Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation: KANAWHA CO CLASS ACTION SETTLE 2009

A Employer identification number: 32-6098222

Number and street (or P O box number if mail is not delivered to street address): 434 FAYETTEVILLE ST. 14TH FL

Room/suite:

B Telephone number (see instructions): (919) 716-9045

City or town, state, and ZIP code: RALEIGH, NC 27601

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 8,128,586.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

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		Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☒ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	349,367.	352,457.		STMT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-12,049.			
	b	Gross sales price for all assets on line 6a 3,533,046.				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10 a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	282.	210.		STMT 2
	12	**Total.** Add lines 1 through 11	337,600.	352,667.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	34,385.	34,385.		
	14	Other employee salaries and wages		NONE	NONE	
	15	Pension plans, employee benefits		NONE	NONE	
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) STMT 3	1,000.	NONE	NONE	1,000.
	c	Other professional fees (attach schedule) STMT 4	24,495.	24,495.		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 5	9,056.			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings		NONE	NONE	
	22	Printing and publications		NONE	NONE	
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	68,936.	58,880.	NONE	1,000.
	25	Contributions, gifts, grants paid	530,000.			530,000.
	26	**Total expenses and disbursements** Add lines 24 and 25	598,936.	58,880.	NONE	531,000.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-261,336.			
	b	**Net investment income** (if negative, enter -0-)		293,787.		
	c	**Adjusted net income** (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see instructions. JSA **Form 990-PF** (2011)

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	-270,005.	-136,362.	-136,362.
	2	Savings and temporary cash investments	475,733.	272,952.	272,952.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶ NONE			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U.S. and state government obligations (attach schedule) STMT 6	4,781,462.	4,244,301.	4,485,048.
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule) STMT 8	3,041,220.	3,390,655.	3,506,948.
	11	Investments - land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	8,028,410.	7,771,546.	8,128,586.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	8,028,410.	7,771,546.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	8,028,410.	7,771,546.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	8,028,410.	7,771,546.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,028,410.
2	Enter amount from Part I, line 27a	2	-261,336.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 11	3	5,327.
4	Add lines 1, 2, and 3	4	7,772,401.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 12	5	855.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	7,771,546.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES			
b			
c			
d			
e			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,533,045.		3,545,095.	-12,050.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				-12,050.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 -12,049.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	394,067.	8,174,515.	0.048207
2009	270,319.	8,028,169.	0.033671
2008			
2007			
2006			

2	**Total** of line 1, column (d)	2	0.081878
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.040939
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	8,047,169.
5	Multiply line 4 by line 3	5	329,443.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,938.
7	Add lines 5 and 6	7	332,381.
8	Enter qualifying distributions from Part XII, line 4	8	531,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	2,938.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	
3	Add lines 1 and 2			3	2,938.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	2,938.
6	Credits/Payments:				
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	6,568.		
b	Exempt foreign organizations - tax withheld at source	6b	NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	6,568.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	3,630.
11	Enter the amount of line 10 to be: **Credited to 2012 estimated tax** ▶ 2,940. **Refunded** ▶			11	690.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶$ ____________ (2) On foundation managers. ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ WV			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BRANCH BANKING AND TRUST COMPANY Telephone no. ▶ (304)348-7271

Located at ▶ 300 SUMMERS ST, CHARLESTON, WV ZIP + 4 ▶ 25301

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ▶ ________ , ________ , ________ , ________			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ________ , ________ , ________ , ________			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to:		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53.4945-5(d).*	☐ Yes ☐ No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*		**6b** No: X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		34,385.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over $50,000 for professional services . . . . ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,918,614.
b	Average of monthly cash balances	1b	251,101.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	8,169,715.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	8,169,715.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	122,546.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,047,169.
6	**Minimum investment return.** Enter 5% of line 5	6	402,358.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	402,358.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	2,938.		
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	2,938.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	399,420.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	399,420.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	399,420.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	531,000.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	531,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,938.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	528,062.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7				399,420.
2	Undistributed income, if any, as of the end of 2011				
a	Enter amount for 2010 only			138,650.	
b	Total for prior years. 20 09 ,20____,20____		NONE		
3	Excess distributions carryover, if any, to 2011:				
a	From 2006 ...... NONE				
b	From 2007 ...... NONE				
c	From 2008 ...... NONE				
d	From 2009 ...... NONE				
e	From 2010 ...... NONE				
f	**Total** of lines 3a through e	NONE			
4	Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 531,000.				
a	Applied to 2010, but not more than line 2a			138,650.	
b	Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c	Treated as distributions out of corpus (Election required - see instructions)	NONE			
d	Applied to 2011 distributable amount				392,350.
e	Remaining amount distributed out of corpus	NONE			
5	Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b	Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d	Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e	Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instructions				
f	Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				7,070.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	NONE			
9	**Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	NONE			
10	Analysis of line 9:				
a	Excess from 2007 ... NONE				
b	Excess from 2008 ... NONE				
c	Excess from 2009 ... NONE				
d	Excess from 2010 ... NONE				
e	Excess from 2011 ... NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* SEE STATEMENT 14				
Total . . . . ▶ 3a				530,000.
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	349,367.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	210.	
8 Gain or (loss) from sales of assets other than inventory			18	-12,049.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b FEDERAL REFUND			14	72.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				337,600.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 337,600.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 05/09/2012 | Title VICE PRESIDENT

BRANCH BANKING & TRUST CO

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name: MICHELE L DORE
Preparer's signature: Michele L Dore
Date: 05/09/2012
Check ☐ if self-employed
PTIN
Firm's name ▶ THOMSON REUTERS (TAX & ACCOUNTING)
Firm's EIN ▶
Firm's address ▶ 9711 FARRAR COURT, SUITE 110
RICHMOND, VA 23236
Phone no. 804-727-3152

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT INCOME		349,367.	352,457.
	TOTAL	349,367.	352,457.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL REFUND		72.	
LITIGATION PROCEEDS		210.	210.
	TOTALS	282.	210.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (NON-ALLOC	1,000.			1,000.
TOTALS	1,000.	NONE	NONE	1,000.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT MGMT FEES-SUBJECT T	24,495.	24,495.
TOTALS	24,495.	24,495.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
FEDERAL TAX PAYMENT - PRIOR YE	5,800.
FEDERAL ESTIMATES - PRINCIPAL	3,256.
TOTALS	9,056.

STATEMENT 5

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
FED FARM CREDIT BK 4.5% 101712	108,009.	103,347.
FED NATL MTG ASSN 1.25% 062212	101,007.	100,527.
FED NATL MTG ASSN 6% 05/15/11		
FED NATL MTG ASSN 4.375 091512	107,640.	102,915.
US TREASURY BOND 5.25% 021529		
US TREASURY BD 4.375% 111539	57,565.	77,897.
US TREASURY BOND 5% 021511		
US TREASURY NOTE 3.5% 051520		
US TREASURY BOND 4.5% 081539	100,057.	132,266.
US TREASURY NOTE 1.375% 091512		
US TREAS BOND 4.625% 021540		
UNIV OF TX BAB 3.305% 081519	30,000.	32,347.
DC INC TX BLD AMER 4.343 2018	45,079.	51,442.
TX ST BLD AMER BD 3.373 040139	100,000.	107,248.
UTAH TRAN AUTH TX 5.937 061539	26,075.	31,917.
FED NAT MTG ASSN 5% 020118	100,820.	102,943.
FED NATL MTG ASSN 4.5% 050119	143,120.	145,345.
FED NATL MTG ASSN 5% 110133	221,391.	230,780.
FED NATL MTG ASSN 5.5% 090134		
FED NATL MTG ASSN 5.5% 010135	205,654.	213,578.
FED NATL MTG ASSN 5.5% 100135	119,848.	124,372.
FED NATL MTG ASSN 4.50% 100120	60,015.	61,138.
FED NATL MTG ASSN 5% 020136	146,253.	152,971.
FED NATL MTG ASSN 5% 040134	94,029.	98,129.
FED NATL MTG ASSN 4.5% 062524	118,091.	125,098.
UTAH ST BAB 3.289% 070120	85,000.	91,773.
FHLMC POOL A86314 4% 050139	118,356.	121,504.
FNMA POOL 995158 4.5% 120120	41,336.	42,079.
FNMA POOL AD8522 4.% 080140	141,290.	146,045.

KANAWHA CO CLASS ACTION SETTLE 2009 32-6098222

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
FNMA POOL AE1879 3.5% 080125	91,610.	92,727.
FNMA POOL AE5875 3.5% 100140	119,670.	121,530.
FHLM CORP 5.5% 071816		
FHLM CORP 1.625% 041513	49,756.	50,840.
FED NAT MTG ASSN 2% 010912		
US TREASURY BOND 1.25% 071520	88,255.	99,824.
FL ST DEPT BAB 5.306% 070118	40,000.	45,514.
LOUISIANA FACS 1.52% 020118	69,974.	70,480.
MUNI ELEC AUTH BAB 7.055% 2057	65,000.	66,056.
OHIO ST MAJ BAB 4.168% 061518	60,000.	66,088.
SEDGWICK KS BAB 6.22% 100128	40,909.	45,204.
FED NATL MTG ASSN 4.125% 4/15	135,288.	135,256.
US TREASURY NOTE 3.125% 5/15	111,317.	122,822.
US TREASURY BOND 5.5% 8/15/28	129,917.	155,289.
US TREASURY BOND 4.375% 5/15	91,973.	110,752.
MICH ST TAX SCH 2.65% 4/15/15	69,921.	72,133.
VA COLL BLDG AUTH BAB 4.83%	27,068.	32,758.
FHLMC POOL 4% 2/1/41	121,162.	127,002.
FHLMC POOL 4% 12/1/25	69,417.	70,936.
FED NAT MTG ASSN 6% 2/1/34	95,641.	96,633.
FED NAT MTG ASSN 5.5% 9/1/34	159,160.	163,483.
FED NATL MTG ASSN 6% 11/1/34	68,923.	69,443.
FED NAT MTG ASSN 5% 6/1/25	163,348.	164,821.
GOV NATL MTG ASSN 5% 9/20/40	105,357.	109,796.
TOTALS	4,244,301.	4,485,048.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ABBOTT LABORATORIES 5.6 051511		
AMPHENOL CORP 4.75% 111514	80,223.	85,718.
ADOBE SYSTEM INC 4.75% 020120	74,533.	81,417.
AGILENT TECH INC 5% 07/15/20	60,314.	67,184.
CONTL AIRLINES 4.75% 011221	85,928.	86,275.
ESTEE LAUDER CO 7.75% 110123	76,032.	72,346.
CANADIAN PACIFIC 7.25% 051519	57,602.	58,627.
DANAHER CORP 5.625% 011518	38,208.	41,145.
DEVON ENERGY 6.3% 011519	37,666.	42,082.
DOW CHEMICAL CO 8.55% 051519	32,877.	39,250.
GCB DUKE CAP 8% 100119	58,590.	62,921.
FPL GROUP CAPITAL 7.875 121515		
FEDEX CORP 8% 01/15/19	67,405.	72,220.
FLORIDA PWR CORP 6.35% 091537	29,360.	33,571.
INTERST PWR & LT 3.65% 090120	39,970.	42,053.
GENERAL ELEC CAP 2.2% 06/08/12	177,062.	176,607.
HESS CORP 8.155% 02/15/19	88,261.	96,275.
JOHN DEERE OWNER 1.32% 051514	51,031.	51,184.
KRAFT FOODS 6.125% 020118		
MEDCO HEALTH SOLU 2.75% 091515	55,743.	55,311.
MARATHON OIL CORP 5.9% 031518	66,681.	73,752.
MCDONALDS CORP 5.8% 101517	72,279.	79,179.
NISOURCE FINANCE CORP 6.125%	77,600.	86,345.
PORTLAND GEN ELEC 6.1% 041519	62,292.	67,802.
NABORS INDUSTRIES 9.25% 011519	81,689.	81,764.
NORFOLK SOUTHERN 7.7% 05/15/17	82,751.	83,417.
ST JUDE MEDICAL 3.75% 7/15/14	83,005.	84,838.
SCHWAB CORP 4.95% 06/01/14	31,727.	32,512.
SMITH INTL 9.75% 03/15/19		

KANAWHA CO CLASS ACTION SETTLE 2009 32-6098222

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
UNION PACIFIC 6.5% 4/15/12		
PEPSICO INC 5% 060118	80,545.	81,323.
VA ELEC & POWER 5.1% 11/30/12	90,833.	88,290.
UNION PACIFIC 5.75% 11/15/17	74,300.	76,889.
WISC ELEC & POWER 6.25% 120115	113,970.	117,182.
DIAGEO CAPITAL 7.375% 01/15/14	40,877.	39,503.
STATOILHYDRO ASA 2.9% 10/15/14		
JOHN DEERE CAP 2.95% 030915	57,753.	57,945.
SMITH INTL 8.625% 03/15/14		
VERIZON COMMUNICA 5.5% 021518	76,068.	75,778.
BANK OF MONTREAL 2.125% 062813	29,983.	30,486.
TYCO ELECTRONICS 4.875% 011521		
ANHEUSER-BUSCH .92% 7/14/14	85,016.	84,590.
ARCELORMITTAL 6.75% 3/1/41	29,522.	26,977.
BHP FINANCE 5.5% 4/1/14	38,505.	38,472.
BUNGE LTD 4.1% 3/15/16	70,430.	72,487.
CATERPILLAR INC 1.375% 5/27/14	85,091.	85,994.
WALT DISNEY 3.75% 6/1/21	79,946.	87,963.
DOW CHEMICAL 5.25% 11/15/41	24,544.	26,297.
ECOLAB INC 4.35% 12/8/21	20,152.	21,358.
GENERAL ELEC CAP 5.875% 1/14	31,298.	31,788.
GENERAL ELEC CAP 4.65% 10/17	9,979.	10,437.
INTEL CORP 4.8% 10/1/41	14,949.	16,811.
ONEOK PARTNERS 6.125% 2/1/41	76,513.	86,408.
PROGRESS ENERGY 6.85% 4/15	37,465.	35,593.
RAYTHEON CO 1.4% 12/15/14	50,199.	50,242.
REPUBLIC SVC 3.8% 5/15/18	55,393.	56,972.
SBC COMM 5.875% 8/15/12	89,629.	87,748.
TIME WARNER 6.25% 3/29/41	44,818.	47,958.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
TIME WARNER CABLE 4% 9/1/21	9,911.	10,117.
BHP FINANCE 1.125% 11/21/14	24,911.	25,059.
CANADIAN NATL 6.9% 7/15/28	26,959.	26,925.
CANADIAN NATL RES 1.45% 11/14	35,068.	35,204.
DIAGEO CAPITAL 5.2% 1/30/13	41,974.	41,888.
SHELL INTL FIN 1.875% 3/25/13	91,946.	91,675.
STATOIL ASA 5.25% 4/15/19	83,279.	86,794.
TOTALS	3,390,655.	3,506,948.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
BOOK VALUE ADJUSTMENT	4,868.
WASH SALES PRIOR YEAR	459.
TOTAL	5,327.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
WASH SALES DISALLOWED CURRENT YEAR	855.
TOTAL	855.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
BRANCH BANKING AND TRUST CO
ADDRESS:
300 SUMMERS ST
CHARLESTON, WV 25301
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40
COMPENSATION .... 34,385.

TOTAL COMPENSATION: 34,385.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
WEST VIRGINIA UNIVERSITY FOUNDATION
ADDRESS:
P. O. BOX 1650
MORGANTOWN, WV 26507
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
DONATION
FOUNDATION STATUS OF RECIPIENT:
EXEMPT
AMOUNT OF GRANT PAID .... 530,000.

TOTAL GRANTS PAID: 530,000.

STATEMENT 14

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2011

Name of estate or trust: KANAWHA CO CLASS ACTION SETTLE 2009

Employer identification number: 32-6098222

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a SHORT-TERM CAPITAL GAIN DIVIDENDS					1.

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	1,047.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet	4	( )
5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	1,048.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-13,097.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet	11	( )
12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	-13,097.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III Summary of Parts I and II

Caution: *Read the instructions* ***before*** *completing this part.*

			(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			1,048.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			-13,097.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			-12,049.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary.*

Part IV Capital Loss Limitation

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:		
a	The loss on line 15, column (3) **or** b $3,000	16	(3,000)

Note: *If the loss on line 15, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover.*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20. If zero or less, enter -0-			22			
23	Subtract line 22 from line 17. If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,300			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (.15)					30	
31	Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: KANAWHA CO CLASS ACTION SETTLE 2009

Employer identification number: 32-6098222

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 15000. US TREASURY BOND DT 4.625% 0	07/20/2010	01/12/2011	15,255.00	16,688.00	-1,433.00
5986.7 FED HOME LOAN MTG C #A86314 DTD 05/01/2009 4% 0	08/03/2010	01/18/2011	5,987.00	6,125.00	-138.00
100000. FED HOME LOAN MTG 7/13/2006 5.5	12/30/2010	01/20/2011	115,056.00	114,895.00	161.00
20000. US TREASURY BOND DT 4.5% 08/1	05/05/2010	01/21/2011	19,816.00	20,352.00	-536.00
60000. US TREASURY BOND DT 4.625% 0	10/29/2010	01/21/2011	60,581.00	66,688.00	-6,107.00
50000. FED HOME LOAN MTG C 03/04/2010 1.	11/26/2010	01/25/2011	50,835.00	51,054.00	-219.00
2409.71 FED NATL MTG ASSN DTD 11/01/2008 4.5% 12/01/2	04/29/2010	01/25/2011	2,410.00	2,532.00	-122.00
2255.51 FED NATL MTG ASSN DTD 08/01	08/10/2010	01/25/2011	2,256.00	2,322.00	-66.00
5182.23 FED NATL MTG ASSN DTD 8/01/2010 3.5% 08/01/20	08/13/2010	01/25/2011	5,182.00	5,359.00	-177.00
232.12 FED NATL MTG ASSN P DTD 10/01/2010 3.5% 10/01/2	10/22/2010	01/25/2011	232.00	235.00	-3.00
15000. SEDGWICK CNTY KANS NO 259 WICHITA BUILD AMERCI	09/03/2010	01/26/2011	15,703.00	17,532.00	-1,829.00
2145.36 FED HOME LOAN MTG #G13997 DTD 11/01/2010 4% 1	01/06/2011	02/15/2011	2,145.00	2,204.00	-59.00
2308.28 FED HOME LOAN MTG #A86314 DTD 05/01/2009 4% 0	08/03/2010	02/15/2011	2,308.00	2,362.00	-54.00
10000. TYCO ELECTRONICS GR 12/20/2010	12/15/2010	02/16/2011	10,098.00	9,942.00	156.00
1232.81 GOV NATL MTG ASSN DTD 09/01	01/20/2011	02/22/2011	1,233.00	1,309.00	-76.00
85000. FED NATL MTG ASSN D 04/19/2010 1.25% 0	04/15/2010	02/25/2011	85,909.00	84,904.00	1,005.00
2111.83 FED NATL MTG ASSN DTD 01/01	01/25/2011	02/25/2011	2,112.00	2,330.00	-218.00
1758.17 FED NATL MTG ASSN DTD 11/01/2008 4.5% 12/01/2	04/29/2010	02/25/2011	1,758.00	1,847.00	-89.00
615.73 FED NATL MTG ASSN P DTD 08/01	08/10/2010	02/25/2011	616.00	634.00	-18.00
1167.19 FED NATL MTG ASSN DTD 8/01/2010 3.5% 08/01/20	08/13/2010	02/25/2011	1,167.00	1,207.00	-40.00
507.98 FED NATL MTG ASSN P DTD 10/01/2010 3.5% 10/01/2	10/22/2010	02/25/2011	508.00	515.00	-7.00
5000. OHIO ST MAJOR NEW ST URE PROJ REV BUILD AMERICA	05/19/2010	03/03/2011	5,072.00	5,000.00	72.00
40000. SMITH INTL INC DTD 8.625% 03/15	03/18/2010	03/07/2011	48,157.00	47,887.00	270.00
901.67 FED HOME LOAN MTG C #G13997 DTD 11/01/2010 4% 1	01/06/2011	03/15/2011	902.00	926.00	-24.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust	Employer identification number
KANAWHA CO CLASS ACTION SETTLE 2009	32-6098222

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1353.83 FED HOME LOAN MTG #A86314 DTD 05/01/2009 4% 0	08/03/2010	03/15/2011	1,354.00	1,385.00	-31.00
1814.69 GOV NATL MTG ASSN DTD 09/01	01/20/2011	03/21/2011	1,815.00	1,928.00	-113.00
1987.84 FED NATL MTG ASSN DTD 01/01	01/25/2011	03/25/2011	1,988.00	2,193.00	-205.00
1808.35 FED NATL MTG ASSN DTD 11/01/2008 4.5% 12/01/2	04/29/2010	03/25/2011	1,808.00	1,900.00	-92.00
441.55 FED NATL MTG ASSN P DTD 08/01	08/10/2010	03/25/2011	442.00	455.00	-13.00
721.73 FED NATL MTG ASSN P DTD 8/01/2010 3.5% 08/01/20	08/13/2010	03/25/2011	722.00	746.00	-24.00
225. FED NATL MTG ASSN POO DTD 10/01/2010 3.5% 10/01/2	10/22/2010	03/25/2011	225.00	228.00	-3.00
3672.81 FED NATL MTG ASSN DTD 05/01	02/25/2011	03/28/2011	3,673.00	3,918.00	-245.00
805.5449 FED NATL MTG ASSN DTD 12/01/	02/16/2011	03/29/2011	806.00	844.00	-38.00
10000. GOLDMAN SACHS GROUP 1/28/2011 6	01/21/2011	04/06/2011	10,088.00	9,962.00	126.00
20000. MEDCO HEALTH SOLUTI 09/10/201	10/08/2010	04/14/2011	19,760.00	20,602.00	-842.00
644.09 FED HOME LOAN MTG C #G13997 DTD 11/01/2010 4% 1	01/06/2011	04/15/2011	644.00	662.00	-18.00
917.1 FED HOME LOAN MTG CO #A86314 DTD 05/01/2009 4% 0	08/03/2010	04/15/2011	917.00	938.00	-21.00
15000. US TREASURY BOND DT 4.5% 08/1	05/05/2010	04/15/2011	15,099.00	15,264.00	-165.00
1310.03 GOV NATL MTG ASSN DTD 09/01	01/20/2011	04/20/2011	1,310.00	1,392.00	-82.00
899.1477 FED NATL MTG ASSN DTD 12/01/	02/16/2011	04/25/2011	899.00	942.00	-43.00
2839.1 FED NATL MTG ASSN P DTD 01/01	01/25/2011	04/25/2011	2,839.00	3,132.00	-293.00
1399.53 FED NATL MTG ASSN DTD 11/01/2008 4.5% 12/01/2	04/29/2010	04/25/2011	1,400.00	1,470.00	-70.00
474.77 FED NATL MTG ASSN P DTD 08/01	08/10/2010	04/25/2011	475.00	489.00	-14.00 *
2342.01 FED NATL MTG ASSN DTD 05/01	02/25/2011	04/25/2011	2,342.00	2,498.00	-156.00
1219.31 FED NATL MTG ASSN DTD 8/01/2010 3.5% 08/01/20	08/13/2010	04/25/2011	1,219.00	1,261.00	-42.00
237.87 FED NATL MTG ASSN P DTD 10/01/2010 3.5% 10/01/2	10/22/2010	04/25/2011	238.00	241.00	-3.00
1172.03 FED HOME LOAN MTG #G13997 DTD 11/01/2010 4% 1	01/06/2011	05/16/2011	1,172.00	1,204.00	-32.00
1066.98 FED HOME LOAN MTG #A86314 DTD 05/01/2009 4% 0	08/03/2010	05/16/2011	1,067.00	1,092.00	-25.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2011**

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SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: KANAWHA CO CLASS ACTION SETTLE 2009

Employer identification number: 32-6098222

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 10000. US TREASURY BOND DT 3.125% 05/	03/14/2011	05/18/2011	10,251.00	10,085.00	166.00
60000. US TREASURY NOTE DT 3.5% 05/	03/07/2011	05/18/2011	62,294.00	61,379.00	915.00
35000. US TREASURY BOND DT 4.375% 11/	09/08/2010	05/19/2011	35,286.00	38,571.00	-3,285.00
1178.78 GOV NATL MTG ASSN DTD 09/01	01/20/2011	05/20/2011	1,179.00	1,252.00	-73.00
10000. AGILENT TECHNOLOGIE 07/20/2010	07/13/2010	05/23/2011	10,596.00	10,052.00	544.00
801.7525 FED NATL MTG ASSN DTD 12/01/	02/16/2011	05/25/2011	802.00	840.00	-38.00
2269.08 FED NATL MTG ASSN DTD 01/01	01/25/2011	05/25/2011	2,269.00	2,503.00	-234.00
1528.23 FED NATL MTG ASSN DTD 11/01	04/15/2011	05/25/2011	1,528.00	1,686.00	-158.00
471.7642 FED NATL MTG ASSN #AD8522 DTD 08/01	08/10/2010	05/25/2011	472.00	486.00	-14.00 *
275.1958 FED NATL MTG ASSN #AD8522 DTD 08/01	04/28/2011	05/25/2011	275.00	274.00	1.00
3111.34 FED NATL MTG ASSN DTD 05/01	02/25/2011	05/25/2011	3,111.00	3,319.00	-208.00
534.76 FED NATL MTG ASSN P DTD 8/01/2010 3.5% 08/01/20	08/13/2010	05/25/2011	535.00	553.00	-18.00
237.45 FED NATL MTG ASSN P DTD 10/01/2010 3.5% 10/01/2	10/22/2010	05/25/2011	237.00	241.00	-4.00
10000. UNION PACIFIC CORP 10/30/2007 5.75%	11/12/2010	05/25/2011	11,485.00	11,661.00	-176.00
418.31 FED HOME LOAN MTG C #G06359 DTD 03/01/2011 4% 0	05/09/2011	06/15/2011	418.00	421.00	-3.00
1620.56 FED HOME LOAN MTG #G13997 DTD 11/01/2010 4% 1	01/06/2011	06/15/2011	1,621.00	1,665.00	-44.00
857.25 FED HOME LOAN MTG C #A86314 DTD 05/01/2009 4% 0	08/03/2010	06/15/2011	857.00	877.00	-20.00
20000. GOLDMAN SACHS GROUP 1/28/2011 6	05/19/2011	06/16/2011	20,207.00	20,296.00	-89.00
1397.78 GOV NATL MTG ASSN DTD 09/01	01/20/2011	06/20/2011	1,398.00	1,485.00	-87.00
97464.3 US TREASURY INFL D 01/31/2011 1.125% 0	03/02/2011	06/20/2011	101,012.00	97,582.00	3,430.00
761.6066 FED NATL MTG ASSN DTD 12/01/	02/16/2011	06/27/2011	762.00	798.00	-36.00
2350.12 FED NATL MTG ASSN DTD 01/01	01/25/2011	06/27/2011	2,350.00	2,593.00	-243.00
1689.68 FED NATL MTG ASSN DTD 11/01	04/15/2011	06/27/2011	1,690.00	1,864.00	-174.00
790.2 FED NATL MTG ASSN PO DTD 08/01	04/28/2011	06/27/2011	790.00	804.00	-14.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust	Employer identification number
KANAWHA CO CLASS ACTION SETTLE 2009	32-6098222

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2369.39 FED NATL MTG ASSN DTD 05/01	02/25/2011	06/27/2011	2,369.00	2,527.00	-158.00
689.51 FED NATL MTG ASSN P DTD 8/01/2010 3.5% 08/01/20	08/13/2010	06/27/2011	690.00	713.00	-23.00
482.95 FED NATL MTG ASSN P DTD 10/01/2010 3.5% 10/01/2	10/22/2010	06/27/2011	483.00	490.00	-7.00
598.59 FED HOME LOAN MTG C #G06359 DTD 03/01/2011 4% 0	05/09/2011	07/15/2011	599.00	602.00	-3.00
2979.32 FED HOME LOAN MTG #G13997 DTD 11/01/2010 4% 1	01/06/2011	07/15/2011	2,979.00	3,061.00	-82.00
1441.06 FED HOME LOAN MTG #A86314 DTD 05/01/2009 4% 0	08/03/2010	07/15/2011	1,441.00	1,474.00	-33.00
150000. US TREASURY NOTE D 1.375% 09/	12/30/2010	07/15/2011	151,968.00	152,010.00	-42.00
1526.85 GOV NATL MTG ASSN DTD 09/01	01/20/2011	07/20/2011	1,527.00	1,622.00	-95.00
100000. FED NATL MTG ASSN 2% 01/09/	06/20/2011	07/21/2011	100,856.00	101,015.00	-159.00
1025.7356 FED NATL MTG ASS 725027 DTD 12/01/	02/16/2011	07/25/2011	1,026.00	1,075.00	-49.00
2006.2 FED NATL MTG ASSN P DTD 01/01	01/25/2011	07/25/2011	2,006.00	2,213.00	-207.00
1770.38 FED NATL MTG ASSN DTD 11/01	04/15/2011	07/25/2011	1,770.00	1,953.00	-183.00
1612.02 FED NATL MTG ASSN DTD 08/01	04/28/2011	07/25/2011	1,612.00	1,640.00	-28.00
2287.32 FED NATL MTG ASSN DTD 05/01	02/25/2011	07/25/2011	2,287.00	2,440.00	-153.00
1169.31 FED NATL MTG ASSN DTD 8/01/2010 3.5% 08/01/20	08/13/2010	07/25/2011	1,169.00	1,209.00	-40.00
561.88 FED NATL MTG ASSN P DTD 10/01/2010 3.5% 10/01/2	10/22/2010	07/25/2011	562.00	570.00	-8.00
15000. US TREASURY BOND DT 4.375% 0	06/20/2011	08/08/2011	16,885.00	15,515.00	1,370.00
15000. BUNGE LTD FINANCE C 03/11/2011 4.	03/08/2011	08/10/2011	16,066.00	15,047.00	1,019.00
20000. ANHEUSER-BUSCH DTD 4.375% 02/	01/24/2011	08/11/2011	22,328.00	19,961.00	2,367.00
20000. ANHEUSER-BUSCH DTD 4.375% 02/	01/24/2011	08/12/2011	22,189.00	19,935.00	2,254.00
404.83 FED HOME LOAN MTG C #G06359 DTD 03/01/2011 4% 0	05/09/2011	08/15/2011	405.00	407.00	-2.00
2221.4 FED HOME LOAN MTG C #G13997 DTD 11/01/2010 4% 1	01/06/2011	08/15/2011	2,221.00	2,282.00	-61.00
1370.31 GOV NATL MTG ASSN DTD 09/01	01/20/2011	08/22/2011	1,370.00	1,456.00	-86.00
940.3529 FED NATL MTG ASSN DTD 12/01/	02/16/2011	08/25/2011	940.00	985.00	-45.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

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SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: KANAWHA CO CLASS ACTION SETTLE 2009

Employer identification number: 32-6098222

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1929.78 FED NATL MTG ASSN DTD 01/01	01/25/2011	08/25/2011	1,930.00	2,129.00	-199.00
1314.53 FED NATL MTG ASSN DTD 11/01	04/15/2011	08/25/2011	1,315.00	1,450.00	-135.00
565.11 FED NATL MTG ASSN P DTD 08/01	04/28/2011	08/25/2011	565.00	563.00	2.00
3556.33 FED NATL MTG ASSN DTD 05/01	02/25/2011	08/25/2011	3,556.00	3,794.00	-238.00
531.59 FED NATL MTG ASSN P DTD 10/01/2010 3.5% 10/01/2	10/22/2010	08/25/2011	532.00	539.00	-7.00
10000. US TREASURY BOND DT 4.375% 0	06/20/2011	08/29/2011	11,402.00	10,309.00	1,093.00
10000. MICHIGAN ST TAXABLE A GO DTD 04/20/2011 2.65% 0	04/14/2011	09/07/2011	10,438.00	9,989.00	449.00
461.7 FED HOME LOAN MTG CO #G06359 DTD 03/01/2011 4% 0	05/09/2011	09/15/2011	462.00	464.00	-2.00
1881.3 FED HOME LOAN MTG C #G13997 DTD 11/01/2010 4% 1	01/06/2011	09/15/2011	1,881.00	1,933.00	-52.00
1722.7 GOV NATL MTG ASSN P DTD 09/01	01/20/2011	09/20/2011	1,723.00	1,830.00	-107.00
1078.0604 FED NATL MTG ASS 725027 DTD 12/01/	02/16/2011	09/26/2011	1,078.00	1,129.00	-51.00
2114.78 FED NATL MTG ASSN DTD 01/01	01/25/2011	09/26/2011	2,115.00	2,333.00	-218.00
1565.52 FED NATL MTG ASSN DTD 11/01	04/15/2011	09/26/2011	1,566.00	1,727.00	-161.00
1003.1037 FED NATL MTG ASS #AD8522 DTD 08/01	04/28/2011	09/26/2011	1,003.00	999.00	4.00
3774.66 FED NATL MTG ASSN DTD 05/01	02/25/2011	09/26/2011	3,775.00	4,027.00	-252.00
221.73 FED NATL MTG ASSN P DTD 10/01/2010 3.5% 10/01/2	10/22/2010	09/26/2011	222.00	225.00	-3.00
14000. US TREASURY BOND DT 4.625% 0	10/29/2010	10/12/2011	17,729.00	15,560.00	2,169.00
745.14 FED HOME LOAN MTG C #G06359 DTD 03/01/2011 4% 0	05/09/2011	10/17/2011	745.00	749.00	-4.00
3871.49 FED HOME LOAN MTG #G13997 DTD 11/01/2010 4% 1	01/06/2011	10/17/2011	3,871.00	3,977.00	-106.00
10000. FED NATL MTG ASSN D 2% 01/09/	06/20/2011	10/17/2011	10,042.00	10,102.00	-60.00
30000. US TREASURY BOND DT 4.375% 11/	04/06/2011	10/17/2011	36,886.00	30,090.00	6,796.00
1758.99 GOV NATL MTG ASSN DTD 09/01	01/20/2011	10/20/2011	1,759.00	1,868.00	-109.00
1062.7149 FED NATL MTG ASS 725027 DTD 12/01/	02/16/2011	10/25/2011	1,063.00	1,113.00	-50.00
1735.49 FED NATL MTG ASSN DTD 01/01	01/25/2011	10/25/2011	1,735.00	1,915.00	-180.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

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SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2011

Name of estate or trust
KANAWHA CO CLASS ACTION SETTLE 2009

Employer identification number
32-6098222

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1353.32 FED NATL MTG ASSN DTD 11/01	04/15/2011	10/25/2011	1,353.00	1,493.00	-140.00
2794.8016 FED NATL MTG ASS #AD8522 DTD 08/01	04/28/2011	10/25/2011	2,795.00	2,785.00	10.00
2582.63 FED NATL MTG ASSN DTD 05/01	02/25/2011	10/25/2011	2,583.00	2,755.00	-172.00
626.28 FED HOME LOAN MTG C #G06359 DTD 03/01/2011 4% 0	05/09/2011	11/15/2011	626.00	630.00	-4.00
4460.65 FED HOME LOAN MTG #G13997 DTD 11/01/2010 4% 1	01/06/2011	11/15/2011	4,461.00	4,583.00	-122.00
2478.22 GOV NATL MTG ASSN DTD 09/01	01/20/2011	11/21/2011	2,478.00	2,632.00	-154.00
1479.753 FED NATL MTG ASSN DTD 12/01/	02/16/2011	11/25/2011	1,480.00	1,550.00	-70.00
2071.93 FED NATL MTG ASSN DTD 01/01	01/25/2011	11/25/2011	2,072.00	2,286.00	-214.00
1324.23 FED NATL MTG ASSN DTD 11/01	04/15/2011	11/25/2011	1,324.00	1,461.00	-137.00
2562.7516 FED NATL MTG ASS #AD8522 DTD 08/01	04/28/2011	11/25/2011	2,563.00	2,553.00	10.00
5911.22 FED NATL MTG ASSN DTD 05/01	02/25/2011	11/25/2011	5,911.00	6,306.00	-395.00
20000. US TREASURY BOND DT 4.375% 0	11/17/2011	11/30/2011	25,301.00	25,584.00	-283.00 *
5000. US TREASURY BOND DTD 4.375% 0	11/17/2011	11/30/2011	6,325.00	6,397.00	-72.00
10000. INTEL CORP DTD 09/1 10/01/204	09/14/2011	12/05/2011	10,863.00	9,998.00	865.00
20000. US TREASURY NOTE DT 3.125% 05	08/11/2011	12/06/2011	21,944.00	21,697.00	247.00
10000. TIME WARNER INC DTD 6.25% 03/	08/09/2011	12/08/2011	11,513.00	11,537.00	-24.00
499.84 FED HOME LOAN MTG C #G06359 DTD 03/01/2011 4% 0	05/09/2011	12/15/2011	500.00	503.00	-3.00
3808.53 FED HOME LOAN MTG #G13997 DTD 11/01/2010 4% 1	01/06/2011	12/15/2011	3,809.00	3,913.00	-104.00
1831.04 GOV NATL MTG ASSN DTD 09/01	01/20/2011	12/20/2011	1,831.00	1,945.00	-114.00
1382.1205 FED NATL MTG ASS 725027 DTD 12/01/	02/16/2011	12/27/2011	1,382.00	1,448.00	-66.00
1669.21 FED NATL MTG ASSN DTD 01/01	01/25/2011	12/27/2011	1,669.00	1,842.00	-173.00
1665.17 FED NATL MTG ASSN DTD 11/01	04/15/2011	12/27/2011	1,665.00	1,837.00	-172.00
2570.6764 FED NATL MTG ASS #AD8522 DTD 08/01	04/28/2011	12/27/2011	2,571.00	2,561.00	10.00
3827.62 FED NATL MTG ASSN DTD 05/01	02/25/2011	12/27/2011	3,828.00	4,083.00	-255.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 1,047.00

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2011**

JSA
1F1221 2.000

* INDICATES WASH SALE

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side	Employer identification number
KANAWHA CO CLASS ACTION SETTLE 2009	32-6098222

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)	
6a 55000. US TREASURY BONDS 2/15/29	08/31/2009	01/06/2011	61,888.00	62,821.00	-933.00	
15000. DEVON ENERGY DTD 1/ 6.3% 01/15/	09/14/2009	01/24/2011	17,616.00	16,650.00	966.00	
4823.04 FED NATL MTG ASSN DTD 01/01/	09/11/2009	01/25/2011	4,823.00	5,102.00	-279.00	
5711.81 FED NATL MTG ASSN DTD 04/01/	10/05/2009	01/25/2011	5,712.00	6,019.00	-307.00	
9198.63 FED NATL MTG ASSN DTD 12/01/	12/23/2009	01/25/2011	9,199.00	9,521.00	-322.00	*
6272.98 FED NATL MTG ASSN DTD 8/1/0	12/22/2009	01/25/2011	6,273.00	6,672.00	-399.00	
8095.25 FED NATL MTG ASSN DTD 12/01/	12/22/2009	01/25/2011	8,095.00	8,516.00	-421.00	
3242.65 FED NATL MTG ASSN DTD 9/1/0	10/05/2009	01/25/2011	3,243.00	3,412.00	-169.00	
2379.15 FED NATL MTG ASSN DTD 09/01	10/01/2009	01/25/2011	2,379.00	2,498.00	-119.00	
6659.34 FED NATL MTG ASSN DTD 01/01	09/29/2009	01/25/2011	6,659.00	6,883.00	-224.00	
6812.65 FED NATL MTG ASSN DTD 04/01/	09/16/2009	01/25/2011	6,813.00	7,060.00	-247.00	
25000. MCDONALD'S CORP DTD CALLABLE	09/01/2009	02/07/2011	28,498.00	27,800.00	698.00	
250000. US TREASURY 5% DUE	08/31/2009	02/15/2011	250,000.00	265,753.00	-15,753.00	
20000. KRAFT FOODS DTD 12/ 02/01/20	01/19/2010	02/16/2011	22,326.00	21,431.00	895.00	
3912.13 FED NATL MTG ASSN DTD 01/01/	09/11/2009	02/25/2011	3,912.00	4,138.00	-226.00	
4895.61 FED NATL MTG ASSN DTD 04/01/	10/05/2009	02/25/2011	4,896.00	5,159.00	-263.00	
6314.25 FED NATL MTG ASSN DTD 12/01/	12/23/2009	02/25/2011	6,314.00	6,536.00	-222.00	*
4677.34 FED NATL MTG ASSN DTD 8/1/0	12/22/2009	02/25/2011	4,677.00	4,975.00	-298.00	
6520.1299 FED NATL MTG ASS 735141 DTD 12/01/	12/22/2009	02/25/2011	6,520.00	6,859.00	-339.00	
2715.73 FED NATL MTG ASSN DTD 9/1/0	10/05/2009	02/25/2011	2,716.00	2,857.00	-141.00	
2436.43 FED NATL MTG ASSN DTD 09/01	10/01/2009	02/25/2011	2,436.00	2,558.00	-122.00	
4984.34 FED NATL MTG ASSN DTD 01/01	09/29/2009	02/25/2011	4,984.00	5,152.00	-168.00	
2417.58 FED NATL MTG ASSN DTD 04/01/	09/16/2009	02/25/2011	2,418.00	2,505.00	-87.00	
7000. MARATHON OIL CORP DT 5.9% 03/1	01/07/2010	02/25/2011	7,756.00	7,434.00	322.00	
10000. PORTLAND GENERAL EL DTD 04/16/0	08/31/2009	03/07/2011	11,420.00	11,326.00	94.00	

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side
KANAWHA CO CLASS ACTION SETTLE 2009

Employer identification number
32-6098222

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo , day, yr.)	(c) Date sold (mo , day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 65000. SMITH INTL INC DTD 9.75% 03/15/	09/18/2009	03/07/2011	90,587.00	79,091.00	11,496.00
75000. FPL GROUP CAPITAL I 12/12/08 7.875	09/03/2009	03/08/2011	89,291.00	91,927.00	-2,636.00
30000. FLORIDA POWER CORP 6.35% 09	09/11/2009	03/14/2011	33,603.00	35,232.00	-1,629.00
15000. PORTLAND GENERAL EL DTD 04/16/0	08/31/2009	03/14/2011	17,288.00	16,989.00	299.00
5000. FLORIDA ST DEPT ENVI PROTN PRESERVATION REV BUIL	01/08/2010	03/16/2011	5,442.00	5,000.00	442.00
3932.45 FED NATL MTG ASSN DTD 01/01/	09/11/2009	03/25/2011	3,932.00	4,160.00	-228.00
4348.02 FED NATL MTG ASSN DTD 04/01/	10/05/2009	03/25/2011	4,348.00	4,582.00	-234.00
4296.11 FED NATL MTG ASSN DTD 8/1/0	12/22/2009	03/25/2011	4,296.00	4,570.00	-274.00
5560.26 FED NATL MTG ASSN DTD 12/01/	12/22/2009	03/25/2011	5,560.00	5,849.00	-289.00
1931. FED NATL MTG ASSN PO DTD 9/1/0	10/05/2009	03/25/2011	1,931.00	2,032.00	-101.00
2179.92 FED NATL MTG ASSN DTD 09/01	10/01/2009	03/25/2011	2,180.00	2,289.00	-109.00
3979.5301 FED NATL MTG ASS #745275 DTD 01/01	09/29/2009	03/25/2011	3,980.00	4,113.00	-133.00
3667.69 FED NATL MTG ASSN DTD 04/01/	09/16/2009	03/25/2011	3,668.00	3,801.00	-133.00
3506.315 FED NATL MTG ASSN DTD 12/01/	12/23/2009	03/29/2011	3,506.00	3,629.00	-123.00
3839.37 FED NATL MTG ASSN DTD 01/01/	09/11/2009	04/25/2011	3,839.00	4,061.00	-222.00
5139.47 FED NATL MTG ASSN DTD 04/01/	10/05/2009	04/25/2011	5,139.00	5,416.00	-277.00
3913.7422 FED NATL MTG ASS 725027 DTD 12/01/	12/23/2009	04/25/2011	3,914.00	4,051.00	-137.00
4321.83 FED NATL MTG ASSN DTD 8/1/0	12/22/2009	04/25/2011	4,322.00	4,597.00	-275.00
5661.68 FED NATL MTG ASSN DTD 12/01/	12/22/2009	04/25/2011	5,662.00	5,956.00	-294.00
2451.75 FED NATL MTG ASSN DTD 9/1/0	10/05/2009	04/25/2011	2,452.00	2,580.00	-128.00
2011.68 FED NATL MTG ASSN DTD 09/01	10/01/2009	04/25/2011	2,012.00	2,112.00	-100.00
3300. FED NATL MTG ASSN PO DTD 01/01	09/29/2009	04/25/2011	3,300.00	3,411.00	-111.00
1979.6 FED NATL MTG ASSN P DTD 04/01/	09/16/2009	04/25/2011	1,980.00	2,051.00	-71.00
15000. DEVON ENERGY DTD 1/ 6.3% 01/15/	09/14/2009	05/09/2011	17,887.00	16,650.00	1,237.00
35000. DEVON ENERGY DTD 1/ 6.3% 01/15/	09/14/2009	05/11/2011	41,582.00	38,849.00	2,733.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side	Employer identification number
KANAWHA CO CLASS ACTION SETTLE 2009	32-6098222

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 80000. STATOIL ASA ASA DTD 2.9% 10/15/	01/27/2010	05/11/2011	83,528.00	80,495.00	3,033.00
100000. ABBOTT LABORATORIE 05/12/06 5.6% 05	09/01/2009	05/15/2011	100,000.00	106,951.00	-6,951.00
230000. FNMA 6% DUE 05/15/	04/26/2010	05/15/2011	230,000.00	248,610.00	-18,610.00
25000. HESS CORPORATION DT 8.125% 02/	09/01/2009	05/18/2011	31,816.00	29,420.00	2,396.00
15000. GCB DUKE CAPITAL CO 01/19/2001 8%	05/05/2010	05/20/2011	18,665.00	18,037.00	628.00
5000. FEDEX CORP DTD 01/16 01/15/2019	12/17/2009	05/23/2011	6,315.00	6,128.00	187.00
10000. CANADIAN PACIFIC RR 5/15/2009 7.2	09/18/2009	05/25/2011	12,144.00	11,520.00	624.00
3099.22 FED NATL MTG ASSN DTD 01/01/	09/11/2009	05/25/2011	3,099.00	3,278.00	-179.00
4235.79 FED NATL MTG ASSN DTD 04/01/	10/05/2009	05/25/2011	4,236.00	4,463.00	-227.00
3489.8076 FED NATL MTG ASS 725027 DTD 12/01/	12/23/2009	05/25/2011	3,490.00	3,612.00	-122.00
3271.48 FED NATL MTG ASSN DTD 8/1/0	12/22/2009	05/25/2011	3,271.00	3,480.00	-209.00
4807.3501 FED NATL MTG ASS 735141 DTD 12/01/	12/22/2009	05/25/2011	4,807.00	5,057.00	-250.00
1665.69 FED NATL MTG ASSN DTD 9/1/0	10/05/2009	05/25/2011	1,666.00	1,753.00	-87.00
1748.42 FED NATL MTG ASSN DTD 09/01	10/01/2009	05/25/2011	1,748.00	1,836.00	-88.00
2824.88 FED NATL MTG ASSN DTD 01/01	09/29/2009	05/25/2011	2,825.00	2,920.00	-95.00
893.08 FED NATL MTG ASSN P DTD 04/01/	09/16/2009	05/25/2011	893.00	925.00	-32.00
1237.24 FED NATL MTG ASSN DTD 11/01/2008 4.5% 12/01/2	04/29/2010	05/25/2011	1,237.00	1,300.00	-63.00
5000. MARATHON OIL CORP DT 5.9% 03/1	01/07/2010	05/25/2011	5,711.00	5,310.00	401.00
10000. MCDONALD'S CORP DTD CALLABLE	09/01/2009	05/25/2011	11,755.00	11,120.00	635.00
20000. NISOURCE FINANCE CO 12/04/09 6.125	12/04/2009	06/22/2011	22,379.00	20,287.00	2,092.00
3150.14 FED NATL MTG ASSN DTD 01/01/	09/11/2009	06/27/2011	3,150.00	3,332.00	-182.00
3782.92 FED NATL MTG ASSN DTD 04/01/	10/05/2009	06/27/2011	3,783.00	3,986.00	-203.00
3315.0635 FED NATL MTG ASS 725027 DTD 12/01/	12/23/2009	06/27/2011	3,315.00	3,431.00	-116.00
3201.45 FED NATL MTG ASSN DTD 8/1/0	12/22/2009	06/27/2011	3,201.00	3,405.00	-204.00
4617.4499 FED NATL MTG ASS 735141 DTD 12/01/	12/22/2009	06/27/2011	4,617.00	4,858.00	-241.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side.	Employer identification number
KANAWHA CO CLASS ACTION SETTLE 2009	32-6098222

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	2177.68 FED NATL MTG ASSN DTD 9/1/0	10/05/2009	06/27/2011	2,178.00	2,291.00	-113.00
	1764.75 FED NATL MTG ASSN DTD 09/01	10/01/2009	06/27/2011	1,765.00	1,853.00	-88.00
	2798.97 FED NATL MTG ASSN DTD 01/01	09/29/2009	06/27/2011	2,799.00	2,893.00	-94.00
	3908.46 FED NATL MTG ASSN DTD 04/01/	09/16/2009	06/27/2011	3,908.00	4,050.00	-142.00
	1399.86 FED NATL MTG ASSN DTD 11/01/2008 4.5% 12/01/2	04/29/2010	06/27/2011	1,400.00	1,471.00	-71.00
	2376.75 JOHN DEERE OWNER T 2010-A CL A3 DTD 04/22/2010	04/14/2010	07/18/2011	2,377.00	2,376.00	1.00
	3223.77 FED NATL MTG ASSN DTD 01/01/	09/11/2009	07/25/2011	3,224.00	3,410.00	-186.00
	3933.57 FED NATL MTG ASSN DTD 04/01/	10/05/2009	07/25/2011	3,934.00	4,145.00	-211.00
	4464.7444 FED NATL MTG ASS 725027 DTD 12/01/	12/23/2009	07/25/2011	4,465.00	4,621.00	-156.00
	3485.46 FED NATL MTG ASSN DTD 8/1/0	12/22/2009	07/25/2011	3,485.00	3,707.00	-222.00
	4543.3401 FED NATL MTG ASS 735141 DTD 12/01/	12/22/2009	07/25/2011	4,543.00	4,780.00	-237.00
	1584.66 FED NATL MTG ASSN DTD 9/1/0	10/05/2009	07/25/2011	1,585.00	1,667.00	-82.00
	1841.11 FED NATL MTG ASSN DTD 09/01	10/01/2009	07/25/2011	1,841.00	1,933.00	-92.00
	3071.3 FED NATL MTG ASSN P DTD 01/01	09/29/2009	07/25/2011	3,071.00	3,175.00	-104.00
	1498.82 FED NATL MTG ASSN DTD 04/01/	09/16/2009	07/25/2011	1,499.00	1,553.00	-54.00
	1315.9 FED NATL MTG ASSN P DTD 11/01/2008 4.5% 12/01/2	04/29/2010	07/25/2011	1,316.00	1,383.00	-67.00
	15011.5 LOUISIANA LOC GOV ENVIRONMENTAL FACS & COMNTY	07/16/2010	08/01/2011	15,012.00	15,008.00	4.00
	10000. DANAHER CORP DTD 12 5.625% 01/15	09/22/2009	08/10/2011	12,013.00	10,917.00	1,096.00
	1907.37 FED HOME LOAN MTG #A86314 DTD 05/01/2009 4% 0	08/03/2010	08/15/2011	1,907.00	1,951.00	-44.00
	6273.12 JOHN DEERE OWNER T 2010-A CL A3 DTD 04/22/2010	04/14/2010	08/15/2011	6,273.00	6,272.00	1.00
	3340.73 FED NATL MTG ASSN DTD 01/01/	09/11/2009	08/25/2011	3,341.00	3,534.00	-193.00
	4355.09 FED NATL MTG ASSN DTD 04/01/	10/05/2009	08/25/2011	4,355.00	4,589.00	-234.00
	4093.0971 FED NATL MTG ASS 725027 DTD 12/01/	12/23/2009	08/25/2011	4,093.00	4,237.00	-144.00
	3435.72 FED NATL MTG ASSN DTD 8/1/0	12/22/2009	08/25/2011	3,436.00	3,654.00	-218.00
	4524.7501 FED NATL MTG ASS 735141 DTD 12/01/	12/22/2009	08/25/2011	4,525.00	4,760.00	-235.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side	**Employer identification number**
KANAWHA CO CLASS ACTION SETTLE 2009	32-6098222

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1996.09 FED NATL MTG ASSN DTD 9/1/0	10/05/2009	08/25/2011	1,996.00	2,100.00	-104.00
1641.98 FED NATL MTG ASSN DTD 09/01	10/01/2009	08/25/2011	1,642.00	1,724.00	-82.00
3140.49 FED NATL MTG ASSN DTD 01/01	09/29/2009	08/25/2011	3,140.00	3,246.00	-106.00
1429.12 FED NATL MTG ASSN DTD 04/01/	09/16/2009	08/25/2011	1,429.00	1,481.00	-52.00
1291.51 FED NATL MTG ASSN DTD 11/01/2008 4.5% 12/01/2	04/29/2010	08/25/2011	1,292.00	1,357.00	-65.00
968.76 FED NATL MTG ASSN P DTD 08/01	08/10/2010	08/25/2011	969.00	997.00	-28.00
1848.15 FED NATL MTG ASSN DTD 8/01/2010 3.5% 08/01/20	08/13/2010	08/25/2011	1,848.00	1,911.00	-63.00
15000. ADOBE SYSTEM INC DT 4.75% 02/0	02/08/2010	09/07/2011	16,061.00	14,923.00	1,138.00
5000. AGILENT TECHNOLOGIES 07/20/2010	07/13/2010	09/07/2011	5,389.00	5,026.00	363.00
5000. GCB DUKE CAPITAL COR 01/19/2001 8%	09/09/2009	09/14/2011	6,351.00	5,859.00	492.00
2107.84 FED HOME LOAN MTG #A86314 DTD 05/01/2009 4% 0	08/03/2010	09/15/2011	2,108.00	2,157.00	-49.00
4525.02 JOHN DEERE OWNER T 2010-A CL A3 DTD 04/22/2010	04/14/2010	09/16/2011	4,525.00	4,524.00	1.00
3814.67 FED NATL MTG ASSN DTD 01/01/	09/11/2009	09/26/2011	3,815.00	4,035.00	-220.00
4253.04 FED NATL MTG ASSN DTD 04/01/	10/05/2009	09/26/2011	4,253.00	4,482.00	-229.00
4692.4996 FED NATL MTG ASS 725027 DTD 12/01/	12/23/2009	09/26/2011	4,693.00	4,857.00	-164.00
3416.35 FED NATL MTG ASSN DTD 8/1/0	12/22/2009	09/26/2011	3,416.00	3,634.00	-218.00
4956.84 FED NATL MTG ASSN DTD 12/01/	12/22/2009	09/26/2011	4,957.00	5,215.00	-258.00
1922.2 FED NATL MTG ASSN P DTD 9/1/0	10/05/2009	09/26/2011	1,922.00	2,023.00	-101.00
2019.75 FED NATL MTG ASSN DTD 09/01	10/01/2009	09/26/2011	2,020.00	2,120.00	-100.00
3442.91 FED NATL MTG ASSN DTD 01/01	09/29/2009	09/26/2011	3,443.00	3,559.00	-116.00
6357.76 FED NATL MTG ASSN DTD 04/01/	09/16/2009	09/26/2011	6,358.00	6,588.00	-230.00
1487.47 FED NATL MTG ASSN DTD 11/01/2008 4.5% 12/01/2	04/29/2010	09/26/2011	1,487.00	1,563.00	-76.00
1719.6063 FED NATL MTG ASS #AD8522 DTD 08/01	08/10/2010	09/26/2011	1,720.00	1,770.00	-50.00
2623.9 FED NATL MTG ASSN P DTD 8/01/2010 3.5% 08/01/20	08/13/2010	09/26/2011	2,624.00	2,713.00	-89.00
36000. US TREASURY BOND DT 4.625% 0	05/26/2010	10/12/2011	45,588.00	39,088.00	6,500.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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KANAWHA CO CLASS ACTION SETTLE 2009

Employer identification number
32-6098222

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo , day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 5271.83 FED HOME LOAN MTG #A86314 DTD 05/01/2009 4% 0	08/03/2010	10/17/2011	5,272.00	5,394.00	-122.00
90000. FED NATL MTG ASSN D 2% 01/09/	03/26/2010	10/17/2011	90,378.00	91,638.00	-1,260.00
4969.6 JOHN DEERE OWNER TR 2010-A CL A3 DTD 04/22/2010	04/14/2010	10/17/2011	4,970.00	4,969.00	1.00
45000. US TREASURY BOND DT 4.375% 11/	09/08/2010	10/17/2011	55,329.00	45,412.00	9,917.00
2900.64 FED NATL MTG ASSN DTD 01/01/	09/11/2009	10/25/2011	2,901.00	3,068.00	-167.00
3819.77 FED NATL MTG ASSN DTD 04/01/	10/05/2009	10/25/2011	3,820.00	4,025.00	-205.00
4625.7051 FED NATL MTG ASS 725027 DTD 12/01/	12/23/2009	10/25/2011	4,626.00	4,788.00	-162.00
3632.25 FED NATL MTG ASSN DTD 8/1/0	12/22/2009	10/25/2011	3,632.00	3,863.00	-231.00
5088.85 FED NATL MTG ASSN DTD 12/01/	12/22/2009	10/25/2011	5,089.00	5,354.00	-265.00
2319.35 FED NATL MTG ASSN DTD 9/1/0	10/05/2009	10/25/2011	2,319.00	2,440.00	-121.00
1794.34 FED NATL MTG ASSN DTD 09/01	10/01/2009	10/25/2011	1,794.00	1,884.00	-90.00
3727.79 FED NATL MTG ASSN DTD 01/01	09/29/2009	10/25/2011	3,728.00	3,853.00	-125.00
5175.09 FED NATL MTG ASSN DTD 04/01/	09/16/2009	10/25/2011	5,175.00	5,363.00	-188.00
1548.15 FED NATL MTG ASSN DTD 11/01/2008 4.5% 12/01/2	04/29/2010	10/25/2011	1,548.00	1,627.00	-79.00
4791.0884 FED NATL MTG ASS #AD8522 DTD 08/01	08/10/2010	10/25/2011	4,791.00	4,932.00	-141.00
4538.19 FED NATL MTG ASSN DTD 8/01/2010 3.5% 08/01/20	08/13/2010	10/25/2011	4,538.00	4,693.00	-155.00
1335.8 FED NATL MTG ASSN P DTD 10/01/2010 3.5% 10/01/2	10/22/2010	10/25/2011	1,336.00	1,354.00	-18.00
15000. DOW CHEMICAL CO 8.5 05/15/2019	08/31/2009	11/15/2011	19,146.00	16,439.00	2,707.00
6893.58 FED HOME LOAN MTG #A86314 DTD 05/01/2009 4% 0	08/03/2010	11/15/2011	6,894.00	7,053.00	-159.00
6448.08 JOHN DEERE OWNER T 2010-A CL A3 DTD 04/22/2010	04/14/2010	11/16/2011	6,448.00	6,447.00	1.00
70000. CHARLES SCHWAB CORP 06/05/09 4.95% 0	09/01/2009	11/16/2011	76,249.00	74,029.00	2,220.00
25000. JOHN DEERE CAPITAL 03/08/2010 2	03/03/2010	11/17/2011	26,412.00	24,974.00	1,438.00
5000. MUNICIPAL ELEC AUTH AMERICA BONDS TAXABLE PLT	03/09/2010	11/17/2011	5,097.00	5,000.00	97.00
10000. VERIZON COMMUNICATI 02/12/08 5.5%	11/04/2010	11/17/2011	11,625.00	11,703.00	-78.00
5000. DOW CHEMICAL CO 8.55	08/31/2009	11/18/2011	6,383.00	5,480.00	903.00

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Employer identification number
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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 3152.62 FED NATL MTG ASSN DTD 01/01/	09/11/2009	11/25/2011	3,153.00	3,335.00	-182.00
4178.26 FED NATL MTG ASSN DTD 04/01/	10/05/2009	11/25/2011	4,178.00	4,403.00	-225.00
6440.957 FED NATL MTG ASSN DTD 12/01/	12/23/2009	11/25/2011	6,441.00	6,667.00	-226.00
3736.41 FED NATL MTG ASSN DTD 8/1/0	12/22/2009	11/25/2011	3,736.00	3,974.00	-238.00
5155.7301 FED NATL MTG ASS 735141 DTD 12/01/	12/22/2009	11/25/2011	5,156.00	5,424.00	-268.00
1864.27 FED NATL MTG ASSN DTD 9/1/0	10/05/2009	11/25/2011	1,864.00	1,962.00	-98.00
1956.89 FED NATL MTG ASSN DTD 09/01	10/01/2009	11/25/2011	1,957.00	2,054.00	-97.00
4063.72 FED NATL MTG ASSN DTD 01/01	09/29/2009	11/25/2011	4,064.00	4,200.00	-136.00
4260.58 FED NATL MTG ASSN DTD 04/01/	09/16/2009	11/25/2011	4,261.00	4,415.00	-154.00
1586.07 FED NATL MTG ASSN DTD 11/01/2008 4.5% 12/01/2	04/29/2010	11/25/2011	1,586.00	1,666.00	-80.00
4393.2884 FED NATL MTG ASS #AD8522 DTD 08/01	08/10/2010	11/25/2011	4,393.00	4,522.00	-129.00
4164.12 FED NATL MTG ASSN DTD 8/01/2010 3.5% 08/01/20	08/13/2010	11/25/2011	4,164.00	4,306.00	-142.00
846.35 FED NATL MTG ASSN P DTD 10/01/2010 3.5% 10/01/2	10/22/2010	11/25/2011	846.00	858.00	-12.00
5000. MUNICIPAL ELEC AUTH AMERICA BONDS TAXABLE PLT	03/09/2010	11/28/2011	5,097.00	5,000.00	97.00
10000. CANADIAN PACIFIC RR 5/15/2009 7.2	09/18/2009	12/06/2011	11,714.00	11,520.00	194.00
10000. DOW CHEMICAL CO 8.5 05/15/2019	08/31/2009	12/09/2011	12,874.00	10,959.00	1,915.00
5000. MUNICIPAL ELEC AUTH AMERICA BONDS TAXABLE PLT	03/09/2010	12/09/2011	5,000.00	5,000.00	
7253.08 FED HOME LOAN MTG #A86314 DTD 05/01/2009 4% 0	08/03/2010	12/15/2011	7,253.00	7,421.00	-168.00
4369.18 JOHN DEERE OWNER T 2010-A CL A3 DTD 04/22/2010	04/14/2010	12/15/2011	4,369.00	4,369.00	
30000. UNION PACIFIC 6.5%	04/05/2010	12/19/2011	30,602.00	32,936.00	-2,334.00
3214.43 FED NATL MTG ASSN DTD 01/01/	09/11/2009	12/27/2011	3,214.00	3,400.00	-186.00
4482.46 FED NATL MTG ASSN DTD 04/01/	10/05/2009	12/27/2011	4,482.00	4,723.00	-241.00
6015.9895 FED NATL MTG ASS 725027 DTD 12/01/	12/23/2009	12/27/2011	6,016.00	6,227.00	-211.00
4070.77 FED NATL MTG ASSN DTD 8/1/0	12/22/2009	12/27/2011	4,071.00	4,330.00	-259.00
5261.1001 FED NATL MTG ASS 735141 DTD 12/01/	12/22/2009	12/27/2011	5,261.00	5,535.00	-274.00

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6a 2407.56 FED NATL MTG ASSN DTD 9/1/0	10/05/2009	12/27/2011	2,408.00	2,533.00	-125.00
2171.37 FED NATL MTG ASSN DTD 09/01	10/01/2009	12/27/2011	2,171.00	2,280.00	-109.00
4512.67 FED NATL MTG ASSN DTD 01/01	09/29/2009	12/27/2011	4,513.00	4,665.00	-152.00
3118.18 FED NATL MTG ASSN DTD 04/01/	09/16/2009	12/27/2011	3,118.00	3,231.00	-113.00
1376.09 FED NATL MTG ASSN DTD 11/01/2008 4.5% 12/01/2	04/29/2010	12/27/2011	1,376.00	1,446.00	-70.00
4406.8736 FED NATL MTG ASS #AD8522 DTD 08/01	08/10/2010	12/27/2011	4,407.00	4,536.00	-129.00
5262.1 FED NATL MTG ASSN P DTD 8/01/2010 3.5% 08/01/20	08/13/2010	12/27/2011	5,262.00	5,441.00	-179.00
970.01 FED NATL MTG ASSN P DTD 10/01/2010 3.5% 10/01/2	10/22/2010	12/27/2011	970.00	983.00	-13.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . -13,097.00

* INDICATES WASH SALE