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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning

, and ending

Name of foundation

PETERSON FAMILY FOUNDATION INC

A Employer identification number

35-2058989

Number and street (or P.O. box number if mail is not delivered to street address)

9339 PRIORITY WAY W. DRIVE

Room/suite

100

B Telephone number

317-805-1100

City or town, state, and ZIP code

INDIANAPOLIS, IN 46240

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 1,407,912.

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check ☒ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	49,323.	49,323.		STATEMENT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	75,227.			
	b	Gross sales price for all assets on line 6a	5,653,590.			
	7	Capital gain net income (from Part IV, line 2)		75,227.		
	8	Net short-term capital gain				
Operating and Administrative Expenses	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	Total. Add lines 1 through 11	124,550.	124,550.		
	13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees	6,380.	0.		0.
	c	Other professional fees				
	17	Interest				
	18	Taxes	604.	40.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
22	Printing and publications					
23	Other expenses	17,918.	17,333.		0.	
24	Total operating and administrative expenses. Add lines 13 through 23	24,902.	17,373.		0.	
25	Contributions, gifts, grants paid	67,500.			67,500.	
26	Total expenses and disbursements. Add lines 24 and 25	92,402.	17,373.		67,500.	
27	Subtract line 26 from line 12:					
a	Excess of revenue over expenses and disbursements	32,148.				
b	Net investment income (if negative, enter -0-)		107,177.			
c	Adjusted net income (if negative, enter -0-)			N/A		

8

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	42,169.	4,536.	4,536.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ 873.		873.	873.
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	583,371.	652,257.	634,706.
	c Investments - corporate bonds STMT 6	770,502.	770,524.	767,797.
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment; basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	1,396,042.	1,428,190.	1,407,912.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	1,396,042.	1,428,190.		
30 Total net assets or fund balances	1,396,042.	1,428,190.		
31 Total liabilities and net assets/fund balances	1,396,042.	1,428,190.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,396,042.
2 Enter amount from Part I, line 27a	2	32,148.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,428,190.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,428,190.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MORGAN STANLEY LT (SEE ATTACHED)	P	12/31/11	12/31/11
b MORGAN STANLEY ST (SEE ATTACHED)	P	12/31/11	12/31/11
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 324,722.		283,643.	41,079.
b 5,328,868.		5,294,720.	34,148.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			41,079.
b			34,148.
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	75,227.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	70,000.	1,437,041.	.048711
2009	90,028.	1,412,013.	.063759
2008	91,276.	1,575,810.	.057923
2007	95,427.	1,639,186.	.058216
2006	87,000.	1,934,826.	.044965

2 Total of line 1, column (d)	2	.273574
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054715
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	1,346,943.
5 Multiply line 4 by line 3	5	73,698.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,072.
7 Add lines 5 and 6	7	74,770.
8 Enter qualifying distributions from Part XII, line 4	8	67,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	2,144.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,144.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	2,144.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	2,750.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,750.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	27.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	579.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ☐ 579. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>IN</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>JOANN TIPTON</u> Telephone no. ► <u>317-805-1100</u> Located at ► <u>9339 PRIORITY WAY WEST DRIVE, STE 100, INDPLS, IN</u> ZIP+4 ► <u>46240</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☒ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HOWARD C PETERSON 1299 N. TAMIAMI TRAIL, UNIT 1027 S. SARASOTA, FL 342362471	PRESIDENT 5.00	0.	0.	0.
DOLORES M PETERSON 1299 N. TAMIAMI TRAIL, UNIT 1027 S. SARASOTA, FL 342362471	VICE PRESIDENT 5.00	0.	0.	0.
CELESTE F STILLER 9255 KERWOOD DRIVE INDIANAPOLIS, IN 46240	SECRETARY/TREASURER 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
		0.
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,277,269.
b	Average of monthly cash balances	1b	90,186.
c	Fair market value of all other assets	1c	0.
d	Total (add lines 1a, b, and c)	1d	1,367,455.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,367,455.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	20,512.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,346,943.
6	Minimum investment return. Enter 5% of line 5	6	67,347.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	67,347.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	2,144.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,144.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	65,203.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	65,203.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	65,203.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	67,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	67,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	67,500.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				65,203.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			48,113.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ 67,500.				
a Applied to 2010, but not more than line 2a			48,113.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				19,387.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				45,816.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CHILD/FAMILY/SCHOOL ENRICHMENT 9339 PRIORITY WAY #100 INDIANAPOLIS, IN 46240	NONE	PUBLIC CHARITY	CONTRIBUTION TO EDUCATION FUND	7,500.
PETERSON FAMILY SCHOLARSHIP FUND, CONCORDIA THEOLOGICAL SEMINARY 6600 N. CLINTON STREET FORT WAYNE, IN 46825	NONE	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND	40,000.
GENNESARET FREE CLINICS 725 N. PENNSYLVANIA STREET INDIANAPOLIS, IN 46204	NONE	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND	10,000.
LUTHERAN CHILD & FAMILY SERVICES 1525 N. RITTER INDIANAPOLIS, IN 46218	NONE	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND	5,000.
HUMAN TOUCH CONSORTIUM 10713 BIRCH TREE LANE INDIANAPOLIS, IN 46236	NONE	PUBLIC CHARITY	CONTRIBUTION TO GENERAL FUND	5,000.
Total			3a	67,500.
b Approved for future payment				
NONE				
Total			3b	0.

17180718	757887	66106GS0000	2011.03060	PETERSON FAMILY FOUNDATION	66106GS1
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FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MORGAN STANLEY	49,323.	0.	49,323.
TOTAL TO FM 990-PF, PART I, LN 4	49,323.	0.	49,323.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	6,380.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	6,380.	0.		0.

FORM 990-PF	TAXES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	40.	40.		0.
FEDERAL TAX PAID	564.	0.		0.
TO FORM 990-PF, PG 1, LN 18	604.	40.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	17,333.	17,333.		0.
BANK SERVICES FEES	585.	0.		0.
TO FORM 990-PF, PG 1, LN 23	17,918.	17,333.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCK & OPTIONS	652,257.	634,706.
TOTAL TO FORM 990-PF, PART II, LINE 10B	652,257.	634,706.

FORM 990-PF	CORPORATE BONDS	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS AND NOTES	770,524.	767,797.
TOTAL TO FORM 990-PF, PART II, LINE 10C	770,524.	767,797.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	7
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NAME OF MANAGER

HOWARD C PETERSON
DOLORES M PETERSON

Realized Gain/Loss for Year 2011
Short Term Gain/Loss

Security	Quantity	Date Acquired	Date Sold	Total Cost (\$)	Adjusted Cost (\$)	Proceeds (\$)	G/L Amount (\$)	Holding Period
Agrium Inc Position:6 G/L Amount: \$1,638.34								
	200	3/21/2011	4/13/2011	17,729.50	17,729.50	17,791.12	61.62	short
	200	2/22/2011	4/13/2011	18,148.42	18,148.42	17,790.94	-357.48	short
	100	2/22/2011	4/13/2011	9,074.48	9,074.48	8,895.73	-178.75	short
	400	1/24/2011	2/18/2011	35,367.24	35,367.24	36,739.29	1,372.05	short
	200	1/25/2011	2/18/2011	17,271.26	17,271.26	18,369.64	1,098.38	short
	200	2/22/2011	4/13/2011	18,148.60	18,148.60	17,791.12	-357.48	short
Sub Total	1,300.00			\$115,739.50	\$115,739.50	\$117,377.84	\$1,638.34	
Agrium Inc 90.000 Apr C Position:2 G/L Amount: \$1,929.95								
	2	4/13/2011	3/21/2011	120	120	499.99	379.99	short
	5	4/13/2011	3/18/2011	300	300	1,849.96	1,549.96	short
Sub Total	7			\$420.00	\$420.00	\$2,349.95	\$1,929.95	
Agrium Inc 90.000 Feb C Position:1 G/L Amount: \$359.98								
	4	1/25/2011	1/24/2011	640	640	999.98	359.98	short
Agrium Inc 90.000 Mar C Position:1 G/L Amount: \$1,635.96								
	5	3/18/2011	2/22/2011	325	325	1,960.96	1,635.96	short
Astrazeneca Plc Ads Position:7 G/L Amount: -\$6,238.92								
	200	7/11/2011	8/8/2011	9,873.50	9,873.50	8,657.29	-1216.21	short
	200	7/7/2011	8/8/2011	10,111.95	10,111.95	8,657.34	-1454.61	short
	200	7/7/2011	8/8/2011	10,111.95	10,111.95	8,657.33	-1454.62	short
	200	7/7/2011	8/8/2011	10,111.80	10,111.80	8,657.21	-1454.59	short
	200	4/28/2011	5/20/2011	9,993.80	9,993.80	10,149.80	156	short
	800	4/28/2011	5/20/2011	39,956.48	39,956.48	40,599.22	642.74	short
	200	7/7/2011	8/8/2011	10,114.92	10,114.92	8,657.29	-1457.63	short
Sub Total	2,000.00			\$100,274.40	\$100,274.40	\$94,035.48	(\$6,238.92)	

Astrazeneca Plc Ads 50.000 Aug C Position:3 G/L Amount: \$1,429.76									
	2	8/8/2011	7/11/2011	28.04	209.99	181.95	short		
	2	8/8/2011	7/7/2011	28.04	339.99	311.95	short		
	6	8/8/2011	7/7/2011	84.12	1,019.98	935.86	short		
Sub Total	10			\$140.20	\$1,569.96	\$1,429.76			
Bunge Ltd Finance 5.100 7-15-15 Position:1 G/L Amount: \$1,236.38									
	25,000.00	2/24/2011	8/10/2011	25,879.50	27,031.25	1,236.38	short		
Cliffs Natural Resour 90.000 Mar C Position:1 G/L Amount: \$322.80									
	4	3/9/2011	3/9/2011	1,657.16	1,979.96	322.8	short		
Cliffs Natural Resources Inc Position:9 G/L Amount: -\$2,766.39									
	100	3/9/2011	3/11/2011	9,273.15	8,426.84	-846.31	short		
	100	3/9/2011	3/11/2011	9,399.52	8,426.84	-972.68	short		
	100	3/9/2011	3/11/2011	9,399.52	8,426.83	-972.69	short		
	100	3/9/2011	3/11/2011	9,399.52	8,426.84	-972.68	short		
	100	3/9/2011	3/11/2011	9,399.52	8,426.84	-972.68	short		
	100	1/25/2011	2/18/2011	8,070.89	8,384.84	313.95	short		
	100	1/25/2011	2/18/2011	8,089.97	8,384.84	294.87	short		
	200	1/28/2011	2/18/2011	16,837.56	16,769.68	-67.88	short		
	200	1/25/2011	2/18/2011	16,179.94	17,609.65	1,429.71	short		
Sub Total	1,100.00			\$96,049.59	\$93,283.20	(\$2,766.39)			
Conocophillips Position:2 G/L Amount: -\$9,319.81									
	300	4/27/2011	6/13/2011	23,765.67	21,103.81	-2661.86	short		
	700	4/1/2011	6/13/2011	55,900.18	49,242.23	-6657.95	short		
Sub Total	1,000.00			\$79,665.85	\$70,346.04	(\$9,319.81)			
Conocophillips 75.000 Jun C Position:1 G/L Amount: \$298.69									
	10	6/13/2011	6/2/2011	41.3	339.99	298.69	short		
Conocophillips 80.000 May C Position:2 G/L Amount: \$1,035.97									
	3	5/3/2011	4/27/2011	60	353.99	293.99	short		
	7	5/3/2011	4/13/2011	140	881.98	741.98	short		
Sub Total	10			\$200.00	\$1,235.97	\$1,035.97			
Conocophillips 85.000 May C Position:1 G/L Amount: \$377.98									
	7	4/13/2011	4/1/2011	224	601.98	377.98	short		

Deere & Co Position:8 G/L Amount: -\$8,230.94									
	200	5/5/2011	6/2/2011	18,435.96	18,435.96	16,784.00	-1651.96	short	
	100	2/24/2011	3/15/2011	9,092.52	9,092.52	8,456.71	-635.81	short	
	200	5/11/2011	6/2/2011	18,323.16	18,323.16	16,784.00	-1539.16	short	
	200	5/5/2011	6/2/2011	18,435.96	18,435.96	16,783.99	-1651.97	short	
	100	2/25/2011	3/15/2011	9,197.00	9,197.00	8,456.80	-740.2	short	
	100	2/24/2011	3/15/2011	9,092.79	9,092.79	8,456.97	-635.82	short	
	100	2/24/2011	3/15/2011	9,092.52	9,092.52	8,456.71	-635.81	short	
	100	2/25/2011	3/15/2011	9,197.00	9,197.00	8,456.79	-740.21	short	
Sub Total	1,100.00			\$100,866.91	\$100,866.91	\$92,635.97	(\$8,230.94)		
Deere & Co 90.000 Mar C Position:3 G/L Amount: \$1,807.74									
	5	3/15/2011	3/10/2011	110	110	589.98	479.98	short	
	2	3/10/2011	2/25/2011	152.88	152.88	719.98	567.1	short	
	3	3/10/2011	2/24/2011	229.32	229.32	989.98	760.66	short	
Sub Total	10			\$492.20	\$492.20	\$2,299.94	\$1,807.74		
Deere & Co 92.500 May C Position:2 G/L Amount: \$1,019.97									
	2	5/17/2011	5/11/2011	62	62	329.99	267.99	short	
	4	5/17/2011	5/5/2011	124	124	875.98	751.98	short	
Sub Total	6			\$186.00	\$186.00	\$1,205.97	\$1,019.97		
Dow Chemical Co 5.700 5-15-18 Position:1 G/L Amount: \$814.62									
	20,000.00	9/13/2010	6/28/2011	21,557.20	21,419.38	22,234.00	814.62	short	
Exxon Mobil Corp Position:3 G/L Amount: -\$9,802.88									
	200	2/22/2011	8/9/2011	17,011.72	17,011.72	13,961.73	-3049.99	short	
	200	2/22/2011	8/8/2011	17,011.72	17,011.72	14,585.72	-2426	short	
	400	2/7/2011	8/8/2011	33,498.32	33,498.32	29,171.43	-4326.89	short	
Sub Total	800			\$67,521.76	\$67,521.76	\$57,718.88	(\$9,802.88)		
Exxon Mobil Corp 85.000 Apr C Position:1 G/L Amount: \$631.98									
	8	4/13/2011	3/16/2011	32	32	663.98	631.98	short	
Exxon Mobil Corp 85.000 Aug C Position:2 G/L Amount: \$354.91									
	2	8/9/2011	6/22/2011	6	6	104	98	short	
	6	8/8/2011	6/22/2011	55.08	55.08	311.99	256.91	short	
Sub Total	8			\$61.08	\$61.08	\$415.99	\$354.91		

Exxon Mobil Corp 85.000 Feb C Position:1 G/L Amount: \$111.99									
4	2/15/2011	2/7/2011	40	40	151.99	111.99	short		
Exxon Mobil Corp 85.000 Jul C Position:1 G/L Amount: \$287.99									
8	6/22/2011	6/6/2011	112	112	399.99	287.99	short		
Exxon Mobil Corp 85.000 Jun C Position:1 G/L Amount: \$471.98									
8	6/6/2011	5/11/2011	72	72	543.98	471.98	short		
Exxon Mobil Corp 85.000 Mar C Position:2 G/L Amount: \$888.33									
4	3/10/2011	2/22/2011	104	104	745.7	641.7	short		
4	3/10/2011	2/15/2011	104	104	350.63	246.63	short		
8			\$208.00	\$208.00	\$1,096.33	\$888.33			
Exxon Mobil Corp 85.000 May C Position:1 G/L Amount: \$787.98									
8	5/11/2011	4/13/2011	111.6	111.6	899.58	787.98	short		
Freeport-Mcmoran 8 1/4 4-01-15 Position:1 G/L Amount: -\$369.69									
20,000.00	11/29/2010	4/5/2011	21,284.00	21,194.69	20,825.00	-369.69	short		
General Mills Inc Position:2 G/L Amount: \$281.03									
500	9/28/2010	2/24/2011	18,419.00	18,419.00	18,437.24	18.24	short		
1,000.00	9/13/2010	2/24/2011	36,611.70	36,611.70	36,874.49	262.79	short		
1,500.00			\$55,030.70	\$55,030.70	\$55,311.73	\$281.03			
General Mills Inc 37.000 Apr C Position:1 G/L Amount: \$70.31									
15	2/24/2011	12/16/2010	1,714.65	1,714.65	1,784.96	70.31	short		
Glaxosmithkline Plc Ads Position:2 G/L Amount: \$725.97									
700	7/1/2011	7/15/2011	29,992.62	29,992.62	30,363.80	371.18	short		
1,000.00	4/26/2011	5/3/2011	41,294.40	41,294.40	41,649.19	354.79	short		
1,700.00			\$71,287.02	\$71,287.02	\$72,012.99	\$725.97			
Hess Corporation Position:1 G/L Amount: -\$1,514.53									
600	3/24/2011	4/13/2011	48,746.76	48,746.76	47,232.23	-1514.53	short		
Hess Corporation 80.000 Apr C Position:1 G/L Amount: \$1,542.50									
6	4/13/2011	3/24/2011	257.46	257.46	1,799.96	1,542.50	short		
Intrepid Potash Position:3 G/L Amount: -\$1,407.80									
500	2/22/2011	3/9/2011	18,655.10	18,655.10	18,156.90	-498.2	short		
500	2/7/2011	3/9/2011	18,611.70	18,611.70	18,156.90	-454.8	short		
500	2/7/2011	3/9/2011	18,611.70	18,611.70	18,156.90	-454.8	short		

Sub Total	1,500.00				\$55,878.50	\$55,878.50	\$54,470.70	(\$1,407.80)	
Intrepid Potash 38.000 Feb C Position:1 G/L Amount: \$649.98									
	10	2/22/2011	2/7/2011		0	0	649.98	649.98	short
Intrepid Potash 38.000 Mar C Position:1 G/L Amount: \$974.96									
	15	3/9/2011	2/24/2011		750	750	1,724.96	974.96	short
Intrepid Potash 39.000 Mar C Position:2 G/L Amount: \$524.96									
	5	2/24/2011	2/22/2011		450	450	474.99	24.99	short
	10	2/24/2011	2/22/2011		900	900	1,399.97	499.97	short
Sub Total	15				\$1,350.00	\$1,350.00	\$1,874.96	\$524.96	
Ishare Silver Trust Position:3 G/L Amount: \$4,438.81									
	1,200.00	4/1/2011	4/15/2011		44,220.00	44,220.00	45,432.20	1,212.20	short
	1,500.00	11/30/2010	2/7/2011		40,365.00	40,365.00	42,810.68	2,445.68	short
	500	11/30/2010	2/7/2011		13,489.30	13,489.30	14,270.23	780.93	short
Sub Total	3,200.00				\$98,074.30	\$98,074.30	\$102,513.11	\$4,438.81	
Ishare Silver Trust 27.000 Feb C Position:1 G/L Amount: \$1,680.74									
	20	1/25/2011	1/14/2011		1,320.00	1,320.00	3,000.74	1,680.74	short
Ishare Silver Trust 27.000 Jan C Position:1 G/L Amount: \$1,342.54									
	20	1/14/2011	11/30/2010		1,800.00	1,800.00	3,142.54	1,342.54	short
Ishares Barclays Tips 111.000 Jun C Position:1 G/L Amount: \$79.99									
	4	6/20/2011	5/27/2011		0	0	79.99	79.99	short
Ishares Barclays Tips 115.000 Oct C Position:2 G/L Amount: \$539.98									
	4	10/24/2011	10/7/2011		0	0	239.99	239.99	short
	5	10/24/2011	9/29/2011		0	0	299.99	299.99	short
Sub Total	9				\$0.00	\$0.00	\$539.98	\$539.98	
Ishares Barclays Tips 116.000 Oct C Position:1 G/L Amount: \$74.99									
	1	10/24/2011	9/19/2011		0	0	74.99	74.99	short
Ishares Barclays Tips 116.000 Sep C Position:1 G/L Amount: \$69.99									
	1	9/19/2011	9/6/2011		0	0	69.99	69.99	short
Ishares Barclays Tips 117.000 Dec C Position:1 G/L Amount: \$599.98									
	12	12/19/2011	11/16/2011		0	0	599.98	599.98	short

Ishares Barclays Tips 117.000 Nov C Position:3 G/L Amount: \$419.97									
	2	11/16/2011	11/11/2011	30	30	59.99	29.99	short	
	8	11/16/2011	11/4/2011	120	120	439.99	319.99	short	
	2	11/16/2011	11/1/2011	30	30	99.99	69.99	short	
Sub Total	12			\$180.00	\$180.00	\$599.97	\$419.97		
Ishares Barclays Tips Bd Fd Position:21 G/L Amount: \$3,811.76									
	100	8/30/2011	9/16/2011	11,479.90	11,479.90	11,552.33	72.43	short	
	200	8/25/2011	9/16/2011	22,912.80	22,912.80	23,104.64	191.84	short	
	800	8/24/2011	9/16/2011	91,271.44	91,271.44	92,418.57	1,147.13	short	
	400	10/7/2011	10/31/2011	46,043.60	46,043.60	46,339.10	295.5	short	
	500	9/29/2011	10/31/2011	57,498.00	57,498.00	57,923.89	425.89	short	
	100	9/6/2011	10/31/2011	11,617.71	11,617.71	11,584.78	-32.93	short	
	200	6/2/2011	7/15/2011	22,029.96	22,029.96	22,251.39	221.43	short	
	200	6/6/2011	7/15/2011	22,057.78	22,057.78	22,251.40	193.62	short	
	200	7/11/2011	7/29/2011	22,295.98	22,295.98	22,473.84	177.86	short	
	200	5/27/2011	7/15/2011	22,189.00	22,189.00	22,251.39	62.39	short	
	400	5/16/2011	5/31/2011	44,131.20	44,131.20	44,252.63	121.43	short	
	200	5/23/2011	5/31/2011	22,037.70	22,037.70	22,126.32	88.62	short	
	200	5/27/2011	6/17/2011	22,189.00	22,189.00	22,089.56	-99.44	short	
	300	7/14/2011	7/29/2011	33,556.35	33,556.35	33,710.76	154.41	short	
	200	8/9/2011	8/19/2011	23,009.26	23,009.26	22,899.56	-109.7	short	
	200	8/15/2011	8/19/2011	23,137.00	23,137.00	22,899.56	-237.44	short	
	200	8/16/2011	8/19/2011	23,163.28	23,163.28	22,899.55	-263.73	short	
	200	8/4/2011	8/19/2011	22,780.78	22,780.78	23,119.54	338.76	short	
	100	7/21/2011	7/29/2011	11,172.96	11,172.96	11,236.92	63.96	short	
	100	7/22/2011	7/29/2011	11,193.14	11,193.14	11,236.93	43.79	short	
	400	8/4/2011	8/19/2011	45,561.56	45,561.56	46,517.50	955.94	short	
Sub Total	5,400.00			\$611,328.40	\$611,328.40	\$615,140.16	\$3,811.76		

Ishares Barclays20+Yr 97.000 Jul C Position:3 G/L Amount: \$654.97									
	2	6/29/2011	6/22/2011	44	44	224.69	180.69	short	
	2	6/29/2011	6/20/2011	44	44	280.29	236.29	short	
	2	6/29/2011	6/20/2011	44	44	281.99	237.99	short	
Sub Total	6			\$132.00	\$132.00	\$786.97	\$654.97		
Ishares Barclays20+Yr 97.000 Jun C Position:1 G/L Amount: \$21.99									
	2	6/20/2011	6/14/2011	0	0	21.99	21.99	short	
Ishares Barclays20+Yr 98.000 Jul C Position:1 G/L Amount: \$147.99									
	2	6/29/2011	6/24/2011	28	28	175.99	147.99	short	
Ishares Barclays20+Yr 111.000 Aug C Position:1 G/L Amount: \$845.43									
	7	8/24/2011	8/22/2011	204.54	204.54	1,049.97	845.43	short	
Ishares Barclays20+Yr 119.000 Nov C Position:2 G/L Amount: \$241.98									
	2	11/11/2011	11/7/2011	92	92	247.99	155.99	short	
	1	10/28/2011	10/26/2011	33	33	118.99	85.99	short	
Sub Total	3			\$125.00	\$125.00	\$366.98	\$241.98		
Ishares Barclays20+Yr 120.000 Dec C Position:2 G/L Amount: \$1,923.33									
	1	12/1/2011	11/22/2011	76.2	76.2	264.99	188.79	short	
	14	12/1/2011	11/16/2011	1,066.80	1,066.80	2,801.34	1,734.54	short	
Sub Total	15			\$1,143.00	\$1,143.00	\$3,066.33	\$1,923.33		
Ishares Barclays20+Yr 120.000 Nov C Position:3 G/L Amount: \$1,088.91									
	2	10/28/2011	10/25/2011	52	52	211.99	159.99	short	
	12	11/10/2011	10/31/2011	527.4	527.4	714.34	186.94	short	
	7	10/28/2011	10/17/2011	182	182	923.98	741.98	short	
Sub Total	21			\$761.40	\$761.40	\$1,850.31	\$1,088.91		
Ishares Barclays20+Yr 120.000 Oct C Position:1 G/L Amount: \$1,094.77									
	7	10/17/2011	9/27/2011	88.2	88.2	1,182.97	1,094.77	short	
Ishares Barclays20+Yr 120.000 Sep C Position:1 G/L Amount: \$629.98									
	7	9/27/2011	9/26/2011	343	343	972.98	629.98	short	
Ishares Barclays20+Yr 121.000 Dec C Position:2 G/L Amount: \$833.95									
	15	12/9/2011	12/8/2011	568.05	568.05	1,083.87	515.82	short	
	15	12/7/2011	12/6/2011	571.8	571.8	889.93	318.13	short	
Sub Total	30			\$1,139.85	\$1,139.85	\$1,973.80	\$833.95		

Ishares Barclays20+Yr 121.000 Jan C Position:1 G/L Amount: \$2,014.42									
15	12/23/2011	12/14/2011	1,783.65	1,783.65	3,798.07	2,014.42	short		
Ishares Barclays20+Yr 122.000 Jan C Position:1 G/L Amount: \$567.07									
3	12/23/2011	12/19/2011	303	303	870.07	567.07	short		
Ishares Barclays20+Yr 122.000 Nov C Position:1 G/L Amount: \$135.99									
2	10/28/2011	10/17/2011	30	30	165.99	135.99	short		
Ishares Barclays20+Yr 122.000 Oct C Position:1 G/L Amount: \$551.98									
2	10/17/2011	10/3/2011	10	10	561.98	551.98	short		
Ishares Barclays20+Yr Tsy Bd Position:15 G/L Amount: \$6,299.91									
100	6/6/2011	6/17/2011	9,577.57	9,577.57	9,684.56	106.99	short		
100	6/6/2011	6/17/2011	9,534.80	9,534.80	9,684.55	149.75	short		
200	6/14/2011	8/16/2011	19,109.14	19,109.14	19,691.62	582.48	short		
500	4/26/2011	5/20/2011	46,704.75	46,704.75	47,775.67	1,070.92	short		
500	5/12/2011	5/20/2011	47,334.40	47,334.40	47,075.63	-258.77	short		
500	5/24/2011	6/17/2011	47,925.00	47,925.00	48,422.79	497.79	short		
200	6/20/2011	8/16/2011	19,409.94	19,409.94	19,691.62	281.68	short		
200	8/26/2011	9/16/2011	21,688.90	21,688.90	22,458.84	769.94	short		
100	8/25/2011	9/16/2011	10,738.99	10,738.99	11,229.42	490.43	short		
200	9/26/2011	11/25/2011	23,835.66	23,835.66	24,183.52	347.86	short		
200	8/30/2011	9/16/2011	21,798.08	21,798.08	22,458.84	660.76	short		
200	6/24/2011	8/16/2011	19,473.14	19,473.14	19,691.62	218.48	short		
200	6/22/2011	8/16/2011	19,367.50	19,367.50	19,691.62	324.12	short		
600	8/22/2011	9/16/2011	66,410.40	66,410.40	67,376.51	966.11	short		
100	8/22/2011	9/2/2011	11,068.40	11,068.40	11,159.77	91.37	short		
Sub Total	3,900.00		\$393,976.67	\$393,976.67	\$400,276.58	\$6,299.91			
Ishares Barclays7-10Y 97.000 Jul C Position:1 G/L Amount: \$366.16									
6	6/29/2011	6/20/2011	173.82	173.82	539.98	366.16	short		
Ishares Barclays7-10Y 98.000 Jul C Position:1 G/L Amount: \$40.99									
1	6/29/2011	6/24/2011	15	15	55.99	40.99	short		
Ishares Barclays7-10Y 104.000 Sep C Position:1 G/L Amount: \$89.99									
2	9/19/2011	8/25/2011	0	0	89.99	89.99	short		

Ishares Barclays7-10Y 105.000 Nov C Position:3 G/L Amount: \$329.97									
1	11/18/2011	11/1/2011	10	10	69.99	59.99	short		
2	11/18/2011	10/31/2011	20	20	49.99	29.99	short		
12	11/18/2011	10/25/2011	120	120	359.99	239.99	short		
Sub Total			\$150.00	\$150.00	\$479.97	\$329.97			
Ishares Barclays7-10Y 105.000 Oct C Position:5 G/L Amount: \$930.39									
1	10/24/2011	9/30/2011	0	0	79.99	79.99	short		
2	10/24/2011	10/7/2011	0	0	60.43	60.43	short		
3	10/24/2011	9/27/2011	0	0	209.99	209.99	short		
2	10/24/2011	9/19/2011	0	0	179.99	179.99	short		
4	10/24/2011	9/26/2011	0	0	399.99	399.99	short		
Sub Total			\$0.00	\$0.00	\$930.39	\$930.39			
Ishares Barclays7-10Yr Tsy Bd Position:21 G/L Amount: \$5,579.36									
400	9/26/2011	12/16/2011	42,050.52	42,050.52	42,336.46	285.94	short		
300	9/27/2011	12/16/2011	31,345.56	31,345.56	31,752.35	406.79	short		
200	8/31/2011	12/16/2011	20,671.04	20,671.04	21,168.23	497.19	short		
200	8/25/2011	9/16/2011	20,613.98	20,613.98	20,777.10	163.12	short		
600	8/25/2011	9/16/2011	61,788.06	61,788.06	62,331.28	543.22	short		
100	11/1/2011	12/16/2011	10,442.50	10,442.50	10,584.12	141.62	short		
200	11/18/2011	12/16/2011	20,893.54	20,893.54	21,039.59	146.05	short		
200	10/31/2011	12/16/2011	20,665.54	20,665.54	21,168.23	502.69	short		
100	9/30/2011	12/16/2011	10,497.00	10,497.00	10,584.12	87.12	short		
200	10/7/2011	12/16/2011	20,738.00	20,738.00	21,168.23	430.23	short		
200	8/10/2011	8/19/2011	20,609.14	20,609.14	20,369.61	-239.53	short		
600	6/20/2011	8/4/2011	58,481.88	58,481.88	59,085.52	603.64	short		
100	6/24/2011	8/4/2011	9,800.00	9,800.00	9,847.59	47.59	short		
200	6/3/2011	6/17/2011	19,410.76	19,410.76	19,279.62	-131.14	short		
200	5/18/2011	6/17/2011	19,111.44	19,111.44	19,499.61	388.17	short		
300	5/18/2011	6/17/2011	28,667.16	28,667.16	28,919.44	252.28	short		
200	8/9/2011	8/19/2011	20,251.50	20,251.50	20,459.60	208.1	short		
300	8/9/2011	8/19/2011	30,377.25	30,377.25	30,554.41	177.16	short		
300	8/8/2011	8/19/2011	30,305.43	30,305.43	30,689.41	383.98	short		

	200	7/13/2011	8/4/2011	19,556.00	19,556.00	19,695.17	139.17	short
	200	8/8/2011	8/19/2011	20,203.62	20,203.62	20,749.59	545.97	short
Sub Total	5,300.00			\$536,479.92	\$536,479.92	\$542,059.28	\$5,579.36	
Ishares Iboxx Invest 111.000 Jul C Position:2 G/L Amount: \$118.23								
	3	6/29/2011	6/22/2011	71.01	71.01	149.99	78.98	short
	2	6/29/2011	6/20/2011	47.34	47.34	86.59	39.25	short
Sub Total	5			\$118.35	\$118.35	\$236.58	\$118.23	
Ishares Iboxx Invest 111.000 Jun C Position:1 G/L Amount: \$79.99								
	2	6/20/2011	6/8/2011	0	0	79.99	79.99	short
Ishares Iboxx Invest 113.000 Oct C Position:2 G/L Amount: \$799.98								
	5	10/24/2011	9/22/2011	0	0	399.99	399.99	short
	5	10/24/2011	9/19/2011	0	0	399.99	399.99	short
Sub Total	10			\$0.00	\$0.00	\$799.98	\$799.98	
Ishares Iboxx Invest 115.000 Dec C Position:1 G/L Amount: \$149.99								
	5	11/11/2011	11/8/2011	125	125	274.99	149.99	short
Ishares Iboxx Invest Gr Cor Fd Position:16 G/L Amount: \$1,474.83								
	400	9/19/2011	10/31/2011	45,208.00	45,208.00	45,419.44	211.44	short
	500	9/22/2011	10/31/2011	56,620.50	56,620.50	56,774.30	153.8	short
	500	9/1/2011	9/16/2011	55,994.95	55,994.95	56,257.25	262.3	short
	100	9/19/2011	10/21/2011	11,302.00	11,302.00	11,269.77	-32.23	short
	500	11/8/2011	11/18/2011	57,520.85	57,520.85	56,001.32	-1519.53	short
	300	11/11/2011	11/18/2011	33,910.38	33,910.38	33,600.79	-309.59	short
	100	10/3/2011	10/31/2011	11,199.00	11,199.00	11,354.86	155.86	short
	300	10/18/2011	10/31/2011	33,648.00	33,648.00	34,064.59	416.59	short
	300	7/5/2011	8/19/2011	33,068.13	33,068.13	33,449.35	381.22	short
	200	8/8/2011	8/19/2011	22,404.90	22,404.90	22,299.57	-105.33	short
	200	6/8/2011	8/19/2011	22,212.70	22,212.70	22,549.55	336.85	short
	300	6/22/2011	8/19/2011	33,308.16	33,308.16	33,449.35	141.19	short
	400	8/26/2011	8/31/2011	44,431.20	44,431.20	44,684.85	253.65	short
	1,000.00	8/30/2011	9/16/2011	112,122.90	112,122.90	112,514.50	391.6	short
	700	8/25/2011	8/31/2011	77,627.90	77,627.90	78,198.49	570.59	short
	300	8/26/2011	8/31/2011	33,347.22	33,347.22	33,513.64	166.42	short

Sub Total	6,100.00				\$683,926.79		\$683,926.79	\$685,401.62	\$1,474.83
Johnson & Johnson Position:2 G/L Amount: \$434.53									
	200	12/21/2010	1/21/2011	12,478.50	12,478.50		12,657.26	178.76	short
	600	11/23/2010	1/21/2011	37,716.00	37,716.00		37,971.77	255.77	short
Sub Total	800			\$50,194.50	\$50,194.50		\$50,629.03	\$434.53	
Joy Global Inc 6.000 11-15-16 Position:1 G/L Amount: \$873.53									
	25,000.00	7/28/2010	1/27/2011	26,666.75	26,551.47		27,425.00	873.53	short
Market Vectors Gold M 60.000 Jan C Position:3 G/L Amount: \$758.96									
	1	1/4/2011	12/23/2010	99	99		168.99	69.99	short
	2	1/4/2011	12/20/2010	198	198		361.99	163.99	short
	5	1/4/2011	12/16/2010	495	495		1,019.98	524.98	short
Sub Total	8			\$792.00	\$792.00		\$1,550.96	\$758.96	
Market Vectors Gold Miners Position:3 G/L Amount: -\$5,507.41									
	100	12/23/2010	1/24/2011	6,013.90	6,013.90		5,337.28	-676.62	short
	200	12/20/2010	1/24/2011	12,097.74	12,097.74		10,674.56	-1423.18	short
	500	12/16/2010	1/24/2011	30,094.00	30,094.00		26,686.39	-3407.61	short
Sub Total	800			\$48,205.64	\$48,205.64		\$42,698.23	(\$5,507.41)	
Mc Donalds Corp Position:3 G/L Amount: \$1,311.14									
	500	4/21/2011	5/20/2011	38,590.20	38,590.20		39,234.24	644.04	short
	200	2/7/2011	2/18/2011	14,797.02	14,797.02		15,158.53	361.51	short
	300	1/24/2011	2/18/2011	22,432.20	22,432.20		22,737.79	305.59	short
Sub Total	1,000.00			\$75,819.42	\$75,819.42		\$77,130.56	\$1,311.14	
Monsanto Co/New Position:6 G/L Amount: -\$5,563.75									
	700	4/26/2011	6/16/2011	46,829.23	46,829.23		46,834.84	5.61	short
	300	4/27/2011	6/16/2011	20,234.40	20,234.40		20,072.07	-162.33	short
	200	4/29/2011	6/16/2011	13,590.04	13,590.04		13,381.38	-208.66	short
	400	12/23/2010	1/21/2011	26,698.84	26,698.84		27,720.09	1,021.25	short
	300	12/28/2010	1/21/2011	20,153.40	20,153.40		20,790.06	636.66	short
	1,000.00	2/22/2011	3/11/2011	73,209.80	73,209.80		66,353.52	-6856.28	short
Sub Total	2,900.00			\$200,715.71	\$200,715.71		\$195,151.96	(\$5,563.75)	

Monsanto Co/New 67.500 Jun C Position:1 G/L Amount: \$1,181.01									
	12	6/16/2011	5/23/2011	363.84	363.84	1,544.85	1,181.01	short	
Monsanto Co/New 67.500 May C Position:4 G/L Amount: \$2,442.79									
	2	5/17/2011	4/29/2011	9.5	9.5	363.99	354.49	short	
	12	5/23/2011	5/19/2011	0	0	583.54	583.54	short	
	7	5/17/2011	4/26/2011	33.25	33.25	1,039.27	1,006.02	short	
	3	5/17/2011	4/27/2011	14.25	14.25	512.99	498.74	short	
Sub Total	24			\$57.00	\$57.00	\$2,499.79	\$2,442.79		
Monsanto Co/New 72.500 Mar C Position:1 G/L Amount: \$676.07									
	10	3/9/2011	3/8/2011	494.6	494.6	1,170.67	676.07	short	
Monsanto Co/New 75.000 Mar C Position:2 G/L Amount: \$1,573.25									
	10	3/7/2011	3/7/2011	510	510	955.68	445.68	short	
	10	3/1/2011	2/22/2011	398.9	398.9	1,526.47	1,127.57	short	
Sub Total	20			\$908.90	\$908.90	\$2,482.15	\$1,573.25		
Mosaic Company (The) Position:10 G/L Amount: \$3,986.16									
	100	2/23/2011	3/11/2011	7,919.62	7,919.62	7,345.43	-574.19	short	
	100	2/9/2011	3/11/2011	8,489.50	8,489.50	7,345.43	-1144.07	short	
	100	2/23/2011	3/11/2011	7,919.62	7,919.62	7,345.43	-574.19	short	
	100	3/9/2011	3/11/2011	7,981.75	7,981.75	7,345.43	-636.32	short	
	100	2/23/2011	3/11/2011	7,919.62	7,919.62	7,345.42	-574.2	short	
	500	1/20/2011	2/18/2011	36,305.00	36,305.00	40,696.86	4,391.86	short	
	500	12/23/2010	1/21/2011	34,925.75	34,925.75	36,264.29	1,338.54	short	
	500	1/24/2011	2/18/2011	37,789.75	37,789.75	40,696.86	2,907.11	short	
	100	2/23/2011	3/11/2011	7,919.62	7,919.62	7,345.43	-574.19	short	
	100	2/23/2011	3/11/2011	7,919.62	7,919.62	7,345.43	-574.19	short	
Sub Total	2,200.00			\$165,089.85	\$165,089.85	\$169,076.01	\$3,986.16		
Mosaic Company (The) 80.000 Mar C Position:3 G/L Amount: \$1,678.23									
	1	3/11/2011	3/10/2011	40.53	40.53	110.99	70.46	short	
	1	3/11/2011	3/9/2011	40.53	40.53	200.99	160.46	short	
	5	3/11/2011	2/23/2011	202.65	202.65	1,649.96	1,447.31	short	
Sub Total	7			\$283.71	\$283.71	\$1,961.94	\$1,678.23		

Mosaic Company (The) 85.000 Feb C Position:1 G/L Amount: \$177.99									
	1	2/22/2011	2/9/2011	0	0	177.99	177.99	short	
Mosaic Company (The) 85.000 Mar C Position:1 G/L Amount: \$263.99									
	1	3/10/2011	2/22/2011	32	32	295.99	263.99	short	
Newfield Expl Co Position:16 G/L Amount: -\$7,972.68									
	100	4/28/2011	5/5/2011	7,027.04	7,027.04	6,471.69	-555.35	short	
	100	4/1/2011	5/5/2011	7,618.86	7,618.86	6,471.70	-1147.16	short	
	100	4/7/2011	5/5/2011	7,484.35	7,484.35	6,471.70	-1012.65	short	
	100	4/28/2011	5/5/2011	7,027.04	7,027.04	6,471.70	-555.34	short	
	100	4/1/2011	5/5/2011	7,618.86	7,618.86	6,471.69	-1147.17	short	
	100	4/1/2011	5/5/2011	7,618.86	7,618.86	6,471.70	-1147.16	short	
	100	4/1/2011	5/5/2011	7,618.86	7,618.86	6,471.69	-1147.17	short	
	100	4/1/2011	5/5/2011	7,618.86	7,618.86	6,471.70	-1147.16	short	
	100	2/8/2011	3/18/2011	7,199.78	7,199.78	7,141.86	-57.92	short	
	100	2/8/2011	3/18/2011	7,199.78	7,199.78	7,141.86	-57.92	short	
	200	2/7/2011	3/18/2011	14,607.30	14,607.30	14,283.72	-323.58	short	
	100	2/7/2011	3/18/2011	7,300.67	7,300.67	7,142.00	-158.67	short	
	100	2/7/2011	3/18/2011	7,300.45	7,300.45	7,141.79	-158.66	short	
	100	2/7/2011	3/18/2011	7,300.44	7,300.44	7,141.79	-158.65	short	
	200	2/23/2011	3/18/2011	13,625.20	13,625.20	14,283.72	658.52	short	
	100	2/25/2011	3/18/2011	6,998.50	6,998.50	7,141.86	143.36	short	
Sub Total	1,800.00			\$131,164.85	\$131,164.85	\$123,192.17	(\$7,972.68)		
Newfield Expl Co 75.000 Apr C Position:1 G/L Amount: \$119.99									
	1	4/13/2011	4/7/2011	10	10	129.99	119.99	short	
Newfield Expl Co 75.000 Feb C Position:3 G/L Amount: \$481.20									
	2	2/15/2011	2/8/2011	80	80	159.99	79.99	short	
	2	2/15/2011	2/7/2011	80	80	239.99	159.99	short	
	3	2/15/2011	2/7/2011	120	120	361.22	241.22	short	
Sub Total	7			\$280.00	\$280.00	\$761.20	\$481.20		

Newfield Expl Co 75.000 Jun C Position:2 G/L Amount: \$729.97									
	6	5/5/2011	4/28/2011	300	300	839.98	539.98	short	
	2	5/5/2011	4/28/2011	100	100	289.99	189.99	short	
Sub Total	8			\$400.00	\$400.00	\$1,129.97	\$729.97		
Newfield Expl Co 75.000 Mar C Position:1 G/L Amount: \$699.98									
	7	3/10/2011	2/15/2011	175	175	874.98	699.98	short	
Newfield Expl Co 75.000 May C Position:2 G/L Amount: \$645.37									
	5	4/28/2011	4/13/2011	225	225	745.38	520.38	short	
	1	4/28/2011	4/13/2011	45	45	169.99	124.99	short	
Sub Total	6			\$270.00	\$270.00	\$915.37	\$645.37		
Newfield Expl Co 80.000 May C Position:1 G/L Amount: \$749.97									
	5	4/13/2011	4/1/2011	325	325	1,074.97	749.97	short	
Newmont Mining 5 1/8 10-01-19 Position:1 G/L Amount: \$1,497.89									
	25,000.00	7/7/2011	8/16/2011	26,851.75	26,832.01	28,329.90	1,497.89	short	
Newmont Mining Corp (57.500 Apr C Position:1 G/L Amount: \$87.58									
	10	3/8/2011	3/8/2011	541.2	541.2	628.78	87.58	short	
Newmont Mining Corp (57.500 Feb C Position:1 G/L Amount: -\$151.02									
	10	2/15/2011	1/25/2011	1,111.00	1,111.00	959.98	-151.02	short	
Newmont Mining Corp (57.500 Mar C Position:1 G/L Amount: \$1,394.85									
	10	2/24/2011	2/15/2011	851	851	2,245.85	1,394.85	short	
Newmont Mining Corp (60.000 Jan C Position:2 G/L Amount: \$1,145.95									
	1	1/4/2011	12/21/2010	113	113	178.99	65.99	short	
	9	1/4/2011	12/16/2010	1,017.00	1,017.00	2,096.96	1,079.96	short	
Sub Total	10			\$1,130.00	\$1,130.00	\$2,275.95	\$1,145.95		
Newmont Mining Corp (New) Position:3 G/L Amount: -\$5,590.43									
	100	12/21/2010	3/8/2011	5,996.80	5,996.80	5,247.37	-749.43	short	
	700	11/29/2010	3/8/2011	40,411.56	40,411.56	36,731.58	-3679.98	short	
	200	11/29/2010	3/8/2011	11,655.76	11,655.76	10,494.74	-1161.02	short	
Sub Total	1,000.00			\$58,064.12	\$58,064.12	\$52,473.69	(\$5,590.43)		

Peabody Energy Corp Position:6 G/L Amount: -\$7,780.03									
100	4/20/2011	5/17/2011	6,480.92	6,480.92	5,701.94	-778.98	short		
300	4/20/2011	5/17/2011	19,442.78	19,442.78	17,105.84	-2336.94	short		
300	4/27/2011	5/17/2011	19,235.40	19,235.40	17,105.79	-2129.61	short		
500	1/25/2011	2/18/2011	30,272.95	30,272.95	31,069.39	796.44	short		
500	2/7/2011	3/11/2011	32,029.00	32,029.00	31,035.00	-994	short		
300	4/20/2011	5/17/2011	19,442.67	19,442.67	17,105.73	-2336.94	short		
Sub Total	2,000.00		\$126,903.72	\$126,903.72	\$119,123.69	(\$7,780.03)			
Peabody Energy Corp 65.000 Feb C Position:1 G/L Amount: \$505.64									
5	2/22/2011	2/7/2011	0	0	505.64	505.64	short		
Peabody Energy Corp 65.000 Mar C Position:1 G/L Amount: \$1,055.77									
5	3/10/2011	2/22/2011	349.2	349.2	1,404.97	1,055.77	short		
Peabody Energy Corp 65.000 May C Position:2 G/L Amount: \$1,815.56									
3	5/13/2011	4/27/2011	23.22	23.22	443.99	420.77	short		
7	5/13/2011	4/20/2011	54.18	54.18	1,448.97	1,394.79	short		
Sub Total	10		\$77.40	\$77.40	\$1,892.96	\$1,815.56			
Potash Cp Of Saskatch 60.000 May C Position:1 G/L Amount: \$599.97									
10	4/18/2011	4/13/2011	660	660	1,259.97	599.97	short		
Potash Cp Of Saskatch 65.000 May C Position:2 G/L Amount: \$1,132.76									
4	4/13/2011	4/5/2011	160	160	608.78	448.78	short		
6	4/13/2011	4/1/2011	240	240	923.98	683.98	short		
Sub Total	10		\$400.00	\$400.00	\$1,532.76	\$1,132.76			
Potash Cp Of Saskatchewan Inc Position:5 G/L Amount: -\$6,099.40									
200	4/1/2011	4/18/2011	12,181.22	12,181.22	10,983.68	-1197.54	short		
200	4/1/2011	4/18/2011	12,181.22	12,181.22	10,983.67	-1197.55	short		
200	4/5/2011	4/18/2011	12,237.16	12,237.16	10,983.79	-1253.37	short		
200	4/1/2011	4/18/2011	12,181.58	12,181.58	10,984.01	-1197.57	short		
200	4/5/2011	4/18/2011	12,237.16	12,237.16	10,983.79	-1253.37	short		
Sub Total	1,000.00		\$61,018.34	\$61,018.34	\$54,918.94	(\$6,099.40)			
Procter & Gamble Position:1 G/L Amount: \$493.21									
500	1/3/2011	1/18/2011	32,224.00	32,224.00	32,717.21	493.21	short		

Reynolds America 6 3/4 6-15-17 Position:1 G/L Amount: \$847.89							
	20,000.00	7/21/2010	3/9/2011	21,901.40	21,752.11	22,600.00	847.89 short
Schlumberger Ltd Position:10 G/L Amount: -\$7,842.67							
	200	6/6/2011	6/16/2011	16,858.70	16,858.70	16,306.64	-552.06 short
	100	2/24/2011	3/11/2011	9,211.50	9,211.50	8,388.03	-823.47 short
	100	6/6/2011	6/16/2011	8,429.35	8,429.35	8,153.32	-276.03 short
	200	6/7/2011	6/16/2011	16,899.12	16,899.12	16,306.65	-592.47 short
	200	6/6/2011	6/16/2011	16,858.70	16,858.70	16,306.65	-552.05 short
	100	2/23/2011	3/11/2011	9,413.93	9,413.93	8,387.94	-1025.99 short
	100	2/23/2011	3/11/2011	9,414.02	9,414.02	8,388.03	-1025.99 short
	100	2/23/2011	3/11/2011	9,414.07	9,414.07	8,388.07	-1026 short
	100	2/23/2011	3/11/2011	9,330.65	9,330.65	8,388.03	-942.62 short
	100	2/23/2011	3/11/2011	9,414.06	9,414.06	8,388.07	-1025.99 short
Sub Total	1,300.00			\$115,244.10	\$115,244.10	\$107,401.43	(\$7,842.67)
Schlumberger Ltd 85.000 Jun C Position:2 G/L Amount: \$972.43							
	2	6/16/2011	6/7/2011	8.64	8.64	291.99	283.35 short
	5	6/16/2011	6/6/2011	21.6	21.6	710.68	689.08 short
Sub Total	7			\$30.24	\$30.24	\$1,002.67	\$972.43
Schlumberger Ltd 95.000 Apr C Position:1 G/L Amount: \$548.50							
	6	3/11/2011	3/9/2011	306	306	854.5	548.5 short
Schlumberger Ltd 95.000 Mar C Position:3 G/L Amount: \$1,247.51							
	1	3/9/2011	2/24/2011	33.24	33.24	178.99	145.75 short
	1	3/9/2011	2/23/2011	33.24	33.24	223.99	190.75 short
	4	3/9/2011	2/23/2011	132.96	132.96	1,043.97	911.01 short
Sub Total	6			\$199.44	\$199.44	\$1,446.95	\$1,247.51
Silver Wheaton Corp Position:2 G/L Amount: -\$7,140.83							
	1,000.00	6/1/2011	6/13/2011	36,914.90	36,914.90	30,119.82	-6795.08 short
	700	4/1/2011	4/15/2011	30,315.60	30,315.60	29,969.85	-345.75 short
Sub Total	1,700.00			\$67,230.50	\$67,230.50	\$60,089.67	(\$7,140.83)
Silver Wheaton Corp 42.000 Jun C Position:1 G/L Amount: \$129.99							
	10	6/13/2011	6/1/2011	20	20	149.99	129.99 short

Silver Wheaton Corp 44.000 Apr C Position:1 G/L Amount: \$860.98							
	7	4/15/2011	4/1/2011	14	14	874.98	860.98 short
Southern Copper Corp Position:1 G/L Amount: -\$4,681.60							
	1,000.00	2/7/2011	2/22/2011	46,324.80	46,324.80	41,643.20	-4681.6 short
Southern Copper Corp 46.000 Feb C Position:1 G/L Amount: \$949.97							
	10	2/15/2011	2/7/2011	100	100	1,049.97	949.97 short
Southern Copper Corp 46.000 Mar C Position:1 G/L Amount: \$440.78							
	10	2/22/2011	2/15/2011	450	450	890.78	440.78 short
Spdr Gold Tr Gold Shs Position:30 G/L Amount: \$24,060.26							
	100	7/12/2011	8/19/2011	15,225.73	15,225.73	15,510.47	284.74 short
	100	7/18/2011	8/19/2011	15,598.00	15,598.00	15,510.47	-87.53 short
	200	8/16/2011	8/19/2011	34,695.14	34,695.14	31,020.94	-3674.2 short
	200	6/23/2011	8/19/2011	29,581.66	29,581.66	31,020.94	1,439.28 short
	100	6/7/2011	8/19/2011	15,040.00	15,040.00	15,510.47	470.47 short
	200	6/15/2011	8/19/2011	29,701.84	29,701.84	31,020.94	1,319.10 short
	100	6/22/2011	8/19/2011	15,152.00	15,152.00	15,510.47	358.47 short
	300	8/18/2011	8/19/2011	53,130.00	53,130.00	46,531.44	-6598.56 short
	100	8/26/2011	8/26/2011	17,519.46	17,519.46	17,181.66	-337.8 short
	200	8/26/2011	8/26/2011	35,038.92	35,038.92	34,200.46	-838.46 short
	200	8/26/2011	8/26/2011	34,825.74	34,825.74	34,200.46	-625.28 short
	100	8/26/2011	8/26/2011	17,598.23	17,598.23	17,583.66	-14.57 short
	100	8/25/2011	8/26/2011	16,951.71	16,951.71	17,657.69	705.98 short
	300	8/25/2011	8/26/2011	50,716.95	50,716.95	52,732.98	2,016.03 short
	100	8/25/2011	8/26/2011	16,905.65	16,905.65	17,583.66	678.01 short
	100	4/1/2011	4/15/2011	13,910.75	13,910.75	14,119.59	208.84 short
	200	4/4/2011	4/15/2011	28,017.20	28,017.20	28,239.19	221.99 short
	200	4/5/2011	4/15/2011	28,140.68	28,140.68	28,239.19	98.51 short
	100	12/2/2010	4/15/2011	13,592.80	13,592.80	14,119.59	526.79 short
	200	5/7/2010	4/15/2011	23,545.40	23,545.40	28,239.19	4,693.79 short
	200	7/8/2010	4/15/2011	23,391.60	23,391.60	28,239.19	4,847.59 short
	100	9/9/2010	4/15/2011	12,162.80	12,162.80	14,119.59	1,956.79 short
	200	5/23/2011	5/27/2011	29,486.70	29,486.70	29,985.41	498.71 short

	200	6/1/2011	8/19/2011	29,957.48	29,957.48	35,103.32	5,145.84	short
	100	6/7/2011	8/19/2011	15,051.00	15,051.00	15,977.68	926.68	short
	100	6/7/2011	8/19/2011	15,051.00	15,051.00	15,510.47	459.47	short
	300	6/1/2011	8/19/2011	44,936.22	44,936.22	53,581.96	8,645.74	short
	200	5/23/2011	5/27/2011	29,486.70	29,486.70	29,785.43	298.73	short
	200	5/24/2011	5/27/2011	29,737.26	29,737.26	29,785.42	48.16	short
	100	6/1/2011	7/15/2011	14,978.74	14,978.74	15,365.69	386.95	short
Sub Total	4,900.00			\$749,127.36	\$749,127.36	\$773,187.62	\$24,060.26	
Spdr Gold Tr Gold Shs 136.000 Feb C Position:1 G/L Amount: \$185.45								
	11	2/15/2011	2/8/2011	206.47	206.47	391.92	185.45	short
Spdr Gold Tr Gold Shs 137.000 Jan C Position:1 G/L Amount: \$103.99								
	1	1/7/2011	12/17/2010	52	52	155.99	103.99	short
Spdr Gold Tr Gold Shs 138.000 Mar C Position:1 G/L Amount: \$497.62								
	11	3/15/2011	2/15/2011	374	374	871.62	497.62	short
Spdr Gold Tr Gold Shs 150.000 Jun C Position:1 G/L Amount: \$221.63								
	6	6/2/2011	6/1/2011	198.36	198.36	419.99	221.63	short
Spdr Gold Tr Gold Shs 151.000 Jun C Position:4 G/L Amount: \$429.20								
	2	6/14/2011	6/7/2011	34	34	245.99	211.99	short
	1	6/14/2011	6/7/2011	17	17	114.99	97.99	short
	11	6/23/2011	6/15/2011	400.84	400.84	830.04	429.2	short
	6	6/14/2011	6/2/2011	102	102	647.98	545.98	short
Sub Total	11			\$400.84	\$400.84	\$830.04	\$429.20	
Spdr Gold Tr Gold Shs 152.000 Jul C Position:2 G/L Amount: \$407.31								
	12	6/24/2011	6/23/2011	624	624	971.98	347.98	short
	2	6/24/2011	6/23/2011	104	104	163.33	59.33	short
Sub Total	14			\$728.00	\$728.00	\$1,135.31	\$407.31	
Spdr Gold Tr Gold Shs 153.000 Jun C Position:1 G/L Amount: \$52.99								
	1	6/23/2011	6/22/2011	12	12	64.99	52.99	short
Spdr Gold Tr Gold Shs 157.000 Jul C Position:1 G/L Amount: \$53.92								
	1	7/19/2011	7/18/2011	36.07	36.07	89.99	53.92	short
Spdr Gold Tr Gold Shs 178.000 Sep C Position:1 G/L Amount: \$1,469.97								
	5	9/19/2011	9/7/2011	0	0	1,469.97	1,469.97	short

Spdr Gold Tr Gold Shs 180.000 Feb C Position:1 G/L Amount: \$335.09							
10	12/28/2011	12/19/2011	161.5	496.59	161.5	496.59	335.09 short
Spdr Gold Tr Gold Shs 180.000 Oct C Position:2 G/L Amount: \$1,253.45							
1	9/22/2011	9/20/2011	200.25	404.99	200.25	404.99	204.74 short
5	9/22/2011	9/20/2011	1,001.25	2,049.96	1,001.25	2,049.96	1,048.71 short
6			\$1,201.50	\$2,454.95	\$1,201.50	\$2,454.95	\$1,253.45
Spdr Gold Tr Gold Shs 185.000 Dec C Position:2 G/L Amount: \$1,251.15							
2	12/6/2011	10/28/2011	14	311.99	14	311.99	297.99 short
8	10/20/2011	10/12/2011	667.44	1,620.60	667.44	1,620.60	953.16 short
10			\$681.44	\$1,932.59	\$681.44	\$1,932.59	\$1,251.15
Spdr Gold Tr Gold Shs 185.000 Feb C Position:2 G/L Amount: \$822.38							
8	12/12/2011	12/6/2011	697.2	1,347.89	697.2	1,347.89	650.69 short
2	12/12/2011	12/6/2011	174.3	345.99	174.3	345.99	171.69 short
10			\$871.50	\$1,693.88	\$871.50	\$1,693.88	\$822.38
Spdr Gold Tr Gold Shs 185.000 Jan C Position:1 G/L Amount: \$715.17							
8	12/6/2011	11/21/2011	556.8	1,271.97	556.8	1,271.97	715.17 short
Spdr Gold Tr Gold Shs 185.000 Mar C Position:1 G/L Amount: \$490.56							
10	12/14/2011	12/13/2011	1,180.20	1,670.76	1,180.20	1,670.76	490.56 short
Spdr Gold Tr Gold Shs 186.000 Dec C Position:1 G/L Amount: \$679.17							
8	11/17/2011	10/26/2011	608	1,287.17	608	1,287.17	679.17 short
Teva Pharmaceuticals 50.000 Jun C Position:1 G/L Amount: \$589.98							
10	6/13/2011	5/23/2011	190	779.98	190	779.98	589.98 short
Teva Pharmaceuticals 50.000 May C Position:1 G/L Amount: \$429.99							
10	5/23/2011	5/12/2011	0	429.99	0	429.99	429.99 short
Teva Pharmaceuticals Adr Position:1 G/L Amount: -\$285.55							
1,000.00	5/12/2011	6/13/2011	49,493.40	49,207.85	49,493.40	49,207.85	-285.55 short
Valero Energy 6 1/8 6-15-17 Position:1 G/L Amount: \$1,515.09							
20,000.00	7/20/2010	7/12/2011	21,660.20	22,970.60	21,455.51	22,970.60	1,515.09 short
Short Term Total							
			\$5,294,719.85	\$5,293,919.09	\$5,328,868.76	\$34,949.67	

Long Term Gain/Loss

Security	Quantity	Date Acquired	Date Sold	Total Cost (\$)	Adjusted Cost (\$)	Proceeds (\$)	G/L Amount (\$)	Holding Period
Anheuser Busch 5.350 5-15-23 Position:1 G/L Amount: -\$24.85								
	25,000.00	8/13/2010	9/26/2011	25,026.50	25,024.85	25,000.00	-24.85	long
Dow Chemical Co 5 1/2 8-15-13 Position:1 G/L Amount: -\$290.40								
	20,000.00	8/12/2010	8/15/2011	20,425.00	20,290.40	20,000.00	-290.4	long
Gecc 5 3/4 8-15-29 Position:1 G/L Amount: \$1,250.00								
	50,000.00	11/5/2007	3/9/2011	48,000.00	48,000.00	49,250.00	1,250.00	long
Spdr Gold Tr Gold Shs Position:7 G/L Amount: \$39,824.66								
	100	11/24/2009	4/15/2011	11,441.65	11,441.65	14,198.72	2,757.07	long
	100	12/23/2009	4/15/2011	10,709.90	10,709.90	14,198.72	3,488.82	long
	100	4/7/2010	4/15/2011	11,268.75	11,268.75	14,119.59	2,850.84	long
	200	11/18/2009	4/8/2011	22,540.00	22,540.00	28,335.44	5,795.44	long
	800	11/10/2009	2/7/2011	86,635.60	86,635.60	105,156.14	18,520.54	long
	200	11/12/2009	2/7/2011	21,751.60	21,751.60	26,289.03	4,537.43	long
	100	11/18/2009	2/7/2011	11,270.00	11,270.00	13,144.52	1,874.52	long
Sub Total	1,600.00			\$175,617.50	\$175,617.50	\$215,442.16	\$39,824.66	
Sunoco Inc 5 3/4 1-15-17 Position:1 G/L Amount: -\$819.34								
	15,000.00	10/18/2010	11/9/2011	14,574.00	15,849.34	15,030.00	-819.34	long
Long Term				283,643.00	\$284,782.09	\$324,722.16	\$39,940.07	
Grand Total				5,578,362.85	\$5,578,701.18	\$5,653,590.92	\$74,889.74	

The column "G/L Amount (\$)" is the difference between the Proceeds and the Adjusted Cost basis.

G/L Amount based on the difference between the Proceeds and the Total Costs basis:

Short Term G/L: \$41,079

Long Term G/L: \$34,148

Grand Total G/L: \$75,227

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions PETERSON FAMILY FOUNDATION INC	Employer identification number (EIN) or ☒ 35-2058989
	Number, street, and room or suite no. If a P.O. box, see instructions 9339 PRIORITY WAY W. DRIVE, NO. 100	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions INDIANAPOLIS, IN 46240	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

JOANN TIPTON

- The books are in the care of ► **9339 PRIORITY WAY WEST DRIVE, STE 100 - INDPLS, IN 46240**
Telephone No ► **317-805-1100** FAX No. ►
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2012**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2011** or
► ☐ tax year beginning _____, and ending _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 2,750.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 2,750.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EQ and Form 8879-EQ for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)