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Hurricane Sandy

Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2011

Department of the Treasury Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , 2011, and ending , 20

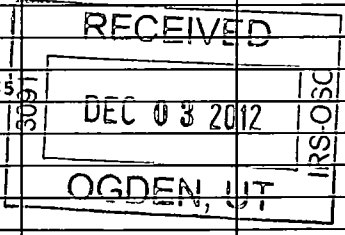
Name of foundation: Empire State Foundation. A Employer identification number: 30-0456687. B Telephone number: . C If exemption application is pending, check here. D 1 Foreign organizations, check here. E If private foundation status was terminated under section 507(b)(1)(A), check here. F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Contributions, gifts, grants, etc., received (511,500), Interest on savings and temporary cash investments (45,257), Total operating and administrative expenses (1,952), and Total expenses and disbursements (427,578).

DEC 1 2012 SCANNED

Administrative Expenses



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
A s s e t s	1	Cash - non-interest-bearing	691,472	820,651	820,651
	2	Savings and temporary cash investments			
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis			
	Less: accumulated depreciation (attach schedule)				
12	Investments - mortgage loans				
13	Investments - other (attach schedule) STM118.	400,000	400,000	400,000	
14	Land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)				
15	Other assets (describe)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	1,091,472	1,220,651	1,220,651	
L i a b i l i t i e s	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	0	0	
N e t A s s e t s o f F u n d a t i o n s	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>				
	24	Unrestricted	1,091,472	1,220,651	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	1,091,472	1,220,651		
31	Total liabilities and net assets/fund balances (see instructions)	1,091,472	1,220,651		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,091,472
2	Enter amount from Part I, line 27a	2	129,179
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	1,220,651
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,220,651

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (yr., mo., day)	(d) Date sold (yr., mo., day)
1a				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0¢ Losses (from col (h)))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ }			3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	314,523	43,094	7.298533
2009	217,150	606,299	0.358157
2008	489,828	355,027	1.379692
2007	3,200		
2006			
2 Total of line 1, column (d)			9.036382
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			1.807276
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			
5 Multiply line 4 by line 3			0
6 Enter 1% of net investment income (1% of Part I, line 27b)			438
7 Add lines 5 and 6			438
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			425,626

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations', and 'Tax based on investment income'. Total amount owed is 438.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, tax on political expenditures, and state reporting. Includes a sub-table for 'Yes' and 'No' responses.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
14 The books are in care of Samuel Schwartz Telephone no.
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041-Check here
16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income?
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 Sponsors children to attend private school and provide needy individuals with food and shelter	425,626
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	0
b	Average of monthly cash balances	1b	0
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	0
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	0
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	0
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	0
6	Minimum investment return. Enter 5% of line 5	6	0

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2011 from Part VI, line 5	2a	438
b	Income tax for 2011. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	438
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	(438)
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	(438)
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	(438)

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	425,626
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	425,626
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	438
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	425,188

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				(438)
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only				
b Total for prior years.				
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007		2,075		
c From 2008		472,085		
d From 2009		186,851		
e From 2010		312,533		
f Total of lines 3a through e	973,544			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ 425,626				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				(438)
e Remaining amount distributed out of corpus	426,064			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,399,608			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2010. Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	1,399,608			
10 Analysis of line 9				
a Excess from 2007		2,075		
b Excess from 2008		472,085		
c Excess from 2009		186,851		
d Excess from 2010		312,533		
e Excess from 2011		426,064		

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.
- a** The name, address, and telephone number of the person to whom applications should be addressed:
- 990APP
- b** The form in which applications should be submitted and information and materials they should include
- c** Any submission deadlines
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached List	None	Exempt	House of Worship Religious Instit. Help the Indigent	425,626
Total ▶				3a 425,626
b Approved for future payment				
Total ▶				3b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include: 1 Program service revenue (a-f), 2 Membership dues and assessments, 3 Interest on savings and temporary cash investments (c: 14, d: 43,757), 4 Dividends and interest from securities, 5 Net rental income or (loss) from real estate (a-b), 6 Net rental income or (loss) from personal property, 7 Other investment income, 8 Gain or (loss) from sales of assets other than inventory, 9 Net income or (loss) from special events, 10 Gross profit or (loss) from sales of inventory, 11 Other revenue (a-e), 12 Subtotal (d: 43,757), 13 Total (d: 43,757, e: 43,757).

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. (with a downward arrow), and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

Employer identification number

Empire State Foundation

30-0456687

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Empire State Foundation	Employer identification number 30-0456687
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NY Commercial Financial 100 Broadway Brooklyn, NY 11211	\$ 275,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	Erika Schwartz 105 Hewes Street Brooklyn, NY 11211	\$ 97,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
3	Samuel Schwartz PO Box 1151 Monsey, NY 10952	\$ 95,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
4	Berish Brauner 123 South 8 Brooklyn, NY 11211	\$ 9,500	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
5	Michael Schwartz 25 Widman Ct Spring Valley, NY 10977	\$ 35,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Federal Supporting Statements

2011 PG 01

Name(s) as shown on return

FEIN

Empire State Foundation

30-0456687

Form 990PF, Part II, Line 13
Investments: Other Schedule

Statement #118

Category	Book value (BOY)	Book value (EOY)	FMV (EOY)
Investments	<u>400,000</u>	<u>400,000</u>	<u>400,000</u>
Total	<u><u>400,000</u></u>	<u><u>400,000</u></u>	<u><u>400,000</u></u>

Federal Supporting Statements

2011 PG 01

Your Social Security Number
30-0455687

Name(s) as shown on return

Empire State Foundation

Statement #103

Form 990PF, Part I, Line 23 - Other Expenses Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
Fees	230	0	0	0
Office	157	0	0	0
Totals	<u>387</u>	<u>0</u>	<u>0</u>	<u>0</u>

PG 01

Statement #108

Form 990PF, Part I, Line 16(b) - Accounting Fees Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
Accounting Fees	1,400	0	0	0
Totals	<u>1,400</u>	<u>0</u>	<u>0</u>	<u>0</u>

Federal Supporting Statements

2011 PG 01

Your Social Security Number
30-0456687

Statement #110

Name(s) as shown on return

Empire State Foundation

Form 990PF, Part I, Line 18 - Taxes Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
Excise Tax	165	0	0	0
Totals	<u>165</u>	<u>0</u>	<u>0</u>	<u>0</u>

Federal Supporting Statements

2011 PG 01

Name(s) as shown on return

Your Social Security Number

Empire State Foundation

30-0456687

Form 990PF, Part XV, Line 2
Application Submission Information

990APP

Grant Program

Applicant Name
Samuel Schwartz

Address
100A Broadway Suite 227 Brooklyn NY 11249

Telephone

Form & Content
Organization or letter of recommendation from a organization

Submission Deadline
None

Restrictions on Award
None

EMPIRE STATE FOUNDATION

CHARITABLE CONTRIBUTIONS

FORM 990-PF

PART XV

Am Chay	\$	200.00
Ateres Yeshua	\$	250.00
Ateres Yichya	\$	500.00
Bais Chuna	\$	14,000.00
Bais Krula	\$	26,500.00
Bais Medrosh Tolaas Yakov	\$	500.00
Bais Vaad Inc	\$	370.00
Beer Miriam Charitable Foundation	\$	19,600.00
Beer Yitzchok	\$	4,490.00
Beth Medrash Govoha	\$	1,000.00
Bikur Cholim	\$	1,000.00
Binyan Yerushlaim	\$	100.00
Bnos Yerushalayim	\$	360.00
Bnos Yerushalayim Bais Faige	\$	820.00
Machneh Rav Tov	\$	1,750.00
Chayim Vchesed Inc.	\$	4,620.00
Chasdi Shmiel	\$	1,000.00
Chevra Gemilas Chasudem	\$	300.00
Chevra Tomche Shabos	\$	990.00
Chevre Kadishe Anash Inc	\$	1,000.00
Cio Of Argentino	\$	650.00
Cong. Ahavas Tzdokah V'chesed	\$	500.00
Cong. Ateret Eliezer	\$	36.00
Cong. Bais Joseph Tzvi Dushinsky	\$	150.00
Cong. Beis Nusen	\$	11,700.00
Cong. Bais R' Yoel	\$	250.00
Bais Ruchel Dsatmar Inc.	\$	3,500.00
Cong. Beth Aharon D'hadas	\$	2,850.00
Cong. Beth Hillel	\$	500.00
Cong. Binyan David	\$	2,800.00
Cong. Brashov	\$	500.00
Cong. Chasdie Yitzchok	\$	600.00
Cong. Chasidei Belz	\$	250.00
Cong. Darki Tshuva Dinuv	\$	25.00
Cong. Divrai Scmuel	\$	350.00
Cong. Evocharto Bachaim	\$	2,250.00
Cong. Ezras Yisroel	\$	200.00
Cong. Givas Pinchas	\$	500.00
Cong. Kahl Adas D'Kasho	\$	1,750.00
Cong. Meinei Hayeshia	\$	780.00

Cong. Minchas yosef	\$	500.00
Cong. Mkoir Chaim	\$	250.00
Congregation Mosdos Shopron Inc.	\$	5,500.00
Cong. Nachlas Moshe	\$	1,500.00
Cong. Nuchem Dov	\$	250.00
Cong. Ohr Joshua	\$	300.00
Cong. Oseh Tzedoko Vochesed	\$	4,800.00
Cong. Sarah Bohoel	\$	26,300.00
Cong. Sharei Ezra Inc	\$	1,055.00
Cong. Talmud Torah Breslev	\$	1,000.00
Cong. Tefila Lemoshe	\$	300.00
Cong. Toldos Aharon	\$	360.00
Cong. Torah Tmima	\$	180.00
Cong. Toras Moshe D'yavoshna	\$	315.00
Cong. V'yoel Moshe	\$	1,610.00
Cong. Yakov Kopel	\$	180.00
Cong. Yetev Lev D'Satmer	\$	30,220.00
Cong. Zerah Shalomah	\$	200.00
Cong. Zichron Tzvi	\$	500.00
Cong. Bais Yaakov Burshtien	\$	180.00
Cong. Noam Eliezer	\$	10,000.00
Congregation Tiferes Naftuli	\$	650.00
Congregation Zichron Mayer Leib	\$	200.00
Derech Pkidachv	\$	1,790.00
Ezras Achim Inc.	\$	1,000.00
Ezras Yisroel	\$	120.00
Friends of Chochmas Lev Inc	\$	18,000.00
G.M.H.	\$	100.00
Gemach Mordcha Tzvi	\$	1,200.00
Gemach Shmiel Zanvel	\$	1,150.00
Habein Yakir	\$	1,250.00
Hatzulas Yehudie Taimon	\$	750.00
Hisachdus Avreichem Of Yetev Lev	\$	2,000.00
Ish Al Digloy	\$	1,500.00
Keren Ezer	\$	7,350.00
Keren Hatzolah	\$	1,500.00
Keren Yagdil Torah	\$	540.00
Keren Yesomim	\$	120.00
Keren Yesomim Mekor Chaim	\$	300.00
Khal Chasidei Yerushalayim	\$	750.00
Khal Ohr Hachocme	\$	120.00
Khal Tzlaeh	\$	1,600.00
Kollel Avreichim UTA	\$	4,210.00
Kollel Mesifta	\$	500.00
Kollel Atzei Chaim	\$	120.00
Kollel Shari Limud	\$	1,450.00
Kollel Vyoel Moshe Dsatmer	\$	9,600.00

Kollel Vyoel Moshe of Boro Park	\$	22,355.00
Kollel Williamsburg	\$	100.00
Kollel Zichron Joseph	\$	420.00
Kollel Zichron Menacham	\$	360.00
Kupas Ezra	\$	10,050.00
Mercaz Kiriv	\$	1,260.00
Mesivta Nachlas Yakov Vien	\$	50.00
Mosdos Ramou	\$	1,000.00
Mosdos Satmer Bnei Brak	\$	1,000.00
Mosdos Vayoel Moshe Hasfardi	\$	1,790.00
Mosdos V'yoel Moshe	\$	10,000.00
Mosdos Yetev Lev of Antwerp	\$	250.00
Naturei Karta of USA	\$	500.00
Nevei Hachesed	\$	200.00
Oholai Yisroel	\$	1,500.00
Ohr Chodosh	\$	180.00
Ohr Leishurim	\$	1,500.00
Ora Veshimcha	\$	365.00
Paila Yoetz	\$	3,610.00
Reb Mayer Baal Haness	\$	500.00
Rov Chased	\$	19,850.00
T.T. Nachlas Chaim Tashbar	\$	180.00
Talmod Torah Nitra	\$	1,200.00
Tiferes Achim	\$	610.00
Tiferes Shmuel Inc	\$	250.00
Toldos Rafeal D'Kasho	\$	350.00
Toldos Avrohom Yitzchok	\$	200.00
Torah V'chesed	\$	1,725.00
Torah V'yirah of Yerushalyim	\$	1,570.00
Tov V'chesed	\$	16,060.00
United Talmudical Academy	\$	18,680.00
United Talmudical Seminary	\$	27,600.00
Vaad Hoartzi	\$	100.00
Vaad Mishmeres Hakodish	\$	500.00
Yeshiva Beth Joseph Zvi Dushinsky	\$	12,500.00
Yeshiva Bner Hatorh	\$	500.00
Yeshiva Toras Moshe	\$	1,250.00
Yeshivas Reb Chaim Ozer Inc	\$	500.00
Yetev Lev D'Jerusalem	\$	11,470.00
Yismach Lev	\$	500.00
Zichron Elimelich	\$	180.00
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Total	\$	<u>425,626.00</u>