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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

2011

For calendar year 2011 or tax year beginning , and ending

Name of foundation THE TAUCK FOUNDATION, INC.		A Employer identification number 27-0729341
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 5020	Room/suite	B Telephone number 866 828-2536
City or town, state, and ZIP code NORWALK, CT 06856		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 18,243,240.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		40,291.		N/A	
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		99,305.	99,305.		STATEMENT 1
4 Dividends and interest from securities		443,187.	443,187.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		76,009.			
b Gross sales price for all assets on line 6a 3,198,001.			76,009.		
7 Capital gain net income (from Part IV, line 2)					
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		<105,942.>	4,433.		STATEMENT 3
12 Total. Add lines 1 through 11		552,850.	622,934.		
13 Compensation of officers, directors, trustees, etc		94,984.	0.		94,984.
14 Other employee salaries and wages		22,374.	0.		22,374.
15 Pension plans, employee benefits					
16a Legal fees STMT 4		125.	0.		125.
b Accounting fees STMT 5		6,368.	0.		6,368.
c Other professional fees STMT 6		40,005.	35,005.		5,000.
17 Interest					
18 Taxes STMT 7		13,384.	3,766.		9,618.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		13,933.	0.		13,933.
22 Printing and publications					
23 Other expenses STMT 8		346,712.	1,136.		345,576.
24 Total operating and administrative expenses. Add lines 13 through 23		537,885.	39,907.		497,978.
25 Contributions, gifts, grants paid		421,100.			421,100.
26 Total expenses and disbursements. Add lines 24 and 25		958,985.	39,907.		919,078.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		<406,135.>			
b Net investment income (if negative, enter -0-)			583,027.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	70,708.	62,467.	62,467.
	2 Savings and temporary cash investments	2,850,694.	1,757,370.	1,757,370.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 9	12,912,649.	13,608,079.	16,423,403.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	15,834,051.	15,427,916.	18,243,240.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	15,834,051.	15,427,916.	
30 Total net assets or fund balances	15,834,051.	15,427,916.		
31 Total liabilities and net assets/fund balances	15,834,051.	15,427,916.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,834,051.
2 Enter amount from Part I, line 27a	2	<406,135.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	15,427,916.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	15,427,916.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 3,198,001.		3,121,992.	76,009.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			76,009.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	76,009.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	{ If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	824,698.	16,584,707.	.049726
2009	780,714.	15,254,760.	.051178
2008	728,925.	17,519,498.	.041607
2007	819,324.	18,767,486.	.043657
2006	873,115.	17,086,191.	.051101

2 Total of line 1, column (d)	2	.237269
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047454
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	17,903,756.
5 Multiply line 4 by line 3	5	849,605.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,830.
7 Add lines 5 and 6	7	855,435.
8 Enter qualifying distributions from Part XII, line 4	8	919,078.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FIDELITY/AMERITRADE SHORT-TERM SALES (SEE ATTACHE	P	VARIOUS	VARIOUS
b	FIDELITY/AMERITRADE LONG-TERM SALES (SEE ATTACHED	P	VARIOUS	VARIOUS
c	MORGAN STANLEY #059211 SHORT-TERM SALES (SEE ATTA	P	VARIOUS	VARIOUS
d	MORGAN STANLEY #059211 LONG-TERM SALES (SEE ATTAC	P	VARIOUS	VARIOUS
e	MORGAN STANLEY #059256 SHORT-TERM SALES (SEE ATTA	P	VARIOUS	VARIOUS
f	MORGAN STANLEY #059256 LONG-TERM SALES (SEE ATTAC	P	VARIOUS	VARIOUS
g	LITIGATION SETTLEMENT CHECK	P	VARIOUS	VARIOUS
h	LITIGATION SETTLEMENT CHECK	P	VARIOUS	VARIOUS
i	CAPITAL GAINS DIVIDENDS			
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 69,889.		84,098.	<14,209.>
b 727,193.		651,167.	76,026.
c 252,193.		239,108.	13,085.
d 323,224.		307,979.	15,245.
e 750,020.		773,014.	<22,994.>
f 1,074,463.		1,066,626.	7,837.
g 92.			92.
h 406.			406.
i 521.			521.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			<14,209.>
b			76,026.
c			13,085.
d			15,245.
e			<22,994.>
f			7,837.
g			92.
h			406.
i			521.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	76,009.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	5,830.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		3	5,830.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	5,830.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	8,098.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	4,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	12,098.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,268.	
11 Enter the amount of line 10 to be credited to 2012 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.TAUCKFOUNDATION.ORG	13	X	
14	The books are in care of ARTHUR C. TAUCK, JR Telephone no 866 828-2536 Located at P.O. BOX 5020, NORWALK, CT ZIP+4 06856			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		94,984.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	15,811,499.
b	Average of monthly cash balances	1b	2,364,903.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	18,176,402.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	18,176,402.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	272,646.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	17,903,756.
6	Minimum investment return. Enter 5% of line 5	6	895,188.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	895,188.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	5,830.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	5,830.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	889,358.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	889,358.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	889,358.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	919,078.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	919,078.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	5,830.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	913,248.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				889,358.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			87,409.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 919,078.				
a Applied to 2010, but not more than line 2a			87,409.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount	0.			831,669.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below.				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				57,689.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]

SEE APPLICATION PROCESS AT WWW.TAUCKFOUNDATION.ORG

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient -Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT 11		501(C)(3)	CHARITABLE	421,100.
Total			3a	421,100.
b Approved for future payment				
NONE				
Total			3b	0.

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

THE TAUCK FOUNDATION, INC.

Employer identification number

27-0729341

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

Employer identification number

THE TAUCK FOUNDATION, INC.

27-0729341

Part I. Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ELIZABETH T. WALTERS P.O. BOX 5020 NORWALK, CT 06856	\$ 40,291.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Employer identification number

27-0729341

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

[illegible]

Name of organization

Employer identification number

THE TAUCK FOUNDATION, INC.

27-0729341

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
AMERITRADE	520.
ENERGY TRANSFER PARTNERS, L.P.	176.
FIDELITY	3,437.
KINDER MORGAN ENERGY PARTNERS, L.P.	617.
MARKWEST ENGERGY PARTNERS, L.P.	643.
MORGAN STANLEY	106,916.
MORGAN STANLEY: LESS ACCRUED INTEREST	<13,109.>
NUSTAR ENGERY, L.P.	76.
ONEOK PARTNERS, L.P.	3.
PLAINS ALL-AMERICAN PIPELINE, L.P.	1.
SUBURBAN PROPANE PARTNERS, L.P.	25.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	99,305.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
AMERITRADE	131,757.	499.	131,258.
ENERGY TRANSFER PARTNERS, L.P.	337.	0.	337.
FIDELITY	263,031.	0.	263,031.
KINDER MORGAN ENERGY PARTNERS, L.P.	270.	0.	270.
MARKWEST ENGERGY PARTNERS, L.P.	2,243.	0.	2,243.
MORGAN STANLEY	45,952.	22.	45,930.
NUSTAR ENGERY, L.P.	32.	0.	32.
PLAINS ALL-AMERICAN PIPELINE, L.P.	2.	0.	2.
SUBURBAN PROPANE PARTNERS, L.P.	84.	0.	84.
TOTAL TO FM 990-PF, PART I, LN 4	443,708.	521.	443,187.

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY	346.	346.	
K-1 INCOME	4,087.	4,087.	
K-1 INCOME	<110,375.>	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	<105,942.>	4,433.	

FORM 990-PF	LEGAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	125.	0.		125.
TO FM 990-PF, PG 1, LN 16A	125.	0.		125.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	6,368.	0.		6,368.
TO FORM 990-PF, PG 1, LN 16B	6,368.	0.		6,368.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	158.	158.		0.
INVESTMENT MANAGEMENT FEES	34,847.	34,847.		0.
CONSULTING FEES	5,000.	0.		5,000.
TO FORM 990-PF, PG 1, LN 16C	40,005.	35,005.		5,000.

FORM 990-PF	TAXES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES ON DIVIDENDS	3,766.	3,766.		0.	
PAYROLL TAXES	9,618.	0.		9,618.	
TO FORM 990-PF, PG 1, LN 18	13,384.	3,766.		9,618.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
POSTAGE	453.	0.		453.	
ASSOCIATION EXPENSE	500.	0.		500.	
INSURANCE	522.	0.		522.	
K-1 OTHER DEDUCTIONS	1,136.	1,136.		0.	
WEBSITE, INTERNET, FAX	3,537.	0.		3,537.	
OTHER DEDUCTIONS	62.	0.		62.	
PAYROLL SERVICE	1,514.	0.		1,514.	
SPARKS YOUTH TRAVEL PROGRAM	338,988.	0.		338,988.	
TO FORM 990-PF, PG 1, LN 23	346,712.	1,136.		345,576.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
SECURITIES	13,608,079.	16,423,403.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	13,608,079.	16,423,403.		

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 10
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ARTHUR C. TAUCK, JR P.O. BOX 5020 NORWALK, CT 06856	CHAIRMAN, TREASURER & SECR 1.00	0.	0.	0.
ELIZABETH T. WALTERS P.O. BOX 5020 NORWALK, CT 06856	PRESIDENT & ASST. SECRETAR 10.00	0.	0.	0.
CHRISTOPHER DUERRMEIER P.O. BOX 5020 NORWALK, CT 06856	DIRECTOR 1.00	0.	0.	0.
ARTHUR C. TAUCK, III P.O. BOX 5020 NORWALK, CT 06856	DIRECTOR 1.00	0.	0.	0.
PETER F. TAUCK P.O. BOX 5020 NORWALK, CT 06856	DIRECTOR 1.00	0.	0.	0.
ROBLEY TAUCK P.O. BOX 5020 NORWALK, CT 06856	DIRECTOR 1.00	0.	0.	0.
KIRSTEN TAUCK MAHAR P.O. BOX 5020 NORWALK, CT 06856	DIRECTOR 1.00	0.	0.	0.
DANIEL W. MAHAR P.O. BOX 5020 NORWALK, CT 06856	DIRECTOR 1.00	0.	0.	0.
COLLEEN R. LETH P.O. BOX 5020 NORWALK, CT 06856	DIRECTOR 1.00	0.	0.	0.
CHRISTEN ROMANO P.O. BOX 5020 NORWALK, CT 06856	DIRECTOR 1.00	0.	0.	0.
TYLER TAUCK P.O. BOX 5020 NORWALK, CT 06856	DIRECTOR 1.00	0.	0.	0.

THE TAUCK FOUNDATION, INC.

27-0729341

EDEN WERRING
P.O. BOX 5020
NORWALK, CT 06856

EXECUTIVE DIRECTOR

30.00

94,984.

0.

0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

94,984.

0.

0.

Name	Address	If Individual, Relationship	Status of Recipient	Purpose of Grant	Amount
CT Council For Philanthropy	221 Main St, Hartford, CT 06106	None	501(c)(3)	Unrestricted	\$900
National Center For Family Philanthropy	1101 Connecticut Avenue NW, Suite 220, Washington DC 20036	None	501(c)(3)	Unrestricted	\$900
Spring Arbor University	106 E Main Street, Spring Arbor, MI 49283	None	501(c)(3)	Ken and Estella Beardslee Family Endowed Scholarship Fund	\$500
Levitt Pavilion For The Performing Arts	260 South Compo Road, Westport, CT 06880	None	501(c)(3)	Unrestricted	\$3,000
Music For Youth	PO Box 403, Westport, CT 06881	None	501(c)(3)	Unrestricted	\$2,750
Norwalk Symphony Orchestra	1 Park Street, Norwalk, CT 06851	None	501(c)(3)	Unrestricted	\$2,500
Westport Country Playhouse	25 Powers Court, Westport, CT 06880	None	501(c)(3)	Unrestricted	\$2,750
A Better Chance - Westport	PO Box 2153, Westport, CT 06880	None	501(c)(3)	Unrestricted	\$1,750
American Red Cross	209 Farmington Avenue, Farmington, CT 06032	None	501(c)(3)	Fairfield County Programs	\$2,750
Earthplace	PO Box 165, Westport, CT 06880	None	501(c)(3)	Unrestricted	\$2,750
Homes With Hope	49 Richmondville Ave., Westport, CT 06880	None	501(c)(3)	Unrestricted	\$2,750
Visiting Nurse & Hospice of Fairfield Co	180 School Rd, Wilton, CT 06897	None	501(c)(3)	Hospice Programs	\$2,750
Nature Conservancy - CT Chapter	55 High Street, Middletown, CT 06457	None	501(c)(3)	Devil's Den Reserve	\$1,750
Positive Directions	420 Post Road West, Westport, CT 06880	None	501(c)(3)	Unrestricted	\$1,750
CT Fund For The Environment	205 Whitney Ave, New Haven, CT 06511	None	501(c)(3)	Save the Sound Program	\$1,000
Stark Mountain Foundation	PO Box 1221, Walsfield VT 05673	None	501(c)(3)	Kent Thomas Nature Center	\$1,000
Westport Public Library	20 Jesup Road, Westport, CT 06880	None	501(c)(3)	Unrestricted	\$2,750
Norwalk Hospital Foundation	34 Maple Street, Norwalk, CT 06856	None	501(c)(3)	Unrestricted	\$12,500
United Way Of Coastal Fairfield County	489 Post Road East, Westport, CT 06880	None	501(c)(3)	Westport/Weston Programs	\$2,750
Westport/Weston Family Y	59 Post Road East, Westport, CT 06880	None	501(c)(3)	Strong Kids Annual Campaign	\$7,500
First Night CT/Westport/Weston	PO Box 475, Westport, CT 06880	None	501(c)(3)	Unrestricted	\$1,250
At's Angels	342 Greens Farms Road, Westport, CT 06880	None	501(c)(3)	Unrestricted	\$150
Anziona Community Foundation	2201 E Camelback Road Suite 202, Phoenix, AZ 85016	None	501(c)(3)	Kristen Nieves Memorial Fund	\$100
Columbia University	622 W 113th St, New York, NY 10025	None	501(c)(3)	Lightweight Rowing Program	\$200
Milton Academy	170 Centre Street, Milton, MA 02186	None	501(c)(3)	Unrestricted	\$100
Creative Time	59 East 4th Street, 6th floor, New York, NY 10003	None	501(c)(3)	Unrestricted	\$650
Lincoln Center for the Performing Arts	Lincoln Plaza, New York, NY 10023	None	501(c)(3)	Young Patrons of Lincoln Center	\$105
Barnard College	3009 Broadway, New York, NY 10027	None	501(c)(3)	Unrestricted	\$475
Edible Schoolyard NYC	350 Avenue X, Brooklyn, NY 11223	None	501(c)(3)	Unrestricted	\$100
Summer Search NYC	90 Broad Street, Suite 202, New York, NY 10004	None	501(c)(3)	Unrestricted	\$300
The Montessori School	34 Whipple Road, Wilton, CT 06897	None	501(c)(3)	Unrestricted	\$150
Mark Twain Library	PO Box 1009, Redding, CT 06875	None	501(c)(3)	Unrestricted	\$120
St. Luke's Lifeworks	141 Franklin Street, Stamford, CT 06901	None	501(c)(3)	Unrestricted	\$500
Higher Achievement	701 K Street, Suite 1000, Washington DC 20006	None	501(c)(3)	Unrestricted	\$100
Franklin & Marshall College	PO Box 3003, Lancaster PA 17604	None	501(c)(3)	Fund for Financial Aid	\$100
Mill River Collaborative	PO Box 1401, Stamford, CT 06904	None	501(c)(3)	Unrestricted	\$100
American Red Cross	PO Box 4002018, Des Moines IA 50340	None	501(c)(3)	Unrestricted	\$250
Young Marners Foundation	151 Harvard Avenue, Stamford, CT 06902	None	501(c)(3)	Unrestricted	\$500
The Ferguson Library	One Public Library Plaza, Stamford, CT 06904	None	501(c)(3)	Unrestricted	\$100
Appalachian Mountain Club	Five Joy Street, Boston, MA 02108	None	501(c)(3)	Spring Trails Campaign	\$100
Doctors Without Borders	PO Box 5030, Hagerstown MD 21741	None	501(c)(3)	Unrestricted	\$300
Food Bank of Lower Fairfield County	461 Glenbrook Road, Stamford, CT 06906	None	501(c)(3)	Unrestricted	\$500
Neighbors Link Stamford	75 Seliack Street, Stamford, CT 06902	None	501(c)(3)	Unrestricted	\$100
Bowdoin College	4100 College Station, Brunswick, ME 04011	None	501(c)(3)	Scholarship and Financial Aid Fund	\$100
University of Pittsburgh	140 Alumni Hall, 4227 Fifth Avenue, Pittsburgh, PA 15260	None	501(c)(3)	Unrestricted	\$100
Hispanics in Philanthropy	55 2nd Street, Suite 1500, San Francisco, CA 94105	None	501(c)(3)	Unrestricted	\$125
Domus	83 Lockwood Ave, Stamford, CT 06902	None	501(c)(3)	Unrestricted	\$250
Stamford Kwans Trust Fund	P O Box 3404, Stamford CT 06905	None	501(c)(3)	Unrestricted	\$125
Manage Matters Jackson	536 N Jackson Street, Jackson, MI 49201	None	501(c)(3)	Unrestricted	\$200
Music for Youth	PO Box 403, Westport, CT 06881	None	501(c)(3)	School Residency Program	\$250
Spring Arbor University	106 E Main Street, Spring Arbor MI 49283	None	501(c)(3)	Annual Fund	\$100
Seattle Pacific University	3307 3rd Ave, W, Suite 304, Seattle, WA 98119	None	501(c)(3)	University Fund	\$300
Wakeman Boys & Girls Club	385 Center Street, Southport, CT 06880	None	501(c)(3)	Smilow-Burroughs Clubhouse	\$35,000
Young Marners Foundation	151 Harvard Ave, Stamford, CT 06902	None	501(c)(3)	Blue Water Bound Program	\$10,000
Groundwork Bridgeport	510 Barnum Avenue, Bridgeport, CT 06608	None	501(c)(3)	Green Team	\$15,000
Ralphola Taylor Community Center	790 Central Ave, Bridgeport, CT 06607	None	501(c)(3)	Summer Camp	\$20,000
Boys & Girls Club of South Puget Sound	3875 S 66th St, Ste 101, Tacoma WA 98409	None	501(c)(3)	Torch Club Park Restoration	\$8,000
Honzors at Greens Farms	35 Beachside Ave, Greens Farms, CT 06838	None	501(c)(3)	Summer Program	\$11,000
Sterling House	2283 Main St, Stratford, CT 06615	None	501(c)(3)	Summer of Service Program	\$7,000
Treehouse	2100 24th Avenue South, Suite 200, Seattle, Washington 98144	None	501(c)(3)	Summer Academy Program	\$20,000
Olympic Park Institute	111 Barnes Point Road, Port Angeles, Washington 98363	None	501(c)(3)	Summer Field Science Education Program	\$15,000
Squash Haven	70 Tower Parkway, New Haven, CT 06520	None	501(c)(3)	Unrestricted	\$20,000
Big Brothers Big Sisters Of Rochester	232 S Plymouth Ave, Rochester, NY 14608	None	501(c)(3)	School Based Mentoring Program	\$15,000
Mind Body and Soul of Western NY	301 Exchange Blvd, Suite LL-2, Rochester, NY 14608	None	501(c)(3)	After-School Program at RTI	\$10,000
MeiroCenter YMCA	909 Fourth Ave, Seattle, WA 98104	None	501(c)(3)	YGirls Program	\$20,000
Common Ground	358 Sprimossade Avenue, New Haven, CT 06515	None	501(c)(3)	West Rock Rangers program	\$24,000
Nativity Preparatory Academy of Rochester	15 Whalin Street, Rochester, NY 14620	None	501(c)(3)	2011/2012 After School Program	\$18,000
Summer Search Seattle	1109 First Ave, Suite 205, Seattle, WA 98101	None	501(c)(3)	High School Mentorship Program	\$20,000
Solar Youth	53 Wayfarer Street, New Haven, CT 06515	None	501(c)(3)	Civology program	\$20,000
Danbury Youth Services	91 West Street, Danbury, CT 06810	None	501(c)(3)	Girls As Leaders Program	\$7,000
Achievement First Bridgeport Academy	403 James Street, New Haven, CT 06513	None	501(c)(3)	After School Program	\$25,000
Center for Children's Advocacy	65 Elizabeth Street, Hartford, CT 06105	None	501(c)(3)	Educational Advocacy Program	\$15,000
Original Works Inc	955 Connecticut Avenue, Suite 1216, Bridgeport, CT 06607	None	501(c)(3)	"Dying to Live" Project	\$20,000

Total 2011 Grants \$421,100

Task Foundation
2011

2011 SALES LONG

DESCRIPTION	DATE ACQUIRED	NUM. SHARES	COST	DATE SOLD	PROCEEDS	GAIN/ (LOSS)
Ⓢ AETNA	04/28/2004	300	6,581	02/16/2011	11,287	4,706
Ⓢ BEST BUY	04/27/2010	500	23,758	09/12/2011	12,492	(11,266)
Ⓢ CATAPILLAR	08/17/2009	100	4,441	10/10/2011	7,811	3,370
Ⓢ CATAPILLAR	08/17/2009	200	8,881	10/06/2011	14,892	6,011
Ⓢ CATAPILLAR	09/01/2009	150	6,679	02/14/2011	15,521	8,842
Ⓢ CHEVRONTEXACO	01/23/92	400	6,688	02/14/2011	38,225	31,538
Ⓢ CHEVRONTEXACO	02/11/92	200	3,288	02/14/2011	19,110	15,822
Ⓢ CHEVRONTEXACO	04/08/96	625	13,555	12/29/2011	66,944	53,389
Ⓢ CITIGROUP	10/20/2008	100	1,500	12/29/2011	2,665	1,165
Ⓢ CITIGROUP	04/26/2010	1000	48,008	12/29/2011	26,653	(21,355)
Ⓢ CONSOLIDATED EDISON	11/05/2007	1000	46,853	12/29/2011	62,407	15,554
Ⓢ DEVON ENERGY	10/24/2008	300	19,395	02/14/2011	26,375	6,980
Ⓢ EXXOM MOBILE CORP	02/10/98	264	7,030	02/14/2011	21,680	14,650
Ⓢ F M C CORP	11/12/2007	100	5,381	02/14/2011	8,192	2,811
Ⓢ FRONTIER COMMUNICATI	07/02/2010	888	6,793.20	12/29/2011	4421.93	(2,371.27)
Ⓢ FRONTIER COMMUNICATI	01/22/2010	2700	24,712.95	12/29/2011	13,421.03	(11,291.92)
Ⓢ FRONTIER COMMUNICATI	07/02/2010	1320	10,098.00	12/29/2011	6,561.39	(3,536.61)
Ⓢ FRONTIER COMMUNICATI	08/02/2010	6000	46,502.28	12/29/2011	29,824.50	(16,677.77)
Ⓢ GILEAD SCIENCES	11/02/2002	1500	13,328	10/29/2011	64,444	51,116
Ⓢ HARTFORD FINANCIAL	11/20/94	400	6,405	09/07/2011	6,896	492
Ⓢ ISHARES BARCLAYS TRE	03/31/2006	300	26,068	08/23/2011	33,049	6,981
Ⓢ ISHARES BARCLAYS TRE	03/31/2006	100	8,689	09/06/2011	11,432	2,742
Ⓢ LOWES	07/24/2000	500	5,495	11/04/2011	10,795	5,300
Ⓢ LOWES	08/29/2001	500	9,381	11/04/2011	10,795	1,413
Ⓢ NOBEL ENERGY	12/02/99	200	2,152	02/14/2011	17,372	15,220
Ⓢ NORDIC AMERICAN TANK	05/23/2008	650	24,708	02/18/2011	16,242	(8,466)
Ⓢ NORDIC AMERICAN TANK	11/06/2008	1000	28,508	10/03/2011	13,342	(15,166)
Ⓢ NORDIC AMERICAN TANK	02/04/2009	1000	28,508	10/03/2011	12,994	(15,514)
Ⓢ NORDIC AMERICAN TANK	03/02/2009	500	12,504	10/10/2011	6,377	(6,127)
Ⓢ NORDIC AMERICAN TANK	03/02/2009	500	12,504	10/05/2011	6,717	(5,787)
Ⓢ PRAXAIR	11/10/2006	200	12,271	02/14/2011	19,392	7,121
Ⓢ UNITED TECHNOLOGIES	10/08/2007	600	48,310	04/20/2011	52,189	3,879
			528,973			

Energy Transfer Pks

1000

114,955

47,230

(67,725)

Energy Transfer Pks

200

4,239

9,446

2207

651,167

727,192

76,025

Tauk Foundation
2011

2011 SALES SHORT

DESCRIPTION	DATE ACQUIRED	NUM. SHARES	COST	DATE SOLD	PROCEEDS	GAIN/ (LOSS)
Ⓢ BCE	09/06/2011	500	19,274	12/09/2011	19,991.66	717.68
Ⓢ CISCO SYSTEMS	08/13/2010	1000	21,328	02/24/2011	18,991.68	(2,336.27)
Ⓢ CISCO SYSTEMS	11/22/2010	1000	19,608	10/03/2011	15,232.85	(4,375.10)
Ⓢ HARTFORD FINANCIAL	02/23/2011	500	14,508	09/07/2011	8,620.42	(5,887.53)
Ⓢ HUNTINGTON INGALLS	03/31/2011	250	9,380	09/06/2011	7,052.37	(2,327.63)
			84,098		69,889	(14,209) SHORT

The Tauck Foundation Inc
6 BLUEJAY PT
WESTPORT CT 06880-6902

Realized Gain and Loss for Year 2011

Security Name

Quantity

Date Acquired

Date Sold

Total Cost

Adjusted Cost

Proceeds

G/L Amount

Holding Period

Short-Term Gain/Loss

ACE LTD

Sub-Totals

ADMIRAL GROUP PLC UNSPONS ADR

AETNA INC (NEW)(CT)

AIR PROD & CHEM INC

ALCON INC

Sub-Totals

ALLIANZ SE ADS

AON CORP

ASML HOLDING N.V. NEW

Sub-Totals

AT&T INC

BAKER HUGHES INC

Sub-Totals

BEST BUY CO

• BIOGEN IDEC INC

Sub-Totals

• BLACKROCK INC

Sub-Totals

BRISTOL MYERS SQUIBB CO

BROADCOM CORP CL A

CAMERON INTNL CORP

Sub-Totals

CARTER'S

Sub-Totals

CELGENE CORP

CERNER CORP

CHEVRON CORP

Sub-Totals

CHUBB CORP

Sub-Totals

CISCO SYS INC

CITRIX SYSTEMS INC

CME GROUP INC

• COMCAST CORP CL A SPECIAL NEW

Sub-Totals

CON-WAY INC

COSTCO WHOLESALE CORP NEW

DANAHER CORPORATION

24 000	9/8/10	9/8/11	1,320.08	1,320.08	1,528.48	209.41	Short
23 000	2/3/11	9/8/11	1,454.43	1,454.43	1,528.76	31.33	Short
14 000	3/17/11	9/8/11	951.38	951.38	892.21	(59.17)	Short
61 000			3,705.89	3,705.89	3,887.48	181.57	
Sub-Totals							
97 000	1/27/11	11/8/11	2,605.20	2,605.20	1,849.92	(755.28)	Short
29 000	4/27/10	3/14/11	872.90	872.90	1,043.19	170.29	Short
27 000	4/27/10	3/1/11	2,088.17	2,088.17	2,434.07	335.90	Short
15 000	4/27/10	4/12/11	114.10	114.10	123.00	8.90	Short
0 000	4/27/10	4/12/11	2,219.30	2,219.30	2,433.80	214.50	Short
15 000			2,333.40	2,333.40	2,556.80	223.40	
Sub-Totals							
93 000	4/27/10	3/6/11	1,064.42	1,064.42	1,342.35	277.93	Short
66 000	7/28/10	5/17/11	2,418.83	2,418.83	3,452.35	1,033.52	Short
26 000	8/10/11	9/8/11	831.69	831.69	881.49	49.80	Short
16 000	8/10/11	9/8/11	511.81	511.81	542.45	30.64	Short
29 000	8/17/11	9/8/11	914.70	914.70	881.49	(33.21)	Short
68 000			2,258.20	2,258.20	2,305.43	47.23	
Sub-Totals							
48 000	4/27/10	4/3/11	1,251.22	1,251.22	1,459.51	208.29	Short
10 000	4/27/10	1/7/11	514.76	514.76	565.08	50.32	Short
9 000	4/27/10	1/31/11	463.28	463.28	616.59	153.30	Short
27 000	4/27/10	3/1/11	1,389.85	1,389.85	1,860.93	480.08	Short
48 000			2,367.89	2,367.89	3,051.59	683.70	
Sub-Totals							
31 000	1/10/11	11/11/11	1,105.45	1,105.45	768.57	(336.88)	Short
32 000	4/27/10	3/25/11	1,679.04	1,679.04	2,086.39	607.35	Short
9 000	4/27/10	4/21/11	472.23	472.23	514.48	44.25	Short
8 000	4/27/10	4/25/11	410.50	410.50	414.65	40.15	Short
55 000	7/29/11	8/12/11	612.94	612.94	543.89	(69.05)	Short
Sub-Totals							
7 000	6/8/11	9/8/11	1,329.87	1,329.87	1,093.85	(236.02)	Short
8 000	6/22/11	9/8/11	1,520.49	1,520.49	1,250.12	(270.37)	Short
15 000			2,850.36	2,850.36	2,343.97	(506.39)	
Sub-Totals							
89 000	9/8/11	10/11/11	2,655.37	2,655.37	2,938.87	284.30	Short
183 000	10/4/10	4/29/11	6,354.66	6,354.66	6,359.07	4.41	Short
22 000	8/4/10	1/7/11	730.58	730.58	1,068.76	338.18	Short
9 000	6/4/10	3/14/11	298.87	298.87	527.00	228.13	Short
31 000			1,029.45	1,029.45	1,595.76	566.31	
Sub-Totals							
18 000	9/20/10	8/1/11	442.61	442.61	585.52	142.91	Short
65 000	9/20/10	8/26/11	1,590.31	1,590.31	1,872.95	274.64	Short
83 000			2,040.92	2,040.92	2,459.47	417.55	
Sub-Totals							
116 000	4/27/10	2/11/11	6,766.11	6,766.11	5,998.75	(767.36)	Short
10 000	3/11/11	8/1/11	514.74	514.74	661.31	146.57	Short
16 000	4/27/10	2/9/11	1,289.54	1,289.54	1,537.21	247.67	Short
7 000	4/27/10	4/13/11	564.17	564.17	724.75	160.58	Short
23 000			1,853.71	1,853.71	2,261.96	408.25	
Sub-Totals							
24 000	4/27/10	1/26/11	1,248.45	1,248.45	1,414.89	166.44	Short
45 000	4/27/10	2/2/11	2,340.84	2,340.84	2,614.41	273.57	Short
69 000			3,589.29	3,589.29	4,029.30	440.01	
Sub-Totals							
60 000	6/20/11	9/8/11	908.11	908.11	971.98	63.87	Short
97 000	9/10/10	6/20/11	6,165.54	6,165.54	7,183.22	1,017.68	Short
4 000	7/29/11	11/11/11	1,166.71	1,166.71	1,049.45	(117.26)	Short
Sub-Totals							
57 000	7/14/11	9/8/11	1,367.78	1,367.78	1,203.36	(164.42)	Short
1 000	7/14/11	9/8/11	23.99	23.99	21.11	(2.88)	Short
1 000	7/14/11	9/8/11	23.98	23.98	21.10	(2.88)	Short
63 000	7/28/11	9/8/11	1,479.57	1,479.57	1,330.02	(149.55)	Short
4 000	7/28/11	9/8/11	93.84	93.84	84.45	(9.40)	Short
1 000	8/10/11	9/8/11	19.68	19.68	21.11	1.43	Short
63 000	8/10/11	9/8/11	1,239.84	1,239.84	1,330.01	90.17	Short
190 000			4,248.78	4,248.78	4,011.18	(237.62)	
Sub-Totals							
22 000	2/3/11	9/22/11	735.81	735.81	835.27	99.46	Short
114 000	4/27/10	2/2/11	6,770.46	6,770.46	8,223.66	1,453.20	Short
28 000	3/2/11	9/8/11	1,403.77	1,403.77	1,215.50	(188.27)	Short
2 000	3/2/11	9/8/11	100.27	100.27	86.83	(13.44)	Short

#723-59211-111

The following story was published on Thomson ONE:

	7/28/11	9/8/11	98/79	98/79	86/82	(11/97)	Short
	7/28/11	9/8/11	395/16	395/16	347/30	(17/86)	Short
	7/28/11	9/8/11	1,383/02	1,383/02	1,215/49	(107/53)	Short
	8/24/11	9/8/11	1,191/89	1,191/89	1,215/50	23/61	Short
Sub-Totals			4,572/90	4,572/90	4,167/44	(405/48)	
DEERE & CO	3/30/10	3/22/11	1,834/18	1,834/18	2,725/75	891/57	Short
DIAGEO PLC SPON ADR NEW	4/27/10	1/12/11	881/67	881/67	984/58	102/91	Short
DOMINION RES INC (NEW)	9/8/11	11/9/11	3,233/24	3,233/24	3,476/43	243/19	Short
DR PEPPER SNAPPLE GROUP INC	4/27/10	1/7/11	6,739/23	6,739/23	7,123/83	384/60	Short
DUN & BRADSTREET CP NEW	4/27/10	2/1/11	487/64	487/64	518/51	50/87	Short
	4/27/10	3/2/11	1,714/89	1,714/89	1,742/88	28/21	Short
Sub-Totals			2,182/32	2,182/32	2,261/40	79/08	
ENCANA CORP	1/28/11	1/17/11	1,022/83	1,022/83	612/45	(410/38)	Short
EXXON MOBIL CORP	12/8/10	9/8/11	1,364/86	1,364/86	1,379/26	14/40	Short
	3/10/11	9/8/11	987/75	987/75	871/10	(118/65)	Short
Sub-Totals			1,613/38	1,613/38	1,451/17	(116/21)	Short
			2,513/89	2,513/89	2,395/53	(118/46)	
GENERAL MILLS INC	11/10/10	9/8/11	756/82	756/82	797/87	40/95	Short
	12/16/10	9/8/11	1,355/36	1,355/36	1,405/77	50/41	Short
Sub-Totals			2,112/28	2,112/28	2,203/64	91/36	
GENZYME CORP	6/4/10	4/5/11	20/53	20/53	32/60	12/16	Short
	6/4/10	4/5/11	650/67	650/67	1,036/00	385/33	Short
Sub-Totals			671/20	671/20	1,068/69	397/49	
GOLDMAN SACHS GRP INC	3/2/11	9/8/11	1,628/16	1,628/16	1,053/08	(573/08)	Short
	5/17/11	9/8/11	1,369/02	1,369/02	947/78	(421/24)	Short
Sub-Totals			4,255/75	4,255/75	2,948/64	(312/78)	Short
GOODRICH CORPORATION	7/26/11	11/20/11	7,260/32	7,260/32	9,322/38	2,062/06	Short
HASBRO INC	4/27/10	1/12/11	1,144/86	1,144/86	1,311/69	168/83	Short
	6/1/11	9/8/11	1,245/83	1,245/83	978/06	(267/77)	Short
	6/1/11	9/8/11	1,384/43	1,384/43	1,088/67	(29/76)	Short
Sub-Totals			1,018/23	1,018/23	878/06	(40/17)	Short
			3,547/35	3,547/35	3,376/48	(170/87)	
HESS CORPORATION	4/27/10	2/25/11	1,083/09	1,083/09	1,412/96	329/87	Short
	4/27/10	3/23/11	1,337/84	1,337/84	1,686/06	203/52	Short
	4/27/10	6/15/11	1,401/65	1,401/65	1,559/31	212/37	Short
Sub-Totals			4,587/22	4,587/22	5,498/56	165/58	Short
HEWLETT PACKARD	4/27/10	3/3/11	2,558/26	2,558/26	2,083/15	911/34	Short
HOLOGIC INC	4/27/10	2/9/11	1,497/19	1,497/19	1,630/74	(475/11)	Short
Sub-Totals			775/65	775/65	811/87	133/55	Short
			2,272/84	2,272/84	2,542/61	269/77	
HUNTINGTON INGALLS INDUSTRIES	4/27/10	3/1/11	0/00	0/00	28/41	Cash in Lieu	
	4/27/10	4/6/11	417/51	417/51	398/22	Short	
Sub-Totals			417/51	417/51	424/63	(19/29)	Short
INTL FLAVORS & FRAGRANCES	4/27/10	1/7/11	605/76	605/76	671/04	7/12	Short
INTUITIVE SURGICAL INC	8/31/10	8/1/11	533/75	533/75	789/12	65/28	Short
JGC CORP UNSPONSORED ADR	5/11/11	9/1/11	251/06	251/06	314/49	255/37	Short
JPMORGAN CHASE & CO	8/16/10	8/15/11	150/30	150/30	147/24	63/43	Short
	2/23/11	9/8/11	1,373/66	1,373/66	1,006/80	(3/06)	Short
	8/3/11	9/8/11	1,396/24	1,396/24	1,006/86	(368/78)	Short
Sub-Totals			784/79	784/79	1,843/01	(384/36)	Short
			5,543/00	5,543/00	4,376/12	(123/54)	Short
JUNIPER NETWORKS	4/27/10	3/10/11	1,575/61	1,575/61	2,273/23	(1,166/88)	Short
KROGER CO	4/27/10	3/15/11	1,362/14	1,362/14	1,399/36	697/62	Short
LOCKHEED MARTIN CORP	7/8/11	9/8/11	1,388/78	1,388/78	1,222/84	37/22	Short
LOGITECH INTL SA	8/10/10	6/14/11	2,058/75	2,058/75	1,514/14	(165/84)	Short
LONZA GROUP AG ZUERICH ADR	8/6/10	8/1/11	273/95	273/95	269/43	(544/61)	Short
MARSH & MCLENNAN COS INC	4/27/10	1/7/11	415/48	415/48	459/78	(4/52)	Short
METLIFE INCORPORATED	1/19/11	9/8/11	1,378/28	1,378/28	902/43	44/30	Short
MOTOROLA MOBILITY HLDGS INC	3/18/11	8/19/11	1,189/07	1,189/07	1,893/46	(475/83)	Short
	7/25/11	8/19/11	614/49	614/49	946/73	704/39	Short
	7/29/11	8/19/11	716/48	716/48	1,211/82	332/24	Short
Sub-Totals			681/77	681/77	1,211/81	495/34	Short
			3,201/61	3,201/61	5,263/82	500/04	Short
MOTOROLA SOLUTIONS INC	4/27/10	1/4/11	3/89	3/89	5/71	2,062/01	Short
Sub-Totals			477/03	477/03	693/00	1/73	Short
			481/01	481/01	698/61	207/87	Short
NATIONAL OILWELL VARCO INC	1/11/11	3/10/11	665/07	665/07	744/53	209/60	Short

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NEW YORK COMMUNITY BANCORP INC	9/8/11	11/9/11	2,797.59	2,886.28	88.69	Short
NOBLE CORP NEW	8/15/10	2/25/11	989.23	1,390.54	407.31	Short
	8/15/10	3/10/11	340.05	471.29	131.24	Short
	8/4/10	3/10/11	1,293.96	1,542.41	248.45	Short
Sub-Totals			2,623.24	3,410.24	787.00	
NORTHROP GRUMMAN CP(HLDG CO)	4/27/10	3/23/11	1,960.48	1,952.82	(7.66)	Short
NOVARTIS AG ADR	4/12/11	4/12/11	46.74	46.59	(0.15)	Short
OMNICON GROUP	10/19/10	9/15/11	6,119.55	5,877.60	(241.95)	Short
	9/8/11	5/15/11	888.88	913.41	26.53	Short
Sub-Totals			7,008.43	6,791.01	(215.42)	
ORACLE CORP	4/13/11	9/8/11	1,515.59	1,193.56	(322.03)	Short
	6/23/11	5/3/11	1,366.78	1,113.99	(252.79)	Short
Sub-Totals			2,882.37	2,307.55	(574.82)	
PARKER HANNIFIN CORP	4/27/10	3/1/11	485.31	607.75	122.44	Short
PFIZER INC	9/29/10	9/8/11	869.76	957.25	87.49	Short
	1/5/11	9/8/11	1,472.79	1,539.11	66.32	Short
Sub-Totals			2,362.55	2,498.38	133.81	
PG&E CORPORATION	4/27/10	1/5/11	1,212.60	1,317.04	104.44	Short
	4/27/10	1/12/11	1,289.21	1,401.11	101.90	Short
	9/15/10	9/8/11	1,269.37	1,166.58	(102.79)	Short
Sub-Totals			3,781.18	3,884.73	103.55	
PHARMACEUTICAL PROD DEV INC	4/27/10	2/24/11	2,879.57	2,950.04	70.47	Short
PITNEY BOWES INC	4/27/10	4/27/11	640.96	652.19	11.23	Short
PNC FINL SVCS GP	5/11/11	9/8/11	1,653.53	1,232.74	(420.79)	Short
	5/11/11	9/8/11	635.98	474.14	(161.84)	Short
	5/11/11	9/8/11	1,245.84	948.27	(297.57)	Short
	9/31/11	9/8/11	1,312.53	1,232.75	(79.78)	Short
Sub-Totals			4,847.88	3,887.90	(959.98)	
PPG INDUSTRIES INC	2/25/11	9/8/11	1,574.54	1,344.11	(230.43)	Short
PRECISION CASTPARTS CORP	4/27/10	3/21/11	1,950.00	2,152.74	202.74	Short
PROGRESS ENERGY INC	9/8/11	10/11/11	6,042.19	6,302.32	260.13	Short
• PUBLIC SERVICE ENTERPRISE GP	4/27/10	3/10/11	1,808.23	1,767.55	(40.68)	Short
QUEST DIAGNOSTICS INC	3/11/11	8/17/11	342.56	292.04	(50.52)	Short
	3/11/11	1/1/11	742.23	711.64	(30.59)	Short
Sub-Totals			1,084.79	1,003.68	(81.11)	
• REYNOLDS AMERICAN INC	1/27/11	9/3/11	1,430.83	1,523.75	92.92	Short
ROCKWELL COLLINS INC	5/20/11	1/1/11	1,363.04	1,177.52	(185.52)	Short
SANOFI CVR RTS 123120	4/5/11	5/4/11	91.07	96.82	5.75	Short
SEALED AIR CP NEW	4/28/10	2/2/11	756.16	905.62	149.46	Short
ST JUDE MEDICAL INC	4/27/10	2/16/11	1,208.94	1,412.34	203.40	Short
	4/27/10	3/10/11	805.96	970.52	164.56	Short
	4/27/10	4/20/11	1,168.64	1,522.38	353.74	Short
	7/7/10	5/4/11	503.75	736.88	233.13	Short
	7/7/10	5/3/11	575.71	773.21	197.50	Short
	12/15/10	6/3/11	1,461.57	1,691.41	229.84	Short
Sub-Totals			5,724.57	7,106.74	1,382.17	
STANLEY BLACK & DECKER INC	5/4/11	9/8/11	865.86	882.82	(163.04)	Short
	9/7/11	9/8/11	708.09	682.81	(25.28)	Short
	9/7/11	9/8/11	354.05	341.41	(12.64)	Short
Sub-Totals			1,928.00	1,707.04	(220.96)	
STAPLES INC	5/19/11	10/31/11	780.45	704.61	(75.84)	Short
	5/19/11	12/18/11	1,195.59	1,009.51	(186.08)	Short
	6/22/11	12/18/11	650.05	588.88	(61.17)	Short
	7/29/11	12/18/11	417.96	394.54	(23.42)	Short
Sub-Totals			3,043.85	2,697.54	(346.31)	
SWATCH GROUP AG ADR THE UNSPON	9/21/10	8/1/11	347.99	512.99	165.00	Short
SYMANTEC CORP	4/27/10	3/1/11	914.68	945.19	30.51	Short
	4/27/10	3/1/11	33.88	35.88	2.00	Short
	4/27/10	4/1/11	1,067.12	1,163.88	96.76	Short
Sub-Totals			2,015.68	2,144.95	129.27	
• T ROWE PRICE GROUP INC	4/27/10	3/12/11	227.40	257.56	30.16	Short
TARGET CORPORATION	1/12/11	9/8/11	2,776.27	2,517.17	(259.10)	Short
	2/2/11	9/8/11	1,443.63	1,358.27	(85.36)	Short
	3/2/11	9/8/11	1,364.31	1,306.93	(57.38)	Short
Sub-Totals			5,584.21	5,185.37	(398.84)	
TECHNIE CP	4/27/10	3/21/11	711.70	785.47	73.77	Short
THE SCOTTS MIRACLE-GRO COMPANY	6/23/11	1/1/11	1,178.67	1,073.56	(105.11)	Short
THERMO FISHER SCIENTIFIC INC	8/10/11	9/8/11	658.90	684.10	25.20	Short
	8/10/11	9/8/11	963.01	999.85	36.84	Short
	8/11/11	9/8/11	1,034.13	999.84	(34.29)	Short
	9/7/11	9/8/11	700.81	684.10	(16.71)	Short

2 123-512211-111

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ADJ. COLT

ANALYST

NY 103-37111-1111

Sub-Totals	4,000	9/7/11	9/8/11	215.64	210.50	(5.14)	Short
TRAVELERS COMPANIES INC COM	68,000			3,572.49	3,576.39	5.90	
TYCO INTERNATIONAL LTD NEW	23,000	2/6/11	9/8/11	1,348.32	1,348.32	(216.64)	Short
UGI CORPORATION NEW COM	12,000	4/27/10	2/1/11	476.76	541.73	64.97	Short
UNICHARM CORP ADR	21,000	4/27/10	1/10/11	568.26	660.96	101.70	Short
VALERO ENERGY CP DELA NEW	14,000	8/18/10	9/1/11	568.86	644.82	75.86	Short
Sub-Totals	30,000	9/18/10	10/1/11	519.82	760.75	241.13	Short
VCA ANTECH INC	22,000	9/18/10	3/1/11	381.05	595.10	214.14	Short
Sub-Totals	52,000			900.67	1,355.84	455.27	
VIACOM INC NEW CLASS B	70,000	11/3/10	5/18/11	1,457.25	1,762.78	305.53	Short
Sub-Totals	5,000	4/7/11	5/18/11	123.85	125.81	2.06	Short
WALGREEN CO	75,000	3/15/11	9/8/11	1,581.10	1,888.69	307.59	Short
Sub-Totals	43,000	6/1/11	9/8/11	1,877.08	1,939.45	62.37	Short
WAL-MART DE MEXICO SA SPON ADR	29,000	6/1/11	9/8/11	1,475.04	1,308.00	(167.04)	Short
Sub-Totals	72,000			3,352.12	3,247.45	(104.67)	
WATERS CORP	68,000	4/27/10	3/30/11	1,697.28	1,894.26	206.98	Short
WELLS FARGO & CO NEW	38,000	10/13/10	3/2/11	1,333.21	1,579.10	245.89	Short
Sub-Totals	48,000	10/20/10	3/2/11	1,264.49	1,537.54	273.05	Short
WILLIAMS CO INC	26,000	7/14/10	5/1/11	2,597.70	3,116.64	518.94	Short
Sub-Totals	116,000			639.63	740.00	100.37	Short
WISCONSIN ENERGY CORP	9,000	4/27/10	3/1/11	1,348.46	1,384.44	37.98	Short
ZIMMER HLDGS INC	42,000	4/27/10	2/2/11	1,538.81	1,515.61	(23.20)	Short
Sub-Totals	48,000	4/27/10	5/1/11	718.52	735.98	17.46	Short
WILLIAMS CO INC	26,000	7/14/10	5/1/11	3,603.79	3,636.03	32.24	Short
Sub-Totals	116,000			689.24	873.05	183.81	Short
WILLIAMS CO INC	29,000	4/27/10	3/1/11	308.87	405.25	96.28	Short
Sub-Totals	13,000	4/27/10	4/8/11	986.21	1,278.30	280.09	Short
WISCONSIN ENERGY CORP	42,000	4/27/10	1/7/11	614.52	701.36	86.84	Short
ZIMMER HLDGS INC	12,000	4/27/10	3/3/11	913.35	947.87	34.62	Short
Sub-Totals	15,000	4/27/10	4/7/11	791.57	788.20	(3.37)	Short
WILLIAMS CO INC	13,000	7/30/10	5/20/11	728.80	949.05	220.25	Short
Sub-Totals	14,000	11/3/10	5/20/11	235.95	405.99	170.14	Short
WILLIAMS CO INC	6,000	11/3/10	5/20/11	2,727.67	3,092.61	365.14	Short
Sub-Totals	48,000			1,379.83	1,182.86	(196.97)	Short
3M COMPANY	15,000	3/23/11	9/8/11	1,379.83	1,182.86	(196.97)	Short
Sub-Totals	15,000			239,108.25	252,102.59	13,084.34	
ACCENTURE PLC IRELAND CL A	24,000	4/27/10	6/28/11	1,045.38	1,435.74	390.36	Long
Sub-Totals	23,000	4/27/10	7/6/11	1,045.38	1,482.47	437.09	Long
AETNA INC (NEW)(CT)	24,000	4/27/10	7/14/11	1,001.82	1,400.63	398.81	Long
AIR PROD & CHEM INC	30,000	4/27/10	8/1/11	1,306.76	1,756.76	450.00	Long
Sub-Totals	2,000	4/27/10	8/1/11	87.12	117.12	30.00	Long
APACHE CORP	54,000	4/27/10	8/1/11	2,385.16	2,853.16	468.00	Long
Sub-Totals	71,000	4/27/10	8/1/11	2,385.16	3,000.07	614.91	Long
APPLE INC	228,000	4/27/10	9/8/11	9,931.21	12,660.69	2,729.48	Long
Sub-Totals	14,000	4/27/10	6/22/11	421.40	629.27	207.87	Long
AETNA INC (NEW)(CT)	18,000	4/27/10	9/8/11	1,398.78	1,453.97	55.19	Long
AIR PROD & CHEM INC	16,000	4/28/10	9/8/11	1,238.79	1,292.41	53.62	Long
Sub-Totals	34,000			2,637.57	2,746.38	108.81	Long
APACHE CORP	12,000	4/27/10	8/31/11	1,292.86	1,240.72	(52.14)	Long
Sub-Totals	35,000	4/27/10	9/8/11	3,741.66	3,422.50	(319.16)	Long
APPLE INC	47,000	3/11/09	9/1/11	5,024.32	4,863.22	(161.10)	Long
AT&T INC	6,000	4/27/10	9/1/11	558.47	2,374.34	1,815.87	Long
BANK OF AMERICA CORP	28,000	4/27/10	9/7/11	729.88	783.81	53.93	Long
Sub-Totals	156,000	5/14/10	9/8/11	2,540.82	1,127.15	(1,413.67)	Long
BANK OF NEW YORK MELLON CORP	74,000	5/19/10	9/8/11	1,183.47	554.68	(628.79)	Long
Sub-Totals	7,000	6/9/10	9/8/11	1,087.66	513.00	(574.66)	Long
BANK OF AMERICA CORP	47,000	7/14/10	9/8/11	727.14	339.59	(387.55)	Long
Sub-Totals	348,000			5,549.09	2,514.42	(3,034.67)	Long
BANK OF NEW YORK MELLON CORP	56,000	4/27/10	6/8/11	1,740.38	1,471.78	(268.60)	Long
Sub-Totals	243,000	4/27/10	9/8/11	7,552.00	4,675.55	(2,876.45)	Long
BANK OF AMERICA CORP	299,000			9,292.38	6,247.33	(3,045.05)	Long
BECTON DICKINSON & CO	53,000	4/27/10	9/8/11	4,021.53	4,185.85	164.32	Long
Sub-Totals	4,000	5/10/10	8/1/11	205.25	410.67	205.42	Long
BIODEN IDEC INC	3,000	5/10/10	8/12/11	153.94	271.95	118.01	Long
Sub-Totals	11,000	6/4/10	8/12/11	529.37	997.14	467.77	Long
CHEVRON CORP	18,000	4/27/10	9/8/11	888.56	1,679.76	791.20	Long
CME GROUP INC	62,000	4/27/10	9/8/11	4,966.85	6,082.63	1,085.68	Long
Sub-Totals	20,000	3/5/09	11/11/11	3,662.80	5,247.22	1,584.42	Long
DIAGEO PLC SPON ADR NEW	48,000	4/27/10	9/8/11	3,323.23	3,906.90	583.67	Long

The following story was published on Thomson ONE:

EDISON INTERNATIONAL	6/4/10	10/4/11	1,596.66	1,917.72	321.06	Long
EMC CORP MASS	2/17/09	8/1/11	579.68	1,276.39	696.71	Long
ENCANA CORP	12/4/07	11/17/11	2,375.28	1,358.87	(1,016.41)	Long
EXXON MOBIL CORP	4/27/10	9/8/11	68.59	72.59	4.00	Long
Sub-Totals	4/27/10	9/8/11	4,115.26	4,955.55	240.29	Long
			4,183.65	4,428.14	244.29	Long
FANUC CORPORATION UNSP ADR	5/1/09	8/1/11	307.85	809.73	501.88	Long
FASTENAL CO	4/27/10	5/18/11	1,264.22	1,512.90	248.68	Long
Sub-Totals	4/27/10	5/18/11	632.11	726.34	124.23	Long
			1,896.33	2,239.24	342.91	Long
FREEMPORT MCORMAN CPAGLD	4/27/10	8/1/11	1,028.81	1,439.61	410.80	Long
Sub-Totals	4/27/10	10/3/11	4,153.33	3,383.79	(769.54)	Long
			5,182.14	4,823.40	(358.74)	Long
GAZPROM O A O SPON ADR	12/4/07	1/31/11	5,668.00	2,747.89	(2,920.11)	Long
GENERAL MILLS INC	4/27/10	9/8/11	3,415.69	3,723.38	307.69	Long
GENUINE PARTS CO	4/27/10	6/23/11	1,822.77	2,226.79	404.02	Long
GENZYME CORP	4/24/08	4/5/11	40.44	58.38	17.94	Long
Sub-Totals	4/24/08	4/5/11	1,281.36	1,850.00	568.64	Long
			1,321.80	1,908.38	586.58	Long
GOLDMAN SACHS GRP INC	7/16/09	9/31/11	1,398.71	1,043.26	(355.45)	Long
Sub-Totals	7/16/09	9/8/11	621.65	421.23	(200.42)	Long
	4/27/10	9/8/11	5,803.89	4,001.72	(1,802.17)	Long
			7,824.25	5,468.21	(2,356.04)	Long
HASBRO INC	4/27/10	9/8/11	2,250.25	2,064.78	(185.47)	Long
HEWLETT PACKARD	11/23/07	3/31/11	3,042.96	2,600.74	(352.22)	Long
Sub-Totals	12/20/07	3/31/11	1,816.24	1,694.38	(123.86)	Long
			3,861.20	3,385.12	(476.08)	Long
HOLOGIC INC	4/27/10	7/25/11	919.96	1,038.98	119.02	Long
Sub-Totals	5/4/10	7/25/11	307.22	387.07	79.85	Long
			1,227.18	1,428.05	198.87	Long
HONEYWELL INTERNATIONAL INC	5/26/10	8/3/11	2,340.94	2,519.60	178.66	Long
Sub-Totals	8/11/10	9/8/11	1,267.34	1,374.32	106.98	Long
			3,608.28	3,893.92	285.64	Long
HSBC HOLDINGS PLC SPON ADR NEW	6/17/09	8/1/11	259.56	297.31	37.75	Long
INTEL CORP	5/15/09	4/13/11	1,006.54	1,282.76	276.22	Long
Sub-Totals	5/15/09	9/7/11	820.72	1,056.97	236.25	Long
	4/27/10	9/8/11	1,533.04	1,952.14	429.10	Long
			257.25	218.01	(39.24)	Long
			3,617.55	4,522.88	905.33	Long
INTL BUSINESS MACHINES CORP	11/23/07	8/1/11	1,136.41	1,898.03	851.62	Long
Sub-Totals	11/23/07	8/1/11	826.48	1,332.08	505.60	Long
	12/20/07	9/8/11	619.86	996.95	377.09	Long
	4/27/10	9/8/11	871.76	1,138.71	266.95	Long
			1,867.52	2,468.06	600.54	Long
	4/27/10	9/8/11	2,076.86	2,657.53	580.67	Long
			7,219.00	10,464.53	3,245.53	Long
INTL FLAVORS & FRAGRANCES	4/27/10	9/30/11	1,867.76	2,107.60	239.84	Long
INTUIT INC	5/28/10	7/1/11	5,232.77	7,650.66	2,417.89	Long
ITT CORP	4/27/10	7/25/11	562.60	563.69	1.09	Long
JOHNSON & JOHNSON	11/23/07	9/8/11	1,061.60	1,050.73	(10.87)	Long
JOHNSON CONTROLS INCORPORATED	4/27/10	9/8/11	2,973.16	2,639.92	(333.24)	Long
Sub-Totals	4/27/10	9/23/11	3,607.88	5,947.95	2,340.07	Long
			6,581.04	8,597.87	2,006.83	Long
JP MORGAN CHASE & CO	4/27/10	8/15/11	7,700.86	6,662.84	(1,038.02)	Long
Sub-Totals	8/16/10	9/8/11	5,786.41	5,168.63	(617.78)	Long
			13,487.27	11,831.47	(1,655.80)	Long
KRAFT FOODS INC CL A	4/27/10	8/8/11	1,094.46	1,302.90	208.44	Long
KROGER CO	4/27/10	7/29/11	369.39	398.07	28.68	Long
Sub-Totals	4/27/10	10/13/11	151.61	153.99	(2.38)	Long
	4/27/10	11/8/11	1,223.62	1,223.47	(0.15)	Long
			561.21	669.44	108.23	Long
			2,315.83	2,446.97	131.14	Long
LABORATORY CP AMER HLDGS NEW	4/27/10	5/6/11	699.75	876.27	176.52	Long
Sub-Totals	4/27/10	9/23/11	1,710.50	1,733.67	23.17	Long
			2,410.25	2,609.94	199.69	Long
LEGG MASON INC	12/4/07	7/25/11	2,217.72	960.53	(1,257.09)	Long
Sub-Totals	12/4/07	7/29/11	1,922.02	705.16	(1,216.86)	Long
			4,139.74	1,725.79	(2,413.95)	Long
LOCKHEED MARTIN CORP	11/23/07	9/8/11	2,395.33	1,510.71	(814.62)	Long
Sub-Totals	12/20/07	9/8/11	900.00	847.45	(52.55)	Long
	8/2/108		599.12	575.51	(23.61)	Long

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ADT.
COK

PERCEC

ST JUDE MEDICAL INC	2 000	4/27/10	5/4/11	80 60	105 27	24 67	Long
STANLEY BLACK & DECKER INC	95 000	6/30/10	9/8/11	1,320 05	1 478 43	159 38	Long
Sub-Totals	22 000	8/1/10	9/8/11	1,229 51	2,549 56	22 32	Long
STARBUCKS CORP WASHINGTON	48 000	6/18/10	8/1/11	1,378 70	1,947 22	181 70	Long
SYMANTEC CORP	92 000	4/27/10	5/13/11	1,558 34	1,845 04	568 43	Long
Sub-Totals	123 000	4/27/10	9/1/11	525 09	387 84	286 70	Long
TAIWAN SMCNDCR MFG CO LTD ADR	2 000	9/17/09	5/18/11	21 83	27 08	32 75	Long
Sub-Totals	91 000	12/2/09	5/18/11	756 57	1,096 59	349 45	Long
TECHNE CP	35 000	4/27/10	5/23/11	2,264 50	2 824 64	525	Long
TESCO PLC SPONSORED ADR	2 000	11/21/06	3/28/11	45 70	37 12	300 02	Long
Sub-Totals	66 000	11/22/06	3/28/11	1,531 21	1,225 03	196 01	Long
TEVA PHARMACEUTICALS ADR	60 000	4/27/10	8/1/11	1,576 91	1,882 15	202 18	Long
Sub-Totals	50 000	4/27/10	10/14/11	3,591 48	4,273 28	673 46	Long
TRAVELERS COMPANIES INC COM	28 000	4/27/10	6/22/11	1,325 92	1 501 35	560 14	Long
Sub-Totals	70 000	4/27/10	9/8/11	3,569 80	3,444 24	175 43	Long
TYCO INTERNATIONAL LTD NEW	42 000	4/27/10	10/14/11	1,688 66	1,845 07	49 87	Long
UNITED TECHNOLOGIES CORP	86 000	4/27/10	9/8/11	6,423 95	6 252 45	176 41	Long
WALT DISNEY CO HLDG CO	27 000	4/27/10	7/14/11	978 64	1 068 62	171 50	Long
Sub-Totals	69 000	11/20/08	7/29/11	1,364 13	2,715 60	89 98	Long
WELLS FARGO & CO NEW	44 000	4/27/10	5/4/11	1,602 83	1 455 02	1,351 47	Long
Sub-Totals	84 000	4/27/10	5/11/11	1,410 57	1,245 50	1,351 47	Long
WILLIAMS CO INC	15 000	4/27/10	5/9/11	356 51	463 31	176 96	Long
Sub-Totals	69 000	4/27/10	5/20/11	1,639 93	2 107 68	108 80	Long
WISCONSIN ENERGY CORP	14 000	4/27/10	5/6/11	1,433 88	1,772 05	407 75	Long
Sub-Totals	70 000	4/27/10	10/14/11	1,996 44	2,570 99	574 55	Long
WPP PLC ADR	18 000	11/23/06	3/10/11	1,223 03	1 201 93	78 63	Long
Sub-Totals	8 000	8/21/08	9/2/11	579 33	459 33	343 17	Long
ZIMMER HLDGS INC	12 000	4/27/10	5/20/11	730 68	813 99	421 80	Long
3M COMPANY	53 000	9/9/05	8/22/11	3,882 21	4 076 47	194 28	Long
Sub-Totals	22 000	4/27/10	9/8/11	1,838 64	1,954 11	194 28	Long
Sub-Totals	3 000	4/27/10	9/8/11	2,643 90	2,985 72	246 53	Long
Sub-Totals	9 000	6/9/10	9/8/11	683 27	708 71	277 89	Long
Sub-Totals	117 000			9,412 08	9,000 58	26 44	Long
Long Term Total			307,979 28	307,979 26	333,223 77	15,244 49	
GRAND TOTAL			547,087 53	547,087 53	575,416 36	20,328 83	

MVLON RAMEY
 SMITH RAMEY
 ACCT 723-059256-111 AAA

TIER: Reserved Select

The Tauck Foundation Inc
 6 BLUFF PT
 WESTPORT CT 06880-6902

Gain and Loss Fiscal Report with Fiscal Year ending in Dec 2011

Security Name	Quantity	Date Acquired	Date Sold	Total Cost	Adjusted Cost	Proceeds G(L) Amount	Holding Period
Short-Term Gain/Loss							
AEGON NV 3.535 7-29-49	50,000 000	8/19/10	2/28/11	32,006 00	32,006 00	33,244 00	Short
CITIGROUP CAP XII 8 1/2 3-30-40	100 000	5/19/10	5/10/11	2,469 20	2,469 20	2,537 84	Short
	500 000	5/19/10	5/10/11	12,316 01	12,316 01	12,689 20	Short
	1,000 000	5/19/10	5/10/11	24,632 03	24,632 03	25,378 40	Short
	200 000	5/19/10	5/10/11	4,926 41	4,926 41	5,075 68	Short
	200 000	5/19/10	5/10/11	4,928 39	4,928 39	5,075 68	Short
CONTL AIRLINES 7.339 4-19-14	7,321 060	11/22/10	4/19/11	0 00	7,577 22	7,321 06	Short
	7,258 450	11/22/10	10/19/11	0 00	7,512 42	7,258 45	Short
DELTA AIR LINES 7.711 9-18-11	100,000 000	2/1/11	9/19/11	103,723 00	100,000 00	100,000 00	Short
DTE ENERGY SER-I 6 1/2 12-01-61	4,000 000	11/28/11	12/2/11	100,000 00	100,000 00	100,594 00	Short
FREEPORT MCMORAN CP&GLD	1,800 000	11/1/11	11/10/11	97,164 46 P	97,164 46	68,732 08	Short ADJ 11/23/11
GOLDMAN SACHS 6 1/8 11-01-60	2,000 000	10/26/10	3/17/11	50,000 00	50,000 00	46,368 61	Short
KEYCORP CAPITAL I .985 7-01-28	50,000 000	1/4/11	7/20/11	36,726 00	36,726 00	39,619 00	Short
QWEST CORPORATION 7 3/8 6-01-51	4,000 000	6/1/11	9/20/11	100,000 00	100,000 00	99,601 86	Short
REV CVT ON FCX 8 1/2 10-31-11	100,000 000	11/1/11	11/1/11	100,000 00 A	100,000 00	100,211 00	Short ADJ 11/23/11
TELE&DATA SYS INC 6 7/8 11-15-59	2,000 000	11/16/10	5/31/11	50,000 00	50,000 00	49,582 07	Short
XL CAPITAL LTD 6 1/2 5-31-51	50,000 000	9/17/10	5/12/11	42,756 00	42,756 00	46,731 50	Short
Short Term Total				761,647.50	773,014.14	750,020.43	
Long-Term Gain/Loss							
ALTERNATIVE INVESTMENT PARTNERS ABS	352.106	3/31/10	6/30/11	1103,174	1103,174	375,302 74	
BAC CAP TR XIV 5.630 4-29-51	50,000 000	9/24/09	3/30/11	34,255 25	34,255 25	37,994 00	Long
GOLDMAN SACHS GRP .492 8-05-11	50,000 000	4/3/09	8/5/11	46,005 25	46,005 25	50,000 00	Long
HOUSEHOLD FINANCE 3.011 4-10-11	100,000 000	2/26/08	4/11/11	100,126 25	100,000 00	100,000 00	Long
HOUSEHOLD FINANCE 4.710 6-10-11	100,000 000	9/1/09	6/10/11	95,380 25	95,380 25	100,000 00	Long
LINCOLN NATL CORP 7.000 5-17-66	50,000 000	2/10/10	4/28/11	42,505 25	42,505 25	50,994 00	Long
Short Term Total				761,647.50	773,014.14	750,020.43	
Long-Term Total						(22,993.71)	
ALTERNATIVE INVESTMENT PARTNERS ABS	352.106	3/31/10	6/30/11	1103,174	1103,174	375,302 74	
BAC CAP TR XIV 5.630 4-29-51	50,000 000	9/24/09	3/30/11	34,255 25	34,255 25	37,994 00	Long
GOLDMAN SACHS GRP .492 8-05-11	50,000 000	4/3/09	8/5/11	46,005 25	46,005 25	50,000 00	Long
HOUSEHOLD FINANCE 3.011 4-10-11	100,000 000	2/26/08	4/11/11	100,126 25	100,000 00	100,000 00	Long
HOUSEHOLD FINANCE 4.710 6-10-11	100,000 000	9/1/09	6/10/11	95,380 25	95,380 25	100,000 00	Long
LINCOLN NATL CORP 7.000 5-17-66	50,000 000	2/10/10	4/28/11	42,505 25	42,505 25	50,994 00	Long

[illegible]

A - Information for this transaction reflects your requested adjustments to existing Morgan Stanley Smith Barney LLC trade history

P - You have provided the trade history for this transaction, it was not available through Morgan Stanley Smith Barney LLC records.

Disclaimer:

The gain and loss information is provided for informational purposes only, is not a substitute 1099 form (or any other appropriate tax form), and should not be used for tax preparation. Unrealized gain and loss values are estimates and should be independently verified. We recommend that you contact your tax advisor to determine the appropriate use of this information. Past performance does not guarantee future returns.

Gain and loss information is calculated based upon uniform standards and does not account for each individual client's particular circumstances such as the existence of hedging transactions or constructive sales. Although we make every effort to adjust the cost basis for securities' capital changes, we do not adjust the cost basis for all events. Contact your Financial Advisor for guidance on particular questions.

For accounts with Choice Select pricing, the commissions paid on your eligible equity and option purchases and sales are applied to the Total Cost on a monthly basis.

With respect to estimated gains and losses for listed equity options, we have taken into account option premiums paid or received and, in respect to multiple purchases and/or sales, calculated cost using an average unit price for all like positions.

We are not responsible for any gain and loss information provided by you or another financial institution; you are responsible for ensuring the accuracy of such information. Whether provided by you, another financial institution or MSSB, it is your responsibility to ensure the accuracy of all of the Estimated Gain and Loss information.

For clients wishing to make versus purchase sales that information needs to be conveyed at the time of the sale. Unless you tell us otherwise, we use first in first out (FIFO) accounting.

For information on Thomson ONE go to www.thomson.com/financial

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions. THE TAUCK FOUNDATION, INC.	Employer identification number (EIN) or ☒ 27-0729341
	Number, street, and room or suite no. If a P.O. box, see instructions. P.O. BOX 5020	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NORWALK, CT 06856	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

ARTHUR C. TAUCK, JR

- The books are in the care of ► **P.O. BOX 5020 - NORWALK, CT 06856**

Telephone No. ► **866 828-2536**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2012** to file the exempt organization return for the organization named above. The extension

is for the organization's return for:

► ☒ calendar year **2011** or

► ☐ tax year beginning , and ending

- 2** If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	12,098.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	8,098.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	4,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2012)

123841
01-04-12

MAY 14 2012

13250514 755449 79264CORP

2011.03050 THE TAUCK FOUNDATION, INC. 79264CO1

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the due date for filing your return. See instructions.	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE TAUCK FOUNDATION, INC.	☒ 27-0729341
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	P.O. BOX 5020	☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NORWALK, CT 06856	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

ARTHUR C. TAUCK, JR.

- The books are in the care of ☒ P.O. BOX 5020 - NORWALK, CT 06856

Telephone No ☒ 866 828-2536FAX No ☐

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2012.5 For calendar year 2011, or other tax year beginning , and ending .6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

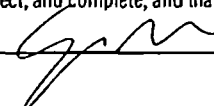
7 State in detail why you need the extension

THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN IS NET YET AVAILABLE.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	12,098.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	12,098.
c	Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature Title ☒ CPADate ☒ 8/10/12

Form 8868 (Rev. 1-2012)