Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , and ending

Name of foundation
THE PAUL F. AND MARGARET M. WUTZ FOUNDATION

A Employer identification number
34-1939673

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
P.O. BOX 2202

B Telephone number
480-595-2065

City or town, state, and ZIP code
NEW LONDON, NH 03257

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 2,327,833.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	72,927.	72,927.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	58,180.			
	b Gross sales price for all assets on line 6a 750,395.				
	7 Capital gain net income (from Part IV, line 2)		58,180.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	656.	656.		STATEMENT 2
	12 **Total.** Add lines 1 through 11	131,763.	131,763.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 3	7,174.	7,174.		0.
	17 Interest				
	18 Taxes STMT 4	1,000.	800.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	344.	344.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	8,518.	8,318.		0.
	25 Contributions, gifts, grants paid	155,554.			155,554.
	26 **Total expenses and disbursements.** Add lines 24 and 25	164,072.	8,318.		155,554.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<32,309.>			
	b **Net investment income** (if negative, enter -0-)		123,445.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	122,400.	189,050.	189,050.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	2,253,435.	2,138,783.	2,138,783.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	2,375,835.	2,327,833.	2,327,833.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,375,835.	2,327,833.	
	30 Total net assets or fund balances	2,375,835.	2,327,833.	
	31 Total liabilities and net assets/fund balances	2,375,835.	2,327,833.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,375,835.
2	Enter amount from Part I, line 27a	2	<32,309.>
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	675.
4	Add lines 1, 2, and 3	4	2,344,201.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	16,368.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,327,833.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	750,395.		692,215.	58,180.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				58,180.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } — 2 — 58,180.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 — 3 — N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	190,668.	2,314,302.	.082387
2009	217,282.	2,158,533.	.100662
2008	119,044.	2,875,082.	.041405
2007	121,903.	2,968,928.	.041060
2006	186,390.	2,775,372.	.067159

2	**Total** of line 1, column (d)	2	.332673
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.066535
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	2,173,017.
5	Multiply line 4 by line 3	5	144,582.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,234.
7	Add lines 5 and 6	7	145,816.
8	Enter qualifying distributions from Part XII, line 4	8	155,554.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI · Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	1,234.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1,234.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	1,234.
6	Credits/Payments:		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 — 6a — 762.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	762.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	472.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2012 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ PAUL F. WUTZ Telephone no. ▶480-595-2065

Located at ▶P.O. BOX 2202, NEW LONDON, NH, NEW LONDON, NH ZIP+4 ▶03257

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PAUL F. WUTZ P.O. BOX 2202 NEW LONDON, NH 03257	TRUSTEE AND PRESIDENT 1.00	0.	0.	0.
MARGARET M. WUTZ P.O. BOX 2202 NEW LONDON, NH 03257	TRUSTEE AND TREASURER 1.00	0.	0.	0.
LAURA A. WUTZ P.O. BOX 2202 NEW LONDON, NH 03257	TRUSTEE AND SECRETARY 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	2,191,566.
b	Average of monthly cash balances		1b	170,501.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	2,362,067.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	2,362,067.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) STMT 9		4	189,050.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	2,173,017.
6	**Minimum investment return.** Enter 5% of line 5		6	108,651.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	108,651.
2a	Tax on investment income for 2011 from Part VI, line 5	2a 1,234.		
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	1,234.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	107,417.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	107,417.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	107,417.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	155,554.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	155,554.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,234.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	154,320.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				107,417.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006 — 50,187.				
b From 2007				
c From 2008				
d From 2009 — 111,211.				
e From 2010 — 76,477.				
f Total of lines 3a through e	237,875.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 155,554.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				107,417.
e Remaining amount distributed out of corpus	48,137.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	286,012.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	50,187.			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	235,825.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009 — 111,211.				
d Excess from 2010 — 76,477.				
e Excess from 2011 — 48,137.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AUSBON SARGENT PRESERVATION LAND TRUST 71 PLEASANT ST. NEW LONDON, NH 03257		PUBLIC	OPERATING EXPENSES	1,000.
BUFFALO SUZUKI STRINGS 4 WEBSTER STREET NORTH TONAWANDA, NY 14120		PUBLIC	OPERATING EXPENSES	20,000.
LAKE SUNAPEE PROTECTIVE ASSOCIATION 3 MAIN STREET PO BOX 683 SUNAPEE, NH 03782		PUBLIC	OPERATING EXPENSES	1,000.
LIFE TEEN INTERNATIONAL 2222 S DOBSON ROAD SUITE 601 MESA, AZ 85202		PUBLIC	GOLF TOURNAMENT - FUND RAISER	25,000.
LIFE TEEN INTERNATIONAL 2222 S DOBSON ROAD SUITE 601 MESA, AZ 85202		PUBLIC	OPERATING EXPENSES	25,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	155,554.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	72,927.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	656.	
8 Gain or (loss) from sales of assets other than inventory			18	58,180.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		131,763.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 131,763.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Paul F. Wutz | 5/1/12 | ▶ TRUSTEE/PRESIDENT

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
WILLIAM A. WORTZMAN, CPA	William A Wortzman CPA	4/25/12		P00161084

Firm's name ▶ THE WORTZMAN COMPANY — Firm's EIN ▶ 34-1316000

Firm's address ▶ 3550 LANDER ROAD, #310 PEPPER PIKE, OH 44124 — Phone no. 216-464-0001

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
FROM K-1: DB COMMODITY INDEX TRACKING FUND	26.	0.	26.
UBS FINANCIAL SERVICES INC. A/C Y5 14087 DG	62,301.	0.	62,301.
UBS FINANCIAL SERVICES INC. A/C Y5 14088 DG	5,059.	0.	5,059.
UBS FINANCIAL SERVICES INC. A/C Y5 14090 DG	1,885.	0.	1,885.
UBS FINANCIAL SERVICES INC. A/C Y5 14091 DG	1,820.	0.	1,820.
UBS FINANCIAL SERVICES INC. A/C Y5 26232 DG	1,836.	0.	1,836.
TOTAL TO FM 990-PF, PART I, LN 4	72,927.	0.	72,927.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
UBS FINANCIAL SERVICES INC. A/C Y5 14087 DG	656.	656.	
TOTAL TO FORM 990-PF, PART I, LINE 11	656.	656.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	7,174.	7,174.		0.
TO FORM 990-PF, PG 1, LN 16C	7,174.	7,174.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	800.	800.		0.
OHIO FILING FEE	200.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,000.	800.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FROM K-1: POWERSHARES DB COMMODITY INDEX TRACKING FUND	344.	344.		0.
TO FORM 990-PF, PG 1, LN 23	344.	344.		0.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 6

DESCRIPTION	AMOUNT
NONDIVIDEND DISTRIBUTIONS	362.
TAX-EXEMPT INTEREST	313.
TOTAL TO FORM 990-PF, PART III, LINE 3	675.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 7

DESCRIPTION	AMOUNT
UNREALIZED LOSS ON SECURITIES	15,804.
FEDERAL TAX PAYMENTS	564.
TOTAL TO FORM 990-PF, PART III, LINE 5	16,368.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS FINANCIAL SERVICES A/C AT 14087	1,707,054.	1,707,054.
UBS FINANCIAL SERVICES A/C AT 14088	93,434.	93,434.
UBS FINANCIAL SERVICES A/C AT 14090	122,108.	122,108.
UBS FINANCIAL SERVICES A/C AT 14091	122,631.	122,631.
DEUTSCHE BANK ALEX BROWN A/C 5YK-215714	15,676.	15,676.
UBS FINANCIAL SERVICES A/C AT 26232	77,880.	77,880.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,138,783.	2,138,783.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM K-1: POWERSHARES DB COMMODITY INDEX TRACKING	P	VARIOUS	VARIOUS
b	FROM K-1: POWERSHARES DB COMMODITY INDEX TRACKING	P	VARIOUS	VARIOUS
c	SEE ATTACHMENT FORM 6781 - LINE 5	P	VARIOUS	VARIOUS
d	UBS FINANCIAL SERVICES A/C Y5 14088 DG (SEE ATTAC	P	VARIOUS	VARIOUS
e	9 SHARES CABOT MICROELECTRONICS CORP	P	03/24/11	04/25/11
f	16 SHARES LANDSTAR INC	P	04/14/11	04/25/11
g	30 SHARES OWENS & MINOR INC NEW	P	03/21/11	04/25/11
h	60 SHARES COPART INC	P	12/31/10	04/25/11
i	29 SHARES HITTITE MICROWAVE COPR	P	10/08/10	04/25/11
j	UBS FINANCIAL SERVICES A/C Y5 14091 DG (SEE ATTAC	P	VARIOUS	VARIOUS
k	CAPITAL GAIN DISTRIBUTIONS - UBS FINANCIAL SERVIC	P	VARIOUS	VARIOUS
l	30 SHARES FREEPORT-MCMORAN COPPER & GOLD INC	P	VARIOUS	VARIOUS
m	33 SHARES CAMERON INTL CORP	P	VARIOUS	VARIOUS
n	115 SHARES FORD MOTOR CO COM NEW	P	VARIOUS	01/28/11
o	30 SHARES FREEPORT-MCMORAN COPPER & GOLD INC	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	<190.>			<190.>
b	581.			581.
c	303.			303.
d	13,150.		11,672.	1,478.
e	444.		445.	<1.>
f	760.		756.	4.
g	1,014.		941.	73.
h	2,655.		2,089.	566.
i	1,833.		1,417.	416.
j	41,479.		22,447.	19,032.
k	31.			31.
l	1,192.		1,611.	<419.>
m	1,620.		1,405.	215.
n	1,916.		1,858.	58.
o	1,048.		1,475.	<427.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<190.>
b				581.
c				303.
d				1,478.
e				<1.>
f				4.
g				73.
h				566.
i				416.
j				19,032.
k				31.
l				<419.>
m				215.
n				58.
o				<427.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

123591
05-01-11

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	6 SHARES MASTERCARD INC CL A	P	VARIOUS	05/10/11
b	UBS FINANCIAL SERVICES A/C Y5 14090 DG (SEE ATTAC	P	VARIOUS	VARIOUS
c	CAPITAL GAIN DISTRIBUTIONS - UBS FINANCIAL SERVIC	P	VARIOUS	VARIOUS
d	400 SHARES AMER TOWER CORP	P	04/12/11	10/21/11
e	UBS FINANCIAL SERVICES A/C Y5 14087 DG (SEE ATTAC	P	VARIOUS	VARIOUS
f	UBS FINANCIAL SERVICES A/C Y5 14087 DG (SEE ATTAC	P	VARIOUS	VARIOUS
g	UBS FINANCIAL SERVICES A/C Y5 14087 DG (SEE ATTAC	P	VARIOUS	VARIOUS
h	EXPIRED CALL - 10 SHARES CBOE S&P 500 INDEX DUE 1	P	10/10/11	10/24/11
i	EXPIRED CALL - 15 SHARES CBOE S&P 500 INDEX DUE 1	P	12/05/11	12/19/11
j	EXPIRED CALL - 10 SHARES CBOE S&P 500 INDEX DUE 1	P	10/28/11	11/21/11
k	REDEMPTION - $50,000 DEUTSCHE BANK AG NTS ZERO CO	P	04/17/08	04/21/11
l	ISHARES SILVER TRUST - RETURN OF PRINCIPAL	P	12/31/11	12/31/11
m	SPDR GOLD TRUST - RETURN OF PRINCIPAL	P	12/31/11	12/31/11
n	10 SHARES HALLIBURTON CO	P	01/24/11	02/03/11
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,686.		1,249.	437.
b	27,391.		28,805.	<1,414.>
c	699.			699.
d	22,258.		20,056.	2,202.
e	228,156.		226,940.	1,216.
f	45,107.		36,606.	8,501.
g	304,664.		273,088.	31,576.
h			2,105.	<2,105.>
i			3,130.	<3,130.>
j			3,105.	<3,105.>
k	51,519.		50,000.	1,519.
l	26.		26.	0.
m	591.		591.	0.
n	462.		398.	64.
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				437.
b				<1,414.>
c				699.
d				2,202.
e				1,216.
f				8,501.
g				31,576.
h				<2,105.>
i				<3,130.>
j				<3,105.>
k				1,519.
l				0.
m				0.
n				64.
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	58,180.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

123591
05-01-11

FORM 990-PF	CASH DEEMED CHARITABLE EXPLANATION STATEMENT PART X, LINE 4	STATEMENT 9

BASED ON PRIOR EXPERIENCE AND ANTICIPATED ADMINISTRATIVE COSTS AND CHARITABLE DISBURSEMENTS, THE FOUNDATION DEEMS 100% OF THE CASH BALANCE AT DECEMBER 31, 2011 TO BE HELD FOR CHARITABLE ACTIVITIES.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SAINT GABRIELS ROMAN CATHOLIC CHURCH 32648 N CAVE CREEK RD CAVE CREEK, AZ 85331		PUBLIC	OPERATING EXPENSES	10,000.
SETON CATHOLIC SCHOOL OF HUDSON 6923 STOW ROAD HUNSON, OH 44236		PUBLIC	OPERATING EXPENSES	25,000.
WESTERN RESERVE SUZUKI STRINGS 5170 BROCKTON DR STOW, OH 44224		PUBLIC	OPERATING EXPENSES	5,000.
CARE P.O. BOX 3740 CAREFREE, AZ 85377		PUBLIC	OPERATING EXPENSES	3,000.
LIFE TEEN INTERNATIONAL 2222 S DOBSON ROAD SUITE 601 MESA, AZ 85202		PUBLIC	OPERATING EXPENSES	25,000.
OUR LADY OF FATIMA 17000 STATE ROUTE 30 NEW YORK, NY 12926		PUBLIC	OPERATING EXPENSES	2,500.
CANISIUS COLLEGE 2001 MAIN STREET BUFFALO, NY 14208		PUBLIC	OPERATING EXPENSES	13,054.
Total from continuation sheets				83,554.

Long-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
AMCOR LTD NEW AU ADR	Trade	14 0000		09/18/02	05/06/11	419 05	268 27 1	150 78	
BANCO SANTANDER S A SPON ADR	Trade	54 0000		07/01/03	01/21/11	665 38	432 30 1	233 08	
BG GROUP PLC SPON ADR	Trade	6 0000		09/18/02	01/28/11	652 68	119 10 1	533 58	

continued next page

Account Number Y5 14088 DG
Your Financial Advisor or Contact
DAVID G KRITZER
404-479-6000/800-977-2756

UBS Financial Services Inc.

2011 Consolidated Form 1099

P1301L0001866200698993-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
FOSTERS GROUP LTD NEW SPONS ADR **MERGER EFF 12/2011** SPON ADR	Trade	105 0000		09/18/02	04/01/11	619 59	269 29 ¹	350 30	
	Trade	117 0000		09/18/02	05/20/11	543 00	246 31 ¹	296 69	
	Trade	118 0000		09/18/02	05/31/11	544 30	248 41 ¹	295 89	
	Sub-Total	**340.0000**				**1,706.89**	**764.01**	**942.88**	
GLAXO SMITHKLINE PLC ADR	Trade	11 0000		02/12/02	05/31/11	477 12	553 08 ¹	(75 96)	
	Trade	12 0000		02/12/02	08/16/11	503 75	603 36 ¹	(99 61)	
	Sub-Total	**23.0000**				**980.87**	**1,156.44**	**(175.57)**	
IBERDROLA SA SPON ADR	Trade	13 0000		09/15/08	08/24/11	378 20	535 58 ¹	(157 38)	24 21
	Trade	8 0000		09/23/08	08/24/11	232 74	337 30 ¹	(104 56)	
	Sub-Total	**21.0000**				**610.94**	**872.88**	**(261.94)**	
NATIONAL GRID PLC NEW SPON ADR	Trade	9 0000		12/03/03	08/24/11	452 21	351 67 ¹	100 54	
NIPPON TELEG & TEL CORP SPON ADR	Trade	19 0000		04/07/05	01/13/11	425 06	423 61 ¹	1 45	
	Trade	6 0000		04/07/05	01/24/11	136 76	133 77 ¹	2 99	
	Trade	26 0000		03/10/06	01/24/11	592 64	560 78 ¹	31 86	
	Sub-Total	**51.0000**				**1,154.46**	**1,118.16**	**36.30**	
POWER ASSETS HOLDINGS LTD SPON ADR	Trade	99 0000		09/18/02	03/18/11	635 42	415 80 ¹	219 62	
	Trade	78 0000		09/18/02	03/25/11	501 13	327 60 ¹	173 53	

continued next page

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
POWER ASSETS HOLDINGS LTD SPON ADR	Trade	81 0000		09/18/02	03/31/11	537 36	340 20 [1]	197 16	
	Sub-Total	**258.0000**				**1,673.91**	**1,083.60**	**590.31**	
ROYAL DUTCH SHELL PLC CL A SPON ADR	Trade	6 0000		09/18/02	05/10/11	440 38	245 28 [1]	195 10	
SEVEN & I HLDGS CO LTD ADR	Trade	7 0000		02/13/09	10/20/11	391 51	361 64	29 87	
	Trade	1 0000		03/11/09	10/20/11	55 93	40 63	15 30	
	Sub-Total	**8.0000**				**447.44**	**402.27**	**45.17**	
SINGAPORE TELECOM LTD NEW 2006 SPON ADR	Trade	22 0000		06/10/08	07/20/11	584 12	585 66 [1]	(1 54)	
SOCIETE GENERALE FRANCE SPONS ADR ADR	Trade	45 0000		10/23/01	01/25/11	578 12	463 50 [1]	114 62	
TELECOM CORP NEW ZEALAND LTD SPONSORED ADR NEW ZEALAND ADR	Trade	9 0222		09/18/02	05/18/11	82 60	114 56 [1]	(31 96)	
	Trade	51 2000		05/17/06	05/18/11	468 73	802 87 [1]	(334 14)	
	Trade	13 7778		08/08/06	05/18/11	126 14	199 34 [1]	(73 20)	
	Trade	36 0000		08/08/06	06/20/11	349 61	520 85 [1]	(171 24)	
	Sub-Total	**110.0000**				**1,027.08**	**1,637.62**	**(610.54)**	
TELSTRA CORP LTD (FINAL) ADR	Trade	25 0000		02/18/05	08/24/11	398 66	528 50 [1]	(129 84)	
	Trade	4 0000		08/12/05	08/24/11	63 78	74 33 [1]	(10 55)	
	Trade	11 0000		08/12/05	10/04/11	157 31	204 41 [1]	(47 10)	

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
TELSTRA CORP LTD (FINAL) ADR	Trade	12 0000		10/27/05	10/04/11	171 62	193 11 [1]	(21 49)	
	Sub-Total	**52.0000**				**791.37**	**1,000.35**	**(208.98)**	
TREASURY WINE ESTATES LTD SPON ADR	Cash in lieu	0 3333		09/18/02	05/23/11	1 07	0 46	0 61	
UNILEVER PLC AMER SHS NEW SPON ADR	Trade	15 0000		03/22/05	06/23/11	467 60	578 10 [1]	(110 50)	
	Trade	1 0000		03/22/05	12/12/11	33 08	38 54 [1]	(5 46)	
	Trade	5 4000		08/26/05	12/12/11	178 63	215 89 [1]	(37 26)	
	Trade	8 6000		11/30/05	12/12/11	284 48	338 07 [1]	(53 59)	
	Sub-Total	**30.0000**				**963.79**	**1,170.60**	**(206.81)**	
Sub Total						**$13,149.76**	**$11,672.17**	**$1,477.59**	
Total Long-Term Gain/Loss						**$13,149.76**	**$11,672.17**	**$1,477.59**	

Long-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
ABAXIS INC	Trade	28 0000		03/20/07	04/25/11	803 22	644 84 1	158 38	
ADVISORY BOARD CO	Trade	27 0000		11/19/09	03/25/11	1,357 01	685 66	671 35	
	Trade	7 0000		11/19/09	04/25/11	325 07	177 76	147 31	
	Trade	10 0000		11/19/09	10/19/11	650 56	253 95	396 61	
	Trade	25 0000		02/10/10	10/19/11	1,626 40	799 68	826 72	
	Trade	16 0000		06/17/10	10/19/11	1,040 90	665 81	375 09	
	Sub-Total	**85.0000**				**4,999.94**	**2,582.86**	**2,417.08**	
ANSYS INC	Trade	28 0000		01/16/03	04/25/11	1,496 57	153 11 1	1,343 46	
APTARGROUP INC	Trade	9 0000		01/23/02	04/25/11	459 59	135 36 1	324 23	
BLACKBAUD INC	Trade	62 0000		02/23/06	03/23/11	1,571 74	1,128 40 1	443 34	
	Trade	7 0000		03/31/06	03/23/11	177 45	149 54 1	27 91	
	Trade	40 0000		03/31/06	04/25/11	1,061 31	854 53 1	206 78	
	Sub-Total	**109.0000**				**2,810.50**	**2,132.47**	**678.03**	
BROWN & BROWN INC	Trade	71 0000		06/24/09	04/25/11	1,774 36	1,375 09	399 27	
CARBO CERAMICS INC	Trade	11 0000		10/10/01	03/17/11	1,296 91	220 37 1	1,076 54	
	Trade	3 0000		06/22/09	03/17/11	353 70	98 74	254 96	
	Trade	8 0000		06/22/09	04/25/11	1,113 97	263 31	850 66	
	Trade	11 0000		06/22/09	06/03/11	1,647 14	362 05	1,285 09	
	Sub-Total	**33.0000**				**4,411.72**	**944.47**	**3,467.25**	

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS . (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
COHEN & STEERS INC	Trade	47 0000		10/18/07	04/25/11	1,387 41	1,734 84 1	(347 43)	
COMPUTER PROGRAMS & SYSTEMS INC	Trade	7 0000		07/21/06	04/25/11	405 01	237 36 1	167 65	
EXPONENT INC	Trade	30 0000		07/23/09	04/25/11	1,298 07	768 90	529 17	
FACTSET RESH SYSTEMS INC	Trade	6 0000		09/04/07	04/25/11	631 42	355 70 1	275 72	
	Trade	2 0000		12/24/08	04/25/11	210 48	84 42	126 06	
	Sub-Total	**8.0000**				**841.90**	**440.12**	**401.78**	
FEDERATED INVESTORS INC PA CL B	Trade	20 0000		02/20/09	04/25/11	519 23	395 11	124 12	
FORWARD AIR CORP	Trade	55 5000		05/20/02	04/15/11	1,697 99	1,100 68 1	597 31	
	Trade	82 5000		01/21/03	04/15/11	2,524 04	1,139 56 1	1,384 48	
	Trade	32 0000		03/06/06	04/15/11	979 02	1,133 72 1	(154 70)	
	Sub-Total	**170.0000**				**5,201.05**	**3,373.96**	**1,827.09**	
HAEMONETICS CORP MASS	Trade	10 0000		02/01/07	04/25/11	668 18	482 29 1	185 89	
HENRY JACK & ASSOC INC	Trade	60 0000		10/10/01	04/25/11	2,016 56	1,497 00 1	519 56	
LINCOLN ELEC HOLDINGS NEW	Trade	8 0000		06/03/05	03/18/11	573 44	257 45 1	315 99	
	Trade	19 0000		06/21/05	03/18/11	1,361 92	612 35 1	749 57	
	Trade	10 0000		06/21/05	04/25/11	757 48	322 29 1	435 19	
	Sub-Total	**37.0000**				**2,692.84**	**1,192.09**	**1,500.75**	

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
POOL CORP	Trade	43 0000		02/10/04	04/25/11	1,237 94	931 09 [1]	306 85	
	Trade	13 0000		08/06/08	04/25/11	374 26	290 54 [1]	83 72	
	Sub-Total	**56.0000**				**1,612.20**	**1,221.63**	**390.57**	
ROLLINS INC	Trade	25 0000		08/13/04	04/25/11	500 74	382 67 [1]	118 07	
ROPER INDS INC NEW	Trade	9 0000		09/16/02	03/11/11	755 21	157 45 [1]	597 76	
	Trade	28 0000		12/24/08	03/11/11	2,349 53	1,109 92	1,239 61	
	Sub-Total	**37.0000**				**3,104.74**	**1,267.37**	**1,837.37**	
STEINER LEISURE LTD	Trade	5 0000		08/07/07	04/25/11	220 49	217 26 [1]	3 23	
TECHNE CORP MINN	Trade	24 0000		10/10/01	04/25/11	1,810 04	696 72 [1]	1,113 32	
TEMPUR-PEDIC INTL INC	Trade	37 0000		05/15/06	04/25/11	2,261 44	521 65 [1]	1,739 79	
	Trade	3 0000		03/04/08	04/25/11	183 36	50 10 [1]	133 26	
	Sub-Total	**40.0000**				**2,444.80**	**571.75**	**1,873.05**	
Sub Total						**$41,479.16**	**$22,447.27**	**$19,031.89**	
Total Long-Term Gain/Loss						**$41,479.16**	**$22,447.27**	**$19,031.89**	

Account Number Y5 14090 DG
Your Financial Advisor or Contact
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404-479-6000/800-977-2756

UBS Financial Services Inc.

2011 Consolidated Form 1099

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
APACHE CORP	Trade	5 0000		12/14/09	05/13/11	612 25	490 82	121 43	
BANK OF AMER CORP	Trade	21 0000		05/06/09	09/23/11	132 54	247 59	(115 05)	
	Trade	58 0000		05/20/09	09/23/11	366 07	692 87	(326 80)	
	Trade	72 0000		05/20/09	09/23/11	446 69	860 11	(413 42)	
	Trade	3 0000		10/13/09	09/23/11	18 61	53 15	(34 54)	
	Trade	47 0000		10/13/09	09/23/11	295 38	832 63	(537 25)	
	Trade	100 0000		12/04/09	09/23/11	628 47	1,607 00	(978 53)	
	Sub-Total	**301.0000**				**1,887.76**	**4,293.35**	**(2,405.59)**	
CHEVRON CORP	Trade	10 0000		04/04/05	02/16/11	966 82	575 13	391 69	
	Trade	15 0000		02/08/07	05/03/11	1,604 32	1,090 35	513 97	
	Trade	5 0000		02/08/07	05/13/11	509 25	363 45	145 80	
	Sub-Total	**30.0000**				**3,080.39**	**2,028.93**	**1,051.46**	
CISCO SYSTEMS INC	Trade	30 0000		06/05/07	05/12/11	510 56	812 86	(302 30)	
	Trade	20 0000		12/31/07	05/12/11	340 37	544 85	(204 48)	
	Trade	100 0000		12/31/07	06/20/11	1,501 63	2,724 25	(1,222 62)	
	Sub-Total	**150.0000**				**2,352.56**	**4,081.96**	**(1,729.40)**	
COMCAST CORP NEW SPECIAL CL A	Trade	21 0000		12/08/04	10/28/11	489 84	411 88	77 96	
CSX CORPORATION	Trade	5 0000		04/23/09	04/13/11	377 29	150 76	226 53	
	Trade	16 0000		04/23/09	06/23/11	400 78	160 81	239 97	

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
CSX CORPORATION	Trade	10 0000		04/23/09	10/19/11	211 75	100 51	111 24	
	Trade	4 0000		04/23/09	10/20/11	85 43	40 21	45 22	
	Sub-Total	**35.0000**				**1,075.25**	**452.29**	**622.96**	
DEVON ENERGY CORP NEW	Trade	5 0000		05/11/05	02/16/11	430 32	221 40	208 92	
	Trade	5 0000		05/11/05	05/03/11	438 11	221 40	216 71	
	Sub-Total	**10.0000**				**868.43**	**442.80**	**425.63**	
EL PASO CORP	Trade	45 0000		01/16/08	01/27/11	690 45	771 74	(81 29)	
	Trade	5 0000		01/16/08	02/17/11	90 15	85 75	4 40	
	Trade	20 0000		01/17/08	02/17/11	360 58	341 91	18 67	
	Trade	35 0000		01/17/08	05/03/11	666 65	598 34	68 31	
	Sub-Total	**105.0000**				**1,807.83**	**1,797.74**	**10.09**	
HALLIBURTON CO (HOLDING COMPANY)	Trade	15 0000		03/11/10	05/03/11	719 49	457 32	262 17	
	Trade	11 0000		03/11/10	07/22/11	627 13	335 36	291 77	
	Trade	15 0000		03/11/10	08/15/11	707 51	457 32	250 19	
	Sub-Total	**41.0000**				**2,054.13**	**1,250.00**	**804.13**	
HEWLETT PACKARD CO	Trade	20 0000		01/11/08	05/17/11	731 41	904 43	(173 02)	
	Trade	20 0000		04/07/08	05/17/11	731 40	919 44	(188 04)	
	Trade	5 0000		04/07/08	05/17/11	182 85	230 00	(47 15)	
	Trade	5 0000		04/07/08	08/19/11	117 59	230 00	(112 41)	

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
HEWLETT PACKARD CO	Trade	30 0000		05/13/08	08/19/11	705 54	1,313 97	(608 43)	
	Trade	20 0000		10/01/09	08/19/11	470 36	928 87	(458 51)	
	Trade	10 0000		08/17/10	08/19/11	235 18	411 87	(176 69)	
	Sub-Total	**110.0000**				**3,174.33**	**4,938.58**	**(1,764.25)**	
INGERSOLL-RAND PLC	Trade	10 0000		06/16/10	09/16/11	360 20	393 51	(33 31)	
	Trade	10 0000		06/24/10	09/16/11	360 20	377 05	(16 85)	
	Trade	2 0000		06/25/10	09/16/11	72 04	75 04	(3 00)	
	Trade	16 0000		06/25/10	10/24/11	467 27	600 32	(133 05)	
	Trade	2 0000		06/25/10	11/09/11	61 64	75 04	(13 40)	
	Trade	8 0000		06/29/10	11/09/11	246 59	283 29	(36 70)	
	Trade	1 0000		06/29/10	11/11/11	31 13	35 41	(4 28)	
	Trade	10 0000		06/29/10	12/22/11	312 00	352 92	(40 92)	
	Trade	1 0000		06/29/10	12/23/11	31 23	35 29	(4 06)	
	Trade	1 0000		07/21/10	12/23/11	31 22	35 72	(4 50)	
	Sub-Total	**61.0000**				**1,973.52**	**2,263.59**	**(290.07)**	
INTL BUSINESS MACH	Trade	5 0000		02/08/07	04/12/11	814 23	497 85	316 38	
	Trade	2 0000		02/08/07	10/17/11	376 37	199 14	177 23	
	Trade	3 0000		10/29/07	10/17/11	564 56	343 86	220 70	
	Sub-Total	**10.0000**				**1,755.16**	**1,040.85**	**714.31**	

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
MASTERCARD INC CL A	Trade	2 0000		05/24/10	08/03/11	640 63	427 83	212 80	
	Trade	1 0000		05/24/10	09/19/11	349 23	213 92	135 31	
	Trade	1 0000		05/24/10	11/02/11	360 88	213 92	146 96	
	Sub-Total	**4.0000**				**1,350.74**	**855.67**	**495.07**	
ORACLE CORP	Trade	24 0000		10/26/07	04/12/11	797 70	509 05	288 65	
	Trade	1 0000		10/29/07	04/12/11	33 24	21 62	11 62	
	Sub-Total	**25.0000**				**830.94**	**530.67**	**300.27**	
PULTE GROUP INC	Trade	10 0000		01/07/10	01/21/11	84 81	111 63	(26 82)	
	Trade	10 0000		01/07/10	01/25/11	84 64	111 63	(26 99)	
	Trade	10 0000		01/07/10	01/26/11	85 46	111 63	(26 17)	
	Trade	10 0000		01/07/10	01/26/11	85 49	111 63	(26 14)	
	Trade	70 0000		01/21/10	03/08/11	494 39	744 73	(250 34)	
	Trade	20 0000		01/25/10	03/09/11	141 94	203 12	(61 18)	
	Sub-Total	**130.0000**				**976.73**	**1,394.37**	**(417.64)**	
ROCKWELL COLLINS INC	Trade	10 0000		04/20/09	07/22/11	576 52	355 34	221 18	
	Trade	3 0000		08/14/09	07/22/11	172 95	129 96	42 99	
	Trade	7 0000		08/14/09	07/25/11	404 60	303 25	101 35	
	Trade	7 0000		08/19/09	07/25/11	404 58	297 60	106 98	
	Trade	3 0000		08/19/09	08/02/11	161 65	127 54	34 11	
	Sub-Total	**30.0000**				**1,720.30**	**1,213.69**	**506.61**	

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Account Number Y5 14090 DG
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UBS Financial Services Inc.

2011 Consolidated Form 1099

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
UNITED PARCEL SERVICE INC CL B	Trade	6 0000		05/29/07	08/25/11	382 08	427 37	(45 29)	
	Trade	1 0000		05/29/07	09/13/11	64 71	71 23	(6 52)	
	Trade	9 0000		05/30/07	09/13/11	582 35	641 77	(59 42)	
	Sub-Total	**16.0000**				**1,029.14**	**1,140.37**	**(111.23)**	
UNTD TECHNOLOGIES CORP	Trade	4 0000		04/29/04	07/14/11	351 99	177 81	174 18	
Sub Total						**$27,391.29**	**$28,805.37**	**$(1,414.08)**	
Total Long-Term Gain/Loss						**$27,391.29**	**$28,805.37**	**$(1,414.08)**	

Short-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for less than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
BARCLAYS BANK PLC AOS-CP AAPL 1/6/2012	Redemption	1,500 0000		12/29/10	01/31/11	15,199 50	15,000 00	199 50	
BARCLAYS BANK PLC AOS-CP MA 1/6/2012	Redemption	1,000 0000		12/29/10	01/31/11	10,146 00	10,000 00	146 00	
BARCLAYS BANK PLC TRIGGER AOS ICE 3/1/2012	Redemption	1,000 0000		02/23/11	03/29/11	10,146 00	10,000 00	146 00	
BARCLAYS BANK PLC TRIGGER AOS CORN FUTURES 6/8/2012	Redemption	500 0000		05/26/11	09/06/11	5,306 25	5,000 00	306 25	
DEUTSCHE BANK AG AOS-CP GDX 12/1/2011	Redemption	500 0000		11/24/10	04/28/11	5,361 50	5,000 00	361 50	
DEUTSCHE BANK AG TRIGGER AOS NEM 2/3/2012	Redemption	500 0000		01/27/11	02/28/11	5,070 85	5,000 00	70 85	
DEUTSCHE BANK AG TRIGGER AOS GDX 4/30/2012	Redemption	500 0000		04/27/11	08/30/11	5,300 65	5,000 00	300 65	
HSBC USA YON-CP CVS 1/31/2011 8 64%	Redemption	475 0000		07/28/10	01/31/11	14,981 50	14,981 50		

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Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
HSBC USA YON-CP INTC 1/31/2011 9 3 %	Redemption	703 0000		07/28/10	01/31/11	14,994 99	14,994 99		
JP MORGAN CHASE TRIGGER YON POT 7/29/2011 8%	Redemption	28 0000		01/27/11	07/29/11	4,875 92	4,875 92		
JP MORGAN CHASE TRIGGER AOS RIO 3/1/2012	Redemption	500 0000		02/23/11	04/29/11	5,201 00	5,000 00	201 00	
JP MORGAN CHASE TRIGGER AOS CMI 8/23/2012	Redemption	500 0000		08/19/11	09/21/11	5,145 85	5,000 00	145 85	
JP MORGAN CHASE TRIGGER AOS CMI 09/27/2012	Redemption	500 0000		09/23/11	10/26/11	5,134 05	5,000 00	134 05	
JPMORGAN CHASE TRIGGER AOS AAPL 2/3/2012	Redemption	1,500 0000		01/27/11	03/31/11	15,375 00	15,000 00	375 00	
JPMORGAN CHASE & CO TRIGGER AOS AAPL 4/5/2012	Redemption	1,500 0000		04/01/11	05/04/11	15,195 00	15,000 00	195 00	
JPMORGAN CHASE & CO TRIGGER AOS NEM 4/19/2012	Redemption	1,000 0000		04/13/11	07/15/11	10,443 00	10,000 00	443 00	
MEDTRONIC INC	Trade	400 0000		12/30/10	05/20/11	15,491 70	15,014 45	477 25	
PETROLEO BRASILEIRO SA SPON ADR	Trade	1,000 0000		06/03/10	05/20/11	34,654 26	37,073 25	(2,418 99)	
ROYAL BANK OF CANADA TRIGGER PAOS AAPL 5/10/2012	Redemption	1,500 0000		05/06/11	08/10/11	15,000 00	15,000 00		
UBS AG TRIGGER PAOS AAPL 8/16/2012	Redemption	500 0000		08/12/11	11/16/11	5,000 00	5,000 00		

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Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
UBS AG TRIGGER AOS MA 2/3/2012	Redemption	1,000 0000		01/27/11	02/28/11	10,133 33	10,000 00	133 33	
Sub Total						**$228,156.35**	**$226,940.11**	**$1,216.24**	

Short-Term Gain/Loss-Activity Not Reported on1099-B

This section includes securities held for less than one year Type 'C" activity was not reported by UBS to the IRS on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
CALL APPLE INC DUE 01/22/11 340 000 030911	Shortcover	1 0000		01/19/11	12/14/10	370 74	633 24	(262 50)	
CALL APPLE INC DUE 03/19/11 350 000 030911	Expired	1 0000		03/21/11	02/23/11	672 09		672 09	
CALL APPLE INC DUE 05/21/11 350 000 030911	Expired	1 0000		05/23/11	03/22/11	1,287 83		1,287 83	
CALL APPLE INC DUE 07/16/11 350 000 030911	Shortcover	1 0000		07/15/11	05/24/11	462 10	1,134 76	(672 66)	
CALL APPLE INC DUE 08/20/11 380 000 030911	Expired	1 0000		08/22/11	07/15/11	492 09		492 09	
CALL APPLE INC DUE 09/17/11 380 000 030911	Shortcover	1 0000		09/16/11	08/23/11	683 09	1,976 30	(1,293 21)	
CALL APPLE INC DUE 10/22/11 415 000 030911	Expired	1 0000		10/24/11	09/16/11	712 60		712 60	
CALL APPLE INC DUE 11/19/11 420 000 030911	Expired	1 0000		11/21/11	10/25/11	387 10		387 10	

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Short-Term Gain/Loss-Activity Not Reported on1099-B (continued)

This section includes securities held for less than one year Type "C" activity was not reported by UBS to the IRS on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
CALL APPLE INC DUE 12/17/11 400 000 030911	Expired	1 0000		12/19/11	11/30/11	116 69		116 69	
CALL APPLE INC DUE 02/19/11 355 000 030911	Expired	1 0000		02/22/11	01/24/11	189 10		189 10	
CALL CBOE S&P 500 INDEX DUE 10/22/11 1250 000 JX5358	Expired	10 0000		10/24/11	10/10/11	3,144 75		3,144 75	
CALL CBOE S&P 500 INDEX DUE 11/19/11 1340 000 JX5358	Expired	10 0000		11/21/11	10/28/11	4,269 75		4,269 75	
CALL CBOE S&P 500 INDEX DUE 12/17/11 1310 000 JX5358	Expired	15 0000		12/19/11	12/05/11	4,919 75		4,919 75	
CALL GENL ELECTRIC CO DUE 03/19/11 20 000 174408	Expired	20 0000		03/21/11	12/14/10	263 20		263 20	
CALL GENL ELECTRIC CO DUE 12/17/11 25 000 174408	Expired	40 0000		12/19/11	03/22/11	824 97		824 97	
CALL GENL ELECTRIC CO DUE 12/17/11 24 000 174408	Expired	20 0000		03/22/11	12/19/11		870 57	(870 57)	
CALL INTEL CORP DUE 07/16/11 25 000 226254	Expired	17 0000		07/18/11	05/03/11	429 98		429 98	
CALL INTEL CORP DUE 10/22/11 25 000 226254	Expired	17 0000		10/24/11	07/22/11	564 27		564 27	
CALL INTEL CORP DUE 11/19/11 25 000 226254	Expired	17 0000		11/21/11	10/25/11	676 73		676 73	
CALL MARKET VECTORS GOLD DUE 01/22/11 67 000 2762P8	Expired	7 0000		01/24/11	12/14/10	357 12		357 12	
CALL MARKET VECTORS GOLD DUE 05/21/11 67 000 2762P8	Expired	7 0000		05/23/11	04/12/11	338 68		338 68	

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Short-Term Gain/Loss-Activity Not Reported on1099-B (continued)

This section includes securities held for less than one year Type "C" activity was not reported by UBS to the IRS on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
CALL MARKET VECTORS GOLD DUE 07/16/11 64 000 2762P8	Expired	7 0000		07/18/11	06/01/11	295 67		295 67	
CALL MARKET VECTORS GOLD DUE 09/17/11 67 000 2762P8	Expired	7 0000		09/19/11	07/20/11	461 56		461 56	
CALL MARKET VECTORS GOLD DUE 10/22/11 66 000 2762P8	Expired	7 0000		10/24/11	09/22/11	590 60		590 60	
CALL MARKET VECTORS GOLD DUE 12/17/11 68 000 2762P8	Expired	7 0000		12/19/11	11/02/11	486 15		486 15	
CALL MICROSOFT CORP DUE 10/22/11 28 000 270890	Expired	20 0000		10/24/11	06/16/11	263 20		263 20	
CALL PETROLEO BRASILEIRO DUE 01/22/11 39 000 3696J6	Expired	10 0000		01/24/11	12/06/10	239 75		239 75	
CALL PETROLEO BRASILEIRO DUE 03/19/11 41 000 3696J6	Expired	10 0000		03/21/11	02/03/11	517 75		517 75	
CALL PETROLEO BRASILEIRO DUE 05/21/11 43 000 3696J6	Expired	10 0000		05/23/11	03/22/11	605 53		605 53	
CALL PFIZER INC DUE 07/16/11 22 000 370011	Expired	17 0000		07/18/11	04/12/11	355 35		355 35	
CALL PFIZER INC DUE 12/17/11 22 000 370011	Expired	17 0000		12/19/11	07/27/11	265 83		265 83	
CALL POWERSHARES DB COMM DUE 04/16/11 29 000 383BR5	Shortcover	10 0000		04/15/11	12/14/10	456 30	2,413 47	(1,957 17)	
CALL POWERSHARES DB COMM DUE 07/16/11 33 000 383BR5	Expired	10 0000		07/18/11	04/15/11	473 86		473 86	
CALL SPDR GOLD TRUST DUE 02/19/11 146 000 448FQ1	Expired	5 0000		02/22/11	12/21/10	355 35		355 35	

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UBS Financial Services Inc.

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Short-Term Gain/Loss-Activity Not Reported on1099-B (continued)

This section includes securities held for less than one year Type "C" activity was not reported by UBS to the IRS on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
CALL SPDR GOLD TRUST DUE 04/16/11 148 000 448FQ1	Expired	5 0000		04/18/11	02/23/11	285 14		285 14	
CALL SPDR GOLD TRUST DUE 05/21/11 152 000 448FQ1	Expired	5 0000		05/23/11	04/19/11	276 36		276 36	
CALL SPDR GOLD TRUST DUE 07/16/11 156 000 448FQ1	Expired	5 0000		07/18/11	05/31/11	507 03		507 03	
CALL SPDR GOLD TRUST DUE 09/17/11 164 000 448FQ1	Shortcover	5 0000		08/18/11	07/22/11	644 19	7,442 78	(6,798 59)	
CALL SPDR GOLD TRUST DUE 10/22/11 187 000 448FQ1	Expired	8 0000		10/24/11	08/18/11	3,276 86		3,276 86	
CALL SPDR GOLD TRUST DUE 11/19/11 175 000 448FQ1	Expired	8 0000		11/21/11	10/25/11	966 72		966 72	
CALL SPDR GOLD TRUST DUE 01/22/11 142 000 448FQ1	Expired	3 0000		01/24/11	12/14/10	273 98		273 98	
CALL TIME WARNER INC NEW DUE 01/22/11 36 000 4587M1	Expired	5 0000		01/24/11	07/21/10	209 75		209 75	
CALL TIME WARNER INC NEW DUE 04/16/11 37 000 4587M1	Expired	5 0000		02/04/11	04/18/11		432 91	(432 91)	
CALL TIME WARNER INC NEW DUE 04/16/11 38 000 4587M1	Expired	10 0000		04/18/11	02/04/11	333 42		333 42	
CALL TIME WARNER INC NEW DUE 07/16/11 37 000 4587M1	Expired	5 0000		07/18/11	04/19/11	337 80		337 80	

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Short-Term Gain/Loss-Activity Not Reported on1099-B (continued)

This section includes securities held for less than one year Type "C" activity was not reported by UBS to the IRS on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
PUT AMER TOWER CORP CL DUE 10/22/11 44 000 0408F0	Expired	4 0000		04/13/11	10/24/11		775 83	(775 83)	
PUT AMER TOWER CORP CL DUE 11/19/11 52 500 0408F0	Expired	4 0000		11/21/11	10/26/11	298 30		298 30	
PUT APPLE INC DUE 01/22/11 300 000 030911	Expired	1 0000		12/14/10	01/24/11		435 24	(435 24)	
PUT APPLE INC DUE 01/22/11 270 000 030911	Expired	1 0000		01/24/11	12/14/10	60 75		60 75	
PUT APPLE INC DUE 02/19/11 310 000 030911	Expired	1 0000		01/24/11	02/22/11		245 47	(245 47)	
PUT APPLE INC DUE 03/19/11 325 000 030911	Expired	1 0000		02/23/11	03/21/11		702 89	(702 89)	
PUT APPLE INC DUE 05/21/11 325 000 030911	Expired	1 0000		03/22/11	05/23/11		1,190 87	(1,190 87)	
PUT APPLE INC DUE 07/16/11 310 000 030911	Expired	1 0000		05/24/11	07/18/11		480 89	(480 89)	
PUT APPLE INC DUE 08/20/11 335 000 030911	Expired	1 0000		07/15/11	08/22/11		432 89	(432 89)	
PUT APPLE INC DUE 09/17/11 345 000 030911	Expired	1 0000		08/23/11	09/19/11		622 89	(622 89)	
PUT APPLE INC DUE 10/22/11 375 000 030911	Expired	1 0000		09/16/11	10/24/11		701 89	(701 89)	
PUT APPLE INC DUE 11/19/11 370 000 030911	Expired	1 0000		10/25/11	11/21/11		341 89	(341 89)	
PUT APPLE INC DUE 12/17/11 350 000 030911	Expired	1 0000		11/30/11	12/19/11		160 64	(160 64)	

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UBS Financial Services Inc.

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Short-Term Gain/Loss-Activity Not Reported on1099-B (continued)

This section includes securities held for less than one year Type "C" activity was not reported by UBS to the IRS on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
PUT ENERGY SECTOR SPDR DUE 06/18/11 52 000 431554	Expired	5 0000		06/20/11	01/06/11	271 98		271 98	
PUT INTEL CORP DUE 01/22/11 19 000 226254	Expired	17 0000		11/03/10	01/24/11		938 25	(938 25)	
PUT INTEL CORP DUE 07/16/11 21 000 226254	Expired	17 0000		05/03/11	07/18/11		570 94	(570 94)	
PUT INTEL CORP DUE 10/22/11 20 000 226254	Expired	17 0000		07/22/11	10/24/11		551 86	(551 86)	
PUT INTEL CORP DUE 11/19/11 22 000 226254	Expired	7 0000		10/25/11	11/21/11		129 75	(129 75)	
PUT INTEL CORP DUE 11/19/11 23 000 226254	Expired	10 0000		10/25/11	11/21/11		309 47	(309 47)	
PUT MARKET VECTORS GOLD DUE 01/22/11 55 000 2762P8	Trade	7 0000		12/14/10	01/21/11	326 40	371 19	(44 79)	
PUT MARKET VECTORS GOLD DUE 05/21/11 54 000 2762P8	Expired	7 0000		04/12/11	05/23/11		410 46	(410 46)	
PUT MARKET VECTORS GOLD DUE 07/16/11 52 000 2762P8	Expired	7 0000		06/01/11	07/18/11		418 32	(418 32)	
PUT MARKET VECTORS GOLD DUE 09/17/11 52 000 2762P8	Expired	7 0000		07/20/11	09/19/11		512 58	(512 58)	
PUT MARKET VECTORS GOLD DUE 10/22/11 53 000 2762P8	Expired	7 0000		09/22/11	10/24/11		559 71	(559 71)	
PUT MARKET VECTORS GOLD DUE 12/17/11 50 000 2762P8	Expired	7 0000		11/02/11	12/19/11		481 17	(481 17)	
PUT MEDTRONIC INC DUE 05/21/11 34 000 282111	Expired	4 0000		05/23/11	12/30/10	412 55		412 55	

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Account Number Y5 14087 DG
Your Financial Advisor or Contact
DAVID G KRITZER
404-479-6000/800-977-2756

UBS Financial Services Inc.

2011 Consolidated Form 1099

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Short-Term Gain/Loss-Activity Not Reported on1099-B (continued)

This section includes securities held for less than one year Type "C" activity was not reported by UBS to the IRS on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
PUT MICROSOFT CORP DUE 07/16/11 22 000 270890	Expired	10 0000		07/18/11	01/06/11	342 20		342 20	
PUT PETROLEO BRASILEIRO DUE 01/22/11 29 000 3696J6	Expired	10 0000		12/06/10	01/24/11		210 24	(210 24)	
PUT PETROLEO BRASILEIRO DUE 03/19/11 35 000 3696J6	Expired	10 0000		02/03/11	03/21/11		455 35	(455 35)	
PUT PFIZER INC DUE 07/16/11 18 000 370011	Expired	17 0000		04/12/11	07/18/11		494 64	(494 64)	
PUT POWERSHARES DB COMM DUE 04/16/11 26 000 383BR5	Expired	10 0000		12/14/10	04/18/11		1,321 72	(1,321 72)	
PUT POWERSHARES DB COMM DUE 07/16/11 28 000 383BR5	Expired	10 0000		04/15/11	07/18/11		466 57	(466 57)	
PUT SPDR GOLD TRUST DUE 01/22/11 131 000 448FQ1	Trade	3 0000		12/14/10	01/21/11	27 57	388 95	(361 38)	
PUT SPDR GOLD TRUST DUE 02/19/11 125 000 448FQ1	Expired	5 0000		12/21/10	02/22/11		449 74	(449 74)	
PUT SPDR GOLD TRUST DUE 04/16/11 128 000 448FQ1	Expired	5 0000		02/23/11	04/18/11		416 08	(416 08)	
PUT SPDR GOLD TRUST DUE 05/21/11 141 000 448FQ1	Expired	5 0000		04/19/11	05/23/11		427 30	(427 30)	
PUT SPDR METALS & MININ DUE 06/18/11 45 000 407569	Expired	5 0000		06/20/11	01/06/11	263 20		263 20	
PUT SPDR GOLD TRUST DUE 07/16/11 142 000 448FQ1	Expired	5 0000		05/31/11	07/18/11		449 74	(449 74)	
PUT SPDR GOLD TRUST DUE 08/20/11 142 000 448FQ1	Expired	5 0000		08/22/11	05/31/11	722 56		722 56	

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Account Number Y5 14087 DG
Your Financial Advisor or Contact
DAVID G KRITZER
404-479-6000/800-977-2756

UBS Financial Services Inc.

2011 Consolidated Form 1099

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Short-Term Gain/Loss-Activity Not Reported on1099-B (continued)

This section includes securities held for less than one year Type "C" activity was not reported by UBS to the IRS on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
PUT SPDR GOLD TRUST DUE 09/17/11 147 000 448FQ1	Trade	6 0000		07/22/11	08/18/11	5 99	735 36	(729 37)	
PUT SPDR GOLD TRUST DUE 10/22/11 169 000 448FQ1	Trade	8 0000		08/18/11	10/21/11	7,571 03	3,197 44	4,373 59	
PUT SPDR GOLD TRUST DUE 11/19/11 154 000 448FQ1	Expired	8 0000		10/25/11	11/21/11		961 25	(961 25)	
PUT SPDR METALS & MININ DUE 12/17/11 45 000 407569	Expired	5 0000		12/19/11	06/23/11	416 81		416 81	
PUT SPDR GOLD TRUST DUE 05/21/11 135 000 448FQ1	Expired	5 0000		04/12/11	05/23/11		382 41	(382 41)	
PUT WAL MART STORES INC DUE 06/18/11 45 000 496197	Expired	9 0000		06/20/11	01/06/11	329 90		329 90	
PUT WAL MART STORES INC DUE 12/17/11 45 000 496197	Expired	9 0000		12/19/11	06/22/11	416 81		416 81	
Sub Total						**$45,106.83**	**$36,605.91**	**$8,500.92**	

Account Number Y5 14087 DG
Your Financial Advisor or Contact
DAVID G KRITZER
404-479-6000/800-977-2756

UBS Financial Services Inc.

2011 Consolidated Form 1099

P1301G000817800698972-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
BLACKROCK REAL ASSET EQUITY TRUST	Trade	300 0000		11/05/08	06/02/11	4,258 64	2,500 17 [1]	1,758 47	
DEUTSCHE BANK CAP TR V 8 050% PERPETUAL, CALLABLE	Trade	2,000 0000		05/01/08	09/09/11	49,586 55	50,000 00 [1]	(413 45)	
ISHARES BARCLAYS 1-3 YR TREAS BOND FD	Trade	1,000 0000		09/01/09	02/25/11	83,673 13	84,032 63	(359 50)	
JOHN HANCOCK PREFERRED INCOME FUND III	Trade	2,645 0000		08/01/07	09/12/11	43,363 15	53,528 39 [1]	(10,165 24)	
SPDR GOLD TRUST	Trade	500 0000		02/15/07	05/20/11	73,290 71	33,434 50 [1]	39,856 21	
UBS AG YON-CP ABX 10/21/2011 9 75%	Redemption	208 0000		10/15/10	10/21/11	9,981 92	9,981 92		
UBS AG YON-CP YHOO 12/22/2011 8 85%	Redemption	302 0000		12/16/10	12/22/11	4,989 04	4,989 04		
UBS AG YLD OPTMZ NOTE YON-CP FCX 4/29/2011 11 75%	Redemption	65 0000		04/28/10	04/29/11	4,971 85	4,971 85		
UBS AG YLD OPTMZ NOTE YON-CP PBR 4/29/2011 10 00%	Redemption	119 0000		04/28/10	04/29/11	4,963 49	4,963 49		
UNITED STATES TREAS BOND DUE 05/15/40 CORPUS STRIP	Trade	75,000 0000	23,742 00	08/16/10	08/18/11	25,585 50	24,685 55	899 95	
Sub Total			**$23,742.00**			**$304,663.98**	**$273,087.54**	**$31,576.44**	
Total Long-Term Gain/Loss			**$23,742.00**			**$304,663.98**	**$273,087.54**	**$31,576.44**	

Form **6781**

Department of the Treasury
Internal Revenue Service

Gains and Losses From Section 1256 Contracts and Straddles

▶ Attach to your tax return.

OMB No 1545-0644

2011

Attachment Sequence No 82

Name(s) shown on tax return: THE PAUL F. AND MARGARET M. WUTZ FOUNDATION

Identifying number: 34-1939673

Check all applicable boxes (see instructions). A ☐ Mixed straddle election B ☐ Straddle-by-straddle identification election C ☐ Mixed straddle account election D ☐ Net section 1256 contracts loss election

Part I Section 1256 Contracts Marked to Market

	(a) Identification of account		(b) (Loss)	(c) Gain
1	FROM K-1: POWERSHARES BD COMMODITY INDEX TRACKING FUND			303
2	Add the amounts on line 1 in columns (b) and (c)	2	( )	303
3	Net gain or (loss) Combine line 2, columns (b) and (c)		3	303
4	Form 1099-B adjustments. See instructions and attach schedule		4	
5	Combine lines 3 and 4		5	303
	Note: *If line 5 shows a net gain, skip line 6 and enter the gain on line 7. Partnerships and S corporations, see instructions.*			
6	If you have a net section 1256 contracts loss and checked box D above, enter the amount of loss to be carried back Enter the loss as a positive number		6	
7	Combine lines 5 and 6		7	
8	**Short-term capital gain or (loss).** Multiply line 7 by 40% (.40). Enter here and include on the appropriate line of Schedule D (see instructions)		8	
9	**Long-term capital gain or (loss).** Multiply line 7 by 60% (60) Enter here and include on the appropriate line of Schedule D (see instructions)		9	

Part II Gains and Losses From Straddles. Attach a separate schedule listing each straddle and its components.

Section A—Losses From Straddles

	(a) Description of property	(b) Date entered into or acquired	(c) Date closed out or sold	(d) Gross sales price	(e) Cost or other basis plus expense of sale	(f) Loss. If column (e) is more than (d), enter difference Otherwise, enter -0-	(g) Unrecognized gain on offsetting positions	(h) Recognized loss. If column (f) is more than (g), enter difference Otherwise, enter -0-
10								

11a	Enter the short-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions)	11a	( )
b	Enter the long-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions)	11b	( )

Section B—Gains From Straddles

	(a) Description of property	(b) Date entered into or acquired	(c) Date closed out or sold	(d) Gross sales price	(e) Cost or other basis plus expense of sale	(f) Gain. If column (d) is more than (e), enter difference Otherwise, enter -0-
12						

13a	Enter the short-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions)	13a	
b	Enter the long-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions)	13b	

Part III Unrecognized Gains From Positions Held on Last Day of Tax Year. Memo Entry Only (see instructions)

	(a) Description of property	(b) Date acquired	(c) Fair market value on last business day of tax year	(d) Cost or other basis as adjusted	(e) Unrecognized gain. If column (c) is more than (d), enter difference Otherwise, enter -0-
14					