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# Form **990-PF**

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#### Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545 0052

2011

Department of the Treasury Internal Revenue Service Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements For calendar year 2011, or tax year beginning , 2011, and ending Employer identification number RICHARD & BETH SACKLER FOUNDATION, INC. 31-1577962 17 EAST 62ND STREET В Telephone number (see the instructions) 212-408-5520 NEW YORK, NY 10021 C If exemption application is pending, check here G Check all that apply Initial return Initial Return of a former public charity D 1 Foreign organizations, check here Final return Amended return 2 Foreign organizations meeting the 85% test, check Address change Name change here and attach computation X Section 501(c)(3) exempt private foundation Check type of organization. Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation If private foundation status was terminated Fair market value of all assets at end of year under section 507(b)(1)(A), check here J Accounting method X Cash (from Part II, column (c), line 16) Other (specify) If the foundation is in a 60-month termination 1,093,407. (Part I, column (d) must be on cash basis ) under section 507(b)(1)(B), check here Part I Analysis of Revenue and (a) Revenue and (c) Adjusted net (b) Net investment (d) Disbursements Expenses (The total of amounts in expenses per books income income for charitable columns (b), (c), and (d) may not necessarily equal the amounts in column (a) purposes (cash basis only) (see instructions)) Contributions, gifts, grants, etc, received (att sch) Ck ► X if the foundn is not reg to att Sch B 2 Interest on savings and temporary cash investments 11 11 11 Dividends and interest from securities 5a Gross rents b Net rental income or (loss) 6a Net gain/(loss) from sale of assets not on line 10 REVERU **b** Gross sales price for all assets on line 6a Capital gain net income (from Part IV, fine 2) Net short-term capital gain 9 Income modifications 10 a Gross sales less returns and allowances b Less Cost of goods sold c Gross profit/(loss) (att sch) 11 Other income (attach schedule) 12 Total. Add lines 1 through 11  $\overline{11}$ 13 Compensation of officers, directors, trustees, etc. 14 Other employee salaries and wages 15 Pension plans, employee benefits 16 a Legal fees (attach schedule) **b** Accounting fees (attach sch) c Other prof fees (attach sch) Taxes (attach schedule)(see instrs) Depreciation (attach ŭ sch) and depletion Occupancy Travel, conferences, and meetings 21 22 Printing and publications GDEN. 23 Other expenses (attach schedule) Total operating and administrative expenses. Add lines 13 through 23 25 Contributions, gifts, grants paid PART XV 309,385 309,385 Total expenses and disbursements. Add lines 24 and 25 309,385 0 0 309,385. Subtract line 26 from line 12: a Excess of revenue over expenses -309,374and disbursements b Net investment income (if negative, enter -0-) 11 C Adjusted net income (if negative, enter -0-) 11

Par	t II	Balance Sheets  Attached scriedules and amounts in the description column should be for end-of-year amounts only	beginning or year	425		Jane Administra
		(See instructions )	(a) Book Value	(b) Book Valu		(c) Fair Market Value
	1 _	Cash — non-interest-bearing	1,379,703.	1,070,3 23,0		<del></del>
`	2	Savings and temporary cash investments  Accounts receivable	23,078.	23,0	109.	23,089.
	3	Less: allowance for doubtful accounts				
	1	Pledges receivable				
	~	Less allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other				
	"	disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
Ą		Less¹ allowance for doubtful accounts ▶				
<u>š</u>	8	Inventories for sale or use				
A S S E T	9	Prepaid expenses and deferred charges				
Ś	10:	a Investments – U.S. and state government obligations (attach schedule)				
		b Investments — corporate stock (attach schedule)				
	•	c Investments — corporate bonds (attach schedule)				
	11	Investments – land, buildings, and equipment basis				]
		Less accumulated depreciation (attach schedule)				
	12	Investments – mortgage loans				
	13	Investments – other (attach schedule)				
	14	Land, buildings, and equipment basis				
		Less accumulated depreciation (attach schedule)				
		Other assets (describe Total assets (to be completed by all filers — see the instructions Also, see page 1, item I)	1,402,781.	1,093,4	07.	1,093,407.
Ļ	17	Accounts payable and accrued expenses				
Å	18	Grants payable				- 7
В	19	Deferred revenue				
Ĺ	20	Loans from officers, directors, trustees, & other disqualified persons				,
† 	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe				
E S	23	Total liabilities (add lines 17 through 22)	0.	· · · · · · · · · · · · · · · · · · ·	0.	#
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.				A
N F E U T N	24	Unrestricted			j	الله و المالية
TN	25	Temporarily restricted				新疆·斯里·
ח	26	Permanently restricted				The second of th
A B S A E L		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.				
ΤА	27	Capital stock, trust principal, or current funds	1,402,781.	1,093,4	07.	The state of the s
S N C	28	Paid-in or capital surplus, or land, building, and equipment fund			]	
O E	29	Retained earnings, accumulated income, endowment, or other funds				***
R S	30	Total net assets or fund balances (see instructions)	1,402,781.	1,093,4	07.	, , , , , , , , , , , , , , , , , , , ,
	31	Total liabilities and net assets/fund balances (see instructions)	1,402,781.	1,093,4	07.	
Parl	ill /	Analysis of Changes in Net Assets or Fund Balance			<u></u>	<u> </u>
		net assets or fund balances at beginning of year - Part II, colun		ree with		
•	end-c	of year figure reported on prior year's return)	iiii (a), iiile oo (iilust d <u>i</u>	ji de milli	1	1,402,781.
2	Enter	amount from Part I, line 27a			2	-309,374.
3	Other i	ncreases not included in line 2 (itemize)	- <b></b>		3	
		ines 1, 2, and 3			4	1,093,407.
		ses not included in line 2 (itemize)			5	
6	Total	net assets or fund balances at end of year (line 4 minus line 5)	<ul> <li>Part II, column (b), II</li> </ul>	ne 30	6	1,093,407.

Form 990-PF (2011) RICHARD & BETH SACKLER FOUNDATION, INC

31-1577962

Page 2

(a) List and describ	Losses for Tax on Investmen be the kind(s) of property sold (e g , r use, or common stock, 200 shares ML	eal estate.	(b) How acquired P — Purchase	(C) Date acquired (month, day, year)	(d) Date sold (month, day, year)
			D — Donation		
b				<u> </u>	
c		······································			
d					
е					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other bas plus expense of sal		(h) Gain or (e) plus (f) m	
<u>a</u>					
b				<del></del>	
d	<del> </del>	·			
e	-			·· · · · · · · ·	
· · · · · · · · · · · · · · · · · · ·	ving gain in column (h) and owned by	the foundation on 12/31/69	)	(i) Gains (Colu	ımn (h)
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column over column (j), if a		pain minus column (k an -0-) or Losses (fr	), but not less
a					
b					
c d					
e		<del>.</del>			
2 Capital gain net income or (ne	et capital loss)  If gain, also If (loss), ent	enter in Part I, line 7 er -0- in Part I, line 7	2		
3 Net short-term capital gain or	(loss) as defined in sections 1222(5)	_			
If gain, also enter in Part I, lin in Part I, line 8	e 8, column (c) (see instructions) If	(loss), enter -0-	3		
	r Section 4940(e) for Reduced	Tax on Net Investme	nt Income	1	
If 'Yes,' the foundation does not qua	ction 4942 tax on the distributable am lify under section 4940(e) Do not co	mplete this part	N/A	XYes	No
	in each column for each year, see the		any entries		
(a)  Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	<b>(c)</b> Net value of noncharitable-use ass	ets (coli	<b>(d)</b> Distribution umn (b) divided (	ratio by column (c))
2010					
2009				<u></u> .	
2008					
2007					
2006					
2 Total of line 1, column (d)			2		
3 Average distribution ratio for the number of years the foundation	ne 5-year base period — divide the to n has been in existence if less than 5	tal on line 2 by 5, or by the years	3		
4 Enter the net value of nonchar	itable-use assets for 2011 from Part	X, line 5	4		
5 Multiply line 4 by line 3			5		
6 Enter 1% of net investment inc	come (1% of Part I, line 27b)		6		
7 Add lines 5 and 6			7		
8 Enter qualifying distributions from	om Part XII, line 4		8		
If line 8 is equal to or greater to Part VI instructions	han line 7, check the box in Part VI,	line 1b, and complete that p	part using a 1%	tax rate See th	ne

Fori	m 990-PF (2011) RICHARD & BETH SACKLER FOUNDATION, INC 31-1577962	Page <b>4</b>
Pa	irt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instruction	ns)
1	a Exempt operating foundations described in section 4940(d)(2), check here	
	Date of ruling or determination letter: (attach copy of letter if necessary — see instrs)	
	b Domestic foundations that meet the section 4940(e) requirements in Part V,	0.
	check here ▶ ☐ and enter 1% of Part I, line 27b	
	c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable	
	foundations only Others enter -0-)	0.
3	Add lines 1 and 2	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	0.
6	1 1	
	a 2011 estimated tax pmts and 2010 overpayment credited to 2011	1
	b Exempt foreign organizations — tax withheld at source 6b	]
	c Tax paid with application for extension of time to file (Form 8868)	Į
	d Backup withholding erroneously withheld	
7		4,402.
8		
9	<del></del>	0.
10		4,402.
11 Day		0.
Га	rt VII-A Statements Regarding Activities	V N-
1:	a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes No
ı	<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b X
	If the answer is 'Yes' to <i>1a</i> or <i>1b</i> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	
	c Did the foundation file Form 1120-POL for this year?	1c X
	d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year	
	(1) On the foundation $\Rightarrow$ 0. (2) On foundation managers $\Rightarrow$ 0.	]
•	e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ►\$ 0.	
2	foundation managers \$0.  Has the foundation engaged in any activities that have not previously been reported to the IRS?	2 X
2	If 'Yes,' attach a detailed description of the activities	2 1
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	3 X
4 a	a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a X
t	b If 'Yes,' has it filed a tax return on Form 990-T for this year?	4b N/A
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5 X
	If 'Yes,' attach the statement required by General Instruction T	
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either	
	● By language in the governing instrument, or	
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict	
	with the state law remain in the governing instrument?	6 X
	Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	7 X
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions)  DE	, ,
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	8b X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If 'Yes,' complete Part XIV	9 X
10	Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses	10 X
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Form 990-PF (2011) RICHARD & BEIH SACKLER FOUNDATION, INC	31-15//9	<u>5Z</u>		age :
Part VII-A Statements Regarding Activities (continued)			1	<del></del>
At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)		11		Х
12' Did the foundation make a distribution to a donor advised fund over which the foundation or a disadvisory privileges? If 'Yes,' attach statement (see instructions)	squalified person had	12		х
13 Did the foundation comply with the public inspection requirements for its annual returns and exe Website address ► N/A	mption application?	13	Х	
	ephone no <b>&gt;</b> (212)	408	-552	<u>-</u>
	+4 > 10112-012			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check	k here	N/A	\ <b>&gt;</b>	
and enter the amount of tax-exempt interest received or accrued during the year	▶ 15			N/A
16 At any time during calendar year 2011, did the foundation have an interest in or a signature or o bank, securities, or other financial account in a foreign country?	ther authority over a	16	Yes	No X
See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter foreign country ►	the name of the			
Part VII-B Statements Regarding Activities for Which Form 4720 May Be Requi	red			
File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.			Yes	No
1 a During the year did the foundation (either directly or indirectly)	n			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	Yes X No			-
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	Yes X No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	X Yes No	<b> </b>		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	Yes X No	1		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	Yes X No		:	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	Yes X No			
<b>b</b> If any answer is 'Yes' to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions describ Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instruc	ed in	1 b		X
Organizations relying on a current notice regarding disaster assistance (see instruc	>tions): ► □	10		<u> </u>
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted that were not corrected before the first day of the tax year beginning in 2011?	acts,	1 c		·
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was	s a			Λ
private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				-
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	Yes X No			
If 'Yes,' list the years ▶ 20 , 20 , 20 , 20				
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section				/3
all years listed, answer 'No' and attach statement — see instructions)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years.	years here	2b	IN	/A
► 20, 20, 20, 20	rears nere			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	Yes X No		, ,	· -
b If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the found or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period apply the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or beques:  (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, determine if the foundation had excess business holdings in 2011)	dation oproved t, or	3b	N	/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		Х
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of	f			
the tax year beginning in 2011?		4b		X
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Form 990-PF (2011) RICHARD & BETH SA			31-15	77962	Page
Part VII-B   Statements Regarding Activit	ies for Which Forn	n 4720 May Be Req	uired (continued)		
5a During the year did the foundation pay or incu	ır any amount to.			.	
(1) Carry on propaganda, or otherwise attem	pt to influence legislation	on (section 4945(e))?	Yes X	No	}
(2) Influence the outcome of any specific pub on, directly or indirectly, any voter registra	olic election (see section ation drive?	n 4955), or to carry	Yes X	No	
(3) Provide a grant to an individual for travel,	study, or other similar	purposes?	Yes X	No	- 1
(4) Provide a grant to an organization other t in section 509(a)(1), (2), or (3), or section	han a charitable, etc, oi 1 4940(d)(2)? (see instri	rganization described uctions)	Yes X	No	
(5) Provide for any purpose other than religio educational purposes, or for the prevention	us, charitable, scientific in of cruelty to children	c, literary, or or animals?	Yes X	No	
<b>b</b> If any answer is 'Yes' to 5a(1)-(5), did <b>any</b> of described in Regulations section 53 4945 or in (see instructions)?	the transactions fail to a current notice regard	qualify under the excep ding disaster assistance	tions	5 b	N/A
Organizations relying on a current notice rega	irding disaster assistand	ce check here	▶ [		
c If the answer is 'Yes' to question 5a(4), does tax because it maintained expenditure respon	the foundation claim ex sibility for the grant?	emption from the	N/A Yes	No	
If 'Yes,' attach the statement required by Reg	ulations section 53 4945	5-5(d)			
6a Did the foundation, during the year, receive an on a personal benefit contract?	ny funds, directly or ind	irectly, to pay premiums	Yes X	]No	
<b>b</b> Did the foundation, during the year, pay prem	iums, directly or indirec	tly, on a personal benef	it contract?	6 b	X
If 'Yes' to 6b, file Form 8870	dad.a			las -	
7a At any time during the tax year, was the found b if 'Yes,' did the foundation receive any procee				No	-
Part VIII Information About Officers, D					
and Contractors	, , , , , , , , , , , , , , , , , , , ,		, e. e., g		,
1 List all officers, directors, trustees, foundation	n managers and their o	compensation (see inst	ructions).		
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense other allo	
RICHARD S. SACKLER, M. D.	PRES/TREAS/D	0.	0.		0
ONE STAMFORD FORUM	1.00				
STAMFORD, CT 06901-3516					
_BETH_SACKLER	V-PRES/SEC	0.	0.		0.
ONE STAMFORD FORUM	0				
STAMFORD, CT 06901-3516					
JONATHAN D. SACKLER	VICE PRESIDE	0.	0.		0.
ONE STAMFORD FORUM	0				
STAMFORD, CT 06901-3516		_			· · · · · · · · · · · · · · · · · · ·
2 Compensation of five highest-paid employee	s (other than those inc	luded on line 1 – see in	structions). If none, er	iter 'NONE.'	
(a) Name and address of each employee	(b) Title, and average	(c) Compensation	(d) Contributions to	(e) Expense	account,
paid more than \$50,000	hours per week devoted to position		employee benefit plans and deferred compensation	other allo	wances
NONE					
		!			
Total number of other employees paid over \$50,000			<b>•</b>		0
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	77962 Page
Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid I and Contractors (continued)	<b>Employees</b> ,
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'	<del></del>
(a) Name and address of each person paid more than \$50,000     (b) Type of service	(c) Compensation
NONE	
	<del>                                     </del>
	<u></u>
Total number of others receiving over \$50,000 for professional services	- 0
Part IX-A Summary of Direct Charitable Activities	
	T
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
<b>*</b>	
	_
3	
4	
Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
<u>N/A</u>	
2	
<b></b>	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0.
BAA	Form <b>990-PF</b> (2011)

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Pa	Minimum Investment Return (All domestic foundations must complete this part. For see instructions.)	oreign	foundations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes a Average monthly fair market value of securities	14.00 M	
		1a	1 206 640
	b Average of monthly cash balances	1 b	1,286,648.
	c Fair market value of all other assets (see instructions)	1c	1 005 540
	d Total (add lines 1a, b, and c)	1 d	1,286,648.
	e Reduction claimed for blockage or other factors reported on lines 1a and 1c		
_	(attach detailed explanation) 1e 0.	_	•
2	Acquisition indebtedness applicable to line 1 assets.	2	0.
3	Subtract line 2 from line 1d	3	1,286,648.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	19,300.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,267,348.
6	Minimum investment return. Enter 5% of line 5	6	63,367.
Păi	TXIXI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private oper	ating fo	oundations
	and certain foreign organizations check here ▶ and do not complete this part.)		
1	Minimum investment return from Part X, line 6	1	63,367.
2	a Tax on investment income for 2011 from Part VI, line 5	] -	
	Income tax for 2011 (This does not include the tax from Part VI)	42	
	c Add lines 2a and 2b	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1	3	63,367.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	63,367.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	63,367.
På	Qualifying Distributions (see instructions)	I a Antonio I	
1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1 a	309,385.
ı	Program-related investments — total from Part IX-B	1ь	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the Suitability test (prior IRS approval required)	3a	
	Cash distribution test (attach the required schedule)	3b	
	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	309,385.
		<del>                                     </del>	307,303.
	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	309,385.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether qualifies for the section 4940(e) reduction of tax in those years	the four	ndation
BΔΔ			Form <b>990-PF</b> (2011)

### Part XIII Undistributed Income (see instructions)

,	(a) Corpus	<b>(b)</b> Years prior to 2010	<b>(c)</b> 2010	<b>(d)</b> 2011
1 Distributable amount for 2011 from Part XI,				62.265
Inne 7  2 Undistributed income, if any, as of the end of 2011.				63,367.
a Enter amount for 2010 only			0.	
<b>b</b> Total for prior years. 20, 20, 20		0.		
3 Excess distributions carryover, if any, to 2011				
<b>a</b> From 2006	-			
<b>b</b> From 2007				
c From 2008 d From 2009 6,063.	-			
e From 2010 227, 006.	4			
f Total of lines 3a through e	233,069.			
4 Qualifying distributions for 2011 from Part	2007003.			
XII, line 4 ► \$ 309, 385.				
a Applied to 2010, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years		0.		
(Election required – see instructions)  c Treated as distributions out of corpus		0.		<del> </del>
(Election required — see instructions)	0.			
<b>d</b> Applied to 2011 distributable amount				63,367.
e Remaining amount distributed out of corpus	246,018.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the	0.			0.
same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				,
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	479,087.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistribut-		- 0.		
ed income for which a notice of deficiency has been issued, or on which the section				ı
4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable				
amount — see instructions		0.		<del></del>
e Undistributed income for 2010. Subtract line 4a from				
line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2011 Subtract lines				
4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		1	-	•
(see instructions)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2012.				
Subtract lines 7 and 8 from line 6a	479,087.			· · · · · · · · · · · · · · · · · · ·
10 Analysis of line 9				
a Excess from 2007 b Excess from 2008	}			
c Excess from 2009 6, 063.		}		
d Excess from 2010 227, 006.				
e Excess from 2011 246, 018.				
200	<del></del>	···		5 000 PE (0011)

Pair XIVE  Private Operating Foundations (see instructions and Part VII-A, question 9)   N/A	POIM 990-PF (2011) RICHARD & BEIN S		<del> </del>	-t \ /// A	31-13//902	
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(0)(3) or 4942(0)(5) or 28 a Enter the lesser of the adjustated entering in the content of the minimum investment return from Part X for each year listed 58% of the 23 could be seen year listed 58% of the 23 could be seen year listed 64 and the country of the 24 could be seen year listed 64 and the country of the count	1 a If the foundation has received a ruling or	determination letter				N/A
2 Enter the lesser of the adusted net moreme from Part 1 of the minimum mestment return from Part 2 of the 1 of 1 o		-			<b>□</b>	T
income from Part I for the immumin investment return from Part X for each year listed b 85% of line 2 act of year listed b 85% of line 2 act of year listed b 85% of line 2 act of year listed b 85% of line 2 act of year listed b 85% of line 2 act of year listed of set year listed dead deadly line 2 act of year listed of which a control of line 2 act of year listed of which a control of a complete 3a, b, or c for the alternative test relied upon a "Assets' alternative test - enter (1) Value of all assets (2) Value of all assets (2) Value of all assets (2) Value of assets (qualifying under section 49420/30/80)  b Endowment alternative test - enter (7) Total support of the than gross (2) Value of assets (qualifying under section 49420/30/80)  c Support alternative test - enter (7) Total support of the than gross (2) Value of assets (qualifying under section 49420/30/80)  c Support alternative test - enter (7) Total support of the than gross (dividender, rents, payments on securities tosins (section 512(a)/50), or royalties (some section 512(a)/50), or royalti			rating foundation of		4942(j)(3) or	4942(j)(5)
b 85% of line 2a Chashing distributions from Part XII, In ell for each year listed A mounts included in line 2c and used directly for active conduct of exempt activities Subtract line 2d from line 2d Counting distributions made directly for active conduct of exempt activities Subtract line 2d from line 2d Scomplete 3a, b, or c for the alternative test relied upon a "Assets' alternative lest — enter (1) Value of all assets (2) Value of assets qualifying under section 9442(0)(3)(8)(6) b "Indowment alternative test — enter (1) Value of all assets (2) Value of assets qualifying under section 9442(0)(3)(8)(6) b "Indowment alternative test — enter (1) Total support on many managers and the land of a many managers of the form one interest, dividendary, enter, payments on securities loans (section 5)(2)(3)(5), or royalters) (2) Support from general public and of a more exempt organizations as provided in section 4342(0)(3)(6)(6) (3) Largest annount of support from (4) Gross investment income (4) Gross investment income (4) Gross investment income (5) 1 Information Regarding Foundation Managers: a List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership or a partnership or other entity) of which the foundation has a 10% or greater indicest NONE  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here P Z if the foundation only makes contributions to presciected charitable organizations and does not accept unsolicited requests for funds! It the foundation only makes contributions to presciected charitable organizations under other conditions, complete items 2a, b, c, and d.  a The name, address, and telephone number of the person to whom applications should be addressed:  b The form in which applications should be submitted and information and materials they should include.	income from Part I or the minimum investment return from Part X for		<b>(b)</b> 2010	<del></del>	(d) 2008	(e) Total
inter 4 for each year listed different conduct of exempt activities of active conduct of exempt activities carbon conduct of exempt activities conduct of exempt activities Subtract in e2 of from line 2 drom lin						<del></del>
for active conduct of exempt activities  Qualifying distributions made directly for active conduct of exempt activities Subtract line 26 from line 26  Complete 3a, b, or c for the alternative test relied upon  a 'Assets' alternative test - enter (1) Value of all assets  (2) Value of assets qualifying under section 4942(0)(3(9))  b' Endowment' alternative test - enter (2) of minimum investment reliens born in Patt X, ince 6 for each year isted  c' Support' alternative test - enter (1) Total support other than gross sinvestment income (interest, dividends, rents, payments of 12(a)(5)), or royalties)  (2) Support imm geneti public and 5 ar processing disputations growthed in section 494(0)(3(3(6)))  (3) Largest amount of support from an exempt organization and any time during the year - see instructions.)  1 information Regarding Foundation Managers: a Lest any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than 85,000) (See section 507(0)(2).)  RICHARD S, SACKLER, M, D,  b' List any managers of the foundation who have contributed more than 85,000) (See section 507(0)(2).)  RICHARD S, SACKLER, M, D,  b' List any managers of the foundation who have contributed more than 85,000) (See section 507(0)(2).)  RICHARD S, Candido M, D, Can						
for active conduct of exempt activities Subtract line 2d from line 2c 3 Complete 3a, b, or c for the alternative test relied upon a "Assets' alternative test — enter (1) Value of all assets (2) Value of all assets (3) Value of assets qualifying under section 4942()(3(8)(6)) b "Indowmeti alternative test — enter 21 of minimum investment return bown in Part X, line 6 for each year isled c "Support" alternative test — enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalities) (2) Support from peeral public and 6 er more exempt organization a seets at any time during the year — see instructions.)  1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before th close of any tax year (but only if they have contributed more than \$5,000) (See section \$07(d)(2).)  B List any managers of the foundation who have contributed more than \$5,000) (See section \$07(d)(2).)  B List any managers of the foundation who have contributed more than \$5,000) (See section \$07(d)(2).)  B List any managers of the foundation who have contributed more than \$5,000) (See section \$07(d)(2).)  B List any managers of the foundation who have contributed more than \$5,000) (See section \$07(d)(2).)  B List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership or a partnership or other entity) of which the foundation has a 10% or greater interest  NONE  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:  Check here ▶ X is if the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations and does not accept unsolicited requests for furids. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete terms 2a, b, c, and d.  a The name, address, an	d Amounts included in line 2c not used directly for active conduct of exempt activities					
alternative test relied upon a 'Assets' alternative test — enter (1) Value of all assets (2) Value of assets qualifying under section 492(p(5)(b)(c)) b Endowment alternative test — enter 2/3 of minimum insesting tiests shown in Part X, line 6 for each year riedd c Support 'alternative test — enter (1) Total support other than gross investment income (interest, on securities loans, feeting 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 494(X)(6)(5)), or royalties) (3) Largest amount of Support from an exempt organization an exempt organization  Pare: XVIII Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)  I Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than \$5,000) (See section 507(d)(2).)  RICHARD S. SACKLER, M. D.  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest NONE  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here P [2] if the foundation makes gifts, grants, etc., (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.  a The name, address, and telephone number of the person to whom applications should be addressed:  b The form in which applications should be submitted and information and materials they should include.	for active conduct of exempt activities Subtract line 2d from line 2c					
(1) Value of all assets (2) Value of assets qualifying under section 4942()(3(B)(f)) b Endowment alternative test — enter 2/3 of minimum investment return shown in Part X, interest for each year isted c "Support" alternative test — enter (1) Total support other than gross investment income (inderest, dividends, rents, payments on securities loans (section \$12(a)(5)), or royalities) (2) Support from general public and 5 or more exempt organizations as provided in section \$420(X)(59(b)). (3) Largest amount of support from an exempt organization (4) Gross investment income  PartXXVI Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time durring the year — see instructions.)  1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than \$5,000 (See section \$07(d)(2)).  RICHARD S. SACKLER, M. D.  b List any managers of the foundation who have contributed more than \$5,000 (See section \$07(d)(2)).  B List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership or a partnership or other entity) of which the foundation has a 10% or greater interest  NONE  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs: Check here P 2 if the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.  b The form in which applications should be submitted and information and materials they should include.  c Any submission deadlines						
(2) Value of assets qualifying under section 4942(0)(39)(0) b 'Endownest' alternative test — enter 2/3 of minimum investment return shown in Part X, lines for each year later active test — enter (1) Total support other than gross investment income (interest, direction of the state of the st						
Section 4942(Q(3(B)(I))  b Tindownerii alternative beta – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed  c 'Support' alternative test – enter  (1) Total support other than gross investment income (interest, on securities loans (section 512(a)(5)), or royalties)  2(2) Support from general public and 5 or more exempt organizations as provided in section 4942(Q(5)(b), or royalties)  3) Largest amount of support from an exempt organization and exempt organization  4) Gross investment income  PartXXVI Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)  1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)  B List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership or a partnership or other entity) of which the foundation has a 10% or greater interest.  NONE  2 Information Regarding Contribution, Grant, Giff, Loan, Scholarship, etc, Programs: Check here     X	• •					
minimum investment return shown in Part X, line 6 for each year isted  c 'Support' alternative test — enter  (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section \$12(a)(5)), or royalties)  (2) Support from general public and 5 or more exempt organizations as provided in section 492(b)(3)(8)(m)  (3) Largest amount of support from an exempt organization (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)  1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)  RICHARD S. SACKLER, M. D.  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs: Check here ▶ ☑ If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete terms 2a, b, c, and d.  b The form in which applications should be submitted and information and materials they should include.  c Any submission deadlines	section 4942(j)(3)(B)(i)					
(1) Total support other than gross investment income (interest, on securities loans (section 512(a)(5)), or royalties)  (2) Support from general public and 5 or more eventy organizations as prouded in section 4942(f)(3)(8)(iii)  (3) Largest amount of support from an exempt organization  (4) Gross investment income  Partixivi Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)  1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than \$5,000) (See section 507(d)(2).)  RICHARD S. SACKLER, M. D.  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership or a partnership or other entity) of which the foundation has a 10% or greater interest  NONE  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs: Check here P X If the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b., c, and d.  a The name, address, and telephone number of the person to whom applications should be addressed.  b The form in which applications should be submitted and information and materials they should include.	minimum investment return shown in Part X.					
investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)  (2) Support from general public and 5 or more exempt organizations as provided in section 492(b)(5)(b)(ii)  (3) Largest amount of support from an exempt organization (4) Gross investment income    PartXV   Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)  1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section \$07(d)(2).)  RICHARD S. SACKLER, M. D.  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership or a partnership or other entity) of which the foundation has a 10% or greater interest NONE  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs: Check here P X if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds if the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b., c, and d.  b The form in which applications should be submitted and information and materials they should include.  c Any submission deadlines						
more exempt dragnazations as provided in section 4942(n)(5)(6)(iii) (3) Largest amount of support from an exempt organization (4) Gross investment income  Partixivi Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)  1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)  RICHARD S. SACKLER, M. D.  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  NONE  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs: Check here \( \sum \big  \sum \big  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds if the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.  a The name, address, and telephone number of the person to whom applications should be addressed.  b The form in which applications should be submitted and information and materials they should include.	investment income (interest, dividends, rents, payments on securities loans (section					
an exempt organization  (4) Gross investment income    Part XV   Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)  1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than \$5,000) (See section 507(d)(2).) RICHARD S. SACKLER, M. D.  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest NONE  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs: Check here ► X if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete letms 2a, b, c, and d.  a The name, address, and telephone number of the person to whom applications should be addressed.  b The form in which applications should be submitted and information and materials they should include.  c Any submission deadlines	more exempt organizations as provided					
Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)  1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).) RICHARD S. SACKLER, M. D.  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership or a partnership or other entity) of which the foundation has a 10% or greater interest NONE  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs: Check here  X if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds if the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.  a The name, address, and telephone number of the person to whom applications should be addressed:  b The form in which applications should be submitted and information and materials they should include.  c Any submission deadlines	(3) Largest amount of support from an exempt organization					
assets at any time during the year — see instructions.)  1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)  RICHARD S. SACKLER, M. D.  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.  NONE  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs: Check here ► X if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.  a The name, address, and telephone number of the person to whom applications should be addressed.  b The form in which applications should be submitted and information and materials they should include.		(0 1 1 11 11 11 11 11 11 11 11 11 11 11 1		<del>1</del>	1.05.000	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)  RICHARD S. SACKLER, M. D.  b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest NONE  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:  Check here ► ★ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.  a The name, address, and telephone number of the person to whom applications should be addressed.  b The form in which applications should be submitted and information and materials they should include.	assets at any time during th	e year — see ins	part only if the structions.)	organization na	a \$5,000 or more	e in
a partnership or other entity) of which the foundation has a 10% or greater interest NONE  2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:  Check here ► X if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.  a The name, address, and telephone number of the person to whom applications should be addressed.  b The form in which applications should be submitted and information and materials they should include.  c Any submission deadlines	a List any managers of the foundation who had close of any tax year (but only if they have	ave contributed mo	re than 2% of the han \$5,000) (See	total contributions re section 507(d)(2).)	ceived by the founda	ation before the
Check here \( \bar{X} \) if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.  a The name, address, and telephone number of the person to whom applications should be addressed.  b The form in which applications should be submitted and information and materials they should include.  c Any submission deadlines	a partnership or other entity) of which the	wn 10% or more of foundation has a 10	the stock of a corp % or greater interes	poration (or an equa est	lly large portion of th	e ownership of
<b>b</b> The form in which applications should be submitted and information and materials they should include. <b>c</b> Any submission deadlines	Check here ► X if the foundation only makes	nakes contributions	to preselected cha	ritable organizations	and does not accep	t unsolicited r conditions,
c Any submission deadlines	a The name, address, and telephone numbe	r of the person to w	hom applications s	should be addressed		
	<b>b</b> The form in which applications should be s	ubmitted and inform	nation and materia	ils they should includ	le.	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	c Any submission deadlines					
	d Any restrictions or limitations on awards, s	uch as by geograph	nical areas, charita	ble fields, kinds of in	nstitutions, or other f	actors:

3 Grants and Contributions Paid During the	If recipient is an individual,	Foundation		
Recipient	If recipient is an individual, show any relationship to any foundation manager or	status of	Purpose of grant or contribution	Amount
Name and address (home or business)	substantial contributor	recipient		
a Paid during the year YALE UNIVERSITY	N/A	PUBLIC	ESTABLISH ENDOWED	300,000.
157 CHURCH STREET	14/21	LODBIC	PROFESSORSHIP AT	300,000.
NEW HAVEN, CT 06511			YALE CANCER CENTER	
YALE UNIVERSITY - LABORATORY 157 CHURCH STREET	N/A	PUBLIC	LABORATORY RESEARCH	9,185.
NEW HAVEN, CT 06511				
NEW HAVEN, CI 00311				
WIKIMEDIA FOUNDATION	N/A	PUBLIC	SUPPORT FOR	200.
149 NEW MONTGOMERY			TECHNOLOGY,	
SAN FRANCISCO, CA 94105			OPERATIONS	
Total		<u> </u>	► 3a	309,385.
<b>b</b> Approved for future payment			- Ja	303,383.
YALE UNIVERSITY	N/A	PUBLIC	ESTABLISH ENDOWED	600,000.
157 CHURCH STREET			PROFESSORSHIP AT THE	
NEW HAVEN, CT 06511			YALE CANCER CENTER	
	}			
Total			► 3b	600,000.

#### Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelate	d business income	Excluded	by section 512, 513, or 514	(e)
. 1 Program service revenue	(a) Business code	<b>(b)</b> Amount	(c) Exclu- sion code	<b>(d)</b> Amount	Related or exempt function income (See instructions)
a			$\downarrow \downarrow \downarrow$		
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	11.	
4 Dividends and interest from securities			1		
5 Net rental income or (loss) from real estate					
a Debt-financed property					
<b>b</b> Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events		·			
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
С		<del> </del>			
d	1				
e			<u> </u>		
12 Subtotal. Add columns (b), (d), and (e)				11.	
13 Total. Add line 12, columns (b), (d), and (e)				13 _	11.
See worksheet in line 13 instructions to verify calculation	ons )				

#### Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

▼	accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions )
N/A	

Line No. | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the

# Form 990-PF (2011) RICHARD & BETH SACKLER FOUNDATION, INC 31-1577962 Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

													Y	Yes	No
٠de	escribe	organization directly ed in section 501(c) ( to political organizat	of the Code (o	engage other tha	in any o an sectio	of the follo on 501(c)	owing wit (3) organ	h any ot iizations	her organization or in section	on 527,				ies	NO
		rs from the reporting		a nonc	haritable	e exempt	organiza	ation of							
	) Cas	, -				•	•						1a (1)		Χ
(2	Oth	er assets											1a (2)		Х
<b>b</b> O	ther tr	ansactions													
(1	) Sale	es of assets to a non	charitable exe	empt or	ganizatio	on							1 b (1)		X
(2	) Pur	chases of assets fror	n a noncharita	able exe	empt org	ganızatıon	າ						1 b (2)		X
(3) Rental of facilities, equipment, or other assets									1b (3)		Х				
(4) Reimbursement arrangements										1 b (4)		Х			
(5	) Loai	ns or Ioan guarantee	s										1 b (5)		<u>X</u>
(6) Performance of services or membership or fundraising solicitations									1 b (6)		X				
<b>c</b> Sl	haring	of facilities, equipme	ent, mailing lis	sts, oth	er assets	s, or paid	l employe	ees					1 c	I	X
<b>d</b> If th ar	the an e good ny tran	iswer to any of the a ds, other assets, or s saction or sharing a	bove is 'Yes,' ervices given rangement, s	comple by the how in	te the for reporting column	ollowing s g foundat <b>(d)</b> the va	schedule. Ion If the alue of th	Columne founda	n <b>(b)</b> should al- ation received l s, other assets,	ways less tl , or se	show t nan faii ervices	he fair i r marke receive	market valu t value in d	ue of	
(a) Line	no.	(b) Amount involved	(c) Name	of noncha	ırıtable exe	empt organiz	zation	(d)	Description of tra	nsfers,	transact	ions, and	sharing arran	gements	3
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2a Is de	the for	undation directly or i d in section 501(c) o	ndirectly affilia f the Code (of	ated wit	h, or rel	ated to, on 501(c)(i	one or mo	ore tax-e section	exempt organiz 527?	zation	s		∏Yes	X	 Vo
<b>b</b> If '		complete the following	<del></del>				.=								
	(a)	Name of organization	on		<b>(b)</b> Typ	e of orga	nızatıon		((	c) Des	criptio	n of rela	ationship		
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Sign Here	correct,	enalties of perjury declare and complete Declaration	proparer (other	tran taxpa	eturi, iricit ayer) is bas	sed on all inf			PRESIDENT	dedge	st of my	Knowledge	May the lifthis return preparer s	RS discu	elow I
	Signat	ure of officer or trustee			)	Date	7	Tit	le				(See IIIS	Yes	No
		Print/Type preparer's nam	ė		Preparer's	signature		1	Date / /		Check	if	PTIN		
Paid		NATHAN REDMA		Tlat 11 9/25/						<u> </u>	elf-emplo	oyed	P00369	604	
Preparer Firm's name			NORTH BAY ASSOCIATES						,	Firm's	EIN -	06-1	115446		
Jse Only Firm's address > 14000 QUA				IL SPRINGS PKWY, SUITE 2200					0	Į _					
	-	OI	KLAHOMA C	ITY,	OK 73	3134			<u></u>	Phon	e no	405-	936-624	0	
BAA													Form <b>99</b> 0	)-PF (2	2011)

# Form **8868**(Rev. January 2012)

Department of the Treasury Internal Revenue Service

#### Application for Extension of Time To File an Exempt Organization Return

File a separate application for each return.

OMB No. 1545-1709

If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box. If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form) Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868 Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part II or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www irs gov/efile and click on e-file for Charities & Nonprofits Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed). A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns Enter filer's identifying number, see instructions Name of exempt organization or other filer, see instructions Employer identification number (EIN) or Type or print RICHARD & BETH SACKLER FOUNDATION, INC X 31-1577962 File by the due date for Number, street, and room or suite number, if a P O box, see instructions Social security number (SSN) filing your return See 17 EAST 62ND STREET instructions City, town or post office, state, and ZIP code. For a foreign address, see instructions NEW YORK, NY 10021 04 Enter the Return code for the return that this application is for (file a separate application for each return) Application Return Application Return Is For Code is For Code Form 990 01 Form 990-T (corporation) 07 Form 990-BL 02 Form 1041-A 80 Form 990-EZ 01 Form 4720 09 04 Form 5227 Form 990-PF 10 05 Form 990-T (section 401(a) or 408(a) trust) Form 6069 11 Form 990-T (trust other than above) Form 8870 12 The books are in the care of ► CHADBOURNE & PARKE LLP Telephone No. ► (212) 408-5520 FAX No ► 212-408-1644 If the organization does not have an office or place of business in the United States, check this box If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_. If this is for the whole group, If it is for part of the group, check this box ▶ and attach a list with the names and ElNs of all members check this box the extension is for 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15 \_\_\_\_, 20 12 \_, to file the exempt organization return for the organization named above The extension is for the organization's return for X calendar year 20 11 or tax year beginning \_\_\_\_\_, 20 \_\_\_, and ending \_\_\_\_, 20 \_\_\_ Initial return 2 If the tax year entered in line 1 is for less than 12 months, check reason Final return Change in accounting period 3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax. less any 0. nonrefundable credits See instructions. 3a \$ b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax 3Ы\$ 4,402. payments made Include any prior year overpayment allowed as a credit

BAA For Paperwork Reduction Act Notice, see Instructions.

payment instructions

EFTPS (Electronic Federal Tax Payment System) See instructions

Form 8868 (Rev 1-2012)

<u>3</u>c|\$

0.

c Balance due. Subtract line 3b from line 3a Include your payment with this form, if required, by using

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for

Form 886	8 (Rev 1-2012)		<del></del>		Page 2						
<ul><li>If you</li></ul>	are filing for an Additional (Not Automatic	) 3-Month Extension	on,,complete only Part II and ched	ck this box	► X						
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	N		Enterflier	's identifying number,							
	Name of exempt organization or other filer, see instruc	tions		Employer identification nu	imper (EIIV) of						
Type or											
print	RICHARD & BETH SACKLER FOU	X 31-1577962									
E4- 5- 0	Number, street, and room or suite number. If a P O bo	Social security number (S	SN)								
File by the extended	NORTH BAY ASSOCIATES										
due date for filing the	14000 QUAIL SPRINGS PKWY,										
return See instructions	City, town or post office, state, and ZIP code For a for	<del>- \\\-</del>									
sa actions	OKLAHOMA CITY, OK 73134										
	ORLANDIA CITT, OR 75154	· · · · · · · · · · · · · · · · · · ·									
Enter the	Return code for the return that this applicat	ion is for (file a sei	parate application for each return)		04						
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Application	n	Return	Application		Return						
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Form 990		01									
Form 990-	BL	02	Form 1041-A		08						
Form 990-	EZ	01	Form 4720		09						
Form 990-		04	Form 5227		10						
	T (section 401(a) or 408(a) trust)	05	Form 6069		11						
	T (trust other than above)	06	Form 8870		12						
F01111 330-	(trust other trial above)	1 00	Fulli 8670	<del></del>	12						
● If this i whole grou	rganization does not have an office or plac s for a Group Return, enter the organization ip, check this box	n's four digit Group			this is for the						
nembers t	ne extension is for			·							
5 For c 6 If the	uest an additional 3-month extension of timal alendar year $2011$ , or other tax year betax year entered in line 5 is for less than 1 thange in accounting period in detail why you need the extension	eginning 2 months, check re	, 20_12, 20, and ending eason		<sup>20</sup>						
GAT	HER INFORMATION NECESSARY 1										
8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions											
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.											
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions . 8c \$											
	Signature and V	erification mus	st be completed for Part II o	only.							
Inder penalties orrect, and co	s of perjury, I declare that I have examined this form, inclining the complete, and that I am authorized to prepare this form	uding accompanying sche	edules and statements, and to the best of my	-	1 1						
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BAA		Form 886	Form <b>8868</b> (Rev 1-2012)								
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