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Part II

Balance Sheets

Check if the organization used Schedule O to respond to any question in this Part II ☐

(See the instructions for Part II)		(A) Beginning of year	(B) End of year	
22	Cash, savings, and investments	122,499	22	119,284
23	Land and buildings		23	
24	Other assets (describe in Schedule O)		24	
25	Total assets	122,499	25	119,284
26	Total liabilities (describe in Schedule O)	0	26	0
27	Net assets or fund balances (line 27 of column (B) must agree with line 21) .	122,499	27	119,284

Part III

Statement of Program Service Accomplishments

Check if the organization used Schedule O to respond to any question in this Part III ☒

What is the organization's primary exempt purpose? TO FOSTER AND ENCOURAGE THE ECONOMIC GROWTH OF THE SAVINGS AND LOAN BUSINESS THROUGH SEMINARS, IDEA SHARING, SOCIAL EVENTS AND EDUCATION ALSO, TO SHARE AND CONTRIBUTE FUNDS TO OTHER NONPROFIT ORGANIZATIONS TO ASSIST THEM IN ACHIEVING THEIR STATED MISSION AND PURPOSES		(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts, optional for others)	
Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title			
28 HELD VARIOUS SEMINARS (FAIR LENDING, PBS, ASSET/LIABILITY MANAGEMENT AND BUSINESS EXPO) THAT CONTRIBUTED TO THE MEMBERS' EDUCATIONAL AND IDEA SHARING OBJECTIVES (Grants \$ 0) If this amount includes foreign grants, check here ☐		28a	0
29 HELD VARIOUS SOCIAL/FUNDRAISING EVENTS (GOLF OUTING, WINTER SOCIAL, SUMMER SOCIAL AND HOLIDAY PARTY) THAT INCREASED MEMBERS' IDEA SHARING AND FOSTERED GROWTH OF THE SAVINGS AND LOAN BUSINESS (Grants \$ 0) If this amount includes foreign grants, check here ☐		29a	0
30 CONTRIBUTED EXCESS FUNDS TO OTHER EXEMPT ORGANIZATIONS TO HELP THEM ACHIEVE THEIR STATED PURPOSES AND MISSIONS (Grants \$ 0) If this amount includes foreign grants, check here ☐		30a	0
31 Other program services (describe in Schedule O) (Grants \$) If this amount includes foreign grants, check here ☐		31a	
32 Total program service expenses (add lines 28a through 31a) ☐		32	

Part IV

List of Officers, Directors, Trustees, and Key Employees. List each one even if not compensated (See the instructions for Part IV)

Check if the organization used Schedule O to respond to any question in this Part IV ☒

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-.)	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
See Additional Data Table				

Part V

Other Information (Note the statement requirements in the instructions for Part V.)

Check if the organization used Schedule O to respond to any question in this Part V ☒

		Yes	No
33	Did the organization engage in any significant activity not previously reported to the IRS? If "Yes," provide a detailed description of each activity in Schedule O	33	No
34	Were any significant changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the amended documents if they reflect a change to the organization's name. Otherwise, explain the change on Schedule O (see instructions)	34	No
35	If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but not reported on Form 990-T, explain in Schedule O why the organization did not report the income on Form 990-T		
a	Did the organization have unrelated business gross income of \$1,000 or more during the year from business activities (such as those reported on lines 2, 6a, and 7a, among others)?	35a	No
b	If "Yes" to line 35a, has the organization filed a Form 990-T for the year? If "No," provide an explanation in Schedule O	35b	
c	Was the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization subject to section 6033(e) notice, reporting, and proxy tax requirements during the year? If "Yes," complete Schedule C, Part III	35c	No
36	Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N	36	No
37a	Enter amount of political expenditures, direct or indirect, as described in the instructions ☐ 37a 0		
b	Did the organization file Form 1120-POL for this year?	37b	
38a	Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the tax year covered by this return?	38a	No
b	If "Yes," complete Schedule L, Part II and enter the total amount involved	38b	
39	Section 501(c)(7) organizations. Enter		
a	Initiation fees and capital contributions included on line 9	39a	
b	Gross receipts, included on line 9, for public use of club facilities	39b	
40a	Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under section 4911 ☐ _____, section 4912 ☐ _____, section 4955 ☐ _____		
b	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or did it engage in an excess benefit transaction in a prior year that has not been reported on any of its prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	40b	
c	Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ☐ _____		
d	Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax on line 40c reimbursed by the organization ☐ _____		
e	All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T	40e	No
41	List the states with which a copy of this return is filed ☐ _____		
42a	The organization's books are in care of ☐ MICHAEL ST JOHN Telephone no ☐ (513) 661-0457 P O BOX 23182 Located at ☐ CINCINNATI, OH ZIP + 4 ☐ 452233182		
b	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country ☐ _____ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts .	42b	No
c	At any time during the calendar year, did the organization maintain an office outside of the U S ? If "Yes," enter the name of the foreign country ☐ _____	42c	No
43	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041 —Check here ☒ and enter the amount of tax-exempt interest received or accrued during the tax year ☐ 43		
44a	Did the organization maintain any donor advised funds? If "Yes", Form 990 must be completed instead of Form 990-EZ.	44a	No
b	Did the organization operate one or more hospital facilities during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ	44b	No
c	Did the organization receive any payments for indoor tanning services during the year?	44c	No
d	If "Yes" to line 44c, has the organization filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	44d	
45a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	45a	No
45b	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," Form 990 and Schedule R may need to be completed instead of Form 990-EZ (see instructions)	45b	

		Yes	No
46	Did the organization engage, directly or indirectly, in political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		
46			No

Part VI

Section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts only.

All section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts must answer questions 47-49b and 52.

Check if the organization used Schedule O to respond to any question in this Part VI

		Yes	No
47	Did the organization engage in lobbying activities or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II		
48	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		
49a	Did the organization make any transfers to an exempt non-charitable related organization?		
49b	If "Yes," was the related organization a section 527 organization?		

50 Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None "

(a) Name and address of each employee paid more than \$100,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances

f Total number of other employees paid over \$100,000

51 Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None "

(a) Name and address of each independent contractor paid more than \$100,000	(b) Type of service	(c) Compensation

d Total number of other independent contractors each receiving over \$100,000

52 Did the organization complete Schedule A? **NOTE:** All Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A

Yes No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	***** Signature of officer		2012-07-20 Date			
	MICHAEL ST JOHN TREASURER Type or print name and title					
Paid Preparer's Use Only	Preparer's signature	PATRICK M SEMANCIK	Date 2012-07-20	Check if self-employed	Preparer's taxpayer identification number (See instructions) P00058765	
	Firm's name (or yours if self-employed), address, and ZIP + 4	CLARK SCHAEFER HACKETT AND CO ONE EAST FOURTH ST SUITE 1200 CINCINNATI, OH 45202			EIN 31-0800053	
					Phone no (513) 241-3111	
May the IRS discuss this return with the preparer shown above? See instructions						Yes No

Additional Data

Software ID:

Software Version:

EIN: 31-0729565

Name: TRI STATE LEAGUE OF FINANCIAL INSTITUTIONS

Form 990-EZ, Special Condition Description:

Special Condition Description

Form 990EZ, Part IV - List of Officers, Directors, Trustees, and Key Employees

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid, enter -0-.)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
PATRICK J HART P O BOX 23182 CINCINNATI, OH 452233182	FORMER PRESIDENT 1 00	0	0	0
JENNIFER BRADY P O BOX 23182 CINCINNATI, OH 452233182	DIRECTOR - OPERATIONS LIAI 1 00	0	0	0
KRISTA DAVIS P O BOX 23182 CINCINNATI, OH 452233182	ADMINISTRATIVE COORDINATOR 1 00	0	0	0
HERB BRINKMAN P O BOX 23182 CINCINNATI, OH 452233182	PRESIDENT 1 00	0	0	0
MICHAEL ST JOHN P O BOX 23182 CINCINNATI, OH 452233182	TREASURER 1 00	0	0	0
GERALD SIBBLE P O BOX 23182 CINCINNATI, OH 452233182	DIRECTOR - PUBLICITY LIAISON 1 00	0	0	0
DAVID DRAPER P O BOX 23182 CINCINNATI, OH 452233182	VICE PRESIDENT 1 00	0	0	0
RAY GRUNER P O BOX 23182 CINCINNATI, OH 452233182	SECRETARY 1 00	0	0	0
MARTHA GOLDBECK P O BOX 23182 CINCINNATI, OH 452233182	DIRECTOR - COMPLIANCE LIAI 1 00	0	0	0
JOANNA GAYNOR P O BOX 23182 CINCINNATI, OH 452233182	DIRECTOR - LENDING LIAISON 1 00	0	0	0
KIM SIENER P O BOX 23182 CINCINNATI, OH 452233182	DIRECTOR - SOCIAL LIAISON 1 00	0	0	0
ADAM DAVEY P O BOX 23182 CINCINNATI, OH 452233182	DIRECTOR - AUDIT/COMPLIANCE LIAISON 1 00	0	0	0

SCHEDULE O

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2011

Open to Public
Inspection

Name of the organization TRI STATE LEAGUE OF FINANCIAL INSTITUTIONS	Employer identification number 31-0729565
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Identifier	Return Reference	Explanation
OTHER INVESTMENT INCOME	FORM 990-EZ, PART I, LINE 4	INTEREST INCOME 819
OTHER REVENUE	FORM 990-EZ, PART I, LINE 8	DESCRIPTION BOARD AND COMMITTEE MEETINGS AMOUNT 386
GRANTS AND SIMILAR AMOUNTS PAID	FORM 990-EZ, PART I, LINE 10	ACTIVITY CLASSIFICATION DONATION GRANTEE NAME CHARITABLE CONTRIBUTION AMOUNT GIVEN 5,000
OTHER EXPENSES	FORM 990-EZ, PART I, LINE 16	DESCRIPTION LEGAL, ACCOUNTING AND CONSULTING AMOUNT 1,300 DESCRIPTION OFFICE EXPENSE AMOUNT 78 DESCRIPTION BOARD MEMBERS' LIABILTY AMOUNT 1,613 DESCRIPTION BOARD DISCRETIONARY PROJECTS AMOUNT 3,263 DESCRIPTION MEMBERSHIP SOCIAL EVENTS, NET OF REVENUES AMOUNT 10,991 TOTAL TO FORM 990-EZ, LINE 16 17,245

**TY 2011 Transfers Personal Benefits
Contracts Declaration**

Name: TRI STATE LEAGUE OF FINANCIAL INSTITUTIONS

EIN: 31-0729565

Declaration: THE ORGANIZATION DID NOT, DURING THE YEAR, RECEIVE ANY FUNDS, DIRECTLY,OR INDIRECTLY, TO PAY PREMIUMS ON A PERSONAL BENEFIT CONTRACT.THE ORGANIZATION, DID NOT, DURING THE YEAR, PAY ANY PREMIUMS, DIRECTLY,OR INDIRECTLY, ON A PERSONAL BENEFIT CONTRACT.