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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning

, and ending

Name of foundation NEW YORK STATE HEALTH FOUNDATION		A Employer identification number 30-0127892
Number and street (or P O box number if mail is not delivered to street address) 1385 BROADWAY, 23RD FLOOR		B Telephone number 212-664-7656
City or town, state, and ZIP code NEW YORK, NY 10018-6013		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 273,071,115.	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		10,000.		N/A	
2 Check ☐ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		4,226,814.	9,207,048.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		10,641,484.			
b Gross sales price for all assets on line 6a	81,520,248.				
7 Capital gain net income (from Part IV, line 2)			11,134,160.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		834,811.	4,092.		STATEMENT 2
12 Total. Add lines 1 through 11		15,713,109.	20,345,300.		
13 Compensation of officers, directors, trustees, etc		414,519.	0.		414,519.
14 Other employee salaries and wages		2,136,060.	309,921.		1,871,287.
15 Pension plans, employee benefits		541,053.	65,743.		526,933.
16a Legal fees STMT 3		20,483.	0.		16,382.
b Accounting fees STMT 4		44,329.	0.		42,743.
c Other professional fees STMT 5		1,046,621.	491,298.		570,781.
17 Interest					
18 Taxes STMT 6		187,000.	141,818.		0.
19 Depreciation and depletion		135,214.	0.		
20 Occupancy		888,923.	106,671.		746,526.
21 Travel, conferences, and meetings		121,984.	4,608.		115,851.
22 Printing and publications		9,197.	0.		10,973.
23 Other expenses STMT 7		495,012.	267,134.		480,687.
24 Total operating and administrative expenses. Add lines 13 through 23		6,040,395.	1,387,193.		4,796,682.
25 Contributions, gifts, grants paid		10,823,084.			12,084,583.
26 Total expenses and disbursements. Add lines 24 and 25		16,863,479.	1,387,193.		16,881,265.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-1,150,370.			
b Net investment income (if negative, enter -0-)			18,958,107.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			1,171,998.	1,259,742.	1,259,742.
	2 Savings and temporary cash investments			1,489,026.	1,440,685.	1,440,685.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable			190,000.		
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges			82,476.	60,223.	60,223.
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock					
	c Investments - corporate bonds					
Liabilities	11 Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
	12 Investments - mortgage loans					
	13 Investments - other	STMT 9		281,154,105.	267,964,234.	267,964,234.
	14 Land, buildings, and equipment: basis ▶	852,982.		831,157.	411,582.	411,582.
	Less: accumulated depreciation ▶	441,400.		2,023,232.	1,934,649.	1,934,649.
	15 Other assets (describe ▶)	STATEMENT 10)				
	16 Total assets (to be completed by all filers)			286,941,994.	273,071,115.	273,071,115.
	17 Accounts payable and accrued expenses			670,991.	296,961.	
	18 Grants payable			11,054,245.	9,113,726.	
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)	STATEMENT 11)		714,404.	1,004,509.	
	23 Total liabilities (add lines 17 through 22)			12,439,640.	10,415,196.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒					
	and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted			274,244,250.	262,621,614.	
	25 Temporarily restricted			258,104.	34,305.	
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☐					
	and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds					
28 Paid-in or capital surplus, or land, bldg., and equipment fund						
29 Retained earnings, accumulated income, endowment, or other funds						
30 Total net assets or fund balances			274,502,354.	262,655,919.		
31 Total liabilities and net assets/fund balances			286,941,994.	273,071,115.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	274,502,354.
2 Enter amount from Part I, line 27a	2	-1,150,370.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	273,351,984.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	10,696,065.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	262,655,919.

Form 990-PF (2011)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 81,520,248.		70,878,764.	11,134,160.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			11,134,160.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	11,134,160.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	16,593,248.	268,239,596.	.061860
2009	16,577,550.	265,726,299.	.062386
2008	13,858,855.	287,159,627.	.048262
2007	10,753,787.	291,746,752.	.036860
2006	2,496,540.	236,585,647.	.010552

2 Total of line 1, column (d)	2	.219920
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.043984
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	278,449,597.
5 Multiply line 4 by line 3	5	12,247,327.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	189,581.
7 Add lines 5 and 6	7	12,436,908.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	16,885,668.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	189,581.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	189,581.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	189,581.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a 203,172.	7	203,172.
b Exempt foreign organizations - tax withheld at source	6b	8	362.
c Tax paid with application for extension of time to file (Form 8868)	6c	9	
d Backup withholding erroneously withheld	6d	10	13,229.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax	13,229. Refunded		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2011)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.NYSHEALTH.ORG	13	X	
14	The books are in care of THE FOUNDATION Telephone no. 212-664-7656 Located at 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY ZIP+4 10018-6013			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Form 990-PF (2011)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? See Attachment G

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		414,519.	20,559.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NICHOLAS SMIRENSKY - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	CHIEF INVESTMENT OFFICER 28.00	310,907.	30,780.	0.
DAVID SANDMAN - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	SENIOR VICE PRESIDENT 40.00	263,170.	27,373.	0.
AMY DRIVER - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	DIRECTOR OF FINANCE & OPERATIONS 40.00	200,273.	15,020.	0.
JACQUELINE MARTINEZ - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	SENIOR PROGRAM DIRECTOR 40.00	184,487.	21,011.	0.
MAUREEN COZINE - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	COMMUNICATIONS DIRECTOR 40.00	154,628.	15,317.	0.
Total number of other employees paid over \$50,000				9

Form 990-PF (2011)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
KELLY A. HUNT 44 SAINT NICHOLAS AVENUE, WESTWOOD, NJ 07675	EVALUATION CONSULTANT	112,071.
RABEN GROUP - 1640 RHODE ISLAND AVENUE, SUITE 600, WASHINGTON, DC 20036	STRATEGIC CONSULTANTS	86,665.
FREE RANGE STUDIOS - 1605 CONNECTICUT AVENUE NW, WASHINGTON, DC 20009	WEB DESIGN CONSULTANTS	57,800.
DO CANTO GROUP, LLC 42857 HOLLYWOOD PARK PLACE, ASBURN, VA 20147	STRATEGIC CONSULTANTS	56,667.
CAMBRIDGE ASSOCIATES LLC P.O. BOX 83232, CHICAGO, IL 60691	INVESTMENT MANAGEMENT	56,000.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 THE NEW YORK STATE DIABETES CAMPAIGN - SEE ATTACHMENT D	
	318,964.
2 HEALTH CARE CONFERENCES - SEE ATTACHMENT D	
	152,013.
3 EVALUATION INITIATIVE - SEE ATTACHMENT D	
	112,392.
4 THE NEW YORK STATE COMMUNITY HEALTH WORKER INITIATIVE - SEE ATTACHMENT D	
	267,615.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2011)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	279,642,162.
b	Average of monthly cash balances	1b	3,047,784.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	282,689,946.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	282,689,946.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,240,349.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	278,449,597.
6	Minimum investment return. Enter 5% of line 5	6	13,922,480.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	13,922,480.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	189,581.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	189,581.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	13,732,899.
4	Recoveries of amounts treated as qualifying distributions	4	59,002.
5	Add lines 3 and 4	5	13,791,901.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	13,791,901.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	16,881,265.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	4,403.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	16,885,668.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	189,581.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	16,696,087.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2011)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				13,791,901.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			6,383,969.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ 16,885,668.				
a Applied to 2010, but not more than line 2a			6,383,969.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				10,501,699.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				3,290,202.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(i)(3) or		4942(i)(5)
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2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter.

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

[illegible]

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

JAMES KNICKMAN

1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018

b The form in which applications should be submitted and information and materials they should include:

SEE WWW.NYSHEALTH.ORG

c Any submission deadlines:

SEE WWW.NYSHEALTH.ORG

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE WWW.NYSHEALTH.ORG

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHMENT B				12,084,583.
Total			▶ 3a	12,084,583.
b <i>Approved for future payment</i> SEE ATTACHMENT C				9,113,726.
Total			▶ 3b	9,113,726.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash (2) Other assets b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		Yes	No
	1a(1)		X
	1a(2)		X
	1b(1)		X
	1b(2)		X
	1b(3)		X
	1b(4)		X
	1b(5)		X
	1b(6)		X
	1c		X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No	
	Signature of officer or trustee <i>James K. Buckner</i>		Date <i>6/29/12</i>	Title <i>President & CEO</i>		
Paid Preparer Use Only	Print/Type preparer's name THOMAS F. BLANEY		Preparer's signature <i>TLB</i>	Date <i>5/31/12</i>	Check ☐ if self-employed	PTIN P00234022
	Firm's name ▶ O'CONNOR DAVIES MUNNS & BOBBINS, LLP				Firm's EIN ▶ 13-3385019	
	Firm's address ▶ 60 EAST 42ND STREET NEW YORK, NY 10165				Phone no. 212-286-2600	

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

NEW YORK STATE HEALTH FOUNDATION

Employer identification number

30-0127892

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization NEW YORK STATE HEALTH FOUNDATION	Employer identification number 30-0127892
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	GRANTS MAKERS IN HEALTH 1100 CONNECTICUT AVENUE, NW, SUITE 1200 WASHINGTON, DC 20036	\$ 10,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization	Employer identification number
NEW YORK STATE HEALTH FOUNDATION	30-0127892

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

NEW YORK STATE HEALTH FOUNDATION

30-0127892

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

NEW YORK STATE HEALTH FOUNDATION

CONTINUATION FOR 990-PF, PART IV
30-0127892 PAGE 1 OF 1**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ATTACHMENT A		P	VARIOUS	VARIOUS
b WELLINGTON REALIZED GAINS THROUGH K-1		P	VARIOUS	VARIOUS
c OAK TREE REALIZED GAINS THROUGH K-1		P	VARIOUS	VARIOUS
d BLACKROCK BARCLAY'S GOVERNMENT CREDIT INDEX FUND		P	VARIOUS	VARIOUS
e BLACKROCK 7-10 TREASURY INDEX FUND GAINS THROUGH		P	VARIOUS	VARIOUS
f BLACKROCK US TIPS FUND GAINS THROUGH K-1		P	VARIOUS	VARIOUS
g BLACKROCK MSCI EMERGING MARKETS INDEX FUND GAINS		P	VARIOUS	VARIOUS
h BLACKROCK ACWI EX-US INDEX FUND LOSS THROUGH K-1		P	VARIOUS	VARIOUS
i BLACKROCK RUSSELL 1000 B INDEX FUND GAINS THROUGH		P	VARIOUS	VARIOUS
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 81,520,248.		70,878,764.	10,641,484.
b			197,028.
c			141,818.
d			230,230.
e			-1,932,656.
f			369,345.
g			98,060.
h			-533,655.
i			1,922,506.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			10,641,484.
b			197,028.
c			141,818.
d			230,230.
e			-1,932,656.
f			369,345.
g			98,060.
h			-533,655.
i			1,922,506.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	11,134,160.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS	3,139,077.	0.	3,139,077.
INTEREST	1,087,737.	0.	1,087,737.
TOTAL TO FM 990-PF, PART I, LN 4	4,226,814.	0.	4,226,814.

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PROGRAM RELATED INVESTMENT INTEREST	11,662.	11,662.	
OTHER K-1 INCOME	0.	(7,570).	
GRANT REDUCTIONS	679,020.	0.	
RENTAL INCOME	144,129.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	834,811.	4,092.	

FORM 990-PF	LEGAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	20,483.	0.		16,382.
TO FM 990-PF, PG 1, LN 16A	20,483.	0.		16,382.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	44,329.	0.		42,743.
TO FORM 990-PF, PG 1, LN 16B	44,329.	0.		42,743.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING FEES	599,323.	44,000.		570,781.
INVESTMENT MANAGEMENT	447,298.	447,298.		0.
TO FORM 990-PF, PG 1, LN 16C	1,046,621.	491,298.		570,781.

FORM 990-PF	TAXES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	187,000.	0.		0.
FOREIGN TAXES PAID	0.	141,818.		0.
TO FORM 990-PF, PG 1, LN 18	187,000.	141,818.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSES & IT	221,506.	17,588.		207,134.
INSURANCE	47,289.	0.		48,694.
OUTREACH AND PUBLIC EVENTS	216,743.	1,585.		214,417.
MISCELLANEOUS	9,474.	0.		10,442.
OTHER EXPENSES THROUGH K-1	0.	247,961.		0.
TO FORM 990-PF, PG 1, LN 23	495,012.	267,134.		480,687.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
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DESCRIPTION	AMOUNT
DEFERRED FEDERAL EXCISE TAX	65,488.
UNREALIZED LOSS ON INVESTMENTS	10,630,577.
TOTAL TO FORM 990-PF, PART III, LINE 5	10,696,065.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT E	FMV	267,964,234.	267,964,234.
TOTAL TO FORM 990-PF, PART II, LINE 13		267,964,234.	267,964,234.

FORM 990-PF	OTHER ASSETS	STATEMENT	10
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED INVESTMENT INCOME	755,798.	753,217.	753,217.
DEPOSITS	54,842.	54,842.	54,842.
PREPAID FEDERAL EXCISE TAX	40,000.	13,000.	13,000.
PROGRAM RELATED INVESTMENTS	1,172,592.	1,113,590.	1,113,590.
TO FORM 990-PF, PART II, LINE 15	2,023,232.	1,934,649.	1,934,649.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	11
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
DEFERRED FEDERAL EXCISE TAX	274,512.	340,000.
DEFERRED RENT	405,072.	551,689.
OTHER LIABILITIES	34,820.	82,820.
FEDERAL EXCISE TAX PAYABLE	0.	30,000.
TOTAL TO FORM 990-PF, PART II, LINE 22	714,404.	1,004,509.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAMES R. KNICKMAN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	PRESIDENT & CEO 40.00	414,519.	20,559.	0.
PAUL BADER 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
JO-ANN COSTANTINO 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
ROBERT G. SMITH 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
LARAY BROWN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
JOHN LANE 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
CARL WEISBROD 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
ANGELA DIAZ 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
LUCY N. FRIEDMAN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
JOHN L PALMER 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
CHARLES STRAIN TERM ENDED 1/19/11 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		414,519.	20,559.	0.

New York State Health Foundation
EIN 30-0127892
Form 990-PF: Part IV - Capital Gains and Losses
December 31, 2011

Name of Security	Date Sold	No of Shares	Cost	Sales Proceeds	Realized Gains (Losses)
iShares 20+ Year Treasury Index Fund	4/20/2011	16,100	1,375,751	1,497,332	121,581
iShares 20+ Year Treasury Index Fund	5/10/2011	11,600	991,225	1,094,672	103,447
iShares 20+ Year Treasury Index Fund	5/10/2011	26,700	2,277,692	2,519,633	241,942
iShares 20+ Year Treasury Index Fund	6/9/2011	42,100	3,591,416	4,074,730	483,314
iShares 20+ Year Treasury Index Fund	6/9/2011	3,900	334,505	377,469	42,964
iShares 20+ Year Treasury Index Fund	7/8/2011	33,000	2,830,427	3,138,240	307,813
iShares 20+ Year Treasury Index Fund	8/8/2011	3,300	283,043	346,532	63,489
iShares 20+ Year Treasury Index Fund	8/8/2011	5,800	500,656	609,056	108,400
iShares 20+ Year Treasury Index Fund	8/8/2011	400	35,438	42,004	6,566
Blackrock 7-10 year Treasury fund	2/24/2011	1,487	20,696	21,937	1,241
Blackrock 7-10 year Treasury fund	3/23/2011	656	9,128	9,933	805
Blackrock 7-10 year Treasury fund	5/26/2011	347,979	4,843,797	5,380,000	536,203
Blackrock 7-10 year Treasury fund	6/16/2011	621	8,639	9,662	1,022
Blackrock 7-10 year Treasury fund	6/22/2011	99,003	1,378,103	1,560,000	181,897
Blackrock 7-10 year Treasury fund	6/27/2011	195,381	2,719,666	3,070,000	350,334
Blackrock 7-10 year Treasury fund	7/22/2011	81,227	1,131,367	1,290,133	158,766
Blackrock 7-10 year Treasury fund	7/27/2011	184,293	2,565,330	2,909,867	344,537
Blackrock 7-10 year Treasury fund	8/16/2011	322,145	4,484,203	5,340,000	855,797
Blackrock 7-10 year Treasury fund	8/31/2011	539	7,509	9,062	1,553
Blackrock 7-10 year Treasury fund	11/22/2011	291,320	4,055,126	5,000,000	944,874
Blackrock 7-10 year Treasury fund	11/28/2011	291,656	4,059,791	5,007,447	947,655
Blackrock 7-10 year Treasury fund	12/7/2011	292,923	4,077,428	5,000,000	922,572
Blackrock 7-10 year Treasury fund	12/23/2011	318,634	4,411,479	5,500,000	1,088,521
Barclays Govt/Credit	8/31/2011	11 42	435	451	16
Barclays Govt/Credit	11/28/2011	98 87	3,765	3,964	199
Blackrock Russell 1000 Index Fund	2/23/2011	337	3,498	5,154	1,657
Blackrock Russell 1000 Index Fund	3/22/2011	196	2,042	2,862	821
Blackrock Russell 1000 Index Fund	6/16/2011	214	2,227	3,136	908
Blackrock Russell 1000 Index Fund	8/30/2011	243	2,522	3,230	707
Blackrock Russell 1000 Index Fund	11/25/2011	212	2,202	2,919	717
Blackrock ACWI ex-US Index Fund	3/1/2011	1,132	22,219	25,841	3,622
Blackrock ACWI ex-US Index Fund	3/30/2011	812	15,930	18,273	2,344
Blackrock ACWI ex-US Index Fund	6/22/2011	873	17,130	19,639	2,509
Blackrock ACWI ex-US Index Fund	9/8/2011	1,015	19,924	21,168	1,245
Blackrock ACWI ex-US Index Fund	12/7/2011	1,015	19,928	19,745	(184)
Blackrock Emerging Markets Index Fund	3/1/2011	135	3,871	4,538	667
Blackrock Emerging Markets Index Fund	3/30/2011	104	3,001	3,602	601
Blackrock Emerging Markets Index Fund	6/22/2011	107	3,071	3,730	659
Blackrock Emerging Markets Index Fund	9/8/2011	128	3,678	4,124	445
Blackrock Emerging Markets Index Fund	12/7/2011	134	3,838	3,884	47

Attachment A-1

Blackrock TIPS Fund	2/24/2011	166	2,848	2,980	133
Blackrock TIPS Fund	3/23/2011	107	1,839	1,997	159
Blackrock TIPS Fund	6/17/2011	103	1,771	1,962	191
Blackrock TIPS Fund	8/31/2011	102	1,741	2,040	299
Blackrock TIPS Fund	11/28/2011	105	1,797	2,144	347
iShares TIPS Fund	1/6/2011	16,000	1,588,486	1,714,241	125,755
iShares TIPS Fund	1/20/2011	7,200	714,819	769,571	54,752
iShares TIPS Fund	2/4/2011	10,680	1,060,315	1,132,556	72,241
iShares TIPS Fund	2/4/2011	10,720	1,066,504	1,136,798	70,294
iShares TIPS Fund	3/23/2011	280	27,856	30,604	2,747
iShares TIPS Fund	3/23/2011	8,920	889,068	974,945	85,877
iShares TIPS Fund	4/20/2011	2,080	207,316	229,714	22,398
iShares TIPS Fund	4/20/2011	16,700	1,659,352	1,844,339	184,987
iShares TIPS Fund	4/20/2011	13,800	1,370,870	1,524,065	153,195
iShares TIPS Fund	4/20/2011	21,700	2,144,503	2,396,537	252,034
iShares TIPS Fund	4/20/2011	7,120	702,470	786,329	83,859
iShares TIPS Fund	5/10/2011	3,880	382,807	430,282	47,475
iShares TIPS Fund	5/10/2011	22,100	2,183,712	2,450,832	267,120
iShares TIPS Fund	5/10/2011	5,120	509,035	567,795	58,760
iShares TIPS Fund	6/9/2011	5,880	584,595	650,277	65,682
iShares TIPS Fund	6/9/2011	11,200	1,105,785	1,238,623	132,838
iShares TIPS Fund	6/9/2011	11,200	1,110,142	1,238,623	128,482
iShares TIPS Fund	6/9/2011	3,720	369,513	411,400	41,887
iShares TIPS Fund	7/8/2011	9,100	903,916	1,009,467	105,552
iShares TIPS Fund	9/6/2011	6,200	615,855	718,184	102,330
iShares TIPS Fund	11/7/2011	3,980	395,339	470,455	75,116
iShares TIPS Fund	11/7/2011	4,520	450,371	534,285	83,914
iShares TIPS Fund	11/28/2011	6,980	695,485	816,870	121,385
iShares TIPS Fund	11/28/2011	10,120	1,011,913	1,184,345	172,432
iShares TIPS Fund	12/13/2011	8,600	859,926	1,006,253	146,327
Wellington Global Natural Resources	1/31/2011	2,185	23,225	27,049	3,824
Wellington Global Natural Resources	4/29/2011	2,451	26,052	32,448	6,396
Wellington Global Natural Resources	7/29/2011	3,644	38,740	44,134	5,394
Wellington Global Natural Resources	10/31/2011	3,914	41,607	41,059	(548)
Omnicom Group 6 15% Aug 15 2020	5/3/2011	600,000	602,982	595,374	(7,608)
Omnicom Group 6 15% Aug 15 2020	5/3/2011	400,000	398,616	396,916	(1,700)
TD Ameritrade 4 15% Dec 1 2014	6/7/2011	900	89,911	95,913	6,002
TD Ameritrade 4 15% Dec 1 2014	6/7/2011	1,700	170,840	181,169	10,329
TD Ameritrade 4 15% Dec 1 2014	6/7/2011	1,900	190,904	202,483	11,579
TD Ameritrade 4 15% Dec 1 2014	6/7/2011	2,000	200,998	213,140	12,142
TD Ameritrade 4 15% Dec 1 2014	6/7/2011	3,500	353,248	372,995	19,747
Van Eck Global Capital Gain Distribution			-	85,086	85,086
TOTAL			70,878,764	81,520,248	10,641,484

Attachment A-2

Grants Paid FYE 2011										
Request Number	Organization Name	Address	City	State	Zip Code	Organization Type 1	Organization AKA Name	Project Title	Payment Date	Amount
10-00209	Active Minds, Inc	2647 Connecticut Ave, NW #200	Washington	DC	20008	Public Charity	Active Minds	Changing the Conversation about Mental Health on New York State College Campuses	6/9/2011	\$31,250.00
2511422	Actors Fund of America	729 7th Avenue, 10th Floor	New York	NY	10019-6895	Public Charity		Expanding Services for Artists at a Free Health Care Clinic	3/30/2011	\$43,798.00
11-02820	Affinity Health Plan Inc.	2500 Halsey Street	Bronx	NY	10461	Public Charity	Coalition of New York State Public Health Plans (fiscal intermediary)	Maintaining Continuity of Coverage for Low-Income New Yorkers	5/3/2011	\$76,912.00
3300533	AIDS Community Services of Western New York, Inc	206 S. Elmwood Avenue	Buffalo	NY	14201-2313	Public Charity		Four Agency Financial and Data Management Systems Consolidation	3/30/2011	\$32,236.00
2925157	Albany Medical College	43 New Scotland Avenue, MC 119	ALBANY	NY	12208	Public Charity		Pediatric Mental Health Services in the Capital Region	12/29/2011	\$48,524.00
11-00310	American Cancer Society - Eastern Division (Albany)	19 Dove Street, Suite 103	Albany	NY	12210	Public Charity	ACS	Protecting Consumer Interests in New York State's Implementation of Federal Health Care Reform	8/1/2011	\$150,014.00
2533626	American Cancer Society - Eastern Division (Albany)	19 Dove Street, Suite 103	Albany	NY	12210	Public Charity	ACS	Consumer Voices for Coverage	10/24/2011	\$73,038.00
11-00412	Amida Care, Inc.	248 West 35th Street, 7th Floor	New York	NY	10001	Public Charity		Access to Care AmidaCONNECT	12/16/2011	\$37,500.00
11-00281	Benjamin J. Leeman, MD PC	20 West Lincoln #306	Valley Stream	NY	11580	Profit		Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	8/5/2011	\$2,000.00
11-00281	Benjamin J. Leeman, MD PC	20 West Lincoln #306	Valley Stream	NY	11580	Profit		Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	5/25/2011	\$500.00
3015457	Belter World Advertising, Inc	731 Market Street Suite 220	San Francisco	CA	94103	Profit	BWA	New York State Diabetes Social Marketing Campaign	2/15/2011	\$174,991.00

Attachment B-1

Request Number	Organization Name	Address	City	State	Zip Code	Organization Type 1	Organization AKA Name	Project Title	Payment Date	Amount
3015457	Better World Advertising, Inc.	731 Market Street Suite 220	San Francisco	CA	94103	Profit	BWA	New York State Diabetes Social Marketing Campaign	5/17/2011	\$174,991.00
3015457	Better World Advertising, Inc.	731 Market Street Suite 220	San Francisco	CA	94103	Profit	BWA	New York State Diabetes Social Marketing Campaign	11/30/2011	\$174,991.00
2579841	Bridges To Excellence, Inc.	13 Sugar Street	Newtown	CT	06470-2046	Public Charity		Developing a Statewide Diabetes Care Physician Incentive Program Among Payers	2/15/2011	\$64,429.00
10-02193	Brooklyn Hospital Center	121 DeKalb Avenue	Brooklyn	NY	11201	Public Charity		Meeting the Mark Achieving Excellence in Diabetes Care	5/3/2011	\$5,000.00
10-02175	CapitalCare Medical Group	501 New Karner Road	Albany	NY	12205	Profit		Meeting the Mark Achieving Excellence in Diabetes Care	5/3/2011	\$16,500.00
3272264	Catholic Charities of Onondaga County	1654 West Onondaga Street	Syracuse	NY	13204	Public Charity		Restructuring for Results	3/30/2011	\$22,200.00
10-02373	Center for Excellence in Health Care Journalism	10 Neff Hall	Columbia	MO	65211	Public Charity		2011 New York State Health Care Journalism Fellowships	6/21/2011	\$5,001.00
11-00558	Center for Excellence in Health Care Journalism	10 Neff Hall	Columbia	MO	65211	Public Charity		2012 New York Health Journalism Fellowships	12/12/2011	\$24,000.00
11-00326	Center for Health Care Strategies, Inc.	200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	Public Charity	CHCS	Increasing Primary Care Rates and Access to Medicaid Providers in New York State	9/1/2011	\$94,735.00
11-00362	Center to Promote Healthcare Access, Inc	1333 Broadway, Suite 1020	Oakland	CA	94612	Public Charity	Social Interest Solutions	Consulting Support Services for the NY-HX	6/15/2011	\$120,000.00
10-02435	Center to Promote Healthcare Access, Inc	1333 Broadway, Suite 1020	Oakland	CA	94612	Public Charity	Social Interest Solutions	Eligibility and Enrollment Systems Inventory and Plan for New York State - Phase One	8/1/2011	\$139,952.00
11-00362	Center to Promote Healthcare Access, Inc	1333 Broadway, Suite 1020	Oakland	CA	94612	Public Charity	Social Interest Solutions	Consulting Support Services for the NY-HX	12/29/2011	\$30,000.00
10-02379	Central Brooklyn Medical Group, PC	32 Court St	Brooklyn	NY	11201	Profit	Preferred Health Partners	Meeting the Mark Achieving Excellence in Diabetes Care	4/14/2011	\$20,000.00
1898319	Charles B Wang Community Health Center, Inc.	288 Canal St	New York	NY	10013	Public Charity		Chinatown Diabetes ACTION (Accelerating Collaboration To Improve Health Outcomes Now)	6/7/2011	\$46,000.00

Attachment B-2

Request Number	Organization Name	Address	City	State	Zip Code	Organization Type 1	Organization AKA Name	Project Title	Payment Date	Amount
10-00201	Coalition of Voluntary Mental Health, Mental Retardation & Alcoholism	90 Broad Street, 8th Floor	New York	NY	10004	Public Charity	Coalition of Behavioral Health Agencies, Inc	Reengineering the Business Model for Mental Health Clinics	9/1/2011	\$87,614.00
2967543	Columbia University - Mailman School of Public Health	722 West 168th Street	New York	NY	10032	Public Charity	Mailman School of Public Health	Advancing and Sustaining the Community Health Worker Field in New York State	6/2/2011	\$122,591.00
3109008	Common Good Institute, Inc., The	One Metrotech Center #1703	Brooklyn	NY	11201	Public Charity		Designing an Arbitration-Based Medical Injury Dispute Resolution System for New York Health Systems	4/27/2011	\$48,085.00
10-02512	Community Catalyst, Inc.	475 Riverside Drive, Suite 1604	New York	NY	10025	Public Charity	MergerWatch	Lessons from New York State Best Practices Concerning Merging Religious and Secular Hospitals	5/20/2011	\$60,000.00
10-01649	Community Funds, Inc		Syosset	NY	11791	Public Charity	Long Island Community Foundation	Long Island Haitian Support Fund	12/23/2011	\$5,000.00
10-02021	Community Health Care Association of New York State, Inc	535 Eighth Avenue, 8th Floor	New York	NY	10018	Public Charity	CHCANYS	Ensuring New York's Fair Share Providing Technical Assistance to FQHC New Access Point Applicants	2/25/2011	\$79,714.00
10-01836	Community Health Care Association of New York State, Inc.	535 Eighth Avenue, 8th Floor	New York	NY	10018	Public Charity	CHCANYS	Improving Diabetes Clinical Care at New York's Community Health Centers - Year 3	12/29/2011	\$43,451.00
11-00471	Community Health Care Association of New York State, Inc.	535 Eighth Avenue, 8th Floor	New York	NY	10018	Public Charity	CHCANYS	Developing a Statewide Growth & Sustainability Plan for New York's Federally Qualified Health Centers	12/16/2011	\$80,504.00
2532276	Community Health Care Services Foundation, Inc	99 Troy Road, Suite 200	East Greenbush	NY	12061	Public Charity		Improving Retention of the Home Care Workforce	5/20/2011	\$49,996.00
10-02018	Community Service Society of New York	105 E 22nd Street	New York	NY	10010	Public Charity	CSS	Exploring the Role of the Basic Health Plan Option in New York State	12/29/2011	\$58,150.00
11-00256	Consumers Union of United States, Inc.	101 Truman Avenue	Yonkers	NY	10703-1057	Public Charity		Consumer Testing of the Coverage Facts Label	5/10/2011	\$35,408.00

Attachment B-3

Request Number	Organization Name	Address	City	State	Zip Code	Organization Type 1	Organization AKA Name	Project Title	Payment Date	Amount
11-00256	Consumers Union of United States, Inc	101 Truman Avenue	Yonkers	NY	10703-1057	Public Charity		Consumer Testing of the Coverage Facts Label	10/24/2011	\$8,852.00
10-02075	Empire Justice Center, Inc.	1 West Main Street, 2nd Floor	Rochester	NY	14614-1418	Public Charity		Implementing Health Reform Planning for the Navigator and Consumer Assistance Programs	12/7/2011	\$25,748.00
2533920	EPIC - Every Person Influences Children Inc	1000 Main Street	Buffalo	NY	14202-1102	Public Charity		Ready, Set, Parent! Statewide Expansion	2/25/2011	\$71,288.00
11-02811	Foundation for Long Term Care, Inc	150 State Street, Suite 301	Albany	NY	12207-1626	Public Charity	FLTC	Statewide Replication of Exceptional Care Planning in Nursing Homes	6/3/2011	\$83,674.00
1882036	Foundation for Long Term Care, Inc.	150 State Street, Suite 301	Albany	NY	12207-1626	Public Charity		Efficient and Cost-Effective Care Planning	1/26/2011	\$86,931.00
10-02476	Foundation for Quality Care, Inc.	33 Elk Street, Suite 300	Albany	NY	12207-1062	Public Charity		Implementation of the Gold STAMP Program to Reduce Pressure Ulcers in New York State	10/27/2011	\$150,000.00
10-02168	Fund for Public Health in New York	22 Cortlandt Street, 11th Floor, Suite 1103	New York	NY	10007	Public Charity		Meeting the Mark: Achieving Excellence in Diabetes Care	5/3/2011	\$15,000.00
3021329	Fund for Public Health in New York	22 Cortlandt Street, 11th Floor, Suite 1103	New York	NY	10007	Public Charity		A Falling Tide of Salt Lowers All Blood Pressures	7/20/2011	\$39,692.00
10-02463	Genesee Valley Group Health Association	205 Park Club Lane	Buffalo	NY	14221	Public Charity	Lifetime Health Medical Group	Meeting the Mark: Achieving Excellence in Diabetes Care	7/13/2011	\$16,000.00
1918816	Glens Falls Hospital, Inc	100 Park Street	Glens Falls	NY	12801-4413	Public Charity		Sustainable Strategies for Diabetes Self Management	6/13/2011	\$10,910.00
11-00368	Grantmakers for Effective Organizations	1725 DeSales Street, NW, Suite 404	Washington	DC	20036	Public Charity	GEO	Annual Membership Grant July 1, 2011 - June 30, 2012	9/28/2011	\$5,750.00
11-00367	Grantmakers in Health	1100 Connecticut Avenue, NW	Washington	DC	20036	Public Charity	GIH	Annual Membership Grant July 1, 2011 - June 30, 2012	9/28/2011	\$10,000.00
11-00163	Greater New York Hospital Foundation, Inc.	555 West 57th Street	New York	NY	10019	Public Charity	GNYHA Foundation	Improving Care Continuity in Hospital-sponsored Teaching Clinics	11/14/2011	\$153,306.00

Attachment B-4

Request Number	Organization Name	Address	City	State	Zip Code	Organization Type 1	Organization AKA Name	Project Title	Payment Date	Amount
10-00215	Greater New York Hospital Foundation, Inc.	555 West 57th Street	New York	NY	10019	Public Charity	GNVHA Foundation	Planning Grant Strengthening Care Management in Hospital-based Ambulatory Teaching Sites	6/21/2011	\$13,000.00
10-00364	Greenwich House	224 West 30th Street	New York	NY	10001	Public Charity	HACSO Community Center Inc	Strategic Alliance between Greenwich House and The Caring Community	9/1/2011	\$19,987.00
10-01998	Haitian American Cultural and Social Organization Inc	PO Box 477	Spring Valley	NY	10977-0477	Public Charity		Haitian Support Project	10/24/2011	\$5,000.00
2519738	Hartwick College	1 HARTWICK DR	ONEONTA	NY	13820-4000	Public Charity		Strengthening the Rural Nursing Workforce	7/15/2011	\$16,606.00
3253454	Health Association of Niagara County, Inc	1302 Main Street	Niagara Falls	NY	14301-1118	Public Charity	HANCI	Merging Agencies to Advance Disease Prevention in Niagara County	3/30/2011	\$15,959.00
10-01924	His Branches, Inc.	342 Arnett Blvd , Suite 1	Rochester	NY	14619-1147	Public Charity	HBI	Achieving Article 28 Designation, Phase 2	1/26/2011	\$8,550.00
2584737	Hudson Headwaters Health Network	One Broad Street Plaza	Glens Falls	NY	12801	Public Charity		Transitioning Elderly Patients from Hospital to Home	1/26/2011	\$113,166.35
11-02740	Hudson Headwaters Health Network	One Broad Street Plaza	Glens Falls	NY	12801	Public Charity	HHHN	Meeting the Mark Achieving Excellence in Diabetes Care	12/30/2011	\$20,000.00
11-02740	Hudson Headwaters Health Network	One Broad Street Plaza	Glens Falls	NY	12801	Public Charity	HHHN	Meeting the Mark Achieving Excellence in Diabetes Care	7/6/2011	\$5,000.00
11-02769	Hudson River HealthCare, Inc	1037 Main Street	Peekskill	NY	10566-2913	Public Charity	HRHCare	Meeting the Mark Achieving Excellence in Diabetes Care	7/6/2011	\$36,000.00
11-00312	IM Research and Service Corporation	744 Broadway	Albany	NY	12207-2331	Profit	New York Chapter, American College of Physicians	Improving Diabetes Care Among New York's Internal Medicine Physicians, Year 3	7/15/2011	\$84,468.00
3922590	IM Research and Service Corporation	744 Broadway	Albany	NY	12207-2331	Profit	New York Chapter, American College of Physicians (ACP)	Improving Diabetes Care Among New York's Internal Medicine Physicians, Year 2	6/22/2011	\$14,324.00

Attachment B-5

Request Number	Organization Name	Address	City	State	Zip Code	Organization Type 1	Organization AKA Name	Project Title	Payment Date	Amount
3922590	IM Research and Service Corporation	744 Broadway	Albany	NY	12207-2331	Profit	New York Chapter, American College of Physicians (ACP)	Improving Diabetes Care Among New York's Internal Medicine Physicians, Year 2	3/29/2011	\$24,289.00
11-00010	Institute for Community Living, Inc	40 Rector Street, 8th Floor	New York	NY	10006	Public Charity	ICF	Behavioral Health Medical Homes Building a Prototype for Service Integration and Care Coordination	11/22/2011	\$186,293.50
3014479	Institute for Family Health	16 East 16th Street	New York	NY	10003	Public Charity	Formerly the Institute for Urban Family Health	New York State Diabetes Campaign Leadership	2/15/2011	\$11,688.32
10-02384	Institute for Leadership Inc	245 West 135th Street	New York	NY	10030	Public Charity	IFL	New York State Faith-Based Diabetes Initiative - Year 2	10/20/2011	\$147,079.00
3429484	Institute for Leadership Inc	245 West 135th Street	New York	NY	10030	Public Charity	IFL	New York State Faith-Based Diabetes Initiative - Year 1	5/19/2011	\$5,433.20
10-02384	Institute for Leadership Inc	245 West 135th Street	New York	NY	10030	Public Charity	IFL	New York State Faith-Based Diabetes Initiative - Year 2	2/15/2011	\$113,750.00
10-02384	Institute for Leadership Inc	245 West 135th Street	New York	NY	10030	Public Charity	IFL	New York State Faith-Based Diabetes Initiative - Year 2	5/2/2011	\$183,850.00
10-02384	Institute for Leadership Inc	245 West 135th Street	New York	NY	10030	Public Charity	IFL	New York State Faith-Based Diabetes Initiative - Year 2	6/29/2011	\$183,849.00
10-02384	Institute for Leadership Inc.	245 West 135th Street	New York	NY	10030	Public Charity	IFL	New York State Faith-Based Diabetes Initiative - Year 2	1/18/2011	\$221,766.00
2510979	Iraq and Afghanistan Veterans of America Inc.	292 Madison Ave, 10th Floor	New York	NY	10017	Public Charity	IAVA	IAVA Veterans' Health Initiative	7/27/2011	\$3,750.00
2510979	Iraq and Afghanistan Veterans of America Inc.	292 Madison Ave, 10th Floor	New York	NY	10017	Public Charity	IAVA	IAVA Veterans' Health Initiative	5/19/2011	\$11,247.00
10-02648	Island Peer Review Organization, Inc.	1979 Marcus Ave	Lake Success	NY	11042	Public Charity	IPRO	Meeting the Mark Achieving Excellence in Diabetes Care	7/6/2011	\$44,000.00
1896999	Jencho Road Ministries, Inc	184 Barton Street	Buffalo	NY	14213	Public Charity		Diabetes Center of Community Excellence Evaluation of the NYSHealth Setting the Standard Initiative	3/30/2011	\$28,750.00
3429224	Joslyn Levy & Associates, LLC	501 Fifth Avenue, Suite 1702	New York	NY	10017	Profit			5/20/2011	\$44,999.00

Attachment B-6

Request Number	Organization Name	Address	City	State	Zip Code	Organization Type 1	Organization AKA Name	Project Title	Payment Date	Amount
11-00200	Keuka Family Practice Associates, LLP	7573 State Route 54	Bath	NY	14810	Profit		Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	7/6/2011	\$2,000.00
11-00361	Lansingburgh Family Practice	315 Second Avenue	Troy	NY	12182	Profit		Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	11/22/2011	\$2,000.00
11-00361	Lansingburgh Family Practice	315 Second Avenue	Troy	NY	12182	Profit		Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	8/3/2011	\$500.00
3034743	Lewin Group, Inc., The	3130 Fairview Park Drive, Suite 800	Falls Church	VA	22042	Profit		Bending the Cost Curve in New York	1/26/2011	\$99,995.00
11-00330	LIPIX, Inc	347 W 36th Street, Suite 201	New York	NY	10018	Public Charity		Meeting the Mark Achieving Excellence in Diabetes Care	9/30/2011	\$42,000.00
10-02521	Long Island Home	400 Sunrise Highway	Amityville	NY	11701	Public Charity	South Oaks Hospital	Planning for the Integration of Mental Health Services in Pediatric Care	5/17/2011	\$62,208.00
11-00224	Manatt Health Solutions	7 Times Square	New York	NY	10036	Profit	MHS	The Development of Accountable Care Organizations Implications for New York State	9/1/2011	\$8,000.00
11-00224	Manatt Health Solutions	7 Times Square	New York	NY	10036	Profit	MHS	The Development of Accountable Care Organizations Implications for New York State	4/11/2011	\$32,000.00
10-00389	Manhattan Institute for Policy Research, Inc	52 Vanderbilt Avenue	New York	NY	10017-3808	Public Charity	MI	Assessing Retail Health Clinics as a Cost Containment Strategy in New York	5/10/2011	\$15,345.25
10-02433	Manhattan Institute for Policy Research, Inc	52 Vanderbilt Avenue	New York	NY	10017-3808	Public Charity	MI	Developing a Framework for New York's Insurance Exchange	9/6/2011	\$8,242.92
11-00225	Marcellus Family Medicine	28 1/2 E Main St.	Marcellus	NY	13108	Profit		Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	5/25/2011	\$1,000.00
11-00225	Marcellus Family Medicine	28 1/2 E Main St.	Marcellus	NY	13108	Profit		Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	10/24/2011	\$4,000.00

Attachment B-7

Request Number	Organization Name	Address	City	State	Zip Code	Organization Type 1	Organization AKA Name	Project Title	Payment Date	Amount
3107261	Mathematica Policy Research, Inc.	600 Maryland Avenue, SW, Suite 550	Washington	DC	20024-2512	Profit		Reducing Hospital Readmissions in New York State	12/8/2011	\$128,750.23
3107261	Mathematica Policy Research, Inc.	600 Maryland Avenue, SW, Suite 550	Washington	DC	20024-2512	Profit		Reducing Hospital Readmissions in New York State	1/26/2011	\$80,455.00
10-02055	Medicare Rights Center, Inc.	520 8th Avenue, North Wing, 3rd Floor	New York	NY	10018	Public Charity		Creating Seamless Coverage for Elderly and Disabled New Yorkers	1/26/2011	\$156,476.00
10-01914	Memorial Sloan-Kettering Cancer Center	1275 York Avenue - Box 701	New York	NY	10065	Public Charity		Food for Health Program	4/7/2011	\$195,159.00
3922100	Mental Health Association of New York City, Inc.	50 Broadway, 19th Floor	New York	NY	10004	Public Charity	mhaofnyc	A Voice for Improving Mental Health Care for Veterans and Their Families	8/5/2011	\$31,254.00
10-02166	Monroe Plan for Medical Care	1120 Pittsford-Victor Road,	Pittsford	NY	14534	Public Charity		Meeting the Mark: Achieving Excellence in Diabetes Care	9/22/2011	\$14,000.00
3099506	Mount Sinai School of Medicine - Center to Advance Palliative Care	1255 Fifth Avenue, Suite C-2	New York	NY	10029	Public Charity	Center to Advance Palliative Care (CAPC)	Providing Technical Assistance to Expand Palliative Care in New York State Hospitals	12/7/2011	\$125,000.00
2505002	Nassau Health Care Corporation, The	2201 Hempstead Turnpike	East Meadow	NY	11554	Public/Government		NuCare Coordinated Care for the Medically Underserved	5/20/2011	\$56,170.00
11-00276	National Association of Social Workers (New York State Chapter)	188 Washington Avenue	Albany	NY	12210	Public Charity	NASW-NYS	Veterans Mental Health Training Initiative	8/3/2011	\$120,000.00
11-00311	National Council on Aging	1901 L Street	Washington	DC	20036	Public Charity	NCOA	Self-Care Management Alliance	8/1/2011	\$40,020.00
3254348	National Multiple Sclerosis Society, New York City Chapter	733 Third Avenue, 3rd Floor	New York	NY	10017-3288	Public Charity		Merger of the New York City (NYC) and Southern New York Chapters of the National Multiple Sclerosis Society	1/26/2011	\$19,550.00
3153808	National Opinion Research Center	4350 East West Highway	Bethesda	MD	20814	Public Charity	NORC	Survey of Employer Health Benefits in New York, 2009	1/26/2011	\$40,000.00
11-00249	National Urban Fellows, Inc.	102 West 38th Street, 7th Floor	New York	NY	10018	Public Charity	NUF	Internship of the National Urban Fellows, Class of 2012	9/1/2011	\$56,000.00
10-01960	National Urban Fellows, Inc.	102 West 38th Street, 7th Floor	New York	NY	10018	Public Charity	NUF	Internships of the National Urban Fellows, Class of 2011	6/16/2011	\$28,000.00

Attachment B-8

Request Number	Organization Name	Address	City	State	Zip Code	Organization Type 1	Organization AKA Name	Project Title	Payment Date	Amount
11-02788	NDRI State, Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Public Charity	NDRI State, Inc.	NYSHealth's CEIC Tracking Progress in NYS Addiction & Mental Health Providers' Provision of Outpatient Integrated Care	5/17/2011	\$70,631.00
11-02788	NDRI State, Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Public Charity	NDRI State, Inc.	NYSHealth's CEIC Tracking Progress in NYS Addiction & Mental Health Providers' Provision of Outpatient Integrated Care	10/26/2011	\$70,631.00
3426912	NDRI State, Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Public Charity	National Development and Research Institutes Inc	Expanding Technical Assistance Support and Reach of NYHealth Center for Excellence in Integrated Care (CEIC)	1/27/2011	\$110,643.00
2496857	NDRI State, Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Public Charity	National Development and Research Institutes Inc	People First Ctr of Excellence - Integration of Care for Individuals with Co-Occurring Mental Health & Substance Abuse	1/27/2011	\$800,360.00
11-02721	New York Academy of Medicine	1216 Fifth Avenue	New York	NY	10029	Public Charity	NYAM	Focusing on Health An Evaluation of Faith Based Diabetes Programming	4/7/2011	\$47,646.00
11-00267	New York Academy of Medicine	1216 Fifth Avenue	New York	NY	10029	Public Charity	NYAM	Implementing the Affordable Care Act in New York A Roadmap for Improving Prevention & Population Health	5/25/2011	\$78,165.00
10-02552	New York Association for Brain Injured Children	1190 Troy Scheneclady Road	Latham	NY	12110	Public Charity	d/b/a Learning Disabilities Association of New York State	Preventing Fetal Alcohol Spectrum Disorders	5/20/2011	\$24,114.00
2354531	New York City Alliance Against Sexual Assault	32 Broadway, Suite 1101	New York	NY	10004	Public Charity		SAFE NYC Quality Care for Assault Victims	5/25/2011	\$35,361.00
11-00286	New York City Health and Hospitals Corporation	346 Broadway, Rm 1115	New York	NY	10013	Public/Government	HHC	An Evaluation of the WeCOACH (Community Older Adults Care About Their Health) Initiative	8/1/2011	\$52,000.00
1909890	New York City Health and Hospitals Corporation - Bellevue Hospital Center	462 First Avenue	New York	NY	10016	Public/Government	Bellevue Hospital Center	Improving Care and Reducing Hospital Admissions and Costs for Patients at High Risk of Frequent Hospital Admissions	7/18/2011	\$125,000.00

Attachment B-9

Request Number	Organization Name	Address	City	State	Zip Code	Organization Type 1	Organization AKA Name	Project Title	Payment Date	Amount
11-00394	New York Immigration Coalition, Inc	137-139 West 25th Street, 12th Floor	New York	NY	10001	Public Charity	The New York Immigration Coalition	Implementing Health Reform in New York Maximizing Coverage for Immigrants	10/27/2011	\$60,000.00
10-02567	New York Lawyers for the Public Interest, Inc	151 West 30th Street, 11th Floor	New York	NY	10001-4017	Public Charity	NYLPI	Healthy Schools Increasing and Improving Citizen Participation in NYC School Siting Process	11/18/2011	\$187,881.00
3176349	New York State Dental Foundation	20 Corporate Woods Boulevard, Suite 602	Albany	NY	12211	Public Charity		Donated Dental Services	9/1/2011	\$45,000.00
11-00043	New York State Unified Court System	25 Beaver Street, 8th Floor	New York	NY	10004	Public/Government		Resolving Medical Malpractice Cases with Judge-Directed Negotiation Upstate Expansion	9/2/2011	\$109,997.00
3878459	New York State YMCA Foundation	33 Elk Street, Suite 200	Albany	NY	12207-1062	Public Charity	YMCA	Implementing the YMCA Diabetes Prevention Program Across New York State	5/25/2011	\$60,375.00
2946572	New York University - College of Dentistry	345 East 24th Street, 1037W	New York	NY	10010	Public Charity	College of Dentistry	Columbia County Oral Health Intervention Program	9/2/2011	\$39,536.00
10-00139	New York University - College of Nursing	726 Broadway, 10th Floor	NY	NY	10003	Public Charity	NICHE - NYU College of Nursing	Expanding the Nurses Improving Care for Health System Elders (NICHE) Program to Rural New York State Hospitals	7/15/2011	\$76,386.00
11-02792	New York University School of Medicine	550 First Avenue	New York	NY	10016	Public Charity	NYU	Evaluation Technical Assistance for NYSHealth Grantees and Applicants Phase 3	12/7/2011	\$133,739.00
11-00389	Northeast Business Group on Health	61 Broadway, Suite 2705	New York	NY	10006	Public Charity	NEBGH (formerly New York Business Group on Health)	Creating a Worksite Wellness Center with a "Stop Diabetes" Emphasis	12/7/2011	\$79,897.00
11-00287	Northeast Community Council, Inc	51 South Center Street	Millerton	NY	12546	Public Charity	North East Community Center	"Freedom Bus" Eastern Dutchess Medical Transportation	10/24/2011	\$56,621.00
3281728	Northeast Health, Inc.	2212 Burdett Avenue	Troy	NY	12180-2401	Public Charity		Merger of Northeast Health, St. Peter's Health Care Services, and Seton Health	3/30/2011	\$40,000.00

Attachment B-10

Request Number	Organization Name	Address	City	State	Zip Code	Organization Type 1	Organization AKA Name	Project Title	Payment Date	Amount
2534737	Northern New York Rural Behavioral Health Institute Inc	P.O. Box 891	Saranac Lake	NY	12983-0891	Public Charity		HealthNet Mental Health Services for North Country Elders	12/19/2011	\$39,589.00
3254860	Northern Oswego County Health Services, Inc	61 Delano Street	Pulaski	NY	13142-1400	Public Charity		Consolidating the Oswego Primary Care Safety Net	6/9/2011	\$100,000.00
2533221	Northwest Buffalo Community Health Care Center, Inc	155 Lawn Avenue	Buffalo	NY	14207	Public Charity		Integrating Mental Health Services into a Primary Care Setting	6/21/2011	\$47,943.00
11-00278	One River Grants, Inc	8 Winter Street, 1st Floor	Troy	NY	12180	Profit		Grant Writing Assistance in Response to the Medicaid Incentives for Prevention of Chronic Diseases (MIPCD)	4/19/2011	\$20,000.00
11-00009	P2 Collaborative of Western New York, Inc	6225 Shendan Drive, Suite 206	Williamsville	NY	14221	Public Charity		Meeting the Mark Achieving Excellence in Diabetes Care	8/1/2011	\$52,500.00
11-00366	Philanthropy New York	79 Fifth Avenue, 4th Floor	New York	NY	10003-3076	Public Charity	Formerly the New York Regional Association of Grantmakers (NYRAG)	Annual Membership Grant July 1, 2011 - June 30, 2012	9/28/2011	\$18,100.00
2491623	Planned Parenthood of New York City, Inc.	26 BLEECKER ST	NEW YORK	NY	10012-2413	Public Charity		Expanding Access to Care on Staten Island for Young Adults	12/29/2011	\$10,707.00
2403511	Primary Care Development Corporation	22 Cortlandt Street, 12th Floor	New York	NY	10007-3107	Public Charity		Assessment of the Financial Viability of NYS Health Centers	3/10/2011	\$59,000.00
10-02685	Prime Care Physicians PLLC	4 Atrium Drive	Albany	NY	12205	Profit		Meeting the Mark Achieving Excellence in Diabetes Care	12/5/2011	\$16,500.00
11-00110	Project Equinox, Inc.	95 Central Avenue	Albany	NY	12206	Public Charity	Equinox, Inc	Equinox and Clearview Agency Merger	12/14/2011	\$150,000.00
11-00318	Project HOPE-The People-to-People Health Foundation	7500 Old Georgetown Rd., suite 600	Bethesda	MD	20814	Public Charity	Health Affairs	Sponsoring Health Affairs Theme Issues on Diabetes and Insurance Exchanges	8/1/2011	\$120,000.00
3036664	Public Health Solutions	40 Worth Street, Fifth Floor	New York	NY	10013-2988	Public Charity	formerly Medical and Health Research Association of New York City, Inc.	Applying Technology to Increase Health Insurance Enrollment	5/20/2011	\$15,000.00
10-02414	Queens Library Foundation, Inc.	89-11 Merrick Boulevard	Jamaica	NY	11432-5242	Public Charity		Queens ConnectCare	6/3/2011	\$125,000.00

Attachment B-11

Request Number	Organization Name	Address	City	State	Zip Code	Organization Type 1	Organization AKA Name	Project Title	Payment Date	Amount
2753596	RAND Corporation	P O. Box 2138	Santa Monica	CA	90407-2138	Public Charity		A Needs Assessment of Returning Veterans and Their Families in New York State	2/25/2011	\$284,950.00
3920345	Research & Education Foundation of the New York State Academy of Family Physicians	260 Osborne Road	Loudonville	NY	12211-1822	Public Charity	New York State Academy of Family Physicians (AFP)	Improving Diabetes Care Among New York's Family Physicians	6/16/2011	\$4,767.69
2405826	Research Foundation for Mental Hygiene, Inc	1051 Riverside Drive, Unit 33, Suite 1914	New York	NY	10032	Public Charity	RFMH at New York State Psychiatric Institute	Critical Time Intervention for Frequent Users of the Comprehensive Psychiatric Emergency Programs	12/23/2011	\$102,996.26
2044074	Research Foundation of the City University of New York (CUNY) - Hunter College of New York	425 E. 25th St	New York	NY	10010	Public Charity	Hunter College of New York	CUNY Campaign Against Diabetes	7/27/2011	\$45,000.00
2038428	Research Foundation of the City University of New York (CUNY) - Lehman College	250 Bedford Park Blvd West, Shuster Hall 303	Bronx	NY	10468	Public/Government	on behalf of Lehman College	CUNY-Community Partnership for Public Health (CCPPH)	10/13/2011	\$126,904.52
11-00274	Riverdale Family Medical Practice	3125 Tibbett Avenue	Bronx	NY	10463	Profit	Riverdale Family Practice	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	7/13/2011	\$3,500.00
11-00289	Robert Morrow Family Practice	5997 Riverdale Avenue	Bronx	NY	10471	Profit		Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	7/13/2011	\$500.00
2967183	Rochester General Hospital	100 Kings Park South	Rochester	NY	14617	Public Charity		Refugee Healthcare Project	9/1/2011	\$33,525.60
11-00250	Rochester General Hospital	100 Kings Park South	Rochester	NY	14617	Public Charity		Refugee Healthcare Project	9/1/2011	\$5,000.00
10-01954	Rochester Institute of Technology	116 Lomb Memorial Drive	Rochester	NY	14623	Public Charity	RIT	Using Technology to Improve Scheduling Efficiencies in Home Care	1/26/2011	\$183,247.00
10-01897	RotaCare, Inc	c/o A Holly Patterson, 875 Jerusalem Avenue	Uniondale	NY	11553	Public Charity	RotaCare, Inc	Quality Assurance Development Process	11/22/2011	\$25,000.00
11-00141	Safe Space NYC, Inc.	89-74 162 Street	Jamaica	NY	11432	Public Charity	Safe Space	Seen and Heard Sustaining a Clinical Response Model to Childhood Trauma	12/9/2011	\$58,279.50
1998118	Schenectady Inner City Ministry	930 Albany Street	Schenectady	NY	12307-1514	Public Charity		Spiritually Sound - Physically Fit	7/27/2011	\$23,000.00
11-00319	Southwestern Medical Associates	3671 Southwestern Blvd, Suite 101	Orchard Park	NY	14127	Profit		Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	6/13/2011	\$1,000.00

Attachment B-12

Request Number	Organization Name	Address	City	State	Zip Code	Organization Type 1	Organization AKA Name	Project Title	Payment Date	Amount
11-00319	Southwestern Medical Associates	3671 Southwestern Blvd., Suite 101	Orchard Park	NY	14127	Profit		Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	10/24/2011	\$4,000.00
3253435	Sunset Park Health Council, Inc	150 55th Street, Station 20	Brooklyn	NY	11220	Public Charity	Lutheran Family Health Centers	Dental Clinic Preservation and Expansion	9/1/2011	\$40,000.00
11-00018	SUNY - University at Albany - The Nelson A. Rockefeller Institute of Government	411 State Street	Albany	NY	12203-1003	Public Charity	Nelson A. Rockefeller Institute of Government, Health Policy Research Center	Spending and Government Efficiency in Health Care Delivery	3/14/2011	\$56,210.00
3343785	SUNY - University at Albany - The Nelson A. Rockefeller Institute of Government	411 State Street	Albany	NY	12203-1003	Public Charity	Nelson A. Rockefeller Institute of Government, Health Policy Research Center	Reducing Variation in Medicaid Asset Transfer Rates Phase 2	1/26/2011	\$19,935.00
3426591	Syracuse University	Office of Sponsored Programs, 113 Bowne Hall	SYRACUSE	NY	13244-1200	Public Charity	Maxwell School of Citizenship and Public Affairs	Spreading Best Practices to Reduce Geographic Variation and Health Care Costs in New York State	9/6/2011	\$10,557.17
10-01950	Taconic Health Information Network and Community, Inc (THINC)	300 Westage Business Center Drive, Ste 320	Fishkill	NY	12524	Public Charity	THINC, Inc.	Planning Grant Supporting the Development of Accountable Care Organizations in the Hudson Valley	12/29/2011	\$26,268.00
10-01847	The Hospital Educational and Research Fund, Inc	One Empire Drive	Rensselaer	NY	12144	Public Charity	Healthcare Association of New York State (HANYS)	Improving Diabetes Outcomes at New York State Hospital Outpatient Clinics - Year 2	9/28/2011	\$153,002.00
2531737	The Hospital Educational and Research Fund, Inc	One Empire Drive	Rensselaer	NY	12144	Public Charity	Healthcare Association of New York State (HANYS)	Electronic Fetal Monitoring Improving Birth Outcomes in New York State	8/18/2011	\$95,177.00
3014648	The Hospital Educational and Research Fund, Inc	One Empire Drive	Rensselaer	NY	12144	Public Charity	Healthcare Association of New York State (HANYS)	Improving Diabetes Outcomes in New York State Hospital Outpatient Clinics - Year 1	5/19/2011	\$79,887.00
11-00854	The Hospital Educational and Research Fund, Inc.	One Empire Drive	Rensselaer	NY	12144	Public Charity	Healthcare Association of New York State (HANYS)	Improving Diabetes Outcomes at New York State Hospital Outpatient Clinics - Year 3	12/23/2011	\$507,534.00

Attachment B-13

Request Number	Organization Name	Address	City	State	Zip Code	Organization Type 1	Organization AKA Name	Project Title	Payment Date	Amount
11-00283	Tides Center	1014 Torrey Avenue	San Francisco	CA	94129	Public Charity		Creating a "First-Class" User Experience for Insurance Exchanges	8/3/2011	\$120,000.00
10-02024	United Hospital Fund of New York	1411 Broadway, 12FL	New York	NY	10018	Public Charity	UHF	Implementing Health Reform Immediate Issues and Options in Designing New York's Insurance Exchange	6/15/2011	\$124,000.00
11-00410	United Hospital Fund of New York	1411 Broadway, 12FL	New York	NY	10018	Public Charity	UHF	Implementing Health Reform Addressing Issues of Benefits, Provider Networks, and Market Structures	11/30/2011	\$199,616.00
10-00248	United Neighborhood Houses of New York Inc.	70 West 36th Street, 5th Floor	New York	NY	10018	Public Charity	UNH	Cooking for Healthy Communities	6/21/2011	\$15,000.00
10-01880	Universal Fellowship of Metropolitan Community Churches	446 West 36th Street	New York	NY	10018	Public Charity	Metropolitan Community Church of New York, MCCNY Charities	Preserving and Transitioning Homeless Youth and Adolescent Services	3/30/2011	\$12,730.00
10-01880	Universal Fellowship of Metropolitan Community Churches	446 West 36th Street	New York	NY	10018	Public Charity	Metropolitan Community Church of New York, MCCNY Charities	Preserving and Transitioning Homeless Youth and Adolescent Services	12/19/2011	\$9,548.00
10-01880	Universal Fellowship of Metropolitan Community Churches	446 West 36th Street	New York	NY	10018	Public Charity	Metropolitan Community Church of New York, MCCNY Charities	Preserving and Transitioning Homeless Youth and Adolescent Services	6/16/2011	\$12,730.00
10-01880	Universal Fellowship of Metropolitan Community Churches	446 West 36th Street	New York	NY	10018	Public Charity	Metropolitan Community Church of New York, MCCNY Charities	Preserving and Transitioning Homeless Youth and Adolescent Services	12/29/2011	\$3,182.00
11-02713	University of Michigan	Dept of Medical Education	Ann Arbor	MI	48109-5201	Public/Government	U of M	Faith-Based Diabetes Initiative Volunteer and Participant Surveys	12/7/2011	\$9,931.05
11-02713	University of Michigan	Dept of Medical Education	Ann Arbor	MI	48109-5201	Public/Government	U of M	Faith-Based Diabetes Initiative Volunteer and Participant Surveys	3/14/2011	\$42,113.00
2497383	University of Rochester	601 Elmwood Ave	Rochester	NY	14642	Public Charity		Health-e-Access Optimizing Access to Avoid Emergency Department Use	6/15/2011	\$159,071.00
3169858	University of Rochester - Starlight Pediatrics	601 Elmwood Avenue Box 777 Pediatrics	Rochester	NY	14642	Public Charity	Starlight Pediatrics	Fostering Connections	6/21/2011	\$150,070.00

Attachment B-14

Request Number	Organization Name	Address	City	State	Zip Code	Organization Type 1	Organization AKA Name	Project Title	Payment Date	Amount
11-00220	Uptown Medical	305 E 149th St.	Bronx	NY	10451	Profit		Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	8/12/2011	\$500.00
11-02841	Veterans Outreach Center, Inc.	459 South Avenue	Rochester	NY	14620-1020	Public Charity	VOC	Serving Service Members Replicating & Spreading Best Practices for Community-based Services for Vets and Their Families	5/10/2011	\$77,268.00
11-02841	Veterans Outreach Center, Inc.	459 South Avenue	Rochester	NY	14620-1020	Public Charity	VOC	Serving Service Members Replicating & Spreading Best Practices for Community-based Services for Vets and Their Families	12/23/2011	\$19,318.00
11-00838	Wakely Consulting Group	9777 Pyramid Ct	Englewood	CO	80112	Profit		Health Reform Implementing Risk Adjustment in the New York Context	12/5/2011	\$10,000.00
11-00417	Welsh Analytics LLC	1287 Garner Avenue	Schenectady	NY	12309	Profit	Welsh Analytics	Technical Assistance to Medicaid Redesign Team Brooklyn Work Group	9/29/2011	\$32,500.00
3178829	William F Ryan Community Health Center Inc.	110 West 97th Street	New York	NY	10025-6450	Public Charity		Thelma C. Davidson Adair Community Health Center Acquisition	6/21/2011	\$40,000.00
10-02508	William F Ryan Community Health Center Inc.	110 West 97th Street	New York	NY	10025-6450	Public Charity		Training Primary Care Doctors in Community Health Settings	5/17/2011	\$176,287.00
11-00268	Winthrop-University Hospital Association	222 Station Plaza North Suite 605	Mineola	NY	11501	Public Charity		Meeting the Mark Achieving Excellence in Diabetes Care	12/6/2011	\$15,000.00
TOTAL										\$12,084,582.56

Attachment B-15

Grants Payable FYE 2011											
Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
10-00209	Active Minds, Inc	\$125,000.00	6/29/2012	\$31,250.00	Active Minds	2647 Connecticut Ave, NW #200	Washington	DC	20008	Non-Profit	Changing the Conversation about Mental Health on New York State College Campuses
11-02820	Affinity Health Plan Inc	\$96,140.00	12/15/2011	\$19,228.00	Coalition of New York State Public Health Plans (fiscal intermediary)	2500 Halsey Street	Bronx	NY	10461	Non-Profit	Maintaining Continuity of Coverage for Low-Income New Yorkers
11-00310	American Cancer Society - Eastern Division (Albany)	\$300,027.00	9/30/2014	\$75,006.00	ACS	19 Dove Street, Suite 103	Albany	NY	12210	Non-Profit	Protecting Consumer Interests in New York State's Implementation of Federal Health Care Reform
11-00310	American Cancer Society - Eastern Division (Albany)	\$300,027.00	8/30/2013	\$75,007.00	ACS	19 Dove Street, Suite 103	Albany	NY	12210	Non-Profit	Protecting Consumer Interests in New York State's Implementation of Federal Health Care Reform
11-00412	Amida Care, Inc	\$75,000.00	11/30/2012	\$37,500.00		248 West 35th Street, 7th Floor	New York	NY	10001	Non-Profit	Access to Care AmidaCONNECT
2976249	Associated Community Action of the Northeast Adirondack Region, Inc	\$50,000.00	2/28/2011	\$10,000.00	Smith House Health Care Center	39 Farrell Road	Willsboro	NY	12996-3904	Non-Profit	Creating Primary Care Access in Dannemora

Attachment C-1

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
3923259	Brooklyn Community Foundation	\$100,000 00	1/3/2012	\$20,000 00		45 Main Street, Suite 409	Brooklyn	NY	11201	Non-Profit	New York City Haitian Community Hope and Healing Fund
10-02193	Brooklyn Hospital Center	\$25,000 00	6/21/2012	\$20,000 00		121 DeKalb Avenue	Brooklyn	NY	11201	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care
3259083	Brownsville Community Development Corporation	\$249,800 00	7/31/2011	\$49,960.00		592 ROCKAWAY AVE	BROOKLYN	NY	11212-5539	Non-Profit	Preserving and Expanding Primary Care Access in Brooklyn
10-02175	CapitalCare Medical Group	\$82,500 00	6/20/2014	\$66,000 00		501 New Karner Road	Albany	NY	12205	Profit	Meeting the Mark Achieving Excellence in Diabetes Care

Attachment C-2

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
11-00558	Center for Excellence in Health Care Journalism	\$30,000.00	6/15/2012	\$5,000.00		10 Neff Hall	Columbia	MO	65211	Non-Profit	2012 New York Health Journalism Fellowships
1905622	Center for Health Care Strategies, Inc	\$322,563.00	6/30/2012	\$80,640.00		200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	Non-Profit	Reframing Care for New York's High-Opportunity Patients
3403962	Center for Health Care Strategies, Inc	\$217,043.00	11/30/2011	\$43,408.00		200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	Non-Profit	Evaluation Technical Assistance for NYHealth Grantees and Applicants Phase 2
11-00326	Center for Health Care Strategies, Inc	\$118,418.00	8/30/2012	\$23,663.00	CHCS	200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	Non-Profit	Increasing Primary Care Rates and Access to Medicaid Providers in New York State
12-00984	Center for Health Care Strategies, Inc	\$10,000.00	11/30/2011	\$10,000.00		200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	Non-Profit	Evaluation Technical Assistance for NYHealth Grantees and Applicants Phase 2
10-02379	Central Brooklyn Medical Group, PC	\$100,000.00	5/31/2013	\$80,000.00	Preferred Health Partners	32 Court St	Brooklyn	NY	11201	Profit	Meeting the Mark Achieving Excellence in Diabetes Care
10-00201	Coalition of Voluntary Mental Health, Mental Retardation & Alcoholism	\$350,456.00	12/31/2012	\$87,614.00	Coalition of Behavioral Health Agencies, Inc	90 Broad Street, 8th Floor	New York	NY	10004	Non-Profit	Reengineering the Business Model for Mental Health Clinics
10-02050	Columbia University - College of Dental Medicine	\$200,065.00	6/29/2012	\$40,013.00		630 West 168th Street, P&S Box 20	New York	NY	10032	Non-Profit	Integrating Diabetes Screening in Dental Care Settings

Attachment C-3

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
2553196	Columbia University - Mailman School of Public Health	\$196,709 00	4/28/2013	\$39,341 00	Mailman School of Public Health	722 West 168th Street	New York	NY	10032	Non-Profit	First Steps to Healthy Living Evaluation of New York State Early Childhood Obesity Prevention Programs
2967543	Columbia University - Mailman School of Public Health	\$490,363 00	3/30/2012	\$122,590 00	Mailman School of Public Health	722 West 168th Street	New York	NY	10032	Non-Profit	Advancing and Sustaining the Community Health Worker Field in New York State
10-02512	Community Catalyst, Inc	\$75,181 00	6/29/2012	\$15,181 00	MergerWatch	475 Riverside Drive, Suite 1604	New York	NY	10025	Non-Profit	Lessons from New York State Best Practices Concerning Merging Religious and Secular Hospitals
11-00306	Community Health Care Association of New York State, Inc	\$201,467 00	1/31/2012	\$161,174 00	CHCANYS	535 Eighth Avenue, 8th Floor	New York	NY	10018	Non-Profit	Improving Diabetes Clinical Care at New York's Community Health Centers - Year 4
11-00306	Community Health Care Association of New York State, Inc	\$201,467 00	8/31/2012	\$40,293 00	CHCANYS	535 Eighth Avenue, 8th Floor	New York	NY	10018	Non-Profit	Improving Diabetes Clinical Care at New York's Community Health Centers - Year 4
11-00471	Community Health Care Association of New York State, Inc	\$201,258 00	1/31/2013	\$60,377 00	CHCANYS	535 Eighth Avenue, 8th Floor	New York	NY	10018	Non-Profit	Developing a Statewide Growth & Sustainability Plan for New York's Federally Qualified Health Centers

Attachment C-4

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
11-00471	Community Health Care Association of New York State, Inc	\$201,258.00	7/31/2012	\$60,377.00	CHCANYS	535 Eighth Avenue, 8th Floor	New York	NY	10018	Non-Profit	Developing a Statewide Growth & Sustainability Plan for New York's Federally Qualified Health Centers
2948889	Community Health Center of Buffalo, Inc	\$204,624.00	10/31/2011	\$40,925.00	dba Callen-Lorde Community Health Center	462 GRIDER ST	BUFFALO	NY	14215-3021	Non-Profit	Increasing Access to Primary Care in Niagara Falls
2934164	Community Health Project, Inc	\$300,000.00	4/15/2010	\$75,000.00	dba Callen-Lorde Community Health Center	356 West 18th Street	New York	NY	10011-4401	Non-Profit	Establishing On-Site Pharmacy Access at a Community Health Center
2934164	Community Health Project, Inc	\$300,000.00	6/30/2010	\$75,000.00	Community Health Center	356 West 18th Street	New York	NY	10011-4401	Non-Profit	Establishing On-Site Pharmacy Access at a Community Health Center
1896102	Dr. Martin Luther King, Jr. Health Center, Inc	\$340,631.00	2/28/2012	\$85,157.50		1265 Franklin Avenue	Bronx	NY	10456-3402	Non-Profit	The Intensive Wellness Program A Health and Mental Health Intervention for High Cost Patients Evaluating Patient-Centered Medical Home Pilot Project
2519928	Excelsus Health Plan, Inc.	\$300,000.00	1/11/2012	\$75,000.00		165 Court Street	Rochester	NY	14647	Non-Profit	Evaluating Patient-Centered Medical Home Pilot Project
2519928	Excelsus Health Plan, Inc	\$300,000.00	2/28/2013	\$75,000.00		165 Court Street	Rochester	NY	14647	Non-Profit	Evaluating Patient-Centered Medical Home Pilot Project

Attachment C-5

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
10-01932	Floating Hospital, Inc., The	\$238,524.00	2/19/2013	\$47,704.00	TFH	Grand Central Station PO Box 3391	New York	NY	10163-3391	Non-Profit	Expanding Primary Care Access for Public Housing Residents
11-02811	Foundation for Long Term Care, Inc	\$104,592.00	2/28/2013	\$20,918.00	FLTC	150 State Street, Suite 301	Albany	NY	12207-1626	Non-Profit	Statewide Replication of Exceptional Care Planning in Nursing Homes
10-02476	Foundation for Quality Care, Inc	\$300,000.00	12/14/2012	\$75,000.00		33 Elk Street, Suite 300	Albany	NY	12207-1062	Non-Profit	Implementation of the Gold STAMP Program to Reduce Pressure Ulcers in New York State
10-02476	Foundation for Quality Care, Inc.	\$300,000.00	12/13/2013	\$75,000.00		33 Elk Street, Suite 300	Albany	NY	12207-1062	Non-Profit	Implementation of the Gold STAMP Program to Reduce Pressure Ulcers in New York State
10-01785	Fund for Public Health in New York	\$131,195.00	2/24/2012	\$26,239.00		22 Corlandt Street, 11th Floor, Suite 1103	New York	NY	10007	Non-Profit	NYC Nurse-Family Partnership Medicaid Billing Project
10-02168	Fund for Public Health in New York	\$75,000.00	6/21/2013	\$60,000.00		22 Corlandt Street, 11th Floor, Suite 1103	New York	NY	10007	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care
10-02463	Genesee Valley Group Health Association	\$80,000.00	8/31/2012	\$64,000.00	Lifetime Health Medical Group	205 Park Club Lane	Buffalo	NY	14221	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care
11-00163	Greater New York Hospital Foundation, Inc	\$306,613.00	11/29/2013	\$76,653.00	GNVHA Foundation	555 West 57th Street 15th Floor	New York	NY	10019	Non-Profit	Improving Care Continuity in Hospital-sponsored Teaching Clinics

Attachment C-6

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
11-00163	Greater New York Hospital Foundation, Inc	\$306,613 00	1/31/2013	\$76,654 00	GNVHA Foundation	555 West 57th Street 15th Floor	New York	NY	10019	Non-Profit	Improving Care Continuity in Hospital-sponsored Teaching Clinics
10-01924	His Branches, Inc	\$10,687 00	11/1/2011	\$2,137 00	HBI	342 Amelt Blvd., Suite 1	Rochester	NY	14619-1147	Non-Profit	Achieving Article 28 Designation, Phase 2
10-00206	Home Aide Services of Eastern NY	\$269,426 00	1/31/2013	\$53,885 00	Eddy Visiting Nurse Association	433 River Street, Suite 3000	Troy	NY	12180	Non-Profit	Transforming Home-Based Chronic Care Management Preserving Community Based Primary Care & Residency Training in Yonkers
10-00329	Hudson River HealthCare, Inc	\$150,000 00	8/31/2011	\$30,000 00		1037 Main Street	Peekskill	NY	10566-2913	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care
11-02769	Hudson River HealthCare, Inc	\$180,000 00	8/30/2012	\$144,000.00	HRH-Care New York Chapter, American College of Physicians	1037 Main Street	Peekskill	NY	10566-2913	Non-Profit	Improving Diabetes Care Among New York's Internal Medicine Physicians, Year 3
11-00312	IM Research and Service Corporation	\$105,565 00	6/29/2012	\$21,117 00		744 Broadway	Albany	NY	12207-2331	Profit	Integrated Wellness Improving the Assessment and Management of Type II Diabetes in Adults with Serious Mental Illness
1920882	Institute for Community Living, Inc	\$572,419 00	6/30/2011	\$143,104 00	c/o Integrated Wellness Partners	40 Rector Street, 8th Floor	New York	NY	10006	Non-Profit	

Attachment C-7

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
11-00010	Institute for Community Living, Inc.	\$372,587.00	3/29/2013	\$93,146.75	ICF	40 Reclor Street, 8th Floor	New York	NY	10006	Non-Profit	Behavioral Health Medical Homes Building a Prototype for Service Integration and Care Coordination
11-00010	Institute for Community Living, Inc.	\$372,587.00	11/29/2013	\$93,146.75	ICF	40 Reclor Street, 8th Floor	New York	NY	10006	Non-Profit	Behavioral Health Medical Homes Building a Prototype for Service Integration and Care Coordination
10-02384	Institute for Leadership Inc	\$887,064.00	12/30/2011	\$36,770.00	IFL	245 West 135th Street	New York	NY	10030	Non-Profit	New York State Faith-Based Diabetes Initiative - Year 2
11-00832	Institute for Leadership Inc	\$513,244.00	1/27/2012	\$122,686.00	IFL	245 West 135th Street	New York	NY	10030	Non-Profit	New York State Diabetes Campaign Faith-Based Initiative - Year 3
11-00832	Institute for Leadership Inc	\$513,244.00	4/27/2012	\$122,686.00	IFL	245 West 135th Street	New York	NY	10030	Non-Profit	New York State Diabetes Campaign Faith-Based Initiative - Year 3
11-00832	Institute for Leadership Inc	\$513,244.00	7/27/2012	\$122,686.00	IFL	245 West 135th Street	New York	NY	10030	Non-Profit	New York State Diabetes Campaign Faith-Based Initiative - Year 3
11-00832	Institute for Leadership Inc	\$513,244.00	10/29/2012	\$98,149.00	IFL	245 West 135th Street	New York	NY	10030	Non-Profit	New York State Diabetes Campaign Faith-Based Initiative - Year 3

Attachment C-8

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
11-00832	Institute for Leadership Inc	\$513,244.00	2/28/2013	\$24,537.00	IFL	245 West 135th Street	New York	NY	10030	Non-Profit	New York State Diabetes Campaign Faith-Based Initiative - Year 3
11-00832	Institute for Leadership Inc	\$513,244.00	1/27/2012	\$22,500.00	IFL	245 West 135th Street	New York	NY	10030	Non-Profit	New York State Diabetes Campaign Faith-Based Initiative - Year 3
10-02648	Island Peer Review Organization, Inc.	\$220,000.00	8/31/2012	\$176,000.00	IPRO	1979 Marcus Ave	Lake Success	NY	11042	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care
10-01876	Joseph P. Addabbo Family Health Center, Inc	\$275,000.00	9/28/2012	\$55,000.00		6200 Beach Channel Drive	Arverne	NY	11692	Non-Profit	Expanding Primary Care Capacity in Queens
11-00200	Keuka Family Practice Associates, LLP	\$10,000.00	2/28/2013	\$8,000.00		7573 State Route 54	Bath	NY	14810	Profit	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices
11-00330	LIPIX, Inc	\$210,000.00	11/15/2012	\$168,000.00		347 W 36th Street, Suite 201	New York	NY	10018	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care
10-02521	Long Island Home	\$77,761.00	7/31/2012	\$15,553.00	South Oaks Hospital Low Income Investment Fund	400 Sunrise Highway	Amityville	NY	11701	Non-Profit	Planning for the Integration of Mental Health Services in Pediatric Care
10-01783	Low Income Investment Fund	\$300,000.00	11/29/2013	\$60,000.00		350 Broadway, Suite 701	New York	NY	10013	Non-Profit	Developing Supermarkets in Low Income Communities
10-02099	Mathematica Policy Research, Inc	\$146,454.00	2/29/2012	\$29,290.00	Mathematica	600 Maryland Avenue, SW, Suite 550	Washington	DC	20024-2512	Profit	Controlling Cost and Improving Care for New York State's Medicaid and Medicare Dual Eligibles

Attachment C-9

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
2547600	MDRC	\$250,000.00	11/30/2012	\$50,000.00		16 East 34 Street	New York	NY	10016	Non-Profit	Evaluation of Chronic Illness Demonstration Project (CIDP)
10-02055	Medicare Rights Center, Inc	\$185,595.00	8/31/2012	\$39,119.00		520 8th Avenue, North Wing, 3rd Floor	New York	NY	10018	Non-Profit	Creating Seamless Coverage for Elderly and Disabled New Yorkers
10-01914	Memorial Sloan-Kettering Cancer Center	\$243,948.00	6/28/2013	\$48,789.00		1275 York Avenue - Box 701	New York	NY	10065		Food for Health Program
3922100	Mental Health Association of New York City, Inc	\$125,004.00	6/29/2012	\$31,250.00	mhaofnyc	50 Broadway, 19th Floor	New York	NY	10004	Non-Profit	A Voice for Improving Mental Health Care for Veterans and Their Families
10-02166	Monroe Plan for Medical Care	\$70,000.00	9/26/2012	\$56,000.00		1120 Pittsford-Victor Road,	Pittsford	NY	14534	Non-Profit	Achieving Excellence in Diabetes Care
3845137	Montefiore Medical Center - The Care Management Company of Montefiore Medical Center (CMO)	\$574,090.00	5/31/2011	\$143,523.00	CMO, The Care Management Company	200 Corporate Boulevard South	Yonkers	NY	10701	Non-Profit	Bronx Collaborative Care Transitions Prog Providers & Payers Working to Improve Quality & Reduce Medical Expenditures
3845137	Montefiore Medical Center - The Care Management Company of Montefiore Medical Center (CMO)	\$574,090.00	12/30/2011	\$143,522.00	CMO, The Care Management Company	200 Corporate Boulevard South	Yonkers	NY	10701	Non-Profit	Bronx Collaborative Care Transitions Prog Providers & Payers Working to Improve Quality & Reduce Medical Expenditures
11-00276	National Association of Social Workers (New York State Chapter)	\$150,000.00	6/28/2013	\$30,000.00	NASW-NYS	188 Washington Avenue	Albany	NY	12210	Non-Profit	Veterans Mental Health Training Initiative

Attachment C-10

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
3235651	National Center on Addiction and Substance Abuse at Columbia University	\$429,906.00	7/31/2012	\$107,476.00	CASA	633 3RD Avenue, 19th Floor	New York	NY	10017-8155	Non-Profit	Developing Sustainable Screening and Brief Intervention Programs for Substance Use Disorders in Emergency Departments in
3235651	National Center on Addiction and Substance Abuse at Columbia University	\$429,906.00	3/31/2011	\$107,477.00	CASA	633 3RD Avenue, 19th Floor	New York	NY	10017-8155	Non-Profit	Developing Sustainable Screening and Brief Intervention Programs for Substance Use Disorders in Emergency Departments in
10-02646	National Committee for Quality Assurance National Council on Aging	\$150,000.00	1/2/2012	\$30,000.00	NCOA	1100 13th Street, NW, Suite 1000	Washington	DC	20005		Underwriting Fees for the National Diabetes Recognition Programs
11-00311		\$50,025.00	8/31/2012	\$10,005.00	NCOA	1901 L Street	Washington	DC	20036	Non-Profit	Self-Care Management Alliance
11-00249	National Urban Fellows, Inc	\$70,000.00	6/25/2012	\$14,000.00	NUF	102 West 38th Street, 7th Floor	New York	NY	10018	Non-Profit	Internship of the National Urban Fellows, Class of 2012
3426912	NDRI State, Inc	\$442,571.00	12/1/2011	\$110,642.00	National Development and Research Institutes Inc.	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	Expanding Technical Assistance Support and Reach of NYSHHealth Center for Excellence in Integrated Care (CEIC)

Attachment C-11

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
3426912	NDRI State, Inc	\$442,571 00	12/15/2012	\$110,642.00	National Development and Research Institutes Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	Expanding Technical Assistance Support and Reach of NYSEHealth Center for Excellence in Integrated Care (CEIC)
2496857	NDRI State, Inc	\$3,201,644 00	12/1/2011	\$720,387 00	National Development and Research Institutes Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	People First Ctr of Excellence - Integration of Care for Individuals with Co-Occurring Mental Health & Substance Abuse
2496857	NDRI State, Inc	\$3,201,644 00	12/15/2012	\$80,042 00	National Development and Research Institutes Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	People First Ctr of Excellence - Integration of Care for Individuals with Co-Occurring Mental Health & Substance Abuse
11-02788	NDRI State, Inc	\$282,524 00	12/14/2012	\$70,631 00	NDRI State, Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	NYSEHealth's CEIC Tracking Progress in NYS Addiction & Mental Health Providers' Provision of Outpatient Integrated Care
11-02788	NDRI State, Inc.	\$282,524.00	12/1/2011	\$70,631 00	NDRI State, Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	NYSEHealth's CEIC Tracking Progress in NYS Addiction & Mental Health Providers' Provision of Outpatient Integrated Care

Attachment C-12

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
11-02721	New York Academy of Medicine	\$59,557 00	10/31/2012	\$11,911 00	NYAM	1216 Fifth Avenue	New York	NY	10029	Non-Profit	Focusing on Health An Evaluation of Faith Based Diabetes Programming
11-00267	New York Academy of Medicine	\$97,707 00	4/30/2012	\$19,542 00	NYAM	1216 Fifth Avenue	New York	NY	10029	Non-Profit	Implementing the Affordable Care Act in New York A Roadmap for Improving Prevention & Population Health
10-02552	New York Association for Brain Injured Children	\$30,143 00	6/29/2012	\$6,029 00	d/b/a Learning Disabilities Association of New York State	1190 Troy Schenectady Road	Latham	NY	12110	Non-Profit	Preventing Fetal Alcohol Spectrum Disorders
11-00286	New York City Health and Hospitals Corporation	\$65,000 00	8/31/2012	\$13,000 00	HHC	346 Broadway, Rm 1115	New York	NY	10013	Public/Government	An Evaluation of the WeCOACH (Community Older Adults Care About Their Health) Initiative
1909890	New York City Health and Hospitals Corporation - Bellevue Hospital Center	\$500,000 00	7/30/2012	\$125,000 00	Bellevue Hospital Center	462 First Avenue	New York	NY	10016	Public/Government	Improving Care and Reducing Hospital Admissions and Costs for Patients at High Risk of Frequent Hospital Admissions
2530706	New York City Health and Hospitals Corporation - Elmhurst Hospital Center	\$156,027 00	1/30/2010	\$31,205 00	Elmhurst Hospital Center	79-01 Broadway	Elmhurst	NY	11373	Public/Government	New Mothers Breastfeeding Education and Support
2492249	New York City Health and Hospitals Corporation - Harlem Hospital Center	\$309,672 00	11/30/2009	\$77,418 00	Harlem Hospital Center	506 Lenox Avenue	New York	NY	10037	Public/Government	Muslim Health Clinic

Attachment C-13

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
2492249	New York City Health and Hospitals Corporation - Harlem Hospital Center	\$309,672 00	1/30/2010	\$77,418 00	Harlem Hospital Center	506 Lenox Avenue	New York	NY	10037	Public/Government	Muslim Health Clinic Implementing Health Reform in New York Maximizing Coverage for Immigrants
11-00394	New York Immigration Coalition, Inc	\$75,000 00	5/31/2012	\$15,000 00	The New York Immigration Coalition	137-139 West 25th Street, 12th Floor	New York	NY	10001	Non-Profit	Healthy Schools Increasing and Improving Citizen Participation in NYC School Siting Process
10-02567	New York Lawyers for the Public Interest, Inc	\$234,851 00	11/29/2013	\$46,970 00	NYLPI	151 West 30th Street, 11th Floor	New York	NY	10001-4017	Non-Profit	Statewide Replication of Medical-Legal Partnerships
10-01857	New York Legal Assistance Group, Inc	\$275,000 00	5/31/2012	\$55,000 00	NYLAG Buffalo Veterans Treatment Court	450 West 33rd Street, 11th Floor	New York	NY	10001-2603	Non-Profit	Statewide Veteran's Curriculum and Training The Buffalo Model
10-01905	New York State Unified Court System	\$241,118 00	2/15/2012	\$48,223 00		25 Beaver Street, 8th Floor	New York	NY	10004	Public/Government	Resolving Medical Malpractice Cases with Judge-Directed Negotiation Upstate Expansion
11-00043	New York State Unified Court System	\$219,993 00	10/31/2013	\$54,998 00		25 Beaver Street, 8th Floor	New York	NY	10004	Public/Government	Resolving Medical Malpractice Cases with Judge-Directed Negotiation Upstate Expansion
11-00043	New York State Unified Court System	\$219,993 00	9/28/2012	\$54,998 00		25 Beaver Street, 8th Floor	New York	NY	10004	Public/Government	Resolving Medical Malpractice Cases with Judge-Directed Negotiation Upstate Expansion
3878459	New York State YMCA Foundation	\$373,999 00	8/31/2012	\$60,374 00	YMCA	33 Elk Street, Suite 200	Albany	NY	12207-1062	Non-Profit	Implementing the YMCA Diabetes Prevention Program Across New York State

Attachment C-14

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
10-00139	New York University - College of Nursing	\$305,545 00	6/29/2012	\$76,386 00	NICHE - NYU College of Nursing	726 Broadway, 10th Floor	NY	NY	10003	Non-Profit	Expanding the Nurses Improving Care for Health System Elders (NICHE) Program to Rural New York State Hospitals
3868376	New York University - Robert F. Wagner Graduate School of Public Service	\$170,648 00	5/31/2012	\$42,662 00	Robert F. Wagner Graduate School of Public Service	295 Lafayette Street, 2nd Floor	New York	NY	10012-7455	Non-Profit	Improving Care and Reducing Costs for High Cost Medicaid Patients Phase 2
3868376	New York University - Robert F. Wagner Graduate School of Public Service	\$170,648 00	5/31/2013	\$42,662 00	Robert F. Wagner Graduate School of Public Service	295 Lafayette Street, 2nd Floor	New York	NY	10012-7455	Non-Profit	Improving Care and Reducing Costs for High Cost Medicaid Patients Phase 2
11-02792	New York University School of Medicine	\$167,174 00	11/30/2012	\$33,435 00	NYU	550 First Avenue	New York	NY	10016	Non-Profit	Evaluation Technical Assistance for NYSHHealth Grantees and Applicants Phase 3
11-00389	Northeast Business Group on Health	\$159,793 00	5/31/2012	\$39,948 00	NEBGH (formerly New York Business Group on Health)	61 Broadway, Suite 2705	New York	NY	10006	Non-Profit	Creating a Worksite Wellness Center with a "Stop Diabetes" Emphasis
11-00389	Northeast Business Group on Health	\$159,793 00	11/30/2012	\$39,948 00	NEBGH (formerly New York Business Group on Health)	61 Broadway, Suite 2705	New York	NY	10006	Non-Profit	Creating a Worksite Wellness Center with a "Stop Diabetes" Emphasis
11-00287	Northeast Community Council, Inc.	\$70,776 00	11/29/2013	\$14,155 00	North East Community Center	51 South Center Street P O, Box 35	Millerton	NY	12546	Non-Profit	"Freedom Bus" Eastern Dutchess Medical Transportation
2534737	Northern New York Rural Behavioral Health Institute Inc	\$263,926 00	2/29/2012	\$13,196 00		P O Box 891	Saranac Lake	NY	12983-0891	Non-Profit	HealthNet Mental Health Services for North County Elders

Attachment C-15

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
3254860	Northern Oswego County Health Services, Inc	\$400,000.00	8/1/2012	\$100,000.00		61 Delano Street	Pulaski	NY	13142-1400	Non-Profit	Consolidating the Oswego Primary Care Safety Net
11-00009	P2 Collaborative of Western New York, Inc	\$262,500.00	9/30/2013	\$210,000.00		6225 Sheridan Drive, Suite 206	Williamsville	NY	14221	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care
10-02685	Prime Care Physicians PLLC	\$82,500.00	1/29/2015	\$66,000.00		4 Atrium Drive	Albany	NY	12205	Profit	Meeting the Mark Achieving Excellence in Diabetes Care
11-00110	Project Equinox, Inc	\$300,000.00	4/30/2013	\$75,000.00	Equinox, Inc	95 Central Avenue	Albany	NY	12206	Non-Profit	Equinox and Clearview Agency Merger
11-00110	Project Equinox, Inc	\$300,000.00	4/30/2012	\$75,000.00	Equinox, Inc	95 Central Avenue	Albany	NY	12206	Non-Profit	Equinox and Clearview Agency Merger
11-00318	Project HOPE: The People-to-People Health Foundation	\$150,000.00	8/23/2012	\$30,000.00	Health Affairs	7500 Old Georgetown Rd., suite 600	Bethesda	MD	20814	Non-Profit	Sponsoring Health Affairs Theme Issues on Diabetes and Insurance Exchanges
10-02414	Queens Library Foundation, Inc	\$250,000.00	3/29/2013	\$46,875.00		89-11 Merrick Boulevard	Jamaica	NY	11432-5242	Non-Profit	Queens ConnectCare
10-02414	Queens Library Foundation, Inc	\$250,000.00	7/31/2012	\$62,500.00		89-11 Merrick Boulevard	Jamaica	NY	11432-5242	Non-Profit	Queens ConnectCare
10-02414	Queens Library Foundation, Inc	\$250,000.00	11/29/2013	\$15,625.00		89-11 Merrick Boulevard	Jamaica	NY	11432-5242	Non-Profit	Queens ConnectCare
11-00274	Riverdale Family Medical Practice	\$17,500.00	2/28/2013	\$14,000.00	Riverdale Family Practice	3125 Tibbett Avenue	Bronx	NY	10463	Profit	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices
11-00289	Robert Morrow Family Practice	\$2,500.00	8/31/2012	\$2,000.00		5997 Riverdale Avenue	Bronx	NY	10471	Profit	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices
10-01954	Rochester Institute of Technology	\$228,059.00	3/3/2014	\$45,812.00	RIT	116 Lomb Memorial Drive	Rochester	NY	14623	Non-Profit	Using Technology to Improve Scheduling Efficiencies in Home Care

Attachment C-16

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
10-01897	RolaCare, Inc	\$50,000 00	6/28/2013	\$25,000 00	RolaCare, Inc	c/o A Holly Patterson, 875 Jerusalem Avenue	Uniondale	NY	11553	Non-Profit	Quality Assurance Development Process
10-00348	Rural Health Network of South Central New York, Inc	\$200,000 00	2/28/2014	\$40,000 00	RHNSCNY	2663 Main Street	Whitney Point	NY	13862	Non-Profit	Rural Services Project Seen and Heard
11-00141	Safe Space NYC, Inc	\$223,118 00	10/1/2012	\$58,279 50	Safe Space	89-74 162 Street	Jamaica	NY	11432	Non-Profit	Sustaining a Clinical Response Model to Childhood Trauma
11-00141	Safe Space NYC, Inc	\$223,118 00	4/2/2012	\$58,279 50	Safe Space	89-74 162 Street	Jamaica	NY	11432	Non-Profit	Seen and Heard Sustaining a Clinical Response Model to Childhood Trauma
11-00141	Safe Space NYC, Inc	\$223,118 00	6/3/2013	\$48,279 50	Safe Space	89-74 162 Street	Jamaica	NY	11432	Non-Profit	Seen and Heard Sustaining a Clinical Response Model to Childhood Trauma
10-00363	Sunset Park Health Council, Inc	\$300,000 00	9/30/2013	\$60,000 00	Lutheran Family Health Centers Nelson A Rockefeller Institute of Government, Health Policy Research Center	150 55th Street, Station 20	Brooklyn	NY	11220	Non-Profit	Development of a Community Medicine Program at the Bellevue Men's Shelter
11-00018	SUNY - University at Albany - The Nelson A Rockefeller Institute of Government	\$70,262 00	2/29/2012	\$14,052 00	Healthcare Association of New York State (HANYs)	411 State Street	Albany	NY	12203-1003	Non-Profit	Spending and Government Efficiency in Health Care Delivery
2531737	The Hospital Educational and Research Fund, Inc	\$477,710 00	2/28/2012	\$24,250 00		One Empire Drive	Rensselaer	NY	12144	Non-Profit	Electronic Fetal Monitoring Improving Birth Outcomes in New York State

Attachment C-17

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
10-01847	The Hospital Educational and Research Fund, Inc	\$582,005 00	2/29/2012	\$143,000 00	Healthcare Association of New York State (HANS)	One Empire Drive	Rensselaer	NY	12144	Non-Profit	Improving Diabetes Outcomes at New York State Hospital Outpatient Clinics - Year 2
11-00854	The Hospital Educational and Research Fund, Inc.	\$1,015,068 00	5/31/2013	\$253,767 00	Healthcare Association of New York State (HANS)	One Empire Drive	Rensselaer	NY	12144	Non-Profit	Improving Diabetes Outcomes at New York State Hospital Outpatient Clinics - Year 3
11-00854	The Hospital Educational and Research Fund, Inc	\$1,015,068 00	12/31/2012	\$253,767 00	Healthcare Association of New York State (HANS)	One Empire Drive	Rensselaer	NY	12144	Non-Profit	Improving Diabetes Outcomes at New York State Hospital Outpatient Clinics - Year 3
11-00283	Tides Center	\$150,000 00	8/31/2012	\$30,000 00		1014 Torrey Avenue	San Francisco	CA	94129	Non-Profit	Creating a "First-Class" User Experience for Insurance Exchanges Implementing Health Reform Immediate Issues and Options in Designing New York's Insurance Exchange
10-02024	United Hospital Fund of New York	\$496,000 00	2/29/2012	\$124,000 00	UHF	1411 Broadway, 12FL	New York	NY	10018	Non-Profit	Implementing Health Reform Addressing Issues of Benefits, Provider Networks, and Market Structures
11-00410	United Hospital Fund of New York	\$399,232 00	7/31/2012	\$99,808 00	UHF	1411 Broadway, 12FL	New York	NY	10018	Non-Profit	Implementing Health Reform Addressing Issues of Benefits, Provider Networks, and Market Structures
11-00410	United Hospital Fund of New York	\$399,232 00	1/31/2013	\$99,808 00	UHF	1411 Broadway, 12FL	New York	NY	10018	Non-Profit	Implementing Health Reform Addressing Issues of Benefits, Provider Networks, and Market Structures
3272909	Unity House of Troy Inc	\$250,551 00	2/29/2012	\$50,110 00		33 Second Street	Troy	NY	12180	Non-Profit	Hudson River Consortium

Attachment C-18

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Scheduled Amount	Organization AKA Name	Street	City	State	Zip Code	Organization Type 1	Project Title
3169858	University of Rochester - Starlight Pediatrics	\$300,141.00	2/28/2013	\$75,035.00	Starlight Pediatrics	601 Elmwood Avenue Box 777 Pediatrics	Rochester	NY	14642	Non-Profit	Fostering Connections Meeting the Mark
11-00220	Uplown Medical Visiting Nurse Service of New York, The	\$2,500.00	10/3/2012	\$2,000.00		305 E 149th St	Bronx	NY	10451	Profit	Achieving Excellence in Diabetes Care for Small Practices
2403303		\$232,715.00	1/31/2012	\$46,543.00		1250 Broadway	New York	NY	10001-3701	Non-Profit	CHAMP Geniatric Medication Management Program
11-00838	Wakely Consulting Group	\$15,000.00	6/13/2012	\$5,000.00		9777 Pyramid Ct	Englewood	CO	80112	Profit	Health Reform Implementing Risk Adjustment in the New York Context
11-00417	Welsh Analytics LLC William F Ryan Community Health Center Inc	\$65,000.00	1/31/2012	\$32,500.00	Welsh Analytics	1287 Garner Avenue	Schenectady	NY	12309	Profit	Technical Assistance to Medicaid Redesign Team Brooklyn Work Group
10-02508		\$220,359.00	6/29/2012	\$44,072.00		110 West 97th Street	New York	NY	10025-6450	Non-Profit	Training Primary Care Doctors in Community Health Settings
11-00268	Winthrop-University Hospital Association	\$75,000.00	1/15/2014	\$60,000.00		222 Station Plaza North Suite 605	Mineola	NY	11501	Non-Profit	Meeting the Mark Achieving Excellence in Diabetes Care
TOTAL		\$40,427,901.00		\$9,229,262							
		Grant Discount		(\$115,536)							
		Net Grants Payable		\$9,113,726							

Attachment C-19

NEW YORK STATE HEALTH FOUNDATION
EIN #30-0127892
December 31, 2011
Form 990-PF

Part IX-A Summary of Direct Charitable Activities

The New York State Diabetes Campaign: Reversing the Epidemic: An initiative to reverse the growing diabetes epidemic in New York State by working to improve clinical care, mobilize communities, and promote policy to create better outcomes for patients with diabetes.

\$318,964

Health Care Conferences: The Foundation held two major conferences in 2011 to highlight key issues affecting New York's health system. The first, held in June, focused on meeting the mental health, health care, and social service needs of returning Iraq and Afghanistan war veterans and their families as they readjust to their lives back home. Approximately 200 people from across New York State attended the conference, which highlighted promising and effective community-based models to support our returning veterans and their families. In November, NYSHHealth held a daylong conference to mark the Foundation's five-year anniversary as an organization. The day included substantive panel discussions highlighting the lessons learned by the Foundation and its grantees during the first five years and forecasting some of the key opportunities and obstacles that lie ahead for improving New York's health system. Approximately 350 people—including Foundation grantees, board members, policy-makers, advocates, funders, academics, health care providers, and other health leaders from across the State—participated in the conference. **Health Care Cost Conference:** Hosted by NYSHHealth and attended by more than 300 participants from across New York State, the conference, "Paying for Health Reform: The Imperative of Cost Containment" explored models and strategies that could both improve health care and reduce costs in the wake of Federal health reform.

\$152,013

Evaluation Initiative: A project in which the foundation evaluates its grant making initiatives and develops reports that communicate the findings from the initiatives that NYSHHealth has funded. The purpose of these reports is to make it possible for individuals in the health sector to learn from the foundation's work and to be able to apply these findings to improve the New York State health system.

\$112,392

The New York State Community Health Worker Initiative: NYSHHealth with the help of a grant from the W.K. Kellogg Foundation is supporting the advancement of the community health worker (CHW) field in New York State. The Foundation's efforts have focused on developing a scope of practice, developing training and credentialing standards, and identifying financing options for this workforce. This work is especially timely as many new health care delivery and payment system reforms emphasize the value of a broad and diverse health care team that could include community health workers, who have been an underutilized but valuable resource in primary and secondary prevention of diabetes and other chronic diseases. The work culminated in a statewide conference to share the working groups' recommendations and in the formation of a New York State Community Health Worker Association.

\$267,615

New York State Health Foundation
EIN 30-0127892
Form 990-PF: Part II - Balance Sheet
Schedule of Portfolio Holdings
December 31, 2011

Type and Name of Security	Balance at December 31, 2011		
	No of Shares	Cost	Market Value
Equities			
iShares Russell 1000 ETF	386,300	\$ 26,370,576	\$ 26,797,631
Blackrock Russell 1000 Index Fund	1,044,067	11,164,730	15,173,574
Blackrock ACWI ex-US Index Fund	2,114,730	42,477,510	40,657,598
Blackrock Emerging Markets Index Fund	195,858	6,042,769	5,626,236
Van Eck Global Hard Assets Fund	352,437	16,664,857	15,648,201
Wellington Natural Resources Fund	1,550,387	17,183,401	15,007,750
Equity Funds Subtotal		119,903,844	118,910,990
Hard Assets			
iShares COMEX Gold ETF	1,229,500	13,454,881	18,725,285
Subtotal Hard Assets		13,454,881	18,725,285
Fixed Income Funds			
iShares 20+ Year Treasury ETF	54,600	4,837,265	6,620,250
iShares 10-20 Year Treasury ETF	151,700	19,910,963	20,165,481
Blackrock 7-10 Year Treasury Index Fund	1,263,114	17,582,311	21,876,765
iShares TIPS ETF	108,880	10,873,248	12,705,207
Blackrock Barclays Government Credit Index Fund	619,611	23,890,840	25,001,004
Blackrock TIPS Fund	614,461	10,716,463	12,593,007
Oaktree High Yield Bond Fund	97,496	7,484,801	7,355,737
Subtotal Fixed Income Funds		95,295,891	106,317,452

Attachment E-1

Type and Name of Bond	Par Value	Cost	Market Value
American Express Credit 5.125% BDS 8-25-2014	1,000,000	1,060,800	1,074,320
Blackrock 3 5% 1-Dec-2014	1,000,000	1,005,118	1,067,830
Cliffs Natural Resources 5 8% 15-Mar-2020	1,000,000	1,049,480	1,065,860
CVS Caremark 5 75% 6/1/2017	750,000	761,970	875,130
Discovery Comm 3 7% 1-JUN-2015	1,000,000	998,985	1,051,740
Dow Chemical 5.7% 5/15/2018	1,000,000	912,480	1,114,050
FISERV 3 125% 6/15/2016	1,000,000	1,000,415	1,018,190
General Mills 5 65% NTS 10-Sep-2012	1,000,000	1,097,230	1,030,670
Goldman Sachs 3 7% 1-Aug-2015	1,000,000	1,001,194	979,700
Home Depot 5 25% 12/16/2013	1,000,000	1,037,780	1,085,910
JP Morgan 6 3% BDS 23-Apr-2019	1,000,000	1,092,690	1,132,640
Kraft Foods 6 5% BDS 09-Feb-2040	1,000,000	990,360	1,300,940
NASDAQ OMX Group 4% Nts 15-Jan-2015	650,000	648,560	666,042
Newmont Mining 5 125% 01/Oct/2019	500,000	497,510	553,836
Oracle 5 0% 7/8/19	1,000,000	998,050	1,179,000
Pentair 5% 15-May-2021	1,000,000	1,000,464	1,055,570
Quest Diagnostics 3 2% 1/APR/2016	1,000,000	1,003,229	1,035,410
Republic Services 5 25% Unsec Snr Nts 15-Nov-2021	1,000,000	1,001,788	1,133,890
Southern Copper Corp 6 4% BDS 15/FEB/2038	1,000,000	1,001,270	1,000,620
Time Warner Cable 5 4% 7/2/12	1,000,000	1,044,990	1,022,550
Time Warner Inc. 3 15% BDS 15-Jul-2015	240,000	239,714	249,629
Viacom 4 375% Sept 15 2014	1,000,000	996,485	1,071,250
Subtotal Corporate Bonds	20,140,000	20,440,562	21,764,777
NYC Ser D-1 Build Amer Bds 4 749% 01-Dec-2019	1,000,000	1,000,000	1,130,280
Ohio State Hwy Cap Impt-Ser M BAB 01-May-2025	1,000,000	1,000,000	1,115,450
Subtotal Taxable Municipal Bonds	2,000,000	2,000,000	2,245,730
TOTAL Investment HOLDINGS		\$ 251,095,179	\$ 267,964,234

Attachment E-2

New York State Health Foundation
EIN# 30-0127892
Form 990-PF
Part II - Balance Sheet
Detail of Line 14

Property Description	(A)	(B)	
	Beginning of year	End of year	
	Book Value	Book Value	FMV
Leasehold Improvements	\$ 442,446	\$ 158,085	\$ 158,086
Leasehold Improvements - Accumulated Depreciation	(54,088)	(51,380)	(51,381)
Furniture Fixtures and Equipment	694,897	694,897	694,897
Furniture Fixtures and Equipment - Accumulated Depreciation	<u>(252,098)</u>	<u>(390,020)</u>	<u>(390,020)</u>
Total of Line 14 Column (A) and (B)	<u>\$ 831,157</u>	<u>\$ 411,582</u>	<u>\$ 411,582</u>

Audit Report-Expenditure Responsibility Grants Paid in FYE 2011													
Request Number	Organization Name	Organization AKA Name	Tax Status	Organization Type 1	Organization Type 2	Project Title	Awarded Amount	Payment Date	Amount	Address	City	State	Zip Code
11-00281	Benjamin J Leeman, MD PC		170(B)(1)(a)(i)	Profit	Private Practice	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$2,500.00	8/5/2011	\$2,000.00	20 West Lincoln #306	Valley Stream	NY	11580
11-00281	Benjamin J Leeman, MD PC		170(B)(1)(a)(i)	Profit	Private Practice	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$2,500.00	5/25/2011	\$500.00	20 West Lincoln #306	Valley Stream	NY	11580
3015457	Better World Advertising, Inc	BWA	Non Verifiable	Profit		New York State Diabetes Social Marketing Campaign	\$1,399,941.00	2/15/2011	\$174,991.00	731 Market Street Suite 220	San Francisco	CA	94103
3015457	Better World Advertising, Inc	BWA	Non Verifiable	Profit		New York State Diabetes Social Marketing Campaign	\$1,399,941.00	5/17/2011	\$174,991.00	731 Market Street Suite 220	San Francisco	CA	94103
3015457	Better World Advertising, Inc	BWA	Non Verifiable	Profit		New York State Diabetes Social Marketing Campaign	\$1,399,941.00	11/30/2011	\$174,991.00	731 Market Street Suite 220	San Francisco	CA	94103
10-02175	CapitaCare Medical Group		170(B)(1)(a)(i)	Profit	Other	Meeting the Mark Achieving Excellence in Diabetes Care	\$82,500.00	5/3/2011	\$16,500.00	501 New Kerner Road	Albany	NY	12205
10-02379	Central Brooklyn Medical Group, PC	Preferred Health Partners	170(B)(1)(a)(i)	Profit	Other	Meeting the Mark Achieving Excellence in Diabetes Care	\$100,000.00	4/14/2011	\$20,000.00	32 Court St	Brooklyn	NY	11201
11-00312	IM Research and Service Corporation	New York Chapter, American College of Physicians	509(A)(2)	Profit	Professional Associations	Improving Diabetes Care Among New York's Internal Medicine Physicians, Year 3	\$105,585.00	7/15/2011	\$84,468.00	744 Broadway	Albany	NY	12207-2331
3922590	IM Research and Service Corporation	New York Chapter, American College of Physicians (ACP)	509(A)(2)	Profit	Professional Associations	Improving Diabetes Care Among New York's Internal Medicine Physicians, Year 2	\$97,158.00	6/22/2011	\$14,324.00	744 Broadway	Albany	NY	12207-2331
Attachment G-1													

Attachment G-1

Request Number	Organization Name	Organization AKA Name	Tax Status	Organization Type 1	Organization Type 2	Project Title	Awarded Amount	Payment Date	Amount	Address	City	State	Zip Code
3922590	IM Research and Service Corporation	New York Chapter, American College of Physicians (ACP)	509(A)(2)	Profit	Professional Associations	Improving Diabetes Care Among New York's Internal Medicine Physicians, Year 2	\$97,158.00	3/29/2011	\$24,289.00	744 Broadway	Albany	NY	12207-2331
3429224	Joslyn Levy & Associates, LLC		Non Verifiable	Profit		Evaluation of the NYS-Health Setting the Standard Initiative	\$179,999.00	5/20/2011	\$44,999.00	501 Fifth Avenue, Suite 1702	New York	NY	10017
11-00200	Keuka Family Practice Associates, LLP		170(B)(1)(a)(i)	Profit	Primary Care Networks	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$10,000.00	7/6/2011	\$2,000.00	7573 State Route 54	Bath	NY	14810
11-00361	Lansingburgh Family Practice		170(B)(1)(a)(i)	Profit	Other	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$2,500.00	11/22/2011	\$2,000.00	315 Second Avenue	Troy	NY	12182
11-00361	Lansingburgh Family Practice		170(B)(1)(a)(i)	Profit	Other	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$2,500.00	8/3/2011	\$500.00	315 Second Avenue	Troy	NY	12182
3034743	Lewin Group, Inc., The		Non Verifiable	Profit	Other-fill in the field below	Bending the Cost Curve in New York	\$399,981.00	1/26/2011	\$99,995.00	3130 Fairview Park Drive, Suite 800	Falls Church	VA	22042
11-00224	Manatt Health Solutions	MHS	Non Verifiable	Profit	Other	The Development of Accountable Care Organizations Implications for New York State	\$40,000.00	9/1/2011	\$8,000.00	7 Times Square	New York	NY	10036
11-00224	Manatt Health Solutions	MHS	Non Verifiable	Profit	Other	The Development of Accountable Care Organizations Implications for New York State	\$40,000.00	4/11/2011	\$32,000.00	7 Times Square	New York	NY	10036
11-00225	Marcellus Family Medicine		170(B)(1)(a)(i)	Profit	Private Practice	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$5,000.00	5/25/2011	\$1,000.00	28 1/2 E Main St	Marcellus	NY	13108
11-00225	Marcellus Family Medicine		170(B)(1)(a)(i)	Profit	Private Practice	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$5,000.00	10/24/2011	\$4,000.00	28 1/2 E Main St	Marcellus	NY	13108

Attachment G-2

Request Number	Organization Name	Organization AKA Name	Tax Status	Organization Type 1	Organization Type 2	Project Title	Awarded Amount	Payment Date	Amount	Address	City	State	Zip Code
3107261	Mathematica Policy Research, Inc.		Non Verifiable	Profit	Other-fill in the field below	Reducing Hospital Readmissions in New York State	\$321,820.00	12/8/2011	\$128,750.23	600 Maryland Avenue, SW, Suite 550	Washington	DC	20024-2512
3107261	Mathematica Policy Research, Inc.		Non Verifiable	Profit	Other-fill in the field below	Reducing Hospital Readmissions in New York State	\$321,820.00	1/26/2011	\$80,455.00	600 Maryland Avenue, SW, Suite 550	Washington	DC	20024-2512
11-00278	One River Grants, Inc.		Non Verifiable	Profit	Research organization	Grant Writing Assistance in Response to the Medicaid Incentives for Prevention of Chronic Diseases (MIPCD)	\$20,000.00	4/19/2011	\$20,000.00	8 Winter Street, 1st Floor	Troy	NY	12180
10-02685	Prime Care Physicians PLLC		170(B)(1)(a)(i)	Profit	Primary Care Networks	Meeting the Mark Achieving Excellence in Diabetes Care	\$82,500.00	12/5/2011	\$16,500.00	4 Alnum Drive	Albany	NY	12205
11-00274	Riverdale Family Medical Practice	Riverdale Family Practice	170(B)(1)(a)(i)	Profit	Private Practice	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$17,500.00	7/13/2011	\$3,500.00	3125 Tibbett Avenue	Bronx	NY	10463
11-00289	Robert Morrow Family Practice		170(B)(1)(a)(i)	Profit	Private Practice	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$2,500.00	7/13/2011	\$500.00	5997 Riverdale Avenue	Bronx	NY	10471
11-00319	Southwestern Medical Associates		170(B)(1)(a)(i)	Profit	Private Practice	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$5,000.00	6/13/2011	\$1,000.00	3671 Southwestern Blvd., Suite 101	Orchard Park	NY	14127
11-00319	Southwestern Medical Associates		170(B)(1)(a)(i)	Profit	Private Practice	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$5,000.00	10/24/2011	\$4,000.00	3671 Southwestern Blvd., Suite 101	Orchard Park	NY	14127
11-00220	Uptown Medical		170(B)(1)(a)(i)	Profit	Primary Care Networks	Meeting the Mark Achieving Excellence in Diabetes Care for Small Practices	\$2,500.00	8/12/2011	\$500.00	305 E 149th St	Bronx	NY	10451
11-00838	Wakely Consulting Group		170(B)(1)(a)(i)	Profit	Consulting Firm	Health Reform Implementing Risk Adjustment in the New York Context	\$15,000.00	12/5/2011	\$10,000.00	9777 Pyramid Ct	Englewood	CO	80112
11-00417	Welsh Analytics LLC	Welsh Analytics	170(B)(1)(a)(i)	Profit	Consulting Firm	Technical Assistance to Medicaid Redesign Team Brooklyn Work Group	\$65,000.00	9/29/2011	\$32,500.00	1287 Garner Avenue	Schenectady	NY	12309
TOTAL							\$6,230,843.00		\$1,179,253.23				

Attachment G-3

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions NEW YORK STATE HEALTH FOUNDATION	Employer identification number (EIN) or ☒ 30-0127892
	Number, street, and room or suite no. If a P O box, see instructions 1385 BROADWAY, 23RD FLOOR	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions NEW YORK, NY 10018-6013	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THE FOUNDATION

- The books are in the care of ► **1385 BROADWAY, 23RD FLOOR - NEW YORK, NY 10018-6013**
Telephone No ► **212-664-7656** FAX No ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2012**, to file the exempt organization return for the organization named above. The extension is for the organization's return for ☒ calendar year **2011** or ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	189,581.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	203,172.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)