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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust

OMB No 1545-0052

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , 2011, and ending , 20

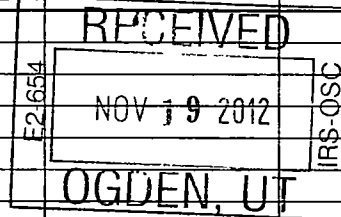
Name of foundation HAWK ROCK FOUNDATION		A Employer identification number 26-1518305
Number and street (or P O box number if mail is not delivered to street address) 22/F, TAI YAU BUILDING, 181 JOHNSTON ROAD		B Telephone number (see instructions) () -
City or town, state, and ZIP code WANCHAI HONG KONG		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 4,875,566.		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	1,032,817.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	79,876.	79,876.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	83,541.			
	b Gross sales price for all assets on line 6a	1,154,714.			
	7 Capital gain net income (from Part IV, line 2)		83,541.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	1,196,234.	163,417.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 2	11,038.			
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) **	1,200.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 4	46,133.	46,133.		
	24 Total operating and administrative expenses. Add lines 13 through 23	58,371.	46,133.		
	25 Contributions, gifts, grants paid	330,000.			330,000.
26 Total expenses and disbursements. Add lines 24 and 25	388,371.	46,133.	0	330,000.	
27 Subtract line 26 from line 12	807,863.				
a Excess of revenue over expenses and disbursements		117,284.			
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see Instructions.

JSA ** ATCH 3

Form 990-PF (2011)



Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	185,849.	109,040.	109,040.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule) . .			
	b Investments - corporate stock (attach schedule) ATCH 5 . .	4,445,049.	5,301,721.	4,766,526.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	4,630,898.	5,410,761.	4,875,566.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	58,000.	30,000.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons .			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	58,000.	30,000.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds . .	4,572,898.	5,380,761.	
	30 Total net assets or fund balances (see instructions)	4,572,898.	5,380,761.	
	31 Total liabilities and net assets/fund balances (see instructions)	4,630,898.	5,410,761.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,572,898.
2 Enter amount from Part I, line 27a	2	807,863.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	5,380,761.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,380,761.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	83,541.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	255,174.	4,203,848.	0.060700
2009	267,391.	2,318,106.	0.115349
2008	74,854.	1,263,865.	0.059226
2007	0	1,540,818.	0.000000
2006			
2 Total of line 1, column (d)			2 0.235275
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.058819
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 5,029,089.
5 Multiply line 4 by line 3			5 295,806.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,173.
7 Add lines 5 and 6			7 296,979.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 330,000.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,173.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,173.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,173.
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	1,765.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	1,765.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	592.
11	Enter the amount of line 10 to be Credited to 2012 estimated tax <input checked="" type="checkbox"/> 592. Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year		
(1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N	A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either		
• By language in the governing instrument, or		
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> DE, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses ATTACHMENT 6	X	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ EAST ASIA SENTINEL TAX SVC LTD Telephone no ▶ 85228101018			
Located at ▶ ATTACHMENT 7 ZIP + 4 ▶				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
				X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> 1b	N	A
Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? 1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) 2b	N	A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) 3b	N	A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? 4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 8		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 TO OPERATE EXCLUSIVELY AND TO RECEIVE AND ADMINISTER FUNDS FOR CHARITABLE AND EDUCATIONAL PURPOSES WITHIN THE MEANING OF SECTION 501(C)(3) AND RELATED REGULATIONS AND TO	
2 DISTRIBUTE FUNDS TO SUCH CHARITIES AT SUCH TIMES AND IN SUCH AMOUNTS AS MAY BE DETERMINED BY THE FOUNDATION CONSISTENT WITH THE CODE	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,668,903.
b	Average of monthly cash balances	1b	436,771.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	5,105,674.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	5,105,674.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	76,585.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,029,089.
6	Minimum investment return. Enter 5% of line 5	6	251,454.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	251,454.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	1,173.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,173.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	250,281.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	250,281.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	250,281.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	330,000.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	330,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,173.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	328,827.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				250,281.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only				
b Total for prior years 20 09, 20 08, 20 07		2,041.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				91,181.
e From 2010				46,634.
f Total of lines 3a through e	137,815.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 330,000.				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				250,281.
e Remaining amount distributed out of corpus	79,719.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	217,534.			
b Prior years' undistributed income. Subtract line 4b from line 2b		2,041.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions		2,041.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	217,534.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				91,181.
d Excess from 2010				46,634.
e Excess from 2011				79,719.

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATTACHMENT 9				
Total ► 3a				330,000.
b Approved for future payment				
Total ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue.						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities						79,876.
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory						83,541.
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)						163,417.
13 Total. Add line 12, columns (b), (d), and (e)						163,417.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2 | 11 NOV '12

► DIRECTOR
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name
NILAR T. CHAN

Preparer's signature

Preparer's signature 

Date	
------	--

Date 11/6/2012

Check ☐ if self-employed

PTIN

P00382081

Firm's name ▶ EAST ASIA SENTINEL TAX SVC LTD

Firm's EIN ▶ 98-0577907

Firm's address ► 22/F, TAI YAU BUILDING, WANCHAI
HONG KONG

Phone no 852 28101018

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011**Name of the organization**

HAWK ROCK FOUNDATION

Employer identification number

26-1518305

Organization type (check one):**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐
- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II

- ☐
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use
- exclusively*
- for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

- ☐
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use
- exclusively*
- for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an
- exclusively*
- religious, charitable, etc., purpose. Do not complete any of the parts unless the
- General Rule**
- applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization **HAWK ROCK FOUNDATION**Employer identification number
26-1518305**Part I** Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	STEVEN & BARBARA WATSON C/O 22/F, TAI YAU BLDG, 181 JOHNSTON RD. WANCHAI, HONG KONG	\$ 1,032,817.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization HAWK ROCK FOUNDATION

Employer identification number
26-1518305**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					14,323.	
257,022.		STCL - SEE ATTACHMENT A PROPERTY TYPE: SECURITIES 299,978.				D	VARIOUS -42,956.	VARIOUS
883,369.		LTCL - SEE ATTACHMENT B PROPERTY TYPE: SECURITIES 771,195.				D	VARIOUS 112,174.	VARIOUS
TOTAL GAIN (LOSS)							<u>83,541.</u>	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
CAPITAL GUARDIAN	79,876.	79,876.
TOTAL	<u>79,876.</u>	<u>79,876.</u>

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEES	11,038.			
TOTALS	11,038.			

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
FEDERAL TAX	1,200.
TOTALS	<u>1,200.</u>

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BANK CHARGES	46,133.	46,133.
TOTALS	46,133.	46,133.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 5

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VIETNAM ENTERPRISE INVEST. LTD	754,958.	312,345.
VIETNAM GROWTH FUND	709,324.	366,822.
ACCION	500,000.	1,200,219.
CAPITAL GUARDIAN- EQUITY	3,337,439.	2,887,140.
TOTALS	<u>5,301,721.</u>	<u>4,766,526.</u>

FORM 990PF, PART VII-A, LINE 10 - NEW SUBSTANTIAL CONTRIBUTORS

ATTACHMENT 6

<u>NAME AND ADDRESS</u>	<u>DATE</u>	<u>DIRECT PUBLIC SUPPORT</u>
STEVEN & BARBARA WATSON C/O 22/F, TAI YAU BLDG, 181 JOHNSTON RD. WANCHAI, HONG KONG		1,032,817.
TOTAL CONTRIBUTION AMOUNTS		<u>1,032,817.</u>

FORM 990PF, PART VII-A, LINE 14 - LOCATION OF BOOKS

22/F, TAI YAU BLDG, 181 JOHNSTON RD. WANCHAI
HONG KONG

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

TITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

BARBARA WATSON 22/F TAI YAU BUILDING WANCHAI HONG KONG	DIRECTOR 2.00	0	0	0
KATHARINE G WATSON 2365 GARFIELD ROAD HYDE PARK, VT 05655	DIRECTOR .50	0	0	0
WILLIAM W WATSON 4023 SE 42ND AVE PORTLAND, OR 97206	DIRECTOR .50	0	0	0
CHARLES P WATSON 22/F, TAI YAU BUILDING WANCHAI HONG KONG	VICE PRESIDENT .50	0	0	0
STEVEN T. WATSON 22/F, TAI YAU BUILDING WANCHAI HONG KONG	DIRECTOR 2.00	0	0	0

GRAND TOTALS

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FOLK ARTS RAJASTHAN 314 E. 84TH ST. #11 NEW YORK, NY 10028	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000.
LEARNING ALLY 20 ROSZEL ROAD PRINCETON, NJ 08540	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000.
HALF THE SKY FOUNDATION 715 HEARST AVENUE, SUITE 200 BERKELEY, CA 94710	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000.
ACCION INTERNATIONAL 56 ROLAND STREET, SUITE 300 BOSTON, MA 02129	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	150,000.
THE BROWN LEDGE FOUNDATION, INC. 20 WILSON STREET BURLINGTON, VT 05401-3352	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	10,000.
FRIENDS OF HONG KONG CHARITIES, INC. CROSSROADS VILLAGE, 2 CASTLE PEAK RD TUEN MUN HONG KONG	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	30,000.

ATTACHMENT 9

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FREE GEEK COMMUNITY TECHNOLOGY CENTER 1731 SE 10TH AVE. PORTLAND, OR 97214	UNRELATED 501 (C) (3)		FURTHER THE CHARITY ACTIVITY	5,000.
NEW ENGLAND HISTORICAL GENEALOGICAL SOCIETY 101 NEWBURY ST. BOSTON, MA 02116	UNRELATED 501 (C) (3)		FURTHER THE CHARITY ACTIVITY	5,000.
NEW YORK GENEALOGICAL & BIOGRAPHICAL SOCIETY 36 W. 44TH ST., 7TH FLOOR NEW YORK, NY 10036-8105	UNRELATED 501 (C) (3)		FURTHER THE CHARITY ACTIVITY	5,000.
VERMONT LAND TRUST 8 BAILEY AVE. MONTPELIER, VT 05602	UNRELATED 501 (C) (3)		FURTHER THE CHARITY ACTIVITY	5,000.
KENYON COLLEGE 106 COLLEGE PARK DRIVE GAMBIER, OH 43022	UNRELATED 501 (C) (3)		FURTHER THE CHARITY ACTIVITY	5,000.
MEALS ON WHEELS OF LAMOILLE COUNTY 203 S. UNION STREET ALEXANDRA, VA 22314	UNRELATED 501 (C) (3)		FURTHER THE CHARITY ACTIVITY	5,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LEWIS & CLARK 0615 S.W. PALATINE HILL ROAD PORTLAND, OR 97219	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	5,000.
THE TEXTILE MUSEUM 2320 S ST., NW WASHINGTON, DC 20008-4088	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	5,000.
THE GLOBAL FUND FOR CHILDREN 1101 FOURTEENTH STREET, NW SUITE 420 WASHINGTON, DC 20005	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	5,000.
THE HARLEM CHILDREN'S ZONE 35 EAST 125TH ST. NEW YORK, NY 10035	UNRELATED 501 (C) (3)	FURTHER THE CHARITY ACTIVITY	5,000.
TOTAL CONTRIBUTIONS PAID			330,000.

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2011

Name of estate or trust

HAWK ROCK FOUNDATION

Employer identification number

26-1518305

Note: Form 5227 filers need to complete only Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-42,956.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ►	5	-42,956.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	112,174.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	14,323.
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ►	12	126,497.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2011

Part III Summary of Parts I and II**Caution:** Read the instructions before completing this part.

	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13		-42,956.
14 Net long-term gain or (loss):			
a Total for year	14a		126,497.
b Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b		
c 28% rate gain	14c		
15 Total net gain or (loss). Combine lines 13 and 14a	15		83,541.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	16	()
a The loss on line 15, column (3) or b \$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . .	17		
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . .	19		
20 Add lines 18 and 19	20		
21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21		
22 Subtract line 21 from line 20. If zero or less, enter -0-	22		
23 Subtract line 22 from line 17. If zero or less, enter -0-	23		
24 Enter the smaller of the amount on line 17 or \$2,300	24		
25 Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26; go to line 27 and check the "No" box <input type="checkbox"/> No. Enter the amount from line 23	25		
26 Subtract line 25 from line 24	26		
27 Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30; go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27		
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29 Subtract line 28 from line 27	29		
30 Multiply line 29 by 15% (15)		30	
31 Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		31	
32 Add lines 30 and 31		32	
33 Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)		33	
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)		34	

**Continuation Sheet for Schedule D
(Form 1041)**

OMB No 1545-0092

2011

► See instructions for Schedule D (Form 1041).

▶ **Attach to Schedule D to list additional transactions for lines 1a and 6a.**

Name of estate or trust

HAWK ROCK FOUNDATION

Employer identification number

26-1518305

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

[illegible]

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b -42,956.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

JSA

Employer Identification number

[illegible]

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b	112,174.
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Hawk Rock Foundation
Short Term Capital Loss
12/31/2011

DESCRIPTION OF PROPERTY	DATE ACQUIRED	DATE SOLD	SALES PRICE	COST BASIS	GAIN / (LOSS)
50 00 SHS 3M COMPANY	11/3/2010	10/27/2011	4,097 40	4,249 95	(152 55)
75 00 SHS ALLEGHENY TECHNOLOGIES INC	8/31/2011	11/11/2011	3,733 42	3,743 19	(9 77)
300 00 SHS ALLSTATE CORP (THE)	VARIOUS	9/6/2011	7,334 58	9,320 38	(1,985 80)
200 00 SHS AUTONOMY CORPORATION PLC	3/31/2011	8/22/2011	8,205 87	5,171 50	3,034 37
400 00 SHS AVON PRODUCTS INC	VARIOUS	VARIOUS	11,438 10	17,712 04	(6,273 94)
5,400 00 SHS BARCLAYS PLC	VARIOUS	VARIOUS	16,905 50	26,142 31	(9,236 81)
55 00 SHS BNP PARIBAS	VARIOUS	VARIOUS	2,849 82	4,085 26	(1,235 44)
73 00 SHS BOUYGUES	1/27/2011	11/16/2011	2,955 41	3,474 23	(518 82)
200 00 SHS BRISTOL-MYERS SQUIBB CO	2/24/2010	2/18/2011	5,078 78	4,933 42	145 36
700 00 SHS CAMECO CORP C\$	VARIOUS	VARIOUS	18,144 05	17,678 97	465 08
125 00 SHS CENOVUS ENERGY INC C\$	3/7/2011	10/7/2011	4,178 26	4,941 27	(763 01)
2,000 00 SHS CHINA LIFE INSURANCE CO LTD H	4/12/2010	1/13/2011	8,373 47	9,838 08	(1,464 61)
4,000 00 SHS CHINA SHENHUA ENERGY	VARIOUS	VARIOUS	16,255 59	16,226 62	28 97
300 00 SHS CISCO SYSTEMS INC	12/30/2010	3/30/2011	5,201 84	5,940 57	(738 73)
44 20 SHS COCA-COLA AMATIL LTD	4/6/2010	1/5/2011	476 34	451 18	25 16
200 00 SHS COMCAST CORP CL A (NEW)	4/8/2011	10/6/2011	4,323 92	4,719 08	(395 16)
96 00 SHS DAIMLER AG	2/18/2011	8/19/2011	4,897 30	6,900 49	(2,003 19)
61 00 SHS DANONE	4/14/2011	10/6/2011	3,696 37	4,186 63	(490 26)
0 95 SHS DBS GROUP HOLDINGS LTD	7/5/2011	10/18/2011	8 76	10 69	(1 93)
100 00 SHS DENSO CORP	7/19/2011	12/1/2011	2,793 89	3,660 80	(866 91)
19,900 00 SHS DIXONS GROUP PLC (POST RESTRUCT)	VARIOUS	VARIOUS	4,099 31	7,904 57	(3,805 26)
26 00 SHS GENERAL MILLS INC	12/30/2010	7/8/2011	956 60	924 03	32 57
600 00 SHS ISRAEL CHEMICALS LTD ADR UNSPON	VARIOUS	VARIOUS	8,874 62	9,121 46	(246 84)
7 00 SHS KRAFT FOODS INC CL A	4/13/2011	8/23/2011	235 71	226 97	8 74
25 00 SHS L'AIR LIQUIDE (L)	12/29/2010	10/6/2011	2,969 48	3,224 10	(254 62)
273 00 SHS MACQUARE GROUP LTD	12/16/2010	8/1/2011	8,167 11	10,142 97	(1,975 86)
500 00 SHS NEW YORK TIMES CO CL A	VARIOUS	VARIOUS	3,621 20	4,920 28	(1,299 08)
139 00 SHS NEWCREST MINING NPV	12/29/2010	10/10/2011	4,988 56	5,751 16	(762 60)
100 00 SHS NINTENDO CO LTD ADR UNSPON	6/17/2010	1/12/2011	3,565 23	3,808 50	(243 27)
200 00 SHS PETROLEO BRASILEIRO SA PETROBR ADR	3/18/2010	1/12/2011	6,787 28	8,365 03	(1,577 75)
75 00 SHS PHARMASSET INC	10/3/2011	12/6/2011	9,621 70	5,900 83	3,720 87
100 00 SHS RIO TINTO PLC REG	11/2/2010	10/10/2011	4,952 53	6,693 60	(1,741 07)
400 00 SHS SCHWAB CHARLES NEW	VARIOUS	VARIOUS	10,686 70	15,193 02	(4,506 32)
100 00 SHS SIEMENS AG NAMEN	12/3/2010	3/15/2011	12,150 56	12,012 96	137 60
61 00 SHS SONOVA HOLDING AG	VARIOUS	VARIOUS	5,966 83	7,376 10	(1,409 27)
400 00 SHS STANDARD CHARTERED	11/3/2010	3/23/2011	10,230 19	11,977 14	(1,746 95)
600 00 SHS TREND MICRO INC	VARIOUS	VARIOUS	13,272 60	14,600 36	(1,327 76)
50 00 SHS UNITED TECHNOLOGIES CORP	2/4/2011	10/6/2011	3,489 93	4,114 02	(624 09)
100 00 SHS VISA INC CL A	5/19/2010	2/1/2011	7,072 77	7,297 54	(224 77)
1,000 00 SHS WHARF HLDGS LTD	7/20/2011	12/21/2011	4,364 63	7,037 30	(2,672 67)
			<u>257,022.21</u>	<u>299,978.60</u>	<u>(42,956.39)</u>

Hawk Rock Foundation
Long Term Capital Gain
12/31/2011

DESCRIPTION OF PROPERTY	DATE ACQUIRED	DATE SOLD	SALES PRICE	COST BASIS	GAIN / (LOSS)
25 00 SHS 3M COMPANY	4/9/2010	10/27/2011	2,048 70	2,082 41	(33 71)
292 00 SHS AMERICA MOVIL S S AB DE C V	VARIOUS	VARIOUS	15,618 79	10,390 81	5,227 98
931 00 SHS AMERICAN WATER WORKS	VARIOUS	VARIOUS	25,163 40	18,731 60	6,431 80
200 00 SHS ALLSTATE CORP (THE)	12/31/2009	9/6/2011	4,889 73	5,868 48	(978 75)
100 00 SHS ANGLO AMERICAN PLC	11/13/2009	8/10/2011	3,948 15	4,276 87	(328 72)
50 00 SHS APPLE INC	12/15/2009	1/18/2011	17,044 67	7,524 78	9,519 89
1,773 00 SHS BAE SYSTEMS PLC	VARIOUS	VARIOUS	9,750 16	9,912 67	(162 51)
7,000 25 SHS BANK OF CHINA LTD H	12/16/2009	VARIOUS	3,463 24	3,441 77	21 47
100 00 SHS BARRICK GOLD CORP C\$	12/15/2009	10/6/2011	4,688 52	3,918 32	770 20
89 00 SHS BEST BUY INC	10/31/2008	11/3/2011	2,393 84	2,392 80	1 04
600 00 SHS BG GROUP PLC	12/16/2009	VARIOUS	13,125 30	10,702 83	2,422 47
62 00 SHS BNP PARIBAS	12/16/2009	VARIOUS	3,442 49	4,901 90	(1,459 41)
2,500 00 SHS BOC HONG KONG HOLDINGS LTD	6/22/2010	6/27/2011	7,112 93	5,966 51	1,146 42
20 00 SHS BOUYGUES	12/16/2009	11/16/2011	809 70	993 14	(183 44)
600 00 SHS BRISTOL-MYERS SQUIBB CO	VARIOUS	VARIOUS	15,281 33	14,407 95	873 38
72 00 SHS BROADCOM CORP	2/19/2009	10/6/2011	2,487 55	1,218 48	1,269 07
900 00 SHS CAMECO CORP C\$	VARIOUS	VARIOUS	25,410 88	17,539 11	7,871 77
200 00 SHS CAMECO CORP US\$	12/15/2009	4/12/2011	5,646 01	6,268 00	(621 99)
320 00 SHS CANADIAN NATURAL RESOURCES LTD C\$	12/15/2009	7/11/2011	13,110 84	8,875 30	4,235 54
300 00 SHS CELGENE CORP	VARIOUS	VARIOUS	15,358 04	15,617 57	(259 53)
314 00 SHS CERNER CORP	VARIOUS	VARIOUS	19,571 65	5,896 50	13,675 15
1,469 00 SHS CISCO SYSTEMS INC	VARIOUS	VARIOUS	28,190 06	30,847 36	(2,657 30)
1,949 80 SHS COCA-COLA AMATIL LTD	12/16/2009	VARIOUS	22,596 97	13,459 15	9,137 82
16 00 SHS COMCAST CORP CL A (NEW)	9/27/2010	10/6/2011	345 91	294 25	51 66
267 00 SHS CRH PLC	3/18/2009	4/11/2011	6,280 25	2,920 35	3,359 90
229 00 SHS CSL LTD	4/13/2010	6/29/2011	7,427 42	7,457 17	(29 75)
88 00 SHS DAVITA INC	12/15/2009	10/6/2011	5,495 49	5,191 89	303 60
0 43 SHS DBS GROUP HOLDINGS LTD	3/11/2009	7/26/2011	5 35	2 00	3 35
900 00 SHS DREAMWORKS ANIMATION SKG	VARIOUS	VARIOUS	17,569 17	34,567 73	(16,998 56)
200 00 SHS EBAY INC	2/19/2009	3/30/2011	6,333 57	2,440 49	3,893 08
275 00 SHS EDISON INTERNATIONAL	VARIOUS	VARIOUS	10,609 12	8,584 54	2,024 58
56 00 SHS ENERGIZER HOLDINGS INC	10/31/2008	11/10/2011	3,884 24	2,802 80	1,081 44
175 00 SHS FEDEX CORP	VARIOUS	VARIOUS	14,458 63	12,024 66	2,433 97
89 00 SHS FIRST SOLAR INC	2/26/2009	2/4/2011	14,057 96	9,321 91	4,736 05
426 00 SHS GENERAL MILLS INC	VARIOUS	VARIOUS	17,365 07	16,062 92	1,302 15
173 00 SHS HEWLETT-PACKARD CO	11/14/2008	7/15/2011	6,066 54	6,326 59	(260 05)
1,012 00 SHS HSBC HOLDINGS PLC UKP	12/16/2009	6/13/2011	10,237 76	11,625 90	(1,388 14)
350 00 SHS ILLINOIS TOOL WORKS INC	VARIOUS	VARIOUS	16,250 96	14,701 46	1,549 50
347 00 SHS IMPERIAL TOBACCO PLC	VARIOUS	VARIOUS	10,598 45	9,730 46	867 99
400 00 SHS ISRAEL CHEMICALS LTD ADR UNSPON	10/7/2010	11/14/2011	4,505 07	5,803 38	(1,298 31)
600 00 SHS IRON MOUNTAIN INC	VARIOUS	VARIOUS	14,874 30	13,444 40	1,429 90
800 00 SHS JERONIMO MARTINS SGPS SA	12/16/2009	VARIOUS	12,175 53	6,230 80	5,944 73
457 00 SHS KONINKLIJKE KPN NV	12/16/2009	1/24/2011	7,228 44	7,544 59	(316 15)
343 00 SHS KRAFT FOODS INC CL A	VARIOUS	VARIOUS	11,542 30	9,519 64	2,022 66
6,900 00 SHS LLOYDS TSB GROUP PLC	7/28/2010	VARIOUS	3,901 97	7,936 22	(4,034 25)
30 00 SHS L'OREAL	2/20/2009	10/6/2011	3,012 25	1,988 72	1,023 53
1,725 00 SHS LOWES COMPANIES INC	VARIOUS	VARIOUS	34,131 09	36,774 23	(2,643 14)
189 00 SHS MARATHON OIL CORP COM	12/15/2009	4/12/2011	9,470 34	5,916 62	3,553 72
65 00 SHS MCDONALDS CORP	7/20/2010	7/22/2011	5,757 30	4,539 03	1,218 27
175 00 SHS MEDTRONIC INC	3/12/2009	3/17/2011	6,450 23	4,750 18	1,700 05
876 00 SHS MERCK & CO INC	VARIOUS	VARIOUS	29,126 04	26,136 52	2,989 52
50 00 SHS MONSANTO CO NEW COMM	2/19/2010	10/6/2011	3,401 93	3,893 56	(491 63)
49 00 SHS MUENCHENER RUECK NAMEN VINK (REG)	12/16/2009	1/5/2011	7,186 18	6,732 86	453 32
858 00 SHS NINTENDO CO LTD ADR UNSPON	VARIOUS	VARIOUS	16,528 41	27,634 71	(11,106 30)
200 00 SHS NUCOR CORP	12/15/2009	1/14/2011	8,830 27	7,616 65	1,213 62
400 00 SHS PENGTOOTH ENERGY CORP C\$	3/15/2010	11/10/2011	4,055 46	4,552 20	(496 74)
275 00 SHS PEPSICO INC	12/15/2009	2/18/2011	17,610 69	16,557 59	1,053 10
57 00 SHS POTASH CORP OF SASKATCHEWAN INC	12/15/2009	1/25/2011	9,261 80	6,111 64	3,150 16
100 00 SHS PROCTER & GAMBLE CO	6/24/2009	8/3/2011	6,067 64	5,103 89	963 75
400 00 SHS PROGRESS CORP OHIO	12/15/2009	10/6/2011	7,203 86	6,964 00	239 86
125 00 SHS QUALCOMM INC	12/15/2009	VARIOUS	13,873 18	10,620 85	3,252 33
300 00 SHS RECKITT BENCKISER GROUP PLC	VARIOUS	VARIOUS	16,013 68	13,340 22	2,673 46
62 00 SHS RELIANCE INDUSTRIES LTD GDR (SEAQ)	VARIOUS	VARIOUS	4,731 76	6,939 77	(2,208 01)
137 00 SHS ROGERS COMMUNICATION	3/18/2009	1/14/2011	4,954 44	3,313 34	1,641 10
175 00 SHS ROYAL DUTCH SHELL CL A ADR	12/15/2009	VARIOUS	11,685 89	10,338 70	1,347 19
600 00 SHS SANDVIK AB	12/16/2009	VARIOUS	11,194 09	6,755 00	4,439 09
169 00 SHS SAP AG	12/9/2008	VARIOUS	8,838 50	6,172 81	2,665 69
125 00 SHS SCHLUMBERGER LTD	12/15/2009	VARIOUS	9,107 86	7,764 16	1,343 70
167 00 SHS SHANGRI-LA ASIA LTD RTS EXP	11/21/2008	1/28/2011	16 01	-	16 01
500 00 SHS SHIRE PLC	12/16/2009	VARIOUS	15,740 71	9,744 71	5,996 00
200 00 SHS SIEMENS AG NAMEN	VARIOUS	VARIOUS	21,775 98	14,244 58	7,531 40
600 00 SHS SOFTBANK CORP	VARIOUS	VARIOUS	22,324 22	10,983 77	11,340 45
14 00 SHS SONOVA HOLDING AG	12/16/2009	8/30/2011	1,155 83	1,669 23	(513 40)
25 00 SHS STRAYER ED INC	12/18/2009	11/8/2011	2,277 29	5,258 20	(2,980 91)
71 00 SHS SYNTHES INC	VARIOUS	VARIOUS	10,731 31	10,117 47	613 84
225 00 SHS TARGET CORP	VARIOUS	VARIOUS	11,805 88	11,048 84	757 04
3,900 00 SHS TESCO PLC	VARIOUS	VARIOUS	23,145 42	23,596 28	(450 86)
500 00 SHS THE WALT DISNEY CO	2/19/2009	1/21/2011	19,838 96	9,255 90	10,583 06
100 00 SHS TREND MICRO INC	1/18/2010	3/30/2011	2,617 04	3,870 69	(1,253 65)
125 00 SHS UNION PACIFIC CORP	9/7/2006	8/6/2011	11,209 82	4,969 12	6,240 70
225 00 SHS URBAN OUTFITTERS INC	3/15/2010	6/13/2011	9,400 53	11,842 93	(2,442 40)
250 00 SHS VULCAN MATERIALS CO	12/15/2009	12/15/2011	9,679 21	11,008 24	(1,329 03)
800 00 SHS WEATHERFORD INTL LTD US\$	VARIOUS	VARIOUS	10,791 26	13,298 99	(2,507 73)
			883,368 81	771,194 66	112,174 15

• If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box. ☒ X

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions	Enter filer's identifying number, see instructions	
	HAWK ROCK FOUNDATION	<input checked="" type="checkbox"/> X	Employer identification number (EIN) or 26-1518305
	Number, street, and room or suite no. If a P O box, see instructions	<input type="checkbox"/>	Social security number (SSN)
	22/F, TAI YAU BUILDING, 181 JOHNSTON ROAD		
	City, town or post office, state, and ZIP code For a foreign address, see instructions		
	WANCHAI HONG KONG		

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 0 ☐ 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☒ EAST ASIA SENTINEL TAX SVC LTD
Telephone No ☒ 852 28101018 FAX No ☒ 852 25259890
- If the organization does not have an office or place of business in the United States, check this box. ☒ X
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box. ☐ If it is for part of the group, check this box. ☐ and attach a list with the names and EINs of all members the extension is for

- I request an additional 3-month extension of time until 11/15, 20 12
- For calendar year 2011, or other tax year beginning , 20 , and ending , 20
- If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
- State in detail why you need the extension THE TAXPAYER REQUIRES ADDITIONAL TIME TO COLLECT COMPLETE INFORMATION TO PREPARE AN ACCURATE TAX RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a \$	1,765.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b \$	1,765.
c Balance Due. Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c \$	0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ☒  Title ☒ CPA Date ☒ 7/25/2012

Form 8868 (Rev 1-2012)

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒ **X**
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

**Type or
print**File by the
due date for
filing your
return See
instructions

Name of exempt organization or other filer, see instructions.

HAWK ROCK FOUNDATION

Employer identification number (EIN) or

☒ 26-1518305

Number, street, and room or suite no. If a P.O. box, see instructions

22/F, TAI YAU BUILDING, 181 JOHNSTON ROAD

Social security number (SSN)

City, town or post office, state, and ZIP code. For a foreign address, see instructions

WANCHAI HONG KONG

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ EAST ASIA SENTINEL TAX SVC LTD

Telephone No. ▶ 852 28101018FAX No. ▶ 852 25259890

- If the organization does not have an office or place of business in the United States, check this box ☒ **X**
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ▶ ☒ **X** calendar year 2011 or
- ▶ ☐ tax year beginning , 20 , and ending , 20

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	1,765.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b \$	1,765.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev 1-2012)