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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
John C Bock Foundation

Number and street (or P O box number if mail is not delivered to street address)
411 E Wisconsin Avenue Ste 700

Room/suite

City or town, state, and ZIP code
Milwaukee, WI 53202

A Employer identification number
26-6014448

B Telephone number (see page 10 of the instructions)
(414) 287-1235

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐








H Check type of organization ☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation



I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,599,108

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	159,454	159,400		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 <input type="checkbox"/>	191,172			
	b Gross sales price for all assets on line 6a _____ 1,905,646				
	7 Capital gain net income (from Part IV, line 2)		191,172		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) <input type="checkbox"/>	120			
	12 Total. Add lines 1 through 11	350,746	350,572		
	13 Compensation of officers, directors, trustees, etc	65,000	6,500		58,500
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) <input type="checkbox"/>	17,759	1,776		15,983
	b Accounting fees (attach schedule) <input type="checkbox"/>	8,368	837		7,531
	c Other professional fees (attach schedule) <input type="checkbox"/>	40,429	40,429		
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) <input type="checkbox"/>	11,859	529		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) <input type="checkbox"/>	1,652	165		1,487
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	145,067	50,236		83,501
	25 Contributions, gifts, grants paid	154,825			154,825
	26 Total expenses and disbursements. Add lines 24 and 25	299,892	50,236		238,326
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	50,854			
	b Net investment income (if negative, enter -0-)		300,336		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	3,241		
	2	Savings and temporary cash investments	82,669	91,100	91,100
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____	290,090		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	5,425	 4,374	3,377
	b	Investments—corporate stock (attach schedule)	3,287,689	 3,271,209	3,465,120
	c	Investments—corporate bonds (attach schedule).	510,829	 535,005	540,313
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,217,416	 1,545,012	1,494,815
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)	 3,894	 4,383	 4,383
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,401,253	5,451,083	5,599,108
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	5,401,253	5,451,083	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	5,401,253	5,451,083	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	5,401,253	5,451,083	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	5,401,253
2	Enter amount from Part I, line 27a	2	50,854
3	Other increases not included in line 2 (itemize) ▶ 	3	17
4	Add lines 1, 2, and 3	4	5,452,124
5	Decreases not included in line 2 (itemize) ▶ 	5	1,041
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,451,083

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Publically traded securities			
b	Wash sales disallowed			
c	Capital gain distributions			
d	Stock litigation settlements			
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,897,900		1,714,474	183,426
b 5,332			5,332
c 2,338			2,338
d 76			76
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			183,426
b			5,332
c			2,338
d			76
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	191,172
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	267,809	5,462,494	000 049027
2009	235,669	4,835,930	000 048733
2008	358,125	5,488,124	000 065255
2007	199,000	6,155,585	000 032328
2006	333,662	5,727,495	000 058256

2 Total of line 1, column (d).	2	000 253599
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	000 050720
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	6,122,934
5 Multiply line 4 by line 3.	5	310,555
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	3,003
7 Add lines 5 and 6.	7	313,558
8 Enter qualifying distributions from Part XII, line 4.	8	238,326

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	6,007
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	6,007
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	6,007
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	6,000	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	6,000
8		Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	7
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/>	Refunded <input type="checkbox"/>	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none">By language in the governing instrument, orBy state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> WI _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <input type="text" value="www bockfoundation org"/>	13	Yes	
14	The books are in care of <input type="text" value="von Briesen & Roper sc"/> Telephone no <input type="text" value="(414) 287-1278"/> Located at <input type="text" value="411 E Wisconsin Avenue Ste 700 Milwaukee WI"/> ZIP+4 <input type="text" value="53202"/>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year. <input type="text" value="15"/>	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country <input type="text"/>				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?. If "Yes," list the years <input type="text" value="20___, 20___, 20___, 20___"/>			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="text" value="20___, 20___, 20___, 20___"/>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	0
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	6,116,678
b	Average of monthly cash balances.	1b	87,412
c	Fair market value of all other assets (see page 24 of the instructions).	1c	12,087
d	Total (add lines 1a, b, and c).	1d	6,216,177
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	6,216,177
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	93,243
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,122,934
6	Minimum investment return. Enter 5% of line 5.	6	306,147

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	306,147
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	6,007
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	6,007
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	300,140
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	300,140
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	300,140

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	238,326
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	238,326
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	238,326
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7				300,140
2	Undistributed income, if any, as of the end of 2011				
a	Enter amount for 2010 only.				
b	Total for prior years 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2011				
a	From 2006.				
b	From 2007.				
c	From 2008.				
d	From 2009.				
e	From 2010. 162,637				
f	Total of lines 3a through e.	162,637			
4	Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 238,326				
a	Applied to 2010, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .				
d	Applied to 2011 distributable amount.				238,326
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	61,814			61,814
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	100,823			
b	Prior years' undistributed income Subtract line 4b from line 2b.				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .				
e	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).				
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).				
9	Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a.	100,823			
10	Analysis of line 9				
a	Excess from 2007. . . .				
b	Excess from 2008. . . .				
c	Excess from 2009. . . .				
d	Excess from 2010. . . . 100,823				
e	Excess from 2011. . . .				

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

Sally C Merrell Esq
411 E Wisconsin Ave Ste 700
Milwaukee, WI 53202
(414) 276-1122

b

The form in which applications should be submitted and information and materials they should include

See website located at www.bockfoundation.org

c

Any submission deadlines

May 31st

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See mission statement at the website

Form 990-PF (2011)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	154,825
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments			14		
4	Dividends and interest from securities. . . .			14	159,400	54
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory			18	191,172	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a <u>Baird non-dividend distributions</u>			14	120	
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e). . .				350,692	54
13	Total. Add line 12, columns (b), (d), and (e).			13		350,746

(See worksheet in line 13 instructions on page 28 to verify calculations)

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)

[illegible]

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

May the IRS discuss this return with the preparer shown above? See instructions ☒ Yes ☐ No

TY 2011 Accounting Fees Schedule

Name: John C Bock Foundation

EIN: 26-6014448

Software ID: 11000218

Software Version: 2011.0.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
and accounting	8,368	837		7,531

TY 2011 General Explanation Attachment**Name:** John C Bock Foundation**EIN:** 26-6014448**Software ID:** 11000218**Software Version:** 2011.0.0

Identifier	Return Reference	Explanation
		The Foundation paid the sum of 65,000 in Trustees fees to the Trustees. These fees relate to the management of the investments and grants paid to qualifying charitable organizations. It is believed that the fees were necessary for the exempt purposes of the Foundation and were reasonable in amount for the services rendered. Accordingly, the payment of the fees is an excepted transaction within the meaning of IRC Sec. 4941D-2E and Treas. Reg. Sec. 53.4941D-3C.

Additional Data

Software ID: 11000218
Software Version: 2011.0.0
EIN: 26-6014448
Name: John C Bock Foundation

Part VI Line 7 - Tax Paid Original Return: 6000


Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Luke Seggelink	Trustee 002 00	13,000		
c/o vBR 411 E Wisconsin Ave Milwaukee, WI 53202				
Jeremy C Shea	Trustee 001 00	13,000		
One S Pinckney St Ste 600 Madison, WI 53703				
Albert Goldstein	Trustee 001 00	13,000		
c/o vBR 411 E Wisconsin Ave Milwaukee, WI 53202				
Sharon L Bock	Trustee 001 00	13,000		
c/o vBR 411 E Wisconsin Ave Milwaukee, WI 53202				
Carl J Bock	Trustee 001 00	13,000		
c/o vBR 411 E Wisconsin Ave Milwaukee, WI 53202				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Aldo Leopold Nature Center300 Fermite Drive Monona, WI 53716	None	Public	Charitable	5,000
Bad River Watershed AssnPO Box 875 Ashland, WI 54806	None	Public	Charitable	5,000
Bayfield Regional ConservancyPO Box 410 Bayfield, WI 54814	None	Public	Charitable	6,500
Friends of Lac Lawrann ConservPO Box 755 West Bend, WI 53095	None	Public	Charitable	10,000
Environmental Law Policy Center35 E Wacker Dr Suite 1300 Chicago, IL 60601	None	Public	Charitable	10,000
Friends of Boerner Botanical Garden9400 Boerner Drive Ste 1 Hales Corners, WI 53130	None	Public	Charitable	5,000
Friends of Pheasant Branch ConsPO Box 628242 Middleton, WI 53562	None	Public	Charitable	15,000
Gathering Waters Conservancy211 South Paterson Street Ste 2 Madison, WI 53703	None	Public	Charitable	15,000
Northeast Wisconsin Land Trust14 Tri-Park Way Appleton, WI 54914	None	Public	Charitable	7,500
Northland College1411 Ellis Avenue Ashland, WI 54806	None	Public	Charitable	15,000
Northwoods Land TrustPO Box 321 Eagle River, WI 54521	None	Public	Charitable	20,000
University of Montana College of Fo32 Campus Drive Missoula, MT 59802	None	Public	Charitable	5,000
Wild OnesPO Box 1274 Appleton, WI 54912	None	Public	Charitable	5,000
Audubon's Corkscrew Swamp Sanctuary375 Sanctuary Road W Naples, FL 34120	None	Public	Charitable	5,000
Conservancy for Healing and HeritagPO Box 320520 Franklin, WI 53132	None	Public	Charitable	5,000
Driftless Area Land Conservancy338 North Iowa Street Dodgeville, WI 53533	None	Public	Charitable	15,000
Ice Age Trail Alliance2110 Main Street Cross Plains, WI 53528	None	Public	Charitable	5,825
Total  3a				154,825

TY 2011 Investments Corporate
Bonds Schedule

Name:

John C Bock Foundation

EIN:

26-6014448

Software ID:

11000218

Software Version:

2011.0.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Genworth Fin Sr 5.65% 06/15/2012	19,532	20,113
Fed Realty Inv Tr Nt 6.00% 07/15/2012	9,940	10,174
Key Bank Med Term 5.70% 08/15/2012	9,912	10,233
Marshall Ilsley Sub Med Term 5.25% 09/04/2012	24,822	25,688
Amer Internatl Grp Gbl Sr Nt 4.25% 05/15/2013	4,466	4,993
Duke Realty Ltd Pship Sr 6.25% 05/15/2013	19,618	20,916
United Dom Rlty Tr Med Term 6.05% 06/01/2013	9,687	10,403
Alcoa Nt 6.00% 07/15/2013	19,975	21,278
Genworth Fin Nt 5.75% 06/15/2014	14,873	14,831
Citigrp Sub Nt 5.00% 09/15/2014	9,613	9,897
Gen Elec Cap Med Term 4.75% 09/15/2014	19,940	21,567
Bank America Nt 4.75% 08/01/2015	14,652	14,470
Bank America Nt 5.35% 09/15/2015	10,000	9,567
Prologis Nt 5.63% 11/15/2015	9,513	10,544
Home Depot Sr Nt 5.40% 03/01/2016	14,830	17,325
Horace Mann Educators Corp Sr Nt 6.85% 04/15/2016	14,973	16,153
Goldman Sachs Grp Med Term 6.00% 05/15/2016	10,000	10,278
Brandywine Op Pship LP Guar Nt 5.70% 05/01/2017	9,853	10,352
Unitrin Inc Sr Nt 6.00% 05/15/2017	14,910	15,923
AMB Prop Gtd Nt 4.00% 01/15/2018	12,516	12,289
Amerenen Gen Co Sr Nt 7.00% 04/15/2018	18,956	20,620
Dow Chem Sr Nt 5.70% 05/15/2018	9,829	11,141
Genworth Fin Sr Nt 6.52% 05/22/2018	9,983	9,178
Alcoa Inc Nt 6.75% 07/15/2018	9,597	11,039
Marshall Ilsley Sub Minotes 5.50% 07/15/2018	10,852	11,001
Hartford Fin Serv Sr Nt 6.00% 01/15/2019	9,190	10,263
Gen El Cap Internts 5.50% 07/15/2019	16,000	16,100
Bk of America Internts 5.30% 05/15/2020	10,018	8,466
Gen El Cap Internts 5.00% 10/15/2021	9,977	9,675
Morgan Stan Dean Wittr Discv Med Term 5.00% 06/11/2025	10,190	9,775
Tenneco Packaging Deb 7.95% 12/15/2025	9,165	6,750
Countrywide Financial 6.25% 05/15/2016	9,048	9,421
Bank of America 5.75% 08/15/2016	9,400	9,287
Bank of America 5.60% 12/15/2017	13,000	12,039
Genl Electric Cap Corp 5.63% 12/15/2017	15,000	14,719
Compass Bk Birmingham 5.50% 04/01/2020	25,029	24,174
Bank of America 5.30% 05/15/2020	9,855	8,800
Partner RE Finance 5.50% 06/01/2020	10,109	10,085
Bank of America 6.00% 05/15/2024	16,107	14,391
Goldman Sachs Grp Med Term Note 4.00% 01/29/2025	10,080	9,586
Citigrp Funding Inc Med Term Sr Nt 4.25% 11/16/2025	10,085	9,332
Bank of Amer Internts 5.25% 06/15/1930	9,910	7,477

TY 2011 Investments Corporate

Stock Schedule

Name:

John C Bock Foundation

EIN:

26-6014448

Software ID:

11000218

Software Version:

2011.0.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1000 shares of Duke Realty Corp Repstg 1/10th Pfd	17,136	24,740
1900 shares of Arrow Elec	40,314	59,856
2175 shares of Associated Banc Corp	27,502	24,295
3550 shares of Boston Scientific	70,536	18,957
3350 shares of Cambrex Corp	47,060	24,053
3000 shares of Cisco Sys	66,829	54,240
800 shares of Cytec Indus	29,633	35,720
4200 shares of General Elec Co	91,001	75,222
1400 shares of Hanesbrands Inc	60,314	52,464
2725 shares of Intel Corp	36,377	48,500
5400 shares of Interpublic Grp	58,220	74,921
825 shares of Kohls Corp	54,287	56,753
1500 shares of Medtronic	66,487	57,375
2225 shares of Molex Inc A	39,761	44,011
1500 shares of Owens Ill Inc	80,610	59,594
825 shares of Range Resources	16,830	27,873
3150 shares of Schwab Charles	54,048	35,469
2075 shares of Sealed Air Corp	52,365	35,711
550 shares of 3M Co	39,113	44,952
1241 shares of Time Warner	40,848	44,850
600 shares of United Parcel Serv B	38,092	43,914
2200 shares of US Bancorp	49,269	59,510
1900 shares of Wells Fargo Co	49,982	52,364
208 shares of American Tower A	10,682	12,482
250 shares of Anadarko Petro	14,936	24,655
290 shares of Anheuser Busch	30,029	31,593
380 shares of Autodesk	10,326	9,493
507 shares of Boeing	40,460	44,817
462 shares of Dr Pepper Snapple Grp	16,706	18,240
678 shares of Exxon Mobil	27,701	34,328

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1360 shares of Ford Motor Co	9,986	11,330
99 shares of Google Inc	39,666	50,380
903 shares of Halliburton Co	5,399	10,284
973 shares of JPMorgan Chase	43,963	35,977
840 shares of Johnson Controls	25,988	27,040
780 shares of Kraft Foods A	23,262	29,141
1673 shares of Lowes Co	18,501	23,426
451 shares of Medtronic	25,837	26,737
747 shares of Merck Co	28,081	31,329
700 shares of Metlife	39,671	30,338
1415 shares of Microsoft	40,901	45,274
214 shares of Monsanto Co	20,250	23,894
290 shares of Nestle S A	19,679	22,161
252 shares of Paccar	12,853	9,442
515 shares of Paychex	9,271	9,153
623 shares of Schlumberger	35,978	40,098
1915 shares of Schwab Charles	28,916	20,414
561 shares of Target Co	21,681	29,656
573 shares of United Parcel Serv B	25,883	34,107
705 shares of Abaxis	17,259	18,401
1220 shares of Allscripts Healthcare Solutions	22,886	21,970
1365 shares of Angiodynamics Inc	26,047	19,253
1180 shares of Beacon Roofing Supply	17,787	22,658
690 shares of Bio Reference Labs	17,060	16,953
395 shares of Cabot Microelectronics	16,932	24,712
226 shares of Capella Education	17,205	7,967
415 shares of Cass Info Sys	14,342	16,012
2280 shares of Cepheid Inc	10,406	38,642
910 shares of Cheesecake Factory	20,958	25,535
645 shares of Chemed	28,136	31,750

Name of Stock	End of Year Book Value	End of Year Fair Market Value
250 shares of Concur Tech	12,472	20,214
427 shares of Constant Contact	9,477	9,446
265 shares of Costar Group	13,196	17,016
820 shares of Dealertrack Holdings	14,428	21,263
1910 shares of Digi Internatl	20,824	18,972
960 shares of Echelon Corp	12,451	7,568
402 shares of Echo Global Logistics	12,234	15,407
461 shares of Enernoc	15,226	4,848
425 shares of Faro Tech	14,297	18,630
555 shares of Forrester Research	13,584	18,158
440 shares of Forward Air	12,742	13,461
1620 shares of Gentex	15,425	30,211
767 shares of Grand Canyon Education	23,787	19,248
1760 shares of Innerworkings	17,153	15,594
455 shares of IPC The Hospitalist Co	8,221	19,888
1270 shares of LKQ Corp	13,594	36,397
367 shares of Maximus	10,053	29,110
480 shares of Mednax	15,374	32,765
380 shares of Medtox Scientific	6,047	4,988
555 shares of Mobile Mini	11,789	9,249
715 shares of Napco Security Tech	3,415	1,674
1030 shares of National Instru	25,770	38,147
805 shares of Neogen Corp	5,680	21,264
398 shares of Portfolio Recovery Assoc	15,290	25,523
635 shares of Power Integrations	11,035	20,228
202 shares of Quality Systems	11,737	14,574
1160 shares of Resources Connection	27,695	11,914
845 shares of Ritchie Brothers Auctioneers	28,609	28,682
2278 shares of Rollins	20,670	48,173
1410 shares of Semtech Corp	21,832	33,259

Name of Stock	End of Year Book Value	End of Year Fair Market Value
447 shares of Stratasys	5,816	12,985
310 shares of Techne Corp	10,410	19,795
800 shares of Ultimate Software Grp	16,619	49,491
795 shares of United Natural Foods	19,140	30,408
675 shares of Verint Systems	21,085	17,901
221 shares of Apache Corp	26,852	20,018
74 shares of Apple	29,438	29,970
684 shares of Chesapeake Energy	22,865	15,246
1818 shares of Cisco Systems	37,274	32,869
1070 shares of Comcast Corp	25,103	25,370
538 shares of Disney Walt	19,083	20,175
1118 shares of Ebay	36,512	33,909
214 shares of Ecolab	10,258	12,371
547 shares of Express Scripts	23,489	24,445
412 shares of Honeywell Intl	19,354	22,392
467 shares of Intel Corp	10,723	11,325
780 shares of Juniper Networks Inc	24,317	24,410
218 shares of Medco Health Solutions	12,805	12,186
153 shares of Netapp	5,465	5,549
362 shares of Penney JC	11,834	12,724
516 shares of Pepsico	32,376	34,237
1613 shares of Pfizer	24,135	34,905
238 shares of Starwood Hotel Resorts	10,993	11,417
131 shares of TEVA Pharm	5,544	5,287
282 shares of Texas Instru	8,542	8,209
961 shares of US Bancorp	22,053	25,995
261 shares of Vertex Pharm	12,424	8,668
725 shares of Weatherford Internatl	16,524	10,614
6950 shares of Bank of America	74,460	38,642
2000 shares of Johnson Controls	66,733	62,520

Name of Stock	End of Year Book Value	End of Year Fair Market Value
2500 shares of Modine Manufacturing	27,403	23,650
1250 shares of Patterson Uti En	24,361	24,975
1175 shares of Sysco Corp	33,588	34,463
383 shares of Athenahealth	17,302	18,813
944 shares of Ebix Inc	19,526	20,862
733 shares of Financial Engines	17,394	16,368
461 shares of Fresh Market	17,721	18,394
482 shares of Pegasystems	22,422	14,171
702 shares of SPS Commerce	12,281	18,217
723 shares of Scquest	10,640	10,317

TY 2011 Investments Government Obligations Schedule

Name: John C Bock Foundation

EIN: 26-6014448

Software ID: 11000218

Software Version: 2011.0.0

US Government Securities - End of

Year Book Value:

4,374

US Government Securities - End of

Year Fair Market Value:

3,377

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2011 Investments - Other Schedule**Name:** John C Bock Foundation**EIN:** 26-6014448**Software ID:** 11000218**Software Version:** 2011.0.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Col Fds Series Master Internal Eq		94,055	78,199
Franklin Global Tr Gbl Real Est Fd		10,300	13,371
Mut Ser Fd Gbl Disc Fd		112,171	113,375
SunAmerica Style Slct Ser Foc Multi Asset		182,567	166,343
Templeton Gbl Op Tr		46,124	36,926
Templeton Gbl Smaller Cos Fd		51,001	51,766
Putnam Div Inc SBI A		125,942	109,916
Franklin Inc Fd A		370,589	396,427
DWS Port Fltg Rate Plus		253,776	242,573
Franklin Strategic Inc Fd A		77,745	78,625
Loomis Sayles Fds II Strategic Inc Fd		140,583	133,192
Nuveen Multi Strat Inc Growth Fd		24,982	24,030
Pimco Fds Pac Invt Mgmt Ser		40,000	36,532
Natixis Fds Tr II Loomis Sayles Abs Strat		15,177	13,540

TY 2011 Legal Fees Schedule**Name:** John C Bock Foundation**EIN:** 26-6014448**Software ID:** 11000218**Software Version:** 2011.0.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
von Briesen Roper, s c	17,759	1,776		15,983

TY 2011 Other Assets Schedule**Name:** John C Bock Foundation**EIN:** 26-6014448**Software ID:** 11000218**Software Version:** 2011.0.0

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Receivable--dividends reportable current year received next year	3,894	4,390	4,390
Rounding		-7	-7

TY 2011 Other Decreases Schedule

Name: John C Bock Foundation

EIN: 26-6014448

Software ID: 11000218

Software Version: 2011.0.0

Description	Amount
Adjustment to basis of ABB and Ingersoll Rand	1,041

TY 2011 Other Expenses Schedule**Name:** John C Bock Foundation**EIN:** 26-6014448**Software ID:** 11000218**Software Version:** 2011.0.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Association of Small Foundationsmembership	695	70		625
it Interactive LLCweb hosting	945	94		851
Miscellaneous expenses	12	1		11

TY 2011 Other Income Schedule

Name: John C Bock Foundation

EIN: 26-6014448

Software ID: 11000218

Software Version: 2011.0.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Baird--non-dividend distributions	120		

TY 2011 Other Increases Schedule

Name: John C Bock Foundation

EIN: 26-6014448

Software ID: 11000218

Software Version: 2011.0.0

Description	Amount
Adjustment for duplicate dividend	17

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2011 Other Notes/Loans
Receivable Long Schedule

Name: John C Bock Foundation

EIN: 26-6014448

Software ID: 11000218

Software Version: 2011.0.0

Borrower's Name	Relationship to Insider	Original Amount of Loan	Balance Due	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Security Provided by Borrower	Purpose of Loan	Description of Lender Consideration	Consideration FMV
Universal Tech of WI Stock Purch (ESOP)		2,352,000		2002-12	2011-12	Ten equal installments annually	5 0000000000 %		Stock purchase agree	Note plus downpayment of 270,000	290,090

TY 2011 Other Professional Fees Schedule**Name:** John C Bock Foundation**EIN:** 26-6014448**Software ID:** 11000218**Software Version:** 2011.0.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Robert W Baird--Investment management	40,429	40,429		

TY 2011 Taxes Schedule**Name:** John C Bock Foundation**EIN:** 26-6014448**Software ID:** 11000218**Software Version:** 2011.0.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
State and Fed Taxes net of refund	11,330	0	0	0
Foreign taxes on dividends	529	529	0	0