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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning

, and ending

Name of foundation THE DON & JEAN WAGNER CHARITABLE FOUNDATION		A Employer identification number 26-6125236
Number and street (or P O box number if mail is not delivered to street address) 1050 W 54TH STREET	Room/suite	B Telephone number (816) 994-8600
City or town, state, and ZIP code KANSAS CITY, MO 64112		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ Foreign organizations meeting the 85% test, 2. check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,191,256. (Part I, column (d) must be on cash basis)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	20.	20.		STATEMENT 1
	4 Dividends and interest from securities	42,120.	42,120.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	489,361.			
	b Gross sales price for all assets on line 6a	2,827,636.			
	7 Capital gain net income (from Part IV, line 2)		489,361.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	531,501.	531,501.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	5,281.	0.		5,281.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	14,620.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	291.	0.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	20,192.	0.		5,281.
	25 Contributions, gifts, grants paid	102,400.			102,400.
26 Total expenses and disbursements. Add lines 24 and 25	122,592.	0.		107,681.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	408,909.				
b Net investment income (if negative, enter -0-)		531,501.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,183,939.	481,980.	481,980.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	555,905.	1,595,573.	1,634,071.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ DUE FROM DON WAGNER)	4,005.	75,205.	75,205.
	16 Total assets (to be completed by all filers)	1,743,849.	2,152,758.	2,191,256.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,743,849.	2,152,758.	STATEMENT 6
30 Total net assets or fund balances	1,743,849.	2,152,758.		
31 Total liabilities and net assets/fund balances	1,743,849.	2,152,758.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,743,849.
2 Enter amount from Part I, line 27a	2	408,909.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	2,152,758.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,152,758.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED LIST	P	VARIOUS	VARIOUS
b SEE ATTACHED LIST	P	VARIOUS	VARIOUS
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,426,271.		1,991,840.	434,431.
b 401,365.		346,435.	54,930.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			434,431.
b			54,930.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	489,361.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	59,600.	1,654,663.	.036019
2009	116,104.	1,276,246.	.090973
2008	30,834.	2,039,778.	.015116
2007	0.	2,922,968.	.000000
2006			

2 Total of line 1, column (d)	2	.142108
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.035527
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	2,022,253.
5 Multiply line 4 by line 3	5	71,845.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,315.
7 Add lines 5 and 6	7	77,160.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	107,681.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	5,315.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	5,315.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	5,315.
6	Credits/Payments:		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	7,599.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	7,599.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,284.
11	Enter the amount of line 10 to be: Credited to 2012 estimated tax ☒ 2,284. Refunded ☒ 0.	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► BRANDI HENDRIX Located at ► PO BOX 907, LIBERTY, MO	Telephone no. ► 816-305-7872 ZIP+4 ► 64069		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐ N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

6b

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

7b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEAN D. WAGNER 1050 W 54TH ST KANSAS CITY, MO 64112	TRUSTEE 5.00	0.	0.	0.
WILLIAM D. WAGNER 1050 W 54TH ST KANSAS CITY, MO 64112	TRUSTEE 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors
 (continued)

3

Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
	0.
2	
3	
4	

Part IX-B

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
	0.
2	
All other program-related investments. See instructions.	
3 NONE	
	0.
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,294,664.
b	Average of monthly cash balances	1b	758,385.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,053,049.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,053,049.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	30,796.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,022,253.
6	Minimum investment return. Enter 5% of line 5	6	101,113.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	101,113.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	5,315.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,315.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	95,798.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	95,798.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	95,798.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	107,681.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	107,681.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	5,315.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	102,366.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				95,798.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			60,335.	
b Total for prior years: 2009, 2008, 2007		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ 107,681.			60,335.	
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				47,346.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				48,452.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

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123581
12-02-11

**THE DON & JEAN WAGNER CHARITABLE
FOUNDATION**

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶ _____

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 8

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CHILDREN'S MERCY HOSPITAL 2401 GILLHAM ROAD KANSAS CITY, MO 64108	NONE	501 (C) (3)	CHARITABLE DONATION	100.
CHILDREN'S CENTER FOR THE VISUALLY IMPAIRED 3101 MAIN STREET KANSAS CITY, MO 64111	NONE	501 (C) (3)	CHARITABLE DONATION	2,500.
THE KANSAS CITY ZOO 6800 ZOO DRIVE KANSAS CITY, MO 64132	NONE	501 (C) (3)	CHARITABLE DONATION	60,000.
FOLLY THEATER 12TH & CENTRAL KANSAS CITY, MO 64196	NONE	501 (C) (3)	CHARITABLE DONATION	5,000.
NABC FOUNDATION 1111 MAIN STREET KANSAS CITY, MO 64106	NONE	501 (C) (3)	CHARITABLE DONATION	5,000.
Total SEE CONTINUATION SHEET(S)				102,400.
b Approved for future payment				
NONE				
Total				0.



Realized Capital Gain/Loss Report

WAGNER WILLIAM

01/01/2011 -- 12/31/2011

Created: 5/07/2012 11:36 pm

Entire Period		
Short-term gain	702,356.34	63,217.45
Short-term loss	-267,925.20	-8,287.66
Short-term net	434,431.14	54,929.79
Short-term proceeds	2,426,271.47	401,364.73
Short-term cost	1,991,840.33	346,434.94

Descript	Trans Type	Qty	Unit	Open Date	Adj. Cost	Close Date	Ad. Process	Adj. Gain (\$)	Adj. Loss (\$)
ABBOTT LABORATORIES (ABT)	Assign Call	500	10/09/2009	28,917.75	05/20/2011	25,181.75			
ABBOTT LABORATORIES (ABT)	Wash Sale Adj	500	05/20/2011	-3,736.00	05/20/2011				-3,736.00
ABBOTT LABORATORIES (ABT)	Assign Call	500	11/19/2010	23,492.77	07/12/2011	24,546.76		1,053.99	
ABT Aug 20 2011 55.0 Call	Expire Short	500	05/23/2011	0.00	08/22/2011	437.24		437.24	
ABT Feb 18 2012 55.0 Call	Buy to Close.FIFO	500	09/07/2011	942.56	12/30/2011	762.48		-180.08	
ABT Feb 18 2012 55.0 Call	Buy to Close.FIFO	500	11/28/2011	942.56	12/30/2011	497.43		-445.13	
ABT Feb 18 2012 52.5 Call	Buy to Close.FIFO	500	06/30/2011	1,297.56	11/01/2011	1,127.22		-170.34	
ABT Feb 18 2012 52.5 Call	Short WS Adj	500	10/31/2011	-170.34	11/01/2011	170.34			
ABT Feb 18 2012 52.5 Call	Buy to Close.FIFO	500	10/31/2011	2,097.90	12/27/2011	1,622.40		-475.50	
ABT May 21 2011 50.0 Call	Buy to Close.FIFO	500	11/26/2010	132.75	02/07/2011	522.24		389.49	
ABT May 21 2011 52.5 Put	Expire Short	500	10/04/2010	0.00	05/23/2011	2,067.21		2,067.21	
ABT Nov 19 2011 52.5 Call	Buy to Close.FIFO	500	08/23/2011	1,077.56	10/31/2011	482.49		-595.07	
ABT Nov 19 2011 50.0 Put	Buy to Close.FIFO	1,000	05/27/2011	245.12	10/26/2011	2,139.45		1,894.33	
AUTOMATIC DATA PROCESSING (ADP)	Assign Call	500	03/18/2011	24,217.77	10/21/2011	24,687.01		469.24	
ADP Apr 16 2011 50.0 Call	Buy to Close.FIFO	500	02/22/2011	1,307.75	04/08/2011	417.24		-890.51	
ADP Apr 16 2011 50.0 Put	Buy to Close.FIFO	500	03/01/2011	432.75	03/09/2011	802.23		369.48	
ADP Apr 16 2011 50.0 Put	Expire Short	500	03/10/2011	0.00	04/18/2011	517.23		517.23	
ADP Aug 20 2011 50.0 Put	Buy to Close.FIFO	500	02/10/2011	407.75	04/29/2011	1,542.22		1,134.47	
ADP Aug 20 2011 50.0 Call	Expire Short	500	01/12/2011	0.00	08/22/2011	987.23		987.23	
ADP Aug 20 2011 45.0 Put	Buy to Close.FIFO	1,000	12/20/2010	1,260.50	02/07/2011	2,439.45		1,178.95	
ADP Aug 20 2011 49.0 Call	Expire Short	500	01/26/2011	0.00	08/22/2011	1,287.22		1,287.22	
ADP Feb 18 2012 48.0 Put	Buy to Close.FIFO	500	06/21/2011	477.56	12/06/2011	1,067.22		589.66	
ADP Feb 19 2011 47.5 Put	Expire Short	500	12/16/2010	0.00	02/22/2011	892.23		892.23	
ADP Feb 19 2011 45.0 Call	Buy to Close.FIFO	500	07/06/2010	2,087.75	01/12/2011	392.24		-1,695.51	
ADP Feb 19 2011 45.0 Put	Buy to Close.FIFO	500	12/06/2010	102.75	01/14/2011	567.24		464.49	
ADP Jan 19 2013 35.0 Call	Sell to Close.FIFO	500	02/23/2011	7,157.75	08/12/2011	6,747.37		-410.38	
ADP Jan 21 2012 30.0 Call	Buy to Close.FIFO	500	03/16/2010	7,207.75	08/23/2011	8,697.33			
ADP Jan 21 2012 40.0 Put	Buy to Close.FIFO	1,000	11/04/2010	1,410.50	01/25/2011	2,639.45		1,228.95	
ADP Jan 21 2012 50.0 Put	Buy to Close.FIFO	300	01/25/2011	272.54	12/06/2011	1,436.82		1,164.28	
ADP Jan 21 2012 50.0 Put	Buy to Close.FIFO	200	01/25/2011	178.03	12/06/2011	967.88		779.85	
ADP Jan 21 2012 57.5 Call	Buy to Close.FIFO	200	06/14/2011	10.03	11/23/2011	206.89		196.86	
ADP Jan 21 2012 57.5 Call	Buy to Close.FIFO	100	06/14/2011	3.02	11/23/2011	103.45		100.43	
ADP May 21 2011 47.5 Call	Buy to Close.FIFO	200	06/14/2011	4.03	11/23/2011	206.89		202.86	
ADP May 21 2011 47.5 Call	Buy to Close.FIFO	500	09/20/2010	1,472.75	01/26/2011	269.75		-1,203.00	
ADP May 21 2011 47.5 Call	Buy to Close.FIFO	500	09/20/2010	3,407.75	05/19/2011	269.74		-3,138.01	
ADP May 21 2011 45.0 Put	Buy to Close.FIFO	1,000	11/10/2010	760.50	01/25/2011	2,889.45		1,928.95	
ADP May 21 2011 43.0 Put	Expire Short	500	10/26/2010	0.00	05/23/2011	1,367.22		1,367.22	
ADP May 21 2011 46.0 Put	Buy to Close.FIFO	500	12/23/2010	372.75	02/10/2011	992.23		619.48	
ADP May 21 2011 50.0 Put	Expire Short	500	02/10/2011	0.00	05/23/2011	1,067.22		1,067.22	
ADP Nov 19 2011 55.0 Call	Expire Short	500	04/21/2011	0.00	11/21/2011	827.23		827.23	
AEP Sep 17 2011 37.0 Put	Expire Short	1,000	07/22/2011	0.00	09/19/2011	744.98		744.98	
AFL Aug 20 2011 48.0 Put	Buy to Close.FIFO	1,000	03/11/2011	7,555.00	08/08/2011	2,279.45		-5,275.55	
AFL Dec 17 2011 45.0 Call	Buy to Close.FIFO	1,000	11/01/2011	2,405.12	11/11/2011	1,894.84		-510.28	
AFL Nov 19 2011 50.0 Put	Buy to Close.FIFO	500	04/26/2011	3,352.56	11/17/2011	1,642.21		-1,710.35	
AFL Nov 19 2011 52.5 Call	Buy to Close.FIFO	1,000	08/20/2011	115.00	08/16/2011	1,099.47		984.47	
AFL Nov 19 2011 43.0 Call	Buy to Close.FIFO	1,000	08/23/2011	1,975.12	11/01/2011	664.98		-1,310.14	
BAKER HUGHES INC (BHI)	Assign Call	500	07/15/2011	36,617.80	07/15/2011	29,421.68		-7,196.12	
BAKER HUGHES INC (BHI)	Assign Put Short	500	05/04/2011	37,672.78	05/20/2011	28,156.71		-9,516.07	
BHI Apr 16 2011 35.0 Put	Expire Short	500	09/23/2010	0.00	04/18/2011	1,417.22		1,417.22	
BHI Apr 16 2011 55.0 Put	Buy to Close.FIFO	500	12/23/2010	367.75	02/01/2011	1,842.22		1,274.47	
BHI Apr 16 2011 65.0 Put	Buy to Close.FIFO	500	01/26/2011	1,532.75	01/28/2011	2,082.20		559.45	
BHI Apr 16 2011 49.0 Put	Buy to Close.FIFO	500	11/16/2010	167.75	02/03/2011	2,692.20		2,524.45	
BHI Apr 16 2011 70.0 Put	Expire Short	500	01/28/2011	0.00	04/18/2011	2,817.19		2,817.19	
BHI Apr 21 2012 75.0 Call	Buy to Close.FIFO	1,000	10/10/2011	105.12	12/15/2011	1,264.85		1,159.73	
BHI Apr 21 2012 80.0 Call	Buy to Close.FIFO	1,000	08/29/2011	245.12	12/06/2011	1,784.96		1,549.84	

Description	Trans Type	Qty	Open Date	Close Date	Ad. Proceeds	Adj. St. Gain (\$)	Adj. Loss (\$)
BHI Aug 20 2011 77.5 Call	Buy to Close.FIFO	500	07/12/2011	1,597.50	07/28/2011	777.23	-820.27
BHI Jan 21 2012 90.0 Call	Buy to Close.FIFO	500	07/28/2011	102.50	09/02/2011	1,672.46	1,569.96
BHI Jan 21 2012 82.5 Call	Buy to Close.FIFO	500	08/15/2011	82.56	10/26/2011	557.48	494.92
BHI Jul 16 2011 47.0 Put	Buy to Close.FIFO	500	11/26/2010	627.75	01/25/2011	2,242.21	1,614.46
BHI Jul 16 2011 70.0 Put	Expire Short	500	04/26/2011	0.00	07/18/2011	1,617.21	1,617.21
BHI Jul 16 2011 60.0 Put	Buy to Close.FIFO	500	12/14/2010	257.75	06/02/2011	4,342.17	4,084.42
BHI Jul 16 2011 55.0 Put	Buy to Close.FIFO	500	12/08/2010	147.75	06/02/2011	3,417.19	3,269.44
BHI Jul 16 2011 75.0 Put	Expire Short	500	02/23/2011	0.00	07/18/2011	4,282.16	4,282.16
BHI May 21 2011 65.0 Put	Expire Short	500	03/24/2011	0.00	05/23/2011	842.23	842.23
BHI May 21 2011 70.0 Put	Expire Short	500	03/30/2011	0.00	05/23/2011	1,212.22	1,212.22
BHI Nov 19 2011 60.0 Call	Expire Short	500	10/26/2011	0.00	11/21/2011	672.42	672.42
BHI Oct 22 2011 80.0 Call	Buy to Close.FIFO	1,000	05/23/2011	75.00	08/23/2011	2,289.45	2,214.45
BHI Oct 22 2011 62.5 Put	Buy to Close.FIFO	500	04/26/2011	1,352.75	06/02/2011	1,542.22	189.47
BHI Oct 22 2011 67.5 Call	Buy to Close.FIFO	500	08/23/2011	25.06	09/28/2011	302.49	277.43
CONAGRA FOODS (CAG)	Assign Call	1,000	12/17/2010	21,620.52	03/18/2011	23,079.04	1,458.52
CAG Dec 17 2011 23.0 Put	Buy to Close.FIFO	1,000	06/23/2011	185.12	10/27/2011	789.48	604.36
CAG Jun 18 2011 22.0 Put	Expire Short	1,000	10/20/2010	0.00	06/20/2011	1,539.47	1,539.47
CAG Sep 17 2011 21.0 Put	Buy to Close.FIFO	1,000	03/17/2011	110.50	06/30/2011	789.48	678.98
CATERPILLAR INC (CAT)	Assign Call	500	04/15/2011	55,792.79	11/18/2011	55,501.18	-291.61
CAT Apr 16 2011 105.0 Put	Buy to Close.FIFO	500	02/22/2011	830.25	03/24/2011	2,042.21	1,211.96
CAT Apr 16 2011 105.0 Put	Buy to Close.FIFO	500	03/04/2011	830.25	03/24/2011	2,642.19	1,811.94
CAT Aug 20 2011 95.0 Call	Buy to Close.FIFO	500	12/20/2010	5,755.25	03/01/2011	3,597.18	-2,158.07
CAT Aug 20 2011 95.0 Call	Buy to Close.FIFO	500	12/28/2010	5,755.25	03/01/2011	3,892.18	-1,863.07
CAT Aug 20 2011 95.0 Call	Buy to Close.FIFO	300	12/28/2010	4,201.50	07/15/2011	2,335.31	-1,866.19
CAT Aug 20 2011 95.0 Call	Buy to Close.FIFO	200	12/28/2010	2,791.00	07/15/2011	1,556.87	-1,234.13
CAT Aug 20 2011 95.0 Put	Buy to Close.FIFO	1,000	12/22/2010	5,070.50	03/04/2011	9,789.33	4,718.83
CAT Aug 20 2011 95.0 Put	Buy to Close.FIFO	1,000	12/28/2010	1,310.50	04/29/2011	10,489.32	9,178.82
CAT Aug 20 2011 100.0 Put	Buy to Close.FIFO	500	03/15/2011	5,052.50	08/16/2011	4,737.15	-315.35
CAT Aug 20 2011 115.0 Put	Buy to Close.FIFO	500	04/04/2011	7,007.75	06/02/2011	4,492.16	-2,515.59
CAT Dec 17 2011 95.0 Put	Expire Short	500	05/25/2011	0.00	08/22/2011	1,417.22	1,417.22
CAT Dec 17 2011 97.5 Call	Buy to Close.FIFO	500	11/18/2011	3,477.56	12/16/2011	2,472.39	-1,005.17
CAT Feb 18 2012 130.0 Call	Expire Short	500	10/26/2011	0.00	12/19/2011	1,217.41	1,217.41
CAT Feb 19 2011 90.0 Put	Buy to Close.FIFO	1,000	08/01/2011	675.00	08/16/2011	1,744.96	1,069.96
CAT Jan 21 2012 80.0 Put	Expire Short	500	12/06/2010	0.00	02/22/2011	2,692.20	2,692.20
CAT Jan 21 2012 120.0 Call	Buy to Close.FIFO	500	11/23/2010	1,982.75	03/04/2011	5,592.15	3,609.40
CAT Jan 22 2011 70.0 Put	Buy to Close.FIFO	500	06/23/2011	62.56	08/28/2011	1,262.22	1,199.66
CAT Jan 22 2011 80.0 Put	Expire Short	500	04/30/2010	0.00	01/24/2011	4,767.16	4,767.16
CAT Jan 22 2011 85.0 Put	Expire Short	500	11/26/2010	0.00	01/24/2011	1,047.23	1,047.23
CAT Mar 19 2011 105.0 Put	Expire Short	500	12/10/2010	0.00	01/24/2011	682.23	682.23
CAT Mar 19 2011 100.0 Put	Expire Short	500	02/14/2011	2,817.75	03/15/2011	1,967.21	-850.54
CAT Mar 19 2011 95.0 Put	Expire Short	500	01/28/2011	0.00	03/21/2011	2,642.19	2,642.19
CAT May 21 2011 90.0 Call	Buy to Close.FIFO	500	01/24/2011	347.75	02/14/2011	1,917.21	1,569.46
CAT May 21 2011 85.0 Call	Buy to Close.FIFO	1,000	12/13/2010	16,961.56	02/09/2011	7,484.37	-9,477.19
CAT May 21 2011 100.0 Put	Buy to Close.FIFO	500	12/09/2010	12,072.75	03/25/2011	4,592.17	-7,480.58
CAT May 21 2011 95.0 Put	Buy to Close.FIFO	500	02/09/2011	512.75	04/05/2011	2,817.19	2,304.44
CAT May 21 2011 90.0 Put	Expire Short	500	12/23/2010	0.00	05/23/2011	3,747.18	3,747.18
CAT May 21 2011 85.0 Put	Buy to Close.FIFO	500	12/08/2010	1,557.75	01/31/2011	3,792.18	2,234.43
CAT May 21 2011 105.0 Call	Buy to Close.FIFO	500	10/12/2010	482.75	02/14/2011	6,092.14	5,609.39
CAT Nov 19 2011 105.0 Call	Buy to Close.FIFO	1,000	12/01/2010	4,490.50	03/04/2011	1,109.48	-3,381.02
CAT Nov 19 2011 105.0 Call	Expire Short	500	08/15/2011	0.00	11/21/2011	1,772.46	1,772.46
CAT Nov 19 2011 100.0 Put	Expire Short	500	08/16/2011	0.00	11/21/2011	947.48	947.48
CAT Nov 19 2011 100.0 Put	Buy to Close.FIFO	500	04/05/2011	3,202.56	11/18/2011	2,782.19	-420.37
CAT Nov 19 2011 105.0 Put	Buy to Close.FIFO	500	03/23/2011	5,102.56	10/31/2011	4,992.15	-110.41
CAT Nov 19 2011 32.0 Put	Buy to Close.FIFO	500	08/16/2011	1,852.56	11/18/2011	5,897.38	4,044.82
CCL Apr 16 2011 32.0 Put	Expire Short	500	09/23/2010	0.00	04/18/2011	1,067.23	1,067.23

Description	Trans Type	Qty	Oper	Ad. Cost	Close	Ad. Proceeds	Ad. St. Gain	Ad. St. Loss
CCL Apr 16 2011 40.0 Call	Expire Short	500	09/01/2010	0.00	04/18/2011	597.23	597.23	597.23
CCL Apr 16 2011 43.0 Put	Buy to Close.FIFO	500	11/10/2010	2,657.75	04/11/2011	1,992.21	-665.54	-665.54
CCL Apr 16 2011 44.0 Put	Buy to Close.FIFO	500	11/01/2010	3,107.75	04/15/2011	2,242.21	-865.54	-865.54
CCL Apr 16 2011 46.0 Put	Buy to Close.FIFO	500	12/28/2010	1,157.75	02/07/2011	1,517.22	359.47	359.47
CCL Apr 21 2012 45.0 Call	Buy to Close.FIFO	1,000	08/29/2011	455.00	09/02/2011	644.98	189.98	189.98
CCL Aug 20 2011 40.0 Call	Expire Short	1,000	06/21/2011	0.00	08/22/2011	539.48	539.48	539.48
CCL Dec 17 2011 37.0 Call	Expire Short	500	10/26/2011	0.00	12/19/2011	522.42	522.42	522.42
CCL Jan 21 2012 40.0 Call	Buy to Close.FIFO	500	09/12/2011	25.08	11/23/2011	347.49	322.43	322.43
CCL Jan 21 2012 43.0 Call	Buy to Close.FIFO	500	06/20/2011	5.06	12/15/2011	517.23	512.17	512.17
CCL Jul 16 2011 45.0 Call	Buy to Close.FIFO	500	12/10/2010	732.75	03/04/2011	1,942.21	1,209.46	1,209.46
CCL Jul 16 2011 45.0 Call	Buy to Close.FIFO	500	03/09/2011	82.75	05/25/2011	842.23	759.48	759.48
CCL Jul 16 2011 48.0 Call	Buy to Close.FIFO	500	12/31/2010	407.75	03/04/2011	1,662.22	1,254.47	1,254.47
CCL Oct 22 2011 41.0 Call	Expire Short	500	06/14/2011	0.00	10/24/2011	392.24	392.24	392.24
CCL Oct 22 2011 42.0 Call	Expire Short	500	06/28/2011	0.00	10/24/2011	527.23	527.23	527.23
CCL Oct 22 2011 49.0 Call	Buy to Close.FIFO	500	03/14/2011	107.75	06/02/2011	567.23	459.48	459.48
CCL Oct 22 2011 39.0 Call	Expire Short	500	07/20/2011	0.00	10/24/2011	452.49	452.49	452.49
COLGATE PALMOLIVE CO (CL)	Assign Call	500	11/19/2010	39,667.79	05/20/2011	40,556.46	888.67	888.67
COLGATE PALMOLIVE CO (CL)	Sell.FIFO	400	01/21/2011	29,974.23	08/19/2011	33,982.34	4,008.11	4,008.11
COLGATE PALMOLIVE CO (CL)	Sell.FIFO	100	01/21/2011	7,493.66	08/19/2011	8,495.83	1,002.27	1,002.27
COLOROX CO (CLX)	Assign Call	500	04/16/2010	29,017.84	04/15/2011	33,131.60	4,113.76	4,113.76
COLOROX CO (CLX)	Assign Call	500	01/21/2011	30,207.79	07/15/2011	33,381.59	3,173.80	3,173.80
COLOROX CO (CLX)	Assign Call	500	04/15/2011	32,167.80	10/21/2011	34,886.57	2,718.77	2,718.77
CLX Apr 16 2011 70.0 Call	Expire Short	500	08/24/2010	0.00	04/18/2011	667.23	667.23	667.23
CLX Jan 22 2011 65.0 Call	Expire Short	500	11/26/2010	0.00	01/24/2011	292.24	292.24	292.24
CLX Jan 22 2011 70.0 Call	Expire Short	500	07/06/2010	0.00	01/24/2011	317.24	317.24	317.24
CLX Jul 16 2011 70.0 Call	Buy to Close.FIFO	500	12/08/2010	157.75	01/04/2011	292.24	134.49	134.49
CLX Jul 16 2011 60.0 Put	Expire Short	500	12/08/2010	0.00	07/18/2011	1,242.22	1,242.22	1,242.22
CLX Jun 18 2011 70.0 Call	Expire Short	500	04/19/2011	0.00	06/20/2011	492.24	492.24	492.24
CLX Oct 22 2011 65.0 Put	Buy to Close.FIFO	500	03/09/2011	352.50	07/15/2011	1,342.22	989.72	989.72
CL Aug 20 2011 75.0 Put	Buy to Close.FIFO	500	12/21/2010	142.75	06/28/2011	1,667.22	1,524.47	1,524.47
CL Aug 20 2011 85.0 Call	Buy to Close.FIFO	500	01/24/2011	372.75	02/01/2011	832.23	459.48	459.48
CL Aug 20 2011 87.5 Put	Buy to Close.FIFO	500	07/14/2011	2,052.50	08/08/2011	1,152.22	-900.28	-900.28
CL Feb 18 2012 95.0 Call	Buy to Close.FIFO	1,000	06/21/2011	2,865.00	09/02/2011	2,549.45	-315.55	-315.55
CL Feb 18 2012 92.5 Put	Sell to Close.FIFO	1,000	06/21/2011	3,660.50	06/21/2011	3,639.42	-21.08	-21.08
CL Feb 19 2011 85.0 Call	Expire Short	500	09/01/2010	0.00	02/22/2011	432.24	432.24	432.24
CL Feb 19 2011 75.0 Put	Expire Short	500	07/19/2010	0.00	02/22/2011	1,492.22	1,492.22	1,492.22
CL Jan 22 2011 80.0 Call	Expire Short	500	11/15/2010	0.00	01/24/2011	802.23	802.23	802.23
CL Jul 16 2011 85.0 Put	Expire Short	500	05/23/2011	0.00	07/18/2011	792.23	792.23	792.23
CL May 21 2011 85.0 Call	Buy to Close.FIFO	100	10/15/2010	169.55	05/20/2011	114.44	-55.11	-55.11
CL May 21 2011 80.0 Put	Buy to Close.FIFO	400	10/20/2010	678.20	05/20/2011	548.79	-129.41	-129.41
CL May 21 2011 85.0 Call	Expire Short	500	12/23/2010	0.00	05/23/2011	1,817.21	1,817.21	1,817.21
CL Nov 19 2011 85.0 Call	Buy to Close.FIFO	100	03/21/2011	439.52	11/11/2011	188.46	-251.07	-251.07
CL Nov 19 2011 85.0 Put	Buy to Close.FIFO	400	03/21/2011	1,732.05	11/11/2011	753.78	-978.27	-978.27
CL Nov 19 2011 87.5 Put	Expire Short	500	07/22/2011	0.00	11/21/2011	1,467.47	1,467.47	1,467.47
CL Nov 19 2011 87.5 Call	Buy to Close.FIFO	500	05/20/2011	2,277.56	10/31/2011	1,892.21	-385.35	-385.35
CL Nov 19 2011 77.5 Put	Buy to Close.FIFO	500	04/08/2011	582.75	06/30/2011	1,942.21	1,359.46	1,359.46
CL Nov 19 2011 92.5 Put	Buy to Close.FIFO	500	10/27/2011	2,152.56	11/17/2011	1,197.41	-955.15	-955.15
CL Oct 22 2011 90.0 Call	Buy to Close.FIFO	200	08/22/2011	397.00	09/12/2011	314.99	-82.01	-82.01
CL Oct 22 2011 90.0 Call	Buy to Close.FIFO	100	08/22/2011	186.50	09/12/2011	157.50	-29.00	-29.00
CL Oct 22 2011 90.0 Call	Buy to Close.FIFO	200	08/22/2011	391.00	09/12/2011	314.99	-76.01	-76.01
CL Sep 17 2011 85.0 Put	Expire Short	500	08/19/2011	0.00	09/19/2011	1,247.47	1,247.47	1,247.47
CMS ENERGY CORP (CMS)	Assign Call	1,000	06/30/2011	19,734.90	12/16/2011	20,734.10	999.20	999.20
CMS ENERGY CORP (CMS)	Assign Call	1,000	07/08/2011	19,974.50	12/16/2011	20,834.09	859.59	859.59
COP Aug 20 2011 65.0 Put	Buy to Close.FIFO	500	12/21/2010	512.75	04/05/2011	2,417.20	1,904.45	1,904.45
COP Feb 19 2011 70.0 Call	Buy to Close.FIFO	1,000	10/25/2010	2,370.50	02/01/2011	499.49	-1,871.01	-1,871.01

Description	Trans Type	Qty	Open Date	Close Date	Adj Proceeds	Adj St Bal	Adj Bal (\$)
COP Feb 19 2011 62.5 Put	Expire Short	500	12/16/2010	0.00 02/22/2011	807.23	807.23	
COP Jan 22 2011 60.0 Put	Expire Short	500	11/16/2010	0.00 01/24/2011	1,067.23	1,067.23	
COP Jan 22 2011 65.0 Put	Expire Short	500	12/06/2010	0.00 01/24/2011	1,212.22	1,212.22	
COP Jan 22 2011 62.5 Put	Expire Short	500	12/09/2010	0.00 01/24/2011	542.24	542.24	
COP Mar 19 2011 72.5 Call	Buy to Close.FIFO	1,000	02/01/2011	1,330.50 02/08/2011	1,494.47	163.97	
COP May 21 2011 60.0 Put	Buy to Close.FIFO	1,000	10/14/2010	1,260.50 01/12/2011	5,289.41	4,028.91	
COP May 21 2011 57.5 Put	Buy to Close.FIFO	500	11/23/2010	317.75 01/26/2011	1,742.22	1,424.47	
COP May 21 2011 70.0 Call	Buy to Close.FIFO	1,000	12/31/2010	2,410.50 01/04/2011	413.95	413.95	
COP May 21 2011 65.0 Put	Buy to Close.FIFO	1,000	01/12/2011	220.50 04/04/2011	2,534.45	2,313.95	
CAMPBELL SOUP CO (CPB)	Assign Call	500	09/16/2011	15,207.53 12/21/2011	16,462.18	1,254.65	
CPB Aug 20 2011 33.0 Put	Buy to Close.FIFO	1,000	12/23/2010	2,605.00 08/19/2011	1,489.47	-1,115.53	
CPB Aug 20 2011 35.0 Call	Buy to Close.FIFO	1,000	12/23/2010	1,110.50 05/23/2011	1,489.47	378.97	
CPB Feb 19 2011 32.5 Put	Expire Short	1,000	06/25/2010	0.00 02/22/2011	1,239.47	1,239.47	
CPB May 21 2011 32.0 Put	Expire Short	1,000	11/29/2010	0.00 05/23/2011	1,189.47	1,189.47	
CPB Nov 19 2011 33.0 Call	Buy to Close.FIFO	1,000	08/23/2011	565.12 11/17/2011	344.99	-220.13	
CPB Nov 19 2011 36.0 Call	Expire Short	1,000	04/04/2011	0.00 11/21/2011	439.49	439.49	
CPB Nov 19 2011 32.0 Put	Expire Short	1,000	04/04/2011	0.00 11/21/2011	1,589.46	1,589.46	
CSX CORP (CSX)	Assign Call	500	08/20/2010	25,717.79 02/23/2011	30,759.17	5,041.38	
CSX Aug 20 2011 57.5 Put	Buy to Close.FIFO	500	12/20/2010	162.75 04/26/2011	1,842.21	1,679.48	
CSX Aug 20 2011 70.0 Put	Buy to Close.FIFO	1,000	01/24/2011	3,660.50 03/04/2011	6,949.36	3,288.86	
CSX Aug 20 2011 72.5 Call	Buy to Close.FIFO	1,000	01/10/2011	6,810.50 03/04/2011	3,774.43	-3,036.07	
CSX Aug 20 2011 72.5 Call	Buy to Close.FIFO	1,000	01/26/2011	5,960.50 05/23/2011	4,664.41	-1,296.09	
CSX Aug 20 2011 20.83 Put	Sell to Close.FIFO	1,000	06/02/2011	171.83 08/10/2011	494.99	323.16	
CSX Aug 20 2011 20.83 Put	Sell to Close.FIFO	500	06/02/2011	85.92 08/18/2011	72.50	-13.42	
CSX Aug 20 2011 20.83 Put	Sell to Close.FIFO	1,500	06/02/2011	247.75 08/18/2011	217.49	-30.26	
CSX Aug 20 2011 20.83 Call	Expire Short	1,500	01/14/2011	0.00 08/22/2011	4,857.16	4,857.16	
CSX Aug 20 2011 22.5 Put	Buy to Close.FIFO	1,000	01/10/2011	180.50 06/30/2011	1,946.47	1,765.97	
CSX Aug 20 2011 22.5 Put	Buy to Close.FIFO	2,000	01/10/2011	3,730.00 08/19/2011	3,892.93	162.93	
CSX Aug 20 2011 25.0 Call	Buy to Close.FIFO	1,000	04/29/2011	1,410.50 06/28/2011	2,044.79	634.29	
CSX Aug 20 2011 25.0 Call	Buy to Close.FIFO	500	04/29/2011	882.75 06/30/2011	1,022.40	139.65	
CSX Feb 18 2012 27.5 Call	Buy to Close.FIFO	1,000	06/20/2011	125.12 10/26/2011	1,249.47	1,124.35	
CSX Feb 18 2012 27.5 Call	Buy to Close.FIFO	1,000	06/28/2011	125.12 10/26/2011	1,409.47	1,284.35	
CSX Feb 19 2011 65.0 Put	Expire Short	500	12/06/2010	0.00 02/22/2011	1,792.21	1,792.21	
CSX Feb 19 2011 65.0 Call	Buy to Close.FIFO	1,000	06/25/2010	4,360.50 01/10/2011	1,719.47	-2,641.03	
CSX Jan 21 2012 23.33 Put	Buy to Close.FIFO	2,000	08/19/2011	530.24 12/22/2011	3,989.92	3,459.68	
CSX Jan 21 2012 25.0 Call	Buy to Close.FIFO	1,500	01/24/2011	2,767.68 12/06/2011	4,592.16	1,824.48	
CSX Jan 21 2012 26.67 Call	Buy to Close.FIFO	1,000	08/29/2011	30.12 12/15/2011	624.98	594.86	
CSX May 21 2011 50.0 Call	Buy to Close.FIFO	500	06/30/2011	15.06 11/23/2011	882.23	867.17	
CSX May 21 2011 50.0 Put	Buy to Close.FIFO	500	10/15/2010	9,767.75 01/14/2011	5,769.65	-3,998.10	
CSX May 21 2011 62.5 Put	Expire Short	500	09/23/2010	0.00 05/23/2011	1,967.21	1,967.21	
CSX May 21 2011 62.5 Put	Buy to Close.FIFO	500	11/04/2010	402.75 02/14/2011	2,817.20	2,414.45	
CSX May 21 2011 65.0 Put	Buy to Close.FIFO	1,000	01/04/2011	1,800.50 01/26/2011	4,539.42	2,738.92	
CSX May 21 2011 70.0 Put	Expire Short	1,000	01/26/2011	0.00 05/23/2011	3,489.43	3,489.43	
CSX May 21 2011 65.0 Call	Buy to Close.FIFO	500	02/14/2011	1,067.75 03/23/2011	2,142.20	1,074.45	
CSX May 21 2011 65.0 Call	Buy to Close.FIFO	1,000	11/23/2010	6,830.50 01/14/2011	3,034.44	-3,796.06	
CSX May 21 2011 67.5 Call	Buy to Close.FIFO	1,000	01/14/2011	6,030.50 01/26/2011	5,184.41	-846.09	
CSX Nov 19 2011 77.5 Put	Buy to Close.FIFO	500	05/20/2011	3,107.75 06/02/2011	3,092.19	-15.56	
CSX Nov 19 2011 21.67 Call	Expire Short	1,000	10/06/2011	0.00 11/21/2011	524.86	524.86	
CSX Nov 19 2011 24.17 Put	Buy to Close.FIFO	1,500	03/21/2011	4,477.68 10/26/2011	2,592.20	-1,885.48	
CSX Nov 19 2011 25.0 Call	Expire Short	3,000	05/23/2011	0.00 11/21/2011	5,939.38	5,939.38	
CVS CAREMARK CORP (CVS)	Assign Call	500	11/20/2009	15,585.27 02/18/2011	16,129.44	544.17	
CVS CAREMARK CORP (CVS)	Assign Call	500	01/15/2010	19,160.25 02/18/2011	16,129.43	-3,030.82	
CVS CAREMARK CORP (CVS)	Assign Call	500	01/15/2010	19,160.25 05/20/2011	17,639.41	-1,520.84	
CVS CAREMARK CORP (CVS)	Assign Call	500	01/15/2010	16,287.77 05/20/2011	17,639.40	1,351.63	
CVS CAREMARK CORP (CVS)	Assign Call	700	11/16/2010	20,985.89 11/18/2011	24,985.93	4,000.04	

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Description	Trans Type	Qty	Open Date	Ad. Cost	Close Date	Ad. Proceeds	Ad. Gains	Ad. Losses
WALT DISNEY CO (DIS)	Assign Call	500	10/15/2010	16,517.77	01/21/2011	17,994.40	1,476.63	
WALT DISNEY CO (DIS)	Assign Call	500	10/15/2010	17,267.78	04/15/2011	19,571.87	2,304.09	
DIS Apr 16 2011 40.0 Put	Expire Short	500	01/24/2011	0.00	04/18/2011	807.23	807.23	
DIS Apr 16 2011 40.0 Call	Buy to Close.FIFO	1,000	09/01/2010	3,940.50	02/10/2011	879.48	-3,061.02	
DIS Apr 16 2011 44.0 Call	Buy to Close.FIFO	1,000	02/10/2011	810.50	02/23/2011	1,244.47	433.97	
DIS Jan 22 2011 35.0 Put	Expire Short	500	04/27/2010	0.00	01/24/2011	1,352.22	1,352.22	
DIS Jan 22 2011 39.0 Put	Expire Short	1,000	01/14/2011	0.00	01/24/2011	229.49	229.49	
DIS Jul 16 2011 34.0 Put	Expire Short	1,000	11/23/2010	0.00	07/18/2011	2,289.46	2,289.46	
DIS Jul 16 2011 39.0 Put	Expire Short	1,000	01/20/2011	0.00	07/18/2011	2,419.45	2,419.45	
DIS Jul 16 2011 44.0 Call	Buy to Close.FIFO	500	02/25/2011	5.00	06/23/2011	962.23	957.23	
DIS Oct 22 2011 40.0 Call	Buy to Close.FIFO	500	06/28/2011	72.50	08/17/2011	542.23	469.73	
DIS Oct 22 2011 37.0 Put	Buy to Close.FIFO	500	07/12/2011	2,627.56	10/06/2011	502.24	-2,125.32	
DOVER CORP (DOV)	Assign Call	300	08/27/2010	15,372.27	05/25/2011	17,929.00	1,709.49	847.24
DOVER CORP (DOV)	Assign Call	200	09/17/2010	10,246.50	05/25/2011	11,952.67	1,706.17	
DOV Dec 17 2011 70.0 Call	Buy to Close.FIFO	500	05/27/2011	52.56	09/27/2011	1,292.22	1,239.66	
DOV Dec 17 2011 60.0 Call	Expire Short	500	05/23/2011	0.00	12/19/2011	2,942.19	2,942.19	
DOV Dec 17 2011 55.0 Call	Buy to Close.FIFO	500	01/31/2011	427.56	12/15/2011	1,697.40	1,269.84	
DOV Dec 17 2011 50.0 Put	Expire Short	1,000	08/23/2011	0.00	12/19/2011	844.98	844.98	
DOV Dec 17 2011 70.0 Put	Buy to Close.FIFO	500	05/11/2011	7,237.56	12/15/2011	3,992.17	-3,245.39	
DOV Dec 17 2011 70.0 Put	Buy to Close.FIFO	500	06/16/2011	7,237.56	12/15/2011	5,042.15	-2,195.41	
DOV Dec 17 2011 65.0 Put	Buy to Close.FIFO	500	04/29/2011	4,802.56	12/15/2011	2,192.20	-2,610.36	
DOV Feb 19 2011 60.0 Put	Expire Short	500	01/10/2011	0.00	02/22/2011	1,692.22	1,692.22	
DOV Feb 19 2011 65.0 Put	Expire Short	500	02/02/2011	0.00	02/22/2011	642.23	642.23	
DOV Feb 19 2011 55.0 Put	Expire Short	1,000	12/20/2010	0.00	02/22/2011	1,639.47	1,639.47	
DOV Jun 18 2011 65.0 Call	Buy to Close.FIFO	1,000	12/01/2010	4,380.50	02/22/2011	1,339.47	-3,041.03	
DOV Jun 18 2011 60.0 Put	Buy to Close.FIFO	500	12/06/2010	782.75	02/16/2011	3,142.19	2,359.44	
DOV Jun 18 2011 55.0 Put	Expire Short	500	10/20/2010	0.00	06/20/2011	3,042.19	3,042.19	
DOV Jun 18 2011 70.0 Put	Expire Short	1,000	10/18/2010	0.00	06/20/2011	3,889.43	3,889.43	
DOV Jun 18 2011 50.0 Put	Buy to Close.FIFO	100	02/16/2011	575.55	06/02/2011	568.94	-6.61	
DOV Jun 18 2011 70.0 Put	Buy to Close.FIFO	400	02/16/2011	2,287.20	06/02/2011	2,275.75	-11.45	
DOV Jun 18 2011 70.0 Put	Buy to Close.FIFO	500	02/16/2011	4,057.75	06/16/2011	2,844.70	-1,213.05	
DOV Mar 17 2012 70.0 Call	Buy to Close.FIFO	1,000	08/29/2011	205.12	11/23/2011	1,344.97	1,139.85	
DOV Mar 17 2012 80.0 Call	Buy to Close.FIFO	500	07/22/2011	177.50	09/02/2011	547.48	369.98	
DOV Mar 19 2011 50.0 Call	Buy to Close.FIFO	500	08/09/2010	8,252.75	02/10/2011	1,912.21	-6,340.54	
DOV Mar 19 2011 55.0 Put	Expire Short	500	11/23/2010	0.00	03/21/2011	2,042.21	2,042.21	
DOV Mar 19 2011 50.0 Put	Expire Short	500	09/23/2010	0.00	03/21/2011	2,242.21	2,242.21	
DOV Mar 19 2011 40.0 Put	Expire Short	500	08/09/2010	0.00	03/21/2011	942.23	942.23	
DOV Mar 19 2011 55.0 Call	Buy to Close.FIFO	200	08/27/2010	941.75	01/26/2011	186.90	-754.85	
DOV Mar 19 2011 55.0 Call	Buy to Close.FIFO	300	08/27/2010	1,404.00	01/26/2011	280.34	-1,123.66	
DOV Mar 19 2011 60.0 Put	Expire Short	500	12/21/2010	0.00	03/21/2011	2,192.21	2,192.21	
DOV Mar 19 2011 60.0 Call	Buy to Close.FIFO	500	09/01/2010	1,815.25	01/28/2011	242.24	-1,573.01	
DOV Mar 19 2011 65.0 Call	Buy to Close.FIFO	500	11/16/2010	1,815.25	01/28/2011	577.24	-1,238.01	
DOV Mar 19 2011 55.0 Call	Buy to Close.FIFO	500	02/10/2011	1,302.75	02/16/2011	1,292.22	-10.53	
DOV Sep 17 2011 65.0 Put	Buy to Close.FIFO	500	10/06/2011	1,272.56	10/31/2011	697.42	-575.14	
DOV Sep 17 2011 70.0 Put	Buy to Close.FIFO	500	02/03/2011	2,007.75	06/02/2011	2,744.70	736.95	
DOV Sep 17 2011 80.0 Call	Buy to Close.FIFO	1,000	02/22/2011	2,610.50	02/25/2011	3,164.43	553.93	
DOV Sep 17 2011 60.0 Call	Buy to Close.FIFO	200	01/26/2011	1,540.10	05/11/2011	781.23	-758.87	
DOV Sep 17 2011 60.0 Call	Buy to Close.FIFO	300	01/26/2011	2,310.15	05/11/2011	1,178.97	-1,131.18	
DOV Sep 17 2011 60.0 Call	Buy to Close.FIFO	500	01/28/2011	3,850.25	05/11/2011	3,032.19	-818.06	
DOV Sep 17 2011 60.0 Call	Buy to Close.FIFO	500	01/28/2011	2,457.75	05/23/2011	3,032.19	574.44	
DOV Sep 17 2011 70.0 Put	Buy to Close.FIFO	500	03/07/2011	8,502.50	09/16/2011	4,392.16	-4,110.34	
EMR Dec 17 2011 67.5 Call	Expire Short	500	04/26/2011	0.00	12/19/2011	667.23	667.23	
EMR Dec 17 2011 60.0 Call	Buy to Close.FIFO	1,000	06/21/2011	50.12	10/31/2011	1,189.47	1,139.35	
EMR Jan 21 2012 62.5 Call	Buy to Close.FIFO	1,000	06/28/2011	50.12	10/31/2011	1,139.47	1,089.35	
EMR Jan 22 2011 40.0 Put	Expire Short	1,000	08/11/2010	0.00	01/24/2011	1,439.47	1,439.47	

Descript	Trans Type	Qty	Open Date	Adverse Date	Close Date	Adverse	Adverse Gain (\$)	Adverse Gain (\$)
EMR Jun 16 2011 65.0 Call	Expire Short	1,000	12/01/2010		0.00 06/20/2011	1,089.48	1,089.48	
EMR Mar 17 2012 57.5 Call	Buy to Close FIFO	1,000	11/11/2011		50.12 12/22/2011	1,544.85	1,494.73	
EMR Mar 19 2011 50.0 Put	Expire Short	500	09/23/2010		0.00 03/21/2011	1,942.21	1,942.21	
EMR Mar 19 2011 60.0 Call	Buy to Close FIFO	500	07/23/2010		557.75 02/25/2011	517.24	-40.51	
EMR Nov 19 2011 50.0 Call	Expire Short	500	10/06/2011		0.00 11/21/2011	247.43	247.43	
EMR Sep 17 2011 57.5 Call	Expire Short	500	06/23/2011		0.00 09/19/2011	467.24	467.24	
EXELON CORP (EXC)	Assign Call	500	04/16/2010		21,042.79 11/09/2011	21,637.01		594.22
EXC Apr 16 2011 40.0 Put	Expire Short	500	09/29/2010		0.00 04/18/2011	967.23	967.23	
EXC Apr 16 2011 45.0 Call	Buy to Close FIFO	500	08/24/2010		32.75 02/16/2011	467.24	434.49	
EXC Apr 16 2011 42.0 Call	Expire Short	500	02/18/2011		0.00 04/18/2011	342.24	342.24	
EXC Jan 21 2012 37.5 Put	Buy to Close FIFO	500	06/14/2011		52.56 12/16/2011	617.23	564.67	
EXC Jul 16 2011 44.0 Call	Expire Short	500	12/08/2010		0.00 07/18/2011	267.24	267.24	
EXC Oct 22 2011 42.0 Call	Buy to Close FIFO	500	04/19/2011		427.56 10/20/2011	517.23	89.67	
EXC Oct 22 2011 39.0 Put	Expire Short	500	03/04/2011		0.00 10/24/2011	892.23	892.23	
GENERAL MILLS INC (GIS)	Assign Call	1,000	07/16/2010		34,058.62 04/15/2011	37,948.76	3,890.14	
GENERAL MILLS INC (GIS)	Assign Call	500	07/16/2010		17,029.30 10/21/2011	19,416.87		2,387.57
GENERAL MILLS INC (GIS)	Assign Call	500	07/16/2010		17,029.31 10/21/2011	20,057.98	3,028.67	
GENERAL MILLS INC (GIS)	Assign Call	500	10/15/2010		17,408.90 10/21/2011	20,063.74	2,654.84	
GIS Apr 16 2011 35.0 Put	Expire Short	1,000	11/01/2010		0.00 04/18/2011	1,309.47	1,309.47	
GIS Apr 16 2011 40.0 Call	Buy to Close FIFO	1,000	08/24/2010		210.50 03/04/2011	789.48	578.98	
GIS Jan 21 2012 36.25 Call	Buy to Close FIFO	500	07/07/2011		2,092.56 12/30/2011	1,012.23	-1,080.33	
GIS Jan 22 2011 37.5 Call	Expire Short	1,000	07/09/2010		0.00 01/24/2011	1,684.47	1,684.47	
GIS Jul 16 2011 38.0 Call	Buy to Close FIFO	1,000	11/29/2010		110.50 06/30/2011	1,159.48	1,048.98	
GIS Jul 16 2011 39.0 Call	Expire Short	1,000	12/08/2010		0.00 07/18/2011	689.48	689.48	
GIS Nov 19 2011 40.0 Call	Expire Short	1,000	09/22/2011		0.00 11/21/2011	824.86	824.86	
GIS Oct 22 2011 36.0 Put	Expire Short	1,000	03/04/2011		0.00 10/24/2011	2,259.45	2,259.45	
GOODRICH CO (GR)	Assign Call	500	05/20/2011		43,917.82 11/18/2011	47,481.33	3,563.51	
GOODRICH CO (GR)	Assign Put Short	500	11/19/2010		45,167.79 02/18/2011	43,086.51	-2,081.28	
GR Feb 19 2011 95.0 Call	Expire Short	500	11/10/2010		0.00 02/22/2011	667.23	667.23	
GR Feb 19 2011 90.0 Put	Expire Short	500	12/06/2010		0.00 02/22/2011	3,292.19	3,292.19	
GR Jan 22 2011 70.0 Put	Expire Short	500	05/04/2010		0.00 01/24/2011	2,892.20	2,892.20	
GR May 21 2011 80.0 Put	Expire Short	500	12/06/2010		0.00 05/23/2011	2,142.21	2,142.21	
GR May 21 2011 60.0 Put	Buy to Close FIFO	500	10/04/2010		207.75 01/04/2011	1,367.22	1,159.47	
GR May 21 2011 90.0 Call	Expire Short	500	12/14/2010		0.00 05/23/2011	2,162.21	2,162.21	
GRAINGER W W INC (GWW)	Assign Call	100	02/18/2011		14,569.91 04/15/2011	12,408.20	-2,161.71	
GRAINGER W W INC (GWW)	Assign Call	400	02/09/2011		157,720.55 07/15/2011	151,876.58	-5,843.97	
GRAINGER W W INC (GWW)	Assign Put Short	100	02/18/2011		53,976.24 02/18/2011	49,662.84	-4,313.40	
GRAINGER W W INC (GWW)	Short WS Adj	100	02/18/2011		-1,078.35 02/18/2011	1,078.35		
GWW Apr 16 2011 120.0 Put	Expire Short	500	09/29/2010		0.00 04/18/2011	4,842.16	4,842.16	
GWW Apr 16 2011 135.0 Call	Buy to Close FIFO	500	12/08/2010		1,257.75 02/25/2011	2,942.20	1,684.45	
GWW Apr 16 2011 130.0 Put	Expire Short	500	11/01/2010		0.00 04/18/2011	5,742.15	5,742.15	
GWW Dec 17 2011 160.0 Put	Expire Short	1,000	10/26/2011		0.00 12/19/2011	4,494.79	4,494.79	
GWW Jan 21 2012 140.0 Put	Buy to Close FIFO	1,000	07/22/2011		1,905.12 10/27/2011	4,694.90	2,789.78	
GWW Jan 21 2012 165.0 Put	Buy to Close FIFO	500	11/01/2011		1,027.56 12/15/2011	3,897.36	2,869.80	
GWW Jul 16 2011 120.0 Put	Buy to Close FIFO	500	11/23/2010		132.75 06/02/2011	4,342.17	4,208.42	
GWW Jul 16 2011 140.0 Put	Expire Short	1,000	12/21/2010		0.00 07/18/2011	10,489.32	10,489.32	
GWW Jul 16 2011 150.0 Put	Expire Short	500	04/26/2011		0.00 07/18/2011	2,742.19	2,742.19	
GWW Oct 22 2011 135.0 Put	Buy to Close FIFO	500	04/08/2011		1,107.75 06/30/2011	2,942.19	1,834.44	
GWW Oct 22 2011 155.0 Put	Expire Short	500	04/29/2011		0.00 10/24/2011	5,242.14	5,242.14	
HOME DEPOT INC (HD)	Assign Call	500	08/20/2010		16,915.27 03/07/2011	18,361.89	1,446.62	
HD Apr 16 2011 37.0 Put	Expire Short	1,000	03/04/2011		0.00 04/18/2011	989.48	989.48	
HD Aug 20 2011 38.0 Call	Buy to Close FIFO	1,000	01/26/2011		1,810.50 02/25/2011	2,084.45	273.95	
HD Aug 20 2011 34.0 Call	Buy to Close FIFO	1,000	12/23/2010		3,810.50 05/04/2011	3,124.44	-686.06	
HD Aug 20 2011 34.0 Call	Expire Short	1,000	02/16/2011		0.00 08/22/2011	4,524.41	4,524.41	
HD Aug 20 2011 35.0 Put	Buy to Close FIFO	500	12/23/2010		1,487.50 08/19/2011	1,459.73	-27.77	

Description	Trade Type	Qty	Open Date	Close Date	Adjusted	Ad. Price	Adj. Basis	Adj. Basis
HD Aug 20 2011 35.0 Put	Buy to Close.FIFO	500	12/23/2010	1,527.50	08/19/2011	1,459.72	-67.78	
HD Dec 17 2011 35.0 Call	Buy to Close.FIFO	1,000	11/14/2011	2,005.12	11/23/2011	3,384.81	1,389.69	
HD Feb 18 2012 34.0 Put	Buy to Close.FIFO	1,000	07/11/2011	175.12	12/30/2011	1,849.46	1,674.34	
HD Feb 18 2012 37.0 Call	Buy to Close.FIFO	1,000	08/17/2011	5,405.12	12/30/2011	1,084.97	-4,310.15	
HD Feb 19 2011 32.0 Call	Buy to Close.FIFO	500	08/17/2010	2,392.75	02/03/2011	357.24	-2,035.51	
HD Feb 19 2011 33.0 Put	Expire Short	1,000	12/06/2010	0.00	02/22/2011	1,229.47	1,229.47	
HD Feb 19 2011 33.0 Call	Buy to Close.FIFO	1,000	09/02/2010	4,600.50	01/26/2011	749.48	-3,851.02	
HD Jan 21 2012 33.0 Put	Buy to Close.FIFO	500	08/19/2011	42.56	12/28/2011	1,747.46	1,704.90	
HD Jan 21 2012 33.0 Put	Buy to Close.FIFO	500	08/19/2011	42.56	12/28/2011	1,772.46	1,729.90	
HD Jan 22 2011 35.0 Put	Expire Short	500	05/04/2010	0.00	01/24/2011	1,742.22	1,742.22	
HD May 21 2011 34.0 Call	Buy to Close.FIFO	1,000	12/01/2010	4,190.50	02/16/2011	889.48	-3,301.02	
HD May 21 2011 34.0 Put	Expire Short	500	11/10/2010	0.00	05/23/2011	2,017.21	2,017.21	
HD May 21 2011 35.0 Put	Expire Short	500	12/09/2010	0.00	05/23/2011	1,342.22	1,342.22	
HD May 21 2011 36.0 Put	Expire Short	1,000	01/04/2011	0.00	05/23/2011	2,589.45	2,589.45	
HD Nov 19 2011 34.0 Call	Buy to Close.FIFO	1,000	05/04/2011	4,105.12	11/14/2011	4,189.41	84.29	
HD Nov 19 2011 36.0 Call	Buy to Close.FIFO	1,000	10/06/2011	1,695.12	11/09/2011	494.87	-1,200.25	
HD Nov 19 2011 35.0 Put	Expire Short	1,000	03/30/2011	0.00	11/21/2011	1,959.46	1,959.46	
HJ HEINZ CO (HNZ)	Assign Call	500	08/17/2011	25,717.79	12/19/2011	26,691.92	974.13	
HJ HEINZ CO (HNZ)	Assign Call	500	09/16/2011	25,367.80	12/19/2011	26,541.93	1,174.13	
HNZ Dec 17 2011 49.0 Put	Expire Short	500	04/19/2011	0.00	12/19/2011	1,217.22	1,217.22	
HNZ Dec 17 2011 50.0 Call	Buy to Close.FIFO	500	05/23/2011	1,542.56	12/15/2011	2,142.20	599.64	
HNZ Jan 21 2012 60.0 Call	Buy to Close.FIFO	500	05/27/2011	25.06	10/26/2011	242.24	217.18	
HNZ Jun 18 2011 50.0 Put	Expire Short	400	12/20/2010	0.00	06/20/2011	892.78	892.78	
HNZ Jun 18 2011 50.0 Put	Expire Short	100	12/20/2010	0.00	06/20/2011	224.44	224.44	
HNZ Jun 18 2011 50.0 Call	Buy to Close.FIFO	500	11/04/2010	1,907.75	05/23/2011	1,042.23	-865.52	
HNZ Nov 19 2011 55.0 Call	Expire Short	1,000	09/27/2011	0.00	11/21/2011	394.87	394.87	
HNZ Nov 19 2011 50.0 Call	Sell to Close.FIFO	500	11/17/2011	1,402.56	11/18/2011	547.42	-855.14	
HNZ Sep 17 2011 55.0 Call	Expire Short	500	06/21/2011	0.00	09/19/2011	492.24	492.24	
HONEYWELL INTL INC (HON)	Assign Call	500	09/17/2010	21,492.77	02/15/2011	23,216.79	1,724.02	
INTC Apr 16 2011 21.0 Put	Buy to Close.FIFO	1,000	12/06/2010	1,310.50	04/15/2011	1,069.48	-241.02	
INTC Apr 16 2011 20.0 Call	Expire Short	1,000	09/29/2010	0.00	04/18/2011	1,239.47	1,239.47	
INTC Jan 22 2011 21.0 Call	Expire Short	1,000	10/28/2010	0.00	01/24/2011	629.48	629.48	
INTC Jul 16 2011 23.0 Call	Sell to Close.FIFO	1,000	01/24/2011	510.50	04/20/2011	179.49	-331.01	
INTC Jul 16 2011 24.0 Call	Buy to Close.FIFO	1,000	02/18/2011	20.00	06/30/2011	409.49	389.49	
INTC Mar 19 2011 22.0 Call	Expire Short	1,000	02/02/2011	0.00	03/21/2011	309.49	309.49	
INTC Nov 19 2011 22.0 Call	Buy to Close.FIFO	1,000	10/20/2011	1,975.12	11/01/2011	1,894.84	-80.28	
INTC Nov 19 2011 24.0 Call	Buy to Close.FIFO	1,000	07/25/2011	895.12	10/31/2011	754.98	-140.14	
INTC Oct 22 2011 21.0 Put	Expire Short	1,000	04/15/2011	0.00	10/24/2011	2,299.45	2,299.45	
INTC Oct 22 2011 23.0 Call	Buy to Close.FIFO	1,000	01/26/2011	1,055.12	10/21/2011	1,039.47	-15.65	
INTC Oct 22 2011 22.0 Call	Buy to Close.FIFO	1,000	04/19/2011	1,745.12	10/20/2011	459.49	-1,285.63	
IP Jul 16 2011 23.0 Put	Expire Short	1,000	03/15/2011	0.00	07/18/2011	1,159.47	1,159.47	
IP Oct 22 2011 33.0 Call	Expire Short	500	06/21/2011	0.00	10/24/2011	307.24	307.24	
ITW Mar 17 2012 55.0 Call	Buy to Close.FIFO	500	11/15/2011	77.56	12/30/2011	347.43	269.87	
ITW Mar 17 2012 47.5 Put	Buy to Close.FIFO	1,000	08/03/2011	2,855.12	12/30/2011	3,994.92	1,139.80	
JNJ Apr 16 2011 65.0 Put	Buy to Close.FIFO	200	10/28/2010	876.10	04/15/2011	786.88	-89.22	
JNJ Apr 16 2011 62.5 Call	Expire Short	500	09/09/2010	0.00	04/18/2011	922.23	922.23	
JNJ Jan 22 2011 65.0 Call	Expire Short	500	07/07/2010	0.00	01/24/2011	522.24	522.24	
JNJ Jul 16 2011 67.5 Call	Expire Short	500	01/04/2011	0.00	07/18/2011	417.24	417.24	
JNJ Jul 16 2011 60.0 Put	Buy to Close.FIFO	1,000	01/25/2011	110.50	06/23/2011	2,559.45	2,448.95	
JNJ May 21 2011 62.5 Put	Expire Short	200	04/15/2011	0.00	05/23/2011	433.89	433.89	
JNJ Oct 22 2011 62.5 Call	Buy to Close.FIFO	300	03/02/2011	331.54	10/21/2011	566.33	234.79	
JNJ Oct 22 2011 65.0 Call	Expire Short	1,000	04/19/2011	0.00	10/24/2011	899.48	899.48	
KIMBERLY CLARK CORP (KMB)	Assign Call	500	07/16/2010	30,160.31	07/15/2011	33,131.60	2,971.29	
KIMBERLY CLARK CORP (KMB)	Assign Call	500	04/15/2011	31,517.79	10/21/2011	34,481.58	2,963.79	
KMB Jan 21 2012 75.0 Call	Buy to Close.FIFO	500	10/06/2011	77.56	11/23/2011	547.42	469.86	

Desc	Option	Strike Price	Open	Close	Adj. Price	Adj. Price	Adj. Price	Adj. Price
			Qty	Qty	Qty	Qty	Qty	Qty
KMB Jan 22 2011 65.0 Call	Expire Short	500	08/20/2010	0.00	01/24/2011	1,117.23	1,117.23	1,117.23
KMB Jan 22 2011 67.5 Call	Expire Short	500	07/19/2010	0.00	01/24/2011	442.24	442.24	442.24
KO Nov 19 2011 62.5 Put	Expire Short	1,000	04/26/2011	0.00	11/21/2011	2,049.46	2,049.46	2,049.46
K Dec 17 2011 55.0 Put	Buy to Close, FIFO	500	04/19/2011	3,002.56	12/15/2011	1,647.21	1,647.21	-1,355.35
K Dec 17 2011 56.0 Call	Expire Short	500	10/09/2011	0.00	12/19/2011	522.42	522.42	522.42
K Jan 21 2012 57.5 Call	Buy to Close, FIFO	700	09/27/2011	35.09	11/23/2011	598.40	598.40	563.31
K Jan 21 2012 57.5 Call	Buy to Close, FIFO	100	09/27/2011	4.02	11/23/2011	85.49	85.49	81.47
K Jan 21 2012 57.5 Call	Buy to Close, FIFO	200	09/27/2011	6.03	11/23/2011	170.97	170.97	164.94
K Jan 22 2011 55.0 Call	Expire Short	500	07/06/2010	0.00	01/24/2011	692.23	692.23	692.23
K Jun 18 2011 50.0 Put	Expire Short	1,000	12/21/2010	0.00	06/20/2011	2,089.46	2,089.46	2,089.46
K Mar 17 2012 57.5 Call	Buy to Close, FIFO	1,000	08/29/2011	50.12	12/20/2011	1,154.97	1,154.97	1,104.85
K Mar 19 2011 55.0 Call	Expire Short	1,000	08/09/2010	0.00	03/21/2011	1,039.48	1,039.48	1,039.48
K Mar 19 2011 50.0 Put	Expire Short	500	07/20/2010	0.00	03/21/2011	1,692.22	1,692.22	1,692.22
K Sep 17 2011 55.0 Call	Expire Short	500	08/17/2011	0.00	09/19/2011	272.49	272.49	272.49
LOCKHEED MARTIN CORP (LMT)	Assign Call	500	09/17/2010	36,292.78	02/24/2011	38,731.50	38,731.50	2,438.72
MCD Jun 18 2011 72.5 Put	Expire Short	300	01/10/2011	0.00	06/20/2011	1,088.33	1,088.33	1,088.33
MCD Mar 19 2011 82.5 Put	Expire Short	1,000	07/23/2010	0.00	03/21/2011	2,589.45	2,589.45	2,589.45
MCKESSON INC (MCK)	Assign Call	300	11/18/2010	19,599.17	08/19/2011	22,425.92	22,425.92	2,826.75
MCKESSON INC (MCK)	Assign Call	500	11/19/2010	30,967.80	08/19/2011	37,376.53	37,376.53	6,408.73
MCKESSON INC (MCK)	Assign Call	200	08/19/2011	15,392.80	08/19/2011	14,950.61	14,950.61	-442.19
MCK Aug 20 2011 70.0 Put	Expire Short	500	01/04/2011	0.00	08/22/2011	2,542.20	2,542.20	2,542.20
MCK Aug 20 2011 67.5 Put	Buy to Close, FIFO	1,000	12/28/2010	310.50	06/02/2011	4,289.42	4,289.42	3,978.92
MCK Aug 20 2011 85.0 Put	Buy to Close, FIFO	400	03/04/2011	4,122.00	08/19/2011	3,234.40	3,234.40	-887.60
MCK Feb 19 2011 55.0 Put	Buy to Close, FIFO	500	07/20/2010	57.75	01/04/2011	992.23	992.23	934.48
MCK Jan 22 2011 65.0 Put	Expire Short	500	04/27/2010	0.00	01/24/2011	2,542.20	2,542.20	2,542.20
MCK May 21 2011 62.5 Call	Buy to Close, FIFO	500	09/29/2010	10,207.75	04/29/2011	2,792.20	2,792.20	-7,415.55
MCK May 21 2011 80.0 Put	Expire Short	500	12/06/2010	0.00	05/23/2011	1,942.21	1,942.21	1,942.21
MCK May 21 2011 80.0 Put	Expire Short	700	02/04/2011	0.00	05/23/2011	3,561.08	3,561.08	3,561.08
MCK May 21 2011 72.5 Put	Buy to Close, FIFO	700	01/20/2011	1,373.85	02/04/2011	2,588.10	2,588.10	1,214.25
3M COMPANY (MMM)	Assign Call	600	04/16/2010	40,167.82	07/15/2011	46,831.34	46,831.34	6,663.52
MMM Apr 16 2011 80.0 Put	Expire Short	500	10/04/2010	0.00	04/18/2011	2,092.21	2,092.21	2,092.21
MMM Apr 16 2011 95.0 Call	Buy to Close, FIFO	500	09/29/2010	282.75	01/25/2011	1,202.22	1,202.22	919.47
MMM Jan 21 2012 80.0 Put	Buy to Close, FIFO	1,000	11/01/2010	4,110.50	02/25/2011	8,089.36	8,089.36	3,978.86
MMM Jan 22 2011 80.0 Put	Expire Short	500	08/03/2010	0.00	01/24/2011	1,642.22	1,642.22	1,642.22
MMM Jan 22 2011 95.0 Call	Expire Short	500	06/15/2010	0.00	01/24/2011	542.24	542.24	542.24
MMM Jan 22 2011 95.0 Call	Expire Short	500	07/06/2010	0.00	01/24/2011	517.24	517.24	517.24
MMM Jul 16 2011 85.0 Put	Expire Short	500	12/21/2010	0.00	07/18/2011	2,642.20	2,642.20	2,642.20
MMM Jul 16 2011 95.0 Call	Buy to Close, FIFO	500	01/24/2011	287.50	07/15/2011	1,169.73	1,169.73	882.23
MMM Jul 16 2011 95.0 Call	Buy to Close, FIFO	500	01/24/2011	102.50	07/15/2011	1,169.72	1,169.72	1,067.22
MMM Nov 19 2011 85.0 Call	Expire Short	500	09/27/2011	0.00	11/21/2011	582.42	582.42	582.42
MMM Oct 22 2011 85.0 Put	Buy to Close, FIFO	500	03/09/2011	2,452.56	10/21/2011	1,842.21	1,842.21	-610.35
MMM Oct 22 2011 95.0 Put	Buy to Close, FIFO	1,000	05/04/2011	17,455.12	10/20/2011	5,249.39	5,249.39	-12,205.73
MMM Oct 22 2011 97.5 Call	Expire Short	500	07/15/2011	0.00	10/24/2011	1,327.47	1,327.47	1,327.47
MSFT Jan 22 2011 22.5 Put	Expire Short	1,000	07/23/2010	0.00	01/24/2011	1,019.48	1,019.48	1,019.48
NORFOLK SOUTHERN CORP (NSC)	Assign Call	500	01/15/2010	25,917.80	03/18/2011	31,151.64	31,151.64	5,233.84
NORFOLK SOUTHERN CORP (NSC)	Assign Call	500	09/17/2010	27,867.79	03/18/2011	33,731.59	33,731.59	5,863.80
NORFOLK SOUTHERN CORP (NSC)	Assign Call	500	01/21/2011	31,892.77	05/03/2011	34,581.58	34,581.58	2,688.81
NSC Dec 17 2011 65.0 Put	Expire Short	500	04/19/2011	0.00	12/18/2011	2,542.20	2,542.20	2,542.20
NSC Dec 17 2011 85.0 Call	Expire Short	500	08/14/2011	0.00	12/19/2011	392.24	392.24	392.24
NSC Feb 19 2011 60.0 Call	Buy to Close, FIFO	500	01/20/2011	797.75	02/04/2011	2,142.20	2,142.20	1,344.45
NSC Jan 22 2011 65.0 Call	Expire Short	500	07/09/2010	0.00	01/24/2011	652.23	652.23	652.23
NSC Jun 16 2012 60.0 Put	Buy to Close, FIFO	500	10/26/2011	1,452.56	11/15/2011	1,997.40	1,997.40	544.84
NSC Jun 18 2011 55.0 Put	Expire Short	500	11/01/2010	0.00	06/20/2011	1,692.22	1,692.22	1,692.22
NSC Jun 18 2011 65.0 Put	Expire Short	500	01/04/2011	0.00	06/20/2011	2,842.20	2,842.20	2,842.20
NSC Mar 19 2011 49.0 Put	Expire Short	500	07/20/2010	0.00	03/21/2011	2,342.21	2,342.21	2,342.21

Description	Trans Type	Open Qty	Close Date	Adjusted	Adjusted	Adjusted
NSC Mar 19 2011 70.0 Call	Expire Long	500	11/15/2010	537.75	03/21/2011	-537.75
NSC Mar 19 2011 70.0 Call	Expire Long	500	02/18/2011	132.75	03/21/2011	-132.75
NSC Sep 17 2011 70.0 Put	Expire Short	500	05/04/2011	0.00	09/19/2011	1,542.22
NSC Sep 17 2011 60.0 Put	Buy to Close.FIFO	500	01/25/2011	432.75	05/04/2011	1,559.46
PCAR Aug 20 2011 60.0 Call	Buy to Close.FIFO	500	05/04/2011	57.75	06/02/2011	409.49
PCAR Aug 20 2011 50.0 Put	Buy to Close.FIFO	500	12/20/2010	7,952.50	08/19/2011	-6,360.28
PCAR Feb 19 2011 38.7 Put	Expire Short	500	06/22/2010	0.00	02/22/2011	1,592.22
PCAR Feb 19 2011 44.7 Put	Expire Short	500	10/04/2010	0.00	02/22/2011	1,592.22
PCAR Feb 19 2011 49.7 Put	Expire Short	500	11/15/2010	0.00	02/22/2011	1,642.22
PCAR Feb 19 2011 54.7 Put	Buy to Close.FIFO	500	11/29/2010	707.75	01/25/2011	1,167.23
PCAR Jan 21 2012 47.0 Call	Buy to Close.FIFO	1,000	08/23/2011	50.12	12/13/2011	1,134.46
PCAR May 21 2011 64.7 Call	Buy to Close.FIFO	500	12/17/2010	372.75	05/04/2011	494.86
PCAR Nov 19 2011 55.0 Call	Expire Short	500	06/02/2011	0.00	11/21/2011	2,374.45
PCAR Nov 19 2011 45.0 Put	Buy to Close.FIFO	500	04/19/2011	2,802.56	11/18/2011	567.23
PEP Apr 16 2011 62.5 Put	Expire Short	500	10/08/2010	0.00	04/18/2011	1,092.22
PROCTER & GAMBLE CO (PG)	Assign Call	500	07/16/2010	29,585.33	10/21/2011	1,312.22
PG Apr 16 2011 65.0 Call	Expire Long	500	10/08/2010	0.00	04/18/2011	33,331.60
PG Apr 16 2011 67.5 Call	Expire Long	500	03/07/2011	32.75	04/18/2011	567.24
PG Jan 22 2011 67.5 Call	Expire Short	1,000	07/22/2010	0.00	01/24/2011	-32.75
PG Jul 16 2011 62.5 Put	Expire Short	500	12/06/2010	0.00	07/18/2011	829.48
PG Jul 16 2011 65.0 Call	Expire Short	500	01/04/2011	0.00	07/18/2011	1,917.21
PG Jul 16 2011 70.0 Call	Sell to Close.FIFO	500	03/07/2011	77.75	05/04/2011	1,147.23
PG Jul 16 2011 70.0 Call	Sell to Close.FIFO	500	04/05/2011	37.75	05/04/2011	47.00
JM SMUCKER CO (SUM)	Assign Call	100	03/17/2010	5,951.57	07/15/2011	86.99
JM SMUCKER CO (SUM)	Assign Call	400	04/16/2010	23,776.26	07/15/2011	7,142.81
JM SMUCKER CO (SUM)	Assign Call	500	01/21/2011	31,867.77	07/15/2011	28,571.25
SJM Apr 16 2011 65.0 Put	Buy to Close.FIFO	500	12/06/2010	130.25	03/09/2011	35,714.06
SJM Apr 16 2011 65.0 Put	Buy to Close.FIFO	500	12/23/2010	130.25	03/09/2011	1,592.22
SJM Apr 16 2011 60.0 Put	Expire Short	500	12/23/2010	0.00	04/18/2011	1,219.73
SJM Apr 16 2011 70.0 Call	Expire Short	1,000	10/08/2010	0.00	04/18/2011	1,219.72
SJM Apr 16 2011 70.0 Call	Buy to Close.FIFO	500	12/21/2010	1,052.75	03/09/2011	3,289.44
SJM Apr 16 2011 65.0 Call	Buy to Close.FIFO	1,000	09/09/2010	5,690.50	03/10/2011	-660.51
SJM Jan 22 2011 55.0 Put	Expire Short	1,000	08/06/2010	0.00	01/24/2011	-3,551.04
SJM Jan 22 2011 60.0 Put	Expire Short	500	07/23/2010	0.00	01/24/2011	2,389.45
SJM Jan 22 2011 70.0 Call	Expire Short	500	07/07/2010	0.00	01/24/2011	1,542.22
SJM Jul 16 2011 75.0 Call	Buy to Close.FIFO	500	03/09/2011	1,057.75	06/23/2011	517.24
SJM Jul 16 2011 65.0 Call	Buy to Close.FIFO	1,000	11/23/2010	10,410.50	05/04/2011	-465.52
SJM Jul 16 2011 65.0 Put	Expire Short	1,000	11/23/2010	0.00	07/18/2011	-7,771.05
SJM Jul 16 2011 75.0 Put	Expire Short	300	05/04/2011	0.00	07/18/2011	5,689.40
SJM Jun 18 2011 75.0 Put	Buy to Close.FIFO	1,000	12/14/2010	160.50	06/14/2011	773.33
SJM May 21 2011 75.0 Put	Expire Short	1,000	05/10/2011	0.00	06/20/2011	6,528.88
SJM Nov 19 2011 75.0 Put	Expire Short	1,000	04/05/2011	0.00	05/23/2011	2,319.45
SJM Nov 19 2011 75.0 Put	Sell to Close.FIFO	500	10/26/2011	752.56	11/09/2011	2,789.44
SJM Nov 19 2011 75.0 Call	Buy to Close.FIFO	500	10/06/2011	1,277.56	11/09/2011	-155.14
SJM Nov 19 2011 75.0 Call	Buy to Close.FIFO	1,000	10/20/2011	2,555.12	11/09/2011	-580.14
SJM Nov 19 2011 75.0 Call	Short WS Adj	500	10/21/2011	-580.14	11/09/2011	-510.28
SJM Nov 19 2011 75.0 Call	Short WS Adj	500	10/21/2011	-255.14	11/09/2011	580.14
SJM Nov 19 2011 75.0 Call	Expire Short	1,000	10/21/2011	835.28	11/21/2011	255.14
SJM Oct 22 2011 70.0 Call	Buy to Close.FIFO	1,000	03/10/2011	5,005.12	10/20/2011	1,879.54
SJM Oct 22 2011 75.0 Call	Buy to Close.FIFO	1,000	05/04/2011	1,505.12	10/21/2011	-880.70
SJM Oct 22 2011 65.0 Put	Expire Short	1,000	02/22/2011	0.00	10/24/2011	1,794.31
SJM Oct 22 2011 65.0 Put	Expire Short	500	02/22/2011	0.00	10/24/2011	3,389.43
SJM Oct 22 2011 70.0 Put	Expire Short	500	03/09/2011	0.00	10/24/2011	1,742.21
SJM Oct 22 2011 75.0 Put	Expire Short	1,000	03/04/2011	0.00	10/24/2011	2,092.20
SYF Feb 18 2012 29.0 Call	Buy to Close.FIFO	1,000	08/15/2011	905.12	12/28/2011	7,709.35
						1,294.97
						389.85

Description	Trans Type	Open Qty	Open Date	Close Date	Adj. Price	Adj. Strain (\$)	Adj. Gain (\$)
UPS Apr 16 2011 62.5 Put	Expire Short	500	09/13/2010	0.00	04/18/2011	1,867.21	1,867.21
UPS Jan 21 2012 77.5 Call	Buy to Close FIFO	500	07/15/2011	37.56	11/23/2011	882.48	844.92
UPS Jul 16 2011 70.0 Put	Expire Short	500	12/17/2010	0.00	07/18/2011	1,742.22	1,742.22
UPS Jul 16 2011 80.0 Call	Buy to Close FIFO	500	12/01/2010	627.75	02/16/2011	674.74	46.99
UPS Jul 16 2011 80.0 Call	Buy to Close FIFO	300	12/01/2010	6.00	06/23/2011	404.84	398.84
UPS Jul 16 2011 80.0 Call	Expire Short	200	12/01/2010	0.00	07/18/2011	269.89	269.89
UPS Oct 22 2011 75.0 Put	Buy to Close FIFO	500	02/22/2011	2,452.56	10/21/2011	2,497.20	44.64
UPS Oct 22 2011 77.5 Call	Buy to Close FIFO	500	04/19/2011	5.06	09/28/2011	752.23	747.17
WALGREEN CO (WAG)	Assign Call	500	06/07/2010	17,350.30	01/21/2011	17,331.91	-18.39
WALGREEN CO (WAG)	Assign Call	500	06/07/2010	17,350.29	02/15/2011	16,586.92	-763.37
WALGREEN CO (WAG)	Assign Call	500	08/24/2010	16,592.77	04/15/2011	19,161.87	2,569.10
WAG Apr 16 2011 30.0 Put	Expire Short	1,000	10/04/2010	0.00	04/18/2011	1,629.47	1,629.47
WAG Jul 16 2011 33.0 Put	Expire Short	1,000	12/21/2010	0.00	07/18/2011	1,489.47	1,489.47
WAG Nov 19 2011 35.0 Call	Expire Short	1,000	10/21/2011	0.00	11/21/2011	624.86	624.86
WMT Dec 17 2011 55.0 Call	Buy to Close FIFO	500	04/19/2011	1,802.56	11/09/2011	892.23	-910.33
WMT Jun 18 2011 55.0 Call	Expire Short	500	03/10/2011	0.00	06/20/2011	487.24	487.24
WMT Jun 18 2011 52.5 Put	Expire Short	1,000	12/28/2010	0.00	06/20/2011	2,119.46	2,119.46
WMT Mar 19 2011 57.5 Call	Buy to Close FIFO	1,000	09/16/2010	170.50	02/16/2011	789.48	618.98
WMT Nov 19 2011 55.0 Call	Buy to Close FIFO	500	09/27/2011	1,852.56	11/08/2011	307.43	-1,545.13
WMT Sep 17 2011 52.5 Put	Expire Short	1,000	02/22/2011	0.00	09/19/2011	2,399.45	2,399.45
WMT Sep 17 2011 55.0 Call	Expire Short	500	03/10/2011	0.00	09/19/2011	827.23	827.23
WM Oct 22 2011 36.0 Put	Buy to Close FIFO	1,000	07/15/2011	2,405.12	10/21/2011	1,644.96	-760.16
EXXON MOBIL CORP (XOM)	Assign Call	500	04/16/2010	33,492.79	01/21/2011	34,204.09	711.30
EXXON MOBIL CORP (XOM)	Assign Call	300	07/16/2010	18,505.88	02/07/2011	21,086.55	2,580.67
EXXON MOBIL CORP (XOM)	Assign Call	200	07/16/2010	12,337.12	04/15/2011	14,054.38	1,717.26
XOM Apr 16 2011 70.0 Call	Buy to Close FIFO	500	01/10/2011	6,687.75	02/04/2011	2,992.19	-3,695.56
XOM Apr 16 2011 70.0 Call	Short WS Adj	500	01/20/2011	-3,695.56	02/04/2011		3,695.56
XOM Apr 16 2011 80.0 Put	Expire Short	500	01/10/2011	0.00	04/18/2011	2,967.19	2,967.19
XOM Apr 16 2011 80.0 Call	Buy to Close FIFO	500	02/04/2011	3,007.75	04/11/2011	2,147.20	-860.55
XOM Jan 21 2012 92.5 Call	Buy to Close FIFO	500	07/12/2011	15.06	11/23/2011	517.23	502.17
XOM Jan 21 2012 87.5 Call	Buy to Close FIFO	500	08/29/2011	47.56	11/23/2011	292.49	244.93
XOM Jan 22 2011 75.0 Put	Expire Short	500	11/15/2010	0.00	01/24/2011	2,342.21	2,342.21
XOM Jan 22 2011 67.5 Call	Buy to Close FIFO	500	07/20/2010	3,842.75	01/10/2011	347.24	-3,495.51
XOM Jan 22 2011 67.5 Call	Buy to Close FIFO	500	10/08/2010	4,882.75	01/20/2011	464.74	-4,418.01
XOM Jul 16 2011 72.5 Put	Expire Short	1,000	01/04/2011	0.00	07/18/2011	3,439.44	3,439.44
XOM Jul 16 2011 85.0 Call	Buy to Close FIFO	500	04/19/2011	47.75	06/23/2011	1,037.22	989.47
XOM Oct 22 2011 85.0 Call	Expire Short	500	07/12/2011	0.00	10/24/2011	992.23	992.23
Total:				2,338,275.27		2,827,636.20	54,929.79

THE DON & JEAN WAGNER CHARITABLE
FOUNDATION

26-6125236

Part XV: Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNION STATION KANSAS CITY 30 WEST PERSHING ROAD STE 400 KANSAS CITY, MO 64108	NONE	501 (C) (3)	CHARITABLE DONATION	4,500.
HARRY S. TRUMAN LIBRARY INSTITUTE 500 WEST U.S. HIGHWAY 24 INDEPENDENCE, MO 64050	NONE	501 (C) (3)	CHARITABLE DONATION	1,000.
JAZZO 6800 ZOO DRIVE KANSAS CITY, MO 64132	NONE	501 (C) (3)	CHARITABLE DONATION	15,000.
WILD ABOUT HARRY 500 WEST U.S. HIGHWAY 24 INDEPENDENCE, MO 64050	NONE	501 (C) (3)	CHARITABLE DONATION	4,300.
KID'S JAZZO 6800 ZOO DRIVE KANSAS CITY, MO 64132	NONE	501 (C) (3)	CHARITABLE DONATION	5,000.
Total from continuation sheets				29,800.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
TD AMERITRADE	20.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	20.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
TD AMERITRADE	42,120.	0.	42,120.
TOTAL TO FM 990-PF, PART I, LN 4	42,120.	0.	42,120.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,281.	0.		5,281.
TO FORM 990-PF, PG 1, LN 16B	5,281.	0.		5,281.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES	14,620.	0.		0.
TO FORM 990-PF, PG 1, LN 18	14,620.	0.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS EXPENSES	291.	0.		0.
TO FORM 990-PF, PG 1, LN 23	291.	0.		0.

FORM 990-PF	OTHER FUNDS	STATEMENT	6
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DESCRIPTION	(A) BEGINNING OF YEAR	(B) END OF YEAR
RE OR ACCUMULATED INCOME	1,743,849.	2,152,758.
TOTAL TO FORM 990-PF, PART II, LINE 29	1,743,849.	2,152,758.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
PUBLICLY TRADED SECURITIES	1,595,573.	1,634,071.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,595,573.	1,634,071.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	8
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NAME OF MANAGER

JEAN D. WAGNER
WILLIAM D. WAGNER

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	THE DON & JEAN WAGNER CHARITABLE FOUNDAT	☒ 26-6125236
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	1050 W 54TH STREET	☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	KANSAS CITY, MO 64112	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

BRANDI HENDRIX

- The books are in the care of ► **PO BOX 907 - LIBERTY, MO 64069**

Telephone No. ► **816-305-7872**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2012**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year **2011** or
- ☐ tax year beginning , and ending .

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2012)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print	Name of exempt organization or other filer, see instructions	Enter filer's identifying number, see instructions
File by the due date for filing your return. See instructions.	THE DON & JEAN WAGNER CHARITABLE FOUNDAT	☒ 26-6125236
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	1050 W 54TH STREET	☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	KANSAS CITY, MO 64112	

Enter the Return code for the return that this application is for (file a separate application for each return) ... **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

BRANDI HENDRIX

- The books are in the care of **PO BOX 907 - LIBERTY, MO 64069**
- Telephone No. **816-305-7872** FAX No. ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2012.**

5 For calendar year **2011**, or other tax year beginning ☐ , and ending ☐

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NEEDED IN ORDER TO GATHER THE INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	0
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0
c	Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **Brandi Hendrix** Title ☐

Date **8/15/12**

Form 8868 (Rev. 1-2012)