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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
ANNA OSCHWALD TRUST

Number and street (or P O box number if mail is not delivered to street address)
1525 W WT HARRIS BLVD D1114-044

Room/suite

City or town, state, and ZIP code
CHARLOTTE, NC 28288

A Employer identification number
25-6626923

B Telephone number (see page 10 of the instructions)
(336) 747-8388

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation



☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation



I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 7,267,974

J Accounting method ☐ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	83	83		
	4 Dividends and interest from securities.	262,879	262,879		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	152,973			
	b Gross sales price for all assets on line 6a _____ 1,141,615				
	7 Capital gain net income (from Part IV, line 2)		152,973		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	415,935	415,935		
	13 Compensation of officers, directors, trustees, etc	84,055	40,977		43,078
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule).				0
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see page 14 of the instructions)	9,407	2,932		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule).				
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	93,462	43,909	0	43,078
	25 Contributions, gifts, grants paid.	247,530			247,530
	26 Total expenses and disbursements. Add lines 24 and 25	340,992	43,909	0	290,608
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	74,943			
	b Net investment income (if negative, enter -0-)		372,026		
	c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	97,334	170,973	170,973
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	301,023		
	b	Investments—corporate stock (attach schedule)	4,985,086 	797,831	983,416
	c	Investments—corporate bonds (attach schedule).	0		
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,836,327 	6,312,143	6,113,585
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	7,219,770	7,280,947	7,267,974
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	7,219,770	7,280,947	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	7,219,770	7,280,947	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	7,219,770	7,280,947	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	7,219,770
2	Enter amount from Part I, line 27a	2	74,943
3	Other increases not included in line 2 (itemize) ▶ _____ 	3	32,559
4	Add lines 1, 2, and 3	4	7,327,272
5	Decreases not included in line 2 (itemize) ▶ _____ 	5	46,325
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	7,280,947

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	152,973
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	317,930	7,128,985	0 044597
2009	294,957	6,286,357	0 04692
2008	451,906	7,525,332	0 060051
2007	475,595	8,949,481	0 053142
2006	643,554	8,692,569	0 074035

2	Total of line 1, column (d).	2	0 278745
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 055749
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	7,586,502
5	Multiply line 4 by line 3.	5	422,940
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	3,720
7	Add lines 5 and 6.	7	426,660
8	Enter qualifying distributions from Part XII, line 4.	8	290,608

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	7,441
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	7,441
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	7,441
6		Credits/Payments			
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	4,924		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7		Total credits and payments Add lines 6a through 6d.		7	4,924
8		Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	0
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	2,517
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>		11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> NJ _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of	WELLS FARGO BANK NA		
	Telephone no	(336) 747-8388		
	Located at	1 WEST 4TH ST 2ND FLOOR WINSTON SALEM NC		
	ZIP +4	271013818		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?		Yes	No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?		Yes	No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?		Yes	No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?		Yes	No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?		Yes	No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).		Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years 20, 20, 20, 20		Yes	No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		Yes	No
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WELLS FARGO BANK 1525 WEST WT HARRIS BLVD D1114-044 CHARLOTTE, NC 282881114	CO-TRUSTEE 40	54,635		
STEPHEN J OPPENHEIM 20 BINGHAM AVENUE RUMSON, NJ 07760	CO-TRUSTEE 4	29,420		
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	7,537,821
b	Average of monthly cash balances.	1b	164,211
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	7,702,032
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	7,702,032
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	115,530
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,586,502
6	Minimum investment return. Enter 5% of line 5.	6	379,325

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	379,325
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	7,441
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	7,441
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	371,884
4	Recoveries of amounts treated as qualifying distributions.	4	303
5	Add lines 3 and 4.	5	372,187
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	372,187

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	290,608
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	290,608
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	290,608
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				372,187
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only.			107,135	
b Total for prior years 2009 , 20__ , 20__		0		
3 Excess distributions carryover, if any, to 2011				
a From 2006. 0				
b From 2007. 0				
c From 2008. 0				
d From 2009. 0				
e From 2010. 0				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2011 from Part XII, line 4 ➤ \$ 290,608				
a Applied to 2010, but not more than line 2a			107,135	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2011 distributable amount.				183,473
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				188,714
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).	0			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).	0			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2007. 0				
b Excess from 2008. 0				
c Excess from 2009. 0				
d Excess from 2010. 0				
e Excess from 2011. 0				

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	247,530
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2011)

Part XVII

1

(a)

2.

1

4.

Additional Data

Software ID:
Software Version:
EIN: 25-6626923
Name: ANNA OSCHWALD TRUST

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
475 AGILENT TECHNOLOGIES INC COM		2009-12-18	2011-03-08
375 AMERICAN EXPRESS CO COM		2011-03-08	2011-10-17
1 BANK AMER CORP COM		2000-11-22	2011-03-08
350 CHUBB CORP COM		2009-02-25	2011-05-26
210 CIMAREX ENERGY CO		2011-03-08	2011-10-04
340 CONSTELLATION ENERGY GRP INC COM		2010-11-23	2011-03-08
1225 DISCOVER FINANCIAL SERVICES		2009-12-18	2011-03-08
350 DOW CHEM CO COM		2011-03-08	2011-10-17
40000 FED FARM CREDIT BK 2 450% 5/13/14		2010-05-21	2011-05-13
50000 FED FARM CREDIT BK 2 125% 6/16/14		2010-06-22	2011-06-16
40000 FED HOME LN MTG CORP 2 125% 10/29/13		2010-05-27	2011-04-29
50000 FED NATL MTG ASSN 2 350% 7/14/15		2010-06-23	2011-01-14
40000 FED NATL MTG ASSN 1 300% 12/28/12		2010-06-07	2011-06-28
40000 FED NATL MTG ASSN 2 000% 4/19/13		2010-06-09	2011-10-19
40000 FED NATL MTG ASSN 2 000% 6/24/13		2010-05-25	2011-06-24
675 GAP INC COM		2009-12-18	2011-03-08
300 GENERAL MILLS INC COM W/RTS EXP 02/01/2006		2010-03-25	2011-05-26
75 GOLDMAN SACHS GRP INC COM		2009-12-18	2011-03-08
350 INTL FLAVORS & FRAGRANCES INC COM		2010-12-10	2011-03-08
250 JP MORGAN CHASE & CO COM		2000-07-25	2011-03-08
478 469 JPMORGAN HIGH YIELD FUND SS #3580		2007-04-13	2011-03-08
300 KELLOGG CO COM		2009-02-25	2011-05-26
125 L-3 COMMUNICATIONS HLDGS INC COM		2009-12-18	2011-03-08
250 MEDCO HEALTH SOLUTIONS INC		2011-03-08	2011-10-17
175 MEDCO HEALTH SOLUTIONS INC		2011-05-26	2011-10-17
425 MICROSOFT CORP COM		2003-03-04	2011-03-08
400 NIKE INC CL B COM		2005-12-27	2011-05-26
421 053 PIMCO FDS PAC INVT MGMT SER HIGH YLD FD INSTL CL		2008-06-23	2011-03-08
300 T ROWE PRICE GRP INC COM		2011-05-26	2011-10-17
430 SEALED AIR CORP NEW COM		2009-12-18	2011-03-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
225 STATE STR CORP COM		2009-12-18	2011-03-08
375 3M CO COM		2005-04-27	2011-10-17
475 VIACOM INC NEW		2009-12-18	2011-03-08
28222 013 WELLS FARGO ADV INTR VA FD-IST #517		2008-06-23	2011-07-21
723 589 WESTPORT FDS SMALL CAP FD CL I		2001-01-08	2011-03-08
200 PARTNERRE LTD COM		2010-11-23	2011-03-08
500 TE CONNECTIVITY LTD		2010-12-10	2011-05-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,833		14,027	7,806
16,986		16,982	4
15		34	-19
22,669		13,961	8,708
10,826		19,767	-8,941
10,554		9,648	906
27,148		18,093	9,055
9,250		13,325	-4,075
40,000		40,176	-176
50,000		50,066	-66
40,000		40,170	-170
50,000		50,000	
40,000		39,976	24
40,000		40,390	-390
40,000		40,245	-245
14,441		14,094	347
11,748		10,800	948
12,122		12,129	-7
20,279		19,505	774
11,628		12,801	-1,173
4,000		4,129	-129
17,058		11,847	5,211
10,031		10,524	-493
12,171		15,672	-3,501
8,520		11,317	-2,797
10,987		12,089	-1,102
33,627		17,484	16,143
4,000		3,853	147
15,576		18,680	-3,104
11,470		9,322	2,148

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,006		9,299	707
28,524		28,759	-235
21,950		14,021	7,929
337,253		300,000	37,253
20,000		12,902	7,098
15,538		15,556	-18
18,076		16,999	1,077


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7,806
			4
			-19
			8,708
			-8,941
			906
			9,055
			-4,075
			-176
			-66
			-170
			24
			-390
			-245
			347
			948
			-7
			774
			-1,173
			-129
			5,211
			-493
			-3,501
			-2,797
			-1,102
			16,143
			147
			-3,104
			2,148

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			707
			-235
			7,929
			37,253
			7,098
			-18
			1,077

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE NORTSHORE ANIMAL LEAGUE25 DAVIS AVENUE PORT WASHINGTON,NY 11050	NONE	EXEMPT	GENERAL	19,079
BIDE-A-WEE HOME ASSOCIATION 410 EAST 38 ST NEWYORK,NY 10016	NONE	EXEMPT	GENERAL	19,382
CANCER RESEARCH INSTITUTE55 BROADWAY NEWYORK,NY 10006	NONE	EXEMPT	GENERAL	19,079
THE SALVATION ARMY440 WEST NYACK ROAD WEST NYACK,NY 10994	NONE	EXEMPT	GENERAL	19,079
AMERICAN HEART ASSOCIATION 2550 US HIGHWAY NO 1 TRENTON,NJ 08650	NONE	EXEMPT	GENERAL	19,079
FRIENDS OF ANIMALS770 POST ROAD STE 25 DARIEN,CT 06820	NONE	EXEMPT	GENERAL	19,079
CORNELL UNIVERSITY OFFICE OF TRUSTS & ESTATES130 EAST SEBECA ST SUITE 400 ITHACA,NY 14850	NONE	EXEMPT	GENERAL	19,079
SOCIETY FOR PREVENTION OF CRUELTY TO ANIMALSPO BOX 93 EATONTOWN,NJ 07724	NONE	EXEMPT	GENERAL	19,079
ASSOCIATED HUMANE SOCIETY OF NEW JERSEY INC124 EVERGREEN AVE NEWARK,NJ 07114	NONE	EXEMPT	GENERAL	19,079
RIVERVIEW MEDICAL CENTER ATTN DIRECTOR OF GIFT PLANNING1345 CAMPUS PARKWAY SUITE A2 NEPTUNE,NJ 07753	NONE	EXEMPT	GENERAL USE	19,079
RED BK ELKS CRIPPLED KIDDIES FND C/O HANDICPPED CHILD CMTEE ATTN40 W FRONT ST RED BANK,NJ 07701	NONE	EXEMPT	GENERAL	19,079
TRUSTEES UNIV OF PENNYSLVANIA OFFICE OF THE TREASURER3451 WALNUT STREET PHILADELPHIA,PA 19104	NONE	EXEMPT	GENERAL	19,079
HUMANE SOCIETY OF THE UNITED STATES2100 L STREET NW WASHINGTON,DC 20037	NONE	EXEMPT	GENERAL	18,279
Total  3a				247,530

TY 2011 Investments Corporate
Stock Schedule

Name: ANNA OSCHWALD TRUST
EIN: 25-6626923

Name of Stock	End of Year Book Value	End of Year Fair Market Value
4812C0803 JPMORGAN HI YL #3580		
364760108 GAP INC		
437076102 HOME DEPOT INC	17,249	21,020
580135101 MCDONALDS CORP	15,506	20,066
654106103 NIKE INC CL B		
778296103 ROSS STORES INC	9,021	28,518
92553P201 VIACOM INC NEW		
370334104 GENERAL MILLS INC		
487836108 KELLOGG CO		
50075N104 KRAFT FOODS INC CL A	21,429	26,152
742718109 PROCTER & GAMBLE CO	25,793	40,026
166764100 CHEVRON CORP	21,677	45,220
171798101 CIMAREX ENERGY CO		
20825C104 CONOCOPHILLIPS	15,316	29,148
30231G102 EXXON MOBIL CORP	7,664	19,071
674599105 OCCIDENTAL PETE CORP	9,601	11,244
001055102 AFLAC INC	19,486	18,386
03076C106 AMERIPRISE FINL INC	26,809	26,061
060505104 BANK OF AMERICA CORP		
14040H105 CAPITAL ONE FIN CORP	16,907	16,916
171232101 CHUBB CORP		
254709108 DISCOVER FIN SVCS		
38141G104 GOLDMAN SACHS GROUP		
46625H100 JPMORGAN CHASE & CO	15,362	9,975
857477103 STATE STREET CORP		
91529Y106 UNUM CORP	14,309	15,803
031162100 AMGEN INC	9,737	11,237
110122108 BRISTOL MYERS SQUIBB	9,435	12,863
14149Y108 CARDINAL HEALTH INC	14,412	16,244
478160104 JOHNSON & JOHNSON	35,702	49,185

Name of Stock	End of Year Book Value	End of Year Fair Market Value
58155Q103 MCKESSON CORP	24,715	29,995
91324P102 UNITEDHEALTH GROUP	14,436	20,272
235851102 DANAHER CORP	21,235	21,168
369604103 GENERAL ELECTRIC CO	12,368	12,537
502424104 L-3 COMMUNICATIONS		
913017109 UNITED TECHNOLOGIES	11,530	29,236
88579Y101 3M CO		
00846U101 AGILENT TECHNOLOGIES		
17275R102 CISCO SYSTEMS INC	14,652	9,944
428236103 HEWLETT PACKARD CO	17,569	25,760
458140100 INTEL CORP	18,550	25,463
459200101 IBM CORP	27,812	64,358
594918104 MICROSOFT CORP	21,562	24,013
882508104 TEXAS INSTRUMENTS	9,469	10,916
459506101 INTERNATL FLAVORS		
81211K100 SEALED AIR CORP		
156700106 CENTURYLINK INC	13,824	20,386
21037100 CONSTELLATION ENERGY		
H0023R105 ACE LIMITED		
G6852T105 PARTNERRE LTD COM		
H8912P106 TYCO ELECTRONICS LTD		
H89128104 TYCO INTERNATL LTD		
92934R769 CRM MIDCAP VALUE #32		
38142Y401 GOLDMAN SACHS #1132		
77954Q106 TROWE BLU CHP #93		
74144Q203 TROWE EMERG MKT #146		
94984B348 WELLS FARGO FD #4117		
94984B181 WELLS FARGO FD #517		
961323409 WESTPORT FUNDS #13		
04315J837 ARTIO INTL FD #1529		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
246248306 DELAWARE POOLED #31		
411511306 HARBOR INTL FD #2011		
922042858 VANGUARD EMERG MKTS		
44980Q518 ING INTL RE FD #2664		
44981V706 ING RE FD #2190		
61744J317 MORGAN STANLEY #8001		
722005667 PIMCO COMMOD FD #45		
037833100 APPLE INC	17,838	20,250
04351G101 ASCENA RETAIL GROUP	15,431	16,346
055921100 BMC SOFTWARE INC	11,268	7,376
057224107 BAKER HUGHES INC COM	10,757	12,160
191216100 COCA COLA CO	26,296	27,988
20030N101 COMCAST CORP CLASS A	17,524	16,597
314172560 FEDERATED STRAT VAL		
345370860 FORD MOTOR COMPANY	16,312	12,105
375558103 GILEAD SCIENCES INC	10,936	11,256
438516106 HONEYWELL INTERNATIO	11,726	10,870
45865V100 INTERCONTINENTALEXCH	18,491	18,083
464287168 ISHARES DOW JONES SE		
583334107 MEADWESTVACO CORP	15,947	16,473
637071101 NATIONAL OILWELL INC	18,150	16,998
68389X105 ORACLE CORPORATION	11,540	8,978
744573106 PUBLIC SVC ENTERPRIS	20,742	20,631
747525103 QUALCOMM INC	17,184	16,410
87612E106 TARGET CORP	11,126	11,525
887389104 TIMKEN CO	11,567	11,613
983134107 WYNN RESORTS LTD	21,859	16,574
G1151C101 ACCENTURE PLC		

TY 2011 Investments Government Obligations Schedule

Name: ANNA OSCHWALD TRUST

EIN: 25-6626923

**US Government Securities - End of
Year Book Value:**

**US Government Securities - End of
Year Fair Market Value:**

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2011 Investments - Other Schedule

Name: ANNA OSCHWALD TRUST
EIN: 25-6626923

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
04315J209 ARTIO TOTAL RTN BOND	AT COST	325,524	333,903
256210105 DODGE & COX INCOME	AT COST	516,831	539,811
4812C0803 JPMORGAN HIGH YIELD	AT COST	424,979	381,085
693390841 PIMCO HIGH YIELD FUN	AT COST	126,147	134,331
693390700 PIMCO TOTAL RTN FUND	AT COST	413,972	426,454
921937850 VANGUARD SHORT TERM	AT COST	50,000	50,189
957663701 WESTERN ASSET INTERM	AT COST	125,000	123,735
693391559 PIMCO EMERGING MKT	AT COST	157,946	171,506
693390882 PIMCO FOREIGN BOND	AT COST	307,500	321,027
G1151C101 ACCENTURE PLC	AT COST	14,402	13,308
H0023R103 ACE LIMITED	AT COST	9,754	14,024
H89128104 TYCO INTERNATIONAL	AT COST	21,568	24,056
92934R769 CRM MID CAP VALUE	AT COST	232,058	341,096
314172560 FEDERATED STRATEGIC	AT COST	225,000	230,211
38142Y401 GOLDMAN SACHS GRWTH	AT COST	170,000	214,491
464287168 ISHARES DOW JONES	AT COST	124,876	125,015
77954Q106 T ROWE PRICE BLUE CH	AT COST	158,182	165,909
94984B348 WELLS FARGO ADVTG IN	AT COST	320,698	283,130
961323409 WESTPORT FUNDS SELEC	AT COST	369,859	489,739
04315J837 ARTIO INTERNATIONAL	AT COST	275,000	204,374
246248306 DELAWARE POOLED TR	AT COST	200,000	118,555
411511306 HARBOR INTERNATIONAL	AT COST	200,000	157,342
74144Q203 T ROWE PRICE INSTITU	AT COST	334,778	255,079
922042858 VANGUARD MSCI EMERGI	AT COST	95,043	111,459
44980Q518 ING INTERNATIONAL RE	AT COST	98,923	67,580
44981V706 ING RE FUNDING	AT COST	496,678	534,311
61744J317 MORGAN STANLEY INST	AT COST	157,425	70,238
72005667 PIMCO COMMODITY	AT COST	360,000	211,627

TY 2011 Other Decreases Schedule**Name:** ANNA OSCHWALD TRUST**EIN:** 25-6626923

Description	Amount
MUTUAL FUND POSTING DATE AFTER TYE	44,768
ROC BASIS ADJUSTMENT	1,554
ROUNDING	3

TY 2011 Other Increases Schedule

Name: ANNA OSCHWALD TRUST

EIN: 25-6626923

Description	Amount
MUTUAL FUND TAX EFFECTIVE DATE BEFORE TYE	32,559

TY 2011 Taxes Schedule**Name:** ANNA OSCHWALD TRUST**EIN:** 25-6626923

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX PAYMENT - PRIOR YE	1,551	0		0
FEDERAL ESTIMATES - PRINCIPAL	4,924	0		0
FOREIGN TAXES ON QUALIFIED FOR	2,663	2,663		0
FOREIGN TAXES ON NONQUALIFIED	269	269		0