Form **990-PF**

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2011

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011, or tax year beginning , 2011 and ending

Name of foundation
Dominic Sreshta Charitable Foundation

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
26 Berenger Place

City or town State ZIP code
Sugar Land TX 77479

A Employer identification number
27-4399006

B Telephone number (see the instructions)
(281) 898 6254

C If exemption application is pending, check here ►

D 1 Foreign organizations, check here ►

2 Foreign organizations meeting the 85% test, check here and attach computation ►

E If private foundation status was terminated under section 507(b)(1)(A), check here ►

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ►

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization X Section 501(c)(3) exempt private foundation
Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 265,242.

J Accounting method X Cash Accrual
Other (specify)
(Part I, column (d) must be on cash basis.)

		Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
REVENUE	1	Contributions, gifts, grants, etc, received (att sch)	35,000.			
	2	Ck ► ☐ if the foundn is not req to att Sch B				
	3	Interest on savings and temporary cash investments	21.	21.	21.	
	4	Dividends and interest from securities	4,448.	4,448.	4,448.	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain/(loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain			0.	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit/(loss) (att sch)				
	11	Other income (attach schedule)				
	12	**Total** Add lines 1 through 11	39,469.	4,469.	4,469.	
OPERATING AND ADMINISTRATIVE EXPENSES	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach sch)	724.			
	c	Other prof fees (attach sch)				
	17	Interest				
	18	Taxes (attach schedule)(see instrs)	20.	20.	20.	
	19	Depreciation (attach sch) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) See Line 23 Stmt	2,758.	1,908.	1,908.	
	24	**Total operating and administrative expenses.** Add lines 13 through 23	3,502.	1,928.	1,928.	
	25	Contributions, gifts, grants paid	11,003.			11,003.
	26	**Total expenses and disbursements.** Add lines 24 and 25	14,505.	1,928.	1,928.	11,003.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	24,964.			
	b	Net investment income (if negative, enter -0-)		2,541.		
	c	Adjusted net income (if negative, enter -0-)			2,541.	

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24 P

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing	1,681.	178,364.	178,364.
	2	Savings and temporary cash investments			
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less: allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U.S. and state government obligations (attach schedule)			
	b	Investments — corporate stock (attach schedule)			
	c	Investments — corporate bonds (attach schedule)			
	11	Investments — land, buildings, and equipment: basis ►			
		Less: accumulated depreciation (attach schedule) ►			
	12	Investments — mortgage loans			
	13	Investments — other (attach schedule)	248,319.	85,983.	86,878.
	14	Land, buildings, and equipment: basis ►			
		Less: accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	**Total assets** (to be completed by all filers — see the instructions. Also, see page 1, item I)	250,000.	264,347.	265,242.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ► L-22 Stmt)		2,340.	
	23	**Total liabilities** (add lines 17 through 22)		2,340.	
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► X and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	250,000.	262,007.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	250,000.	262,007.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	250,000.	264,347.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	250,000.
2	Enter amount from Part I, line 27a	2	24,964.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	274,964.
5	Decreases not included in line 2 (itemize) ► CAPITAL LOSS	5	12,957.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	262,007.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	AMERIPRISE SECURITIES (SEE ATTACHED)	P	Various	Various
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	406,122.		419,079.	-12,957.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				-12,957.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 — **2** -12,957.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 — **3** 12,957.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	0.		
2009			
2008			
2007			
2006			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	253,315.
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	25.
7	Add lines 5 and 6	7	25.
8	Enter qualifying distributions from Part XII, line 4	8	[illegible]

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: (attach copy of letter if necessary – see instrs)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☒ and enter 1% of Part I, line 27b		1	25.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	25.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	25.
6	Credits/Payments:			
a	2011 estimated tax pmts and 2010 overpayment credited to 2011	6a		
b	Exempt foreign organizations – tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	0.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		► 9	25.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		► 10	0.
11	Enter the amount of line 10 to be: Credited to 2012 estimated tax ► **Refunded**		► 11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
	If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ► $ (2) On foundation managers ► $			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ►			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10	X	

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► SA[illegible]A SRESHTA Telephone no. ► (281) 3?8-6251

Located at ► 26 B[illegible]ER PL SUGAR LAND TX ZIP+4 ► 77479

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X

See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes X No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes X No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	☐ Yes X No			
	If "Yes," list the years ► 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions.)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (*Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.*)		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
DONOMIC SRESHTA 26 BERENGER PL SUGAR LAND TX 77479	DIRECTOR 1.00	0.	0.	0.
MANZOOR HASAN 3050 POST OAK BLVD STE 550 HOUSTON TX 77056	DIRECTOR 1.00	0.	0.	0.
ANITA SRESHTA 26 BERENGER PL SUGAR LAND TX 77479	DIRECTOR 1.00	0	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ► None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:			
a	Average monthly fair market value of securities		1a	167,152.
b	Average of monthly cash balances		1b	90,021.
c	Fair market value of all other assets (see instructions)		1c	
d	**Total** (add lines 1a, b, and c)		1d	257,173.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	257,173.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	3,858.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	253,315.
6	**Minimum investment return.** Enter 5% of line 5		6	12,666.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	12,666.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	25.		
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	25.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	12,641.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	12,641.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	12,641.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	11,003.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	11,003.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	25.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	10,978.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				12,641.
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only			101.	
b Total for prior years: 20 ___, 20 ___, 20 ___				
3 Excess distributions carryover, if any, to 2011:				
a From 2006 0.				
b From 2007 0.				
c From 2008 0.				
d From 2009 0.				
e From 2010 0.				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4 ► $ 11,003.				
a Applied to 2010, but not more than line 2a			101.	
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2011 distributable amount				10,902.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				1,739.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2007 0.				
b Excess from 2008 0.				
c Excess from 2009 0.				
d Excess from 2010 0.				
e Excess from 2011 0.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	**(c)** 2009	(d) 2008	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year — see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
INDO AMERICAN CHARITIES FOUNDATION 2000 S DAIRY ASHFORD STE 296 HOUSTON TX 77244		501C(3)	ELIMINATE HUNGER	5,162.
PLAN IT LIFE INC PO BOX 2994 CORONA CA 92878		501C(3)	HELPING FOR NEEDED	1,001.
PENCEL EMMANUEL CHARITABLE TRUST THAMPLAKADU PO KOTTAYAM DIST		FOREIGN CHARITABLE	HELPING FOR NEEDED	2,500.
FORT BEND COMMUNITY PARTNERS RAINBOW ROOM 1110 Avenue G Rosenberg TX 77471		501C(3)	CHILDREN CHRISTMAS PROJECT	100.
Habitat for Humanity International 270 Peachtree Street NW Suite 1300 ALINATA CA 31709		501C(3)	HELPING FOR NEEDED	200.
SMILE TRAIN 41 MADISON AVE 28TH FLOOR NEW YORK NY 10010		501C(3)	HELPING FOR NEEDED	250.
US FUND FOR UNICEF 125 Maiden Lane New York NY 10038		501C(3)	FIGHT FOR CHILD SURVIVAL	200.
SANKARA EYE FOUNDATION USA 900 MCCARTHY BLVD STE 300A ILPITAS CA 95035		501C(3)	HELPING FOR NEEDED	1,000.
STAR OF HOPE 6897 ARDMORE HOUSTON TX 77054		501C(3)	HELPING FOR NEEDED	100.
See Line 3a statement				490.
Total			► 3a	11,003.
b *Approved for future payment*				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	21.	
4 Dividends and interest from securities			14	4,448.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				4,469.	

13 Total. Add line 12, columns (b), (d), and (e) 13 4,469.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

10/31/12 Date

PRESIDENT Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name: MANZOOR HASAN

Preparer's signature

Date: 10/31/12

Check if self-employed

PTIN: P01426613

Firm's name ▶ ARJUNANI, HASAN AND CO

Firm's EIN ▶ 26-4018424

Firm's address ▶ 3050 POST OAK BLVD STE 550

HOUSTON TX 77056

Phone no. (713) 552-9499

Schedule B
(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**

OMB No. 1545-0047

2011

Name of the organization

Dominic Sroshti Charitable Foundation

Employer identification number

27-4399006

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) $5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year. ► $

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ, or 990-PF.

Name of organization

Dominic Sreshta Charitable Foundation

Employer identification number

27-43990[illegible]

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DOMINIC SRESHTA 26 BERENGER [illegible] SUGAR LAND TX 77479	$ 35,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Dominic Sreshta Charitable Foundation 27-4399006

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Other expenses:	850.	,908.	,908.	
REGISTRATION FEES	1,908.		1,908.	
INVESTMENT MANAGEMENT FEES	2,758.	1,908		
Total				

Form 990-PF, Page 11, Part XV, Line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				Person or Business ☒
ST JUDE CHILDREN'S RESEARCH HOSPITAL 262 Danny Thomas Place Memphis TN 38105		501C(3)		200.
DOCTORS WITHOUT BORDERS USA 333 7th Avenue, 2nd Floor NEW YORK NY 10001		501C(3)	PROVIDE EMERGENCY MEDICAL CARE	Person or Business ☒ 175.
THE SALVATION ARMY TEXAS 6500 HARRY HINES BLVD DALLAS TX 75235		501C(3	HELPING FOR NEEDED	Person or Business ☒ 75.
FRANCISCAN FRIARS OF THE ATONEMENT P.O. Box 301 Garrison NY 10524		501C(3)	HELPING FOR NEEDED	Person or Business ☒ 40.
Total				490.

Form 990-PF, Page 2, Part II, Line 22

Other Liab Stmt

Line 22 - Other Liabilities:	**Beginning Year Book Value**	**Ending Year Book Value**
CREDIT CARD PAYABLE		2,340.
Total		2,340.

Realized Gain/ Loss Detail

Date Sold	Quantity	Description	Covered	Date Acquired	Cost Basis	Proceeds	Gain/ Loss
Short Term Gain/Loss							
01/27/2011	25 00000	NETFLIX INC		12/30/2010	$4 492 75	$5,192 71	$699 96
02/11/2011	25 00000	HDFC BANK LTD ADR REPSTG 3 SHS		12 30/2010	$4,224 75	$3 [illegible]	$572 80
02/11/2011	25 00000	HDFC BANK LTD ADR REPSTG 3 SHS *	Yes	02 23/2011	$3,561 50	$3,701 93	$140 43
02 11/2011	200 00000	TATA MOTORS LIMITED SPONS ADR		12/30 2010	$5 673 84	$5 309 15	$535 69
02/11/2011	400 00000	TATA MOTORS LIMITED SPONS ADR		01/10 2011	$11,059 48	$10,676 32	$383 16
02/11/2011	250 00000	TATA MOTORS LIMITED SPONS ADR		01 27/2011	$6 592 18	$6 672 70	$80 52
03/10/2011	25 00000	NETFLIX INC*	Yes	02/24/20[illegible]	$16 146 73	$14,583 57	-$1 563 16
04/18/2011	100 00000	NATIONAL OILWELL VARCO INC		12/30 2010	$6 659 55	$7 316 17	$6[illegible]
04/18/2011	200 00000	NATIONAL OILWELL VARCO INC		02/04/2011	$13,358 29	$13 692 25	$325 96
04/18/2011	250 00000	NATIONAL OILWELL VARCO INC		02 14 2011	$19 932 00	$18 [illegible]	-$1 [illegible]
04/18/2011	0 75600	NATIONAL OILWELL VARCO INC		04/15/2011	$60 50	$56 83	-$3 67
04/18/2011	250 00000	TRANSOCEAN LIMITED NAMEN AKT *	Yes	03/14 2011	$19 729 30	$18 397 81	-$1,331 49
07/05/2011	10 00000	APERAM NY REGISTRY SHS		12 30/2010	$395 08	$326 69	$68 39
07/05/2011	0 04800	APERAM NY REGISTRY SHS		03 14/2011	$1 59	$1 57	$0 02
07/12/2011	200 00000	ARCELORMITTAL SA LUXEMBOURG NY REGISTRY SHARES		12/30 2010	$7,178 48	$6 538 25	-$640 23
07/12/2011	0 91600	ARCELORMITTAL SA LUXEMBOURG NY REG STRY SHARES		03 14/2011	$31 88	$29 94	-$1 94
07 12 2011	[illegible]	ARCELORMITTAL SA LUXEMBOURG NY REGISTRY SHARES		03 30/2011	$36 77	$32 69	-$4 08
07/12/2011	49 00000	ARCELORMITTAL SA LUXEMBOURG NY REGISTRY SHARES		[illegible]	$1 801 73	$1 [illegible]	$[illegible]
07/12/2011	52 44800	ARCELORMITTAL SA LUXEMBOURG NY REGISTRY SHARES		[illegible]	$1 749 14	[illegible]	$2[illegible]
07/12/2011	97 [illegible]	ARCELORMITTAL SA LUXEMBOURG NY REGISTRY SHARES		[illegible]	$[illegible]	$[illegible]	-$[illegible]

January 1, 2011 - December 31, 2011

AMERIPRISE STRATEGIC PORTFOLIO SERVICE ADVANTAGE (cont'd)

DOMINIC SRESHTA CHARITABLE
FOUNDATION

Account #
0000 6066 5697 3 133

Realized Gain/ Loss Detail (continued)

Date Sold	Quantity	Description	Covered	Date Acquired	Cost Basis	Proceeds	Gain/ Loss
Short Term Gain/Loss							
07/12/2011	2.44800	ARCELORMITTAL SA LUXEMBOURG NY REGISTRY SHARES		[illegible]	$79.84	$80.03	$0.19
07/26/2011	200.00000	GENERAL ELECTRIC COMPANY		[illegible]	$3,665.62	$3,709.32	[illegible]
07/26/2011	1000.00000	GENERAL ELECTRIC COMPANY		02/11/2011	$21,242.00	$18,999.63	-$2,242.37
07/26/2011	8.34700	GENERAL ELECTRIC COMPANY		[illegible]	$168.06	[illegible]	[illegible]
07/26/2011	9.59600	GENERAL ELECTRIC COMPANY		07/25/2011	$181.25	$182.33	$1.08
07/26/2011	100.00000	NETFLIX INC*	Yes	[illegible]	$23,383.98	$25,547.50	$2,163.52
08/04/2011	50.00000	CATERPILLAR INC		[illegible]	[illegible]	$4,590.41	[illegible]
08/04/2011	0.21000	CATERPILLAR INC		[illegible]	[illegible]	$19.27	[illegible]
08/04/2011	0.21000	CATERPILLAR INC		[illegible]	[illegible]	$19.29	[illegible]
08/04/2011	100.00000	JOHNSON & JOHNSON		[illegible]	[illegible]	$6,259.99	$31.14
12/08/2011	0.51700	ALTRIA GROUP INC		[illegible]	$12.72	$14.86	$2.14
12/09/2011	0.49600	AMERICAN CAPITAL AGENCY CORP*	Yes	[illegible]	$14.97	$14.35	-$0.62
12/08/2011	0.54600	JOHNSON & JOHNSON *	Yes	[illegible]	$32.05	$34.66	$2.61
Total Short Term Gain/Loss					**$191,172.25**	**$186,105.31**	**-$5,066.94**
Mutual Fund Gain/Loss							
[illegible]	1178.13400	MATTHEWS INTERNATIONAL FUNDS INDIA		[illegible]	$25,000.00	$23,772.62	[illegible]
02/08/2011	1647.98900	DREYFUS INTERNATIONAL FUNDS INC BRAZIL EQUITY FUND CL A		12/10/2010	$24,993.99	[illegible]	-$1,358.26
02/11/2011	687.38000	OPPENHEIMER DVLP MRKTS FD CL A		[illegible]	$25,000.01	[illegible]	[illegible]
02/14/2011	1356.48400	HANCOCK JOHN INVT TR GLOBAL OPPORTUNITIES FUND CLASS A		[illegible]	[illegible]	[illegible]	[illegible]
07/26/2011	149.74500	FIDELITY INVESTMENT TR ADVISOR INTERNATIONAL DISCOVERY FUND CLASS A		[illegible]	$4,999.39	[illegible]	[illegible]
07/26/2011	[illegible]	FIDELITY INVESTMENT TRUST EMERGING EUROPE MIDDLE EAST AFRICA CL A		[illegible]	[illegible]	[illegible]	[illegible]

January 1, 2011 - December 31, 2011

AMERIPRISE STRATEGIC PORTFOLIO SERVICE ADVANTAGE (cont'd)

DOMINIC SRESHTA CHARITABLE
FOUNDATION

Account #
0000 6066 5697 3 133

Realized Gain/ Loss Detail (continued)

Date Sold	Quantity	Description	Covered	Date Acquired	Cost Basis	Proceeds	Gain/ Loss
Mutual Fund Gain/Loss							
08/04/2011	1439.26300	AIM INVESTMENT FUNDS INVESCO SMALL COMPANIES FUND CL A		12/30/2010	$24 999 99	$23,0[illegible]	[illegible]
08/04/2011	638.84200	AIM COUNSELOR SER TR INVESCO VAN KAMPEN SMALL CAP GROWTH FUND CL A		03/01/2011	$7 500 00	$6 727 01	-$772 99
08/04/2011	635.16300	BLACKROCK FDS US OPPORTUNITIES PORT CL A		12/30/2010	$25 000 01	$22 732 48	-$2,267 53
08/04/2011	1985.70300	COLUMBIA FUNDS SERIES TRUST I SELECT LARGE CAP GROWTH FUND CLASS A		12/30/2010	$25 000 00	$24 801 43	$198 57
08/04/2011	30.79200	COLUMBIA FUNDS SERIES TRUST I SELECT LARGE CAP GROWTH FUND CLASS A		06 27/2011	$40[illegible] 07	$384.69	-$2[illegible]
08/04/2011	249.56300	ING MUT FDS RUSSIA FD CL A		05/17/2011	$9 999 98	$9,790 21	-$209 77
08/04/2011	1435.13200	ROYCE FUND MICRO CAP FUND FINANCIAL SERVICE CLASS		12/30/2010	$24 999 99	$23 679 68	-$1 320 31
Total Mutual Fund Gain/Loss					$227,907 01	$220,016 80	-$7 890 21
Total Realized Gain/ Loss					$419,079 26	$406,122 11	-$12 957 15

We are required to report cost basis, holding period and gain/ loss information to you and the IRS for most stock purchased on or after Jan 1 2011 We will report this information to you and the IRS on Form 1099-B at the end of the year for securities with a Y in the Covered field Cost basis information provided in this statement may not include certain adjustments needed for tax reporting purposes. For non-covered securities cost basis information is estimated and may be incomplete or missing, please work with your tax advisor to determine actual cost basis To learn more, see the disclosures at the end of this statement or visit ameriprise com/costbasis

*You specificallly identified these shares for sale.

Checks,Electronic Transfers(ACH) and ATM Activity

Date	Transaction	Reference	Description	Location	Amount
05/05/2011	CHECK	000000000002001	CHECK		-$2,662 38
08/04/2011	CHECK	000000000002001	CHECK		-$723 75
08/29/2011	CHECK	000000000002003	CHECK		$850 00
09/19/2011	CHECK	000000000002004	CHECK		-$2,500 00
10/13/2011	CHECK	000000000002007	CHECK		-$1 000 00
10/21/2011	CHECK	000000000002005	CHECK		-$2 500 00