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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning

, and ending

Name of foundation <b>Retirement Research Foundation</b>		A Employer identification number <b>36-2429540</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>8765 West Higgins Road</b>		B Telephone number <b>(773) 714-8080</b>
City or town, state, and ZIP code <b>Chicago, IL 60631-4170</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 118,942,696.</b> (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		901.	901.		Statement 1
4 Dividends and interest from securities		2,233,756.	2,233,756.		Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		10,418,211.			
b Gross sales price for all assets on line 6a		15,009,647.			
7 Capital gain net income (from Part IV, line 2)			10,418,211.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		329,180.	39,157.		Statement 3
12 Total. Add lines 1 through 11		12,982,048.	12,692,025.		
13 Compensation of officers, directors, trustees, etc.		355,487.	76,772.		278,715.
14 Other employee salaries and wages		557,434.	15,131.		542,303.
15 Pension plans, employee benefits		291,572.	17,616.		273,956.
16a Legal fees Stmt 4		3,115.	700.		2,415.
b Accounting fees Stmt 5		60,275.	48,775.		11,500.
c Other professional fees Stmt 6		1,809,104.	1,671,555.		137,549.
17 Interest		599,293.	599,293.		0.
18 Taxes Stmt 7		349,993.	50,052.		0.
19 Depreciation and depletion		9,924.	992.		
20 Occupancy		113,248.	11,325.		101,923.
21 Travel, conferences, and meetings		35,711.	757.		34,954.
22 Printing and publications		3,860.	257.		3,603.
23 Other expenses Stmt 8		96,597.	3,312.		93,285.
24 Total operating and administrative expenses. Add lines 13 through 23		4,285,613.	2,496,537.		1,480,203.
25 Contributions, gifts, grants paid		7,002,629.			4,448,053.
26 Total expenses and disbursements. Add lines 24 and 25		11,288,242.	2,496,537.		5,928,256.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		1,693,806.			
b Net investment income (if negative, enter -0-)			10,195,488.		
c Adjusted net income (if negative, enter -0-)				N/A	

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	1,267,579.	300,512.	300,512.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	8,460.	2,540.	2,540.
	10a Investments - U.S. and state government obligations Stmt 10	1,612,647.	1,824,091.	1,824,091.
	b Investments - corporate stock Stmt 11	5,842,929.	6,545,075.	6,545,075.
	c Investments - corporate bonds Stmt 12	870,046.	1,110,894.	1,110,894.
11 Investments - land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 13	113,603,038.	109,108,131.	109,108,131.	
14 Land, buildings, and equipment: basis ▶ 197,708.				
Less: accumulated depreciation Stmt 9 ▶ 146,255.	16,021.	51,453.	51,453.	
15 Other assets (describe ▶)				
16 <b>Total assets</b> (to be completed by all filers)	123,220,720.	118,942,696.	118,942,696.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses	190,772.	255,482.	
	18 Grants payable	1,832,686.	4,387,262.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 <b>Total liabilities</b> (add lines 17 through 22)	2,023,458.	4,642,744.	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	121,197,262.	114,299,952.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 <b>Total net assets or fund balances</b>	121,197,262.	114,299,952.		
31 <b>Total liabilities and net assets/fund balances</b>	123,220,720.	118,942,696.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	121,197,262.
2 Enter amount from Part I, line 27a	2	1,693,806.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	122,891,068.
5 Decreases not included in line 2 (itemize) ▶ <b>Unrealized Security Depreciation</b>	5	8,591,116.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	114,299,952.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b See Attached Statement			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 15,009,647.		4,591,436.	10,418,211.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			10,418,211.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	10,418,211.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	5,885,346.	113,459,220.	.051872
2009	8,380,409.	105,671,344.	.079306
2008	9,734,268.	145,634,248.	.066841
2007	10,607,232.	172,969,464.	.061324
2006	9,410,234.	165,256,781.	.056943

2 Total of line 1, column (d)	2	.316286
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.063257
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	121,147,588.
5 Multiply line 4 by line 3	5	7,663,433.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	101,955.
7 Add lines 5 and 6	7	7,765,388.
8 Enter qualifying distributions from Part XII, line 4	8	5,969,076.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	203,910.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	203,910.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	203,910.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	52,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	52,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	3,193.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	155,103.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL, MI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>http://www.rrf.org</u>	13	X	
14	The books are in care of ► <u>Irene Frye</u> Telephone no. ► <u>773-714-8080</u> Located at ► <u>8765 W. Higgins Road, Suite 430, Chicago, IL</u> ZIP+4 ► <u>60631</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

**5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b****X****b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**7b****Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

See Statement 15

**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 14		355,487.	24,373.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Nancy Zweibel PHD - c/o RRF 8765 W. Higgins #430, Chicago, IL 60631	Program Officer 40.00	148,640.	22,296.	0.
Julie Kaufman PHD - c/o RRF 8765 W. Higgins #430, Chicago, IL 60631	Program Officer 40.00	130,340.	19,551.	0.
Kathy Stanko - c/o RRF 8765 W. Higgins #430, Chicago, IL 60631	Office Mgr 40.00	72,543.	10,881.	0.
Mary O'Donnell - c/o RRF 8765 W. Higgins #430, Chicago, IL 60631	Program Officer 40.00	71,584.	10,738.	0.
Sheila Zerwer - c/o RRF 8765 W. Higgins #430, Chicago, IL 60631	Exec Asst 40.00	60,523.	9,078.	0.
Total number of other employees paid over \$50,000				5

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**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Global Endowment Management 100 N Tryon St, Charlotte, NC 28202 Naomi M Stanhaus	Investment Management	1,685,005.
1847 N Howe Street, Chicago, IL 606145125	Program Consultant	100,850.
Varey & Vaccariello CPAs PC - 617 E Golf Road #107, Arlington Heights, IL 60005	Accounting and Tax	52,575.

Total number of others receiving over \$50,000 for professional services

3

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 None	
	0.
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 None	
	0.
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3	0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	122,403,194.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	589,281.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	122,992,475.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	122,992,475.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	1,844,887.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	121,147,588.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	6,057,379.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	6,057,379.
<b>2a</b>	Tax on investment income for 2011 from Part VI, line 5	<b>2a</b>	203,910.
<b>b</b>	Income tax for 2011. (This does not include the tax from Part VI.)	<b>2b</b>	64,689.
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	268,599.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	5,788,780.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	34,200.
<b>5</b>	Add lines 3 and 4	<b>5</b>	5,822,980.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	5,822,980.

**Part XII** Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	5,928,256.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	40,820.
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	5,969,076.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	5,969,076.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2011)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				5,822,980.
<b>2</b> Undistributed income, if any, as of the end of 2011				
<b>a</b> Enter amount for 2010 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2011:				
<b>a</b> From 2006				
<b>b</b> From 2007	3,569,810.			
<b>c</b> From 2008	8,795,657.			
<b>d</b> From 2009	3,156,668.			
<b>e</b> From 2010	367,868.			
<b>f</b> Total of lines 3a through e	15,890,003.			
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4: ► \$ 5,969,076.				
<b>a</b> Applied to 2010, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2011 distributable amount				5,822,980.
<b>e</b> Remaining amount distributed out of corpus	146,096.			
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	16,036,099.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	16,036,099.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2007	3,569,810.			
<b>b</b> Excess from 2008	8,795,657.			
<b>c</b> Excess from 2009	3,156,668.			
<b>d</b> Excess from 2010	367,868.			
<b>e</b> Excess from 2011	146,096.			



**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year				
Grants Paid for General Funding Interests See Attachment A	None	All are Pub Charity or Gov't	See attachment A	4,198,028.
Matching Gifts Program See Attachment B	None	All are Pub Charity or Gov't	See attachment B	250,025.
<b>Total</b>			<b>3a</b>	4,448,053.
<b>b</b> Approved for future payment				
Grants Payable to General Funding Interests See Attachment C	None	All are Pub Charity or Gov't	See attachment C	4,387,262.
<b>Total</b>			<b>3b</b>	4,387,262.





Retirement Research Foundation

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a From MCC Investment Management (Schedule Attached		P	Various	Various
b From MCC Investment Management (Schedule Attached		P	Various	Various
c From Global Endowment Fund II K-1 (26-1741307)		P	Various	Various
d From Form 6781		P	Various	Various
e From Form 6781		P	Various	Various
f Litigation Proceeds in Excess of Basis		P	Various	Various
g Capital Gains Dividends				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 554,621.		557,423.	<2,802.>
b 4,483,974.		4,034,013.	449,961.
c 9,246,122.			9,246,122.
d 285,623.			285,623.
e 428,435.			428,435.
f 10,669.			10,669.
g 203.			203.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<2,802.>
b			449,961.
c			9,246,122.
d			285,623.
e			428,435.
f			10,669.
g			203.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	10,418,211.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

## 2011 DEPRECIATION AND AMORTIZATION REPORT

Form 990-PF Page 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
6	Computer Equipment (D)Computer	063002SL		5.00	16	2,096.			2,096.	2,096.		0.
8	Computer Equipment (D)Computer	063003SL		5.00	16	2,728.			2,728.	2,728.		0.
15	Computer Equipment (D)Computer	VariesSL		5.00	16	11,970.			11,970.	11,970.		0.
17	Computer Equipment (D)Computer	VariesSL		5.00	16	35,371.			35,371.	35,371.		0.
19	Laser Jet Printer	041006SL		5.00	16	1,351.			1,351.	1,214.		137.
22	Eaton Battery Backup	080108SL		5.00	16	1,173.			1,173.	568.		234.
24	CDW Color Printer	020510SL		5.00	16	1,307.			1,307.	151.		261.
27	TWO 24" MONITORS HPZR24	081611SL		5.00	16	774.			774.			77.
28	HP DL380 G7 KMAT CTO SERVER	092311SL		5.00	16	16,624.			16,624.			1,662.
29	SERVER INSTALLATION	121211SL		5.00	16	15,700.			15,700.			1,570.
32	Computer Equipment * 990-PF Pg 1 Total	VariesSL		5.00	16	15,931.			15,931.	15,931.		0.
	- Computer Equipme					105,025.		0.	105,025.	70,029.	0.	3,941.
	Furniture & Fixtures											
2	Furniture & Fixtures	VariesSL		5.00	16	79,085.		3,872.	75,213.	75,213.		0.
25	New Office Chairs	070610SL		5.00	16	4,152.			4,152.	415.		830.
26	TWO FOLDING TABLES * 990-PF Pg 1 Total	030811SL		5.00	16	432.			432.			43.
	- Furniture & Fixt					83,669.		3,872.	79,797.	75,628.	0.	873.

128102  
05-01-11

(D) - Asset disposed

\* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

15



Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
5	Office Equipment											
5	Office Equipment	Varies	SL	5.00	16	7,815.			7,815.	7,815.		0.
20	Copy Machine	010606	SL	5.00	16	20,295.			20,295.	18,265.		2,030.
23	Toshiba Strategy	040208	SL	5.00	16	8,623.			8,623.	4,312.		1,724.
	* 990-PF Pg 1 Total											
	- Office Equipment					36,733.		0.	36,733.	30,392.	0.	3,754.
3	Software	032499	SL	5.00	16	12,620.			12,620.	12,620.		0.
7	(D) Software	032499	SL	5.00	16	1,333.			1,333.	1,333.		0.
	(D) Software											
16	(D) Software	070804	SL	5.00	16	11,188.			11,188.	11,188.		0.
18	(D) Software	Varies	SL	5.00	16	4,440.			4,440.	4,440.		0.
21	(D) Software-Retrosp	080706	SL	5.00	16	1,726.			1,726.	1,553.		173.
30	NETWORK VM WARE	122111	SL	5.00	16	6,130.			6,130.			613.
31	NETWORK UPGRADE	122211	SL	5.00	16	5,696.			5,696.			570.
	* 990-PF Pg 1 Total											
	- Office Equipment					43,133.		0.	43,133.	31,134.	0.	1,356.
	* Grand Total					268,560.		3,872.	264,688.	207,183.	0.	9,924.
	990-PF Pg 1 Depr											

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Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

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Source	Amount
Urban Partnership Bank	901.
Total to Form 990-PF, Part I, line 3, Column A	901.

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Form 990-PF Dividends and Interest from Securities Statement 2

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Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
Global Endowment Fund II LP	1,893,016.	0.	1,893,016.
Global Endowment Fund II LP	42,910.	0.	42,910.
Mid-Continent Capital	298,033.	203.	297,830.
Total to Fm 990-PF, Part I, ln 4	2,233,959.	203.	2,233,756.

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Form 990-PF Other Income Statement 3

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Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Returned Grants	34,200.	0.	
Unrelated Business Taxable Income	255,823.	0.	
Net Ordinary and Other Portfolio Income and (Losses) from K-1	39,157.	39,157.	
Total to Form 990-PF, Part I, line 11	329,180.	39,157.	

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Form 990-PF Legal Fees Statement 4

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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
General legal fees relating to foundation program activity: Quarles & Brady 300 N LaSalle St Chicago IL 60654	0.	0.		0.
	3,115.	700.		2,415.
To Fm 990-PF, Pg 1, ln 16a	3,115.	700.		2,415.

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Form 990-PF	Accounting Fees			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Auditing&consulting fees: Murray, Grishaber LLC 3365 N Arlington Heights Rd Arlington Heights IL 60004	0. 14,000.	0. 10,000.		0. 4,000.	
Bookkeeping, Payroll and Tax Services: Varey & Vaccariello CPAs PC 617 E Golf Road Arlington Heights IL 60005	0. 46,275.	0. 38,775.		0. 7,500.	
To Form 990-PF, Pg 1, ln 16b	60,275.	48,775.		11,500.	

Form 990-PF	Other Professional Fees			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Investment management services: Mid Continent Capital LLC 150 S Wacker Drive Chicago IL 60606	0. 0. 27,652.	0. 0. 27,652.		0. 0. 0.	
Investment management services: Global Endowment Fund II 100 N Tryon St Charlotte NC 28202	0. 1,643,903.	0. 1,643,903.		0. 0.	
Program Grant Evaluation Consultants	137,549.	0.		137,549.	
To Form 990-PF, Pg 1, ln 16c	1,809,104.	1,671,555.		137,549.	

Form 990-PF	Taxes			Statement	7
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Excise tax	208,290.	0.		0.	
Tax on Foreign Dividends	50,052.	50,052.		0.	
Unrelated Business Income Taxes	91,651.	0.		0.	
To Form 990-PF, Pg 1, ln 18	349,993.	50,052.		0.	

Form 990-PF	Other Expenses			Statement	8
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Computer expense	50,266.	0.		50,266.	
Insurance	17,776.	1,778.		15,998.	
Office Supplies and Expense	10,771.	721.		10,050.	
Dues and Memberships	9,163.	0.		9,163.	
Postage and Shipping	8,129.	813.		7,316.	
Organizational Capacity Building Initiative Expenses	492.	0.		492.	
To Form 990-PF, Pg 1, ln 23	96,597.	3,312.		93,285.	

Form 990-PF	Depreciation of Assets Not Held for Investment			Statement	9
Description	Cost or Other Basis	Accumulated Depreciation	Book Value	Fair Market Value	
Furniture & Fixtures	79,085.	75,213.	3,872.	3,872.	
Software	12,620.	12,620.	0.	0.	
Office Equipment	7,815.	7,815.	0.	0.	
Laser Jet Printer	1,351.	1,351.	0.	0.	
Copy Machine	20,295.	20,295.	0.	0.	
Eaton Battery Backup	1,173.	802.	371.	371.	
Toshiba Strategy Phone System	8,623.	6,036.	2,587.	2,587.	
CDW Color Printer	1,307.	412.	895.	895.	
New Office Chairs	4,152.	1,245.	2,907.	2,907.	
TWO FOLDING TABLES	432.	43.	389.	389.	
TWO 24" MONITORS HPZR24	774.	77.	697.	697.	

## HP DL380 G7 KMAT CTO

SERVER	16,624.	1,662.	14,962.	14,962.
SERVER INSTALLATION	15,700.	1,570.	14,130.	14,130.
NETWORK VM WARE CISCO	6,130.	613.	5,517.	5,517.
NETWORK UPGRADE BACKUP SW	5,696.	570.	5,126.	5,126.
Computer Equipment	15,931.	15,931.	0.	0.

To 990-PF, Part II, ln 14	197,708.	146,255.	51,453.	51,453.
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Form 990-PF	U.S. and State/City Government Obligations	Statement 10
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Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
Mid-Continent Capital-ETF's	X		1,824,091.	1,824,091.
Total U.S. Government Obligations			1,824,091.	1,824,091.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			1,824,091.	1,824,091.

Form 990-PF	Corporate Stock	Statement 11
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Description	Book Value	Fair Market Value
Mid-Continent Capital-ETF's	6,545,075.	6,545,075.
Total to Form 990-PF, Part II, line 10b	6,545,075.	6,545,075.

Form 990-PF	Corporate Bonds	Statement 12
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Description	Book Value	Fair Market Value
Mid-Continent Capital-ETF's	1,110,894.	1,110,894.
Total to Form 990-PF, Part II, line 10c	1,110,894.	1,110,894.

Form 990-PF	Other Investments	Statement	13
Description	Valuation Method	Book Value	Fair Market Value
Global Endowment Fund II LP	FMV	109,108,131.	109,108,131.
Total to Form 990-PF, Part II, line 13		109,108,131.	109,108,131.

Form 990-PF	Part VIII - List of Officers, Directors Trustees and Foundation Managers	Statement	14
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Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Contrib	Expense Account
Nathaniel McParland, MD c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Chairman/Trustee 6.00	34,000.	0.	0.
Edward J. Kelly c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Trustee 4.00	14,000.	0.	0.
Sister Stella Louise Slomka c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Trustee 3.00	21,500.	0.	0.
Marvin Meyerson c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Trustee 6.00	26,000.	0.	0.
Ruth Ann Watkins c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Secretary/Trustee 3.00	21,500.	0.	0.
Richard J. Kissel c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Trustee 3.00	21,500.	0.	0.
Irene Frye c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Executive Director 40.00	162,487.	24,373.	0.
Downey R. Varey c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Treasurer/Trustee 6.00	23,000.	0.	0.

Retirement Research Foundation36-2429540

Thomas E. Chomicz  
c/o RRF 8765 W Higgins #430  
Chicago, IL 606314170

Trustee  
6.00

11,500.

0. 0.

Marilyn Hennessy  
c/o RRF 8765 W Higgins #430  
Chicago, IL 606314170

Trustee  
6.00

20,000.

0. 0.

Totals included on 990-PF, Page 6, Part VIII

355,487.

24,373.

0.

Form 990-PF

Officers, Directors, Trustees and  
Foundation Managers Compensation Explanation  
Part VIII, Line 1

Statement 15

Person's Name

Downey R Varey Trustee

Compensation Explanation

Mr Varey's compensation as Trustee for the year ended 12/31/11 totaled \$23,000. Mr. Varey also is a partner in the accounting firm of Varey & Vaccariello CPAs PC, which the Foundation paid accounting, consulting and tax related fees totaling \$52,575 for the year ended 12/31/11. All of those services to the Foundation were provided by Mr. Varey, and he was compensated by the accounting firm for them.



Form 990-PF

Grant Application Submission Information  
Part XV, Lines 2a through 2d

Statement 16

Name and Address of Person to Whom Applications Should be Submitted

Irene Frye, Executive Director, Retirement Research Foundation  
8765 W Higgins RD Ste 430  
Chicago IL 606314022

Telephone Number

773-714-8080

Form and Content of Applications

See Attachment 1: General Program  
See Attachment 2: Occupational Capacity Building Program  
See Attachment 3: Accessible Faith Grant Program

Any Submission Deadlines

February 1, May 1, and August 1

Restrictions and Limitations on Awards

See Attachment 4: Program Application Packages

Form 990-PF	Other Revenue				Statement 17
Description	Bus Code	Unrelated Business Inc	Excl Code	Excluded Amount	Related or Exempt Function Income
Returned Grants					34,200.
Unrelated Business Taxable Income	523000	255,823.			
Net Ordinary and Other Portfolio Income and (Losses) from K-1			14 14	39,157.	
Total to Form 990-PF, Pg 12, ln 11		255,823.		39,157.	34,200.

**RETIREMENT RESEARCH FOUNDATION  
CHICAGO, ILLINOIS**

**FORM 990 PF  
DECEMBER 31, 2011  
36-2429540**

**GENERAL PROGRAM  
ATTACHMENT 1  
Part XV Page 10 Line 2b  
Page 1**

## THE RETIREMENT RESEARCH FOUNDATION

(773) 714-8080

[ABOUT RRF](#)[GRANTS](#)[APPLY](#)[NEWS](#)[CONTACT US](#)[A A](#) [SEARCH](#)

## Grants

[Grants](#)[OCB Program](#)[Accessible Faith Program](#)[Home](#) > [Grants & Programs](#) > [Grants](#)

## Grants

[APPLY](#)

### PURPOSE

Funding for RRF's general program of grantmaking is given to efforts that support the Foundation's **funding interests**

1. Improve the availability and quality of community-based and long-term services and supports
2. Provide new and expanded opportunities for older adults to engage in meaningful roles in society
3. Seek causes and solutions to significant problems of older adults
4. Increase the number of professionals and paraprofessionals adequately prepared to serve the elder population

[Click here](#) to get more detail about the Foundation's funding interests

Grantseekers should also review exceptions—projects that are **not funded** by RRF

### ELIGIBILITY

Nonprofit organizations throughout the United States that focus on advocacy, research, or continuing education and training related to older adults. Direct service requests will be considered *only* from organizations in these seven states: Illinois, Indiana, Iowa, Kentucky, Missouri, Wisconsin and Florida

### KEY CHARACTERISTICS

The Foundation gives priority to organizations that embody the following characteristics for success

Nonprofit best practices, such as strong leadership, responsible governance, fiscal responsibility and viability, and ongoing evaluation

Early planning and implementation of strategies to sustain projects for the future

True understanding of, and commitment to, person-centered care that promotes the dignity of older persons and their freedom to make life choices.

Implementation of proven models and approaches that address clearly identified needs and gaps

Use of clearly focused, collaborative efforts to address systemic problems.

Forging strategic partnerships with organizations in the field and funders to achieve better use of resources and sharing of knowledge

### DEADLINES

Proposals are due February 1, May 1, and August 1. Decisions are usually made four months after each deadline

### RELATED LINKS

[Application Package](#)[Case Studies](#)[Mission](#)[Funding Policies](#)

**RETIREMENT RESEARCH FOUNDATION  
CHICAGO, ILLINOIS  
FORM 990 PF  
DECEMBER 31, 2011  
36-2429540**

**ORGANIZATIONAL CAPACITY BUILDING INITIATIVE  
ATTACHMENT 2  
Part XV Page 10 Line 2b  
Page 1**

## THE RETIREMENT RESEARCH FOUNDATION

(773) 714-8080

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## Grants

[Grants](#)[OCB Program](#)[Accessible Faith Program](#)[Home](#) > [Grants & Programs](#) > [OCB Program](#)

## OCB Program

[APPLY](#)

## PURPOSE

The **Organizational Capacity Building (OCB) Program** supports metropolitan Chicago-area nonprofit organizations in making improvements in their governance, management, and organizational capacity. RRF recognizes that as stronger organizations, these nonprofits can further enhance and sustain their services to older persons.

Two types of funding are available to Chicago-area organizations through RRF's OCB Program:

**Standard OCB Grants** are awarded to help organizations make long-term improvements in their management, governance, or organizational capacity.

**Flexible OCB Funds** are available to help organizations set their capacity-building priorities or get short-term technical assistance to weather tough times.

## GRANT USE

OCB grants have funded such activities as:

- Planning (strategic, business, and financial plans) to steer an organization through changes

- Evaluations to develop outcome measurements and strengthen accountability to constituents and funding sources

- Public relations, communications, and marketing to increase awareness and use of services

- Financial management and resource development to improve financial systems, explore revenue options, and develop a stronger funding base

- Human resources management for professional development, team building, and improving the working environment

- Information systems management, including technology enhancements, to improve efficiency and accountability

- Restructuring and building relations among organizations to strengthen service delivery, reduce costs, share resources, or achieve other benefits.

- Board development including assessment, recruitment, training, and structuring to strengthen governance.

## EXCLUSIONS

RRF does not provide funding for renovations or capital improvements, deficits, or one-time events that do not build long-term capacity, i.e., fundraising events or one-time conferences.

Generally, organizations must have been in active operation for a minimum of three years and have annual revenue of at least \$75,000, excluding in-kind donations and services.

## ELIGIBILITY

Applying organizations must:

- Be a nonprofit organization, but not a unit of government

- Be located in Cook, DuPage, Kane, Kendall, Lake or McHenry County, Illinois

- Provide services to elders.

- Intentionally give a high priority to older persons

## RELATED LINKS

- [Standard OCB Grant Application Package](#)

- [Flexible OCB Funds Application Package](#)

**RETIREMENT RESEARCH FOUNDATION  
CHICAGO, ILLINOIS  
FORM 990 PF  
DECEMBER 31, 2011  
36-2429540**

**ACCESSIBLE FAITH GRANT PROGRAM  
ATTACHMENT 3  
Part XV Page 10 Line 2b  
Page 1**

## THE RETIREMENT RESEARCH FOUNDATION

(773) 714-8080

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## Grants

[Grants](#)[OCB Program](#)[Accessible Faith Program](#)[Home](#) > [Grants & Programs](#) > [Accessible Faith Program](#)

## Accessible Faith Program

[APPLY](#)

### PURPOSE

The Accessible Faith Program is designed to assist eligible Chicago-area houses of worship to improve physical access that will enable greater participation by older adults in the congregation's programs, services, and activities. Grants are awarded for up to 50 percent of a project's total cost, to a maximum amount of \$30,000.

The deadline for submission of your **Application Package** is June 1.

### GRANT USE

**Construction projects:** Installing elevators, platform lifts, or inclined platform lifts, constructing permanent ramps; or renovating restrooms for accessibility in existing buildings. These projects generally require a building permit.

**Purchase and installation** of accessibility-related equipment such as assistive listening systems, automatic door openers, etc.

### EXCLUSIONS

Grants support stand-alone accessibility improvements, not projects that are part of major new construction or overall large-scale renovation. Grants cannot be used for

Chairlifts because they do not provide accessibility for all people who have difficulty climbing stairs.

Used equipment because of the possible lack of required maintenance or warranty.

The purchase of accessible vehicles or individual mobility aids, such as wheelchairs or walkers.

Projects that are already completed.

### ELIGIBILITY

The faith-based congregation must be

A nonprofit organization that owns the facility where improvements will be made.

Located in these six Illinois counties: Cook, DuPage, Kane, Kendall, Lake, and McHenry Counties.

Offering programs beyond worship services that benefit older adults (age 65 or older).

Able to demonstrate financial need as well as the ability to raise sufficient additional funds to complete the project.

### RELATED LINKS

[Application Package](#)



**RETIREMENT RESEARCH FOUNDATION  
CHICAGO, ILLINOIS  
FORM 990 PF  
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**APPLICATION PROCEDURES  
ATTACHMENT 4  
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## Application Package

## Grant Application Procedures

Applications for Foundation Grants are normally considered three times a year. **Deadlines for receipt of requests are February 1, May 1, and August 1. Submissions may be postmarked with the deadline date.** We acknowledge, by letter, receipt of all proposals within two weeks. If the date falls on a weekend, proposals postmarked the next business day will be accepted. Decisions are usually made four months after each deadline.

## 1 Cover Sheet

The cover sheet is the first required element of your application. It is now a form to be completed electronically, printed out, and included with your proposal. The cover sheet is intended to ensure that you include all information that may strengthen your case for support, and to help the Foundation systematically gather information it needs to assess your proposal. The form is available for download below.

## 2 Checklist

This form is for your internal use only and does not need to be submitted to the Foundation. It will ensure that you have included all the required components and necessary forms in your application packet. To download the checklist, [click here](#).

Although the Retirement Research Foundation does not use a standard application form, proposals for funds should include the following elements, preferably in this order:

## 1. Cover Sheet

To download the cover sheet, [click here](#).

**Note:** You may need the latest version of Adobe Reader to fill in the form.

To download Adobe Reader, [click here](#).

## 2. Executive Summary

A separate two- to three-page executive summary that includes project goals, significance, proposed methods, and cost.

## 3. Project Significance

The importance and relevance of the proposed project should be explained. Background information on the issue(s) to be addressed, existing knowledge and gaps, and the anticipated contribution of the project should be detailed.

## 4. Statement of Objectives

Specific measurable project objectives should be identified clearly, concisely, and completely. The anticipated outcomes and/or accomplishments should be defined.

## 5. Description of Methods

Proposals to the Foundation fall into four general categories — model projects and service, advocacy for system change, education and training, and research — and each should include the following specific elements:

**Models Projects and Service:** description of program design, target audience(s), change(s) to be effected, resources and method of delivery, activity schedule, and timeline to meet objectives, and **evaluation criteria and methods to evaluate project outcomes**.

**Advocacy for System Change:** description of strategies planned, list of partners and stakeholders to be organized, identification of decision-makers targeted, scheduling and coordination of activities, methods for outreach and dissemination, and the **nature of evidence to be collected about impact**.

**Education and Training:** target group description, educational needs to be met, content, methods, sequence, and location of educational experience, and **evaluation criteria and methods**

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**Research:** description of experimental design, procedures to accomplish objectives, sequence of the investigation, types of data to be obtained, the means by which it will be analyzed and interpreted, and ethical issues regarding the use of human subjects.

## 6. Dissemination

Describe plans for disseminating project outcomes and findings. The dissemination plan should be appropriate for both the type and scope of the proposed project. Describe projects to be disseminated and appropriate target audiences for each.

## 7. Budget, Narrative, and Timetable

A timetable and line item budget for the project should include both grant funds requested from The Retirement Research Foundation and funds available from other sources for the project. Where project personnel are engaged in other projects, the percent of time in and compensation from other projects should be identified, and narrative should accompany the budget. The Foundation normally will not authorize computer purchases to conduct funded projects. The Foundation limits payment of overhead rates to a maximum of 10 percent of project costs.

## 8. Plans for Continued Support

If the project is expected to operate beyond the period for which grant funds are requested, describe plans for continued support.

## 9. Personnel

Include resumes or curricula vitae (each not to exceed eight pages) for project director(s) and key personnel.

## 10. Applicant Organization

Detail qualifications of applicant organization to implement project. Include a brief history, accomplishments, financial reports, and an annual report.

## 11. Tax-Exempt Status

Provide evidence of tax exempt status under Section 501 (c)(3) of the Internal Revenue Code.

Applicants must be designated as a public charity under section 509 (a)(1), 509 (a)(2), or 509 (a)(3).

Organizations classified as a private foundation are not eligible for support.

### IMPORTANT INSTRUCTIONS REGARDING APPLICATION SUBMISSION:

1. Requests for funding must be signed by the chief executive officer of the applicant organization.
2. An original and one copy of the proposal and attachments must be submitted (applicants for research funding should submit an original and two copies). Only one copy of the annual report and financials/audit are needed.

## THE RETIREMENT RESEARCH FOUNDATION

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[ABOUT RRF](#)[GRANTS](#)[APPLY](#)[NEWS](#)[CONTACT US](#)[AA SEARCH](#)**Apply****Are You Eligible?****Important First Steps To Apply For A New Grant****Apply: Grants**[Application Package](#)[Case Studies](#)**Apply: Standard OCB**[Application Package](#)[Case Studies](#)**Apply: Flexible OCB Funds****Apply: Accessible Faith**[Application Package](#)[Case Studies](#)[Resources](#)**Evaluation Guidelines****Terms to Know**[Home](#) > [Apply](#) > [Apply: Standard OCB](#) > [Application Package](#)**Application Package**

This section contains the following information to assist you in applying for a standard OCB grant.

**Proposal Evaluation Criteria****Application Instructions****Proposal Summary Instructions****Proposal Narrative Instructions****Requirements for Proposal Attachments****Cover Sheet****Proposal Evaluation Criteria**

The Foundation will use the following criteria in evaluating proposals for standard OCB grants.

There is evidence that the organization provides credible services, is respected by its community, and intentionally gives a high priority to the elderly

The organization clearly identifies the management and/or governance area that an OCB grant would address and its effect on the agency's ability to serve the elderly more efficiently and effectively.

The anticipated outcomes of the OCB grant are clearly described and will positively change the organization and its services to the elderly.

The capacity building activities and time frame are logical and realistic

The organization outlines a reasonable plan to evaluate the outcomes of an OCB grant

The organization identifies team members from its management, staff, board, or others that are appropriate to the nature of the proposed organizational capacity building effort.

If the organization requests a coach, it identifies potential benefits and demonstrates a willingness to accept such guidance.

The proposed use of OCB funds is reasonable and realistically fits the scope of the project

The organization has sufficient financial stability to undertake and sustain the capacity building project

[Back to top](#)**Application Instructions**

Please follow these instructions in preparing a proposal for the OCB Program. Download and complete the cover sheet which is at the end of this information. Submit an original and one copy of the cover sheet, your summary, proposal, and attachments. Mail (no fax or e-mail) the application package to

Mary O'Donnell

Program Officer

The Retirement Research Foundation

8765 West Higgins Road – Suite 430

Chicago, Illinois 60631

(773) 714-8080

[Back to top](#)**Proposal Summary Instructions**

Provide a one to two page, single-spaced summary in 12-point type

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**Proposal Narrative Instructions**

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Respond to these questions in the order listed here. Please number each response and do not skip any questions. Please number the pages.

**1. Organization's Background**

Describe your organization, the community, and senior population it serves. What services does your organization provide for the elderly? What evidence can you provide that your organization has intentionally designed services for the elderly and that older adults are a high priority for your organization?

Describe your board of directors, i.e., number of members and percentage over age 60, affiliations including business, community and other organizations, and total financial contribution from your board for last year.

**2. Description of Project Area**

Describe the organizational capacity building area that you wish to address.

How does this area currently affect your organization and its ability to provide services for older adults?

If your organization has already taken some steps to address this area, describe them.

What efforts have been made to gain staff and board support for working on these areas and to prepare them for changes that may occur as a result?

**3. Project Outcomes**

What are the goals and/or outcomes that your organization expects as a result of this organizational capacity building effort? How will the outcomes change your organization?

How will the proposed organizational capacity building project impact the elders served by your organization?

**4. Work Plan and Timetable**

Describe the organizational capacity building activities that your organization will undertake over the course of the grant. Put the activities in priority order.

Provide a timetable for these activities.

**5. Evaluation**

How will your organization evaluate whether the outcomes have been achieved?

What quantifiable indicators will be used to provide evidence that the outcomes are achieved?

**6. Capacity Building Team/Organizational Commitment**

Generally, capacity building involves a working team of staff, board, and volunteers to ensure that changes will be long-term for the organization.

Who will serve on your organization's project team and what responsibility will they assume? Who will lead the team?

What current and new staff will be needed for the project? If your organization plans to hire new staff, include a job description.

How will existing staff who are expected to be involved in capacity building handle these activities in addition to their current ones?

Note: If you do not plan to use a team, please justify your decision.

**7. Coaching Services**

The services of a coach may be available during the course of your grant. For a description of the role a coach plays, [click here](#). If your organization would be interested in a coach, please respond to the following questions:

Why do you think your organization would benefit from the services of a coach?

What are the particular needs that a coach could help address?

If you have concerns about working with a coach, please indicate them.

Note: If you are not interested in coaching services, please indicate so.

**8. Budget Justification**

OCB funds generally support consulting and training fees, hardware and software related to information management, and staff and other costs directly related to capacity building activities.

Provide a line item budget for each year of funding.

Provide a narrative justification, with an explanation and calculation of each line item on the budget.

- If the project costs more than the amount requested, indicate whether and how much your organization will contribute to the project and/or whether it is seeking funds from other sources. If the balance of funds is not received, indicate how the project will accomplish its objectives with fewer funds or how it will scale back but still accomplish something substantive with less funding.

If your organization is seeking other funds for this project, identify them and indicate the anticipated decision dates.

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## 9. Future Financial Support

If there are capacity building activities that will require funding to sustain them after the grant ends, indicate how your organization will continue to support them.

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## Requirements for Proposal Attachments

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Please attach the following items to the original and all copies

Your organization's current operating budget showing income and expenses.

A line item budget that shows how the organizational capacity building grant will be used (include an explanation of costs in the narrative section – see #8 above).

Most current audit (only one copy required) or financial statements if your organization does not have an audit (only one copy required).

Resume(s) of principal staff to be involved in the project

If consultant(s) for the project has been identified, include resume(s) and/or information on his/her experience.

IRS letter stating 501(c)3 designation.

Board list showing names and affiliations

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## Cover Sheet

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Complete the **cover sheet (click here to download)** and attach it to the summary of your proposal

**Note** If the project is a collaboration between or among other organizations, please provide the attachments required for all participating organizations

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## Apply: Flexible OCB Funds

RRF's new **Flexible OCB Funds** offer two forms of assistance to eligible nonprofits serving older adults in Chicagoland.

**OCB Readiness Assessments:** Enabling the nonprofit to engage an organizational consultant to help prioritize capacity-building opportunities and identify the best first step(s) toward improved organizational capacity

**OCB Targeted Consultations:** Helping nonprofits make use of technical assistance consultations for addressing short-term issues related to human resources, legal issues, budgeting, accounting, etc

### CONTACT & APPLY

To apply for OCB funding for Readiness Assessments

Please review the **information sheet** and complete the **flexible application form**.

There are no official deadlines, as these funds are accessed through a fast-tracked application process. Generally, funding decisions are made within four to six weeks.

To apply for OCB funding for Targeted Consultations

Please review the **information sheet** and complete the **flexible application form**

For more help and information, please contact

Mary O'Donnell, Program Officer

Phone **(773) 714.8080**

E-mail [info@rrf.org](mailto:info@rrf.org)

### RELATED LINKS

[About OCB Program](#)

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## Application Package

Application Form and Checklist

Detailed Program Description

Completing Your Application

Past Accessible Faith Grants

One-Page Promotional Flyer

(Please feel free to download and make copies as needed )

Resources

- 1 Download the application in either **Word format**, **pdf format** or call 773 714 8080 to request a copy by mail
- 2 The information in the application may be typed or printed. If printed, please use black or blue ink. If typed or prepared by computer, please use at least 10-point type.
- 3 Provide one signed original and one copy of the application form as well as the original and one copy of attachments or supporting materials.
- 4 For construction projects, include one set of several "before" photos with the application. These should be printed photos, not photos on a CD or sent by email. Put your congregation's name and the current date on the back of each photo, as well as a description of what the photo represents.
- 5 Provide verification of tax-exempt status by one of the following methods
  - A. Submit a copy of the congregation's 501(c)(3) determination by the IRS, or
  - B. If your congregation is part of a larger denomination that is covered by a group ruling, submit a copy of the IRS group ruling letter and a copy of the page from the official directory showing that your congregation is a member.
  - C. If your congregation is independent and not part of a larger denomination, a signed statement by the principal clergy attesting that your congregation meets the following requirements of the Internal Revenue Code applicable to churches, synagogues, mosques, temples, etc.

It is organized and operated exclusively for religious purposes,

Its net earnings will not inure to the benefit of any private individual or shareholder;

No substantial part of its activities will be used to influence legislation,

It will not intervene in political campaigns; and

Its purpose and activities will not be illegal or violate fundamental public policy

If you are unclear about this information, you may wish to refer to the IRS tax guide for Churches and Religious Organizations (See <http://www.irs.gov/pub/irs-pdf/p1828.pdf> for complete guide ) or discuss this with officials from your denomination's central office. If you have questions about this, you may contact **The Retirement Research Foundation**.

- 6 Do not submit the application in a ring binder, folder, with pages in plastic sleeves, or any other binding or cover.
- 7 Deliver or send the application and supporting materials to  
The Retirement Research Foundation  
ATTN: Accessible Faith Grant Program  
8765 W Higgins Rd  
Suite 430  
Chicago, IL 60631
- 8 The application must be received or postmarked by June 1



**RETIREMENT RESEARCH FOUNDATION  
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**GRANTS PAID  
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ATTACHMENT A

<u>Payee Name</u> <u>Payee Address</u>	<u>Tax</u> <u>Status</u>	<u>Request Project Description</u>	<u>Amount</u>
AcademyHealth 1150 17th Street NW Suite 600 Washington, DC 20036	509(a)(1)	Six-month grant to sponsor a colloquium on long-term care	\$1,000
AgeOptions, Inc 1048 West Lake Street, Suite 300 Oak Park, Illinois 60301-1102	509(a)(1)	One-year grant to enable the Make Medicare Work Coalition to continue building the capacity of Illinois service providers to assist consumers with enrollment in Medicare and state programs, and to advocate for needed system changes	\$58,480
AgeOptions, Inc 1048 West Lake Street, Suite 300 Oak Park, Illinois 60301-1102	509(a)(1)	One-year grant to enable the Make Medicare Work Coalition to continue building the capacity of Illinois service providers to assist consumers with enrollment in Medicare and state programs, and to advocate for needed system changes	\$58,481
Alivio Medical Center 966 West 21st Street Chicago, Illinois 60608	509(a)(1)	Three-year grant to design and implement a chronic disease self-management program for seniors - 'Salud a Cualquier Edad' Program - Health at Any Age	\$47,500
Alivio Medical Center 966 West 21st Street Chicago, Illinois 60608	509(a)(1)	Three-year grant to design and implement a chronic disease self-management program for seniors - 'Salud a Cualquier Edad' Program - Health at Any Age.	\$47,500
Alliance for Aging Research 750 17th Street, NW Suite 1100 Washington, DC 20006	509(a)(1)	One-year for 2011 Program Support	\$30,000
Alzheimer's Association Greater Illinois Chapter 8430 W Bryn Mawr, Ste. 800 Chicago, Illinois 60631	509(a)(1)	Thirty-month grant to replicate a model of palliative care for people with advanced dementia in two skilled care facilities and Rainbow Hospice in order to improve quality of life based on comfort rather than traditional medical care	\$28,237
American Academy of Home Care Physicians P. O. Box 1037 Edgewood, Maryland 21040-0337	509(a)(1)	One-year grant to improve efficiency and effectiveness of home-based primary care practices serving frail elders	\$34,788
American Academy of Home Care Physicians P. O. Box 1037 Edgewood, Maryland 21040-0337	509(a)(1)	One-year grant to improve efficiency and effectiveness of home-based primary care practices serving frail elders	\$34,788
American Public Health Association 800 I Street, N.W. Washington, DC 20001-3710	509(a)(2)	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health.	\$6,000
Antioch Missionary Baptist Church 6248 S Stewart Avenue Chicago, Illinois 60621	509(a)(1)	Eighteen-month grant to renovate a restroom for accessibility.	\$10,350
Aurora Family Service, Inc 3200 West Highland Boulevard Milwaukee, Wisconsin 53208	509(a)(2)	One-year grant to refine and incorporate an aging-focused curriculum at The Family Therapy Training Institute, a post-graduate professional school.	\$49,524
Bethel New Life, Inc 4950 W. Thomas Street Chicago, Illinois 60651	509(a)(1)	One-year grant to provide services to older adults with physical, social, and/or mental impairments to help them remain in community settings	\$20,000
Boca Raton Regional Hospital Foundation, Inc 745 Meadows Road Boca Raton, Florida 33486	509(a)(1)	One-year grant to provide quality care and improved patient outcomes for older adults in an emergency room setting	\$25,000
Brown University 164 Angell Street, Box 1929 Providence, Rhode Island 02912	509(a)(1)	One-year grant for research on the prevalence and impact of billing Medicare beneficiary stays as outpatient observation.	\$40,000
Carter Temple Christian Methodist Episcopal Church 7841 S Wabash Avenue Chicago, Illinois 60619	509(a)(1)	Eighteen-month grant to replace chairlifts with exterior enclosed platform lift.	\$30,000

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ATTACHMENT A

Catholic Charities of the Archdiocese of Chicago St Vincent Center 721 North LaSalle Street Chicago, Illinois 60654-3503	509(a)(1)	One-year grant to train home care aides and the seniors they serve about proper nutrition and healthy meal preparation	\$41,139
Center for Medicare Advocacy, Inc 1025 Connecticut Avenue NW, Suite 709 Washington, DC 20036	509(a)(1)	One-year continuation grant to train the National Medicare Alliance and maintain an electronic, searchable database of Medicare appeals decisions.	\$30,000
Center for Medicare Advocacy, Inc 1025 Connecticut Avenue NW, Suite 709 Washington, DC 20036	509(a)(1)	One-year continuation grant to train the National Medicare Alliance and maintain an electronic, searchable database of Medicare appeals decisions	\$30,000
Center on Halsted 3656 North Halsted Street Chicago, Illinois 60613	509(a)(1)	One year grant for new HomeSharing Program, to match low-income older adult home-sharers with home-seekers who pay low rent and do chores in exchange for low-cost housing	\$30,000
Chicago Alliance to End Homelessness 651 West Washington Street, Suite 504 Chicago, Illinois 60661	509(a)(1)	One-year grant for an initiative to improve conditions for homeless older persons	\$25,000
Chicago Cenacle Retreat and Conference Center 513 Fullerton Parkway Chicago, Illinois 60614	509(a)(1)	One-year grant to install a ramp and automatic doors to improve access to the retreat center.	\$13,200
Children's Home + Aid Society of Illinois 125 S Wacker Chicago, Illinois 60606	509(a)(1)	One-year grant to continue the work of Illinois Partners for Human Service to develop advocacy skills for nonprofit organizations in Illinois	\$12,500
Chinese American Service League, Inc 2141 South Tan Court Chicago, Illinois 60616	509(a)(1)	One year grant to implement a Mandarin-language version of Healthy IDEAS to screen immigrant Chinese seniors for depression and help them learn to manage their symptoms using behavioral activation	\$65,000
Coalition of Limited English Speaking Elderly 53 W Jackson Boulevard, Suite 1301 Chicago, Illinois 60604-3702	509(a)(1)	One-year grant for a needs assessment and strategic planning	\$26,000
Council for Jewish Elderly 3003 West Touhy Avenue Chicago, Illinois 60645	509(a)(1)	Three-year grant to adapt, implement, and evaluate an aging in place service model for Orthodox Jewish elderly	\$50,000
Council on Foundations 2121 Crystal Drive, Suite 700 Arlington, Virginia 22202	509(a)(1)	One-year grant for 2011 program support	\$12,828
Counseling Center of Lake View 3225 North Sheffield Avenue Chicago, Illinois 60657	509(a)(2)	Six-month grant to assess, identify and prioritize areas for growth in organizational capacity building.	\$5,000
Counseling Center of Lake View 3225 North Sheffield Avenue Chicago, Illinois 60657	509(a)(2)	One-year grant for information technology enhancements and new staffing structures to increase billing efficiency	\$51,782
Donors Forum 208 South LaSalle Street, Suite 1540 Chicago, Illinois 60604	509(a)(1)	One-year grant for 2011 program support	\$6,170
DuPage Senior Citizens Council 1919 South Highland Avenue Building A, Suite 210 Lombard, Illinois 60148	509(a)(1)	One-year grant to build capacity for resource development.	\$32,840
Epworth United Methodist Church 37W040 Highland Avenue Elgin, Illinois 60123	509(a)(1)	Eighteen-month grant to renovate two restrooms for accessibility and purchase assistive listening devices	\$19,812
Eskenazi Health Foundation 1001 W Tenth Street Indianapolis, Indiana 46202	509(a)(3)	One-year grant to replicate a model program in which trained Volunteers serve as limited guardians for vulnerable, ill older adults with diminished capacity	\$47,274
Eskenazi Health Foundation 1001 W Tenth Street Indianapolis, Indiana 46202	509(a)(3)	One-year grant to replicate a model program in which trained Volunteers serve as limited guardians for vulnerable, ill older adults with diminished capacity	\$47,273

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Euclid Avenue United Methodist Church 405 S Euclid Avenue Oak Park, Illinois 60302	509(a)(1)	One-year grant to purchase and install multi-media projection screen	\$10,844
Families USA Foundation 1201 New York Avenue, NW, Suite 1100 Washington, DC 20005	509(a)(1)	One-year grant to continue to work with the State Health Insurance and Assistance Programs to identify and resolve systemic issues within the Medicare Program as implementation of health reform legislation begins	\$50,000
First Baptist Church 15 Oak Avenue Aurora, Illinois 60506	509(a)(1)	Eighteen-month grant to install exterior enclosed LU/LA elevator to create accessible entrance and provide vertical accessibility	\$30,000
Flonda Atlantic University 777 Glades Rd Boca Raton, Florida 33431-0991	509(a)(1)	One-year grant to further develop and evaluate a distance-learning curriculum for nursing home professional staff to help reduce unnecessary transfer of residents to acute care hospitals	\$62,500
Florida Atlantic University 777 Glades Rd Boca Raton, Florida 33431-0991	509(a)(1)	One-year grant to design an evaluation method for studying outcomes of a required interdisciplinary geriatric team training curriculum	\$25,000
Franciscan Sisters of the Sacred Heart Office of Congregational Advancement 9201 West Saint Francis Road Frankfort, Illinois 60423-8335	509(a)(1)	One-year grant to install an accessible entrance at a residence for elderly women religious.	(\$1,586)
Franciscan St. Margaret Health 5454 Hohman Avenue Hammond, Indiana 46320	509(a)(1)	One-year grant to expand a volunteer program serving incapacitated older adults.	\$30,000
Generations of Hope Development Corporation 609 West University Avenue Champaign, Illinois 61820	509(a)(1)	One year grant supporting national conference on how a Generations of Hope Community creates and sustains older adults.	\$22,191
Gerontological Society of America 1220 L Street NW, Suite 901 Washington, DC 20005	509(a)(1)	Six-month grant to initiate a dialogue among Capitol Hill staff and the broader legislative community about aging issues	\$10,000
Grantmakers in Aging 2001 Jefferson Davis Highway, Suite 504 Arlington, Virginia 22202	509(a)(1)	One year support of annual conference, 'Aging Power: Building on the Strengths of Individuals, Organizations, and Communities'	\$10,000
Grantmakers in Health 1100 Connecticut Ave., N.W., Suite 1200 Washington, DC 20036	509(a)(1)	One-year grant for 2011 program support.	\$12,000
Guild for the Blind 65 E. Wacker Place Suite 1010 Chicago, Illinois 60601	509(a)(1)	One-year grant to teach seniors with vision loss how to use their remaining senses for the safe movement from one place to another	\$15,000
HealthCare Chaplaincy, Inc 315 East 62nd Street, 4th Floor New York, New York 10065	509(a)(2)	One-year grant supporting creation of training program to prepare chaplains for national certification in palliative care	\$37,500
Hebrew SeniorLife (HSL) 1200 Centre Street Roslindale, Massachusetts 02131-1097	509(a)(1)	Three-year grant to examine and understand the processes and procedures of the Hospital Elder Life Program (HELP), a model of care to prevent delirium in hospitalized older adults, in order to develop guidelines for replication in additional sites	\$36,834
Hospice Care Plus, Inc 208 Kidd Drive Berea, Kentucky 40403	509(a)(1)	Six-month grant to support a hospice nurse practitioner making home visits to older patients in rural Kentucky	\$30,000
Housing Opportunities and Maintenance for the Elderly 1419 West Carroll Avenue, FL 2 Chicago, Illinois 60607-1149	509(a)(1)	One-year grant to create and implement a marketing plan	\$51,459
Illinois Pioneer Coalition 639 York Street Quincy, Illinois 62301	509(a)(2)	One-year grant to educate long-term care providers on topics to advance person-centered care	\$50,300
Independent Living Community Services, Inc. 5201 Blue Lagoon Drive, #270 Miami, Florida 33126	509(a)(2)	One year grant to continue and expand the Matter of Balance falls prevention program for 300 Miami-Dade English and Spanish-speaking seniors.	\$25,000

Korean American Community Services 4300 North California Avenue Chicago, Illinois 60618-1514	509(a)(2)	One-year to expand ROCKS, a program that links low-income Korean American seniors to needed programs and services	\$20,000
Lake County Sponsors P O Box 726 Libertyville, Illinois 60048	509(a)(1)	Nine-month grant to promote community acceptance and zoning approvals for an affordable, supportive senior housing.	\$30,000
Latino Alzheimers and Memory Disorders Alliance 222 N LaSalle Street, Suite 1450 Chicago, Illinois 60601	509(a)(1)	One-year grant to provide culturally and linguistically competent support group services to caregivers of Latinos with Alzheimer's disease.	\$10,000
Life Quality Institute, Inc 501 S Cherry Street, #700 Denver, Colorado 80246	509(a)(1)	Sixteen-month grant to deliver palliative care education to healthcare and senior service professionals in rural Colorado	\$33,494
Lincoln Park Village, Inc 2502 N Clark Street, #225 Chicago, Illinois 60614	509(a)(2)	One-year to provide access to programs, services, and activities for vulnerable elders with modest incomes.	\$16,500
Little Company of Mary Hospital Foundation 2800 W 95th St Evergreen Park, Illinois 60805	509(a)(1)	One-year grant to support training and certification of staff members in hospice and palliative care.	\$9,600
Little Sisters of the Poor, St Joseph's Home for the Elderly 80 West Northwest Highway Palatine, Illinois 60067	509(a)(1)	One-year grant for life safety improvements at a long-term care facility serving low income seniors	\$75,000
Loyola University Medical Center 2160 South First Avenue Maywood, Illinois 60153	509(a)(1)	One-year grant to continue the development, implementation, and evaluation of an online educational program for nurse practitioners on palliative care for nursing home residents	\$46,073
Lync Opera of Chicago 20 North Wacker Drive, Suite 860 Chicago, Illinois 60606	509(a)(1)	One-year grant support for senior matinee performance of Donietti's 'Luca di Lammemoor'	\$10,000
Maple Park United Methodist Church 11705 South Elizabeth Street Chicago, Illinois 60643	509(a)(1)	Eighteen-month grant to renovate the church entrance for accessibility.	(\$150)
Maple Park United Methodist Church 11705 South Elizabeth Street Chicago, Illinois 60643	509(a)(1)	Eighteen-month grant to renovate the church entrance for accessibility	(\$150)
Maplewood Housing for the Visually Impaired dba Friedman Place 5527 North Maplewood Avenue Chicago, Illinois 60625	509(a)(2)	One year grant to develop and implement program evaluation strategies	\$27,500
Memorial Medical Center 701 North 1st Street Springfield, Illinois 62781-0001	509(a)(1)	One-year grant to provide delinquent education for inpatient nursing staff	\$15,079
Mental Health Association of New York City, Inc 50 Broadway, 19th Floor New York, New York 10004	509(a)(1)	One-year grant to provide technical assistance to multiple states working toward effective advocacy for geriatric mental health services	\$37,500
Mercy Housing Lakefront 120 South LaSalle, Suite 1850 Chicago, Illinois 60603	509(a)(1)	One-year grant to support care coordinators in two new independent affordable senior living sites	\$30,000
Moultrie County Counseling Center 12 West Harrison Street P O Box 163 Sullivan, Illinois 61951	509(a)(1)	One-year grant to launch IMPACT, an evidence-based integrated behavioral health care model for treating depression in a rural primary care setting	\$55,658
Mount Sinai School of Medicine One Gustave L. Levy Place Box 1075 New York, New York 10029-6594	509(a)(1)	Two-year grant for a study on the cost-effectiveness of home-based medical care for homebound older adults.	\$78,658
Mount Sinai School of Medicine One Gustave L. Levy Place Box 1075 New York, New York 10029-6594	509(a)(1)	Two-year grant for a study on the cost-effectiveness of home-based medical care for homebound older adults	\$78,658
National Able Network 567 W. Lake Street, Suite 1150 Chicago, Illinois 60661-1405	509(a)(1)	One-year grant to expand employment and counseling services to low-income seniors.	\$27,275

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National Academy of Social Insurance 1776 Massachusetts Avenue, NW - Suite 400 Washington, DC 20036-1904	509(a)(1)	One year grant to support internships training young professionals in long-term care services and supports in aging policy and research	\$25,000
National Academy of Social Insurance 1776 Massachusetts Avenue, NW - Suite 400 Washington, DC 20036-1904	509(a)(1)	One year grant to support internships training young professionals in long-term care services and supports in aging policy and research.	\$25,000
National Center for State Courts 300 Newport Avenue Williamsburg, Virginia 23185-4147	509(a)(1)	Two-year continuing grant providing resources on aging issues, elder abuse, and guardianship to state judges and court personnel	\$59,849
National Committee for Responsive Philanthropy (NCRP) 1331 H Street, N.W., Suite 200 Washington, DC 20005	509(a)(2)	One-year grant for 2011 program support	\$15,000
National Council on Aging, Inc 1901 L Street, N.W., 4th Floor Washington, DC 20036	509(a)(2)	Three-year grant to facilitate and accelerate the large-scale replication of two effective evidence-based programs for older adults (one on fall prevention and one to treat depression) and to evaluate an innovative tool for helping agencies assess their readiness for and ability to implement new programs	\$40,549
National Council on Aging, Inc 1901 L Street, N.W., 4th Floor Washington, DC 20036	509(a)(2)	Three-year special initiative to enhance the development of a new comprehensive model of assisting older adults to become more economically secure by creating, testing, and evaluating new technology tools for service providers and consumers	\$75,007
National Hospice and Palliative Care Organization, Inc. 1731 King Street, Suite 100 Alexandria, Virginia 22314	509(a)(1)	One-year support of national effort advocating that Americans complete advance directives before a health crisis occurs	\$5,000
National Public Radio 635 Massachusetts Avenue, N.W. Washington, DC 20001-3753	509(a)(1)	One-year grant to educate national audience about economic security issues affecting American older adults	\$25,000
Northern Illinois Food Bank 273 Dearborn Court Geneva, Illinois 60134	509(a)(1)	One-year grant for program expansion to deliver nutritious food to vulnerable seniors.	\$40,000
Northwest Side Housing Center 5007 W. Addison Street Chicago, Illinois 60641	509(a)(1)	One-year grant to develop and implement a strategic plan	\$20,000
Notre Dame de Chicago Church 1335 West Harrison Street Chicago, Illinois 60607	509(a)(1)	Eighteen-month grant to construct a three-stop elevator addition.	\$30,000
Oak Lawn Bible Church 9435 S. 54th Avenue Oak Lawn, Illinois 60453	509(a)(1)	Eighteen-month grant to replace obsolete vertical platform lift	\$27,149
Oakdale Covenant Church 9440 S. Vincennes Avenue Chicago, Illinois 60620	509(a)(1)	Eighteen-month grant to replace obsolete platform lift.	\$19,100
Older Women's League 1025 Connecticut Avenue, N.W., Suite 701 Washington, District of Columbia 20036	509(a)(1)	One -year grant for a public advocacy campaign to raise awareness of older women's employment needs	\$27,000
Oregon Health & Science University Foundation 1121 SW Salmon Street, Suite 100 Portland, Oregon 97205	509(a)(1)	Three-year special initiative to advance end-of-life care by catalyzing the spread of POLST (Physician Orders for Life sustaining Treatment) across the United States through small grants, technical assistance and national program office support.	\$110,000
Our Lady of Peace Church 7851 South Jeffery Boulevard Chicago, Illinois 60649	509(a)(1)	One-year grant to install automatic entrance door and repair broken sidewalk	\$6,000
Our Lady of Sorrows Basilica Parish 3121 W. Jackson Boulevard Chicago, Illinois 60612	509(a)(1)	Eighteen-month grant to renovate restrooms for accessibility	\$19,700

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Our Lady of the Snows Parish 4810 S Leamington Avenue Chicago, Illinois 60638	509(a)(1)	One-year grant to update sound and assistive listening system.	\$7,334
Partners for Sacred Places 1700 Sansom Street, Tenth Floor Philadelphia, Pennsylvania 19103	509(a)(1)	One-year grant to continue building the capacity of the Chicago regional office to assist local religious congregations sustain their buildings and improve their programs	\$30,000
PEER Services, Inc. 906 Davis Street Evanston, Illinois 60201	509(a)(1)	One-year continuing support for a multi-pronged effort to increase older adults' access to evidence-based substance abuse treatment	\$38,500
PeopleCare, Inc 60 Akenside Road Riverside, Illinois 60546	509(a)(1)	Five-month grant resource development consultation.	\$5,000
Pillars 333 North LaGrange Road Suite One LaGrange Park, Illinois 60526	509(a)(2)	One-year grant to replicate PEARLS, a model program designed to reduce depressive symptoms among older adults	\$27,500
Planning for Elders in the Central City 965 Mission Street, Suite 550 San Francisco, California 94103	509(a)(1)	Three-year grant for education and advocacy to promote safer hospital-to-home transitions for older adults	\$35,052
Prairie State Legal Services, Inc. 303 North Main Street, Suite 600 Rockford, Illinois 61101	509(a)(1)	One-year grant to develop a telephone hotline for older adults to improve their access to legal services	\$32,000
Public Action Foundation 27 E Monroe - Suite 1100 Chicago, Illinois 60603	509(a)(1)	Six-month grant to train seniors about Social Security, Medicare, and Medicaid programs, build a base of activists in Chicago and central Illinois, and develop leadership among Illinois Alliance for Retired Americans	\$22,150
Rainbow Hospice and Palliative Care 1550 Bishop Court Park Ridge, Illinois 60056	509(a)(1)	Three-year grant to build capacity of four nursing homes for persons with advanced dementia, and develop a self-sustaining, specialized dementia care program	\$48,005
Reba Place Church 535 Custer Avenue Evanston, Illinois 60202	509(a)(1)	Six-month grant to assess, identify, and prioritize areas for growth in organizational capacity building	\$3,000
RefugeeONE 4753 North Broadway Suite 401 Chicago, Illinois 60640	509(a)(1)	Three-year grant to develop services for two new immigrant populations of senior refugees and add a mentoring component to the program.	\$50,000
Rehabilitation Institute of Chicago 345 East Superior Street Chicago, Illinois 60611	509(a)(1)	Three-year grant for on-line database to assist rehabilitation clinicians working with older adult patients	\$49,316
Research Triangle Institute 3040 Cornwallis Road PO Box 12194 Research Triangle Park, North Carolina 27709-2194	509(a)(3)	Two-year grant for research using new data from the National Assistant Survey to examine 1) the link between workforce variables and patient care outcomes, and 2) characteristics of the immigrant workforce in institutional long-term care (LTC) settings	(\$26,468)
Rush University Medical Center 1653 West Congress Parkway Chicago, Illinois 60612-3833	509(a)(1)	Two-year grant to address older adult substance abuse and medication misuse with an intervention and education protocol	\$45,774
Ruth Rales Jewish Family Service of South Palm Beach County, Inc 21300 Ruth & Baron Coleman Boulevard Boca Raton, Florida 33428-1757	509(a)(1)	One-year grant for continued support of a transportation program that fosters independent living among seniors.	\$16,643
Saint John's Healing Community Board 222 Goethe Avenue Collinsville, Illinois 62234-3306	509(a)(1)	Six-month grant to determine feasibility of opening an adult day service center in southwestern Illinois.	\$19,004
Saint Joseph Church 10519 Main Street Richmond, Illinois 60071	509(a)(1)	Eighteen-month grant to replace obsolete chairlift with new vertical platform lift and restripe parking lot to meet accessibility requirements	\$26,362
Saint Joseph's Hospital Inc 3001 W Dr Martin Luther King Jr. Boulevard Tampa, Florida 33607	509(a)(1)	One-year grant for a volunteer program providing companionship for unfriended people near death	\$25,500

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Saint Louis University 221 N. Grand Boulevard St. Louis, Missouri 63103	509(a)(1)	One-year grant to develop and deliver a training curriculum on mobility counseling for providers of aging services	\$26,939
Saint Mark Parish 1048 N. Campbell Avenue Chicago, Illinois 60622	509(a)(1)	Eighteen-month grant to construct walkway, entrance ramp, and entrance door for accessibility	\$23,383
Saint Mark United Church of Christ 312 Chicago Road Chicago Heights, Illinois 60411	509(a)(1)	Eighteen-month grant to remove the existing hazardous parking lot and replace it with one that is safe and accessible	(\$3,003)
Saint Mary's Parish 140 N. Oakwood Avenue West Chicago, Illinois 60185	509(a)(1)	One-year support for start-up of a Parish Nurse program for low-income, Hispanic elders	\$12,870
Saint Paul's Lutheran Church of Evanston 1004 Greenwood Street Evanston, Illinois 60201	509(a)(1)	Eighteen-month grant to construct ramp at main entrance	\$30,000
Salvation Army 5040 North Pulaski Chicago, Illinois 60630	509(a)(1)	One-year grant to replicate PEARLS, a model program designed to reduce depressive symptoms among older adults.	\$27,000
Senior Aid c/o Regus Offices 875 N. Michigan Avenue, Suite 3100 Chicago, Illinois 60611	509(a)(1)	Six-month grant to assess, identify, and prioritize areas for growth in organizational capacity building.	\$3,000
Senior Services Associates, Inc. 101 South Grove Avenue Elgin, Illinois 60120	509(a)(1)	One-year grant to expand a senior companion and volunteer program serving isolated seniors.	\$22,473
Seniors on a Mission, Inc. 2050 Art Museum Drive, Suite 102 Jacksonville, Florida 32207	509(a)(1)	One-year grant supporting volunteer opportunities that provide sense of purpose to older adults who live alone	\$40,200
Shawnee Health Service & Development Corp. 109 California St. Carterville, Illinois 62918	509(a)(1)	One year grant to test a social work transitional care model in a rural area, designed to enable frail elders to return home successfully after a hospital stay.	\$48,018
Sinsinawa Dominicans 585 County Road Z Sinsinawa, Wisconsin 53824-9700	509(a)(1)	Six-month grant to purchase equipment for an assisted living community. serving women religious	\$26,085
Sisters of the Holy Family of Nazareth 310 North River Road Des Plaines, Illinois 60016	509(a)(1)	One-year grant to purchase a adaptive equipment for elderly residents with vision loss	\$2,395
Sixth Grace Presbyterian Church 600 East 35th Street Chicago, Illinois 60616	509(a)(1)	Eighteen-month grant to construct two new accessible restrooms	\$11,698
Social Development Foundation, Inc. 4041 N. Richards St. Milwaukee, Wisconsin 53212	509(a)(1)	One-year grant to educate, screen, and enroll seniors into benefits programs.	\$25,000
Southeast Lake County Faith in Action Volunteers 2789 Oak Street Highland Park, Illinois 60035	509(a)(1)	One-year grant to increase number of volunteers and older adult care recipients in a program serving community-based elderly	\$6,200
Swedish Covenant Hospital 5145 North California Avenue Chicago, Illinois 60625	509(a)(1)	One -year support to expand a home telehealth monitoring program for congestive heart failure patients	\$40,000
Terra Nova Films, Inc. 9848 South Winchester Avenue Chicago, Illinois 60643	509(a)(1)	One-grant to expand and enhance videos on English and Spanish websites for family caregivers of older adults.	\$28,200
The Foundation Center 79 Fifth Avenue, 4th Fl New York, New York 10003	509(a)(1)	One-year grant for 2011 program support	\$12,000



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The University of Georgia Research Foundation, Inc 617 Boyd Graduate Studies Research Center Athens, Georgia 30602-7411	509(a)(1)	Three-year grant to complete a longitudinal implementation evaluation of the ElderSprint community, the first elder co-housing experiment in the country.	(\$2,365)
Thresholds 4101 N Ravenswood Avenue Chicago, Illinois 60613	509(a)(1)	Fourteen-month grant to continue research on an integrated mental/physical health care intervention for older adults with severe and persistent mental illness.	\$53,445
Touchette Regional Hospitals, Inc 5900 Bond Avenue Centreville, Illinois 62207	509(a)(1)	One-year grant to connect low-income seniors in East St. Louis with benefits and resources	\$40,000
Treasure Coast Hospice 1201 S E Indian Street Stuart, Florida 34997	509(a)(1)	One-year continuation grant to expand a palliative care program in area nursing homes.	\$50,000
United Community Center, Inc 1028 South 9th Street Milwaukee, Wisconsin 53204	509(a)(1)	Two-year grant to coordinate health and social services at a new medical center serving low-income elderly Latinos	\$25,000
University of California, Los Angeles Los Angeles, California 90095-1406	509(a)(1)	One-year grant to study the quality of care for vulnerable community-dwelling elderly who transition directly from receiving care in the hospital emergency department to their homes.	\$63,775
University of Maryland, Baltimore 620 West Lexington Street Baltimore, Maryland 21201	509(a)(1)	Eighteen-month grant for the first national, longitudinal study of health and cost consequences of inappropriate use of psychopharmacological medications for nursing home residents	\$98,697
University of Minnesota Office of Sponsored Projects Administration 450 McNamara Alumni Center 200 Oak Street S E Minneapolis, Minnesota 55455-2070	509(a)(1)	Eighteen-month grant to classify different models of existing small house nursing homes to determine the most successful way to operate these homes and learn to configure residential long-term care facilities to provide the best care for its residents	\$50,000
University of Minnesota Office of Sponsored Projects Administration 450 McNamara Alumni Center 200 Oak Street S E. Minneapolis, Minnesota 55455-2070	509(a)(1)	Eighteen-month grant to classify different models of existing small house nursing homes to determine the most successful way to operate these homes and learn to configure residential long-term care facilities to provide the best care for its residents	\$49,793
University of South Florida Division of Sponsored Research 3650 Spectrum Boulevard, Suite 160 Tampa, Florida 33612	509(a)(1)	Eighteen-month grant to test feasibility of a model, faith-based collaborative addressing depression in low-income African -American elders.	\$50,000
University of Washington Office of Research Office of Sponsored Programs 1100 NE 45th Street, Suite 300 Seattle, Washington 98105		One-year grant for webinars and technical assistance to enable service providers to implement one of three evidence-based programs for identifying and treating depression among elders	(\$478)
Wayne State University Office of Research and Sponsored Programs 656 West Kirby Detroit, Michigan 48202	509(a)(1)	Two-year grant for a randomized controlled trial of a project to bring continuing education on mental health and aging to occupational therapists	\$31,911
Wayne State University Office of Research and Sponsored Programs 656 West Kirby Detroit, Michigan 48202	509(a)(1)	Two-year grant for a randomized controlled trial of a project to bring continuing education on mental health and aging to occupational therapists	\$72,363
		Total	\$4,163,828
		Add Back Returned Grants included above	\$34,200
		Total Grants Paid (Attachment A)	\$4,198,028

**RETIREMENT RESEARCH FOUNDATION  
CHICAGO, ILLINOIS  
FORM 990 PF  
DECEMBER 31, 2011  
36-2429540**

**MATCHING GIFTS  
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RETIREMENT RESEARCH FOUNDATION CHICAGO, IL  
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FOR THE YEAR ENDED 12-31-2011

ATTACHMENT B

Name	Address	Tax Status	Amount
Services for Adults Staying in Their Homes	(SASI) 1123 Emerson, #200 Evanston, IL 60201	509(a)(1)	\$750
St John Brebeuf Catholic Church	8307 N. Harlem Avenue Niles, IL 60714	509(a)(1)	\$30,000
Services for Adults Staying in Their Homes	(SASI) 1123 Emerson, #200 Evanston, IL 60201	509(a)(1)	\$750
St Jude Children's Research Hospital	Workplace Giving-ALSAC 501 St Jude Place Memphis, TN 38105	509(a)(1)	\$300
St Stephen Protomartyr	1267 E. Everett Avenue Des Plaines, IL 60018	509(a)(1)	\$300
Lake Forest Lake Bluff Sr. Citizens Fdn	100 E. Old Mill Road Lake Forest, IL 60045	509(a)(1)	\$600
Shore Community Services, Inc	4232 Dempster Street Skokie, IL 60076	509(a)(1)	\$225
American Occupational Therapy Found	4720 Montgomery Lane P O. Box 31220 Bethesda, MD 20814-1220	509(a)(1)	\$1,050
Generations Incorporated	25 Kingston St , 4th Floor Boston, MA 02111	509(a)(1)	\$1,500
Chicago Symphony Orchestra	220 S Michigan Ave Chicago, IL 60604	509(a)(1)	\$300
Evanston Community Foundation, Inc	1007 Church St , #108 Evanston, IL 60201	509(a)(1)	\$675
St Mark the Evangelist	7117 14th Avenue Kenosha, WI 53143	509(a)(1)	\$300
Wayland Academy	101 N. University Avenue Beaver Dam, WI 53916	509(a)(1)	\$600
St Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	509(a)(1)	\$3,000
Rehabilitation Institute of Chicago	345 E Superior Street Chicago, IL 60611	509(a)(1)	\$300
Dystonia Medical Research Foundation	One East Wacker Dr , Ste 2810 Chicago, IL 60601	509(a)(1)	\$750
Waterwell Productions, Inc.	515 W 46th St , 2nd Floor New York, NY 10036	509(a)(1)	\$600
Seniors Action Service, Inc (SASI)	Services for Adults Staying in their Home 1123 Emerson Street, Ste 200	509(a)(1)	\$750
Bonnet House, Inc	900 N Birch Rd Ft Lauderdale, FL 33304	509(a)(1)	\$900
St Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	509(a)(1)	\$3,000
Little Brothers-Friends of the Elderly	355 N Ashland Avenue Chicago, IL 60607	509(a)(1)	\$1,500
Our Saviour's Lutheran Church	1234 N Arlington Hts Rd Arlington Hts., IL 60004	509(a)(1)	\$6,000
Pillars Community Services	333 N LaGrange Rd., Suite One LaGrange Park, IL 60526	509(a)(1)	\$4,500
St Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	509(a)(1)	\$3,000
NCCNHR	National Consumer Voice for Quality Long-Term Care 1001 Connecticut	509(a)(1)	\$150
Catholic Bishop of Chicago	835 N Rush Street Chicago, IL 60611	509(a)(1)	\$4,500
Wildflowers Institute	1144 Pacific Avenue San Francisco, CA 94133-4212	509(a)(1)	\$1,500
St Scholastica Academy	7416 N Ridge Blvd. Chicago, IL 60645	509(a)(1)	\$1,500
Globalgiving Foundation Inc.	1023 15th St. NW, Suite 1200 Washington, DC 20005	509(a)(1)	\$300
Generations Incorporated	25 Kingston St , 4th Floor Boston, MA 02111	509(a)(1)	\$3,000
Center of Concern	1580 N Northwest Hwy , Ste 310 Park Ridge, IL 60068-1462	509(a)(1)	\$150
LifePath Hospice, Inc	12973 Telecom Pkwy., Ste. 100 Temple Terrace, FL 33637	509(a)(1)	\$300
Lincoln Park Village, Inc	2502 N. Clark Street Chicago, IL 60614	509(a)(1)	\$4,500
MayaWorks, Inc	1732 W Hubbard St., Suite 1A Chicago, IL 60622	509(a)(1)	\$375
Archdiocese of Miami Inc.	9401 Biscayne Blvd Miami Shores, FL 33138	509(a)(1)	\$600
Access Living of Metropolitan Chicago	115 W. Chicago Avenue Chicago, IL 60654	509(a)(1)	\$2,100
Sisters of the Holy Family of Nazareth	310 N River Road Des Plaines, IL 60016	509(a)(1)	\$1,050
Play for P.I.N.K., Inc.	175 E. 74th St , Suite 17B New York, NY 10021-3213	509(a)(1)	\$150
The Humane Society of the United States	2100 L Street NW Washington, DC 20037	509(a)(1)	\$600
Center for Tax and Budget Accountability	70 E. Lake Street, Suite 1700 Chicago, IL 60601	509(a)(1)	\$375
St Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	509(a)(1)	\$3,000
Little Brothers-Friends of the Elderly	355 N Ashland Avenue Chicago, IL 60607	509(a)(1)	\$900
St Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	509(a)(1)	\$3,000
City at Peace - National	The Possibility Project 104 W. 27th Street, 12th Floor New York, NY 100	509(a)(1)	\$6,000

RETIREMENT RESEARCH FOUNDATION CHICAGO, IL  
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Access Living of Metropolitan Chicago	115 W Chicago Avenue Chicago, IL 60654	509(a)(1)	\$1,800
Eastern Michigan University Foundation	P. O Box 972057 Ypsilanti, MI 48197	509(a)(1)	\$150
Family Matters, Inc	7731 N. Marshfield Ave. Chicago, IL 60626	509(a)(1)	\$150
St. Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	509(a)(1)	\$3,000
Aging Care Connections	111 W Harris Ave. LaGrange, IL 60525	509(a)(1)	\$2,250
Seniors Action Service, Inc (SASI)	Services for Adults Staying in their Home 1123 Emerson Street, Ste 200	509(a)(1)	\$750
Catholic Charities of Chicago	721 N LaSalle Street Chicago, IL 60654-3503	509(a)(1)	\$3,000
St. Mark the Evangelist	7117 14th Avenue Kenosha, WI 53143	509(a)(1)	\$300
Chicago Public Library Foundation	20 N Michigan Ave -Suite 520 Chicago, IL 60602	509(a)(1)	\$1,500
University of Notre Dame du Lac	731 Grace Hall Notre Dame, IN 46556	509(a)(1)	\$9,000
Rev John P Smyth Standing Tall Fdn	Chantable Foundation 1100 N River Road Des Plaines, IL 60016	509(a)(1)	\$300
St Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	509(a)(1)	\$3,000
Lincoln Park Village, Inc	2502 N Clark Street Chicago, IL 60614	509(a)(1)	\$750
Lake Forest Lake Bluff Sr Citizens Fdn.	100 E Old Mill Road Lake Forest, IL 60045	509(a)(1)	\$600
St Raymond de Penafort Church	301 S I-Oka Mt Prospect, IL 60056	509(a)(1)	\$12,000
Seniors Action Service, Inc.(SASI)	Services for Adults Staying in their Home 1123 Emerson Street, Ste 200	509(a)(1)	\$750
Lincoln Park Zoological Society	2001 N Clark Street Chicago, IL 60614	509(a)(1)	\$150
Benedictine Sisters of Chicago	St Scholastica Monastery 7430 N Ridge Blvd Chicago, IL 60645-1913	509(a)(1)	\$450
Aging Care Connections	111 W Harris Ave LaGrange, IL 60525	509(a)(1)	\$375
St Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	509(a)(1)	\$3,000
Muscular Dystrophy Association-Chicago	520 W Ene St , #200 Chicago, IL 60654	509(a)(1)	\$150
Art Institute of Chicago	111 S Michigan Avenue Chicago, IL 60603	509(a)(1)	\$600
Housing Opportunities & Maintenance	for the Elderly 1419 W Carroll Avenue, FL 2 Chicago, IL 60607-1149	509(a)(1)	\$750
Housing Opportunities & Maintenance	for the Elderly 1419 W Carroll Avenue, FL 2 Chicago, IL 60607-1149	509(a)(1)	\$1,500
Seniors Action Service, Inc (SASI)	Services for Adults Staying in their Home 1123 Emerson Street, Ste 200	509(a)(1)	\$300
American Cancer Society, Inc	225 N Michigan Ave., Ste 1200 Chicago, IL 60601	509(a)(1)	\$150
National Parks Conservation Association	777 6th St. NW, Suite 7000 Washington, DC 20001	509(a)(1)	\$300
Rev John P Smyth Standing Tall Fdn.	Chantable Foundation 1100 N River Road Des Plaines, IL 60016	509(a)(1)	\$300
Rev. John P Smyth Standing Tall Fdn.	Chantable Foundation 1100 N River Road Des Plaines, IL 60016	509(a)(1)	-\$300
Seniors Action Service, Inc (SASI)	Services for Adults Staying in their Home 1123 Emerson Street, Ste 200	509(a)(1)	\$1,200
St Luke's Episcopal Church	939 Hinman Avenue Evanston, IL 60202	509(a)(1)	\$1,500
Office of Immigrant Affairs	Archdiocese of Chicago 3525 S. Lake Park Ave Chicago, IL 60653	509(a)(1)	\$1,500
Our Saviour's Lutheran Church	1234 N Arlington Hts Rd Arlington Hts , IL 60004	509(a)(1)	\$15,000
Rev. John P Smyth Standing Tall Fdn.	Chantable Foundation 1100 N River Road Des Plaines, IL 60016	509(a)(1)	\$300
Susan G. Komen Breast Cancer Foundation	Susan G Komen 3-Day 205 N Michigan Ave , Ste. 2630 Chicago, IL 60	509(a)(1)	\$150
Little Brothers-Friends of the Elderly	355 N Ashland Avenue Chicago, IL 60607	509(a)(1)	\$900
St Scholastica Academy	7416 N. Ridge Blvd Chicago, IL 60645	509(a)(1)	\$1,500
Little Brothers-Friends of the Elderly	355 N. Ashland Avenue Chicago, IL 60607	509(a)(1)	\$300
American Cancer Society, Inc	225 N Michigan Ave., Ste 1200 Chicago, IL 60601	509(a)(1)	\$150
Northwestern University	Matching Gifts 2020 Ridge Avenue, Suite 230 Evanston, IL 60208-4305	509(a)(1)	\$15,200
Rehabilitation Institute of Chicago	345 E Superior Street Chicago, IL 60611	509(a)(1)	\$150
Lincoln Park Village, Inc	2502 N Clark Street Chicago, IL 60614	509(a)(1)	\$3,000
St Baldrick's Foundation Inc	1333 S. Mayflower Ave , #400 Monrovia, CA 91016	509(a)(1)	\$150
The Chicago Community Trust	111 East Wacker, Suite 1400 Chicago, IL 60601	509(a)(1)	\$1,500
MayaWorks, Inc	1732 W Hubbard St , Suite 1A Chicago, IL 60622	509(a)(1)	\$150

RETIREMENT RESEARCH FOUNDATION CHICAGO, IL  
36-2429540 FORM 990PF PART XV LINE 3b MATCHING GIFTS PROGRAM  
FOR THE YEAR ENDED 12-31-2011

ATTACHMENT B

St. Barnabas Church	10134 S. Longwood Dr. Chicago, IL 60643	509(a)(1)	\$6,000
American Occupational Therapy Found	4720 Montgomery Lane P O Box 31220 Bethesda, MD 20814-1220	509(a)(1)	\$900
St Gabriel Catholic Church	731 N Ocean Blvd. Pompano Beach, FL 33062	509(a)(1)	\$750
Center for Medicare Advocacy, Inc	1025 Connecticut Ave, NW, Ste. 709 Washington, DC 20036	509(a)(1)	\$750
University of Illinois Foundation	1305 West Green Street Urbana, IL 61801-2962	509(a)(1)	\$600
St. Ignatius College Prep.	1076 W. Roosevelt Rd Chicago, IL 60608	509(a)(1)	\$1,500
Chicago Jesuit Academy	5058 W Jackson Blvd Chicago, IL 60644	509(a)(1)	\$1,500
Leo High School	7901 S Sangamon St Chicago, IL 60620	509(a)(1)	\$1,500
The Church of the Three Crosses	333 W. Wisconsin Chicago, IL 60614	509(a)(1)	\$150
University of Wisc. Milwaukee Foundation	1440 E North Ave Milwaukee, WI 53202	509(a)(1)	\$15,000
Wayland Academy	101 N University Avenue Beaver Dam, WI 53916	509(a)(1)	\$600
University of MN Foundation	Lockbox "B" CM 3854 P. O Box 70870 St Paul, MN 55170-3854	509(a)(1)	\$600
Grantmakers in Aging	7333 Paragon Rd , Ste 220 Dayton, OH 45459-4157	509(a)(1)	\$900
Lake Forest Lake Bluff Sr. Citizens Fdn	100 E Old Mill Road Lake Forest, IL 60045	509(a)(1)	\$600
Saint Xavier University	3700 W. 103rd Street Chicago, IL 60655	509(a)(1)	\$15,900
Central Catholic High School	1201 Airport Road Bloomington, IL 31701	509(a)(1)	\$3,000
Chicago Symphony Orchestra	220 S Michigan Ave Chicago, IL 60604	509(a)(1)	\$300
Evanston Community Foundation, Inc	1007 Church St , #108 Evanston, IL 60201	509(a)(1)	\$450
Generations Incorporated	25 Kingston St , 4th Floor Boston, MA 02111	509(a)(1)	\$1,500
God's Awesome Army Ministries	P. O Box 682528 Houston, TX 77268-2528	509(a)(1)	\$4,200
Greater Chicago Food Depository	4100 W Ann Lume Place Chicago, IL 60632	509(a)(1)	\$300
Rehabilitation Institute of Chicago	345 E Superior Street Chicago, IL 60611	509(a)(1)	\$750
Services for Adults Staying in Their Homes	(SASI) 1123 Emerson, #200 Evanston, IL 60201	509(a)(1)	\$300
Chicago Province of Society of Jesus	2050 N. Clark St. Chicago, IL 60614	509(a)(1)	\$1,500
University of Chicago	1427 E. 60th Chicago, IL 60637	509(a)(1)	\$375
University of Chicago	1427 E 60th Chicago, IL 60637	509(a)(1)	\$750
Little Brothers-Friends of the Elderly	355 N. Ashland Avenue Chicago, IL 60607	509(a)(1)	\$150
St. Jude Children's Research Hospital	Workplace Giving-ALSAC 501 St. Jude Place Memphis, TN 38105	509(a)(1)	\$150
AgeOptions Inc	1048 W Lake Street, Suite 300 Oak Park, IL 60301-1102	509(a)(1)	\$75
Salvation Army	1445 W Broward Blvd Fort Lauderdale, FL 33312	509(a)(1)	\$150
Misericordia Home	6300 North Ridge Chicago, IL 60660	509(a)(1)	\$300
National Religious Retirement Office	3211 4th Street NE Washington, DC 20017-1194	509(a)(1)	\$1,500
Rotary/One Foundation, Inc	65 W Jackson Blvd Chicago, IL 60604	509(a)(1)	\$750
Catholic Relief Services, Inc	228 W Lexington St Baltimore, MD 21201	509(a)(1)	\$900
	<b>TOTAL MATCHING GIFTS</b>		<b>\$250,025</b>

**RETIREMENT RESEARCH FOUNDATION  
CHICAGO, ILLINOIS  
FORM 990 PF  
DECEMBER 31, 2011  
36-2429540**

**GRANTS APPROVED FOR FUTURE PAYMENT  
ATTACHMENT C  
Part XV Page 11  
Details to Line 3b  
Pages 1 through 8**

<u>Payee Name</u> <u>Payee Address</u>	<u>Tax</u> <u>Status</u>	<u>Request Project Description</u>	<u>Amount</u>
AgeOptions, Inc. 1048 West Lake Street, Suite 300 Oak Park, Illinois 60301-1102	509(a)(1)	One-year continued support for the Make Medicare Work Coalition.	\$50,000
AgeOptions, Inc. 1048 West Lake Street, Suite 300 Oak Park, Illinois 60301-1102	509(a)(1)	One-year continued support for the Make Medicare Work Coalition.	\$32,500
AgeOptions, Inc. 1048 West Lake Street, Suite 300 Oak Park, Illinois 60301-1102	509(a)(1)	One-year continued support for the Make Medicare Work Coalition.	\$32,500
Aging Care Connections 111 West Harris Avenue La Grange, Illinois 60525	509(a)(1)	One-year grant to plan and launch a capital campaign.	\$49,830
American Public Health Association 800 I Street, N.W. Washington, DC 20001-3710	509(a)(2)	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health.	\$6,000
American Public Health Association 800 I Street, N.W. Washington, DC 20001-3710	509(a)(2)	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health.	\$6,000
American Public Health Association 800 I Street, N.W. Washington, DC 20001-3710	509(a)(2)	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health.	\$6,000
American Public Health Association 800 I Street, N.W. Washington, DC 20001-3710	509(a)(2)	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health.	\$6,000
American Public Health Association 800 I Street, N.W. Washington, DC 20001-3710	509(a)(2)	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health.	\$6,000
American Public Health Association 800 I Street, N.W. Washington, DC 20001-3710	509(a)(2)	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health.	\$6,000
Asian Health Coalition 180 W. Washington St., Suite 1000 Chicago, Illinois 60602	509(a)(1)	One-year grant to develop and implement a linguistically and culturally competent colorectal cancer prevention program targeting older Korean-Americans.	\$30,000
Benedictine Sisters of Chicago-St. Scholastica Monastery 7430 North Ridge Boulevard Chicago, Illinois 60645-1913	509(a)(1)	Six-month grant to evaluate resource development practices, improve development infrastructure, and increase donor revenue.	\$35,500
Brown University 164 Angell Street, Box 1929 Providence, Rhode Island 02912	509(a)(1)	One-year grant for research on the prevalence and impact of billing Medicare beneficiary stays as outpatient observation.	\$35,710

Brown University 164 Angell Street, Box 1929 Providence, Rhode Island 02912	509(a)(1)	Three year grant to evaluate toward objectives of RRF Initiative to supporting national expansion of the 'Physicians Orders for Life-Sustaining Treatment' paradigm.	\$20,000
Brown University 164 Angell Street, Box 1929 Providence, Rhode Island 02912	509(a)(1)	Three year grant to evaluate toward objectives of RRF Initiative to supporting national expansion of the 'Physicians Orders for Life-Sustaining Treatment' paradigm.	\$20,000
Brown University 164 Angell Street, Box 1929 Providence, Rhode Island 02912	509(a)(1)	Three year grant to evaluate toward objectives of RRF Initiative to supporting national expansion of the 'Physicians Orders for Life-Sustaining Treatment' paradigm.	\$20,000
Chicago Alliance to End Homelessness 651 West Washington Street, Suite 504 Chicago, Illinois 60661	509(a)(1)	One-year continuation grant for an initiative improving the system of resources and services for homeless elders.	\$50,000
Chinese American Service League, Inc. 2141 South Tan Court Chicago, Illinois 60616	509(a)(1)	One-year grant continuation gant to implement the Chinese-language version of Healthy IDEAS for depression screening and intervention of 400 Chinese seniors.	\$35,000
Community Harvest Food Bank of Northeast Indiana, Inc. 999 East Tillman Road P.O. Box 10967 Fort Wayne, Indiana 46855-0967	509(a)(1)	One-year grant to support home-delivered groceries to more than 1,000 low-income, homebound seniors.	\$25,000
Council on Aging of Martin County, Inc. 900 SE Salerno Road Stuart, Florida 34997	509(a)(1)	One-year grant to create a model geriatric specialty clinic located within a senior center.	\$50,000
Council on Aging of Martin County, Inc. 900 SE Salerno Road Stuart, Florida 34997	509(a)(1)	One-year grant to create a model geriatric specialty clinic located within a senior center.	\$31,440
Council on Aging of Martin County, Inc. 900 SE Salerno Road Stuart, Florida 34997	509(a)(1)	One-year grant to create a model geriatric specialty clinic located within a senior center.	\$50,000
Dental Lifeline Network - Illinois P.O. Box 211 Northbrook, Illinois 60065	509(a)(2)	One-year grant to replace a van that transports portable dental equipment required to deliver oral health to frail elders and persons with disabilities.	(\$2,783)
Easter Seals, National Office 233 S. Wacker Drive, Suite 2400 Chicago, Illinois 60606	509(a)(2)	Two-year grant for a training program to transform the culture of adult day services to deliver person-centered care.	\$37,212
Easter Seals, National Office 233 S. Wacker Drive, Suite 2400 Chicago, Illinois 60606	509(a)(2)	Two-year grant for a training program to transform the culture of adult day services to deliver person-centered care.	\$37,200



Easter Seals, National Office 233 S. Wacker Drive, Suite 2400 Chicago, Illinois 60606	509(a)(2)	Two-year grant for a training program to transform the culture of adult day services to deliver person-centered care.	\$37,200
Easter Seals, National Office 233 S. Wacker Drive, Suite 2400 Chicago, Illinois 60606	509(a)(2)	Two-year grant for a training program to transform the culture of adult day services to deliver person-centered care.	\$37,200
Easter Seals, National Office 233 S. Wacker Drive, Suite 2400 Chicago, Illinois 60606	509(a)(2)	Two-year grant for a training program to transform the culture of adult day services to deliver person-centered care.	\$37,200
Eskenazi Health Foundation 1001 W. Tenth Street Indianapolis, Indiana 46202	509(a)(3)	One-year grant to continue and expand a volunteer guardianship program protecting health, well-being, and safety of isolated decisionally-incapable ill seniors.	\$49,515
Eskenazi Health Foundation 1001 W. Tenth Street Indianapolis, Indiana 46202	509(a)(3)	One-year grant to continue and expand a volunteer guardianship program protecting health, well-being, and safety of isolated decisionally-incapable ill seniors.	\$50,000
Florida Atlantic University 777 Glades Rd. Boca Raton, Florida 33431-0991	509(a)(1)	One-year grant to further develop and evaluate a distance-learning curriculum for nursing home professional staff to help reduce unnecessary transfer of residents to acute care hospitals.	(\$15)
Greater Chicago Food Depository 4100 West Ann Lurie Place Chicago, Illinois 60632	509(a)(1)	One-year grant to identify and address the hunger needs of older adults in Cook County, Illinois through food distribution.	\$50,000
HealthCare Chaplaincy, Inc. 315 East 62nd Street, 4th Floor New York, New York 10065	509(a)(2)	One-year grant supporting creation of training program to prepare chaplains for national certification in palliative care.	\$37,500
Horizon Hospice & Palliative Care 833 West Chicago Avenue Chicago, Illinois 60622	509(a)(1)	One-year grant to educate all staff at Rush University Medical Center about hospice care to assure success of new in-patient hospice unit at Rush.	\$30,000
Horizon Hospice & Palliative Care 833 West Chicago Avenue Chicago, Illinois 60622	509(a)(1)	One-year grant to educate all staff at Rush University Medical Center about hospice care to assure success of new in-patient hospice unit at Rush.	\$30,192
International Honor Society of Nursing Foundation 550 W. North Street Indianapolis, Indiana 46202	509(a)(1)	Two-year grant to train and support nurses for leadership and improved care delivery in geriatric settings.	\$76,063
International Honor Society of Nursing Foundation 550 W. North Street Indianapolis, Indiana 46202	509(a)(1)	Two-year grant to train and support nurses for leadership and improved care delivery in geriatric settings	\$59,972

Korean American Community Services 4300 North California Avenue Chicago, Illinois 60618-1514	509(a)(2)	One-year grant to recruit and train older Korean-American volunteers to assist other Korean elders through community outreach, facilitating educational sessions, and enrolling eligible elders in public benefits programs.	\$35,000
Legal Aid Society of Palm Beach County, Inc. 423 Fern Street, Suite 200 West Palm Beach, Florida 33401	509(a)(2)	One year challenge grant to provide legal services protecting low-income older adults from foreclosure and financial exploitation.	\$25,000
Mayo Clinic Rochester 200 First Street SW Rochester, Minnesota 55905	509(a)(2)	One-year grant to study precursors and consequences of leptin signaling in immunosenescence.	\$49,988
Mayo Clinic Rochester 200 First Street SW Rochester, Minnesota 55905	509(a)(2)	One-year grant to study precursors and consequences of leptin signaling in immunosenescence.	\$50,000
Mental Health Association of New York City, Inc. 50 Broadway, 19th Floor	509(a)(1)	One-year grant to provide technical assistance to multiple states working toward effective advocacy for geriatric	\$37,500
National Able Network 567 W. Lake Street, Suite 1150 Chicago, Illinois 60661-1405	509(a)(1)	One-year grant to expand employment and counseling services to low-income seniors.	\$26,000
National Center for State Courts 300 Newport Avenue Williamsburg, Virginia 23185-4147	509(a)(1)	Two-year continuing grant providing resources on aging issues, elder abuse, and guardianship to state judges and court personnel.	\$66,905
National Center for State Courts 300 Newport Avenue Williamsburg, Virginia 23185-4147	509(a)(1)	Two-year continuing grant providing resources on aging issues, elder abuse, and guardianship to state judges and court personnel.	\$59,849
National Council on Aging, Inc. 1901 L Street, N.W., 4th Floor Washington, DC 20036	509(a)(2)	Three-year special initiative to enhance the development of a new comprehensive model of assisting older adults to become more economically secure by creating, testing, and evaluating new technology tools for service providers and consumers.	\$127,246
National Council on Aging, Inc. 1901 L Street, N.W., 4th Floor Washington, DC 20036	509(a)(2)	Three-year special initiative to enhance the development of a new comprehensive model of assisting older adults to become more economically secure by creating, testing, and evaluating new technology tools for service providers and consumers.	\$127,247
National Council on Aging, Inc. 1901 L Street, N.W., 4th Floor Washington, DC 20036	509(a)(2)	Three-year special initiative to enhance the development of a new comprehensive model of assisting older adults to become more economically secure by creating, testing, and evaluating new technology tools for service providers and consumers.	\$201,250

National Council on Aging, Inc. 1901 L Street, N.W., 4th Floor Washington, DC 20036	509(a)(2)	Three-year special initiative to enhance the development of a new comprehensive model of assisting older adults to become more economically secure by creating, testing, and evaluating new technology tools for service providers and consumers.	\$201,250
National Council on Aging, Inc. 1901 L Street, N.W., 4th Floor Washington, DC 20036	509(a)(2)	Three-year special initiative to enhance the development of a new comprehensive model of assisting older adults to become more economically secure by creating, testing, and evaluating new technology tools for service providers and consumers.	\$196,500
National Council on Aging, Inc. 1901 L Street, N W., 4th Floor Washington, DC 20036	509(a)(2)	Three-year special initiative to enhance the development of a new comprehensive model of assisting older adults to become more economically secure by creating, testing, and evaluating new technology tools for service providers and consumers.	\$196,500
National People's Action 810 North Milwaukee Avenue Chicago, Illinois 60642	509(a)(2)	One-year grant for an advocacy campaign to end predatory payday lending practices targeting senior citizens.	\$45,000
Northwestern University Office of Sponsored Research 633 Clark St. Evanston, Illinois 60208	509(a)(1)	Eighteen-month grant to study the impact of mindfulness training on well-being of persons with early-stage Alzheimer's disease and their caregivers.	\$54,491
Norwegian Lutheran Bethesda Home 2833 North Nordica Avenue Chicago, Illinois 60634-9968	509(a)(1)	One-year grant to develop and implement planned giving.	\$12,400
Oregon Health & Science University Foundation 1121 SW Salmon Street, Suite 100 Portland, Oregon 97205	509(a)(1)	Three-year special initiative to advance end-of-life care by catalyzing the spread of POLST (Physician Orders for Life sustaining Treatment) across the United States through small grants, technical assistance and national program office support.	\$185,835
Oregon Health & Science University Foundation 1121 SW Salmon Street, Suite 100 Portland, Oregon 97205	509(a)(1)	Three-year special initiative to advance end-of-life care by catalyzing the spread of POLST (Physician Orders for Life sustaining Treatment) across the United States through small grants, technical assistance and national program office support.	\$185,835

Oregon Health & Science University Foundation 1121 SW Salmon Street, Suite 100 Portland, Oregon 97205	509(a)(1)	Three-year special initiative to advance end-of-life care by catalyzing the spread of POLST (Physician Orders for Life sustaining Treatment) across the United States through small grants, technical assistance and national program office support.	\$116,095
Personal Assistance Telephone Help, Inc. 201 E. Grove Street, Suite 200 Bloomington, Illinois 61701	509(a)(1)	Two-year grant to create self-neglect response system for older adults in McLean County, Illinois	\$29,746
Personal Assistance Telephone Help, Inc. 201 E. Grove Street, Suite 200 Bloomington, Illinois 61701	509(a)(1)	Two-year grant to create self-neglect response system for older adults in McLean County, Illinois.	\$20,852
Pillars 333 North LaGrange Road, Suite One LaGrange Park, Illinois 60526	509(a)(2)	One-year grant to replicate PEARLS, a model program designed to reduce depressive symptoms among older adults.	\$27,500
Pioneer Network in Culture Change 35 E. Wacker Drive, Suite 850 Chicago, Illinois 60601	509(a)(2)	Eighteen-month grant to promote the use of MDS3 resident preference data for enabling long-term care culture change.	\$89,375
Pioneer Network in Culture Change 35 E. Wacker Drive, Suite 850 Chicago, Illinois 60601	509(a)(2)	Eighteen-month grant to promote the use of MDS3 resident preference data for enabling long-term care culture change.	\$89,375
Provena Mercy Medical Center 1325 N. Highland Avenue Aurora, Illinois 60119	509(a)(1)	One-year grant supporting an Advanced Practice Nurse providing program care coordination to persons with dementia and their caregivers.	\$34,962
Rainbow Hospice and Palliative Care 1550 Bishop Court Park Ridge, Illinois 60056	509(a)(1)	Three-year grant to build capacity of four nursing homes for persons with advanced dementia, and develop a self-sustaining, specialized dementia care program.	\$60,740
Rainbow Hospice and Palliative Care 1550 Bishop Court Park Ridge, Illinois 60056	509(a)(1)	Three-year grant to build capacity of four nursing homes for persons with advanced dementia, and develop a self-sustaining, specialized dementia care program.	\$60,740
Rainbow Hospice and Palliative Care 1550 Bishop Court Park Ridge, Illinois 60056	509(a)(1)	Three-year grant to build capacity of four nursing homes for persons with advanced dementia, and develop a self-sustaining, specialized dementia care program.	\$51,955
Rainbow Hospice and Palliative Care 1550 Bishop Court Park Ridge, Illinois 60056	509(a)(1)	Three-year grant to build capacity of four nursing homes for persons with advanced dementia, and develop a self-sustaining, specialized dementia care program.	\$51,955

Rehabilitation Institute of Chicago 345 East Superior Street Chicago, Illinois 60611	509(a)(1)	Three-year grant for on-line database to assist rehabilitation clinicians working with older adult patients.	\$49,273
Rehabilitation Institute of Chicago 345 East Superior Street Chicago, Illinois 60611	509(a)(1)	Three-year grant for on-line database to assist rehabilitation clinicians working with older adult patients.	\$47,865
Rush University Medical Center 1653 West Congress Parkway Chicago, Illinois 60612-3833	509(a)(1)	Two-year grant to address older adult substance abuse and medication misuse with an intervention and education protocol.	\$45,774
Saint Louis University 221 N Grand Boulevard St. Louis, Missouri 63103	509(a)(1)	One-year grant to develop and deliver a training curriculum on mobility counseling for providers of aging services	(\$515)
Skokie Valley Agudath Jacob Synagogue 8825 N. East Prairie Road Skokie, Illinois 60076	509(a)(1)	Eighteen-month grant to renovate two restrooms for accessibility.	(\$2,176)
Skokie Valley Agudath Jacob Synagogue 8825 N. East Prairie Road Skokie, Illinois 60076	509(a)(1)	Eighteen-month grant to renovate two restrooms for accessibility.	(\$4,942)
Terra Nova Films, Inc. 9848 South Winchester Avenue Chicago, Illinois 60643	509(a)(1)	One-grant to expand and enhance videos on English and Spanish websites for family caregivers of older adults.	\$28,200
Treasure Coast Hospice 1201 S.E. Indian Street Stuart, Florida 34997	509(a)(1)	One-year continuation grant to expand a palliative care program in area nursing homes.	\$106,000
United Community Center, Inc. 1028 South 9th Street Milwaukee, Wisconsin 53204	509(a)(1)	Two-year grant to coordinate health and social services at a new medical center serving low-income elderly Latinos.	\$25,000
University of Illinois at Chicago Office of the Chancellor 2833 University Hall 601 South Morgan Chicago, Illinois 60607-7128	509(a)(1)	Two-year grant for research to test replication strategies for Fit and Strong, an evidence-based exercise program for older adults with osteoarthritis.	\$33,000
University of Illinois at Chicago Office of the Chancellor 2833 University Hall 601 South Morgan Chicago, Illinois 60607-7128	509(a)(1)	Two-year grant for research to test replication strategies for Fit and Strong, an evidence-based exercise program for older adults with osteoarthritis.	\$66,000
University of Illinois at Chicago Office of the Chancellor 2833 University Hall 601 South Morgan Chicago, Illinois 60607-7128	509(a)(1)	Two-year grant for research to test replication strategies for Fit and Strong, an evidence-based exercise program for older adults with osteoarthritis.	\$33,000

University of Maryland, Baltimore 620 West Lexington Street Baltimore, Maryland 21201	509(a)(1)	Eighteen-month grant for the first national, longitudinal study of health and cost consequences of inappropriate use of psychopharmacological medications for nursing home residents.	\$108,775
University of South Florida Division of Sponsored Research 3650 Spectrum Boulevard, Suite 160 Tampa, Florida 33612	509(a)(1)	Eighteen-month grant to test feasibility of a model, faith-based collaborative addressing depression in low-income African -American elders.	\$37,650
University of South Florida Division of Sponsored Research 3650 Spectrum Boulevard, Suite 160 Tampa, Florida 33612	509(a)(1)	Eighteen-month grant to test feasibility of a model, faith-based collaborative addressing depression in low-income African -American elders.	\$50,000
Wayne State University Office of Research and Sponsored Programs 656 West Kirby Detroit, Michigan 48202	509(a)(1)	Two-year grant for a randomized controlled trial of a project to bring continuing education on mental health and aging to occupational therapists.	\$31,000
Western Illinois Area Agency on Aging 729 34th Avenue Rock Island, Illinois 61201	509(a)(1)	One-year grant to expand the Matter of Balance falls prevention program across 13 mostly rural, west-central Illinois counties.	\$35,900
		<b>Total</b>	<b>\$4,376,831</b>
		<b>Add Back Returned Grants included above</b>	<b>\$10,431</b>
		<b>Total Grants Approved for Future Payment</b>	<b>\$4,387,262</b>

**RETIREMENT RESEARCH FOUNDATION  
CHICAGO, ILLINOIS  
FORM 990 PF  
DECEMBER 31, 2011  
36-2429540**

**CAPITAL GAINS AND LOSSES  
Part IV  
Details**

**Gains and Losses From Section 1256  
Contracts and Straddles**

▶ Attach to your tax return.

OMB No 1545-0644

**2011**

Attachment  
Sequence No **82**

Name(s) shown on tax return

Identifying number

**RETIREMENT RESEARCH FOUNDATION**

**36-3994838**

Check all applicable boxes (see instructions).

**A** ☐ Mixed straddle election

**C** ☐ Mixed straddle account election

**B** ☐ Straddle-by-straddle identification election

**D** ☐ Net section 1256 contracts loss election

**Part I Section 1256 Contracts Marked to Market**

(a) Identification of account		(b) (Loss)	(c) Gain
<b>1 From Global Endowment Fund II Form K-1 (26-1741307)</b>			<b>714,058</b>
<b>2</b> Add the amounts on line 1 in columns (b) and (c)		<b>2</b> ( )	<b>714,058</b>
<b>3</b> Net gain or (loss). Combine line 2, columns (b) and (c)		<b>3</b>	<b>714,058</b>
<b>4</b> Form 1099-B adjustments. See instructions and attach schedule		<b>4</b>	
<b>5</b> Combine lines 3 and 4		<b>5</b>	<b>714,058</b>
<b>Note:</b> If line 5 shows a net gain, skip line 6 and enter the gain on line 7. Partnerships and S corporations, see instructions.			
<b>6</b> If you have a net section 1256 contracts loss and checked box D above, enter the amount of loss to be carried back. Enter the loss as a positive number		<b>6</b>	
<b>7</b> Combine lines 5 and 6		<b>7</b>	
<b>8</b> Short-term capital gain or (loss). Multiply line 7 by 40% (.40). Enter here and include on the appropriate line of Schedule D (see instructions)		<b>8</b>	<b>285,623</b>
<b>9</b> Long-term capital gain or (loss). Multiply line 7 by 60% (.60). Enter here and include on the appropriate line of Schedule D (see instructions)		<b>9</b>	<b>428,435</b>

**Part II Gains and Losses From Straddles.** Attach a separate schedule listing each straddle and its components.

**Section A—Losses From Straddles**

(a) Description of property	(b) Date entered into or acquired	(c) Date closed out or sold	(d) Gross sales price	(e) Cost or other basis plus expense of sale	(f) Loss. If column (e) is more than (d), enter difference. Otherwise, enter -0-	(g) Unrecognized gain on offsetting positions	(h) Recognized loss. If column (f) is more than (g), enter difference. Otherwise, enter -0-
<b>10</b>							
<b>11a</b> Enter the short-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions)							<b>11a</b> ( )
<b>b</b> Enter the long-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions)							<b>11b</b> ( )

**Section B—Gains From Straddles**

(a) Description of property	(b) Date entered into or acquired	(c) Date closed out or sold	(d) Gross sales price	(e) Cost or other basis plus expense of sale	(f) Gain. If column (d) is more than (e), enter difference. Otherwise, enter -0-
<b>12</b>					
<b>13a</b> Enter the short-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions)					<b>13a</b>
<b>b</b> Enter the long-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions)					<b>13b</b>

**Part III Unrecognized Gains From Positions Held on Last Day of Tax Year.** Memo Entry Only (see instructions)

(a) Description of property	(b) Date acquired	(c) Fair market value on last business day of tax year	(d) Cost or other basis as adjusted	(e) Unrecognized gain. If column (c) is more than (d), enter difference. Otherwise, enter -0-
<b>14</b>				



# Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on **e-file for Charities & Nonprofits**.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.  <b>Retirement Research Foundation</b>	Employer identification number (EIN) or  <input checked="" type="checkbox"/> <b>36-2429540</b>
	Number, street, and room or suite no. If a P.O. box, see instructions. <b>8765 West Higgins Road, No. 430</b>	Social security number (SSN) <input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>Chicago, IL 60631-4170</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**Irene Frye**

• The books are in the care of ► **8765 W. Higgins Road, Suite 430 - Chicago, IL 60631**

Telephone No. ► **773-714-8080**

FAX No. ►

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **August 15, 2012**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
► ☒ calendar year **2011** or  
► ☐ tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$ <b>52,000.</b>
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	<b>3b</b>	\$ <b>52,000.</b>
<b>c</b> Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$ <b>0.</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	<b>Retirement Research Foundation</b>	<input checked="" type="checkbox"/> <b>36-2429540</b>
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	<b>8765 West Higgins Road, No. 430</b>	<input type="checkbox"/>
	City, town or post office, state, and ZIP code For a foreign address, see instructions.	
	<b>Chicago, IL 60631-4170</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

**Irene Frye**

• The books are in the care of **8765 W. Higgins Road, Suite 430 - Chicago, IL 60631**

Telephone No. **773-714-8080**

FAX No. ☐

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

**4** I request an additional 3-month extension of time until **November 15, 2012.**

**5** For calendar year **2011**, or other tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

**6** If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period

**7** State in detail why you need the extension

**Due to delayed responses from outside sources, additional time is needed to gather all the data necessary to file a complete and accurate return.**

<b>8a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>8a</b>	\$ <b>52,000.</b>
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	<b>8b</b>	\$ <b>52,000.</b>
<b>c</b> <b>Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>8c</b>	\$ <b>0.</b>

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☐

Title ☐

Date ☐

Form 8868 (Rev 1-2012)