 1375.0 Call*	Buy to Close FIFO	500 11/07/2011	240.06 11/25/2011	1,101.20	344.46	516.68
SPX Dec 17 2011 1375.0 Call*	Buy to Close FIFO	500 11/07/2011	240.06 11/25/2011	1,076.20	334.46	501.68
SPX Dec 17 2011 1030.0 Put*	Expire Short	500 11/17/2011	0.00 12/19/2011	3,409.94	1,363.98	2,045.96
SPX Dec 17 2011 1030.0 Put*	Expire Short	500 11/17/2011	0.00 12/19/2011	3,384.94	1,353.98	2,030.96
SPX Dec 17 2011 1030.0 Put*	Expire Short	500 11/17/2011	0.00 12/19/2011	3,574.94	1,429.98	2,144.96
SPX Dec 17 2011 1030.0 Put*	Expire Short	500 11/17/2011	0.00 12/19/2011	3,359.94	1,343.98	2,015.96
SPX Dec 17 2011 1030.0 Put*	Expire Short	500 11/25/2011	0.00 12/19/2011	2,944.94	1,177.98	1,766.96
SPX Dec 17 2011 1030.0 Put*	Expire Short	500 11/25/2011	0.00 12/19/2011	2,939.94	1,175.98	1,763.96
SPX Dec 17 2011 1030.0 Put*	Expire Short	500 11/25/2011	0.00 12/19/2011	2,939.94	1,175.98	1,763.96
SPX Dec 17 2011 1030.0 Put*	Expire Short	500 11/25/2011	0.00 12/19/2011	2,939.94	1,175.98	1,763.96
SPX Dec 17 2011 1395.0 Call*	Sell to Close FIFO	500 11/07/2011	618.81 11/25/2011	174.94	-177.55	-266.32
SPX Dec 17 2011 1395.0 Call*	Sell to Close FIFO	500 11/07/2011	618.81 11/25/2011	174.94	-177.55	-266.32
SPX Dec 17 2011 1395.0 Call*	Sell to Close FIFO	500 11/07/2011	593.81 11/25/2011	174.94	-167.55	-251.32
SPX Dec 17 2011 1310.0 Call*	Expire Short	500 11/30/2011	0.00 12/19/2011	584.94	233.98	350.96
SPX Dec 17 2011 1310.0 Call*	Expire Short	500 11/30/2011	0.00 12/19/2011	559.94	223.98	335.96
SPX Dec 17 2011 1310.0 Call*	Expire Short	500 11/30/2011	0.00 12/19/2011	559.94	223.98	335.96
SPX Dec 17 2011 1310.0 Call*	Expire Short	500 11/30/2011	0.00 12/19/2011	709.94	283.98	425.96

Description	Trans type	Qty	Open date	Close date	Adj cost	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
SPX Dec 17 2011 1380.0 Call*	Buy to Close FIFO	500	11/08/2011	140.06 11/21/2011		1,136.20	398.46	597.68
SPX Dec 17 2011 1380.0 Call*	Buy to Close FIFO	500	11/08/2011	140.06 11/21/2011		1,136.20	398.46	597.68
SPX Dec 17 2011 1380.0 Call*	Buy to Close FIFO	500	11/08/2011	140.06 11/21/2011		1,136.20	398.46	597.68
SPX Dec 17 2011 1380.0 Call*	Buy to Close FIFO	500	11/08/2011	140.06 11/21/2011		1,151.20	404.46	606.68
SPX Dec 17 2011 1070.0 Put*	Buy to Close FIFO	500	11/09/2011	5,315.06 11/25/2011		4,201.20	-445.54	-688.32
SPX Dec 17 2011 1070.0 Put*	Buy to Close FIFO	500	11/09/2011	5,345.06 11/25/2011		4,201.20	-457.54	-686.32
SPX Dec 17 2011 1070.0 Put*	Buy to Close FIFO	500	11/09/2011	5,345.06 11/25/2011		4,201.20	-457.54	-686.32
SPX Dec 17 2011 1070.0 Put*	Buy to Close FIFO	500	11/09/2011	5,345.06 11/25/2011		4,201.20	-457.54	-686.32
SPX Dec 17 2011 1070.0 Put*	Expire Long	500	11/17/2011	2,825.06 12/19/2011			-1,130.02	-1,695.04
SPX Dec 17 2011 1070.0 Put*	Expire Long	500	11/17/2011	2,800.06 12/19/2011			-1,120.02	-1,680.04
SPX Dec 17 2011 1070.0 Put*	Expire Long	500	11/17/2011	2,965.06 12/19/2011			-1,186.02	-1,779.04
SPX Dec 17 2011 1070.0 Put*	Expire Long	500	11/17/2011	2,775.06 12/19/2011			-1,110.02	-1,665.04
SPX Dec 17 2011 1070.0 Put*	Expire Long	500	11/25/2011	2,205.06 12/19/2011			-882.02	-1,323.04
SPX Dec 17 2011 1070.0 Put*	Expire Long	500	11/25/2011	2,210.06 12/19/2011			-884.02	-1,326.04
SPX Dec 17 2011 1070.0 Put*	Expire Long	500	11/25/2011	2,210.06 12/19/2011			-884.02	-1,326.04
SPX Dec 17 2011 1070.0 Put*	Expire Long	500	11/25/2011	2,210.06 12/19/2011			-884.02	-1,326.04
SPX Dec 17 2011 1330.0 Call*	Expire Long	500	11/30/2011	200.06 12/19/2011			-80.02	-120.04
SPX Dec 17 2011 1330.0 Call*	Expire Long	500	11/30/2011	200.06 12/19/2011			-80.02	-120.04
SPX Dec 17 2011 1330.0 Call*	Expire Long	500	11/30/2011	200.06 12/19/2011			-80.02	-120.04
SPX Dec 17 2011 1330.0 Call*	Expire Long	500	11/30/2011	300.06 12/19/2011			-120.02	-180.04
SPX Dec 17 2011 1045.0 Put*	Expire Short	500	11/16/2011	0.00 12/19/2011		2,634.94	1,053.98	1,580.96
SPX Dec 17 2011 1045.0 Put*	Expire Short	200	11/16/2011	0.00 12/19/2011		1,084.97	433.99	650.98
SPX Dec 17 2011 1045.0 Put*	Expire Short	300	11/16/2011	0.00 12/19/2011		1,589.96	635.98	953.98
SPX Dec 17 2011 1045.0 Put*	Expire Short	300	11/16/2011	0.00 12/19/2011		1,664.96	665.98	998.98
SPX Dec 17 2011 1045.0 Put*	Expire Short	500	11/16/2011	0.00 12/19/2011		2,784.94	1,113.98	1,670.96
SPX Dec 17 2011 1045.0 Put*	Expire Short	200	11/16/2011	0.00 12/19/2011		1,153.97	461.59	692.38
SPX Jan 21 2012 1050.0 Put*	Short MTM Sell	500	12/19/2011	325.00 12/31/2011		1,859.94	613.98	920.96
SPX Jan 21 2012 1050.0 Put*	Short MTM Sell	500	12/19/2011	325.00 12/31/2011		1,879.94	621.98	932.96
SPX Jan 21 2012 1050.0 Put*	Short MTM Sell	500	12/19/2011	325.00 12/31/2011		1,879.94	621.98	932.96
SPX Jan 21 2012 1050.0 Put*	Short MTM Sell	500	12/19/2011	325.00 12/31/2011		1,879.94	621.98	932.96
SPX Jan 21 2012 1350.0 Call*	MTM Sell	500	12/20/2011	375.06 12/31/2011		275.00	-40.02	-60.04
SPX Jan 21 2012 1350.0 Call*	MTM Sell	500	12/20/2011	375.06 12/31/2011		275.00	-40.02	-60.04
SPX Jan 21 2012 1350.0 Call*	MTM Sell	500	12/20/2011	450.06 12/31/2011		275.00	-70.02	-105.04
SPX Jan 21 2012 1350.0 Call*	MTM Sell	500	12/19/2011	1,445.06 12/31/2011		150.00	-518.02	-777.04
SPX Jan 21 2012 1030.0 Put*	MTM Sell	500	12/19/2011	1,445.06 12/31/2011		150.00	-518.02	-777.04
SPX Jan 21 2012 1030.0 Put*	MTM Sell	1,000	12/20/2011	1,730.12 12/31/2011		300.00	-572.05	-858.07
SPX Jan 21 2012 1030.0 Put*	Buy to Close FIFO	500	12/14/2011	1,400.06 12/19/2011		2,369.94	387.95	581.93
SPX Jan 21 2012 1030.0 Put*	Buy to Close FIFO	500	12/14/2011	1,445.06 12/19/2011		2,369.94	369.95	554.93
SPX Jan 21 2012 1010.0 Put*	Sell to Close FIFO	500	12/14/2011	1,910.06 12/20/2011		524.94	-554.05	-831.07
SPX Jan 21 2012 1010.0 Put*	Sell to Close FIFO	500	12/14/2011	1,910.06 12/20/2011		524.94	-554.05	-831.07
SPX Jan 21 2012 1330.0 Call*	Short MTM Sell	500	12/20/2011	775.00 12/31/2011		859.94	33.98	50.96
SPX Jan 21 2012 1330.0 Call*	Short MTM Sell	500	12/20/2011	775.00 12/31/2011		859.94	33.98	50.96
SPX Jan 21 2012 1330.0 Call*	Short MTM Sell	500	12/20/2011	775.00 12/31/2011		959.94	73.98	110.96
SPX Jan 21 2012 1330.0 Call*	Short MTM Sell	500	12/20/2011	775.00 12/31/2011		959.94	73.98	110.96
SPX Jul 16 2011 1375.0 Call*	Expire Long	500	06/21/2011	288.74 07/18/2011			-115.50	-173.24
SPX Jul 16 2011 1375.0 Call*	Expire Long	500	06/21/2011	288.74 07/18/2011			-115.50	-173.24
SPX Jul 16 2011 1375.0 Call*	Expire Long	500	06/21/2011	278.74 07/18/2011			-111.50	-167.24
SPX Jul 16 2011 1175.0 Put*	Expire Long	500	06/08/2011	2,268.74 07/18/2011			-907.50	-1,361.24
SPX Jul 16 2011 1175.0 Put*	Expire Long	500	06/08/2011	2,268.74 07/18/2011			-907.50	-1,361.24
SPX Jul 16 2011 1175.0 Put*	Expire Long	500	06/08/2011	2,268.74 07/18/2011			-907.50	-1,361.24
SPX Jul 16 2011 1160.0 Put*	Expire Long	500	06/10/2011	2,003.74 07/18/2011			-801.50	-1,202.24
SPX Jul 16 2011 1160.0 Put*	Expire Long	500	06/10/2011	1,953.74 07/18/2011			-781.50	-1,172.24
SPX Jul 16 2011 1160.0 Put*	Expire Long	500	06/10/2011	2,023.74 07/18/2011			-809.50	-1,214.24

Description	Trans type	Qty	Open date	Close date	Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
SPX Jul 16 2011 1160.0 Put*	Expire Long	500	06/10/2011	07/18/2011	1,998.74	-799.50	-799.50	-1,199.24
SPX Jul 16 2011 1180.0 Put*	Expire Short	500	06/10/2011	00	07/18/2011	2,711.25	1,084.50	1,626.75
SPX Jul 16 2011 1180.0 Put*	Expire Short	500	06/10/2011	00	07/18/2011	2,661.25	1,064.50	1,596.75
SPX Jul 16 2011 1180.0 Put*	Expire Short	500	06/10/2011	00	07/18/2011	2,756.25	1,102.50	1,653.75
SPX Jul 16 2011 1180.0 Put*	Expire Short	500	06/10/2011	00	07/18/2011	2,731.25	1,092.50	1,638.75
SPX Jul 16 2011 1390.0 Call*	Expire Long	500	06/09/2011	223.74	07/18/2011	-89.50	-89.50	-134.24
SPX Jul 16 2011 1390.0 Call*	Expire Long	500	06/09/2011	223.74	07/18/2011	-89.50	-89.50	-134.24
SPX Jul 16 2011 1360.0 Call*	Expire Short	500	06/14/2011	00	07/18/2011	621.25	248.50	372.75
SPX Jul 16 2011 1360.0 Call*	Expire Short	500	06/14/2011	00	07/18/2011	621.25	248.50	372.75
SPX Jul 16 2011 1360.0 Call*	Expire Short	500	06/14/2011	00	07/18/2011	571.25	228.50	342.75
SPX Jul 16 2011 1360.0 Call*	Expire Short	500	06/14/2011	00	07/18/2011	571.25	228.50	342.75
SPX Jul 16 2011 1360.0 Call*	Expire Short	500	06/14/2011	00	07/18/2011	571.25	228.50	342.75
SPX Jul 16 2011 1360.0 Call*	Expire Short	500	06/30/2011	00	07/18/2011	466.25	186.50	279.75
SPX Jul 16 2011 1370.0 Call*	Expire Short	500	06/30/2011	00	07/18/2011	486.25	194.50	291.75
SPX Jul 16 2011 1370.0 Call*	Expire Short	500	06/09/2011	00	07/18/2011	556.5	222.50	333.75
SPX Jul 16 2011 1370.0 Call*	Expire Short	500	06/09/2011	00	07/18/2011	556.25	222.50	333.75
SPX Jul 16 2011 1380.0 Call*	Expire Long	500	06/14/2011	288.74	07/18/2011	-115.50	-115.50	-173.24
SPX Jul 16 2011 1380.0 Call*	Expire Long	500	06/14/2011	288.74	07/18/2011	-115.50	-115.50	-173.24
SPX Jul 16 2011 1380.0 Call*	Expire Long	500	06/14/2011	238.74	07/18/2011	-95.50	-95.50	-143.24
SPX Jul 16 2011 1380.0 Call*	Expire Long	500	06/14/2011	238.74	07/18/2011	-95.50	-95.50	-143.24
SPX Jul 16 2011 1380.0 Call*	Expire Long	500	06/14/2011	213.74	07/18/2011	-85.50	-85.50	-128.24
SPX Jul 16 2011 1380.0 Call*	Expire Long	500	06/30/2011	158.74	07/18/2011	-63.50	-63.50	-95.24
SPX Jul 16 2011 1380.0 Call*	Expire Long	500	06/30/2011	153.74	07/18/2011	-61.50	-61.50	-92.24
SPX Jul 16 2011 1355.0 Call*	Expire Short	500	06/21/2011	00	07/18/2011	721.25	288.50	432.75
SPX Jul 16 2011 1355.0 Call*	Expire Short	500	06/21/2011	00	07/18/2011	721.25	288.50	432.75
SPX Jul 16 2011 1355.0 Call*	Expire Short	500	06/21/2011	00	07/18/2011	711.25	284.50	426.75
SPX Jul 16 2011 1145.0 Put*	Expire Long	500	06/15/2011	1,393.74	07/18/2011	-557.50	-557.50	-836.24
SPX Jul 16 2011 1145.0 Put*	Expire Long	500	06/15/2011	1,393.74	07/18/2011	-557.50	-557.50	-836.24
SPX Jul 16 2011 1165.0 Put*	Expire Short	500	06/15/2011	00	07/18/2011	1,826.25	730.50	1,095.75
SPX Jul 16 2011 1165.0 Put*	Expire Short	500	06/15/2011	00	07/18/2011	1,826.25	730.50	1,095.75
SPX Jul 16 2011 1195.0 Put*	Expire Short	500	06/08/2011	00	07/18/2011	3,151.25	1,260.50	1,890.75
SPX Jul 16 2011 1195.0 Put*	Expire Short	500	06/08/2011	00	07/18/2011	3,151.25	1,260.50	1,890.75
SPX Jul 16 2011 1195.0 Put*	Expire Short	500	06/08/2011	00	07/18/2011	3,151.25	1,260.50	1,890.75
SPX Jul 16 2011 1195.0 Put*	Expire Short	500	06/08/2011	00	07/18/2011	3,151.25	1,260.50	1,890.75
SPX Jun 18 2011 1225.0 Put*	Expire Short	500	05/23/2011	00	06/20/2011	2,571.25	1,028.50	1,542.75
SPX Jun 18 2011 1225.0 Put*	Expire Short	500	05/23/2011	00	06/20/2011	2,561.25	1,024.50	1,536.75
SPX Jun 18 2011 1225.0 Put*	Expire Short	500	05/23/2011	00	06/20/2011	2,561.25	1,024.50	1,536.75
SPX Jun 18 2011 1225.0 Put*	Expire Short	500	05/23/2011	00	06/20/2011	2,561.25	1,024.50	1,536.75
SPX Jun 18 2011 1250.0 Put*	Expire Short	500	05/11/2011	00	06/20/2011	2,501.25	1,000.50	1,500.75
SPX Jun 18 2011 1250.0 Put*	Expire Short	500	05/11/2011	00	06/20/2011	2,501.25	1,000.50	1,500.75
SPX Jun 18 2011 1250.0 Put*	Expire Short	500	05/11/2011	00	06/20/2011	2,501.25	1,000.50	1,500.75
SPX Jun 18 2011 1250.0 Put*	Expire Short	500	05/11/2011	00	06/20/2011	2,501.25	1,000.50	1,500.75
SPX Jun 18 2011 1250.0 Put*	Expire Short	500	05/11/2011	00	06/20/2011	2,501.25	1,000.50	1,500.75
SPX Jun 18 2011 1420.0 Call*	Expire Short	500	05/11/2011	00	06/20/2011	781.26	312.50	468.76
SPX Jun 18 2011 1420.0 Call*	Expire Short	500	05/11/2011	00	06/20/2011	781.26	312.50	468.76
SPX Jun 18 2011 1420.0 Call*	Expire Short	500	05/11/2011	00	06/20/2011	781.26	312.50	468.76
SPX Jun 18 2011 1420.0 Call*	Expire Short	500	05/11/2011	00	06/20/2011	781.26	312.50	468.76
SPX Jun 18 2011 1440.0 Call*	Expire Long	500	05/11/2011	313.75	06/20/2011	-125.50	-125.50	-188.25
SPX Jun 18 2011 1440.0 Call*	Expire Long	500	05/11/2011	313.75	06/20/2011	-125.50	-125.50	-188.25
SPX Jun 18 2011 1440.0 Call*	Expire Long	500	05/11/2011	313.75	06/20/2011	-125.50	-125.50	-188.25
SPX Jun 18 2011 1440.0 Call*	Expire Long	500	05/11/2011	313.75	06/20/2011	-125.50	-125.50	-188.25

Description	Trans type	Open Qty date	Close Qty date	Adj cost	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
SPX Jun 18 2011 1440.0 Call*	Expire Long	500 05/11/2011	313.75 06/20/2011			-125.50	-188.25
SPX Jun 18 2011 1205.0 Put*	Expire Long	500 05/23/2011	1,963.74 06/20/2011			-785.50	-1,178.24
SPX Jun 18 2011 1205.0 Put*	Expire Long	500 05/23/2011	1,953.74 06/20/2011			-781.50	-1,172.24
SPX Jun 18 2011 1205.0 Put*	Expire Long	500 05/23/2011	1,953.74 06/20/2011			-781.50	-1,172.24
SPX Jun 18 2011 1205.0 Put*	Expire Long	500 05/23/2011	1,953.74 06/20/2011			-781.50	-1,172.24
SPX Jun 18 2011 1410.0 Call*	Expire Long	500 05/31/2011	188.74 06/20/2011			-75.50	-113.24
SPX Jun 18 2011 1410.0 Call*	Expire Long	500 05/31/2011	188.74 06/20/2011			-75.50	-113.24
SPX Jun 18 2011 1410.0 Call*	Expire Long	500 05/31/2011	188.74 06/20/2011			-75.50	-113.24
SPX Jun 18 2011 1390.0 Call*	Expire Short	500 05/31/2011	0.00 06/20/2011		546.25	218.50	327.75
SPX Jun 18 2011 1390.0 Call*	Expire Short	500 05/31/2011	0.00 06/20/2011		546.25	218.50	327.75
SPX Jun 18 2011 1390.0 Call*	Expire Short	500 05/31/2011	0.00 06/20/2011		521.25	208.50	312.75
SPX Jun 18 2011 1390.0 Call*	Expire Short	500 05/31/2011	0.00 06/20/2011		521.25	208.50	312.75
SPX Jun 18 2011 1230.0 Put*	Expire Long	500 05/11/2011	1,943.75 06/20/2011			-777.50	-1,166.25
SPX Jun 18 2011 1230.0 Put*	Expire Long	500 05/11/2011	1,943.75 06/20/2011			-777.50	-1,166.25
SPX Jun 18 2011 1230.0 Put*	Expire Long	500 05/11/2011	1,943.75 06/20/2011			-777.50	-1,166.25
SPX Jun 18 2011 1230.0 Put*	Expire Long	500 05/11/2011	1,943.75 06/20/2011			-777.50	-1,166.25
SPX Jun 18 2011 1230.0 Put*	Expire Long	500 05/11/2011	1,943.75 06/20/2011			-777.50	-1,166.25
SPX Mar 19 2011 1200.0 Put*	Expire Long	1,000 02/22/2011	2,427.50 03/21/2011			-971.00	-1,456.50
SPX Mar 19 2011 1200.0 Put*	Expire Long	1,000 02/22/2011	2,407.50 03/21/2011			-963.00	-1,444.50
SPX Mar 19 2011 1200.0 Put*	Expire Long	500 02/23/2011	2,358.75 03/21/2011			-943.50	-1,415.25
SPX Mar 19 2011 1200.0 Put*	Expire Long	500 02/23/2011	2,328.75 03/21/2011			-931.50	-1,397.25
SPX Mar 19 2011 1200.0 Put*	Expire Long	500 02/23/2011	2,558.75 03/21/2011			-1,023.50	-1,535.25
SPX Mar 19 2011 1200.0 Put*	Expire Long	500 02/23/2011	2,601.50 03/21/2011			-1,040.60	-1,560.90
SPX Mar 19 2011 1220.0 Put*	Expire Short	1,000 02/22/2011	0.00 03/21/2011		3,272.50	1,309.00	1,963.50
SPX Mar 19 2011 1220.0 Put*	Expire Short	1,000 02/22/2011	0.00 03/21/2011		3,272.50	1,309.00	1,963.50
SPX Mar 19 2011 1220.0 Put*	Expire Short	500 02/23/2011	0.00 03/21/2011		3,046.25	1,218.50	1,827.75
SPX Mar 19 2011 1220.0 Put*	Expire Short	500 02/23/2011	0.00 03/21/2011		3,021.25	1,208.50	1,812.75
SPX Mar 19 2011 1220.0 Put*	Expire Short	500 02/23/2011	0.00 03/21/2011		3,236.25	1,294.50	1,941.75
SPX Mar 19 2011 1220.0 Put*	Expire Short	500 02/23/2011	0.00 03/21/2011		3,298.50	1,319.40	1,979.10
SPX Mar 19 2011 1385.0 Call*	Expire Short	1,000 02/22/2011	0.00 03/21/2011		1,722.50	689.00	1,033.50
SPX Mar 19 2011 1385.0 Call*	Expire Short	1,000 02/22/2011	0.00 03/21/2011		1,722.50	689.00	1,033.50
SPX Mar 19 2011 1385.0 Call*	Expire Short	500 02/23/2011	0.00 03/21/2011		461.25	184.50	276.75
SPX Mar 19 2011 1385.0 Call*	Expire Short	500 02/23/2011	0.00 03/21/2011		471.25	188.50	282.75
SPX Mar 19 2011 1385.0 Call*	Expire Short	500 02/23/2011	0.00 03/21/2011		441.25	176.50	264.75
SPX Mar 19 2011 1385.0 Call*	Expire Short	500 02/23/2011	0.00 03/21/2011		421.25	168.50	252.75
SPX Mar 19 2011 1405.0 Call*	Expire Long	1,000 02/22/2011	697.50 03/21/2011			-279.00	-418.50
SPX Mar 19 2011 1405.0 Call*	Expire Long	1,000 02/22/2011	717.50 03/21/2011			-287.00	-430.50
SPX Mar 19 2011 1405.0 Call*	Expire Long	500 02/23/2011	213.75 03/21/2011			-85.50	-128.25
SPX Mar 19 2011 1405.0 Call*	Expire Long	500 02/23/2011	228.75 03/21/2011			-91.50	-137.25
SPX Mar 19 2011 1405.0 Call*	Expire Long	500 02/23/2011	183.75 03/21/2011			-73.50	-110.25
SPX Mar 19 2011 1405.0 Call*	Expire Long	500 02/23/2011	176.50 03/21/2011			-70.60	-105.90
SPX May 21 2011 1400.0 Call*	Expire Long	500 04/20/2011	213.74 05/23/2011			-85.50	-128.24
SPX May 21 2011 1400.0 Call*	Expire Long	500 04/20/2011	213.74 05/23/2011			-85.50	-128.24
SPX May 21 2011 1200.0 Put*	Expire Long	500 04/11/2011	2,403.74 05/23/2011			-961.50	-1,442.24
SPX May 21 2011 1200.0 Put*	Expire Long	500 04/11/2011	2,403.74 05/23/2011			-961.50	-1,442.24
SPX May 21 2011 1200.0 Put*	Expire Long	500 04/11/2011	2,403.74 05/23/2011			-961.50	-1,442.24
SPX May 21 2011 1200.0 Put*	Expire Long	500 04/11/2011	2,403.74 05/23/2011			-961.50	-1,442.24
SPX May 21 2011 1200.0 Put*	Expire Long	500 04/12/2011	2,838.74 05/23/2011			-1,135.50	-1,703.24
SPX May 21 2011 1200.0 Put*	Expire Long	500 04/12/2011	2,838.74 05/23/2011			-1,135.50	-1,703.24
SPX May 21 2011 1200.0 Put*	Expire Long	100 04/12/2011	588.74 05/23/2011			-235.50	-353.24
SPX May 21 2011 1200.0 Put*	Expire Long	400 04/12/2011	2,400.00 05/23/2011			-960.00	-1,440.00
SPX May 21 2011 1200.0 Put*	Expire Long	500 04/12/2011	2,963.74 05/23/2011			-1,185.50	-1,778.24
SPX May 21 2011 1220.0 Put*	Expire Short	500 04/11/2011	0.00 05/23/2011		3,111.25	1,244.50	1,866.75

Description	Trans type	Open Qty date	Close Adj cost date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
SPX May 21 2011 1220.0 Put*	Expire Short	500 04/11/2011	0 00 05/23/2011	3,111.25	1,244.50	1,866.75
SPX May 21 2011 1220.0 Put*	Expire Short	500 04/11/2011	0 00 05/23/2011	3,111.25	1,244.50	1,866.75
SPX May 21 2011 1220.0 Put*	Expire Short	500 04/11/2011	0 00 05/23/2011	3,111.25	1,244.50	1,866.75
SPX May 21 2011 1220.0 Put*	Expire Short	500 04/12/2011	0 00 05/23/2011	3,671.25	1,468.50	2,202.75
SPX May 21 2011 1220.0 Put*	Expire Short	500 04/12/2011	0 00 05/23/2011	3,671.25	1,468.50	2,202.75
SPX May 21 2011 1220.0 Put*	Expire Short	100 04/12/2011	0 00 05/23/2011	751.25	300.50	450.75
SPX May 21 2011 1220.0 Put*	Expire Short	400 04/12/2011	0 00 05/23/2011	3,120.00	1,248.00	1,872.00
SPX May 21 2011 1220.0 Put*	Expire Short	500 04/12/2011	0 00 05/23/2011	3,871.25	1,548.50	2,322.75
SPX May 21 2011 1380.0 Call*	Expire Short	500 04/20/2011	0 00 05/23/2011	646.25	258.50	387.75
SPX May 21 2011 1380.0 Call*	Expire Short	500 04/20/2011	0 00 05/23/2011	646.25	258.50	387.75
SPX May 21 2011 1290.0 Put*	Expire Short	500 05/04/2011	0 00 05/23/2011	2,021.25	808.50	1,212.75
SPX May 21 2011 1290.0 Put*	Expire Short	500 05/04/2011	0 00 05/23/2011	2,116.25	846.50	1,269.75
SPX May 21 2011 1270.0 Put*	Expire Long	500 05/04/2011	1,363.74 05/23/2011		-545.50	-818.24
SPX May 21 2011 1270.0 Put*	Expire Long	500 05/04/2011	1,458.74 05/23/2011		-583.50	-875.24
SPX May 21 2011 1210.0 Put*	Buy to Close FIFO	100 04/18/2011	95.00 05/03/2011	639.25	217.70	326.55
SPX May 21 2011 1210.0 Put*	Buy to Close FIFO	400 04/18/2011	280.00 05/03/2011	2,557.00	910.80	1,366.20
SPX May 21 2011 1210.0 Put*	Buy to Close FIFO	500 04/18/2011	350.00 05/03/2011	3,241.25	1,156.50	1,734.75
SPX May 21 2011 1210.0 Put*	Buy to Close FIFO	500 04/18/2011	350.00 05/03/2011	3,246.25	1,158.50	1,737.75
SPX May 21 2011 1210.0 Put*	Buy to Close FIFO	500 04/18/2011	350.00 05/03/2011	3,271.25	1,168.50	1,752.75
SPX May 21 2011 1190.0 Put*	Sell to Close FIFO	100 04/18/2011	487.75 05/03/2011	40.01	-179.10	-268.64
SPX May 21 2011 1190.0 Put*	Sell to Close FIFO	400 04/18/2011	1,950.99 05/03/2011	220.00	-692.40	-1,038.59
SPX May 21 2011 1190.0 Put*	Sell to Close FIFO	500 04/18/2011	2,483.74 05/03/2011	275.00	-883.50	-1,325.24
SPX May 21 2011 1190.0 Put*	Sell to Close FIFO	500 04/18/2011	2,488.74 05/03/2011	275.00	-885.50	-1,328.24
SPX May 21 2011 1190.0 Put*	Sell to Close FIFO	500 04/18/2011	2,488.74 05/03/2011	275.00	-885.50	-1,328.24
SPX May 21 2011 1385.0 Call*	Expire Short	500 04/20/2011	0 00 05/23/2011	496.25	198.50	297.75
SPX May 21 2011 1385.0 Call*	Expire Short	500 04/20/2011	0 00 05/23/2011	496.25	198.50	297.75
SPX May 21 2011 1405.0 Call*	Expire Long	500 04/20/2011	163.74 05/23/2011		-65.50	-98.24
SPX May 21 2011 1405.0 Call*	Expire Long	500 04/20/2011	163.74 05/23/2011		-65.50	-98.24
SPX May 21 2011 1415.0 Call*	Buy to Close FIFO	500 05/02/2011	103.75 05/05/2011	576.25	189.00	283.50
SPX May 21 2011 1415.0 Call*	Buy to Close FIFO	500 05/02/2011	103.75 05/05/2011	576.25	189.00	283.50
SPX May 21 2011 1415.0 Call*	Buy to Close FIFO	500 05/02/2011	103.75 05/05/2011	576.25	189.00	283.50
SPX May 21 2011 1415.0 Call*	Buy to Close FIFO	500 05/02/2011	103.75 05/05/2011	576.25	189.00	283.50
SPX May 21 2011 1435.0 Call*	Sell to Close FIFO	500 05/02/2011	218.74 05/05/2011	43.75	-70.00	-104.99
SPX May 21 2011 1435.0 Call*	Sell to Close FIFO	500 05/02/2011	218.74 05/05/2011	43.76	-69.99	-104.99
SPX May 21 2011 1435.0 Call*	Sell to Close FIFO	500 05/02/2011	218.74 05/05/2011	43.75	-70.00	-104.99
SPX May 21 2011 1435.0 Call*	Sell to Close FIFO	500 05/02/2011	218.74 05/05/2011	43.75	-70.00	-104.99
SPX Nov 19 2011 1100.0 Put*	Expire Long	500 11/09/2011	1,425.06 11/21/2011		-570.02	-855.04
SPX Nov 19 2011 1100.0 Put*	Expire Long	500 11/09/2011	1,425.06 11/21/2011		-570.02	-855.04
SPX Nov 19 2011 1350.0 Call*	Expire Short	500 10/24/2011	0 00 11/21/2011	676.20	270.48	405.72
SPX Nov 19 2011 1350.0 Call*	Expire Short	500 10/24/2011	0 00 11/21/2011	686.20	274.48	411.72
SPX Nov 19 2011 1350.0 Call*	Expire Short	500 10/24/2011	0 00 11/21/2011	661.20	264.48	396.72
SPX Nov 19 2011 1350.0 Call*	Expire Short	500 10/24/2011	0 00 11/21/2011	661.20	264.48	396.72
SPX Nov 19 2011 1350.0 Call*	Expire Short	500 10/24/2011	0 00 11/21/2011	786.20	314.48	471.72
SPX Nov 19 2011 1350.0 Call*	Expire Short	500 10/24/2011	0 00 11/21/2011	836.20	334.48	501.72
SPX Nov 19 2011 1120.0 Put*	Expire Short	500 11/09/2011	0 00 11/21/2011	1,909.94	763.98	1,145.96
SPX Nov 19 2011 1360.0 Call*	Expire Short	500 10/27/2011	0 00 11/21/2011	1,909.94	763.98	1,145.96
SPX Nov 19 2011 1360.0 Call*	Expire Short	500 10/27/2011	0 00 11/21/2011	1,191.20	476.48	714.72
SPX Nov 19 2011 1360.0 Call*	Expire Short	500 10/27/2011	0 00 11/21/2011	1,211.20	484.48	726.72
SPX Nov 19 2011 1360.0 Call*	Expire Short	500 10/27/2011	0 00 11/21/2011	1,236.20	494.48	741.72
SPX Nov 19 2011 1380.0 Call*	Expire Long	500 10/27/2011	0 00 11/21/2011	1,221.20	488.48	732.72
SPX Nov 19 2011 1380.0 Call*	Expire Long	500 10/27/2011	508.81 11/21/2011		-203.52	-305.29
SPX Nov 19 2011 1380.0 Call*	Expire Long	500 10/27/2011	503.81 11/21/2011		-201.52	-302.29
SPX Nov 19 2011 1380.0 Call*	Expire Long	500 10/27/2011	503.81 11/21/2011		-201.52	-302.29
SPX Nov 19 2011 1380.0 Call*	Expire Long	500 10/27/2011	513.81 11/21/2011		-205.52	-308.29
SPX Nov 19 2011 1370.0 Call*	Expire Long	500 10/24/2011	318.81 11/21/2011		-127.52	-191.29

Description	Trans type	Qty	Open date	Close date	Adj cost	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
SPX Nov 19 2011 1370.0 Call*	Expire Long	500	10/24/2011		303.81	11/21/2011	-121.52	-182.29
SPX Nov 19 2011 1370.0 Call*	Expire Long	500	10/24/2011		278.81	11/21/2011	-111.52	-167.29
SPX Nov 19 2011 1370.0 Call*	Expire Long	500	10/24/2011		278.81	11/21/2011	-111.52	-167.29
SPX Nov 19 2011 1370.0 Call*	Expire Long	500	10/24/2011		353.81	11/21/2011	-141.52	-212.29
SPX Nov 19 2011 1370.0 Call*	Expire Long	500	10/24/2011		428.81	11/21/2011	-171.52	-257.29
SPX Nov 19 2011 1070.0 Put*	Sell to Close FIFO	500	10/26/2011		2,763.80	11/01/2011	228.96	343.44
SPX Nov 19 2011 1070.0 Put*	Sell to Close FIFO	500	10/26/2011		2,763.80	11/09/2011	-971.04	-1,456.56
SPX Nov 19 2011 1070.0 Put*	Sell to Close FIFO	500	10/26/2011		2,713.80	11/09/2011	-941.04	-1,411.56
SPX Nov 19 2011 1070.0 Put*	Sell to Close FIFO	500	10/26/2011		2,763.80	11/09/2011	-868.54	-1,302.82
SPX Oct 22 2011 1350.0 Call*	Sell to Close FIFO	500	09/16/2011		728.75	09/23/2011	-261.02	-391.54
SPX Oct 22 2011 1260.0 Call*	Expire Short	500	10/06/2011		0.00	10/24/2011	324.48	486.72
SPX Oct 22 2011 1260.0 Call*	Expire Short	500	10/06/2011		0.00	10/24/2011	324.48	486.72
SPX Oct 22 2011 1260.0 Call*	Expire Short	500	10/06/2011		0.00	10/24/2011	324.48	486.72
SPX Oct 22 2011 1260.0 Call*	Expire Short	500	10/06/2011		0.00	10/24/2011	324.48	486.72
SPX Oct 22 2011 1280.0 Call*	Expire Long	500	10/06/2011		378.81	10/24/2011	-151.52	-227.29
SPX Oct 22 2011 1280.0 Call*	Expire Long	500	10/06/2011		378.81	10/24/2011	-151.52	-227.29
SPX Oct 22 2011 1280.0 Call*	Expire Long	500	10/06/2011		378.81	10/24/2011	-151.52	-227.29
SPX Oct 22 2011 1320.0 Call*	Buy to Close FIFO	500	09/15/2011		213.80	09/23/2011	616.98	925.48
SPX Oct 22 2011 1320.0 Call*	Buy to Close FIFO	500	09/15/2011		213.80	09/23/2011	618.98	928.48
SPX Oct 22 2011 1320.0 Call*	Buy to Close FIFO	500	09/15/2011		213.80	09/23/2011	610.98	916.48
SPX Oct 22 2011 1320.0 Call*	Buy to Close FIFO	500	09/15/2011		213.80	09/23/2011	610.98	916.48
SPX Oct 22 2011 1330.0 Call*	Buy to Close FIFO	500	09/16/2011		168.80	09/23/2011	506.98	760.48
SPX Oct 22 2011 1340.0 Call*	Sell to Close FIFO	500	09/15/2011		998.75	09/23/2011	-361.02	-541.54
SPX Oct 22 2011 1340.0 Call*	Sell to Close FIFO	500	09/15/2011		1,003.75	09/23/2011	-363.02	-544.54
SPX Oct 22 2011 1340.0 Call*	Sell to Close FIFO	500	09/15/2011		1,008.75	09/23/2011	-365.02	-547.54
SPX Oct 22 2011 1340.0 Call*	Sell to Close FIFO	500	09/15/2011		1,008.75	09/23/2011	-365.02	-547.54
SPX Sep 17 2011 1100.0 Put*	Buy to Close FIFO	500	08/18/2011		968.74	09/13/2011	6,260.00	9,390.01
SPX Sep 17 2011 1100.0 Put*	Buy to Close FIFO	500	08/18/2011		973.74	09/13/2011	6,258.01	9,387.01
SPX Sep 17 2011 1100.0 Put*	Buy to Close FIFO	500	08/18/2011		1,038.74	09/13/2011	6,232.00	9,348.01
SPX Sep 17 2011 1100.0 Put*	Buy to Close FIFO	500	08/18/2011		1,013.74	09/13/2011	6,242.00	9,363.01
SPX Sep 17 2011 1100.0 Put*	Buy to Close FIFO	500	08/18/2011		998.74	09/13/2011	6,378.00	9,567.01
SPX Sep 17 2011 1100.0 Put*	Buy to Close FIFO	500	08/18/2011		863.74	09/13/2011	6,432.01	9,648.01
SPX Sep 17 2011 1100.0 Put*	Buy to Close FIFO	500	08/18/2011		988.74	09/13/2011	6,382.00	9,573.01
SPX Sep 17 2011 1100.0 Put*	Buy to Close FIFO	500	08/18/2011		963.74	09/13/2011	6,392.00	9,588.01
SPX Sep 17 2011 1100.0 Put*	Buy to Close FIFO	500	08/18/2011		613.74	09/13/2011	6,211.01	9,316.51
SPX Sep 17 2011 1100.0 Put*	Expire Short	500	08/18/2011		0.00	09/19/2011	6,456.50	9,684.75
SPX Sep 17 2011 1040.0 Put*	Expire Long	500	09/09/2011		1,828.75	09/19/2011	-731.50	-1,097.25
SPX Sep 17 2011 1040.0 Put*	Expire Long	500	09/09/2011		1,793.75	09/19/2011	-717.50	-1,076.25
SPX Sep 17 2011 1040.0 Put*	Expire Long	500	09/09/2011		1,818.75	09/19/2011	-727.50	-1,091.25
SPX Sep 17 2011 1040.0 Put*	Expire Long	500	09/09/2011		1,828.75	09/19/2011	-731.50	-1,097.25
SPX Sep 17 2011 1060.0 Put*	Expire Short	500	09/09/2011		0.00	09/19/2011	1,014.50	1,521.76
SPX Sep 17 2011 1060.0 Put*	Expire Short	500	09/09/2011		0.00	09/19/2011	1,000.50	1,500.76
SPX Sep 17 2011 1060.0 Put*	Expire Short	500	09/09/2011		0.00	09/19/2011	1,010.50	1,515.76
SPX Sep 17 2011 1060.0 Put*	Expire Short	500	09/09/2011		0.00	09/19/2011	1,024.50	1,536.76
SPX Sep 17 2011 1080.0 Put*	Sell to Close FIFO	500	08/18/2011		14,603.75	09/13/2011	-5,601.00	-8,401.50
SPX Sep 17 2011 1080.0 Put*	Sell to Close FIFO	500	08/18/2011		14,603.75	09/13/2011	-5,597.00	-8,395.50
SPX Sep 17 2011 1080.0 Put*	Sell to Close FIFO	500	08/18/2011		14,603.75	09/13/2011	-5,589.00	-8,383.50
SPX Sep 17 2011 1080.0 Put*	Sell to Close FIFO	500	08/18/2011		14,603.75	09/13/2011	-5,597.00	-8,395.50
SPX Sep 17 2011 1080.0 Put*	Sell to Close FIFO	500	08/18/2011		14,828.75	09/13/2011	-5,693.00	-8,539.50
SPX Sep 17 2011 1080.0 Put*	Sell to Close FIFO	500	08/18/2011		14,828.75	09/13/2011	-5,693.00	-8,539.50
SPX Sep 17 2011 1080.0 Put*	Sell to Close FIFO	500	08/18/2011		14,828.75	09/13/2011	-5,673.00	-8,509.50
SPX Sep 17 2011 1080.0 Put*	Sell to Close FIFO	500	08/18/2011		14,828.75	09/13/2011	-5,673.00	-8,509.50
SPX Sep 17 2011 1080.0 Put*	Sell to Close FIFO	500	08/18/2011		14,328.75	09/13/2011	-5,603.00	-8,404.50
SPX Sep 17 2011 1080.0 Put*	Expire Long	500	08/18/2011		14,328.75	09/19/2011	-5,731.50	-8,597.25

Description	Trans type	Qty	Open date	Close date	Adj cost	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
SPX Sep 17 2011 1310.0 Call*	Expire Long	500	08/30/2011	628.75	09/19/2011		-251.50	-377.25
SPX Sep 17 2011 1310.0 Call*	Expire Long	500	08/30/2011	623.75	09/19/2011		-249.50	-374.25
SPX Sep 17 2011 1310.0 Call*	Expire Long	500	08/30/2011	728.75	09/19/2011		-291.50	-437.25
SPX Sep 17 2011 1310.0 Call*	Expire Long	500	08/30/2011	703.75	09/19/2011		-281.50	-422.25
SPX Sep 17 2011 1315.0 Call*	Expire Long	500	08/30/2011	508.75	09/19/2011		-203.50	-305.25
SPX Sep 17 2011 1315.0 Call*	Expire Long	500	08/30/2011	603.75	09/19/2011		-241.50	-362.25
SPX Sep 17 2011 1285.0 Call*	Expire Short	500	08/29/2011	0.00	09/19/2011	1,336.26	534.50	801.76
SPX Sep 17 2011 1285.0 Call*	Expire Short	500	08/29/2011	0.00	09/19/2011	1,336.26	534.50	801.76
SPX Sep 17 2011 1285.0 Call*	Expire Short	500	08/29/2011	0.00	09/19/2011	1,336.26	534.50	801.76
SPX Sep 17 2011 1285.0 Call*	Expire Short	500	08/29/2011	0.00	09/19/2011	1,411.26	564.50	846.76
SPX Sep 17 2011 1290.0 Call*	Expire Short	500	08/30/2011	0.00	09/19/2011	1,386.26	554.50	831.76
SPX Sep 17 2011 1290.0 Call*	Expire Short	500	08/30/2011	0.00	09/19/2011	1,381.26	552.50	828.76
SPX Sep 17 2011 1290.0 Call*	Expire Short	500	08/30/2011	0.00	09/19/2011	1,586.26	634.50	951.76
SPX Sep 17 2011 1290.0 Call*	Expire Short	500	08/30/2011	0.00	09/19/2011	1,611.26	644.50	966.76
SPX Sep 17 2011 1295.0 Call*	Expire Short	500	08/30/2011	0.00	09/19/2011	1,241.26	496.50	744.76
SPX Sep 17 2011 1295.0 Call*	Expire Short	500	08/30/2011	0.00	09/19/2011	1,361.26	544.50	816.76
SPX Sep 17 2011 1305.0 Call*	Expire Long	500	08/29/2011	553.75	09/19/2011		-221.50	-332.25
SPX Sep 17 2011 1305.0 Call*	Expire Long	500	08/29/2011	553.75	09/19/2011		-221.50	-332.25
SPX Sep 17 2011 1305.0 Call*	Expire Long	500	08/29/2011	553.75	09/19/2011		-221.50	-332.25
SPX Sep 17 2011 1070.0 Put*	Expire Long	500	09/09/2011	1,478.75	09/19/2011		-591.50	-887.25
SPX Sep 17 2011 1070.0 Put*	Expire Long	500	09/09/2011	1,468.75	09/19/2011		-587.50	-881.25
SPX Sep 17 2011 1090.0 Put*	Expire Short	500	09/09/2011	0.00	09/19/2011	2,136.26	854.50	1,281.76
SPX Sep 17 2011 1090.0 Put*	Expire Short	500	09/09/2011	0.00	09/19/2011	2,151.26	860.50	1,290.76
SPX Sep 17 2011 1065.0 Put*	Expire Long	500	09/13/2011	508.75	09/19/2011		-203.50	-305.25
SPX Sep 17 2011 1065.0 Put*	Expire Long	500	09/13/2011	513.75	09/19/2011		-205.50	-308.25
SPX Sep 17 2011 1065.0 Put*	Expire Long	500	09/13/2011	478.75	09/19/2011		-191.50	-287.25
SPX Sep 17 2011 1065.0 Put*	Expire Long	500	09/13/2011	468.75	09/19/2011		-187.50	-281.25
SPX Sep 17 2011 1065.0 Put*	Expire Long	500	09/13/2011	478.75	09/19/2011		-191.50	-287.25
SPX Sep 17 2011 1065.0 Put*	Expire Long	500	09/13/2011	493.75	09/19/2011		-197.50	-296.25
SPX Sep 17 2011 1065.0 Put*	Expire Long	500	09/13/2011	503.75	09/19/2011		-201.50	-302.25
SPX Sep 17 2011 1065.0 Put*	Expire Short	500	09/13/2011	303.75	09/19/2011		-121.50	-182.25
SPX Sep 17 2011 1085.0 Put*	Expire Short	500	09/13/2011	0.00	09/19/2011	676.25	270.50	405.75
SPX Sep 17 2011 1085.0 Put*	Expire Short	500	09/13/2011	0.00	09/19/2011	676.25	270.50	405.75
SPX Sep 17 2011 1085.0 Put*	Expire Short	500	09/13/2011	0.00	09/19/2011	686.25	274.50	411.75
SPX Sep 17 2011 1085.0 Put*	Expire Short	500	09/13/2011	0.00	09/19/2011	671.25	268.50	402.75
SPX Sep 17 2011 1085.0 Put*	Expire Short	500	09/13/2011	0.00	09/19/2011	671.25	268.50	402.75
SPX Sep 17 2011 1085.0 Put*	Expire Short	500	09/13/2011	0.00	09/19/2011	571.25	228.50	342.75
SPX Sep 17 2011 1085.0 Put*	Expire Short	500	09/13/2011	0.00	09/19/2011	636.25	254.50	381.75
SPX Sep 17 2011 1085.0 Put*	Expire Short	500	09/13/2011	0.00	09/19/2011	621.25	248.50	372.75
SPX Sep 17 2011 1085.0 Put*	Expire Short	500	09/13/2011	0.00	09/19/2011	446.25	178.50	267.75
VIX Apr 20 2011 35.0 Call*	Expire Long	5,000	03/24/2011	1,047.49	04/21/2011		-419.00	-628.49
VIX Apr 20 2011 45.0 Call*	Expire Long	5,000	03/21/2011	1,047.49	04/21/2011		-419.00	-628.49
VIX Aug 17 2011 55.0 Call*	Buy to Close FIFO	2,000	08/05/2011	275.00	08/12/2011	265.00	-4.00	-6.00
VIX Aug 17 2011 55.0 Call*	Buy to Close FIFO	500	08/05/2011	23.75	08/15/2011	101.25	31.00	46.50
VIX Aug 17 2011 55.0 Call*	Buy to Close FIFO	500	08/05/2011	23.75	08/15/2011	101.25	31.00	46.50
VIX Aug 17 2011 55.0 Call*	Buy to Close FIFO	600	08/05/2011	28.50	08/15/2011	121.50	37.20	55.80
VIX Aug 17 2011 55.0 Call*	Buy to Close FIFO	100	08/05/2011	4.75	08/15/2011	20.25	6.20	9.30
VIX Aug 17 2011 55.0 Call*	Buy to Close FIFO	300	08/05/2011	14.25	08/15/2011	60.00	18.30	27.45
VIX Aug 17 2011 45.0 Call*	Sell to Close FIFO	2,000	08/05/2011	1,064.99	08/12/2011	865.00	-80.00	-119.99
VIX Aug 17 2011 45.0 Call*	Sell to Close FIFO	500	08/05/2011	331.87	08/15/2011	51.25	-112.25	-168.37
VIX Aug 17 2011 45.0 Call*	Sell to Close FIFO	500	08/05/2011	331.87	08/15/2011	51.25	-112.25	-168.37
VIX Aug 17 2011 45.0 Call*	Sell to Close FIFO	600	08/05/2011	398.25	08/15/2011	61.50	-134.70	-202.05
VIX Aug 17 2011 45.0 Call*	Sell to Close FIFO	100	08/05/2011	71.74	08/15/2011	10.25	-24.60	-36.89

Description	Trans type	Qty	Open date	Adj cost	Close date	Adj proceeds	Adj ST gain(\$)	Adj LT gain(\$)
VIX Aug 17 2011 45.0 Call*	Sell to Close FIFO	300	08/05/2011	180.00	08/15/2011	30.75	-59.70	-89.55
VIX Dec 21 2011 50.0 Call*	Expire Long	5,000	12/05/2011	825.60	12/22/2011		-330.24	-495.36
VIX Dec 21 2011 80.0 Call*	Expire Long	10,000	11/17/2011	1,651.20	12/22/2011		-680.48	-990.72
VIX Dec 21 2011 85.0 Call*	Expire Long	10,000	11/07/2011	1,086.19	12/22/2011		-434.48	-651.71
VIX Jan 18 2012 70.0 Call*	MTM Sell	5,000	12/16/2011	525.60	12/31/2011	150.00	-150.24	-225.36
VIX Jan 18 2012 75.0 Call*	MTM Sell	5,000	12/12/2011	575.60	12/31/2011	250.00	-130.24	-195.36
VIX Jul 20 2011 37.5 Call*	Expire Long	6,000	06/08/2011	1,665.00	07/21/2011		-666.00	-999.00
VIX Jul 20 2011 35.0 Call*	Expire Long	4,000	06/22/2011	1,039.99	07/21/2011		-416.00	-623.99
VIX Jun 15 2011 35.0 Call*	Sell to Close FIFO	6,000	05/17/2011	1,854.99	06/08/2011	65.01	-715.99	-1,073.99
VIX Mar 16 2011 32.5 Call*	Expire Long	4,000	02/22/2011	1,030.00	03/17/2011		-412.00	-618.00
VIX May 18 2011 35.0 Call*	Expire Long	5,000	04/19/2011	1,297.49	05/19/2011		-519.00	-778.49
VIX May 18 2011 35.0 Call*	Expire Long	5,000	04/20/2011	1,047.49	05/19/2011		-419.00	-628.49
VIX Nov 16 2011 80.0 Call*	Expire Long	10,000	10/12/2011	986.19	11/17/2011		-394.48	-591.71
VIX Nov 16 2011 80.0 Call*	Expire Long	10,000	10/12/2011	986.19	11/17/2011		-394.48	-591.71
VIX Oct 19 2011 80.0 Call*	Expire Long	10,000	09/26/2011	1,586.19	10/20/2011		-634.48	-951.71
VIX Oct 19 2011 80.0 Call*	Expire Long	10,000	09/28/2011	1,086.19	10/20/2011		-434.48	-651.71
VIX Sep 21 2011 75.0 Call*	Expire Long	10,000	08/29/2011	1,084.99	09/22/2011		-434.00	-650.99
VIX Sep 21 2011 45.0 Call*	Expire Long	2,000	08/12/2011	2,144.99	09/22/2011		-858.00	-1,286.99
VIX Sep 21 2011 60.0 Call*	Expire Short	500	08/15/2011	0.00	09/22/2011	131.25	52.50	78.75
VIX Sep 21 2011 60.0 Call*	Expire Short	500	08/15/2011	0.00	09/22/2011	131.25	52.50	78.75
VIX Sep 21 2011 60.0 Call*	Expire Short	1,000	08/15/2011	0.00	09/22/2011	262.50	105.00	157.50
VIX Sep 21 2011 55.0 Call*	Expire Short	2,000	08/12/2011	0.00	09/22/2011	985.00	394.00	591.00
VIX Sep 21 2011 50.0 Call*	Expire Long	500	08/15/2011	353.74	09/22/2011		-141.50	-212.24
VIX Sep 21 2011 50.0 Call*	Expire Long	500	08/15/2011	353.74	09/22/2011		-141.50	-212.24
VIX Sep 21 2011 50.0 Call*	Expire Long	1,000	08/15/2011	697.49	09/22/2011		-279.00	-418.49
Total:				641,135.51		730,417.29	35,712.51	53,569.27

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a LYMAN LUMBER COMPANY DEBENTURE		P	01/01/08	08/30/11
b				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		175,000.	<175,000.>
b			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			<175,000.>
b			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	76,070.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BD&F	3,401.
BT VENTURES	48.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	3,449.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BROKERAGE ACCOUNTS	19,553.	0.	19,553.
TOTAL TO FM 990-PF, PART I, LN 4	19,553.	0.	19,553.

FORM 990-PF RENTAL EXPENSES STATEMENT 3

DESCRIPTION	ACTIVITY NUMBER	AMOUNT	TOTAL
LEGAL FEES		769.	
- SUBTOTAL -	1		769.
TOTAL RENTAL EXPENSES			769.
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B			<769.>

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	769.	769.		0.
TO FM 990-PF, PG 1, LN 16A	769.	769.		0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
STATE TAX FEE	25.	0.		25.	
FOREIGN TAXES	613.	613.		0.	
TO FORM 990-PF, PG 1, LN 18	638.	613.		25.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MISCELLANEOUS INVESTMENT FEES	135.	135.		0.	
BANK FEES	136.	136.		0.	
PROFESSIONAL FEES	584.	584.		0.	
BUSINESS SERVICES	41,000.	41,000.		0.	
TO FORM 990-PF, PG 1, LN 23	41,855.	41,855.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
CANADIAN OIL SANDS	35,125.	22,799.		
MFA MTGE	45,023.	43,680.		
NRF PREFERRED	34,600.	43,000.		
NORTHERN OIL AND GAS INC COM	22,445.	23,980.		
CBOE MARKET VOLATILITY	526.	108.		
CBOE MARKET VOLATILITY	576.	60.		
S&P 500	1,650.	961.		
S&P 500	4,620.	449.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	144,565.	135,037.		

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MEMPHIS TENN HEALTH	24,430.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10C	24,430.	0.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MARKWEST ENERGY PARTNERS	COST	22,045.	55,060.
S&P 500	COST	<3,640.>	<2,952.>
S&P 500	COST	<7,500.>	<803.>
TOTAL TO FORM 990-PF, PART II, LINE 13		10,905.	51,305.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	10
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NAME OF MANAGER

THOMAS P. LOWE
MAGARET L. LOWE

CARIDAD CORPORATION
YEAR ENDED DECEMBER 31, 2009
FEDERAL ID# 36-3505813

STATEMENT #12
PART XV LINE 3A

RECIPIENT	RELATION	FOUNDATION STATUS	PURPOSE	AMOUNT
Admission Possible	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 2,000.00
American Council on Health & Science	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 250.00
ASWRV	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 1,000.00
Baseline Club	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 100.00
Breck School Annual Fund	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 2,000.00
Camphill Village Minnesota	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 250.00
Capital Research Center	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 500.00
Cato Institute	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 2,500.00
Center Of The American Experiment	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 10,000.00
Community Emergency Services	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 2,000.00
Courage Center	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 1,000.00
Freedom Foundation of Minnesota	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 500.00
Hammer Residences	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 7,500.00
HMEF	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 100.00
Holy Rosary Church	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 500.00
IOCP	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 2,500.00
LAKE MINNETONKA ASSOC.	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 500.00
Living With Wolves	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 500.00
Manhattan Institute	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 250.00
Mark Allen Fund - Wells Fargo Bank	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 100.00
MCA	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 1,000.00
Mentoring Partnership Of MN	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 500.00
MicroGrants	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 7,000.00
Minneapolis Recreation Development, Inc	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 4,500.00
Minnetonka Center for The Arts	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 1,000.00
Pacific Legal Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 250.00
Page Education Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 500.00
Park Nicollet Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 500.00
People Incorporated	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 1,000.00
PPL	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 10,000.00
Rowland Hall	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 2,500.00
Salvation Army	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 1,000.00
SAWTOOTH SKI CLUB	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 250.00
St Martin's Church	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 3,500.00
Support The Courts Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 500.00
Thacher School Annual Fund	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 1,000.00
The Blake School Annual Fund	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 500.00
The Breck School Annual Fund	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 300.00
The Minneapolis Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 1,000.00
The St Olaf Fund	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 2,000.00
Trinity School At River Ridge	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 15,000.00
Tubman Family Alliance	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 2,000.00
University of Minn. Foundation	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 500.00
UNIVERSITY OF MINNESOTA FOUNDATION	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 1,000.00
Walters Childrens Fund	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 500.00
Whole Learning School	N/A	Publicly supported charity	Further the tax exempt purpose of the charity	\$ 1,000.00
Total				92,850.00