efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491165000082

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
ANNE & MEAD MONTGOMERY FAMILY
FOUNDATION

A Employer identification number
35-2196822

Number and street (or P O box number if mail is not delivered to street address) Room/suite
945 OLD GREEN BAY RD

B Telephone number (see page 10 of the instructions)

City or town, state, and ZIP code
WINNETKA, IL 60093

C If exemption application is pending, check here ▶ ☐
D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,078,676

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	44,787	44,787		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	50,435			
	b	Gross sales price for all assets on line 6a 243,923				
	7	Capital gain net income (from Part IV, line 2)		50,435		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	18	18		
	12	**Total.** Add lines 1 through 11	95,240	95,240		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	8,398	8,398		
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	1,230	420		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	44	22		22
	24	**Total operating and administrative expenses.** Add lines 13 through 23	9,672	8,840		22
	25	Contributions, gifts, grants paid	66,420			66,420
	26	**Total expenses and disbursements.** Add lines 24 and 25	76,092	8,840		66,442
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	19,148			
	b	**Net investment income** (if negative, enter -0-)		86,400		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	13,433	3,645	3,645
	2	Savings and temporary cash investments	24,890	11,585	11,585
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	484,299	460,337	560,847
	c	Investments—corporate bonds (attach schedule)	399,980	460,537	502,599
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	922,602	936,104	1,078,676
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	922,602	936,104	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	922,602	936,104	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	922,602	936,104	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	922,602
2	Enter amount from Part I, line 27a	2	19,148
3	Other increases not included in line 2 (itemize) ▶	3	-5,646
4	Add lines 1, 2, and 3	4	936,104
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	936,104

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE STATEMENT ATTACH-SHORT TERM	P	2011-01-01	2011-12-31
b	SEE STATEMENT ATTACH-LONG TERM	P	2010-01-01	2011-12-31
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	64,838		65,396	-558
b	178,535		128,092	50,443
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				-558
b				50,443
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	50,435
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	72,827	1,060,185	0 068693
2009	61,891	957,304	0 064651
2008	72,080	1,234,163	0 058404
2007	66,306	1,499,021	0 044233
2006	56,587	1,394,867	0 040568

2	**Total** of line 1, column (d).	2	0 276549
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 055310
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	1,151,540
5	Multiply line 4 by line 3.	5	63,692
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	864
7	Add lines 5 and 6.	7	64,556
8	Enter qualifying distributions from Part XII, line 4.	8	66,442

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	864
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	864
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	864
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 — 6a — 840		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	840
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	24
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 0 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities ***(continued)***

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶NA

14 The books are in care of ▶M MEAD MONTGOMERY Telephone no ▶(847) 441-8980

Located at ▶945 OLD GREEN BAY RD WINNETKA IL ZIP+4 ▶60093

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		

See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☐ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
M MEAD MONTGOMERY 560 GREEN BAY ROAD WINNETKA, IL 60093	TRUSTEE 2 00	0	0	0
ANNE M MONTGOMERY 560 GREEN BAY ROAD WINNETKA, IL 60093	TRUSTEE 2 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	

Total. Add lines 1 through 3. . . . . ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,137,408
b	Average of monthly cash balances	1b	14,132
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,151,540
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,151,540
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	0
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,151,540
6	**Minimum investment return.** Enter 5% of line 5	6	57,577

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	57,577
2a	Tax on investment income for 2011 from Part VI, line 5	2a	864		
b	Income tax for 2011 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	864
3	Distributable amount before adjustments Subtract line 2c from line 1			3	56,713
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	56,713
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	56,713

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	66,442
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	66,442
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	864
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	65,578

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				56,713
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			24,464	
b Total for prior years 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 66,442				
a Applied to 2010, but not more than line 2a			24,464	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d Applied to 2011 distributable amount				41,978
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				14,735
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV **Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	**(b)** 2010	**(c)** 2009	**(d)** 2008	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				66,420
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	44,787	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	18	
8 Gain or (loss) from sales of assets other than inventory			14	50,435	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				95,240	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 95,240

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2012-05-30	******
Signature of officer or trustee	Date	Title

Paid Preparer's Use Only

		Date	Check if self-employed ☐	PTIN
Preparer's Signature	DAVID J BLOCKOWICZ	2012-06-13		
Firm's name	BLOCKOWICZ & TOGNOCCHI LLP		Firm's EIN	
Firm's address	1701 E LAKE AVE STE 480 GLENVIEW, IL 60025		Phone no (847) 998-6675	

May the IRS discuss this return with the preparer shown above? See instructions . . . ☐ Yes ☑ No

Additional Data

Software ID:	
Software Version:	
EIN:	35-2196822
Name:	ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
RAVINIA FESTIVAL418 SHERICAN RD 418 SHERIDAN RD HIGHLAND PARK,IL 60035	NONE	PUBLIC	UNRESTRICTED	350
EVANS SCHOLARS FDNONE BRIAN RD ONE BRIAR RD GOLF,IL 60029	NONE	PUBLIC	UNRESTRICTED	250
MUSIC IN THE LOFT410 S MICHIGAN 410 S MICHIGAN CHICAGO,IL 60605	NONE	PUBLIC	UNRESTRICTED	1,200
ASPEN VALLEY SKI CLUB300 AVSC DR 300 AVSC DRIVE ASPEN,CO 81611	NONE	PUBLIC	UNRESTRICTED	250
THE BUILDING STAGE412 N CARPENTER 412 N CARPENTER CHICAGO,IL 60642	NONE	PUBLIC	UNRESTRICED	25,000
ACS RELAY FOR LIFE250 WILLIAMS ST NW 250 WILLIAMS ST NW ATLANTA,GA 30303	NONE	PUBLIC	UNRESTRICTED	2,000
UNION LEAGUE CIVIC ART65 W JAKCSON 65 W JACKSON CHICAGO,IL 60604	NONE	PUBLIC	UNRESTRICTED	150
DMSF SCHOLARSHIP100 W MONROE 100 W MONROE CHICAGO,IL 60603	NONE	PUBLIC	UNRESTRICTED	4,600
MIDWEST PALLIATIVE HOSP2050 CLAIRE CT 2050 CLARIE CT GLENVIEW,IL 60025	NONE	PUBLIC	UNRESTRICTED	16,980
SCC EDUCAT FDN500 WASHINGTON 500 WASHINGTON GLENCOE,IL 60022	NONE	PUBLIC	UNRESTRICTED	200
REHABILITATION INST OF CHGO 345 E SUERIOR 345 E SUPERIOR CHICAGO,IL 60611	NONE	PUBLIC	UNRESTRICTED	2,700
FRIENDS OF ALTAPO BOX 8126 PO BOX 8126 ALTA,UT 84092	NONE	PUBLIC	UNRESTRICTED	200
CHICAGO COUNCIL OF GLOBAL AFFAIRS332 S MIHCIGAN 332 S MICHIGAN CHICAGO,IL 60604	NONE	PUBLIC	UNRESTRICTED	1,000
LAKE FOREST SYMPHONY900 N SHORE DR 900 N SHORE DR LAKE BLUFF,IL 60044	NONE	PUBLIC	UNRESTRICTED	400
AMERICAN CANCER250 WILLIAMS ST NW 250 WILLIAMS STNW ATLANTA,GA 30303	NONE	PUBLIC	UNRESTRICTED	5,340
LEUKEMIA LYMPHONIA SOC1311 MAMARONECK 1311 MAMARONECK WHITE PLAINS,NY 10605	NONE	PUBLIC	UNRESTRICTED	500
STAG FOUNDATION8600 ROCKVILLE PIKE 8600 ROCKVILLE PIKE BETHESDA,MD 20894	NONE	PUBLIC	UNRESTRICTED	300
CANCER WELLNESS CTR215 REVERE DR 215 REVERE DR NORTHBROOK,IL 60062	NONE	PUBLIC	UNRESTRICTED	500
WTTW CHANNEL 115400 N ST LOUIS 5400 N ST LOUIS CHICAGO,IL 60605	NONE	PUBLIC	UNRESTRICTED	500
DISABLED AMERICAN VETERANS 2122 W TAYLOR 2122 W TAYLOR CHICAGO,IL 60612	NONE	PUBLIC	UNRESTRICTED	500
PANCAN1500 ROSECRANS AVE 1500 ROSECRANS AVE MANHATTAN BEACH,CA 90266	NONE	PUBLIC	UNRESTRICTED	3,500
Total . . . . ▶ **3a**				66,420

TY 2011 Compensation Explanation

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Person Name	Explanation
M MEAD MONTGOMERY	
ANNE M MONTGOMERY	

TY 2011 Investments Corporate Bonds Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE & OTHER TAXABLE SCH ATT	460,537	502,599

TY 2011 Investments Corporate Stock Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HARRIS BANK EQUITY SCH ATT	460,337	560,847

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491165000082

TY 2011 Other Expenses Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
BANK FEES	44	22		22

TY 2011 Other Income Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
HARRIS BANK NON-DIVID DISTRI	18	18	

TY 2011 Other Increases Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Description	Amount
OVERSTATEMENT OF BEG. BALANCE CASH	-5,646

TY 2011 Other Professional Fees Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVISORY FEE HARRIS BANK	8,398	8,398		

TY 2011 Taxes Schedule

Name: ANNE & MEAD MONTGOMERY FAMILY FOUNDATION

EIN: 35-2196822

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD HARRIS BANK	405	405		
ILLINOIS CHARITABLE FEE	15	15		
US GOV'T EXCISE TAX ESTIMA	810			

[redacted]

• *Details of assets in your account*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
CASH & SHORT-TERM								
INVESCO STIT-GOVERN TAXADVANT		11,584.750	1.0000	11,584.75	11,584.75	0.00	2.33	0.02%
TOTAL CASH & SHORT-TERM				**$11,584.75**	**$11,584.75**	**$0.00**	**$2.33**	**.02%**
FIXED INCOME/LOW VOLATILITY								
Corporate and other taxable								
PIMCO EMERGING MARKETS BOND FUND	PEBIX	5,000.000	11.2500	56,250.00	54,150.00	2,100.00	2,930.00	5.21%
Taxable funds								
ISHARES BARCLAYS AGGREGATE BOND FUND	AGG	1,000.000	110.2500	110,250.00	101,856.40	8,393.60	3,155.00	2.86%
PIMCO TOTAL RETURN INSTITUTIONAL FUND	PTTRX	10,500.000	10.8700	114,135.00	110,575.00	3,560.00	3,769.50	3.30%
VANGUARD INFLATION-PROTECTED SECURITIES FUND	VIPIX	13,694.780	11.2900	154,614.07	135,730.85	18,883.22	6,395.46	4.14%
Total Corporate and other taxable				**$435,249.07**	**$402,312.25**	**$32,936.82**	**$16,249.96**	**3.73%**
Alternatives-Low Volatility								
Opportunistic low volatility								
PIMCO HIGH YIELD FUND INSTL CL	PHIYX	7,500.000	8.9800	67,350.00	58,224.99	9,125.01	5,107.50	7.58%
Total Alternatives-Low Volatility				**$67,350.00**	**$58,224.99**	**$9,125.01**	**$5,107.50**	**7.58%**
TOTAL FIXED INCOME/LOW VOLATILITY				**$502,599.07**	**$460,537.24**	**$42,061.83**	**$21,357.46**	**4.25%**
EQUITY/HIGH VOLATILITY								
U.S. equity								
ALLIANCE DATA SYSTEMS CORP Information technology	ADS	70.000	103.8400	7,268.80	6,385.39	883.41	0.00	0.00%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
AMAZON.COM INC Consumer discretionary	AMZN	35.000	173.1000	6,058.50	3,738.80	2,319.70	0.00	0.00%
AMERICAN TOWER CORP CL-A COMMON STOCK CL A Information technology	AMT	140.000	60.0100	8,401.40	5,578.65	2,822.75	0.00	0.00%
AMGEN INC Health care	AMGN	90.000	64.2100	5,778.90	4,597.70	1,181.20	129.60	2.24%
APPLE INC Information technology	AAPL	45.000	405.0000	18,225.00	6,518.14	11,706.86	0.00	0.00%
ASTRAZENECA PLC AMERICAN DEPOSITORY RECEIPT Health care	AZN	100.000	46.2900	4,629.00	4,786.48	-157.48	270.00	5.83%
ATHENAHEALTH INC Health care	ATHN	90.000	49.1200	4,420.80	4,823.97	-403.17	0.00	0.00%
AUTOZONE INC Consumer discretionary	AZO	20.000	324.9700	6,499.40	5,208.20	1,291.20	0.00	0.00%
BARD CR INC CAPITAL STOCK Health care	BCR	30.000	85.5000	2,565.00	3,294.29	-729.29	22.80	0.89%
BIOGEN IDEC INC Health care	BIIB	90.000	110.0500	9,904.50	5,246.99	4,657.51	0.00	0.00%
CATERPILLAR INC Industrials	CAT	30.000	90.6000	2,718.00	2,959.91	-241.91	55.20	2.03%
CF INDUSTRIES HOLDINGS INC Materials	CF	20.000	144.9800	2,899.60	2,623.59	276.01	32.00	1.10%
CHIPOTLE MEXICAN GRILL INC Consumer discretionary	CMG	20.000	337.7400	6,754.80	4,368.39	2,386.41	0.00	0.00%

[redacted]

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
COCA COLA CO Consumer staples	KO	40.000	69.9700	2,798.80	2,749.18	49.62	75.20	2.69%
COGNIZANT TECHNOLOGY SOLUTIONS CORP COMMON STOCK CL A Information technology	CTSH	135.000	64.3100	8,681.85	6,279.73	2,402.12	0.00	0.00%
CORN PRODS INTL INC Consumer staples	CPO	225.000	52.5900	11,832.75	9,011.86	2,820.89	180.00	1.52%
COSTCO WHOLESALE CORP NEW Consumer staples	COST	130.000	83.3200	10,831.60	8,343.57	2,488.03	124.80	1.15%
DANAHER CORP Industrials	DHR	170.000	47.0400	7,996.80	6,062.71	1,934.09	17.00	0.21%
DR PEPPER SNAPPLE GROUP INC Consumer staples	DPS	160.000	39.4800	6,316.80	6,173.69	143.11	204.80	3.24%
EMC CORP MASS Information technology	EMC	330.000	21.5400	7,108.20	5,766.58	1,341.62	0.00	0.00%
EXXON MOBIL CORPORATION Energy	XOM	130.000	84.7600	11,018.80	9,921.59	1,097.21	244.40	2.22%
FREEPORTMCMORAN COPPERAND GOLD INC Materials	FCX	190.000	36.7900	6,990.10	6,641.01	349.09	190.00	2.72%
F5 NETWORKS INC Information technology	FFIV	40.000	106.1200	4,244.80	1,335.19	2,909.61	0.00	0.00%
GENERAL ELECTRIC CORP Industrials	GE	445.000	17.9100	7,969.95	6,731.30	1,238.65	302.60	3.80%
GOOGLE INC-CL A COMMON STOCK CL A Information technology	GOOG	32.000	645.9000	20,668.80	14,810 97	5,857.83	0.00	0.00%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
HONEYWELL INTERNATIONAL INC Industrials	HON	100.000	54.3500	5,435.00	5,310.99	124.01	149.00	2.74%
IHS INC-CLASS A Industrials	IHS	110.000	86.1600	9,477.60	9,331.98	145.62	0.00	0.00%
INTEL CORP Information technology	INTC	210.000	24.2500	5,092.50	4,661.96	430.54	176.40	3.46%
INTERNATIONAL BUSINESS MACHINES CORP CAPITAL STOCK Information technology	IBM	30.000	183.8800	5,516.40	5,221.20	295.20	90.00	1.63%
INTERPUBLIC GROUP COS INC Consumer discretionary	IPG	680.000	9.7300	6,616.40	7,502.81	-886.41	163.20	2.47%
INTUIT Information technology	INTU	95.000	52.5900	4,996.05	4,414.27	581.78	57.00	1.14%
ISHARES DOW JONES U.S. TELECOMMUNICATIONS SECTOR INDEX FUND Telecommunication services	IYZ	150.000	21.0000	3,150.00	3,261.78	-111.78	97.20	3.09%
JOHNSON & JOHNSON Health care	JNJ	90.000	65.5800	5,902.20	5,633.06	269.14	205.20	3.48%
LAUDER ESTEE COS CL-A Consumer staples	EL	70.000	112.3200	7,862.40	4,044.47	3,817.93	73.50	0.93%
LIBERTY GLOBAL INC CLASS A Consumer discretionary	LBTYA	170.000	41.0300	6,975.10	3,923.47	3,051.63	0.00	0.00%
MASTERCARD INC-A Financials	MA	10.000	372.8200	3,728.20	3,588.30	139.90	6.00	0.16%

[redacted]

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
MATERIALS SELECT SPDR SECTOR INDEX FUND Materials	XLB	345.000	33.5000	11,557.50	10,724.58	832.92	254.27	2.20%
MCDONALDS CORP Consumer discretionary	MCD	60.000	100.3300	6,019.80	5,589.54	430.26	168.00	2.79%
MICROSOFT CORP Information technology	MSFT	410.000	25.9600	10,643.60	10,367.36	276.24	328.00	3.08%
NOVARTIS AG SPONSORED AMERICAN DEPOSITORY RECEIPT Health care	NVS	100.000	57.1700	5,717.00	5,339.19	377.81	200.50	3.51%
OCCIDENTAL PETE CORP Energy	OXY	55.000	93.7000	5,153.50	4,619.14	534.36	101.20	1.96%
ORACLE CORPORATION Information technology	ORCL	260.000	25.6500	6,669.00	5,583.58	1,085.42	62.40	0.94%
PERRIGO CO Health care	PRGO	85.000	97.3000	8,270.50	3,069.70	5,200.80	27.20	0.33%
PRICELINE.COM INC Consumer discretionary	PCLN	10.000	467.7100	4,677.10	1,439.41	3,237.69	0.00	0.00%
PRUDENTIAL FINL INC Financials	PRU	55.000	50.1200	2,756.60	2,879.67	-123.07	79.75	2.89%
QUALCOMM INC Information technology	QCOM	70.000	54.7000	3,829.00	3,749.19	79.81	60.20	1.57%
RED HAT INC Information technology	RHT	165.000	41.2900	6,812.85	3,822.62	2,990.23	0.00	0.00%
REINSURANCE GROUP OF AMERICA INC Financials	RGA	40.000	52.2500	2,090.00	2,479.99	-389.99	28.80	1.38%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
TRAVELERS COMPANIES INC Financials	TRV	75.000	59.1700	4,437.75	3,439.88	997.87	123.00	2.77%
URS CORP NEW COM Industrials	URS	130.000	35.1200	4,565.60	6,319.98	-1,754.38	0.00	0.00%
US BANCORP NEW Financials	USB	340.000	27.0500	9,197.00	8,572.74	624.26	170.00	1.85%
UTILITIES SELECT SECTOR SPDR SHS BEN INT-UTILITIES Utilities	XLU	150.000	35.9800	5,397.00	4,803.35	593.65	205.20	3.80%
VERIZON COMMUNICATIONS Telecommunication services	VZ	80.000	40.1200	3,209.60	2,907.98	301.62	160.00	4.98%
VMWARE INC. Industrials	VMW	50.000	83.1900	4,159.50	3,287.49	872.01	0.00	0.00%
WATSON PHARMACEUTICALS INC Health care	WPI	90.000	60.3400	5,430.60	3,804.24	1,626.36	0.00	0.00%
WHOLE FOODS MKT INC Consumer staples	WFM	60.000	69.5800	4,174.80	3,876.24	298.56	33.60	0.80%
Total U.S. equity				**$372,103.10**	**$297,528.04**	**$74,575.06**	**$4,868.02**	**1.31%**
U.S. equity funds								
MIDCAP SPDR TRUST SERIES 1 Small cap diversified equity	MDY	200.000	159.4900	31,898.00	19,714.68	12,183.32	342.60	1.07%
Total U.S. equity funds				**$31,898.00**	**$19,714.68**	**$12,183.32**	**$342.60**	**1.07%**
International								
HARBOR INTERNATIONAL FUND #11 Developed large cap	HAINX	700.000	52.4500	36,715.00	28,175.00	8,540.00	921.20	2.51%

[redacted]

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
ING INTERNATIONAL SMALLCAP FUND Developed small cap	NAPIX	700.000	32.4300	22,701.00	17,332.00	5,369.00	537.60	2.37%
TRANSOCEAN LTD Energy	RIG	120.000	38.3900	4,606.80	8,800.34	-4,193.54	379.20	8.23%
VANGUARD MSCI EMERGING MARKETS ETF Emerging	VWO	1,060.000	38.2100	40,502.60	30,866.88	9,635.72	960.36	2.37%
Total International				**$104,525.40**	**$85,174.22**	**$19,351.18**	**$2,798.36**	**2.68%**
Commodities								
PIMCO COMMODITY REALRETURN STRATEGY FUND Commodities	PCRIX	8,000.000	6.5400	52,320.00	57,920.00	-5,600.00	15,936.00	30.46%
Total Commodities				**$52,320.00**	**$57,920.00**	**-$5,600.00**	**$15,936.00**	**30.46%**
TOTAL EQUITY/HIGH VOLATILITY				**$560,846.50**	**$460,336.94**	**$100,509.56**	**$23,944.98**	**4.27%**
TOTAL ASSETS IN YOUR ACCOUNT				**$1,075,030.32**	**$932,458.93**	**$142,571.39**	**$45,304.77**	**4.21%**

Cash (11 584.75)

920,874.18

2011 Tax Information Statement

Account Number: 000001278529
Recipient's Tax ID Number: XX-XXX6822
Payer's Federal ID Number: 36-2085229
Questions? (312)461-2658

☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
BMO HARRIS BANK N.A.
111 WEST MONROE STREET 16W
CHICAGO, IL 60603

Recipient's Name and Address:
ANNE & MEAD MONTGOMERY FAMILY FNDTN
C/O ANNE & MEAD MONTGOMERY, TRUSTEES
945 OLD GREEN BAY ROAD
WINNETKA, IL 60093

2011 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price less commissions and option premiums.

Cusip Number		Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Covered *(Box 6 is not checked)*		*(Box 9)*	*(Box 1a)*	*(Box 1b)*	*(Box 2)*	*(Box 3)*		*(Box 4)*
Short Term Sales Reported on 1099-B								
021441100	205.0000	ALTERA CORPORATION COMMON STOCK	09/26/2011	Various	7,093.02	8,753.10	-1,660.08	0.00
N20935206	30.0000	CNH GLOBAL N.V.	05/04/2011	01/26/2011	1,360.18	1,536.00	-175.82	0.00
244199105	40 0000	DEERE & COMPANY COMMON STOCK	10/31/2011	05/04/2011	3,041.95	3,783.19	-741.24	0.00
263534109	40.0000	DU PONT E I DE NEMOURS & COMPANY COMMON STOCK	09/26/2011	05/04/2011	1,658.36	2,201.99	-543.63	0.00
444859102	50.0000	HUMANA INC COMMON STOCK	09/26/2011	08/02/2011	3,931.43	3,690.17	241.26	0 00
49460W208	90.0000	KINETIC CONCEPTS	08/02/2011	06/23/2011	5,992.10	5,089.09	903.01	0.00
512807108	70.0000	LAM RESEARCH CORPORATION COMMON STOCK	06/23/2011	02/23/2011	3,021.66	3,672.12	-650.46	0.00
565849106	60.0000	MARATHON OIL CORPORATION COMMON STOCK	09/26/2011	05/25/2011	1,383.61	1,923.87	-540.26	0.00
56585A102	30.0000	MARATHON PETROLEUM CORPORATION	08/02/2011	05/25/2011	1,296 28	1,250.12	46.16	0.00
768573107	120.0000	RIVERBED TECHNOLOGY	09/26/2011	01/26/2011	2,641.65	4,121.96	-1,480.31	0.00
Total Short Term Sales Reported on 1099-B **Report on Form 8949, Part I, with Box A checked**					**31,420.24**	**36,021.61**	**-4,601 37**	**0.00**

2011 Tax Information Statement

Account Number: 000001278529
Recipient's Tax ID Number: XX-XXX6822
Payer's Federal ID Number: 36-2085229
Questions? (312)461-2658

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
ANNE & MEAD MONTGOMERY FAMILY FNDTN
C/O ANNE & MEAD MONTGOMERY, TRUSTEES
945 OLD GREEN BAY ROAD
WINNETKA, IL 60093

Payer's Name and Address:
BMO HARRIS BANK N.A.
111 WEST MONROE STREET 16W
CHICAGO, IL 60603

2011 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price less commissions and option premiums.
For noncovered securites shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service.

Cusip Number		Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Noncovered *(Box 6 is checked)*		*(Box 9)*	*(Box 1a)*		*(Box 2)*			*(Box 4)*
Short Term Sales Reported on 1099-B								
00971T101	80.0000	AKAMAI TECHNOLOGIES INC COMMON STOCK	05/25/2011	Various	2,637.57	3,545.80	-908.23	0.00
046353108	10.0000	ASTRAZENECA PLC SPONSORED ADR	10/31/2011	11/22/2010	480.09	490.88	-10 79	0.00
060505104	200.0000	BANK AMERICA CORP COMMON STOCK	05/25/2011	06/21/2010	2,283.99	3,225.56	-941.57	0.00
171798101	75.0000	CIMAREX ENERGY CO	01/26/2011	Various	7,549.38	5,293.53	2,255.85	0.00
17275R102	10 0000	CISCO SYSTEMS INC COMMON STOCK	02/23/2011	05/27/2010	183.00	234.20	-51.20	0.00
N20935206	40.0000	CNH GLOBAL N.V.	02/23/2011	04/20/2010	1,883.11	1,305.89	577.22	0.00
N20935206	80 0000	CNH GLOBAL N.V.	05/04/2011	05/27/2010	3,627.15	2,058.40	1,568 75	0.00
126132109	20.0000	CNOOC LTD ADR	05/25/2011	09/21/2010	4,709.52	3,762.36	947.16	0.00
126132109	10 0000	CNOOC LTD ADR	11/18/2011	11/22/2010	1,873.27	2,280.30	-407.04	0.00
38141G104	10.0000	GOLDMAN SACHS GROUP INC COMMON STOCK	05/04/2011	09/21/2010	1,511.97	1,529.38	-17.41	0.00
444859102	40 0000	HUMANA INC COMMON STOCK	09/26/2011	10/22/2010	3,145.14	2,283.89	861.25	0.00
46625H100	50.0000	J P MORGAN CHASE & COCOMMON STOCK	06/23/2011	09/21/2010	1,999.48	2,049.50	-50.02	0.00
928563402	20.0000	VMWARE INC.	03/23/2011	05/27/2010	1,534.58	1,315.00	219 58	0.00

2011 Tax Information Statement

Ref: 65

Account Number: 000001278529
Recipient's Tax ID Number: XX-XXX6822
Payer's Federal ID Number: 36-2085229
Questions? (312)461-2658
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
BMO HARRIS BANK N.A.
111 WEST MONROE STREET 16W
CHICAGO, IL 60603

Recipient's Name and Address:
ANNE & MEAD MONTGOMERY FAMILY FNDTN
C/O ANNE & MEAD MONTGOMERY, TRUSTEES
945 OLD GREEN BAY ROAD
WINNETKA, IL 60093

Cusip Number		Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Noncovered *(Box 6 is checked)*		*(Box 9)*	*(Box 1a)*		*(Box 2)*			*(Box 4)*
Total Short Term Sales Reported on 1099-B **Report on Form 8949, Part I, with Box B checked**					**33,418.25**	**29,374.69**	**4,043.55**	**0.00**
Long Term 15% Sales Reported on 1099-B								
02364W105	100.0000	AMERICA MOVIL SPONSORED ADR SER L	05/25/2011	Various	5,182.92	4,549.15	633.77	0.00
037833100	10.0000	APPLE COMPUTER INC COMMON STOCK	09/26/2011	Various	3,993.52	857.00	3,136 52	0.00
046353108	50.0000	ASTRAZENECA PLC SPONSORED ADR	10/31/2011	09/21/2010	2,400.46	2,606.51	-206.05	0.00
055622104	60.0000	BP AMOCO PLC SPONSORED ADR	02/23/2011	06/24/2009	2,849.95	2,817.00	32.95	0.00
111320107	100.0000	BROADCOM CORPORATION COMMON STOCK CL A	06/23/2011	07/23/2009	3,269.94	2,836.88	433.06	0.00
17275R102	210.0000	CISCO SYSTEMS INC COMMON STOCK	02/23/2011	Various	3,842.99	5,324.58	-1,481.59	0 00
17275R102	125.0000	CISCO SYSTEMS INC COMMON STOCK	02/23/2011	01/01/1950	2,287.49	3,680.00	-1,392.51	0.00
N20935206	70.0000	CNH GLOBAL N.V.	05/04/2011	04/20/2010	3,173 75	2,285.31	888.44	0.00
126132109	10.0000	CNOOC LTD ADR	11/18/2011	09/21/2010	1,873 27	1,881.18	-7.91	0.00
19122T109	165.0000	COCA COLA ENTERPRISES INC	02/23/2011	Various	4,388.96	3,301.81	1,087.15	0.00
22160K105	20.0000	COSTCO WHSL CORP NEW COMMON STOCK	09/26/2011	09/21/2010	1,677.96	1,220.75	457.21	0 00
235851102	80.0000	DANAHER CORPORATION COMMON STOCK	08/02/2011	01/01/1950	3,767.14	2,942 40	824.74	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2011 Tax Information Statement

Ref: 65

Account Number: 000001278529
Recipient's Tax ID Number: XX-XXX6822
Payer's Federal ID Number: 36-2085229
Questions? (312)461-2658

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
ANNE & MEAD MONTGOMERY FAMILY FNDTN
C/O ANNE & MEAD MONTGOMERY, TRUSTEES
945 OLD GREEN BAY ROAD
WINNETKA, IL 60093

Payer's Name and Address:
BMO HARRIS BANK N.A.
111 WEST MONROE STREET 16W
CHICAGO, IL 60603

Noncovered *(Box 6 is checked)*

Cusip Number		Description *(Box 9)*	Date of Sale *(Box 1a)*	Date of Acquisition	Sales Price of Stocks Bonds, etc. *(Box 2)*	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld *(Box 4)*
29264F205	125.0000	ENDO PHARMACEUTICALS HLDGS INC COMMON STOCK	11/18/2011	08/23/2010	4,062 28	3,630.00	432.28	0.00
315616102	20.0000	F5 NETWORKS INC COMMON STOCK	08/02/2011	06/24/2009	1,835.77	667.60	1,168.17	0.00
38141G104	40.0000	GOLDMAN SACHS GROUP INC COMMON STOCK	03/23/2011	Various	6,397 90	5,340 98	1,056.92	0.00
38141G104	10.0000	GOLDMAN SACHS GROUP INC COMMON STOCK	05/04/2011	09/22/2009	1,511.97	1,855.50	-343.53	0.00
411511306	300.0000	HARBOR FUND INTERNATIONAL FUND	10/31/2011	05/13/2009	16,857 00	12,075.00	4,782 00	0 00
44980Q567	700.0000	ING INTERNAT SMALLCAP FD-I	08/05/2011	05/18/2009	26,257 00	17,332.00	8,925.00	0.00
461202103	60.0000	INTUIT INC COMMON STOCK	10/31/2011	08/23/2010	3,226.87	2,581 78	645 09	0.00
46625H100	50.0000	J P MORGAN CHASE & COCOMMON STOCK	01/26/2011	Various	2,245.47	2,025 00	220 47	0.00
46625H100	40.0000	J P MORGAN CHASE & COCOMMON STOCK	02/23/2011	04/03/2009	1,834.37	1,116 88	717.49	0 00
46625H100	35.0000	J P MORGAN CHASE & COCOMMON STOCK	06/23/2011	04/20/2010	1,399 63	1,608.52	-208.89	0.00
530555101	90.0000	LIBERTY GLOBAL INC CLASS A	05/04/2011	Various	4,121.95	1,838.89	2,283.06	0.00
674599105	60.0000	OCCIDENTAL PETROLEUM CORPORATION COMMON STOCK	01/26/2011	06/24/2009	5,821.11	3,843.51	1,977.60	0.00
674599105	60.0000	OCCIDENTAL PETROLEUM CORPORATION COMMON STOCK	10/31/2011	06/24/2009	5,635.71	3,843.52	1,792.19	0.00
68389X105	80.0000	ORACLE CORPORATION COMMON STOCK	01/26/2011	06/24/2009	2,604.81	1,704.65	900.16	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2011 Tax Information Statement

Ref: 65

Account Number: 000001278529
Recipient's Tax ID Number: XX-XXX6822
Payer's Federal ID Number: 36-2085229
Questions? (312)461-2658

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
ANNE & MEAD MONTGOMERY FAMILY
FNDTN
C/O ANNE & MEAD MONTGOMERY,
TRUSTEES
945 OLD GREEN BAY ROAD
WINNETKA, IL 60093

Payer's Name and Address:
BMO HARRIS BANK N.A.
111 WEST MONROE STREET 16W
CHICAGO, IL 60603

Cusip Number		Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Noncovered *(Box 6 is checked)*		*(Box 9)*	*(Box 1a)*		*(Box 2)*			*(Box 4)*
741503403	10.0000	PRICELINE.COM INC	05/25/2011	07/23/2009	5,008.10	1,229 41	3,778.69	0 00
741503403	10 0000	PRICELINE COM INC	10/31/2011	07/23/2009	5,100 30	1,229.41	3,870 89	0 00
756577102	60.0000	RED HAT INC COMMON STOCK	11/18/2011	06/24/2009	2,953.77	1,210.71	1,743.06	0 00
78467Y107	200 0000	SPDR S&P MIDCAP 400 ETF TRUST	08/08/2011	05/14/2009	28,700.09	19,714.68	8,985.41	0.00
881624209	40.0000	TEVA PHARMACEUTICAL ADR	02/23/2011	01/01/1950	2,012.76	1,492.80	519 96	0 00
881624209	65.0000	TEVA PHARMACEUTICAL ADR	06/23/2011	Various	3,108.26	3,124 60	-16 34	0.00
881624209	5.0000	TEVA PHARMACEUTICAL ADR	06/23/2011	01/01/1950	239.10	186.60	52.50	0 00
966387102	60.0000	WHITING PETROLEUM CORP	05/04/2011	12/21/2009	3,854.32	2,133.26	1,721.06	0.00
966387102	40.0000	WHITING PETROLEUM CORP	06/23/2011	05/27/2010	2,177.62	1,644.60	533 02	0 00
966387102	50.0000	WHITING PETROLEUM CORP	08/02/2011	06/21/2010	2,860.94	2,309.70	551.24	0.00
Total Long Term 15% Sales Reported on 1099-B **Report on Form 8949, Part II, with Box B checked**					**178,535.45**	**128,042.17**	**50,493.28**	**0.00**

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.