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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning

, and ending

Name of foundation THE KRONHILL PLETKA FOUNDATION		A Employer identification number 26-1466252
Number and street (or P.O. box number if mail is not delivered to street address) 123A WEST 69TH STREET	Room/suite	B Telephone number 2128016863
City or town, state, and ZIP code NEW YORK, NY 10023		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ Foreign organizations meeting the 85% test, 2. check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 5,455,003.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received					
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		137,725.	137,058.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		104,065.			
b Gross sales price for all assets on line 6a 2,443,567.			104,065.		
7 Capital gain net income (from Part IV, line 2)					
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		241,790.	241,123.	0.	0.
13 Compensation of officers, directors, trustees, etc		0.	0.	0.	0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		15,593.	7,796.	0.	0.
c Other professional fees STMT 3		14,400.	0.	0.	14,400.
17 Interest					
18 Taxes STMT 4		4,415.	3,415.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		48,387.	28,925.	0.	19,462.
24 Total operating and administrative expenses. Add lines 13 through 23		82,795.	40,136.	0.	33,862.
25 Contributions, gifts, grants paid		1,370,933.			1,370,933.
26 Total expenses and disbursements. Add lines 24 and 25		1,453,728.	40,136.	0.	1,404,795.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<1,211,938.>			
b Net investment income (if negative, enter -0-)			200,987.		
c Adjusted net income (if negative, enter -0-)				0.	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		311,168.	362,242.	362,242.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 6		101,655.	146,755.	149,337.
	b	Investments - corporate stock STMT 7		2,167,438.	1,851,196.	1,953,674.
	c	Investments - corporate bonds STMT 8		635,302.	625,694.	645,167.
11	Investments - land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 9		3,131,467.	2,148,347.	2,344,583.	
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers)		6,347,030.	5,134,234.	5,455,003.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶ OTHER LIABILITIES)		858.	0.	
	23	Total liabilities (add lines 17 through 22)		858.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		6,346,172.	5,134,234.	
30	Total net assets or fund balances		6,346,172.	5,134,234.		
31	Total liabilities and net assets/fund balances		6,347,030.	5,134,234.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,346,172.
2	Enter amount from Part I, line 27a	2	<1,211,938.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	5,134,234.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,134,234.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 2,443,567.		2,339,502.	104,065.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			104,065.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	104,065.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	355,408.	6,628,750.	.053616
2009	310,738.	6,275,565.	.049516
2008	143,440.	6,730,083.	.021313
2007	0.	6,913,251.	.000000
2006			

2 Total of line 1, column (d)	2	.124445
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.031111
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	5,962,157.
5 Multiply line 4 by line 3	5	185,489.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,010.
7 Add lines 5 and 6	7	187,499.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,404,795.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	2,010.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	2,010.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	2,010.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a 152.	7	2,902.
b Exempt foreign organizations - tax withheld at source	6b	8	16.
c Tax paid with application for extension of time to file (Form 8868)	6c 2,750.	9	
d Backup withholding erroneously withheld	6d	10	876.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax 876. Refunded			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► <u>WWW.KRONHILLPLETKAFOUNDATION.ORG</u>				
14	The books are in care of ► <u>HELD, KRANZLER, MCCOSKER & PULICE</u> Telephone no. ► <u>212-533-2727</u>			
	Located at ► <u>130 WEST 42ND STREET, NEW YORK, NY</u> ZIP+4 ► <u>10036</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ►	☐ Yes ☒ No	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	N/A	3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
IRENE PLETKA	CHAIRPERSON,	PRESIDENT,	TR	
123A WEST 69TH STREET				
NEW YORK, NY 10023	0.00	0.	0.	0.
PETER PLETKA	SECRETARY,	GRANT ADVISOR		
123 WEST 69TH STREET				
NEW YORK, NY 10023	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	5,795,860.
b Average of monthly cash balances	1b	257,091.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	6,052,951.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	6,052,951.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	90,794.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,962,157.
6 Minimum investment return. Enter 5% of line 5	6	298,108.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	298,108.
2a Tax on investment income for 2011 from Part VI, line 5	2a	2,010.
b Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	2,010.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	296,098.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	296,098.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	296,098.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,404,795.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,404,795.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,010.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,402,785.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				296,098.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				88,730.
d From 2009				298,861.
e From 2010				25,866.
f Total of lines 3a through e	413,457.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ 1,404,795.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				296,098.
e Remaining amount distributed out of corpus	1,108,697.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,522,154.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	1,522,154.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				88,730.
c Excess from 2009				298,861.
d Excess from 2010				25,866.
e Excess from 2011				1,108,697.

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(i)(3) or ☐ 4942(i)(5)

b 85% of line 2a

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICAN AUSTRALIAN ASSOCIATION 50 BROADWAY, SUITE 2003 NEW YORK, NY 10004				50,000.
AMERICAN ISRAEL CULTURAL FOUNDATION 20 EAST 46TH STREET, 15TH FLOOR NEW YORK, NY 10017				10,000.
AMERICAN JEWISH JDC 711 3RD STREET, 10TH FLOOR NEW YORK, NY 10017				105,085.
GEORGETOWN SCHOOL OF MEDICINE 3900 RESERVOIR ROAD NW, MEDICAL DENTAL BLDG WASHINGTON, DC 20057				11,000.
HARLEM EDUCATIONAL ACTIVITIES FUND 2090 7TH AVE, FLOOR 10 NEW YORK, NY 10002				7,500.
Total	SEE CONTINUATION SHEET(S)			▶ 3a 1,370,933.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Form 990-PF (2011)

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JEWISH FUNDERS NETWORK 150 WEST 30TH STREET, SUITE 900 NEW YORK, NY 10001				2,848.
PEF ISRAEL ENDOWMENT FUNDS 317 MADISON AVE, SUITE 607 NEW YORK, NY 10017				72,500.
YIVO THE CENTER FOR JEWISH HISTORY, 15 WEST 16TH ST NEW YORK, NY 10011				63,000.
AMERICAN COUNCIL OF THE MUSEUM OF HISTORY OF POLISH JEWS 401 BROADWAY, SUITE 1200 NEW YORK, NY 10013				1,000,000.
NEW ISRAEL FUND 330 SEVENTH AVENUE, 11TH FLOOR NEW YORK, NY 10014				2,000.
CULTURAL CROSSOVERS 718 BROADWAY #3A NEW YORK, NY 10003				2,000.
TAUBE FOUNDATION FOR JEWISH LIFE & CULTURE 121 STEUART STREET SAN FRANCISCO, CA 94105				25,000.
SPECIAL MUSIC SCHOOL 129 WEST 67TH ST NEW YORK, NY 10023				5,000.
FRIENDS OF THE FORUM 4116 N. KENNICOTT ARLINGTON HEIGHTS, IL 60004				10,000.
JEWISH JUMPSTART 1801 AVENUE OF THE STARS, SUITE 210 LOS ANGELES, CA 90067				5,000.
Total from continuation sheets				1,187,348.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

Employer identification number

THE KRONHILL PLETKA FOUNDATION

26-1466252

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

Employer identification number

THE KRONHILL PLETKA FOUNDATION**26-1466252****Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	IRENE PLETKA 123A WEST 69TH STREET NEW YORK, NY 10023	\$ _____	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
2	PETER PLETKA 123 WEST 69TH STREET - UPPER LEVEL NEW YORK, NY 10023	\$ _____	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

THE KRONHILL PLETKA FOUNDATION**26-1466252****Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

THE KRONHILL PLETKA FOUNDATION**26-1466252**

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHMENT #1 (GS# 028-14102-6)		VARIOUS	VARIOUS
b	SEE ATTACHMENT #1 (GS# 028-14102-6)		VARIOUS	VARIOUS
c	SEE ATTACHMENT #2 (GS# 028-17086-8)		VARIOUS	VARIOUS
d	SEE ATTACHMENT #2 (GS# 028-17086-8)		VARIOUS	VARIOUS
e	SEE ATTACHMENT #3 (GS# 028-17085-0)		VARIOUS	VARIOUS
f	SEE ATTACHMENT #3 (GS# 028-17085-0)		VARIOUS	VARIOUS
g	SEE ATTACHMENT #4 (GS# 028-14873-2)		VARIOUS	VARIOUS
h	SEE ATTACHMENT #4 (GS# 028-14873-2)		VARIOUS	VARIOUS
i	SEE ATTACHMENT #5 (GS# 028-17087-6)		VARIOUS	VARIOUS
j	SEE ATTACHMENT #5 (GS# 028-17087-6)		VARIOUS	VARIOUS
k	SEE ATTACHMENT #6 (GS# 028-28499-0)		VARIOUS	VARIOUS
l	SEE ATTACHMENT #6 (GS# 028-28499-0)		VARIOUS	VARIOUS
m	SEE ATTACHMENT #7 (MS# 690015176)		VARIOUS	VARIOUS
n	SEE ATTACHMENT #7 (MS# 690015176)		VARIOUS	VARIOUS
o	SEE ATTACHMENT #8 (MS# 690015177)		VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	272,779.	280,128.	<7,349.>
b	1,059,405.	1,040,763.	18,642.
c	5,252.	4,666.	586.
d	27,582.	26,801.	781.
e	56,903.	56,354.	549.
f	167,971.	167,688.	283.
g	124,263.	116,593.	7,670.
h	68,234.	50,229.	18,005.
i	29,976.	30,649.	<673.>
j	141,254.	120,314.	20,940.
k	31,587.	32,884.	<1,297.>
l	2,654.	2,798.	<144.>
m	67,119.	60,584.	6,535.
n	13,254.	10,991.	2,263.
o	34,431.	32,426.	2,005.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<7,349.>
b			18,642.
c			586.
d			781.
e			549.
f			283.
g			7,670.
h			18,005.
i			<673.>
j			20,940.
k			<1,297.>
l			<144.>
m			6,535.
n			2,263.
o			2,005.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHMENT #8 (MS# 690015177)		VARIOUS	VARIOUS
b GENERAL MOTORS		11/18/10	01/04/11
c ARCOS DORADOS HOLDINGS INC		05/06/11	08/02/11
d STANCORP FINL		12/02/10	05/09/11
e PROGRESS ENERGY INC		08/29/11	10/12/11
f COCA COLA		03/05/10	05/10/11
g CHEVRON CORP		03/05/10	03/04/11
h COCA COLA		03/05/10	01/04/11
i CHEVRON CORP		03/05/10	05/10/11
j SCANA CORP		03/05/10	06/09/11
k NATIONWIDE HEALTH PROPERTIES		03/05/10	03/04/11
l DOMINION RES INC		03/05/10	11/10/11
m MCDONALDS CORP		03/05/10	01/04/11
n BRISTOL MYERS SQUIBB CO		03/05/10	10/12/11
o NEW YORK COMMUNITY BANCORP		05/18/10	11/10/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 28,413.		26,365.	2,048.
b 18,853.		16,500.	2,353.
c 49,449.		44,649.	4,800.
d 24,188.		24,889.	<701.>
e 101.		96.	5.
f 3,032.		2,464.	568.
g 4,945.		3,566.	1,379.
h 3,395.		2,902.	493.
i 5,844.		4,160.	1,684.
j 3,308.		3,141.	167.
k 3,504.		2,888.	616.
l 4,503.		3,422.	1,081.
m 6,538.		5,591.	947.
n 3,657.		2,801.	856.
o 1,408.		1,840.	<432.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2,048.
b			2,353.
c			4,800.
d			<701.>
e			5.
f			568.
g			1,379.
h			493.
i			1,684.
j			167.
k			616.
l			1,081.
m			947.
n			856.
o			<432.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a HOSPITALITY PROPERTIES TRUST			05/07/10	06/07/11
b SENIOR HOUSING PROPERTIES TRUST			08/10/10	06/09/11
c HCP INC			03/05/10	05/10/11
d PROGRESS ENERGY INC			03/05/10	10/12/11
e NEW YORK COMMUNITY BANCORP			05/07/10	11/10/11
f ITT CORP			05/01/09	01/12/11
g IBM CORP			11/03/09	11/04/11
h ADOBE SYS INC			01/25/10	03/14/11
i CALIFORNIA ST 3% DUE 6/28/11			11/19/20	06/28/11
j DR PEPPER SNAPPLE GROUP INC			07/28/10	12/21/11
k US TREASURY NOTE			02/03/11	03/15/11
l CAPITAL GAINS DIVIDENDS				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,735.		2,880.	<145.>
b 3,094.		3,076.	18.
c 6,195.		4,851.	1,344.
d 8,496.		6,571.	1,925.
e 2,351.		2,942.	<591.>
f 10,699.		10,068.	631.
g 20,000.		20,000.	0.
h 25,795.		25,015.	780.
i 25,000.		25,000.	0.
j 30,000.		30,000.	0.
k 30,048.		29,957.	91.
l 15,352.			15,352.
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<145.>
b			18.
c			1,344.
d			1,925.
e			<591.>
f			631.
g			0.
h			780.
i			0.
j			0.
k			91.
l			15,352.
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	104,065.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

The Kronhill Pletka Foundation 028-14102 6
From 01-Jan-2011 To 31-Dec-2011

Base Currency USD

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
CYD	CHINA YUZHAI INTL LTD CMN	29 Oct 10	15-Nov-11	594	7,787	15,040	(7,253)	382 Long Term	
XGCRX	GS CREDIT STRATEGIES FUND COMMON SHARES	21-Oct-10	16-Dec-11	9,157 51	93,590	100,000	(6,410)	421 Long-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	24-Sep-09	14-Feb-11	19,569 47	200,000	205,088	(5,088)	508 Long-Term	
GELYV	GEELY AUTOMOBILE HOLDINGS LIMI ADR CMN	29-Oct-10	21-Apr-11	1,333	10,864	15,125	(4,261)	174 Short-Term	
GS	GOLDMAN SACHS GROUP, INC. [THE] CMN	27-Nov-09	21-Apr-11	300	45,998	49,656	(3,658)	510 Long-Term	
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	21-Oct-10	11-Aug-11	7,984 03	76,727	80,000	(3,273)	294 Short-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	24-Sep-09	2-Mar-11	13,586 16	139,258	142,383	(3,125)	524 Long-Term	
GM	GENERAL MOTORS COMPANY CMN	18-Nov-10	5-Oct-11	200	4,382	6,600	(2,218)	321 Short-Term	
FCX	FREEMPORT-MCMORAN COPPER & GOLD CMN	7-Feb-11	15-Nov-11	100	3,918	5,748	(1,830)	281 Short-Term	
HNRZF	HEINZ & MAURITZ AB CMN	14-Oct-10	15-Nov-11	136	4,126	4,995	(869)	397 Long-Term	
TCEHY	TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN	15-Oct-10	15-Nov-11	208	4,158	4,965	(807)	396 Long-Term	
LFC	CHINA LIFE INSURANCE CO LTD SPONSORED ADR CMN	15-Oct-10	15-Nov-11	29	1,235	1,969	(734)	396 Long-Term	
HK966	CHINA TAIPIING INSURANCE HOLDIN CMN	15-Oct-10	15-Nov-11	400	846	1,486	(640)	393 Long-Term	
HK966	CHINA TAIPIING INSURANCE HOLDIN CMN	15-Oct-10	15-Nov-11	400	846	1,486	(640)	393 Long-Term	
HK2601	CHINA PACIFIC INSURANCE [GRP] CMN CLASS H	15-Oct-09	2-Mar-11	98,873	99,387	50,060	(514)	303 Long-Term	
AGZOWK	EXPOSITORS ASA LINKED TO ASIAN FX BASK VS USD 0% COUPON DUE JAN 2012 STRUCTURED NOTE	15-Oct-09	16-Nov-11	491	1,575	2,016	(442)	393 Long-Term	
CEO	CNOOC LIMITED SPONSORED ADR CMN	14-Oct-10	16-Nov-11	20,000	19,643	20,000	(357)	350 Short-Term	
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	14-Oct-10	15-Nov-11	23	4,577	4,919	(342)	397 Long-Term	
GS	GOLDMAN SACHS GROUP, INC [THE] CMN	14-Oct-10	15-Nov-11	92	4,639	4,979	(340)	172 Short-Term	
HK966	CHINA TAIPIING INSURANCE HOLDIN CMN	15-Oct-10	15-Nov-11	325	49,831	50,060	(229)	437 Long Term	
SAP	SAP AG [SPON ADR]	15-Oct-10	16-Nov-11	135	286	502	(216)	393 Long-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	15-Oct-10	16-Nov-11	94	4,818	5,001	(182)	341 Short-Term	
GSSDX	GS CREDIT STRATEGIES FUND COMMON SHARES	15-Dec-09	2-Mar-11	997 114	10,220	10,320	(100)	442 Long-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	15-Dec-09	16-Dec-11	226 989	2,320	2,399	(79)	366 Long-Term	
XGCRX	GS CREDIT STRATEGIES FUND COMMON SHARES	15-Dec-09	2-Mar-11	608 628	6,238	6,299	(61)	442 Long Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	31-Mar-11	16-Dec-11	112 253	1,147	1,201	(54)	260 Short-Term	
GSSDX	GS CREDIT STRATEGIES FUND COMMON SHARES	30-Oct-09	16-Dec-11	111 13	1,136	1,181	(46)	169 Short-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	31-Dec-10	2-Mar-11	141 029	1,446	1,482	(37)	488 Long-Term	
GSSDX	GS CREDIT STRATEGIES FUND COMMON SHARES	30-Nov-09	16-Dec-11	84 703	866	898	(32)	350 Short-Term	
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	29-Apr-11	2-Mar-11	98 056	1,005	1,035	(30)	457 Long-Term	
GAMDX	GS CREDIT STRATEGIES FUND COMMON SHARES	15-Dec-10	11-Aug-11	33 454	321	338	(16)	104 Short-Term	
GAMDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	31-May-11	16-Dec-11	41 932	429	443	(15)	366 Long-Term	
GSSDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	29-Jul-11	11-Aug-11	39 212	377	389	(12)	72 Short-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	29-Jul-11	11-Aug-11	35 207	338	349	(11)	13 Short-Term	
GSSDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	31-Mar-10	2-Mar-11	75 097	770	780	(10)	397 Long Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	26-Feb-10	2-Mar-11	80 182	822	831	(10)	336 Short-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	30-Jun-10	2-Mar-11	66 788	685	694	(9)	369 Long-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	30-Jun-10	2-Mar-11	46 039	472	481	(9)	245 Short-Term	
GSSDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	30-Jun-11	11-Aug-11	36 039	346	355	(9)	42 Short-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	30-Jul-10	2-Mar-11	44 083	452	460	(8)	215 Short-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	30-Sep-10	2-Mar-11	35 6	365	372	(7)	153 Short-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	30-Apr-10	2-Mar-11	53 101	544	552	(7)	306 Short-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	28-May-10	2-Mar-11	46 006	472	479	(7)	278 Short-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	31-Aug-10	2-Mar-11	35 198	361	368	(7)	183 Short-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	30-Sep-09	2-Mar-11	29 57	303	310	(7)	518 Long Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	29-Oct-10	2-Mar-11	23 467	241	246	(6)	124 Short-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	31-Dec-09	2-Mar-11	91 655	960	966	(6)	426 Long-Term	
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	29-Oct-10	11-Aug-11	12 722	122	127	(5)	286 Short-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	30-Nov-10	2-Mar-11	19 58	201	205	(4)	92 Short-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	29 Jan 10	2-Mar-11	34 582	332	335	(2)	397 Long-Term	
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	26-Feb-10	2-Mar-11	32 144	309	311	(2)	369 Long-Term	
GSSDX	GS ENHANCED INCOME FUND CLASS A SHARES	30-Nov-09	2-Mar-11	37 37	359	361	(2)	457 Long-Term	
GSSDX	GS ENHANCED INCOME FUND CLASS A SHARES	31-Mar-10	2-Mar-11	36 072	347	349	(2)	336 Short-Term	
GSSDX	GS ENHANCED INCOME FUND CLASS A SHARES	30-Sep-10	11 Aug 11	6 993	67	69	(2)	315 Short-Term	
GSSDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	31-Dec-09	2-Mar-11	29 756	286	288	(2)	488 Long-Term	
GSSDX	GS ENHANCED INCOME FUND CLASS A SHARES	31-Dec-09	2-Mar-11	35 312	339	341	(2)	426 Long-Term	
GSSDX	GS ENHANCED INCOME FUND CLASS A SHARES	30-Apr 10	2-Mar-11	25 936	249	251	(1)	306 Short-Term	

The Kronhill Pletka Foundation 028-14102-6
From 01-Jan-2011 To 31-Dec-2011

Base Currency USD

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
XGCRX	GS CREDIT STRATEGIES FUND COMMON SHARES	30-Sep-11	16-Dec-11	125.767	1,285	1,287	(2)	77	Short-Term
GEIAX	GS ENHANCED INCOME FUND CLASS A SHARES	2-Mar-11	31-Jan-11	31.311	301	302	(1)	153	Short-Term
GEIAX	GS ENHANCED INCOME FUND CLASS A SHARES	29-Oct-10	2-Mar-11	28.447	273	274	(1)	124	Short-Term
GEIAX	GS ENHANCED INCOME FUND CLASS A SHARES	30-Jul-10	2-Mar-11	32.684	314	315	(1)	215	Short-Term
GEIAX	GS ENHANCED INCOME FUND CLASS A SHARES	31-Aug-10	2-Mar-11	32.267	310	311	(1)	183	Short-Term
GEIAX	GS ENHANCED INCOME FUND CLASS A SHARES	28-May-10	2-Mar-11	29.931	288	288	(0)	278	Short-Term
GEIAX	GS ENHANCED INCOME FUND CLASS A SHARES	30-Jun-10	2-Mar-11	32.225	310	310	(0)	245	Short-Term
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	31-Jan-11	2-Mar-11	15.243	156	156	(0)	30	Short-Term
GEIAX	GS ENHANCED INCOME FUND CLASS A SHARES	30-Nov-10	2-Mar-11	9.255	89	89	(0)	92	Short-Term
XGCRX	GS CREDIT STRATEGIES FUND COMMON SHARES	14-Dec-11	16-Dec-11	169.998	1,737	1,737	-	2	Short-Term
XGCRX	GS CREDIT STRATEGIES FUND COMMON SHARES	14-Dec-11	16-Dec-11	108.637	1,110	1,110	-	2	Short-Term
GEIAX	GS ENHANCED INCOME FUND CLASS A SHARES	31-Dec-10	2-Mar-11	9.977	96	96	-	61	Short-Term
GEIAX	GS ENHANCED INCOME FUND CLASS A SHARES	28-Feb-11	2-Mar-11	12.118	116	116	-	2	Short-Term
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	31-Dec-10	2-Mar-11	14.401	148	148	-	2	Short-Term
GEIAX	GS ENHANCED INCOME FUND CLASS A SHARES	31-Jan-11	2-Mar-11	16.517	169	169	-	61	Short-Term
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	31-Jan-11	2-Mar-11	10.002	96	96	0	30	Short-Term
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	30-Jul-10	11-Aug-11	36.181	348	347	1	133	Short-Term
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	30-Apr-10	11-Aug-11	7.519	72	71	1	377	Long-Term
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	31-Aug-10	11-Aug-11	7.814	75	74	1	468	Long-Term
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	26-Feb-10	11-Aug-11	7.377	71	69	2	345	Long-Term
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	31-Mar-10	11-Aug-11	7.755	30	28	2	531	Long-Term
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	30-Jun-10	11-Aug-11	7.441	72	72	0	498	Long-Term
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	30-Nov-10	11-Aug-11	41.467	399	393	6	254	Short-Term
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	28-May-10	11-Aug-11	8.03	77	71	6	440	Long-Term
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	15-Dec-10	11-Aug-11	33.612	323	314	9	164	Short-Term
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	31-Jan-11	11-Aug-11	90.907	874	865	9	239	Short-Term
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	15-Dec-10	11-Aug-11	36.563	351	339	12	192	Short-Term
GSSDX	GS SHORT-DURATION GOVERNMENT FUND CLASS A SHARES	15-Dec-10	2-Mar-11	381.783	3,913	3,898	15	77	Short-Term
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	19-Feb-10	11-Aug-11	496.139	5,085	5,066	20	77	Short-Term
GAMDX	GS LOCAL EMERGING MARKETS DEBT FUND CLASS A SHARES	19-Feb-10	11-Aug-11	222.342	2,137	2,117	20	223	Short-Term
OGZPY	GAZPROM ADR SPONSORED ADR CMN	29-Oct-10	11-Aug-11	558.036	5,363	5,000	363	538	Long-Term
FXI	ISHARES FTSE CHINA 25 INDEX FDI ETF	23-Sep-09	15-Nov-11	666	8,018	7,366	653	382	Long-Term
SXSEVD3	BNP PARIBAS LINKED TO EUROSTOXX 50 DIV PTS ON COUPON DUE 12/31/2012 STRUCTURED NOTE	4-Feb-10	7-Apr-11	230	10,580	9,826	754	561	Long-Term
SPY	SPDR S&P 500 ETF TRUST	19-Nov-10	11-Aug-11	1,127.40	10,834	10,000	834	553	Long-Term
SPY	SPDR S&P 500 ETF TRUST	17-Dec-10	4-May-11	20	21,714	19,880	1,834	166	Short-Term
SPY	SPDR S&P 500 ETF TRUST	19-Oct-09	23-Mar-11	750	96,531	93,083	3,448	96	Short-Term
SPY	SPDR S&P 500 ETF TRUST	18-Aug-09	8-Dec-11	500	19,400	13,208	6,192	584	Long-Term
SPY	SPDR S&P 500 ETF TRUST	3-Feb-11	3-Feb-11	500	65,334	62,170	3,164	472	Long-Term
SPY	SPDR S&P 500 ETF TRUST	4-Jun-09	3-Feb-11	500	65,334	56,537	8,796	534	Long-Term
TOTAL			3-Feb-11	1,000	130,667	113,075	17,593	609	Long-Term
					1,332,185	1,320,891	11,293	534	Long-Term
			Short Term		272,779	280,128	(7,349)		
			Long-Term		1,059,405	1,040,763	18,642		
					1,332,185	1,320,891	11,293		

Kronhill Pletka Fdn Diamond Hill LCV 028-17086-8

From 01-Jan-2011 To 31-Dec-2011

Separate Account Strategy - Diamond Hill Large Cap Value

Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
JPM	JPMORGAN CHASE & CO CMN	11-Dec-09	26-Sep-11	53	1,595	2,170	(575)	654	Long-Term
BK	THE BANK OF NY MELLON CORP CMN	22-May-08	5-Aug-11	24	546	1,040	(494)	1,170	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	22-May-08	15-Feb-11	13	1,144	1,619	(474)	999	Long-Term
APA	APACHE CORP CMN	22-May-08	31-Jan-11	15	1,765	2,200	(435)	984	Long-Term
FLR	FLUOR CORPORATION CMN	22-May-08	11-Feb-11	10	723	955	(232)	995	Long-Term
BK	THE BANK OF NY MELLON CORP CMN	22-May-08	8-Aug-11	10	216	433	(218)	1,173	Long-Term
RTN	RAYTHEON CO CMN	24-Sep-09	8-Aug-11	19	764	902	(139)	683	Long-Term
BK	THE BANK OF NY MELLON CORP CMN	29-Sep-09	8-Aug-11	14	302	416	(114)	678	Long-Term
BK	THE BANK OF NY MELLON CORP CMN	18-Sep-09	8-Aug-11	12	259	369	(110)	689	Long-Term
RTN	RAYTHEON CO CMN	24-Sep-09	9-Aug-11	13	521	617	(96)	684	Long-Term
BK	THE BANK OF NY MELLON CORP CMN	5-Oct-09	8-Aug-11	15	323	414	(91)	672	Long-Term
APA	APACHE CORP CMN	18-Sep-09	20-Dec-11	10	889	945	(57)	823	Long-Term
RTN	RAYTHEON CO CMN	29-Sep-09	9-Aug-11	7	281	336	(56)	679	Long-Term
RTN	RAYTHEON CO CMN	5-Oct-09	9-Aug-11	9	361	414	(54)	673	Long-Term
ABT	ABBOTT LABORATORIES CMN	22-May-11	30-Sep-11	17	874	921	(48)	1,226	Long-Term
WAG	WALGREEN CO. CMN	22-May-11	21-Jul-11	22	898	944	(45)	29	Short-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	22-May-08	27-Oct-11	13	1,233	1,276	(43)	1,253	Long-Term
AIZ	ASSURANT, INC CMN	28-Jan-11	14-Nov-11	9	345	359	(14)	290	Short-Term
APA	APACHE CORP CMN	29-Sep-09	20-Dec-11	2	178	184	(6)	812	Long-Term
AIZ	ASSURANT, INC CMN	13-Dec-10	14-Nov-11	13	499	505	(6)	336	Short-Term
KMB	KIMBERLY CLARK CORP CMN	22-May-08	7-Jan-11	6	378	379	(1)	960	Long-Term
AIZ	ASSURANT, INC CMN	18-Nov-10	14-Nov-11	1	38	36	3	361	Short-Term
WMT	WAL MART STORES INC CMN	20-Aug-09	15-Jun-11	5	261	258	3	664	Long-Term
WMT	WAL MART STORES INC CMN	22-Jul-08	20-Jun-11	3	159	155	4	669	Long-Term
APA	APACHE CORP CMN	29-Sep-09	31-Jan-11	2	235	228	7	923	Long-Term
WMT	WAL MART STORES INC CMN	22-Jul-08	20-Jun-11	2	106	99	7	629	Long-Term
APA	APACHE CORP CMN	18-Sep-09	4-Feb-11	2	236	228	7	927	Long-Term
KLAC	KLA-TENCOR CORPORATION CMN	29-Sep-09	25-Jan-11	1	43	36	8	494	Long-Term
D	DOMINION RESOURCES, INC CMN	29-Sep-09	18-Jan-11	1	43	34	9	476	Long-Term
FLR	FLUOR CORPORATION CMN	22-May-08	11-Feb-11	1	72	51	21	500	Long-Term
APC	ANADARKO PETROLEUM CORP CMN	7-Aug-08	8-Nov-11	6	502	480	22	1,265	Long-Term
APC	ANADARKO PETROLEUM CORP CMN	5-Oct-09	8-Nov-11	1	84	56	28	1,188	Long-Term
D	DOMINION RESOURCES, INC CMN	29-Sep-09	18-Jan-11	3	129	100	29	470	Long-Term
WMT	WAL MART STORES INC CMN	5-Oct-09	22-Jun-11	8	425	395	30	631	Long-Term
WMT	WAL MART STORES INC CMN	18-Sep-09	22-Jun-11	9	478	442	37	625	Long-Term
WMT	WAL MART STORES INC CMN	22-May-08	20-Jun-11	13	688	651	37	640	Long-Term
MCD	MC DONALDS CORP CMN	11-Feb-11	4-Aug-11	2	170	118	53	1,169	Long-Term
IBM	INTL BUSINESS MACHINES CORP CMN		30-Sep-11	5	879	820	59	231	Short-Term

Kronhill Pletka Fdn Diamond Hill LCV 028-17086-8
 From 01-Jan-2011 To 31-Dec-2011
 Separate Account Strategy Diamond Hill Large Cap Value

Base Currency USD

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
APA	APACHE CORP CMN	7-Oct-08	4-Feb-11	2	236	170	66	850	Long-Term
AIZ	ASSURANT, INC CMN	18-Nov-10	4-Nov-11	23	886	819	67	351	Short-Term
KLAC	KLA-TENCOR CORPORATION CMN	18-Sep-09	14-Jan-11	10	472	355	67	483	Long-Term
APA	APACHE CORP CMN	18-Sep-09	4-Feb-11	3	353	284	70	504	Long-Term
KLAC	KLA-TENCOR CORPORATION CMN	29-Sep-09	25-Jan-11	9	391	319	72	483	Long-Term
GIS	GENERAL MILLS INC CMN	22-May-08	6-Oct-11	10	380	308	72	1,232	Long-Term
WMT	WAL MART STORES INC CMN	2-Jul-09	15-Jun-11	20	1,046	958	87	713	Long-Term
KLAC	KLA-TENCOR CORPORATION CMN	5-Oct-09	25-Jan-11	13	565	443	121	477	Long-Term
ADS	ALLIANCE DATA SYSTEMS CORPORAT CMN	5-Oct-09	26-Apr-11	4	363	240	123	568	Long-Term
UTX	UNITED TECHNOLOGIES CORP CMN	22-May-08	15-Feb-11	11	933	789	144	999	Long-Term
KLAC	KLA-TENCOR CORPORATION CMN	2-Oct-08	14-Jan-11	12	506	359	147	834	Long-Term
D	DOMINION RESOURCES, INC CMN	5-Oct-09	19-Jan-11	16	689	535	154	471	Long-Term
KLAC	KLA-TENCOR CORPORATION CMN	22-Oct-08	14-Jan-11	9	380	181	199	814	Long-Term
KLAC	KLA-TENCOR CORPORATION CMN	19-Apr-10	11-Feb-11	17	794	569	225	298	Short-Term
FLR	FLUOR CORPORATION CMN	6-Oct-08	11-Feb-11	8	579	329	250	858	Long-Term
XOM	EXXON MOBIL CORPORATION CMN	21-May-10	27-Oct-11	12	973	721	252	524	Long-Term
KLAC	KLA-TENCOR CORPORATION CMN	28-Jan-10	25-Jan-11	21	912	615	297	362	Short-Term
KLAC	KLA-TENCOR CORPORATION CMN	28-Jan-10	11-Feb-11	24	1,121	703	418	379	Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	15-Jul-08	16-Jun-11	19	943	407	536	1,066	Long-Term
ADS	ALLIANCE DATA SYSTEMS CORPORAT CMN	4-Feb-09	26-Apr-11	19	1,723	776	947	811	Long-Term
TOTAL					32,834	31,467	1,367		
				Short-Term	5,252	4,666	586		
				Long-Term	27,582	26,801	781		
					32,834	31,467	1,367		

Kronhill Pletka Fdn David J Greene SCV 028-17085-C
 From 01-Jan-2011 To 31-Dec-2011
 Separate Account Strategy Neuburger Berman (The Greene Group) Small Cap Value

Base Currency USD

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
COCO	CORINTHIAN COLLEGES INC CMN	19-Jul-10	29-Aug-11	155	289	1,588	(1,299)	406	Long-Term
COCO	CORINTHIAN COLLEGES INC CMN	16-Apr-10	29-Aug-11	77	144	1,414	(1,271)	500	Long-Term
COCO	CORINTHIAN COLLEGES INC CMN	2-Feb-10	29-Aug-11	83	155	1,183	(1,028)	573	Long-Term
DAC	DANAOS CORPORATION CMN	22-May-08	13-Oct-11	40	131	1,035	(904)	1,239	Long-Term
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	16-Apr-10	30-Nov-11	68	280	1,109	(829)	593	Long-Term
	GLOBAL INDUSTRY LTD. CMN	22-May-08	12-Sep-11	70	545	1,332	(787)	1,208	Long-Term
COCO	CORINTHIAN COLLEGES INC CMN	24-Dec-09	29-Aug-11	64	119	874	(755)	613	Long-Term
	TEKELEC CMN	16-Apr-10	7-Nov-11	85	938	1,622	(685)	570	Long-Term
PWAV	POWERWAVE TECHNOLOGIES INC CMN	20-Jan-11	30-Nov-11	42	97	774	(677)	314	Short-Term
PWAV	POWERWAVE TECHNOLOGIES INC CMN	20-Jan-11	30-Nov-11	38	87	670	(583)	314	Short-Term
IN	INTERMEC INC CMN	3-Feb-11	24-Aug-11	121	849	1,409	(560)	202	Short-Term
TTI	TETRA TECHNOLOGIES INC (DEL) CMN	22-May-08	22-Sep-11	43	353	907	(554)	1,218	Long-Term
IN	INTERMEC INC CMN	7-May-10	24-Aug-11	117	821	1,354	(532)	474	Long-Term
UPS	LENDER PROCESSING SERVICES, IN CMN	11-Nov-10	30-Nov-11	40	746	1,254	(508)	384	Long-Term
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	5-Oct-09	13-Oct-11	52	314	820	(506)	738	Long-Term
SGMS	SCIENTIFIC GAMES CORPORATION CMN CLASS A	16-Oct-09	22-Sep-11	45	312	810	(499)	706	Long-Term
CLGX	POWERWAVE TECHNOLOGIES, INC CMN	20-Jan-11	13-Oct-11	240	356	840	(485)	266	Short-Term
UPS	CORELOGIC INC CMN	16-Apr-10	30-Nov-11	64	838	1,317	(479)	593	Long-Term
TTI	LENDER PROCESSING SERVICES, IN CMN	22-Oct-10	13-Oct-11	40	598	1,069	(471)	356	Short-Term
OMX	TETRA TECHNOLOGIES INC (DEL) CMN	22-May-08	13-Oct-11	37	312	781	(469)	1,239	Long-Term
ORA	OFFICEMAX INC CMN	12-Aug-10	30-Nov-11	69	319	786	(466)	475	Long-Term
OMX	ORMAT TECHNOLOGIES, INC CMN	22-May-08	22-Sep-11	13	187	644	(458)	1,218	Long-Term
IO	OFFICEMAX INC CMN	16-Apr-10	30-Nov-11	39	180	611	(430)	593	Long-Term
	ION GEOPHYSICAL CORPORATION CMN	22-May-08	23-Feb-11	110	1,385	1,792	(407)	1,007	Long-Term
BRCD	TEKELEC CMN	5-Oct-09	13-Oct-11	45	311	711	(400)	738	Long-Term
OMX	BROCADE COMMUNICATIONS SYSTEMS CMN	5-Oct-09	22-Sep-11	81	322	710	(388)	717	Long-Term
ISIL	OFFICEMAX INC CMN	5-Oct-09	13-Oct-11	47	232	608	(376)	738	Long-Term
ITRI	GENON ENERGY INC CMN	18-Sep-09	22-Sep-11	37	227	600	(373)	734	Long-Term
ORA	INTERSIL CORP CMN CLASS A	1-Feb-11	30-Nov-11	246	672	1,041	(370)	302	Short-Term
ITRI	ITRON INC CMN	16-Apr-10	22-Dec-11	63	676	1,046	(369)	615	Long-Term
CLGX	ORMAT TECHNOLOGIES, INC CMN	23-Feb-11	30-Nov-11	16	563	908	(345)	280	Short-Term
FNFG	CORELOGIC INC CMN	5-Oct-09	13-Oct-11	17	323	663	(341)	738	Long-Term
IN	FIRST NIAGARA FINANCIAL GROUP, CMN	23-Feb-11	13-Oct-11	14	467	794	(327)	232	Short-Term
GEN	INTERMEC INC CMN	22-May-08	22-Sep-11	36	394	720	(326)	1,218	Long-Term
TCB	GENON ENERGY INC CMN	28-May-10	30-Nov-11	70	606	927	(321)	551	Long-Term
DRIV	TCF FINANCIAL CORP MINN	11-Nov-10	24-Aug-11	57	400	714	(314)	286	Short-Term
UPS	DIGITAL RIVER INC CMN	1-Feb-11	22-Sep-11	205	566	868	(302)	233	Short-Term
TCB	LENDER PROCESSING SERVICES, IN CMN	27-May-10	13-Oct-11	51	532	828	(296)	504	Long-Term
SGMS	SCIENTIFIC GAMES CORPORATION CMN CLASS A	30-Apr-10	30-Nov-11	22	349	642	(293)	579	Long-Term
GEN	TEKELEC CMN	22-Oct-10	22-Sep-11	22	311	588	(277)	335	Short-Term
WFR	GENON ENERGY INC CMN	16-Apr-10	30-Nov-11	44	438	715	(277)	552	Long-Term
R	MEMC ELECTRONIC MATERIAL COMMON STOCK	21-Dec-09	13-Oct-11	34	235	510	(274)	593	Long-Term
CRL	RYDER SYSTEM INC CMN	1-Feb-11	13-Oct-11	178	482	753	(271)	254	Short-Term
SGMS	SCIENTIFIC GAMES CORPORATION CMN CLASS A	18-Sep-09	13-Oct-11	21	127	395	(268)	755	Long-Term
	CHARLES RIV LABS INTL INC CMN	22-May-08	19-Jan-11	13	658	925	(267)	972	Long-Term
		16-Apr-10	30-Nov-11	22	624	889	(264)	593	Long-Term
		23-Oct-09	13-Oct-11	26	209	467	(258)	720	Long-Term

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From 01-Jan-2011 To 31-Dec-2011

Separate Account Strategy Neuberger Berman (The Greene Group) Small Cap Value

Base Currency USD

Realized Gains/Losses									
Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
DBD	DIEBOLD INCORPORATED CMN	7-Feb-11	23-Aug-11	42	1,093	1,339	(246)	197	Short-Term
ESE	ESCO TECHNOLOGIES INC CMN	22-Sep-11	22-Sep-11	10	257	492	(235)	1,218	Long-Term
AVY	AVERY DENNISON CORPORATION CMN	18-Mar-10	13-Oct-11	28	750	972	(222)	574	Long-Term
ESE	ESCO TECHNOLOGIES INC CMN	22-May-08	13-Oct-11	11	319	541	(222)	1,239	Long-Term
CHMT	CHEMTURA CORPORATION CMN	8-Dec-10	30-Nov-11	62	720	939	(219)	357	Short-Term
CMVT	COMVERSE TECHNOLOGY INC (NEW) CMN	18-Sep-09	17-Aug-11	110	718	934	(216)	698	Long-Term
ORA	ORMAT TECHNOLOGIES, INC CMN	16-Apr-10	30-Nov-11	19	358	573	(215)	593	Long-Term
AVY	AVERY DENNISON CORPORATION CMN	14-Apr-10	30-Nov-11	18	466	677	(212)	595	Long-Term
ESE	ESCO TECHNOLOGIES INC CMN	5-Oct-09	30-Nov-11	20	535	746	(211)	786	Long-Term
TILB	TALBOTS, INC CMN	7-Feb-11	13-Oct-11	75	193	401	(208)	248	Short-Term
HBAN	HUNTINGTON BANCSHARES INCORPOR CMN	14-May-10	30-Nov-11	164	857	1,063	(206)	565	Long-Term
	ZORAN CORPORATION CMN	16-Apr-10	23-Aug-11	60	473	678	(205)	494	Long-Term
UMPQ	UMPQUA HLDGS CORP CMN	14-May-10	13-Oct-11	52	515	713	(199)	517	Long-Term
TCB	TCF FINANCIAL CORP MINN	27-May-10	22-Sep-11	27	241	439	(197)	483	Long-Term
	ZORAN CORPORATION CMN	22-May-08	17-Jun-11	35	291	487	(196)	1,121	Long-Term
SGMS	SCIENTIFIC GAMES CORPORATION CMN CLASS A	28-Apr-10	30-Nov-11	33	282	474	(192)	581	Long-Term
CHMT	CHEMTURA CORPORATION CMN	8-Dec-10	13-Oct-11	45	490	681	(191)	309	Short-Term
ISIL	INTERIL CORP CMN CLASS A	11-Nov-10	22-Dec-11	79	848	1,037	(188)	406	Long-Term
ORA	ORMAT TECHNOLOGIES, INC CMN	18-Sep-09	13-Oct-11	9	171	357	(187)	755	Long-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	21-Dec-09	13-Oct-11	59	262	448	(186)	661	Long-Term
CLGX	CORELOGIC INC CMN	5-Oct-09	13-Oct-11	26	292	475	(183)	738	Long-Term
COCO	CORINTHIAN COLLEGES INC CMN	20-Aug-10	29-Aug-11	70	131	313	(182)	374	Long-Term
FORM	FORMFACTOR INC CMN	21-Mar-11	30-Nov-11	43	252	427	(175)	254	Short-Term
	ZORAN CORPORATION CMN	16-Apr-10	17-Aug-11	54	436	610	(174)	488	Long-Term
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	5-Oct-09	17-Jun-11	632	76	806	(173)	620	Long-Term
ITRI	ITRON INC CMN	14-Jan-09	22-Sep-11	21	125	298	(173)	981	Long-Term
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	23-Feb-11	22-Sep-11	7	224	397	(173)	211	Short-Term
FNFG	FIRST NIAGARA FINANCIAL GROUP, CMN	30-Apr-10	30-Nov-11	18	74	245	(171)	579	Long-Term
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	28-May-10	13-Oct-11	45	432	596	(164)	503	Long-Term
AVY	AVERY DENNISON CORPORATION CMN	26-Mar-09	13-Oct-11	13	78	242	(164)	931	Long-Term
OMX	OFFICEMAX INC CMN	18-Apr-10	22-Sep-11	17	429	590	(161)	553	Long-Term
UMPQ	UMPQUA HLDGS CORP CMN	16-Apr-10	13-Oct-11	15	74	235	(161)	545	Long-Term
CRL	CHARLES RIV LABS INTL INC CMN	14-May-10	22-Sep-11	30	252	412	(159)	496	Long-Term
DRIV	DIGITAL RIVER INC CMN	16-Apr-10	13-Oct-11	14	411	566	(155)	545	Long-Term
ORA	ORMAT TECHNOLOGIES, INC CMN	18-Sep-09	30-Nov-11	10	159	311	(152)	593	Long-Term
KBR	KBR, INC CMN	22-May-08	13-Oct-11	23	643	793	(150)	734	Long-Term
DRIV	DIGITAL RIVER INC CMN	22-Dec-10	30-Nov-11	8	127	276	(149)	1,239	Long-Term
OMX	OFFICEMAX INC CMN	27-Aug-10	30-Nov-11	25	116	263	(148)	460	Long-Term
LPS	LENDER PROCESSING SERVICES, IN CMN	22-Oct-10	30-Nov-11	18	336	481	(145)	404	Long-Term
KBR	KBR, INC CMN	22-May-08	22-Sep-11	13	311	448	(137)	1,218	Long-Term
CODE	SPANION INC CMN CLASS A	28-Apr-11	30-Nov-11	12	104	238	(135)	216	Short-Term
SGMS	SCIENTIFIC GAMES CORPORATION CMN CLASS A	29-Oct-09	13-Oct-11	26	209	342	(133)	714	Long-Term
ACKM	ACIOM CORP CMN	5-Apr-11	30-Nov-11	57	697	826	(129)	239	Short-Term
SEE	SEALED AIR CORPORATION CMN	8-Mar-10	13-Oct-11	37	651	779	(128)	584	Long-Term
ACKM	ACIOM CORP CMN	31-Mar-11	22-Sep-11	28	271	399	(128)	175	Short-Term
CVA	COVANTA HOLDING CORP CMN	19-Apr-10	30-Nov-11	51	760	886	(126)	590	Long-Term
LCRY	LECROY CORP CMN	6-Apr-11	22-Sep-11	29	234	357	(124)	169	Short-Term

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 Separate Account Strategy Neuberger Berman (The Greene Group) Small Cap Value

Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
CVG	CONVERGYS CORPORATION CMN	27-Apr-10	13-Oct-11	41	419	542	(124)	534	Long-Term
AVY	VERY DENNISON CORPORATION CMN	25-Mar-10	30-Nov-11	13	336	458	(121)	615	Long-Term
CODE	SPANSION INC CMN CLASS A	28-Apr-11	13-Oct-11	23	316	436	(120)	168	Short-Term
HBAN	HUNTINGTON BANCSHARES INCORPOR CMN	14-May-10	13-Oct-11	98	515	635	(120)	517	Long-Term
CODE	SPANSION INC CMN CLASS A	28-Apr-11	30-Nov-11	11	95	211	(116)	216	Short-Term
MTW	MANITOWOC CO INC CMN	18-Nov-10	13-Oct-11	39	328	442	(114)	329	Short-Term
	GLOBAL INDUSTRY LTD CMN	5-Oct-09	12-Sep-11	93	724	836	(112)	707	Long-Term
RMBS	RAMBUS INC CMN	13-Sep-11	17-Nov-11	31	259	371	(112)	65	Short-Term
BR	BROADRIDGE FINANCIAL SOLUTIONS IN CMN	16-Apr-10	31-Aug-11	59	1,226	1,337	(111)	502	Long-Term
GVA	GRANITE CONSTRUCTION INC CMN	23-Sep-09	3-Feb-11	19	473	584	(111)	498	Long-Term
DBD	DIEBOLD INCORPORATED CMN	16-Apr-10	17-Aug-11	19	519	629	(110)	488	Long-Term
FORM	FORMFACTOR INC CMN	21-Mar-11	13-Oct-11	32	207	318	(110)	206	Short-Term
CMVT	COMVERSE TECHNOLOGY INC (NEW) CMN	5-Oct-09	17-Aug-11	43	281	388	(108)	681	Long-Term
INFN	INFENEA CORPORATION CMN	23-Nov-10	30-Nov-11	67	455	562	(107)	372	Long-Term
HBAN	HUNTINGTON BANCSHARES INCORPOR CMN	14-May-10	22-Sep-11	56	256	363	(107)	496	Long-Term
GVA	GRANITE CONSTRUCTION INC CMN	18-Nov-10	3-Feb-11	15	373	479	(105)	293	Short-Term
MTW	MANITOWOC CO INC CMN	3-Feb-11	22-Sep-11	23	156	261	(105)	308	Short-Term
ISIL	INTERISIL CORP CMN CLASS A	5-Oct-09	22-Dec-11	44	472	577	(105)	322	Short-Term
ARRS	ARRIS GROUP INC CMN	18-Sep-09	30-Nov-11	53	566	669	(104)	786	Long-Term
ESE	ESCO TECHNOLOGIES INC CMN	23-Feb-10	30-Nov-11	7	187	291	(104)	803	Long-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	18-Sep-09	13-Oct-11	87	386	489	(103)	597	Long-Term
CVA	COVANTA HOLDING CORP CMN	31-Mar-11	17-Jun-11	30	250	352	(102)	637	Long-Term
ACXM	ACXIOM CORP CMN	28-Mar-11	13-Oct-11	38	440	542	(102)	196	Short-Term
RSH	RADIOSHACK CORP CMN	16-Apr-10	30-Nov-11	26	294	392	(99)	247	Short-Term
FNFG	FIRST NIAGARA FINANCIAL GROUP, CMN	28-May-10	22-Sep-11	26	246	344	(98)	593	Long-Term
ISIL	INTERISIL CORP CMN CLASS A	5-Oct-09	22-Sep-11	10	61	158	(97)	717	Long-Term
TDY	TELEDYNE TECHNOLOGIES INC CMN	22-May-08	22-Sep-11	10	457	554	(97)	1,218	Long-Term
CLGX	CORELOGIC INC CMN	18-Sep-09	13-Oct-11	12	135	231	(96)	755	Long-Term
ORA	ORMAT TECHNOLOGIES, INC CMN	15-Jun-11	30-Nov-11	23	433	529	(96)	168	Short-Term
DBD	DIEBOLD INCORPORATED CMN	16-Apr-10	23-Aug-11	13	338	430	(92)	494	Long-Term
UMPQ	UMPQUA HLDGS CORP CMN	14-May-10	30-Nov-11	65	801	892	(91)	562	Long-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	18-Sep-09	2-Jun-11	120	863	953	(90)	565	Long-Term
CHMT	CHEMTURA CORPORATION CMN	8-Dec-10	22-Sep-11	24	273	363	(90)	622	Long-Term
BR	BROADRIDGE FINANCIAL SOLUTIONS IN CMN	3-Feb-11	31-Aug-11	43	893	982	(89)	288	Short-Term
LCRY	LECRY CORP CMN	6-Apr-11	30-Nov-11	26	232	320	(88)	209	Short-Term
CMVT	COMVERSE TECHNOLOGY INC (NEW) CMN	24-Jan-11	9-Nov-11	53	582	670	(88)	238	Short-Term
ISIL	INTERISIL CORP CMN CLASS A	5-Oct-09	13-Oct-11	34	221	307	(86)	738	Long-Term
CVA	COVANTA HOLDING CORP CMN	16-Apr-10	30-Nov-11	14	147	232	(85)	593	Long-Term
KBR	KBR INC CMN	22-May-08	22-Sep-11	24	332	417	(85)	521	Long-Term
SMA	SYMMETRY MEDICAL INC CMN	1-Apr-11	30-Nov-11	14	400	482	(83)	1,287	Long-Term
DRIV	DIGITAL RIVER INC CMN	6-May-10	30-Nov-11	43	335	417	(82)	243	Short-Term
SEE	SEALED AIR CORPORATION CMN	16-Apr-10	13-Oct-11	8	169	249	(80)	550	Long-Term
CMVT	COMVERSE TECHNOLOGY INC (NEW) CMN	15-Mar-10	30-Nov-11	22	384	464	(80)	545	Long-Term
		16-Apr-10	30-Nov-11	34	225	304	(80)	593	Long-Term

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 From 01-Jan-2011 To 31-Dec-2011
 Separate Account Strategy Neuberger Berman (The Greene Group) Small Cap Value

Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
LCRY	LECROY CORP CMN	6-Apr-11	13-Oct-11	20	167	246	(79)	190	Short-Term
SWIR	SIERRA WIRELESS INC CMN	5-Oct-09	30-Nov-11	29	193	273	(79)	786	Long-Term
CLGX	CORELOGIC INC CMN	22-May-08	13-Oct-11	9	101	180	(79)	1,239	Long-Term
ISIL	INTERSEIL CORP CMN CLASS A	18-Sep-09	13-Oct-11	20	241	318	(77)	755	Long-Term
NAV	NAVISTAR INTL CORP (NEW) CMN	18-Sep-09	22-Sep-11	11	365	443	(77)	734	Long-Term
ESE	ESCO TECHNOLOGIES INC CMN	18-Sep-09	13-Oct-11	6	174	249	(75)	755	Long-Term
CODE	SPANSION INC CMN CLASS A	28-Apr-11	22-Sep-11	12	153	227	(75)	147	Short-Term
CYT	CYTEC INDS INC COMMON STOCK	17-Nov-10	22-Sep-11	6	199	274	(75)	309	Short-Term
CTS	CTS CORPORATION CMN	22-May-08	22-Sep-11	29	236	310	(75)	1,218	Long-Term
CYT	CYTEC INDS INC COMMON STOCK	17-Nov-10	13-Oct-11	11	427	502	(74)	330	Short-Term
TEKELEC	TEKELEC CMN	6-May-10	9-Nov-11	23	253	325	(73)	552	Long-Term
AVY	AVERY DENNISON CORPORATION CMN	18-Mar-10	30-Nov-11	8	207	278	(71)	622	Long-Term
DRIV	DIGITAL RIVER INC CMN	1-Feb-10	13-Oct-11	18	380	447	(67)	619	Long-Term
DAC	DANAOS CORPORATION CMN	5-Oct-09	30-Nov-11	42	139	203	(65)	786	Long-Term
ITRI	ITRON INC CMN	23-Feb-11	30-Nov-11	3	105	169	(63)	280	Short-Term
SEE	SEALED AIR CORPORATION CMN	8-Mar-10	30-Nov-11	17	297	358	(61)	632	Long-Term
RSH	RADIOSHACK CORP CMN	23-Mar-11	22-Sep-11	26	305	364	(59)	183	Short-Term
SGMS	SCIENTIFIC GAMES CORPORATION CMN CLASS A	16-Apr-10	13-Oct-11	9	72	130	(58)	545	Long-Term
CLGX	CORELOGIC INC CMN	5-Oct-09	30-Nov-11	11	144	201	(57)	786	Long-Term
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	5-Feb-10	30-Nov-11	7	29	86	(57)	663	Long-Term
OMX	OFFICEMAX INC CMN	18-Jun-09	22-Sep-11	36	167	224	(56)	826	Long-Term
SEE	SEALED AIR CORPORATION CMN	18-Sep-09	12-Sep-11	25	195	251	(56)	724	Long-Term
ORA	ORMAT TECHNOLOGIES, INC CMN	18-Mar-10	30-Nov-11	13	227	283	(56)	622	Long-Term
RSH	RADIOSHACK CORP CMN	16-Apr-10	13-Oct-11	5	95	151	(56)	545	Long-Term
DRIV	DIGITAL RIVER INC CMN	29-Mar-11	30-Nov-11	14	158	213	(55)	246	Short-Term
ISIL	INTERSEIL CORP CMN CLASS A	1-Feb-10	22-Sep-11	15	318	372	(54)	598	Long-Term
SWIR	SIERRA WIRELESS INC CMN	5-Oct-09	13-Oct-11	26	313	367	(54)	738	Long-Term
RSH	RADIOSHACK CORP CMN	11-Feb-10	30-Nov-11	18	120	173	(53)	657	Long-Term
TCB	TCF FINANCIAL CORP MINN	23-Mar-11	30-Nov-11	25	197	250	(53)	482	Long-Term
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	12-Feb-09	30-Nov-11	19	215	266	(52)	252	Short-Term
SMSC	STANDARD MICROSYSTEMS CMN	30-Mar-11	22-Sep-11	6	100	150	(51)	455	Long-Term
ISIL	INTERSEIL CORP CMN CLASS A	21-Jul-09	22-Sep-11	20	440	489	(49)	952	Long-Term
CLGX	CORELOGIC INC CMN	7-Jun-10	22-Sep-11	15	158	207	(49)	197	Short-Term
INFN	INFINERA CORPORATION CMN	24-Nov-10	30-Nov-11	7	92	140	(49)	793	Long-Term
FCN	FTI CONSULTING, INC CMN	22-Apr-10	22-Sep-11	31	210	259	(49)	541	Long-Term
ARRS	ARRIS GROUP INC CMN	16-Apr-10	30-Nov-11	23	281	330	(49)	371	Long-Term
RSH	RADIOSHACK CORP CMN	23-Mar-11	13-Oct-11	41	245	294	(49)	518	Long-Term
ARRS	ARRIS GROUP INC CMN	18-Sep-09	13-Oct-11	19	209	256	(47)	593	Long-Term
SGMS	SCIENTIFIC GAMES CORPORATION CMN CLASS A	27-Oct-09	13-Oct-11	8	64	111	(47)	204	Short-Term
CHS	CHICO'S FAS INC CMN	25-Feb-11	13-Sep-11	57	444	489	(45)	716	Long-Term
SMSC	STANDARD MICROSYSTEMS CMN	22-Nov-10	30-Nov-11	43	445	489	(45)	200	Short-Term
SEE	SEALED AIR CORPORATION CMN	30-Mar-11	22-Sep-11	10	201	245	(43)	373	Long-Term
BR	BROADRIDGE FINANCIAL SOLUTIONS IN CMN	8-Mar-10	22-Sep-11	11	190	232	(42)	176	Short-Term
TTI	TETRA TECHNOLOGIES INC (DEU) CMN	1-Oct-08	17-Aug-11	30	637	679	(42)	563	Long-Term
INFN	INFINERA CORPORATION CMN	22-Nov-10	13-Oct-11	8	67	109	(41)	1,182	Long-Term
CBM	CAMBREX CORPORATION CMN	23-May-08	22-Sep-11	68	311	352	(41)	1,107	Long-Term
					337	377	(41)	1,217	Long-Term

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	19-Feb-09	22-Sep-11	5	30	70	(40)	945	Long-Term
CRL	CHARLES RIV LABS INTL INC CMN	21-Dec-09	22-Sep-11	10	281	321	(40)	640	Long-Term
SMA	SYMMETRY MEDICAL INC CMN	1-Apr-11	22-Sep-11	16	116	155	(39)	174	Short-Term
TYT	TEXTRON INC DEL CMN	16-Apr-10	30-Nov-11	15	291	329	(38)	593	Long-Term
DAC	DANAOS CORPORATION CMN	7-Apr-10	30-Nov-11	28	92	129	(37)	602	Long-Term
OMX	OFFICEMAX INC CMN	28-Jul-09	22-Sep-11	14	65	102	(37)	786	Long-Term
ISIL	INTERFIL CORP CMN CLASS A	22-Oct-09	30-Nov-11	12	126	163	(36)	769	Long-Term
CMVT	CONVERSE TECHNOLOGY INC (NEW) CMN	5-Oct-09	22-Sep-11	19	136	172	(36)	717	Long-Term
	TEKELEC CMN	21-Dec-09	7-Nov-11	9	99	135	(36)	686	Long-Term
GVA	GRANITE CONSTRUCTION INC CMN	5-Oct-09	3-Feb-11	10	249	284	(35)	486	Long-Term
CEN	CIENA CORPORATION CMN	2-Dec-09	13-Oct-11	36	425	457	(32)	680	Long-Term
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	18-Feb-09	22-Sep-11	4	24	56	(32)	946	Long-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	23-Mar-10	30-Nov-11	75	402	434	(32)	617	Long-Term
ACCL	ACCELAYS INC ORD CMN	22-May-08	22-Sep-11	43	254	284	(30)	1,218	Long-Term
CMA	COMERICA INCORPORATED CMN	17-May-10	22-Sep-11	11	242	272	(30)	493	Long-Term
OMX	OFFICEMAX INC CMN	1-Sep-10	30-Nov-11	5	23	53	(30)	455	Long-Term
SGMS	SCIENTIFIC GAMES CORPORATION CMN CLASS A	16-Oct-09	13-Oct-11	3	24	54	(30)	727	Long-Term
	GLOBAL INDUSTRY LTD CMN	5-Aug-08	12-Sep-11	30	234	263	(30)	1,133	Long-Term
SGMS	SCIENTIFIC GAMES CORPORATION CMN CLASS A	27-Oct-09	13-Oct-11	5	40	69	(29)	716	Long-Term
SWIR	SIERRA WIRELESS INC CMN	7-Aug-09	13-Oct-11	24	167	196	(28)	797	Long-Term
DAC	DANAOS CORPORATION CMN	5-Oct-09	13-Oct-11	18	59	87	(28)	738	Long-Term
ACXM	ACHION CORP CMN	31-Mar-11	13-Oct-11	10	116	144	(28)	196	Short-Term
SMA	SYMMETRY MEDICAL INC CMN	27-Oct-09	17-Aug-11	32	258	286	(28)	659	Long-Term
AFEX	AFFYMETRIX INC CMN	17-Sep-10	30-Nov-11	69	311	339	(28)	195	Short-Term
CLGX	CORELOGIC INC CMN	18-Jul-08	13-Oct-11	10	112	138	(26)	439	Long-Term
OMX	OFFICEMAX INC CMN	28-Jul-09	13-Oct-11	11	54	80	(26)	807	Long-Term
FCN	FTI CONSULTING, INC CMN	22-Apr-10	13-Oct-11	12	469	495	(26)	539	Long-Term
CLGX	CORELOGIC INC CMN	22-Jul-08	13-Oct-11	10	112	137	(24)	1,178	Long-Term
SGMS	SCIENTIFIC GAMES CORPORATION CMN CLASS A	25-Jun-10	30-Nov-11	13	111	135	(24)	523	Long-Term
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	19-Feb-09	13-Oct-11	3	18	42	(24)	966	Long-Term
TTL	TETRA TECHNOLOGIES INC (DEL) CMN	5-Oct-09	30-Nov-11	59	533	556	(22)	786	Long-Term
CEN	CIENA CORPORATION CMN	2-Dec-09	30-Nov-11	30	359	381	(22)	728	Long-Term
CVG	CONVERGYS CORPORATION CMN	27-Apr-10	30-Nov-11	50	640	661	(21)	582	Long-Term
CMVT	CONVERSE TECHNOLOGY INC (NEW) CMN	5-Jun-08	9-May-11	40	326	346	(20)	1,068	Long-Term
DST	DST SYSTEM INC COMMON STOCK	15-Aug-08	17-Aug-11	3	20	39	(19)	1,097	Long-Term
INFN	INFINERA CORPORATION CMN	13-Oct-09	22-Sep-11	10	422	441	(18)	709	Long-Term
ISIL	INTERFIL CORP CMN CLASS A	24-Jul-09	22-Sep-11	6	63	81	(18)	325	Short-Term
ARRS	ARIS CORP INC CMN	18-Sep-09	30-Nov-11	6	64	81	(17)	790	Long-Term
ISIL	INTERFIL CORP CMN CLASS A	23-Jul-09	22-Sep-11	5	53	68	(15)	803	Long-Term
ACXM	ACHION CORP CMN	31-Mar-11	30-Nov-11	7	86	101	(15)	791	Long-Term
SWIR	SIERRA WIRELESS INC CMN	5-Oct-09	13-Oct-11	6	42	56	(15)	244	Short-Term
MTW	WARNER MUSIC GROUP CORP CMN	22-May-08	9-May-11	140	1,141	1,155	(14)	1,082	Long-Term
DBD	MANITOWOC CO INC CMN	23-Nov-10	30-Nov-11	53	582	596	(14)	372	Long-Term
NAV	NAVISTAR INTL CORP (NEW) CMN	3-Nov-09	17-Aug-11	12	328	341	(14)	652	Long-Term
	TEKELEC CMN	10-Mar-10	30-Nov-11	3	111	124	(13)	630	Long-Term
	ZORAN CORPORATION CMN	31-Jan-11	9-Nov-11	21	231	244	(13)	282	Short-Term
		5-Oct-09	17-Aug-11	5	40	53	(13)	681	Long-Term

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Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	5-Oct-09	2-Jun-11	8	58	70	(13)	605	Long-Term
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	23-Jan-09	22-Sep-11	2	12	24	(12)	972	Long-Term
CTS	CTS CORPORATION CMN	4-Jun-08	13-Oct-11	10	98	110	(12)	1,226	Long-Term
TDY	TELETYPE TECHNOLOGIES INC CMN	22-May-08	13-Oct-11	8	431	443	(12)	1,239	Long-Term
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	5-Oct-09	30-Nov-11	1	4	16	(12)	786	Long-Term
	GLOBAL INDUSTRY LTD CMN	7-Aug-09	12-Sep-11	16	125	136	(11)	766	Long-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	21-Dec-09	22-Sep-11	3	12	23	(11)	640	Long-Term
CTS	CTS CORPORATION CMN	22-May-08	13-Oct-11	11	107	118	(10)	1,239	Long-Term
SSW	SEASPAR CORPORATION CMN	30-Apr-10	30-Nov-11	18	188	198	(10)	579	Long-Term
AFEX	AFFYMETRIX INC CMN	17-Sep-10	22-Sep-11	42	197	206	(10)	370	Long-Term
ISIL	INTERIL CORP CMN CLASS A	3-Aug-09	13-Oct-11	4	48	57	(9)	801	Long-Term
SPR	SPIRIT AEROSYSTEMS HOLDINGS INC CMN	17-Aug-11	22-Sep-11	10	149	158	(9)	36	Short-Term
CRJ	CHARLES RIV LABS INTL INC CMN	21-Dec-09	13-Oct-11	3	88	96	(8)	661	Long-Term
	TEKELEC CMN	31-Jan-11	23-Nov-11	13	143	151	(8)	296	Short-Term
ISIL	INTERIL CORP CMN CLASS A	3-Aug-09	22-Sep-11	2	21	29	(8)	780	Long-Term
FCN	FTI CONSULTING INC CMN	23-Apr-10	13-Oct-11	3	117	125	(7)	538	Long-Term
NAV	NAVISTAR INTL CORP (NEW) CMN	18-Sep-09	13-Oct-11	5	195	201	(7)	755	Long-Term
ACCL	ACCELERS INC ORD CMN	22-May-08	13-Oct-11	57	370	376	(6)	1,239	Long-Term
CTS	CTS CORPORATION CMN	5-Oct-09	30-Nov-11	21	177	183	(6)	786	Long-Term
SWIR	SIERRA WIRELESS INC CMN	6-Aug-09	22-Sep-11	7	50	56	(6)	777	Long-Term
DBD	DIEBOLD INCORPORATED CMN	5-Oct-09	3-Jun-11	12	379	384	(5)	606	Long-Term
SWIR	SIERRA WIRELESS INC CMN	6-Aug-09	13-Oct-11	5	35	40	(5)	798	Long-Term
CMVT	COMVERSE TECHNOLOGY INC (NEW) CMN	5-Oct-09	30-Nov-11	2	13	18	(5)	786	Long-Term
INFN	INFINERA CORPORATION CMN	23-Nov-10	13-Oct-11	23	188	193	(5)	324	Short-Term
FICO	FAIR ISAAC INC CMN	16-Apr-10	23-Aug-11	64	1,600	1,603	(3)	494	Long-Term
CTS	CTS CORPORATION CMN	7-Aug-09	30-Nov-11	8	67	71	(3)	845	Long-Term
BR	BROADRIDGE FINANCIAL SOLUTIONS IN CMN	16-Apr-10	17-Aug-11	2	42	45	(3)	488	Long-Term
SWIR	SIERRA WIRELESS INC CMN	3-Aug-09	22-Sep-11	9	65	67	(2)	780	Long-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	23-Feb-10	30-Nov-11	9	48	51	(2)	645	Long-Term
SWIR	SIERRA WIRELESS INC CMN	4-Aug-09	22-Sep-11	6	43	45	(2)	779	Long-Term
DBD	DIEBOLD INCORPORATED CMN	21-Dec-09	17-Aug-11	19	519	520	(1)	604	Long-Term
WEN	WENDY'S CO/THE CMN	7-Aug-09	30-Nov-11	7	59	60	(1)	845	Long-Term
TWIN	TWIN DISC INCORPORATED CMN	21-Jan-11	13-Oct-11	105	503	504	(1)	265	Short-Term
MTW	MANITOWOC CO INC CMN	18-Nov-10	22-Sep-11	28	798	799	(1)	246	Short-Term
CTS	CTS CORPORATION CMN	6-Aug-09	30-Nov-11	2	22	23	(1)	377	Long-Term
SNMC	STANDARD MICROSYSTEMS CMN	31-Mar-11	30-Nov-11	1	25	25	0	846	Long-Term
CBM	CAMBREX CORPORATION CMN	23-May-08	13-Oct-11	53	295	294	1	1,238	Long-Term
CRL	CHARLES RIV LABS INTL INC CMN	18-Sep-09	24-Jan-11	4	149	148	1	493	Long-Term
TXR	TEXTRON INC DEL CMN	5-Oct-09	13-Oct-11	22	401	400	2	738	Long-Term
CTS	CTS CORPORATION CMN	28-Jul-09	30-Nov-11	8	67	65	2	855	Long-Term
CBM	CAMBREX CORPORATION CMN	2-Jul-08	13-Oct-11	47	261	259	2	1,198	Long-Term
	ZORAN CORPORATION CMN	1-Jul-08	17-Jun-11	10	83	81	3	1,050	Long-Term
	ZORAN CORPORATION CMN	29-Jul-08	17-Jun-11	10	83	80	3	1,053	Long-Term
NAV	NAVISTAR INTL CORP (NEW) CMN	5-Oct-09	30-Nov-11	12	445	442	3	786	Long-Term
WEN	WENDY'S CO/THE CMN	21-Jan-11	22-Sep-11	62	301	298	3	244	Short-Term
TCBI	TEXAS CAPITAL BANCSHARES INC CMN	18-May-10	22-Sep-11	1	22	19	3	492	Long-Term
STZ	CONSTELLATION BRANDS INC CMN CLASS A	4-Aug-09	22-Sep-11	1	18	14	4	779	Long-Term
ACCL	ACCELERS INC ORD CMN	22-May-08	30-Nov-11	10	71	66	5	1,287	Long-Term

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Base Currency USD

Realized Gains/Losses									
Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
CTS	CTS CORPORATION CMN	1-May-09	30-Nov-11	2	17	12	5	943	Long-Term
SLM	SLM CORPORATION CMN	15-Jul-09	21-Jan-11	1	14	9	5	555	Long-Term
CMVT	COMVERSE TECHNOLOGY INC (NEW) CMN	15-Dec-08	17-Aug-11	4	26	21	5	975	Long-Term
IO	ION GEOPHYSICAL CORPORATION CMN	18-May-09	22-Sep-11	2	10	5	5	857	Long-Term
HUBB	HUBBELL INCORPORATED CLASS B CMN CLASS B	22-May-08	22-Sep-11	2	99	93	5	1,218	Long-Term
CMA	COMERICA INCORPORATED CMN	17-May-10	30-Nov-11	25	623	618	5	562	Long-Term
CNS	CADENCE DESIGN SYSTEMS INC CMN	16-Apr-10	13-Oct-11	2	20	14	6	545	Long-Term
BROD	BROCADE COMMUNICATIONS SYSTEMS CMN	22-Jul-08	22-Jun-11	8	58	52	6	1,045	Long-Term
CHE	CHEMED CORP CMN	18-Sep-09	22-Sep-11	1	51	45	6	734	Long-Term
CNS	CADENCE DESIGN SYSTEMS INC CMN	29-Oct-09	22-Sep-11	2	19	12	7	693	Long-Term
PBY	PEP BOYS MANNY-MOE & JACK CMN	25-Mar-09	30-Nov-11	1	11	4	7	980	Long-Term
BECK	BECKMAN COULTER, INC CMN	18-Sep-09	18-Jan-11	3	216	208	7	487	Long-Term
CYN	CITY NATIONAL CORP CMN	29-Nov-11	30-Nov-11	5	210	202	8	1	Short-Term
CMA	COMERICA INCORPORATED CMN	17-May-10	1-Aug-11	0.25	8	-	8	441	Long-Term
R	RYDER SYSTEM INC CMN	18-Dec-08	13-Oct-11	1	44	36	8	1,029	Long-Term
PBY	PEP BOYS MANNY-MOE & JACK CMN	9-Mar-09	13-Oct-11	1	11	3	8	948	Long-Term
SSW	SEASPAN CORPORATION CMN	30-Apr-10	13-Oct-11	5	64	56	9	531	Long-Term
SMSC	ZORAN CORPORATION CMN	9-Sep-10	23-Aug-11	23	181	173	9	348	Short-Term
SLM	STANDARD MICROSYSTEMS CMN	30-Mar-11	30-Nov-11	19	474	465	9	245	Short-Term
GLOBAL	GLOBAL INDUSTRY LTD CMN	16-Apr-10	12-Sep-11	7	55	45	9	514	Long-Term
SLM	SLM CORPORATION CMN	21-Jul-09	21-Jan-11	2	28	19	10	549	Long-Term
CRDN	CERADYNE INC CALIF CMN	16-Apr-10	22-Sep-11	4	101	91	10	524	Long-Term
WEN	WENDY'S CO/THE CMN	21-Jan-11	30-Nov-11	106	519	509	10	313	Short-Term
CTS	CTS CORPORATION CMN	2-Apr-09	13-Oct-11	2	20	9	10	924	Long-Term
NAV	NAVISTAR INTL CORP (NEW) CMN	21-Dec-09	30-Nov-11	5	185	175	10	709	Long-Term
SEAC	SEACHANGE INTERNATIONAL INC CMN	22-May-08	22-Sep-11	32	240	229	11	1,218	Long-Term
TXT	TEXTRON INC DEL CMN	14-May-09	22-Sep-11	2	33	22	11	861	Long-Term
CKK	CROWN HOLDINGS INC CMN	18-Sep-09	30-Nov-11	2	64	53	11	803	Long-Term
SSW	SEASPAN CORPORATION CMN	28-Oct-09	22-Sep-11	3	36	25	11	694	Long-Term
TWIN	TWIN DISC INCORPORATED CMN	19-Jan-11	5-Aug-11	1	40	29	12	198	Short-Term
CRL	CHARLES RIV LABS INTL INC CMN	5-Oct-09	24-Jan-11	29	1,079	1,067	12	476	Long-Term
FICO	FAIR ISAAC INC CMN	30-Jun-08	17-Aug-11	2	54	42	12	1,143	Long-Term
CRDN	CERADYNE INC CALIF CMN	28-Apr-10	30-Nov-11	2	59	47	12	581	Long-Term
CTS	CTS CORPORATION CMN	1-Apr-09	13-Oct-11	2	20	7	12	925	Long-Term
ACCL	ACCELRYX INC ORD CMN	5-Oct-09	30-Nov-11	9	64	50	13	786	Long-Term
CHE	CHEMED CORP CMN	17-Feb-09	22-Sep-11	2	103	89	14	947	Long-Term
FCN	FTI CONSULTING, INC CMN	23-Apr-10	22-Dec-11	8	346	332	14	608	Long-Term
SSW	SEASPAN CORPORATION CMN	28-Oct-09	13-Oct-11	3	39	25	14	715	Long-Term
CMA	COMERICA INCORPORATED CMN	1-Sep-10	30-Nov-11	6	150	135	14	455	Long-Term
CYT	CYTEC INDS INC COMMON STOCK	17-Nov-10	30-Nov-11	13	607	593	15	378	Long-Term
SEAC	SEACHANGE INTERNATIONAL INC CMN	22-May-08	30-Nov-11	18	144	129	15	1,287	Long-Term
SLM	SLM CORPORATION CMN	31-Jul-09	21-Jan-11	3	42	27	15	539	Long-Term
DBD	DIEBOLD INCORPORATED CMN	3-Nov-09	3-Jun-11	5	158	142	16	577	Long-Term
CTS	CTS CORPORATION CMN	1-May-09	13-Oct-11	4	39	23	16	895	Long-Term
DST	DST SYSTEM INC COMMON STOCK	3-Feb-10	30-Nov-11	2	95	79	16	665	Long-Term
SEAC	SEACHANGE INTERNATIONAL INC CMN	18-Sep-09	30-Nov-11	49	392	375	16	803	Long-Term
SSW	SEASPAN CORPORATION CMN	2-Oct-09	22-Sep-11	4	48	32	16	720	Long-Term
R	RYDER SYSTEM INC CMN	26-Nov-08	22-Sep-11	4	155	139	16	1,030	Long-Term
TXT	TEXTRON INC DEL CMN	15-May-09	22-Sep-11	3	49	33	16	860	Long-Term

Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
CEN	CENRA CORPORATION CMN	18-Dec-09	30-Nov-11	13	156	139	17	712	Long-Term
ITT	ITT CORPORATION CMN	2-Nov-11	30-Nov-11	25	506	489	17	28	Short-Term
CTS	CTS CORPORATION CMN	31-Mar-09	13-Oct-11	3	29	11	18	976	Long-Term
SPR	SPIRIT AEROSYSTEMS HOLDINGS INC CMN	17-Aug-11	13-Oct-11	23	381	363	18	57	Short-Term
PIL	PALL CORP CMN	22-May-08	22-Sep-11	6	248	214	18	1,218	Long-Term
R	RYDER SYSTEM INC CMN	18-Dec-08	22-Sep-11	6	233	230	18	1,008	Long-Term
CTS	CTS CORPORATION CMN	29-Apr-09	13-Oct-11	5	49	30	19	897	Long-Term
DBD	DIEBOLD INCORPORATED CMN	20-May-09	3-Jun-11	3	95	76	19	744	Long-Term
TCBI	TEXAS CAPITAL BANCSHARES, INC CMN	18-May-10	31-Oct-11	2	57	37	19	531	Long-Term
	BECKMAN COULTER, INC CMN	21-Dec-09	18-Jan-11	3	216	196	20	393	Long-Term
	SOUTHERN UNION CO (NEW) CMN	22-May-08	17-Jun-11	3	100	80	20	1,121	Long-Term
IO	ION GEOPHYSICAL CORPORATION CMN	14-May-09	23-Feb-11	2	25	5	20	650	Long-Term
CHS	CHICO'S FAS INC CMN	22-Nov-10	22-Sep-11	21	259	239	20	304	Short-Term
BR	BROADRIDGE FINANCIAL SOLUTIONS IN CMN	18-Sep-09	17-Aug-11	25	531	511	20	698	Long-Term
TXT	TEXTRON INC DEL CMN	18-May-09	22-Sep-11	4	66	45	21	857	Long-Term
FCM	FTI CONSULTING, INC CMN	23-Apr-10	30-Nov-11	16	685	664	21	586	Long-Term
	GLOBAL INDUSTRY LTD CMN	1-Oct-08	12-Sep-11	21	164	142	22	1,076	Long-Term
PIL	PALL CORP CMN	18-Sep-09	22-Sep-11	3	124	102	22	734	Long-Term
	GENOPTIX, INC CMN	6-Jul-10	24-Jan-11	3	74	52	22	202	Short-Term
CMA	COMERICA INCORPORATED CMN	17-May-10	13-Oct-11	21	541	519	22	514	Long-Term
CHS	CHICO'S FAS INC CMN	22-Nov-10	13-Oct-11	30	364	341	23	325	Short-Term
TXT	TEXTRON INC DEL CMN	22-Nov-10	22-Sep-11	5	82	60	23	856	Long-Term
NAV	NAVISTAR INTL CORP (NEW) CMN	5-Oct-09	13-Oct-11	11	428	405	23	738	Long-Term
SSW	SEASPAN CORPORATION CMN	1-Oct-09	22-Sep-11	6	72	49	23	721	Long-Term
CTS	CTS CORPORATION CMN	25-Mar-09	13-Oct-11	4	39	15	24	932	Long-Term
TTI	TETRA TECHNOLOGIES INC (DEL) CMN	10-Feb-09	13-Oct-11	7	59	34	25	975	Long-Term
HWD	HARRY WINSTON DIAMOND CORP CMN	12-Jun-09	23-Mar-11	3	45	30	25	649	Long-Term
HUBB	HUBBELL INCORPORATED CLASS B CMN CLASS B	5-Oct-09	22-Sep-11	3	148	122	26	717	Long-Term
KBR	KBR, INC CMN	18-Sep-09	30-Nov-11	5	143	116	27	803	Long-Term
	GLOBAL INDUSTRY LTD CMN	9-Dec-08	12-Sep-11	6	47	20	27	1,007	Long-Term
CBM	CAMBREX CORPORATION CMN	5-Oct-09	30-Nov-11	49	333	305	27	786	Long-Term
PICO	FAIR ISAAC INC CMN	5-Oct-09	23-Aug-11	8	200	172	28	687	Long-Term
SLM	SLM CORPORATION CMN	16-Jun-09	21-Jan-11	5	71	42	29	584	Long-Term
STZ	CONSTELLATION BRANDS INC CMN CLASS A	18-Sep-09	30-Nov-11	8	155	125	30	803	Long-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	18-Sep-08	2-Jun-11	28	201	171	30	987	Long-Term
CCX	CROWN HOLDINGS INC CMN	16-Sep-08	14-Jun-11	2	77	46	31	1,001	Long-Term
CHE	CHEMED CORP CMN	22-May-08	22-Sep-11	2	103	72	31	1,218	Long-Term
PBY	PEP BOYS MANNY-MOE & JACK CMN	6-Mar-09	22-Sep-11	5	46	15	31	930	Long-Term
CRON	CERADYNE INC CALIF CMN	20-Oct-09	22-Sep-11	5	126	94	32	702	Long-Term
AFIX	AFFYMETRIX INC CMN	17-Sep-10	13-Oct-11	70	376	344	32	391	Long-Term
PBY	PEP BOYS MANNY-MOE & JACK CMN	5-Oct-09	30-Nov-11	19	212	180	32	786	Long-Term
CHE	CHEMED CORP CMN	18-Sep-09	30-Nov-11	4	213	180	33	803	Long-Term
PBY	PEP BOYS MANNY-MOE & JACK CMN	31-Mar-09	30-Nov-11	5	56	22	34	974	Long-Term
DIRC	DRESSER-RAND GROUP INC CMN	22-May-08	22-Sep-11	10	432	399	34	1,218	Long-Term
TXT	TEXTRON INC DEL CMN	5-Oct-09	30-Nov-11	28	543	509	34	786	Long-Term
TCBI	TEXAS CAPITAL BANCSHARES, INC CMN	17-May-10	22-Sep-11	11	239	204	34	493	Long-Term
	TEKELEC CMN	10-Feb-11	23-Nov-11	31	341	306	35	286	Short-Term
BR	BROADRIDGE FINANCIAL SOLUTIONS IN CMN	24-Sep-08	17-Aug-11	8	341	306	36	1,057	Long-Term
CHE	CHEMED CORP CMN	5-Oct-09	30-Nov-11	4	213	176	37	786	Long-Term

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Base Currency USD

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
AVAV	AEROVIRONMENT, INC CMN -	8-Dec-10	30-Nov-11	9	273	236	37	357	Short-Term
DST	DST SYSTEM INC COMMON STOCK	13-Oct-09	30-Nov-11	11	522	485	37	778	Long-Term
UTEK	ULTRATECH INC CMN	18-Sep-09	26-Apr-11	2	64	26	38	585	Long-Term
	BECKMAN COULTER, INC CMN	18-May-09	18-Jan-11	2	144	105	39	610	Long-Term
	BECKMAN COULTER, INC CMN	5-Oct-09	18-Jan-11	7	503	464	39	470	Long-Term
CRI	CARTER'S, INC CMN	17-Sep-10	22-Sep-11	8	238	198	40	370	Long-Term
AVAV	AEROVIRONMENT, INC CMN -	11-Oct-10	22-Sep-11	11	297	257	40	346	Short-Term
CRL	CHARLES RIV LABS INTL INC CMN	21-Dec-09	24-Jan-11	8	298	257	41	399	Long-Term
AVAV	AEROVIRONMENT, INC CMN -	11-Oct-10	30-Nov-11	6	182	140	41	415	Long-Term
BCO	BRINK'S COMPANY (THE) CMN	1-Feb-10	5-May-11	6	185	142	42	458	Long-Term
TDY	TELEDYNE TECHNOLOGIES INC CMN	5-Oct-09	30-Nov-11	2	112	70	42	786	Long-Term
CMVT	COMVERSE TECHNOLOGY INC (NEW) CMN	9-Dec-08	17-Aug-11	42	274	231	43	981	Long-Term
MRCY	MERCURY COMPUTER SYSTEMS INC CMN	22-May-08	13-Oct-11	8	109	65	44	1,239	Long-Term
	VERIGY LTD ORD CMN	6-Dec-10	22-Mar-11	25	353	307	45	106	Short-Term
DST	WARNER MUSIC GROUP CORP CMN	18-Sep-09	10-May-11	16	131	85	46	599	Long-Term
TXT	DST SYSTEM INC COMMON STOCK	18-Dec-09	30-Nov-11	10	475	429	46	712	Long-Term
TTI	TETRA TECHNOLOGIES INC (DEL) CMN	29-Apr-09	22-Sep-11	9	132	85	46	876	Long-Term
FICO	FAIR ISAAC INC CMN	12-Feb-09	30-Nov-11	8	81	34	47	1,021	Long-Term
DST	DST SYSTEM INC COMMON STOCK	18-Sep-09	17-Aug-11	10	269	221	47	698	Long-Term
TDY	TELEDYNE TECHNOLOGIES INC CMN	13-Oct-09	13-Oct-11	17	798	749	49	730	Long-Term
SEAC	SEACHANGE INTERNATIONAL INC CMN	22-Jan-09	30-Nov-11	2	112	62	51	1,042	Long-Term
SSW	SEASPAR CORPORATION CMN	30-Oct-09	13-Oct-11	11	142	91	51	1,239	Long-Term
BR	BROADRIDGE FINANCIAL SOLUTIONS IN CMN	5-Oct-09	17-Aug-11	43	913	862	51	681	Long-Term
HWD	HARRY WINSTON DIAMOND CORP CMN	19-Jun-09	23-Mar-11	6	90	38	52	642	Long-Term
SLM	SLM CORPORATION CMN	30-Jul-09	21-Jan-11	10	141	90	52	540	Long-Term
R	RYDER SYSTEM INC CMN	18-Sep-09	30-Nov-11	5	260	207	53	803	Long-Term
STZ	CONSTELLATION BRANDS INC CMN CLASS A	10-Jun-09	22-Sep-11	11	196	141	55	834	Long-Term
PBY	PEP BOYS MANNY-MOE & JACK CMN	30-Mar-09	30-Nov-11	8	89	35	55	975	Long-Term
FICO	FAIR ISAAC INC CMN	9-Feb-09	17-Aug-11	4	107	53	55	919	Long-Term
CBM	CAMBREX CORPORATION CMN	2-Jul-08	30-Nov-11	43	292	237	55	1,246	Long-Term
TTI	TETRA TECHNOLOGIES INC (DEL) CMN	7-Nov-08	13-Oct-11	20	169	112	56	1,070	Long-Term
CHE	CHEMED CORP CMN	18-Sep-09	13-Oct-11	7	372	315	57	755	Long-Term
PBY	PEP BOYS MANNY-MOE & JACK CMN	6-Mar-09	13-Oct-11	7	79	20	58	951	Long-Term
DRG	DRESSER-RAND GROUP INC CMN	18-Sep-09	27-Oct-11	3	156	97	59	769	Long-Term
PBY	PEP BOYS MANNY-MOE & JACK CMN	13-Mar-09	13-Oct-11	8	90	30	60	944	Long-Term
AAP	ADVANCED AUTO PARTS INC CMN	18-Feb-10	13-Oct-11	3	180	119	61	602	Long-Term
ACCL	ACCELYS INC ORD CMN	18-Sep-09	30-Nov-11	55	389	327	62	803	Long-Term
HUBB	HUBBELL INCORPORATED CLASS B CMN CLASS B	16-Apr-10	30-Nov-11	5	322	261	62	593	Long-Term
	BECKMAN COULTER, INC CMN	24-Jul-09	18-Jan-11	5	360	296	64	543	Long-Term
DRC	DRESSER-RAND GROUP INC CMN	29-Oct-10	29-Nov-11	4	194	130	64	396	Long-Term
CKK	CROWN HOLDINGS INC CMN	1-Oct-08	14-Jun-11	4	153	89	64	986	Long-Term
IO	ION GEOPHYSICAL CORPORATION CMN	5-Oct-09	22-Sep-11	37	189	124	65	717	Long-Term
FICO	FAIR ISAAC INC CMN	1-Jul-08	17-Aug-11	10	269	204	65	1,142	Long-Term
MRCY	MERCURY COMPUTER SYSTEMS INC CMN	5-Oct-09	13-Oct-11	14	191	126	65	738	Long-Term
ARRS	ARRIS GROUP INC CMN	22-May-08	22-Sep-11	41	415	347	67	1,218	Long-Term
PBY	PEP BOYS MANNY-MOE & JACK CMN	24-Mar-09	13-Oct-11	10	112	44	68	933	Long-Term
TDY	TELEDYNE TECHNOLOGIES INC CMN	22-Jan-09	13-Oct-11	3	162	92	69	994	Long-Term
HWD	HARRY WINSTON DIAMOND CORP CMN	2-Sep-10	23-Mar-11	24	358	289	69	202	Short-Term

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Separate Account Strategy Neuberger Berman (The Greene Group) Small Cap Value

Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
HWD	HARRY WINSTON DIAMOND CORP CMN	18-Jun-09	23-Mar-11	8	119	50	249	70	643 Long-Term
	TELECOM CMN	11-Feb-11	23-Nov-11	29	319	249	249	70	285 Short-Term
DBD	DIEBOLD INCORPORATED CMN	21-May-09	3-Jun-11	10	316	246	246	70	743 Long-Term
IO	ION GEOPHYSICAL CORPORATION CMN	18-May-09	23-Feb-11	7	88	17	17	71	646 Long-Term
AVAV	AEROVIRONMENT, INC CMN	8-Dec-10	8-Dec-11	14	438	367	367	71	365 Short-Term
BCO	BRINK'S COMPANY (THE) CMN	18-Sep-09	28-Apr-11	12	397	326	326	71	587 Long-Term
FICO	FAIR ISAAC INC CMN	10-Dec-08	17-Aug-11	6	161	90	90	71	980 Long-Term
FICO	FAIR ISAAC INC CMN	29-Jan-09	17-Aug-11	6	161	87	87	74	930 Long-Term
CKK	CROWN HOLDINGS INC CMN	3-Feb-09	22-Sep-11	7	214	140	140	75	961 Long-Term
TCBI	TEXAS CAPITAL BANKSHARES, INC CMN	1-Sep-10	30-Nov-11	6	170	94	94	76	455 Long-Term
CMS	CMS ENERGY CORPORATION CMN	22-May-08	21-Jan-11	20	383	306	306	77	974 Long-Term
CBM	CAMBREX CORPORATION CMN	16-Apr-10	30-Nov-11	33	224	147	147	77	593 Long-Term
KEYN	KEYNOTE SYSTEMS INC CMN	16-Apr-10	22-Sep-11	8	170	92	92	78	524 Long-Term
DRC	DRESSER-RAND GROUP INC CMN	5-Oct-09	16-Nov-11	4	203	125	125	78	772 Long-Term
HWD	HARRY WINSTON DIAMOND CORP CMN	16-Jun-09	23-Mar-11	9	134	56	56	78	645 Long-Term
BCO	BRINK'S COMPANY (THE) CMN	16-Apr-10	5-May-11	43	1,322	1,244	1,244	79	384 Long-Term
PLL	PALL CORP CMN	5-Oct-09	13-Oct-11	5	239	158	158	81	738 Long-Term
FICO	FAIR ISAAC INC CMN	4-Mar-09	17-Aug-11	5	134	53	53	81	896 Long-Term
UTEK	ULTRATECH INC CMN	18-Sep-09	22-Sep-11	15	273	192	192	81	734 Long-Term
STZ	CONSTELLATION BRANDS INC CMN CLASS A	4-Jun-09	22-Sep-11	15	267	186	186	81	840 Long-Term
TTI	TETRA TECHNOLOGIES INC (DEL) CMN	13-Feb-09	30-Nov-11	15	136	54	54	81	1,020 Long-Term
RMBS	RAMBUS INC CMN	13-Sep-11	13-Oct-11	17	285	203	203	82	30 Short-Term
BR	BROADRIDGE FINANCIAL SOLUTIONS IN CMN	10-Dec-08	17-Aug-11	9	191	108	108	84	980 Long-Term
CCK	CROWN HOLDINGS INC CMN	1-Oct-08	22-Sep-11	10	306	222	222	84	1,086 Long-Term
SLM	SLM CORPORATION CMN	18-Sep-09	21-Jan-11	16	226	141	141	85	490 Long-Term
IO	ION GEOPHYSICAL CORPORATION CMN	8-May-09	23-Feb-11	9	113	27	27	87	656 Long-Term
HWD	HARRY WINSTON DIAMOND CORP CMN	15-Jun-09	23-Mar-11	10	149	63	63	87	646 Long-Term
CDMS	CADENCE DESIGN SYSTEMS INC CMN	5-Oct-09	22-Sep-11	42	389	302	302	87	717 Long-Term
CDMS	WARNER MUSIC GROUP CORP CMN	16-Apr-10	10-May-11	120	980	893	893	87	389 Long-Term
UTEK	ULTRATECH INC CMN	5-Oct-09	13-Oct-11	12	244	156	156	88	738 Long-Term
TCBI	TEXAS CAPITAL BANKSHARES, INC CMN	1-Sep-10	31-Oct-11	7	199	110	110	89	425 Long-Term
CRON	CERADYNE INC CALIF CMN	5-Oct-09	11-May-11	3	144	54	54	90	583 Long-Term
VRNT	VERINT SYSTEMS, INC CMN	22-May-08	22-Sep-11	19	512	420	420	92	1,218 Long-Term
PBY	PEP BOYS MANNY-MOE & JACK CMN	5-Mar-09	22-Sep-11	15	138	44	44	94	931 Long-Term
DRC	DRESSER-RAND GROUP INC CMN	22-May-08	13-Oct-11	14	653	558	558	95	1,239 Long-Term
SLM	SLM CORPORATION CMN	7-Aug-09	21-Jan-11	20	283	188	188	95	532 Long-Term
TXT	TEXTRON INC DEL CMN	28-Jul-09	13-Oct-11	16	292	197	197	95	807 Long-Term
R	RYDER SYSTEM INC CMN	8-Apr-09	13-Oct-11	5	219	124	124	95	918 Long-Term
	SOUTHERN UNION CO (NEW) CMN	9-Feb-09	17-Jun-11	5	167	71	71	96	858 Long-Term
UTEK	ULTRATECH INC CMN	18-Sep-09	13-Oct-11	13	264	166	166	98	755 Long-Term
HWD	HARRY WINSTON DIAMOND CORP CMN	17-Jun-09	23-Mar-11	11	164	66	66	98	644 Long-Term
CRON	CERADYNE INC CALIF CMN	16-Apr-10	13-Oct-11	15	441	341	341	100	545 Long-Term
	CELERA CORPORATION CMN	16-Apr-10	6-Apr-11	130	1,068	966	966	102	355 Short-Term
	GLOBAL INDUSTRY LTD CMN	16-Apr-10	13-Sep-11	76	592	489	489	102	515 Long-Term
	BECKMAN COULTER, INC CMN	21-Dec-09	7-Feb-11	6	495	392	392	103	413 Long-Term
ARRS	ARRIS GROUP INC CMN	22-May-08	13-Oct-11	41	451	347	347	103	1,239 Long-Term
CRON	CERADYNE INC CALIF CMN	25-Aug-09	21-Apr-11	4	183	79	79	104	604 Long-Term
STZ	CONSTELLATION BRANDS INC CMN CLASS A	18-Sep-09	13-Oct-11	22	447	343	343	104	755 Long-Term
AVAV	AEROVIRONMENT, INC CMN	11-Oct-10	13-Oct-11	16	479	374	374	105	367 Long-Term

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Separate Account Strategy Neuberger Berman (The Greene Group) Small Cap Value

Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
UTFK	ULTRATECH INC CMN	16-Apr-10	30-Nov-11	12	276	169	107	593	Long-Term
HWD	HARRY WINSTON DIAMOND CORP CMN	22-Jun-09	23-Mar-11	12	179	72	107	639	Long-Term
CRON	CERADYNE INC CALIF CMN	16-Apr-10	30-Nov-11	16	472	364	108	593	Long-Term
SLM	SLM CORPORATION CMN	21-Jun-09	15-Jun-11	19	269	160	109	585	Long-Term
MRCY	MERCURY COMPUTER SYSTEMS INC CMN	22-May-08	22-Sep-11	28	335	226	109	1,218	Long-Term
TXT	TEXTRON INC DEL CMN	29-Apr-09	31-Jan-11	7	184	75	109	642	Long-Term
CRI	CARTER'S, INC CMN	17-Sep-10	13-Oct-11	11	381	272	109	391	Long-Term
CRON	CERADYNE INC CALIF CMN	20-Aug-09	21-Apr-11	4	183	73	110	609	Long-Term
	TEKELEC CMN	5-May-11	23-Nov-11	38	418	307	111	202	Short-Term
CKK	CROWN HOLDINGS INC CMN	18-Sep-09	13-Oct-11	18	591	476	115	755	Long-Term
CKK	CROWN HOLDINGS INC CMN	3-Feb-09	13-Oct-11	9	295	179	116	982	Long-Term
STZ	CONSTELLATION BRANDS INC CMN CLASS A	4-Aug-09	13-Oct-11	19	386	268	119	800	Long-Term
SLM	SLM CORPORATION CMN	22-Jul-09	21-Jan-11	25	353	235	119	548	Long-Term
SLM	SLM CORPORATION CMN	11-Jun-09	21-Jan-11	22	311	190	121	589	Long-Term
BCO	BRINK'S COMPANY (THE) CMN	1-Feb-10	28-Apr-11	13	430	309	121	451	Long-Term
	TEKELEC CMN	5-May-11	30-Nov-11	43	471	347	124	209	Short-Term
KEYN	KEYNOTE SYSTEMS INC CMN	16-Apr-10	30-Nov-11	18	333	207	126	593	Long-Term
CKK	CROWN HOLDINGS INC CMN	9-Sep-08	14-Jun-11	9	345	217	127	1,008	Long-Term
CRON	CERADYNE INC CALIF CMN	24-Aug-09	21-Apr-11	5	229	99	129	605	Long-Term
OGE	OGE ENERGY CORP (HOLDING CO) CMN	16-Apr-10	1-Feb-11	20	929	797	132	291	Short-Term
TCBI	TEXAS CAPITAL BANCSHARES, INC. CMN	18-May-10	13-Oct-11	18	470	336	133	513	Long-Term
HUBB	HUBBELL INCORPORATED CLASS B CMN CLASS B	5-Oct-09	13-Oct-11	10	542	408	135	738	Long-Term
R	RYDER SYSTEM INC CMN	8-Apr-09	30-Nov-11	5	260	124	137	966	Long-Term
TWIN	TWIN DISC INCORPORATED CMN	19-Jan-11	3-Aug-11	10	422	285	137	196	Short-Term
RMBS	RAMBUS INC CMN	13-Sep-11	19-Oct-11	29	484	347	138	36	Short-Term
PLL	PALL CORP CMN	18-Sep-09	13-Oct-11	10	478	340	138	755	Long-Term
SLM	SOUTHERN UNION CO (NEW) CMN	16-Apr-10	5-Jul-11	9	373	233	139	445	Long-Term
MRCY	MERCURY COMPUTER SYSTEMS INC CMN	18-Jun-09	21-Jan-11	24	339	200	139	582	Long-Term
TTI	TETRA TECHNOLOGIES INC (DEL) CMN	5-Oct-09	30-Nov-11	31	419	278	141	786	Long-Term
	BECKMAN COULTER, INC CMN	10-Feb-09	30-Nov-11	34	307	167	141	1,023	Long-Term
CMS	CMS ENERGY CORPORATION CMN	29-Apr-09	18-Jan-11	7	503	362	142	629	Long-Term
SPR	SPIRIT AEROSYSTEMS HOLDINGS INC CMN	18-Sep-09	21-Jan-11	25	479	335	144	480	Long-Term
	TEKELEC CMN	17-Aug-11	30-Nov-11	39	761	616	145	105	Short-Term
R	RYDER SYSTEM INC CMN	5-May-11	30-Nov-11	50	547	401	146	209	Short-Term
AAP	ADVANCED AUTO PARTS INC CMN	5-Oct-09	30-Nov-11	10	521	375	146	786	Long-Term
KEYN	KEYNOTE SYSTEMS INC CMN	5-Oct-09	22-Sep-11	7	411	263	149	717	Long-Term
CKK	CROWN HOLDINGS INC CMN	16-Apr-10	2-Aug-11	11	275	126	149	473	Long-Term
COO	COOPER COMPANIES INC (NEW) CMN	5-Oct-09	30-Nov-11	29	930	777	153	786	Long-Term
STZ	CONSTELLATION BRANDS INC CMN CLASS A	5-Oct-09	23-Feb-11	5	298	143	154	506	Long-Term
DRG	DRESSER-RAND GROUP INC CMN	5-Oct-09	30-Nov-11	45	869	713	156	786	Long-Term
CMS	CMS ENERGY CORPORATION CMN	18-Sep-09	20-Oct-11	10	482	323	159	762	Long-Term
BCO	BRINK'S COMPANY (THE) CMN	16-Apr-10	21-Jan-11	47	900	740	161	280	Short-Term
KEYN	KEYNOTE SYSTEMS INC CMN	5-Oct-09	28-Apr-11	21	694	533	161	570	Long-Term
VRNT	VERINT SYSTEMS INC CMN	16-Apr-10	13-Oct-11	14	324	161	163	545	Long-Term
HUBB	HUBBELL INCORPORATED CLASS B CMN CLASS B	22-May-08	13-Oct-11	30	829	663	166	1,239	Long-Term
	GENOPTIX, INC CMN	5-Oct-09	30-Nov-11	7	451	285	166	786	Long-Term
	VERIGY LTD ORD CMN	6-Jul-10	24-Jan-11	23	572	400	172	202	Short-Term
	FAIR ISAAC INC CMN	18-Nov-10	22-Mar-11	30	423	250	173	124	Short-Term
FICO		5-Oct-09	17-Aug-11	33	886	710	176	681	Long-Term

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 Separate Account Strategy Neuberger Berman (The Greene Group) Small Cap Value

Base Currency USD

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
KBR	SOUTHERN UNION CO (NEW) CMN	18-Sep-09	17-Jun-11	15	501	311	190	637 Long-Term	
IO	KBR, INC CMN	10-Oct-08	30-Nov-11	14	400	206	194	1,146 Long-Term	
COO	ION GEOPHYSICAL CORPORATION CMN	5-Oct-09	30-Nov-11	80	463	269	194	786 Long-Term	
R	COOPER COMPANIES INC (NEW) CMN	16-Apr-10	23-Feb-11	9	536	341	195	313 Short-Term	
VRNT	RYDER SYSTEM INC CMN	4-Mar-09	13-Oct-11	9	395	196	198	953 Long-Term	
CRI	VERINT SYSTEMS, INC CMN	22-May-08	30-Nov-11	33	928	729	198	1,287 Long-Term	
BCO	CARTER'S, INC CMN	17-Sep-10	30-Nov-11	14	551	346	205	439 Long-Term	
CRDN	BRINK'S COMPANY (THE) CMN	9-Feb-10	5-May-11	32	984	778	206	450 Long-Term	
CONS	WARNER MUSIC GROUP CORP CMN	18-Sep-09	9-May-11	74	603	393	210	598 Long-Term	
TCBI	CERADYNE INC CALIF CMN	21-Aug-09	21-Apr-11	8	366	156	210	608 Long-Term	
CMS	CADENCE DESIGN SYSTEMS INC CMN	16-Apr-10	31-Oct-11	54	602	387	215	563 Long-Term	
AAP	TEXAS CAPITAL BANCSHARES, INC CMN	13-Sep-10	30-Nov-11	19	539	322	217	443 Long-Term	
KEYN	CMS ENERGY CORPORATION CMN	5-Oct-09	21-Jan-11	37	709	488	220	473 Long-Term	
IO	ADVANCED AUTO PARTS INC CMN	5-Oct-09	13-Oct-11	10	599	375	224	738 Long-Term	
UTEK	CELERA CORPORATION CMN	19-Feb-10	6-Apr-11	133	1,092	867	225	411 Long-Term	
CBM	KEYNOTE SYSTEMS INC CMN	22-May-08	29-Apr-11	25	533	308	225	1,072 Long-Term	
DRG	ION GEOPHYSICAL CORPORATION CMN	5-Oct-09	13-Oct-11	66	451	222	230	738 Long-Term	
AAP	ULTRATECH INC CMN	5-Oct-09	30-Nov-11	23	529	299	230	786 Long-Term	
HWD	CAMBREX CORPORATION CMN	16-Apr-10	27-Dec-11	79	586	352	234	620 Long-Term	
CONS	DRESSER-RAND GROUP INC CMN	22-May-08	27-Apr-11	16	873	638	235	1,070 Long-Term	
AVAV	ADVANCED AUTO PARTS INC CMN	16-Apr-10	30-Nov-11	10	687	450	237	593 Long-Term	
CRI	VERIGY LTD ORD CMN	11-Nov-10	22-Mar-11	50	705	466	239	131 Short-Term	
UTEK	HARRY WINSTON DIAMOND CORP CMN	5-Oct-09	23-Mar-11	37	553	310	243	534 Long-Term	
TDY	CADENCE DESIGN SYSTEMS INC CMN	29-Oct-09	13-Oct-11	63	632	378	254	714 Long-Term	
CONS	AEROVIRONMENT, INC CMN -	11-Oct-10	25-Oct-11	28	911	655	256	379 Long-Term	
CRI	GENOPTIX, INC CMN	21-Sep-10	24-Jan-11	25	621	365	256	125 Short-Term	
UTEK	CARTER'S, INC CMN	17-Sep-10	5-Dec-11	17	680	420	260	444 Long-Term	
DRG	ULTRATECH INC CMN	22-May-08	1-Feb-11	39	927	663	264	985 Long-Term	
TDY	DRESSER-RAND GROUP INC CMN	16-Apr-10	29-Nov-11	18	875	610	265	592 Long-Term	
CONS	TELEDYNE TECHNOLOGIES INC CMN	18-Sep-09	30-Nov-11	13	731	465	266	803 Long-Term	
DRG	CADENCE DESIGN SYSTEMS INC CMN	16-Apr-10	30-Nov-11	73	791	524	268	593 Long-Term	
AAP	DRESSER-RAND GROUP INC CMN	16-Apr-10	16-Nov-11	16	813	542	271	579 Long-Term	
SLM	ADVANCED AUTO PARTS INC CMN	16-Apr-10	10-Nov-11	12	817	540	277	573 Long-Term	
KEYN	SOUTHERN UNION CO (NEW) CMN	26-Nov-08	17-Jun-11	13	434	155	279	933 Long-Term	
SLM	SOUTHERN UNION CO (NEW) CMN	26-Feb-09	17-Jun-11	14	467	184	283	841 Long-Term	
UTEK	SLM CORPORATION CMN	18-Sep-09	24-Mar-11	49	723	432	291	552 Long-Term	
KEYN	KEYNOTE SYSTEMS INC CMN	22-May-08	27-Jul-11	25	604	308	296	1,161 Long-Term	
SLM	SLM CORPORATION CMN	16-Apr-10	24-Mar-11	160	2,360	2,054	306	342 Short-Term	
UTEK	ULTRATECH INC CMN	22-May-08	26-Apr-11	21	670	357	313	1,069 Long-Term	
KEYN	WARNER MUSIC GROUP CORP CMN	5-Oct-09	10-May-11	112	915	595	320	582 Long-Term	
HWD	KEYNOTE SYSTEMS INC CMN	18-Sep-09	27-Jul-11	25	604	283	321	677 Long-Term	
KEYN	HARRY WINSTON DIAMOND CORP CMN	16-Apr-10	23-Mar-11	70	1,045	709	336	341 Short-Term	
PLI	BECKMAN COULTER, INC CMN	28-Apr-10	7-Feb-11	14	1,156	814	342	285 Short-Term	
AAP	BECKMAN COULTER, INC CMN	16-Apr-10	7-Feb-11	16	1,321	976	345	297 Short-Term	
KEYN	GENOPTIX, INC CMN	2-Jul-10	24-Jan-11	46	1,142	791	351	206 Short-Term	
KEYN	KEYNOTE SYSTEMS INC CMN	5-Oct-09	27-Jul-11	24	580	229	351	660 Long-Term	
PLI	BECKMAN COULTER, INC CMN	18-Oct-10	7-Feb-11	11	908	365	365	112 Short-Term	
AAP	PALL CORP CMN	22-May-08	31-Jan-11	25	1,343	959	384	984 Long-Term	
AAP	ADVANCED AUTO PARTS INC CMN	18-Feb-10	10-Nov-11	14	953	555	398	630 Long-Term	

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Separate Account Strategy Neuberger Berman (The Greene Group) Small Cap Value

Base Currency USD

Realized Gains/Losses									
Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
PLL	PALL CORP CMN	5-Oct-09	30-Nov-11	18	971	570	401	786	Long-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	3-Mar-09	2-Jun-11	84	604	189	415	821	Long-Term
KEYN	KEYNOTE SYSTEMS INC CMN	5-Oct-09	2-Aug-11	27	676	257	419	666	Long-Term
	GLOBAL INDUSTRY LTD CMN	17-May-11	13-Sep-11	203	1,580	1,155	425	119	Short-Term
DRC	DRESSER-RAND GROUP INC CMN	5-Oct-09	27-Oct-11	21	1,092	658	434	752	Long-Term
OGE	OGE ENERGY CORP (HOLDING CO) CMN	21-Oct-09	1-Feb-11	37	1,719	1,279	440	468	Long-Term
	VERIGY LTD ORD CMN	13-Oct-10	22-Mar-11	74	1,044	600	443	160	Short-Term
TCBI	TEXAS CAPITAL BANKSHARES, INC CMN	17-May-10	21-Jul-11	43	1,249	799	450	430	Long-Term
HUBB	HUBBELL INCORPORATED CLASS B CMN CLASS B	22-May-08	23-Feb-11	28	1,798	1,308	490	1,007	Long-Term
CRI	CARTER'S, INC CMN	17-Sep-10	6-Dec-11	31	1,282	766	515	445	Long-Term
TWIN	TWIN DISC INCORPORATED CMN	19-Jan-11	2-Aug-11	45	1,813	1,284	528	195	Short-Term
	BECKMAN COULTER, INC CMN	23-Jul-10	7-Feb-11	15	1,238	695	543	199	Short-Term
TIBX	TIBCO SOFTWARE INC CMN	5-Oct-09	29-Mar-11	33	863	312	551	540	Long-Term
TXMT	CERADYNE INC CALIF CMN	20-Oct-09	11-May-11	19	910	358	552	568	Long-Term
CRON	CERADYNE INC CALIF CMN	5-Oct-09	21-Apr-11	21	961	378	583	563	Long-Term
	TEXTRON INC DEL CMN	4-Mar-09	31-Jan-11	27	708	124	585	698	Long-Term
SLM	SLM CORPORATION CMN	5-Oct-09	24-Mar-11	97	1,431	808	623	535	Long-Term
TIBX	TIBCO SOFTWARE INC CMN	16-Apr-10	29-Mar-11	54	1,412	596	816	347	Short-Term
	SOUTHERN UNION CO (NEW) CMN	5-Oct-09	5-Jul-11	47	1,946	961	985	638	Long-Term
	SOUTHERN UNION CO (NEW) CMN	16-Apr-10	5-Jul-11	63	2,639	1,633	1,006	445	Long-Term
TIBX	TIBCO SOFTWARE INC CMN	16-Apr-10	4-Apr-11	66	1,828	728	1,100	353	Short-Term
COO	COOPER COMPANIES INC (NEW) CMN	16-Apr-10	7-Mar-11	43	2,982	1,631	1,350	325	Short-Term
TOTAL					224,874	224,042	832		
				Short-Term	56,903	56,354	549		
				Long-Term	167,971	167,688	282		
					224,874	224,042	832		

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From 01-Jan-2011 To 31-Dec-2011

Separate Account Strategy Westfield Large Cap Growth

Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
F	FORD MOTOR COMPANY CMN	5-Apr-11	7-Jun-11	181	2,538	2,851	(313)	63	Short-Term
YHOO	YAHOO INC CMN	5-May-11	1-Jun-11	97	1,545	1,783	(238)	27	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	8-Jan-10	7-Jun-11	24	375	590	(215)	515	Long-Term
DOW	DOW CHEMICAL CO CMN	2-May-11	7-Jun-11	33	1,167	1,370	(203)	36	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	4-Jun-10	7-Jun-11	23	359	537	(178)	368	Long-Term
ADM	ARCHER DANIELS MIDLAND CO CMN	6-Apr-11	7-Jun-11	26	786	952	(167)	62	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	26-Aug-09	7-Jun-11	20	312	438	(126)	650	Long-Term
MSFT	MICROSOFT CORPORATION CMN	4-Jan-11	4-Mar-11	49	1,270	1,375	(106)	59	Short-Term
MSFT	MICROSOFT CORPORATION CMN	4-Jan-11	4-Mar-11	48	1,244	1,343	(99)	59	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	18-Feb-11	7-Jun-11	21	330	425	(95)	109	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	9-Jun-10	7-Jun-11	12	187	278	(90)	363	Short-Term
DOW	DOW CHEMICAL CO CMN	26-Apr-11	7-Jun-11	18	636	722	(85)	42	Short-Term
NOV	NATIONAL OILWELL VARCO, INC COMMON STOCK CMN	14-May-08	7-Jun-11	27	1,949	2,032	(84)	1,119	Long-Term
BTU	PEABODY ENERGY CORPORATION CMN	27-May-11	7-Jun-11	21	1,212	1,292	(81)	11	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	10-Sep-09	7-Jun-11	11	172	252	(80)	635	Long-Term
CSCO	CISCO SYSTEMS, INC CMN	27-Aug-09	7-Jun-11	13	203	280	(77)	649	Long-Term
CSCO	CISCO SYSTEMS, INC CMN	27-Aug-09	7-Jun-11	12	187	261	(74)	649	Long-Term
CSCO	CISCO SYSTEMS, INC CMN	10-Sep-09	7-Jun-11	10	156	227	(71)	635	Long-Term
DOW	DOW CHEMICAL CO CMN	25-Apr-11	7-Jun-11	18	636	708	(71)	43	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	18-Feb-11	7-Jun-11	21	328	398	(70)	109	Short-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	27-May-11	7-Jun-11	6	1,643	1,712	(69)	11	Short-Term
ADM	ARCHER DANIELS MIDLAND CO CMN	5-Apr-11	7-Jun-11	10	302	370	(68)	63	Short-Term
FCX	FREEMONT-MCMORAN COPPER & GOLD CMN	2-Feb-11	7-Jun-11	11	554	622	(68)	125	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	26-May-11	7-Jun-11	23	1,327	1,393	(66)	12	Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	17-Jun-10	18-Apr-11	17	256	321	(65)	305	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	18-Feb-11	7-Jun-11	14	220	284	(64)	109	Short-Term
MET	METLIFE, INC CMN	4-Apr-11	7-Jun-11	15	626	685	(59)	64	Short-Term
INTC	INTEL CORPORATION CMN	25-Jan-11	4-Apr-11	29	564	623	(59)	69	Short-Term
VLO	VALERO ENERGY CORPORATION CMN	8-Apr-11	7-Jun-11	23	594	651	(57)	60	Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	2-Jun-10	13-Apr-11	16	251	307	(56)	315	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	1-Jul-10	7-Jun-11	10	156	211	(55)	341	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	9-Jun-10	7-Jun-11	7	109	163	(54)	363	Short-Term
FCX	FREEMONT-MCMORAN COPPER & GOLD CMN	2-Feb-11	7-Jun-11	9	453	506	(53)	125	Short-Term
MET	METLIFE, INC CMN	7-Mar-11	7-Jun-11	14	584	636	(52)	92	Short-Term
DHR	DANAHER CORPORATION CMN	27-Apr-11	7-Jun-11	18	941	992	(51)	41	Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	2-Dec-10	26-Apr-11	11	174	224	(50)	145	Short-Term
FDX	FEDEX CORP CMN	28-Mar-11	7-Jun-11	8	696	745	(49)	71	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	30-Jun-10	7-Jun-11	8	125	173	(48)	342	Short-Term

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Separate Account Strategy Westfield Large Cap Growth

Base Currency USD

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
BK	THE BANK OF NY MELLON CORP CMN	9-Mar-10	7-Jun-11	16	428	476	(48)	455	Long-Term
BK	THE BANK OF NY MELLON CORP CMN	22-Feb-11	7-Jun-11	11	295	342	(47)	105	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	18-Feb-11	7-Jun-11	14	219	266	(47)	109	Short-Term
NOV	NATIONAL OILWELL VARCO, INC COMMON STOCK CMN	14-May-08	4-Jan-11	5	331	376	(46)	965	Long-Term
YHOO	YAHOO INC CMN	6-May-11	1-Jun-11	16	255	299	(44)	26	Short-Term
GG	GOLDCORP INC CMN	27-Aug-10	18-Jan-11	14	573	615	(42)	144	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	25-Feb-11	7-Jun-11	13	203	243	(40)	102	Short-Term
BK	THE BANK OF NY MELLON CORP CMN	9-Mar-10	7-Jun-11	14	375	414	(39)	455	Long-Term
GE	GENERAL ELECTRIC CO CMN	25-Apr-11	7-Jun-11	30	559	598	(39)	43	Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	2-Jun-10	13-Apr-11	10	157	195	(38)	315	Short-Term
INTC	INTEL CORPORATION CMN	4-Nov-10	1-Apr-11	30	589	626	(36)	148	Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	18-Jun-10	18-Apr-11	9	136	171	(36)	304	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	25-Feb-11	7-Jun-11	12	189	224	(36)	102	Short-Term
GG	GOLDCORP INC CMN	27-Aug-10	18-Jan-11	11	450	485	(35)	144	Short-Term
TYC	TYCO INTERNATIONAL LTD CMN	18-Apr-11	7-Jun-11	9	430	462	(33)	50	Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	2-Dec-10	27-Apr-11	7	110	141	(31)	146	Short-Term
MET	METLIFE, INC CMN	3-Mar-11	7-Jun-11	12	501	531	(30)	96	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	29-Jun-10	7-Jun-11	5	78	109	(30)	343	Short-Term
INTC	INTEL CORPORATION CMN	4-Nov-10	18-Mar-11	29	578	608	(30)	134	Short-Term
SU	SUNCOR ENERGY INC CMN	26-May-11	7-Jun-11	17	683	713	(30)	12	Short-Term
GOOG	GOOGLE, INC CMN CLASS A	19-Oct-09	7-Jun-11	1	522	551	(29)	596	Long-Term
BK	THE BANK OF NY MELLON CORP CMN	8-Mar-10	7-Jun-11	10	268	296	(29)	456	Long-Term
MSFT	MICROSOFT CORPORATION CMN	24-Jan-11	4-Mar-11	11	285	313	(28)	39	Short-Term
GG	GOLDCORP INC CMN	26-Aug-10	14-Jan-11	13	529	558	(28)	141	Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	1-Jun-10	13-Apr-11	8	126	154	(28)	316	Short-Term
TYC	TYCO INTERNATIONAL LTD CMN	20-Apr-11	7-Jun-11	18	859	887	(28)	48	Short-Term
GE	GENERAL ELECTRIC CO CMN	26-Apr-11	7-Jun-11	18	335	363	(27)	42	Short-Term
INTC	INTEL CORPORATION CMN	14-Jan-11	1-Apr-11	19	375	402	(27)	77	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	26-Aug-09	7-Jun-11	4	62	88	(25)	650	Long-Term
FCX	FREEMONT-MCMORAN COPPER & GOLD CMN	1-Feb-11	7-Jun-11	4	201	227	(25)	126	Short-Term
BK	THE BANK OF NY MELLON CORP CMN	22-Feb-11	7-Jun-11	6	161	186	(25)	105	Short-Term
BK	THE BANK OF NY MELLON CORP CMN	18-Feb-11	7-Jun-11	5	134	159	(25)	109	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	23-Dec-09	7-Jun-11	3	47	71	(24)	531	Long-Term
WCRX	WARNER CHILCOTT PLC CMN	4-Jan-10	7-Jun-11	4	92	116	(24)	519	Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	26-May-11	7-Jun-11	3	821	845	(24)	12	Short-Term
WCRX	WARNER CHILCOTT PLC CMN	5-Jan-10	7-Jun-11	4	92	115	(23)	518	Long-Term
SU	SUNCOR ENERGY INC CMN	27-May-11	7-Jun-11	12	482	504	(22)	11	Short-Term
WCRX	WARNER CHILCOTT PLC CMN	29-Dec-09	7-Jun-11	4	92	113	(22)	525	Long-Term
BK	THE BANK OF NY MELLON CORP CMN	26-May-10	7-Jun-11	19	509	529	(20)	377	Long-Term

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Separate Account Strategy Westfield Large Cap Growth

Base Currency :USD

Realized Gains/Losses									
Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
GG	GOLDCORP INC CMN	26-Aug-10	14-Jan-11	8	326	346	(20)	(20)	141 Short-Term
ADM	ARCHER DANIELS MIDLAND CO CMN	10-Jan-11	7-Jun-11	11	332	352	(20)	(20)	148 Short-Term
MET	METLIFE, INC. CMN	4-Mar-11	7-Jun-11	6	250	269	(19)	(19)	95 Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	23-Oct-09	7-Jun-11	13	243	261	(19)	(19)	592 Long-Term
ADM	ARCHER DANIELS MIDLAND CO CMN	10-Jan-11	7-Jun-11	10	302	321	(19)	(19)	148 Short-Term
WCRX	WARNER CHILCOTT PLC CMN	9-Dec-09	7-Jun-11	6	137	156	(19)	(19)	545 Long-Term
WCRX	WARNER CHILCOTT PLC CMN	4-Feb-10	7-Jun-11	6	137	156	(18)	(18)	488 Long-Term
QCOM	QUALCOMM INC CMN	28-Feb-11	7-Jun-11	8	456	474	(18)	(18)	99 Short-Term
WCRX	WARNER CHILCOTT PLC CMN	4-Feb-10	7-Jun-11	6	137	155	(18)	(18)	488 Long-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	22-Oct-09	7-Jun-11	10	187	204	(18)	(18)	593 Long-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	2-Dec-10	26-Apr-11	4	63	81	(17)	(17)	145 Short-Term
ADM	ARCHER DANIELS MIDLAND CO CMN	7-Jan-11	7-Jun-11	10	302	320	(17)	(17)	151 Short-Term
GE	GENERAL ELECTRIC CO CMN	25-Apr-11	7-Jun-11	12	223	240	(17)	(17)	43 Short-Term
CSCO	CISCO SYSTEMS, INC CMN	25-Feb-11	7-Jun-11	4	63	80	(17)	(17)	102 Short-Term
ADM	ARCHER DANIELS MIDLAND CO CMN	7-Jan-11	7-Jun-11	11	332	349	(17)	(17)	151 Short-Term
WCRX	WARNER CHILCOTT PLC CMN	5-Feb-10	7-Jun-11	6	137	154	(17)	(17)	487 Long-Term
WCRX	WARNER CHILCOTT PLC CMN	4-Dec-09	7-Jun-11	5	114	129	(14)	(14)	550 Long-Term
DHR	DANAHER CORPORATION CMN	26-Apr-11	7-Jun-11	7	366	380	(14)	(14)	42 Short-Term
WCRX	WARNER CHILCOTT PLC CMN	4-Feb-10	7-Jun-11	5	114	128	(14)	(14)	488 Long-Term
DELL	DELL INC CMN	19-Nov-10	28-Jan-11	19	251	264	(14)	(14)	70 Short-Term
WCRX	WARNER CHILCOTT PLC CMN	2-Dec-09	7-Jun-11	4	92	105	(13)	(13)	552 Long-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	7-Apr-11	28-Apr-11	21	329	342	(13)	(13)	21 Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	7-Apr-11	29-Apr-11	9	139	152	(13)	(13)	22 Short-Term
WCRX	WARNER CHILCOTT PLC CMN	2-Dec-09	7-Jun-11	4	92	104	(13)	(13)	552 Long-Term
WCRX	WARNER CHILCOTT PLC CMN	8-Dec-09	7-Jun-11	5	114	127	(13)	(13)	546 Long-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	17-Jun-10	13-Apr-11	4	63	76	(13)	(13)	300 Short-Term
WCRX	WARNER CHILCOTT PLC CMN	2-Dec-09	7-Jun-11	4	92	104	(13)	(13)	552 Long-Term
WCRX	WARNER CHILCOTT PLC CMN	10-Dec-09	7-Jun-11	3	69	81	(13)	(13)	544 Long-Term
INTC	INTEL CORPORATION CMN	25-Jan-11	4-Apr-11	6	117	129	(12)	(12)	69 Short-Term
WCRX	WARNER CHILCOTT PLC CMN	1-Dec-09	7-Jun-11	5	114	126	(12)	(12)	553 Long-Term
WCRX	WARNER CHILCOTT PLC CMN	4-Dec-09	7-Jun-11	4	92	103	(12)	(12)	550 Long-Term
CSCO	CISCO SYSTEMS, INC CMN	16-Nov-10	7-Jun-11	3	47	58	(12)	(12)	203 Short-Term
INTC	INTEL CORPORATION CMN	14-Jan-11	1-Apr-11	8	158	169	(12)	(12)	77 Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	13-Oct-09	7-Jun-11	18	336	347	(11)	(11)	602 Long-Term
WCRX	WARNER CHILCOTT PLC CMN	30-Dec-09	7-Jun-11	2	46	57	(11)	(11)	524 Long-Term
WCRX	WARNER CHILCOTT PLC CMN	31-Dec-09	7-Jun-11	2	46	57	(11)	(11)	523 Long-Term
WCRX	WARNER CHILCOTT PLC CMN	1-Dec-09	7-Jun-11	4	92	102	(11)	(11)	553 Long-Term
WCRX	WARNER CHILCOTT PLC CMN	23-Dec-09	7-Jun-11	2	46	56	(11)	(11)	531 Long-Term
DIS	WALT DISNEY COMPANY (THE) CMN	17-May-11	7-Jun-11	9	357	368	(10)	(10)	21 Short-Term

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 Separate Account Strategy Westfield Large Cap Growth

Base Currency USD

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
WCRX	WARNER CHILCOTT PLC CMN	3-Dec-09	7-Jun-11	3	69	78	78	(9)	551 Long-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	13-Oct-09	7-Jun-11	15	280	289	289	(9)	602 Long-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	7-Apr-11	29-Apr-11	6	93	102	102	(9)	22 Short-Term
FCX	FREEMONT-MCMORAN COPPER & GOLD CMN	15-Mar-11	7-Jun-11	10	504	511	511	(8)	84 Short-Term
WCRX	WARNER CHILCOTT PLC CMN	30-Nov-09	7-Jun-11	5	114	122	122	(8)	554 Long-Term
WCRX	WARNER CHILCOTT PLC CMN	1-Feb-10	7-Jun-11	3	69	76	76	(8)	491 Long-Term
WCRX	WARNER CHILCOTT PLC CMN	1-Feb-10	7-Jun-11	3	69	76	76	(8)	491 Long-Term
DELL	DELL INC CMN	19-Nov-10	28-Jan-11	15	198	205	205	(7)	70 Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	16-May-11	7-Jun-11	5	199	205	205	(7)	22 Short-Term
GG	GOLDCORP INC CMN	27-Aug-10	14-Jan-11	2	81	88	88	(7)	140 Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	28-May-10	13-Apr-11	2	31	38	38	(7)	320 Short-Term
GOOG	GOOGLE, INC CMN CLASS A	2-Jun-11	7-Jun-11	1	522	528	528	(6)	5 Short-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	8-Apr-11	7-Jun-11	12	1,235	1,241	1,241	(6)	60 Short-Term
WCRX	WARNER CHILCOTT PLC CMN	3-Dec-09	7-Jun-11	2	46	52	52	(6)	551 Long-Term
WCRX	WARNER CHILCOTT PLC CMN	30-Nov-09	7-Jun-11	4	92	98	98	(6)	554 Long-Term
CHKP	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	2-Jun-11	7-Jun-11	8	440	446	446	(6)	5 Short-Term
INTC	INTEL CORPORATION CMN	16-Nov-10	1-Apr-11	4	79	84	84	(6)	136 Short-Term
CHKP	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	3-Jun-11	7-Jun-11	7	385	391	391	(6)	4 Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	31-May-11	7-Jun-11	5	93	99	99	(5)	7 Short-Term
INTC	INTEL CORPORATION CMN	25-Jan-11	1-Apr-11	3	59	64	64	(5)	66 Short-Term
DELL	DELL INC CMN	18-Nov-10	27-Jan-11	23	311	316	316	(5)	70 Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	7-Apr-11	29-Apr-11	3	46	51	51	(5)	22 Short-Term
HSY	THE HERSHEY COMPANY CMN	5-May-11	7-Jun-11	2	109	113	113	(4)	33 Short-Term
GG	GOLDCORP INC CMN	16-Nov-10	18-Jan-11	1	41	45	45	(4)	63 Short-Term
WCRX	WARNER CHILCOTT PLC CMN	25-Nov-09	7-Jun-11	3	69	73	73	(4)	559 Long-Term
DIS	WALT DISNEY COMPANY (THE) CMN	16-May-11	7-Jun-11	3	119	123	123	(4)	22 Short-Term
HSY	THE HERSHEY COMPANY CMN	5-Apr-11	7-Jun-11	4	217	221	221	(4)	63 Short-Term
DELL	DELL INC CMN	18-Nov-10	27-Jan-11	21	284	288	288	(4)	70 Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	31-May-11	7-Jun-11	1	58	61	61	(4)	7 Short-Term
HSY	THE HERSHEY COMPANY CMN	7-Apr-11	7-Jun-11	3	163	167	167	(4)	61 Short-Term
CHKP	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	1-Jun-11	7-Jun-11	4	220	223	223	(3)	6 Short-Term
INTC	INTEL CORPORATION CMN	14-Jan-11	1-Apr-11	2	39	42	42	(3)	77 Short-Term
GIS	GENERAL MILLS INC CMN	26-Apr-11	7-Jun-11	6	228	231	231	(3)	42 Short-Term
DELL	DELL INC CMN	18-Nov-10	27-Jan-11	13	176	178	178	(3)	70 Short-Term
GIS	GENERAL MILLS INC CMN	25-Apr-11	7-Jun-11	9	342	344	344	(2)	43 Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	7-Apr-11	27-Apr-11	3	47	49	49	(2)	20 Short-Term
WCRX	WARNER CHILCOTT PLC CMN	24-Nov-09	7-Jun-11	2	46	48	48	(2)	560 Long-Term
INTC	INTEL CORPORATION CMN	4-Nov-10	1-Apr-11	1	20	21	21	(1)	148 Short-Term
DELL	DELL INC CMN	18-Nov-10	28-Jan-11	2	26	27	27	(1)	71 Short-Term

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Separate Account Strategy Westfield Large Cap Growth

Base Currency USD

Realized Gains/Losses Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
HSY	THE HERSHEY COMPANY CMN	4-Apr-11	7-Jun-11	3	163	164	(1)	64	Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	7-Apr-11	28-Apr-11	1	16	16	(1)	21	Short-Term
GIS	GENERAL MILLS INC CMN	20-Apr-11	7-Jun-11	18	684	684	(0)	48	Short-Term
EMC	EMC CORPORATION MASS CMN	28-Feb-11	7-Jun-11	15	408	408	0	99	Short-Term
INTC	INTEL CORPORATION CMN	4-Nov-10	28-Feb-11	1	21	21	0	116	Short-Term
DHR	DANAHER CORPORATION CMN	13-Apr-11	7-Jun-11	4	209	208	1	55	Short-Term
CHRP	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	7-Jun-11	7-Jun-11	6	330	329	1	0	Short-Term
HPQ	HEWLETT-PACKARD CO CMN	16-Sep-10	3-May-11	15	602	600	1	229	Short-Term
CHRP	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	6-Jun-11	7-Jun-11	6	330	328	2	1	Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	12-Aug-10	7-Jun-11	5	75	73	2	250	Short-Term
DHR	DANAHER CORPORATION CMN	11-Apr-11	7-Jun-11	3	157	155	2	57	Short-Term
HSY	THE HERSHEY COMPANY CMN	23-Mar-11	7-Jun-11	6	326	324	2	76	Short-Term
NKE	NIKE CLASS-B CMN CLASS B	11-May-10	19-Apr-11	1	79	77	2	343	Short-Term
VLO	VALERO ENERGY CORPORATION CMN	31-Jan-11	7-Jun-11	7	181	178	3	127	Short-Term
GIS	GENERAL MILLS INC CMN	21-Apr-11	7-Jun-11	23	874	871	3	47	Short-Term
FCX	FREEMONT-MCMORAN COPPER & GOLD CMN	16-Nov-10	7-Jun-11	2	101	97	3	203	Short-Term
MFC	MANULIFE FINANCIAL CORP CMN	16-Nov-10	7-Jun-11	1	18	15	4	113	Short-Term
NKE	NIKE CLASS-B CMN CLASS B	11-May-10	21-Apr-11	1	80	77	4	345	Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	12-Aug-10	7-Jun-11	3	47	43	4	257	Short-Term
DHR	DANAHER CORPORATION CMN	11-Apr-11	7-Jun-11	6	314	310	4	57	Short-Term
HPQ	HEWLETT-PACKARD CO CMN	14-Sep-10	3-May-11	14	562	557	4	231	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	23-Dec-09	7-Jun-11	5	93	89	4	531	Long-Term
BA	BOEING COMPANY CMN	4-Apr-11	7-Jun-11	7	521	517	4	64	Short-Term
HPQ	HEWLETT-PACKARD CO CMN	14-Sep-10	2-May-11	29	1,162	1,157	5	230	Short-Term
VLO	VALERO ENERGY CORPORATION CMN	13-Jan-11	7-Jun-11	6	155	150	5	145	Short-Term
COV	COVIDIEN PUBLIC LIMITED COMPAN CMN	23-Dec-09	7-Jun-11	1	55	49	6	520	Long-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	12-Aug-10	18-Apr-11	14	211	205	6	249	Short-Term
HCA	HCA HOLDINGS, INC CMN	5-Apr-11	7-Jun-11	8	278	271	7	63	Short-Term
HSY	THE HERSHEY COMPANY CMN	25-Feb-11	7-Jun-11	3	163	156	7	102	Short-Term
HSY	THE HERSHEY COMPANY CMN	28-Feb-11	7-Jun-11	4	217	210	8	99	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	28-Jan-11	7-Jun-11	5	121	114	8	130	Short-Term
HCA	HCA HOLDINGS, INC CMN	6-Apr-11	7-Jun-11	9	313	305	8	62	Short-Term
FDX	FEDEX CORP CMN	17-Aug-10	7-Jun-11	3	261	253	8	294	Short-Term
MFC	MANULIFE FINANCIAL CORP CMN	4-Aug-10	23-Feb-11	4	72	63	9	203	Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	12-Aug-10	19-Apr-11	18	270	260	9	250	Short-Term
UTX	UNITED TECHNOLOGIES CORP CMN	16-Nov-10	7-Jun-11	1	84	74	10	203	Short-Term
WFC	WELLS FARGO & CO (NEW) CMN	16-Nov-10	4-Apr-11	2	64	54	10	139	Short-Term
QCOM	QUALCOMM INC CMN	23-Dec-09	25-Jan-11	2	102	92	10	398	Long-Term
HSY	THE HERSHEY COMPANY CMN	15-Mar-11	7-Jun-11	10	543	533	10	84	Short-Term

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From 01-Jan-2011 To 31-Dec-2011

Separate Account Strategy Westfield Large Cap Growth

Base Currency USD

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
BA	ALCON, INC CMN SERIES	28-Jun-10	11-Jan-11	1	162	152	10	197	Short-Term
	BOEING COMPANY CMN	23-Jul-10	14-Jan-11	4	280	270	10	175	Short-Term
	ALCON, INC. CMN SERIES	28-Jun-10	11-Jan-11	1	162	152	10	197	Short-Term
MFC	MANULIFE FINANCIAL CORP CMN	4-Aug-10	24-Feb-11	4	74	63	10	204	Short-Term
DHR	DANAHER CORPORATION CMN	12-Apr-11	7-Jun-11	14	732	722	10	56	Short-Term
	ALCON, INC CMN SERIES	28-Jun-10	10-Jan-11	1	163	152	11	196	Short-Term
	ALCON, INC CMN SERIES	22-Jun-10	10-Jan-11	1	162	152	11	202	Short-Term
GIS	GENERAL MILLS INC CMN	19-Apr-11	7-Jun-11	15	570	559	11	49	Short-Term
	ALCON, INC. CMN SERIES	22-Jun-10	7-Jan-11	1	163	152	11	199	Short-Term
	ALCON, INC. CMN SERIES	22-Jun-10	10-Jan-11	1	162	151	11	202	Short-Term
	ALCON, INC CMN SERIES	22-Jun-10	10-Jan-11	1	163	151	12	202	Short-Term
	ALCON, INC CMN SERIES	21-Jun-10	7-Jan-11	1	163	151	12	200	Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	3-Nov-09	7-Jun-11	1	40	28	12	581	Long-Term
	ALCON, INC CMN SERIES	21-Jun-10	7-Jan-11	1	163	151	12	200	Short-Term
BA	BOEING COMPANY CMN	30-Jul-10	7-Jun-11	2	149	137	12	312	Short-Term
QCOM	QUALCOMM INC CMN	23-Apr-10	25-Jan-11	1	51	38	12	277	Short-Term
BK	THE BANK OF NY MELLON CORP CMN	17-Aug-10	7-Jun-11	9	241	228	13	294	Short-Term
INTC	INTEL CORPORATION CMN	4-Nov-10	28-Feb-11	30	644	630	14	116	Short-Term
MFC	MANULIFE FINANCIAL CORP CMN	4-Aug-10	23-Feb-11	6	108	95	14	203	Short-Term
BA	BOEING COMPANY CMN	28-Jul-10	7-Jun-11	2	149	135	14	314	Short-Term
MFC	MANULIFE FINANCIAL CORP CMN	3-Aug-10	22-Feb-11	6	110	96	14	203	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	27-Apr-10	4-Jan-11	1	62	48	14	252	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	14-Jan-11	7-Jun-11	9	219	204	14	144	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	10-Sep-10	7-Jun-11	6	112	97	15	270	Short-Term
BK	THE BANK OF NY MELLON CORP CMN	18-Aug-10	7-Jun-11	9	241	226	15	293	Short-Term
NKE	NIKE CLASS-B CMN CLASS B	12-May-10	21-Apr-11	4	321	307	15	344	Short-Term
HSY	THE HERSHEY COMPANY CMN	16-Mar-11	7-Jun-11	12	652	637	15	83	Short-Term
MFC	MANULIFE FINANCIAL CORP CMN	3-Aug-10	18-Feb-11	5	96	80	15	199	Short-Term
UTX	UNITED TECHNOLOGIES CORP CMN	24-Feb-10	7-Jun-11	1	84	68	15	468	Long-Term
NKE	NIKE CLASS-B CMN CLASS B	11-May-10	21-Apr-11	4	321	306	15	345	Short-Term
CNX	CONSOL ENERGY INC CMN	22-Nov-10	7-Jun-11	2	100	84	15	197	Short-Term
GM	GENERAL MOTORS COMPANY CMN	18-Nov-10	1-Feb-11	12	440	424	16	75	Short-Term
GM	GENERAL MOTORS COMPANY CMN	18-Nov-10	1-Feb-11	11	404	387	16	75	Short-Term
VLO	VALERO ENERGY CORPORATION CMN	12-Jan-11	7-Jun-11	15	387	371	17	146	Short-Term
GE	GENERAL ELECTRIC CO CMN	10-Dec-10	7-Jun-11	19	354	337	17	179	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	24-Feb-10	11-Mar-11	1	87	70	17	380	Long-Term
MFC	MANULIFE FINANCIAL CORP CMN	4-Aug-10	22-Feb-11	7	128	111	17	202	Short-Term
LV5	LAS VEGAS SANDS CORP CMN	4-Aug-10	21-Mar-11	2	77	58	19	229	Short-Term
BK	THE BANK OF NY MELLON CORP CMN	17-Aug-10	7-Jun-11	12	321	302	19	294	Short-Term

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 Separate Account Strategy Westfield Large Cap Growth

Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
NOV	NATIONAL OILWELL VARCO, INC COMMON STOCK CMN	14-May-08	6-Apr-11	6	471	452	19	20	1,057 Long-Term
MFC	MANULIFE FINANCIAL CORP CMN	2-Aug-10	18-Feb-11	7	134	114	20	20	200 Short-Term
CNX	CONSOL ENERGY INC CMN	16-Nov-10	7-Jun-11	2	100	80	20	20	203 Short-Term
CTXS	CITRIX SYSTEMS INC CMN	4-Mar-11	7-Jun-11	2	164	144	20	21	95 Short-Term
SLB	SCHLUMBERGER LTD CMN	1-Dec-10	7-Jun-11	7	588	567	21	21	188 Short-Term
SU	SUNCOR ENERGY INC CMN	10-Mar-09	18-Feb-11	1	45	24	21	21	710 Long-Term
BA	BOEING COMPANY CMN	22-Jul-10	14-Jan-11	7	490	468	22	22	176 Short-Term
GR	GOODRICH CORPORATION CMN	23-Dec-09	7-Jun-11	1	87	65	22	22	531 Long-Term
MFC	MANULIFE FINANCIAL CORP CMN	4-Aug-10	7-Mar-11	8	148	126	22	22	215 Short-Term
FDX	FEDEX CORP CMN	18-Aug-10	7-Jun-11	6	522	500	22	22	293 Short-Term
ORCL	ORACLE CORPORATION CMN	23-Dec-09	7-Jun-11	3	96	74	22	22	531 Long-Term
GM	GENERAL MOTORS COMPANY CMN	18-Nov-10	1-Feb-11	13	477	454	23	23	75 Short-Term
GE	GENERAL ELECTRIC CO CMN	7-Dec-10	7-Jun-11	17	317	293	23	23	182 Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	14-Jan-11	7-Jun-11	14	340	317	24	24	144 Short-Term
CNX	CONSOL ENERGY INC CMN	10-Nov-10	7-Jun-11	3	150	126	24	24	209 Short-Term
BA	BOEING COMPANY CMN	26-Jul-10	7-Jun-11	4	298	274	24	24	316 Short-Term
CELG	CELGENE CORPORATION CMN	14-Mar-11	7-Jun-11	4	239	215	24	24	85 Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	3-Nov-09	7-Jun-11	2	79	55	24	24	581 Long-Term
EMR	EMERSON ELECTRIC CO CMN	23-Dec-09	3-Jun-11	3	154	129	25	25	527 Long-Term
	ALCON, INC CMN SERIES	18-Jun-10	7-Jan-11	2	326	300	26	26	203 Short-Term
	ALCON, INC CMN SERIES	29-Jun-10	11-Jan-11	2	325	299	26	26	196 Short-Term
	ALCON, INC CMN SERIES	29-Jun-10	11-Jan-11	2	325	299	26	26	196 Short-Term
VIAB	VIACOM INC CMN CLASS B	26-May-09	16-May-11	1	49	22	27	27	720 Long-Term
EMC	EMC CORPORATION MASS CMN	11-Feb-10	18-Apr-11	3	79	51	27	27	431 Long-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	31-Oct-08	4-Jan-11	5	110	82	28	28	795 Long-Term
MFC	MANULIFE FINANCIAL CORP CMN	16-Nov-10	9-Mar-11	8	147	119	28	28	113 Short-Term
EMR	EMERSON ELECTRIC CO. CMN	31-Aug-09	2-Jun-11	2	103	74	30	30	640 Long-Term
BA	BOEING COMPANY CMN	30-Sep-10	7-Jun-11	4	298	268	30	30	250 Short-Term
VLO	VALERO ENERGY CORPORATION CMN	10-Jan-11	7-Jun-11	14	361	331	30	30	148 Short-Term
MFC	MANULIFE FINANCIAL CORP CMN	17-Aug-10	9-Mar-11	5	92	62	30	30	204 Short-Term
NKE	NIKE CLASS-B CMN CLASS B	11-May-10	20-Apr-11	9	720	690	30	30	344 Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	26-May-10	6-Apr-11	1	70	39	31	31	315 Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	25-May-10	16-Mar-11	1	68	37	31	31	295 Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	13-Sep-10	7-Jun-11	14	261	230	31	31	267 Short-Term
EMR	EMERSON ELECTRIC CO CMN	31-Aug-09	1-Jun-11	2	105	74	31	31	639 Long-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	10-Sep-10	7-Jun-11	12	224	193	31	31	270 Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	16-Oct-09	7-Jun-11	3	119	88	31	31	599 Long-Term
BWA	BORGWARNER INC CMN	4-Aug-10	6-Apr-11	1	78	46	32	32	245 Short-Term
SLB	SCHLUMBERGER LTD CMN	1-Dec-10	7-Jun-11	8	672	640	32	32	188 Short-Term

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 Separate Account Strategy Westfield Large Cap Growth

Base Currency USD

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
GR	GOODRICH CORPORATION CMN	2-Nov-09	7-Jun-11	1	87	55	32	582	Long-Term
GR	GOODRICH CORPORATION CMN	3-Nov-09	7-Jun-11	1	87	55	32	581	Long-Term
NKE	NIKE CLASS-B CMN CLASS B	10-May-10	19-Apr-11	11	868	834	34	344	Short-Term
UTX	UNITED TECHNOLOGIES CORP CMN	21-Oct-10	7-Jun-11	4	335	302	34	229	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	22-Feb-10	11-Mar-11	2	174	139	35	382	Long-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	22-Feb-10	11-Mar-11	2	174	139	35	382	Long-Term
EMR	EMERSON ELECTRIC CO CMN	11-Sep-09	3-Jun-11	3	154	119	35	630	Long-Term
BA	BOEING COMPANY CMN	23-Jul-10	7-Jun-11	5	372	337	35	319	Short-Term
MFC	MANULIFE FINANCIAL CORP CMN	18-Aug-10	9-Mar-11	6	110	73	38	203	Short-Term
GR	GOODRICH CORPORATION CMN	9-Jul-09	7-Jun-11	1	87	49	38	698	Long-Term
BTU	PEABODY ENERGY CORPORATION CMN	28-Apr-10	16-Mar-11	2	135	97	38	322	Short-Term
MFC	MANULIFE FINANCIAL CORP CMN	10-Aug-10	7-Mar-11	8	148	109	39	209	Short-Term
MFC	MANULIFE FINANCIAL CORP CMN	11-Aug-10	7-Mar-11	7	130	90	39	208	Short-Term
BA	BOEING COMPANY CMN	30-Sep-10	7-Jun-11	5	372	333	40	250	Short-Term
COV	COVIDIEN PUBLIC LIMITED COMPAN CMN	5-Apr-11	31-May-11	16	879	839	40	56	Short-Term
NKE	NIKE CLASS-B CMN CLASS B	9-Jun-10	21-Apr-11	4	321	281	40	316	Short-Term
GR	GOODRICH CORPORATION CMN	8-Jul-09	28-Jan-11	1	90	49	41	569	Long-Term
BTU	PEABODY ENERGY CORPORATION CMN	28-Apr-10	31-Jan-11	3	187	146	41	251	Short-Term
GR	GOODRICH CORPORATION CMN	9-Jul-09	31-Jan-11	1	91	49	42	571	Long-Term
DIS	WALT DISNEY COMPANY (THE) CMN	16-Oct-09	9-Feb-11	3	130	88	42	481	Long-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	20-Dec-10	7-Jun-11	18	437	395	42	169	Short-Term
NKE	NIKE CLASS-B CMN CLASS B	9-Jun-10	21-Apr-11	4	321	279	42	316	Short-Term
UTX	UNITED TECHNOLOGIES CORP CMN	24-Feb-10	4-Mar-11	3	248	205	42	373	Long-Term
CSX	CSX CORPORATION CMN	26-Jul-10	21-Apr-11	2	150	107	43	269	Short-Term
CNX	CONSOL ENERGY INC CMN	11-Nov-10	7-Jun-11	6	300	257	43	208	Short-Term
EMR	EMERSON ELECTRIC CO CMN	16-Nov-09	3-Jun-11	5	257	214	43	564	Long-Term
CNX	CONSOL ENERGY INC CMN	23-Nov-10	7-Jun-11	5	250	207	43	196	Short-Term
GILD	GILEAD SCIENCES CMN	11-Aug-10	7-Jun-11	7	288	244	44	280	Short-Term
CSX	CSX CORPORATION CMN	14-Jul-10	20-Apr-11	2	148	104	44	300	Short-Term
CSX	CSX CORPORATION CMN	27-Jul-10	25-Apr-11	2	150	106	44	272	Short-Term
SU	SUNCOR ENERGY INC CMN	9-Apr-09	7-Jun-11	3	121	77	44	789	Long-Term
CSX	CSX CORPORATION CMN	27-Jul-10	21-Apr-11	2	150	106	44	268	Short-Term
MFC	MANULIFE FINANCIAL CORP CMN	4-Nov-10	9-Mar-11	10	184	140	45	125	Short-Term
ORCL	ORACLE CORPORATION CMN	30-Sep-10	7-Jun-11	9	289	244	45	250	Short-Term
CSX	CSX CORPORATION CMN	15-Jul-10	20-Apr-11	2	148	103	45	279	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	11-Aug-10	7-Apr-11	2	136	91	45	239	Short-Term
QCOM	QUALCOMM INC CMN	2-Nov-09	25-Jan-11	5	254	209	45	449	Long-Term
CSX	CSX CORPORATION CMN	27-Jul-10	26-Apr-11	2	151	106	45	273	Short-Term
BWA	BORGWARNER INC CMN	10-Aug-10	7-Jun-11	2	136	90	46	301	Short-Term

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 Separate Account Strategy Westfield Large Cap Growth

Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
EMR	EMERSON ELECTRIC CO CMN	1-Sep-09	2-Jun-11	3	155	109	46	639	Long-Term
CTXS	CITRIX SYSTEMS INC CMN	9-Mar-11	7-Jun-11	5	411	364	47	90	Short-Term
FCX	FREEMPORT-MCMORAN COPPER & GOLD CMN	23-Sep-10	7-Jun-11	6	302	255	48	257	Short-Term
EMR	EMERSON ELECTRIC CO CMN	11-Sep-09	2-Jun-11	4	208	159	48	629	Long-Term
DIS	WALT DISNEY COMPANY (THE) CMN	30-Oct-09	7-Jun-11	4	159	110	49	585	Long-Term
VLO	VALERO ENERGY CORPORATION CMN	11-Jan-11	7-Jun-11	28	723	674	49	147	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	24-Feb-10	14-Mar-11	3	260	210	50	383	Long-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	24-Feb-10	14-Mar-11	3	260	210	50	383	Long-Term
GILD	GILEAD SCIENCES CMN	5-Aug-10	7-Jun-11	9	370	320	50	306	Short-Term
MFC	MANULIFE FINANCIAL CORP CMN	17-Aug-10	8-Mar-11	8	149	99	50	203	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	23-Feb-10	11-Mar-11	3	261	210	51	381	Long-Term
GILD	GILEAD SCIENCES CMN	10-Aug-10	7-Jun-11	9	370	319	51	301	Short-Term
FCX	FREEMPORT-MCMORAN COPPER & GOLD CMN	20-Sep-10	7-Jun-11	6	302	251	51	260	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	23-Feb-10	11-Mar-11	3	261	210	51	381	Long-Term
FDX	FEDEX CORP CMN	16-Jun-10	7-Jun-11	9	783	730	52	356	Short-Term
VIAB	VIACOM INC CMN CLASS B	27-May-09	7-Jun-11	2	98	46	52	741	Long-Term
EMR	EMERSON ELECTRIC CO CMN	16-Nov-09	3-Jun-11	6	309	256	53	564	Long-Term
VIAB	VIACOM INC CMN CLASS B	27-May-09	16-May-11	2	99	46	53	719	Long-Term
SLB	SCHLUMBERGER LTD CMN	30-Nov-10	7-Jun-11	8	672	618	54	189	Short-Term
CNX	CONSOL ENERGY INC CMN	15-Nov-10	7-Jun-11	7	350	296	54	204	Short-Term
COV	COVIDIEN PUBLIC LIMITED COMPAN CMN	21-Jan-09	26-May-11	3	164	110	54	855	Long-Term
UTX	UNITED TECHNOLOGIES CORP CMN	22-Oct-10	7-Jun-11	6	503	448	55	228	Short-Term
EMC	EMC CORPORATION MASS CMN	12-Feb-10	18-Apr-11	6	158	103	56	430	Long-Term
ORCL	ORACLE CORPORATION CMN	3-Jun-08	7-Jun-11	6	193	137	56	1,099	Long-Term
SU	SUNCOR ENERGY INC CMN	5-Apr-10	7-Jun-11	11	442	385	57	428	Long-Term
IBM	INTL BUSINESS MACHINES CORP CMN	7-Oct-10	26-May-11	2	334	276	58	231	Short-Term
CNX	CONSOL ENERGY INC CMN	12-Nov-10	7-Jun-11	8	400	341	59	207	Short-Term
GE	GENERAL ELECTRIC CO CMN	7-Dec-10	7-Jun-11	39	726	666	60	182	Short-Term
CELG	CELGENE CORPORATION CMN	4-Mar-11	7-Jun-11	11	656	596	60	95	Short-Term
UTX	UNITED TECHNOLOGIES CORP CMN	26-Feb-10	7-Jun-11	4	335	275	60	466	Long-Term
ORCL	ORACLE CORPORATION CMN	29-Sep-10	7-Jun-11	12	386	325	60	251	Short-Term
CSX	CSX CORPORATION CMN	26-Jul-10	20-Apr-11	3	222	161	61	268	Short-Term
GILD	GILEAD SCIENCES CMN	24-Aug-10	7-Jun-11	7	288	227	61	287	Short-Term
BWA	BORGWARNER INC CMN	9-Aug-10	7-Jun-11	3	204	142	62	302	Short-Term
EMR	EMERSON ELECTRIC CO CMN	1-Sep-09	2-Jun-11	4	208	145	62	639	Long-Term
EMR	EMERSON ELECTRIC CO CMN	10-Sep-09	2-Jun-11	5	260	197	62	630	Long-Term
CNX	CONSOL ENERGY INC CMN	11-Nov-10	7-Jun-11	10	500	437	63	208	Short-Term
QCOM	QUALCOMM INC CMN	2-Nov-09	25-Jan-11	7	355	293	63	449	Long-Term
QCOM	QUALCOMM INC CMN	18-Mar-11	7-Jun-11	12	684	621	63	81	Short-Term

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Base Currency USD

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
WFC	WELLS FARGO & CO (NEW) CMN	21-Oct-10	4-Apr-11	11	350	285	64	165	Short-Term
FDX	FEDEX CORP CMN	16-Jun-10	27-Jan-11	5	470	406	64	225	Short-Term
EMC	EMC CORPORATION MASS CMN	11-Feb-10	18-Apr-11	7	184	120	65	431	Long-Term
GR	GOODRICH CORPORATION CMN	30-Oct-09	7-Jun-11	2	174	109	65	585	Long-Term
GR	GOODRICH CORPORATION CMN	29-Sep-09	7-Jun-11	2	174	108	66	616	Long-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	24-Feb-10	14-Mar-11	4	346	280	66	383	Long-Term
GOOG	GOOGLE, INC. CMN CLASS A	22-Sep-09	7-Jun-11	3	1,565	1,499	66	623	Long-Term
MFC	MANULIFE FINANCIAL CORP CMN	18-Aug-10	9-Mar-11	11	203	133	69	203	Short-Term
EMC	EMC CORPORATION MASS CMN	25-Oct-10	7-Jun-11	12	326	257	69	225	Short-Term
FCX	FREEMONT-MCMORAN COPPER & GOLD CMN	20-Sep-10	7-Jun-11	8	403	333	70	260	Short-Term
WFC	WELLS FARGO & CO (NEW) CMN	25-Oct-10	4-Apr-11	12	382	311	70	161	Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	29-Oct-09	7-Jun-11	6	238	168	70	586	Long-Term
GE	GENERAL ELECTRIC CO CMN	6-Dec-10	7-Jun-11	39	726	656	70	183	Short-Term
GE	GENERAL ELECTRIC CO CMN	6-Dec-10	7-Jun-11	39	726	654	72	183	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	21-Dec-10	7-Jun-11	35	850	777	73	168	Short-Term
MFC	MANULIFE FINANCIAL CORP CMN	11-Aug-10	8-Mar-11	13	242	168	74	209	Short-Term
GR	GOODRICH CORPORATION CMN	10-Jul-09	7-Jun-11	2	174	99	75	697	Long-Term
WFC	WELLS FARGO & CO (NEW) CMN	22-Oct-10	4-Apr-11	13	413	338	75	164	Short-Term
HCA	HCA HOLDINGS, INC CMN	11-Mar-11	7-Jun-11	21	730	653	77	88	Short-Term
GR	GOODRICH CORPORATION CMN	23-Jul-09	7-Jun-11	2	174	97	77	684	Long-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	14-May-08	2-May-11	3	347	269	78	1,083	Long-Term
CNX	CONSOL ENERGY INC CMN	12-Nov-10	7-Jun-11	11	550	471	79	207	Short-Term
HCA	HCA HOLDINGS, INC CMN	10-Mar-11	7-Jun-11	22	765	686	79	89	Short-Term
COV	COVIDIEN PUBLIC LIMITED COMPAN CMN	21-May-11	27-May-11	6	327	248	79	371	Long-Term
AET	AETNA INC CMN	18-Jan-11	7-Jun-11	9	385	306	79	140	Short-Term
CSX	CSX CORPORATION CMN	26-Jul-10	4-Mar-11	4	296	216	80	268	Short-Term
COV	COVIDIEN PUBLIC LIMITED COMPAN CMN	21-Jan-09	4-Mar-11	5	264	183	80	772	Long-Term
VIAB	VIACOM INC CMN CLASS B	24-May-10	31-May-11	6	330	249	81	372	Long-Term
SU	SUNCOR ENERGY INC CMN	28-May-09	7-Jun-11	3	147	66	81	740	Long-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	10-Mar-09	7-Jun-11	5	201	119	82	819	Long-Term
GR	GOODRICH CORPORATION CMN	21-Dec-10	7-Jun-11	37	899	817	82	168	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	8-Jul-09	28-Jan-11	2	180	97	83	569	Long-Term
HCA	HCA HOLDINGS, INC. CMN	24-Feb-10	14-Mar-11	5	433	349	83	383	Long-Term
GR	GOODRICH CORPORATION CMN	8-Jul-09	31-Jan-11	2	181	97	83	89	Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	16-Oct-09	9-Feb-11	6	260	176	84	572	Long-Term
AET	AETNA INC CMN	19-Jan-11	7-Jun-11	9	385	301	84	481	Long-Term
HON	HONEYWELL INTL INC CMN	5-Jan-10	7-Jun-11	5	286	202	85	139	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	25-Feb-09	4-Jan-11	7	154	68	86	518	Long-Term

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Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
BTU	PEABODY ENERGY CORPORATION CMN	26-May-10	7-Apr-11	3	205	117	87	316	Short-Term
GILD	GILEAD SCIENCES CMN	24-Aug-10	7-Jun-11	10	411	323	88	287	Short-Term
CSX	CSX CORPORATION CMN	13-Jul-10	20-Apr-11	4	296	208	88	281	Short-Term
COV	COVIDIEN PUBLIC LIMITED COMPAN CMN	22-Jan-09	26-May-11	5	273	185	88	854	Long-Term
CTXS	CITRIX SYSTEMS INC CMN	7-Mar-11	7-Jun-11	8	657	569	89	92	Short-Term
UTX	UNITED TECHNOLOGIES CORP CMN	26-Feb-10	7-Jun-11	6	503	412	91	466	Long-Term
GILD	GILEAD SCIENCES CMN	6-Aug-10	7-Jun-11	16	658	567	91	305	Short-Term
WFC	WELLS FARGO & CO (NEW) CMN	20-Oct-10	4-Apr-11	15	477	386	91	166	Short-Term
COV	COVIDIEN PUBLIC LIMITED COMPAN CMN	24-May-10	27-May-11	7	382	291	91	368	Long-Term
ORCL	ORACLE CORPORATION CMN	4-Jun-08	7-Jun-11	10	321	230	92	1,098	Long-Term
HON	HONEYWELL INTL INC CMN	29-Jan-10	7-Jun-11	5	286	193	93	494	Long-Term
DIS	WALT DISNEY COMPANY (THE) CMN	19-Oct-09	7-Jun-11	9	357	264	93	596	Long-Term
MFC	MANULIFE FINANCIAL CORP CMN	12-Aug-10	8-Mar-11	15	279	185	94	208	Short-Term
GR	GOODRICH CORPORATION CMN	29-Oct-09	7-Jun-11	3	261	167	94	586	Long-Term
ORCL	ORACLE CORPORATION CMN	19-Oct-09	7-Jun-11	10	321	225	97	596	Long-Term
DIS	WALT DISNEY COMPANY (THE) CMN	16-Oct-09	9-Feb-11	7	303	206	97	481	Long-Term
VIAB	VIACOM INC CMN CLASS B	23-Dec-09	7-Jun-11	5	245	148	98	531	Long-Term
UTX	UNITED TECHNOLOGIES CORP CMN	24-Feb-10	4-Mar-11	7	578	480	98	373	Long-Term
TGT	TARGET CORPORATION CMN	9-Apr-09	18-Feb-11	8	415	317	99	680	Long-Term
GOOG	GOOGLE, INC CMN CLASS A	13-Jul-09	7-Jun-11	1	522	423	99	694	Long-Term
PCLN	PRICELINE COM INC CMN	15-Nov-10	7-Jun-11	1	510	410	99	204	Short-Term
GR	GOODRICH CORPORATION CMN	30-Sep-09	7-Jun-11	3	261	161	100	615	Long-Term
DIS	WALT DISNEY COMPANY (THE) CMN	26-May-10	7-Jun-11	15	596	495	100	377	Long-Term
EMC	EMC CORPORATION MASS CMN	12-Feb-10	7-Jun-11	10	272	171	100	480	Long-Term
QCOM	QUALCOMM INC CMN	7-Sep-10	7-Jun-11	6	342	241	101	273	Short-Term
EMC	EMC CORPORATION MASS CMN	12-Feb-10	18-Apr-11	11	290	189	101	430	Long-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	22-Feb-10	11-Mar-11	6	522	419	103	382	Long-Term
FDX	FEDEX CORP CMN	16-Jun-10	7-Jun-11	14	1,218	1,114	103	356	Short-Term
CTXS	CITRIX SYSTEMS INC CMN	8-Mar-11	7-Jun-11	11	904	800	104	91	Short-Term
MFC	MANULIFE FINANCIAL CORP CMN	19-Aug-10	9-Mar-11	16	295	190	104	202	Short-Term
EMR	EMERSON ELECTRIC CO CMN	1-Sep-09	2-Jun-11	7	362	255	106	639	Long-Term
DIS	WALT DISNEY COMPANY (THE) CMN	16-Oct-09	7-Jun-11	10	397	290	107	599	Long-Term
GOOG	GOOGLE, INC CMN CLASS A	10-Jul-09	7-Jun-11	1	522	414	108	697	Long-Term
VIAB	VIACOM INC CMN CLASS B	26-May-09	16-May-11	4	198	90	108	720	Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	28-Jan-10	26-May-11	17	808	699	109	118	Short-Term
QCOM	QUALCOMM INC CMN	23-Aug-10	7-Jun-11	6	342	233	109	288	Short-Term
SU	SUNCOR ENERGY INC CMN	13-Apr-09	7-Jun-11	8	321	210	111	785	Long-Term
IBM	INTL BUSINESS MACHINES CORP CMN	7-Oct-10	26-May-11	4	668	554	113	231	Short-Term
ORCL	ORACLE CORPORATION CMN	2-Jun-08	7-Jun-11	12	386	272	114	1,100	Long-Term

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Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
EMC	EMC CORPORATION MASS CMN	25-Oct-10	7-Jun-11	20	544	427	116	225	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	25-Feb-09	7-Jun-11	13	243	126	117	832	Long-Term
TGT	TARGET CORPORATION CMN	8-Apr-09	18-Feb-11	8	415	298	117	681	Long-Term
COV	COVIDIEN PUBLIC LIMITED COMPAN CMN	3-Mar-09	27-May-11	5	273	154	119	815	Long-Term
COV	COVIDIEN PUBLIC LIMITED COMPAN CMN	3-Mar-09	26-May-11	5	273	154	120	814	Long-Term
WFC	WELLS FARGO & CO (NEW) CMN	20-Oct-10	4-Apr-11	21	668	543	125	166	Short-Term
QCOM	QUALCOMM INC CMN	23-Aug-10	7-Jun-11	7	399	272	127	288	Short-Term
BWA	BORGWARNER INC CMN	6-Aug-10	7-Jun-11	6	408	280	128	305	Short-Term
CSX	CSX CORPORATION CMN	27-Jul-10	21-Apr-11	6	450	320	130	268	Short-Term
EMR	EMERSON ELECTRIC CO CMN	31-Aug-09	27-Jan-11	6	352	221	131	514	Long-Term
VIAB	VIACOM INC CMN CLASS B	12-Aug-10	7-Jun-11	8	392	261	132	299	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	25-May-10	18-Mar-11	4	281	148	133	297	Short-Term
CELG	CELGENE CORPORATION CMN	11-Mar-11	7-Jun-11	20	1,193	1,060	133	88	Short-Term
EMR	EMERSON ELECTRIC CO CMN	1-Sep-09	2-Jun-11	9	465	332	133	639	Long-Term
QCOM	QUALCOMM INC CMN	7-Sep-10	7-Jun-11	8	456	321	135	273	Short-Term
ORCL	ORACLE CORPORATION CMN	19-Oct-09	7-Jun-11	14	450	313	137	596	Long-Term
BTU	PEABODY ENERGY CORPORATION CMN	28-Apr-10	16-Mar-11	7	474	337	137	322	Short-Term
LVS	LAS VEGAS SANDS CORP CMN	3-Aug-10	21-Mar-11	14	540	395	145	230	Short-Term
BWA	BORGWARNER INC CMN	5-Aug-10	7-Jun-11	7	477	328	148	306	Short-Term
BWA	BORGWARNER INC CMN	6-Aug-10	7-Jun-11	7	477	327	150	305	Short-Term
VIAB	VIACOM INC CMN CLASS B	12-Aug-10	7-Jun-11	9	442	292	150	299	Short-Term
CSX	CSX CORPORATION CMN	14-Jul-10	20-Apr-11	7	517	365	153	280	Short-Term
HON	HONEYWELL INTL INC CMN	5-Jan-10	7-Jun-11	9	516	363	153	518	Long-Term
AET	AETNA INC CMN	4-Mar-10	7-Jun-11	13	557	402	154	460	Long-Term
EMR	EMERSON ELECTRIC CO CMN	31-Aug-09	1-Jun-11	10	524	368	156	639	Long-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	14-May-08	7-Jun-11	12	1,235	1,077	158	1,119	Long-Term
CSX	CSX CORPORATION CMN	15-Jul-10	20-Apr-11	7	517	360	158	279	Short-Term
VIAB	VIACOM INC CMN CLASS B	27-May-09	17-May-11	6	296	137	159	720	Long-Term
SU	SUNCOR ENERGY INC CMN	10-Mar-09	3-Mar-11	7	327	167	160	723	Long-Term
UTX	UNITED TECHNOLOGIES CORP CMN	25-Feb-10	7-Jun-11	10	838	675	163	467	Long-Term
SU	SUNCOR ENERGY INC CMN	14-Apr-09	7-Jun-11	11	442	279	163	784	Long-Term
LVS	LAS VEGAS SANDS CORP CMN	4-Aug-10	22-Mar-11	17	656	491	165	230	Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	8-Feb-10	7-Jun-11	17	675	508	167	484	Long-Term
SU	SUNCOR ENERGY INC CMN	4-Mar-09	18-Feb-11	7	317	148	169	716	Long-Term
HON	HONEYWELL INTL INC CMN	6-Jan-10	7-Jun-11	10	573	404	169	517	Long-Term
CELG	CELGENE CORPORATION CMN	7-Mar-11	7-Jun-11	29	1,730	1,560	170	92	Short-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	27-Jan-11	26-May-11	33	1,568	1,395	173	119	Short-Term
	COVIDIEN PUBLIC LIMITED COMPAN CMN	15-Dec-08	4-Mar-11	10	527	346	182	809	Long-Term
GR	GOODRICH CORPORATION CMN	23-Jul-09	7-Jun-11	5	435	248	187	684	Long-Term

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Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
PCLN	PRICELINE COM INC CMN	3-Jan-11	7-Jun-11	2	1,020	828	191	155	Short-Term
AMZN	AMAZON COM INC CMN	28-Dec-09	7-Jun-11	4	758	564	193	526	Long-Term
PCLN	PRICELINE COM INC CMN	12-Nov-10	7-Jun-11	2	1,020	825	195	207	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	25-Feb-09	7-Jun-11	22	411	212	199	832	Long-Term
IBM	INTL BUSINESS MACHINES CORP CMN	7-Oct-10	26-May-11	7	1,168	967	201	231	Short-Term
AET	AETNA INC CMN	4-Mar-10	7-Jun-11	17	728	523	205	460	Long-Term
BWA	BORGWARNER INC CMN	2-Aug-10	5-Apr-11	6	479	274	205	246	Short-Term
EMC	EMC CORPORATION MASS CMN	16-Feb-10	7-Jun-11	21	571	365	206	476	Long-Term
BTU	PEABODY ENERGY CORPORATION CMN	26-May-10	7-Apr-11	7	478	269	209	316	Short-Term
AMZN	AMAZON COM INC CMN	29-Jul-10	7-Jun-11	3	568	352	216	313	Short-Term
VIAB	VIACOM INC CMN CLASS B	28-May-09	7-Jun-11	8	392	176	216	740	Long-Term
BWA	BORGWARNER INC CMN	3-Aug-10	6-Apr-11	7	543	320	223	246	Short-Term
AET	AETNA INC CMN	2-Mar-10	7-Jun-11	19	813	590	224	462	Long-Term
GR	GOODRICH CORPORATION CMN	29-Sep-09	7-Jun-11	7	609	382	227	616	Long-Term
GOOG	GOOGLE, INC CMN CLASS A	23-Jun-09	7-Jun-11	2	1,044	812	232	714	Long-Term
BTU	PEABODY ENERGY CORPORATION CMN	26-May-10	7-Apr-11	8	546	313	233	316	Short-Term
HON	HONEYWELL INTL INC CMN	4-Jan-10	7-Jun-11	14	802	565	237	519	Long-Term
QCOM	QUALCOMM INC CMN	23-Apr-10	7-Jun-11	13	741	500	241	410	Long-Term
BWA	BORGWARNER INC CMN	4-Aug-10	7-Jun-11	11	749	506	242	307	Short-Term
SU	SUNCOR ENERGY INC CMN	9-Apr-09	7-Jun-11	17	683	440	243	789	Long-Term
HON	HONEYWELL INTL INC CMN	4-Jan-10	7-Jun-11	15	859	604	255	519	Long-Term
EMC	EMC CORPORATION MASS CMN	12-Feb-10	7-Jun-11	26	707	445	262	480	Long-Term
VIAB	VIACOM INC CMN CLASS B	27-May-09	7-Jun-11	10	491	226	265	741	Long-Term
LVS	LAS VEGAS SANDS CORP. CMN	4-Aug-10	22-Mar-11	29	1,118	836	282	230	Short-Term
VIAB	VIACOM INC CMN CLASS B	27-May-09	7-Jun-11	11	540	249	290	741	Long-Term
BTU	PEABODY ENERGY CORPORATION CMN	25-May-10	6-Apr-11	9	627	334	294	316	Short-Term
AMZN	AMAZON COM INC CMN	31-Jul-09	7-Jun-11	3	568	258	310	676	Long-Term
AET	AETNA INC CMN	3-Mar-10	7-Jun-11	28	1,199	879	320	461	Long-Term
NOV	NATIONAL OILWELL VARCO, INC COMMON STOCK CMN	10-Mar-09	7-Jun-11	9	650	259	391	819	Long-Term
AAPL	APPLE, INC CMN	10-Jul-09	7-Jun-11	2	669	277	392	697	Long-Term
ORCL	ORACLE CORPORATION CMN	14-May-08	7-Jun-11	43	1,382	941	441	1,119	Long-Term
ILMN	ILLUMINA INC CMN	19-Sep-08	7-Jun-11	16	1,167	686	480	991	Long-Term
AMZN	AMAZON COM INC CMN	31-Jul-09	7-Jun-11	5	947	432	515	676	Long-Term
ILMN	ILLUMINA INC CMN	18-Sep-08	7-Jun-11	16	1,167	624	542	992	Long-Term
AAPL	APPLE, INC CMN	14-May-08	7-Jun-11	4	1,338	761	577	1,119	Long-Term
AAPL	APPLE, INC CMN	30-Oct-09	7-Jun-11	4	1,338	758	579	585	Long-Term
AAPL	APPLE, INC CMN	22-Aug-08	7-Jun-11	4	1,338	708	630	1,019	Long-Term
TGT	TARGET CORPORATION CMN	21-Nov-08	18-Feb-11	38	1,972	1,053	919	819	Long-Term
AAPL	APPLE, INC CMN	4-Feb-09	7-Jun-11	5	1,672	480	1,192	853	Long-Term

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Base Currency USD

Realized Gains/Losses Symbol Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
TOTAL				192,496	166,822	25,674		
			Short-Term	124,263	116,593	7,669		
			Long-Term	68,234	50,229	18,005		
				192,496	166,822	25,674		

Kronhill Pletka Fdn Thornburg Non-US Eq 028-17087-6

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Separate Account Strategy Thornburg Non-US Equity

Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
OGZPY	GAZPROM ADR SPONSORED ADR CMN	22-May-08	7-Jun-11	98	1,446	2,989	(1,543)	1,111	Long-Term
VWDRY	VESTAS WIND SYSTEMS A/S ADR CMN	8-Oct-09	12-May-11	40	394	917	(523)	581	Long-Term
VWDRY	VESTAS WIND SYSTEMS A/S ADR CMN	15-Aug-08	11-May-11	12	120	490	(370)	999	Long-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	10-Mar-11	21-Sep-11	18	290	653	(363)	195	Short-Term
LFGRY	LAFARGE SPONSORED ADR CMN	28-Jan-10	24-Feb-11	77	1,156	1,478	(323)	392	Long-Term
LOGI	LOGITECH INTERNATIONAL SA ORD CMN	8-Oct-09	22-Sep-11	32	248	563	(316)	714	Long-Term
LOGI	LOGITECH INTERNATIONAL SA ORD CMN	8-Oct-09	23-Sep-11	31	239	546	(306)	715	Long-Term
VWDRY	VESTAS WIND SYSTEMS A/S ADR CMN	8-Aug-08	11-May-11	10	100	406	(306)	1,006	Long-Term
CS	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	18-Feb-11	7-Jun-11	55	2,300	2,595	(295)	109	Short-Term
VWDRY	VESTAS WIND SYSTEMS A/S ADR CMN	18-Sep-09	11-May-11	20	201	495	(294)	600	Long-Term
ERJ	EMBRAER SA ADR CMN	22-May-08	7-Jun-11	40	1,238	1,528	(291)	1,111	Long-Term
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	27-Jul-11	6-Sep-11	9	472	756	(284)	41	Short-Term
VWDRY	VESTAS WIND SYSTEMS A/S ADR CMN	17-Dec-09	13-May-11	27	269	548	(279)	512	Long-Term
VWDRY	VESTAS WIND SYSTEMS A/S ADR CMN	30-Dec-09	13-May-11	27	269	545	(276)	499	Long-Term
VWDRY	VESTAS WIND SYSTEMS A/S ADR CMN	28-Sep-09	11-May-11	19	191	458	(267)	590	Long-Term
YOKU	YOKU INC SPONSORED ADR CMN SERIES	16-May-11	15-Jun-11	15	412	671	(259)	30	Short-Term
YOKU	YOKU INC SPONSORED ADR CMN SERIES	13-May-11	15-Jun-11	13	357	615	(258)	33	Short-Term
VWDRY	VESTAS WIND SYSTEMS A/S ADR CMN	9-Sep-08	11-May-11	9	90	344	(254)	974	Long-Term
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	27-Jul-11	15-Sep-11	6	323	567	(244)	50	Short-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	30-Sep-10	20-Sep-11	13	223	466	(243)	355	Short-Term
MT	ARCELORMITTAL CMN	1-Apr-11	9-Dec-11	14	263	505	(242)	252	Short-Term
TEF	TELEFONICA S A ADR SPONSORED ADR CMN	6-Oct-09	23-Nov-11	22	384	625	(241)	778	Long-Term
AMX	AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES L	28-Sep-09	7-Jun-11	35	434	655	(221)	617	Long-Term
LOGI	LOGITECH INTERNATIONAL SA ORD CMN	22-May-08	19-May-11	29	1,454	1,667	(213)	1,092	Long-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	21-Oct-10	21-Sep-11	10	161	370	(209)	335	Short-Term
LOGI	LOGITECH INTERNATIONAL SA ORD CMN	18-Sep-09	7-Jun-11	34	421	628	(206)	627	Long-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	21-Oct-10	20-Sep-11	10	171	370	(199)	334	Short-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	8-Oct-09	16-Sep-11	10	205	403	(199)	708	Long-Term
MT	ARCELORMITTAL CMN	15-Mar-11	1-Dec-11	13	247	438	(191)	261	Short-Term
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	27-Jul-11	15-Sep-11	6	323	504	(181)	50	Short-Term
MT	ARCELORMITTAL CMN	4-May-11	9-Dec-11	10	188	363	(175)	219	Short-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	22-May-08	7-Jun-11	11	424	596	(172)	1,111	Long-Term
TM	TOYOTA MOTOR CORPORATION SPON ADR	22-May-08	7-Jun-11	9	735	906	(171)	1,111	Long-Term
VWDRY	VESTAS WIND SYSTEMS A/S ADR CMN	6-Oct-09	12-May-11	13	128	297	(169)	583	Long-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	30-Sep-10	16-Sep-11	11	225	394	(169)	351	Short-Term
OGZPY	GAZPROM ADR SPONSORED ADR CMN	15-Mar-11	12-Sep-11	40	433	600	(167)	181	Short-Term
LOGI	LOGITECH INTERNATIONAL SA ORD CMN	29-Apr-10	27-Sep-11	18	144	300	(156)	516	Long-Term
TEF	TELEFONICA S A ADR SPONSORED ADR CMN	22-May-08	7-Jun-11	30	726	882	(156)	1,111	Long-Term
MT	ARCELORMITTAL CMN	4-May-11	12-Dec-11	8	138	290	(152)	222	Short-Term
VWDRY	VESTAS WIND SYSTEMS A/S ADR CMN	30-Sep-08	11-May-11	8	80	227	(147)	953	Long-Term
MT	ARCELORMITTAL CMN	15-Mar-11	28-Nov-11	8	135	270	(135)	258	Short-Term
LOGI	LOGITECH INTERNATIONAL SA ORD CMN	6-Oct-09	7-Jun-11	25	310	443	(133)	609	Long-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	25-May-10	16-Sep-11	17	348	474	(126)	479	Long-Term

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Base Currency USD

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
LOGI	LOGITECH INTERNATIONAL SA ORD CMN	29-Apr-10	26-Sep-11	14	109	234	(125)	515	Long-Term
MTU	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	12-Jun-09	7-Jun-11	54	242	363	(120)	725	Long-Term
LOGI	LOGITECH INTERNATIONAL SA ORD CMN	6-Oct-09	22-Sep-11	12	93	213	(120)	716	Long-Term
LFGR	LAFARGE SPONSORED ADR CMN	4-Feb-10	25-Feb-11	35	528	643	(115)	386	Long-Term
CCH	COCA-COLA HELLENIC BOTTLING CO SPONSORED ADR CMN	22-May-08	18-May-11	6	145	253	(109)	1,091	Long-Term
VWDRY	VESTAS WIND SYSTEMS A/S ADR CMN	15-Sep-09	11-May-11	7	70	176	(106)	603	Long-Term
MTU	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	2-Jul-09	7-Jun-11	60	269	374	(105)	705	Long-Term
MTU	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	13-May-09	7-Jun-11	225	467	570	(105)	755	Long-Term
MNGPY	MAN GROUP PLC UNSPONSORED ADR CMN	25-Mar-10	11-Aug-11	153	467	570	(102)	504	Long-Term
MTU	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	8-May-09	7-Jun-11	51	229	326	(97)	760	Long-Term
MTU	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	18-Sep-09	7-Jun-11	73	328	425	(97)	627	Long-Term
LFGR	LAFARGE SPONSORED ADR CMN	5-Mar-10	25-Feb-11	33	498	594	(96)	357	Short-Term
CMHHY	CHINA MERCHANTS HOLDINGS (INTE UNSPONSORED ADR CMN	7-Sep-10	19-Sep-11	9	230	323	(92)	377	Long-Term
TEF	TELEFONICA S A ADR SPONSORED ADR CMN	18-Sep-09	7-Jun-11	27	654	746	(92)	627	Long-Term
CMHHY	CHINA MERCHANTS HOLDINGS (INTE UNSPONSORED ADR CMN	20-Oct-09	9-Sep-11	14	398	489	(91)	689	Long-Term
VWDRY	VESTAS WIND SYSTEMS A/S ADR CMN	6-Oct-09	11-May-11	7	70	160	(90)	582	Long-Term
LOGI	LOGITECH INTERNATIONAL SA ORD CMN	8-Oct-09	26-Sep-11	9	70	158	(89)	718	Long-Term
MT	ARCELORMITTAL CMN	24-Feb-11	7-Jun-11	29	952	1,037	(85)	103	Short-Term
CMHHY	CHINA MERCHANTS HOLDINGS (INTE UNSPONSORED ADR CMN	20-Oct-09	16-Sep-11	10	267	349	(82)	696	Long-Term
MT	ARCELORMITTAL CMN	28-Feb-11	28-Nov-11	4	67	146	(79)	273	Short-Term
OGZPY	GAZPROM ADR SPONSORED ADR CMN	8-Oct-09	12-Sep-11	62	671	748	(77)	704	Long-Term
TEF	TELEFONICA S A ADR SPONSORED ADR CMN	28-Sep-09	23-Nov-11	7	122	196	(74)	786	Long-Term
MTU	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	25-Feb-11	7-Jun-11	22	722	793	(71)	102	Short-Term
LFGR	LAFARGE SPONSORED ADR CMN	28-Sep-09	7-Jun-11	68	305	376	(71)	617	Long-Term
VWDRY	VESTAS WIND SYSTEMS A/S ADR CMN	4-Feb-10	24-Feb-11	20	300	368	(67)	385	Long-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	15-Jan-09	11-May-11	8	80	140	(60)	846	Long-Term
TEF	TELEFONICA S A ADR SPONSORED ADR CMN	16-Apr-09	11-May-11	7	70	129	(59)	755	Long-Term
MT	ARCELORMITTAL CMN	11-Jun-08	7-Jun-11	7	270	327	(57)	1,091	Long-Term
MT	ARCELORMITTAL CMN	28-Sep-09	7-Jun-11	14	339	392	(53)	617	Long-Term
TEF	TELEFONICA S A ADR SPONSORED ADR CMN	28-Feb-11	7-Jun-11	14	460	511	(52)	99	Short-Term
MT	ARCELORMITTAL CMN	1-Apr-11	1-Dec-11	3	57	108	(51)	244	Short-Term
TEF	TELEFONICA S A ADR SPONSORED ADR CMN	16-Jun-08	7-Jun-11	15	363	411	(48)	1,086	Long-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	18-Sep-09	7-Jun-11	12	462	509	(47)	627	Long-Term
CMHHY	CHINA MERCHANTS HOLDINGS (INTE UNSPONSORED ADR CMN	13-Oct-09	8-Sep-11	11	322	367	(45)	695	Long-Term
CCL	CARNIVAL CORPORATION CMN	22-May-08	7-Jun-11	47	1,731	1,775	(44)	1,111	Long-Term
MTU	MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	6-Oct-09	7-Jun-11	43	193	237	(43)	609	Long-Term
SLB	SCHLUMBERGER LTD CMN	22-May-08	7-Jun-11	2	167	205	(39)	1,111	Long-Term
MNGPY	MAN GROUP PLC UNSPONSORED ADR CMN	12-May-10	12-Aug-11	149	469	507	(39)	457	Long-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	28-Sep-09	7-Jun-11	12	462	500	(37)	617	Long-Term
CMHHY	CHINA MERCHANTS HOLDINGS (INTE UNSPONSORED ADR CMN	20-Oct-09	7-Jun-11	4	102	140	(37)	699	Long-Term
LOGI	LOGITECH INTERNATIONAL SA ORD CMN	23-Jul-09	7-Jun-11	12	149	184	(35)	684	Long-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	8-Oct-09	7-Jun-11	18	694	726	(32)	607	Long-Term
TEF	TELEFONICA S A ADR SPONSORED ADR CMN	1-Aug-08	7-Jun-11	18	436	466	(31)	1,040	Long-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	28-Sep-09	26-Jul-11	6	281	311	(29)	666	Long-Term

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Separate Account Strategy Thornburg Non-US Equity

Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
ADYY	ADIDAS AG ADR CMN	3-May-11	7-Jun-11	35	1,280	1,306	(26)	35	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	18-Sep-09	7-Jun-11	16	794	819	(25)	627	Long-Term
KMTUY	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	22-May-08	7-Jun-11	10	296	319	(23)	1,111	Long-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	28-Sep-09	7-Jun-11	8	397	414	(17)	617	Long-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	6-Oct-09	7-Jun-11	8	308	325	(17)	609	Long-Term
CMHHY	CHINA MERCHANTS HOLDINGS (INTE UNSPONSORED ADR CMN	20-Oct-09	8-Sep-11	3	88	105	(17)	688	Long-Term
KMTUY	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	22-May-08	3-Feb-11	20	620	637	(17)	987	Long-Term
TSCDY	TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	21-Oct-09	11-Oct-11	26	496	511	(15)	720	Long-Term
SNN	SMITH & NEPHEW PLC ADR CMN	24-Sep-08	11-Jan-11	4	210	224	(14)	839	Long-Term
SNN	SMITH & NEPHEW PLC ADR CMN	1-Aug-08	11-Jan-11	7	367	381	(13)	893	Long-Term
TM	TOYOTA MOTOR CORPORATION SPON ADR	18-Sep-09	7-Jun-11	6	490	502	(12)	627	Long-Term
OGZPY	GAZPROM ADR SPONSORED ADR CMN	6-Oct-09	9-Sep-11	28	320	330	(10)	703	Long-Term
IDCBY	INDUSTRIAL & COMMERCIAL BANK O ADR CMN	18-Sep-09	7-Jun-11	20	310	319	(9)	627	Long-Term
OGZPY	GAZPROM ADR SPONSORED ADR CMN	6-Oct-09	12-Sep-11	6	65	71	(6)	706	Long-Term
ASAZY	ASSA ABLOY AB UNSPONSORED ADR (SWEDEN)	9-Nov-10	7-Jun-11	55	753	757	(4)	210	Short-Term
ASAZY	ASSA ABLOY AB UNSPONSORED ADR (SWEDEN)	8-Nov-10	7-Jun-11	31	424	427	(3)	963	Long-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	28-Sep-09	7-Jun-11	4	154	156	(2)	711	Long-Term
OGZPY	GAZPROM ADR SPONSORED ADR CMN	28-Sep-09	9-Sep-11	2	23	24	(1)	597	Long-Term
CCH	COCA-COLA HELLENIC BOTTLING CO SPONSORED ADR CMN	17-Oct-08	18-May-11	14	337	338	(1)	833	Long-Term
SNN	SMITH & NEPHEW PLC ADR CMN	30-Sep-08	11-Jan-11	4	210	211	(1)	892	Long-Term
SNN	SMITH & NEPHEW PLC ADR CMN	1-Aug-08	10-Jan-11	1	55	54	0	609	Long-Term
IDCBY	INDUSTRIAL & COMMERCIAL BANK O ADR CMN	6-Oct-09	7-Jun-11	7	109	107	1	622	Long-Term
IDCBY	INDUSTRIAL & COMMERCIAL BANK O ADR CMN	23-Sep-09	7-Jun-11	28	434	432	3	617	Long-Term
TM	TOYOTA MOTOR CORPORATION SPON ADR	28-Sep-09	7-Jun-11	5	408	406	3	608	Long-Term
AMX	AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES L	18-Sep-09	19-May-11	1	50	47	3	263	Short-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	10-Mar-11	28-Nov-11	2	48	44	4	773	Long-Term
DB	DEUTSCHE BANK AG CMN	21-Jan-11	18-Feb-11	1	65	60	5	383	Long-Term
ERU	EMBRAER SA ADR CMN	18-Sep-09	31-Oct-11	1	28	23	5	914	Long-Term
ITUB	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN	20-May-10	7-Jun-11	1	23	18	5	197	Short-Term
SNN	SMITH & NEPHEW PLC ADR CMN	22-Nov-10	7-Jun-11	5	274	269	5	958	Long-Term
ASAZY	ASSA ABLOY AB UNSPONSORED ADR (SWEDEN)	22-Oct-08	7-Jun-11	32	438	433	5	596	Long-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	22-Oct-08	7-Jun-11	7	270	264	6	607	Long-Term
AMX	AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES L	6-Oct-09	25-May-11	1	52	45	7	258	Short-Term
TM	TOYOTA MOTOR CORPORATION SPON ADR	19-Nov-09	7-Jun-11	2	163	156	7	609	Long-Term
CCH	COCA-COLA HELLENIC BOTTLING CO SPONSORED ADR CMN	18-Sep-09	18-May-11	14	337	330	7	256	Short-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	10-Mar-11	23-Nov-11	6	139	131	8	866	Long-Term
CCL	CARNIVAL CORPORATION CMN	6-Oct-09	7-Jun-11	2	74	66	8	491	Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	2-Dec-09	21-Nov-11	2	46	36	10	589	Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	10-Mar-11	21-Nov-11	9	208	197	11	607	Long-Term
LOGI	LOGITECH INTERNATIONAL SA ORD CMN	22-Jan-09	7-Jun-11	7	87	75	11	491	Long-Term
BSYBY	BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOSITARY SHARES (1 ADS = 4 ORDS)	6-Oct-09	9-Feb-11	1	49	37	12	589	Long-Term
CCH	COCA-COLA HELLENIC BOTTLING CO SPONSORED ADR CMN	6-Oct-09	18-May-11	16	386	372	13	607	Long-Term
FLUDY	FLSMIDTH & CO A/S SPONSORED ADR CMN	8-Oct-09	7-Jun-11	5	42	28	14	617	Long-Term
IDCBY	INDUSTRIAL & COMMERCIAL BANK O ADR CMN	28-Sep-09	7-Jun-11	30	465	450	15		

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Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	10-Mar-11	22-Nov-11	11	256	241	15	257	Short-Term
LFRGY	LAFARGE SPONSORED ADR CMN	5-Aug-10	25-Feb-11	12	181	164	17	204	Short-Term
WMWV	WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS	23-Jan-11	10-Feb-11	1	28	11	17	748	Long-Term
CNI	CANADIAN NATIONAL RAILWAY CO CMN	18-Sep-09	10-Aug-11	1	68	51	17	691	Long-Term
CCH	COCA-COLA HELLENIC BOTTLING CO SPONSORED ADR CMN	8-Oct-09	18-May-11	27	651	633	18	587	Long-Term
DB	DEUTSCHE BANK AG CMN	9-Feb-11	18-Feb-11	20	1,302	1,283	19	9	Short-Term
ASAZY	ASSA ABLOY AB UNSPONSORED ADR (SWEDEN)	23-Nov-10	7-Jun-11	45	616	597	19	196	Short-Term
TEF	TELEFONICA S A ADR SPONSORED ADR CMN	23-Jun-09	7-Jun-11	9	218	196	21	714	Long-Term
SAP	SAP AG (SPON ADR)	8-Oct-09	21-Nov-11	3	174	152	22	774	Long-Term
DASTY	DASSAULT SYSTEMES SA SPONSORED ADR CMN	28-Sep-09	20-Dec-11	1	78	57	22	813	Long-Term
DASTY	DASSAULT SYSTEMES SA SPONSORED ADR CMN	28-Sep-09	28-Dec-11	1	79	57	22	821	Long-Term
DASTY	DASSAULT SYSTEMES SA SPONSORED ADR CMN	6-Oct-09	28-Dec-11	1	79	56	23	813	Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	2-Dec-09	17-Oct-11	2	60	36	24	684	Long-Term
DB	DEUTSCHE BANK AG CMN	24-Jan-11	18-Feb-11	5	326	301	24	25	Short-Term
TM	TOYOTA MOTOR CORPORATION SPON ADR	6-Oct-09	7-Jun-11	5	408	384	24	609	Long-Term
SAP	SAP AG (SPON ADR)	29-May-08	18-Apr-11	3	190	166	24	1,054	Long-Term
SAP	SAP AG (SPON ADR)	29-May-08	14-Feb-11	5	301	276	25	991	Long-Term
ITUB	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN	30-Jul-09	7-Jun-11	4	91	66	25	677	Long-Term
CNQ	CANADIAN NATURAL RESOURCES CMN	1-Sep-09	7-Jun-11	2	82	56	26	644	Long-Term
TM	TOYOTA MOTOR CORPORATION SPON ADR	8-Oct-09	7-Jun-11	11	898	872	26	607	Long-Term
ITUB	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN	6-Oct-09	7-Jun-11	19	431	404	27	609	Long-Term
DASTY	DASSAULT SYSTEMES SA SPONSORED ADR CMN	18-Sep-09	8-Nov-11	1	83	56	27	781	Long-Term
ERJ	EMBRAER SA ADR CMN	28-Sep-09	31-Oct-11	6	171	143	28	763	Long-Term
AMX	AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES L	18-Sep-09	25-May-11	6	311	283	28	614	Long-Term
SCCO	SOUTHERN COPPER CORPORATION CMN	28-Sep-09	19-Apr-11	6	215	186	29	568	Long-Term
WMWV	WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS	18-Nov-08	9-Feb-11	2	57	25	32	813	Long-Term
BSBY	BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOSITARY SHARES (1 ADS = 4 ORDS)	6-Oct-09	16-Feb-11	3	144	112	32	496	Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	28-Sep-09	13-Oct-11	3	106	73	33	737	Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	28-Sep-09	13-Oct-11	3	106	73	34	745	Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	11-Sep-09	7-Jun-11	1	111	75	36	631	Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	6-Oct-09	7-Jun-11	3	89	52	37	609	Long-Term
WMWV	WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS	22-May-08	14-Feb-11	5	301	264	37	998	Long-Term
SAP	SAP AG (SPON ADR)	2-Jul-10	7-Jun-11	4	186	149	37	340	Short-Term
CAJ	CANON INC ADR CMN	10-May-11	7-Jun-11	19	1,340	1,302	38	28	Short-Term
KDDY	KDDI CORP UNSPONSORED ADR CMN	5-Aug-10	28-Feb-11	28	421	382	39	207	Short-Term
LFRGY	LAFARGE SPONSORED ADR CMN	18-Sep-09	11-Jan-11	6	315	276	39	480	Long-Term
SNN	SMITH & NEPHEW PLC ADR CMN	9-Sep-09	7-Jun-11	1	111	71	40	636	Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	13-Sep-10	10-Feb-11	1	82	42	40	150	Short-Term
LULU	LULULEMON ATHLETICA INC CMN	18-Sep-09	7-Jun-11	20	400	359	42	627	Long-Term
HLPY	HANG LUNG PPTYS LTD SPONSORED ADR CMN	2-Dec-09	15-Sep-11	3	100	55	45	652	Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	6-Oct-09	26-May-11	7	362	317	45	597	Long-Term
AMX	AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES L	8-Oct-09	20-Jul-11	1	127	82	46	650	Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	6-Oct-09	19-Apr-11	10	358	311	47	560	Long-Term
SCCO	SOUTHERN COPPER CORPORATION CMN								

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Separate Account Strategy Thornburg Non-US Equity

Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
CCL	CARNIVAL CORPORATION CMN	28-Sep-09	7-Jun-11	15	552	504	504	49	617 Long-Term
MNGPY	MAN GROUP PLC UNSPONSORED ADR CMN	25-Mar-10	7-Jun-11	147	598	547	547	51	439 Long-Term
HLPY	HANG LUNG PPTYS LTD SPONSORED ADR CMN	28-Sep-09	7-Jun-11	21	420	369	369	52	617 Long-Term
HKCY	HONG KONG EXCHANGES & CLEARING UNSPONSORED ADR CMN	18-Sep-09	7-Jun-11	20	436	383	383	53	627 Long-Term
WMVY	WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS	28-Jan-09	7-Jun-11	3	89	34	34	55	860 Long-Term
SLB	SCHLUMBERGER LTD CMN	25-Jun-09	7-Jun-11	2	167	110	110	56	712 Long-Term
SAP	SAP AG (SPON ADR)	22-May-08	3-Feb-11	10	584	528	528	57	987 Long-Term
RBGPY	RECKITT BENCKISER GROUP PLC SLOUGH UNSPONSORED ADR (UK)	23-Feb-09	7-Jun-11	17	194	137	137	57	834 Long-Term
CCL	CARNIVAL CORPORATION CMN	4-Nov-08	7-Jun-11	5	184	125	125	59	945 Long-Term
BSBY	BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOSITARY SHARES (1 ADS = 4 ORDS)	8-May-09	9-Feb-11	3	147	88	88	59	642 Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	6-Oct-09	7-Jun-11	2	221	161	161	60	609 Long-Term
HNNMY	HENNES & MAURITZ AB ADR CMN	28-Sep-09	7-Jun-11	39	284	223	223	61	617 Long-Term
SCCO	SOUTHERN COPPER CORPORATION CMN	8-Oct-09	19-Apr-11	19	681	619	619	62	558 Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	1-Oct-09	7-Jun-11	2	221	157	157	64	614 Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	2-Dec-09	18-Nov-11	14	319	255	255	65	716 Long-Term
CCL	CARNIVAL CORPORATION CMN	18-Sep-09	7-Jun-11	16	589	525	525	65	627 Long-Term
OGZPY	GAZPROM ADR SPONSORED ADR CMN	28-Sep-09	7-Jun-11	24	354	288	288	66	617 Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	28-Sep-09	7-Jun-11	2	221	155	155	67	617 Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	18-Sep-09	7-Jun-11	2	221	154	154	67	627 Long-Term
RBGPY	RECKITT BENCKISER GROUP PLC SLOUGH UNSPONSORED ADR (UK)	10-Sep-09	7-Jun-11	41	467	400	400	67	635 Long-Term
SNN	SMITH & NEPHEW PLC ADR CMN	28-Sep-09	12-Jan-11	8	426	359	359	68	471 Long-Term
INFY	INFOSYS LTD SPONSORED ADR CMN -	18-Sep-09	15-Mar-11	4	265	197	197	68	543 Long-Term
MNGPY	MAN GROUP PLC UNSPONSORED ADR CMN	18-Mar-10	7-Jun-11	168	684	616	616	68	446 Long-Term
RBGPY	RECKITT BENCKISER GROUP PLC SLOUGH UNSPONSORED ADR (UK)	6-Oct-09	7-Jun-11	47	536	468	468	68	609 Long-Term
WMVY	WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS	6-Oct-09	30-Aug-11	8	208	140	140	68	693 Long-Term
SNN	SMITH & NEPHEW PLC ADR CMN	24-Oct-08	11-Jan-11	5	262	194	194	69	809 Long-Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	13-Mar-09	7-Jun-11	2	146	77	77	69	816 Long-Term
CNQ	CANADIAN NATURAL RESOURCES CMN	28-Sep-09	7-Jun-11	10	408	340	340	69	617 Long-Term
DB	DEUTSCHE BANK AG CMN	21-Jan-11	17-Feb-11	14	913	844	844	69	27 Short-Term
OGZPY	GAZPROM ADR SPONSORED ADR CMN	18-Sep-09	7-Jun-11	26	384	314	314	70	627 Long-Term
POT	POTASH CORP OF SASKATCHEWAN INC	28-Sep-09	7-Jun-11	3	162	92	92	70	617 Long-Term
LFRGY	LAFARGE SPONSORED ADR CMN	13-Sep-10	28-Feb-11	40	601	530	530	71	168 Short-Term
FLUDY	FLSMIDTH & CO A/S SPONSORED ADR CMN	10-Sep-09	7-Jun-11	27	228	156	156	71	635 Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	15-Sep-09	7-Jun-11	2	221	150	150	71	630 Long-Term
SLB	SCHLUMBERGER LTD CMN	15-Sep-09	7-Jun-11	3	250	178	178	71	630 Long-Term
HKCY	HONG KONG EXCHANGES & CLEARING UNSPONSORED ADR CMN	2-Apr-09	7-Jun-11	6	131	59	59	72	796 Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	2-Dec-09	16-Sep-11	5	164	91	91	73	653 Long-Term
ITUB	ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN	28-Sep-09	7-Jun-11	20	453	379	379	74	617 Long-Term
IDCBY	INDUSTRIAL & COMMERCIAL BANK O ADR CMN	20-May-09	7-Jun-11	25	388	312	312	75	748 Long-Term
AMX	AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES L	28-Sep-09	25-May-11	9	466	390	390	76	604 Long-Term
BRGY	BG GROUP PLC SPON ADR ADR CMN	4-Aug-09	7-Jun-11	3	338	262	262	76	672 Long-Term
RBGPY	RECKITT BENCKISER GROUP PLC SLOUGH UNSPONSORED ADR (UK)	28-Sep-09	7-Jun-11	47	536	459	459	77	617 Long-Term
BRGY	BG GROUP PLC SPON ADR ADR CMN	28-Sep-09	7-Jun-11	3	338	260	260	77	617 Long-Term
CNQ	CANADIAN NATURAL RESOURCES CMN	18-Sep-09	7-Jun-11	12	490	413	413	77	627 Long-Term

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Base Currency USD

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
RBGPY	RECKITT BENCKISER GROUP PLC SLOUGH UNSPONSORED ADR (UK)	18-Sep-09	7-Jun-11	47	536	458	78	627	Long-Term
DASTY	DASSAULT SYSTEMES SA SPONSORED ADR CMN	28-Sep-09	8-Nov-11	3	250	170	80	771	Long-Term
SAP	SAP AG (SPON ADR)	16-Oct-08	7-Jun-11	3	185	104	81	964	Long-Term
SNN	SMITH & NEPHEW PLC ADR CMN	6-Oct-09	12-Jan-11	9	479	396	83	463	Long-Term
ITUB	ITAU UNIBANCO BANCO HLDNG S.A SPONSORED ADR CMN	8-Oct-09	7-Jun-11	36	816	730	86	607	Long-Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	28-Sep-09	27-Jul-11	3	237	150	88	667	Long-Term
CNQ	CANADIAN NATURAL RESOURCES CMN	6-Oct-09	7-Jun-11	12	490	402	88	609	Long-Term
SLB	SCHLUMBERGER LTD CMN	28-Sep-09	7-Jun-11	4	333	243	90	617	Long-Term
ITUB	ITAU UNIBANCO BANCO HLDNG S.A SPONSORED ADR CMN	18-Sep-09	7-Jun-11	24	544	453	91	627	Long-Term
KGPHY	KINGFISHER PLC SPONSORED ADR CMN	28-Sep-09	7-Jun-11	37	337	245	91	617	Long-Term
INFY	INFOSYS LTD SPONSORED ADR CMN	8-Oct-09	16-Mar-11	6	389	291	97	524	Long-Term
AMX	AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES L	8-Oct-09	26-May-11	19	982	881	101	595	Long-Term
CNQ	CANADIAN NATURAL RESOURCES CMN	1-Sep-09	3-Feb-11	6	270	167	102	520	Long-Term
PUBGY	PUBLICIS GROUPE SA SPONSORED ADR CMN	20-May-10	7-Jun-11	15	412	309	103	383	Long-Term
ERU	EMBRAER SA ADR CMN	18-Sep-09	7-Jun-11	14	433	328	105	627	Long-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	28-Sep-09	7-Jun-11	5	319	214	105	617	Long-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	28-Sep-09	31-Aug-11	6	367	257	111	702	Long-Term
TSCDY	TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	21-Oct-09	7-Jun-11	160	3,256	3,144	112	594	Long-Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	28-Sep-09	7-Jun-11	5	365	250	115	617	Long-Term
IDCBY	INDUSTRIAL & COMMERCIAL BANK O ADR CMN	8-Apr-09	7-Jun-11	25	388	273	115	790	Long-Term
SAP	SAP AG (SPON ADR)	18-Sep-09	7-Jun-11	10	617	502	115	627	Long-Term
HNNMY	HENNES & MAURITZ AB ADR CMN	8-Oct-09	7-Jun-11	5	270	153	117	607	Long-Term
POT	POTASH CORP OF SASKATCHEWAN INC	18-Sep-09	7-Jun-11	4	342	224	118	627	Long-Term
DASTY	DASSAULT SYSTEMES SA SPONSORED ADR CMN	18-Sep-09	7-Jun-11	6	675	557	118	627	Long-Term
BRGY	BG GROUP PLC SPON ADR CMN	17-Dec-08	7-Jun-11	33	376	258	119	902	Long-Term
RBGPY	RECKITT BENCKISER GROUP PLC SLOUGH UNSPONSORED ADR (UK)	8-Oct-08	7-Jun-11	3	256	135	122	972	Long-Term
DASTY	DASSAULT SYSTEMES SA SPONSORED ADR CMN	9-Dec-08	7-Jun-11	3	250	128	122	910	Long-Term
SLB	SCHLUMBERGER LTD CMN	6-Oct-09	27-Jul-11	7	264	141	123	659	Long-Term
LVMUY	LVMH MOET HENNESSY LOUIS VUITTON S.A. ADR CMN	15-Dec-08	7-Jun-11	3	250	127	123	904	Long-Term
SLB	SCHLUMBERGER LTD CMN	6-Oct-09	14-Oct-11	11	393	269	124	738	Long-Term
SBMRY	SABMiller PLC SPONSORED ADR	28-Sep-09	15-Mar-11	7	463	338	125	533	Long-Term
INFY	INFOSYS LTD SPONSORED ADR CMN	20-Aug-09	3-Feb-11	8	360	234	126	532	Long-Term
CNQ	CANADIAN NATURAL RESOURCES CMN	13-Mar-09	17-May-11	4	281	154	127	795	Long-Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	28-Sep-09	7-Jun-11	12	306	177	128	617	Long-Term
FANUY	FANUC CORP UNSPONSORED ADR CMN	13-Jul-09	7-Jun-11	4	450	322	128	694	Long-Term
BRGY	BG GROUP PLC SPON ADR CMN	15-Sep-08	7-Jun-11	50	364	234	130	995	Long-Term
HNNMY	HENNES & MAURITZ AB ADR CMN	13-Oct-09	7-Jun-11	21	833	701	132	602	Long-Term
CMHYY	CHINA MERCHANTS HOLDINGS (INTE UNSPONSORED ADR CMN	28-Sep-09	10-Aug-11	7	478	346	132	681	Long-Term
CNI	CANADIAN NATIONAL RAILWAY CO CMN	6-Oct-09	7-Jun-11	45	380	247	133	609	Long-Term
FLDY	FLSMIDTH & CO A/S SPONSORED ADR CMN	16-Jun-10	7-Jun-11	22	605	472	133	356	Short-Term
PUBGY	PUBLICIS GROUPE SA SPONSORED ADR CMN	7-Jan-09	7-Jun-11	9	347	213	134	881	Long-Term
BNPQY	BNP PARIBAS SPONSORED ADR CMN	8-Oct-09	12-Jan-11	14	746	611	135	461	Long-Term
SNN	SMITH & NEPHEW PLC ADR CMN	19-Aug-08	7-Jun-11	27	540	404	136	1,022	Long-Term
HLPPY	HANG LUNG PPTY LTD SPONSORED ADR CMN								

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Base Currency USD

Realized Gains/Losses									
Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
NVS	NOVARTIS AG-ADR SPONSORED ADR CMN	28-Sep-09	7-Jun-11	10	632	494	137	137	617 Long-Term
HNNMY	HENNES & MAURITZ AB ADR CMN	28-Jul-08	7-Jun-11	70	510	370	140	140	1,044 Long-Term
SI	SIEMENS AKTIENGESellschaft SPONSORED ADR CMN	11-Jan-11	7-Jun-11	10	1,324	1,184	141	141	147 Short-Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	22-Apr-09	7-Jun-11	4	292	151	141	141	776 Long-Term
SAP	SAP AG (SPON ADR)	10-Oct-08	7-Jun-11	5	309	167	141	141	970 Long-Term
BSYBY	BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOSITARY SHARES (1 ADS = 4 ORDS)	6-Oct-09	15-Feb-11	12	580	438	142	142	497 Long-Term
FLUDY	FLSMITH & CO A/S SPONSORED ADR CMN	28-Sep-09	7-Jun-11	57	481	339	142	142	617 Long-Term
KGPHY	KINGFISHER PLC SPONSORED ADR CMN	18-Sep-09	7-Jun-11	65	591	448	144	144	627 Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	8-Oct-09	7-Jun-11	5	553	408	145	145	607 Long-Term
MNGPY	MAN GROUP PLC UNSPONSORED ADR CMN	18-Mar-10	3-Feb-11	129	618	473	145	145	322 Short-Term
LULU	LULULEMON ATHLETICA INC CMN	30-Sep-10	3-May-11	3	280	132	148	148	215 Short-Term
NVS	NOVARTIS AG-ADR SPONSORED ADR CMN	12-Jun-09	7-Jun-11	7	442	292	150	150	725 Long-Term
FLUDY	FLSMITH & CO A/S SPONSORED ADR CMN	18-Sep-09	7-Jun-11	59	498	348	150	150	627 Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	28-Sep-09	7-Jun-11	12	442	292	150	150	617 Long-Term
SAP	SAP AG (SPON ADR)	6-Oct-09	7-Jun-11	12	741	588	153	153	609 Long-Term
LULU	LULULEMON ATHLETICA INC CMN	22-Sep-10	3-May-11	3	280	127	153	153	223 Short-Term
SAP	SAP AG (SPON ADR)	28-Sep-09	7-Jun-11	12	741	587	154	154	617 Long-Term
TKGBY	TURKIYE GARANTI BANKASI AS GDS CMN	4-Feb-10	7-Jun-11	439	2,059	1,903	156	156	488 Long-Term
SI	SIEMENS AKTIENGESellschaft SPONSORED ADR CMN	10-Jan-11	7-Jun-11	9	1,192	1,035	157	157	148 Short-Term
NVS	NOVARTIS AG-ADR SPONSORED ADR CMN	18-Sep-09	7-Jun-11	11	695	538	157	157	627 Long-Term
SLB	SCHLUMBERGER LTD CMN	28-Jan-09	7-Jun-11	4	333	176	157	157	860 Long-Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	14-May-09	7-Jun-11	5	365	207	158	158	754 Long-Term
INFY	INFOSYS LTD SPONSORED ADR CMN -	8-Oct-09	15-Mar-11	9	596	437	159	159	523 Long-Term
BSYBY	BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOSITARY SHARES (1 ADS = 4 ORDS)	28-Sep-09	9-Feb-11	13	636	477	159	159	499 Long-Term
BSYBY	BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOSITARY SHARES (1 ADS = 4 ORDS)	26-Apr-10	16-Feb-11	16	770	611	159	159	296 Short-Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	11-Sep-09	7-Jun-11	6	438	278	159	159	634 Long-Term
SCCO	SOUTHERN COPPER CORPORATION CMN	28-Jan-10	19-Apr-11	20	717	557	160	160	446 Long-Term
WMVY	WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS	23-Jan-09	9-Feb-11	9	259	97	161	161	747 Long-Term
BSYBY	BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOSITARY SHARES (1 ADS = 4 ORDS)	18-Sep-09	9-Feb-11	12	587	425	162	162	509 Long-Term
LULU	LULULEMON ATHLETICA INC CMN	22-Sep-10	2-May-11	3	290	127	162	162	222 Short-Term
FANUY	FANUC CORP UNSPONSORED ADR CMN	28-Sep-09	17-Aug-11	12	341	177	163	163	688 Long-Term
ARMH	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	8-Oct-09	11-Oct-11	8	221	57	164	164	733 Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	18-Sep-09	7-Jun-11	14	516	350	166	166	627 Long-Term
INFY	INFOSYS LTD SPONSORED ADR CMN -	6-Oct-09	15-Mar-11	10	662	494	168	168	525 Long-Term
LVUUY	LVMH MOET HENNESSY LOUIS VUITTON S A ADR CMN	6-Oct-09	7-Jun-11	12	413	242	171	171	609 Long-Term
SLB	SCHLUMBERGER LTD CMN	30-Apr-09	7-Jun-11	5	417	244	172	172	768 Long-Term
SAP	SAP AG (SPON ADR)	7-Oct-08	18-Apr-11	7	443	262	181	181	923 Long-Term
RBGPY	RECKITT BENCKISER GROUP PLC SLOUGH UNSPONSORED ADR (UK)	13-Oct-08	7-Jun-11	68	775	591	184	184	967 Long-Term
WMVY	WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS	28-Jan-09	10-Feb-11	11	308	124	184	184	743 Long-Term
SAP	SAP AG (SPON ADR)	8-Oct-09	7-Jun-11	17	1,050	861	188	188	607 Long-Term
BRGY	BG GROUP PLC SPON ADR CMN	2-Jul-09	7-Jun-11	7	788	599	189	189	705 Long-Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	13-Mar-09	16-May-11	6	426	232	194	194	794 Long-Term
EDU	NEW ORIENTAL ED & TECH GRP SPONSORED ADR CMN	2-Dec-09	13-Sep-11	14	451	255	196	196	650 Long-Term
HXCY	HONG KONG EXCHANGES & CLEARING UNSPONSORED ADR CMN	19-Mar-09	7-Jun-11	15	327	130	197	197	810 Long-Term

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From: 01-Jan-2011 To: 31-Dec-2011

Separate Account Strategy Thornburg Non-US Equity

Base Currency: USD

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
CEO	CNOOC LIMITED SPONSORED ADR CMN	6-Oct-09	7-Jun-11	2	480	282	198	609	Long-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	22-May-08	7-Jun-11	52	2,581	2,381	200	1,111	Long-Term
CNI	CANADIAN NATIONAL RAILWAY CO CMN	18-Sep-09	7-Jun-11	8	613	409	205	627	Long-Term
KMTUY	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	28-Sep-09	7-Jun-11	20	591	385	206	617	Long-Term
CMHHY	CHINA MERCHANTS HOLDINGS (INTE UNSPONSORED ADR CMN	12-Oct-09	7-Jun-11	30	1,189	977	213	603	Long-Term
LULU	LULULEMON ATHLETICA INC CMN	13-Sep-10	2-May-11	4	386	169	217	231	Short-Term
POT	POTASH CORP OF SASKATCHEWAN INC	6-Oct-09	7-Jun-11	9	487	268	218	609	Long-Term
BSVBY	BRITISH SKY BROADCASTING GROUP PLC AMERICAN DEPOSITORY SHARES (1 ADS = 4 ORDS)	8-Oct-09	15-Feb-11	20	966	746	221	495	Long-Term
ERJ	EMBRAER SA ADR CMN	4-Mar-09	7-Jun-11	11	340	114	226	825	Long-Term
SLB	SCHLUMBERGER LTD CMN	18-Sep-09	7-Jun-11	11	916	688	229	627	Long-Term
KMTUY	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	18-Sep-09	7-Jun-11	24	710	468	241	627	Long-Term
NVO	NOVO-NORDISK A/S ADR CMN	22-May-08	7-Jun-11	4	507	265	242	1,111	Long-Term
SBMRY	SABMiller PLC SPONSORED ADR	22-May-08	7-Jun-11	21	773	530	243	1,111	Long-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	18-Sep-09	7-Jun-11	12	765	516	249	627	Long-Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	18-Sep-09	7-Jun-11	11	802	549	253	627	Long-Term
LULU	LULULEMON ATHLETICA INC CMN	10-Sep-10	7-Jun-11	6	494	240	253	153	Short-Term
NVO	NOVO-NORDISK A/S ADR CMN	6-Oct-09	7-Jun-11	4	507	252	255	609	Long-Term
NVS	NOVARTIS AG-ADR SPONSORED ADR CMN	22-May-08	7-Jun-11	25	1,579	1,323	256	1,111	Long-Term
WMVWY	WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS	18-Sep-09	7-Jun-11	24	713	450	263	627	Long-Term
PUBGY	PUBLICIS GROUPE SA SPONSORED ADR CMN	25-May-10	7-Jun-11	34	935	660	275	378	Long-Term
WMVWY	WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS	20-Feb-09	7-Jun-11	14	416	139	276	837	Long-Term
LULU	LULULEMON ATHLETICA INC CMN	13-Sep-10	20-Apr-11	5	500	211	289	219	Short-Term
DASTY	DASSAULT SYSTEMES SA SPONSORED ADR CMN	22-May-08	7-Jun-11	13	1,111	821	290	1,111	Long-Term
KMTUY	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	21-Oct-08	7-Jun-11	16	473	180	293	959	Long-Term
HNNMY	HENNES & MAURITZ AB ADR CMN	10-Jun-08	7-Jun-11	174	1,267	963	303	1,092	Long-Term
FMS	FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN	8-May-09	7-Jun-11	9	656	353	304	760	Long-Term
KMTUY	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	11-May-09	7-Jun-11	20	591	283	309	757	Long-Term
WMVWY	WAL-MART DE MEXICO SAB DE CV SPONSORED ADR REPSTG SER V SHS	28-Sep-09	7-Jun-11	26	772	460	312	617	Long-Term
FANUY	FANUC CORP UNSPONSORED ADR CMN	27-Jul-09	7-Jun-11	27	687	375	313	680	Long-Term
NVO	NOVO-NORDISK A/S ADR CMN	22-May-08	16-Mar-11	6	721	398	323	1,028	Long-Term
FANUY	FANUC CORP UNSPONSORED ADR CMN	18-Sep-09	7-Jun-11	30	764	440	324	627	Long-Term
FANUY	FANUC CORP UNSPONSORED ADR CMN	17-Dec-08	7-Jun-11	24	611	273	338	902	Long-Term
ARMH	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	8-Oct-09	7-Jun-11	23	536	164	372	455	Long-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	22-May-08	7-Jun-11	27	1,721	1,334	388	1,111	Long-Term
LVMLUY	LVHM MOET HENNESSY LOUIS VUITTON S.A. ADR CMN	31-Mar-09	7-Jun-11	18	619	223	396	798	Long-Term
ARMH	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	8-Oct-09	13-Jan-11	21	567	150	417	462	Long-Term
LVMLUY	LVHM MOET HENNESSY LOUIS VUITTON S.A. ADR CMN	16-Jan-09	7-Jun-11	19	654	210	444	872	Long-Term
LVMLUY	LVHM MOET HENNESSY LOUIS VUITTON S.A. ADR CMN	18-Sep-09	7-Jun-11	32	1,101	655	445	627	Long-Term
LVMLUY	LVHM MOET HENNESSY LOUIS VUITTON S.A. ADR CMN	28-Sep-09	7-Jun-11	34	1,170	693	477	617	Long-Term
NVO	NOVO-NORDISK A/S ADR CMN	18-Sep-09	7-Jun-11	8	1,014	518	496	627	Long-Term
CAJ	CANON INC ADR CMN	30-Jun-10	7-Jun-11	54	2,515	2,018	497	342	Short-Term
TCEHY	TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN	23-Apr-10	7-Jun-11	73	2,031	1,514	517	410	Long-Term
NVO	NOVO-NORDISK A/S ADR CMN	28-Sep-09	7-Jun-11	9	1,141	576	565	617	Long-Term
POT	POTASH CORP OF SASKATCHEWAN INC	7-Oct-09	7-Jun-11	24	1,298	716	582	608	Long-Term

Kronhill Pletka Fdn Thornburg Non-US Eq 028-17087-6

From 01-Jan-2011 To 31-Dec-2011

Separate Account Strategy Thornburg Non-US Equity

Base Currency USD

Realized Gains/Losses		Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
Symbol	Description								
CNI	CANADIAN NATIONAL RAILWAY CO CMN	22-May-08	7-Jun-11	32	2,454	1,814	640	1,111	Long-Term
HKCY	HONG KONG EXCHANGES & CLEARING UNSPONSORED ADR CMN	13-Mar-09	7-Jun-11	48	1,047	381	666	816	Long-Term
VLKP	VOLKSWAGEN AG, WOLFSBURG SPONSORED ADR PFD USD0 5829	2-Aug-10	7-Jun-11	48	1,725	1,043	682	309	Short-Term
KGFHY	KINGFISHER PLC SPONSORED ADR CMN	22-May-08	7-Jun-11	215	1,956	1,161	795	1,111	Long-Term
ARMH	ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	8-Oct-09	7-Jun-11	45	1,285	321	964	607	Long-Term
CEO	CNOOC LIMITED SPONSORED ADR CMN	5-Oct-09	7-Jun-11	10	2,400	1,347	1,053	610	Long-Term
TOTAL					171,230	150,963	20,267		
					Short-Term	29,976	30,649	(673)	
					Long-Term	141,254	120,314	20,940	
						171,230	150,963	20,267	

Kronhill Pletka Fnd Invesco. Non-US Eq 028-28499-0

From 01-Jan-2011 To 31-Dec-2011

Separate Account Strategy Invesco Non-US Equity

Base Currency USD

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
IPRPY	INTERNATIONAL POWER PLC SPONSORED ADR CMN	11-Nov-10	22-Jun-11	18	907	1,250	(342)	223 Short-Term	
IPRPY	INTERNATIONAL POWER PLC SPONSORED ADR CMN	11-Nov-10	16-Aug-11	12	580	833	(253)	278 Short-Term	
IDCBY	INDUSTRIAL & COMMERCIAL BANK O ADR CMN	11-Nov-10	7-Oct-11	25	251	443	(192)	330 Short-Term	
BHP	BHP BILLITON LTD SPONSORED ADR CMN	11-Nov-10	5-Oct-11	8	545	714	(169)	328 Short-Term	
BAMXY	BAYERISCHE MOTOREN WERKE AKTIE ADR CMN	8-Feb-11	29-Dec-11	26	569	724	(154)	324 Short-Term	
CMXHY	CSL LIMITED UNSPONSORED ADR CMN	11-Nov-10	24-Oct-11	66	1,029	1,165	(136)	347 Short-Term	
PHI	PHILIPPINE LONG DISTANCE TEL SPON ADR	11-Nov-10	28-Mar-11	14	654	783	(129)	137 Short-Term	
CMXHY	CSL LIMITED UNSPONSORED ADR CMN	8-Feb-11	30-Dec-11	44	712	836	(124)	325 Short-Term	
VOLVY	VOLVO AKTIEBOLAGET ADR B ADR CMN CLASS B	15-Dec-10	20-Dec-11	21	217	341	(124)	370 Long-Term	
AMX	AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES I	11-Nov-10	7-Jun-11	14	686	806	(120)	208 Short-Term	
SHCAY	SHARP CORP (ADR) ADR CMN	11-Nov-10	16-Jun-11	83	725	842	(116)	217 Short-Term	
PHI	PHILIPPINE LONG DISTANCE TEL SPON ADR	8-Feb-11	29-Mar-11	12	564	674	(110)	49 Short-Term	
CPYY	CENTRICA PLC SPONSORED ADR CMN	11-Nov-10	23-Sep-11	26	456	566	(110)	316 Short-Term	
NJ	NIDEC CORP SPONSORED ADR	11-Nov-10	3-Jun-11	40	880	987	(107)	204 Short-Term	
PHI	PHILIPPINE LONG DISTANCE TEL SPON ADR	11-Nov-10	29-Mar-11	11	517	615	(98)	138 Short-Term	
ECA	ENCANA CORPORATION CMN	11-Nov-10	8-Aug-11	16	388	476	(88)	270 Short-Term	
SHCAY	SHARP CORP (ADR) ADR CMN	8-Feb-11	16-Jun-11	40	350	432	(83)	128 Short-Term	
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	8-Feb-11	4-Nov-11	7	451	529	(78)	269 Short-Term	
TM	TOYOTA MOTOR CORPORATION SPON ADR	11-Nov-10	14-Dec-11	7	456	526	(70)	398 Long-Term	
VOLVY	VOLVO AKTIEBOLAGET ADR B ADR CMN CLASS B	15-Dec-10	21-Dec-11	11	115	179	(64)	371 Long-Term	
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	11-Nov-10	4-Nov-11	6	386	450	(64)	358 Short-Term	
PBR	PETROLEO BRASILEIRO S.A. - PET SPONSORED ADR CMN	11-Nov-10	11-Jul-11	25	819	877	(58)	242 Short-Term	
ECA	ENCANA CORPORATION CMN	8-Feb-11	8-Aug-11	8	194	251	(57)	181 Short-Term	
PBR	PETROLEO BRASILEIRO S.A. - PET SPONSORED ADR CMN	8-Feb-11	11-Jul-11	12	393	447	(54)	153 Short-Term	
VOLVY	VOLVO AKTIEBOLAGET ADR B ADR CMN CLASS B	8-Feb-11	21-Dec-11	8	84	135	(51)	316 Short-Term	
NJ	NIDEC CORP SPONSORED ADR	11-Nov-10	12-Apr-11	13	270	321	(51)	152 Short-Term	
KPNY	KONINKLUKE KPN N.V. SPONSORED ADR CMN	8-Feb-11	12-May-11	34	508	549	(41)	93 Short-Term	
CMXHY	CSL LIMITED UNSPONSORED ADR CMN	11-Nov-10	1-Apr-11	19	488	526	(38)	52 Short-Term	
TLM	TALISMAN ENERGY INC CMN	8-Feb-11	30-Dec-11	26	421	459	(38)	414 Long-Term	
INFY	INFOSYS LTD SPONSORED ADR CMN -	11-Nov-10	22-Jul-11	15	311	345	(35)	164 Short-Term	
KPNY	KONINKLUKE KPN N.V. SPONSORED ADR CMN	11-Nov-10	13-Jul-11	5	308	338	(30)	244 Short-Term	
NJ	NIDEC CORP SPONSORED ADR	11-Nov-10	12-May-11	22	329	355	(26)	182 Short-Term	
KPNY	KONINKLUKE KPN N.V. SPONSORED ADR CMN	11-Nov-10	11-Apr-11	6	124	148	(24)	151 Short-Term	
BAMXY	BAYERISCHE MOTOREN WERKE AKTIE ADR CMN	11-Nov-10	28-Apr-11	47	737	758	(20)	168 Short-Term	
TSCDY	TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	11-Nov-10	29-Dec-11	6	131	148	(16)	413 Long-Term	
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	11-Nov-10	21-Apr-11	25	488	503	(14)	161 Short-Term	
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	11-Nov-10	21-Jan-11	8	439	452	(13)	71 Short-Term	
TMICY	TREND MICRO INCORPORATED SPONSORED ADR CMN	8-Feb-11	3-Nov-11	1	65	75	(10)	357 Short-Term	
			6-Jul-11	10	315	319	(4)	148 Short-Term	

Kronhill Pietka Fnd Invesco Non-US Eq Q28-28499-0

From 01-Jan-2011 To 31-Dec-2011

Separate Account Strategy Invesco Non-US Equity

Base Currency USD

Realized Gains/Losses									
Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
TV	GRUPO TELEvisa, S A GDS REP 5 CPO'S REP 1 L SHARE, 1 A SHARE AND 1 D SHARE	11-Nov-10	11-Apr-11	19	438	440	(2)	151	Short-Term
NVS	NOVARTIS AG-ADR SPONSORED ADR CMN	11-Nov-10	20-Dec-11	10	563	564	(1)	404	Long-Term
VOD	VODAFONE GROUP PLC SPONSORED ADR CMN	11-Nov-10	5-May-11	29	809	807	2	175	Short-Term
KPELY	KEPPEL CORP LTD (ADR) SPONSORED ADR CMN	11-Nov-10	12-Apr-11	2	40	34	6	152	Short-Term
FANUY	FANUC CORP UNPONSORED ADR CMN	11-Nov-10	8-Aug-11	2	56	50	6	270	Short-Term
BAYRY	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	11-Nov-10	24-Jun-11	6	461	450	11	225	Short-Term
HUWHY	HUTCHISON WHAMPOA (ADR) ADR CMN	11-Nov-10	7-Jul-11	0.5	11	-	11	238	Short-Term
KPELY	KEPPEL CORP LTD (ADR) SPONSORED ADR CMN	16-May-11	16-May-11	0.7	12	-	12	0	Short-Term
ITYBY	POSTNL N V SPONSORED ADR CMN	11-Nov-10	1-Apr-11	39	1,001	986	15	141	Short-Term
TEVA	IMPERIAL TOBACCO GROUP PLC SPON ADR	11-Nov-10	2-Aug-11	6	405	388	17	264	Short-Term
NVO	TEVA PHARMACEUTICAL IND LTD ADS	11-Nov-10	8-Feb-11	14	720	702	18	89	Short-Term
FANUY	NOVO-NORDISK A/S ADR ADR CMN	11-Nov-10	5-Aug-11	4	434	416	18	267	Short-Term
TMICY	FANUC CORP UNPONSORED ADR CMN	11-Nov-10	9-Aug-11	7	198	175	24	271	Short-Term
RHHBY	TREND MICRO INCORPORATED SPONSORED ADR CMN	11-Nov-10	6-Jul-11	21	662	635	27	237	Short-Term
TLM	ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184	11-Nov-10	2-Feb-11	16	613	586	27	83	Short-Term
TSM	TALISMAN ENERGY INC CMN	11-Nov-10	22-Jul-11	32	663	632	31	253	Short-Term
BAMXY	TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS SORDS	11-Nov-10	15-Jul-11	33	403	367	35	246	Short-Term
NSRGY	BAYERISCHE MOTOREN WERKE AKTIE ADR CMN	11-Nov-10	3-Nov-11	16	448	394	54	357	Short-Term
KPELY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	11-Nov-10	1-Sep-11	9	565	509	56	294	Short-Term
KMTUY	KEPPEL CORP LTD (ADR) SPONSORED ADR CMN	11-Nov-10	13-Apr-11	19	379	321	58	153	Short-Term
BAMXY	KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	11-Nov-10	7-Jul-11	18	569	488	81	238	Short-Term
NVO	BAYERISCHE MOTOREN WERKE AKTIE ADR CMN	11-Nov-10	3-Aug-11	13	407	320	87	265	Short-Term
SHPGY	SHIRE LIMITED SPONSORED ADR CMN	11-Nov-10	28-Feb-11	4	505	416	89	109	Short-Term
CNI	NOVO-NORDISK A/S ADR ADR CMN	11-Nov-10	16-May-11	5	470	364	106	186	Short-Term
SHPGY	SHIRE LIMITED SPONSORED ADR CMN	11-Nov-10	7-Dec-11	9	696	583	113	391	Long-Term
BAMXY	CANADIAN NATIONAL RAILWAY CO. CMN	11-Nov-10	20-Apr-11	6	555	437	118	160	Short-Term
BHP	BAYERISCHE MOTOREN WERKE AKTIE ADR CMN	11-Nov-10	28-Apr-11	19	591	468	124	168	Short-Term
SHPGY	BHP BILLITON LTD SPONSORED ADR CMN	11-Nov-10	28-Apr-11	13	1,310	1,159	151	168	Short-Term
SHPGY	SHIRE LIMITED SPONSORED ADR CMN	11-Nov-10	7-Jul-11	7	661	509	151	238	Short-Term
FANUY	SHIRE LIMITED SPONSORED ADR CMN	11-Nov-10	8-Sep-11	7	677	509	167	301	Short-Term
BBD	FANUC CORP UNPONSORED ADR CMN	11-Nov-10	27-Jul-11	32	1,002	799	202	258	Short-Term
RDSA	BANCO BRADESCO S A ADR CMN	18-Feb-11	18-Feb-11	0	8	-	8	Long-Term	
TOTAL	POSTNL N V SPONSORED ADR CMN	18-Mar-11	18-Mar-11	0	24	-	24	Long-Term	
	ROYAL DUTCH SHELL PLC SPONSORED ADR CMN SERIES A	25-Mar-11	25-Mar-11	0	24	-	24	Long-Term	
					34,241	35,683	(1,441)		
	Short-Term				31,587	32,884	(1,297)		
	Long-Term				2,654	2,798	(144)		
					34,241	35,683	(1,441)		

Account	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)	View	All	Period	Previous Year	Symbol	Shares or Exec Value	Description	Purchase Date	Sale Date	Cost	Proceeds	Total Gain/Loss	Short Term Gain/Loss	Long Term Gain/Loss	Account
0						ABV		COMPANHIA DE BEBIDAS-PR ADR	3/7/2011	6/13/2011			3	3	3	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
								Mar 02, 2011 @								
								COMP DE BEB AMBEV SP ADR								
								MUTUAL FUNDS								
0						ABV		COMPANHIA DE BEBIDAS-PR ADR	3/29/2011	6/13/2011		1	1	1		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
								Mar 29, 2011 @								
								COMP DE BEB AMBEV SP ADR								
								MUTUAL FUNDS								
0						CIOXY		CIELO SA SPONSORED ADR (BRAZIL)	10/26/2010	5/17/2011		3	3	0		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
								SEE CUSIP 171778202								
								CIELO S A SPONSORED ADR								
								CASH IN LIEU FRACTIONAL SHARE								
								FULL SHARE PRICE \$8.14								
0						CIOXY		CIELO S A ADR	10/26/2010	5/31/2011		14	16	2		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
								CIELO S A SPONSORED ADR NEW								
								CASH IN LIEU OF FRACTIONS								
0						MMRTY		MASSMART HOLDINGS LTD UNSPONSORE	7/22/2010	7/26/2011		339	400	61		61 THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
								D ADR SEE CUSIP 576290209								
								Jul 22, 2010 @								
								TAXABLE EXCHANGE								
0						MMRTY		MASSMART HOLDINGS LTD UNSPONSORE	10/25/2010	7/26/2011		487	480	(7)		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
								D ADR SEE CUSIP 576290209								
								Oct 25, 2010 @								
								TAXABLE EXCHANGE								
0						MMRTY		MASSMART HOLDINGS LTD UNSPONSORE	9/23/2010	7/26/2011		344	360	16		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
								D ADR SEE CUSIP 576290209								
								Sep 23, 2010 @								
								TAXABLE EXCHANGE								
0						MMRTY		MASSMART HOLDINGS LTD UNSPONSORE	8/19/2010	7/26/2011		369	480	111		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
								D ADR SEE CUSIP 576290209								
								Aug 19, 2010 @								
								TAXABLE EXCHANGE								
0						MMRTY		MASSMART HOLDINGS LTD UNSPONSORE	6/28/2010	7/26/2011		289	360	71		71 THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
								D ADR SEE CUSIP 576290209								
								Jun 28, 2010 @								
								TAXABLE EXCHANGE								
0						MMRTY		MASSMART HLDGS LTD ADR	7/26/2011	7/26/2011		30	36	6		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
								MASSMART HLDGS LTD UNSP ADR								
								CASH IN LIEU OF FRACTIONS								
0						PPEY		PT BK MANDIRI PERSERO TBK UNSP	6/28/2010	3/24/2011			2	2		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
								Jun 28, 2010 @								
								PT BK MANDIRI PERSERO TBK UNSP								
								MUTUAL FUNDS								
0						PPEY		PT BK MANDIRI PERSERO TBK UNSP	7/22/2010	3/24/2011			9	9		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
								Jul 22, 2010 @								
								PT BK MANDIRI PERSERO TBK UNSP								
								MUTUAL FUNDS								
0						PPEY		PT BK MANDIRI PERSERO TBK UNSP	8/19/2010	3/24/2011			2	2		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
								Aug 19, 2010 @								
								PT BK MANDIRI PERSERO TBK UNSP								
								MUTUAL FUNDS								
0						PPEY		PT BK MANDIRI PERSERO TBK UNSP	10/25/2010	3/24/2011			8	8		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
								Oct 25, 2010 @								
								PT BK MANDIRI PERSERO TBK UNSP								
								MUTUAL FUNDS								
0						PPEY		PT BK MANDIRI PERSERO TBK UNSP	12/23/2010	3/24/2011			15	15		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
								Dec 23, 2010 @								
								PT BK MANDIRI PERSERO TBK UNSP								
								MUTUAL FUNDS								

Account	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)	View All	Period Shares or Face Value	Symbol PPERY	Description	Purchase Date	Sale Date	Cost	Proceeds	Total Gain/Loss	Short Term Gain/Loss	Long Term Gain/Loss	Account
0					PT BK MANDIRI PERSERO TBK UNSP	12/27/2010	3/24/2011			0	0	0	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					Dec 27, 2010 @								
					PT BK MANDIRI PERSERO TBK UNSP								
0				PPERY	MUTUAL FUNDS								
					PT BK MANDIRI PERSERO TBK UNSP	3/17/2011	3/24/2011			3	3		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					Mar 17, 2011 @								
0				PUTKY	MUTUAL FUNDS								
					PT BK MANDIRI PERSERO TBK UNSP								
					PT UNITED TRACTORS-UNSPON ADR	7/12/2010	6/16/2011			7	7		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					(INDONESIA)								
					Jul 22, 2010 @								
					PT UNITED TRACTORS ADR								
0				PUTKY	MUTUAL FUNDS								
					PT UNITED TRACTORS-UNSPON ADR	8/19/2010	6/16/2011			64	64		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					(INDONESIA)								
					Aug 19, 2010 @								
					PT UNITED TRACTORS ADR								
0				PUTKY	MUTUAL FUNDS								
					PT UNITED TRACTORS-UNSPON ADR	9/23/2010	6/16/2011			67	67		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					(INDONESIA)								
					Sep 23, 2010 @								
					PT UNITED TRACTORS ADR								
0				PUTKY	MUTUAL FUNDS								
					PT UNITED TRACTORS-UNSPON ADR	10/25/2010	6/16/2011			41	41		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					(INDONESIA)								
					Oct 25, 2010 @								
					PT UNITED TRACTORS ADR								
0				PUTKY	MUTUAL FUNDS								
					PT UNITED TRACTORS-UNSPON ADR	3/2/2011	6/16/2011			4	4		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					(INDONESIA)								
					Mar 02, 2011 @								
					PT UNITED TRACTORS ADR								
1				FMX	MUTUAL FUNDS								
					FONTO ECONOMICOMEXICANO SAB	8/19/2010	4/27/2011		49	63	14		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
1				FMX	DE CV ADR	9/23/2010	6/8/2011		51	63	12		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					FONTO ECONOMICOMEXICANO SAB								
1				LUXOY	DE CV ADR	3/2/2011	3/29/2011		71	70	(1)		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					RUBO 25								
2				CIOXY	CIELO S A ADR	10/26/2010	8/31/2011		43	51	8		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					LOT METHOD FIRST IN FIRST OUT								
2				CIOXY	CIELO S A ADR	10/25/2010	8/30/2011		60	51	(9)		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					LOT METHOD FIRST IN FIRST OUT								
2				KCDMY	KIMBERLY CLARK DE MEXICO S A B	6/28/2010	3/2/2011		60	58	(2)		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					DE CV								
2				LUXOY	LUXOL (OAO) ADR EACH REPR 4 ORD	8/19/2010	1/27/2011		108	126	19		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					RUBO 25								
2				NTES	NETEASE COM INC COM STK	7/22/2010	6/3/2011		73	92	19		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					LOT METHOD FIRST IN FIRST OUT								
2				PSGTY	PT SEMEN GRESIK PERSERO	6/28/2010	7/6/2011		95	114	19		19 THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					UNSPONSORED ADR (INDONESIA)								
3				BMA	BANCO MACRO S A ADR	6/28/2010	3/2/2011		91	122	31		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					LOT METHOD FIRST IN FIRST OUT								
3				KROY	KUMBA IRON ADR	7/22/2010	5/26/2011		153	194	41		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					LOT METHOD FIRST IN FIRST OUT								
3				KROY	KUMBA IRON ADR	8/19/2010	8/29/2011		138	195	57		57 THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					LOT METHOD FIRST IN FIRST OUT								
3				SLLDY	SANLAM LTD ADR	7/22/2010	1/4/2011		51	62	11		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					LOT METHOD FIRST IN FIRST OUT								
4				CIOXY	CIELO S A ADR	10/26/2010	8/31/2011		86	102	16		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					LOT METHOD FIRST IN FIRST OUT								
4				NTES	NETEASE COM INC COM STK	6/28/2010	3/28/2011		126	194	68		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
					LOT METHOD FIRST IN FIRST OUT								
4				OFLMY	ORIFLAME COSMETICS SA ADR	6/28/2010	3/2/2011		109	117	8		THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)

Account	View	Period	Shares or Face Value	Symbol	Previous Year	Destination	Purchase Date	Sale Date	Cost	Progress	Total Gain/Loss	Short-Term Gain/Loss	Long-Term Gain/Loss	Account
THE KRONHILL PLETKA FOUNDATION	All													
"LAZARD" (690015176)														
4	4			PUTKY		LOT METHOD FIRST IN FIRST OUT PT UNITED TRACTORS-UNSPON ADR (INDONESIA)	7/22/2010	8/4/2011	175	239	64	-	64	64 THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
5	5			FMAX		FOMENTO ECONOMICO MEXICANO SAB DE CV ADR	8/19/2010	3/28/2011	247	293	46	-	46	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
5	5			KIROY		KUMBA IRON ADR	10/25/2010	9/29/2011	292	281	(11)	-	(11)	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
5	5			ORSCY		LOT METHOD FIRST IN FIRST OUT ORASCOM CONSTR INDS S A E ADR	12/30/2010	3/2/2011	248	170	(79)	-	(79)	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
6	6			LUXOY		LOT METHOD FIRST IN FIRST OUT LUXOIL (QAO) ADR EACH REPR 4 ORD	8/19/2010	3/29/2011	323	421	98	-	98	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
6	6			LUXOY		RUBO 25 LUXOIL (QAO) ADR EACH REPR 4 ORD	6/28/2010	1/27/2011	326	379	53	-	53	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
6	6			MMRTY		MASSMART HOLDINGS LTD UNSPONSORE RUBO 25	6/28/2010	3/2/2011	198	250	52	-	52	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
6	6			SBGOY		D ADR SEE CUSIP 576290209 STANDARD BANK GRP LTD UNSP ADR	6/28/2010	3/2/2011	166	172	6	-	6	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
8	8			PHI		LOT METHOD FIRST IN FIRST OUT PHILIPPINE LONG DISTANCE TEL CO SPONS ADR	6/28/2010	3/2/2011	417	398	(19)	-	(19)	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
11	11			FMAX		FOMENTO ECONOMICO MEXICANO SAB DE CV ADR	7/22/2010	3/28/2011	496	645	149	-	149	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
11	11			KIROY		KUMBA IRON ADR	9/23/2010	9/29/2011	546	617	71	-	71	71 THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
12	12			FMAX		LOT METHOD FIRST IN FIRST OUT FOMENTO ECONOMICO MEXICANO SAB DE CV ADR	8/19/2010	4/20/2011	593	759	166	-	166	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
12	12			KIROY		KUMBA IRON ADR	9/23/2010	8/29/2011	596	778	182	-	182	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
14	14			FMAX		LOT METHOD FIRST IN FIRST OUT FOMENTO ECONOMICO MEXICANO SAB DE CV ADR	7/22/2010	1/4/2011	631	792	161	-	161	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
14	14			SHG		SHINHAN FINL GROUP CO LTD SPN A DR	7/22/2010	1/27/2011	1,101	1,242	141	-	141	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
15	15			LUXOY		LUXOIL (QAO) ADR EACH REPR 4 ORD	9/23/2010	3/29/2011	873	1,052	179	-	179	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
16	16			LUXOY		RUBO 25 LUXOIL (QAO) ADR EACH REPR 4 ORD	8/19/2010	3/23/2011	860	1,127	267	-	267	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
19	19			MMRTY		MASSMART HOLDINGS LTD UNSPONSORE RUBO 25	6/28/2010	7/26/2011	338	421	83	-	-	83 THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
19	19			UGP		D ADR SEE CUSIP 576290209 TENDERED ULTRAPAS PARTICIPAC SPON ADR	6/28/2010	3/2/2011	234	304	70	-	70	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
19	19			USNZY		LOT METHOD FIRST IN FIRST OUT USINAS SIDERURGICAS	9/23/2010	4/19/2011	253	210	(44)	-	(44)	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
20	20			FMAX		DE MINAS GERALS FOMENTO ECONOMICO MEXICANO SAB DE CV ADR	9/23/2010	4/27/2011	1,026	1,263	237	-	237	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
20	20			FMAX		FOMENTO ECONOMICO MEXICANO SAB DE CV ADR	10/25/2010	6/8/2011	1,077	1,261	184	-	184	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
20	20			MMRTY		MASSMART HOLDINGS LTD UNSPONSORE RUBO 25	9/23/2010	7/26/2011	424	443	19	-	19	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
21	21			FMAX		D ADR SEE CUSIP 576290209 TENDERED FOMENTO ECONOMICO MEXICANO SAB DE CV ADR	6/28/2010	1/4/2011	963	1,188	225	-	225	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
21	21			PPERY		PT BK MANDIRI PERSERO TBK UNSP LOT METHOD FIRST IN FIRST OUT	10/25/2010	7/26/2011	160	193	33	-	33	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
22	22			KIROY		KUMBA IRON ADR	7/22/2010	1/14/2011	1,122	1,424	302	-	302	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
22	22			LUXOY		LOT METHOD FIRST IN FIRST OUT LUXOIL (QAO) ADR EACH REPR 4 ORD	10/25/2010	3/29/2011	1,265	1,543	278	-	278	- THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
22	22			MMRTY		MASSMART HOLDINGS LTD UNSPONSORE RUBO 25	7/22/2010	7/26/2011	412	487	74	-	-	74 THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)

Account	View	Period	Symbol	Previous Year	Shares or Face Value	Purchase Date	Sale Date	Cost	Proceeds	Total Gain/Loss	Short Term Gain/Loss	Long Term Gain/Loss	Account
THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)	All		CIG			6/28/2010	3/2/2011	347	386	-	40	40	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
23													
23			CIOXY			10/26/2010	8/30/2011	494	582	-	88	88	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
23			HWM			6/28/2010	2/14/2011	625	739	-	114	114	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
23			NTE5			8/19/2010	6/3/2011	950	1,062	-	112	112	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
23			PSGTY			7/22/2010	7/6/2011	1,173	1,311	-	138	138	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
25			LUXDY			7/22/2010	1/27/2011	1,380	1,580	-	200	200	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
25			MIMRTY			8/19/2010	7/26/2011	426	553	-	128	128	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
26			MIMRTY			10/25/2010	7/26/2011	583	575	-	(8)	(8)	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
26			PSGTY			8/19/2010	7/6/2011	1,297	1,482	-	185	185	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
27			KIROY			6/28/2010	1/14/2011	1,217	1,748	-	521	521	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
27			KIROY			8/19/2010	5/26/2011	1,239	1,747	-	509	509	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
31			PUIKRY			8/19/2010	8/4/2011	1,311	1,852	-	541	541	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
33			NTE5			7/22/2010	3/28/2011	1,208	1,599	-	391	391	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
34			TSM			8/19/2010	1/27/2011	328	455	-	126	126	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
35			PPERY			8/19/2010	7/26/2011	239	321	-	82	82	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
38			ASX			6/28/2010	1/26/2011	144	244	-	100	100	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
42			TV			6/28/2010	3/29/2011	759	999	-	240	240	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
45			UGP			6/28/2010	9/27/2011	553	744	-	190	190	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
46			ASX			6/28/2010	2/2/2011	175	290	-	115	115	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
47			PPERY			6/28/2010	7/26/2011	318	431	-	113	113	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
48			CIOXY			6/28/2010	3/2/2011	448	384	-	(64)	(64)	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
51			CIOXY			6/28/2010	8/30/2011	1,204	1,291	-	88	88	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
54			ASX			3/2/2011	5/12/2011	314	325	-	11	11	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
56			UGP			9/23/2010	9/27/2011	820	925	-	105	105	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
60			SLLDY			7/22/2010	7/25/2011	1,021	1,226	-	205	205	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
60			UGP			8/19/2010	9/27/2011	820	991	-	172	172	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
62			USNZY			8/19/2010	4/19/2011	838	684	-	(154)	(154)	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
64			UGP			7/22/2010	9/27/2011	800	1,057	-	257	257	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
65			SLLDY			6/28/2010	1/4/2011	1,050	1,349	-	299	299	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
68			UGP			10/25/2010	9/27/2011	1,060	1,124	-	63	63	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)

Account	View	Period	Shares of Face Value	Symbol	Previous Year	Description	Purchase Date	Sale Date	Cost	Proceeds	Total Gain/Loss	Short-Term Gain/Loss	Long-Term Gain/Loss	Account
THE KRONHILL PLETKA FOUNDATION	All													
"LAZARD" (690015176)														
68	USNZY					LOT METHOD FIRST IN FIRST OUT	8/19/2010	3/2/2011	919	765	(154)	(154)	(154)	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
						USINAS SIDERURGICAS								
73	ASX					DE MINAS GERAIS	7/22/2010	5/12/2011	276	439	163	163	163	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
						ADVANCED SEMI-CONDUCTOR AD R								
81	PPERY					PT BK MANDIRI PERSERO TBK UNSP	12/23/2010	8/3/2011	574	732	159	159	159	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
						LOT METHOD FIRST IN FIRST OUT								
82	CIOXY					CIELO S A ADR	7/22/2010	8/30/2011	1,886	2,076	190	-	-	190 THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
						LOT METHOD FIRST IN FIRST OUT								
83	USNZY					USINAS SIDERURGICAS	9/23/2010	6/29/2011	1,106	717	(389)	(389)	(389)	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
						DE MINAS GERAIS								
94	AKBTY					AKBANK TURK ANON	6/28/2010	5/3/2011	957	974	17	17	17	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
						LOT METHOD FIRST IN FIRST OUT								
105	MURZY					MURRAY & ROBERTS HLDG LTD	8/19/2010	3/2/2011	608	345	(263)	(263)	(263)	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
						SPONSORED ADR								
108	USNZY					USINAS SIDERURGICAS	7/22/2010	3/2/2011	1,650	1,216	(434)	(434)	(434)	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
						DE MINAS GERAIS								
113	ABV					COMPANHIA DE BEBIDAS-PR ADR	3/2/2011	10/11/2011	3,180	3,803	623	623	623	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
						LOT METHOD FIRST IN FIRST OUT								
121	MURZY					MURRAY & ROBERTS HLDG LTD	6/28/2010	3/2/2011	652	397	(255)	(255)	(255)	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
						SPONSORED ADR								
122	USNZY					USINAS SIDERURGICAS	6/28/2010	3/2/2011	1,790	1,373	(417)	(417)	(417)	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
						DE MINAS GERAIS								
125	MURZY					MURRAY & ROBERTS HLDG LTD	7/22/2010	3/2/2011	680	410	(270)	(270)	(270)	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
						SPONSORED ADR								
136	PPERY					PT BK MANDIRI PERSERO TBK UNSP	10/25/2010	8/3/2011	1,036	1,230	193	193	193	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
						LOT METHOD FIRST IN FIRST OUT								
140	CIBFY					COMMERCIAL INTERNATIONAL BANK ADR	6/28/2010	3/2/2011	875	761	(114)	(114)	(114)	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
159	TSM					TAIWAN SEMICONDUCTOR MANUFACTU	7/22/2010	1/27/2011	1,645	2,126	481	481	481	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
167	USNZY					ADR								
						USINAS SIDERURGICAS	10/25/2010	6/29/2011	2,044	1,444	(601)	(601)	(601)	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
174	ASX					DE MINAS GERAIS	7/22/2010	2/2/2011	659	1,098	439	439	439	THE KRONHILL PLETKA FOUNDATION "LAZARD" (690015176)
						ADVANCED SEMI-CONDUCTOR AD R								
183	PPERY					PT BK MANDIRI PERSERO TBK UNSP	7/22/2010	7/26/2011	1,237	1,679	442	-	-	442 THE KRONH

Totals	71,575	80,372	8,797	6,535	2,263
Short-Term	60,584	67,119	6,535	6,535	-
Long-Term	10,991	13,254	2,263	-	2,263
	71,575	80,372	8,797	6,535	2,263

Account	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)											
View	All	Previous Year	Symbol	Description	Purchase Date	Sale Date	Cost	Proceeds	Total Gain/Loss	Short Term Gain/Loss	Long Term Gain/Loss	Account
Period	Shares or Face Value	LCF	LCF		8/19/2010	3/24/2011	513	434	(79)	(79)	(79)	- THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
8				CHINA LIFE INSURANCE CO ADR								
				LOT METHOD FIRST IN FIRST OUT								
8			LFC	CHINA LIFE INSURANCE CO ADR	9/24/2010	3/24/2011	488	434	(54)	(54)	-	- THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
9			CCL	CARNIVAL CORP	8/19/2010	10/27/2011	290	329	40	40	-	40 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
9			FNV	FRANCO NEVADA CORP C	9/22/2010	12/1/2011	290	381	91	91	-	91 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
9			FNV	FRANCO NEVADA CORP C	9/24/2010	12/16/2011	291	343	52	52	-	52 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
9			IBN	ICICI BANK LTD SPON ADR	8/19/2010	10/19/2011	386	328	(58)	(58)	-	(58) THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
9			L	LOEWS CORP COM	8/11/2010	8/17/2011	334	333	(1)	(1)	-	(1) THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
9			LPS	LENDER PRG SR	8/19/2010	2/8/2011	268	288	20	20	-	- THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
10			CBG	CBRE GROUP INC	7/22/2010	12/7/2011	146	163	16	16	-	16 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
10			CVE	CENOVUS ENERGY	7/22/2010	8/10/2011	285	334	49	49	-	49 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
10			NDAQ	MASDAQ OMX GROUP	6/24/2010	7/8/2011	184	252	68	68	-	68 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
11			LPS	LENDER PRG SR	7/22/2010	2/3/2011	378	348	(30)	(30)	-	- THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
12			BKKB	BERKSHIRE HATHAWAY INC-CL B	6/24/2010	7/28/2011	944	897	(47)	(47)	-	(47) THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
12			FNV	FRANCO NEVADA CORP C	9/22/2010	12/16/2011	387	457	70	70	-	70 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
12			LPS	LENDER PRG SR	6/24/2010	2/3/2011	392	379	(12)	(12)	-	- THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
13			CCL	CARNIVAL CORP	8/19/2010	11/3/2011	418	442	24	24	-	24 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
13			FNV	FRANCO NEVADA CORP C	7/20/2010	10/18/2011	381	483	102	102	-	102 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
13			LPS	LENDER PRG SR	9/24/2010	2/9/2011	421	414	(8)	(8)	-	- THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
14			BKKB	BERKSHIRE HATHAWAY INC-CL B	6/24/2010	6/14/2011	1,102	1,057	(44)	(44)	-	- THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
14			FNV	FRANCO NEVADA CORP C	8/10/2010	10/18/2011	446	520	74	74	-	74 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
14			L	LOEWS CORP COM	7/14/2010	8/5/2011	506	524	19	19	-	19 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
14			NDAQ	MASDAQ OMX GROUP	7/22/2010	7/8/2011	257	353	97	97	-	- THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
15			CCL	CARNIVAL CORP	7/22/2010	10/27/2011	504	549	45	45	-	45 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
15			CVE	CENOVUS ENERGY	8/19/2010	8/10/2011	389	500	112	112	-	- THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
15			CVE	CENOVUS ENERGY	9/24/2010	8/10/2011	417	500	83	83	-	- THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
15			IBN	ICICI BANK LTD SPON ADR	9/24/2010	10/19/2011	739	547	(192)	(192)	-	(192) THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
15			LFC	CHINA LIFE INSURANCE CO ADR	6/24/2010	2/10/2011	1,017	850	(167)	(167)	-	- THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
16			L	LOEWS CORP COM	10/13/2010	9/22/2011	640	548	(92)	(92)	-	- THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
17			IBN	ICICI BANK LTD SPON ADR	6/24/2010	8/3/2011	638	761	123	123	-	123 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
17			L	LOEWS CORP COM	9/2/2010	9/8/2011	611	621	10	10	-	10 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								
17			L	LOEWS CORP COM	10/4/2010	9/14/2011	642	620	(22)	(22)	-	- THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
				LOT METHOD FIRST IN FIRST OUT								

Account	THE KRONHILL PLETKA FOUNDATION									
View	"HORIZON" (690015177)									
Period	All									
Shares or Face Value	Symbol	Previous Year	Purchase Date	Sale Date	Cost	Proceeds	Total Gain/Loss	Short Term Gain/Loss	Long Term Gain/Loss	Account
17	NDAQ	NDAQ	8/19/2010	7/8/2011	339	429	-	90	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
17	NDAQ	NDAQ	9/24/2010	7/8/2011	336	429	-	93	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
17	SWRAY	SWRAY	1/4/2011	12/12/2011	293	201	-	(92)	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
18	DELF	DELF	6/24/2010	1/28/2011	262	196	-	(66)	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
18	L	L	8/3/2010	8/5/2011	680	674	-	(6)	-	(6) THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
19	L	L	8/27/2010	8/17/2011	678	703	-	25	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
19	LFC	LFC	6/24/2010	3/8/2011	1,288	1,111	-	(178)	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
21	CCL	CCL	9/24/2010	11/3/2011	792	714	-	(78)	-	(78) THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
22	AN	AN	12/8/2010	10/27/2011	578	882	-	304	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
22	CCL	CCL	6/24/2010	3/25/2011	715	855	-	140	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
22	CHU	CHU	7/22/2010	1/11/2011	305	310	-	5	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
22	IMO	IMO	6/24/2010	12/19/2011	852	911	-	59	-	59 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
22	L	L	10/29/2010	11/8/2011	869	866	-	(3)	-	(3) THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
23	CVE	CVE	6/24/2010	4/27/2011	626	848	-	222	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
23	IBN	IBN	6/24/2010	10/3/2011	863	774	-	(88)	-	(88) THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
23	IBN	IBN	6/24/2010	10/12/2011	863	820	-	(42)	-	(42) THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
25	CVE	CVE	6/24/2010	7/26/2011	680	988	-	307	-	307 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
25	L	L	8/19/2010	8/17/2011	914	925	-	11	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
26	CCL	CCL	6/24/2010	3/29/2011	845	990	-	145	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
26	CVE	CVE	6/24/2010	8/3/2011	708	988	-	280	-	280 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
26	DELF	DELF	7/22/2010	1/28/2011	316	282	-	(33)	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
26	L	L	10/29/2010	9/27/2011	1,027	940	-	(87)	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
27	CCL	CCL	6/24/2010	4/26/2011	878	1,007	-	129	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
27	MYX	MYX	6/24/2010	9/21/2011	750	698	-	(53)	-	(53) THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
28	FCEa	FCEa	6/24/2010	11/16/2011	345	373	-	28	-	28 THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
29	AAURY	AAURY	7/22/2010	3/7/2011	545	767	-	221	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
30	DELF	DELF	8/19/2010	1/28/2011	336	326	-	(10)	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
30	SWRAY	SWRAY	1/19/2011	12/12/2011	492	355	-	(137)	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
31	CBG	CBG	9/24/2010	12/7/2011	570	504	-	(66)	-	(66) THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
31	CHU	CHU	9/24/2010	1/26/2011	465	496	-	31	-	THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)
31	IMO	IMO	6/24/2010	10/3/2011	1,201	1,046	-	(155)	-	(155) THE KRONHILL PLETKA FOUNDATION "HORIZON" (690015177)

[illegible]

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
GS AC 14102-6	55,207.	14,299.	40,908.
GS AC 14873-2	685.	0.	685.
GS AC 17085-0	2,200.	0.	2,200.
GS AC 17086-8	4,059.	0.	4,059.
GS AC 17087-6	4,593.	0.	4,593.
GS AC 28499-0	4,773.	0.	4,773.
GS AC 28501-3	2,179.	984.	1,195.
MS AC 004H09XB0	21,434.	0.	21,434.
MS AC 0A9783976	28,074.	0.	28,074.
MS AC 690014950	16,939.	56.	16,883.
MS AC 690015176	10,271.	0.	10,271.
MS AC 690015177	2,663.	13.	2,650.
TOTAL TO FM 990-PF, PART I, LN 4	153,077.	15,352.	137,725.

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	15,593.	7,796.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	15,593.	7,796.	0.	0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTANT	14,400.	0.	0.	14,400.
TO FORM 990-PF, PG 1, LN 16C	14,400.	0.	0.	14,400.

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN	3,415.	3,415.	0.	0.	
EXCISE	500.	0.	0.	0.	
NYS TAX	500.	0.	0.	0.	
TO FORM 990-PF, PG 1, LN 18	4,415.	3,415.	0.	0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT MANAGEMENT FEES	28,925.	28,925.	0.	0.	
TRAVEL EXPENSES	12,936.	0.	0.	12,936.	
OTHER MANAGEMENT EXPENSES	526.	0.	0.	526.	
COMPUTER EXPENSES	6,000.	0.	0.	6,000.	
TO FORM 990-PF, PG 1, LN 23	48,387.	28,925.	0.	19,462.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
MS A978397 - US TREASURY NOTES	X		11,812.	13,054.	
MS A978397 - US GOVERNMENT NOTES	X		89,943.	90,758.	
MS A978397 - MUNICIPAL BONDS		X	45,000.	45,525.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			101,755.	103,812.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			45,000.	45,525.	
TOTAL TO FORM 990-PF, PART II, LINE 10A			146,755.	149,337.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
THORNBURG: NON-US EQ	97,011.	98,760.	
WESTFIELD: LARGE CAP GROWTH	0.	0.	
NEUBERGER BERMAN: SMALL CAP VALUE	173,497.	159,505.	
DIAMOND HILL: LARGE CAP VALUE	158,141.	175,445.	
INVESCO: NON-US EQUITY	142,344.	131,160.	
APPLE INC	30,281.	64,800.	
BERKSHIRE HATHAWAY - CL A	209,718.	229,510.	
BERKSHIRE HATHAWAY - CL B	26,900.	30,520.	
COCA COLA BOTTLING CO CONSLD TD CMN	5,011.	5,445.	
COCA-COLA COMPANY	10,025.	11,265.	
GEELY AUTOMOBILE HOLDINGS LIM I ADR CMN	0.	0.	
GENERAL MOTORS COMPANY CMN	0.	0.	
NALCO HOLDING COMPANY CMN	0.	0.	
VERIZON COMMUNICATIONS INC. CMN	4,997.	6,138.	
GS NON-US EQUITY STOCKS	96,835.	90,111.	
MS 04H09XB - BOEING CO USD5 COM	100,046.	142,299.	
MS 04H09XB - GENERAL MOTORS	33,000.	20,270.	
MS 04H09XB - ROCHE HOLDING AG BASEL ADR	10,070.	10,212.	
MS 04H09XB - CITIGROUP	21,750.	13,155.	
MS 04H09XB - GOOGLE	11,439.	12,918.	
MS 690014950 - COMMON STOCK	309,328.	378,932.	
MS 690015176 - COMMON STOCK	256,903.	221,876.	
MS 690015176 - PREFERRED STOCK	0.	0.	
MS 690015177 - COMMON STOCK	153,900.	151,353.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,851,196.	1,953,674.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
GS GROUP INC LINKED TO S&P 500	100,000.	98,690.	
MS A978397 - GENERAL ELEC CAP CORP	19,890.	20,046.	
MS A978397 - TOYOTA MTR CRED SER B	29,975.	30,438.	
MS A978397 - US BANCORP SER R	24,982.	25,255.	
MS A978397 - CORPORATE NOTES	425,658.	444,392.	
MS A978397 - HIGH YIELD BONDS	25,189.	26,346.	
MS 04H09XB - CORPORATE BONDS	0.	0.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	625,694.	645,167.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
LAZARD EMERGING MARKETS EQUITY PORTFOLIO	COST	42,532.	33,244.
GS ENHANCED INCOME FUND	COST	0.	0.
GS SHORT DURATION GOVT FUND	COST	0.	0.
GOLDMAN SACHS GROUP INC	COST	0.	0.
GS US EQUITY DIVIDEND & PREMIUM FUND	COST	270,487.	311,683.
GS SPDR S&P 500 ETF TRUST SPDR	COST	217,193.	220,973.
GS INTERNATIONAL EQUITY DIVIDEND & PREMIUM FUND	COST	276,628.	234,271.
BNP PARIBAS LINKED TO TOPIX 0% COUPON	COST	0.	0.
CHINA INDEX FUND - ISHARES	COST	0.	0.
BNP PARIBAS LINKED TO EUROSTOXX 50 DEV PTS 0 COUPON	COST	0.	0.
GS CORPORATE CREDIT INVESTMENT FUND	COST	800,000.	991,545.
GS CREDIT STRATEGIES FUND	COST	0.	0.
GS LOCAL EMERGING MARKETS DEBT FUND	COST	0.	0.
GS EKSPORTFINANS ASA LINKED TO ASIAN FX BASK VS USD 0 COUPON	COST	0.	0.
GS THE GOLDMAN SACHS GROUP INC, LINKED TO BSKT OF EXCHANGE RAT 0	COST	74,138.	68,247.
MS A978397 - FOREIGN DEBT SECURITIES	COST	175,213.	181,847.
MS A978397 - FOREIGN AGENCY DEBT SECURITIES	COST	69,923.	73,572.
MS 04H09XB - FIXED INCOME MUTUAL FUNDS	COST	200,288.	222,586.
MS 690015177 - EXCHANGE TRADED FUNDS	COST	5,727.	6,615.
OTHER INVESTMENTS	COST	16,218.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,148,347.	2,344,583.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	10
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NAME OF MANAGER

IRENE PLETKA
PETER PLETKA

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions. THE KRONHILL PLETKA FOUNDATION	Employer identification number (EIN) or ☒ 26-1466252
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 123A WEST 69TH STREET	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10023	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

HELD, KRANZLER, MCCOSKER & PULICE

- The books are in the care of ► **130 WEST 42ND STREET - NEW YORK, NY 10036**
Telephone No ► **212-533-2727** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2012**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2011** or
► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 2,902.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 152.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 2,750.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)