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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning

, and ending

Name of foundation BASSLER FAMILY FOUNDATION, INC.		A Employer identification number 26-1561741
Number and street (or P O box number if mail is not delivered to street address) 13 SEAGATE ROAD	Room/suite	B Telephone number 203.655.9658
City or town, state, and ZIP code DARIEN, CT 06820		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 867,843.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ If the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		17,057.	17,057.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		22,253.			
b Gross sales price for all assets on line 6a 3,901.					
7 Capital gain net income (from Part IV, line 2)			22,253.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		39,310.	39,310.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		1,500.	1,500.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 3		259.	259.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 4		11,641.	11,641.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		13,410.	13,410.		0.
25 Contributions, gifts, grants paid		51,515.			51,515.
26 Total expenses and disbursements. Add lines 24 and 25		64,925.	13,410.		51,515.
27 Subtract line 26 from line 12		-25,615.			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			25,900.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2011)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	9,017.	38,238.	38,238.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 5	894,520.	844,151.	829,605.
	b Investments - corporate stock			
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	903,537.	882,389.	867,843.
	17 Accounts payable and accrued expenses	5,583.	10,050.	
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	5,583.	10,050.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	897,954.	872,339.	
30 Total net assets or fund balances	897,954.	872,339.		
31 Total liabilities and net assets/fund balances	903,537.	882,389.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	897,954.
2 Enter amount from Part I, line 27a	2	-25,615.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	872,339.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	872,339.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 3,901.			22,253.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			22,253.

2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	22,253.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		{ If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	54,836.	923,658.	.059368
2009	50,500.	954,903.	.052885
2008	51,911.	815,615.	.063646
2007	2,250.	717,039.	.003138
2006			

2 Total of line 1, column (d)	2	.179037
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.044759
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	917,016.
5 Multiply line 4 by line 3	5	41,045.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	259.
7 Add lines 5 and 6	7	41,304.
8 Enter qualifying distributions from Part XII, line 4	8	51,515.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	259.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	259.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	259.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		259.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13		X
14	The books are in care of ► BASSLER FAMILY FOUNDATION, INC Telephone no ► 203-655-9658 Located at ► 13 SEAGATE ROAD, DARIEN, CT ZIP+4 ► 06820			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN P. BASSLER 13 SEAGATE ROAD DARIEN, CT 06820	DIRECTOR/PRESIDENT 1.00	0.	0.	0.
SARA A. BASSLER 13 SEAGATE ROAD DARIEN, CT 06820	DIRECTOR/VICE PRESIDENT 1.00	0.	0.	0.
PETER J. BASSLER 40 STURGES COMMONS WESTPORT, CT 06880	DIRECTOR/TREASURER 1.00	0.	0.	0.
E. ELENA HIRSCH 8 PAR ROAD MONTEBELLO, NY 10901	DIRECTOR/SECRETARY 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	875,423.
b	Average of monthly cash balances	1b	55,558.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	930,981.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	930,981.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13,965.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	917,016.
6	Minimum investment return. Enter 5% of line 5	6	45,851.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	45,851.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	259.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	259.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	45,592.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	45,592.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	45,592.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	51,515.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	51,515.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	259.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	51,256.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				45,592.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				11,004.
d From 2009				2,755.
e From 2010				9,191.
f Total of lines 3a through e	22,950.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$				51,515.
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				45,592.
e Remaining amount distributed out of corpus	5,923.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	28,873.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	28,873.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				11,004.
c Excess from 2009				2,755.
d Excess from 2010				9,191.
e Excess from 2011				5,923.

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 3 Complete 3a, b, or c for the alternative test relied upon
 - a "Assets" alternative test - enter
 - (1) Value of all assets
 - (2) Value of assets qualifying under section 4942(i)(3)(B)(i)
 - b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
 - c "Support" alternative test - enter
 - (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
 - (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)
 - (3) Largest amount of support from an exempt organization
 - (4) Gross investment income

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BROWN UNIVERSITY PO BOX 1908 PROVIDENCE , RI 02912	NONE	OTHER PUBLIC CHARITY	GENERAL	1,000.
CROHNS & COLITIS FOUNDATION OF AMERICA 200 BLOOMINGDALE ROAD WHITE PLAINS, NY 20605	NONE	OTHER PUBLIC CHARITY	GENERAL	5,000.
FIRST CONGREGATIONAL CHURCH 14 BROOKSIDE RD DARIEN, CT 06820	NONE	OTHER PUBLIC CHARITY	GENERAL	15,800.
NATIONAL FOUNDATION FOR FACIAL RECONSTRUCTION 323 E 30TH ST NEW YORK, NY 10016	NONE	OTHER PUBLIC CHARITY	GENERAL	4,000.
PAN MASSACHUSSETS CHALLENGE 198 TREMONT ST BOSTON, MA 02116	NONE	OTHER PUBLIC CHARITY	FOR THE CARING FOR CARCINOID FOUNDATION	4,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	51,515.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					17,057.
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					22,253.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e)		0.		0.	39,310.
13 Total Add line 12, columns (b), (d), and (e)				13	39,310.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if
self-employed

PTIN

DAVID I. BARISH

David B. Beck

10/10/12

P00387433

Firm's name ► **BARISH & O'BRIEN**

Firm's EIN ► 13-3341682

Firm's address ► 572 NORTH BROADWAY
WHITE PLAINS, NY 10603

Phone no 914-428-8600

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PARENT TEACHER ASSOC OF CT 60 CONNOLLY PKWY, BLDG #2 HAMDEN, CT 06514	NONE	OTHER PUBLIC CHARITY	"LIONS HEART" PROGRAM	4,000.
PERSON-TO-PERSON 1864 POST ROAD DARIEN, CT 06820	NONE	OTHER PUBLIC CHARITY	"FUEL ONLY" PROGRAM	1,000.
CHURCHES FOR MIDDLE EAST 110 MARYLAND AVE NE WASHINGTON, DC 20002	NONE	OTHER PUBLIC CHARITY	HAITI	190.
ST LUKES LIFEWORKS 141 FRANKLIN ST STAMFORD, CT 06901	NONE	OTHER PUBLIC CHARITY	GENERAL	1,000.
TANENBAUM CENTER FOR INTERRELIGIOUS UNDERSTANDING 254 31ST STREET NEW YORK, NY 10001	NONE	OTHER PUBLIC CHARITY	GENERAL	9,000.
THE COMMUNITY FUND OF DARIEN 701 POST ROAD DARIEN, CT 06820	NONE	OTHER PUBLIC CHARITY	GENERAL	1,000.
THE FOODBANK OF LOWER FAIRFIELD COUNTY 461 GLENBROOK ROAD STAMFORD, CT 06906	NONE	OTHER PUBLIC CHARITY	GENERAL	1,000.
MAD RIVER VALLEY COMMUNITY FUND PO BOX 353 WAITSFIELD, VT 05673	NONE	OTHER PUBLIC CHARITY	GENERAL	1,000.
WORLD VISION 34834 WEYERHAEUSER WAY SOUTH FEDERAL WAY, WA 98001	NONE	OTHER PUBLIC CHARITY	FAIRFIELD COUNTY MICRO ENTERPRISE FUND	1,500.
NORWALK YMCA 370 WEST AVE NORWALK, CT 06850	NONE	OTHER PUBLIC CHARITY	GENERAL	25.
Total from continuation sheets				21,715.

26-1561741

3 Grants and Contributions Paid During the Year (Continuation)**Total from continuation sheets**

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED UBS SCHEDULE			
b	SEE ATTACHED UBS SCHEDULE			
c	SEE ATTACHED UBS SCHEDULE			
d	ISHARE SILVER TRUST			
e	SPDF GOLD TRUST			
f	POWERSHARES DB AGRICULTURE PASS THROUGH			
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			-17,372.
b			6,970.
c			19,602.
d			49.
e			93.
f			9,010.
g	3,901.		3,901.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-17,372.
b			6,970.
c			19,602.
d			49.
e			93.
f			9,010.
g			3,901.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	22,253.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
UBS FINANCIAL	20,939.	3,901.	17,038.
UBS FINANCIAL	19.	0.	19.
TOTAL TO FM 990-PF, PART I, LN 4	20,958.	3,901.	17,057.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	1,500.	1,500.		0.
TO FORM 990-PF, PG 1, LN 16B	1,500.	1,500.		0.

FORM 990-PF	TAXES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	269.	269.		0.
TO FORM 990-PF, PG 1, LN 18	269.	269.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKER FEES	11,591.	11,591.		0.
FILING FEES	50.	50.		0.
TO FORM 990-PF, PG 1, LN 23	11,641.	11,641.		0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	5
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED		X	844,151.	829,605.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			844,151.	829,605.
TOTAL TO FORM 990-PF, PART II, LINE 10A			844,151.	829,605.



Portfolio Management Program
December 2011

Account name:
Account number:
EN

BASSLER FAMILY FOUNDATION, INC
NE 07737 KE
26-1561741

Your Financial Advisor
SHAPIRO/LUVACCAR
212-821-2700/800-543-0802

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
• AFLAC INC								
Symbol: AFL Exchange: NYSE								
EAI: \$242 Current yield: 3.06%								
	May 16, 11	28,000	54.104	1,514.92	43.260	1,211.28	-303.64	ST
	Aug 3, 11	69,000	44.406	4,072.43 ²	43.260	2,984.94	-1,087.49	ST
	Oct 11, 11	53,000	38.823	2,057.67	43.260	2,292.78	235.11	ST
	Oct 21, 11	33,000	42.223	1,393.36	43.260	1,427.58	34.22	ST
Security total		183,000	49.390	9,038.38		7,916.58	-1,121.80	
• AIR PROD & CHEMICAL INC								
Symbol: APD Exchange: NYSE								
EAI: \$218 Current yield: 2.72%								
	May 16, 11	16,000	91.505	1,464.08	85.190	1,363.04	-101.04	ST
	Aug 3, 11	28,000	85.578	2,820.45 ²	85.190	2,385.32	-435.13	ST
	Oct 11, 11	27,000	82.134	2,217.63	85.190	2,300.13	82.50	ST
	Oct 21, 11	23,000	82.557	1,898.83	85.190	1,959.37	60.54	ST
Security total		94,000	89.372	8,400.99		8,007.86	-393.13	
• ASTRAZENCA PLC SPON ADR								
Symbol: AZN Exchange: NYSE								
EAI: \$432 Current yield: 5.83%								
	Aug 26, 11	74,000	45.225	3,346.65	46.290	3,425.46	78.81	ST
	Oct 11, 11	51,000	46.770	2,385.27	46.290	2,360.79	-24.48	ST
	Oct 21, 11	35,000	48.406	1,694.21	46.290	1,620.15	-74.06	ST
								continued next page





Account name: BASSLER FAMILY FOUNDATION, INC
Account number: NE 07737 KE

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		160,000	46.413	7,426.13		7,406.40	-19.73	
AT&T INC								
Symbol: T Exchange: NYSE								
EAI: \$468 Current yield: 5.82%								
	May 16, 11	41,000	31.199	1,279.19	30.240	1,239.84	-39.35	ST
	Aug 3, 11	76,000	29.318	2,411.44 ²	30.240	2,298.24	-113.20	ST
	Oct 11, 11	85,000	28.837	2,451.15	30.240	2,570.40	119.25	ST
	Oct 21, 11	64,000	29.089	1,861.70	30.240	1,935.36	73.66	ST
Security total		266,000	30.088	8,003.48		8,043.84	40.36	
BOEING COMPANY								
Symbol: BA Exchange: NYSE								
EAI: \$211 Current yield: 2.40%								
	May 16, 11	6,000	78.518	471.11	73.350	440.10	-31.01	ST
	Aug 3, 11	49,000	66.968	4,063.76 ²	73.350	3,594.15	-469.61	ST
	Oct 11, 11	36,000	63.928	2,301.44	73.350	2,640.60	339.16	ST
	Oct 21, 11	29,000	64.208	1,862.06	73.350	2,127.15	265.09	ST
Security total		120,000	72.486	8,698.37		8,802.00	103.63	
BRITISH AMER TOBACCO PLC GB								
SPON ADR								
Symbol: BTI Exchange: AMEX								
EAI: \$323 Current yield: 4.05%								
	May 16, 11	18,000	87.593	1,576.69	94.880	1,707.84	131.15	ST
	Aug 3, 11	21,000	92.644	1,962.53 ²	94.880	1,992.48	29.95	ST
	Oct 11, 11	27,000	88.137	2,379.72	94.880	2,561.76	182.04	ST
	Oct 21, 11	18,000	91.890	1,654.02	94.880	1,707.84	53.82	ST
Security total		84,000	90.154	7,572.96		7,969.92	396.96	
COCA COLA CO COM								
Symbol: KO Exchange: NYSE								
EAI: \$214 Current yield: 2.68%								
	May 16, 11	13,000	68.106	885.39	69.970	909.61	24.22	ST
	Aug 3, 11	37,000	68.247	2,531.65 ²	69.970	2,588.89	57.24	ST
	Oct 11, 11	37,000	66.847	2,473.34	69.970	2,588.89	115.55	ST
	Oct 21, 11	27,000	67.789	1,830.31	69.970	1,889.19	58.88	ST
Security total		114,000	67.725	7,720.69		7,976.58	255.89	
COLGATE PALMOLIVE CO								
Symbol: CL Exchange: NYSE								
EAI: \$193 Current yield: 2.52%								
	May 16, 11	10,000	86.719	867.19	92.390	923.90	56.71	ST

continued next page



Portfolio Management Program
December 2011

Account name:
Account number:

BASSLER FAMILY FOUNDATION, INC
NE 07737 KE

Your Financial Advisor
SHAPIRO/LUVACCAR
212-821-2700/800-543-0801

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
DIAGEO PLC NEW GB SPON ADR Symbol: DEO Exchange: NYSE EAI: \$239 Current yield: 2.97%	Aug 3, 11	29,000	83.887	2,447.12 ²	92.390	2,679.31	232.19	ST
	Oct 11, 11	25,000	90.730	2,268.25	92.390	2,309.75	41.50	ST
	Oct 21, 11	19,000	93.347	1,773.60	92.390	1,755.41	-18.19	ST
	Security total	83,000	88.628	7,356.16		7,668.37	312.21	
EMERSON ELECTRIC CO Symbol: EMR Exchange: NYSE EAI: \$262 Current yield: 3.43%	Sep 15, 11	45,000	77.828	3,502.27	87.420	3,933.90	431.63	ST
	Oct 11, 11	28,000	79.677	2,230.98	87.420	2,447.76	216.78	ST
	Oct 21, 11	19,000	84.447	1,604.50	87.420	1,660.98	56.48	ST
	Security total	92,000	79.758	7,337.75		8,042.64	704.89	
EXXON MOBIL CORP Symbol: XOM Exchange: NYSE EAI: \$182 Current yield: 2.21%	May 16, 11	19,000	53.587	1,018.16	46.590	885.21	-132.95	ST
	Aug 3, 11	58,000	47.997	3,337.06 ²	46.590	2,702.22	-634.84	ST
	Oct 11, 11	51,000	45.519	2,321.48	46.590	2,376.09	54.61	ST
	Oct 21, 11	36,000	47.036	1,693.33	46.590	1,677.24	-16.09	ST
GENL MILLS INC Symbol: GIS Exchange: NYSE EAI: \$237 Current yield: 3.02%	May 16, 11	11,000	80.939	890.33	84.760	932.36	42.03	ST
	Aug 3, 11	36,000	77.030	3,128.84 ²	84.760	3,051.36	-77.48	ST
	Oct 11, 11	29,000	76.240	2,210.96	84.760	2,458.04	247.08	ST
	Oct 21, 11	21,000	79.919	1,678.30	84.760	1,779.96	101.66	ST
Security total		97,000	81.530	7,908.43		8,221.72	313.29	
Security total		21,000	39.563	830.83	40.410	848.61	17.78	ST
	May 16, 11	72,000	36.487	2,857.05 ²	40.410	2,909.52	52.47	ST
	Aug 3, 11	53,000	40.007	2,120.42	40.410	2,141.73	21.31	ST
	Oct 11, 11	48,000	39.756	1,908.31	40.410	1,939.68	31.37	ST
Security total		194,000	39.776	7,716.61		7,839.54	122.93	
							continued next page	





Account name: BASSLER FAMILY FOUNDATION, INC
Account number: NE 07737 KE

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
HOME DEPOT INC								
Symbol: HD Exchange: NYSE								
EAI: \$245 Current yield: 2.76%								
	May 16, 11	17,000	37.166	631.83	42.040	714.68	82.85	ST
	Aug 3, 11	82,000	32.757	2,943.75 ²	42.040	3,447.28	503.53	ST
	Oct 11, 11	70,000	34.545	2,418.15	42.040	2,942.80	524.65	ST
	Oct 21, 11	42,000	36.560	1,535.52	42.040	1,765.68	230.16	ST
Security total		211,000	35.684	7,529.25		8,870.44	1,341.19	
ILLINOIS TOOL WORKS INC								
Symbol: ITW Exchange: NYSE								
EAI: \$236 Current yield: 3.08%								
	May 16, 11	21,000	58.309	1,224.49	46.710	980.91	-243.58	ST
	Aug 3, 11	57,000	48.536	3,629.39 ²	46.710	2,662.47	-966.92	ST
	Oct 11, 11	53,000	44.519	2,359.55	46.710	2,475.63	116.08	ST
	Oct 21, 11	33,000	47.017	1,551.58	46.710	1,541.43	-10.15	ST
Security total		164,000	53.445	8,765.01		7,660.44	-1,104.57	
INTEL CORP								
Symbol: INTC Exchange: OTC								
EAI: \$270 Current yield: 3.46%								
	May 16, 11	60,000	23.803	1,428.19	24.250	1,455.00	26.81	ST
	Aug 3, 11	114,000	21.779	2,986.85 ²	24.250	2,764.50	-222.35	ST
	Oct 11, 11	80,000	22.959	1,836.72	24.250	1,940.00	103.28	ST
	Oct 21, 11	68,000	23.998	1,631.93	24.250	1,649.00	17.07	ST
Security total		322,000	24.484	7,883.69		7,808.50	-75.19	
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAI: \$278 Current yield: 3.47%								
	May 16, 11	18,000	66.578	1,198.42	65.580	1,180.44	-17.98	ST
	Aug 3, 11	35,000	63.027	2,321.37 ²	65.580	2,295.30	-26.07	ST
	Oct 11, 11	38,000	63.980	2,431.24	65.580	2,492.04	60.80	ST
	Oct 21, 11	31,000	63.499	1,968.48	65.580	2,032.98	64.50	ST
Security total		122,000	64.914	7,919.51		8,000.76	81.25	
MCDONALDS CORP								
Symbol: MCD Exchange: NYSE								
EAI: \$235 Current yield: 2.79%								
	May 16, 11	10,000	81.119	811.19	100.330	1,003.30	192.11	ST
	Aug 3, 11	28,000	85.457	2,392.81	100.330	2,809.24	416.43	ST
	Oct 11, 11	27,000	89.328	2,411.88	100.330	2,708.91	297.03	ST

continued next page



Portfolio Management Program
December 2011

Account name:
Account number:

BASSLER FAMILY FOUNDATION, INC
NE 07737 KE

Your Financial Advisor
SHAPIO/JVACCAR
212-821-2700/800-543-0802

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Oct 21, 11	19,000	91.776	1,743.76	100.330	1,906.27	162.51	ST
84,000			87.615	7,359.64		8,427.72	1,068.08	
MEDTRONIC INC								
Symbol: MDT Exchange: NYSE	May 16, 11	17,000	42.204	717.47	38.250	650.25	-67.22	ST
EAI: \$218 Current yield: 2.53%	Aug 3, 11	84,000	34.105	3,600.83 ²	38.250	3,213.00	-387.83	ST
	Oct 11, 11	74,000	33.317	2,465.46	38.250	2,830.50	365.04	ST
	Oct 21, 11	50,000	34.256	1,712.83	38.250	1,912.50	199.67	ST
Security total		225,000	37.763	8,496.59		8,606.25	109.66	
NESTLE S A SPONSORED ADR								
REPSTG REG SHS SWITZ ADR	May 16, 11	20,000	62.100	1,242.00	57.710	1,154.20	-87.80	ST
Symbol: NSRGY Exchange: OTC	Aug 3, 11	34,000	63.775	2,180.75 ²	57.710	1,962.14	-218.61	ST
EAI: \$233 Current yield: 3.06%	Oct 11, 11	49,000	56.450	2,766.05	57.710	2,827.79	61.74	ST
	Oct 21, 11	29,000	58.590	1,699.11	57.710	1,673.59	-25.52	ST
Security total		132,000	59.757	7,887.91		7,617.72	-270.19	
NEXTERA ENERGY INC COM								
Symbol: NEE Exchange: NYSE	Aug 3, 11	62,000	53.699	3,491.11 ²	60.880	3,774.56	283.45	ST
EAI: \$306 Current yield: 3.62%	Oct 11, 11	45,000	54.557	2,455.08	60.880	2,739.60	284.52	ST
	Oct 21, 11	32,000	55.748	1,783.96	60.880	1,948.16	164.20	ST
Security total		139,000	55.613	7,730.15		8,462.32	732.17	
NORTHEAST UTILITIES								
Symbol: NU Exchange: NYSE	May 16, 11	29,000	36.010	1,044.29	36.070	1,046.03	1.74	ST
EAI: \$252 Current yield: 3.05%	Aug 3, 11	71,000	32.930	2,511.26 ²	36.070	2,560.97	49.71	ST
	Oct 11, 11	81,000	32.289	2,615.41	36.070	2,921.67	306.26	ST
	Oct 21, 11	48,000	33.669	1,616.12	36.070	1,731.36	115.24	ST
Security total		229,000	34.005	7,787.08		8,260.03	472.95	
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE	May 16, 11	19,000	61.288	1,164.49	57.170	1,086.23	-78.26	ST
EAI: \$265 Current yield: 3.51%								





Account name: BASSLER FAMILY FOUNDATION, INC
Account number: NE 07737 KE

Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR Symbol: DCM Exchange: NYSE EAI: \$270 Current yield: 3.44%	Aug 3, 11	41,000	59.623	2,662.12 ²	57.170	2,343.97	-318.15	ST
	Oct 11, 11	41,000	57.524	2,358.52	57.170	2,343.97	-14.55	ST
	Oct 21, 11	31,000	58.519	1,814.10	57.170	1,772.27	-41.83	ST
	Security total	132,000	60.600	7,999.23		7,546.44	-452.79	
PEARSON PLC SPON ADR Symbol: PSO Exchange: NYSE EAI: \$269 Current yield: 3.40%	Aug 3, 11	191,000	18.492	3,598.51 ²	18.350	3,504.85	-93.66	ST
	Oct 11, 11	123,000	18.596	2,287.41	18.350	2,257.05	-30.36	ST
	Oct 21, 11	114,000	18.049	2,057.65	18.350	2,091.90	34.25	ST
	Security total	428,000	18.560	7,943.57		7,853.80	-89.77	
PEPSICO INC Symbol: PEP Exchange: NYSE EAI: \$255 Current yield: 3.10%	May 16, 11	93,000	18.722	1,741.19	18.870	1,754.91	13.72	ST
	Aug 3, 11	105,000	18.708	2,137.94 ²	18.870	1,981.35	-156.59	ST
	Oct 11, 11	122,000	18.216	2,222.47	18.870	2,302.14	79.67	ST
	Oct 21, 11	99,000	18.464	1,827.95	18.870	1,868.13	40.18	ST
Security total		419,000	18.925	7,929.55		7,906.53	-23.02	
PRAXAIR INC Symbol: PX Exchange: NYSE EAI: \$150 Current yield: 1.87%	May 16, 11	11,000	70.900	779.90	66.350	729.85	-50.05	ST
	Aug 3, 11	43,000	64.188	3,105.45 ²	66.350	2,853.05	-252.40	ST
	Oct 11, 11	42,000	61.017	2,562.73	66.350	2,786.70	223.97	ST
	Oct 21, 11	28,000	62.189	1,741.31	66.350	1,857.80	116.49	ST
Security total		124,000	66.043	8,189.39		8,227.40	38.01	
Security total	Aug 3, 11	37,000	100.989	3,736.60	106.900	3,955.30	218.70	ST
	Oct 11, 11	21,000	100.795	2,116.71	106.900	2,244.90	128.19	ST
	Oct 21, 11	17,000	102.918	1,749.61	106.900	1,817.30	67.69	ST
	Security total	75,000	101.372	7,602.92		8,017.50	414.58	

continued next page



Portfolio Management Program
December 2011

Account name:
Account number:

Your Financial Advisor
SHAPIRO/UVACCAR
212-821-2700/800-543-0801

BASSLER FAMILY FOUNDATION, INC
NE 07737 KE

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE								
EAI: \$246 Current yield: 3.15%								
	May 16, 11	15,000	67.130	1,006.95	66.710	1,000.65	-6.30	ST
	Aug 3, 11	39,000	60.559	2,562.89 ²	66.710	2,601.69	38.80	ST
	Oct 11, 11	36,000	64.540	2,323.44	66.710	2,401.56	78.12	ST
	Oct 21, 11	27,000	65.927	1,780.04	66.710	1,801.17	21.13	ST
Security total		117,000	65.584	7,673.32		7,805.07	131.75	
RAYTHEON CO NEW								
Symbol: RTN Exchange: NYSE								
EAI: \$304 Current yield: 3.55%								
	May 16, 11	19,000	49.598	942.38	48.380	919.22	-23.16	ST
	Aug 3, 11	64,000	43.029	3,318.39 ²	48.380	3,096.32	-222.07	ST
	Oct 11, 11	56,000	41.898	2,346.34	48.380	2,709.28	362.94	ST
	Oct 21, 11	38,000	43.699	1,660.57	48.380	1,838.44	177.87	ST
Security total		177,000	46.710	8,267.68		8,563.26	295.58	
SANOFI SPON ADR								
Symbol: SNY Exchange: NYSE								
EAI: \$288 Current yield: 3.62%								
	May 16, 11	37,000	38.146	1,411.41	36.540	1,351.98	-59.43	ST
	Aug 3, 11	58,000	37.176	2,321.76 ²	36.540	2,119.32	-202.44	ST
	Oct 11, 11	77,000	34.008	2,618.69	36.540	2,813.58	194.89	ST
	Oct 21, 11	46,000	35.379	1,627.44	36.540	1,680.84	53.40	ST
Security total		218,000	36.602	7,979.30		7,965.72	-13.58	
TESCO PLC SPONS ADR								
Symbol: TSCDY Exchange: OTC								
EAI: \$263 Current yield: 3.52%								
	Oct 11, 11	304,000	19.223	5,843.89	18.840	5,727.36	-116.53	ST
	Oct 21, 11	93,000	19.540	1,817.22	18.840	1,752.12	-65.10	ST
Security total		397,000	19.298	7,661.11		7,479.48	-181.63	
UNITED PARCEL SERVICE INC CL B								
Symbol: UPS Exchange: NYSE								
EAI: \$229 Current yield: 2.84%								
	May 16, 11	14,000	74.010	1,036.14	73.190	1,024.66	-11.48	ST
	Aug 3, 11	39,000	66.864	3,038.51 ²	73.190	2,854.41	-184.10	ST
	Oct 11, 11	33,000	67.829	2,238.36	73.190	2,415.27	176.91	ST
	Oct 21, 11	24,000	69.987	1,679.70	73.190	1,756.56	76.86	ST
								continued next page





Account name: BASSLER FAMILY FOUNDATION, INC
Account number: NE 07737 KE

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		110,000	72.661	7,992.71		8,050.90	58.19	
UNTD TECHNOLOGIES CORP								
Symbol: UTX Exchange: NYSE								
EAI: \$198 Current yield: 2.63%								
	May 16, 11	14,000	89.098	1,247.38	73.090	1,023.26	-224.12	ST
	Aug 3, 11	34,000	78.818	3,336.01 ²	73.090	2,485.06	-850.95	ST
	Oct 11, 11	31,000	73.700	2,284.70	73.090	2,265.79	-18.91	ST
	Oct 21, 11	24,000	75.199	1,804.78	73.090	1,754.16	-50.62	ST
Security total		103,000	84.203	8,672.87		7,528.27	-1,144.60	
VODAFONE GROUP PLC NEW SPON								
ADR								
Symbol: VOD Exchange: NYSE								
EAI: \$398 Current yield: 5.14%								
	Aug 26, 11	128,000	26.185	3,351.68	28.030	3,587.84	236.16	ST
	Oct 11, 11	89,000	26.865	2,390.99	28.030	2,494.67	103.68	ST
	Oct 21, 11	59,000	27.954	1,649.32	28.030	1,653.77	4.45	ST
Security total		276,000	26.783	7,391.99		7,736.28	344.29	
3M CO								
Symbol: MMM Exchange: NYSE								
EAI: \$213 Current yield: 2.69%								
	May 16, 11	6,000	95.778	574.67	81.730	490.38	-84.29	ST
	Aug 3, 11	38,000	85.527	3,873.12 ²	81.730	3,105.74	-767.38	ST
	Oct 11, 11	32,000	76.418	2,445.40	81.730	2,615.36	169.96	ST
	Oct 21, 11	21,000	79.958	1,679.13	81.730	1,716.33	37.20	ST
Security total		97,000	88.374	8,572.32		7,927.81	-644.51	
Total				\$270,784.77		\$271,858.85	\$1,074.08	

Total estimated annual income: \$8,842

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction



Portfolio Management Program
December 2011

Account name:
Account number:

BASSLER FAMILY FOUNDATION, INC
NE 07737 KE

Your Financial Advisor
SHAPIRO/LIVACCAR
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Your assets > Equities (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES TRUST DJ US REAL STATE INDEX FUND									
Symbol: IYR Exchange: NYSE									
Trade date: Dec 15, 11	389,000	55.032	21,407.83	21,407.83	56.810	22,099.09	691.26	691.26	ST
EAI: \$846 Current yield: 3.83%									
POWERSHARES QQQ TRUST ETF SER 1									
Symbol: QQQ Exchange: OTC									
Trade date: Oct 14, 11	451,000	57.734	26,038.42	26,038.42	55.830	25,179.33	-859.09	-859.09	ST
EAI: \$209 Current yield: 0.83%									
SPDR INDEX SHARES FUNDS SPDR DOW JONES GLOBAL **NAME CHANGE EFF 04/2009 **									
Symbol: RWO Exchange: NYSE									
Trade date: Dec 15, 11	504,000	34.029	17,151.06	17,151.06	34.890	17,584.56	433.50	433.50	ST
EAI: \$546 Current yield: 3.10%									
SPDR S&P 500 ETF TR									
Symbol: SPY Exchange: AMEX									
Trade date: Oct 28, 11	211,000	128.330	27,077.63	27,077.63	125.500	26,480.50	-597.13	-597.13	ST
EAI: \$544 Current yield: 2.05%									
Total			\$91,674.94	\$91,674.94		\$91,343.48	-\$331.46	-\$331.46	
Total estimated annual income:	\$2,145								





Account name:
Account number:

BASSLER FAMILY FOUNDATION, INC
NE 07737 KE

Your assets (continued)

Fixed income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
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VANGUARD BD INDEX FD INC TOTAL

BOND MKT ETF

Symbol: BND Exchange: NYSE

Trade date: Jun 9, 11

Trade date: Aug 26, 11

Trade date: Sep 23, 11

EAI: \$2,681 Current yield: 3.11%

Security total

	236,000	81.769	19,297.71	19,297.71	83.540	19,715.44	417.73		ST
	279,000	83.481	23,291.33	23,291.33	83.540	23,307.66	16.33		ST
	517,000	84.264	43,564.69	43,564.69	83.540	43,190.18	-374.51		ST
	1,032,000	83.482	86,153.73	86,153.73		86,213.28	59.55		

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
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PIMCO UNCONSTRAINED BOND

FUND CLASS A

Symbol: PUBAX

Trade date: Feb 28, 11

Total reinvested

EAI: \$520 Current yield: 1.50%

Security total

	3,120,722	11.160	34,827.26	34,827.26	10.890	33,984.66	-842.60		ST
	68,855	11.017		758.60	10.890	749.83	-8.77		
	3,189,577	11.157	34,827.26	35,585.86		34,734.49	-851.37		

Total Fixed Income

121,739.59

120,947.77

CP260002000934356 PZ6000080408 00001 1211 X24 000000000 ONE KE



Portfolio Management Program
December 2011

Account name:
Account number:

BASSLER FAMILY FOUNDATION, INC
NE 07737 KE

Your Financial Advisor:
SHAPIRO/IVACCARI
212-821-2700/800-543-0802

Your assets (continued)

Other

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
BLACKROCK GLOBAL ALLOCATION FUND INC A									
Symbol: MDLOX									
Trade date: Oct 16, 09	815.047	17.910	14,597.49	14,597.49	18.160	14,801.25	203.76		LT
Trade date: May 3, 10	232.826	18.340	4,270.02	4,270.02	18.160	4,228.12	-41.90		LT
Trade date: May 14, 10	309.684	17.760	5,499.99	5,499.99	18.160	5,623.86	123.87		LT
Trade date: Jun 1, 10	297.953	17.150	5,109.90	5,109.90	18.160	5,410.83	300.93		LT
Trade date: Jul 1, 10	56.690	17.020	964.86	964.86	18.160	1,029.49	64.63		LT
Trade date: Oct 1, 10	352.483	18.600	6,556.19	6,556.19	18.160	6,401.09	-155.10		LT
Trade date: Nov 1, 10	328.392	19.000	6,239.44	6,239.44	18.160	5,963.60	-275.84		LT
Trade date: Dec 2, 10	365.678	19.150	7,002.74	7,002.74	18.160	6,640.71	-362.03		LT
Trade date: Dec 21, 10	323.296	19.200	6,207.29	6,207.29	18.160	5,871.06	-336.23		LT
Trade date: Feb 28, 11	357.729	20.050	7,172.46	7,172.46	18.160	6,496.36	-676.10		ST
Trade date: Oct 21, 11	131.360	18.720	2,459.06	2,459.06	18.160	2,385.50	-73.56		ST
Total reinvested	225.872	18.362	4,147.61	4,147.61	18.160	4,101.84	-45.77		
EAI: \$1,318 Current yield: 1.91%									
Security total	3,797.010	18.495	66,079.44	70,227.05		68,953.70	-1,273.34	2,874.27	

IVA WORLDWIDE FUND

CLASS A

Symbol: IWVAX

Trade date: Jun 22, 10

Trade date: Jul 1, 10

Trade date: Oct 1, 10

2,118.864	15.130	32,058.41	32,058.41	15.360	32,545.75	487.34			LT
31.384	14.630	459.15	459.15	15.360	482.06	22.91			LT
371.412	16.110	5,983.44	5,983.44	15.360	5,704.89	-278.55			LT

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Account name: BASSLER FAMILY FOUNDATION, INC
Account number: NE 07737 KE

Your assets • Other • Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Nov 1, 10	341.675	16.570	5,661.56	5,661.56	15.360	5,248.13	-413.43		LT
Trade date: Dec 2, 10	385.334	16.810	6,477.46	6,477.46	15.360	5,918.73	-558.73		LT
Trade date: Dec 21, 10	373.034	16.640	6,207.28	6,207.28	15.360	5,729.80	-477.48		LT
Trade date: Feb 28, 11	390.405	17.230	6,726.67	6,726.67	15.360	5,996.62	-730.05		ST
Trade date: Oct 21, 11	35.233	16.590	584.52	584.52	15.360	541.18	-43.34		ST
Total reinvested	421.011	15.607		6,570.75	15.360	6,466.73	-104.02		
Security total	4,468.352	15.829	64,158.49	70,729.24		68,633.88	-2,095.35	4,475.40	

IVY ASSET STRATEGY

FUND CLASS A

Symbol: WASAX

Trade date: Oct 16, 09	668.740	22.240	14,872.78	14,872.78	22.260	14,886.15	13.37		LT
Trade date: May 3, 10	185.684	23.010	4,272.60	4,272.60	22.260	4,133.33	-139.27		LT
Trade date: May 11, 10	52.074	21.560	1,122.72	1,122.72	22.260	1,159.17	36.45		LT
Trade date: May 14, 10	256.529	21.440	5,499.99	5,499.99	22.260	5,710.34	210.35		LT
Trade date: Jun 1, 10	172.697	20.540	3,547.20	3,547.20	22.260	3,844.23	297.03		LT
Trade date: Jul 1, 10	69.625	20.440	1,423.14	1,423.14	22.260	1,549.85	126.71		LT
Trade date: Oct 1, 10	282.602	22.890	6,468.75	6,468.75	22.260	6,290.72	-178.03		LT
Trade date: Nov 1, 10	218.583	23.750	5,191.35	5,191.35	22.260	4,865.66	-325.69		LT
Trade date: Dec 2, 10	205.323	24.450	5,020.15	5,020.15	22.260	4,570.49	-449.66		LT
Trade date: Dec 21, 10	255.025	24.340	6,207.30	6,207.30	22.260	5,676.86	-530.44		LT
Trade date: Feb 28, 11	354.176	25.010	8,857.95	8,857.95	22.260	7,883.96	-973.99		ST
Trade date: Oct 21, 11	302.873	22.850	6,920.64	6,920.64	22.260	6,741.95	-178.69		ST
Total reinvested	58.713	22.755		1,336.02	22.260	1,306.95	-29.07		
EAI: \$863 Current yield: 1.26%									
Security total	3,082.644	22.948	69,404.57	70,740.59		68,619.65	-2,120.93	-784.91	

PERMANENT PORTFOLIO FUND INC

Symbol: PRPFX

Trade date: May 16, 11	1,185.494	47.750	56,607.33	56,607.33	46.090	54,639.41	-1,967.92		ST
Trade date: Aug 3, 11	249.330	49.600	12,366.77	12,366.77	46.090	11,491.62	-875.15		ST
Trade date: Oct 21, 11	34.889	47.360	1,652.34	1,652.34	46.090	1,608.03	-44.31		ST
Total reinvested	22.236	47.589		1,058.20	46.090	1,024.86	-33.34		
EAI: \$626 Current yield: 0.91%									

continued next page



Portfolio Management Program
December 2011

Account name:
Account number:

BASSLER FAMILY FOUNDATION, INC
NE 07737 KE

Your Financial Advisor
SHAPIO/UVACCAR
212-821-2700/800-543-0802

Your assets • Other • Mutual funds (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Security total	1,491.949	48.048	70,626.44	71,684.64		68,763.92	-2,920.72	-1,862.52	

PIMCO ALL ASSETS ALL

AUTH-A

Symbol: PAUAX

Trade date: May 16, 11

Trade date: Aug 3, 11

Trade date: Aug 26, 11

Total reinvested

EAI: \$5,712 Current yield: 8.10%

Security total	7,069.603	10.831	71,659.95	76,570.34		70,483.94	-6,086.39	-1,176.00	ST
Total			\$341,928.89	\$359,951.86		\$345,455.09	-\$14,496.73	\$3,526.20	ST

Total estimated annual income: \$8,519

Total Investments

829,605.19

Your total assets

	Value on Dec 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	38,240.04	4.41%	38,240.04		
Equities					
Common stock	271,858.85		270,784.77	8,842.00	1,074.08
Closed end funds & Exchange traded products	91,343.48		91,674.94	2,145.00	-331.46
Total equities	363,202.33	41.85%	362,459.71	10,987.00	742.62
Fixed income					
Closed end funds & Exchange traded products	86,213.28		86,153.73	2,681.00	59.55
Mutual funds	34,734.49		35,585.86	520.00	-851.37
Total fixed income	120,947.77	13.94%	121,739.59	3,201.00	-791.82
Mutual funds	345,455.09	39.80%	359,951.86	8,519.00	-14,496.73
Other					
Total	\$867,845.23	100.00%	\$882,391.20	\$22,707.00	-\$14,545.93

A: 844,151.16



Bassler Family Foundation
EIN 26-1561741

Account Number NE 07737 KE
Your Financial Advisor or Contact
SHAPIRO/VACCARI
212-821-2700/800-543-0802

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000004900005431-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Cost or Other Basis	Sale Amount	Gain/Loss disallowed	Wash sale loss
				Acquired	Sold				
AFLAC INC	Trace	52.0000		05/16/11	08/26/11	2,813.42	1,805.06	(1,008.36)	1,008.36
AIR PROD & CHEMICAL INC	Trace	34.0000		05/16/11	08/26/11	3,111.17	2,596.00	(515.17)	424.26
AT&T INC	Trace	99.0000		05/16/11	08/26/11	3,400.79	3,138.04	(262.75)	183.20
BOEING COMPANY	Trace	44.0000		05/16/11	08/26/11	3,454.82	2,572.52	(782.30)	782.30
BRITISH AMER TOBACCO PLC GB SPON ADR	Trace	32.0000		05/16/11	08/26/11	2,777.10	2,777.10	(25.51)	17.00
COCA COLA CO COM	Trace	47.0000		05/16/11	08/26/11	3,201.04	3,192.78	(8.26)	6.50
CO GATE PALMOLIVE CO	Trace	40.0000		05/16/11	08/26/11	3,468.77	3,448.95	(19.82)	14.37
EMERSON ELECTRIC CO	Trace	61.0000		05/16/11	08/26/11	3,268.81	2,586.99	(58.82)	553.27
EXXON MOBIL CORP	Trace	39.0000		05/16/11	08/26/11	3,155.63	2,771.22	(385.41)	355.76
GENL MILLS INC	Trace	89.0000		05/16/11	08/26/11	3,521.16	3,236.95	(284.21)	229.92
HOME DEPOT INC	Trace	103.0000		05/16/11	08/26/11	3,828.13	3,504.51	(323.62)	257.64
ILLINOIS TOOL WORKS INC	Trace	59.0000		05/16/11	08/26/11	3,440.23	2,547.15	(893.08)	862.87
INTEL CORP	Trace	30.0000		05/16/11	08/26/11	3,094.42	2,515.54	(574.78)	504.04
JOHNSON & JOHNSON COM	Trace	52.0000		05/16/11	08/26/11	3,462.11	3,290.65	(171.46)	175.47
MCDONALD'S CORP	Trace	40.0000		05/16/11	08/26/11	3,244.77	3,546.47	301.70	
METRONIC INC	Trace	93.0000		05/16/11	08/26/11	3,925.01	3,110.14	(814.87)	736.07
NATIONAL GRID PLC NEW SPON ADR	Trace	63.0000		05/16/11	08/26/11	3,168.46	3,043.24	(125.22)	85.47
	Trace	27.0000		05/16/11	01/11/11	1,357.91	1,348.51	(9.30)	

continued next page

Account Number NE 07737 KE
Your Financial Advisor or Contact
SHAPIROL VACCARI
212-821-2700/800-543-0802

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000004900005432-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Acquired	Date					
NATIONAL GRID PLC NEW SPON ADR	Trace	43.0000		08/03/11	01/11/11		2,147.78	2,234.62	(86.84)	
	Sub-total:	133.0000					6,539.63	6,760.99	(221.36)	
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	Trace	50.0000		05/16/11	08/26/11		3,086.76	3,105.00	(18.24)	12.40
	Trace	40.0000		05/16/11	08/26/11		2,180.18	2,341.95	(161.77)	161.77
NEXTERA ENERGY INC COM	Trace	21.0000		08/03/11	08/26/11		1,144.59	1,127.68	16.91	
	Sub-total:	61.0000					3,324.77	3,469.63	(144.86)	
NORTHEAST UTILITIES	Trace	01.0000		05/16/11	08/26/11		3,390.58	3,637.01	(246.43)	173.23
	Trace	51.0000		05/16/11	08/26/11		2,855.12	3,125.75	(270.63)	27.57
NOVARTIS AG SPON ADR	Trace	20.0000		05/16/11	08/26/11		2,115.70	2,182.19	(66.49)	66.49
	Trace	48.0000		08/03/11	08/26/11		846.28	887.63	(41.35)	
NTT DOCOMO INC EC-F REPSTG 1/200TH COM SHS SPON ADR	Trace	168.0000					2,961.98	3,069.82	(107.84)	
	Trace	57.0000		05/16/11	08/26/11		2,575.87	2,939.44	(259.57)	173.60
PEARSON PLC SPON ADR	Trace	49.0000		05/16/11	08/26/11		3,080.58	3,474.10	(393.52)	345.33
	Trace	470.0000		08/03/11	08/24/11		24,448.93	25,573.80	(1,124.87)	
POWERSHARES QQQ TRUST EFF SER 1	Trace	4.0000		10/14/11	01/21/11		228.40	230.94	(2.54)	
	Sub-total:	474.0000					24,677.33	26,804.74	(2,127.41)	
PRAXAIR INC	Trace	40.0000		05/16/11	07/12/11		4,332.57	4,141.53	191.04	
	Trace	29.0000		08/03/11	08/26/11		2,549.73	2,928.68	(278.95)	
PRAXAIR INC	Trace	69.0000					6,882.30	7,070.21	(87.91)	
	Sub-total:									

continued next page

Account Number NE 07737 KE
Your Financial Advisor or Contact
SHAPIROL VACCARI
212-821-2700/800-543-0802

UBS Financial Services Inc.

2011 Informational Statement

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Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Acquired	Sold				
PROCTER & GAMBLE CO	Trace	55.0000		05/16/11	08/26/11	3,406.57	3,692.15	(285.58)	201.08
RAYTHEON CO NEW	Trace	71.0000		05/16/11	08/26/11	2,895.25	3,521.53	(626.28)	564.53
SANOFI SPON ADR	Trace	83.0000		05/16/11	08/26/11	2,925.28	3,166.13	(236.85)	165.57
SPDR S&P MIDCAP 400 ETF TR	Trace	50.0000		02/28/11	05/16/11	9,016.17	8,772.40	245.77	
	Trace	100.0000		02/28/11	08/03/11	16,480.91	17,544.79	(1,063.88)	
	Trace	60.0000		03/18/11	08/03/11	9,886.54	10,257.86	(369.32)	
Sub-total:		210.0000				35,387.62	36,575.05	(1,187.43)	
UNITED PARCEL SERVICE INC CL	Trace	46.0000		05/16/11	08/26/11	2,896.36	3,404.46	(508.10)	430.78
UNTD TECHNOLOGIES CORP	Trace	36.0000		05/16/11	08/26/11	2,512.78	3,207.55	(694.77)	656.17
VEOLIA ENVIRONNEMENT SPON	Trace	140.0000		05/16/11	08/05/11	2,251.58	4,408.91	(2,157.33)	
	Trace	182.0000		08/03/11	08/05/11	2,927.06	3,753.42	(826.36)	
Sub-total:		322.0000				5,178.64	8,162.33	(2,983.69)	
3M CO	Trace	34.0000		05/16/11	08/26/11	2,633.39	3,255.45	(623.06)	623.06
Sub Total						\$164,264.98	\$181,636.63	\$(17,371.65)	

continued next page

Account Number NE 07737 KE
Your Financial Advisor or Contact
SHAPIRO/L VACCARI
212-821-2700/800-543-6802

UBS Financial Services Inc.

2011 Informational Statement

P3101G000004900005434-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-S without cost basis; proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Wash sale loss	
				Acquired	Sold			Gain/Loss	disallowed
ISHARES INC MSCI MEXICO FREE INDEX FD	Trace	280.0000		12/02/10	01/14/11	17,547.50	17,049.93	497.57	
	Trace	20.0000		12/21/10	01/14/11	1,253.39	1,227.30	26.09	
	Sub-total:	300.0000				18,800.89	18,277.23	523.66	
ISHARES TRUST D. L.S BASIC MATERIALS SECTR INDEX FD	Trace	330.0000		01/14/11	08/26/11	21,486.92	25,574.34	(4,087.42)	
	Trace	20.0000		02/28/11	08/26/11	1,302.23	1,582.74	(280.51)	
	Trace	9.0000		08/03/11	08/26/11	586.01	666.89	(80.88)	
	Sub-total:	359.0000				23,375.16	27,823.97	(4,448.81)	
ISHARES TRUST D. L.S REAL STATE INDEX FUND	Trace	460.0000		02/28/11	08/03/11	26,328.48	27,834.60	(1,506.12)	
ISHARES TRUST US ENERGY SECTOR INDEX FUND	Trace	620.0000		02/28/11	08/03/11	25,904.29	27,801.73	(1,897.44)	
	Trace	40.0000		05/16/11	08/03/11	1,571.24	1,692.52	(121.28)	
	Sub-total:	660.0000				27,575.53	29,494.25	(1,918.72)	
ISHARES MSCI LEAFE INDEX FUND	Trace	450.0000		02/28/11	03/18/11	25,972.91	27,693.00	(1,720.09)	
ISHARES MSCI EMERGING MARKETS INDEX FUND	Trace	530.0000		01/14/11	02/28/11	24,247.03	25,328.60	(1,081.57)	
	Trace	30.0000		04/08/11	05/16/11	1,414.52	1,503.30	(88.78)	
	Trace	206.0000		04/08/11	06/09/11	9,758.06	10,322.66	(564.60)	
	Trace	394.0000		04/08/11	08/03/11	17,832.09	19,743.34	(1,911.25)	
	Sub-total:	1,160.0000				53,251.70	56,897.90	(3,646.20)	
ISHARES SILVER TRUST	Trace	50.0000		11/01/10	01/14/11	1,376.01	1,203.38	172.63	
Trace		90.0000		11/01/10	02/28/11	2,966.80	2,165.08	800.72	

continued next page

Account Number NE 07737 KE
Your Financial Advisor or Contact
SHAPIRO, VACCARI
212-821-2700/800-543-0802

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000004900005435-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis; proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Acquired	Sold				
ISHARES SILVER TRUST	Trace	790.0000		11/01/10	05/03/11	31,685.73	19,013.34	12,676.39	
	Trace	60.0000		12/21/10	05/03/11	2,406.82	1,713.32	693.50	
	Sub-total:	990.0000				38,439.36	24,096.12	14,343.24	
ISHARES TRUST S&P GLOBAL MATERIALS INDEX FUND	Trace	350.0000		01/14/11	08/09/11	21,503.48	25,367.91	(3,864.43)	
	Trace	20.0000		02/28/11	08/09/11	1,228.77	1,486.60	(257.83)	
	Trace	20.0000		05/16/11	08/09/11	1,228.77	1,448.28	(219.51)	
	Sub-total:	390.0000				23,961.02	28,302.79	(4,341.77)	
ISHARES BARCLAYS SHORT TREASURY INDEX FUND	Trace	83.0000		10/03/11	01/11/11	9,145.06	9,149.92	(0.86)	
	Trace	43.0000		10/03/11	01/14/11	15,762.71	15,764.31	(1.60)	
	Trace	60.0000		10/03/11	02/21/11	17,634.92	17,638.40	(3.48)	
	Sub-total:	386.0000				42,542.69	42,552.63	(9.94)	
ISHARES MSCI CHILE INDEX FUND	Trace	220.0000		12/02/10	01/14/11	16,390.80	17,068.69	(677.89)	30.81
	Trace	10.0000		12/21/10	01/14/11	745.04	829.38	(84.34)	
	Sub-total:	230.0000				17,135.84	17,898.07	(762.23)	
ISHARES INC VISCIT THAILAND INDEX FUND	Trace	270.0000		09/17/10	01/14/11	17,333.30	15,432.53	1,900.77	
	Trace	10.0000		12/21/10	01/14/11	641.97	631.38	10.59	
	Sub-total:	280.0000				17,975.27	16,063.91	1,911.36	
IWA WORLDWIDE FUND CLASS A	Trace	96.8480		06/22/10	01/14/11	1,631.89	1,465.31	166.58	
	Trace	1,136.9520		06/22/10	05/16/11	19,805.70	17,202.08	2,603.62	
	Sub-total:	1,233.8000				21,437.59	18,667.39	2,770.20	

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Account Number VE 07737 KE
Your Financial Advisor or Contact
SHAPIRO/L VACCARI
212-821-2700/800-543-0802

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000004900005436-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "8" activity was reported by UBS to the IRS on Form 1099-S without cost basis; proceeds only, even though cost basis is displayed here

Security Description LOOMIS SAYLES INVESTMENT GRADE BOND FUND CLASS A	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Date Acquired	Date Sold				
	Trace	15.1550		08/05/10	07/27/11	190.50	186.25	4.25	
	Trace	16.5000		09/07/10	07/27/11	207.40	203.12	4.28	
	Trace	8.0260		10/01/10	07/27/11	100.89	100.65	0.24	
	Trace	16.4980		10/06/10	07/27/11	207.38	206.88	0.50	
	Trace	68.8090		11/01/10	07/27/11	2,121.93	2,132.06	(10.13)	
	Trace	262.4280		11/01/10	08/03/11	3,322.34	3,314.47	7.87	
	Trace	16.8200		11/05/10	08/03/11	212.94	212.44	0.50	
	Trace	547.0820		12/02/10	08/03/11	6,926.04	6,767.40	158.64	
	Trace	19.3230		12/06/10	08/03/11	244.53	238.45	6.18	
	Trace	389.6460		12/21/10	08/03/11	4,932.92	4,795.54	136.38	
	Trace	59.6720		01/05/11	08/03/11	755.45	714.87	40.58	
	Trace	26.7360		01/05/11	08/03/11	338.48	320.30	18.18	
	Trace	66.6460		01/05/11	08/03/11	843.74	798.42	45.32	
	Trace	23.4910		02/04/11	08/03/11	297.40	285.42	11.98	
	Trace	21.5040		03/04/11	08/03/11	272.24	263.42	8.82	
	Trace	22.2720		04/06/11	08/03/11	281.96	273.05	8.91	
	Trace	21.4880		05/02/11	08/03/11	272.04	268.81	3.23	
	Trace	11.9270		06/01/11	08/03/11	151.00	149.68	1.32	

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Account Number NE 07737 KE
Your Financial Advisor or Contact
SHAPIROL VACCARI
212-821-2700/800-543-0802

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000004900005437.X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity/Type	Quantity/ Face Value	Original Cost Basis	Purchase Date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Wash Sale Loss Disallowed
				Acquired	Date					
LOOMIS SAYLES INVESTMENT GRADE BOND FUND CLASS A	Trace	12.3050		07/01/11	08/03/11		155.78	152.71	3.07	
	Trace	5.9130		08/01/11	08/03/11		74.86	74.62	0.24	
	Sub-total:	1,732.2410					21,909.92	21,459.56	450.36	
PIMCO ALL ASSETS ALL AMH-A	Trace	470.7920		05/16/11	02/11/11		4,858.57	5,122.22	(263.65)	
PIMCO UNCONSTRAINED BOND FUND CLASS A	Trace	2,492.2590		02/28/11	05/16/11		27,713.92	27,813.61	(99.69)	
Trace		210.470		02/28/11	08/03/11		2,330.53	2,345.24	(14.71)	
Trace		37.6420		02/28/11	02/11/11		408.79	420.08	(11.29)	
Sub-total:		2,740.0480					30,453.24	30,578.93	(125.69)	
POWERSHARES QQQ TRUST E F SER 1	Trace	390.0000		10/21/10	01/14/11		22,147.72	20,033.98	2,113.74	
Trace		20.0000		12/21/10	01/14/11		1,135.78	1,095.64	40.14	
Sub-total:		410.0000					23,283.50	21,129.62	2,153.88	
POWERSHARES DB MULT SECTOR COMMODITY TR DB AGRICULTURE FUND	Trace	600.0000		08/20/10	01/14/11		15,505.74	15,705.90	3,799.84	
Trace		470.0000		08/20/10	05/05/11		15,325.30	12,302.96	3,026.34	
Trace		50.0000		09/17/10	05/05/11		4,892.33	4,148.52	743.81	
Trace		90.0000		12/02/10	05/05/11		2,935.40	2,685.19	249.21	
Trace		80.0000		12/21/10	05/05/11		2,605.24	2,527.28	81.95	
Trace		60.0000		03/18/11	05/05/11		5,218.49	5,381.77	(163.28)	
Sub-total:		1,550.0000					50,490.50	42,752.62	7,737.88	

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Account Number VE 07737 KE
Your Financial Advisor or Contact
SHAPIRO/L VACCARI
212-821-2700/800-543-0802

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000004900005438-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis; proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Date Acquired	Date Sold				
PRUDENTIAL SHORT-TERM CORPORATE BOND FUND CLASS A	Trace	261.5980		11/01/10	01/14/11	3,008.38	3,055.46	(47.08)	
	Trace	1,102.0470		11/01/10	02/28/11	12,651.50	12,871.91	(220.41)	
	Trace	1,640.0020		11/01/10	05/16/11	18,925.62	19,155.22	(229.60)	
	Trace	2,073.0430		11/01/10	07/27/11	23,881.46	24,213.14	(331.68)	
	Trace	60.3970		11/01/10	08/03/11	1,854.19	1,873.44	(19.25)	
	Trace	9.6400		11/19/10	08/03/11	111.44	111.73	(0.29)	
	Trace	523.2810		12/02/10	08/03/11	6,049.13	6,028.20	20.93	
	Trace	17.3820		12/20/10	08/03/11	200.94	199.55	1.39	
	Trace	418.810		12/21/10	08/03/11	4,834.17	4,795.54	37.63	
	Trace	6.9370		12/31/10	08/03/11	80.19	79.57	0.62	
	Trace	13.6340		01/20/11	08/03/11	157.51	156.52	1.09	
	Trace	21.3130		02/18/11	08/03/11	246.38	244.25	2.13	
	Trace	15.9060		03/18/11	08/03/11	183.87	182.92	0.95	
	Trace	16.5140		04/20/11	08/03/11	190.90	189.58	1.32	
	Trace	16.3970		05/20/11	08/03/11	189.55	189.22	0.33	
	Trace	9.9820		06/20/11	08/03/11	115.39	115.19	0.20	
	Trace	13.8860		07/31/11	08/03/11	160.52	160.38	0.14	
Sub-total:		6,320.1400				72,841.24	73,622.82	(781.58)	

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Your Financial Advisor or Contact
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UBS Financial Services Inc.

2011 Informational Statement

P3101G0000004900005439-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Acquired	Sold				
SPDR DOW JONES GLOBAL REAL EST ETF	Trace	650.0000		01/14/11	02/11/11	25,120.35	25,412.98	(292.63)	
SPDR GOLD TRUST	Trace	30.0000		08/20/10	01/14/11	17,220.29	15,584.36	1,635.93	
	Trace	20.0000		09/17/10	01/14/11	2,545.28	2,493.61	155.67	
	Trace	30.0000		10/01/10	01/14/11	3,973.91	3,863.55	110.35	
	Trace	27.0000		07/27/11	01/11/11	4,366.83	4,245.05	121.78	
	Trace	91.0000		07/27/11	02/11/11	14,495.12	14,307.40	187.72	
	Trace	62.0000		07/27/11	02/15/11	9,443.70	9,747.90	(304.20)	
	Trace	11.0000		08/03/11	02/15/11	1,575.49	1,781.77	(106.28)	
	Trace	91.0000		08/09/11	02/15/11	29,092.67	32,147.21	(3,054.54)	
	Trace	6.0000		08/26/11	02/15/11	913.91	1,042.38	(128.47)	
Sub-total:		568.0000				83,831.20	85,213.23	(1,382.03)	

SPDR INDEX SHARES - FUNDS
SPDR DOW JONES GLOBAL
NAME CHANGE EFF 04/09

	Trace	740.0000		01/14/11	02/28/11	28,740.51	27,521.06	1,219.45	
	Trace	80.0000		01/14/11	08/03/11	6,785.13	6,694.31	90.82	
	Trace	540.0000		02/11/11	08/03/11	20,355.37	20,542.71	(187.34)	
Sub-total:		1,460.0000				55,881.01	54,758.08	1,122.93	

VANGUARD INDEX FUNDS
VANGUARD MID CAP ETF

	Trace	280.0000		12/02/10	01/14/11	21,304.83	20,450.50	854.33	
	Trace	10.0000		12/21/10	01/14/11	760.89	751.68	9.21	
Sub-total:		290.0000				22,065.72	21,202.18	863.54	

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UBS Financial Services Inc.

2011 Informational Statement

P3101G0000034900005440-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis; proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Acquired	Sold				
VANGUARD REIT ETF	Trace	54.0000		08/03/11	01/11/11	2,768.12	3,105.46	(337.34)	
	Trace	15.0000		08/03/11	01/21/11	820.93	862.63	(41.70)	
	Trace	471.0000		08/03/11	02/15/11	26,375.98	27,086.55	(710.57)	
Sub-total:		540.0000				29,965.03	31,054.64	(1,089.61)	
VANGUARD INDEX FUNDS									
VANGUARD MID-CAP GROWTH INDEX FUND	Trace	340.0000		12/02/10	01/14/11	21,643.29	20,705.17	938.12	
	Trace	20.0000		12/21/10	01/14/11	1,273.13	1,253.58	19.55	
Sub-total:		360.0000				22,916.42	21,958.75	957.67	
VANGUARD MSCI EMERGING MARKETS ETF									
	Trace	1,090.0000		12/02/10	01/14/11	52,646.15	51,969.68	676.47	
	Trace	70.0000		12/21/10	01/14/11	3,380.95	3,333.41	47.54	
Sub-total:		1,160.0000				56,027.10	55,303.09	724.01	
VANGUARD INTL EQUITY INDEX FD NC FSTE ALL-WORLD EX-US INDEX FUND									
	Trace	30.0000		01/14/11	02/28/11	1,482.96	1,452.46	30.50	
	Trace	670.0000		01/14/11	03/18/11	31,561.28	32,438.34	(877.06)	
	Trace	65.0000		08/03/11	08/05/11	7,319.51	7,721.73	(402.22)	
	Trace	404.0000		08/03/11	08/09/11	17,076.01	18,906.55	(1,830.54)	
Sub-total:		1,269.0000				57,439.76	60,519.08	(3,079.32)	
VANGUARD SCOTTS DALE FDS									
VNG RUS300IDX ETF	Trace	378.0000		01/14/11	06/09/11	22,466.19	22,352.94	113.25	
	Trace	52.0000		01/14/11	08/24/11	2,766.17	3,075.01	(308.84)	
	Trace	100.0000		02/28/11	08/24/11	5,319.56	5,102.91	(216.65)	

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UBS Financial Services Inc.

2011 Informational Statement

P3101G0000004900005441-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis; proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Date Acquired	Date Sold				
VANGUARD SCOTTISDALE FDS VNG RUS000IDX ETF	Trace	240.0000		03/18/11	08/24/11	12,766.95	14,165.24	(1,398.29)	
	Trace	69.0000		08/03/11	08/24/11	3,570.50	3,981.99	(311.49)	
	Sub-total:	839.0000				46,989.37	49,678.09	(2,688.72)	
VANGUARD SCOTTISDALE FDS VNG RUS2000IDX ETF	Trace	350.0000		12/02/10	02/28/11	22,624.41	20,732.00	1,892.41	
	Trace	20.0000		12/21/10	02/28/11	1,292.82	1,243.40	49.42	
	Trace	70.0000		01/14/11	02/28/11	10,989.00	10,751.19	237.81	
	Sub-total:	540.0000				34,906.23	32,726.59	2,179.64	
VANGUARD G_3L EX ETF	Trace	262.0000		08/03/11	2/15/11	10,804.80	13,308.03	(2,503.23)	
	Trace	27.0000		10/21/11	2/15/11	1,113.47	1,200.83	(87.36)	
	Sub-total:	289.0000				11,918.27	14,508.86	(2,590.59)	
VANGUARD BD INDEX FDI INC TOTAL BOND MKT ETF	Trace	500.0000		01/14/11	02/28/11	40,159.22	40,215.99	(56.77)	
	Trace	350.0000		01/14/11	08/03/11	28,986.47	28,151.19	835.28	
	Trace	19.0000		05/16/11	08/03/11	1,573.55	1,544.87	28.68	
Trace	Trace	91.0000		05/16/11	01/11/11	7,546.77	7,399.12	147.65	
	Trace	26.0000		06/09/11	01/11/11	2,156.22	2,125.02	30.20	
	Trace	75.0000		06/09/11	02/1/11	6,244.46	6,132.75	111.71	
	Sub-total:	1,061.0000				86,666.69	85,569.94	1,096.75	
VANGUARD BD INDEX FDI INC SHORT TERM BOND ETF	Trace	700.0000		11/01/10	01/14/11	8,057.04	8,185.78	(129.74)	

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UBS Financial Services Inc.

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Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Acquired	Sold				
VANGUARD MSCI EAFE E F	Trace	212 0000		08/03/11	08/05/11	7,120.08	7,572.74	(452.66)	
	Trace	1 0000		08/03/11	08/05/11	33.74	35.72	(1.98)	
	Trace	532.0000		08/03/11	08/09/11	17,350.10	19,003.29	(1,653.19)	
Sub-total:		745.0000				24,503.92	26,611.75	(2,107.83)	
VANGUARD ADMIRAL FDS INC									
SM_CP 600 GRTH	Trace	340 0000		12/02/10	01/14/11	21,391.82	20,410.93	980.89	
	Trace	20.0000		12/21/10	01/14/11	1,258.34	1,255.20	3.14	
Sub-total:		360.0000				22,650.16	21,666.13	984.03	
WELLS FARGO ASSET									
ALLOCATION FUND CLASS A	Trace	43 4560		06/01/10	05/16/11	1,796.07	1,547.89	248.18	
	Trace	279.5530		06/01/10	05/17/11	3,500.00	3,016.38	483.62	
	Trace	208 5110		09/17/10	08/03/11	2,598.05	2,405.22	191.83	
	Trace	538 8550		10/01/10	08/03/11	6,714.13	6,353.10	351.03	
	Trace	542.2240		11/01/10	08/03/11	6,756.11	6,512.11	244.00	
	Trace	626 2500		12/02/10	08/03/11	7,803.08	7,521.26	281.82	
	Trace	511.7310		12/21/10	08/03/11	6,376.17	6,207.30	168.87	
	Trace	112 6440		12/31/10	08/03/11	1,403.54	1,350.60	52.94	
Sub-total:		2,963.2240				36,947.15	34,914.86	2,032.29	
Sub Total						\$1,166,522.83	\$1,159,552.66	\$6,970.17	
Total Short-Term Gain/Loss						\$1,330,787.81	\$1,341,189.29	\$(10,401.48)	

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UBS Financial Services Inc.

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P3101G0000004900005443-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for more than one year. Type "S" activity was reported by UBS to the IRS on Form 1099-S without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Acquired	Sold				
BLAC ROCK GLOBAL ALLOCATION FUND INC A	Trade	22.5690		07/23/09	01/14/11	443.70	369.68	74.02	
	Trade	85.0560		09/23/09	01/14/11	1,672.20	1,494.43	177.77	
	Trade	452.9530		09/23/09	05/16/11	9,072.65	7,958.38	1,114.27	
	Trade	742.5830		09/23/09	08/03/11	14,680.86	13,047.18	1,633.68	
	Trade	407.6220		10/16/09	08/03/11	8,058.69	7,300.51	758.18	
Sub-Total:		1,710.7830				33,928.10	30,170.18	3,757.92	
IVA WORLDWIDE FUND CLASS A	Trade	685.6920		06/22/10	08/03/11	11,711.62	10,374.52	1,337.10	
IVY ASSET STRATEGY FUND CLASS A									
Trade		36.6850		07/23/09	01/14/11	917.50	739.57	177.93	
Trade		61.5040		07/23/09	05/16/11	1,581.65	1,247.98	333.67	
Trade		792.0410		09/23/09	05/16/11	20,236.64	17,266.49	2,970.15	
Trade		240.0690		09/23/09	08/03/11	6,203.38	5,233.50	969.88	
Trade		292.5010		10/16/09	08/03/11	7,558.23	6,505.22	1,053.01	
Sub-Total:		1,423.2000				36,497.40	30,992.76	5,504.64	
LOOMIS SAYLES INVESTMENT GRADE BOND FUND CLASS A									
Trade		337.0810		09/23/09	01/14/11	4,102.28	3,903.40	198.88	
Trade		309.4140		09/23/09	02/28/11	3,808.89	3,583.01	225.88	
Trade		528.1370		09/23/09	05/16/11	6,601.71	6,115.83	485.88	
Trade		18.5080		10/06/09	05/16/11	231.35	214.88	16.47	
Trade		182.2510		10/16/09	05/16/11	22,765.64	21,290.42	1,475.22	
Trade		103.6420		10/16/09	07/27/11	1,302.78	1,211.57	91.21	

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UBS Financial Services Inc.

2011 Informational Statement

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "S" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Date Acquired	Date					
LOONIS SAYLES INVESTMENT GRADE BOND FUND CLASS A	Trade	28.3590		11/06/09		07/27/11	356.47	329.82	26.65	
	Trade	25.6600		12/04/09		07/27/11	322.56	303.06	19.50	
	Trade	15.2700		01/06/10		07/27/11	91.96	178.36	13.60	
	Trade	29.5030		01/06/10		07/27/11	370.85	344.60	26.25	
	Trade	28.4800		02/04/10		07/27/11	357.99	336.06	21.93	
	Trade	27.1690		03/04/10		07/27/11	341.51	322.50	19.01	
	Trade	27.5850		04/07/10		07/27/11	346.74	331.30	15.44	
	Trade	150.1440		05/03/10		07/27/11	1,887.31	1,822.75	64.56	
	Trade	16.0600		05/10/10		07/27/11	201.87	194.97	6.90	
	Trade	393.8640		05/14/10		07/27/11	4,950.87	4,750.00	200.87	
	Trade	423.5750		06/01/10		07/27/11	5,324.35	5,027.84	296.51	
	Trade	15.9640		06/04/10		07/27/11	200.67	189.49	11.18	
	Trade	25.0500		06/22/10		07/27/11	314.88	301.10	13.78	
	Trade	17.4790		07/07/10		07/27/11	219.71	209.57	10.14	
	Sub-Total	4,342.1970					54,200.39	50,960.53	3,239.86	
WELLS FARGO ASSET ALLOCATION FUND CLASS A	Trade	810.7050		09/23/09		01/14/11	9,882.49	9,031.25	851.24	
	Trade	153.0330		09/23/09		02/28/11	1,880.78	1,704.79	175.99	
	Trade	784.2130		09/23/09		05/16/11	9,818.35	8,736.13	1,082.22	
	Trade	527.7830		10/16/09		05/16/11	24,358.85	21,996.00	2,362.85	

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UBS Financial Services Inc.

2011 Informational Statement

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "S" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Date Acquired	Date					
WELLS FARGO ASSET ALLOCATION FUND CLASS A	Trade	167.7000		12/29/09	05/16/11		2,024.48	1,846.51	177.87	
	Trade	1.5840		03/11/10	05/16/11		19.83	18.12	.71	
	Trade	377.7000		05/03/10	05/16/11		4,653.68	4,308.00	345.68	
	Trade	493.7150		05/14/10	05/16/11		6,181.31	5,499.99	681.32	
	Trade	98.1130		06/01/10	08/03/11		1,222.49	1,058.54	163.85	
	Trade	87.8500		07/01/10	08/03/11		1,019.85	877.43	142.42	
Sub-Total		4,884.3960					60,839.11	55,076.96	5,762.15	
Sub Total							\$197,176.62	\$177,574.95	\$19,601.67	
Total Long-Term Gain/Loss							\$197,176.62	\$177,574.95	\$19,601.67	

Unclassified Gain/Loss

This section includes securities that cannot be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided. This section may also include securities not reported on Form 1099-B, as well as securities classified as a percentage of both short-term and long-term holding periods, the "6040 rule." Broad-based index options may also be subject to Mark-to-Market rules. Please consult your tax advisor for proper tax treatment of these types of transactions.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks
				Date Acquired	Date					
ISHARES SILVER TRUST	Return of Principa			12/31/11	12/31/11		48.82	0	48.82	
SPDR GOLD TRUST	Return of Principa			12/31/11	12/31/11		93.11	0	93.11	
Total Unclassified Gain/Loss							141.93	0	141.93	

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions BASSLER FAMILY FOUNDATION, INC.	Employer identification number (EIN) or ☐ 26-1561741
	Number, street, and room or suite no. If a P.O. box, see instructions. 13 SEAGATE ROAD	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. DARIEN, CT 06820	

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 0 ☐ 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ **THE FOUNDATION**

Telephone No. ▶ **203-655-9658**

FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15**, 20 **12**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ ☒ calendar year 20 **11** or

▶ ☐ tax year beginning, 20, and ending, 20

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.00
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.00
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.00

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☐ **Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. BASSLER FAMILY FOUNDATION	Employer identification number (EIN) or ☐ 26-1561741
	Number, street, and room or suite no. If a P.O. box, see instructions. 13 SEAGATE ROAD	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. DARIEN, CT 06820	

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 0 ☐ 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of **THE FOUNDATION**
Telephone No. **203.655.9658** FAX No. ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **NOVEMBER 15**, 20 **12**.
- 5 For calendar year **2011**, or other tax year beginning ☐ , 20 ☐ , and ending ☐ , 20 ☐.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension **INFORMATION NECESSARY TO COMPLETE THIS RETURN IS NOT YET AVAILABLE.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.00

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ David L. Baird Title ▶ CPA Date ▶ 7/17/12