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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2011Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , and ending

Name of foundation Sasser Family Foundation Inc		A Employer identification number 26-1385776
Number and street (or P O box number if mail is not delivered to street address) 7221 Broadway Street	Room/suite	B Telephone number (see instructions)
City or town, state, and ZIP code Galveston TX 77554-8915		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 603,330	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
(Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	5,853	5,853		
	4 Dividends and interest from securities	9,851	9,851		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	10,337			
	b Gross sales price for all assets on line 6a 175,994				
	7 Capital gain net income (from Part IV, line 2)		10,337		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns & allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	26,041	26,041	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	853	853		
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	2,044	2,044		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att sch)	5,775	5,775		
	24 Total operating and administrative expenses. Add lines 13 through 23	8,672	8,672	0	0
	25 Contributions, gifts, grants paid	36,500			36,500
26 Total expenses and disbursements. Add lines 24 and 25	45,172	8,672	0	36,500	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-19,131				
b Net investment income (if negative, enter -0-)		17,369			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2011)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶ Less. allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (att schedule) ▶ Less allowance for doubtful accounts ▶	0			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule) See Stmt 4		502,443	479,096	479,096
	c	Investments—corporate bonds (attach schedule) See Stmt 5		160,744	124,234	124,234
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)				
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶				
	15	Other assets (describe ▶)				
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		663,187	603,330	603,330
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☒				
	24	Unrestricted		663,187	603,330	
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		663,187	603,330	
	31	Total liabilities and net assets/fund balances (see instructions)		663,187	603,330	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	663,187
2	Enter amount from Part I, line 27a	2	-19,131
3	Other increases not included in line 2 (itemize) ▶ See Statement 6	3	71
4	Add lines 1, 2, and 3	4	644,127
5	Decreases not included in line 2 (itemize) ▶ See Statement 7	5	40,797
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	603,330

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Worksheet				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7			2	10,337
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	-4,656

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	25,000	603,701	0.041411
2009	26,854	542,218	0.049526
2008	29,050	642,692	0.045201
2007			
2006			
2 Total of line 1, column (d)			2 0.136138
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.045379
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 641,403
5 Multiply line 4 by line 3			5 29,106
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 174
7 Add lines 5 and 6			7 29,280
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 36,500

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	174
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	174
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	174
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	174
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) None		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	

14 The books are in care of ► **Barbara & Dominick Sasser** Telephone no ► **409-744-5442**
7221 Broadway
 Located at ► **Galveston** TX ZIP+4 ► **77554**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here and enter the amount of tax-exempt interest received or accrued during the year
 ► **15**

16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► ☐	1b		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? N/A	1c		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ► 20 , 20 , 20 , 20 ☐ Yes ☒ No b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20	2b		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐ Yes ☒ No	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? ☐ Yes ☒ No	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d) 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? b If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	☐ Yes ☒ No ☐ Yes ☒ No ☐ Yes ☒ No ☐ Yes ☒ No ☐ Yes ☒ No N/A ☐ Yes ☐ No ☐ Yes ☒ No ☐ Yes ☒ No N/A	5b 6b 7b	X
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Dominick Sasser 7221 Broadway Galveston TX 77554	Director 1.00	0	0	0
Barbara Sasser 7221 Broadway Galveston TX 77554	Director 1.00	0	0	0
John H. Campbell 2200 Market, Ste 804 Galveston TX 77550	Director 1.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2011) **Sasser Family Foundation Inc****26-1385776**Page **7****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Form **990-PF** (2011)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	651,171
b	Average of monthly cash balances	1b	0
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	651,171
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	651,171
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	9,768
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	641,403
6	Minimum investment return. Enter 5% of line 5	6	32,070

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	32,070
2a	Tax on investment income for 2011 from Part VI, line 5	2a	174
b	Income tax for 2011 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	174
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	31,896
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	31,896
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	31,896

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	36,500
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	36,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	174
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	36,326

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				31,896
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			7,856	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e				
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 36,500				
a Applied to 2010, but not more than line 2a			7,856	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2011 distributable amount				28,644
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				3,252
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling					
b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a	List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) N/A
b	List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest N/A
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d	
a	The name, address, and telephone number of the person to whom applications should be addressed N/A
b	The form in which applications should be submitted and information and materials they should include N/A
c	Any submission deadlines N/A
d	Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors N/A

Part XVI-A

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
		14	5,853	
		14	9,851	
				10,337
	0		15,704	10,337

(See worksheet in line 13 instructions to verify calculations)

Line No.

Line No.

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

N/A

Form 990-PF		Capital Gains and Losses for Tax on Investment Income		2011
		For calendar year 2011, or tax year beginning		, and ending
Name Sasser Family Foundation Inc			Employer Identification Number 26-1385776	
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1)	10 sh Costco Wholesale Corp.	P	12/11/07	08/29/11
(2)	35 sh Moodys Corporation	P	12/11/07	02/10/11
(3)	80 sh Pfizer Inc.	P	Various	09/27/11
(4)	30 sh Procter & Gamble Co.	P	12/11/07	07/20/11
(5)	17 sh Tyco Intl Ltd.	P	12/11/07	04/26/11
(6)	UBS - Stmt Attached	P	Various	Various
(7)	UBS - Stmt Attached	P	Various	Various
(8)	FHLMC Mtn Callable Bonds	P	12/06/07	02/03/11
(9)	UBS - Stmt Attached	P	Various	Various
(10)	UBS - Stmt Attached	P	Various	Various
(11)	UBS Capital Gain Distribution			
(12)				
(13)				
(14)				
(15)				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 777		716	61
(2) 1,060		1,408	-348
(3) 1,439		1,091	348
(4) 1,929		2,244	-315
(5) 836		705	131
(6) 10,300		10,196	104
(7) 30,783		27,727	3,056
(8) 30,000		30,626	-626
(9) 44,683		49,443	-4,760
(10) 54,177		41,501	12,676
(11) 10			10
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			61
(2)			-348
(3)			348
(4)			-315
(5)			131
(6)			104
(7)			3,056
(8)			-626
(9)			-4,760
(10)			12,676
(11)			10
(12)			
(13)			
(14)			
(15)			

Federal Statements

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Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Accounting Fees	\$ 853	\$ 853	\$	\$
Total	\$ 853	\$ 853	0	0

Statement 2 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
US Treasury	\$ 329	\$ 329	\$	\$
Foreign Taxes Paid	1,715	1,715		
Total	\$ 2,044	\$ 2,044	0	0

Statement 3 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses			\$	
Investment Fees	5,775	5,775		
Total	\$ 5,775	\$ 5,775	0	0

Federal Statements

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Statement 4 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
UBS Financial Services	\$ 502,443	\$ 479,096	Market	\$ 479,096
Total	\$ 502,443	\$ 479,096		\$ 479,096

Statement 5 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
UBS Financial Services	\$ 160,744	\$ 124,234	Market	\$ 124,234
Total	\$ 160,744	\$ 124,234		\$ 124,234

Federal Statements

Statement 6 - Form 990-PF, Part III, Line 3 - Other Increases

Description	Amount
Nondividend Distributions	\$ 71
Total	<u>\$ 71</u>

Statement 7 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
Unrealized Loss on Investments	\$ 40,797
Total	<u>\$ 40,797</u>

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UBS Financial Services Inc.

2011 Informational Statement

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Short-Term Gain/Loss-Cost Basis Reported to IRS

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
EVERY DENNISON CORP	Trade	39 0000		03/03/11	10/28/11	1,068.46	1,625.55	(557.09)	
BEST BUY CO INC	Trade	15 0000		01/03/11	03/01/11	486.64	518.87	(32.23)	
	Trade	27 0000		01/03/11	03/02/11	866.25	933.97	(67.72)	
Sub-Total		42.0000				1,352.89	1,452.84	(99.95)	
CENTURYLINK INC	Trade	15 0000		04/12/11	05/25/11	636.62	599.94	36.68	
SUPERVALU INC	Trade	120.0000		02/04/11	09/07/11	930.29	945.37	(15.08)	
	Trade	5.0000		02/08/11	09/07/11	38.76	39.14	(0.38)	
Sub-Total		125.0000				969.05	984.51	(15.46)	
Sub Total						\$4,027.02	\$4,562.84	\$(635.82)	

Short-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
BANK OF AMER CORP	Trade	50 0000		11/03/10	08/08/11	342.06	576.61	(234.55)	
CIGNA CORP	Trade	12 0000		12/09/10	06/14/11	606.33	448.48	157.85	
CIMAREX ENERGY CO	Trade	10 0000		08/25/10	02/14/11	1,083.45	640.94	442.51	
NEXEN INC CAD	Trade	10.0000		07/22/10	03/14/11	256.28	207.61	48.67	
	Trade	15 0000		09/29/10	03/14/11	384.41	300.57	83.84	
Sub-Total		25.0000				640.69	508.18	132.51	

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Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
RENAISSANCE HOLDINGS LTD	Trade	5 0000		09/29/10	04/14/11		343 90	293.91	49 99	
SUPERVALU INC	Trade	40 0000		11/03/10	09/07/11		310 10	426 46	(116 36)	
XIUX INC	Trade	53 0000		10/05/10	09/07/11		1,599 56	1,398 58	200 98	
	Trade	47 0000		10/05/10	09/28/11		1,346 75	1,240.25	106 50	
Sub-Total		100.0000					2,946.31	2,638.83	307.48	
Sub Total							\$6,272.84	\$5,533.41	\$739.43	
Total Short-Term Gain/Loss							\$10,299.86	\$10,196.25	\$103.61	

Long-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
ANNALY CAPITAL MANAGEMENT INC REIT	Trade	50 0000		06/24/08	01/24/11		888 33	813 61	74.72	
	Trade	25 0000		06/25/08	01/24/11		444.16	401 67	42 49	
Sub-Total		75.0000					1,332.49	1,215.28	117.21	
BANK OF AMER CORP	Trade	70 0000		03/25/10	08/08/11		478 89	1,281 09	(802 20)	

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold					
CASH AMER INTL INC	Trade	5 0000		11/16/09	07/22/11		291 78	165 76	126 02	
	Trade	5 0000		11/17/09	07/22/11		291 78	164 41	127 37	
Sub-Total:		10.0000					583.56	330.17	253.39	
CBS CORP NEW CL B	Trade	35 0000		10/24/08	03/10/11		828 20	282 71	545 49	
	Trade	20 0000		10/24/08	07/22/11		591 81	161 55	430 26	
Sub-Total:		55.0000					1,420.01	444.26	975.75	
CENTURYLINK INC	Trade	15 0000		08/06/08	05/25/11		636.62	571 18	65.44	
	Trade	50 0000		08/08/08	05/25/11		2,122.07	1,879.02	243 05	
Sub-Total:		65.0000					2,758.69	2,450.20	308.49	
CHEVRON CORP	Trade	6 0000		12/10/07	10/24/11		637 09	548 76	88 33	
CIMAREX ENERGY CO	Trade	11 0000		12/10/07	02/04/11		1,136 66	441 65	695 01	
	Trade	19 0000		12/10/07	02/14/11		2,058.56	762 85	1,295 71	
Sub-Total:		30.0000					3,195.22	1,204.50	1,990.72	
CONOCOPHILLIPS	Trade	3 0000		12/10/07	02/04/11		214 29	250 23	(35 94)	
CORN PRODUCTS INTL INC	Trade	8 0000		12/10/07	07/22/11		470 44	317 36	153 08	
FEDERATED INVESTORS INC PA CL B	Trade	36 0000		08/11/09	07/27/11		766 98	952 03	(185 05)	
	Trade	44 0000		08/11/09	09/07/11		744 96	1,163 60	(418 64)	
	Trade	5 0000		08/17/09	09/07/11		84 66	127 75	(43 09)	
	Trade	15 0000		04/16/10	09/07/11		253 96	401 52	(147 56)	
	Trade	10 0000		05/17/10	09/07/11		169 31	232 83	(63 52)	
Sub-Total:		110.0000					2,019.87	2,877.73	(857.86)	
GLAXO SMITHKLINE PLC ADR	Trade	11.0000		12/10/07	10/24/11		490 87	586 08	(95 21)	
HUNTINGTON INGALLS INDS INC	Cash in lieu Trade	0 6667 4 0000		02/19/09 02/19/09	03/31/11 05/05/11		26 56 159 31	15 48 102.66	11 08 56 65	

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
HUNTINGTON INGALLS INDS INC	Trade	2.0000		02/20/09	05/05/11		79.65	51.62	28.03	
	Sub-Total	6.6667					265.52	169.76	95.76	
LIMITED BRANDS INC CL A	Trade	53.0000		06/30/09	01/03/11		1,635.53	635.54	999.99	
	Trade	12.0000		06/30/09	10/24/11		523.44	143.90	379.54	
	Sub-Total	65.0000					2,158.97	779.44	1,379.53	
NEXEN INC CAD	Trade	30.0000		09/24/08	03/11/11		769.75	772.82	(3.07)	
	Trade	55.0000		09/24/08	03/11/11		1,411.21	1,451.95	(40.74)	
Trade	Trade	20.0000		09/24/08	03/14/11		512.55	515.21	(2.66)	
	Sub-Total	105.0000					2,693.51	2,739.98	(46.47)	
NISOURCE INC	Trade	40.0000		12/11/07	10/20/11		895.05	764.88	130.17	
	Trade	20.0000		12/12/07	10/20/11		447.53	387.34	60.19	
Trade	Trade	26.0000		12/13/07	10/20/11		581.78	499.71	82.07	
	Sub-Total	86.0000					1,924.36	1,651.93	272.43	
RENAISSANCE HOLDINGS LTD	Trade	40.0000		12/10/07	04/14/11		2,751.19	2,404.00	347.19	
	Trade	55.0000		01/10/08	05/13/11		597.44	1,468.37	(870.93)	
Trade	Trade	25.0000		01/11/08	05/13/11		271.56	698.97	(427.41)	
	Trade	40.0000		01/11/08	09/07/11		310.10	1,118.36	(808.26)	
Trade	Trade	65.0000		05/17/10	09/07/11		503.90	892.43	(388.53)	
	Trade	10.0000		06/18/10	09/07/11		77.53	129.70	(52.17)	
Trade	Trade	25.0000		07/22/10	09/07/11		193.81	276.94	(83.13)	
	Sub-Total	220.0000					1,954.34	4,584.77	(2,630.43)	
TIDEWATER INC	Trade	6.0000		12/10/07	03/10/11		355.24	310.86	44.38	
	Trade	15.0000		11/25/08	06/22/11		1,570.89	710.00	860.89	
VF CORP	Trade	4.0000		11/25/08	10/24/11		549.02	189.34	359.68	
	Sub-Total	19.0000					2,119.91	899.34	1,220.57	

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
YAMANA GOLD INC CAD	Trade	10.0000		04/22/09	09/02/11	166.81	76.87	89.94	
3M CO	Trade	30.0000		12/10/07	03/03/11	2,792.16	2,604.30	187.86	
Sub Total						\$30,783.43	\$27,726.91	\$3,056.52	
Total Long-Term Gain/Loss						\$30,783.43	\$27,726.91	\$3,056.52	

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Short-Term Gain/Loss-Cost Basis Reported to IRS

This section includes securities held for less than one year Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3 A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
ACME PACKET INC	Trade	2.0000		02/23/11	08/16/11		104.06	136.02	(31.96)	
	Trade	3.0000		02/23/11	08/19/11		122.17	204.02	(81.85)	
	Trade	4.0000		02/24/11	08/19/11		162.88	288.97	(126.09)	
	Trade	1.0000		03/14/11	08/19/11		40.72	72.19	(31.47)	
	Trade	4.0000		03/23/11	08/19/11		162.89	263.68	(100.79)	
	Trade	1.0000		03/23/11	08/25/11		41.93	65.92	(23.99)	
	Trade Sub-Total	6.0000		04/27/11	08/25/11		251.55	503.08	(251.53)	
		21.0000					886.20	1,533.88	(647.68)	
AGILENT TECHNOLOGIES INC	Trade	7.0000		03/14/11	08/19/11		220.97	311.73	(90.76)	
	Trade	6.0000		03/17/11	08/19/11		189.40	254.29	(64.89)	
	Trade	1.0000		03/17/11	08/19/11		31.57	42.93	(11.36)	
	Trade	7.0000		03/17/11	08/23/11		224.28	300.51	(76.23)	
	Trade	1.0000		03/23/11	08/23/11		32.04	43.67	(11.63)	
	Trade	8.0000		03/23/11	08/24/11		261.77	349.32	(87.55)	
	Trade	10.0000		04/13/11	08/24/11		327.21	461.78	(134.57)	
	Trade	3.0000		04/13/11	10/07/11		93.59	138.54	(44.95)	
	Trade	8.0000		04/19/11	10/07/11		249.59	372.82	(123.23)	
	Trade	6.0000		04/20/11	10/07/11		187.19	290.49	(103.30)	

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Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Wash sale	
				Acquired	Date				Gain/Loss	loss disallowed
AGILENT TECHNOLOGIES INC	Trade	10,000		06/07/11	10/07/11		311.98	479.04		(167.06)
	Sub-Total	67,000					2,129.59	3,045.12		(915.53)
AMAZON COM INC	Trade	5,000		08/30/11	12/21/11		876.06	1,058.63		(182.57)
BROADCOM CORP CL A	Trade	2,000		02/02/11	04/28/11		68.85	86.96		(18.11)
	Sub-Total	12,000		02/17/11	04/28/11		413.08	507.92		(94.84)
		14,000					481.93	594.88		(112.95)
CITIGROUP INC	Cash in lieu	0,400		04/20/11	05/09/11		17.82	18.44		(0.62)
	Trade	23,000		04/20/11	05/25/11		934.09	1,060.81		(126.72)
	Trade	15,000		04/20/11	05/26/11		604.20	691.83		(87.63)
	Trade	5,000		04/20/11	06/08/11		185.58	230.61		(45.03)
	Trade	21,000		04/20/11	06/08/11		779.44	946.81		(167.37)
	Trade	23,000		04/20/11	06/08/11		867.63	1,036.99		(169.36)
	Sub-Total	87,400					3,388.76	3,985.49		(596.73)
COACH INC	Trade	4,000		06/02/11	08/19/11		188.68	247.61		(58.93)
	Trade	2,000		06/02/11	08/22/11		94.74	123.81		(29.07)
	Trade	5,000		06/02/11	08/22/11		236.84	310.16		(73.32)
	Trade	1,000		06/03/11	08/22/11		47.37	61.03		(13.66)
	Sub-Total	12,000					567.63	742.61		(174.98)

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Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year Type 'A' activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3 A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	wash sale loss	
				Acquired	Sold			Gain/Loss	disallowed
CONTINENTAL RESOURCES INC (OKLA)	Trade	5 0000		03/18/11	08/22/11	251 56	331 10	(79 54)	
	Trade	4 0000		03/18/11	08/22/11	201 24	255 00	(53 76)	
	Trade	1 0000		03/18/11	08/31/11	55 57	66 25	(10 68)	
	Trade	4 0000		03/22/11	08/31/11	222 28	277 55	(55 27)	
	Trade	6 0000		05/27/11	09/01/11	329 91	397 05	(67 14)	
	Sub-Total	20 0000				1,060 56	1,336 95	(276 39)	
EATON CORP	Trade	4 0000		01/27/11	08/31/11	171 42	210 67	(39 25)	
	Trade	4 0000		01/27/11	09/01/11	169 17	210 67	(41 50)	
	Trade	4 0000		01/27/11	11/07/11	179 49	210 67	(31 18)	31 18
	Trade	5 0000		03/18/11	11/07/11	224 37	260 78	(36 41)	36 41
	Trade	1 0000		03/21/11	11/07/11	44 87	53 04	(8 17)	8 17
	Trade	5 0000		03/21/11	11/17/11	218 37	265 18	(46 81)	
	Trade	1 0000		04/20/11	11/17/11	43 67	53 39	(9 72)	
	Sub-Total	24 0000				1,051 36	1,264 40	(213 04)	
FREEPORT-MCMORAN COPPER & GOLD INC									
Trade		12 0000		03/14/11	08/31/11	569 08	580 64	(11 56)	
F5 NETWORKS INC									
Trade		3 0000		01/20/11	04/28/11	308 49	332 57	(24 08)	24 08
Trade		1 0000		01/20/11	07/22/11	101 39	110 86	(9 47)	
Trade		5 0000		02/07/11	07/22/11	506 92	637 36	(130 44)	

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Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3 A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
FS NETWORKS INC	Trade	2 0000		04/06/11	07/22/11		202.77	189 10	13 67	
	Trade	3 0000		04/06/11	07/22/11		304 16	307 72	(3 56)	
	Sub-Total	14.0000					1,423.73	1,577.61	(153.88)	
GOOGLE INC CL A	Trade	1 0000		07/15/11	08/19/11		504 87	592 81	(87 94)	87 94
GREEN MTN COFFEE ROASTERS INC	Trade	4 0000		04/05/11	11/22/11		201 67	264 43	(62 76)	
	Trade	6 0000		04/05/11	11/23/11		302 19	396 65	(94 46)	
	Trade	1 0000		06/07/11	11/23/11		50 37	76 49	(26 12)	
	Trade	3 0000		06/08/11	11/23/11		151 09	228.15	(77 06)	
	Trade	5 0000		06/08/11	11/28/11		257 30	380 24	(122 94)	
	Sub-Total	19.0000					962.62	1,345.96	(383.34)	
ORACLE CORP	Trade	12 0000		01/31/11	12/21/11		304 61	384.75	(80 14)	
	Trade	12 0000		06/28/11	12/21/11		304 62	387 01	(82 39)	
	Trade	22 0000		06/29/11	12/21/11		558 46	716 47	(158 01)	
	Sub-Total	46.0000					1,167.69	1,488.23	(320.54)	
RED HAT INC	Trade	8 0000		07/15/11	08/22/11		259 79	342 99	(83 20)	83 20
	Trade	7 0000		07/18/11	08/22/11		227 31	298 00	(70 69)	70 69
	Trade	1 0000		07/18/11	08/23/11		33 36	42 57	(9 21)	9 21
	Trade	8 0000		08/16/11	08/23/11		266 90	384 76	(117 86)	117 86
	Trade	3 0000		08/16/11	08/23/11		100 09	143 38	(43 29)	43 29

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Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
RED HAT INC	Trade	8 0000		08/30/11	12/20/11		336 37	418 99	(82 62)	
	Trade	1 0000		08/30/11	12/20/11		42 05	46 85	(4 80)	
	Trade	4 0000		08/30/11	12/20/11		168 18	190 95	(22 77)	
	Trade	3 0000		08/31/11	12/21/11		119 60	161 24	(41 64)	
	Trade	15 0000		08/31/11	12/21/11		597 99	589 77	8 22	
	Trade	10 0000		09/28/11	12/21/11		398 66	436 90	(38 24)	
	Sub-Total	68 0000					2,550.30	3,056.40	(506.10)	
ROCKWELL AUTOMATION INC NEW	Trade	4 0000		02/22/11	08/19/11		215 91	346 25	(130 34)	
	Trade	4 0000		02/23/11	08/19/11		215 91	341 73	(125 82)	
	Trade	3 0000		02/23/11	08/31/11		192 54	256 29	(63 75)	
	Trade	1 0000		02/24/11	08/31/11		64 18	87 19	(23 01)	
	Trade	3 0000		02/25/11	08/31/11		192 54	265 22	(72 68)	
	Trade	4 0000		03/14/11	09/07/11		236 47	348 17	(111 70)	
	Trade	3 0000		04/12/11	09/15/11		180 61	274 70	(94 09)	
	Trade	3 0000		04/20/11	09/15/11		180 61	283 61	(103 00)	
	Trade	1 0000		04/20/11	09/16/11		60 12	94 54	(34 42)	
	Trade	3 0000		04/27/11	09/16/11		180 34	263 85	(83 51)	
	Trade	5 0000		04/27/11	10/07/11		300 25	439 75	(139 50)	
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Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
ROCKWELL AUTOMATION INC NEW	Trade	5 0000		06/21/11	10/07/11	300.24	408.33	(108.09)	
	Sub-Total	39.0000				2,319.72	3,409.63	(1,089.91)	
SALESFORCE COM INC	Trade	1 0000		06/28/11	12/21/11	94.74	147.14	(52.40)	
SOTHEBYS	Trade	4 0000		04/20/11	12/15/11	111.22	196.91	(85.69)	
	Trade	1 0000		04/21/11	12/15/11	27.80	50.07	(22.27)	
Trade	Trade	2 0000		04/21/11	12/19/11	54.48	100.15	(45.67)	
	Trade	1 0000		05/10/11	12/19/11	27.24	42.76	(15.52)	
Sub-Total	Sub-Total	8.0000				220.74	389.89	(169.15)	
TERADATA CORP NEW (DELA)	Trade	7 0000		01/07/11	02/04/11	314.71	309.32	5.39	
	Trade	6 0000		01/11/11	02/04/11	269.75	271.16	(1.41)	
Trade	Trade	1 0000		01/11/11	02/07/11	45.78	45.19	0.59	
	Sub-Total	14.0000				630.24	625.67	4.57	
TIME WARNER INC NEW	Trade	8 0000		02/07/11	08/12/11	238.30	288.88	(50.58)	
	Trade	10 0000		02/07/11	08/15/11	302.33	361.10	(58.77)	
Trade	Trade	11 0000		02/09/11	08/19/11	312.57	405.11	(92.54)	
	Trade	8 0000		02/09/11	08/22/11	223.73	294.63	(70.90)	
Sub-Total	Sub-Total	41.0000		02/28/11	08/22/11	1,188.80	1,502.55	(313.75)	
	Trade	3 0000		06/30/11	10/05/11	250.32	254.54	(4.22)	
VISA INC CL A									

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Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3 A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
WELLS FARGO & CO NEW	Trade	10.0000		01/05/11	04/15/11	298.49	322.25	(23.76)	
Sub Total						\$22,623.43	\$28,855.28	\$(6,231.85)	

Short-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for less than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
AMER TOWER CORP *NAME CHANGE EFF-01/2012* CL A	Trade	8 0000		02/03/10	01/12/11	405 05	342 47	62.58	
ANADARKO PETROLEUM CORP	Trade	2 0000		07/13/10	05/20/11	150 65	96 58	54 07	
	Trade	5 0000		07/14/10	05/20/11	376 62	236 06	140 56	
	Trade	5 0000		07/14/10	05/25/11	387.23	236 06	151 17	
	Trade	1 0000		07/14/10	05/31/11	79 10	47 21	31 89	
	Trade	4 0000		08/03/10	05/31/11	316 40	210 84	105 56	
	Trade	1 0000		08/05/10	05/31/11	79 10	55 72	23 38	
	Trade	8 0000		08/05/10	06/02/11	613 03	445 77	167 26	
	Trade	4 0000		08/09/10	06/07/11	298 70	222 74	75 96	
	Trade	7 0000		08/18/10	06/17/11	493 78	365 17	128 61	
	Trade	1 0000		09/07/10	06/17/11	70 54	50 45	20 09	
	Trade	9 0000		09/07/10	07/27/11	745 47	454 01	291 46	

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Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
ANADARKO PETROLEUM CORP	Trade	4 0000		10/08/10	07/27/11	331 32	230 54	100 78	
Sub-Total		51.0000				3,941.94	2,651.15	1,290.79	
BHP BILLITON PLC NEW SPON	Trade	6 0000		05/24/10	04/15/11	491 74	315 88	175 86	
BROADCOM CORP CL A	Trade	8 0000		09/24/10	04/04/11	304 57	269 83	34 74	
Trade		9 0000		09/28/10	04/27/11	321 47	307 34	14 13	
Trade		15 0000		09/30/10	04/27/11	535 79	531 28	4 51	
Trade		15 0000		10/13/10	04/28/11	516 35	562 35	(46 00)	
Trade		10 0000		10/19/10	04/28/11	344 23	367 20	(22 97)	
Trade		3 0000		11/11/10	04/28/11	103 27	124 60	(21 33)	
Trade		13 0000		12/15/10	04/28/11	447 50	573 52	(126 02)	
Sub-Total		73.0000				2,573.18	2,736.12	(162.94)	
EATON CORP	Trade	12 0000		09/08/10	08/19/11	458 42	462 21	(3 79)	
Trade		2 0000		09/08/10	08/23/11	78 22	77 04	1 18	
Trade		3 0000		09/13/10	08/23/11	117 33	120 60	(3 27)	
Trade		2 0000		09/13/10	08/24/11	79 89	80 39	(0 50)	
Trade		7 0000		09/13/10	08/31/11	299 99	281 39	18 60	
Sub-Total		26.0000				1,033.85	1,021.63	12.22	
FEDEX CORP	Trade	2 0000		03/26/10	02/01/11	185 67	181 77	3 90	
Trade		2 0000		03/26/10	02/02/11	183 03	181 77	1 26	

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Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss	
				Date Acquired	Date Sold				disallowed	
FEDEX CORP	Trade	2 0000		03/29/10	02/02/11	183 04	184 57	(1 53)		
	Trade	3 0000		03/29/10	03/14/11	262 65	276 85	(14 20)		
	Trade	1 0000		03/29/10	03/16/11	86 00	92 28	(6 28)		
	Trade	6 0000		03/31/10	03/16/11	515 98	556 88	(40 90)		
Sub-Total		16 0000				1,416.37	1,474.12	(57.75)		
FORD MOTOR CO COM NEW	Trade	38 0000		11/08/10	01/31/11	598 85	618 23	(19 38)		
	Trade	39 0000		11/09/10	01/31/11	614 62	627 80	(13 18)		
	Trade	13 0000		11/18/10	01/31/11	204 87	212 61	(7 74)		
	Sub-Total	90 0000				1,418.34	1,458.64	(40.30)		
FREEPORT-MCMORAN COPPER & GOLD INC	Trade	12 0000		11/09/10	06/17/11	574 72	640 18	(65 46)		
	Trade	2 0000		11/10/10	06/17/11	95 79	104 15	(8 36)		
	Trade	4 0000		11/10/10	08/31/11	189 69	208 30	(18 61)		
	Trade	10 0000		12/01/10	08/31/11	474 24	525 12	(50 88)		
Sub-Total		28 0000				1,334.44	1,477.75	(143.31)		
F5 NETWORKS INC	Trade	2 0000		12/01/10	03/14/11	217 82	278 93	(61 11)		
	Trade	3 0000		12/22/10	04/28/11	308 49	406 44	(97 95)		
Sub-Total		5 0000				526.31	685.37	(159.06)		
GOLDMAN SACHS GROUP INC	Trade	1 0000		07/14/10	06/07/11	134 15	137 99	(3 84)		
	Trade	3 0000		07/14/10	06/08/11	396 04	413 97	(17 93)		

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Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Selling Amount	Cost or Other Basis	Gain/Loss	wash sale loss	disallowed
				Acquired							
GOLDMAN SACHS GROUP INC	Trade	4 0000		10/27/10		06/08/11	528 05	637 00	(108 95)		
	Trade	2 0000		10/27/10		06/08/11	267 50	318 50	(51 00)		
	Trade	3 0000		10/28/10		06/08/11	401 25	488 87	(87 62)		
	Sub-Total	13.0000					1,726.99	1,996.33	(269.34)		
HALLIBURTON CO (HOLDING COMPANY)	Trade	12.0000		11/18/10		06/17/11	552 50	447.30	105 20		
	Trade	4 0000		11/18/10		06/20/11	185 09	149 10	35 99		
	Trade	8 0000		11/23/10		06/20/11	370 18	291 59	78 59		
	Sub-Total	24.0000					1,107.77	887.99	219.78		
MONSANTO CO NEW	Trade	3 0000		05/27/10		01/06/11	212 51	145 28	67 23		
	Trade	8 0000		05/27/10		01/06/11	568 03	387 43	180 60		
	Trade	2 0000		05/28/10		01/06/11	142 01	101 65	40 36		
	Trade	4 0000		05/28/10		05/23/11	264 23	203 31	60 92		
	Sub-Total	17.0000					1,186.78	837.67	349.11		
NORDSTROM INC	Trade	6 0000		04/30/10		03/17/11	250 98	248 35	2 63		
	Trade	2 0000		06/25/10		03/17/11	83 66	71 08	12 58		
	Trade	7 0000		06/25/10		03/17/11	298 27	248 78	49 49		
	Sub-Total	15.0000					632.91	568.21	64.70		
ORACLE CORP	Trade	18 0000		07/21/10		06/07/11	574 82	427 41	147 41		
	Trade	4 0000		07/21/10		06/17/11	126 58	94 98	31 60		

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Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
ORACLE CORP	Trade	7 0000		07/21/10	06/17/11		221.51	166.53	54.98	
	Trade	26 0000		10/15/10	08/19/11		653.77	745.45	(91.68)	
	Sub-Total	55.0000					1,576.68	1,434.37	142.31	
SALESFORCE COM INC	Trade	2.0000		08/25/10	03/14/11		249.88	220.11	29.77	
	Trade	2 0000		08/25/10	03/17/11		244.99	220.11	24.88	
	Trade	2 0000		09/01/10	03/17/11		244.99	232.83	12.16	
	Trade	3 0000		09/01/10	03/18/11		357.74	349.24	8.50	
	Trade	1 0000		09/03/10	03/18/11		119.25	120.91	(1.66)	
	Trade	1 0000		09/03/10	08/19/11		113.99	120.91	(6.92)	
	Trade	2 0000		12/31/10	12/19/11		211.85	263.28	(51.43)	
	Trade	2 0000		12/31/10	12/21/11		189.47	263.27	(73.80)	
	Sub-Total	15.0000					1,732.16	1,790.66	(58.50)	
	Trade	32 0000		07/27/10	04/15/11		955.19	908.92	46.27	
WELLS FARGO & CO NEW										
Sub Total							\$22,059.70	\$20,587.28	\$1,472.42	
Total Short-Term Gain/Loss							\$44,683.13	\$49,442.56	\$(4,759.43)	



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Long-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
AMAZON.COM INC	Trade	2 0000		07/10/09	02/25/11	356 57	155 38	201 19	
	Trade	2 0000		07/10/09	02/28/11	346 35	155 38	190 97	
	Trade	2 0000		07/10/09	03/03/11	346 07	155 38	190 69	
	Trade	3 0000		10/23/09	03/03/11	519 10	348 62	170 48	
	Trade	5 0000		11/02/09	06/17/11	931 38	587 22	344 16	
	Trade	3 0000		11/02/09	07/19/11	652 90	352 33	300 57	
	Trade	2 0000		03/01/10	08/19/11	367 70	247 93	119 77	
	Trade	2 0000		03/02/10	10/26/11	402 59	251 74	150 85	
	Trade	2 0000		03/02/10	12/01/11	394 75	251 73	143 02	
	Trade	1 0000		03/02/10	12/20/11	181 30	125 87	55 43	
	Trade	1 0000		03/02/10	12/20/11	181 29	126 08	55 21	
	Trade	2 0000		07/14/10	12/20/11	362 60	245 97	116 63	
	Trade	2 0000		09/03/10	12/20/11	362 59	276 82	85 77	
	Trade	2 0000		09/03/10	12/21/11	350 43	276 82	73 61	
	Sub-Total:	31.0000				5,755.62	3,557.27	2,198.35	
AMER TOWER CORP *NAME CHANGE EFF 01/2012* CL A	Trade	1 0000		09/14/09	01/10/11	50 50	34 96	15 54	
	Trade	5 0000		09/16/09	01/10/11	252 49	177 69	74 80	
	Trade	2 0000		09/16/09	01/10/11	101 01	71 07	29 94	

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description AMER TOWER CORP *NAME CHANGE EFF 01/2012* CL A	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
Trade	Trade	5 0000		10/06/09	01/10/11		252 54	186 47	66 07	
Trade	Trade	3 0000		10/12/09	01/10/11		151 52	112 75	38 77	
Trade	Trade	5 0000		10/12/09	01/12/11		253 15	187 92	65 23	
Sub-Total		21.0000					1,061.21	770.86	290.35	
APPLE INC	Trade	1 0000		07/24/08	04/04/11		340 87	161 24	179.63	
Trade	Trade	2 0000		07/24/08	06/07/11		668 12	322 47	345.65	
Trade	Trade	1 0000		03/13/09	06/07/11		334 20	96 18	238 02	
Trade	Trade	2 0000		04/02/09	06/07/11		668.39	226 94	441 45	
Trade	Trade	2 0000		04/02/09	08/19/11		728 41	226 94	501.47	
Trade	Trade	1.0000		04/02/09	08/19/11		364 20	111 42	252 78	
Trade	Trade	3 0000		04/02/09	11/11/11		1,161.51	334 24	827 27	
Trade	Trade	1 0000		10/01/09	11/22/11		372 53	183 38	189 15	
Sub-Total		13.0000					4,638.23	1,662.81	2,975.42	
BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR	Trade	1 0000		10/28/09	06/07/11		123 09	39 33	83 76	
Trade	Trade	4 0000		10/28/09	06/07/11		499.55	157 32	342 23	
Trade	Trade	6 0000		10/28/09	06/08/11		743.14	235 98	507.16	
Trade	Trade	4 0000		10/28/09	08/19/11		527 14	157 32	369 82	
Sub-Total		15.0000					1,892.92	589.95	1,302.97	

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed	
				Acquired	Date						
BHP BILLITON PLC NEW SPON ADR	Trade	4 0000		03/12/09	02/10/11		319 74	142 80	176 94		
	Trade	1 0000		03/12/09	02/14/11		82 05	35 70	46 35		
	Trade	3 0000		03/13/09	02/14/11		246 14	114 91	131 23		
	Trade	8 0000		03/13/09	02/22/11		615 80	306 44	309 36		
	Trade	3 0000		03/13/09	02/23/11		229 76	114 91	114 85		
	Trade	1 0000		03/13/09	02/24/11		76 98	38 30	38 68		
	Trade	5 0000		04/21/09	02/24/11		384 87	203 02	181 85		
	Trade	3 0000		04/23/09	02/24/11		230 93	123 39	107 54		
	Trade	3 0000		04/23/09	02/28/11		238 37	123 39	114 98		
	Trade	2 0000		05/06/09	02/28/11		158 92	94 17	64 75		
	Trade	2 0000		05/06/09	03/02/11		159 51	94 17	65 34		
	Trade	1 0000		08/06/09	03/02/11		79 75	52 95	26 80		
	Trade	2 0000		08/10/09	03/02/11		159 51	102 95	56 56		
	Trade	3 0000		08/10/09	03/14/11		222 71	154 43	68 28		
	Trade	3 0000		02/02/10	03/14/11		222 71	187 73	34 98		
	Trade	1 0000		02/02/10	04/13/11		82 28	62 58	19 70		
	Trade	4 0000		02/04/10	04/13/11		329 13	232 24	96 89		
	Trade	1 0000		03/05/10	04/13/11		82 28	67 06	15 22		

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed	
				Date Acquired	Date Sold					
BHP BILLITON PLC NEW SPON ADR	Trade	2 0000		03/05/10	04/14/11	165 74	134 12	31 62		
	Trade	1 0000		03/08/10	04/14/11	82 87	67 55	15 32		
	Trade	3 0000		03/08/10	04/15/11	245 87	202 64	43 23		
	Sub-Total	56.0000				4,415.92	2,655.45	1,760.47		
DANAHER CORP	Trade	2.0000		03/10/10	08/19/11	82 71	77 78	4 93		
	Trade	3 0000		03/11/10	08/19/11	124 06	116 46	7 60		
	Trade	3.0000		03/11/10	08/22/11	121 91	116 46	5 45		
	Trade	4 0000		04/22/10	08/22/11	162 54	166 15	(3 61)		3 61
	Trade	8 0000		04/22/10	11/17/11	375 01	332 29	42 72		
	Sub-Total	20.0000				866.23	809.14	57.09		
DOW CHEMICAL	Trade	6 0000		07/07/09	03/04/11	222 44	88 93	133 51		
	Trade	8 0000		07/07/09	03/04/11	300 64	118 57	182 07		
	Trade	1 0000		07/07/09	06/17/11	34 43	14 82	19 61		
	Trade	13 0000		07/08/09	06/17/11	447 61	194 80	252 81		
	Trade	4 0000		07/08/09	07/01/11	144 37	59 94	84 43		
	Trade	21 0000		07/30/09	07/01/11	757 94	453 16	304 78		
	Trade	5 0000		07/30/09	07/19/11	173 15	107 89	65 26		
	Trade	1 0000		07/30/09	08/19/11	26 68	21 58	5 10		
	Trade	19 0000		08/06/09	08/19/11	506 98	437 96	69 02		
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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
DOW CHEMICAL	Trade	1.0000		09/17/09	08/19/11		26 68	26 16	0 52	
	Trade	10 0000		09/17/09	08/31/11		284 53	261 56	22 97	
	Trade	2 0000		09/17/09	09/06/11		51 80	52 31	(0 51)	
	Trade	6 0000		09/23/09	09/06/11		155 40	160 40	(5 00)	
	Trade	7 0000		12/02/09	09/06/11		181 30	199 27	(17 97)	
	Trade	13 0000		12/02/09	09/08/11		347 15	370 07	(22 92)	
	Trade	12 0000		12/28/09	09/08/11		320 45	339 81	(19 36)	
	Sub-Total:	129.0000					3,981.55	2,907.23	1,074.32	
EOG RESOURCES INC	Trade	1 0000		12/21/09	06/20/11		101 03	94 55	6 48	
	Trade	2 0000		12/23/09	06/20/11		202 05	197 92	4 13	
	Trade	2 0000		12/28/09	06/20/11		202 05	199 69	2 36	
	Trade	1 0000		12/29/09	06/20/11		101 02	99 99	1 03	
	Trade	3 0000		12/29/09	06/22/11		306 35	299 98	6 37	
	Trade	3 0000		01/08/10	06/22/11		306 34	293 66	12 68	
	Trade	3 0000		03/05/10	07/01/11		308 91	289 42	19 49	
	Trade	6 0000		03/10/10	07/01/11		617 81	589 46	28 35	
	Trade	3 0000		05/11/10	07/01/11		308 91	314 67	(5 76)	
	Trade	1 0000		05/12/10	07/01/11		102 97	106 91	(3 94)	
	Sub-Total:	25.0000					2,557.44	2,486.25	71.19	

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
GENL DYNAMICS CORP	Trade	6 0000		12/11/07	03/07/11	453.00	558.90	(105.90)	
	Trade	7 0000		12/11/07	05/23/11	503.49	652.05	(148.56)	
	Trade	3 0000		12/11/07	05/26/11	210.03	279.45	(69.42)	
	Trade	6 0000		12/11/07	05/31/11	446.80	558.90	(112.10)	
	Trade	4 0000		12/11/07	06/01/11	288.71	372.60	(83.89)	
	Trade	5 0000		12/11/07	06/02/11	357.83	465.75	(107.92)	
	Trade	4 0000		12/11/07	06/07/11	283.32	372.60	(89.28)	
	Trade	5 0000		12/11/07	07/08/11	372.15	465.75	(93.60)	
	Trade	2.0000		12/11/07	07/19/11	139.37	186.30	(46.93)	
	Trade	7 0000		01/09/09	07/19/11	487.78	406.30	81.48	
	Sub-Total	49.0000				3,542.48	4,318.60	(776.12)	
GOLDMAN SACHS GROUP INC	Trade	3 0000		01/29/10	05/25/11	408.43	457.46	(49.03)	
	Trade	1 0000		01/29/10	05/26/11	135.77	152.49	(16.72)	
	Trade	4 0000		05/12/10	05/26/11	543.06	586.27	(43.21)	
	Trade	1 0000		05/25/10	06/07/11	134.14	142.77	(8.63)	
	Sub-Total	9.0000				1,221.40	1,338.99	(117.59)	
MCDONALDS CORP	Trade	21 0000		12/11/07	01/04/11	1,573.46	1,326.91	246.55	
	Trade	7 0000		12/11/07	02/28/11	522.78	442.30	80.48	

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
MCDONALDS CORP	Trade	9 0000		12/11/07	03/01/11		677 82	568 68	109 14	
	Sub-Total	37.0000					2,774.06	2,337.89	436.17	
MONSANTO CO NEW	Trade	4 0000		05/28/10	10/12/11		290 04	203 31	86 73	
NIKE INC CL B	Trade	6 0000		07/10/08	03/25/11		462 32	333 06	129 26	
	Trade	3.0000		07/11/08	03/25/11		231 16	169.09	62.07	
	Sub-Total	9.0000					693.48	502.15	191.33	
NORDSTROM INC	Trade	8 0000		11/16/09	02/01/11		330 15	280 26	49 89	
	Trade	3 0000		11/20/09	02/01/11		123 81	101 10	22 71	
	Trade	4 0000		11/20/09	03/14/11		171 98	134 80	37 18	
	Trade	1 0000		11/20/09	03/17/11		41 83	33 70	8 13	
	Trade	6 0000		02/02/10	03/17/11		250 98	216 62	34 36	
	Sub-Total	22.0000					918.75	766.48	152.27	
ORACLE CORP	Trade	8 0000		07/21/10	08/12/11		218 49	190 31	28 18	
	Trade	7 0000		07/21/10	08/15/11		190 44	166 53	23 91	
	Trade	4.0000		08/06/10	08/15/11		108 83	95.94	12 89	
	Trade	27 0000		08/06/10	08/19/11		678 92	647 59	31 33	
	Trade	19 0000		10/19/10	12/20/11		550 89	553 47	(2 58)	
	Trade	5 0000		11/18/10	12/20/11		144 97	141 94	3 03	
	Trade	6 0000		11/19/10	12/20/11		173 96	168 91	5 05	
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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
ORACLE CORP	Trade	7 0000		11/19/10	12/21/11		177.69	197.06	(19.37)	
	Trade	8 0000		12/17/10	12/21/11		203.08	255.89	(52.81)	
	Sub-Total	91.0000					2,447.27	2,417.64	29.63	
PNC FINANCIAL SERVICES GROUP	Trade	17 0000		02/03/10	07/19/11		945.66	924.76	20.90	
	Trade	2 0000		02/03/10	08/19/11		86.72	108.79	(22.07)	
	Trade	13 0000		03/25/10	08/19/11		563.64	801.62	(237.98)	
	Trade	10 0000		04/22/10	08/19/11		433.58	681.43	(247.85)	
	Trade	2.0000		04/22/10	08/19/11		88.65	136.29	(47.64)	
	Trade	3 0000		05/03/10	08/19/11		132.99	203.51	(70.52)	
	Trade	4 0000		05/24/10	08/19/11		177.31	243.91	(66.60)	
	Trade	2 0000		07/15/10	08/19/11		88.65	121.57	(32.92)	
	Sub-Total	53.0000					2,517.20	3,221.88	(704.68)	
PPG INDUSTRIES INC	Trade	4 0000		08/27/09	08/19/11		276.90	220.19	56.71	
	Trade	4 0000		08/28/09	08/19/11		276.90	223.62	53.28	
	Trade	1 0000		08/28/09	08/22/11		69.12	55.91	13.21	
	Trade	4 0000		08/31/09	08/31/11		305.95	221.16	84.79	
	Trade	4 0000		09/01/09	11/17/11		328.20	217.36	110.84	
	Trade	1 0000		09/09/09	11/17/11		82.05	56.38	25.67	
	Trade	4 0000		09/09/09	12/15/11		321.72	225.53	96.19	

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
PPG INDUSTRIES INC	Trade	4 0000		03/25/10	12/15/11		321.71	260.36	61.35	
	Sub-Total	26.0000					1,982.55	1,480.51	502.04	
PRAXAIR INC	Trade	5 0000		12/11/07	07/22/11		528.00	440.00	88.00	
PRECISION CASTPARTS CORP	Trade	1 0000		07/22/10	08/31/11		164.84	116.17	48.67	
PRICELINE COM INC NEW	Trade	1 0000		12/16/09	09/16/11		528.72	222.02	306.70	
PRICELINE COM INC NEW	Trade	1 0000		04/13/10	09/16/11		528.72	257.07	271.65	
	Sub-Total	2.0000					1,057.44	479.09	578.35	
SALESFORCE COM INC	Trade	2 0000		09/03/10	12/15/11		213.53	241.82	(28.29)	
	Trade	2 0000		09/24/10	12/15/11		213.53	239.14	(25.61)	
SALESFORCE COM INC	Trade	1 0000		09/24/10	12/19/11		105.92	119.57	(13.65)	
	Sub-Total	5.0000					532.98	600.53	(67.55)	
TIFFANY & CO NEW	Trade	6 0000		01/12/10	07/01/11		476.08	277.43	198.65	
TIFFANY & CO NEW	Trade	4 0000		01/14/10	07/01/11		317.39	182.38	135.01	
	Trade	2 0000		01/14/10	11/23/11		139.87	91.19	48.68	
TIFFANY & CO NEW	Trade	3 0000		01/22/10	11/23/11		209.80	123.59	86.21	
	Trade	4 0000		01/26/10	12/15/11		256.46	166.86	89.60	
TIFFANY & CO NEW	Trade	2 0000		03/22/10	12/15/11		128.23	93.25	34.98	
	Trade	1 0000		03/22/10	12/19/11		62.60	46.62	15.98	
TIFFANY & CO NEW	Trade	2 0000		07/14/10	12/19/11		125.21	82.00	43.21	

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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
TIFFANY & CO NEW	Trade	2.0000		07/16/10	12/20/11	126.03	81.14	44.89	
	Trade	8.0000		07/27/10	12/20/11	504.11	335.25	168.86	
	Sub-Total	34.0000				2,345.78	1,479.71	866.07	
TIJ COS INC NEW	Trade	4.0000		05/25/10	11/17/11	236.41	176.97	59.44	
UNION PACIFIC CORP	Trade	4.0000		12/11/07	02/28/11	381.26	268.50	112.76	
	Trade	8.0000		12/11/07	03/03/11	763.70	537.00	226.70	
	Trade	6.0000		12/11/07	03/04/11	571.46	402.75	168.71	
	Trade	4.0000		12/11/07	06/17/11	399.99	268.50	131.49	
	Trade	5.0000		12/11/07	07/19/11	500.16	335.63	164.53	
	Trade	3.0000		12/11/07	08/19/11	258.23	201.37	56.86	
	Trade	3.0000		12/11/07	09/02/11	268.11	201.38	66.73	
	Sub-Total	33.0000				3,142.91	2,215.13	927.78	
US BANCORP DEL (NEW)	Trade	8.0000		09/03/08	04/13/11	208.14	260.06	(51.92)	
	Trade	13.0000		12/03/08	04/13/11	338.23	332.32	5.91	
	Trade	20.0000		03/13/09	04/13/11	520.36	285.85	234.51	
	Trade	13.0000		03/13/09	04/26/11	324.34	185.80	138.54	
	Trade	31.0000		03/25/09	04/26/11	773.43	493.91	279.52	
	Trade	4.0000		03/25/09	08/19/11	83.81	63.73	20.08	
	Trade	9.0000		04/21/10	08/19/11	188.57	253.36	(64.79)	
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Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
US BANCORP DEL (NEW)	Trade	12.0000		04/21/10	08/22/11		245.45	337.81	(92.36)	
	Sub-Total	110.0000					2,682.33	2,212.84	469.49	
WELLS FARGO & CO NEW	Trade	21.0000		05/08/09	04/13/11		646.76	565.49	81.27	
	Trade	10.0000		05/08/09	04/15/11		298.49	269.28	29.21	
	Trade	14.0000		08/06/09	04/15/11		417.89	394.94	22.95	
	Trade	17.0000		10/01/09	04/15/11		507.44	466.24	41.20	
	Trade	2.0000		03/05/10	04/15/11		59.70	57.80	1.90	
Sub-Total		64.0000					1,930.28	1,753.75	176.53	
							\$54,177.32	\$41,500.60	\$12,676.72	
Total Long-Term Gain/Loss							\$54,177.32	\$41,500.60	\$12,676.72	