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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
THE KLEINSCHMIDT FAMILY FOUNDATION

A Employer identification number
27-0112179

Number and street (or P O box number if mail is not delivered to street address)
R KLEINSCHMIDT 730 COLUMBUS AVE NO 16I

Room/suite

B Telephone number (see page 10 of the instructions)
(212) 698-0800

City or town, state, and ZIP code
NEW YORK, NY 10025

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)☒ \$ 5,740,619

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,000,000			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	90,489	90,489		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	2,709			
	b Gross sales price for all assets on line 6a _____ 1,045,290				
	7 Capital gain net income (from Part IV , line 2)		2,709		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	1,093,198	93,198		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	396	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications	125	0		125
	23 Other expenses (attach schedule).	471	0		471
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	992	0		596
	25 Contributions, gifts, grants paid.	279,776			279,776
	26 Total expenses and disbursements. Add lines 24 and 25	280,768	0		280,372
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	812,430			
	b Net investment income (if negative, enter -0-)		93,198		
c Adjusted net income (if negative, enter -0-)					

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Cat No 11289X

Form **990-PF** (2011)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	1,446,910	1,726,341	1,726,341
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	503,832	960,824	989,344
	b	Investments—corporate stock (attach schedule)	2,727,913	2,819,812	3,010,446
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)	30,380	14,488	14,488
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,709,035	5,521,465	5,740,619

Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	

Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	4,709,035	5,521,465	
	30	Total net assets or fund balances (see page 17 of the instructions)	4,709,035	5,521,465	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	4,709,035	5,521,465	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	4,709,035
2	Enter amount from Part I, line 27a	2	812,430
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	5,521,465
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,521,465

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,709
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	207,865	3,662,321	0 056758
2009	125,304	2,387,916	0 052474
2008	109,887	2,217,046	0 049565
2007	88,132	1,698,540	0 051887
2006	53,130	925,677	0 057396


2	Total of line 1, column (d).	2	0 268080
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 053616
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	4,939,412
5	Multiply line 4 by line 3.	5	264,832
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	932
7	Add lines 5 and 6.	7	265,764
8	Enter qualifying distributions from Part XII, line 4.	8	280,372

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	932
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3		Add lines 1 and 2.		3	932
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	932
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	735	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c	1,500	
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments. Add lines 6a through 6d.		7	2,235
8		Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	1,303
11		Enter the amount of line 10 to be Credited to 2012 estimated tax <input checked="" type="checkbox"/> 1,303	Refunded <input type="checkbox"/>	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ 0 (2) On foundation managers <input checked="" type="checkbox"/> \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input checked="" type="checkbox"/> NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of ROBERT KLEINSCHMIDT Telephone no (212) 698-0800 Located at 730 COLUMBUS AVE SUITE 16I NEW YORK NY ZIP +4 10025			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	5b		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	0
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0
2	
All other program-related investments. See page 24 of the instructions.	
3	0
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	4,319,320
b	Average of monthly cash balances.	1b	695,311
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,014,631
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,014,631
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	75,219
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,939,412
6	Minimum investment return. Enter 5% of line 5.	6	246,971

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	246,971
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	932
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	932
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	246,039
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	246,039
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	246,039

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	280,372
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	280,372
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	932
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	279,440
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				246,039
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2011				
a From 2006.				7,916
b From 2007.				5,779
c From 2008.				
d From 2009.				6,754
e From 2010.				26,185
f Total of lines 3a through e.	46,634			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 280,372				
a Applied to 2010, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2011 distributable amount.				246,039
e Remaining amount distributed out of corpus	34,333			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	80,967			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).	0			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).	7,916			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	73,051			
10 Analysis of line 9				
a Excess from 2007. . . .				5,779
b Excess from 2008. . . .				
c Excess from 2009. . . .				6,754
d Excess from 2010. . . .				26,185
e Excess from 2011. . . .				34,333

Part XIVPrivate Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ROBERT W KLEINSCHMIDT

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

ROBERT W KLEINSCHMIDT
730 COLUMBUS AVE APT 16I
NEW YORK, NY 10025
(212) 698-0800

b

The form in which applications should be submitted and information and materials they should include

NO SPECIAL FORM REQUIRED

c

Any submission deadlines

NO DEADLINES

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO RESTRICTIONS

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	279,776
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			18	90,489	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	2,709	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .		0		93,198	0
13 Total. Add line 12, columns (b), (d), and (e).			13		93,198

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge			
	*****		2012-05-23	*****
	Signature of officer or trustee		Date	Title
	Preparer's Signature ▶ MARK B KAUFMAN		Date	Check if self-employed <input checked="" type="checkbox"/> PTIN P01349722
Paid Preparer's Use Only	Firm's name ▶ PEGG & PEGG LLP		Firm's EIN ▶ 13-3385788	
	1430 BROADWAY SUITE 1105A			
	Firm's address ▶ NEW YORK, NY 10018		Phone no (212) 532-4287	

May the IRS discuss this return with the preparer shown above? See instructions ☐ Yes ☐ No

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div>	OMB No 1545-0047
		2011

<div>Name of organization</div> <div>THE KLEINSCHMIDT FAMILY FOUNDATION</div>	<div>Employer identification number</div> <div>27-0112179</div>
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<div><input type="checkbox"/> 501(c)() (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div>
Form 990-PF	<div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule—

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization THE KLEINSCHMIDT FAMILY FOUNDATION	Employer identification number 27-0112179
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Part I

Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ROBERT KLEINSCHMIDT 730 COLUMBUS AVE APT 16G NEW YORK, NY 10025	\$ 1,000,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization THE KLEINSCHMIDT FAMILY FOUNDATION	Employer identification number 27-0112179
--	--

<div>Part II</div> <div>Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed</div>			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	<div></div> <div></div> <div></div> <div></div>	\$ <div></div>	<div></div>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	<div></div> <div></div> <div></div> <div></div>	\$ <div></div>	<div></div>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	<div></div> <div></div> <div></div> <div></div>	\$ <div></div>	<div></div>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	<div></div> <div></div> <div></div> <div></div>	\$ <div></div>	<div></div>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	<div></div> <div></div> <div></div> <div></div>	\$ <div></div>	<div></div>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	<div></div> <div></div> <div></div> <div></div>	\$ <div></div>	<div></div>

Name of organization THE KLEINSCHMIDT FAMILY FOUNDATION	Employer identification number 27-0112179
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete columns (a) through (e) and the following line entry)

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ➤ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div>	<div></div>	
	<div></div>	<div></div>	
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div>	<div></div>	
	<div></div>	<div></div>	
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div>	<div></div>	
	<div></div>	<div></div>	
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div>	<div></div>	
	<div></div>	<div></div>	
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div>	<div></div>	
	<div></div>	<div></div>	

Additional Data

Software ID:
Software Version:
EIN: 27-0112179
Name: THE KLEINSCHMIDT FAMILY FOUNDATION

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part IV – Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200M FED HOME LN MTGE CORP 4 25% 1-28-25	P	2010-01-20	2011-01-28
5711 13 FED HOME LN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2011-02-15
2865 57 FED HOME LN MTGE CORP POOL # JO9598 4% 5-1-24	P	2011-01-12	2011-02-15
7685 46 GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2011-02-15
1437 02 FED NAT MTGE ASSOC PASS-THRU 3 5% 1-1-21	P	2011-01-12	2011-02-25
4837 95 FED HOME LN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2011-03-15
3911 33 FED HOME LN MTGE CORP POOL # JO9598 4% 5-1-24	P	2011-01-12	2011-03-15
7991 56GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2011-03-15
1496 40 FED NAT MTGE ASSOC PASS-THRU 3 5% 1-1-21	P	2011-01-12	2011-03-25
4368 61 FED HOME LN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2011-04-15
1496 090 FED HOME LN MTGE CORP POOL # JO9598 4% 5-1-24	P	2011-01-12	2011-04-15
611 49 GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2011-04-15
200M FED HOME LN BNK 3% 10-20-17	P	2010-04-08	2011-04-20
1541 70 FED NAT MTGE ASSOC PASS-THRU 3 5% 1-1-21	P	2011-01-12	2011-04-25
3397 64 FED HOME LN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2011-05-16
2204 35 FED HOME LN MTGE CORP POOL # JO9598 4% 5-1-24	P	2011-01-12	2011-05-16
745 64 GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2011-05-16
100M FED HOME LN BNK 3% 8-23-19	P	2011-02-04	2011-05-23
1708 50 FED NAT MTGE ASSOC PASS-THRU 3 5% 1-1-21	P	2011-01-12	2011-05-25
3116 77 FED HOME LN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2011-06-15
3399 10 FED HOME LN MTGE CORP POOL # JO9598 4% 5-1-24	P	2011-01-12	2011-06-15
4532 74 GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2011-06-15
1815 17 FED NAT MTGE ASSOC PASS-THRU 3 5% 1-1-21	P	2011-01-12	2011-06-27
3334 55 FED HOME LN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2011-07-15
2612 98 FED HOME LN MTGE CORP POOL # JO9598 4% 5-1-24	P	2011-01-12	2011-07-15
593 90 GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2011-07-15
2283 72 FED NAT MTGE ASSOC PASS-THRU 3 5% 1-1-21	P	2011-01-12	2011-07-25
100M FED NAT MTG ASSN 2% 4-29-16	P	2011-02-10	2011-07-29
1809 01 FED HOME LN MTGE CORP POOL # JO9598 4% 5-1-24	P	2011-01-12	2011-08-15
3482 87 GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2011-08-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3587 87 FED HOME LN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2011-08-15
2341 01 FED NAT MTGE ASSOC PASS-THRU 3 5% 1-1-21	P	2011-01-12	2011-08-25
300M FED HOME LOAN BNK 2 5% 12-14-18	P	2011-05-17	2011-09-14
3613 44 FED HOME LN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2011-09-15
4205 14 FED HOME LN MTGE CORP POOL # JO9598 4% 5-1-24	P	2011-01-12	2011-09-15
694 19 GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2011-09-15
2306 25 FED NAT MTGE ASSOC PASS-THRU 3 5% 1-1-21	P	2011-01-12	2011-09-26
2952 88 FED HOME LN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2011-10-17
4904 42 FED HOME LN MTGE CORP POOL # JO9598 4% 5-1-24	P	2011-01-12	2011-10-17
3446 65 GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2011-10-17
2701 35 FED NAT MTGE ASSOC PASS-THRU 3 5% 1-1-21	P	2011-01-12	2011-10-25
3299 96 FED HOME LN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2011-11-15
7825 00 FED HOME LN MTGE CORP POOL # JO9598 4% 5-1-24	P	2011-01-12	2011-11-15
4492 84 GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2011-11-15
3839 49 FED NAT MTGE ASSOC PASS-THRU 3 5% 1-1-21	P	2011-01-12	2011-11-25
2936 97 FED HOME LN MTGE CORP 5 5% 8-1-21	P	2011-01-12	2011-12-15
5085 39 FED HOME LN MTGE CORP POOL # JO9598 4% 5-1-24	P	2011-01-12	2011-12-15
609 79 GNMA PASS-THRU X SINGLE FAMILY # 704639 4 5% 8-15-24	P	2011-01-12	2011-12-15
3052 09 FED NAT MTGE ASSOC PASS-THRU 3 5% 1-1-21	P	2011-01-12	2011-12-27
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
200,000		199,527	473
5,711		6,157	-446
2,866		2,966	-100
7,685		8,194	-509
1,437		1,486	-49
4,838		5,215	-377
3,911		4,048	-137
7,991		8,520	-529
1,496		1,548	-52
4,369		4,709	-340
1,498		1,548	-50
611		652	-41
200,000		199,527	473
1,542		1,595	-53
3,398		3,663	-265
2,204		2,281	-77
746		795	-49
100,000		99,477	523
1,709		1,767	-58
3,117		3,360	-243
3,399		3,518	-119
4,533		4,833	-300
1,815		1,877	-62
3,335		3,595	-260
2,613		2,704	-91
594		633	-39
2,284		2,362	-78
100,000		95,921	4,079
1,809		1,872	-63
3,483		3,713	-230

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,588		3,868	-280
2,341		2,421	-80
300,000		299,477	523
3,613		3,895	-282
4,205		4,352	-147
694		740	-46
2,306		2,385	-79
2,953		3,183	-230
4,904		5,076	-172
3,447		3,675	-228
2,701		2,794	-93
3,300		3,557	-257
7,825		8,098	-273
4,493		4,790	-297
3,839		3,971	-132
2,937		3,166	-229
5,085		5,263	-178
610		650	-40
3,052		3,157	-105
4,403			4,403

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			473
			-446
			-100
			-509
			-49
			-377
			-137
			-529
			-52
			-340
			-50
			-41
			473
			-53
			-265
			-77
			-49
			523
			-58
			-243
			-119
			-300
			-62
			-260
			-91
			-39
			-78
			4,079
			-63
			-230

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-280
			-80
			523
			-282
			-147
			-46
			-79
			-230
			-172
			-228
			-93
			-257
			-273
			-297
			-132
			-229
			-178
			-40
			-105
			4,403


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT W KLEINSCHMIDT	PRESIDENT/TREASURER/DIR 1 00	0	0	0
730 COLUMBUS AVE APT 16I NEW YORK,NY 10025				
NELL F KLEINSCHMIDT	VICE PREISIENT/SECY/DIR 1 00	0	0	0
730 COLUMBUS AVE APT 16I NEW YORK,NY 10025				
ALEXANDER KLEINSCHMIDT	DIRECTOR 0 00	0	0	0
730 COLUMBUS AVE APT 16I NEW YORK,NY 10025				
PAUL KLEINSCHMIDT	DIRECTOR 0 00	0	0	0
730 COLUMBUS AVE APT 16I NEW YORK,NY 10025				
LARA KLEINSCHMIDT	DIRECTOR 0 00	0	0	0
730 COLUMBUS AVE APT 16I NEW YORK,NY 10025				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
101 EAST 69TH ST CONSERVANCY101 EAST 69 STREET NEWYORK,NY 10021	N/A	PUBLIC CHARITY	HELP TO PRESERVE THE EXTERIOR AND THE INTERIOR SUPPORTING STRUCTURE OF THE CLUBHOUSE AT 101 E 69TH STDESIGGNED BY DELANO AND ALDRICH AS HOME TO THE UNION CLUB	5,000
564 PARK AVENUE PRESERVATION FOUNDATION 564 PARK AVENUE NEWYORK,NY 10021	N/A	PUBLIC CHARITY	PRESERVATION OF PROPERTY	5,500
CENTER FOR COMPETITIVE POLITICS124 WEST STREET ALEXANDRIA,VA 22314	N/A	PUBLIC CHARITY	FIGHT GOR THE FIRST AMENDMENT'S POLITICAL RIFGTS OF SPEECH, ASSEMBLY AND PETITION	11,000
FORT TICONDEROGA ASSOCIATIONPO BOX 390 TICONDEROGA,NY 12883	N/A	PUBLIC CHARITY	TO ENSURE THAT PRESENT AND FUTURE GENERATIONS LEARN FROM THE STRUGGLES, SACRIFICES, AND VICTORIESTHAT SHAPED THE NATIONS OF NORTH AMERICA AND CHANGED WORLD HISTORY	500
FOUNDATION FOR LANDSCAPE STUDIES7 W81ST STREET NEWYORK,NY 10024	N/A	PUBLIC CHARITY	ASSISSTING PUBLIC MANAGERS, CITIZEN ADVOCATES, AND PRIVATE STEWARDS OF HISTORIC PARKS, ESTATES,AND OTHER KINDS OF CULTURAL LANDSCAPES TO EFFECTIVELY RESTORE AND MANAGE THE AREAS OF THEIRRESPONSIBILITY AND CONCERN	100
HARVARD65 N HARVARD ST BOSTON,MA 02163	N/A	PUBLIC CHARITY	EDUCATION PROGRAMS	1,000
LAWRENCEVILLE FUNDPO BOX 6008 LAWRENCEVILLE,NJ 08648	N/A	PUBLIC CHARITY	TEACHING INITIATES, PROGRAM SUPPORTS, THE ARTS, ATHLETICS, HOUSE PROGRAMS AND FINANCIAL AID	7,500
MANHATTAN INSTITUTE FOR POLICY RESEARCH52 VANDERBILT AVE NEWYORK,NY 10017	N/A	PUBLIC CHARITY	DEVELOP AND DISSEMINATE NEW IDEAS THAT FOSTER GREATER ECONOMIC CHOICE AND INDIVIDUAL RESPONSIBILITY	5,000
METROPOLITAN WATERFRONT ALLIANCE241 WATER STREET NEWYORK,NY 10038	N/A	PUBLIC CHARITY	TO MAKE THE FUTURE OF THE NEWYORK AND NEW JERSEY WATERFRONT A VITAL PART OF THE CIVIC AND POLITICALDISCUSSION IN THE METROPOLITAN REGION	1,125
NATIONAL SEPTEMBER 11 MEMORIAL & MUSEUMPO BOX 96126 WASHINGTON,DC 20090	N/A	PUBLIC CHARITY	REMEMBER AND HONOR THE THOUSANDS OF INNOCENT MEN, WOMEN AND CHILDREN MURDERED BY TERROSISTS IN THEHORRIFIC ATTACKS OF 2/26/93 AND 9/11/01	500
NEIGHBORHOOD COALITION FOR SHELTER157 E 86TH STREET NEWYORK,NY 10028	N/A	PUBLIC CHARITY	SERVING CHRONICALLY HOMELESS INDIVIDUALS AND HAS HELPED THOUSNDS OF PEOPLE MOVE FROMTHE STREETS TO STABLE, FULFILLING LIVES	250
NEW NETHERLAND INSTITUTE BOX 2536 EMPIRE STATE PLAZA STATION ALBANY,NY 12220	N/A	PUBLIC CHARITY	DEVELOPS COLLABORATIONS WITH ACADEMIC INSTITUTIONS AND OTHER ORGANIZATIONS INTERESTED IN EARLYAMERICAN HISTORY, PROVIDES LEARNING OPPORTUNITIES SUCH AS INTERNSHIP, AS WELL AS RESEARCH ANDCONSULTING SERVICES PERTAINING TO NEW NETHERLAND	1,000
NEWYORK HISTORICAL SOCIETY 170 CENTRAL PARK WEST NEWYORK,NY 10024	N/A	PUBLIC CHARITY	EDUCATION PROGRAMS IN HELPING THE PUBLIC LREAN ABOUT NEWYORK AND AMERICAN HISTORY	300
ALC RESEARCH FUND AT CORNELL UNIVERSITY130 E SENECA ST STE 400 ITHACA,NY 14850	N/A	PUBLIC CHARITY	EDUCATE BRIGHT YOUNG PEOPLE THROUGH COORDINATED RESEARCHEDUCATE BRIGHT YOUNG PEOPLE THROUGH COORDINATED RESE	5,540
AMERICAN MUSEUM OF NATURAL HISTORYCENTRAL PARK WEST 79TH ST NEWYORK,NY 10024	N/A	PUBLIC CHARITY	EDUCATION & SCIENTIFIC RESEARCH	163
BEHIND THE BOOK145 WEST 96 ST STE 1E NEWYORK,NY 10025	N/A	PUBLIC CHARITY	TO EXCITE CHILDREN AND YOUNG ADULTS ABOUT READING	3,000
CARY INSTITUTEPO AB 2801 SHARON TNPK MILLBROOK,NY 12545	N/A	PUBLIC CHARITY	PROVIDING THE SCIENCE BEHIND ENVIRONMENTAL SOLUTIONSINDEPENDENT ENVIRONMENTAL RESEARCH	12,250
CATO INSTITUTE1000 MASSACHUSETTS AVE NW WASHINGTON,DC 20001	N/A	PUBLIC CHARITY	INCREASE UNDERSTANDING OF PUBLIC POLOCIES ADVANCING	52,700
CENTRAL PARK CONSERVANCY 14 E 60TH STREET NEWYORK,NY 10022	N/A	PUBLIC CHARITY	RESTORE, MANAGE AND ENHANCE CENTRAL PARK IN PARTNERS	5,710
CHURCH OF THE HEAVENLY REST 2 E 90TH STREET NEWYORK,NY 10128	N/A	PUBLIC CHARITY	TO RESTORE PEOPLE TO UNITY WITH EACH OTHER RELIGIOUS	100
COLUMBIA UNIVERSITY622 WEST 113TH ST NEWYORK,NY 10025	N/A	PUBLIC CHARITY	EDUCATIONAL PROGRAMS	5,000
DENISON UNIVERSITY UNIVERITY RESOURCES BOX D GRANVILLE,OH 43023	N/A	PUBLIC CHARITY	EDUCATION PROGRAMS	5,000
GOLDWATER INSTITUTE500 E CORONADO RD PHOENIX,AZ 85004	N/A	PUBLIC CHARITY	SUPPORT FOR SOUND PUBLIC POLICY	2,281
JERICOHO PROJECT245 W29TH ST 9TH FL NEWYORK,NY 10001	N/A	PUBLIC CHARITY	HELPS HOMELESS VETERANS	5,000
LAWRENCE-CEDARHURST FIRE DEPT75 WASHINGTON AVE LAWRENCE,NY 11559	N/A	PUBLIC CHARITY	VOLUNTEER SERVICE TO THE COMMUNITY	150
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVE NEWYORK,NY 10028	N/A	PUBLIC CHARITY	TO COLLECT, PRESERVE, STUDY, EXHIBIT WORKS OF ART	500
NASSAU COUNTY PAL200 SECOND AVE MASSAPEQUA PARK,NY 10163	N/A	PUBLIC CHARITY	PROMOTES GOOD CITIZENSHIP THROUGH SPORTS	75
NATIONAL FABRY DISEASE FOUNDATION4301 CONNECTICUT AVE NW WASHINGTON,DC 20008	N/A	PUBLIC CHARITY	TO HELP ENSURE THAT ALL IND WITH FABRY DISEASE ARE D	30,000
NEWYORK BOTANICAL GARDEN BRONX RIVER PARKWAY BRONX,NY 10458	N/A	PUBLIC CHARITY	ADVOCATE FOR THE PLANT KINGDOM, MUSEUM OF LIVING PLA	13,200
NEWYORK HORTICULTURAL SOCIETY148 W 37TH STREET 13 FL NEWYORK,NY 10018	N/A	PUBLIC CHARITY	SUPPORT OF LIBRARY, ART GALLERY, EDUCATION CLASSES AND COMMUNITY OUTREACH PROGRAMSEDUcate, PROMOTE AND PROTECT NY FRUIT INDUSTRY	500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SAN FRANCISCO CHORAL SOCIETY236 WEST PORTAL SUITE 775 SAN FRANCISCO,CA 94127	N/A	PUBLIC CHARITY	TO BE A PREMEIR SYMPHONIC CHORUS INSPIRING AND ENRICHING PEOPLE'S LIVES THROUGH THE JOY ANDPOWER OF CHORAL MUSICLEARNING AND PERFORMING LARGE SCALE CHORAL WORKS,EDU	15,000
STREETSSQUASH40 WEST 116TH STREET NEW YORK,NY 10026	N/A	PUBLIC CHARITY	COLLEGE SCHOLARSHIPS	500
THE BRICK PRESBYTERIAN CHURCH62 EAST 92ND STREET NEW YORK,NY 10128	N/A	PUBLIC CHARITY	VARIOUS RELIGIOUS, EDUCATIONAL AND CHARITABLE PROGRA	7,875
THE METROPOLITAN OPERA LINCOLN CENTER NEW YORK,NY 10023	N/A	PUBLIC CHARITY	SUPPORT THE MET,BUILD AWARENESS AND APRECIATION FOR	6,755
THE MUSEUM OF THE CITY OF NY 1220 FIFTH AVE NEW YORK,NY 10029	N/A	PUBLIC CHARITY	PRESENTS THE HISTORY OF NYC & ITS PEOPLE AS A SIGNIF	2,500
TOWN OF WEBB HISTORICAL ASSOCPO BOX 513 OLD FORGE,NY 13420	N/A	PUBLIC CHARITY	PRESERVING TOWN HERITAGE	478
TRINITY SCHOOL139 W 91ST STREET NEW YORK,NY 10024	N/A	PUBLIC CHARITY	EDUCATIONAL PROGRAMS	22,374
TROUT UNLIMITED1300 NORTH 17TH ST STE 500 ARLINGTON,VA 22209	N/A	PUBLIC CHARITY	CONSERVE, PROTECT, AND RESTORE NORTH AMERICA'S TROUT	13,050
US SQUASH555 8TH AVE NEW YORK,NY 10018	N/A	PUBLIC CHARITY	TO ENHANCE PEOPLE'S HEALTH & WELL BEING BY INCREASIN	1,000
UNIVERISTY OF WISCONSIN OSHKOSH FOUNDATION INC842 ALGOMA BLVD OSHKOSH,WI 54901	N/A	PUBLIC CHARITY	SUPPORT & ADVANCEMENT OF HIGHER EDUCATION	10,000
NYAC ATHLETE'S FUND180 CENTRAL PARK SOUTH NEW YORK,NY 10019	N/A	PUBLIC CHARITY	SUPPORTING WORTHY ORGANIZATIONS THAT ASSIST NEEDY AND DISADVANTAGED INNER-CITY YOUTH THROUGH SPORTS	500
NYC PARENTS IN ACTIONPO BOX 287451 NEW YORK,NY 10128	N/A	PUBLIC CHARITY	PROVIDE PARENTING EDUCATION, INFORMATION AND A COMMUNICATIONS NETWORK TO HELP PARENTS PREPARE THEIRCHILDREN AND TEENAGERS TO COPE WITH SOCIAL PRESSURE AND MAKE SOUND CHOICES TOWARD A FUTURE FREEOF ALCOHOL AND DRUG ABUSE	2,500
ONEIDA TRIBE OF WISCONSIN N7210 SEMINARY RD PO BOX 365 ONEIDA,WI 54155	N/A	PUBLIC CHARITY	PROVIDES FOR EDUCATION & TRAINING	5,000
OSHKOSH ROTARY SOUTHWESTPO BOX 1202 OSHKOSH,WI 54903	N/A	PUBLIC CHARITY	HOSPITAL PROJECT IN KENYA IN SUPPORT OF THE LIVES OF CHILDREN WHO MIGHT OTHERWISE WANTFOR NOURISHMENT AND CARE	5,000
POWERPLAY32 UNION SQUARE EAST NEW YORK,NY 10003	N/A	PUBLIC CHARITY	PROVIDES SPORTS AND LIFE SKILLS PROGRAMMING FOR GIRLS IN UNDERSERVED COMMUNITIES IN NYC	2,500
ROCKAWAY WATERFRONT ALLIANCEPO BOX 900645 FAR ROCKAWAY,NY 11690	N/A	PUBLIC CHARITY	REVITALIZING THE ROCKAWAYS BY UTILIZING THE SHORELINE FOR PUBLIC EVENTS, SCHOOL WORKSHOPS, ANDDEVELOPING THE ROCKAWAY FIREHOUSE AS AN ENVIRONMENTAL CENTER FOR THE LOCAL COMMUNITY	1,150
SCHOOL OF AMERICAN BALLET70 LINCOLN CENTER PLAZA NEW YORK,NY 10023	N/A	PUBLIC CHARITY	SUPPORTS EDUCATION PROGRAMS AND PROVIDES CRUCIAL SCHOLARSHIP FUNDS FOR THE SCHOOL OF AMERICANBALLET'S TALENTED YOUG DANCERS	1,150
STANLEY ISSACS CENTER415 EAST 93 STREET NEW YORK,NY 10128	N/A	PUBLIC CHARITY	SUPPORTING PROGRAMS FOR THE ISSACS CENTER PROVIDING FOR THE YOUNGEST TO THE OLDEST	500
VIEWPO BOX 1144 OLD FORGE,NY 13420	N/A	PUBLIC CHARITY	SUPPORT OF A NEW ARTS CENTER IN OLD FORGE	1,000
WIKIMEDIA FOUNDATIONPO BOX 98204 WASHINGTON,DC 20090	N/A	PUBLIC CHARITY	ENCOURAGES THE GROWTH,DEVELOPMENT AND DISTRIBUTION OF FREE,MULTILINGUAL CONTENT, AND TO PROVIDETHE FULL CONTENT OF THESE WIKI-BASED PROJECTS TO THE PUBLIC FREE OF CHARGE	1,000
Total  3a				279,776

**TY 2011 All Other Program
Related Investments Schedule**

Name: THE KLEINSCHMIDT FAMILY FOUNDATION

EIN: 27-0112179

Category	Amount
NONE	0

**TY 2011 Investments Corporate
Stock Schedule****Name:** THE KLEINSCHMIDT FAMILY FOUNDATION**EIN:** 27-0112179

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TOCQUEVILLE FUND	2,023,202	2,122,637
TOCQUEVILLE GOLD FUND	120,719	190,875
THE DELAFIELD FUND	101,836	127,809
1500 SH SPDR SERIES TR BARCLAYS HIGH YIELD ETF	0	0
1000 SH ISHARES BARCLAYS 1-3 YEAR CREDIT BOND FUND	104,127	104,200
1000 SH ISHARES IBOXX INV GRADE CORP BOND FUND	105,125	113,760
THE TOCQUEVILLE INTL VALUE FUND	101,494	91,024
THE TOCQUEVILLE OPPORTUNITY FUND	150,000	144,791
3000 SH SPDR SERIES TR BARCLAYS HIGH YIELD ETF	113,309	115,350

**TY 2011 Investments Government
Obligations Schedule**

Name: THE KLEINSCHMIDT FAMILY FOUNDATION
EIN: 27-0112179

US Government Securities - End of Year Book Value:	960,824
US Government Securities - End of Year Fair Market Value:	989,344
State & Local Government Securities - End of Year Book Value:	0
State & Local Government Securities - End of Year Fair Market Value:	0

TY 2011 Other Assets Schedule

Name: THE KLEINSCHMIDT FAMILY FOUNDATION

EIN: 27-0112179

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
MISC RECEIVABLE	30,380	14,488	14,488

TY 2011 Other Expenses Schedule

Name: THE KLEINSCHMIDT FAMILY FOUNDATION

EIN: 27-0112179

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEE	451	0		451
BANK FEES	20	0		20

TY 2011 Substantial Contributors Schedule

Name: THE KLEINSCHMIDT FAMILY FOUNDATION

EIN: 27-0112179

Name	Address
ROBERT KLEINSCHMIDT	730 COLUMBUS AVE APT 16I NEWYORK,NY 10025

TY 2011 Taxes Schedule

Name: THE KLEINSCHMIDT FAMILY FOUNDATION

EIN: 27-0112179

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990 PF	396	0		0