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Form **990-PF**

**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0052

2011

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , and ending

Name of foundation SUGGS FAMILY FOUNDATION		A Employer identification number 26-1592436
Number and street (or P O box number if mail is not delivered to street address) 427 WHITEGATE ROAD	Room/suite	B Telephone number (see instructions) 805-499-4465
City or town, state, and ZIP code NEWBURY PARK CA 91320		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,015,283	J Accounting method. <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	2,175,541			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	13	13	13	
	4 Dividends and interest from securities	101,936	101,931	101,936	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	179,731			
	b Gross sales price for all assets on line 6a	1,479,884			
	7 Capital gain net income (from Part IV, line 2)		179,731		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns & allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	2,457,221	281,675	101,949		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STMT 1	2,035		2,035	
	c Other professional fees (attach schedule) STMT 2	19,898		19,898	
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 3	1,723		1,723	
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att sch.)				
	24 Total operating and administrative expenses. Add lines 13 through 23	23,656	0	23,656	0
	25 Contributions, gifts, grants paid	172,700			172,700
26 Total expenses and disbursements. Add lines 24 and 25	196,356	0	23,656	172,700	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	2,260,865				
b Net investment income (if negative, enter -0-)		281,675			
c Adjusted net income (if negative, enter -0-)			78,293		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2011)

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Part II	Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	440,759	92,195	92,195
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (att schedule) ▶ Less: allowance for doubtful accounts ▶	0		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) SEE STMT 4	2,531,150	4,891,827	4,923,088
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach sch) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach sch) ▶			
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,971,909	4,984,022	5,015,283	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input checked="" type="checkbox"/>				
	24	Unrestricted	2,971,909	4,984,022	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	2,971,909	4,984,022		
31	Total liabilities and net assets/fund balances (see instructions)	2,971,909	4,984,022		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,971,909
2	Enter amount from Part I, line 27a	2	2,260,865
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	15,319
4	Add lines 1, 2, and 3	4	5,248,093
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	264,071
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,984,022

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED C93-053491	P	VARIOUS	VARIOUS
b	SEE ATTACHED C93-053509	P	VARIOUS	VARIOUS
c	SEE ATTACHED C93-050786	P	VARIOUS	VARIOUS
d	SEE ATTACHED C93-053483	P	VARIOUS	VARIOUS
e	SEE ATTACHED C92-062675	D	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	934,678		973,182	-38,504
b	118,521		135,812	-17,291
c	133,575		132,247	1,328
d	57,321		58,912	-1,591
e	235,789			235,789

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-38,504
b			-17,291
c			1,328
d			-1,591
e			235,789

2	Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	179,731
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of nonchangible-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	138,065	2,754,002	0.050132
2009	108,102	2,816,810	0.038377
2008	15,000	2,102,812	0.007133
2007		1,829,736	
2006			

2	Total of line 1, column (d)	2	0.095642
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.031881
4	Enter the net value of nonchangible-use assets for 2011 from Part X, line 5	4	3,805,738
5	Multiply line 4 by line 3	5	121,331
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,817
7	Add lines 5 and 6	7	124,148
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	172,700

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	2,817
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	2,817
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,817
6	Credits/Payments:		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	6
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,823
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
4b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

STMT 7

Part VII-A Statements Regarding Activities (continued)

<p>11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)</p>	11		X
<p>12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)</p>	12		X
<p>13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► SIDSUGGS@HOTMAIL.COM</p>	13	X	
<p>14 The books are in care of ► HARRIET OBERMAN Telephone no. ► 805-497-0033 325 E HILLCREST DRIVE Located at ► THOUSAND OAKS CA ZIP+4 ► 91360</p>			
<p>15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year</p>	► 15 <input type="checkbox"/>		
<p>16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►</p>	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
<p>1a During the year did the foundation (either directly or indirectly)</p> <p>(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>			
<p>b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here</p>	N/A	1b	
<p>c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?</p>	N/A	1c	
<p>2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))</p>			
<p>a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ► 20 , 20 , 20 , 20</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p>b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)</p>	N/A	2b	
<p>c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20</p>			
<p>3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p>b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)</p>	N/A	3b	
<p>4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?</p>		4a	X
<p>b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?</p>		4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** **5b**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** Yes No **X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SIDNEY V SUGGS 427 WHITEGATE ROAD NEWBURY PARK CA 91320	TRUSTEE 2.00	0	0	0
PAMELA J SUGGS 427 WHITEGATE ROAD NEWBURY PARK CA 91320	TRUSTEE 2.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶ **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,642,204
b	Average of monthly cash balances	1b	221,489
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	3,863,693
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	3,863,693
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)	4	57,955
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,805,738
6	Minimum investment return. Enter 5% of line 5	6	190,287

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	190,287
2a	Tax on investment income for 2011 from Part VI, line 5	2a	2,817
b	Income tax for 2011 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,817
3	Distributable amount before adjustments Subtract line 2c from line 1	3	187,470
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	187,470
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	187,470

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	172,700
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	172,700
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	2,817
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	169,883

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				187,470
2 Undistributed income, if any, as of the end of 2011.				
a Enter amount for 2010 only			129,962	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2011.				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e				
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 172,700				
a Applied to 2010, but not more than line 2a			129,962	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2011 distributable amount				42,738
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				144,732
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year		Prior 3 years		(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))
- SIDNEY V SUGGS** **\$2,175,541**
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
- N/A**
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d
- a** The name, address, and telephone number of the person to whom applications should be addressed:
- N/A**
- b** The form in which applications should be submitted and information and materials they should include.
- N/A**
- c** Any submission deadlines
- N/A**
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
- N/A**

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 8				172,700
Total			▶ 3a	172,700
b Approved for future payment N/A				
Total			▶ 3b	

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

2011

Name of the organization

Employer identification number

SUGGS FAMILY FOUNDATION

26-1592436

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year

▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization SUGGS FAMILY FOUNDATION	Employer identification number 26-1592436
---	--

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SIDNEY V. SUGGS 427 WHITEGATE ROAD NEWBURY PARK CA 91320	\$ 2,175,541	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization SUGGS FAMILY FOUNDATION	Employer identification number 26-1592436
---	--

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	BELDORE CAPITAL FUND LLC	\$ 2,175,541	12/27/11
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ACCOUNTANT FEES	\$ 2,035	\$	\$ 2,035	\$
TOTAL	\$ 2,035	\$ 0	\$ 2,035	\$ 0

Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
BROKER FEES	\$ 19,898	\$	\$ 19,898	\$
TOTAL	\$ 19,898	\$ 0	\$ 19,898	\$ 0

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
REGISTRATION FEE - ATTY GEN'L	\$ 150	\$	\$ 150	\$
FILING FEE - FRANCHISE TAX BOARD	30		30	
US TREASURY	287		287	
FOREIGN TAXES ON DIVIDENDS	1,256		1,256	
TOTAL	\$ 1,723	\$ 0	\$ 1,723	\$ 0

Federal Statements

Statement 4 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
CITI C93-053491	\$ 627,489	\$ 574,939	COST	\$ 606,852
CITI C93-053509	399,424	395,056	COST	352,359
CITI C93-050786	1,171,305	1,548,918	COST	1,596,970
CITI C93-053483	113,332	197,373	COST	191,366
4000 SHARES AMGEN INC	219,600	2,175,541	COST	2,175,541
CITI C93-062675				
TOTAL	\$ 2,531,150	\$ 4,891,827		\$ 4,923,088

Federal Statements**Statement 5 - Form 990-PF, Part III, Line 3 - Other Increases**

<u>Description</u>	<u>Amount</u>
ROUNDING/TIMING	\$ 8,116
ADJUSTED COST BASIS INVESTMENTS IN ACCT C93-053483	7,203
TOTAL	<u>\$ 15,319</u>

Statement 6 - Form 990-PF, Part III, Line 5 - Other Decreases

<u>Description</u>	<u>Amount</u>
ADJUSTED COST BASIS INVESTMENTS IN ACCT C93-050786	\$ 33,188
ADJUSTED COST BASIS OF DONATED SECURITIES	219,600
ADJUSTED COST BASIS INVESTMENTS IN ACCT C93-053491	11,283
TOTAL	<u>\$ 264,071</u>

SUGG2436 SUGGS FAMILY FOUNDATION
26-1592436
FYE: 12/31/2011

8/7/2012 12:22 PM

Federal Statements

Statement 7 - Form 990-PF, Part VII-A, Line 10 - Substantial Contributors

<u>Name</u>	<u>Address</u>	<u>City, State, Zip</u>
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SIDNEY V SUGGS

427 WHITEGATE ROAD

NEWBURY PARK CA 91320

Federal Statements

Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000

<u>Name of Manager</u>	<u>Amount</u>
SIDNEY V SUGGS	\$ <u>2,175,541</u>
TOTAL	\$ <u><u>2,175,541</u></u>

Federal Statements

Statement 8 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name Address	Relationship	Address	Status	Purpose	Amount
KCLU THOUSAND OAKS CA 91360-27	60 W OLSEN RD			COMMUNITY RADIO	100
WIKIMEDIA FOUNDATION SAN FRANCISCO CA 94105	149 NEW MONTGOMERY 3RD FL			UNRESTRICTED	100
BOY SCOUTS OF AMERICA CAMARILLO CA 93010	509 DAILY DRIVE			YOUTH DEVELOPMENT	500
BOY SCOUTS OF AMERICA CAMARILLO CA 93010	509 DAILY DRIVE			YOUTH DEVELOPMENT	500
ALS ASSOCIATION OF GREAT AGOURA CA 91301	28720 ROADSIDE DR, STE 20			UNRESTRICTED	1,000
AMERICAN CANCER SOCIETY VENTURA CA 93003	2186 KNOLL DR, STE A			UNRESTRICTED	1,000
ASPCA NEW YORK NY 10128	424 E 92ND ST			UNRESTRICTED	1,000
AMERICAN RED CROSS CAMARILLO CA 93011	PO BOX 608			JAPAN RELIEF EFFORT	2,500
FRIENDS OF CABRITO CANOGA PARK CA 91303	7552 REMMET AVE			SOBER LIVING HOME FOR MEN	2,500
SFVCA ENCINO CA 91416	PO BOX 18156			DRUG COUNSELING	2,500
ALS THERAPY DEV INST CAMBRIDGE MA 02142	215 FIRST ST, 2ND FL			UNRESTRICTED	3,000
HABITAT FOR HUMANITY AMERICUS GA 31709	121 HABITAT STREET			SUPPORT FOR AFFORDABLE HOUSING	3,000
CALIFORNIA LUTHERN UNIVERSITY THOUSAND OAKS CA 91360	60 W OLSEN RD			SCHOLARSHIPS	3,500
RICE UNIVERSITY HOUSTON TX 77251-1892	PO BOX 1892			UNDERGRADUATE SCHOLARSHIPS	5,000
ST MATTHEWS UMC ANNANDALE VA 22003	8617 LITTLE RIVER			CAPITAL CAMPAIGN/UNRESTRICTED	5,000
NEW WAY FOUNDATION BURBANK CA 91505	844 NORTH HOLLYWOOD WAY			RESIDENTIAL DRUG & ALCOHOL TRMT PROG	5,000
NATURE CONSERVANCY ALBERTA LEA MN 56007	PO BOX 6016			NATURE CONSERVANCY	10,000

Statement 8 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name	Address	Relationship	Status	Purpose	Amount
THE CARTER CENTER ATLANTA GA 30307		453 FREEDOM		UNRESTRICTED	10,000
THE CONVERSATION FUND ARLINGTON VA 22209		1655 N FORT MYER DRIVE		UNRESTRICTED	10,000
UCLA FOUNDATION LOS ANGELES CA 90024		10920 WILSHIRE BLVD		ACADEMIC ADVANCEMENT & SCHOLARSHIPS	106,500
TOTAL					<u>172,700</u>



2011 Year-End Account Report

Report Period: 01/01/2011 - 12/31/2011

Money Market Fund Detail (continued)

Date	Activity Type	Description	Amount	Balance
Money Market Fund (continued)				
DREYFUS LIQUID ASSETS INC CL 2 (continued)				
12/13/11	Deposit	MONEY FUND PURCHASE	2,418.19	42,155.65
12/16/11	Deposit	MONEY FUND PURCHASE	1,575.00	43,730.65
12/30/11	Deposit	INCOME REINVEST	1.79	43,732.44
12/30/11	Closing Balance			\$43,732.44
Total All Money Market Funds				
				\$43,732.44

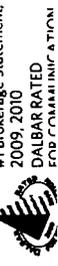
Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term								
07/11/11	09/17/10*	SELL FI	PROCTER & GAMBLE CO NTS Original Cost Basis: 33,741.29	742718DNG6	30,000.000	33,419.78	33,392.10	-27.68
07/11/11	12/01/10*	SELL FI	PROCTER & GAMBLE CO NTS Original Cost Basis 27,746.99	742718DNG6	25,000.000	27,567.43	27,826.75	259.32
Total Short Term						\$60,987.21	\$61,218.85	\$231.64
Long Term								
07/11/11	03/23/10*	SELL FI	COCA COLA CO NT 4.875% 03/15/19 B/E Original Cost Basis: 15,853.34	191216AMZ2	15,000.000	15,748.27	16,847.25	1,098.98
12/07/11	03/19/10*	SELL FI	HEWLETT PACKARD CO GLOBAL NT Original Cost Basis: 32,298.29	428236AL7	30,000.000	30,263.27	30,277.50	14.23
12/07/11	12/01/10*	SELL FI	HEWLETT PACKARD CO GLOBAL NT Original Cost Basis: 26,390.99	428236AL7	25,000.000	25,247.84	25,231.25	-16.59
Total Long Term						\$71,259.38	\$72,356.00	\$1,096.62
Total Short Term and Long Term						\$132,246.59	\$133,574.85	\$1,328.26



Schedule of Realized Gains and Losses

Dispositions Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term								
01/03/11	11/03/10*	RPP	UNITED AIRLS PASS THRU TRS 2000-1 Original Cost Basis: 1,044.67	909317AT6	5,000,000	1,044.67	825.82	-218.85
01/27/11	12/16/10*	SELL FI	NRG ENERGY INC SR NT 8.500% 06/15/19 B/E Original Cost Basis: 1,032.49	629377BG6	1,000,000	1,032.11	1,042.50	10.39
02/02/11	12/14/10*	SELL FI	BIOMET INC GLOBAL Original Cost Basis: 3,292.49	090613AD2	3,000,000	3,283.66	3,375.00	91.34
03/28/11	01/28/11*	RDMG	FERRELLGAS PARTNERS L P / FERRELLGAS Original Cost Basis: 3,263.15	315295AES	3,000,000	3,258.74	3,258.75	0.01
04/25/11	01/14/11*	SELL FI	SPRINT CAP CORP NT 6.875% 11/15/28 B/E Original Cost Basis: 1,820.00	852060AD4	2,000,000	1,821.24	1,902.50	81.26
04/26/11	12/13/10*	SELL FI	DELTA AIR LINES INC DEL PASS THRU TRS Original Cost Basis: 5,525.62	247367AX3	11,000,000	5,525.62	5,450.15	-75.47
04/26/11	01/14/11*	SELL FI	SPRINT CAP CORP NT 6.875% 11/15/28 B/E Original Cost Basis: 1,820.00	852060AD4	2,000,000	1,821.25	1,900.00	78.75
04/28/11	01/14/11*	SELL FI	SPRINT CAP CORP NT 6.875% 11/15/28 B/E Original Cost Basis: 1,820.00	852060AD4	2,000,000	1,821.28	1,910.00	88.72
05/04/11	12/14/10*	SELL FI	BIOMET INC GLOBAL Original Cost Basis: 3,292.49	090613AD2	3,000,000	3,265.36	3,337.50	72.14
05/05/11	11/10/10*	SELL FI	QWEST CAP FDG INC NTS Original Cost Basis: 3,157.49	74913EAU9	3,000,000	3,155.85	3,217.50	61.65
06/01/11	12/14/10*	TNDG SL	SELECT MED CORP SR SUB NT Original Cost Basis: 1,995.00	816196AU8	2,000,000	1,995.47	2,055.84	60.37
07/01/11	11/03/10*	RDMG FI	UNITED AIRLS PASS THRU TRS 2000-1 Original Cost Basis: 4,840.14	909317AT6	5,000,000	4,840.14	3,746.82	-1,093.32
09/04/11	12/13/10*	RPP	AMERICA WEST AIRLS INC 2000-1 PASS THRU Original Cost Basis: 431.59	023650AG9	11,000,000	431.59	403.83	-27.76
09/30/11	11/09/10*	SELL FI	GEORGIA PAC CORP SR NT Original Cost Basis: 4,914.99	373298CF3	4,000,000	4,871.60	4,700.00	-171.60
09/30/11	12/14/10*	SELL FI	GEORGIA PAC CORP SR NT Original Cost Basis: 2,329.99	373298CF3	2,000,000	2,316.51	2,350.00	33.49
09/30/11	12/10/10*	SELL FI	INTERNATIONAL LEASE FIN CORP SR NT FIXED Original Cost Basis: 6,089.99	459745GF6	6,000,000	6,085.11	5,820.00	-265.11
10/12/11	05/18/11*	SELL FI	CABLEVISION SYS CORP FIXED RT Original Cost Basis: 6,689.99	12686CBA6	6,000,000	6,666.54	6,300.00	-366.54
10/18/11	11/05/10*	SELL FI	HCA INC GTD SR SECD NT Original Cost Basis: 2,219.99	404119B17	2,000,000	2,187.28	2,135.00	-52.28
10/25/11	06/27/11*	SELL FI	ALLY FINL INC GTD SR NT Original Cost Basis: 1,052.49	02005NAE0	1,000,000	1,051.05	1,025.00	-26.05
10/25/11	04/28/11*	SELL FI	FORD MTR CR CO FIXED RT GLOBAL NT Original Cost Basis: 1,184.99	345397WM2	1,000,000	1,176.80	1,215.00	38.20



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Account Number: C93-053483
THE SUGGS FAMILY FOUNDATION

CPB-STMT



2011 Year-End Account Report

Report Period: 01/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
10/27/11	06/27/11*	SELL ALLY FINL INC GTD SR NT FI Original Cost Basis: 1,052.49	02005NAEO	1,000.000	1,051.02	1,050.00	-1.02
Total Short Term					\$58,702.89	\$57,021.21	-\$1,681.68
Total Short Term and Long Term					\$58,702.89	\$57,021.21	-\$1,681.68

* Uncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "uncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for uncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage report at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. The method of calculation is based upon the type of fixed income security and certain attributes, obtained from sources believed to be reliable. In the event, one or more of these attributes is changed, there may be a temporary incorrect adjusted cost basis reflected until the portfolio system is amended to reflect this change. These calculations will not be performed under certain circumstances, including those involving continuously callable bonds, foreign bonds, variable rates, bonds in default, index-linked bonds, bonds sold short or bonds that have a negative yield. This information is meant as a general guide and you should consult your tax advisor in the preparation of your tax returns.

Money Market Fund Detail (continued)

Date	Activity Type	Description	Amount	Balance
Money Market Fund (continued)				
DREYFUS MUNICIPAL MONEY MARKET (continued)				
10/18/11	Deposit	MONEY FUND PURCHASE	13.31	10,769.09
10/19/11	Deposit	MONEY FUND PURCHASE	958.44	11,727.53
10/20/11	Deposit	MONEY FUND PURCHASE	1,178.62	12,906.15
10/21/11	Withdrawal	MONEY FUND REDEMPTION	-93.49	12,812.66
10/25/11	Deposit	MONEY FUND PURCHASE	47.78	12,860.44
10/31/11	Deposit	INCOME REINVEST	0.48	12,860.92
11/08/11	Deposit	MONEY FUND PURCHASE	8.63	12,869.55
11/09/11	Withdrawal	MONEY FUND REDEMPTION	-322.83	12,546.72
11/15/11	Withdrawal	MONEY FUND REDEMPTION	-1,063.86	11,482.86
11/16/11	Withdrawal	MONEY FUND REDEMPTION	-368.29	11,114.57
11/22/11	Withdrawal	MONEY FUND REDEMPTION	-902.33	10,212.24
11/25/11	Deposit	MONEY FUND PURCHASE	1,095.14	11,307.38
11/28/11	Deposit	MONEY FUND PURCHASE	1,307.66	12,615.04
11/29/11	Deposit	MONEY FUND PURCHASE	177.62	12,792.66
11/30/11	Deposit	MONEY FUND PURCHASE	421.22	13,213.88
11/30/11	Deposit	INCOME REINVEST	0.49	13,214.37
12/02/11	Deposit	MONEY FUND PURCHASE	397.21	13,611.58
12/06/11	Deposit	MONEY FUND PURCHASE	71.52	13,683.10
12/07/11	Deposit	MONEY FUND PURCHASE	561.36	14,244.46
12/08/11	Deposit	MONEY FUND PURCHASE	93.94	14,338.40
12/13/11	Deposit	MONEY FUND PURCHASE	99.28	14,437.68
12/15/11	Deposit	MONEY FUND PURCHASE	244.72	14,682.40
12/19/11	Withdrawal	MONEY FUND REDEMPTION	-120.77	14,561.63
12/23/11	Withdrawal	MONEY FUND REDEMPTION	-1,797.44	12,764.19
12/27/11	Withdrawal	MONEY FUND REDEMPTION	-924.65	11,839.54
12/30/11	Deposit	MONEY FUND PURCHASE	156.25	11,995.79
12/30/11	Deposit	INCOME REINVEST	0.58	11,996.37
12/30/11	Closing Balance		\$11,996.37	\$11,996.37

Total All Money Market Funds

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term								
01/06/11	11/02/10*	SELL	ARM HLDGS PLC SPONSORED ADR	ARMH	35 000	604.03	815.42	211.39
		FI						
01/10/11	11/02/10*	SELL	SMITH & NEPHEW P L C SPONSORED ADR NEW	SNN	10 000	444.71	548.58	103.87
		FI						





2011 Year-End Account Report

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Money Market Fund Detail (continued)

Date	Activity Type	Description	Amount	Balance
Money Market Fund (continued)				
DREYFUS MUNICIPAL MONEY MARKET (continued)				
07/29/11	Deposit	INCOME REINVEST	0.60	8,723.33
08/01/11	Withdrawal	MONEY FUND REDEMPTION	-423.90	8,299.43
08/04/11	Deposit	MONEY FUND PURCHASE	119.63	8,419.06
08/05/11	Withdrawal	MONEY FUND REDEMPTION	-1.52	8,417.54
08/09/11	Deposit	MONEY FUND PURCHASE	10.15	8,427.69
08/10/11	Deposit	MONEY FUND PURCHASE	172.11	8,599.80
08/12/11	Withdrawal	MONEY FUND REDEMPTION	-1,964.37	6,635.43
08/16/11	Deposit	MONEY FUND PURCHASE	930.12	7,565.55
08/17/11	Deposit	MONEY FUND PURCHASE	337.68	7,903.23
08/19/11	Withdrawal	MONEY FUND REDEMPTION	-959.22	6,944.01
08/23/11	Deposit	MONEY FUND PURCHASE	1,546.96	8,490.97
08/24/11	Withdrawal	MONEY FUND REDEMPTION	-1,151.80	7,339.17
08/26/11	Deposit	MONEY FUND PURCHASE	46.92	7,386.09
08/31/11	Deposit	INCOME REINVEST	0.33	7,386.42
09/02/11	Withdrawal	MONEY FUND REDEMPTION	-296.10	7,090.32
09/06/11	Withdrawal	MONEY FUND REDEMPTION	-820.01	6,270.31
09/07/11	Withdrawal	MONEY FUND REDEMPTION	-1,031.34	5,238.97
09/09/11	Deposit	MONEY FUND PURCHASE	43.74	5,282.71
09/12/11	Deposit	MONEY FUND PURCHASE	1,938.93	7,221.64
09/13/11	Deposit	MONEY FUND PURCHASE	37.81	7,259.45
09/14/11	Deposit	MONEY FUND PURCHASE	154.12	7,413.57
09/15/11	Withdrawal	MONEY FUND REDEMPTION	-41.35	7,372.22
09/19/11	Deposit	MONEY FUND PURCHASE	1,007.17	8,379.39
09/21/11	Deposit	MONEY FUND PURCHASE	485.18	8,864.57
09/22/11	Withdrawal	MONEY FUND REDEMPTION	-75.41	8,789.16
09/26/11	Deposit	MONEY FUND PURCHASE	672.90	9,462.06
09/27/11	Withdrawal	MONEY FUND REDEMPTION	-151.56	9,310.50
09/29/11	Deposit	MONEY FUND PURCHASE	717.45	10,027.95
09/30/11	Deposit	MONEY FUND PURCHASE	576.49	10,604.44
09/30/11	Deposit	INCOME REINVEST	0.31	10,604.75
10/04/11	Withdrawal	MONEY FUND REDEMPTION	-841.81	9,762.94
10/11/11	Deposit	MONEY FUND PURCHASE	130.08	9,893.02
10/14/11	Deposit	MONEY FUND PURCHASE	2.61	9,895.63
10/17/11	Deposit	MONEY FUND PURCHASE	860.15	10,755.78



2011 Year-End Account Report

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/11/11	11/02/10*	SELL FI	SMITH & NEPHEW P L C SPONSORED ADR NEW	SNN	46.000	2,045.67	2,413.11	367.44
01/12/11	11/02/10*	SELL SL	SMITH & NEPHEW P L C SPONSORED ADR NEW	SNN	55.000	2,445.90	2,929.94	484.04
01/13/11	11/02/10*	SELL FI	ARM HLDGS PLC SPONSORED ADR	ARMH	43.000	742.09	1,160.36	418.27
02/03/11	11/02/10*	SELL FI	CANADIAN NATURAL RES LTD	CNQ	27.000	1,010.07	1,213.96	203.89
02/03/11	11/02/10*	SELL FI	KOMATSU LTD SPONSORED ADR NEW	KMTUY	38.000	972.42	1,178.69	206.27
02/03/11	11/02/10*	SELL FI	MAN GROUP PLC ADR	MINGPY	229.000	964.09	1,097.18	133.09
02/03/11	11/02/10*	SELL FI	SAP AG SPONSORED ADR	SAP	19.000	993.51	1,109.93	116.42
02/09/11	11/02/10*	SELL FI	BRITISH SKY BROADCASTING GROUP	BSYBY	51.000	2,325.60	2,494.46	168.86
02/09/11	11/02/10*	SELL FI	WAL MART DE MEXICO SA DE CV SPONS ADR	WMMVY	17.000	469.20	488.30	19.10
02/10/11	11/02/10*	SELL FI	LULULEMON ATHLETICA INC COM	LULU	13.000	582.43	1,069.43	487.00
02/10/11	11/02/10*	SELL FI	WAL MART DE MEXICO SA DE CV SPONS ADR	WMMVY	21.000	579.60	587.35	7.75
02/14/11	11/02/10*	SELL FI	SAP AG SPONSORED ADR	SAP	19.000	993.51	1,142.06	148.55
02/15/11	11/02/10*	SELL FI	BRITISH SKY BROADCASTING GROUP	BSYBY	59.000	2,690.40	2,850.74	160.34
02/16/11	11/02/10*	SELL FI	BRITISH SKY BROADCASTING GROUP	BSYBY	32.000	1,459.20	1,539.53	80.33
02/17/11	01/21/11	SELL FI	DEUTSCHE BANK AG NAMEN AKT	DB	24.000	1,446.26	1,564.93	118.67
02/18/11	01/21/11	SELL FI	DEUTSCHE BANK AG NAMEN AKT	DB	3.000	180.78	195.33	14.55
02/18/11	01/24/11	SELL FI	DEUTSCHE BANK AG NAMEN AKT	DB	9.000	542.66	586.00	43.34



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
02/18/11	02/09/11	SELL FI	DEUTSCHE BANK AG NAMEN AKT	DB	33,000	2,117.08	2,148.69	31.61
02/24/11	11/02/10*	SELL FI	LAFARGE SPONSORED ADR NEW	LFRGY	173,000	2,527.53	2,596.92	69.39
02/25/11	11/02/10*	SELL FI	LAFARGE SPONSORED ADR NEW	LFRGY	140,000	2,045.40	2,112.33	66.93
02/28/11	11/02/10*	SELL FI	LAFARGE SPONSORED ADR NEW	LFRGY	125,000	1,826.25	1,879.35	53.10
03/15/11	11/02/10*	SELL FI	INFOSYS LTD SPONSORED ADR REPSTG	INFY	46,000	3,126.89	3,044.88	-82.01
03/16/11	11/02/10*	SELL FI	INFOSYS LTD SPONSORED ADR REPSTG	INFY	16,000	1,087.62	1,036.39	-51.23
03/16/11	11/02/10*	SELL FI	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	9,000	945.17	1,081.54	136.37
04/18/11	11/02/10*	SELL FI	SAP AG SPONSORED ADR	SAP	18,000	941.22	1,139.17	197.95
04/19/11	11/02/10*	SELL FI	SOUTHERN COPPER CORP DEL COM	SCCO	99,000	4,250.07	3,549.10	-700.97
04/20/11	11/02/10*	SELL FI	LULULEMON ATHLETICA INC COM	LULU	10,000	448.02	1,000.19	552.17
05/02/11	11/02/10*	SELL FI	LULULEMON ATHLETICA INC COM	LULU	10,000	448.02	965.66	517.64
05/03/11	11/02/10*	SELL FI	LULULEMON ATHLETICA INC COM	LULU	12,000	537.62	1,121.58	583.96
05/11/11	11/02/10*	SELL FI	VESTAS WIND SYS A/S UTD KINGDOM	VWDY	194,000	2,037.00	1,946.94	-90.06
05/12/11	11/02/10*	SELL FI	VESTAS WIND SYS A/S UTD KINGDOM	VWDY	92,000	966.00	905.92	-60.08
05/13/11	11/02/10*	SELL FI	VESTAS WIND SYS A/S UTD KINGDOM	VWDY	90,000	945.00	896.73	-48.27
05/16/11	11/02/10*	SELL FI	FRESENIUS MED CARE AKTIENGESELLSCHAFT	FMS	8,000	507.57	567.82	60.25
05/17/11	11/02/10*	SELL FI	FRESENIUS MED CARE AKTIENGESELLSCHAFT	FMS	7,000	444.13	492.61	48.48
05/18/11	11/02/10*	SELL FI	COCA COLA HELLENIC BOTTLING CO S A	CCH	138,000	3,687.36	3,325.99	-361.37
05/19/11	11/02/10*	SELL FI	AMERICA MOVIL SAB DE C V SPONSORED ADR	AMX	49,000	2,864.05	2,456.44	-407.61
05/25/11	11/02/10*	SELL FI	AMERICA MOVIL SAB DE C V SPONSORED ADR	AMX	27,000	1,578.15	1,398.16	-179.99
05/26/11	11/02/10*	SELL FI	AMERICA MOVIL SAB DE C V SPONSORED ADR	AMX	49,000	2,864.05	2,532.78	-331.27



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/15/11	05/13/11	SELL FI	YOUKU INC SPON ADR ISIN#US98742U1007	YOUKU	22,000	1,040.55	604.36	0.00 -436.193
06/15/11	05/16/11	SELL FI	YOUKU INC SPON ADR ISIN#US98742U1007	YOUKU	22,000	1,420.14	604.36	-815.78
06/15/11	05/16/11	SELL FI	33 day(s) added to your holding period as a result of a wash sale YOUKU INC SPON ADR ISIN#US98742U1007	YOUKU	5,000	223.62	137.36	-86.26
07/20/11	11/02/10*	SELL FI	NEW ORIENTAL ED & TECHNOLOGY GROUP INC	EDU	8,000	860.66	1,018.00	157.34
07/26/11	11/02/10*	SELL FI	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	23,000	1,166.95	1,078.30	-88.65
07/27/11	11/02/10*	SELL FI	FRESENIUS MED CARE AKTIENGESSELLSCHAFT	FMS	18,000	1,142.04	1,423.43	281.39
07/27/11	11/02/10*	SELL FI	LVMH MOET HENNESSY LOUIS VUITTON ADR	LVMUY	38,000	1,225.88	1,433.66	207.78
08/10/11	11/02/10*	SELL FI	CANADIAN NATL RY CO COM	CNI	33,000	2,158.44	2,253.50	95.06
08/11/11	11/02/10*	SELL FI	MAN GROUP PLC ADR	MNGPY	564,000	2,374.44	1,722.81	-651.63
08/12/11	11/02/10*	SELL FI	MAN GROUP PLC ADR	MNGPY	508,000	2,138.68	1,598.08	-540.60
08/17/11	11/02/10*	SELL FI	FANUC CORPORATION ADR	FANUY	51,000	1,231.63	1,448.80	217.17
08/30/11	11/02/10*	SELL FI	WAL MART DE MEXICO SA DE CV SPONS ADR	WMIMVY	32,000	883.20	831.87	-51.33
08/31/11	11/02/10*	SELL FI	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	16,000	887.20	978.70	91.50
09/06/11	07/27/11	SELL FI	BAYER AG SPONSORED ADR	BAYRY	37,000	3,105.99	1,938.93	-504.67 -662.393
09/08/11	11/02/10*	SELL FI	CHINA MERCAHNTS SHS	CMHHY	55,000	1,977.25	1,611.69	-365.56
09/09/11	11/02/10*	SELL FI	CHINA MERCAHNTS SHS	CMHHY	46,000	1,653.70	1,306.58	-347.12

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Transaction Description	Disposition Transaction	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/09/11	11/02/10*	GAZPROM O A O SPON ADR REG S	SELL FI	OGZPY	124 000	1,370.20	1,418.51	48.31
09/12/11	11/02/10*	GAZPROM O A O SPON ADR REG S	SELL FI	OGZPY	316 000	3,491.80	3,420.95	-70.85
09/12/11	03/15/11	GAZPROM O A O SPON ADR REG S	SELL FI	OGZPY	66 000	990.54	714.50	-276.04
09/13/11	11/02/10*	NEW ORIENTAL ED & TECHNOLOGY GROUP INC	SELL FI	EDU	29 000	779.97	934.17	154.20
09/15/11	07/27/11	BAYER AG SPONSORED ADR	SELL SL	BAYRY	16 000	1,343.13	861.51	-481.62
09/15/11	08/10/11	BAYER AG SPONSORED ADR	SELL SL	BAYRY	21 000	1,985.77	1,130.73	-855.04
09/15/11	11/02/10*	41 day(s) added to your holding period as a result of a wash sale. NEW ORIENTAL ED & TECHNOLOGY GROUP INC	SELL FI	EDU	17 000	457.22	566.15	108.93
09/16/11	11/02/10*	BNP PARIBAS SPONSORED ADR REPSTG	SELL FI	BNPQY	136 000	4,998.00	2,783.78	-2,214.22
09/16/11	11/02/10*	CHINA MERCAHNTS SHS	SELL FI	CMHHY	34 000	1,222.30	909.25	-313.05
09/19/11	11/02/10*	CHINA MERCAHNTS SHS	SELL FI	CMHHY	46 000	1,653.70	1,177.95	-475.75
09/20/11	11/02/10*	BNP PARIBAS SPONSORED ADR REPSTG	SELL FI	BNPQY	80 000	2,940.00	1,370.43	-1,569.57
09/21/11	11/02/10*	BNP PARIBAS SPONSORED ADR REPSTG	SELL FI	BNPQY	68 000	2,499.00	1,095.49	-1,403.51
09/21/11	03/10/11	BNP PARIBAS SPONSORED ADR REPSTG	SELL FI	BNPQY	30 000	1,088.94	483.31	-605.63
09/22/11	11/02/10*	LOGITECH INTERNATIONAL SA	SELL FI	LOGI	124 000	2,370.52	959.80	-1,410.72
09/23/11	11/02/10*	LOGITECH INTERNATIONAL SA	SELL FI	LOGI	93 000	1,777.89	717.45	-1,060.44
09/26/11	11/02/10*	LOGITECH INTERNATIONAL SA	SELL FI	LOGI	119 000	2,274.93	924.24	-1,350.69
09/27/11	11/02/10*	LOGITECH INTERNATIONAL SA	SELL FI	LOGI	66 000	1,261.73	528.07	-733.66
10/11/11	11/02/10*	ARM HLDGS PLC SPONSORED ADR	SELL FI	ARMH	26 000	448.71	719.10	270.39
10/11/11	11/02/10*	TESCO PLC SPON ADR	SELL FI	TSCDY	93 000	1,949.28	1,772.70	-176.58
10/13/11	11/02/10*	SABMILLER PLC SPONSORED ADR	SELL FI	SBMRY	27 000	888.57	958.44	69.87



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/14/11	11/02/10*	SELL FI	SABMILLER PLC SPONSORED ADR	SBMRY	33,000	1,086.03	1,178.62	92.59
10/17/11	11/02/10*	SELL FI	NEW ORIENTAL ED & TECHNOLOGY GROUP INC	EDU	16,000	430.33	481.95	51.62
11/22/11	03/10/11	SELL FI	NEW ORIENTAL ED & TECHNOLOGY GROUP INC	EDU	18,000	393.93	419.15	25.22
11/23/11	03/10/11	SELL FI	NEW ORIENTAL ED & TECHNOLOGY GROUP INC	EDU	17,000	372.05	392.91	20.86
11/28/11	02/24/11	SELL FI	ARCELORMITTAL SA LUXEMBOURG	MT	49,000	1,751.62	823.99	-927.63
11/28/11	02/25/11	SELL FI	ARCELORMITTAL SA LUXEMBOURG	MT	6,000	216.28	100.90	-115.38
11/28/11	03/10/11	SELL FI	NEW ORIENTAL ED & TECHNOLOGY GROUP INC	EDU	17,000	372.04	405.16	33.12
12/01/11	02/25/11	SELL FI	ARCELORMITTAL SA LUXEMBOURG	MT	33,000	1,189.57	627.75	-561.82
12/01/11	02/28/11	SELL FI	ARCELORMITTAL SA LUXEMBOURG	MT	16,000	584.38	304.36	-280.02
12/09/11	02/28/11	SELL FI	ARCELORMITTAL SA LUXEMBOURG	MT	19,000	693.96	356.98	-336.98
12/09/11	03/15/11	SELL FI	ARCELORMITTAL SA LUXEMBOURG	MT	31,000	1,045.24	582.44	-462.80
12/09/11	04/01/11	SELL FI	ARCELORMITTAL SA LUXEMBOURG	MT	31,000	1,117.66	582.44	-535.22
12/09/11	05/04/11	SELL FI	ARCELORMITTAL SA LUXEMBOURG	MT	7,000	253.87	131.51	-122.36
12/12/11	05/04/11	SELL FI	ARCELORMITTAL SA LUXEMBOURG	MT	26,000	942.94	449.32	-493.62
Total Short Term						\$127,418.80	\$111,458.93	-\$14,961.29
Long Term								
11/04/11	11/02/10*	SELL FI	DASSAULT SYS S A SPONSORED ADR	DASTY	7,000	552.65	583.63	30.98

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
11/18/11	11/02/10*	SELL FI	NEW ORIENTAL ED & TECHNOLOGY GROUP INC	EDU	48 000	1,290.98	1,095.14	-195.84
11/21/11	11/02/10*	SELL FI	NEW ORIENTAL ED & TECHNOLOGY GROUP INC	EDU	35 000	941.34	808.07	-133.27
11/21/11	11/02/10*	SELL FI	SAP AG SPONSORED ADR	SAP	16 000	836.64	926.09	89.45
11/22/11	11/02/10*	SELL FI	NEW ORIENTAL ED & TECHNOLOGY GROUP INC	EDU	23 000	618.60	535.57	-83.03
11/23/11	11/02/10*	SELL FI	TELEFONICA S A ADR SPONS ADR	TEF	102 000	2,810.64	1,780.50	-1,030.14
12/14/11	11/02/10*	SELL FI	DASSAULT SYS S A SPONSORED ADR	DASTY	8 000	631.60	626.46	-5.14
12/23/11	11/02/10*	SELL FI	DASSAULT SYS S A SPONSORED ADR	DASTY	2 000	157.90	156.25	-1.65
12/27/11	11/02/10*	SELL FI	DASSAULT SYS S A SPONSORED ADR	DASTY	3 000	236.85	235.33	-1.52
12/28/11	11/02/10*	SELL FI	DASSAULT SYS S A SPONSORED ADR	DASTY	4 000	315.80	314.85	-0.95
Total Long Term						\$8,393.00	\$7,061.89	-\$1,331.11
Total Short Term and Long Term						\$135,811.80	\$118,520.82	-\$16,192.40

* Uncovered under the cost basis rules as defined below

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "uncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows.

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for uncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage report at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

Money Market Fund Detail (continued)

Date	Activity Type	Description	Amount	Balance
Money Market Fund (continued)				
WESTERN ASSET MONEY MARKET A (continued)				
05/13/11	Deposit	MONEY FUND PURCHASE	0.01	0.01
05/18/11	Deposit	MONEY FUND PURCHASE	235,789.46	235,789.47
05/31/11	Deposit	INCOME REINVEST	0.90	235,790.37
06/10/11	Withdrawal	MONEY FUND REDEMPTION	-235,000.00	790.37
06/30/11	Deposit	INCOME REINVEST	0.59	790.96
07/29/11	Deposit	INCOME REINVEST	0.01	790.97
08/31/11	Deposit	INCOME REINVEST	0.01	790.98
09/30/11	Deposit	INCOME REINVEST	0.01	790.99
10/31/11	Deposit	INCOME REINVEST	0.01	791.00
11/30/11	Deposit	INCOME REINVEST	0.01	791.01
12/30/11	Deposit	INCOME REINVEST	0.01	791.02
12/30/11	Closing Balance			\$791.02
Total All Money Market Funds				\$791.02

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
05/12/11	11/22/10*	SELL	ZAMGEN INC COM	AMGN	4,000.000	Please Provide	235,789.46	N/A
Total Short Term						\$0.00	\$235,789.46	\$0.00
Total Short Term and Long Term						\$0.00	\$235,789.46	\$0.00

* Uncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P L 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "uncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for uncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term								
01/03/11	11/02/10*	SELL FI	DISNEY WALT CO DISNEY COM	DIS	43 000	1,562.07	1,628.00	65.93
01/03/11	11/02/10*	SELL FI	KRAFT FOODS INC CL A	KFT	56 000	1,789.64	1,773.57	-16.07
01/04/11	11/02/10*	SELL FI	DISNEY WALT CO DISNEY COM	DIS	217 000	7,882.98	8,319.63	436.65
01/04/11	12/08/10*	SELL FI	DISNEY WALT CO DISNEY COM	DIS	1 000	37.19	38.34	1.15
01/04/11	11/02/10*	SELL FI	KRAFT FOODS INC CL A	KFT	156 000	4,985.41	4,915.65	-69.76
01/05/11	11/09/10*	SELL FI	AGNICO EAGLE MINES LTD COM	AEM	25 000	2,021.62	1,775.97	-245.65
01/05/11	11/16/10*	SELL FI	AGNICO EAGLE MINES LTD COM	AEM	25 000	1,941.91	1,775.96	-165.95
01/05/11	11/02/10*	SELL FI	HAIN CELESTIAL GROUP INC COM	HAIN	117 000	2,866.09	3,100.03	233.94
01/05/11	11/02/10*	SELL FI	NEXTERA ENERGY INC COM	NEE	115.000	6,249.92	6,042.26	-207.66
01/05/11	12/08/10*	SELL FI	NEXTERA ENERGY INC COM	NEE	13 000	658.53	683.04	24.51
01/05/11	11/02/10*	SELL FI	TREEHOUSE FOODS INC COM	THS	56 000	2,658.88	2,839.25	180.37
01/06/11	11/09/10*	SELL FI	BARRICK GOLD CORP COM	ABX	40 000	2,065.55	1,982.64	-82.91
01/06/11	11/16/10*	SELL FI	BARRICK GOLD CORP COM	ABX	38 000	1,891.96	1,883.50	-8.46
01/06/11	11/09/10*	SELL FI	NEWMONT MNG CORP COM	NEM	36 000	2,205.99	2,075.76	-130.23
01/06/11	11/16/10*	SELL FI	NEWMONT MNG CORP COM	NEM	31 000	1,876.30	1,787.46	-88.84
01/07/11	11/02/10*	SELL FI	PHILIP MORRIS INTL INC COM	PM	134 000	7,827.75	7,534.82	-292.93
01/11/11	11/02/10*	SELL FI	LAS VEGAS SANDS CORP COM	LVS	39 000	1,897.08	1,934.83	37.75
01/13/11	11/02/10*	SELL FI	MCDONALDS CORP	MCD	56 000	4,402.04	4,110.33	-291.71
01/13/11	11/02/10*	SELL FI	PHILIP MORRIS INTL INC COM	PM	54 000	3,154.46	3,042.84	-111.62
01/13/11	12/08/10*	SELL FI	PHILIP MORRIS INTL INC COM	PM	3 000	177.84	169.05	-8.79



Account Number C93-053491
THE SUGGS FAMILY FOUNDATION

CPB-STMT

Ask about e-delivery

#1 Brokerage Statement,
2009, 2010
DALBAR RATED

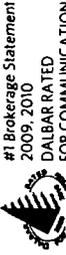


2011 Year-End Account Report

Report Period: 01/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/13/11	12/08/10*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	74347R834	77,000	1,021.67	920.90	0.00
01/13/11	12/08/10*	SELL FI	PROSHARES ULTRA SHORT QQQ R/S EFF	74347R875	82,000	978.11	881.48	-100.773
01/13/11	12/08/10*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	40,000	1,005.19	908.78	-96.633
01/18/11	11/02/10*	SELL FI	APPLE INC COM	AAPL	7,000	2,167.83	2,337.67	169.84
01/19/11	11/02/10*	SELL FI	UNITED CONTL HLDGS INC COM	UAL	73,000	2,072.98	1,817.77	-255.21
01/25/11	11/02/10*	SELL FI	ASSURED GUARANTY LTD COM	AGO	288,000	5,656.32	4,368.87	-1,287.45
01/25/11	11/02/10*	SELL FI	PLEXUS CORP	PLXS	35,000	1,049.16	955.86	-93.30
01/26/11	11/02/10*	SELL FI	ASSURED GUARANTY LTD COM	AGO	92,000	1,806.88	1,378.59	-428.29
01/26/11	11/02/10*	SELL FI	MBIA INC	MBIA	46,000	521.55	525.44	3.89
01/28/11	11/02/10*	SELL FI	LAS VEGAS SANDS CORP COM	LVS	58,000	2,821.29	2,645.97	-175.32
01/28/11	11/02/10*	SELL FI	LAS VEGAS SANDS CORP COM	LVS	32,000	1,556.58	1,459.84	-96.74
01/28/11	12/08/10*	SELL FI	LAS VEGAS SANDS CORP COM	LVS	7,000	322.91	319.34	-3.57
01/31/11	11/02/10*	SELL FI	ASSURED GUARANTY LTD COM	AGO	114,000	2,238.96	1,604.67	-634.29
01/31/11	12/08/10*	SELL FI	ASSURED GUARANTY LTD COM	AGO	37,000	676.36	520.81	-155.55
01/31/11	12/16/10*	SELL FI	APACHE CORP	APA	28,000	3,264.68	3,235.82	-28.86
01/31/11	11/02/10*	SELL FI	EXPEDITORS INTL WASH INC	EXPD	7,000	343.90	354.12	10.22
01/31/11	11/02/10*	SELL FI	GREENHILL & CO INC COM	GHL	100,000	7,859.52	6,955.54	-903.98



Account Number C93-053491
 THE SUGGS FAMILY FOUNDATION

CPB-STMT
 Account Number C93-053491
 THE SUGGS FAMILY FOUNDATION

CPB-STMT

B0002762CSF960B2

Accounts carried by Pershing LLC,
 member FINRA, NYSE, SIPC

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/31/11	12/08/10*	SELL FI	GREENHILL & CO INC COM	GHL	4 000	3 17 84	278 22	-39 62
01/31/11	11/02/10*	SELL FI	ICICI BK LTD ADR ISIN#US45104C1040	IBN	87 000	4 819 44	3 741 01	-1 078 43
01/31/11	12/08/10*	SELL FI	ICICI BK LTD ADR ISIN#US45104C1040	IBN	35 000	1 720 60	1 505 00	-215 60
01/31/11	11/02/10*	SELL FI	NYSE EURONEXT COM	NYX	108 000	3 214 08	3 470 57	256 49
01/31/11	12/08/10*	SELL FI	NYSE EURONEXT COM	NYX	4 000	1 17 80	128 54	10 74
01/31/11	11/02/10*	SELL FI	PLEXUS CORP	PLXS	70 000	2 098 32	1 890 15	-208 17
01/31/11	12/08/10*	SELL FI	PLEXUS CORP	PLXS	8 000	226 96	216 02	-10 94
01/31/11	11/02/10*	SELL FI	TATA MTRS LTD SPON ADR	TTM	105 000	2 963 76	2 541 00	-422 76
02/04/11	11/02/10*	SELL FI	DREAMWORKS ANIMATION SKG INC CL A	DWA	119 000	4 395 60	3 383 92	-1 011 68
02/04/11	12/08/10*	SELL FI	DREAMWORKS ANIMATION SKG INC CL A	DWA	29 000	885 94	824 65	-61 29
02/07/11	11/02/10*	SELL FI	DR REDDY LABS LTD ADR	RDY	96 000	3 679 49	3 274 25	-405 24
02/07/11	11/02/10*	SELL FI	GIVAUDAN SA ADR	GVDNY	260 000	5 423 60	5 173 90	-249 70
02/07/11	11/02/10*	SELL FI	TATA MTRS LTD SPON ADR	TTM	129 000	3 641 19	3 240 75	-400 44
02/07/11	12/08/10*	SELL FI	TENARIS S A SPONSORED ADR	TS	211 000	9 661 40	9 755 90	94 50
02/09/11	11/02/10*	SELL FI	GIVAUDAN SA ADR	GVDNY	172 000	3 587 92	3 271 37	-316 55
02/09/11	12/08/10*	SELL FI	GIVAUDAN SA ADR	GVDNY	76 000	1 612 72	1 445 49	-167 23
02/16/11	11/04/10*	SELL FI	GRUPO FINANCIERO GALICIA S A SPONS	CGAL	99 000	1 563 82	1 485 04	-78 78
02/16/11	11/05/10*	SELL FI	GRUPO FINANCIERO GALICIA S A SPONS	CGAL	78 000	1 271 41	1 170 03	-101 38
02/16/11	12/08/10*	SELL FI	GRUPO FINANCIERO GALICIA S A SPONS	CGAL	28 000	431 45	420 01	-11 44
02/17/11	11/02/10*	SELL FI	TATA MTRS LTD SPON ADR	TTM	11 000	310 49	296 74	-13 75
02/17/11	11/16/10*	SELL FI	TATA MTRS LTD SPON ADR	TTM	58 000	1 766 07	1 564 65	-201 42



2011 Year-End Account Report

Report Period: 01/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses (continued)

Dispositions Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
02/17/11	11/02/10*	SELL FI	WYNN MACAU LTD ADR	WYNMY	144,000	3,155.04	3,909.52	754.48
02/17/11	12/08/10*	SELL FI	WYNN MACAU LTD ADR	WYNMY	126,000	2,778.30	3,420.83	642.53
02/18/11	11/02/10*	SELL FI	DR REDDY LABS LTD ADR	RDY	64,000	2,452.99	2,158.79	-294.20
02/23/11	11/02/10*	SELL FI	DR REDDY LABS LTD ADR	RDY	50,000	1,916.40	1,700.07	-216.33
02/23/11	11/02/10*	SELL FI	ETFS PALLADIUM TR SHS BEN INT	PALL	86,000	5,532.73	6,645.18	1,112.45
02/23/11	11/02/10*	SELL FI	HASBRO INC COM	HAS	16,000	755.80	709.00	-46.80
02/23/11	12/20/10*	SELL FI	MGM RESORTS INTL COM	MGM	483,000	6,694.38	6,452.85	-241.53
02/23/11	01/11/11	SELL FI	MGM RESORTS INTL COM	MGM	121,000	1,972.29	1,616.55	-355.74
02/23/11	11/02/10*	SELL FI	NALCO HLDG CO COM C/A EFF 12/01/2011	62985Q101	310,000	9,004.73	7,812.35	-1,192.38
02/23/11	11/16/10*	SELL FI	TATA MTRS LTD SPON ADR	TTM	16,000	487.19	391.86	-95.33
02/23/11	12/08/10*	SELL FI	TATA MTRS LTD SPON ADR	TTM	47,000	1,388.85	1,151.08	-237.77
02/23/11	11/02/10*	SELL FI	UNITED CONTL HLDGS INC COM	UAL	155,000	4,401.54	3,517.91	-883.63
02/23/11	12/08/10*	SELL FI	UNITED CONTL HLDGS INC COM	UAL	218,000	5,519.32	4,947.78	-571.54
02/24/11	11/02/10*	SELL FI	DISCOVERY COMMUNICATIONS INC	DISCA	47,000	2,098.39	2,004.59	-93.80
03/01/11	11/02/10*	SELL FI	BRISTOL MYERS SQUIBB CO COM	BMY	116,000	3,120.17	2,968.39	-151.78
03/01/11	11/02/10*	SELL FI	EXPEDITORS INTL WASH INC	EXPD	27,000	1,326.46	1,292.53	-33.93
03/01/11	12/28/10*	SELL FI	ISRAEL CHEMICALS LTD ADR	ISCHY	184,000	3,100.40	2,975.22	-125.18

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)							
03/01/11	11/02/10*	SELL PHILLIPS VAN HEUSEN CORP COM N/C EFF FI	718592108	37 000	2,288 18	2,240 67	-47 51
03/02/11	11/02/10*	SELL MBIA INC FI	MBI	85 000	963 73	881 52	-82 21
03/03/11	12/08/10*	CLEU PROSHARES TR ULTRASHORT QQQ NEW FI	QID	0 200	11 93	10 26	-1 67
03/03/11	12/08/10*	CLEU PROSHARES TR ULTRASHORT FI	TWM	0 500	26 34	22 32	-4 02
03/07/11	11/02/10*	SELL BRISTOL MYERS SQUIBB CO COM FI	BMY	58 000	1,560 08	1,521 89	-38 19
03/07/11	11/02/10*	SELL EXPEDITORS INTL WASH INC FI	EXPD	22 000	1,080 82	1,045 48	-35 34
03/07/11	12/28/10*	SELL ISRAEL CHEMICALS LTD ADR FI	ISCHY	159 000	2,679 15	2,499 43	-179 72
03/07/11	11/02/10*	SELL MONSTER WORLDWIDE INC COM FI	MWW	95 000	1,733 56	1,434 72	-298 84
03/07/11	11/02/10*	SELL PHILLIPS VAN HEUSEN CORP COM N/C EFF FI	718592108	19 000	1,175 01	1,137 90	-37 11
03/08/11	11/02/10*	SELL MONSTER WORLDWIDE INC COM FI	MWW	56 000	1,021 89	855 66	-166 23
03/08/11	11/02/10*	SELL PEABODY ENERGY CORP COM FI	BTU	20 000	1,077 34	1,363 97	286 63
03/09/11	12/28/10*	SELL ISRAEL CHEMICALS LTD ADR FI	ISCHY	48 000	808 80	743 02	-65 78
03/09/11	01/06/11	SELL ISRAEL CHEMICALS LTD ADR FI	ISCHY	27 000	479 25	417 95	-61 30
03/10/11	11/02/10*	SELL ETFs PLATINUM TR SHS BEN INT FI	PPLT	19 000	3,251 98	3,337 93	85 95
03/10/11	11/02/10*	SELL ETFs PALLADIUM TR SHS BEN INT FI	PALL	31 000	1,994 35	2,350 99	356 64
03/10/11	11/04/10*	SELL ETFs PALLADIUM TR SHS BEN INT FI	PALL	10 000	666 17	758 39	92 22
03/10/11	01/18/11	SELL MOSAIC CO CJA EFF 05/25/2011 I OLD FI	61945A107	43 000	3,658 74	3,265 91	-392 83
03/10/11	11/02/10*	SELL PEABODY ENERGY CORP COM FI	BTU	57 000	3,070 42	3,537 36	466 94
03/11/11	11/02/10*	SELL ETFs PLATINUM TR SHS BEN INT FI	PPLT	17 000	2,909 66	2,997 75	88 09
03/11/11	11/04/10*	SELL ETFs PLATINUM TR SHS BEN INT FI	PPLT	7 000	1,216 70	1,234 37	17 67
03/11/11	12/08/10*	SELL ETFs PLATINUM TR SHS BEN INT FI	PPLT	3 000	502 08	529 02	26 94

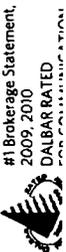


2011 Year-End Account Report

Report Period: 01/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
03/11/11	11/04/10*	SELL FI	ETFS PALLADIUM TR SHS BEN INT	PALL	9 000	599.55	669.95	70.40
03/11/11	12/08/10*	SELL FI	ETFS PALLADIUM TR SHS BEN INT	PALL	33 000	2,395.80	2,456.46	60.66
03/11/11	01/18/11	SELL FI	MOSAIC CO C/A EFF 05/25/2011 1 OLD	61945A107	46 000	3,914.00	3,478.96	-435.04
03/11/11	01/19/11	SELL FI	MOSAIC CO C/A EFF 05/25/2011 1 OLD	61945A107	25 000	1,994.80	1,890.74	-104.06
03/15/11	11/02/10*	SELL FI	FMC TECHNOLOGIES INC COM	FTI	14 000	1,023.45	1,199.35	175.90
03/15/11	02/14/11	SELL FI	GENERAL ELECTRIC CO COM	GE	66 000	1,421.64	1,240.77	-180.87
03/16/11	02/14/11	SELL FI	GENERAL ELECTRIC CO COM	GE	79 000	1,701.66	1,489.13	-212.53
03/16/11	12/08/10*	SELL FI	PROSHARES TR ULTRASHORT QQQ NEW	QID	12 000	715.69	682.02	-33.67
03/16/11	02/09/11*	SELL FI	PROSHARES TR ULTRASHORT QQQ NEW	QID	16 400	932.20	932.09	-0.11
03/16/11	02/09/11*	SELL FI	36 day(s) added to your holding period as a result of a wash sale. PROSHARES TR ULTRASHORT QQQ NEW	QID	7 600	387.22	431.95	44.73
03/18/11	12/08/10*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	29 000	728.77	643.55	-85.22
03/18/11	02/09/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	81 000	1,734.86	1,797.50	62.64
03/18/11	02/10/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	65 000	1,402.04	1,442.44	40.40
03/18/11	02/09/11*	SELL FI	PROSHARES TR ULTRASHORT QQQ NEW	QID	10 000	509.49	554.49	45.00
03/18/11	02/10/11*	SELL FI	PROSHARES TR ULTRASHORT QQQ NEW	QID	35 000	1,805.68	1,940.71	135.03
03/18/11	12/08/10*	SELL FI	PROSHARES TR ULTRASHORT	TWM	13 250	703.23	626.86	-76.37



#1 Brokerage Statement, 2009, 2010 DALLAS RATED

Account Number: C93-053491 THE SIIIGCS FAMILY FOUNDATION

CPB-STMT

B0002762CSF960B2

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
03/18/11	02/09/11*	SELL FI	PROSHARES TR ULTRASHORT	TWM	19 250	994 66	910 72	-83 94
36 day(s) added to your holding period as a result of a wash sale.								
03/18/11	02/09/11*	SELL FI	PROSHARES TR ULTRASHORT	TWM	18 000	835 85	851 58	15 73
03/21/11	02/10/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	19 000	409 83	414 44	4 61
03/21/11	02/10/11*	SELL FI	PROSHARES TR ULTRASHORT QQQ NEW	QID	8 000	412 73	433 43	20 70
03/21/11	02/10/11*	SELL FI	PROSHARES TR ULTRASHORT	TWM	2 000	93 43	90 33	-3 10
03/23/11	02/14/11	SELL FI	GENERAL ELECTRIC CO COM	GE	329 000	7,086 66	6,552 86	-733 80
03/28/11	11/02/10*	SELL FI	DISCOVERY COMMUNICATIONS INC	DISCA	34 000	1,517 98	1,336 18	-181 80
03/28/11	11/02/10*	SELL FI	EXPEDITORS INTL WASH INC	EXPD	117 000	5,747 97	5,655 32	-92 65
03/28/11	11/02/10*	SELL SL	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	8 000	840 44	957 12	116 68
03/28/11	11/02/10*	SELL FI	ROPER INDUSTRIES INC NEW COM	ROP	25 000	1,765 69	2,146 60	380 91
03/29/11	11/02/10*	SELL FI	DISCOVERY COMMUNICATIONS INC	DISCA	23 000	1,026 87	888 52	-138 35
03/29/11	11/02/10*	SELL SL	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	6 000	630 33	727 33	97 00
03/31/11	11/02/10*	SELL FI	DISCOVERY COMMUNICATIONS INC	DISCA	18 000	803 64	718 56	-85 08
03/31/11	11/02/10*	SELL FI	MONSTER WORLDWIDE INC COM	MWW	50 000	912 40	797 13	-115 27
03/31/11	02/10/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	46 000	992 22	961 06	-31 16
03/31/11	02/10/11*	SELL FI	PROSHARES TR ULTRASHORT QQQ NEW	QID	16 000	825 46	819 73	-5 73
03/31/11	02/10/11*	SELL FI	PROSHARES TR ULTRASHORT	TWM	24 000	1,121 19	1,009 90	-111 29
04/04/11	01/06/11	SELL FI	ISRAEL CHEMICALS LTD ADR	ISCHY	91 000	1,615 25	1,578 81	-36 44
04/04/11	01/13/11	SELL FI	JP MORGAN CHASE & CO COM	JPM	26 000	1,169 22	1,206 11	36 89
04/04/11	01/04/11	SELL FI	WELLS FARGO & CO NEW COM	WFC	29 000	921 55	930 05	8 50



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
04/06/11	02/10/11*	SELL FI	PROSHARES TR ULTRASHORT	TWM	29 750	1,389 80	1,196 62	-193.18
04/06/11	02/23/11*	SELL FI	PROSHARES TR ULTRASHORT	TWM	68 250	3,210 48	2,745 20	-465 28
04/14/11	02/23/11	SELL FI	CONTINENTAL RES INC COM	CLR	110 000	7,434 94	7,183 17	-251 77
04/14/11	01/04/11	SELL FI	WELLS FARGO & CO NEW COM	WFC	111 000	3,527 30	3,364 81	-162 49
04/18/11	11/02/10*	SELL FI	JOHNSON CTLS INC COM	JCI	37 000	1,304 19	1,409 13	104 94
04/19/11	11/02/10*	SELL FI	BORGWARNER INC COM	BWA	13 000	734 81	922 98	188 17
04/19/11	11/02/10*	SELL FI	JOHNSON CTLS INC COM	JCI	39 000	1,374 68	1,485 48	110 80
04/20/11	01/04/11	SELL FI	WELLS FARGO & CO NEW COM	WFC	53 000	1,684 21	1,529 03	-155 18
04/21/11	01/04/11	SELL FI	WELLS FARGO & CO NEW COM	WFC	123 000	3,908 63	3,526 34	-382 29
04/21/11	01/06/11	SELL FI	WELLS FARGO & CO NEW COM	WFC	93 000	2,994 51	2,666 26	-328 25
04/26/11	11/02/10*	SELL FI	FMC TECHNOLOGIES INC COM	FTI	44 000	1,608 28	2,073 96	465 68
05/02/11	01/13/11	SELL FI	JP MORGAN CHASE & CO COM	JPM	160 000	7,195 20	7,324 78	129 58
05/03/11	11/02/10*	SELL FI	BORGWARNER INC COM	BWA	46 000	2,600 08	3,466 95	866 87
05/03/11	01/06/11	SELL FI	ISRAEL CHEMICALS LTD ADR	ISCHY	74 000	1,313 50	1,243 18	-70 32
05/03/11	01/07/11	SELL FI	ISRAEL CHEMICALS LTD ADR	ISCHY	168 000	2,988 72	2,822 34	-166 38
05/04/11	11/02/10*	SELL FI	FMC TECHNOLOGIES INC COM	FTI	222 000	8,114 48	9,711 03	1,596 55
05/04/11	01/04/11	SELL FI	FIFTH THIRD BANCORP COM	FITB	507 000	7,506 94	6,680 94	-826 00



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
05/04/11	11/02/10*	SELL FI	SOTHEBYS CL A	BID	95 000	4,312.60	4,342.02	29.42
05/04/11	02/15/11	SELL FI	VERIFONE SYSTEMS INC COM	PAY	74 000	3,536.60	3,519.37	-17.23
05/04/11	03/23/11	SELL FI	VERIFONE SYSTEMS INC COM	PAY	6 000	313.58	285.35	-28.23
05/06/11	02/23/11	SELL FI	EOG RES INC COM	EOG	62 000	7,053.59	6,711.99	-341.60
05/09/11	02/10/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	70 000	1,509.89	1,423.07	-86.82
05/09/11	02/23/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	58 000	1,262.66	1,179.12	-83.54
05/09/11	02/10/11*	SELL FI	PROSHARES TR ULTRASHORT QQQ NEW	QID	25 000	1,289.77	1,219.48	-70.29
05/09/11	02/23/11*	SELL FI	PROSHARES TR ULTRASHORT QQQ NEW	QID	28 000	1,491.00	1,365.81	-125.19
05/09/11	02/23/11*	SELL FI	PROSHARES TR ULTRASHORT	TWM	58 000	2,728.32	2,459.15	-269.17
05/10/11	02/23/11*	SELL FI	PROSHARES TR ULTRASHORT QQQ NEW	QID	95 000	5,058.75	4,583.66	-475.09
05/10/11	03/07/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	12 000	608.04	578.99	-29.05
05/12/11	11/02/10*	SELL FI	PEABODY ENERGY CORP COM	BTU	50 000	2,693.36	3,018.95	325.59
05/12/11	11/02/10*	SELL FI	SOTHEBYS CL A	BID	44,000	1,997.42	1,811.07	-186.35
05/12/11	12/08/10*	SELL FI	SOTHEBYS CL A	BID	90 000	3,818.63	3,704.47	-114.16
05/13/11	01/04/11	SELL FI	FIFTH THIRD BANCORP COM	FITB	167 000	2,472.70	2,087.31	-385.39
05/13/11	01/07/11	SELL FI	FIFTH THIRD BANCORP COM	FITB	210 000	3,032.23	2,624.75	-407.48
05/16/11	11/02/10*	SELL FI	PEABODY ENERGY CORP COM	BTU	48,000	2,585.62	2,786.82	201.20
05/17/11	11/02/10*	SELL FI	BORGWARNER INC COM	BWA	48 000	2,713.13	3,389.83	676.70
05/17/11	11/02/10*	SELL FI	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH	14 000	921.14	1,023.81	102.67
05/17/11	11/02/10*	SELL FI	DOW CHEM CO	DOW	102 000	3,204.62	3,731.09	526.47
05/17/11	11/02/10*	SELL FI	JOHNSON CTLS INC COM	JCI	236,000	8,318.60	8,978.22	659.62



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
05/17/11	12/08/10*	SELL FI	JOHNSON CTLS INC COM	JCI	21.000	807.66	798.91	-8.75
05/17/11	11/02/10*	SELL FI	MICROCHIP TECHNOLOGY INC COM	MCHP	67.000	2,175.89	2,622.64	446.75
05/17/11	02/28/11	SELL FI	NORFOLK SOUTHERN CORP	NSC	23.000	1,507.15	1,629.82	122.67
05/18/11	11/02/10*	SELL FI	BORGWARNER INC COM	BWA	21.000	1,186.99	1,473.31	286.32
05/18/11	11/02/10*	SELL FI	MONSTER WORLDWIDE INC COM	MWW	144.000	2,627.71	2,130.87	-496.84
05/18/11	12/08/10*	SELL FI	MONSTER WORLDWIDE INC COM	MWW	86.000	2,074.15	1,272.60	-801.55
05/20/11	11/02/10*	SELL FI	ALLEGHENY TECHNOLOGIES INC COM	ATI	56.000	2,948.24	3,544.12	595.88
05/20/11	11/02/10*	SELL FI	PEABODY ENERGY CORP COM	BTU	58.000	3,124.29	3,387.72	263.43
05/20/11	11/04/10*	SELL FI	PEABODY ENERGY CORP COM	BTU	1.000	56.51	58.41	1.90
05/23/11	11/02/10*	SELL FI	BORGWARNER INC COM	BWA	42.000	2,373.99	2,854.35	480.36
05/23/11	11/02/10*	SELL FI	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH	45.000	2,960.82	3,238.88	278.06
05/25/11	03/16/11	SELL FI	NXP SEMICONDUCTORS NV COM	NXPI	62.000	1,604.46	1,677.77	73.31
05/25/11	03/17/11	SELL FI	NXP SEMICONDUCTORS NV COM	NXPI	119.000	3,182.18	3,220.24	38.06
05/25/11	03/22/11	SELL FI	NXP SEMICONDUCTORS NV COM	NXPI	59.000	1,632.32	1,596.59	-35.73
05/25/11	03/29/11	SELL FI	NXP SEMICONDUCTORS NV COM	NXPI	20.000	613.39	541.21	-72.18
05/26/11	02/28/11	SELL FI	NORFOLK SOUTHERN CORP	NSC	29.000	1,900.32	2,067.80	167.48
05/26/11	03/01/11	SELL FI	NORFOLK SOUTHERN CORP	NSC	50.000	3,285.50	3,565.18	279.68

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
05/26/11	03/18/11	SELL FI	NORFOLK SOUTHERN CORP	NSC	26 000	1,721.08	1,853.90	132.82
05/26/11	11/02/10*	SELL FI	VERISK ANALYTICS INC CL A	VRSK	130 000	3,817.58	4,387.29	569.71
05/31/11	11/02/10*	SELL FI	BORGWARNER INC COM	BWA	13 000	734.80	932.68	197.88
06/03/11	05/12/11*	SELL FI	PROSHARES TR II PROSHARES ULTRASHORT	CMD	105 000	5,166.94	4,572.65	-5.66
06/03/11	05/16/11*	SELL FI	PROSHARES TR II PROSHARES ULTRASHORT	CMD	16 000	851.97	696.79	-588.633
06/06/11	01/04/11	SELL FI	22 day(s) added to your holding period as a result of a wash sale PROTECTIVE LIFE CORP	PL	47 000	1,318.71	1,056.29	-155.18
06/06/11	01/06/11	SELL FI	PROTECTIVE LIFE CORP	PL	76 000	2,184.24	1,708.05	-262.42
06/08/11	11/04/10*	SELL FI	PEABODY ENERGY CORP COM	BTU	27 000	1,525.73	1,516.02	-476.19
06/08/11	11/16/10*	SELL FI	PEABODY ENERGY CORP COM	BTU	29 000	1,659.58	1,628.32	-9.71
06/08/11	12/08/10*	SELL FI	PEABODY ENERGY CORP COM	BTU	32 000	1,950.92	1,796.77	-31.26
06/08/11	02/01/11	SELL FI	PEABODY ENERGY CORP COM	BTU	22 000	1,421.98	1,235.27	-154.15
06/09/11	03/11/11	SELL FI	NAVISTAR INTL CORP NEW COM	NAV	11 000	706.52	623.90	-186.71
06/09/11	05/16/11*	SELL SL	PROSHARES TR II PROSHARES ULTRASHORT	CMD	46 000	2,449.40	1,954.42	-82.62
06/10/11	03/11/11	SELL FI	22 day(s) added to your holding period as a result of a wash sale NAVISTAR INTL CORP NEW COM	NAV	28 000	1,798.41	1,535.29	-494.98
06/10/11	01/06/11	SELL FI	PROTECTIVE LIFE CORP	PL	80 000	2,299.20	1,739.77	-265.12
06/13/11	03/11/11	SELL FI	NAVISTAR INTL CORP NEW COM	NAV	21 000	1,348.81	1,129.08	-559.43
06/13/11	01/06/11	SELL FI	PROTECTIVE LIFE CORP	PL	27 000	775.98	589.62	-219.73
06/15/11	02/07/11	SELL FI	NATIONAL OILWELL VARCO INC	NOV	24 000	1,874.52	1,679.06	-186.36
06/16/11	11/02/10*	SELL FI	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH	29 000	1,908.08	1,876.55	-195.46
06/17/11	11/02/10*	SELL FI	COMPASS MINERALS INTL INC COM	CMP	29 000	2,312.55	2,439.95	-31.53



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/17/11	11/02/10*	SELL FI	NOVOZYMES A/S SPONSORED ADR	NVZMY	23,000	3,093.50	3,518.93	425.43
06/20/11	11/02/10*	SELL FI	APPLE INC COM	AAPL	14,000	4,335.66	4,442.11	106.45
06/20/11	02/07/11	SELL FI	NATIONAL OILWELL VARCO INC	NOV	20,000	1,562.10	1,387.27	-174.83
06/21/11	11/02/10*	SELL FI	COMPASS MINERALS INTL INC COM	CMP	116,000	9,250.18	9,888.66	638.48
06/21/11	03/07/11	SELL FI	IMPERIAL OIL LTD COM	IMO	124,000	6,680.02	5,607.20	-1,072.82
06/21/11	03/16/11	SELL FI	IMPERIAL OIL LTD COM	IMO	3,000	151.23	135.66	-15.57
06/22/11	02/23/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	108,000	2,351.16	2,315.79	-35.37
06/22/11	03/14/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	11,000	594.33	594.79	0.46
06/22/11	03/23/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	20,000	1,114.08	1,081.45	-32.63
06/22/11	02/23/11*	SELL FI	PROSHARES TR ULTRASHORT	TWM	18,000	846.72	787.91	-58.81
06/22/11	03/07/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	16,000	710.07	700.36	-9.71
06/23/11	04/06/11	SELL FI	TRINITY INDS INC COM	TRN	22,000	818.11	701.16	-116.95
06/24/11	02/23/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	75,000	1,632.75	1,642.54	9.79
06/24/11	04/14/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	23,000	1,216.24	1,248.64	32.40
06/24/11	03/14/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	31,000	1,468.38	1,371.75	-96.63
06/24/11	03/23/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	1,000	46.38	44.25	-2.13
06/27/11	03/16/11	SELL FI	IMPERIAL OIL LTD COM	IMO	29,000	1,461.88	1,265.86	-196.02

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/27/11	04/05/11	SELL FI	IMPERIAL OIL LTD COM	IMO	6 000	322.19	261.90	-60.29
06/27/11	02/07/11	SELL FI	NATIONAL OILWELL VARCO INC	NOV	40 000	3,124.20	2,800.30	-323.90
06/28/11	02/23/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	63 000	1,371.51	1,358.95	-12.56
06/28/11	03/07/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	16 000	339.47	345.13	5.66
06/28/11	03/15/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	41 000	952.43	884.39	-68.04
06/28/11	04/18/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	37 000	2,008.63	1,952.58	-56.05
06/28/11	04/19/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	11 000	580.36	580.50	0.14
06/28/11	03/23/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	24 000	1,113.03	1,041.41	-71.62
06/28/11	04/14/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	31 000	1,371.10	1,345.15	-25.95
06/29/11	04/19/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	12 000	633.12	622.67	-10.45
06/29/11	04/20/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	13 000	651.82	674.56	22.74
07/12/11	05/16/11*	SELL SL	PROSHARES TR II PROSHARES ULTRASHORT	CMD	42 000	2,236.41	1,946.24	-290.17
22 day(s) added to your holding period as a result of a wash sale.								
07/12/11	01/06/11	SELL FI	PROTECTIVE LIFE CORP	PL	5,000	143.70	111.20	-32.50
07/12/11	01/07/11	SELL FI	PROTECTIVE LIFE CORP	PL	109 000	3,152.26	2,424.11	-728.15
07/12/11	05/18/11	SELL FI	YUM BRANDS INC COM	YUM	43 000	2,372.19	2,388.17	15.98
07/18/11	04/06/11	SELL FI	TRINITY INDS INC COM	TRN	69 000	2,565.88	2,277.16	-288.72
07/18/11	11/02/10*	SELL FI	WEBMD HEALTH CORP COM	WBMD	109 000	5,657.43	3,523.40	-2,134.03
07/20/11	11/02/10*	SELL FI	DISCOVERY COMMUNICATIONS INC	DISCA	39 000	1,741.21	1,594.28	-146.93
07/20/11	03/15/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	52 000	1,207.96	1,050.38	-157.58
07/20/11	05/04/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	19 000	939.87	894.57	-45.30

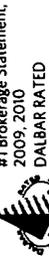


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Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
07/20/11	04/18/11*	SELL	PROSHARES TR ULTRASHORT	TWM	23,000	1,011.92	937.27	-74.65
		SL						
07/20/11	11/02/10*	SELL	WEBMD HEALTH CORP COM	WBMD	50,000	2,595.15	1,745.14	-850.01
		FI						
07/22/11	03/15/11*	SELL	PROSHARES TR PROSHARES ULTRASHORT	SDS	18,000	418.14	355.49	-62.65
		FI						
07/22/11	03/23/11*	SELL	PROSHARES TR PROSHARES ULTRASHORT	SDS	34,000	757.82	671.49	-86.33
		FI						
07/22/11	05/04/11*	SELL	PROSHARES TR ULTRASHORT QQQ NEW	QID	12,000	593.61	561.82	-31.79
		SL						
07/22/11	05/05/11*	SELL	PROSHARES TR ULTRASHORT QQQ NEW	QID	11,000	541.64	515.01	-26.63
		SL						
07/22/11	05/12/11*	SELL	PROSHARES TR ULTRASHORT BASIC	SMN	44,000	766.92	695.62	0.00
		FI						-71.303
07/22/11	04/18/11*	SELL	PROSHARES TR ULTRASHORT	TWM	21,000	923.93	842.29	-81.64
		SL						
07/22/11	04/19/11*	SELL	PROSHARES TR ULTRASHORT	TWM	4,000	173.01	160.44	-12.57
		SL						
07/25/11	04/26/11	SELL	ENERGY XXI BERMUDA USD UNRESTRICTED SHS	EXXI	49,000	1,724.78	1,671.45	-53.33
		FI						
07/25/11	11/02/10*	SELL	DISCOVERY COMMUNICATIONS INC	DISCA	47,000	2,098.38	1,902.53	-195.85
		FI						
07/25/11	11/02/10*	SELL	WEBMD HEALTH CORP COM	WBMD	35,000	1,816.60	1,265.57	-551.03
		FI						
07/25/11	12/08/10*	SELL	WEBMD HEALTH CORP COM	WBMD	11,000	561.92	397.75	-164.17
		FI						
07/27/11	03/22/11	SELL	TEEKAY CORP SHS	TK	2,000	70.92	55.79	-15.13
		FI						
07/27/11	02/07/11	SELL	NATIONAL OILWELL VARCO INC	NOV	22,000	1,718.31	1,750.03	31.72
		FI						
07/27/11	11/02/10*	SELL	WYNN RESORTS LTD COM	WYNN	25,000	2,789.00	3,975.28	1,186.28
		FI						
07/27/11	05/18/11	SELL	YUM BRANDS INC COM	YUM	31,000	1,710.18	1,641.16	-69.02
		FI						



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
07/28/11	02/07/11	SELL FI	NATIONAL OILWELL VARCO INC	NOV	67 000	5,233.05	5,411.36	178.31
07/29/11	11/02/10*	SELL FI	WASTE MGMT INC DEL COM	WM	190 000	6,816.50	6,158.29	-658.21
08/01/11	11/02/10*	SELL FI	WASTE MGMT INC DEL COM	WM	126 000	4,520.41	3,997.90	-522.51
08/01/11	12/08/10*	SELL FI	WASTE MGMT INC DEL COM	WM	20 000	697.55	634.59	-62.96
08/03/11	03/22/11	SELL FI	TEEKAY CORP SHS	TK	68 000	2,411.14	1,746.91	-664.23
08/03/11	11/02/10*	SELL FI	ALBEMARLE CORP	ALB	18 000	916.47	1,133.79	217.32
08/03/11	03/11/11	SELL FI	NAVISTAR INTL CORP NEW COM	NAV	36 000	2,312.25	1,712.84	-599.41
08/03/11	05/18/11	SELL FI	YUM BRANDS INC COM	YUM	36 000	1,986.02	1,828.76	-157.26
08/04/11	11/02/10*	SELL FI	ALBEMARLE CORP	ALB	32 000	1,629.28	1,922.43	293.15
08/04/11	11/02/10*	SELL FI	ALLEGHENY TECHNOLOGIES INC COM	ATI	26 000	1,368.82	1,305.73	0.00
08/04/11	11/02/10*	SELL FI	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH	61 000	4,013.56	4,354.70	341.14
08/04/11	11/02/10*	SELL FI	DOW CHEM CO	DOW	10 000	314.18	310.89	-3.29
08/04/11	11/02/10*	SELL FI	WYNN RESORTS LTD COM	WYNN	18 000	2,008.08	2,565.67	557.59
08/05/11	03/22/11	SELL FI	CHICAGO BRIDGE & IRON CO N V	CBI	25 000	994.77	848.03	-146.74
08/05/11	02/22/11	SELL FI	INTERDIGITAL INC PA COM	IDCC	19 000	1,069.53	1,261.89	192.36
08/05/11	01/07/11	SELL FI	MF GLOBAL HLDGS LTD COM	MFGLQ	365 000	3,314.20	2,416.25	-897.95
08/05/11	12/23/10*	SELL FI	WADDELL & REED FINL INC CL A	WDR	92 000	3,293.13	3,081.02	-212.11
08/08/11	03/22/11	SELL FI	CHICAGO BRIDGE & IRON CO N V	CBI	38 000	1,512.05	1,088.67	-423.38
08/08/11	07/18/11*	SELL FI	MARKET VECTORS ETF TR GOLD MINERS ETF	GDX	55 000	3,347.85	3,070.90	0.00
08/08/11	07/22/11*	SELL FI	MARKET VECTORS ETF TR GOLD MINERS ETF	GDX	12 000	786.31	670.01	0.00

21 day(s) added to your holding period as a result of a wash sale



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
08/08/11	07/25/11*	SELL FI	MARKET VECTORS ETF TR GOLD MINERS ETF	GDX	38 000	2,516 18	2,121 71	-103 81 -290.663
08/08/11	03/23/11*	SELL FI	21 day(s) added to your holding period as a result of a wash sale PROSHARES TR PROSHARES ULTRASHORT	SDS	13 000	289 76	346.07	56.31
08/08/11	04/14/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	58 000	1,249 73	1,543.98	294.25
08/08/11	05/05/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	16 000	787 84	957 74	169 90
08/08/11	05/12/11*	SELL SL	PROSHARES TR ULTRASHORT BASIC	SMN	93 000	1,620.99	2,297.56	676.57
08/08/11	04/19/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	18 000	778.56	1,079.26	300 70
08/08/11	04/20/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	18 000	757.98	1,079 26	321.28
08/09/11	07/25/11*	SELL SL	MARKET VECTORS ETF TR GOLD MINERS ETF	GDX	5 000	331 08	280.73	-50.35
08/09/11	07/25/11*	SELL SL	21 day(s) added to your holding period as a result of a wash sale MARKET VECTORS ETF TR GOLD MINERS ETF	GDX	12 000	850.45	673 76	-176.69
08/09/11	07/25/11*	SELL SL	17 day(s) added to your holding period as a result of a wash sale. MARKET VECTORS ETF TR GOLD MINERS ETF	GDX	40 000	2,447 19	2,245 88	-201 31
08/09/11	04/14/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	16 000	344 75	425.27	80.52
08/09/11	04/18/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	43 000	934 78	1,142 92	208 14
08/09/11	05/05/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	1 000	49 24	59 05	9 81
08/09/11	05/23/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	27 000	1,395.45	1,594.31	198.86
08/09/11	05/12/11*	SELL SL	PROSHARES TR ULTRASHORT BASIC	SMN	36 000	627 48	863.26	235.78



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
08/09/11	05/04/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	31 000	1,326.15	1,874.56	548.41
08/10/11	04/18/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	48 000	1,043.47	1,269.23	225.76
08/10/11	05/23/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	24 000	1,240.40	1,423.19	182.79
08/10/11	05/12/11*	SELL SL	PROSHARES TR ULTRASHORT BASIC	SMN	58 000	1,010.94	1,363.02	352.08
08/10/11	05/04/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	34 000	1,454.48	1,992.36	537.88
08/10/11	05/05/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	3 000	129.06	175.80	46.74
08/12/11	06/08/11	CLEU FI	KINDER MORGAN MGMT LLC SHS	KMR	0.329	20.51	0.00	-20.51
08/12/11	11/02/10*	SELL FI	NOVOZYMES A/S SPONSORED ADR	NVZMY	18 000	2,421.00	2,515.45	94.45
08/12/11	05/24/11	SELL FI	WEIGHT WATCHERS INTL INC NEW	WTW	31 000	2,597.53	1,748.14	-849.39
08/15/11	02/22/11	SELL FI	INTERDIGITAL INC PA COM	IDCC	124 000	6,980.11	7,434.82	454.71
08/15/11	04/18/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	2 000	43.48	47.86	4.38
08/15/11	04/19/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	45 000	963.90	1,076.83	112.93
08/15/11	04/20/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	34,000	705.06	813.61	108.55
08/15/11	05/04/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	33 000	671.22	789.67	118.45
08/15/11	05/23/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	12 000	620.20	645.71	25.51
08/15/11	06/03/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	41 000	2,111.42	2,206.17	94.75
08/15/11	05/12/11*	SELL SL	PROSHARES TR ULTRASHORT BASIC	SMN	61 000	1,063.23	1,249.44	186.21
08/15/11	06/03/11*	SELL SL	PROSHARES TR ULTRASHORT BASIC	SMN	65 000	1,162.79	1,331.38	168.59
08/15/11	05/05/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	18 000	774.36	939.99	165.63
08/15/11	06/03/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	25 000	1,093.74	1,305.55	211.81
08/16/11	07/25/11*	SELL SL	MARKET VECTORS ETF TR GOLD MINERS ETF	GDX	23 000	1,407.14	1,401.87	-5.27



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
08/16/11	08/03/11*	SELL SL	MARKET VECTORS ETF TR GOLD MINERS ETF	GDX	28,000	1,949.92	1,706.62	-243.30
08/18/11	11/02/10*	SELL FI	14 day(s) added to your holding period as a result of a wash sale. COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH	15,000	986.94	829.63	-157.31
08/18/11	08/04/11	SELL FI	MOTOROLA MOBILITY HLDGS INC COM	MMI	84,000	1,844.64	3,191.93	1,347.29
08/19/11	11/02/10*	SELL FI	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH	71,000	4,671.52	4,036.78	-634.74
08/19/11	08/04/11	SELL FI	MOTOROLA MOBILITY HLDGS INC COM	MMI	199,000	4,370.04	7,536.01	3,165.97
08/22/11	05/04/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	70,000	1,423.80	1,822.13	398.33
08/22/11	06/03/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	30,000	1,544.94	1,792.84	247.90
08/22/11	06/08/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	19,000	878.93	1,120.95	242.02
08/22/11	07/12/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	17,000	694.28	1,002.96	308.68
08/26/11	11/02/10*	SELL FI	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	42,000	4,412.31	4,151.29	-261.02
09/02/11	05/18/11	SELL FI	YUM BRANDS INC COM	YUM	9,000	496.51	475.01	-21.50
09/02/11	05/20/11	SELL FI	YUM BRANDS INC COM	YUM	58,000	3,304.26	3,061.18	-243.08
09/07/11	03/28/11	SELL FI	KOMATSU LTD SPONSORED ADR NEW	KMTUY	145,000	4,963.95	3,612.82	-1,351.13
09/07/11	05/12/11	SELL FI	KOMATSU LTD SPONSORED ADR NEW	KMTUY	98,000	3,314.38	2,441.77	-872.61
09/08/11	06/03/11*	SELL SL	PROSHARES TR ULTRASHORT BASIC	SMN	138,000	2,468.68	2,778.05	309.37
09/08/11	07/12/11*	SELL SL	PROSHARES TR ULTRASHORT BASIC	SMN	7,000	130.08	140.92	10.84

71 day(s) added to your holding period as a result of a wash sale.

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)							
09/12/11	05/12/11	SELL KOMATSU LTD SPONSORED ADR NEW FI	KMTUY	7 000	236.74	156.80	-79.94
09/12/11	06/30/11	SELL KOMATSU LTD SPONSORED ADR NEW FI	KMTUY	115 000	3,618.02	2,575.94	-1,042.08
09/12/11	03/11/11	SELL NAVISTAR INTL CORP NEW COM FI	NAV	6 000	385.37	220.07	-165.30
09/12/11	03/15/11	SELL NAVISTAR INTL CORP NEW COM FI	NAV	26 000	1,615.47	953.62	-661.85
09/13/11	05/20/11	SELL HEINZ H J COMPANY FI	HNZ	39 000	2,106.78	1,942.66	-164.12
09/15/11	05/20/11	SELL HEINZ H J COMPANY FI	HNZ	88 000	4,753.76	4,501.17	-252.59
09/15/11	08/01/11	SELL ORIX CORP SPONSORED ADR FI	IX	71 000	3,870.32	2,835.38	-1,034.94
09/16/11	08/05/11*	SELL PIMCO ETF TR 25+ YR ZERO CPN US TREAS FI	ZROZ	45 000	3,810.15	4,249.26	439.11
09/20/11	08/05/11*	SELL PIMCO ETF TR 25+ YR ZERO CPN US TREAS FI	ZROZ	27 000	2,286.09	2,661.62	375.53
09/20/11	08/18/11*	SELL PIMCO ETF TR 25+ YR ZERO CPN US TREAS FI	ZROZ	24 000	2,220.00	2,365.89	145.89
09/22/11	11/02/10*	SELL ALBEMARLE CORP FI	ALB	82 000	4,175.03	3,398.69	-388.17
09/22/11	11/02/10*	SELL DOW CHEM CO FI	DOW	104 000	3,267.45	2,520.92	-388.17*
09/22/11	11/02/10*	SELL DOW CHEM CO FI	DOW	32 000	1,005.37	753.05	-746.53
09/22/11	08/08/11*	SELL SPDR GOLD TR GOLD SHS FI	GLD	36 000	5,954.36	6,073.26	-252.32
09/23/11	08/08/11*	SELL SPDR GOLD TR GOLD SHS FI	GLD	2 000	330.80	327.27	118.90
09/23/11	08/09/11*	SELL SPDR GOLD TR GOLD SHS FI	GLD	14 000	2,383.56	2,290.91	-3.53
09/23/11	08/18/11*	SELL SPDR GOLD TR GOLD SHS FI	GLD	18 000	3,189.24	2,945.46	-92.65
09/26/11	05/04/11*	SELL PROSHARES TR PROSHARES ULTRASHORT FI	SDS	36 000	732.24	916.57	-243.78
09/26/11	05/05/11*	SELL PROSHARES TR PROSHARES ULTRASHORT FI	SDS	60 000	1,224.60	1,527.62	184.33
09/26/11	06/03/11*	SELL PROSHARES TR PROSHARES ULTRASHORT FI	SDS	28 000	594.36	712.90	303.02
09/27/11	08/18/11*	SELL PIMCO ETF TR 25+ YR ZERO CPN US TREAS FI	ZROZ	21 000	1,942.50	2,163.79	118.54
							221.29





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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/27/11	06/03/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	27,000	573.13	630.17	57.04
09/27/11	06/08/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	38,000	837.89	886.90	49.01
09/27/11	06/03/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	21,000	1,081.46	1,025.50	-55.96
09/27/11	07/12/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	15,000	733.54	732.50	-1.04
09/27/11	07/12/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	16,000	653.44	848.28	194.84
09/27/11	08/03/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	14,000	667.94	742.25	74.31
09/29/11	08/18/11*	SELL SL	PIMCO ETF TR 25+ YR ZERO CPN US TREAS	ZROZ	22,000	2,035.00	2,315.89	280.89
09/30/11	11/02/10*	SELL FI	WYNN RESORTS LTD COM	WYNN	25,000	2,789.00	2,821.28	32.28
10/04/11	03/22/11	SELL FI	CHICAGO BRIDGE & IRON CO N V	CBI	106,000	4,217.84	2,681.63	-1,536.21
10/04/11	11/02/10*	SELL FI	NOVOZYME A/S SPONSORED ADR	NVZMY	17,000	2,286.50	2,273.70	-12.80
10/05/11	06/01/11	SELL FI	FORTUNE BRANDS HOMES & SEC INC COM	FBHS	154,000	2,161.43	1,862.21	-299.22
10/05/11	06/20/11	SELL FI	FORTUNE BRANDS HOMES & SEC INC COM	FBHS	37,000	513.01	447.41	-65.60
10/05/11	05/20/11	SELL FI	HEINZ H J COMPANY	HNZ	58,000	3,133.16	2,856.62	-276.54
10/05/11	05/24/11	SELL FI	HEINZ H J COMPANY	HNZ	4,000	213.71	197.01	-16.70
10/06/11	11/02/10*	SELL FI	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	48,000	5,042.64	4,641.58	-401.06
10/06/11	12/08/10*	SELL FI	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	5,000	522.75	483.50	-39.25
10/06/11	06/08/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	21,000	463.05	525.22	62.17

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/06/11	07/12/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	63,000	1,295.88	1,575.67	279.79
10/06/11	07/27/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	76,000	1,586.11	1,900.80	314.69
10/06/11	07/27/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	121,000	2,525.26	2,925.84	400.58
10/06/11	08/04/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	6,000	143.21	145.08	1.87
10/06/11	07/12/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	17,000	831.35	890.29	58.94
10/06/11	07/27/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	59,000	2,784.21	3,089.83	305.62
10/06/11	07/12/11*	SELL SL	PROSHARES TR ULTRASHORT BASIC	SMN	37,000	687.54	902.44	214.90
71 day(s) added to your holding period as a result of a wash sale.								
10/06/11	07/12/11*	SELL SL	PROSHARES TR ULTRASHORT BASIC	SMN	24,000	407.09	585.36	178.27
10/06/11	09/22/11*	SELL SL	PROSHARES TR ULTRASHORT BASIC	SMN	45,000	1,183.94	1,097.55	-86.39
10/06/11	08/03/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	71,000	3,387.41	3,927.24	539.83
10/11/11	05/24/11	SELL FI	HEINZ H J COMPANY	HNZ	25,000	1,335.69	1,274.52	-61.17
10/11/11	05/26/11	SELL FI	HEINZ H J COMPANY	HNZ	8,000	430.07	407.85	-22.22
10/11/11	08/04/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	51,000	1,217.32	1,149.62	-67.70
10/11/11	08/05/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	3,000	76.56	67.63	-8.93
10/11/11	09/22/11*	SELL SL	PROSHARES TR ULTRASHORT BASIC	SMN	22,000	578.82	498.73	-80.09
10/12/11	05/26/11	SELL FI	HEINZ H J COMPANY	HNZ	15,000	806.39	768.44	-37.95
10/12/11	06/29/11	SELL FI	HEINZ H J COMPANY	HNZ	26,000	1,408.64	1,331.96	-76.68
10/13/11	11/02/10*	SELL FI	HASBRO INC COM	HAS	35,000	1,653.32	1,217.06	-436.26
10/13/11	08/25/11	SELL FI	ROCHE HLDGS LTD SPONSORED ADR	RHHBY	64,000	2,708.48	2,496.59	-211.89
10/19/11	11/02/10*	SELL FI	APPLE INC COM	AAPL	12,000	3,716.28	4,864.98	1,148.70



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/20/11	11/02/10*	SELL	ALLEGHENY TECHNOLOGIES INC COM	ATI	42,000	2,211.18	1,598.96	-612.22
		SL						
10/20/11	11/02/10*	SELL	APPLE INC COM	AAPL	6,000	1,858.14	2,387.25	529.11
		FI						
10/20/11	05/24/11	SELL	LORILLARD INC COM	LO	19,000	2,166.53	2,175.25	8.72
		FI						
10/21/11	05/24/11	SELL	LORILLARD INC COM	LO	27,000	3,078.75	3,100.37	21.62
		FI						
10/24/11	08/17/11	SELL	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	62,000	3,981.63	3,615.15	-366.48
		FI						
10/26/11	08/17/11	SELL	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	51,000	3,275.21	2,930.45	-344.76
		FI						
10/27/11	02/22/11	SELL	INTERDIGITAL INC PA COM	IDCC	40,000	2,251.65	1,794.77	-456.88
		FI						
10/27/11	05/12/11	SELL	INTERDIGITAL INC PA COM	IDCC	13,000	593.15	583.30	-9.85
		FI						
10/27/11	08/05/11*	SELL	PROSHARES TR PROSHARES ULTRASHORT	SDS	57,000	1,454.63	1,117.18	-337.45
		FI						
10/27/11	09/02/11*	SELL	PROSHARES TR PROSHARES ULTRASHORT	SDS	80,000	1,919.20	1,567.97	-351.23
		FI						
10/27/11	09/22/11*	SELL	PROSHARES TR PROSHARES ULTRASHORT	SDS	67,000	1,713.12	1,313.17	-399.95
		FI						
10/27/11	07/27/11*	SELL	PROSHARES TR ULTRASHORT QQQ NEW	QID	2,000	94.38	84.42	-9.96
		SL						
10/27/11	08/04/11*	SELL	PROSHARES TR ULTRASHORT QQQ NEW	QID	41,000	2,194.32	1,730.58	-463.74
		SL						
10/27/11	08/03/11*	SELL	PROSHARES TR ULTRASHORT	TWM	22,000	1,049.62	882.40	-167.22
		SL						
10/27/11	08/04/11*	SELL	PROSHARES TR ULTRASHORT	TWM	42,000	2,108.40	1,684.58	-423.82
		SL						
10/28/11	05/12/11	SELL	INTERDIGITAL INC PA COM	IDCC	47,000	2,144.46	2,067.96	-76.50
		FI						
10/28/11	09/20/11	SELL	INTERDIGITAL INC PA COM	IDCC	17,000	1,055.09	747.98	-307.11
		FI						

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/28/11	09/22/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	5,000	127.85	97.25	-30.60
10/28/11	09/23/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	75,000	1,933.20	1,458.72	-474.48
10/28/11	09/22/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	13,000	671.71	552.27	-119.44
10/28/11	09/23/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	16,000	834.88	679.71	-155.17
10/28/11	09/04/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	13,000	659.31	507.81	-151.50
10/28/11	09/02/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	2,000	104.90	78.12	-26.78
11/02/11	08/17/11	SELL FI	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	37,000	2,376.14	2,117.46	-258.68
11/03/11	08/17/11	SELL FI	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	42,000	2,697.23	2,433.85	-263.38
11/03/11	09/13/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	15,000	807.28	604.35	-202.93
11/03/11	09/22/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	60,000	3,542.89	2,417.38	-1,125.51
11/07/11	08/01/11	SELL FI	ORIX CORP SPONSORED ADR	IX	50,000	2,725.57	2,151.56	-574.01
11/09/11	03/23/11	SELL FI	ROVI CORP COM	ROVI	93,000	5,040.63	2,805.07	-2,235.56
11/10/11	05/24/11	SELL FI	LORILLARD INC COM	LO	11,000	1,254.31	1,185.07	-69.24
11/10/11	08/25/11	SELL FI	ROCHE HLDGS LTD SPONSORED ADR	RHHBY	146,000	6,178.72	5,596.07	-582.65
11/10/11	03/23/11	SELL FI	ROVI CORP COM	ROVI	28,000	1,517.61	774.27	-743.34
11/10/11	08/29/11	SELL FI	ROVI CORP COM	ROVI	44,000	2,075.32	1,216.72	-858.60
11/11/11	12/08/10*	SELL FI	DISCOVERY COMMUNICATIONS INC	DISCA	16,000	683.03	693.11	10.08
11/14/11	06/08/11	CLEU FI	KINDER MORGAN MGMT LLC SHS	KMR	0.322	19.78	21.62	1.84
11/15/11	12/13/10*	SELL FI	OCCIDENTAL PETE CORP COM	OXY	13,000	1,235.73	1,270.94	35.21
11/16/11	12/13/10*	SELL FI	OCCIDENTAL PETE CORP COM	OXY	14,000	1,330.78	1,400.23	69.45
11/16/11	02/01/11	SELL FI	OCCIDENTAL PETE CORP COM	OXY	17,000	1,673.51	1,700.28	26.77

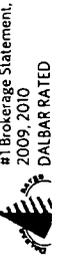


2011 Year-End Account Report

Report Period: 01/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
11/16/11	04/05/11	SELL FI	OCCIDENTAL PETE CORP COM	OXY	10 000	1,040.09	1,000.17	-39.92
11/16/11	05/03/11	SELL FI	WABCO HLDGS INC COM	WBC	47 000	3,410.28	2,169.04	-1,241.24
11/16/11	05/12/11	SELL FI	WABCO HLDGS INC COM	WBC	3 000	212.97	138.45	-74.52
11/17/11	02/04/11	SELL FI	DOW CHEM CO	DOW	73 000	2,703.05	1,924.38	-778.67
11/17/11	12/08/10*	SELL FI	WYNN RESORTS LTD COM	WYNN	20 000	2,025.72	2,415.02	389.30
11/21/11	09/15/11	SELL FI	SALESFORCE.COM INC COM STOCK	CRM	13 000	1,734.52	1,398.01	-336.51
11/21/11	09/19/11	SELL FI	SALESFORCE.COM INC COM STOCK	CRM	9 000	1,214.17	967.86	-246.31
11/21/11	05/12/11	SELL FI	WABCO HLDGS INC COM	WBC	90 000	6,389.16	3,778.43	-2,610.73
11/21/11	09/12/11	SELL FI	WABCO HLDGS INC COM	WBC	27 000	1,226.83	1,133.53	-93.30
11/21/11	09/27/11	SELL FI	WABCO HLDGS INC COM	WBC	39 000	1,647.34	1,637.32	-10.02
11/22/11	10/26/11	SELL FI	SM ENERGY CO COM	SM	26 000	2,075.56	1,923.25	-152.31
11/23/11	12/21/10*	SELL FI	SLM CORP COM	SLM	101 000	1,312.98	1,230.25	-82.73
11/30/11	09/23/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	35 000	902.16	715.39	-186.77
11/30/11	10/04/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	91 000	2,484.30	1,860.00	-624.30
11/30/11	10/20/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	86 000	1,921.98	1,757.81	-164.17
11/30/11	10/21/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	35 000	739.80	715.39	-24.41
11/30/11	11/01/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	32 000	684.10	654.07	-30.03



Schedule of Realized Gains and Losses (continued)

Dispositions Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term (continued)								
11/30/11	11/01/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	15 000	320 67	307 68	0 00
11/30/11	11/10/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	75 000	1,518.75	1,538.39	-12.993
11/30/11	11/10/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	103 000	2,092.65	2,112.72	20.07
11/30/11	11/15/11*	SELL FI	PROSHARES TR PROSHARES ULTRASHORT	SDS	84 000	1,689.83	1,722.99	33.16
11/30/11	09/23/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	47 000	2,452.45	2,157.26	-295.19
11/30/11	10/04/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	38 000	2,162.58	1,744.17	-418.41
11/30/11	10/21/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	15 000	681 00	692.90	11.90
11/30/11	11/01/11*	SELL SL	PROSHARES TR ULTRASHORT QQQ NEW	QID	20 000	925 06	923.86	-1.20
11/30/11	09/23/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	22 000	1,288.78	908.58	-380.20
11/30/11	10/04/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	33 000	2,094.91	1,362.87	-732.04
11/30/11	11/09/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	47 000	2,003.89	1,957.53	-46.36
11/30/11	11/10/11*	SELL SL	PROSHARES TR ULTRASHORT	TWM	26 000	1,095.29	1,082.89	-12.40
12/02/11	11/16/11*	SELL FI	PROSHARES TR ULTRASHORT	TWM	38 000	1,544.43	1,495.65	-48.78
12/02/11	11/18/11*	SELL FI	PROSHARES TR ULTRASHORT	TWM	40 000	1,719.36	1,574.37	-144.99
12/02/11	11/18/11*	SELL FI	PROSHARES TR ULTRASHORT	TWM	38 000	1,633.39	1,515.80	-117.59
12/08/11	11/15/11*	SELL SL	PROSHARES TR PROSHARES ULTRASHORT	SDS	66 000	1,327.72	1,308.88	-18.84
12/16/11	09/19/11	SELL FI	SALESFORCE.COM INC COM STOCK	CRM	6 000	809.44	647.19	-162.25
12/16/11	09/27/11	SELL FI	SALESFORCE.COM INC COM STOCK	CRM	2 000	251.45	215.73	-35.72
12/16/11	10/07/11	SELL FI	SALESFORCE.COM INC COM STOCK	CRM	10 000	1,221.80	1,078.66	-143.14
12/19/11	11/15/11*	SELL SL	PROSHARES TR PROSHARES ULTRASHORT	SDS	1 000	20.12	20.88	0.76
12/19/11	11/16/11*	SELL SL	PROSHARES TR PROSHARES ULTRASHORT	SDS	65 000	1,290.84	1,357.26	66.42



2011 Year-End Account Report

Report Period: 01/01/2011 - 12/31/2011

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
12/20/11	09/09/11	SELL FI	RED HAT INC COM	RHT	27,000	1,002.70	1,132.65	129.95
12/21/11	08/29/11	SELL FI	ORACLE CORP COM	ORCL	133,000	3,605.29	3,414.04	-191.25
12/21/11	11/15/11*	SELL FI	PROSHARES TR ULTRASHORT QQQ NEW	QID	52,000	2,283.53	2,370.63	87.10
12/21/11	09/09/11	SELL FI	RED HAT INC COM	RHT	48,000	1,782.57	1,900.44	117.87
12/22/11	08/29/11	SELL FI	ORACLE CORP COM	ORCL	26,000	704.80	672.60	-32.20
Total Short Term						\$944,694.57	\$905,065.00	-\$37,023.30
Long Term								
11/11/11	11/02/10*	SELL FI	DISCOVERY COMMUNICATIONS INC	DISCA	24,000	1,071.52	1,039.67	-31.85
11/11/11	11/02/10*	SELL FI	STERICYCLE INC COM	SRCL	20,000	1,449.80	1,591.05	141.25
11/14/11	11/02/10*	SELL FI	STERICYCLE INC COM	SRCL	16,000	1,159.84	1,251.01	91.17
11/15/11	11/02/10*	SELL FI	OCCIDENTAL PETE CORP COM	OXY	71,000	5,812.77	6,941.29	1,128.52
11/15/11	11/04/10*	SELL FI	OCCIDENTAL PETE CORP COM	OXY	13,000	1,094.47	1,270.94	176.47
11/16/11	11/02/10*	SELL FI	STERICYCLE INC COM	SRCL	27,000	1,957.23	2,120.64	163.41
11/17/11	11/02/10*	SELL SL	ALLEGHENY TECHNOLOGIES INC COM	ATI	36,000	1,895.30	1,697.08	-198.22
11/17/11	11/02/10*	SELL FI	DOW CHEM CO	DOW	60,000	1,885.07	1,581.68	-303.39
11/17/11	11/02/10*	SELL FI	EBAY INC COM	EBAY	78,000	2,351.54	2,311.17	-40.37
11/17/11	11/02/10*	SELL FI	WYNN RESORTS LTD COM	WYNN	8,000	892.48	966.01	73.53



Account Number C93-053491
THE SUGGS FAMILY FOUNDATION

CPB-STMT

Brokerage Statement,
2009, 2010
DALBAR RATED

Accounts carried by Pershing LLC,
member FINRA NYSE SIPC

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)							
11/23/11	11/02/10*	SELL ALLEGHENY TECHNOLOGIES INC COM	ATI	33 000	1,737.35	1,412.79	-324.56
		SL					
12/21/11	11/02/10*	SELL NATIONAL FUEL GAS CO N J COM	NFG	92 000	5,239.17	5,087.29	-151.88
		FI					
12/30/11	11/02/10*	SELL TYCO INTL LTD SHS	TYC	50 000	1,940.39	2,342.03	401.64
		FI					
Total Long Term					\$28,486.93	\$29,612.65	\$1,125.72
Total Short Term and Long Term					\$973,181.50	\$934,677.65	-\$35,897.58

* Uncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "uncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for uncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage report at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

3 Realized loss is net of a disallowance due to a wash sale.

Tax Lot Disposition Methods:

- FI = First In First Out
- SL = Versus Purchase

Please refer to the Client Service Information Section in your brokerage account report for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.