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Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2011

Open to Public Inspection

A For the 2011 calendar year, or tax year beginning 01-01-2011 and ending 12-31-2011

B Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

C Name of organization
THE SOUTHEAST VIRGINIA COMMUNITY FOUNDATION

Doing Business As

Number and street (or P O box if mail is not delivered to street address) Room/suite
5800 HIGH STREET WEST

City or town, state or country, and ZIP + 4
PORTSMOUTH, VA 23703

D Employer identification number
27-2529017

E Telephone number
(757) 397-5424

G Gross receipts $ 3,092,830

F Name and address of principal officer
THOMAS E WOOD
5800 HIGH STREET WEST
PORTSMOUTH, VA 23703

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) **Are all affiliates included?** ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW SEVACF ORG

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶ | **L** Year of formation 2010 | **M** State of legal domicile VA

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
CONNECTING PEOPLE WHO CARE WITH CAUSES LARGE AND SMALL TO BENEFIT THE COMMUNITIES WE SERVE

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	27
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	26
5	Total number of individuals employed in calendar year 2011 (Part V, line 2a)	5	4
6	Total number of volunteers (estimate if necessary)	6	30
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
b	Net unrelated business taxable income from Form 990-T, line 34	7b	

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	540,829	637,139
	9	Program service revenue (Part VIII, line 2g)		0
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	77,462	243,522
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	31,000	92,478
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	649,291	973,139
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	309,263	641,461
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	64,012	141,039
	16a	Professional fundraising fees (Part IX, column (A), line 11e)		0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶12,197		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	84,696	213,795
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	457,971	996,295
	19	Revenue less expenses Subtract line 18 from line 12	191,320	-23,156

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	9,240,123	8,790,655
	21	Total liabilities (Part X, line 26)	32,660	514
	22	Net assets or fund balances Subtract line 21 from line 20	9,207,463	8,790,141

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

▶ ****** | 2012-10-08
Signature of officer | Date

▶ THOMAS E WOOD PRESIDENT
Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶ KIMBERLY C PAINTER	Date 2012-10-08	Check if self-employed ▶ ☐	Preparer's taxpayer identification number (see instructions)
Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ BARNES BROCK CORNWELL & HEILMAN PLC 908 EDEN WAY N STE 201 CHESAPEAKE, VA 233202640			EIN ▶ Phone no ▶ (757) 961-5017

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III . . . . ☐

1 Briefly describe the organization's mission

CONNECTING PEOPLE WHO CARE WITH CAUSES LARGE AND SMALL TO BENEFIT THE COMMUNITIES WE SERVE

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 5,360 including grants of $) (Revenue $)

THE FOUNDATION ADMINISTERS 12 SCHOLARSHIP FUNDS AND ANNUALLY HOLDS A CELEBRATION INVITING BOARD MEMBERS, ADVISORY BOARD MEMBERS, SCHOLARSHIP DONORS, AWARDEES AND THIER FAMILY MEMBERS, 187 INDIVIDUALS WERE INVITED AND 116 PERSONS IN ATTENDANCE

4b (Code) (Expenses $ 589,277 including grants of $ 589,277) (Revenue $)

GRANTS TO LOCAL CHARITIES - THE FOUNDATION AWARDED 103 GRANTS TO 76 LOCAL CHARTIES IN THE CHARITABLE AREAS OF EDUCATION, HEALTH AND HUMAN SERVICES, CIVIC AND ECONOMIC DEVELOPMENT, AND RELIGIOUS ORGANIZATIONS GRANTS ARE SUGGESTED BY FUND ADVISORS, ARE MADE FROM DESIGNATED OR FIELD OF INTEREST FUNDS, OR ARE RECOMMENDED AND APPROVED BY THE BOARD OF DIRECTORS FROM THE COMMUNITY NEEDS FUND (DISCRETIONARY FUNDS FROM UNRESTRICTED DOLLARS)

4c (Code) (Expenses $ 332,474 including grants of $ 52,184) (Revenue $)

DONOR EDUCATION AND OTHER PROGRAMS

4d Other program services (Describe in Schedule O)

(Expenses $ including grants of $) (Revenue $)

4e **Total program service expenses** ▶$ 927,111

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4		No
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6	Yes	
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line10? *If "Yes," complete Schedule D, Part VI.*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.*	11b		No
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX.*	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X.*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12a	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional*	12b		No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Part I*	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the U S ? *If "Yes," complete Schedule F, Part II and IV*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the U S ? *If "Yes," complete Schedule F, Part III and IV*	16		No
17	Did the organization report a total of more than $15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach its audited financial statement to this return? **Note.** All Form 990 filers that operated one or more hospitals must attach audited financial statements	20b		

Part IV Checklist of Required Schedules *(continued)*

21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	Yes	
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23		No
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to line 25*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34	Yes	
35a	Is any related organization a controlled entity of the filing organization within the meaning of section 512(b)(13)?	35a		No
b	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		No
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V . . . .

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	1a	1			
b	Enter the number of Forms W-2G included in line 1a *Enter -0- if not applicable*	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c		No
2a	Enter the number of employees reported on Form W-3, *Transmittal of Wage and Tax Statements* filed for the calendar year ending with or within the year covered by this return	2a	4			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?			2b	Yes	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)					
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?			3a		No
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*			3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account or securities account)?			4a		No
b	If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?			6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a		
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		No
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**					
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** All 501(c)(29) organizations must list in Schedule O each state in which they are licensed to issue qualified health plans, the amount of reserves required by each state, and the amount of reserves the organization allocated to each state			13a		
b	Enter the aggregate amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b				
c	Enter the aggregate amount of reserves on hand	13c				
14a	Did the organization receive any payments for indoor tanning services during the tax year?			14a		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*			14b		

Part VI **Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI . . . . ☑

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a 27			
b	Enter the number of voting members included in line 1a, above, who are independent	1b 26			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		3		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		4		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		5		No
6	Did the organization have members or stockholders?		6		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		7a		No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body?		8a	Yes	
b	Each committee with authority to act on behalf of the governing body?		8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review the Form 990			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	Yes	
b	Were officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes	
13	Did the organization have a written whistleblower policy?	13	Yes	
14	Did the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b		No
	If "Yes," to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☑ Own website ☑ Another's website ☑ Upon request

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶

THOMAS E WOOD
5800 HIGH STREET WEST
PORTSMOUTH, VA 23703
(757) 397-5424

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response to any question in this Part VII . . . . ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organizations compensated any current or former officer, director, or trustee

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) THOMAS R MARSHALL EXECUTIVE DI	40 00	X						23,912	0	0
(2) THOMAS E WOOD PRESIDENT	5 00	X						0	0	0
(3) J ROBERT BRAY DIRECTOR	1 00	X						0	0	0
(4) PATRICK S CALLAHAN DIRECTOR	1 00	X						0	0	0
(5) PAIGE D CHERRY DIRECTOR	1 00	X						0	0	0
(6) DONALD W COMER JR 1ST VICE PRE	1 00	X						0	0	0
(7) RAY A CONNER DIRECTOR	1 00	X						0	0	0
(8) PATRICK E CORBIN DIRECTOR	1 00	X						0	0	0
(9) GLORIA M CREECY DIRECTOR	1 00	X						0	0	0
(10) TIMOTHY S CULPEPPER DIRECTOR	1 00	X						0	0	0
(11) AMY W FOLKES DIRECTOR	1 00	X						0	0	0
(12) DANIEL E GRUBB DIRECTOR	1 00	X						0	0	0
(13) CARL L HARDEE DIRECTOR	1 00	X						0	0	0
(14) CLIFTON E HAYES DIRECTOR	1 00	X						0	0	0
(15) HEDY HEKLER DIRECTOR	1 00	X						0	0	0
(16) LOUIS H HIBBITTS JR DIRECTOR	1 00	X						0	0	0
(17) STEPHENS JOHNSON 2ND VICE PRE	1 00	X						0	0	0

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(18) DAVID R KENERSON JR DIRECTOR	1 00	X						0	0	0
(19) WILLIAM H OAST III DIRECTOR	1 00	X						0	0	0
(20) PATRICK L REYNOLDS DIRECTOR	1 00	X						0	0	0
(21) SUSAN G ROBERTSON DIRECTOR	1 00	X						0	0	0
(22) J HOWARD RODMAN JR TREASURER	1 00	X						0	0	0
(23) PHILIP M RUDISILL DIRECTOR	1 00	X						0	0	0
(24) DAVID W STOCKMEIER DIRECTOR	1 00	X						0	0	0
(25) EMIL A VIOLA DIRECTOR	1 00	X						0	0	0
(26) RICHARD E H WENTZ DIRECTOR	1 00	X						0	0	0
(27) ROBERT T WILLIAMS DIRECTOR	1 00	X						0	0	0

		(D)	(E)	(F)
1b	**Sub-Total** . . . . ►			
c	**Total from continuation sheets to Part VII, Section A** . . . . ►			
d	**Total (add lines 1b and 1c)** . . . . ►	23,912		

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ►

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	**3**		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	**4**		No
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	**5**		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ►

Part VIII Statement of Revenue

Section	Line	Description	Ref	Amount	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns	1a	3,136				
	b	Membership dues	1b					
	c	Fundraising events	1c					
	d	Related organizations	1d					
	e	Government grants (contributions)	1e					
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	634,003				
	g	Noncash contributions included in lines 1a-1f $ 3,818						
	h	**Total.** Add lines 1a-1f			637,139			
Program Service Revenue			Business Code					
	2a							
	b							
	c							
	d							
	e							
	f	All other program service revenue						
	g	**Total.** Add lines 2a–2f						
Other Revenue	3	Investment income (including dividends, interest and other similar amounts)			204,575	202,789		1,786
	4	Income from investment of tax-exempt bond proceeds						
	5	Royalties						
			(i) Real	(ii) Personal				
	6a	Gross rents						
	b	Less rental expenses						
	c	Rental income or (loss)						
	d	Net rental income or (loss)						
			(i) Securities	(ii) Other				
	7a	Gross amount from sales of assets other than inventory	2,158,638					
	b	Less cost or other basis and sales expenses	2,118,627	1,064				
	c	Gain or (loss)	40,011	-1,064				
	d	Net gain or (loss)			38,947	38,947		
	8a	Gross income from fundraising events (not including $ ____ of contributions reported on line 1c) See Part IV, line 18	a					
	b	Less direct expenses	b					
	c	Net income or (loss) from fundraising events						
	9a	Gross income from gaming activities See Part IV, line 19	a					
	b	Less direct expenses	b					
	c	Net income or (loss) from gaming activities						
	10a	Gross sales of inventory, less returns and allowances	a					
	b	Less cost of goods sold	b					
	c	Net income or (loss) from sales of inventory						
		Miscellaneous Revenue		Business Code				
	11a	ADMINISTRATIVE FEE INCOME		523000	81,808			81,808
	b	MISCELLANEOUS INCOME		900099	10,670			10,670
	c							
	d	All other revenue						
	e	**Total.** Add lines 11a–11d			92,478			
	12	**Total revenue.** See Instructions			973,139	241,736		94,264

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D)
Check if Schedule O contains a response to any question in this Part IX . . . .

	Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States See Part IV, line 21	564,311	564,311		
2	Grants and other assistance to individuals in the United States See Part IV, line 22	77,150	77,150		
3	Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees	23,912	17,934	3,587	2,391
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7	Other salaries and wages	89,398	67,049	13,410	8,939
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	2,682	2,146	536	
9	Other employee benefits	16,379	13,103	3,276	
10	Payroll taxes	8,668	6,501	1,300	867
11	Fees for services (non-employees)				
a	Management				
b	Legal	5,851	4,681	1,170	
c	Accounting	9,550	7,640	1,910	
d	Lobbying				
e	Professional fundraising *See Part IV, line 17* . .				
f	Investment management fees	105,974	84,779	21,195	
g	Other	10,729	8,583	2,146	
12	Advertising and promotion				
13	Office expenses	9,408	7,526	1,882	
14	Information technology				
15	Royalties . .				
16	Occupancy	6,806	5,445	1,361	
17	Travel	180	145	35	
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	710	568	142	
20	Interest				
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	34,957	34,957		
23	Insurance	2,283	2,055	228	
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O)				
a	COMPUTER AND SOFTWARE EXP	9,799	7,839	1,960	
b	DONOR SERVICES	6,258	5,006	1,252	
c	SCHOLARSHIP EVENT	3,305	3,305		
d	MEMBERSHIP DUES	2,650	2,120	530	
e					
f	All other expenses	5,335	4,268	1,067	
25	**Total functional expenses.** Add lines 1 through 24f	996,295	927,111	56,987	12,197
26	**Joint costs.** Check here ▶ ☐ if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X **Balance Sheet**

			(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing	1,778,488	1	52,963
	2	Savings and temporary cash investments		2	1,039,338
	3	Pledges and grants receivable, net		3	
	4	Accounts receivable, net		4	
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges		9	
	10a	Land, buildings, and equipment cost or other basis *Complete Part VI of Schedule D* — 10a: 732,647			
	b	Less accumulated depreciation — 10b: 216,713	539,900	10c	515,934
	11	Investments—publicly traded securities	6,921,735	11	7,182,420
	12	Investments—other securities See Part IV, line 11		12	
	13	Investments—program-related See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets See Part IV, line 11		15	
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)	9,240,123	16	8,790,655
Liabilities	17	Accounts payable and accrued expenses	32,501	17	
	18	Grants payable		18	
	19	Deferred revenue		19	
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability *Complete Part IV of Schedule D*		21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons *Complete Part II of Schedule L*		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D	159	25	514
	26	**Total liabilities.** Add lines 17 through 25	32,660	26	514
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**			
	27	Unrestricted net assets	4,625,194	27	4,316,828
	28	Temporarily restricted net assets	2,651,533	28	2,417,979
	29	Permanently restricted net assets	1,930,736	29	2,055,334
		Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	9,207,463	33	8,790,141
	34	Total liabilities and net assets/fund balances	9,240,123	34	8,790,655

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI . . . . ☑

1	Total revenue (must equal Part VIII, column (A), line 12)	1	973,139
2	Total expenses (must equal Part IX, column (A), line 25)	2	996,295
3	Revenue less expenses Subtract line 2 from line 1	3	-23,156
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . .	4	9,207,463
5	Other changes in net assets or fund balances (explain in Schedule O)	5	-394,166
6	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	8,790,141

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII . . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ______________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? . .	2a		No
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	2c	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both ☐ Separate basis ☑ Consolidated basis ☐ Both consolidated and separated basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits . .	3b		

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
THE SOUTHEAST VIRGINIA COMMUNITY FOUNDATION

Employer identification number
27-2529017

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)

8 ☑ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?	**11g(i)**		
(ii) a family member of a person described in (i) above?	**11g(ii)**		
(iii) a 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	**(ii)** EIN	**(iii)** Type of organization (described on lines 1- 9 above or IRC section (see instructions))	**(iv)** Is the organization in col (i) listed in your governing document? Yes	No	**(v)** Did you notify the organization in col (i) of your support? Yes	No	**(vi)** Is the organization in col (i) organized in the U S ? Yes	No	**(vii)** Amount of support?
Total									

Part II Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")				540,829	637,139	1,177,968
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3				540,829	637,139	1,177,968
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public Support.** Subtract line 5 from line 4						1,177,968

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
7 Amounts from line 4				540,829	637,139	1,177,968
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources				75,417	1,786	77,203
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets				34,854	92,478	127,332
11 **Total support** (Add lines 7 through 10)						1,382,503

12 Gross receipts from related activities, etc (See instructions) | 12 | 202,789

13 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2011 (line 6 column (f) divided by line 11 column (f)) | 14 | 85 210 %

15 Public Support Percentage for 2010 Schedule A, Part II, line 14 | 15 | 83 060 %

16a **33 1/3% support test—2011.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ☑

b **33 1/3% support test—2010.** If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ☐

17a **10%-facts-and-circumstances test—2011.** If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ☐

b **10%-facts-and-circumstances test—2010.** If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ☐

18 **Private Foundation** If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ☐

Part III **Support Schedule for Organizations Described in IRC 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	**(a)** 2007	**(b)** 2008	**(c)** 2009	**(d)** 2010	**(e)** 2011	**(f)** Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public Support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in)	**(a)** 2007	**(b)** 2008	**(c)** 2009	**(d)** 2010	**(e)** 2011	**(f)** Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support** (Add lines 9, 10c, 11 and 12)						

14 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

15 Public Support Percentage for 2011 (line 8 column (f) divided by line 13 column (f))	15	
16 Public support percentage from 2010 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2011** (line 10c column (f) divided by line 13 column (f))	17	
18 Investment income percentage from **2010** Schedule A, Part III, line 17	18	

19a **33 1/3% support tests—2011.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2010.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private Foundation** If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions ▶☐

Part IV **Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation

Additional Data

Software ID:	
Software Version:	
EIN:	27-2529017
Name:	THE SOUTHEAST VIRGINIA COMMUNITY FOUNDATION

Form 990, Special Condition Description:

Special Condition Description

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493283003662

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
THE SOUTHEAST VIRGINIA COMMUNITY FOUNDATION

Employer identification number
27-2529017

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	21	6
2	Aggregate contributions to (during year)	447,500	12,100
3	Aggregate grants from (during year)	127,258	
4	Aggregate value at end of year	2,343,361	247,451

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☑ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit ☑ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or pleasure)
☐ Preservation of an historically importantly land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ ________

4 Number of states where property subject to conservation easement is located ▶ ________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☑ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶ ________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☑ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ________

(ii) Assets included in Form 990, Part X ▶ $ ________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ________

b Assets included in Form 990, Part X ▶ $ ________

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☑ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☑ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☑ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current Year	(b) Prior Year	(c) Two Years Back	(d) Three Years Back	(e) Four Years Back
1a Beginning of year balance	2,078,900	2,019,258			
b Contributions	124,598				
c Investment earnings or losses	101,962	105,703			
d Grants or scholarships	-94,937	-40,762			
e Other expenditures for facilities and programs					
f Administrative expenses	-151,914	-5,299			
g End of year balance	2,058,609	2,078,900			

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ▶

b Permanent endowment ▶ 100 000 %

c Term endowment ▶

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)		No
(ii) related organizations	3a(ii)		No
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		No

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		104,730		104,730
b Buildings		610,610	200,011	410,599
c Leasehold improvements				
d Equipment		17,307	16,702	605
e Other				
Total. Add lines 1a-1e *(Column (d) should equal Form 990, Part X, column (B), line 10(c).)* ▶				515,934

Part VII Investments—Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
Other		
Total. *(Column (b) should equal Form 990, Part X, col (B) line 12)* ▶		

Part VIII Investments—Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) should equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. *(Column (b) should equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1 (a) Description of Liability	(b) Amount
Federal Income Taxes	
PAYROLL LIABILITIES	514
Total. *(Column (b) should equal Form 990, Part X, col (B) line 25)* ▶	514

2. Fin 48 (ASC 740) Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC740)

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	973,139
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	996,295
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	-23,156
4	Net unrealized gains (losses) on investments	4	-382,933
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	-382,933
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	-406,089

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	885,544
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	-382,933		
b	Donated services and use of facilities	2b	295,338		
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines **2a** through **2d**			2e	-87,595
3	Subtract line **2e** from line **1**			3	973,139
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total Revenue Add lines **3** and **4c.** (This should equal Form 990, Part I, line 12)			5	973,139

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	1,291,633
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a	295,338		
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines **2a** through **2d**			2e	295,338
3	Subtract line **2e** from line **1**			3	996,295
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses Add lines **3** and **4c.** (This should equal Form 990, Part I, line 18)			5	996,295

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
LIABILITY UNDER FIN 48 FOOTNOTE	SCHEDULE D, PAGE 3, PART X	ON JANUARY 1, 2009, THE FOUNDATION ADOPTED THE RECOGNITION REQUIREMENTS FOR UNCERTAIN INCOME TAX POSITIONS AS REQUIRED BY GENERALLY ACCEPTED ACCOUNTING PRINCIPLES, WITH NO CUMULATIVE EFFECT ADJUSTMENT REQUIRED INCOME TAX BENEFITS ARE RECOGNIZED FOR INCOME TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN A RETURN, ONLY WHEN IT IS DETERMINED THAT THE INCOME TAX POSITION WILL MORE-LIKELY-THAN-NOT BE SUSTAINED UPON EXAMINATION BY TAXING AUTHORITIES THE FOUNDATION HAS ANALYZED THE TAX POSITIONS TAKEN IN ITS FILINGS WITH THE INTERNAL REVENUE SERVICE THE FOUNDATION BELIEVES THAT ITS INCOME TAX FILING POSITIONS WILL BE SUSTAINED UPON EXAMINATION AND DOES NOT ANTICIPATE ANY ADJUSTMENTS THAT WOULD RESULT IN A MATERIAL ADVERSE AFFECT ON THE FOUNDATION'S FINANCIAL CONDITION, RESULTS OF OPERATIONS OR CASH FLOWS ACCORDINGLY, THE FOUNDATION HAS NOT RECORDED ANY RESERVES, OR RELATED ACCRUALS FOR INTEREST AND PENALTIES FOR UNCERTAIN INCOME TAX POSITIONS AT DECEMBER 31, 2011
SUPPLEMENTAL FINANCIAL INFORMATION	SCHEDULE D, PAGE 4, PART XIV	THE FOUNDATION'S FORM 990 FOR 2010, 2009, AND 2008 ARE SUBJECT TO EXAMINATION BY THE INTERNAL REVENUE SERVICE, GENERALLY FOR THREE YEARS AFTER THEY WERE FILED

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
THE SOUTHEAST VIRGINIA COMMUNITY FOUNDATION

Employer identification number
27-2529017

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed . . . ▶ ☐

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . ▶ 123

3 Enter total number of other organizations listed in the line 1 table . . . ▶

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	**(b)** Number of recipients	**(c)** Amount of cash grant	**(d)** Amount of non-cash assistance	**(e)** Method of valuation (book, FMV, appraisal, other)	**(f)** Description of non-cash assistance
(1) SCHOLARSHIPS	57	77,150			

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
PROCEDURES FOR MONITORING THE USE OF GRANT FUNDS INSIDE THE UNITED STATES	SCHEDULE I, PAGE 1, PART I, LINE 2	SCHEDULE I, PART I, LINE 2 ALL GRANT RECEIPIENTS ARE REQUIRED TO FILE A FINAL OUTCOME REPORT THE FOUNDATION TRACKS ALL REPORTS RECEIVED

Additional Data

Return to Form

Software ID:
Software Version:
EIN: 27-2529017
Name: THE SOUTHEAST VIRGINIA COMMUNITY FOUNDATION

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
BUSINESS CONSORTIUM FOR ARTS			6,500				ARTS AND CULTURE
CHANCE MORGAN			161,978				CIVIC
CHESAPEAKE HUMANE SOCIETY			15,000				HEALTH/HUMAN SRV
CHESAPEAKE REGIONAL HEALTH			10,000				HEALTH/HUMAN SRV
CHESAPEAKE SERVICE SYSTEMS			9,678				HEALTH / HUMAN SRV
EDMARC HOSPICE FOR CHILDREN			18,374				HEALTH/HUMAN SRV
FOODBANK OF SE HAMPTONROADS			17,322				HEALTH/ HUMAN SRV
FRIENDS OF THE PORTSMOUTH JUV COURT			14,400				HEALTH/HUMAN SRV
OASIS OPPORTUNITY CENTER			12,515				HEALTH/HUMAN SRV
OASIS SOCIAL MINISTRY			101,500				RELIGIOUS
OPERATION HOMEFRONT			6,400				HEALTH/HUMAN SRV
PARC INC			20,529				HEALTH/HUMAN SRV
PORTSMOUTH CHRISTIAN OUTREACH			9,450				RELIGIOUS
PORTSMOUTH MUSEUMS FOUNDATION			15,500				ARTS & CULTURE
PORTSMOUTH VOLUNTEERS FOR HOMELESS			7,035				HEALTH/HUMAN SRV
UNITED WAY OF SOUTH HAMPTONROADS			10,500				HEALTH/HUMAN SRV
YOUNG AUDIENCE			5,200				ARTS AND CULTURE
ZION BEHTEL COMMUNITY DEVELOPMENT			10,000				HEALTH/HUMAN SRV

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SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
THE SOUTHEAST VIRGINIA COMMUNITY FOUNDATION

Employer identification number
27-2529017

Identifier	Return Reference	Explanation
ORGANIZATION'S PROCESS USED TO REVIEW FORM 990	FORM 990, PAGE 6, PART VI, LINE 11B	THE EXECUTIVE DIRECTOR, PRESIDENT, TREASURER AND CHAIR OF THE FINANCE COMMITTEE REVIEW THE RETURN BEFORE IT IS FILED
ENFORCEMENT OF CONFLICTS POLICY	FORM 990, PAGE 6, PART VI, LINE 12C	ON AN ANNUAL BASIS, AT THE FIRST MEETING OF THE FISCAL AND CALENDAR YEAR, A COPY OF THE FOUNDATION'S CONFLICT OF INTEREST STATEMENT WILL BE DISTRIBUTED TO EACH MEMBER OF THE FOUNDATION BOARD OF DIRECTORS AND TRUST DISTRIBUTION COMMITTEE FOR COMPLETION COMPLETED STATEMENTS WILL BE COLLECTED AT THE END OF THE MEETING MEMBERS NOT PRESENT WILL RECEIVE A COPY OF THE CONFLICT OF INTEREST STATEMENT BY USPS MAIL OR EMAIL COMPLETION THE FOUNDATION AMINISTRATIVE STAFF WILL FOLLOW UP WITH EACH BOARD MEMBER TO ENSURE A COMPLETED STATEMENT IS ON FILE FOR EACH MEMBER EACH BOARD MEETING PACKAGE WILL INCLUDE A LIST OF FOUNDATION BOARD MEMBER AND TRUST DISTRIBUTION COMMITTEE MEMBER CONFLICTS LISTED ON THEIR STATEMENT THE LISTING WILL BE REFERED TO PRIOR TO ANY VOTE RELATED TO POTENTIAL CONFLICTS
COMPENSATION PROCESS FOR TOP OFFICIAL	FORM 990, PAGE 6, PART VI, LINE 15A	DOCUMENTS CAN BE VIEWED ON THE ORGANIZATION'S WEBSITE AS WELL AS ANOTHER'S WEBSITE THEY ARE ALSO AVAILABLE UPON REQUEST
GOVERNING DOCUMENTS DISCLOSURE EXPLANATION	FORM 990, PAGE 6, PART VI, LINE 19	DOCUMENTS CAN BE VIEWED ON THE ORGANIZATION'S WEBSITE AS WELL AS ANOTHER'S WEBSITE THEY ARE ALSO AVAILABLE UPON REQUEST
OTHER CHANGES IN NET ASSETS EXPLANATION	FORM 990, PART XI, LINE 5	PART XI LINE 5, OTHER CHANGES IN NET ASSETS OR FUND BALANCES THIS REPRESENTS UNREALIZED GAIN ON INVESTMENTS OF 382,933 AND THE PORTSMOUTH COMMUNITY FOUNDATION ACTIVITY OF 11,233

SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
THE SOUTHEAST VIRGINIA COMMUNITY FOUNDATION

Employer identification number
27-2529017

Part I **Identification of Disregarded Entities** (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) CHILDREN'S HOME LLC 5800 HIGH STREET WEST PORTSMOUTH, VA 23703 54-6062589	OWNER	VA	1	540,599	SEVACF

Part II **Identification of Related Tax-Exempt Organizations** (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled organization Yes	No
(1) PORTSMOUTH COMMUNITY TRUST 5800 HIGH STREET WEST PORTSMOUTH, VA 23703 54-6062589	TRUST	VA	501C3	8	SEVACF		No

Part III **Identification of Related Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV **Identification of Related Organizations Taxable as a Corporation or Trust** (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, 35A, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III or IV

			Yes	No
1	During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a	Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties **(iv)** rent from a controlled entity	**1a**		**No**
b	Gift, grant, or capital contribution to related organization(s)	**1b**		**No**
c	Gift, grant, or capital contribution from related organization(s)	**1c**		**No**
d	Loans or loan guarantees to or for related organization(s)	**1d**		**No**
e	Loans or loan guarantees by related organization(s)	**1e**		**No**
f	Sale of assets to related organization(s)	**1f**		**No**
g	Purchase of assets from related organization(s)	**1g**		**No**
h	Exchange of assets with related organization(s)	**1h**		**No**
i	Lease of facilities, equipment, or other assets to related organization(s)	**1i**		**No**
j	Lease of facilities, equipment, or other assets from related organization(s)	**1j**		**No**
k	Performance of services or membership or fundraising solicitations for related organization(s)	**1k**		**No**
l	Performance of services or membership or fundraising solicitations by related organization(s)	**1l**		**No**
m	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	**1m**		**No**
n	Sharing of paid employees with related organization(s)	**1n**		**No**
o	Reimbursement paid to related organization(s) for expenses	**1o**		**No**
p	Reimbursement paid by related organization(s) for expenses	**1p**		**No**
q	Other transfer of cash or property to related organization(s)	**1q**		**No**
r	Other transfer of cash or property from related organization(s)	**1r**		**No**

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

	(a) Name of other organization	**(b)** Transaction type(a-r)	**(c)** Amount involved	**(d)** Method of determining amount involved
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

Part VI **Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" on Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	

Part VII Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier	Return Reference	Explanation

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Form **4562**

Depreciation and Amortization

(Including Information on Listed Property)

Department of the Treasury
Internal Revenue Service (99)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2011

Attachment Sequence No **179**

Name(s) shown on return	Business or activity to which this form relates	Identifying number
THE SOUTHEAST VIRGINIA COMMUNITY FOUNDATION	INDIRECT DEPRECIATION	27-2529017

Part I Election To Expense Certain Property Under Section 179
Note: *If you have any listed property, complete Part V before you complete Part I.*

1 Maximum amount (see instructions)	1	500,000
2 Total cost of section 179 property placed in service (see instructions)	2	
3 Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4 Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5 Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost

7 Listed property Enter the amount from line 29	7	
8 Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9 Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10 Carryover of disallowed deduction from line 13 of your 2010 Form 4562	10	
11 Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12 Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13 Carryover of disallowed deduction to 2012 Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V.*

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property **)** (See instructions)

14 Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	12,055
15 Property subject to section 168(f)(1) election	15	
16 Other depreciation (including ACRS)	16	22,484

Part III MACRS Depreciation (Do not include listed property.**)** (See instructions.)

Section A

17 MACRS deductions for assets placed in service in tax years beginning before 2011	17	418
18 If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶☐		

Section B—Assets Placed in Service During 2011 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2011 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (see instructions)

21 Listed property Enter amount from line 28	21	
22 **Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	34,957
23 For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete* ***only*** *24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

Section A—Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles.***)**

24a Do you have evidence to support the business/investment use claimed? **Yes** **No** | **24b** If "Yes," is the evidence written? **Yes** **No**

(a) Type of property (list vehicles first)	**(b)** Date placed in service	**(c)** Business/ investment use percentage	**(d)** Cost or other basis	**(e)** Basis for depreciation (business/investment use only)	**(f)** Recovery period	**(g)** Method/ Convention	**(h)** Depreciation/ deduction	**(i)** Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)						**25**		
26 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		

28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1 . **28**

29 Add amounts in column (i), line 26 Enter here and on line 7, page 1 . . . . **29**

Section B—Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person

If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle 1		**(b)** Vehicle 2		**(c)** Vehicle 3		**(d)** Vehicle 4		**(e)** Vehicle 5		**(f)** Vehicle 6	
30 Total business/investment miles driven during the year (**do not** include commuting miles) . . .												
31 Total commuting miles driven during the year .												
32 Total other personal(noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
	Yes	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use? .												

Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

	Yes	**No**
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)		
Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles		

Part VI **Amortization**

(a) Description of costs	**(b)** Date amortization begins	**(c)** Amortizable amount	**(d)** Code section	**(e)** Amortization period or percentage	**(f)** Amortization for this year
42 Amortization of costs that begins during your 2011 tax year (see instructions)					

43 Amortization of costs that began before your 2011 tax year **43**

44 **Total.** Add amounts in column (f) See the instructions for where to report . . **44**

Raymond ies & Associates, Inc.

Account 22704011

Proceeds from Broker and Barter Exchange Transactions

2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS
Report on Form 8949 Part II, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of * stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis	Gain or loss	Additional Information
BLACKROCK MUNIENHANCED FD / CUSIP 09253Y100						
06/06/11	1,450.000	14,777 45	12/15/08	9,328.52	5,448 93	Sale

Raymond s & Associates, Inc.

Account 22703592

Proceeds from Broker and Barter Exchange Transactions

2011

8 - *SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS*

Report on Form 8949. Part I with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis	Gain or loss	Additional Information
AMERICAN BALANCED FUND CLASS A / CUSIP: 024071102						
01/21/11	10.804	196.85	VARIOUS	180.11	16.74	Sale
BOND FUND OF AMERICA CLASS A - / CUSIP: 09787310[illegible]						
01/21/11	35.644	433.43	VARIOUS	442.44	-9.01	Sale
CAPITAL INCOME BUILDER FUND / CUSIP 140193103						
01/21/11	16.541	828.70	VARIOUS	794.30	34.40	Sale
CAPITAL WORLD BOND FUND CLASS / CUSIP 14054110[illegible]						
2 tax lots for 01/21/11 Total proceeds (and cost when required) reported to the IRS.						
	32.697	*667.67*	*VARIOUS*	*684.03*	*-16.36*	*Sale*
	751.880	*15,353.39*	*03/25/10*	*15,000.00*	*353.39*	*Sale*
01/21/11	784.577	16,021.06	VARIOUS	15,684.03	337.03	Total of 2 lots
FUNDAMENTAL INVESTORS FUND / CUSIP: 36080210[illegible]						
01/21/11	16.633	618.58	VARIOUS	547.39	71.19	Sale
INTERMEDIATE BOND FUND OF / CUSIP: 458809100						
2 tax lots for 01/21/11. Total proceeds (and cost when required) reported to the IRS						
	12.971	*173.94*	*VARIOUS*	*176.91*	*-2.97*	*Sale*
	2,274.450	*30,500.38*	*03/25/10*	*30,000.00*	*500.38*	*Sale*
01/21/11	2,287.421	30,674.32	VARIOUS	30,176.91	497.41	Total of 2 lots
	Totals:	**48,772.94**		**47,825.18**	**947.76**	

Raymond James & Associates, Inc.

Account 22703592

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS
Report on Form 8949, Part II, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of* stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis	Gain or loss	Additional Information
AMERICAN BALANCED FUND CLASS A / CUSIP. 024071102						
01/21/11	2,001 261	36,462.97	10/02/08	31,699.98	4,762.99	Sale
BOND FUND OF AMERICA CLASS A - / CUSIP. 097873103						
01/21/11	3,818 135	46,428 52	10/02/08	43,755.82	2,672 70	Sale
CAPITAL INCOME BUILDER FUND / CUSIP. 140193103						
01/21/11	1,765.115	88 432.27	10/02/08	84,955.00	3,477.27	Sale
CAPITAL WORLD BOND FUND CLASS / CUSIP 140541103						
01/21/11	3,048 278	62,245.84	10/02/08	56,637.00	5,608 84	Sale
FUNDAMENTAL INVESTORS FUND / CUSIP 360802102						
01/21/11	4,561.598	169,645 83	10/02/08	141,592 00	28,053.83	Sale
GROWTH FUND OF AMERICA CLASS / CUSIP: 399874106						
01/21/11	2,221.930	68,413 22	10/02/08	56,637.00	11,776 22	Sale
SMALLCAP WORLD FUND CLASS A - / CUSIP: 831681101						
01/21/11	1,045.716	40,594 70	10/02/08	28,318.00	12,276 70	Sale
	Totals:	512,223.35		443,594.80	68,628.55	

Fidelity INVESTMENTS

2011 Informational Tax Reporting Statement

SOUTHEAST VA COMMUNITY FNDN

Account No **646-731056** Customer Service 866-623-6145
Recipient ID No ****-***9017** Payer's Fed ID Number 04-3523567

***Note** This information is not reported to the IRS. It may assist you in tax return preparation.*

2011 Proceeds from Broker and Barter Exchange Transactions

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) §	Cost or Other Basis (3) æ	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	Federal Income Tax Withheld (4)
AMERICAN EUROPACIFICGROWTH CLASS F1 298706409	01/07/04	04/21/11 Long-Term	15 913 Sale	700.00	503 23	196 77		
ARTISAN INTERNAT'L INVESTOR CLASS 04314H204	01/07 04	04/21/11 Long-Term	84 246 Sale	2,000 00	1,616 75	383 25		
	01/07/04	06/16/11 Long-Term	6 818 Sale	150 00	130 84	19 16		
Sub Totals				**2,150.00**	**1,747.59** z			
				Long-Term Gains		402 41		
ARTISAN SMALL CAP VALUE 04314H501	01.07.04	04/21/11 Long-Term	54 496 Sale	1,000 00	850 47	149 53		
ASTON/ MONTAG & CALDWELL GROWTH CL N 00078H299	01/07/04	06/16/11 Long-Term	18 534 Sale	450.00	415 68	34 32		
	01.07.04	12/01/11 Long-Term	52 146 Sale	1,300 00	1,169 54	130 46		
Sub Totals				**1,750.00**	**1,585.22** z			
				Long-Term Gains		164 78		
BRANDES INSTL INTL EQUITY FUND 105262703	01 07/04	04/21/11 Long-Term	56 568 Sale	900 00	968 48	-68 48		
CENTURY SMALL CAP SELECT INVESTOR 15649P109	01/20/09	04/21/11 Long-Term	38 491 Sale	1,000 00	467 66	532 34		
CULLEN HIGH DIVIDENDEQUITY FUND 230001208	12/23/08	06/16/11 Long-Term	60 338 Sale	750 00	594 01	155 99		
	12/23/08	12/01/11 Long-Term	95 465 Sale	1,200 00	939 82	260 18		
Sub Totals				**1,950.00**	**1,533.83** z			
				Long-Term Gains		416 17		

*Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement provided is based on IRS information reporting requirements for individuals as of the preparation date and is NOT furnished to the IRS. You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.

2011 Informational Tax Reporting Statement

SOUTHEAST VA COMMUNITY FNDN | Account No **646-731056** | Customer Service 866-623-6145
Recipient ID No ****-***9017** | Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2011 Proceeds from Broker and Barter Exchange Transactions

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) §	Cost or Other Basis (3) æ	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	**Federal Income Tax Withheld (4)**
FIDELITY FLOATING RATE HIGH INCOME 315916783	04/21/11	12/01/11 Short-Term	62 305 Sale	600 00	616.20	-16 20		
HIGHMARK GENEVA MID CAP GRWTH A 431113604	12/23/08	04/21/11 Long-Term	112 500 Sale	2,700 00	1,344 85	1,355 15		
	12/23/08	12/01/11 Long-Term	56 228 Sale	1,300 00	672 16	627 84		
Sub Totals				**4,000.00**	**2,017 01 z**			
				Long-Term Gains		1,982 99		
JP MORGAN STRATEGIC INCOME OPPORT A 4812A4385	04/21/11	12/01/11 Short-Term	39 267 Sale	450 00	471 60	-21 60		
LAZARD EMERGING MKTSOPEN CLASS 52106N764	07/29/09	04/21/11 Long-Term	44 484 Sale	1 000 00	690 39	309 61		
	07/29/09	06/16/11 Long-Term	11 781 Sale	250 00	182 84	67 16		
Sub Totals				**1,250.00**	**873.23 z**			
				Long-Term Gains		376 77		
PARNASSUS EQUITY INCOME PORTFOLIO 701769101	01/07/04	06/16/11 Long-Term	15 106 Sale	400 00	366 27	33 73		
	01/07/04	12/01/11 Long-Term	24 914 Sale	650 00	604 08	45 92		
Sub Totals				**1,050.00**	**970.35 z**			
				Long-Term Gains		79 65		
PIMCO TOTAL RETURN ADMINISTRATIVE SHS 693390726	various	06/16/11 Long-Term	90 992 Sale	1,000.00	966 63	33 37		

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2011 Informational Tax Reporting Statement

SOUTHEAST VA COMMUNITY FNDN

Account No **646-731056** Customer Service 866-623-6145
Recipient ID No ****-***9017** Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2011 Proceeds from Broker and Barter Exchange Transactions

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) §	Cost or Other Basis (3) æ	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	Federal Income Tax Withheld (4)
PIMCO TOTAL RETURN ADMINISTRATIVE SHS 693390726	various	12/01/11 Long-Term	148 285 Sale	1,600 00	1,575.28	24 72		
Sub Totals				**2,600.00**	**2,541.91** z			
				Long-Term Gains		58 09		
PIMCO TOTAL RETURN INSTL 693390700	various	04/21/11 Long-Term	3,825 137 Sale	41,975 00	41,016 74	958 26		
THIRD AVENUE REAL ESTATE VALUE INSTL 884116401	01/07/04	06/16/11 Long-Term	67 625 Sale	1,600 00	1,426 63	173 37		
TOUCHSTONE SANDS CAP SEL GRWTH Z 89155H819	01/09/07	04/21/11 Long-Term	262 911 Sale	2,800 00	1,968 13	831 87		
	01/09/07	06/16/11 Long-Term	44 865 Sale	450 00	335 86	114 14		
	01/09/07	12/01/11 Long-Term	106 693 Sale	1,100 00	798 69	301 31		
Sub Totals				**4,350.00**	**3,102.68** z			
				Long-Term Gains		1,247 32		
VAN KAMPEN AMER CAP STR 10 TR US PORT NO 92095C593	07/29/09	04/21/11 Long-Term	25 151 Sale	750 00	512 83	237 17		
	07/29/09	06/16/11 Long-Term	5 297 Sale	150 00	108 01	41.99		
Sub Totals				**900 00**	**620.84** z			
				Long-Term Gains		279 16		

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Fidelity INVESTMENTS

2011 Informational Tax Reporting Statement

SOUTHEAST VA COMMUNITY FNDN | Account No 646-731056 | Customer Service 866-623-6145
Recipient ID No **-***9017 | Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2011 Proceeds from Broker and Barter Exchange Transactions

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) §	Cost or Other Basis (3) æ	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	Federal Income Tax Withheld (4)
WELLS FARGO C&B MID CAP VALUE FD INVT CL 94975J227	06/29/10	12/01/11 Long-Term	126 502 Sale	2,000 00	1,682 48	317 52		
WILLIAM BLAIR INT L GROWTH CLASS N 093001402	01/07/04	04/21/11 Long-Term	26 608 Sale	600 00	477 82	122 18		
TOTALS				**70,825.00**	**63,473.97 z**			**0.00**
SHORT-TERM TOTALS				**1,050.00**	**1,087.80 z**			
			Gains			0 00		
			Losses			-37 80		
			Disallowed Losses				0 00	
LONG-TERM TOTALS				**69,775.00**	**62,386.17 z**			
			Gains			7,457 31		
			Losses			-68 48		
			Disallowed Losses				0 00	

§ Gross proceeds less commissions
æ Cost or other basis provided may include adjustments including but not limited to dividend reinvestment return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium
z Totals of Cost or Other Basis include all tax lots with known basis Totals do not include tax lots with unknown basis

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers

For securities bought in a foreign currency, we have provided estimated cost basis in United States Dollars (USD) by converting the foreign currency cost into USD based on exchange rates on the trade date of the purchase For securities sold (or redeemed) in a foreign currency, we have provided the USD proceeds by converting the foreign currency proceeds into USD based on exchange rates on the trade date of the sale If you acquired the foreign currency cost, or sold the foreign currency proceeds in exchange for USD in a separate currency transaction linked to the security transaction, then the trade date exchange rate we used is the spot rate at the time of the linked currency transaction Otherwise, the trade date exchange rate we used is the end-of-day exchange rate For tax reporting purposes, you may be required to determine your actual USD cost basis, proceeds, and gain/loss based on the exchange rates on the settlement dates of the applicable transactions Consult your tax advisor for more information

We are required to convert a purchase or sale in foreign currency into its United States dollar (USD) equivalent at the time of the transaction and to use that USD equivalent amount as the cost basis or gross proceeds as applicable For securities directly bought or sold in a foreign currency in a single transaction in your account, we have converted the foreign currency into USD on the trade date of the purchase or sale using the end-of-day exchange rate If you acquired the foreign currency cost, or sold the foreign currency proceeds in exchange for USD in a separate currency transaction linked to the security transaction, the conversion was made using the spot rate at the time of the linked currency transaction In some cases, you may be required to use different currency conversion conventions than those applied here

Certain tax rules may require you to treat as ordinary income/loss all or a portion of your realized gain/loss from any debt instruments that are denominated in a currency other than U S dollars (USD) or that make a payment calculated by reference to the value of a currency other than USD Consult your tax advisor for more information

*Our records indicate that you are an exempt recipient for 1099 reporting purposes This statement provided is based on IRS information reporting requirements for individuals as of the preparation date and is NOT furnished to the IRS You may be subject to different income tax reporting requirements Please contact your tax advisor if you have any questions

Raymond [illegible] & Associates, Inc. — Account 22705666

Proceeds from Broker and Barter Exchange Transactions

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949 Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of* stocks, bonds, etc	Date of acquisition	Cost or other basis (These columns are not reported to the IRS)	Gain or loss	Additional Information
BP PLC SPONSORED ADR / CUSIP 055622104						
09/19/11	100.000	3,773.12	03/02/11	4,854.21	-1,081.09	Sale
CHESAPEAKE ENERGY CORPORATION / CUSIP: 165167107						
02/22/11	700.000	22,114.61	05/24/10	14,953.16	7,161.45	Sale
	Totals:	25,887.73		19,807.37	6,080.36	

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of* stocks, bonds, etc	Date of acquisition	Cost or other basis (These columns are not reported to the IRS)	Gain or loss	Additional Information
BANK OF AMERICA CORPORATION / CUSIP. 060505104						
2 tax lots for 01/25/11 Total proceeds (and cost when required) reported to the IRS						
	350.000	*4,657.98*	*04/19/07*	*18,078.76*	*-13,420.78*	*Sale*
	150.000	*1,996.28*	*04/07/08*	*6,068.12*	*-4,071.84*	*Sale*
01/25/11	500.000	6,654.26	VARIOUS	24,146.88	-17,492.62	Total of 2 lots
BOND FUND OF AMERICA CLASS A - / CUSIP. 097873103						
01/25/11	409.836	5,000.00	10/02/08	4,696.72	303.28	Sale
06/02/11	805.802	10,000.00	10/02/08	9,234.49	765.51	Sale
06/07/11	161.160	2,000.00	10/02/08	1,846.89	153.11	Sale
	Security total	17,000.00		15,778.10	1,221.90	
GENERAL ELECTRIC COMPANY / CUSIP. 369604103						
07/15/11	100.000	1,769.16	VARIOUS	3,101.00	-1,331.84	Sale
PROCTER & GAMBLE COMPANY / CUSIP 742718109						
10/26/11	50.000	3,153.48	07/21/09	2,814.56	338.92	Sale
VERIZON COMMUNICATIONS / CUSIP: 92343V104						
11/16/11	300.000	10,960.86	VARIOUS	8,498.48	2,462.38	Sale

Raymond James & Associates, Inc.

Account 22705686

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

6 - Tax lots: NONCOVERED**

Report on Form 8949, Part II with Box B checked

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis	Gain or loss	Additional Information
	Totals:	39,537.76		54,339.02	-14,801.26	

Raymond James & Associates, Inc.

Account 22705652

2011

Proceeds from Broker and Barter Exchange Transactions

8 - *SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS*

Report on Form 8949, Part I with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis (These columns are not reported to the IRS)	Gain or loss (These columns are not reported to the IRS)	Additional Information
CHESAPEAKE ENERGY CORPORATION / CUSIP· 165167107						
02/22/11	400.000	12,602 80	05/24/10	8,542 72	4,060.08	Sale

8 - *LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS*

Report on Form 8949, Part II, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis (These columns are not reported to the IRS)	Gain or loss (These columns are not reported to the IRS)	Additional Information
AMERICAN BALANCED FUND CLASS A / CUSIP· 024071102						
02/01/11	20 184	373 00	10/02/08	319.71	53.29	Sale
BANK OF AMERICA CORPORATION / CUSIP. 060505104						
01/25/11	260 000	3,445 37	09 [illegible]/04	11,553.00	-8,107.63	Sale
JOHNSON & JOHNSON / CUSIP. 478160104						
02/09/11	150.000	8,967 88	10/29/07	9,792.62	-824.74	Sale
PFIZER INCORPORATED / CUSIP 717081103						
2 tax lots for 09/19/11 Total proceeds (and cost when required) reported to the IRS						
	150 000	*2 664 20*	*04/19/07*	*4,102 34*	*-1,438 14*	*Sale*
	100 000	*1,776 14*	*05/15/06*	*2,062.75*	*-286.61*	*Sale*
09/19/11	250.000	4 440 34	VARIOUS	6,165.09	-1,724.75	Total of 2 lots
VERIZON COMMUNICATIONS / CUSIP 92343V104						
2 tax lots for 11/16/11 Total proceeds (and cost when required) reported to the IRS						
	200 000	*7,309 21*	*03/29/04*	*.*	*0 00*	*Sale*
	100 000	*3,654 61*	*01 21/10*	*2,917.90*	*736 71*	*Sale*
11/16/11	300 000	10,963 82	VARIOUS	2,917.90	736 71	Total of 2 lots
	Totals:	28,190.41		30,748.32	-9,867.12	

2011 Realized Capital Gains & Losses

LUFFMAN JUDITH 960051131

01/01/2011 -- 12/31/2011

Created 7/20/2012 1 03 pm

Entire Period

Short Term Gain	5,302.43	Long Term Gain	0.00
Short Term Loss	-32,122.51	Long Term Loss	0.00
Short Term Net	-26,820.08	Long Term Net	0.00
Short Term Sales	1,273,818.37	Long Term Sales	0.00
Short Term Cost	1,300,638.45	Long Term Cost	0.00

Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Cost	ST Gain/Loss	LT Gain/Loss	Trading Method	Settled
05/25/2011		04/29/2011	CONSUMER STAPLES SELECT SEC (XLP)	272	8 638 55	8 578 94	59 61			05/31/2011
10/19/2011		10/18/2011	CONSUMER STAPLES SELECT SEC (XLP)	2	62 03	61 93	0 10			10/24/2011
10/24/2011		10/18/2011	CONSUMER STAPLES SELECT SEC (XLP)	2	62 57	61 92	0 65			10/27/2011
11/03/2011		10/18/2011	CONSUMER STAPLES SELECT SEC (XLP)	1	31 06	30 96	0 10			11/08/2011
11/07/2011		10/18/2011	CONSUMER STAPLES SELECT SEC (XLP)	2	62 35	61 93	0 42			11/10/2011
11/08/2011		10/18/2011	CONSUMER STAPLES SELECT SEC (XLP)	1	31 40	30 96	0 44			11/14/2011
11/14/2011		10/18/2011	CONSUMER STAPLES SELECT SEC (XLP)	7	218 18	216 74	1 44			11/17/2011
11/21/2011		10/18/2011	CONSUMER STAPLES SELECT SEC (XLP)	232	7 077 12	7 183 30	-106 18			11/25/2011
11/21/2011		10/21/2011	CONSUMER STAPLES SELECT SEC (XLP)	241	7 351 66	7 562 19	-210 53			11/25/2011
11/21/2011		10/27/2011	CONSUMER STAPLES SELECT SEC (XLP)	4	122 02	126 14	-4 12			11/25/2011
12/14/2011		12/07/2011	GUGGENHEIM RUSSELL TOP 50 E (XLG)	79	7 008 98	7 205 74	-196 76			12/19/2011
12/14/2011		12/12/2011	GUGGENHEIM RUSSELL TOP 50 E (XLG)	48	1 256 62	4 295 95	-37 33			12/19/2011
01/20/2011		01/05/2011	GUGGENHEIM S&P EQUAL WEIGHT (RSP)	2	95 67	95 52	0 15			01/25/2011
02/24/2011		01/05/2011	GUGGENHEIM S&P EQUAL WEIGHT (RSP)	660	32 227 58	31 521 53	706 05			03/01/2011
11/01/2011		10/24/2011	GUGGENHEIM S&P EQUAL WEIGHT (RSP)	199	9 036 81	9 301 50	-264 69			11/04/2011
11/01/2011		10/27/2011	GUGGENHEIM S&P EQUAL WEIGHT (RSP)	65	2 951 72	3 132 90	-181 18			11/04/2011
10/19/2011		10/18/2011	IDSHARES DOW JONES SELECT (DVY)	2	102 43	101 93	0 50			10/24/2011
10/21/2011		10/18/2011	IDSHARES DOW JONES SELECT (DVY)	1	51 47	50 96	0 51			10/26/2011
10/24/2011		10/18/2011	IDSHARES DOW JONES SELECT (DVY)	1	52 19	50 96	1 23			10/27/2011
11/03/2011		10/18/2011	IDSHARES DOW JONES SELECT (DVY)	2	101 83	101 93	-0 10			11/08/2011
11/07/2011		10/18/2011	IDSHARES DOW JONES SELECT (DVY)	1	52 38	50 96	1 42			11/10/2011
11/21/2011		10/18/2011	IDSHARES DOW JONES SELECT (DVY)	144	7 248 24	7 338 70	-90 46			11/25/2011
11/21/2011		11/14/2011	IDSHARES DOW JONES SELECT (DVY)	70	3 523 45	3 656 63	-133 18			11/25/2011
12/01/2011		11/08/2011	ISHARES BARCLAYS TIPS BOND (TIP)	45	5,233 93	5 290 65	-56 72			12/06/2011
04/13/2011		04/05/2011	ISHARES DJ U S BASIC MATERI (IYM)	216	17 073 15	16 123 33	1,050 18			04/18/2011
05/17/2011		04/20/2011	ISHARES DOW JONES US REAL E (IYR)	68	4 115 05	4 223 92	108 87			05/20/2011
05/17/2011		05/02/2011	ISHARES DOW JONES US REAL E (IYR)	15	907 73	932 24	-24 51			05/20/2011
11/10/2011		11/08/2011	ISHARES DOW JONES US REAL E (IYR)	67	3 681 57	3 842 68	-161 11			11/16/2011
11/17/2011		11/08/2011	ISHARES IBOXX $ INVESTOP IN (LQD)	46	5 156 73	5 278 50	-121 77			11/22/2011
10/04/2011		09/22/2011	ISHARES LEHMAN 3-7 YEAR TRE (IEI)	1	121 66	122 20	-0 54			10/07/2011
10/12/2011		09/22/2011	ISHARES LEHMAN 3-7 YEAR TRE (IEI)	50	6 005 93	6 109 97	-104 04			10/13/2011
10/04/2011		09/22/2011	ISHARES LEHMAN SHORT TREASU (SHV)	[illegible]	1 873 87	1 874 42	-0 55			10/07/2011
10/12/2011		09/22/2011	ISHARES LEHMAN SHORT TREASU (SHV)	4	440 91	441 04	-0 13			10/17/2011
10/18/2011		09/22/2011	ISHARES LEHMAN SHORT TREASU (SHV)	3	330 68	330 78	-0 10			10/21/2011
10/19/2011		09/22/2011	ISHARES LEHMAN SHORT TREASU (SHV)	302	33,289 58	33 298 52	-8 94			10/20/2011
10/21/2011		09/22/2011	ISHARES LEHMAN SHORT TREASU (SHV)	206	22,925 73	22 934 08	-8 35			10/26/2011
10/24/2011		09/22/2011	ISHARES LEHMAN SHORT TREASU (SHV)	314	34 609 04	34 621 64	-12 60			10/27/2011
11/09/2011		11/03/2011	ISHARES MSCI HONG KONG INDE (EWH)	224	3 565 76	3 768 51	-202 75			11/15/2011
11/09/2011		11/07/2011	ISHARES MSCI HONG KONG INDE (EWH)	4	63 68	67 00	-3 32			11/15/2011
11/09/2011		11/08/2011	ISHARES MSCI HONG KONG INDE (EWH)	224	3 565 76	3 777 67	-211 91			11/15/2011
07/07/2011		07/05/2011	ISHARES MSCI JAPAN INDEX FD (EWJ)	10	106 49	105 66	0 83			07/12/2011
08/01/2011		07/05/2011	ISHARES MSCI JAPAN INDEX FD (EWJ)	1 176	12,471 72	12 447 34	24 38			08/04/2011
07/18/2011		07/05/2011	ISHARES MSCI MALAYSIA (FREE (EWM)	270	3 989 17	4 170 29	-181 12			07/21/2011
07/18/2011		07/07/2011	ISHARES MSCI MALAYSIA (FREE (EWM)	2	29 55	30 94	-1 39			07/21/2011
04/18/2011		04/05/2011	ISHARES MSCI EMRG MKTS INDE (EEM)	358	17,058 87	17 868 35	-809 48			04/21/2011
04/18/2011		04/06/2011	ISHARES MSCI EMRG MKTS INDE (EEM)	287	13,675 68	14 358 54	-682 86			04/21/2011
11/09/2011		11/08/2011	ISHARES MSCI EMRG MKTS INDE (EEM)	182	7 243 35	7 628 46	-385 11			11/15/2011
07/07/2011		07/06/2011	ISHARES RUSSELL 1000 GROWTH (IWF)	1	62 67	61 96	0 71			07/12/2011
07/18/2011		07/06/2011	ISHARES RUSSELL 1000 GROWTH (IWF)	269	16 248 63	16 666 51	-417 88			07/21/2011
09/22/2011		09/20/2011	ISHARES RUSSELL 1000 GROWTH (IWF)	418	22,093 25	23 996 34	-1,903 09			09/27/2011
01/20/2011		01/05/2011	ISHARES RUSSELL 2000 INDEX (IWM)	222	17 227.97	17 524 57	-296 60			01/25/2011
01/24/2011		01/05/2011	ISHARES RUSSELL 2000 INDEX (IWM)	224	17,450 73	17 682 45	-231 72			01/27/2011
04/13/2011		04/04/2011	ISHARES RUSSELL 2000 INDEX (IWM)	211	17 265 80	17 919 57	-653 77			04/18/2011
04/13/2011		04/06/2011	ISHARES RUSSELL 2000 INDEX (IWM)	207	16 938 48	17 621 35	-682 87			04/18/2011
07/14/2011		07/07/2011	ISHARES RUSSELL 2000 INDEX (IWM)	99	8 182 98	8,498 21	-315 23			07/19/2011

Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Cost	ST Gain/Loss	LT Gain/Loss	Trading Method	Settled
10/20/2011		10/19/2011	ISHARES RUSSELL 2000 INDEX (IWM)	107	7 383 39	7 570 25	-186 86			10/25/2011
05/17/2011		04/28/2011	ISHARES S&P 500 INDEX FD (IVV)	63	8 372 54	8 589 27	-216 73			05/20/2011
05/17/2011		04/29/2011	ISHARES S&P 500 INDEX FD (IVV)	188	24,984 71	25 746 98	-762 27			05/20/2011
10/24/2011		10/18/2011	ISHARES S&P 500 INDEX FD (IVV)	2	251 63	245 79	5 84			10/27/2011
10/27/2011		10/18/2011	ISHARES S&P 500 INDEX FD (IVV)	2	257 14	245 79	11 35			11/01/2011
11/01/2011		10/18/2011	ISHARES S&P 500 INDEX FD (IVV)	121	14 857 67	14 870 43	-12 76			11/04/2011
11/01/2011		10/19/2011	ISHARES S&P 500 INDEX FD (IVV)	121	14,857 66	14,889 05	-31 39			11/04/2011
11/01/2011		10/21/2011	ISHARES S&P 500 INDEX FD (IVV)	124	15,226 04	15 358 60	-132 56			11/04/2011
07/07/2011		07/05/2011	ISHARES S&P SMALLCAP 600 (IJR)	1	76 21	74 90	1 31			07/12/2011
07/14/2011		07/05/2011	ISHARES S&P SMALLCAP 600 (IJR)	111	8 136 25	8 313 73	-177 48			07/19/2011
07/07/2011		07/06/2011	ISHARES S&P MIDCAP 400 GR (IJK)	1	115 42	114 02	1 40			07/12/2011
07/14/2011		07/06/2011	ISHARES S&P MIDCAP 400 GR (IJK)	73	8 086 01	8 323 56	-237 55			07/19/2011
10/04/2011		09/22/2011	ISHS LEHMAN 7-10 YR TREAS B (IEF)	1	105 55	106 34	0 79			10/07/2011
10/12/2011		09/22/2011	ISHS LEHMAN 7-10 YR TREAS B (IEF)	29	2 967 28	3 083 84	-116 56			10/13/2011
10/04/2011		09/22/2011	ISHS LEHMAN 20+ YR TREAS BD (TLT)	1	122 97	122 85	0 12			10/07/2011
10/12/2011		09/22/2011	ISHS LEHMAN 20+ YR TREAS BD (TLT)	25	2 853 09	3 071 22	-218 13			10/13/2011
05/11/2011		04/28/2011	ISHS MSCI EAFE INDEX FD (EFA)	135	8 262 18	8 576 55	-314 37			05/16/2011
05/11/2011		05/02/2011	ISHS MSCI EAFE INDEX FD (EFA)	133	8 139 77	8 517 32	-377 55			05/16/2011
03/10/2011		01/20/2011	ISHS S&P 100 INDEX FD (OEF)	152	8 992 83	8 774 97	117 86			03 15 2011
12/14/2011		12/07/2011	ISHS S&P 100 INDEX FD (OEF)	126	6 989 46	7 211 48	-222 02			12/19/2011
12/14/2011		12/12/2011	ISHS S&P 100 INDEX FD (OEF)	77	4 271 34	4 311 97	-40 63			12/19/2011
12/14/2011	Wash Sale Adj	01/05/2012	ISHS S&P 100 INDEX FD (OEF)	126		-222 02	222 02			12/19/2011
12/14/2011	Wash Sale Adj	01/05/2012	ISHS S&P 100 INDEX FD (OEF)	77		-40 63	40 63			12 19/2011
02/24/2011		01/12/2011	POWERSHARES QQQ NASDAQ 100 (QQQQ)	312	17,550 60	17 624 86	-74 26			03/01/2011
05/17/2011		05/02/2011	POWERSHARES QQQ NASDAQ 100 (QQQ)	232	13 281 16	13 717 59	-436 43			05/20/2011
07/14/2011		07/07/2011	POWERSHARES QQQ NASDAQ 100 (QQQ)	285	16 274 12	16 894 71	-620 59			07 19 2011
09/19/2011		09/15/2011	POWERSHARES QQQ NASDAQ 100 (QQQ)	14	787 22	786 23	0 99			09/22/2011
09/20/2011		09/15/2011	POWERSHARES QQQ NASDAQ 100 (QQQ)	1	57 12	56 16	0 96			09 23 2011
09/22/2011		09/15/2011	POWERSHARES QQQ NASDAQ 100 (QQQ)	276	14 692 30	15 499 88	-807 58			09 27 2011
09/22/2011		09/16/2011	POWERSHARES QQQ NASDAQ 100 (QQQ)	283	15 064 93	15 984 01	-919 08			09/27/2011
11/14/2011		11/07/2011	POWERSHARES QQQ NASDAQ 100 (QQQ)	1	57 44	58 13	-0 69			11/17/2011
11/17/2011		11/07/2011	POWERSHARES QQQ NASDAQ 100 (QQQ)	389	21 747 31	22 613 37	-866 06			11/22/2011
07/07/2011		07/06/2011	SECTOR SPDR TR SHS BEN INT- (XLB)	1	40 60	39 97	0 63			07/12/2011
07/18/2011		07/06/2011	SECTOR SPDR TR SHS BEN INT- (XLB)	206	8 113 82	8 314 28	-200 46			07/21/2011
11/09/2011		11/08/2011	SECTOR SPDR TR SHS BEN INT- (XLB)	107	3 638 21	3 809 05	-170 84			11/15/2011
05/23/2011		04/29/2011	SECTOR SPDR TR SHS BEN INT- (XLV)	243	8 647 16	8 579 72	67 44			05/26/2011
05/23/2011		05/02/2011	SECTOR SPDR TR SHS BEN INT- (XLV)	240	8 540 41	8 552 04	-11 63			05/26/2011
01/12/2011		01/05/2011	SECTOR SPDR TR SHS BEN INT- (XLY)	465	17,563 67	17 614 20	-50 53			01/18/2011
05/17/2011		04/29/2011	SECTOR SPDR TR SHS BEN INT- (XLY)	105	4 180 94	4 267 33	-86 39			05 20/2011
05/17/2011		05/02/2011	SECTOR SPDR TR SHS BEN INT- (XLY)	105	4 180 93	4 285 03	-104 10			05/20/2011
07/15/2011		07/05/2011	SECTOR SPDR TR SHS BEN INT- (XLY)	203	8 143 26	8 391 29	-248 03			07/20/2011
09/22/2011		09/20/2011	SECTOR SPDR TR SHS BEN INT- (XLY)	210	7 345 86	8 003 21	-657 35			09 27 2011
10/27/2011		10/24/2011	SECTOR SPDR TR SHS BEN INT- (XLY)	194	7 642 87	7 751 79	-108 92			11/01/2011
03/10/2011		01/05/2011	SECTOR SPDR TR SHS BEN INT- (XLE)	257	19 097 30	17 563 35	1 533 95			03/15/2011
03/10/2011		01/20/2011	SECTOR SPDR TR SHS BEN INT- (XLE)	57	4 235 59	3 958 07	277 52			03/15/2011
04/12/2011		04/05/2011	SECTOR SPDR TR SHS BEN INT- (XLE)	223	16,805 56	17 918 05	-1 112 49			04/15/2011
11/01/2011		10/19/2011	SECTOR SPDR TR SHS BEN INT- (XLE)	112	7 522 97	7,591 36	-68 39			11/04/2011
11/01/2011		10/24/2011	SECTOR SPDR TR SHS BEN INT- (XLE)	44	2,955 45	3,053 47	-98 02			11/04/2011
11/01/2011		10/27/2011	SECTOR SPDR TR SHS BEN INT- (XLE)	63	4,231 67	4 577 20	-345 53			11/04/2011
02/23/2011		01/05/2011	SECTOR SPDR TR SHS BEN INT- (XLI)	499	18,076 94	17 594 69	482 25			02/28/2011
02/23/2011		01/20/2011	SECTOR SPDR TR SHS BEN INT- (XLI)	117	4,238 48	4 167 54	70 94			02/28/2011
07/07/2011		07/06/2011	SECTOR SPDR TR SHS BEN INT- (XLI)	1	38 32	37 93	0.39			07/12/2011
07/12/2011		07/06/2011	SECTOR SPDR TR SHS BEN INT- (XLI)	220	8,110 14	8 344 95	-234 81			07/15/2011
10/27/2011		10/24/2011	SECTOR SPDR TR SHS BEN INT- (XLI)	1	34 19	33 28	0 91			11/01/2011
11/01/2011		10/24/2011	SECTOR SPDR TR SHS BEN INT- (XLI)	232	7,533 12	7,719 86	-186 74			11/04/2011

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Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Cost	ST Gain/Loss	LT Gain/Loss	Trading Method	Settled
09/22/2011		09/20/2011	SECTOR SPDR TR SHS BEN INT- (XLU)	234	7 680 67	8 095 77	-415 10			09/27/2011
11/07/2011		11/03/2011	SECTOR SPDR TR SHS BEN INT- (XLU)	1	35 13	35 20	-0 07			11/10/2011
11/07/2011	Wash Sale Adj	11/08/2011	SECTOR SPDR TR SHS BEN INT- (XLU)	1		-0 07	0 07			11/10/2011
11/22/2011		11/03/2011	SECTOR SPDR TR SHS BEN INT- (XLU)	107	3 633 11	3 766 37	-133 26			11/28/2011
11/22/2011		11/08/2011	SECTOR SPDR TR SHS BEN INT- (XLU)	1	33 96	35 34	-1 38			11/28/2011
11/22/2011		11/14/2011	SECTOR SPDR TR SHS BEN INT- (XLU)	104	3 531 25	3 644 16	-112 91			11/28/2011
03/10/2011		01/24/2011	SPDR DOW JONES INDUSTRIAL A (DIA)	146	17 563 46	17,429 53	133 93			03/15/2011
05/17/2011		04/28/2011	SPDR DOW JONES INDUSTRIAL A (DIA)	135	16 753 18	17 167 14	-413 96			05/20/2011
05/17/2011		04/29/2011	SPDR DOW JONES INDUSTRIAL A (DIA)	134	16 629 08	17 161 11	-532 03			05/20/2011
05/17/2011		05/02/2011	SPDR DOW JONES INDUSTRIAL A (DIA)	65	8 066 34	8 322 16	-255 82			05/20/2011
07/07/2011		07/06/2011	SPDR DOW JONES INDUSTRIAL A (DIA)	1	127 13	126 03	1 10			07/12/2011
07/29/2011		07/06/2011	SPDR DOW JONES INDUSTRIAL A (DIA)	198	24,076 57	24 954 30	-877 73			08/03/2011
10/24/2011		10/19/2011	SPDR DOW JONES INDUSTRIAL A (DIA)	2	237 68	232 30	5 38			10/27/2011
10/27/2011		10/19/2011	SPDR DOW JONES INDUSTRIAL A (DIA)	2	242 89	232 30	10 59			11/01/2011
11/03/2011		10/19/2011	SPDR DOW JONES INDUSTRIAL A (DIA)	3	359 37	348 45	10 92			11/08/2011
11/14/2011		10/19/2011	SPDR DOW JONES INDUSTRIAL A (DIA)	5	602 74	580 75	21 99			11/17/2011
11/21/2011		10/19/2011	SPDR DOW JONES INDUSTRIAL A (DIA)	185	21 212 43	21 487 75	-275 32			11/25/2011
11/21/2011		10/21/2011	SPDR DOW JONES INDUSTRIAL A (DIA)	64	7 338 35	7 499 52	-161 17			11/25/2011
11/21/2011		11/07/2011	SPDR DOW JONES INDUSTRIAL A (DIA)	60	6 879 71	7 204 46	-324 75			11/25/2011
05/05/2011		04/29/2011	SPDR GOLD TRUST (GLD)	114	16,416 81	17 184 98	-768 17			05/10/2011
11/08/2011		10/27/2011	SPDR GOLD TRUST (GLD)	2	348 79	339 71	9 08			11/14/2011
11/14/2011		10/27/2011	SPDR GOLD TRUST (GLD)	1	172 91	169 86	3 05			11/17/2011
11/21/2011		10/27/2011	SPDR GOLD TRUST (GLD)	20	3 258 75	3 396 05	-137 30			11/25/2011
11/21/2011		11/03/2011	SPDR GOLD TRUST (GLD)	66	10 753 88	11 324 71	-570 83			11/25/2011
11/21/2011		11/07/2011	SPDR GOLD TRUST (GLD)	43	7 006 31	7 513 16	-506 85			11/25/2011
01/20/2011		01/05/2011	SPDR S&P 500 ETF TR (SPY)	1	127 74	127 50	0 24			01/25/2011
03/10/2011		01/05/2011	SPDR S&P 500 ETF TR (SPY)	137	17 889 11	17 466 99	422 12			03/15/2011
04/18/2011		04/04/2011	SPDR S&P 500 ETF TR (SPY)	67	8 701 22	8 929 09	-227 87			04/21/2011
04/18/2011		04/05/2011	SPDR S&P 500 ETF TR (SPY)	201	26,103 65	26 858 82	-755 17			04/21/2011
07/18/2011		07/07/2011	SPDR S&P 500 ETF TR (SPY)	162	21 014 39	21 959 54	-945 15			07/21/2011
07/18/2011		07/13/2011	SPDR S&P 500 ETF TR (SPY)	61	7 912 83	8 106 72	-193 89			07/21/2011
10/13/2011		10/12/2011	SPDR S&P 500 ETF TR (SPY)	254	30 346 57	30 885 89	-539 32			10/18/2011
11/17/2011		11/14/2011	SPDR S&P 500 ETF TR (SPY)	59	7 181 93	7 391 99	-210 06			11/22/2011
10/27/2011		10/24/2011	SPDR S&P HOMEBUILDERS ETF (XHB)	6	102 41	99 31	3 10			11/01/2011
11/01/2011		10/24/2011	SPDR S&P HOMEBUILDERS ETF (XHB)	232	3 590 06	3 839 83	-249 77			11/04/2011
01/20/2011		01/05/2011	SPDR S&P MIDCAP 400 ETF TR (MDY)	2	332 38	331 78	0 60			01/25/2011
02/24/2011		01/05/2011	SPDR S&P MIDCAP 400 ETF TR (MDY)	210	35,774 66	34 836 88	937 78			03/01/2011
04/18/2011		04/04/2011	SPDR S&P MIDCAP 400 ETF TR (MDY)	49	8 550 07	8,874 64	-324 57			04/21/2011
04/18/2011		04/05/2011	SPDR S&P MIDCAP 400 ETF TR (MDY)	50	8 724 55	9 112 49	-387 94			04/21/2011
04/18/2011		04/06/2011	SPDR S&P MIDCAP 400 ETF TR (MDY)	97	16 925 64	17 630 19	-704 55			04/21/2011
07/14/2011		07/06/2011	SPDR S&P MIDCAP 400 ETF TR (MDY)	92	16,210 38	16 724 88	-514 50			07/19/2011
10/27/2011		10/19/2011	SPDR S&P MIDCAP 400 ETF TR (MDY)	1	165 73	154 98	10 75			11/01/2011
11/01/2011		10/19/2011	SPDR S&P MIDCAP 400 ETF TR (MDY)	48	7,502 92	7 439 11	63 78			11/04/2011
11/09/2011		11/08/2011	SPDR S&P OIL & GAS EXPLORAT (XOP)	66	3 549 45	3 786 09	-236 64			11/15/2011
10/27/2011		10/24/2011	SPDR S&P RETAIL ETF (XRT)	73	3 905 46	3,925 53	-20 07			11/01/2011
09/22/2011		09/20/2011	TECH SELECT SECTOR SPDR TR (XLK)	318	7 497 34	8 009 88	-512 54			09/27/2011
10/04/2011		09/22/2011	VANGAURD SHORT-TERM BOND ET (BSV)	10	812 39	816 45	-4 06			10/07/2011
10/12/2011		09/22/2011	VANGAURD SHORT-TERM BOND ET (BSV)	225	18 195 74	18 370 13	-174 39			10/13/2011
10/18/2011		09/22/2011	VANGAURD SHORT-TERM BOND ET (BSV)	2	162 49	163 29	-0 80			10/21/2011
10/19/2011		09/22/2011	VANGAURD SHORT-TERM BOND ET (BSV)	336	27 249 07	27,432 72	-183 65			10/20/2011
05/11/2011		05/02/2011	WISDOMTREE EMERGING MARKETS (DEM)	53	3 282 88	3 415 29	-132 41			05/16/2011
			Total		1,273 818 37	1,300,638 45	-26 820 08			

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2011 Realized Capital Gains & Losses

LUFFMAN JUDITH 960051134
01/01/2011 -- 12/31/2011

Created 7 20 2012 1 03 pm

Entire Period			
Short Term Gain	2,309 74	Long Term Gain	0.00
Short Term Loss	-1.185.18	Long Term Loss	0.00
Short Term Net	1,124.56	Long Term Net	0.00
Short Term Sales	78,455.10	Long Term Sales	0.00
Short Term Cost	77,330.54	Long Term Cost	0 00

LUFFMAN JUDITH 36-0511-4

Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Cost	ST Gain/Loss	LT Gain/Loss	Trading Method	Settled
03/14/2011		01/05/2011	AQR DIVERSIFIED ARBITRAGE F (ADANX)	4 462	50 20	49 66	0 54			03/15/2011
08/10/2011		01/05/2011	AQR DIVERSIFIED ARBITRAGE F (ADANX)	40 970	453 98	455 62	-1 64			08/11/2011
09/27/2011		01/05/2011	AQR DIVERSIFIED ARBITRAGE F (ADANX)	19 615	218 90	218 32	0 58			09/28/2011
03/07/2011		01/05/2011	ASSETMARK INTL EQUITY FD (AFIEX)	54 623	464 30	442 99	21 31			03/08/2011
03/07/2011		01/05/2011	ASSETMARK LARGE CAP GROWTH (AFLGX)	39 799	391 22	379 28	11 94			03/08/2011
03/07/2011		01/05/2011	ASSETMARK LARGE CAP VALUE F (AFLVX)	26 553	216 41	210 57	5 84			03/08/2011
04/01/2011		01/05/2011	ASSETMARK LARGE CAP GROWTH (GMLGX)	1 198 882	12 000 81	11 425 35	575 46			04/05/2011
09/27/2011		01/05/2011	ASSETMARK LARGE CAP GROWTH (GMLGX)	60 96	531 57	580 95	-49 38			09/28/2011
12/05/2011		01/05/2011	ASSETMARK LARGE CAP GROWTH (GMLGX)	102 976	962 83	981 36	-18 53			12/06/2011
12/05/2011	Wash Sale Adj	12/12/2011	ASSETMARK LARGE CAP GROWTH (GMLGX)	102 976		-18 53	18 53			12/06/2011
04/04/2011		01/05/2011	ASSETMARK LARGE CAP VALUE F (GMLVX)	1 505 265	12 538 86	11 936 75	602 11			04/05/2011
09/27/2011		01/05/2011	ASSETMARK LARGE CAP VALUE F (GMLVX)	51 887	364 25	411 46	-47 21			09/28/2011
12/05/2011		01/05/2011	ASSETMARK LARGE CAP VALUE F (GMLVX)	147 943	1 133 24	1 173 19	-39 95			12/06/2011
12/05/2011	Wash Sale Adj	12/12/2011	ASSETMARK LARGE CAP VALUE F (GMLVX)	147 943		39 95	39 95			12/06/2011
03/07/2011		01/05/2011	ASSETMARK SM/MID CAP GROWTH (AFSGX)	12 759	123 12	116 62	6 50			03/08/2011
03/31/2011	Corp Action G/L	01/05/2011	ASSETMARK SM/MID CAP GROWTH (AFSGX)	260 761	2 563 31	2 393 38	179 93			03/31/2011
03/31/2011	Corp Action G/L	02/14/2011	ASSETMARK SM/MID CAP GROWTH (AFSGX)	6 23	61 24	59 12	2 12			03/31/2011
03/07/2011		01/05/2011	ASSETMARK SM/MID CAP VALUE (AFSVX)	[illegible]	37 56	36 55	1 01			03/08/2011
04/04/2011		01/05/2011	ASSETMARK SM/MID CAP VALUE (GMSMX)	227 676	2 656 98	2 463 45	193 53			04/05/2011
04/04/2011		02/14/2011	ASSETMARK SM/MID CAP VALUE (GMSMX)	0 652	7 62	7 23	0 39			04/05/2011
03/07/2011		01/05/2011	ASSETMARK TAX-EXEMPT FIXED (AFTIX)	17 282	509 70	507 34	2 36			03/08/2011
03/14/2011		01/05/2011	ASSETMARK TAX-EXEMPT FIXED (AFTIX)	175 094	1 174 04	662 19	11 85			03/15/2011
04/04/2011		01/05/2011	ASSETMARK TAX-EXEMPT FIXED (GMTEX)	2 170 163	23 166 21	23 296 58	130 27			04/05/2011
04/04/2011	Wash Sale Adj	03/25/2011	ASSETMARK TAX-EXEMPT FIXED (GMTEX)	24 056		-1 46	1 46			04/05/2011
08/10/2011		01/05/2011	ASSETMARK TAX-EXEMPT FIXED (GMTEX)	237 289	2 656 35	2 547 19	109 13			08/11/2011
04/27/2011		01/05/2011	ASSETMARK TAX-EXEMPT FIXED (GMTEX)	38 001	427 13	407 75	19 38			04/28/2011
09/27/2011		04/04/2011	GUIDEMARK OPPORT EQUITY SER (GMOPX)	40 375	233 09	303 75	-70 66			09/28/2011
12/05/2011		04/04/2011	GUIDEMARK OPPORT EQUITY SER (GMOPX)	716 225	2 776 48	3 162 28	-385 80			12/06/2011
12/05/2011	Wash Sale Adj	12/12/2011	GUIDEMARK OPPORT EQUITY SER (GMOPX)	316 228		385 80	385 80			12/06/2011
04/04/2011		01/05/2011	GUIDEMARK WORLD EX-US FUND (GMWEX)	9 747	82 36	79 05	3 31			04/05/2011
12/05/2011		01/05/2011	GUIDEMARK WORLD EX US FUND (GMWEX)	42 526	266 81	311 89	-45 08			12/06/2011
12/05/2011	Wash Sale Adj	12/12/2011	GUIDEMARK WORLD EX-US FUND (GMWEX)	12 520		-45 06	45 05			12/06/2011
08/10/2011		04/04/2011	GUIDEPATH OPP FIXED INC CL (GMIFX)	394 231	3 806 27	3 942 31	-134 04			08/11/2011
09/27/2011		04/04/2011	GUIDEPATH OPP FIXED INC CL (GMIFX)	227 2	2 099 33	2 272 00	-172 67			09/28/2011
09/27/2011	Wash Sale Adj	09/23/2011	GUIDEPATH OPP FIXED INC CL (GMIFX)	15 105		-14 52	14 52			09/28/2011
04/04/2011		01/05/2011	PIMCO EMERGING LOCAL BOND F (PLBDX)	7 906	85 38	84 52	0 86			04/05/2011
08/10/2011		01/05/2011	PIMCO EMERGING LOCAL BOND F (PLBDX)	355 707	3 848 75	3 802 50	46 25			08/11/2011
09/27/2011		01/05/2011	PIMCO EMERGING LOCAL BOND F (PLBDX)	176 374	1 795 49	1 885 44	-89 95			09/28/2011
09/27/2011	Wash Sale Adj	09/01/2011	PIMCO EMERGING LOCAL BOND F (PLBDX)	3 294		-1 68	1 66			09/28/2011
09/27/2011	Wash Sale Adj	10/03/2011	PIMCO EMERGING LOCAL BOND F (PLBDX)	3 288		-1 68	68			09/22/2011
12/05/2011		09/27/2011	SSGA EMERGING MKTS FD (SSEMX)	6 108	1 6 18	105 60	7 58			12/06/2011
			Total		78 455 10	77 330 54	1 124 56			

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2011 Realized Capital Gains & Losses

LUFFMAN JUDITH 960051138
01/01/2011 -- 12/31/2011

Created 7/20/2012 1:06 pm

Entire Period

Short Term Gain	1,085.50	Long Term Gain	0.00
Short Term Loss	-3,225 58	Long Term Loss	0.00
Short Term Net	-2,140.08	Long Term Net	0.00
Short Term Sales	53,547.67	Long Term Sales	0 00
Short Term Cost	55,687 75	Long Term Cost	0.00

LUFFMAN JUDITH 960051136

Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Cost	ST Gain/Loss	LT Gain/Loss	Trading Method	Settled
10/10/2011		04/04/2011	ASSETMARK CORE PLUS FIXED I (GMCOX)	112 98	1 089 13	1 058 62	30 51			10/11/2011
03/31/2011	Corp Action G/L	01/05/2011	ASSETMARK FUNDAMENTAL INDEX (AISVX)	440 206	3 803 38	4 270 00	-466 62			03/31/2011
03/31/2011	Corp Action G/L	01/24/2011	ASSETMARK FUNDAMENTAL INDEX (AISVX)	129 1	1 115 42	1 223 87	-108 45			03/31/2011
03/31/2011	Corp Action G/L	03/30/2011	ASSETMARK FUNDAMENTAL INDEX (AISVX)	89 352	772 01	772 00	0 01			03/31/2011
03/31/2011	Corp Action G/L	01/05/2011	ASSETMARK FUNDAMENTAL INDEX (AISGX)	390 523	3 772 45	4 780 00	-1,007 55			03/31/2011
03/31/2011	Corp Action G/L	01/24/2011	ASSETMARK FUNDAMENTAL INDEX (AISGX)	69 109	667 60	837 60	-170 00			03/31/2011
03/31/2011	Corp Action G/L	03/30/2011	ASSETMARK FUNDAMENTAL INDEX (AISGX)	27 711	267 68	267 69	-0 01			03/31/2011
03/31/2011	Corp Action G/L	03/30/2011	ASSETMARK FUNDAMENTAL INDEX (AISGX)	121 564	1 174 31	1 171 31				03/31/2011
01/24/2011		01/05/2011	ASSETMARK FUNDAMENTAL INDEX (AILGX)	199 315	2 043 13	2 019 14	23 99			01/25/2011
03/31/2011	Corp Action G/L	01/05/2011	ASSETMARK FUNDAMENTAL INDEX (AILGX)	1 094 144	11 379 10	11 050 86	328 24			03/31/2011
03/31/2011	Corp Action G/L	03/30/2011	ASSETMARK FUNDAMENTAL INDEX (AILGX)	1 071	11 14	11 14				03/31/2011
03/31/2011	Corp Action G/L	01/05/2011	ASSETMARK FUNDAMENTAL INDEX (AILVX)	1 159 353	10,445 77	10 040 00	405 77			03/31/2011
03/31/2011	Corp Action G/L	01/24/2011	ASSETMARK FUNDAMENTAL INDEX (AILVX)	17 564	158 25	153 86	4 39			03/31/2011
03/31/2011	Corp Action G/L	03/30/2011	ASSETMARK FUNDAMENTAL INDEX (AILVX)	2 279	20 54	20 53	0 01			03/31/2011
01/24/2011		01/05/2011	ASSETMARK INTL EQUITY FD (AFIEX)	66 259	553 93	537 36	16 57			01/25/2011
01/24/2011		01/05/2011	ASSETMARK REAL ESTATE SEC F (AFREX)	7 471	99 31	67 86	0 45			01/25/2011
03/31/2011	Corp Action G/L	01/05/2011	ASSETMARK REAL ESTATE SEC F (AFREX)	500 182	4 471 63	5 837 12	-1 365 49			03/31/2011
03/31/2011	Corp Action G/L	03/30/2011	ASSETMARK REAL ESTATE SEC F (AFREX)	175 053	1 564 97	1 564 97				03/31/2011
04/01/2011		01/05/2011	ASSETMARK TAX-EXEMPT FIXED (GMTEX)	165 946	1 984 04	1 995 20	-11 16			04/05/2011
04/04/2011	Wash Sale Adj	03/25/2011	ASSETMARK TAX-EXEMPT FIXED (GMTEX)	25 961		1 56	1 56			04/05/2011
10/10/2011		01/05/2011	ASSETMARK TAX-EXEMPT FIXED (GMTEX)	340 65	3 780 99	3 654 96	126 03			10/11/2011
04/01/2011		01/05/2011	GUIDEMARK WORLD EX US FUND (GMWEX)	135 23	3 677 69	3 529 72	11 97			04/05/2011
10/10/2011		04/04/2011	GUIDEPATH GL REAL RETURN CL (GMGLX)	80 25	706 20	802 50	-96 30			10/11/2011
			Total		53 547 67	55 687 75	-2 140 08			

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